

1 **Impacts of the shift from distressed pavements to low noise pavements**
2 **in motorways – a case study in Portugal**

3
4
5 **ABSTRACT.**

6 Road traffic noise is a relevant environmental problem, resulting essentially from the contact mechanisms
7 between tyre and pavement surface. According to the current legislation, noise management actions must
8 primarily intervene at the source. BRISA is employing efforts to determine pavement influence as a
9 parameter of source noise reduction in order to address the well-being of the population surrounding
10 highways and simultaneously comply with European directives regarding Environmental Noise evaluation
11 and management. This Project evaluates the environmental noise effects of replacing a wearing course of
12 Porous and Bituminous Asphalt at end-of-life for a course of SMA12, using two different methodologies
13 for tyre-road noise measurement: the Statistical Pass-By method and the Close Proximity method.

14
15 **Keywords.** Tyre-road noise; CPX/SPB; SMA.
16

17 **Introduction**

18 Tyre-road noise is influenced by several factors, namely driver behaviour (speed control and tyre
19 pressure), tyre characteristics (structure, dimension, rubber stiffness, tread, wear, and age),
20 pavement surface characteristics (macro and mega texture, irregularity, porosity, stiffness, age,
21 wear, and water presence) and weather conditions (temperature and wind) (Sandberg and Jerzy,
22 2002). There are specific test methods for measuring tyre-road noise, which must be
23 complemented with other surface characterisation tests such as texture, sound absorption, and
24 surface layer stiffness determined by mechanical impedance.

25 In Portugal, a few studies were carried out based on those methodologies, namely the
26 Statistical Pass-By method (SPB) and the Close ProXimity method (CPX), only with an
27 exploratory nature or to support research activities (Antunes et al., 2008, Freitas et al, 2008,
28 Freitas et al, 2009, Freitas et al, 2019). There is yet no technical documentation that defines
29 reference values or surfaces, regarding the conformity of production or performance over time.
30 Recently, the EU Green Public Procurement Criteria for Road Design, Construction and
31 Maintenance was adapted to Portuguese conditions (APA, 2020), in the framework of the
32 National Strategy for Green Public Procurement (ENCPE 2020). Nevertheless, it is a guiding
33 document where minimum applicable requirements for the design of low noise pavements are
34 indicated. Despite the lack of references for tyre-road noise assessments, the framework dictated
35 by the European directives on environmental noise assessment and management (2002/49/EC and
36 (EU) 2015/96, of June 25th and May 19th, respectively, in which noise predictions are based on
37 road-noise, must be respected. Therefore, a gap must be fulfilled concerning tyre-road noise
38 characterization for the Portuguese conditions.

39 In this context, *Brisa – Concessão Rodoviária*, S.A., the biggest Portuguese highway
40 concessionaire, has developed efforts to determine pavement influence as a source noise reduction
41 method and gather the information necessary to apply the CNOSSOS noise prediction method to
42 its highway network, following the work developed by Anfosso-Ledee and Goubert (2019).

43 This study analyses the effect of replacing wearing courses of Porous Asphalt (PA 12.5)
44 and Asphalt Concrete (AC 14), at end-of-life, with a high-performing wearing course of Stone
45 Mastic Asphalt (SMA 12), through SPB and CPX methods.

46 **Study sections and test methods**

47 *Study Methodology*

48 For this exploratory study, three highway sections were selected where pavement interventions
49 were foreseen, i.e., replacing the existing wearing course with one of the SMA 12 type. Before
50 and after the interventions, tyre-road noise was evaluated through two different methodologies:
51 Statistical Pass-By Method (SPB) and Close ProXimity Method (CPX).

52 *Description of the Study Sections*

53 The main characteristics of the pavement wearing course of the three highway sections are
54 summarised in Tables 1-3, before and after replacing the wearing course. These characteristics
55 include grading curve, bitumen content, air void content, and macrotexture.

56 The Mean Profile Depth (MPD) values obtained for the pavements of the three highway sections
57 before replacing the wearing course are presented in Table 4.

58

Table 1. Characterisation of the mixtures of wearing courses before and after intervention (Highway A)

Highway A Old Pavement - AC 14 Surf 35/50					Highway A Rehabilitated Pavement - SMA 12 Surf PMB 45/80-65								
Grading Curve AC 14 Surf 35/50			Bitumen Content	Air void content	Macrotecture MTD	Grading curve (Quality Control) SMA 12 Surf PMB 45/80-65				Bitumen content	Air Void content	Macrotecture	
Sieves # mm	Grading Specification (% pass)		Specification limits			Sieves # mm	Grading Specification (% pass)		Passing values %	STD - passing %	% avg	% avg	MTD _{avg}
16	100	100	≥ 5,0%	6,0% ± 2,0	≥ 0,7 mm	16	100	100	100	± 0	6,3 ± 0,2	6,3 ± 1,2	1,3 ± 0,1
14	100	90				14	100	100	97	± 1			
12,5	88	80			12,5	100	95	92	± 2				
10	77	67			10	100	80	79	± 3				
8					8	80	60	60	± 3				
6,3					6,3	60	43	43	± 3				
4	52	40			4	28	22	23	± 2				
2	40	25			2	22	18	18	± 2				
1					1			16	± 2				
0,500	19	11			0,500	19	15	15	± 1				
0,250					0,250			13	± 1				
0,125	11	6			0,125			11	± 1				
0,063	8	5			0,063	11	8	8,8	± 1,1				

59

60

Table 2. Characterisation of the mixtures of wearing courses before and after intervention (Highway B)

Highway B Old Pavement - AC 14 Surf 35/50					Highway B Rehabilitated Pavement - SMA 12 Surf PMB 45/80-65								
Grading Curve AC 14 Surf 35/50			Bitumen Content	Air void content	Macrotecture MTD	Grading curve (Quality Control) SMA 12 Surf PMB 45/80-65				Bitumen content	Air Void content	Macrotecture	
Sieves # mm	Grading Specification (% pass)		Specification limits			Sieves # mm	Grading Specification (% pass)		Passing values %	STD - passing %	% avg	% avg	MTD _{avg}
16	100	100	≥ 5,0%	6,0% ± 2,0	≥ 1,1 mm	16	100	100	100	± 0	6,5 ± 0,1	6,2 ± 0,01	1,4 ± 0,1
14	100	90				14	100	100	99	± 1			
12,5	90	70			12,5	100	95	97	± 3				
10	78	62			10	100	80	80	± 3				
8					8	80	60	60	± 4				
6,3					6,3	60	43	41	± 4				
4	39	28			4	28	22	25	± 3				
2	30	22			2	22	18	19	± 1				
1	25	17			1			17	± 1				
0,500	20	12			0,500	19	15	15	± 1				
0,250					0,250			13	± 1				
0,125					0,125			11	± 1				
0,063	10	6			0,063	11	8	8,6	± 0,7				

61

62

Table 3. Characterisation of the mixtures of wearing courses before and after intervention (Highway C)

Highway C Old Pavement - PA 12,5 PMB 45/80-65					Highway C Rehabilitated Pavement- SMA 12 Surf PMB 45/80-65								
Grading Curve PA 12,5 PMB 45/80-65			Bitumen Content	Air void content	Macrotecture MTD	Grading curve (Quality Control) SMA 12 Surf PMB 45/80-65				Bitumen content	Air Void content	Macrotecture	
Sieves # mm	Grading Specification (% pass)		Specification limits			Sieves # mm	Grading Specification (% pass)		Passing values %	STD - passing %	% avg	% avg	MTD _{avg}
16	100	100	≥ 4,0%	22 - 30%	≥ 1,2 mm	16	100	100	100	± 1	6,4 ± 0,1	5,6 ± 1,4	1,3 ± 0,1
14						14	100	100	98	± 2			
12,5	100	80			12,5	100	95	95	± 2				
10	80	55			10	100	80	85	± 3				
8					8	80	60	65	± 3				
6,3	48	28			6,3	60	43	45	± 3				
4	28	14			4	28	22	25	± 2				
2	21	10			2	22	18	19	± 1				
1	14	6			1			16	± 1				
0,500					0,500	19	15	14	± 1				
0,250					0,250			13	± 1				
0,125					0,125			11	± 1				
0,063	5	2			0,063	11	8	8,7	± 1,1				

63

Table 4. Macrotexture Information (MPD)

Highway	Min. (mm)	Max. (mm)	Mean (mm)
A	0,5	1,4	0,8
B	0,6	2,3	1,3
C	1,7	3,6	2,5

65 *Statistical Pass-By method (SPB)*

66 The Statistical Pass-By method (SPB) is a standardised method published by ISO 11819-1:1997,
67 aiming to determine an indicator that considers the noise emitted by pass-by road traffic.

68 In this way, it is possible to obtain a quantitative classification of road pavement surfaces
69 related to road traffic noise to satisfy the necessities expressed by road infrastructure managers,
70 designers, contractors, pavement manufacturers, and other parties interested in predicting and
71 controlling road traffic noise.

72 To determine the sound pressure levels that characterise a given pavement surface
73 (wearing course), a reference speed for light and heavy vehicles is adopted. The method is
74 applicable at constant traffic speed, i.e., free flow conditions (without interference from other
75 vehicles) circulating at speeds equal to or greater than 50 km/h, meaning, for highways, a speed
76 of 90 km/h for heavy vehicles, and 120 km/h for light automobiles. The SPB method requires
77 several in situ measurements, under normal driving conditions, of the maximum sound pressure
78 level (Lmax) and circulating speed of a passing vehicle, using a sound meter (class 1 as specified
79 in IEC 61672-1) positioned at 7,5 m from the centre line, and a kinemometer (radar).

80 Maximum sound pressure levels differ according to the class of the vehicle. Thus, at each
81 vehicle pass-by, the maximum A-weighted sound pressure level is recorded, the speed and the
82 vehicle type (light, heavy dual-axle, and heavy multi-axle vehicles). After the passage of at the
83 least 100 light vehicles and 80 heavy vehicles, a linear regression is established between the
84 logarithm of the speed and the maximum sound pressure level. Subsequently, the corresponding
85 sound level for a certain reference speed is determined according to the road type. The resulting
86 SPB Indicator (SPBI) from this method is an index value, in dB(A) based on the noise levels of
87 different vehicle classes.

88 In this work, since the method requires measuring each vehicle per se, without the
89 interference of others, only the events which fulfilled such criteria were selected. Therefore,
90 passages that were influenced by the noise from other sources were excluded. Only two classes
91 of vehicles (light and heavy) were considered.

92 *Close ProXimity method (CPX)*

93 With the advantage of measuring the tyre-road noise continuously, the Close ProXimity method
94 (CPX) was used as defined in the EN/ISO 11819-2:2017 standard. In the present case, the noise
95 measurement was performed close to one of the wheels of the testing vehicle, where two
96 microphones were placed according to the mounting scheme defined by the standard. An analysis
97 software processed the signals recorded during testing, and the noise emission (A-weighted) was
98 evaluated in 20-metre sections as the arithmetic mean of the sound levels recorded by each
99 microphone, and by the corresponding sound spectrum in 1/3 octave bands (L_{CPX}). In this study,
100 only the tyre representative of light vehicles (P) was considered.

101 The measurements were taken along the three sections for reference speeds of 50 km/h,
102 80 km/h, and 100 km/h, although, in the latter case, they were not carried out in all sections tested.

103 **Presentation and analysis of the results for the SPB method**

104 *Measured Noise Level on each Highway*

105 Table 5 shows the results obtained by the SPB method on the three highway sections, before and
 106 after replacing the wearing courses. The comparison of the SPBI shows a significant reduction of
 107 3 and 4 dB(A), respectively on the highway sections A and B, which was similar for light and
 108 heavy vehicles.

109 Highway A provided consistently lower tyre-road noise values. This performance must
 110 be further investigated. One possible cause might be the applicability of the SPB method
 111 concerning the geometric requirements. The north of Portugal is characterized by high road slops,
 112 consequently, high embankments, short shoulders and recovery areas. Before the intervention,
 113 Highway C, on porous asphalt provided the same noise level as Highway B, on asphalt concrete,
 114 which shows that it had lost most of the absorption capacity that characterizes this type of mixture.
 115 The analysis of the noise spectra will help explain these remarks.

116 *Spectrum Analysis*

117 Several mechanisms and factors determine the sound spectra resulting from tyre-road contact, the
 118 main ones being vibrations promoted by the pavement texture in the tyres and the pavement
 119 maintenance state (for frequencies lower than about 1000 Hz), and the air movements resulting
 120 from the interaction of the tyre tread with the irregularities of the pavement (for frequencies higher
 121 than about 1000 Hz) and by the sound absorption (Bühlmann and Ziegler, 2012).

122 Figures 1-3 show the sound spectra for each highway.

123 Table 5. Results obtained by SPB testing

Highway	Interven	Vehicle class	% Light/Heavy vehicle	Average L_{max} dB(A)	Standard sound signal deviation dB(A)	Standard speed deviation (km/h)	Average speed (km/h)	L_{veh} (dB(A) (120km/h and 90km/h)	SPBI dB(A)
A	Before	Light	95%	77	3,7	17,7	108	78	78
		Heavy	5%	80	3,7	13,5	85	80	
	After	Light	95%	77	6,5	14,4	109	75	75
		Heavy	5%	78	1,8	12,3	88	77	
B	Before	Light	66%	82	1,9	18,3	114	82	85
		Heavy	34%	86	1,2	4,5	87	87	
	After	Light	67%	82	1,3	17,9	122	82	86
		Heavy	33%	89	1,8	6,6	92	88	
C	Before	Light	93%	87	4,5	13,7	124	86	86
		Heavy	7%	87	2,4	5,6	90	87	
	After	Light	93%	82	3,4	13,9	120	81	82
		Heavy	7%	84	3,6	8,3	94	82	

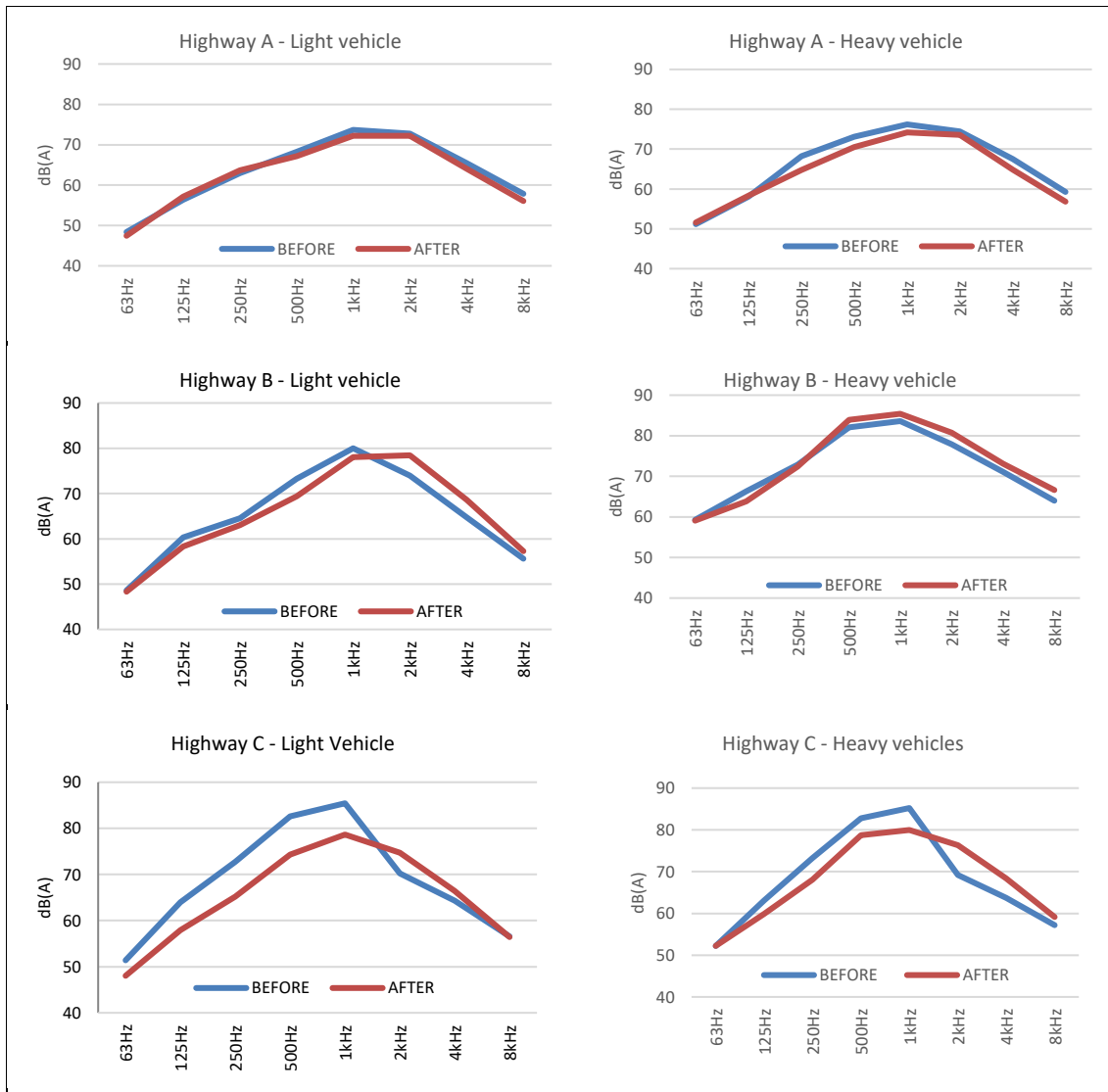


Fig.1. Sound level vs frequency (1/1 octave band).

125

126

127

128

129

130

131

132

Before and after replacing the wearing course, the analysis of the frequency spectrum per octave band shows an identical behaviour for low frequencies, except for highway C where a decrease in sound pressure levels per frequency was observed for light vehicles. For high frequencies, on highway A the behaviour at low frequencies is also identical, while on highways B and C, there was an increase in the sound pressure levels per frequency, which was more accentuated for heavy vehicles.

133

Presentation and analysis of the results for the CPX method

134

Measured Noise Level on each Highway

135

136

137

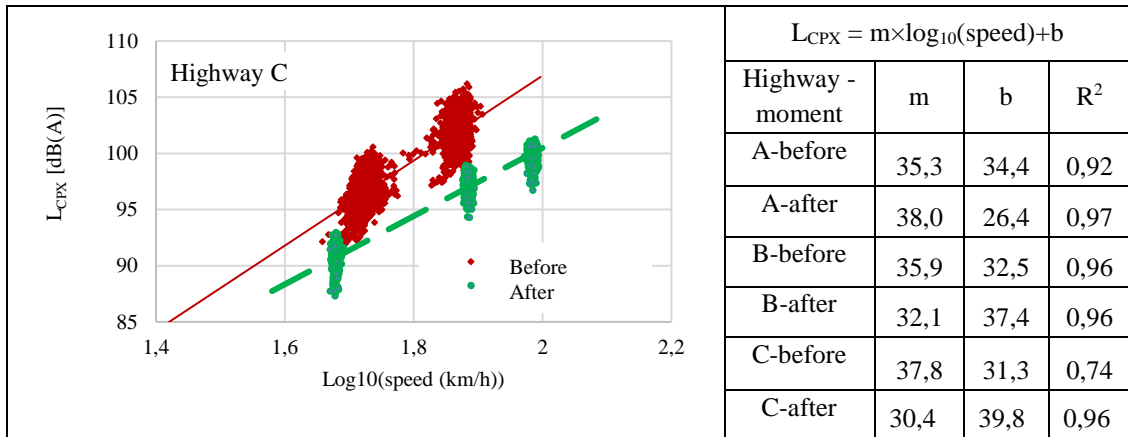
138

139

The measured noise level is significantly affected by the test vehicle speed. For results comparison for the three defined speeds from the noise levels determined in each 20-metre segment and the corresponding speed, $L_{CPX} - \log_{10}(\text{speed})$ regression lines were defined, whose slope (m) is used to correct the measured L_{CPX} for a given reference speed. For the situations before and after the intervention, Figure 4 shows the obtained data and the fit lines. The figure

140 also shows, for the three highways, the obtained regression line parameters, slope (m) and
 141 ordinate at the origin (b), and the coefficient of determination (R^2).

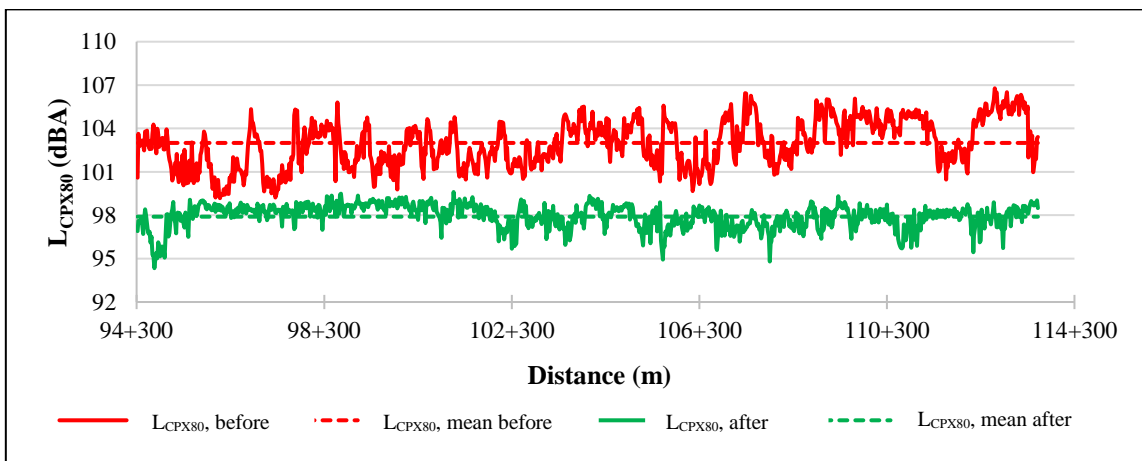
142 It can be observed that the parameter representing noise increase with speed changes
 143 significantly for highway C, indicating that the impact of the intervention in terms of noise
 144 reduction is higher at higher speeds. Highway B shows a similar trend to that of C. However, for
 145 highway A this trend is reversed.
 146



147 Fig.4. L_{CPX} at 50, 80 and 100 km/h in Highway C / Regression line coefficients for all highways.

148 All L_{CPX} values were adjusted for the reference speeds (50, 80, and 100 km/h). Figure 5 shows
 149 the values obtained for the 80 km/h reference speed before and after intervention on highway C.
 150 In addition to facilitating the comparison of noise levels obtained along a section at different
 151 pavement life moments, this type of visualisation helps identify zones of homogeneous and
 152 heterogeneous behaviour, which can be related to performance explicative factors such as texture.
 153 In this section before the intervention, noise variability along it is notorious, reaching 7,6 dB(A).

154 After the intervention, besides the observed reduction of the average L_{CPX} by 5 dB(A),
 155 the noise variability was also reduced to 5 dB(A). The coefficient of variation after the
 156 intervention reduced for each section, which indicates that tyre-road noise became more
 157 homogeneous and that the effect of the intervention in some locations is much higher than the
 158 average effect determined by the difference of the mean L_{CPX} . If L_{CPX} per segment is considered,
 159 there are differences before-after intervention reaching 12 dB(A).

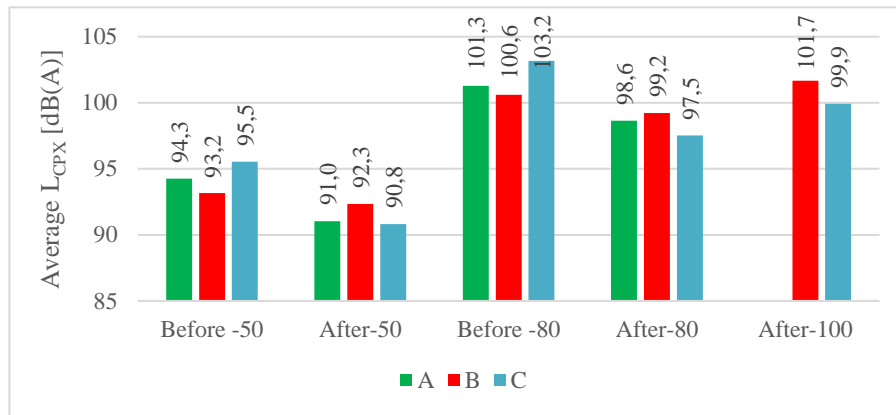


160

161

Fig.5. L_{CPX} at 80 km/h in highway C before and after intervention (example).

162 For an overall evaluation of the effect of changing the wearing course in the three highway
 163 sections, the mean L_{CPX} was determined at each reference speed in both traffic directions (see
 164 Figure 6). Highway C benefitted the most from the course change, while highway B presented
 165 only a small reduction.

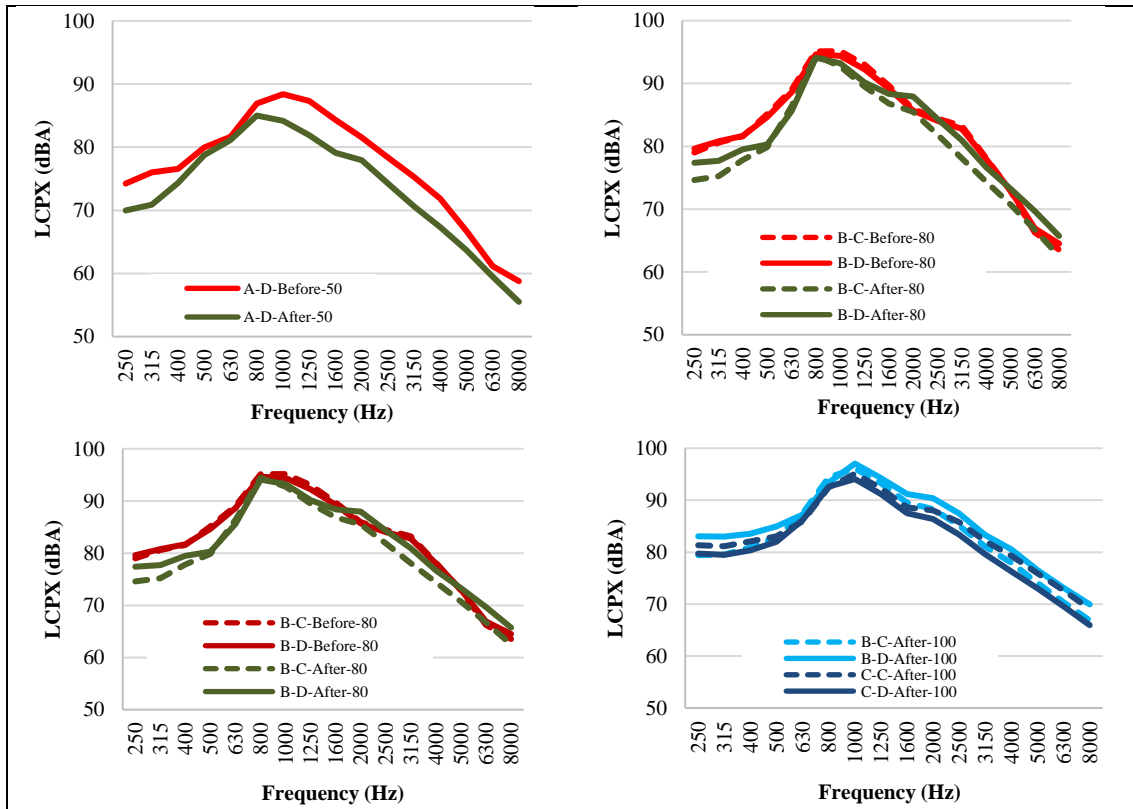


166

167 Fig.6. L_{CPX} Noise Levels at 50, 80 and 100km/h in Highways A, B and C, before and after intervention.

168 **Spectrum Analysis**

169 Figure 7 presents the sound spectra for a speed of 80 km/h, per direction (C-crescent, D-
 170 decrescent), before and after the intervention, for the three highways, and for a speed of 100 km/h
 171 after intervention for highways B and C.



172

173

Fig.7. L_{CPX} at 80 km/h in highways A, B and C, before and after intervention, and at 100 km/h in highways B and C, after intervention.

174 On highway A, the effect of changing the wearing course was predominant at high frequencies,
175 meaning that noise reduction was essentially due to favourable air movements provided by the
176 texture. This effect is opposite to that observed for highway C, where after the intervention there
177 was a significant noise reduction at low frequencies and a small increase at high frequencies. The
178 new wearing course provided a reduction in tyre vibrations and negatively affected the air
179 movement mechanisms resulting from tyre-road interaction. The effect in the case of highway B
180 was closer to that of highway A.

181 Considering the reference speed of 100 km/h, as it is closer to the operational speed in
182 this type of road, it should be noted that the noise variation at each frequency, resulting from the
183 intrinsic variability of construction conditions, was in average 4 dB(A).

184 **SPB and CPX method comparison**

185 The two methods were able to provide data to determine the resulting noise change after the
186 replacement of the existing wearing course by one of the SMA 12 type and also investigating the
187 factors affecting it.

188 The results of both methods allow to establish the same hierarchy in terms of ordering
189 the highways according to the level of noise reduction after replacement of the wearing course,
190 specifically: highway C, highway A, and highway B.

191 Also, there is some similarity regarding the level of noise reduction after replacement of
192 the wearing course of the three highways, obtained by both methods. Specifically, for light
193 vehicles, there is a reduction of about 3 dB(A) on highway A, a reduction of 5 dB(A) on highway
194 C, and a variation between - 1.4 dB(A) and + 1 dB(A) on highway B.

195 Overall, the results obtained by both methods on highways B and C confirm the noise
196 difference of approximately 20 dB(A) for light vehicles, corroborating the relationship obtained
197 in international studies [ROSANNE, 2016]. Thus, in an expedite way the noise levels obtained by
198 one methodology can be reasonably estimated in function of the values measured by the other.

199 Only in a more detailed analysis of noise level reduction by frequency ranges can be seen
200 a greater dissonance between the two methods. In fact, the results of the CPX method indicate
201 that replacement of the wearing course generates a greater reduction in noise levels at high
202 frequencies in the case of highways A and B, and at low frequencies in the case of highway C.
203 The results of the SPB method, in turn, indicate that wearing course replacement does not allow
204 for a reduction in noise levels at high frequencies in any of the highways, and that it allows for a
205 reduction at low frequencies in highway C. This observation is due to the effect of heavy vehicles
206 which, in this analysis, are considered only in the SPB method, and to the effect of sound
207 propagation.

208 **Conclusions**

209 From the data obtained via the SPB and CPX methods, the effect in terms of noise reduction of
210 the replacement of the existing wearing course by SMA 12 was assessed in three highways. While
211 in two of them there was a noise reduction between 3 and 4 dB(A), in the other one the effect was
212 negligible.

213 Both SPB and CPX methods point to similar global noise reduction levels caused by
214 replacement of the wearing course. However, the more detailed analysis of noise reduction levels
215 by frequency indicates some dissonance between the results obtained by the two methods, caused
216 by the sound propagation effect in the results from the SPB method. Therefore, it seems that both
217 methods can be used complementarily, since the SPB method allows for the observation of noise

218 reduction levels in a wide range of vehicle types and considers a greater diversity of factors, and
219 the CPX method allows for the characterisation of a long stretch of road in a short period of time.

220 For future studies, and for the SPB method, the need for a larger sample size was
221 identified, given the assumptions associated with the test method. Also, to relate the observed
222 noise reduction with variations of the wearing course characteristics, these must be evaluated after
223 intervention through measurement in continuum, for comparison with the MPD values obtained
224 prior to the intervention.

225 The analysis of the data resulting from the SPB and CPX methods suggests that these
226 approaches can contribute to obtaining baseline data on pavement characteristics for predictive
227 noise models. These data can be very useful for the obtaining of models better adjusted to existing
228 conditions once being collected in situ and consequently more adapted to effective noise
229 propagation (Anfosso-Ledee and Goubert, 2019). Therefore, the development of methodologies
230 for obtaining pavement characterisation parameters for use in noise simulation models is
231 envisaged as a future challenge, designing and outlining tests based on the SPB and CPX methods
232 with this objective in mind, and considering the pavement typology used in the network operated
233 by Brisa.

234

235 **References**

236 APA, Critérios de contratação pública ecológica, no âmbito da ENCPE 2020, para Conceção, Construção,
237 Reabilitação e Conservação de Estradas, Agência Portuguesa do Ambiente, 2020. In Portuguese.

238 Antunes, M., Coutinho, S., Patrício, J., Freitas, E., Paulo, J., Coelho, J., 2008. Avaliação do ruído de
239 Tráfego: Metodologia para a Caracterização de Camadas de Desgaste Aplicadas em Portugal. Evaluation
240 of Pavement Surfaces Characteristics, Proceedings of the Seminar, pp 137-145, Guimarães, Portugal.

241 Anfosso-Ledee, F. and Goubert L., 2019. The determination of road surface corrections for CNOSSOS-EU
242 model for the emission of road traffic noise, Universitätsbibliothek der RWTH, Aachen.

243 Bühlmann E. and Ziegler T., 2012. Interpreting measured acoustic performance on Swiss low-noise road
244 surfaces using a tyre/road interaction model. Proceedings of the Acoustics 2012, Hong Kong.

245 Freitas, E.F., Pereira, P., 2008. Contribution of Portuguese Pavement Surfaces to Traffic Noise. Transport
246 Research Arena Europe 2008, Ljubljana, Slovenia.

247 Freitas, E.F., Pereira, P., Picado-Santos, L., Santos, A., 2009. Traffic Noise Changes Due to Water on
248 Porous and Dense Asphalt Surfaces, Road Materials and Pavement Design, 10(3), pp 587-608.

249 Freitas, E.F., Silva, L., Vuye, C., 2019. The Influence of Pavement Degradation on Population Exposure to
250 Road Traffic Noise, Coatings, 9(5), 298.

251 ISO 11819-1, 1997. Acoustics — Measurement of the influence of road surfaces on traffic noise — Part 1:
252 Statistical Pass-By method, International Organization for Standardization. Switzerland.

253 ISO 11819-2, 2017. Acoustics — Measurement of the influence of road surfaces on traffic noise — Part 2:
254 The close-proximity method, International Organization for Standardization. Switzerland.

255 ROSANNE, 2016. Collaborative Project FP7-SST-2013-RTD-1 Seventh Framework Programme Theme
256 SST.2013.5-3: Innovative, cost-effective construction and maintenance for safer, greener and climate
257 resilient roads.

258 Sandberg, U. and Jerzy, E., 2002. Tyre/road noise. Reference book. Informex.

259

260