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Sillasen, Anita Abildgaard

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Subdigraphs of almost Moore digraphs induced by fixpoints of an automorphism
by

Anita Abildgaard Sillasen

Department of Mathematical Sciences Aalborg University
Fredrik Bajers Vej 7 G • DK-9220 Aalborg Øst • Denmark Phone: +4599409940 • Telefax: +4599403548

URL: http://www.math.aau.dk

# Subdigraphs of almost Moore digraphs induced by fixpoints of an automorphism 

Anita Abildgaard Sillasen<br>Department of Mathematical Sciences<br>Aalborg University

Fredrik Bajers Vej 7G, 9220 Aalborg East, Denmark
anita@math.aau.dk


#### Abstract

The degree/diameter problem for directed graphs is the problem of determining the largest possible order for a digraph with given maximum outdegree $d$ and diameter $k$. An upper bound is given by the Moore bound $M(d, k)=\sum_{i=0}^{k} d^{i}$ and almost Moore digraphs are digraphs with maximum out-degree $d$, diameter $k$ and order $M(d, k)-1$.

In this paper we will look at the structure of subdigraphs of almost Moore digraphs, which are induced by the vertices fixed by some automorphism $\varphi$. If the automorphism fixes at least three vertices, we prove that the induced subdigraph is either an almost Moore digraph or a diregular $k$ geodetic digraph of degree $d^{\prime} \leq d-2$, order $M\left(d^{\prime}, k\right)+1$ and diameter $k+1$.

As it is known that almost Moore digraphs have an automorphism $r$, these results can help us determine structural properties of almost Moore digraphs, such as how many vertices of each order there are with respect to $r$. We determine this for $d=4$ and $d=5$, where we prove that except in some special cases, all vertices will have the same order.


## 1. Introduction

Let $G$ be a digraph and $u$ be a vertex of maximum out-degree $d$ in $G$, and let $n_{i}$ denote the number of vertices in distance $i$ from $u$. Then we have $n_{i} \leq d^{i}$ for $i=0,1, \ldots, k$, and thus the order $n$ of $G$ is bounded by

$$
\begin{equation*}
n=\sum_{i=0}^{k} n_{i} \leq \sum_{i=0}^{k} d^{i} \tag{1}
\end{equation*}
$$

If equality is obtained in (1) we say that $G$ is a Moore digraph of degree $d$ and diameter $k$, and the right-hand side of (1) is called the Moore bound denoted by $M(d, k)=\sum_{i=0}^{k} d^{i}$. Moore digraphs are known to be diregular and exist only when $d=1$ (cycles of length $(k+1)$ ) or $k=1$ (complete digraphs with order $d+1$ ), see [1] or [2]. So we are interested in knowing how close the order can get to the Moore bound for $d>1$ and $k>1$. Let $G$ be a digraph of maximum out-degree $d$, diameter $k$ and order $M(d, k)-\delta$, then we say $G$ is a $(d, k,-\delta)$-digraph or alternatively a $(d, k)$-digraph of defect $\delta$. When $\delta<M(d, k-1)$ we have out-regularity, see [3], whereas it in general is not known if we also have in-regularity. Of special interest is the case $\delta=1$, and a $(d, k,-1)$-digraph is also denoted as an almost Moore digraph. Almost Moore digraphs do exist for $k=2$ as the line digraphs of $K_{d+1}$ for any $d \geq 2$, see [4], whereas $(2, k,-1)$-digraphs for $k>2,(3, k,-1)$ digraphs for $k>2,(d, 3,-1)$-digraphs for $d>1$ and $(d, 4,-1)$-digraphs for $d>1$ do not exist, see [5], [6], [7] and [8]. We do know that almost Moore digraphs are diregular for $d>1$ and $k>1$, see [3].

In the last section of the paper, we will be needing the following theorem which summarises some of the above results.

Theorem 1 ([5],[6]). Almost Moore digraphs of degree 2 and 3 and diameter $k>2$ do not exist.

Furthermore, almost Moore digraphs satisfies the following properties, where $\mathrm{a} \leq k$-walk is a walk of length at most $k$.

Lemma 1 ([9]). Let $G$ be an almost Moore digraph, then

- for each pair of vertices $u, v \in V(G)$ there is at most one $<k$-walk from $u$ to $v$,
- for every vertex $u \in V(G)$ there exist a unique vertex $r(u)$ such that there are two $\leq k$-walks from $u$ to $r(u)$.

The mapping $r: V(G) \mapsto V(G)$ is in fact an automorphism, see [9] and thus the two $\leq k$-walks from $u$ to $r(u)$ are internally disjoint. The vertex $r(u)$ is said to be the repeat of $u$. If we have $u=r(u)$, thus $u$ has order 1 with respect to $r, u$ is said to be a selfrepeat. If there is a selfrepeat in $G$, then there are exactly $k$ selfrepeats, which lie on a $k$-cycle, see [10].

In this paper we will give some conditions for the existence of an almost Moore digraph $G$ with respect to some automorphism $\varphi: V(G) \mapsto V(G)$. These results can then be used to investigate the orders of the vertices with respect to the automorphism $r$. Before stating the core result of this paper,
we will introduce another type of digraph which shows to be important when characterizing induced subdigraphs of almost Moore digraphs.

Let $D$ be a digraph such that for each pair of vertices $u, v \in V(D)$ we have at most one $\leq k$-walk from $u$ to $v$, then we say $D$ is $k$-geodetic. Let $u$ be a vertex of minimum out-degree $d$, and let $n_{i}$ be the number of vertices in distance $i$ from $u$ for $i=0,1, \ldots, k$. Then $n_{i} \geq d^{i}$ and the order $n$ of $D$ is bounded by

$$
\begin{equation*}
n \geq \sum_{i=0}^{k} n_{i} \geq \sum_{i=0}^{k} d^{i} \tag{2}
\end{equation*}
$$

Notice that the right-hand side is the Moore bound, $M(d, k)$ and that the diameter for a $k$-geodetic digraph is at least $k$. As we already know, Moore digraphs do only exist for $d=1$ or $k=1$, we wish to know how close the order of a $k$-geodetic digraph can get to the Moore bound. By a $(d, k, \epsilon)$ digraph we understand a $k$-geodetic digraph of minimum out-degree $d$ and order $M(d, k)+\epsilon$. Alternatively we say that we have a $(d, k)$-digraph of excess $\epsilon$. The first case which is interesting is when $\epsilon=1$. A ( $d, k, 1$ )-digraph has diameter $k+1$, and for each vertex $u$ there is exactly one vertex, the outlier $o(u)$ such that $\operatorname{dist}(u, o(u))=k+1$, see [11].

A $(d, k, 1)$-digraph is diregular if and only the mapping $o: V(D) \mapsto V(D)$ is an automorphism, see [11]. From [11] we also have the following therem.

Theorem 2 ([11]). No diregular (2, $k, 1$ )-digraphs exist for $k>1$.

## 2. Results

For simplicity, we will, in the remaining part of this paper, let a $(d, k,-1)$ digraph (almost Moore digraphs) denote any digraph which has degree $d>0$, diameter $k>0$ and order $M(d, k)-1$, thus we will let $k$-cycles be included in this class. Similar, a $(d, k, 1)$-digraph will denote any $k$-geodetic digraph of minimum out-degree $d>0$ and order $M(d, k)+1$.

The scope of this paper is to prove the following theorem.
Theorem 3. Let $G$ be an almost Moore digraph of degree $d \geq 4$ and diameter $k \geq 3$ and let $H$ be a subdigraph induced by the vertices which are fixed by some automorphism $\varphi: V(G) \mapsto V(G)$. Then $H$ is either

- the empty digraph,
- two isolated vertices,
- an almost Moore digraph of degree $d^{\prime} \leq d$ and diameter $k$ or
- a diregular $\left(d^{\prime}, k, 1\right)$-digraph where $d^{\prime} \leq d-2$.

In the remaining part of this paper we will assume $G$ to be an almost Moore digraph of degree $d \geq 4$ and diameter $k \geq 3$, and $H$ to be a subdigraph of $G$ induced by the fixpoints of some automorphism $\varphi: V(G) \mapsto$ $V(G)$.

We start by stating some properties of the fixpoints of $G$.
Lemma 2. Let $u$ and $v$ be fixpoints of $G$ with respect to the automorphism $\varphi$, then

- $r(u)$ is a fixpoint,
- if there is $a \leq k$-walk $P$ from $u$ to $v$ and $v \neq r(u)$, all vertices $w \in P$ are fixpoints
- if $v=r(u)$ and $P$ and $Q$ are the two $\leq k$-walks from $u$ to $v$, either all internal vertices on $P$ and $Q$ are fixpoints, or none of them are. Furthermore, if $\operatorname{dist}(u, r(u))<k$, then all vertices on $P$ and $Q$ are fixpoints.

Proof. - We know there are two $\leq k$-walks, $P$ and $Q$, from $u$ to $r(u)$. Now, $\varphi(P)$ and $\varphi(Q)$ are two $\leq k$-walks from $u$ to $\varphi(r(u))$, and hence $\varphi(r(u))$ is a repeat of $u$. As $u$ only has one repeat, the statement follows.

- Let $P$ be the unique $\leq k$-walk from $u$ to $v$. Then $\varphi(P)$ will also be a $\leq k$-walk from $u$ to $v$, and hence $P=\varphi(P)$.
- Assume not all vertices on the $\leq k$-walk $P$ are fixpoints, hence there exist a vertex $w \in P$ such that $w \neq \varphi(w)$ and thus $\varphi(P) \neq P$ is also a $\leq k$-walk from $u$ to $v=r(u)$. As there are only two $\leq k$-walks from $u$ to $v=r(u)$, we must have $\varphi(P)=Q$ and thus none of the internal vertices of $P$ are fixpoints, as $P$ and $Q$ are internally disjoint. Now if $\operatorname{dist}(u, r(u))<k$, then $P$ and $Q$ are obviously of different length, so we must have all vertices on $P$ and $Q$ as fixpoints.

Corollary 1. Let $\varphi$ be an automorphism of $G$, then all $\leq k$-walks among the fixpoints of $\varphi$ in $G$ are preserved to $H$, except for possibly the $k$-walks from a vertex to its repeat.

Notice, that if $u$ and $v$ are selfrepeats fixed by $\varphi$, then there are exactly $d$ internally disjoint $\leq(k+1)$-walks from $u$ to $v,\left(u, u_{i}, \ldots, v_{i}, v\right)$ for $i=$ $1,2, \ldots, d$. Hence if the order of $u_{i}$ with respect to $\varphi$ is $p$, and the order of $v_{i}$ with respect to $\varphi$ is $q$, then $\left(u, u_{i}=\varphi^{p}\left(u_{i}\right), \ldots, \varphi^{p}\left(v_{i}\right), v\right)$ and $(u, u=$ $\left.\varphi^{q}\left(u_{i}\right), \ldots, v_{i}=\varphi^{q}\left(v_{i}\right), v\right)$ are both $\leq(k+1)$-walks, and thus we must have $p=q$. Said in another way, the permutation cycles with respect to some automorphism $\varphi$ of the vertices in $N^{+}(u)$ and $N^{-}(v)$ are the same when $u$ and $v$ are selfrepeats.

The following lemma is a more general result than that of [12].
Lemma 3. If $G$ has a selfrepeat which is fixed by $\varphi$, then $H$ is an almost Moore digraph with selfrepeats of degree $d^{\prime} \leq d$ and diameter $k$.

Proof. Let $z=r(z)=\varphi(z)$, then according to Lemma 2 we must have all vertices on the two $\leq k$-walks from $z$ to $r(z)$ as fixpoints, and all the selfrepeats lie on the non-trivial walk from $z$ to $z$, so $H$ contains a $k$-cycle.

Notice that $d_{H}^{+}(z)=d_{H}^{-}(z)=d^{\prime} \leq d$ for all $z=r(z) \in V(H)$, as the permutation cycles in $N^{+}(z)$ and $N^{-}(z)$ are the same. Now, if we have a vertex $u=\varphi(u) \neq r(u)$, then we can pick a selfrepeat $z$ such that $r(u) \notin N^{-}(z)$, as otherwise we would have $r(u) \in N^{-}\left(z^{\prime}\right)$ for all selfrepeats $z^{\prime}$ of $G$, and therefore $r(r(u))$ would be a selfrepeat, a contradiction as $u$ is not a selfrepeat. Thus for this $u$ and $z$ we have $d$ internally disjoint $\leq(k+1)$ walks $\left(u, u_{i}, \ldots, z_{i}, z\right)$ in $G$. Then $d^{\prime}$ of the internally disjoint $\leq(k+1)$-walks from $u$ to $z$ will also be in $H$, due to Lemma 2, and thus $d^{+}(u) \geq d^{\prime}$. Assume that $d^{+}(u)>d^{\prime}$, then there exists a $j \in\{1,2, \ldots d\}$ such that $u_{j}=\varphi\left(u_{j}\right)$ and $z_{j} \neq \varphi\left(z_{j}\right)$. But then $\left(u_{j}, \ldots, z_{j}, z\right)$ and $\left(u_{j}, \ldots, \varphi\left(z_{j}\right), z\right)$ are two distinct $\leq k$-walks from $u_{j}$ to $z$, a contradiction as $z$ is a selfrepeat.

So $H$ is a diregular digraph of degree $d^{\prime}$. Now, assume $H$ has diameter $k+1$, this implies that there exists a vertex $v \operatorname{such}^{\text {that } d i s t_{H}(v, r(v))}=k+1$ thus the order of $H$ is $n=1+d^{\prime}+d^{\prime 2}+\ldots+d^{\prime k}+1=M\left(d^{\prime}, k\right)+1$, according to Corollary 1. However, looking at a selfrepeat $z \in H$, we get the order as $n=1+d^{\prime}+d^{\prime 2}+\ldots+d^{\prime k}-1=M\left(d^{\prime}, k\right)-1$, a contradiction.

So $H$ must be diregular with degree $d^{\prime} \leq d$, diameter $k$ and its order must be $M(d, k)-1$, hence it is an almost Moore digraph with selfrepeats, as the girth of $H$ is $k$.

Lemma 4. Let $\varphi$ fix at least three vertices, then $H$ is diregular of degree $d^{\prime}$ and either

- $H$ is an almost Moore digraph of degree $d^{\prime} \leq d$ and diameter $k$, or
- $H$ is a $\left(d^{\prime}, k, 1\right)$-digraph of degree $d^{\prime} \leq d-2$.

Proof. If $\varphi$ fixes a selfrepeat, then we have the first case of the statement according to Lemma 3. Thus we can assume $\varphi$ does not fix any selfrepeats.

Let $u$ and $v$ be any two fixed vertices in $G$, thus they are not selfrepeats, and let $N^{+}(u)=\left\{u_{1}, u_{2}, \ldots, u_{d}\right\}$ and $N^{-}(v)=\left\{v_{1}, v_{2}, \ldots, v_{d}\right\}$. Assume $r(u) \neq v_{j}$ for $j=1,2, \ldots, d$. Then in $G$ we have internally disjoint $\leq(k+1)-$ walks $\left(u, u_{i}, \ldots, v_{i}, v\right)$ for $i=1,2, \ldots, d$. As $r$ is an automorphism, we get $r\left(u_{i}\right) \neq v$ for $i=1,2, \ldots, d$. Now, we have $u_{i}=\varphi\left(u_{i}\right)$ if and only if $v_{i}=\varphi\left(v_{i}\right)$ due to Lemma 2, hence $d_{H}^{+}(u)=d_{H}^{-}(v)$. As we could have $v=r(u)$, we see that each vertex in $H$ is balanced, as $d^{+}(u)=d^{+}(r(u))$ and $d^{-}(u)=d^{-}(r(u))$.

Now, assume $H$ is not diregular, thus for each vertex $u \in V(H)$ we must have a vertex $v \in N^{+}(r(u)) \cap V(H)$ such that $d_{H}^{+}(u) \neq d_{H}^{-}(v)$. Let $u \in V(G)$ be a vertex of minimum degree $d_{1} \leq d$ in $H$, and let $v \in V(H)$ be a vertex with $d_{H}^{-}(v)>d_{1}$. Then $d_{H}^{-}(v)=d_{1}+2$ as we must have $v \in N^{+}(r(u))$ with $\operatorname{dist}_{H}(u, r(u))=k+1$ and $\operatorname{dist}_{H}\left(r^{-}(v), v\right) \leq k$. But then there must be at most $d_{1}$ vertices of degree different from $d_{1}$ in $H$ and at most $d_{1}+2$ vertices of degree different from $d_{1}+2$, hence $|V(H)| \leq d_{1}+\left(d_{1}+2\right)$. This is a contradiction to the fact that $|V(H)| \geq d_{1}+d_{1}^{2}+\ldots+d_{1}^{k}$ as the diameter of $H$ is at least $k \geq 3$. So, obviously $H$ is diregular. If $\operatorname{dist}(u, r(u))=k+1$, then each vertex in $H$ must have at least two out-neighbours of order two with respect to $\varphi$ and thus the statement follows.

Theorem 3 now follows directly from Lemmas 3 and 4 .

## 3. Almost Moore digraphs of degree 4 and 5

In this section we will look at almost Moore digraphs of degree 4 and 5 and specify the order of the vertices with respect to the automorphism $r$.
Lemma 5. Let $u \in V(G)$ be a vertex with $\varphi(u)=u \neq r(u)$, then if $H$ is two isolated vertices or has diameter $(k+1)$ we must have two vertices in $N_{G}^{+}(u)$ which have order 2 with respect to $\varphi$.
Proof. In $G$ we have two $\leq k$-paths, $P$ and $Q$ from $u$ to $r(u)$. If $H$ is either two isolated vertices or has diameter $k+1$, we must have that the internal vertices on $P$ and $Q$ are not in $H$. Thus $\varphi(P)=Q$ and $\varphi(Q)=P$, and hence $\varphi^{2}(v)=v$ and $\varphi(v) \neq v$ for all internal vertices $v$ on $P$ and $Q$.

The following theorem is a more general result than that of [13] and [12].
Theorem 4. Let $G$ be an almost Moore digraph of degree 4, then the vertices of $G$ have orders with respect to the automorphism $r$ according to one of the following:

- there are $k$ vertices of order 1 and $M(4, k)-1-k$ of order 3 or
- all vertices are of the same order $p \geq 2$.

Proof. Assume throughout that not all vertices are of the same order. Let $u$ be a vertex of $G$ of the smallest order $p$ with respect to $r$ in $G$. Let $N^{+}(u)=$ $\left\{u_{1}, u_{2}, u_{3}, u_{4}\right\}$, then we can split $N^{+}(u)$ into permutation cycles with respect to $r^{p}$ in one of the following ways: $\left(u_{1}\right)\left(u_{2}\right)\left(u_{3}, u_{4}\right),\left(u_{1}\right)\left(u_{2}, u_{3}, u_{4}\right)$, $\left(u_{1}, u_{2}, u_{3}, u_{4}\right)$ or $\left(u_{1}, u_{2}\right)\left(u_{3}, u_{4}\right)$. Notice however that the splitting
$\left(u_{1}\right)\left(u_{2}\right)\left(u_{3}, u_{4}\right)$ is not possible, as there according to Theorem 3 where $\varphi=r^{p}$ would exist a $(2, k,-1)$ - or $(2, k, 1)$-digraph as an induced subdigraph of $G$, a contradiction to Theorems 1 and 2 .

First assume there is a vertex $u$ of order 1 , thus $u$ is a selfrepeat and hence there are exactly $k$ vertices of order 1 inducing a $k$-cycle in $G$. Thus among the above ways of having permutation cycles, the only possibility is $\left(u_{1}\right)\left(u_{2}, u_{3}, u_{4}\right)$. Then all vertices which are not selfrepeats must have order 3 according to Lemma 3 by letting $\varphi=r^{3}$.

Now assume $u \in V(G)$ has the smallest possible order $p \geq 2$, then according to Lemma 5 the only possible permutation cycles are $\left(u_{1}, u_{2}\right)\left(u_{3}, u_{4}\right)$. In turn, this is only possible if $p=2$, as there will always be at least $p$ vertices of order $p$ in $G$.

Thus $G$ will contain $M(4, k)-3$ vertices of order 4 , thus 4 should divide $M(4, k)-3$. But in fact

$$
M(4, k)-3 \equiv-2+4+4^{2}+\ldots 4^{k} \equiv 2 \bmod 4
$$

a contradiction.

Theorem 5. Let $G$ be an almost Moore digraph of degree 5, then one of the following is true regarding the orders with respect to the automorphism $r$ of the vertices in $G$ :

- there are $M(3, k)+1$ vertices of order $p \geq 2$ and $M(5, k)-M(3, k)-2$ of order $2 p$
- there are $k+2$ vertices of order $p \geq 2$ and $M(5, k)-3-k$ of order $2 p$
- there are $k$ vertices of order 1 and either $M(5, k)-1-k$ of order 2 or $M(5, k)-1-k$ of order 4
- all vertices are of the same order $p \geq 2$.

Proof. Assume throughout that not all vertices are of the same order. Let $u$ be a vertex of $G$ of the smallest order $p$. Let $N^{+}(u)=\left\{u_{1}, u_{2}, u_{3}, u_{4}, u_{5}\right\}$, then we can split $N^{+}(u)$ into permutation cycles with respect to $r^{p}$ in one of the following ways: $\left(u_{1}\right)\left(u_{2}, u_{3}, u_{4}, u_{5}\right),\left(u_{1}\right)\left(u_{2}\right)\left(u_{3}\right)\left(u_{4}, u_{5}\right)$ or $\left(u_{1}\right)\left(u_{2}, u_{3}\right)\left(u_{4}, u_{5}\right)$ due to Lemma 5 and Theorems 1 and 2.

If the permutation cycles are $\left(u_{1}\right)\left(u_{2}, u_{3}, u_{4}, u_{5}\right)$, then due to Lemma 5 we must have $u$ is a selfrepeat, hence there is $k$ vertices of order 1 and $M(5, k)-$ $k-1$ of order 4 . If instead the permutation cyles are $\left(u_{1}\right)\left(u_{2}, u_{3}\right)\left(u_{4}, u_{5}\right)$, then we could have $k$ vertices of order 1 and $M(5, k)-k-1$ of order 2 or $k+2$ vertices of order $p \geq 2$ and $M(5, k)-k-3$ of order $2 p$.

Finally, if the permutation cycles are $\left(u_{1}\right)\left(u_{2}\right)\left(u_{3}\right)\left(u_{4}, u_{5}\right)$, then if $\varphi=r^{p}$, we would have $H$ to be either a $(3, k,-1)$-digraph or a $(3, k, 1)$-digraph. But $(3, k,-1)$-digraphs do not exist according to Theorem 1 , thus we must have $M(3, k)+1$ vertices of order $p \geq 2$ and $M(5, k)-M(3, k)-2$ of order $2 p$.

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