



# Uniformizing (proximal) $\Delta$ -topologies

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## Abstract

Beer and Tamaki investigated necessary and sufficient conditions for the uniformizability of (proximal)  $\Delta$ -topologies.

Their proofs involved construction of special Urysohn functions. In this paper we attack the same problem using as a useful tool a uniform topology with reference to a Hausdorff uniformity patterned after the one related to the Attouch–Wets topology. We also study  $\Delta U$ -topologies, proximal  $\Delta U$ -topologies which are natural generalizations of the  $U$ -topology discovered by Costantini and Vitolo. © 2003 Elsevier B.V. All rights reserved.

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## 1. Introduction

Poppe [19,20] initiated the study of abstract Vietoris-type hyperspace topologies on  $CL(X)$ , the family of all nonempty closed subsets of a topological space  $(X, \tau)$ , corresponding to a family  $\Delta \subseteq CL(X)$ . He was motivated by an attempt to generalize the Fell topology, in which case  $\Delta$  equals the family of all nonempty compact subsets (see [1] for a comprehensive account where further references will be found). Di Concilio,

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Naimpally and Sharma [10] introduced proximal hypertopologies on  $CL(X)$ . Then Beer and Tamaki [5,6] investigated the uniformizability of (proximal)  $\Delta$ -topologies. In this paper, we study the same problem using as a useful tool the Attouch–Wets or AW uniformities [1,3].

In [7] Costantini and Vitolo introduced a new hypertopology which they called the  $U$ -topology which is useful in the study of the infimum of the Hausdorff metric topologies on  $CL(X)$  associated with a metrizable space  $X$ . This topology is finer than the Fell topology and for the upper part uses the subbase  $\{U^+ : U \in \tau\}$  where  $U^c$  or  $\text{cl}U$  is compact (see below for precise definitions). We also study  $\Delta U$ -topologies, proximal  $\Delta U$ -topologies which are natural and interesting generalizations.

Let  $(X, \tau)$  be a  $T_1$  space,  $\delta$  a compatible LO-proximity on  $X$  and  $\delta_0$  the finest compatible LO-proximity on  $X$  defined by  $A\delta_0 B$  iff  $\text{cl}A \cap \text{cl}B \neq \emptyset$ .

Note that  $\delta_0$  is not necessarily EF and it is so if and only if  $(X, \tau)$  is normal (Urysohn's theorem).

For each  $U \in \tau$ , we use the following notation:

$$U^+ = \{E \in CL(X) : E \subset U\},$$

$$U_\delta^{++} = \{E \in CL(X) : E \ll_\delta U\},$$

where  $E \ll_\delta U$  means  $E\delta U^c$  (we will omit reference to  $\delta$  if this is clear from the context),

$$U^- = \{E \in CL(X) : E \cap U \neq \emptyset\}.$$

We refer to [1,13,18] for all undefined terms.

We assume that  $\Delta$  is a subfamily of  $CL(X)$  which is a *cover* of  $X$  (i.e.,  $\Delta$  is *closed under finite unions, closed hereditary and contains the singletons*), unless otherwise explicitly stated.

We will do this to display transparent statements and make theory much simpler, and also because the most important subfamilies  $\Delta$  satisfy the above conditions as we see from the examples below:

- (i) the family  $K(X)$  of all nonempty compact subsets of  $X$ ;
- (ii) the family of all totally bounded subsets of  $X$  (when  $\tau$  is uniformizable);
- (iii) the family of all  $d$ -bounded subsets of a metric space  $(X, d)$ ;
- (iv) the family of all finite subsets of  $X$ ;
- (v) the family of all pseudocompact subsets of  $X$ ;
- (vi) the family of all  $\Gamma$ -bounded subsets of  $X$ , where  $\Gamma \subset C(X)$ , i.e.,  $\{A \in CL(X) : \text{for every } f \in \Gamma, f(A) \text{ is a bounded subset of } \mathbb{R}\}$ ;
- (vii) the family of all countably compact subsets of  $X$ ;
- (viii) the family of all Lindelöf subsets of  $X$ ;
- (ix) the family of all topologically bounded subsets of  $X$ , i.e.,  $\{A \in CL(X) : \text{every open cover of } X \text{ has a finite subfamily covering } A\}$  [15];
- (x) the family of all subsets of  $X$  of measure zero (if  $X$  has a measure);
- (xi) the family of all subsets of  $X$  of finite measure (if  $X$  has a measure);
- (xii) the family of all subsets of  $X$  of first category;
- (xiii) the family of all nowhere dense subsets of  $X$ .

**Definition 1.1.** We recall and define various topologies on  $CL(X)$ :

- (a) The *proximal  $\Delta$ -topology*  $\sigma(\Delta, \delta)$  has a subbase consisting of the *upper part*  $\{U_\delta^{++}: U^c \in \Delta\}$  and the *lower part*  $\{U^-: U \in \tau\}$ . In particular we have:  
 the *proximal topology*  $\sigma(\delta) = \sigma(\Delta, \delta)$  (see [4] or [10]) when  $\Delta = CL(X)$ ;  
 the *proximal Fell topology*  $\sigma(F, \delta) = \sigma(\Delta, \delta)$  when  $\Delta = K(X)$ .
- (b) The  *$\Delta$ -topology*  $\tau(\Delta)$  has a subbase consisting of the *upper part*  $\{U^+: U^c \in \Delta\}$  and the *lower part*  $\{U^-: U \in \tau\}$ . (We note that this too can be considered as a proximal  $\Delta$ -topology. In fact  $\tau(\Delta) = \sigma(\Delta, \delta_0)$ .)  
 In particular we obtain:  
 the *Vietoris topology*  $\tau(V) = \tau(\Delta)$  (see [16]) when  $\Delta = CL(X)$ ;  
 the *Fell topology*  $\tau(F) = \tau(\Delta)$  (see [14]) when  $\Delta = K(X)$  (note that  $\tau(F) = \sigma(F, \delta)$  if either  $\delta = \delta_0$  or  $\delta$  is EF (cf. [8])).
- (c) The *proximal  $\Delta U$ -topology*  $\sigma(\Delta U, \delta)$  has a subbase consisting  $\{U_\delta^{++}: U^c \in \Delta$  or  $\text{cl}U \in \Delta\}$  and  $\{U^-: U \in \tau\}$ .  
 If  $\Delta = K(X)$ , then  $\sigma(\Delta U, \delta)$  is the *proximal  $U$ -topology*  $\sigma(U, \delta)$ .
- (d) The  *$\Delta U$ -topology*  $\tau(\Delta U)$  has a subbase consisting  $\{U^+: U^c \in \Delta$  or  $\text{cl}U \in \Delta\}$  and  $\{U^-: U \in \tau\}$ .  
 If  $\Delta = K(X)$ , then  $\tau(\Delta U)$  is the  *$U$ -topology*  $\tau(U)$  (see [7]); furthermore  $\tau(U) = \sigma(U, \delta)$  if either  $\delta = \delta_0$  or  $\delta$  is EF (cf. [8]).  
 Moreover, if  $X$  is a uniformizable space, we have:
- (e) The *Hausdorff uniformity*  $\mathcal{U}_H$  on  $CL(X)$  corresponding to a uniformity  $\mathcal{U}$  on  $X$  has a base  $\{W_H: W \in \mathcal{U}\}$  where  $W_H = \{(A_1, A_2) \in CL(X) \times CL(X): A_1 \subset W(A_2) \text{ and } A_2 \subset W(A_1)\}$ . (Some authors call this the Bourbaki uniformity.)
- (f) The  *$\Delta$ -Attouch–Wets topology*  $\tau(\Delta AW)$ . For each  $D \in \Delta$  and  $W \in \mathcal{U}$  set  $[D, W] = \{(A_1, A_2) \in CL(X) \times CL(X): A_1 \cap D \subset W(A_2) \text{ and } A_2 \cap D \subset W(A_1)\}$ .  
 The family  $\{[D, W]: D \in \Delta \text{ and } W \in \mathcal{U}\}$  is a base for a filter  $\mathcal{U}_\Delta$  on  $CL(X)$  called the  *$\Delta$ -Attouch–Wets filter*.  $\mathcal{U}_\Delta$  induces the topology  $\tau(\mathcal{U}_\Delta)$  (cf. [2,3]).

The following result is well known [10]:

**Theorem 1.2.** *If  $\delta$  is a compatible EF-proximity on a Tychonoff space  $(X, \tau)$ , then the corresponding proximal topology  $\sigma(\delta)$  on  $CL(X)$  is always Tychonoff. In fact, it is the topology induced on  $CL(X)$  by the Hausdorff uniformity  $\mathcal{U}_{wH}$  which is derived from the unique totally bounded uniformity  $\mathcal{U}_w$  on  $X$  compatible with  $\delta$ .*

**Definition 1.3.** Let  $(X, \tau)$  be a  $T_1$  space with a compatible LO-proximity  $\delta$  and  $\Delta \subseteq CL(X)$ .

- (a)  $\Delta$  is  *$\delta$ -Urysohn* iff for each  $D \in \Delta$  and  $A \in CL(X)$  with  $D \not\subseteq A$ , there is an  $S \in \Delta$  such that  $D \ll_\delta S \ll_\delta A^c$  (see also [9]).
- (b)  $\Delta$  is *Urysohn* iff for each  $D \in \Delta$  and  $A \in CL(X)$  with  $D \cap A = \emptyset$ , there is an  $S \in \Delta$  such that  $D \subset \text{int} S \subset S \subset A^c$  (or equivalently  $\Delta$  is  $\delta_0$ -Urysohn).
- (c)  $\Delta$  is *local* iff for each  $x \in X$  and  $V \in \tau$  with  $x \in V$  there is a  $D \in \Delta$  such that  $x \in \text{int} D \subset D \subset V$ .

**Remark 1.4.** Note that if  $\Delta$  is  $(\delta)$ -Urysohn, then it is also local since  $\Delta$  contains the singletons.

By imitating the construction of the coarsest EF-proximity  $\delta_1$  in a locally compact space (where  $A \delta_1 B$  iff  $A \delta_0 B$  and either  $\text{cl } A$  or  $\text{cl } B \in K(X)$ ) we give the following definition:

**Definition 1.5.** Let  $(X, \tau)$  be a  $T_1$  space with a compatible LO-proximity  $\delta$  and  $\Delta \subseteq CL(X)$  be  $\delta$ -Urysohn. The relation  $\delta'$  on the power set of  $X$  defined by

$$A \delta' B \quad \text{iff} \quad \text{either } \text{cl } A \in \Delta \text{ or } \text{cl } B \in \Delta \text{ and } A \delta B \quad (\star)$$

is called the  $\Delta$ -Wallman proximity associated to  $\delta$ .

**Theorem 1.6.** Let  $(X, \tau)$  be a  $T_1$  space with a compatible LO-proximity  $\delta$ . Let  $\Delta \subseteq CL(X)$  be  $\delta$ -Urysohn and  $\delta'$  the  $\Delta$ -Wallman proximity associated to  $\delta$ . Then

- (a)  $\delta'$  is a compatible EF-proximity on  $X$  coarser than  $\delta$ ;
- (b)  $\Delta$  is  $\delta$ -Urysohn iff it is  $\delta'$ -Urysohn.

**Proof.** We prove (a). To show  $\delta'$  is an EF-proximity only two axioms need verification viz:

- (i)  $A \delta' B$  and  $A \delta' C$  implies  $A \delta'(B \cup C)$  (union axiom) and
- (ii) whenever  $A \delta' B$ , there exists an  $E \subset X$  such that  $A \delta' E$  and  $E^c \delta' B$  (EF axiom).

To verify (i) suppose  $A \delta' B$  and  $A \delta' C$ .

(i<sub>1</sub>) If  $\text{cl } A \in \Delta$ , then  $A \delta B$  and  $A \delta C$  and so  $A \delta(B \cup C)$ . By  $(\star)$   $A \delta'(B \cup C)$ .

(i<sub>2</sub>) If  $\text{cl } A \notin \Delta$ , then  $\text{cl } B \in \Delta$ ,  $\text{cl } C \in \Delta$  and  $A \delta B$  and  $A \delta C$ . Then  $\text{cl}(B \cup C) \in \Delta$  and  $A \delta(B \cup C)$  and hence from  $(\star)$   $A \delta'(B \cup C)$ .

To verify (ii) suppose  $A \delta' B$ . We may assume  $\text{cl } A \in \Delta$  and  $A \delta B$ , i.e.,  $A \ll_{\delta} B^c$ . Since  $\Delta$  is  $\delta$ -Urysohn, then there is an  $E \in \Delta$  with  $A \ll_{\delta} E \ll_{\delta} B^c$ . By  $(\star)$   $A \delta' E^c$  and  $E \delta' B$ .

Observe that  $\delta'$  is a compatible proximity since  $\Delta$  contains the singletons and it is clearly coarser than  $\delta$ .

To show (b) note that from  $(\star)$ , whenever  $D \in \Delta$  and  $A \in CL(X)$ ,  $D \delta A$  if and only if  $D \delta' A$ . Hence  $S \in \Delta$  with  $D \ll_{\delta} S \ll_{\delta} A^c$  is equivalent to  $S \in \Delta$  with  $D \ll_{\delta'} S \ll_{\delta'} A^c$ .  $\square$

**Remarks 1.7.** (a) In the case  $\delta = \delta_0$ , the local compactness of the space  $X$  (which guarantees that  $\delta_1$  is EF) is equivalent to  $\Delta = K(X)$  be local. So, in the construction of  $\delta'$  we have replaced  $K(X)$  by  $\Delta$  and local compactness by assuming  $\Delta$  to be  $(\delta)$ -Urysohn and so local by Remark 1.4.

(b) Note that even if the starting proximity  $\delta$  is just LO, the new proximity  $\delta'$  is compatible and it is always EF as above theorem shows. As a byproduct of this result, we have that if the base space  $X$  admits a proximity  $\delta$  and a family  $\Delta$  which is a cover of  $X$  and  $\delta$ -Urysohn, then it is automatically completely regular. Thus, in this case we restrict our attention to Tychonoff spaces. We point out that Tychonoff spaces admit compatible LO-proximities which are not EF: a prototype is the proximity  $\delta_0$  which is EF if and only

if  $X$  is normal. So, we have a procedure that allow us to construct an EF-proximity on a Tychonoff space  $X$  by using as a seed a given LO-proximity.

Now we return to the hypertopologies  $\sigma(\Delta, \delta)$ ,  $\sigma(\Delta U, \delta)$ ,  $\tau(\Delta)$  and  $\tau(\Delta U)$ . From Definition 1.1 it follows that  $\sigma(\Delta, \delta) \leq \sigma(\Delta U, \delta)$  as well as  $\tau(\Delta) \leq \tau(\Delta U)$ .

We characterize coincidence when  $\Delta$  is assumed just closed under finite unions.

**Theorem 1.8.** *Let  $(X, \tau)$  be a Tychonoff space with a compatible LO-proximity  $\delta$  and  $\Delta \subseteq CL(X)$  closed under finite unions. Then the following are equivalent:*

- (a) *either  $X$  has no open set  $V$  with  $\text{cl } V \in \Delta$  or for each open set  $V$  with  $\text{cl } V \in \Delta$  and each  $A \in CL(X)$  with  $A \ll_\delta V$  there exists an  $S \in \Delta$  with  $A \ll_\delta S^c \subset V$  and hence  $X \in \Delta$ ;*
- (b)  $\sigma^+(\Delta U, \delta) \leq \sigma^+(\Delta, \delta)$  on  $CL(X)$ ;
- (c)  $\sigma^+(\Delta U, \delta) = \sigma^+(\Delta, \delta)$  on  $CL(X)$ .

**Proof.** Only (a)  $\Rightarrow$  (b) and (b)  $\Rightarrow$  (a) need some comments, since (b)  $\Leftrightarrow$  (c) it is obvious.

We start with (a)  $\Rightarrow$  (b). Let  $A \in CL(X)$  and  $V_\delta^{++}$  a  $\sigma^+(\Delta U, \delta)$ -neighbourhood at  $A$ . Then either  $V^c \in \Delta$  or  $\text{cl } V \in \Delta$  and  $A \not\ll V^c$ .

If  $V^c \in \Delta$ , then we are done (since  $V_\delta^{++}$  it is also a  $\sigma^+(\Delta, \delta)$ -neighbourhood at  $A$ ).

If  $\text{cl } V \in \Delta$  and  $A \not\ll V^c$ , then  $A \ll_\delta V$  and by assumption there is an  $S \in \Delta$  with  $A \ll_\delta S^c \subset V$ . Hence  $(S^c)_\delta^{++}$  is a  $\sigma^+(\Delta, \delta)$ -neighbourhood at  $A$  with  $A \in (S^c)_\delta^{++} \subset V_\delta^{++}$ .

(b)  $\Rightarrow$  (a). Let  $V$  be an open set with  $\text{cl } V \in \Delta$  and let  $A \in CL(X)$  with  $A \ll_\delta V$ . Then  $V_\delta^{++}$  is a  $\sigma^+(\Delta U, \delta)$ -neighbourhood at  $A$ . By assumption there exists a  $\sigma^+(\Delta, \delta)$  neighbourhood  $\mathcal{A} = (S^c)_\delta^{++}$  (for some  $S \in \Delta$ ) at  $A$  with  $A \in (S^c)_\delta^{++} \subset V_\delta^{++}$ . Clearly  $D \not\ll S$  and it is easy to check that  $V^c \subset S$ .

Hence  $X = \text{cl } V \cup S \in \Delta$ , since  $\Delta$  is closed under finite unions.  $\square$

**Corollary 1.9.** *Let  $(X, \tau)$  be a Tychonoff space and  $\Delta \subseteq CL(X)$  closed under finite unions. Then the following are equivalent:*

- (a) *either  $X$  has no open set  $V$  with  $\text{cl } V \in \Delta$  or for each open set  $V$  with  $\text{cl } V \in \Delta$  and each  $A \in CL(X)$  with  $A \subset V$  there exists an  $S \in \Delta$  with  $A \subset S^c \subset V$  and hence  $X \in \Delta$ ;*
- (b)  $\tau^+(\Delta U, \delta) \leq \tau^+(\Delta, \delta)$  on  $CL(X)$ ;
- (c)  $\tau^+(\Delta U, \delta) = \tau^+(\Delta, \delta)$  on  $CL(X)$ .

**Proof.** Use above theorem with  $\delta = \delta_0$ .  $\square$

**Remark 1.10.** Note that if in the above theorem or corollary  $\Delta$  is also local, then  $\sigma(\Delta U, \delta) = \sigma(\Delta, \delta)$  (respectively,  $\tau(\Delta U) = \tau(\Delta)$ ) if and only if  $X \in \Delta$  and for each  $V \in \tau$  with  $\text{cl } V \in \Delta$  and each  $A \in CL(X)$  with  $A \ll_\delta V$  there exists an  $S \in \Delta$  with  $A \ll_\delta S^c \subset V$  (respectively,  $X \in \Delta$  and for each  $V \in \tau$  with  $\text{cl } V \in \Delta$  and each  $A \in CL(X)$  with  $A \subset V$  there exists an  $S \in \Delta$  with  $A \subset S^c \subset V$ ).

A prototype of corollaries that we can deduce from Theorem 1.8, Corollary 1.9 and Remark 1.10 is the following.

**Corollary 1.11.** *Let  $(X, \tau)$  be a locally compact Hausdorff space (and  $\delta$  a compatible LO-proximity on  $X$ ), then the  $U$ -topology  $\tau(U)$  (the proximal  $U$ -topology  $\sigma(U, \delta)$ ) on  $CL(X)$  equals the Fell topology  $\tau(F)$  (the proximal Fell topology  $\sigma(F, \delta)$ ) iff  $X$  is compact.*

The interested reader can easily deduce corollaries corresponding to each example (i)–(xii) listed previously.

We point out that when  $\Delta$  is local and a cover of  $X$ , then  $\tau(\Delta U) = \tau(\Delta)$  (resp.  $\sigma(\Delta U, \delta) = \sigma(\Delta, \delta)$ ) if and only if  $\Delta = CL(X)$ , i.e., coincidence occurs when the  $\Delta U$ -topology  $\tau(\Delta U)$  (resp. the proximal  $\Delta U$ -topology  $\sigma(\Delta U, \delta)$ ) is the Vietoris topology  $\tau(V)$  (resp. the proximal topology  $\sigma(\delta)$ ) on  $CL(X)$ .

## 2. Uniformizing (proximal) $\Delta$ -topologies and (proximal) $\Delta U$ -topologies

We recall that if  $(X, \tau)$  is a Tychonoff space with a compatible EF-proximity  $\delta$ , then a uniformity  $\mathcal{U}$  on  $X$  is called *compatible w.r.t.  $\delta$*  iff the proximity relation  $\delta(\mathcal{U})$  defined by  $A\delta(\mathcal{U})B$  iff  $A \cap U[B] \neq \emptyset$  for each  $U \in \mathcal{U}$  equals  $\delta$  (see [18]).  $\delta$  admits a unique compatible totally bounded uniformity  $\mathcal{U}_w(\delta)$  [18] and we will omit reference to  $\delta$  if this is clear from the context.

**Theorem 2.1.** *Let  $(X, \tau)$  be a Tychonoff space with a compatible EF-proximity  $\delta$ ,  $\mathcal{U}_w$  the unique totally bounded uniformity which induces  $\delta$  and  $\Delta \subseteq CL(X)$  a cover of  $X$ . Then the following are equivalent:*

- (a)  $\Delta$  is  $\delta$ -Urysohn;
- (b) (1) the  $\Delta$ -Attouch–Wets filter  $\mathcal{U}_{w\Delta}$  (cf. (f) in Definition 1.1) is a Hausdorff uniformity;
- (2) the proximal  $\Delta$ -topology  $\sigma(\Delta, \delta)$  equals  $\tau(\mathcal{U}_{w\Delta})$ .

**Proof.** (a)  $\Rightarrow$  (b) We start showing (1). It suffices to show that the subbase filter  $\Psi = \{[D, U]: D \in \Delta \text{ and } U \in \mathcal{U}\}$  of  $\mathcal{U}_{w\Delta}$ , where  $[D, U] = \{(A_1, A_2) \in CL(X) \times CL(X): A_1 \cap D \subset U(A_2) \text{ and } A_2 \cap D \subset U(A_1)\}$ , is a subbase for a Hausdorff uniformity on  $CL(X)$ .

Without loss of generality we may assume that all entourages  $U \in \mathcal{U}_w$  are open and symmetric.

We claim that whenever  $[D, U] \in \Psi$ , there is some  $[S, V] \in \Psi$  such that  $[S, V] \circ [S, V] \subset [D, U]$ .

So, let  $[D, U] \in \Psi$ . Then  $D \in \Delta$  and  $U \in \mathcal{U}_w$ . Without loss of generality, we may assume that  $U(D) \neq X$ . Set  $A = [U(D)]^c$ . Then  $A \not\delta D$ . By assumption there is an  $S \in \Delta$  such that  $D \ll_{\delta} S \subset A^c$ . Let  $V \in \mathcal{U}_w$  be such that  $V \circ V \subset U$  and  $V(D) \subset S$ . Clearly,  $[S, V] \in \Psi$ . We claim that  $[S, V] \circ [S, V] \subset [D, U]$ . So, let  $(E_1, E_2)$  and  $(E_2, E_3) \in [S, V]$ . We have to consider two cases:

- (i) both  $E_1 \cap D = \emptyset$  and  $E_3 \cap D = \emptyset$ ;
- (ii) either  $E_1 \cap D \neq \emptyset$  or  $E_3 \cap D \neq \emptyset$ .

If (i) occurs, then clearly  $(E_1, E_3) \in [D, U]$ . So, suppose (ii) occurs and let  $x \in E_1 \cap D$ . Since  $V(D) \subset S$  and  $E_1 \cap S \subset V(E_2)$  there exists a  $y \in E_2$  such that  $y \in E_2 \cap S$  and  $y \in V(x)$ . Again, since  $V(D) \subset S$  and  $E_2 \cap S \subset V(E_3)$  there exist a  $z \in E_3$  such that  $z \in V(y)$ . But  $V \circ V \subset U$  and so  $x \in U(E_3)$ . Thus,  $E_1 \cap D \subset U(E_3)$ . Similarly, we have  $E_3 \cap D \subset U(E_1)$ . So,  $\mathcal{U}_{w\Delta}$  is a uniformity.

Then, let  $A_1, A_2 \in CL(X)$  with  $A_1 \neq A_2$  and without loss of generality assume  $a_1 \in A_1 \setminus A_2$ . Let  $U \in \mathcal{U}_w$  with  $a_1 \notin U(A_2)$ . By assumption  $a_1 \in \Delta$ . Clearly,  $[a_1, U] \in \Psi$  and  $(A_1, A_2) \notin [a_1, U]$  and so  $\mathcal{U}_{w\Delta}$  is Hausdorff, too.

Now, we prove (2). So, let  $A_\lambda$  be a net converging to  $A$  w.r.t. the topology  $\tau(\mathcal{U}_{w\Delta})$ .

(i) If  $A \in V^-$ , where  $V \in \tau$ , then there exist  $a \in A \cap V$  and a  $W \in \mathcal{U}_w$  such that  $W(a) \subset V$ . Since  $A \in \{[a], W\}(A) \subset V^-$ ,  $A_\lambda \in \{[a], W\}(A) \subset V^-$ , eventually.

(ii) If  $A \in (D^c)_\delta^{++}$ , where  $D \in \Delta$ , then  $D \ll_\delta A^c$  and hence there is an  $S \in \Delta$  such that  $D \ll_\delta S \ll_\delta A^c$ . Hence there is a  $W \in \mathcal{U}_w$  such that  $W(A) \cap S = \emptyset$ . Eventually  $A_\lambda \in [S, W](A)$ , i.e.,  $A_\lambda \in (D^c)_\delta^{++}$ . Thus  $\sigma(\Delta, \delta) \leq \tau(\mathcal{U}_{w\Delta})$ .

On the other hand, let  $A_\lambda$  be a net converging to  $A$  w.r.t. the topology  $\sigma(\Delta, \delta)$ ,  $D \in \Delta$  and  $W \in \mathcal{U}_w$ . Let  $V \in \mathcal{U}_w$  such that  $V^2 \subset W$ . We have to consider two cases:

- (i)  $A \in (D^c)_\delta^{++}$ . Then eventually  $A_\lambda \in (D^c)_\delta^{++}$  and obviously,  $\emptyset = A_\lambda \cap D \subset W(A)$  and  $\emptyset = A \cap D \subset W(A_\lambda)$ .
- (ii)  $A \notin (D^c)_\delta^{++}$ . Then  $V(A) \cap D \neq \emptyset$ .

Since  $V$  is totally bounded, there are  $x_j \in A$ ,  $1 \leq j \leq n$ , such that  $A \subset \bigcup_{j=1}^n V(x_j) \subset V^2(A)$ . Since  $A \cap V(x_j) \neq \emptyset$  for each  $j$ , eventually  $A_\lambda \cap V(x_j) \neq \emptyset$  and so  $x_j \in V(A_\lambda)$ . Hence,

$$A \cap D \subset \bigcup_{j=1}^n V(x_j) \subset V^2(A_\lambda) \subset W(A_\lambda), \quad \text{eventually.}$$

We note that  $(D \cap V(A)^c) \in \Delta$  and  $A \in (D^c \cup V(A))_\delta^{++} \in \sigma(\Delta, \delta)$ . So,  $A_\lambda \in (D^c \cup V(A))_\delta^{++}$ , eventually.

Therefore  $A_\lambda \cap D = [A_\lambda \cap D \cap V(A)] \subset W(A)$ , eventually. Thus,  $A_\lambda$  converges to  $A$  in the topology  $\tau(\mathcal{U}_{w\Delta})$ .

Hence,  $\tau(\mathcal{U}_{w\Delta}) \leq \sigma(\Delta, \delta)$ . Combining the earlier part we get  $\tau(\mathcal{U}_{w\Delta}) = \sigma(\Delta, \delta)$ .

(b)  $\Rightarrow$  (a). By assumption the  $\Delta$ -Attouch–Wets topology associated to  $\mathcal{U}_w$  is Tychonoff and it coincides with the proximal  $\Delta$ -topology  $\sigma(\Delta, \delta)$ . So,  $\sigma(\Delta, \delta)$  is regular and by using Theorem 4.4.5 in [1] the claim.  $\square$

**Theorem 2.2.** *Let  $(X, \tau)$  be a Tychonoff space with a compatible LO-proximity  $\delta$  and  $\Delta \subseteq CL(X)$  a cover of  $X$ . If  $\Delta$  is  $\delta$ -Urysohn, then the relation  $\delta'$  on the power set of  $X$  defined by*

$$(\star) \quad A \delta' B \text{ iff either } \text{cl} A \in \Delta \text{ or } \text{cl} B \in \Delta \text{ and } A \delta B$$

*is a compatible EF-proximity on  $X$  coarser than  $\delta$ . Further, we have:*

- (a) The proximal  $\Delta$ -topologies  $\sigma(\Delta, \delta)$  and  $\sigma(\Delta, \delta')$  and the topology  $\tau(\mathcal{U}_{w\Delta})$  induced by the  $\Delta$ -Attouch–Wets uniformity  $\mathcal{U}_{w\Delta}$ , where  $\mathcal{U}_w$  is the unique totally bounded uniformity on  $X$  compatible w.r.t.  $\delta'$ , all coincide. Thus  $\sigma(\Delta, \delta)$  is Tychonoff.
- (b) The proximal  $\Delta U$ -topology  $\sigma(\Delta U, \delta)$  equals the proximal topology  $\sigma(\delta')$ . Thus  $\sigma(\Delta U, \delta)$  is Tychonoff.

Conversely, if either  $\sigma(\Delta, \delta)$  or  $\sigma(\Delta U, \delta)$  is Tychonoff, then  $\Delta$  is local and  $\delta$ -Urysohn.

**Proof.** By Theorem 1.6  $\delta'$  defined as in  $(\star)$  is a compatible EF-proximity coarser than  $\delta$  as well as  $\Delta$  is  $\delta'$ -Urysohn. Let  $\mathcal{U}_w(\delta') = \mathcal{U}_w$  the unique totally bounded uniformity which induces  $\delta'$ .

To show (a) note:

- (1) By Theorem 2.1 the corresponding  $\Delta$ -Attouch–Wets topology  $\tau(\mathcal{U}_{w\Delta})$  is Tychonoff and it equals the proximal  $\Delta$ -topology  $\sigma(\Delta, \delta')$ .
- (2) From  $(\star)$  it follows that whenever  $U \in \tau$  and  $U^c \in \Delta$ , for  $E \subset X$ ,  $E \not\delta U^c$  if and only if  $E \not\delta' U^c$ . So,  $(U^c)_{\delta}^{++} = (U^c)_{\delta'}^{++}$  and thus  $\sigma(\Delta, \delta)$  equals  $\sigma(\Delta, \delta')$ .

Combining (1) and (2) we get  $\sigma(\Delta, \delta) = \sigma(\Delta, \delta') = \tau(\mathcal{U}_{w\Delta})$  and hence the claim.

To show (b) it suffices to consider the upper parts.

Let  $A \in U_{\delta}^{++} \in \sigma(\Delta U, \delta)$ . Then either  $U \in \Delta$  and  $A \not\delta U^c$  or  $\text{cl} U \in \Delta$  and  $A \not\delta U^c$ .

If  $U^c \in \Delta$ , then  $U_{\delta}^{++} = U_{\delta'}^{++} \in \sigma(\delta')$ .

If  $\text{cl} U \in \Delta$ , then  $A \in \Delta$  (since  $\Delta$  is closed hereditary) and  $A \not\delta U^c$ . By  $(\star)$   $A \not\delta' U^c$ . Since  $\Delta$  is also  $\delta'$ -Urysohn there is an  $S \in \Delta$  with  $A \ll_{\delta'} S \ll_{\delta'} U$ . By  $(\star)$  we have also  $A \ll_{\delta} S \ll_{\delta} U$ . Clearly,  $A \in (S^c)_{\delta'}^{++} \in \sigma(\delta')$  and  $(S^c)_{\delta'}^{++} \subset U_{\delta}^{++}$ . Thus  $\sigma(\Delta U, \delta) \leq \sigma(\delta')$ .

On the other hand, let  $A \in U_{\delta'}^{++} \in \sigma(\delta')$ . Then either  $U^c \in \Delta$  and  $A \not\delta U^c$  or  $U^c \notin \Delta$  but  $A \in \Delta$  and  $A \not\delta U^c$ .

If  $U^c \in \Delta$ , then  $U_{\delta}^{++} = U_{\delta'}^{++}$ .

If  $U^c \notin \Delta$  and  $A \in \Delta$ , then (since  $\delta'$  satisfies the EF-axiom) there is an  $S \in CL(X)$  such that  $A \ll_{\delta'} S \ll_{\delta'} U$ . By  $(\star)$  we have  $S \in \Delta$  and  $A \ll_{\delta} S \ll_{\delta} U$ . Clearly,  $A \in (\text{int} S)_{\delta}^{++} \subset U_{\delta'}^{++}$  and  $(\text{int} S)_{\delta}^{++} \in \sigma(\Delta U, \delta)$ , showing thereby  $\sigma(\delta') \leq \sigma(\Delta U, \delta)$  and hence  $\sigma(\delta') = \sigma(\Delta U, \delta)$ .

Since  $\sigma(\delta')$  is Tychonoff (cf. Theorem 1.2)  $\sigma(\Delta U, \delta)$  is Tychonoff.

For the converse we just study the case  $\sigma(\Delta U, \delta)$  is Tychonoff, since the case  $\sigma(\Delta, \delta)$  has been considered in [9].

So, let  $\sigma(\Delta U, \delta)$  be Tychonoff. We claim  $\Delta$  is a  $\delta$ -Urysohn family. Let  $A \in CL(X)$ ,  $D \in \Delta$  and  $A \not\delta D$ . By assumption there exists a  $\sigma(\Delta U, \delta)$ -basic neighbourhood  $\mathcal{V} = U_{\delta}^{++} \cap \bigcap_{i=1}^n V_i^-$  of  $A$  such that  $A \in \mathcal{V} \subset \text{cl}_{\sigma(\Delta U, \delta)}(\mathcal{V}) \subset (D^c)_{\delta}^{++}$ . Then, there are two cases:

- (i)  $A \not\delta U^c$  with  $U^c \in \Delta$ .
- (ii)  $A \not\delta U^c$  with  $\text{cl} U \in \Delta$  and  $U^c \notin \Delta$ .

If (i) occurs, then take  $S = U^c$  and using similar argument as in [9] (cf. (d)  $\Rightarrow$  (a) in Theorem 4.9) we have  $D \ll_{\delta} S \ll_{\delta} A^c$ .



If (ii) occurs, then  $A \in \Delta$ ,  $A \not\delta U^c$  and  $D \subset U^c$ . By assumption there exists a  $\sigma(\Delta U, \delta)$ -neighbourhood  $\mathcal{W} = W_\delta^{++} \cap \bigcap_{j=1}^m H_j^-$  at  $U^c$  such that  $U^c \in \mathcal{W} \subset \text{cl}_{\sigma(\Delta U, \delta)}(\mathcal{W}) \subset (A^c)_\delta^{++}$ . Hence  $U^c \not\delta W$ . We claim that  $W^c \in \Delta$ . Assume not, then  $\text{cl} W^c \in \Delta$  and hence  $U^c \in \Delta$ ; a contradiction with  $U^c \notin \Delta$ . Hence,  $U^c \not\delta W$  and  $W^c \in \Delta$ . So, putting  $S = W^c$  we have  $A \ll_\delta S \ll_\delta U$  (Theorem 4.9 in [9]). Since  $U \subset D^c$  we have  $A \ll_\delta S \ll_\delta D^c$ .  $\square$

**Corollary 2.3.** *Let  $(X, \tau)$  be a Tychonoff and  $\Delta \subseteq CL(X)$  a cover of  $X$  which is Urysohn. Then the relation  $\delta'$  on the power set of  $X$  defined by*

$$(\star\star) \quad A \delta' B \text{ iff either } \text{cl} A \in \Delta \text{ or } \text{cl} B \in \Delta \text{ and } A \not\delta_0 B$$

*is a compatible EF-proximity on  $X$  with  $\delta' \leq \delta_0$ . Further we have:*

- (a)  $\tau(\Delta) = \sigma(\Delta, \delta')$  and if  $\mathcal{U}_w$  is the unique totally bounded uniformity on  $X$  compatible with  $\delta'$ , then the  $\Delta$ -topology  $\tau(\Delta)$  is the topology  $\tau(\mathcal{U}_w, \Delta)$  induced by the  $\Delta$ -Attouch-Wets uniformity  $\mathcal{U}_w, \Delta$  and hence is Tychonoff.
- (b)  $\tau(\Delta U)$  equals  $\sigma(\delta')$ . Thus  $\tau(\Delta U)$  is Tychonoff.

*Conversely, if either  $\tau(\Delta)$  or  $\tau(\Delta U)$  are Tychonoff, then  $\Delta$  is Urysohn.*

**Corollary 2.4.** *Let  $(X, \tau)$  be a Hausdorff space. The following are equivalent:*

- (a)  $X$  is locally compact;
- (b) the  $U$ -topology  $\tau(U)$  is uniformizable;
- (c)  $\tau(U)$  is the proximal topology  $\sigma(\delta_1)$ , where  $\delta_1$  is the proximity induced by the one-point-compactification of  $X$  (see Remark 1.4).

### 3. First and second countability of (proximal) $\Delta U$ -topologies

We start with the following lemma and remark and point out that  $\Delta$  is just a subfamily of  $CL(X)$  containing the singletons.

**Lemma 3.1** (cf. Lemma 5.3 in [11]). *Let  $(X, \tau)$  be a  $T_1$  space with a compatible LO-proximity  $\delta$  and  $\Delta \subseteq CL(X)$ . If  $(CL(X), \tau(\Delta U))$  (respectively  $(CL(X), \sigma(\Delta U, \delta))$ ) is first countable, then every  $A \in CL(X)$  is separable.*

**Remark 3.2.** If  $(X, \tau)$  is a  $T_1$  space with a compatible LO-proximity  $\delta$  and  $\Delta \subseteq CL(X)$ , then  $\tau(\Delta U)$  (respectively  $\sigma(\Delta U, \delta)$ ) is admissible; i.e., the assignment  $x \rightarrow \{x\}$  is a topological embedding of  $X$  into  $(CL(X), \tau(\Delta U))$  (respectively of  $X$  into  $(CL(X), \sigma(\Delta U, \delta))$ ).

Now, we assume that  $\Delta$  is also a ring, i.e., it is closed under finite unions and finite intersection, unless otherwise explicitly stated.

**Definition 3.3.** Let  $(X, \tau)$  be a  $T_1$  space with a compatible LO-proximity  $\delta$ ,  $A$  a closed nonempty subset of  $X$  and  $\Delta \subseteq CL(X)$  a ring. Then:

- (a) A family  $\Delta'_A \subset \Delta$  is a (proximal) local  $\Delta U$ -base at  $A$ ,  $A \neq X$ , if whenever  $A \subset U$  ( $A \ll_\delta U$ ) with  $U^c$  or  $\text{cl} U \in \Delta$ , there is a  $V$  with  $V^c$  or  $\text{cl} V \in \Delta'_A$  and  $A \subset V \subset U$  ( $A \ll_\delta V \subset U$ ).
- (b) A family  $\Delta' \subset \Delta$  is a (proximal)  $\Delta U$ -base if for each  $A \subset U$  ( $A \ll_\delta U$ ),  $A \neq X$ , with  $U^c$  or  $\text{cl} U \in \Delta$  and  $A \in CL(X)$ , there is a  $V$  with  $V^c$  or  $\text{cl} V \in \Delta'$  and  $A \subset V \subset U$  ( $A \ll_\delta V \subset U$ ).

**Theorem 3.4.** Let  $(X, \tau)$  be a  $T_1$  space with a compatible LO-proximity  $\delta$  and  $\Delta \subseteq CL(X)$  a ring. The following are equivalent:

- (a)  $(CL(X), \sigma(\Delta U, \delta))$  is first countable;
- (b)  $X$  is first countable, every closed set  $A$  is separable and every  $A \in CL(X)$ ,  $A \neq X$ , has a countable proximal local  $\Delta U$ -base  $\Delta'_A$  at  $A$ .

**Proof.** (a)  $\Rightarrow$  (b). By Remark 3.2  $X$  is first countable and by Lemma 3.1 every closed set  $A$  is separable. Now, let  $A \in CL(X)$ ,  $A \neq X$ . The first countability of  $(CL(X), \sigma(\Delta U, \delta))$  at  $A$  means that there is a countable family  $\mathcal{L}_A$  of sets of the form  $\bigcap_{j \in J} (K_j)_\delta^{++} \cap \bigcap_{t \in T} (H_t)_\delta^{++} \cap \bigcap_{i \in I} V_i^-$ , with  $I, T$  and  $J$  finite subsets of  $\mathbb{N}$ ,  $H_t, K_j$  and  $V_i \in \tau$ ,  $A \ll_\delta H_t$ ,  $A \ll_\delta K_j$ ,  $H_t^c \in \Delta$  and  $\text{cl} K_j \in \Delta$ .

Set  $\Delta'_A = \{H^c : H \text{ occurs in the presentation of some element in } \mathcal{L}_A\} \cup \{\text{cl} K : K \text{ occurs in the presentation of some element in } \mathcal{L}_A\}$ .

Without loss of generality we may assume  $\Delta'_A$  is a ring.

It is a routine exercise to verify that  $\Delta'_A$  is nonempty and thus countable. We prove that  $\Delta'_A$  is a proximal local  $\Delta U$  base at  $A$ .

So, let  $U \in \tau$  with  $A \ll_\delta U$  and  $U^c$  or  $\text{cl} U \in \Delta$ . Hence, there is  $L = \bigcap_{j \in J} (K_j)_\delta^{++} \cap \bigcap_{t \in T} (H_t)_\delta^{++} \cap \bigcap_{i \in I} V_i^- \in \mathcal{L}_A$  such that  $A \subset L \subset U_\delta^{++}$ . Since  $A \neq X$ , we may assume that also  $U \neq X$ . Clearly, in the expression of  $L$ , either  $T$  or  $J$  is nonempty (in fact, if  $T = \emptyset$  and  $J = \emptyset$ , then by choosing  $x \in U^c \cap \text{cl} U$  we have that  $F = (A \cup \{x\}) \in L$  but  $F \notin U_\delta^{++}$ ; a contradiction).

If  $T \neq \emptyset$ , then the following subcases occur.

(I)  $J = \emptyset$ . Then

$$L = \bigcap_{t \in T} (H_t)_\delta^{++} \cap \bigcap_{i \in I} V_i^- \subset U_\delta^{++}.$$

Let  $S = \bigcup_{t \in T} H_t^c$  and set  $V = S^c$ . Thus  $V^c \in \Delta'_A$  and  $A \ll_\delta V$  (because  $A \in L$ ). With a similar argument as in Theorem 5.4 in [11] we have  $A \ll_\delta V \subset U$ .

(II)  $J \neq \emptyset$ . Then

$$L = \bigcap_{j \in J} (K_j)_\delta^{++} \cap \bigcap_{t \in T} (H_t)_\delta^{++} \cap \bigcap_{i \in I} V_i^- \subset U_\delta^{++}.$$

Let  $B^j = K_j \cap \bigcap_{t \in T} H_t$  for each  $j \in J$ . Therefore,  $\text{cl} B^j \in \Delta'_A$  because  $\text{cl} K_j \in \Delta'_A$ ,  $B^j \subset K_j$  and  $\Delta'_A$  is a ring. Clearly,  $A \ll_\delta B^j$  (in fact  $A \not\ll [K_j^c \cup \bigcup_{t \in T} H_t^c]$  because  $A \in L$ ). Set

$V = \bigcap_{j \in J} B^j$ , then  $\text{cl } V \in \Delta'_A$  (because  $V \subset K_j$ ,  $\text{cl } K_j \in \Delta'_A$  and  $\Delta'_A$  is a ring) and  $A \ll_\delta V$  (see Theorem (1.18) in [17]). We claim that if either  $U^c \in \Delta$  or  $\text{cl } U \in \Delta$ , then  $V \subset U$ .

Assume not, then there exists an  $x \in V \cap U^c$ . Since  $V$  is open and  $x \in V$ , then  $x \notin V^c$ . So  $x \notin [\bigcup_{j \in J} K_j^c \cup \bigcup_{t \in T} H_t^c]$  because  $V = [\bigcap_{j \in J} K_j \cap \bigcap_{t \in T} H_t]$ . Set  $F = A \cup \{x\}$ , then it is easy to check that  $F \in CL(X)$ ,  $F \in L$  but  $F \notin U_\delta^{++}$ ; a contradiction because  $L \subset U_\delta^{++}$ .

If  $J \neq \emptyset$ , then the following two subcases may occur.

(I')  $T \neq \emptyset$ . But this is the above subcase (II).

(II')  $T = \emptyset$ . Then

$$L = \bigcap_{j \in J} (K_j)_\delta^{++} \cap \bigcap_{i \in I} V_i^- \subset U_\delta^{++}.$$

Set  $V = \bigcap_{j \in J} K_j$ , then  $\text{cl } V \in \Delta'_A$  and  $A \ll_\delta V$  (see Theorem (1.18) in [17]). As in case (I), we have that if either  $U^c \in \Delta$  or  $\text{cl } U \in \Delta$ , then  $A \ll_\delta V \subset U$ .

(b)  $\Rightarrow$  (a). Let  $A \in CL(X)$ . The case  $A = X$  is standard.

So, let  $A \neq X$  and  $\Delta'_A$  be a proximal local  $\Delta U$ -base at  $A$ .

Let  $\{a_1, a_2, \dots, a_n, \dots\}$  be a countable dense set in  $A$ ,  $\mathcal{S}(a_i)$  ( $i = 1, 2, \dots, n, \dots$ ) be a countable base of neighbourhoods at  $a_i$ . Set  $\mathcal{S} = \{\mathcal{S}(a_i) : i = 1, 2, \dots, n, \dots\}$  and consider the family  $\mathcal{L}_A$  of all subsets of the form  $\bigcap_{j \in J} (V_j)_\delta^{++} \cap \bigcap_{i \in I} U_i^-$ , with  $I, J$  finite subsets of  $\mathbb{N}$ ,  $V_j \in \Delta'_A$  and  $U_i \in \mathcal{S}$ . We claim that  $\mathcal{L}_A$  is a countable local base of open  $\sigma(\Delta U, \delta)$ -neighbourhoods at  $A$ . It suffices to show that  $\mathcal{L}_A$  is a local base for a subbasic  $\sigma(\Delta U, \delta)$ -neighbourhoods system at  $A$ .

Case (1). Let  $A \in H_\delta^{++} \cap \bigcap_{i \in I} Q_i^-$  with  $I$  finite subset of integers,  $Q_i \in \tau$  for each  $i \in I$ ,  $H \in \tau$  and  $H^c \in \Delta$ . Then  $A \ll_\delta H$  and for each  $i \in I$  let  $U_i \in \mathcal{S}$  be such that  $U_i \subset Q_i$ . By assumption there exists a  $V \in \tau$  with  $V^c \in \Delta'_A$  and  $A \ll_\delta V \subset H$ . Set  $L = V_\delta^{++} \cap \bigcap_{i \in I} U_i^-$ , then it is easy to check that  $L \in \mathcal{L}_A$  and  $L \subset H_\delta^{++} \cap \bigcap_{i \in I} Q_i^-$ .

Case (2). Suppose  $A \in K_\delta^{++} \cap \bigcap_{i \in I} Q_i^-$  with  $I$  finite subset of integers,  $Q_i \in \tau$  for each  $i \in I$ ,  $K \in \tau$  and  $\text{cl } K \in \Delta$ . Then  $A \ll_\delta K$  and for each  $i \in I$  let  $U_i \in \mathcal{S}$  be such that  $U_i \subset Q_i$ . By hypothesis there exists a  $V \in \tau$  with  $\text{cl } V \in \Delta'_A$  and such that  $A \ll_\delta V \subset K$ . Set  $L' = V_\delta^{++} \cap \bigcap_{i \in I} U_i^-$  and note that  $L' \in \mathcal{L}_A$  and  $L' \subset K_\delta^{++} \cap \bigcap_{i \in I} Q_i^-$ .  $\square$

**Corollary 3.5.** *Let  $(X, \tau)$  be a  $T_1$  space and  $\Delta \subseteq CL(X)$  a ring. The following are equivalent:*

- (a)  $(CL(X), \tau(\Delta U))$  is first countable;
- (b)  $X$  is first countable, every closed set  $A$  is separable and every  $A \in CL(X)$ ,  $A \neq X$ , has a countable local  $\Delta U$ -base  $\Delta'_A$  at  $A$ .

Now, we analyse the second countability.

**Theorem 3.6.** *Let  $(X, \tau)$  be a  $T_1$  space with a compatible LO-proximity  $\delta$  and  $\Delta \subseteq CL(X)$  a ring. The following are equivalent:*

- (a)  $(CL(X), \sigma(\Delta U, \delta))$  is second countable;
- (b)  $X$  is second countable and there is a countable subring  $\Delta' \subset \Delta$  which is a proximal  $\Delta U$ -base.

**Proof.** (a)  $\Rightarrow$  (b). By Remark 3.2  $X$  is second countable. Let  $\mathcal{L}$  be a countable base of  $\sigma(\Delta U, \delta)$ . Every element  $L \in \mathcal{L}$  has the form

$$L = \bigcap_{j \in J} (K_j)_\delta^{++} \cap \bigcap_{t \in T} (H_t)_\delta^{++} \cap \bigcap_{i \in I} V_i^-,$$

with  $I, T$  and  $J$  finite subsets of  $\mathbb{N}$ ,  $H_t, U_j$  and  $V_i \in \tau$ ,  $H_t^c \in \Delta$  and  $\text{cl } K_j \in \Delta$ .

Set  $\Delta' = \{H^c: H \text{ occurs in the presentation of some element in } \mathcal{L}\} \cup \{\text{cl } K: K \text{ occurs in the presentation of some element in } \mathcal{L}\}$ .

Clearly,  $\Delta' \subset \Delta$  is countable and by using arguments as in above Theorem 3.4 it is a proximal  $\Delta U$ -base.

(b)  $\Rightarrow$  (a). Let  $\mathcal{V}$  be a countable base of  $X$ . It is easy to verify that the family  $L = \bigcap_{j \in J} (K_j)_\delta^{++} \cap \bigcap_{t \in T} (H_t)_\delta^{++} \cap \bigcap_{i \in I} V_i^-$ , with  $J, T$  and  $I$  finite subsets of  $\mathbb{N}$ ,  $K_j, H_t$  and  $V_i$  open such that  $\text{cl } K_j \in \Delta', H_t^c \in \Delta'$  and  $V_i \in \mathcal{V}$  respectively, is a countable base for  $\sigma(\Delta U, \delta)$ .  $\square$

**Corollary 3.7.** Let  $(X, \tau)$  be a  $T_1$  space and  $\Delta \subseteq CL(X)$  a ring. The following are equivalent:

- (a)  $(CL(X), \tau(\Delta U))$  is second countable;
- (b)  $X$  is second countable and there is a countable subring  $\Delta' \subset \Delta$  which is a  $\Delta U$ -base.

#### 4. Metrizable of (proximal) $\Delta U$ -topologies

**Definition 4.1.** Let  $(X, \tau)$  be a  $T_1$  space with a compatible LO-proximity  $\delta$  and  $\Delta \subseteq CL(X)$  be a nonempty subfamily of  $CL(X)$ . A subfamily  $\Delta'$  of  $\Delta$  is called *relatively  $\delta$ -Urysohn w.r.t.  $\Delta$*  if the following condition is fulfilled:

- (\*) for every  $D \in \Delta$  with  $D \neq X$  and every  $V \in \tau$  with  $D \ll_\delta V$ , there is an  $S \in \Delta'$  with  $D \ll_\delta S \ll_\delta V$ .

A subfamily  $\Delta'$  of  $\Delta$  is called *relatively Urysohn w.r.t.  $\Delta$*  provided:

- (\*\*) for every  $D \in \Delta$  with  $D \neq X$  and every  $V \in \tau$  with  $D \subset V$ , there is an  $S \in \Delta'$  with  $D \subset S \subset V$ .

**Theorem 4.2.** Let  $(X, \tau)$  be a  $T_1$  space with a compatible LO-proximity  $\delta$  and  $\Delta \subseteq CL(X)$  a cover of  $X$ . The following are equivalent:

- (a)  $(CL(X), \sigma(\Delta U, \delta))$  is metrizable;
- (b)  $X$  is Tychonoff and second countable and there is a countable subring  $\Delta' \subset \Delta$  which is relatively  $\delta$ -Urysohn w.r.t.  $\Delta$ ;
- (c)  $(CL(X), \sigma(\Delta, \delta))$  is metrizable.

**Proof.** (a)  $\Rightarrow$  (b). If  $(CL(X), \sigma(\Delta U, \delta))$  is metrizable, then  $\sigma(\Delta U, \delta)$  is second countable and Tychonoff. Thus,  $X$  is Tychonoff and second countable. By Theorem 2.2  $\Delta$  is  $\delta$ -Urysohn. Moreover, second countability assures that there is a countable subring  $\Delta'$  of  $\Delta$  which is a proximal  $\Delta U$  base. We claim  $\Delta'$  fulfills (\*) of Definition 4.1. Let  $D \in \Delta$  with  $D \neq X$ ,  $V \in \tau$  and  $D \ll_{\delta} V$ . Without loss of generality we may suppose  $V \neq X$ . Put  $A = X \setminus V$ . Then  $A \in CL(X)$  and  $A \not\delta D$ . So, using twice the  $\delta$ -Urysohn condition on the family  $\Delta$  and Theorem (1.17) in [17] there are  $R$  and  $T \in \Delta$  such that  $D \ll_{\delta} R \ll_{\delta} \text{int } T \subset T \ll_{\delta} V$ . Hence there exists an open set  $M$  with  $\text{cl } M \in \Delta'$  that  $R \ll_{\delta} M \subset (\text{int } T)$ . Set  $S = \text{cl } M$ . Then  $S \in \Delta'$  and  $D \ll_{\delta} S \ll_{\delta} V$ .

(b)  $\Rightarrow$  (a). It is clear that  $(CL(X), \sigma(\Delta U, \delta))$  is a Tychonoff space (cf. Theorem 2.2). By assumption there is a countable subring  $\Delta'$  of  $\Delta$  which satisfies condition (\*). But clearly (\*) implies that  $\Delta'$  is a proximal  $\Delta U$  base. Thus, by Theorem 3.6  $(CL(X), \sigma(\Delta U, \delta))$  is second countable, too. Therefore, by Urysohn Metrization Theorem  $(CL(X), \sigma(\Delta U, \delta))$  is metrizable.

(c)  $\Leftrightarrow$  (b). Use an argument similar as in Theorem 5.20 in [11].  $\square$

**Corollary 4.3.** *Let  $(X, \tau)$  be a Tychonoff space and  $\Delta \subseteq CL(X)$  a cover of  $X$ . The following are equivalent:*

- (a)  $(CL(X), \tau(\Delta U))$  is metrizable;
- (b)  $X$  is Tychonoff and second countable and there is a countable subring  $\Delta' \subset \Delta$  which is relatively Urysohn w.r.t.  $\Delta$ ;
- (c)  $(CL(X), \tau(\Delta))$  is metrizable.

**Corollary 4.4.** *Let  $(X, \tau)$  be a Tychonoff space with a compatible LO-proximity  $\delta$  and  $\Delta \subseteq CL(X)$  a cover of  $X$ .*

*Then  $(CL(X), \sigma(\Delta U, \delta))$  (respectively  $(CL(X), \tau(\Delta U))$ ) is metrizable if and only if  $(CL(X), \sigma(\Delta, \delta))$  (respectively  $(CL(X), \tau(\Delta))$ ) is metrizable.*

If we focus our attention on the  $U$ -topology, we have:

**Corollary 4.5.** *Let  $(X, \tau)$  be a Tychonoff space. The following are equivalent:*

- (a)  $(CL(X), \tau(U))$  is second countable;
- (b)  $X$  is locally compact and second countable;
- (c)  $(CL(X), \tau(U))$  is metrizable;
- (d)  $(CL(X), \tau(F))$  is metrizable.

**Proof.** (a)  $\Rightarrow$  (b). It follows from (a)  $\Rightarrow$  (b) of Corollary 3.7 when  $\Delta = K(X)$ .

(b)  $\Rightarrow$  (c). By assumption,  $X$  admits a countable base  $\mathcal{B}$  such that for each  $W \in \mathcal{B}$ ,  $\text{cl } W$  is compact. Let  $\Sigma(\mathcal{B})$  the family of all finite unions and finite intersection of elements in  $\mathcal{B}$ . Set  $\Delta' = \{\text{cl } S : S \in \Sigma(\mathcal{B})\}$ . Clearly,  $\Delta' \subset K(X)$  and  $\Delta'$  satisfies (\*\*\*) of Definition 4.1. By Corollary 4.3 the claim holds.

(c)  $\Rightarrow$  (a) it is trivial and (b)  $\Leftrightarrow$  (d) is nicely dealt with in Theorem 5.1.5 in [1].  $\square$

In Theorem 5.7 in [12] the authors have shown that whenever  $(X, \mathcal{U})$  is a Hausdorff uniform space, then  $(CL(X), \sigma(\mathcal{U}))$  is metrizable if and only if there is a totally bounded metric  $\varrho$  on  $X$  compatible with  $\mathcal{U}$ .

So, we have a complete and attractive solution to the metrization problem for the proximal  $\Delta U$ -topology  $\sigma(\Delta U, \delta)$  with respect to a given LO-proximity on  $X$ .

**Theorem 4.6.** *Let  $(X, \tau)$  be a  $T_1$  space with a compatible LO-proximity  $\delta$  and  $\Delta \subseteq CL(X)$  a cover of  $X$ . The following are equivalent:*

- (a)  $(CL(X), \sigma(\Delta U, \delta))$  is metrizable;
- (b) there exists a compatible totally bounded metric  $\varrho$  on  $X$  such that  $\sigma(\Delta U, \delta) = \sigma(\varrho)$ .

**Proof.** (b)  $\Rightarrow$  (a). By a result in [3] it is known that  $\sigma(\varrho)$  is metrizable. Hence  $\sigma(\Delta U, \delta)$  is metrizable.

(a)  $\Rightarrow$  (b). By Theorems 1.6 and 2.2 there is a compatible EF-proximity  $\delta'$  on  $X$  such that  $\sigma(\Delta U, \delta) = \sigma(\delta')$ . Let  $\mathcal{U}_w$  be the unique totally bounded uniformity which induces  $\delta'$ . Then  $\sigma(\delta') = \sigma(\mathcal{U}_w)$ .

Since  $(CL(X), \sigma(\mathcal{U}_w))$  is metrizable, by Theorem 5.7 in [12] there exists a totally bounded metric  $\varrho$  compatible with respect to  $\mathcal{U}_w$  with  $\sigma(\mathcal{U}_w) = \sigma(\varrho)$ . But  $\sigma(\Delta U, \delta) = \sigma(\delta') = \sigma(\mathcal{U}_w)$  and hence the claim holds.  $\square$

**Corollary 4.7.** *Let  $(X, \tau)$  be a  $T_1$  space and  $\Delta \subset CL(X)$  a cover of  $X$ . The following are equivalent:*

- (a)  $(CL(X), \tau(\Delta U))$  is metrizable;
- (b) there exists a compatible totally bounded metric  $\varrho$  on  $X$  such that  $\tau(\Delta U) = \sigma(\varrho)$ .

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