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UAV-based thermography reveals spatial and temporal variability of evapotranspiration from a tropical rainforest

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Evapotranspiration (ET) from tropical forests plays a significant role in regulating the climate system. Forests are diverse ecosystems, encompass heterogeneous site conditions and experience seasonal fluctuations of rainfall. Our objectives were to quantify ET from a tropical rainforest using high-resolution thermal images and a simple modeling framework. In lowland Sumatra, thermal infrared (TIR) images were taken from an uncrewed aerial vehicle (UAV) of upland and riparian sites during both dry and wet seasons. We predicted ET from land surface temperature data retrieved from the TIR images by applying the DATTUTDUT energy balance model. We further compared the ET estimates to ground-based sap flux measurements for selected trees and assessed the plot-level spatial and temporal variability of ET across sites and seasons. Average ET across sites and seasons was 0.48 mm h^{-1} , which is comparable to ET from a nearby commercial oil palm plantation where this method has been validated against eddy covariance measurements. For given trees, a positive correlation was found between UAV-based ET and tree transpiration derived from ground-based sap flux measurements, thereby corroborating the observed spatial patterns. Evapotranspiration at upland sites was 11% higher than at riparian sites across all seasons. The heterogeneity of ET was lower at upland sites than at riparian sites, and increased from the dry season to the wet season. This seasonally enhanced ET variability can be an effect of local site conditions including partial flooding and diverse responses of tree species to moisture conditions. These results improve our understanding of forest-water interactions in tropical forests and can aid the further development of vegetation-atmosphere models. Further, we found that UAV-based thermography using a simple, energy balance modeling scheme is a promising method for ET assessments of natural (forest) ecosystems, notably in data scarce regions of the world.

KEYWORDS

close-range sensing, DATTUTDUT, heterogeneity, land surface temperature, seasonality, site conditions

1. Introduction

Evapotranspiration (ET) is a major component of the hydrological cycle through which water is returned to the atmosphere by simultaneous transpiration and evaporation from land surfaces. Evapotranspiration (ET) from forests, particularly from tropical forests plays a key role in the climate system because it regulates hydrological fluxes and land surface temperatures (LST) (Groombridge and Jenkins, 2002). Globally, forests have the highest contribution to total terrestrial ET ($\approx 45\%$) (Oki and Kanae, 2006). The magnitude and variability of forest ET varies strongly across space and time and is driven by key climatic variables such as solar radiation, water vapor deficit and precipitation (Shuttleworth, 1988; von Randow et al., 2004). In wet forests, where water-availability is not a limiting factor, water vapor deficit and net radiation are strong seasonal controls of ET (Souza-Filho et al., 2005; Negrón-Juárez et al., 2007; Da Rocha et al., 2009; Costa et al., 2010). Locally, ET is also strongly influenced by site conditions. Studies have shown that tree transpiration across forest types from boreal to tropical stands is influenced by differences in topography and flooding conditions (Kang et al., 2004; Chen et al., 2005; Metzen et al., 2019; Gutierrez Lopez et al., 2021). A previous study in a boreal forest showed considerable spatial variation in ET along a moisture gradient from forested wetland to upland forest (Loranty et al., 2008). In lowland Sumatra, rainforest transpiration rates were higher at upland sites compared to valley sites which were subject to varying flooding conditions (Ahongshangbam et al., 2020). Likewise, topography and flooding also influenced water use of rubber trees and oil palms in the same region, with higher transpiration rates at upland sites than at valley sites (Hardanto et al., 2017).

To capture the complex spatio-temporal variability of ET in ecosystems requires an approach with appropriate resolution in both space and time. Commonly used techniques to quantify tree water use include eddy covariance and sap flux methods which have been useful for studying temporal dynamics; they are however stationary and have limited spatial coverage which leads to large uncertainties when scaling-up these point measurements to larger areas (Baldocchi, 2003; Flo et al., 2019). At larger spatial scales, remotely-sensed satellite and aerial imagery have been widely used to predict ET from different land-cover types using energy-balance models (Allen et al., 2011). However, the image resolution is often too coarse for studying stand and tree level variability in ET (0.3–5 km for satellite; 2–5 m for aerial) and satellite images over the tropics are often obscured by cloud cover (Kustas et al., 2003; Allen et al., 2011; Acharya et al., 2021) while overpass frequency at best allows for a seasonal analysis of ET variability. Uncrewed aerial vehicles (UAVs) as remote sensing platforms equipped with thermal infrared (TIR) sensors offer a flexible option for assessing land surface temperature (LST) at high resolution which can capture details of land covers such as dense vegetation and multi-storied tree canopies. LST is a key variable used for predicting surface energy fluxes and hence ET (Taheri et al., 2022). LST data has been found to be a reliable proxy for estimating surface energy fluxes of vegetation cover including from heterogeneous vegetation such as natural forests (Lapidot et al., 2019). Recording LST in close proximity to the surface using UAVs has several advantages over TIR methods from satellites,

most notably the substantially increased spatial resolution, the high flexibility regarding time of day and the ability to acquire data under cloudy conditions. Additionally, measurement errors from atmospheric scattering and absorption are reduced compared to satellite methods due to the shorter distance the signal travels between sensor and surface (Hill et al., 2020). Taking into account some of the limitations of UAVs with regard to spatial extent (limited by battery capacity), temporal resolution (restricted by logistics) and unfavorable weather conditions such as strong winds or rainfall (Acharya et al., 2021), UAV-based LST assessments seem particularly suited for assessing differences across sites at different temporal scales from (sub)daily to seasonal, including from heterogeneous vegetation cover such as natural forests.

Surface energy balance (SEB) models are used to estimate actual ET as the residual term of the energy balance equation (Allen et al., 2007). A key driving force of available surface energy is LST which along with ancillary information such as wind speed, air temperature, vapor pressure and solar radiation are used to determine ET (Taheri et al., 2022). Surface energy balance models for deriving ET were originally developed for satellite-based data which have a very coarse spatial resolution compared to UAV-recorded data. Therefore, it is necessary to test the effect of pixel resolution on SEB models using high-resolution, UAV-based LST data. The DATTUTDUT model performed well over grassland and vineyard land covers when validated against eddy covariance measurements using high-resolution LST data from UAVs (Xia et al., 2016; Brenner et al., 2018). The DATTUTDUT model utilizes the difference in temperature extremes within a thermal image and is characterized by a low complexity and simple parametrization scheme to simulate surface energy fluxes (Timmermans et al., 2015). In a minimal setup, this model requires only LSTs as input. Using relative LSTs has the advantage of minimizing errors and uncertainties associated with absolute accuracy of LST data, *in situ* climatic inputs and empirical parametrization of aerodynamic terms over other more complex energy balance models (Allen et al., 2007). Further, the model has been tested in a recent field experiment in a mature, tropical oil palm plantation which showed that ET predictions from the DATTUTDUT model were in high agreement with eddy covariance observations when used with additional inputs indicating statistical interchangeability of methods (Ellsäßer et al., 2021). In a tropical oil palm agroforest, UAV-based thermography was also successful in predicting transpiration of trees and palms (Ellsäßer et al., 2020a). Inclusion of *in-situ* measured short-wave radiation (R_s) in the model accounts for cloudy-sky conditions and relative humidity thereby broadening the applicability of the model and improving its prediction accuracy (Ellsäßer et al., 2020b). The model however, does not perform well in dry and low canopy cover conditions limiting its utility (Timmermans et al., 2015). While previous studies have tested this approach over crops and grassland, we wanted to test this simple modeling approach on tropical forests.

Our study was conducted in the Harapan rainforest, which is situated in an undulating, lowland area of Sumatra, Indonesia. The region is characterized by high deforestation rates over the last decades and today is dominated by rubber (*Hevea brasiliensis*) and oil palm (*Elaeis guineensis*) plantations (Clough et al., 2016; Melati, 2017). A study focusing on sap-flux measurements across different land-use types in the region indicated that intensively-managed oil palm plantations can have transpiration

rates exceeding that of rainforests (Röll et al., 2019). Transpiration by non-native, monocultural rubber and oil palm plantations was significantly influenced by site and season (Hardanto et al., 2017). However, such influences have not yet been assessed for ET in the remaining lowland rainforests with high tree species richness and potentially site-adapted species compositions. Therefore, our objectives were (1) to quantify ET of a tropical rainforest via UAV-based thermography and (subsequent) energy balance modeling; (2) to compare UAV-data-based ET estimates to transpiration rates derived from independent ground-based sap flux measurements; and (3) to assess the spatial and temporal variability of ET across sites and seasons.

2. Data and methods

2.1. Study area

The study area is located in the Harapan rainforest (98,455 ha, -2.23333° , 103.31667°) which is a moist evergreen forest in Jambi province, Sumatra (Indonesia) (Laumonier and Seameo-Biotrop, 1997). The climate is tropical humid with relatively constant average daily air temperature (Drescher et al., 2016). The study area has a mean annual temperature of $26.7 \pm 0.4^{\circ}\text{C}$, and a mean annual rainfall of 2075.4 ± 94 mm (data from 2014 to 2019; Darras et al., 2019) and mean air humidity of $88 \pm 2.2\%$ (mean \pm SD; data from 2014 to 2019; unpublished data). Rainfall is seasonal, with a relatively drier period occurring between June and September (average monthly precipitation <120 mm), henceforth referred to as the dry season, and the remaining months referred to as the wet season (Drescher et al., 2016). The terrain is low-lying (30–120 m a.s.l.) and gently undulating (Harrison and Swinfield, 2015). The forest is partly degraded due to a history of selective logging and has been classified as ‘primary degraded forest’ (Margono et al., 2014; Drescher et al., 2016), but since 2008 is a designated protected area called PT. Restorasi Ekosistem Indonesia (PT. REKI). In areas that have remained relatively intact, eight plots of $50\text{ m} \times 50\text{ m}$ size were established, with four plots located at upland sites (Drescher et al., 2016) and four at riparian sites (Hennings et al., 2021; Supplementary Figure 1). The upland and riparian sites have a mean elevation of 61 m and 42 m a.s.l., respectively (Camarretta et al., 2021). The riparian sites have small streams running through or along them and are subject to intermittent flooding during the wet season (Paoletti et al., 2018). A tree inventory of the four upland sites found a total of 380 tree species (data from 2017; tree diameter at breast height ≥ 10 cm) with a tree density of 652 trees ha^{-1} and sum of basal area of $30\text{ m}^2\text{ ha}^{-1}$ (Rembold et al., 2017). At the four riparian sites, a total of 308 species were found (tree diameter at breast height ≥ 10 cm) with 553 trees ha^{-1} and a basal area of $21\text{ m}^2\text{ ha}^{-1}$ (data from 2017; Brambach and Kreft, unpublished data). Further study site and forest inventory information in Supplementary Table 1. The trees at the riparian sites include more trees of the Macaranga genus an indicator of forest disturbance, were of smaller stature and had a lower biomass compared to the upland sites (Ahongshangbam et al., 2020; Kotowska and Waite, unpublished data; Remboldt et al., unpublished data). Soils in the area have been classified as sandy-loam Acrisols and clay-loam

Stagnosols at the upland and riparian sites, respectively (Guillaume et al., 2015; Koks, 2019).

2.2. Data collection

The UAV flights were conducted in three time periods: November 2016 (wet season; $n = 12$; 5 days), July 2017 (early dry season; $n = 11$; 7 days) and September 2017 (late dry season; $n = 21$; 8 days). Upscaling methods using evaporative fraction to convert instantaneous ET to daily ET performed best for forests at solar noon (Jiang et al., 2021). However, constantly varying weather conditions especially during the rainy season imposed a challenge to conduct the flight mission exactly at noon. Therefore, we planned one flight every hour within a time window of 10:00 to 14:00 h for each plot and season. An octocopter UAV (MikroKopter EASY Okto V3, HiSystems GmbH, Germany) was fitted with a radiometric thermal sensor (FLIR Tau 2 640, FLIR Systems, USA) and a ThermalCapture module add-on which enables uniform thermal measurements and increases the accuracy of absolute LST measurements (TeAx Technology, Germany). The sensor measures radiation in the TIR spectral band ($7.5\text{--}13.5\ \mu\text{m}$) with a relative thermal sensitivity of 0.05 K . The thermal camera has an optical resolution of 640×512 pixels and a field of view of $45^{\circ} \times 37^{\circ}$ ($f = 13\text{ mm}$). The camera was mounted on a gimbal to ensure nadir view. An onboard GPS (MKBNSS V3 GPS/GLONASS, HiSystems, Germany) was used for recording location and time. Flight planning was conducted using the MikroKopter-Tool V2.14b software (HiSystems GmbH, 2016). Flight paths were designed as superimposed circular and grid patterns to ensure high overlap (minimum 80%) between images and a flight altitude of 80 m above ground and on average approximately 40 m above the canopy.

2.3. Data processing

For each flight, individual TIR frames were matched with the according geolocation via their time stamps. This resulted in an average of 199, 135, and 396 TIR frames from each flight for the time periods November 2016, July 2017, and September 2017, respectively. The images were then exported to Agisoft Metashape Professional v1.7.2 software (Agisoft LLC, 2021, Russia; RRID:SCR_018119) and blurry images were visually identified and removed before further processing. No processing of temperature data was necessary as the images are radiometric wherein each pixel contains an absolute temperature value. The built-in workflow in Agisoft Metashape Professional v1.7.2 software was used to create LST orthomosaic maps by stitching together the selected individual TIR frames from each flight. The orthomosaics have a resolution of 10 cm px^{-1} . The LST orthomosaics were then exported to QGIS3 and clipped to the exact plot boundaries (QGIS Association, 2021; RRID:SCR_018507). Next, a quality filter was applied to all orthomosaics based on percentage of pixels containing temperature data within the respective ($50 \times 50\text{ m}$) plot boundaries (total pixels = data pixels + no data pixels for a given plot), and those with $\geq 66.6\%$ data coverage were selected for energy balance modeling, yielding a final sample of $n = 44$ flight missions.

2.4. Energy-balance modeling

To estimate ET maps of the rainforest from the LST orthomosaics, we used the DATTUTDUT energy-balance model (Timmermans et al., 2015). The model works by parameterizing each variable in the energy-balance equation in terms of the absolute temperature at each pixel and the temperature extremes where the minimum temperature corresponds to the 0.5% lowest temperature and the maximum temperature to hottest pixel in the image, within the respective plot boundaries. We applied the QGIS3 plug-in QWaterModel v1.4 (Ellsäßer et al., 2020b), which implements the DATTUTDUT model with a graphical user interface. Energy-balance modeling is sensitive to the pixel resolution, so all LST maps were set to the native resolution (10 cm px⁻¹) as recommended in previous studies applying the DATTUTDUT model (Xia et al., 2016; Brenner et al., 2018). Previous studies also reported that the accuracy of ET predictions with the DATTUTDUT model compared to reference eddy covariance measurements increased when measured solar radiation (R_s, W m⁻²) was included, rather than modeling radiation from location and time of day (Brenner et al., 2018; Ellsäßer et al., 2020b). We thus used ground-based R_s measurements from an onsite meteorological station as model input. The R_s data were measured using a global radiation sensor (CMP3 Pyranometer, Kipp & Zonen, Delf, the Netherlands) installed at a height of 3 m above ground (Meijde et al., 2018). The input parameters atmospheric transmissivity, atmospheric emissivity and surface emissivity remained at their default values (Timmermans et al., 2015), and the time period was set to hourly (3600 s). For each LST orthomosaic input, QWaterModel provides a six-band raster containing net radiation (R_n, W m⁻²), sensible heat flux (H, W m⁻²), latent heat flux (LE, W m⁻²), ground heat flux (G, W m⁻²), evaporative fraction (EF) and evapotranspiration (ET, mm h⁻¹). Example ET maps of all eight study plots from the late dry season are provided in Figure 1.

2.5. Comparison of UAV-based ET with sap flux-based transpiration

An independent study estimated transpiration rates for 36 trees in the riparian plots using ground-based sap flux measurements (Ahongshangbam et al., 2020). Sap flux was measured using the thermal dissipation method which estimates the sap flux density (J_s) (Granier, 1985). The measurements were taken between September and November 2016 and overlap with UAV missions of this study from the wet season. A relationship between water conductive area (A_c, cm²) and tree diameter at breast height derived for forest trees in the study region was used to estimate A_c for each tree (Röll et al., 2019). The J_s values were averaged to obtain mean daily J_s [g cm⁻² d⁻¹] and multiplied with the A_c [cm²] of each tree to derive mean daily water use of the whole tree [kg d⁻¹] (henceforth WU). For the comparison of sap flux-derived transpiration to the UAV-derived ET estimates, WU data of three sunny days were averaged for each tree i.e., the values represent the maximum daily tree water use.

For estimating hourly ET [mm h⁻¹] of the 36 sap flux trees, shapefiles delineating the crowns as available from a previous study (Ahongshangbam et al., 2020) were clipped to the DATTUTDUT-derived ET maps, and all pixels within a respective crown were

averaged. To align the ET estimates of tree crowns with the daily time step of the WU data, the evaporative fraction (EF) method was applied (Jackson et al., 1983; Jiang et al., 2021) to obtain daily evapotranspiration, ET₂₄ [kg m⁻² d⁻¹]. This method is applicable for cloud-free days and performs best for forest ecosystems with values obtained close to noon. To account for variability in cloud cover we used measured solar radiation (R_s) data.

$$ET_{24} = \left(\frac{LE}{\lambda}\right) \times \left(\frac{R_{s,24}}{R_{s,h}}\right)$$

where, LE is the latent heat flux [W m⁻²], R_{s,24} is the daily solar radiation [MJ m⁻² d⁻¹], R_{s,h} is the mean hourly solar radiation [W m⁻²] and λ is the latent heat of vaporization [MJ kg⁻¹]. The subscripts *h* and *24* define hourly and 24-h time period, respectively. R_s was averaged over a 24-h time period to calculate the daily average solar radiation [W m⁻²] and converted to MJ m⁻² d⁻¹ using a conversion factor of 0.0864 (Allen et al., 1998). The latent heat of vaporization (λ) is estimated following Timmermans et al. (2015):

$$\lambda = 2.501 - 0.002361 \times (T_{min} - 273.15)$$

T_{min} [K] is the minimum temperature within the image, taken as the 0.5% quantile of the pixel values. To convert the daily ET values per unit ground area [kg m⁻² d⁻¹] to daily ET per tree [kg d⁻¹], they were multiplied by the respective crown projection areas [m²] of these trees as available from a previous study (Ahongshangbam et al., 2020).

2.6. Statistical analyses

Using a Passing-Bablok (PB) regression model, we compared ET predictions from the UAV-based method to independent sap flux measurements as both methods have associated and unknown measurement uncertainties (Passing and Bablok, 1983). The residuals did not follow a normal distribution based on visual inspection of residuals vs. fitted plot (Legendre and Legendre, 2012). However, the PB regression does not require any assumptions about the sample distribution or measurement errors and is not sensitive to outliers (Passing and Bablok, 1983; Bilić-Zulle, 2011). This method fits the intercept and slope of the linear equation. If the confidence intervals of the intercept and slope do not include 1 and 0, it indicates statistically significant bias between the methods (Passing and Bablok, 1983; Legendre and Legendre, 2012). Further, we used a Bland-Altman (BA) plot to visualize differences between the methods (Bland and Altman, 1999). The BA plot is a graphical approach to compare two measurement methods of the same variable by plotting the difference between each paired measurement against its mean (Bland and Altman, 1999). For the BA plot, we used log transformed values of original data as the assumption of normal distribution for the difference of values between the two methods was not met (Shapiro-Wilk: *p* > 0.05) (Bland and Altman, 1999; Giavarina, 2015). Using log-transformed data, we confirmed that the difference values are normally distributed (Shapiro-Wilk: *p* < 0.05) and >95% of data points fall within the ±1.96 SD of mean difference also called as the agreement interval (the range within which most differences between paired measurements will lie) (Bland and Altman, 1999). A linear regression was fitted between the

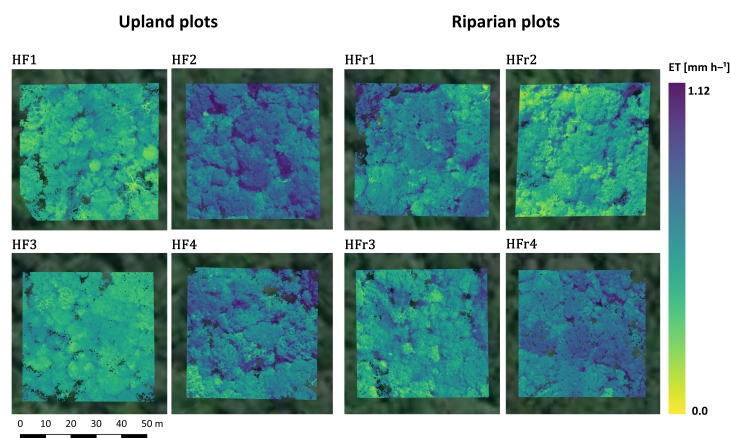


FIGURE 1

Evapotranspiration (ET) maps (resolution: 10 cm px⁻¹) from the Harapan rainforest at four upland (HF) and four riparian (HFr) plots in the late dry season (September, 2017; solar radiation ≥ 700 W m⁻²).

differences between paired values and their means to determine the trend of proportional bias.

We used multiple linear regression analysis to test whether site and season were predictors of plot-level mean ET (ET_{mean} model) and standard deviation of ET (ET_{SD} model). We included solar short-wave radiation (R_s) as a covariate term in the models as we wanted to interpret the predicted ET values at a given R_s , and focused on mean ET at mean R_s . The normality of residuals was confirmed using the Shapiro-Wilk test at a 5% significance level. We used the Breusch-Pagan test to assess homoscedasticity and confirmed the residuals have equal variance at a 5% significance level. An F -test for joint significance was used to test overall effects of predictors of both models at a 5% significance level. Since the models have two categorical predictors, a generalized variance inflation factor (GVIF) was computed to test for collinearity of model predictors where the square of $GVIF[1/(2 \times Df)]$ is considered to be equivalent to variance inflation factor (VIF) values (Fox and Monette, 1992). A GVIF value < 3 indicates low collinearity for regression models and all predictors in both models were below this threshold (Zuur et al., 2010; Harrison et al., 2018; see Supplementary Table 2). Further, a Pearson's chi-square test showed no significant correlation between the two categorical variables season and site ($\chi^2(2, N = 44) = 2.20, p = 0.33$). In order to compare our categorical predictors categorical predictors (site levels: upland, riparian and season levels: early dry, late dry, wet) we performed a contrasts analysis based on the predicted means. We estimated predicted means derived from the models using the *emmeans* R package to account for unbalanced data (not all plots are covered in all seasons) (Lenth, 2022; RRID:SCR_018734; Searle et al., 1980). All statistical analyses and plotting were done using R Statistical software version 4.1.2 (R Core Team, 2021; RRID:SCR_001905).

3. Results

During the UAV flights, solar radiation ranged between 229 and 1095 W m⁻² (mean: 729 ± 214 W m⁻²) representing fully cloud-covered to full-sunlight conditions. Stand-level hourly ET predicted

by the DATTUTDUT model ranged from 0.07 to 0.80 mm h⁻¹ with a mean of 0.48 ± 0.18 mm h⁻¹ for all plots across sites and seasons ($n = 44$). Extrapolated to daily ET the values ranged from 1.62 to 4.42 mm d⁻¹ (mean: 3.26 ± 0.73 mm d⁻¹).

We compared daily ET predictions of 36 individual trees from the UAV-based approach to daily transpiration rates from independent sap flux measurements at the four riparian plots using a PB regression. It showed a high level of agreement between ET and the independent transpiration estimates ($R^2 = 0.67, p < 0.001, n = 36$; Figure 2A). However, the 95% CIs for intercept (-270.7 and -47.1) and slope (9.8 and 19.1) of the PB regression do not include the values 0 and 1, respectively, which indicates that the two methods cannot be used interchangeably. From the BA plot, the mean difference between the two methods was on average 7.03 ± 1.8 kg d⁻¹ (mean \pm SD) after back-transforming the log values (Figure 2B).

Multiple linear regression analyses were performed to test the effects of site and season on plot-level mean ET (ET_{mean} model) and within-plot heterogeneity of ET (ET_{SD} model). The ET_{mean} model was statistically significant ($p < 0.001$; Table 1). Using a F -test for joint significance testing, we found significant effects of site ($p < 0.01$) in this model. Predicted mean ET at the upland sites was significantly higher (11%) than at riparian sites across all seasons ($p = 0.003$) (Figure 3A), while the differences in predicted ET between seasons were not significant (Figure 3B). The ET_{SD} model was also statistically significant ($p < 0.001$; Table 1). The F -test indicated significant effects of season ($p < 0.01$) and site ($p < 0.05$) in this model. The within-plot heterogeneity of ET was significantly lower at upland sites (7%) than at riparian sites ($p = 0.045$) (Figure 3C). Effects of season on variability of ET were found between early dry and rainy seasons ($p = 0.053$) as well as the late dry and rainy seasons ($p = 0.002$; Figure 3D). Therein, ET_{SD} was enhanced by 14% from the late dry season to the wet season.

4. Discussion

The mean daily ET in our rainforest study (3.26 ± 0.73 mm d⁻¹) was similar to values reported from other tropical rainforests

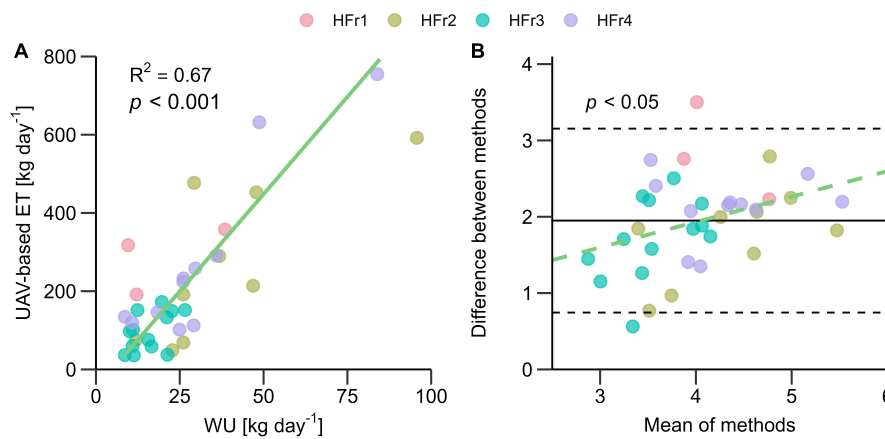


FIGURE 2

(A) Comparison between thermography derived evapotranspiration (ET) and sap flux derived transpiration (WU). Passing-Bablok regression analysis between ET predictions and TR estimates ($n = 36$). Regression line equation: $y = -103.56 + 12.06x$. Here, ET refers to daily water evaporated and transpired per tree and WU to daily water transpired per tree for the same sample of trees. (B) Bland-Altman plot comparing log-transformed values of modeled ET from thermography and tree transpiration from sap flux measurements. The x - and y -axes represent the mean and the difference between values from the two methods for each tree, respectively. The black solid and dashed horizontal lines indicate the mean difference of values between the two methods and the ± 1.96 SD of the difference between values from both methods, respectively. The green dashed line indicates the regression line for the mean and difference of the paired values.

TABLE 1 Linear model summaries with mean evapotranspiration (ET_{mean}) and its standard deviation (ET_{SD}) as response variables and site, season and solar radiation as predictors.

Predictors	ET_{mean}			ET_{SD}		
	Estimates	SE	Conf. Int (95%)	Estimates	SE	Conf. Int (95%)
Intercept	-0.1027**	0.0340	-0.1715 to -0.0340	-0.0142	0.0089	-0.0322 to 0.0038
Upland	0.0503**	0.0159	0.0181 to 0.0825	-0.0086*	0.0042	-0.0171 to -0.0002
Late dry season	-0.0161	0.0190	-0.0545 to 0.0223	-0.0054	0.0050	-0.0155 to 0.0047
Rainy season	-0.0390	0.0216	-0.0827 to 0.0048	0.0137*	0.0057	0.0022 to 0.0251
Solar radiation	0.0008***	0.0000	0.0007 to 0.0009	0.0002***	0.0000	0.0002 to 0.0002
Observations	44			44		
R^2/R^2 adjusted	0.927 / 0.920			0.923 / 0.915		

* $p < 0.05$; ** $p < 0.01$; *** $p < 0.001$.

e.g., in the Amazon ($3.51 \pm 0.75 \text{ mm d}^{-1}$, Da Rocha et al., 2004; 3.58 mm d^{-1} , 3.49 mm d^{-1} , 3.57 mm d^{-1} , 3.11 mm d^{-1} , Costa et al., 2010) and in a lowland dipterocarp forest in Peninsular Malaysia ($3.24 \pm 0.86 \text{ mm d}^{-1}$, Lion et al., 2017). Our hourly ET values for lowland rainforests are comparable to ET rates derived from a nearby commercial, mature oil palm plantation where the DATTUTDUT approach had been validated with eddy covariance measurements (Meijide et al., 2017; Ellsäßer et al., 2021). This finding is in line with previous work reporting that stand-scale (evapo)transpiration of commercial oil palm plantations can match or even surpass rates observed in previously logged lowland rainforests (Röll et al., 2019).

We compared UAV-based ET predictions to transpiration rates estimated from in-situ sap flux measurements for 36 individual trees and found a strong positive correlation between the two methods, thereby corroborating the observed spatial patterns of forest ET. This UAV-based method has been previously tested against eddy covariance measurements in a nearby, mature, oil palm plantation where the results indicated a high congruence of ET estimates between the two methods for variable weather

conditions and times of day (Ellsäßer et al., 2021). However, we also observed statistically significant bias between the methods with substantially lower values derived from sap flux measurements. This may be attributed to several factors. First of all, each method measures a different flux. The UAV-based method captures ET which is the sum of all transpiration and evaporation from the land surface while the sap flux method estimates tree transpiration only. A tropical rainforest also comprises of other plants such as epiphytes and lianas, and in addition, there is the understorey layer both of which can contribute significantly to net ecosystem ET (Jiménez-Rodríguez et al., 2020; Miller et al., 2021). Further, evaporation of rainfall intercepted by vegetation, in particular during the wet season, as well as from creeks running through the riparian sites may have contributed substantially to total ET (Zhong et al., 2022). Additionally, previous studies reported that the thermal dissipation probe method can substantially underestimate sap flux density of forest trees when species-specific calibration is not carried out (Fuchs et al., 2017; Flo et al., 2019). In addition to the measurement uncertainties associated with both methods, these factors may contribute to the high observed

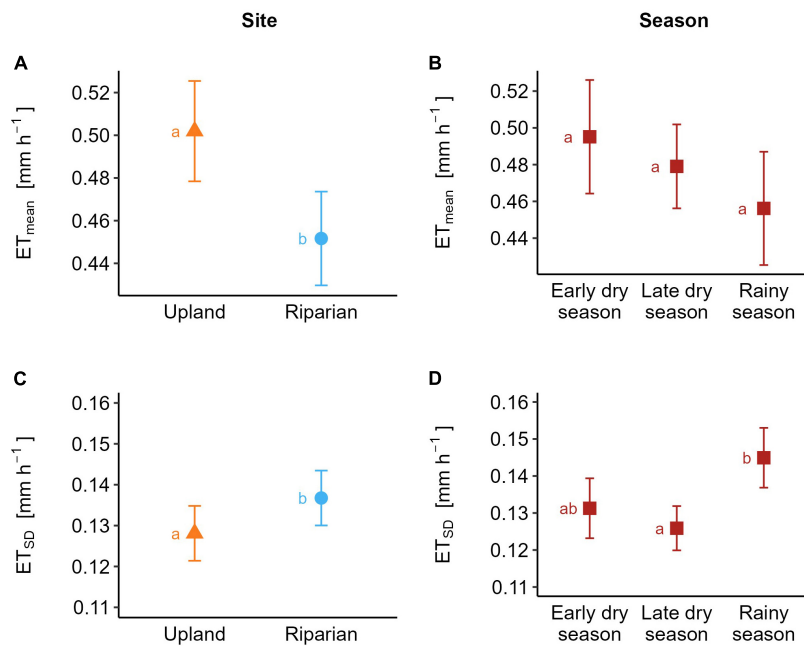


FIGURE 3 Evapotranspiration (ET) from the Harapan rainforest across sites and season. Predicted means of site and season from the ET_{mean} model (A,B) and ET_{SD} model (C,D) are shown. The predicted means were adjusted for mean covariate values (solar radiation of 730 W m⁻²). Means not sharing any letter are significantly different by the Tukey-test at the 5% level of significance.

differences between UAV-derived ET and ground measurement-based transpiration.

In our study, ET from upland sites was 11% higher than from riparian sites. Similar findings i.e., higher transpiration at upland than at riparian rainforest sites, were reported in a previous study encompassing the same study sites, with an approach of upscaling sap flux measurements to stand transpiration via UAV-derived photogrammetry (Ahongshangbam et al., 2020). Likewise, a sap flux study in the same region reported higher transpiration of oil palm and rubber plantations at upland sites compared to adjacent valley sites (Hardanto et al., 2017). Further, our results are in line with previous studies reporting that ET rates from forests are influenced landscape position (Mackay et al., 2010; Burenina et al., 2022). Other factor such as species composition, stand structure and topographic characteristics (e.g., slope, orientation, drainage position) were linked to transpiration patterns in forests in previous studies (Daws et al., 2002; Mitchell et al., 2012; Metzen et al., 2019). Indeed, forest structure varied notably between our upland and riparian study sites. The trees at the riparian sites were of smaller stature, had on average 43% lower biomass compared to the upland sites and showed signs of forest disturbance (Ahongshangbam et al., 2020; Kotowska and Waite, unpublished results; Rembold et al., unpublished results). There is evidence from studies correlating ET rate to forest structure variables such as leaf area index (LAI), sapwood area, height and tree biomass with ET in general increasing with these variables (Álvarez-Dávila et al., 2017; Jaramillo et al., 2018; Metzen et al., 2019; Valdés-Uribe et al., 2023). The history of disturbance and the generally smaller trees at the riparian sites suggests regenerating forest stands, which may also influence transpiration rates (Ghimire et al., 2022). We also found that within-plot heterogeneity of ET was significantly higher at

riparian sites compared to upland sites across seasons (7%), which may stem from more heterogeneous vegetation cover at riparian sites. Overall, our findings highlight the importance of topographic position and local site conditions in explaining spatial variability of ET in a tropical rainforest.

We found no significant differences in mean ET across seasons at a given level of radiation. The sustained ET rates during the dry season may indicate that our study sites in the Harapan rainforest are not strongly water-limited. Our findings are in line with studies reporting that ET rates from tropical forests were similar or even higher during the dry season as compared to the wet season (Da Rocha et al., 2004; Hutryra et al., 2007; Negrón-Juárez et al., 2007; Costa et al., 2010). We also observed a tendency toward reduced ET in the wet season, which may be due to atmospheric characteristics such as lower vapor pressure deficit but may also be influenced by soil moisture and partial flooding conditions. In our study, season was a significant factor in explaining the within-plot heterogeneity of ET. During the wet season an increased heterogeneity of ET was observed which was significantly higher than in the late dry season (14%). This seasonally enhanced variability can be an effect of local site conditions including partial flooding and diverse responses of different tree species to moisture conditions.

In a broader context, UAV-based assessments of ET based on a simple approach requiring minimal data can be useful in several contexts. In numerous tropical regions, forest conversion to agriculture and forest degradation continues at high rates with severe impacts on local to global climate systems (Bala et al., 2007; Malhi et al., 2014; Sabajo et al., 2017). Thereby, development of near real-time ET assessment methods can be valuable to better understand consequences of rainforest conversion on ET, especially in regions with limited availability of meteorological variables

required by more complex energy-balance ET models. Further, by expanding the applicability of the DATTUTDUT model to a more complex ecosystem, it may facilitate landscape-scale assessments of ET including various land covers. Given the large potential and need for ecosystem restoration in rainforest regions, our study can provide reference information from near-natural vegetation regarding ET from the Harapan forest which is a large-scale ($\approx 100,000$ ha) ecosystem restoration area (Harrison and Swinfield, 2015; Brancalion et al., 2019; van Noordwijk et al., 2022). In this context, assessments of ET and its variability in tropical rainforests are of particular importance to narrow persisting observation and knowledge gaps in thus far underrepresented ecosystems. UAV-based ET assessments provide high-resolution reference data that are rapidly available and less cumbersome than manual ground truthing methods and might therefore be a valuable asset to reference large scale satellite data-based studies (Suir et al., 2021) useful for large-scale monitoring of ET. Lastly, tropical forests exert a net biophysical cooling effect at the global level which is important for climate change mitigation; concurrently changes to forest cover, structure, composition affect water and energy balances (Lawrence et al., 2022). The presented methodology is able to capture the complex spatio-temporal variability of ET and highlights the importance of including local conditions in order to reduce uncertainties arising from the simplification of hydrological processes. This can improve our understanding of the response of tropical forests to climate change with respect to the hydrological cycle and can inform local adaptation strategies.

5. Conclusion

In a lowland tropical rainforest, with undulating terrain and seasonal fluctuations of rainfall, we estimated ET using UAV-acquired TIR imaging and a simple modeling scheme using the DATTUTDUT model and assessed its variability across sites and seasons. While previous studies have tested this approach over crops and grassland, we applied this method to tropical forests. Testing this method against independent sap flux measurements corroborated the observed spatial patterns of ET. Applying the UAV-based method, we found that site and season significantly contributed to the variability of ET. While mean ET was higher at upland sites, riparian sites exhibited a higher spatial variability of ET. Our results expand the applicability of the fast-developing UAV-based methods in ecohydrological research to tropical forests. Our approach using a simple-to-use and implement modeling scheme might be useful for ET assessments in data-scarce regions. Further, the results can support the development of vegetation-atmosphere models by including site-specific and seasonal differences of ET in their calibration. Forest ecosystems significantly influence the hydrological balance within a watershed and these results can improve our understanding of the response of forests to a warming climate with regard to water use and inform local adaptation efforts to climate change.

Data availability statement

The raw data supporting the conclusions of this article will be made available by the authors, without undue reservation.

Author contributions

DH conceptualized the study in cooperation with HH. MB led the writing of the manuscript. DH supervised the work. FE designed the field campaign, collected the UAV data, and supported the processing of the data. JA provided the sap flux data. CS provided the meteorological data. MB conducted the data processing, model application, statistical analysis, and production of plots in cooperation mainly with DH. IM provided statistical advice. AR supported the data processing, analysis, and supervision. MB and DH created a first version of the manuscript, which was further improved in cooperation with all co-authors. All authors contributed to the article and approved the submitted version.

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Conflict of interest

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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Supplementary material

The Supplementary Material for this article can be found online at: <https://www.frontiersin.org/articles/10.3389/ffgc.2023.1232410/full#supplementary-material>

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