

A Prospective Evaluation of Infant Cerebellar-Cerebral Functional Connectivity in Relation to Behavioral Development in Autism Spectrum Disorder

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ABSTRACT

BACKGROUND: Autism spectrum disorder (ASD) is a neurodevelopmental disorder diagnosed based on social impairment, restricted interests, and repetitive behaviors. Contemporary theories posit that cerebellar pathology contributes causally to ASD by disrupting error-based learning (EBL) during infancy. The present study represents the first test of this theory in a prospective infant sample, with potential implications for ASD detection.

METHODS: Data from the Infant Brain Imaging Study ($n = 94$, 68 male) were used to examine 6-month cerebellar functional connectivity magnetic resonance imaging in relation to later (12/24-month) ASD-associated behaviors and outcomes. Hypothesis-driven univariate analyses and machine learning-based predictive tests examined cerebellar–frontoparietal network (FPN; subserves error signaling in support of EBL) and cerebellar–default mode network (DMN; broadly implicated in ASD) connections. Cerebellar-FPN functional connectivity was used as a proxy for EBL, and cerebellar-DMN functional connectivity provided a comparative foil. Data-driven functional connectivity magnetic resonance imaging enrichment examined brain-wide behavioral associations, with post hoc tests of cerebellar connections.

RESULTS: Cerebellar-FPN and cerebellar-DMN connections did not demonstrate associations with ASD. Functional connectivity magnetic resonance imaging enrichment identified 6-month correlates of later ASD-associated behaviors in networks of a priori interest (FPN, DMN), as well as in cingulo-opercular (also implicated in error signaling) and medial visual networks. Post hoc tests did not suggest a role for cerebellar connections.

CONCLUSIONS: We failed to identify cerebellar functional connectivity-based contributions to ASD. However, we observed prospective correlates of ASD-associated behaviors in networks that support EBL. Future studies may replicate and extend network-level positive results, and tests of the cerebellum may investigate brain-behavior associations at different developmental stages and/or using different neuroimaging modalities.

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Autism spectrum disorder (ASD) is a heterogeneous condition diagnosed on the basis of social impairment, restricted interests, and repetitive behaviors (1). The characteristic behavioral features of ASD generally emerge around 12 months of age (2), and ASD diagnoses have largely stabilized by 24 months of age (3). To account for postnatal developments, contemporary theories posit that cerebellar connectivity contributes to the causation of ASD by disrupting error-based learning (EBL) during infancy (4–6). EBL describes an iterative process whereby expectancy violations are

interpreted against the backdrop of prior experiences to optimize prediction and inform future behaviors (7,8). During infancy, expectancy violations enhance object learning and encourage object exploration (9). As reviewed below, there is evidence implicating cerebellar connectivity in EBL (10–12), cerebellar pathology in ASD (13–15), and EBL impairment in ASD (16,17). However, cerebellar functional connectivity has not been examined in relation to ASD during infancy. Such studies are necessary to evaluate cerebellar functional connectivity as a presymptomatic risk biomarker for ASD (18).

Prospective studies of infants at high familial risk for ASD (19,20) are at the vanguard of presymptomatic risk biomarker research. These studies have identified multiple aspects of brain structure and function that correlate with behavioral variation relevant to ASD (21–23) and predict ASD diagnosis (24–26). Notably, markers of risk during infancy (e.g., increased corpus callosum volume) may be transient (27). Contextualizing presymptomatic risk biomarkers within a developmental framework that accounts for experience-dependent behavioral variation holds promise to identify modifiable pathways that may be amenable to intervention (28). To this end, the present study investigated cerebellar functional connectivity in relation to one candidate experience-dependent process: EBL.

The Cerebellum Is Important for EBL

Contextualizing and extending the cerebellum's long-recognized role in motor control, recent data suggest that the cerebellum subserves EBL for adaptive movement, cognition, and social prediction (13,15,29,30). This capability is instantiated in polysynaptic, cerebellar-cerebral circuits (31–34). Notably, cerebellar circuit-level (anatomical) disruptions are associated with cerebellar functional connectivity alterations (35), indicating that functional connectivity is sensitive to underlying neuroanatomy (34). Evidence from studies of functional connectivity suggest that the function of a given cerebellar region is determined by its network membership (34,36,37), with cerebellar regions in the somatomotor network supporting error signaling for movement (38) and cerebellar regions in the frontoparietal network (FPN) supporting error signaling following expectancy violations (36,39,40). Anatomically and functionally defined cerebellar connections are implicated in the timing and execution of EBL tasks (saccade adaptation, eye-blink conditioning) (41–46), and their protracted development represents an opportunity to leverage experience-dependent neural plasticity in service of behavioral intervention (47,48).

Cerebellar Contributions to ASD

Cerebellar contributions to ASD, in turn, are supported by basic and late developmental (child/adult) clinical research. In mice, cerebellar pathology is sufficient to produce ASD-like social and repetitive behaviors, and pharmacologic treatment targeting cerebellar Purkinje cells redresses those same behaviors (49). Among individuals with ASD, cerebellar functional connectivity differentiates cases from controls (12,50–53) and scales with symptom severity (53,54), total cerebellar volume is commonly increased (55), and Purkinje cell counts are decreased (56,57). Among individuals without ASD, acquired cerebellar injury produces behaviors resembling the ASD phenotype, including difficulties with social judgment, abstract reasoning, and set shifting (58). Although there are few studies examining the infant cerebellum in relation to ASD, the available literature suggests that adult findings may generalize. The development of cerebellar white matter pathways during infancy predicts sensory responsivity and restricted, repetitive behavior among toddlers (21), and perinatal cerebellar malformations are associated with a 36-fold increase in ASD risk (5,59).

EBL May Be Disrupted in ASD

Finally, there is growing interest in EBL impairment in ASD (17,45,60). Individuals with ASD perform poorly on cerebellar-mediated tasks of EBL (45,61,62), which may alter experience-dependent learning and thereby contribute to the emergence of ASD-associated behaviors (63–65). In the social domain, EBL impairment may disrupt predictions, with downstream consequences for social learning and joint attention (66,67). In the motor domain, EBL impairment may disrupt planning following errors of precision or timing, contributing to high rates of motor discoordination in ASD (68,69). However, discerning the pathways through which these associations emerge is difficult. In the context of diminished EBL, restricted interests and repetitive behaviors may serve a compensatory function to make the immediate environment more predictable (70,71). They may also reflect alterations to neurodevelopmental processes supporting the adaptability of the motor system to environmental inputs (72,73).

Motivation for Study Design

The present study examined cerebellar functional connectivity contributions to ASD in a presymptomatic infant sample. Data from the Infant Brain Imaging Study (IBIS) were used to examine 6-month cerebellar functional connectivity magnetic resonance imaging (fcMRI) in relation to 12- and 24-month ASD-associated behaviors and 24-month ASD diagnostic outcomes (Figure 1). For additional information about IBIS, refer to the Supplement (page 1). ASD-associated behaviors were selected based on hypothesized associations with EBL (Table S1), and our three-part analytic plan (univariate, multivariate machine learning, whole-brain fcMRI enrichment) was designed to inform understanding of EBL.

To this end, univariate and multivariate machine learning-based tests analyzed cerebellar-FPN and cerebellar-default mode network (DMN) connections. The FPN subserves error signaling in support of EBL (39,40,74) and is overrepresented in the cerebellum compared with the cortex (36). It would be extremely difficult to perform task-based neuroimaging of EBL in infants; thus, we used cerebellar-FPN functional connectivity as a proxy for EBL. Unlike the FPN, the DMN is not implicated in error processing; however, it is frequently implicated in ASD (54,75–77), making it an ideal comparative foil. Concomitant analysis of cerebellar-FPN and cerebellar-DMN functional connections afforded insight into the breadth and nature of hypothesized cerebellar disruption. Both the FPN and DMN are implicated in ASD-associated motor, social, restricted, and repetitive behaviors during early development (78–80).

To assess whether cerebellar contributions to ASD-associated behaviors are detectable in a brain-wide search space, including but not limited to the FPN and DMN, we performed data-driven fcMRI enrichment (78–80) with post hoc randomization testing. Enrichment identifies clusters of strong brain-behavior associations within and between functional brain networks, and post hoc testing evaluated whether cerebellar connections contributed to enrichment above chance. Evidence that infant cerebellar fcMRI relates to later ASD-associated behaviors and outcomes would advance current understanding of ASD pathogenesis, informing the development of targeted interventions.

Candidate Cerebellar Biomarkers for ASD

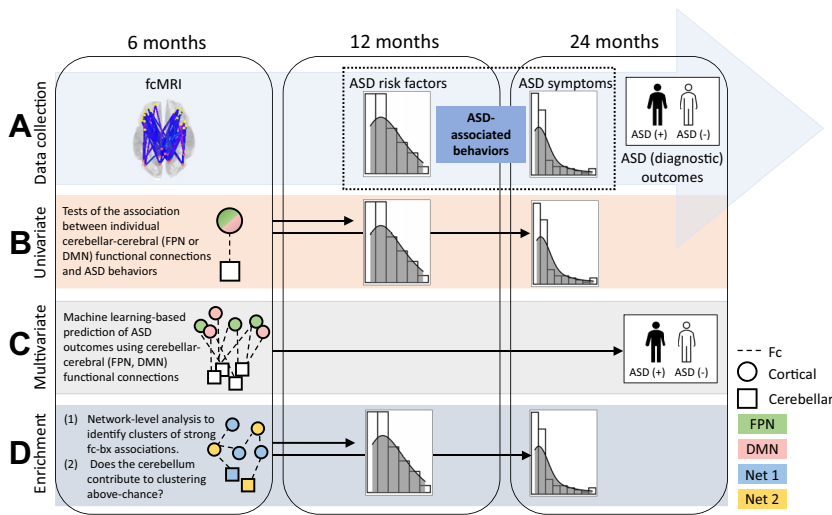


Figure 1. Summary of the (A) data collection timeline and (B–D) three-part analytic plan. Functional connectivity magnetic resonance imaging (fcMRI) data were collected at 6 months, continuous measures of autism spectrum disorder (ASD)-associated behavior were collected at 12 and 24 months, and ASD diagnostic outcomes were evaluated at 24 months. Circles and squares represent regions of interest (ROIs) located in the cortex and cerebellum, respectively. Colors (green, pink, blue, yellow) denote ROI network (Net) assignments (Net 1 and Net 2 being arbitrary non-frontoparietal network [FCN] and non-default mode network [DMN], respectively). bx, behavior; Fc, functional connections/functional connectivity.

METHODS AND MATERIALS

Participants

This study analyzed neuroimaging and behavioral data from infants who participated in IBIS at the four original network sites: the University of North Carolina, Children’s Hospital of Philadelphia, Washington University School of Medicine, and the University of Washington. To be included, infants were required to provide usable fcMRI data at 6 months and diagnostic outcome data at 24 months. LORIS (Longitudinal Online Research and Imaging System) (81) served as the hub for data management. All families who participated in IBIS provided informed consent, approved by each site’s Human Subjects Review Board. IBIS data have been published previously [e.g., (80–82)], but IBIS studies have examined neither cerebellar fcMRI nor 6-month fcMRI in relation to later ASD-associated behaviors and outcomes.

High-risk infants were defined as having at least 1 sibling with an ASD diagnosis. High-risk-positive infants received a clinical best estimate ASD diagnosis (see below) at 24 months of age, whereas high-risk-negative infants did not. Low-risk-negative infants had at least 1 typically developing older sibling, did not have any first- or second-degree family members with ASD or intellectual disability, and did not receive an ASD diagnosis at 24 months of age. Low-risk-positive infants ($n = 1$) were excluded from analyses. Complete genetic and family history exclusion criteria are detailed in prior publications (79,82).

Mann-Whitney U (continuous variables) and χ^2 (categorical variables) tests were used to compare participants included in analyses ($n = 94$) with the full IBIS sample. No differences were observed with respect to behavior, risk status, or diagnosis ($p > .05$). However, the ratio of females to males was lower among participants who provided 6-month fcMRI data ($p < .01$). There were no effects of sex on behavior ($p > .05$). Sample characteristics are reported in Table 1.

Behavioral Assessment

Functional connectivity at 6 months was examined in relation to 12- and 24-month continuous behaviors (Figure 2) and 24-

month diagnostic outcomes. Twelve-month behaviors indexed core and associated ASD risk factors: initiation of joint attention (79,83), fine and gross motor functioning (78,84), restricted behaviors (80), and ritualistic/sameness behaviors (80). Twenty-four-month behaviors indexed ASD symptoms: total symptom severity (85), social affect (86), and restricted interests and repetitive behaviors (RRBs) (86). We will refer to 12-month risk factors and 24-month symptoms collectively as ASD-associated behaviors (Figure 1). Groupwise descriptive statistics are provided in Table S2.

Initiation of joint attention was assessed using the Communication and Symbolic Behavior Scales Developmental Profile (87). Consistent with prior work (79), initiation of joint attention was operationalized as Communication and Symbolic Behavior Scales Developmental Profile item 7: the number of examiner-participant interactions “used to direct another’s attention to an object, event, or topic of a communicative act” (87). Fine and gross motor functioning were assessed using the Mullen Scales of Early Learning (88). The Mullen Scales of Early Learning is a standardized, clinician-administered test of developmental milestones for children 3 to 69 months of age, and it is well validated in ASD (89–91). Standardized T scores were analyzed. Restricted and ritualistic/sameness behaviors were assessed using the Repetitive Behavior Scale–Revised (RBS-R) (92). The RBS-R is a 43-item parent-report questionnaire validated for toddlers (93–95). Ritualistic and sameness subscales were combined because they load onto a common factor (93,95), and items endorsed (rather than severity scores) were examined given evidence that counts are less susceptible to rater bias (94). One outlier 7.7 SDs from the mean was excluded from analyses of ritualistic/sameness behaviors.

Twenty-four-month behaviors were indexed by the Autism Diagnostic Observation Schedule (ADOS) and Autism Diagnostic Observation Schedule, Second Edition (ADOS-2) (96,97). Consistent with ADOS conventions, infants were administered Module 1 or 2 based on language proficiency ($n = 56$ ADOS Module 1, $n = 5$ ADOS Module 2, $n = 33$ ADOS-2 Module 1). Continuous measures of ASD

Table 1. Sample Characteristics

Characteristics	<i>n</i>	%
Sex		
Female	26	27.7%
Male	68	72.3%
Outcome Group		
Low-risk negative	35	37.2%
High-risk negative	46	48.9%
High-risk positive	13	13.8%
Race		
Biracial/multiracial	10	10.6%
Black/African American	2	2.1%
White	72	76.6%
Not reported	10	10.6%
Ethnicity		
Hispanic or Latino	6	6.4%
Not Hispanic or Latino	78	83.0%
Not reported	10	10.6%
	Mean	SD
Age at Time of Scan, mo	6.51	0.59
Number of BOLD Frames (After Scrubbing)	241.13	57.17
12-mo Behaviors		
Age at time of assessment, mo	12.48	0.49
CSBS-DP IJA	1.44	1.38
RBS-R restricted ^a	0.30	0.85
RBS-R ritualistic-sameness ^a	0.63	1.73
MSEL fine motor (T score)	56.21	9.59
MSEL gross motor (T score)	48.70	12.75
24-mo Behaviors		
Age at time of assessment, mo	24.57	1.09
ADOS total CSS ^a	2.05	1.94
ADOS social affect CSS ^a	2.39	1.98
ADOS RRB CSS ^a	2.91	2.53

All participants included in the study sample ($n = 94$) provided at least 150 noncensored functional connectivity magnetic resonance imaging frames at 6 months. High scores reflect typical behaviors, unless otherwise indicated.

ADOS, Autism Diagnostic Observation Schedule; BOLD, blood oxygen level-dependent; CSBS-DP, Communication and Symbolic Behavior Scales Developmental Profile; CSS, calibrated severity score; IJA, initiation of joint attention; MSEL, Mullen Scales of Early Learning; RBS-R, Repetitive Behavior Scale-Revised; RRB, restricted interest and repetitive behavior.

^aVariables for which high scores reflect atypical behaviors.

severity were obtained for ADOS and ADOS-2 modules by computing calibrated severity scores (CSSs) across all symptoms (85), as well as within the social affect and RRB symptom domains (86). CSSs (85,86) were shifted to set minimum values to zero and eliminate discontinuities (in RRB CSSs) engendered by the scoring algorithm. As detailed in prior IBIS publications (24,25,82), clinical best estimate ASD diagnoses were made at 24 months by experienced clinicians applying the DSM-IV-TR (98) checklist to available testing and interview data.

Image Acquisition

Data were collected using cross-site calibrated 3T Siemens MAGNETOM TIM Trio scanners with 12-channel head coils. A 3-dimensional sagittal T2-weighted sequence (echo time = 497 ms, repetition time = 3200 ms, matrix $256 \times 256 \times 160$, voxels 1 mm^3) was used for coregistration with blood oxygen level-dependent scans. All sites followed identical protocols using gradient-echo echo-planar image acquisition (echo time = 27 ms, repetition time = 2500 ms, voxels $4 \times 4 \times 4 \text{ mm}^3$). Infants were naturally sleeping during fMRI scanning, which involved two 6.25-minute runs (79).

Pre- and Postprocessing

We implemented the same basic functional MRI processing as previously described (25,79), with updates to improve data quality (Supplement, page 2). fcMRI processing applied global and nuisance signal regression, spatial and temporal filtering, bandpass filtering, and motion scrubbing at framewise displacement of 0.2 (99). Infants included in analyses were required to provide at least 150 noncensored frames. Neuroimaging exclusions are provided in Table S3.

Definition of Regions of Interest and fcMRI Computation

Computation of time series for the primary set of 230 regions of interest (ROIs) (10-mm diameter) were described by Pruett *et al.* (100), and ROI coordinates are provided in Table S4. In addition to five cerebellar ROIs in the primary 230-ROI set, we generated four new cerebellar ROIs based on their connectivity profiles with functional networks relevant to present hypotheses: the FPN and DMN. New cerebellar ROIs were centered on voxels that exhibited maximal correlations (in an independent 24-month sample) with network-average time series for the FPN or DMN, with one ROI placed for each hemisphere-network pair (left/right, FPN/DMN). Additional details regarding cerebellar ROI placement are reported in the Supplement (pages 3–4). Connectivity values were calculated as Pearson correlations between pairs of ROI time series (Figure 3A) and were Fisher r -to- z transformed for analyses.

Network Derivation

To obtain an age-appropriate network solution, we applied the Infomap community detection algorithm (101) to 6-month fcMRI data. Infomap was implemented in MATLAB release 2015b (The MathWorks, Inc.), and ROIs were sorted into networks at edge densities ranging from 2% to 10% (Figure S1). Structure-specific thresholding was applied to edges within structural components (cortical, subcortical, cerebellar), rather than across the entire brain (36). This approach integrates subcortical and cerebellar ROIs into whole-brain networks by accounting for the fact that subcortical-cortical and cerebellar-cortical correlations are relatively diminished due to acquisition factors (e.g., distance from head coil) (102). An automated procedure (102) was used to identify the consensus network structure (Figure 3B), and network names were determined by comparing our results with existing solutions, balancing neuroanatomical considerations (Figure S2). ROIs unassigned to networks ($n = 4$) were not analyzed.

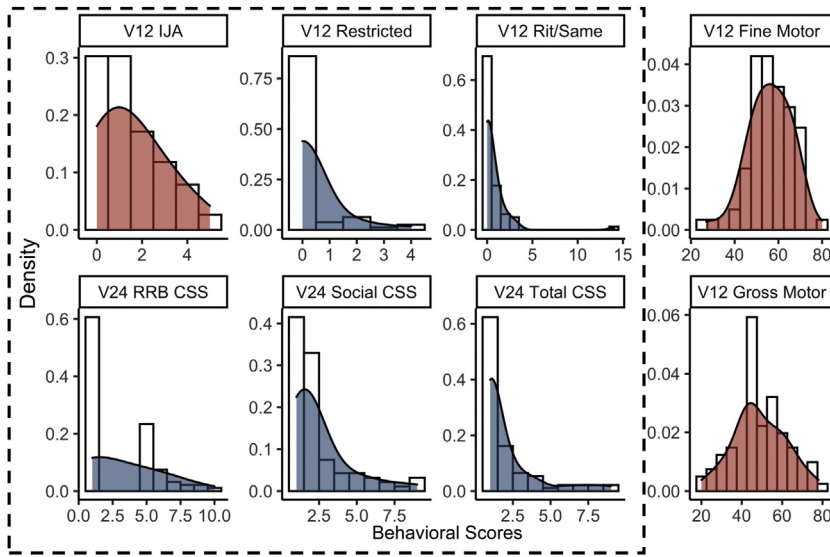


Figure 2. Combined histograms and density plots for autism spectrum disorder-associated behaviors at 12 and 24 months. The leftmost distributions (enclosed by dashed box) were modeled using Poisson and negative binomial regression, whereas the rightmost distributions were modeled using linear regression. Blue density curves identify variables for which high scores reflect atypical behaviors; red density curves identify variables for which high scores reflect typical behaviors. CSS, calibrated severity score; IJA, initiation of joint attention; Rit/Same, ritualistic and sameness behaviors; RRB, restricted interest and repetitive behavior; V12, 12-month visit; V24, 24-month visit.

Statistical Analysis

Univariate analyses were conducted in R (version 4.0.0; R Foundation for Statistical Computing) (103), and machine learning analyses were conducted in Python (104,105). Enrichment was implemented in Python (https://github.com/CPD-Lab/CBM_EA). In univariate and enrichment analyses, we modeled continuous outcomes because they provide more nuanced information about early behavioral development. In machine learning analyses, we predicted categorical outcomes

to facilitate comparison with prior IBIS work demonstrating accurate diagnostic outcome prediction (25).

Univariate Associations. To evaluate whether 6-month cerebellar-FPN and/or cerebellar-DMN connections contribute to the development of ASD-associated behaviors, 9 (cerebellar ROIs) \times 28 (12 FPN+16 DMN ROIs) correlation matrices were computed for each subject, and generalized linear models were used to examine associations between

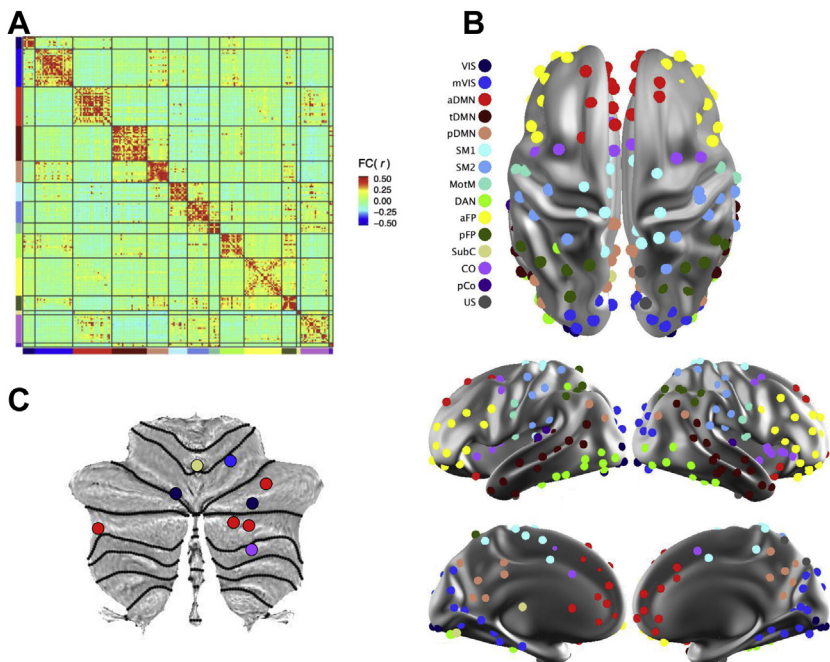


Figure 3. Functional network architecture in 6-month infants. **(A)** The sample-mean functional connectivity (FC) magnetic resonance imaging matrix depicts the correlation structure among spherical regions of interest (ROIs) ($n = 234$). ROIs are sorted by network assignment [see legend in panel **(B)**], and the color gradient illustrates the strength of correlations between ROIs. **(B)** Functional networks are visualized on dorsal, lateral, and medial surfaces of the brain. The color of an ROI identifies its network assignment. **(C)** Cerebellar ROIs, also colored by network [see legend in panel **(B)**], are visualized on a flattened cerebellar surface (124). aDMN, anterior default mode network; aFP, anterior frontoparietal network; CO, cingulo-opercular network; DAN, dorsal attention network; MotM, motor-mouth network; mVis, medial visual network; pCO, posterior cingulo-opercular network; pDMN, posterior default mode network; pFP, posterior frontoparietal network; SM1, somatomotor network 1; SM2, somatomotor network 2; SubC, subcortical network; tDMN, temporal default mode network; US, unspecified/unassigned; Vis, visual network.

matrix elements and dimensional behaviors (Figure 2). Based on distribution shape, Poisson regression was used for the Communication and Symbolic Behavior Scales, RBS-R, and ADOS variables, whereas linear regression was used for the Mullen Scales of Early Learning fine and gross motor variables. To ascertain robustness given intermittent evidence for overdispersion (106), we compared results from Poisson and negative binomial models. Empirical p values were calculated using randomization ($n = 5000$). To balance statistical rigor and power (Figure S3), false discovery rate (FDR) correction was performed with respect to the number of connections ($n = 252$). Connections were considered significant at FDR q values < 0.05 .

Multivariate Machine Learning Prediction. Whereas univariate approaches are well suited for identifying strong individual functional connections, machine learning approaches examine the collective utility of many functional connections. Prior IBIS work by Emerson *et al.* (25) achieved a highly accurate diagnostic outcome prediction (positive and negative predictive values $> 95\%$) using 6-month whole-brain functional connectivity. To determine whether accurate diagnostic outcome prediction is attainable using exclusively cerebellar features, we replicated their approach using 6-month cerebellar-FPN and cerebellar-DMN connections (networks defined using 6-month solution).

Detailed methods are provided by Emerson *et al.* (25). Briefly, support vector machine learning classifiers were trained and tested in a high-risk sample ($n = 59$) using nested, leave-one-out cross-validation. Features were selected based on the strength of correlations with 12- and 24-month ASD-associated behaviors. To be included as a training feature in the outer loop, we required that a given functional connection exhibit at least one nominally significant behavioral correlation ($p < .05$) across all folds of the inner loop. Hyperparameter tuning was conducted in the inner loop over a range of regularization values (C: [0.001, 10.0]) using a linear kernel and balanced class weights (25).

fcMRI Enrichment. fcMRI enrichment identifies functional network pairs that contain clusters of strong brain-behavior associations (78–80). To assess whether cerebellar contributions to ASD-associated behaviors are detectable in a brain-wide search space, we first identified network pairs that were enriched for associations with 12- and 24-month ASD-associated behaviors, and we then performed randomization testing to quantify the extent to which cerebellar connections were overrepresented in enriched networks.

Our approach proceeded in three steps. First, the 5% strongest brain-behavior associations (hereafter referred to as hits) were identified in real and shuffled ($n = 50,000$) data using univariate screening (Poisson or linear regression). Second, for every network pair, enrichment p values were computed as the fraction of shuffled runs with at least as many hits as real data. Based on simulations, we determined that p values $< .001$ were necessary to approximate a 5% brain-wide false positive rate. To avoid overlooking potentially informative results, p values $< .01$ were also considered significant if they

demonstrated the capacity to significantly predict behavior in secondary validation (Supplement, page 5).

Post Hoc Randomization. Between enriched networks, randomization testing ($n = 10,000$) examined whether cerebellar ROIs were overrepresented among hits. Cerebellar involvement was quantified as the number of hits (n_C) that included at least one cerebellar ROI. Empirical p values were computed as the fraction of the randomization distribution in which $n_{C_{\text{random}}} > n_{C_{\text{real}}}$. Aggregation of cerebellar-cerebral connections (in the top 5% of the randomization distribution) would identify important cerebellar contributions to ASD-associated behaviors, affording a whole-brain counterpart to hypothesis-driven testing.

RESULTS

Univariate Associations

Hypothesis-driven tests of univariate associations between 6-month cerebellar-cerebral (FPN, DMN) functional connectivity and later dimensional behaviors failed to implicate the cerebellum in ASD (Figure 4) despite statistical power to detect medium-sized effects (Figure S3). Prior to FDR correction, as expected by chance, 5.6% of univariate tests were significant at $p < .05$. Following FDR correction, no significant results remained at $q < .05$. To guard against false negatives, we reanalyzed data under conditions with fewer comparisons; results remained null (Supplement, page 6).

Multivariate Machine Learning

In the context of familial risk ($\sim 1/5$ chance of ASD), a machine that exclusively predicts the minority class (high-risk positive) will achieve $\sim 20\%$ positive predictive value, providing a baseline for classifier evaluation. Our classifier failed to meaningfully exceed 20% positive predictive value (observed positive predictive value = 23%), and performance was similarly poor with respect to other metrics (accuracy = 66%, sensitivity = 23%, specificity = 78%), indicating that cerebellar-FPN and cerebellar-DMN features are insufficient to inform diagnostic outcome prediction at 24 months.

fcMRI Enrichment

Enrichment identified four 6-month network pairs that exhibited strong associations with later ASD-associated behaviors (Figure 5), three of which passed secondary validation (Figure S4). Network pairs passing secondary validation included the posterior frontoparietal and medial visual (pFP-mVis), anterior frontoparietal and posterior default mode (aFP-pDMN), and cingulo-opercular and anterior default mode (CO-aDMN) pairings. The somatomotor-1 and temporal default mode (SM1-tDMN) network pair did not pass secondary validation ($p = .15$) and was not interpreted. Between the pFP and mVis, increased positive connectivity was associated with increased 24-month RRBs ($p = .006$). Between the anterior FPN and pDMN, increased positive connectivity was associated with decreased 12-month fine motor functioning ($p = .010$). Finally, between the CO and aDMN, increased positive connectivity was associated with decreased 12-month gross motor functioning ($p = .007$).

Candidate Cerebellar Biomarkers for ASD

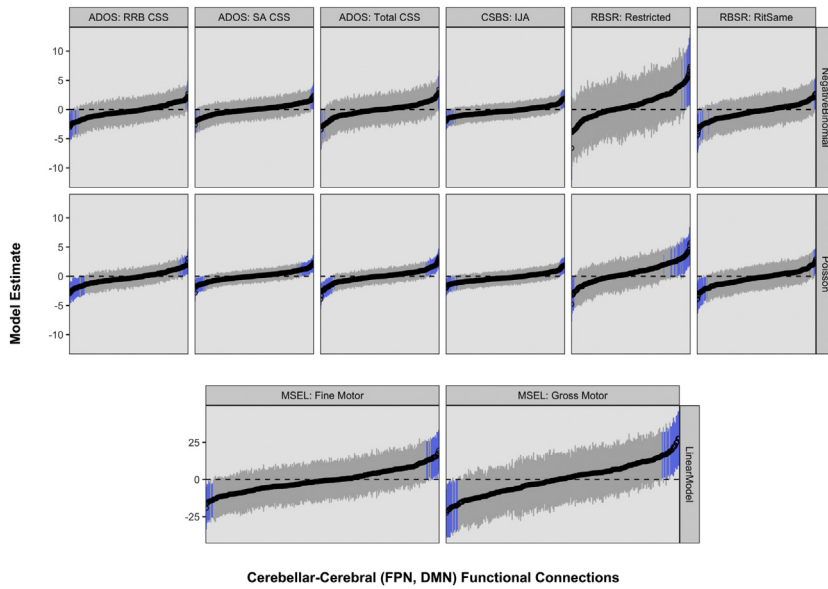


Figure 4. Hypothesis-driven tests of brain-behavior associations. Each subplot visualizes estimates and 95% confidence intervals (plotted on y-axis) for univariate models relating 6-month cerebellar–frontoparietal network (FPN) and cerebellar–default mode network (DMN) functional connections ($n = 252$ per subplot; plotted on x-axis and sorted by magnitude) to 12- and 24-month autism spectrum disorder (ASD)-associated behaviors ($n = 8$; indicated at top of subplots). The modeling approach (Poisson, negative binomial, or linear regression) is indicated at right, and blue confidence intervals identify significant results (empirical $p < .05$) prior to false discovery rate correction. Following false discovery rate correction, no significant results remained at false discovery rate–corrected $q < .05$. ADOS, Autism Diagnostic Observation Schedule; CSBS, Communication and Symbolic Behavior Scales; CSS, calibrated severity score; IJA, initiation of joint attention; MSEL, Mullen Scales of Early Learning; RBSR, Repetitive Behavior Scale–Revised; RitSame, ritualistic and sameness; RRB, restricted interest and repetitive behavior; SA, social affect.

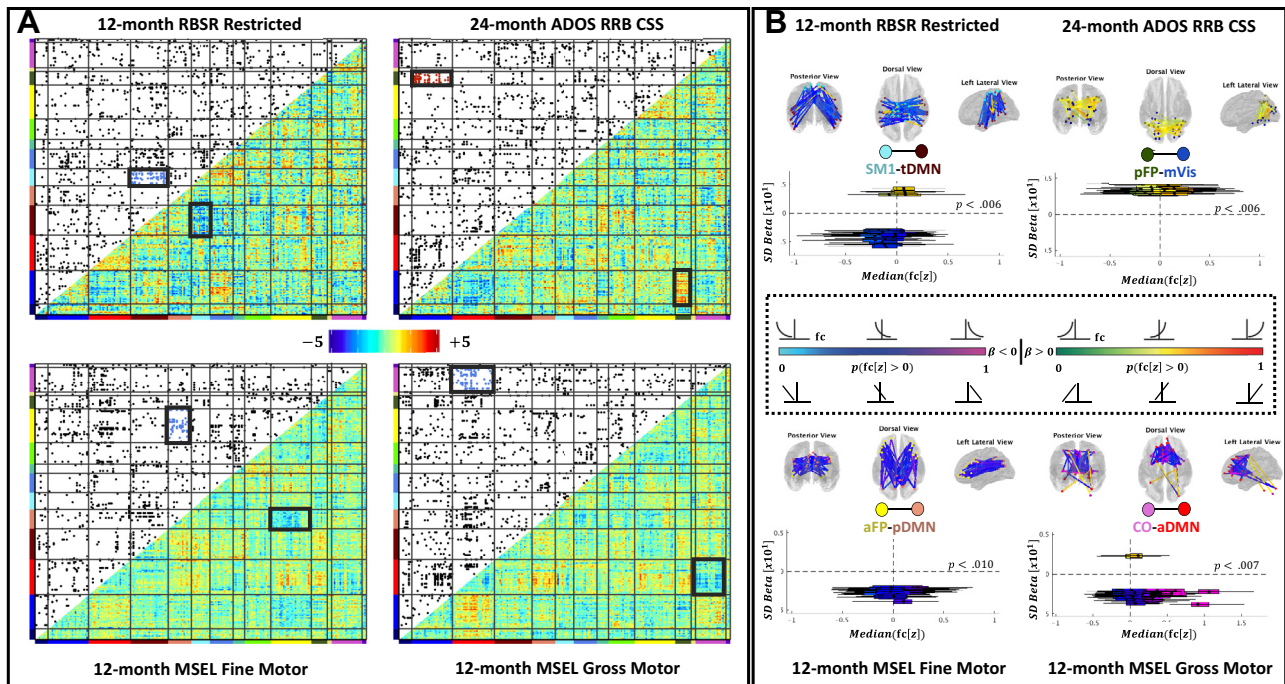


Figure 5. (A) Within and between networks, clusters of brain-behavior associations were identified using enrichment. Lower triangles depict β coefficients. Upper triangles were generated by applying a 5% threshold to β coefficients. Each black dot represents a single, strong brain-behavior association. (B) Six-month functional connections between the somatomotor network 1 and temporal default mode network (SM1-tDMN), posterior frontoparietal network and medial visual network (pFP-mVis), anterior FP and posterior DMN (aFP-pDMN), and cingulo-opercular network and anterior DMN (CO-aDMN) were strongly associated with 12- and 24-month motor functioning and restricted interests and repetitive behaviors (RRBs). Within enriched network pairs, locations of strong brain-behavior associations are visualized on posterior, dorsal, and lateral views of the brain (top). Box plots (bottom) further illustrate the range of functional connectivity values in the study sample (x-axis) that underlie each brain-behavior correlation (y-axis). Blue-pink and green-red color gradients identify negative and positive brain-behavior associations, respectively. Specific colors denote the sign and strength of functional connectivity (e.g., pale blue/green = predominantly negative connectivity; pink/red = predominantly positive connectivity). All network pairs except the SM1-tDMN passed our secondary validation protocol. ADOS, Autism Diagnostic Observation Schedule; CSS, calibrated severity score; MSEL, Mullen Scales of Early Learning; RBSR, Repetitive Behavior Scale–Revised.

Post Hoc Randomization

Two of the three significant network pairs contained cerebellar ROIs: CO-aDMN and pFP-mVis. Significant aggregation of cerebellar-cerebral connections was not observed in either network pair (Figure 6).

DISCUSSION

The present study failed to observe a relationship between 6-month cerebellar connectivity and later ASD-associated behaviors and outcomes. Univariate tests of cerebellar-FPN and cerebellar-DMN connections did not identify associations with ASD-associated behaviors, multivariate machine learning tests of cerebellar-FPN and cerebellar-DMN connections did not achieve above-chance ASD diagnostic classification accuracy at 24 months, and fcMRI enrichment with post hoc randomization did not support a substantial role for infant cerebellar connectivity in enriched networks. Although cerebellar functional connections did not predict ASD-associated behaviors and outcomes, fcMRI enrichment identified multiple 6-month network correlates of 12- and 24-month ASD-associated behaviors. Specifically, we observed prospective correlates of

motor behaviors and RRBs in functional networks implicated in error signaling (FPN) and ASD (DMN).

Cerebellar Effects May Manifest After ASD Symptoms Have Consolidated

Given strong motivation for examining the cerebellum as a presymptomatic risk biomarker for ASD, the present results should not be taken to falsify cerebellar theories of ASD pathogenesis. We examined cerebellar functional connectivity as a predictor of ASD, focusing primarily on cerebellar-FPN and cerebellar-DMN connections. Alternatively, cerebellar connectivity may differentiate individuals with ASD after symptoms have consolidated. This putative sequencing is supported by computational work, which suggests that cerebellar circuitry expedites cortical processing in situations in which established stimulus-response associations exist (8). It is also supported by recent models of neurodevelopment, which argue that disrupted sensorimotor and attentional experiences precede alterations in experience-dependent brain development (28). Densely sampling brain and behavior data at multiple time points across the first few years of life would facilitate identification of developmental epochs that may be acutely sensitive to—or predictive

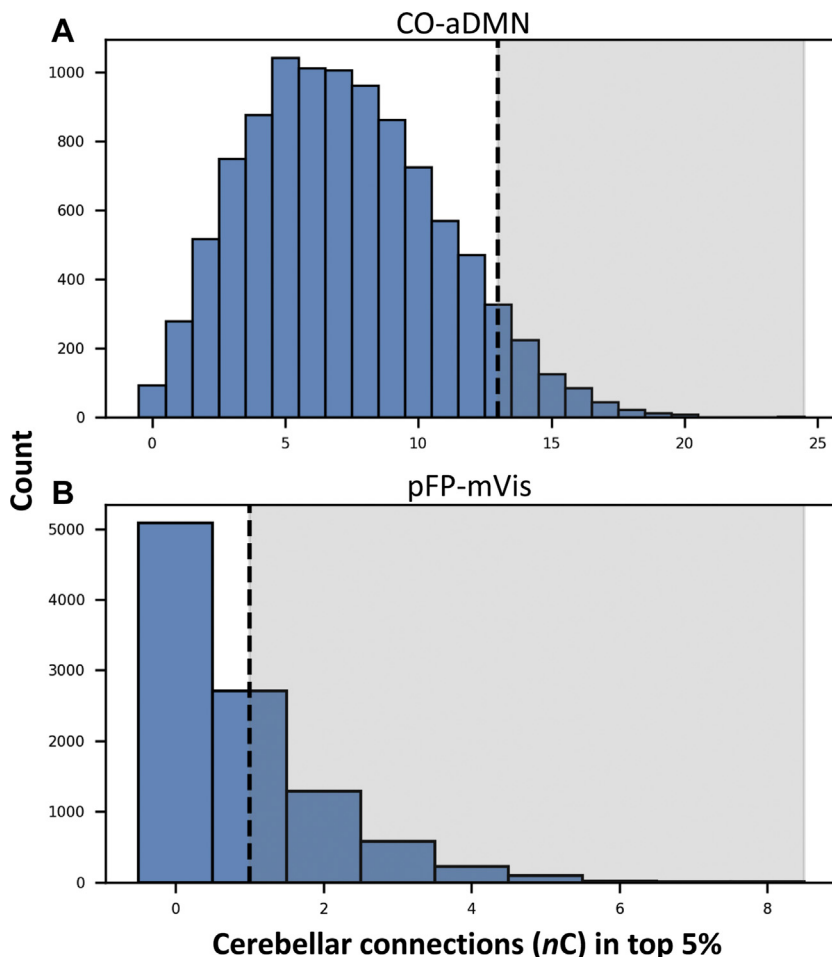


Figure 6. Cerebellar contributions to network enrichment. Dotted lines indicate the number of cerebellar hits (n_C) in real data, and shaded regions identify randomization runs in which $n_{C_{random}} > n_{C_{real}}$. **(A)** Between the cingulo-opercular network and anterior default mode network (CO-aDMN), 8.41% of randomization runs included at least as many cerebellar hits as were observed in real data. **(B)** Between the posterior frontoparietal network and medial visual network (pFP-mVis), 49.17% of randomization runs included at least as many cerebellar hits as were observed in the real data. These results fail to support a statistically significant role for the cerebellum in the emergence of 12- and 24-month autism spectrum disorder-associated behaviors.

of—cerebellar disturbance. In addition to issues of development, research is needed to comprehensively characterize associations among cerebellar pathology (assessed using multiple neuroimaging modalities), EBL (assessed using behavioral tasks with well-described cerebellar circuitry), and ASD (assessed with respect to diagnosis and severity).

fcMRI Enrichment Identified Network Correlates of ASD-Associated Behaviors

Despite null cerebellar findings, fcMRI enrichment identified clusters of strong brain-behavior relationships in networks of a priori interest (FPN, DMN), as well as in the CO and mVis. This pattern of results is broadly consistent with the triple network model of psychopathology (107,108). Although we did not make a priori hypotheses about the CO, along with the FPN, it subserves error signaling in support of EBL (74,109,110). Together, the FPN and CO support the broader “control system” (74,111), and their involvement in enriched network pairs raises the possibility that ASD-associated behaviors reflect cortically mediated error signaling impairments. Behavioral studies are necessary to rigorously test cortical versus cerebellar learning systems (8) to determine which may account for emerging ASD-associated behaviors. DMN representation within enriched network pairs supports the developmental extension of findings obtained in older samples (54,75–77). Notably, a recent review implicated both the FPN and DMN in ASD across multiple neuroimaging modalities and heterogeneous samples (112).

To contextualize the present enrichment results between control and sensorimotor network (pFPN-mVis) and control and default mode (aFPN-pDMN, CO-aDMN) network systems, we compiled results from prior fcMRI enrichment studies that also examined functional connectivity in relation to ASD-associated behaviors (Figure S5). Consistent with the present findings, more positive connectivity between control and default mode systems was reliably associated with atypical behaviors (poorer motor functioning, increased RRBs). Similar patterns have been reported in multiple psychiatric and neurodevelopmental disorders (107) and may reflect compensatory activation (i.e., recruitment of multiple brain networks for processes typically performed by a single network) (113,114) and/or network dedifferentiation (i.e., reduced segregation) (115).

Whereas brain-behavior associations between default mode and control systems exhibited consistent patterning across fcMRI enrichment studies, brain-behavior associations between sensorimotor and control systems were mixed. To account for this variation, it may be important to again consider the moderating effects of age. In early development, aspects of the control system are posited to modulate input from other regions of the brain, scaffolding age-appropriate learning and behavior (116). Whether increased connectivity between visual and control systems supports or disrupts behavioral development may depend, critically, on the age of the child (117).

Limitations

This work represents the largest analysis of cerebellar fcMRI in a prospective infant sample at high risk for ASD. There are, however, several limitations. First, although simulation-based power analyses suggested sufficient power to detect

medium-sized brain-behavior effects (118), identifying small-sized, reproducible effects will require larger samples (119). Second, data were analyzed from nine 10-mm cerebellar ROIs. It is unclear whether results generalize to other regions in the cerebellum, and it is possible that ROI size and/or placement resulted in signal mixing across resting-state networks. Third, cerebellar ROI placement was optimized to test hypotheses about the FPN and DMN, and future studies might instead optimize cerebellar ROI placement in relation to other networks (e.g., CO) or anatomical structures. Fourth, at 6 months, reduced cortical-subcortical connectivity and regional subdivisions in late-maturing networks (e.g., DMN, FPN) (120,121) complicate efforts to ascribe adult-like function to infant data. Behavioral assessment of EBL is necessary to establish a more direct link to ASD phenotypes. Fifth, some measures of ASD-associated behavior (ADOS, RBS-R) were developed to characterize variation in clinical samples (85,92) and exhibited limited variability among individuals without ASD, possibly attenuating brain-behavior relationships. Finally, cerebellar ROIs placed in relation to the FPN (in an independent 24-month sample) did not exhibit preferential connectivity with the FPN in our 6-month sample (Supplement, pages 3–4). However, these cerebellar ROIs, which were placed by reverse seeding the FPN, lie in regions that map to the FPN in independent adult samples (122), indicating that ROI placement generalizes to later stages of development. We propose three explanations to reconcile these observations.

First, it is possible that patterns of cerebellar-network connectivity in infants differ markedly from patterns of cerebellar-network connectivity in toddlers. Large-scale functional brain networks exhibit rapid maturation during the first (e.g., DMN) and into the second (e.g., FPN) year of life (121), providing precedent for developmental functional network changes. Second, it is possible that components of the control system modulate sensory and motor processing to scaffold early learning [e.g., (116)], in which case relatively elevated 6-month functional connectivity between somatomotor ROIs and cerebellar ROIs placed in relation to the FPN (cf. Supplement, page 4) may support motor skill acquisition. This explanation is broadly consistent with (the extant limited number of) fcMRI enrichment studies (78–80,123) indicating that functional connectivity between control and somatomotor networks is more variable across development than functional connectivity involving the DMN (Figure S5). Third, attenuated correlations may be expected if infant cerebellar-FPN connectivity reflects presymptomatic risk for ASD. Under such conditions, interindividual variation in 6-month data may index group differences of primary interest. Univariate analyses were conducted to test, but did not find support for, this explanation. Future studies in infant and toddler samples—both enriched and not enriched for ASD—are necessary to clarify developmental network dynamics and infant ROI nomenclature.

Conclusions

We examined cerebellar connectivity as a presymptomatic risk biomarker for ASD. Contrary to hypotheses, analyses did not reveal strong associations between infant cerebellar functional connectivity and later ASD-associated behaviors and outcomes. Instead, fcMRI enrichment identified clusters of

brain-behavior relationships between infant networks implicated in error signaling (FPN, CO) and ASD (DMN) (40,75). To thoroughly interrogate cerebellar theories of ASD, future studies may investigate cerebellar pathology in relation to ASD-associated behaviors and outcomes at different stages of development and/or using different neuroimaging modalities. Such efforts hold promise to identify mechanistically informed risk biomarkers for ASD, bridging scientific theory and clinical translation. Research aimed at risk biomarker discovery should also consider focusing attention on early patterns of connectivity between enriched (control, default mode, visual) networks.

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