

## Aberystwyth University

### *ICDP workshop on the Deep Drilling in the Turkana Basin Project*

Beck, Catherine C.; Berke, Melissa; Feibel, Craig S.; Foerster, Verena; Olaka, Lydia; Roberts, H. M.; Scholz, Christopher; Cantner, Kat; Noren, Anders; Mibei, Geoffery Kiptoo; Muirhead, James; Deep Drilling in the Turkana Basin Project Team

*Published in:*  
Scientific Drilling

*Publication date:*  
2024

*Citation for published version (APA):*

Beck, C. C., Berke, M., Feibel, C. S., Foerster, V., Olaka, L., Roberts, H. M., Scholz, C., Cantner, K., Noren, A., Mibei, G. K., Muirhead, J., & Deep Drilling in the Turkana Basin Project Team (in press). ICDP workshop on the Deep Drilling in the Turkana Basin Project: Exploring the link between environmental factors and hominin evolution over the past 4 Myr. *Scientific Drilling*.

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1 **ICDP workshop on the Deep Drilling in the Turkana Basin**  
2 **Project: Exploring the link between environmental factors**  
3 **and hominin evolution over the past 4 Myr**

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25 **Abstract**

26 Scientific drill cores provide unique windows into the processes of the past and present. In the dynamic  
27 tectonic, environmental, climatic, and ecological setting that is eastern Africa, records recovered through scientific  
28 drilling enable us to look at change through time in unprecedented ways. Cores from the East African Rift System  
29 can provide valuable information about the context in which hominins evolved in one of the key regions of  
30 hominin evolution over the past 4 Myr. The Deep Drilling in the Turkana Basin (DDTB) project seeks to explore  
31 the impact of several types of evolution (tectonic, climatic, biological) on ecosystems and environments. This  
32 includes addressing questions regarding the region's complex and interrelated rifting and magmatic history, as  
33 well as understanding processes of sedimentation and associated hydrothermal systems within the East African  
34 Rift System. We seek to determine the relative impacts of tectonic and climatic evolution on eastern African  
35 ecosystems. We ask, what role (if any) did climate change play in the evolution of hominins? How can our  
36 understanding of past environmental change guide our planning for a future shaped by anthropogenic climate  
37 change?

38 To organize the scientific community's goals for deep coring in the Turkana Basin, we hosted a 4-day  
39 ICDP supported workshop in Nairobi, Kenya in July 2022. The team focused on how a 4 Myr sedimentary core  
40 from the Turkana Basin will uniquely address key scientific research objectives related to basin evolution,  
41 paleoclimate, paleoenvironment, and modern resources. Participants also discussed how DDTB could collaborate  
42 with community partners in the Turkana Basin, particularly around the themes of access to water and education.  
43 The team concluded that collecting the proposed Pliocene to modern record is best accomplished through a 2-  
44 phase drilling project with a land-based transect of four cores spanning the interval from 4 Ma to Middle/Late  
45 Pleistocene (<0.7 Ma) and a lake-based core targeting the interval from ~1 Ma to present. The second phase, while  
46 logistically more challenging due to the lack of drilling infrastructure currently on Lake Turkana, would  
47 revolutionize our understanding of a significant interval in the evolution and migration of *Homo sapiens* for a  
48 time period not currently accessible from the Kenyan part of the Turkana Basin. Collectively, the DDTB project  
49 will provide exceptional tectonic and climatic data directly associated with one of the world's richest hominin  
50 fossil localities.

51 **1. Introduction**

52 Questions of who we are, where we came from, and why we are the way we are as a species, are among  
53 the most fundamental of scientific enquiries. Eastern Africa is crucial for understanding the story of hominin

54 evolution and dispersal due to the richness and extent of the archaeological and fossil records. The Turkana Basin,  
55 located in the East African Rift System (EARS) (Fig. 1), is of particular significance with more than 500 hominin  
56 fossil discoveries from the region (Wood and Leakey, 2011). Hominin evolution and dispersal has taken place  
57 against a backdrop of dramatic changes in the Earth's climate (e.g. Bergström et al., 2021; Mounier and Mirazón  
58 Lahr, 2019), resulting in major changes to the environment in which our human ancestors were living. Links  
59 between environmental change and human evolution have long been hypothesized (e.g. Vrba et al., 1989),  
60 including specific climatic shifts and key events in human evolution, such as early human speciation patterns,  
61 brain expansion, and species dispersal (e.g. Shultz and Maslin, 2013), and major revolutions in tool making  
62 technology (e.g. deMenocal, 2011; Potts et al., 2020). However the relationship between paleoclimate,  
63 paleoenvironment, and hominin evolution and dispersal remains unclear and is a matter of ongoing debate (e.g.  
64 Faith et al., 2021). Furthermore, in settings such as the EARS, tectonic influences exert a confounding influence  
65 on the record of environmental change. The major factor impeding the exploration of links between the changing  
66 environment due to climate and tectonic influences, and the existing record of hominin evolution and dispersal, is  
67 the lack of continuous, high resolution sedimentary records documenting the changing environment over long  
68 timescales, commensurate with those relevant to hominin evolution (Cohen et al., 2022; Russell et al., 2012).  
69 Through the proposed Deep Drilling in the Turkana Basin project (DDTB) we seek to build upon the promising  
70 advances made in the past decade by other eastern African continental deep drilling projects with the ambitious  
71 goal of recovering a 4 Ma to present composite core record without temporal gaps for the Turkana Basin. The  
72 proposed core record would address major research questions including how tectonic extension in the East African  
73 Rift System has shaped the environment and climate of the region through time; the effects of cyclical climatic  
74 changes on the environment; and how the evolution of hominins and the ecosystems surrounding them has been  
75 shaped by climatic and tectonic drivers.

76 The primary research goals of the project are:

- 77 1. To establish a continuous high-resolution record of climate and environmental variability for  
78 the past four million years in the Turkana Basin. This will allow direct comparison with trends  
79 and events recorded in the rich paleontological and archaeological record recovered from the  
80 basin.
- 81 2. To investigate linkages between tectonic evolution and magmatic development in the basin. A  
82 better understanding of the tectono-magmatic evolution in Turkana will have broad implications  
83 for our understanding of this continental rift setting.

- 84                   3. To chronicle long-term evolution of the Turkana hydrographic system. This has critical  
85 implications for water development issues in the present, and for the development of the  
86 Turkana hydrologic network in the past.
- 87                   4. To expand our understanding of geothermal systems within the basin. This is a key area for  
88 potential development in the basin and is of significance to understanding thermal signatures in  
89 the rock and fossil record.
- 90                   5. To track the dynamics of ecological systems within both lacustrine and terrestrial communities  
91 in Turkana. This will help better understand current responses to environmental change, human  
92 resource demands, and the broader pattern of long-term response to environmental drivers.

93                   **1.1 Tectonic Evolution-** Extensional tectonic processes in the Turkana Basin play a key role in driving  
94 the local topographic variations that affect the distribution of the surface and subsurface hydrology and associated  
95 ecosystems in the region. In addition to addressing questions of our own origins in the context of a changing  
96 climate, a long core retrieved from the Turkana Basin would give unique insight into the complex tectonic  
97 development, fault geometries and hydrothermal systems within the EARS. Extension in the Turkana Basin is  
98 linked to NW-SE trending Mesozoic-Paleogene rifts overprinted by the younger Oligocene-Miocene to Recent  
99 N-S trending EARS (Boone et al., 2018a). Thermochronology studies in the region provide evidence for tectonic  
100 activity in the Turkana Basin that predates all other sectors of the EARS (Reeves et al., 1987; Foster and Gleadow,  
101 1996; Torres Acosta et al., 2015; Boone et al., 2018a, b), with early volcanism dated at 39.2 Ma prior to the ~31  
102 Ma Ethiopia flood basalt eruptions (Rooney et al., 2017). Today the Turkana Basin continues to experience rifting  
103 (Knappe et al., 2020) and is a classic example of extension in a magma-rich setting, where magmatic activity has  
104 been essential in promoting and modulating continental extension and crustal thinning (Muirhead et al., 2022;  
105 Rooney et al., 2022). Border faults segment the rift into a series of linked half-graben basins, each ~30–50 km  
106 long (Dunkelman et al., 1989). Deep sedimentary sections observed in multichannel seismic (MCS) reflection  
107 data thicken towards the border faults and shallow Late Quaternary sediments thicken towards recently developed  
108 axial magmatic segments in the center of rift (Muirhead et al., 2022), indicating ongoing syn-rift sedimentation  
109 (Fig. 1).

110                   The Turkana region is a tectonic anomaly within the EARS overall, in that it is a Broadly Rifted Zone  
111 that has experienced complex episodes of rifting and magmatism spanning more than 30 Myr (Baker and  
112 Wohlenberg, 1971; Cerling and Powers, 1977; Morley et al., 1992, 1999; Haileab et al., 2004; Furman et al., 2004,  
113 2006; Rooney, 2017). Unlike most sectors of the EARS, it is underlain by thin crust/shallow Moho, and  
114 presumably high heat flow (Wheidon et al., 1994), which makes it an active target for geothermal exploration

115 (Dunkley et al., 1993). Whereas faults elsewhere in the EARS are generally steep and planar, older basins in  
116 Turkana (e.g., the Lokichar Basin) display evidence of listric and potentially detachment faulting in places  
117 (Morley et al., 1999). The length scales of proposed rift segments are also generally shorter than other areas in the  
118 EARS (Ebinger et al., 1999), possibly reflecting the comparatively thinner, warmer crust in the region (Rosendahl  
119 et al., 1992). Finally, models for mature continental rifts in the Eastern Branch (e.g. Ethiopia) suggest that rifting  
120 initiates on border faults, but later migrates to intra-rift structures with time (Corti, 2009; Ebinger and Casey,  
121 2001; Keranen et al., 2004; Nutz et al., 2020). This standard model of rift evolution, however, does not apparently  
122 apply to older (>10 Ma) rift segments in Turkana, which also lack evidence for persistent axial magmatism (e.g.,  
123 Lokichar and Kerio basin segments; Morley, 2020).

124           However, recent investigations into fault behavior in the South Turkana Basin reveal evidence for strain  
125 focusing into intra-rift fault populations in association with developing axial magmatism beginning in the Middle  
126 to Late Pleistocene, consistent with volcano-tectonic patterns observed across the Eastern Branch generally  
127 (Muirhead et al., 2016; Rooney, 2020; Muirhead et al., 2022; Rooney et al. 2022). Although these observations  
128 suggest that magmatism has played a critical role in driving the distribution of faulting and development of rift  
129 topography in the Turkana Basin in the Late Quaternary, the majority of earlier tectonic activity recorded in Lake  
130 Turkana (i.e., prior to 20 ka) is poorly resolved (Morrisey and Scholz, 2014; Muirhead et al., 2022), due in part  
131 to the absence of samples of the deep sedimentary section below the lake. Though reflection seismic data reveal  
132 that the history and tectonic evolution of continental rifting is likely contained within the stratigraphic sequences  
133 of the Turkana Basin (e.g., Dunkelman 1989; Morley et al., 1999; Muirhead et al., 2022), analysis of drill cores,  
134 in conjunction with seismic stratigraphic analyses, will enhance and refine our understanding of the tectonic  
135 history of this rift system over the last ~4 Myr.

136           **1.2 Water and Ecological Resources-** The story of water as a resource in the past and present is at the  
137 heart of the Turkana Basin record. The lake has varied in size throughout its existence, regulated by tectonics,  
138 volcanism, and climate change, which in turn combine to shape the hydrology and ecosystem functions through  
139 time. Modern Lake Turkana is the world's largest desert lake (Ojwang et al., 2016). While the water is not potable  
140 for humans (Avery and Eng, 2012; Ojwang et al., 2016) due to a high pH (8.6-9.5) and salinity (ca. 3,500  $\mu\text{S}/\text{cm}$ ),  
141 the health of this water body is essential to the ecosystems services that sustain humans today in the region. In  
142 particular, the fisheries industry has become a significant source of food and revenue for the region (Gownaris et  
143 al., 2015 and references therein). While traditionally the indigenous people have been pastoralists (Leslie and Fry,  
144 1989), beginning in 1961 and continuing today, Lake Turkana supports a large fishing industry born out of a need  
145 to diversify the local economy (Avery, 2010; Bayley, 1982). Overfishing and fishing of juveniles has already

146 stressed key fish species, reducing their resiliency and making populations more vulnerable to the impacts of  
147 climate change and human disturbance, particularly in crucial breeding grounds such as Ferguson’s Gulf (Hopson,  
148 1982; Avery, 2010; Gownaris et al., 2015). Data on the fish stocks in Lake Turkana is sparse, given the size of  
149 the lake and the scant resources available for monitoring efforts (Obiero et al., 2022). Coupling decreasing lake  
150 levels with already stressed ecosystems could decimate the fish populations many of the local communities have  
151 come to rely upon for food and income (Velpuri et al., 2012; Beck et al., 2021).

152 A better baseline assessment of how ecosystems have responded to changes in water and sediment input  
153 through time is necessary to assess and predict how Lake Turkana will respond to future changes in fluvial input  
154 and climate shifts. This information would help inform policy makers and draw attention to the serious issues  
155 facing the lake system today. By comparing proxy records of lake conditions, particularly ostracod assemblages,  
156 from prior and post onset of industrial scale fishing in 1961 and the Gibe Dam Project construction in 1998, it is  
157 possible to evaluate the lake’s response to both natural and anthropogenic changes (Beck et al., 2021). Our paleo-  
158 baseline from the proposed coring activity would help quantify the hydrological budget to better constrain the role  
159 of climate change vulnerability and dry-wet oscillations on human-scale ecosystems through time. Sub-Saharan  
160 Africa is one of the most vulnerable regions to future climate change, with widespread and until now uncertain  
161 impacts on African environments and society, as predicted by the IPCC reports (IPCC, 2014). The “deep time”  
162 perspective allows significant opportunities to test the sensitivity of systems to lake level variability.

163 Groundwater resources are important in this arid to semi arid landscape. Groundwater in some regions  
164 of the Turkana Basin has been mapped through subsurface geophysics (Gramling, 2013; Nyaberi et al., 2019) but  
165 has not yet yielded the much anticipated fresh water resources as the quality does not meet the health guidelines  
166 (Rusiniak et al., 2021; Mbugua et al., 2022). In addition, the quality of shallow groundwater in areas of settlement  
167 is impacted by anthropogenic contamination (Tanui et al., 2020). Understanding of the groundwater system in the  
168 Turkana Basin is complicated by the fact that the tectonic, environmental, and volcanic processes have created  
169 discontinuous and heterogeneous aquifers (Olaka et al., 2022). This necessitates using multiple techniques  
170 (geophysical, geochemical and geological) to determine the factors that control groundwater quality, quantity and  
171 dynamics. Our project has the potential to contribute to a comprehensive analytical approach which will not only  
172 ensure locating and sustainably managing groundwater resources for the different needs (domestic, agriculture,  
173 industrial) but also help inform the hydrogeological recharge models related to hydrothermal systems, thereby  
174 supporting the exploration of geothermal resources.

175 Deep drilling in the Turkana Basin will provide the archives essential for constraining regional climate  
176 controls and hydrological responses, linking the dynamics of faunal/floral communities and cultural development

177 with environmental parameters, and spanning the entire range of hominin evolution from ~4 Ma to present,  
178 covering the full diversity of hominin/human technological (i.e. stone tool) development. A Turkana record of the  
179 past also could help the regional and global communities prepare for the challenges that lie ahead in a future  
180 dramatically shaped by unprecedented climate change.

181 **1.3 Geothermal Resources-** The intricate interplay of volcanism and tectonism in the EARS provides a  
182 suitable environment for high heat flow and fault-controlled deep fluid circulation. This is the main reason why  
183 the EARS is associated with abundant geothermal resources. Because of the early (39.2 Ma; Rooney et al., 2017)  
184 volcanism and the rifting that followed, the Turkana Basin is a key geothermal resource area of interest in Kenya.  
185 Five Quaternary volcanic centers occur in Turkana including; the Korath range, North, Central, South Islands and  
186 the Barrier Complex (Bloomer et al., 1989). The Barrier volcanic center is of particular interest to geothermal  
187 exploration due to its location and numerous geothermal manifestations including altered ground, fumaroles,  
188 caldera structures and recent volcanic eruptions (Njau et al., 2020).

189 Exploration of geothermal potential is actively ongoing in the Turkana Basin as the Olsuswa Energy  
190 Company owns the geothermal exploration license in the Barrier and has begun surface exploration in the area. The  
191 present scientific deep drilling project would be of immense importance to geothermal exploration in the area in  
192 several ways. The drill cores obtained will provide high resolution stratigraphic information of the Turkana Basin,  
193 including micro- and macro-subsurface structures. This will guide the development of a quality geothermal  
194 drilling prognosis and a better understanding of subsurface permeability through structural analysis from direct  
195 core information. The resulting boreholes could also provide important information for calculation of geothermal  
196 gradient building onto the earlier regional data by Wheildon et al. (1994). In addition, water samples from the drill  
197 wells would be key in fluid chemical characterization, geothermometry and possibly age information further  
198 assisting in understanding the geothermal reservoirs and the areas geothermal conceptual models hence facilitating  
199 geothermal exploration programs. Furthermore, understanding the regional structural and volcanic history is  
200 significant as the Turkana Basin is potentially the only region within the Kenyan Rift where extensive basalt flows  
201 occur and thus it could provide opportunities in other energy and environmental projects like carbon storage  
202 research. As a result, there is a natural linkage between potential scientific drilling and geothermal resource  
203 development in the Turkana Basin.

204

## 205 **2. Rationale for Drilling**

206 The goal of obtaining a long core record linked closely to human origins in Turkana has deep roots,  
207 beginning with a US National Science Foundation (NSF) workshop in 1978 (Lewin, 1981). More recently, a



208 continental drilling workshop held in 2011 prioritized drilling of Lake Turkana as one of the most important future  
209 targets for addressing outstanding scientific questions in eastern Africa (Russell et al., 2012). The report from this  
210 2011 workshop concluded that future deep drilling of Turkana would integrate well with the then-planned (now  
211 successfully executed) Hominin Sites and Paleolake Drilling Project (HSPDP), which cored a short window of  
212 the Early Pleistocene lacustrine-dominated sequence in West Turkana (WTK13) (Fig. 1). In April 2018 a  
213 workshop supported by the NSF-funded Research Coordination Network (RCN) EarthRates outlined objectives  
214 for the next phase of scientific drilling and coring in the Turkana Basin. The goal of the 2018 workshop was to  
215 define potential coring targets in the Turkana Basin of interest to the broader scientific community that could  
216 contribute to the next decade of scientific discovery about the paleoclimatic and paleoenvironmental context in  
217 which our ancestors evolved. The workshop attendees consisted of 12 scientists from the US (9 participants) and  
218 Kenya (3 participants), and an additional 13 participants joined the live stream of the workshop over the two days.  
219 The group quickly coalesced around the idea of prioritizing recovery of the entire Plio-Pleistocene record in the  
220 Turkana Basin. The rationale for this is that the richness of the Turkana Basin fossil record provides the unique  
221 opportunity to study the paleoclimatic and paleoenvironmental context surrounding continuous occupation by  
222 hominin groups. This has implications for both physical and cultural evolution. Whilst outcrops expose short  
223 windows into the archives of the past, they are complicated by their limited temporal range for any given location  
224 and obscured through modern soil processes. Only deep drilling can capture the entire, and minimally altered,  
225 sedimentary sequence describing the climate and environmental conditions throughout the last 4 Ma of hominin  
226 evolution, migration and dispersal.

227 Data from existing Turkana Basin sediment cores is narrowly focused in terms of temporal scope, coming  
228 from three sources (Fig. 2):

229 1) The Hominin Sites and Paleolakes Drilling Project (HSPDP) drilled a 216 m record from West  
230 Turkana (WTK13) recovering an interval from 1.9-1.4 Ma (Cohen et al., 2016; Sier et al., 2017; Lupien et al.,  
231 2018, 2020). The cores showed a progression from a deep basin to lake margin, to delta plain (Beck, 2015). The  
232 WTK core provided an unparalleled view of deposition on a dynamic lake margin, enhancing the community's  
233 understanding of the scale of hydrologic variability (Beck et al., 2017; Feibel et al., 2017), its impact on hominin  
234 evolution (Campisano et al., 2017), and the implications of climate versus tectonic evolution on rift basins (Lupien  
235 et al., 2018). There is also thought to be a connection of lake level and enhanced/weakened Indian Ocean monsoon  
236 variability. Lupien et al. (2018) found fairly stable hydroclimate conditions responding to changes in insolation  
237 using leaf wax  $\delta D$  values during the Early Pleistocene, through previously described fluctuations in lake level at  
238 Lake Turkana (e.g. Lepre et al., 2007; Morrissey and Scholz, 2014). Ultimately, the impact of this half a million-

239 year core record has been significant, despite its limited duration, highlighting the immense potential and  
240 feasibility of additional scientific drilling in the Turkana Basin.

241 2) A series of terminal Pleistocene and Holocene-aged cores have been collected from modern Lake  
242 Turkana (Halfman et al., 1994; Johnson and Malala, 2009; Morrissey and Scholz, 2014; Morrissey et al., 2018).  
243 Much past work has focused on trying to connect climate conditions to lake level. While today it is a closed basin  
244 lake, during wetter times, including the African Humid Period (Owen et al., 1982; Junginger and Trauth, 2013;  
245 Morrissey and Scholz, 2014), the lake likely overflowed at times to the Indian Ocean (>1.9 Ma; Feibel, 1994;  
246 Bruhn et al., 2011) and subsequently into the Nile drainage basin (latest Pleistocene; Johnson and Malala, 2009).  
247 Late Pleistocene and Holocene sedimentary reconstructions also show a temperature response to the end of the  
248 African Humid Period, linked to local insolation changes (Berke et al., 2012; Morrissey et al, 2018). Seismic  
249 reflection data suggest that there may have been multiple episodes of lake level draw-down and desiccation in the  
250 Quaternary (Dunkelman et al., 1989; Morrissey and Scholz 2014; Hargrave et al., 2014), perhaps similar to  
251 Pleistocene low lake stages in other parts of Africa (e.g. Cohen et al, 2007; Scholz et al 2007). The timing of these  
252 low stages and any relationship to those major climate episodes identified elsewhere in eastern Africa are however  
253 unknown.

254 3) Finally, active oil exploration has led to the drilling of numerous deep wells in the Turkana Basin.  
255 However, the target of these wells was Miocene to Oligocene aged sediments and for cost reasons, these wells  
256 were not cored so only cuttings exist. However, in 2021, team member Isaiah Nengo (deceased) facilitated a non-  
257 disclosure agreement allowing the DDTB team access to the extensive seismic data collected between 2011 and  
258 2014 across the basin (Fig. 3).

259 Ultimately, only by returning to Turkana through the proposed Deep Drilling in the Turkana Basin  
260 project can the record of climate and landscape evolution be fully resolved (Fig. 2). By targeting a continuous 4  
261 Myr of sediment, this project will enable us to minimize the complicating factors of both spatial variability and  
262 modification by modern soil forming processes inherent in using outcrops to reconstruct change through time.

### 263 **3. Turkana Basin: An unparalleled link to paleoanthropology/humans**

264 For more than half a century the Turkana Basin has been central to our understanding of hominin  
265 evolution and cultural development in eastern Africa (Isaac and Isaac, 1997; Roche et al., 2004; Wood and Leakey,  
266 2011). The extremely rich fossil assemblages spanning the Plio-Pleistocene include the earliest australopithecines  
267 just over 4 Ma, early examples of the *Paranthropus* lineage from ca. 2.5 Ma, and a diverse assemblage of early

268 *Homo* fossils beginning prior to 2 Ma including the nearly complete skeleton of *Homo erectus*, the Turkana Boy  
269 at 1.4 Ma. These hominin specimens are complemented by a suite of archaeological assemblages ranging from  
270 the earliest known stone tools at Lomekwi (3.3 Ma; Harmand et al., 2015), early Acheulean at Kokiselei (1.7 Ma;  
271 Lepre et al., 2011) and a wide variety of lithic traditions from Koobi Fora, the lower Omo Valley and West  
272 Turkana. This unsurpassed record of early human development is associated with a huge collection of fossils  
273 representing the savanna community in the basin throughout the Plio-Pleistocene (Bobe, 2011). The entire record  
274 has been contextualized by complex sedimentary records from extensive outcrops (Brown and Feibel, 1991;  
275 Harris et al., 1988) and the limited coring efforts to date. Capping off the unique nature of the Turkana Basin  
276 record, the Plio-Pleistocene sequence is punctuated by abundant widespread tephra markers which can be  
277 geochemically correlated, and many of which have associated radiometric dates (Brown et al., 2006). Thus the  
278 legacy of crucial discoveries in hominin evolution can be directly and precisely integrated with a high-resolution  
279 continuous core record and all of the signals of climatic drivers, tectonic effects, and landscape evolution we can  
280 extract from that sediment archive.

#### 281 **4. Workshop Structure and Findings**

282 We convened a series of three events to solicit input on defining scientific priorities and strategies from  
283 the broader scientific community. With the repercussions of COVID still making travel complicated, particularly  
284 from certain countries/regions, and seeking to be as inclusive as possible even beyond those impacted by COVID  
285 restrictions, we opted to bracket our in-person workshop with online meetings. The online meetings enabled all  
286 interested applicants to initiate potential key discussions before the meeting, revise central themes right after the  
287 meeting, and also to incorporate team members who could not attend in-person, thereby expanding the  
288 participation base for DDTB.

289 The in-person International Continental Scientific Drilling Program (ICDP) workshop was held in  
290 Nairobi, Kenya between 11-14<sup>th</sup> July 2022. It was attended by 38 participants from 9 countries, representing 30  
291 institutions/organizations (universities; government agencies; private sector, etc.) and the broad variety of research  
292 foci in the community (outlined in Fig. 4a). Of this group, 52% of the participants were women and 32% were  
293 Kenyan. We discussed the unique opportunities for cutting-edge science offered by a long, continuous  
294 sedimentary core from Turkana Basin, and developed hypotheses that can be advanced and tested only through  
295 long scientific drill cores. These hypotheses focus primarily on the ICDP theme of Environmental Change but the  
296 community also engaged in discussion of Georesources, specifically potable water, fisheries, and geothermal

297 energy. Logistics and potential drill sites were discussed, as were technical requirements and strategies to prepare  
298 for full drilling and scientific funding proposals. The first day of the workshop began with presentations on the  
299 evolution of the paleoenvironment, paleoclimate, tectonics, and hominins as recorded in the Turkana Basin. The  
300 modern limnology and its relationship to ecosystems was also discussed. From there, we tasked participants with  
301 “dreaming big” (“our vision” Fig. 4b) through a series of breakout activities to imagine what the ideal project and  
302 collaboration structure would look like from both a science and outreach perspective in order to make sure all  
303 perspectives and approaches were heard at this stage. This culminated in collecting feedback and compiling that  
304 feedback into clusters centered around emerging themes, both in terms of scientific goals but also broader impacts  
305 and project team structure (Fig. 4). The five major topics addressed by DDTB and worked on by breakout groups  
306 during the workshop were i) Basin Evolution, ii) Paleoclimate, iii) Paleoenvironment, iv) Modern Systems, and  
307 v) Outreach, Capacity Building and Education. These thematic topics and the research questions that could be  
308 addressed through the strategic acquisition of deep scientific drill cores from Turkana Basin are discussed further  
309 in the following sections.

310           The in-person workshop in Nairobi also included an excursion to the National Museum of Kenya where  
311 we saw and learned more about the significant paleoanthropological finds that originated from the Turkana Basin.  
312 As centering the theme of Environmental Change around the rich hominin fossil record and associated ecosystems  
313 from the Turkana Basin is one of the unique elements of DDTB, seeing the actual fossils helped the team more  
314 fully develop the linkages between the disciplines of paleoanthropology and the geosciences.

315

#### 316 **4.1 Drilling Plan**

317           The goal of DDTB is to drill a continuous, high-resolution sedimentary record from the Pliocene to  
318 present (4–0 Ma) through strata of the Omo Group (Plio-Pleistocene) and Turkana Group (Late Quaternary).  
319 Sediment accumulation rates in the basin are spatially variable, but average 15 cm/ky, up to 100 cm/ky in  
320 thickened sequences on shore (Feibel, 1988), and more than 1 m/ky in offshore late-Quaternary cores (Morrissey  
321 and Scholz, 2014). We will target the thicker packages as interpreted from seismic data, while avoiding settings  
322 prone to discontinuities and sedimentary gaps. Based on comparative composite sections from outcrop (Feibel,  
323 2011) and the newly acquired industry seismic reflection data (Fig. 3), a ~1500 m composite core length is required  
324 to recover this interval. From our DDTB scientific workshop, we developed a two-phase drilling plan to recover  
325 the sedimentary record from 4 Ma to present from the Turkana Basin. Phase 1 of DDTB is focused on the time  
326 interval from 4 Ma to <0.7 Ma from an on-land offset drilling transect of cores along the northwestern edge of  
327 modern Lake Turkana (Fig. 1). This transect will sample the full sedimentary section of the Turkana Basin and

328 enable the team to apply a multi-proxy approach to reconstructing the paleoenvironment, paleovegetation, and  
329 paleoclimate during a period of great diversity in hominins. Because much of this interval is also exposed in  
330 weathered outcrops which yield fossils and archaeology, we will be able to directly tie the proposed high-  
331 resolution proxy records into known paleoanthropological sites. This will be achieved through a sequence of four  
332 single- or double-cored ~400 m holes, positioned youngest to oldest from north to south (Fig. 1B). This transect  
333 will enable the project to recover this interval most cost-effectively by minimizing operational costs, as smaller  
334 rigs, shallower boreholes, and industry-standard coring tools will allow recovery of a complete sequence in offset  
335 locations. The project will leverage the experience from HSPDP in 2013 (Cohen, 2016) to design a drilling  
336 program with significantly improved likelihood of success. This approach avoids major cost increases associated  
337 with the engineering and operational requirements to drill fewer, deeper boreholes and the potential for  
338 compromised core datasets due to high geothermal gradients in this region. Long seismic reflection survey lines  
339 along structural strike provide the basis for determining the depth needed at each location to yield the overlap in  
340 core sequences for correlation and integration. The team will leverage the well-documented tephrostratigraphic  
341 record from Turkana (Brown and McDougall, 2011) and multi-proxy core scanning data to correlate this transect  
342 of cores into a synthetic record that ties tightly with the archaeological and paleoanthropological record from the  
343 Turkana Basin.

344 Phase 2 of DDTB targets the most recent ~1 Myr of sediment from a core drilled in modern Lake Turkana  
345 that extends to the present day (Fig. 1B) and provides a ~300 ka overlap with the land based record from Phase I  
346 of DDTB to ensure a continuous record when the drill cores from both phases are combined. This record will  
347 provide a hitherto unseen look into the Turkana Basin during the interval of time from ~700-20 kyrs which is  
348 rarely exposed in outcrops from the Kenyan sedimentary record (McDougall et al., 2008; Manthi et al., 2018).  
349 We know very little about what the Turkana Basin looked like (including fundamental questions like was a lake  
350 present?) for this key corridor of hominin migration and the evolution of *Homo sapiens* (Cohen et al., 2022;  
351 Foerster et al., 2022). A record of the past million years from Turkana will also create opportunities to incorporate  
352 this critical region into broader Afro-Syrian Rift synthesis, leveraging a wealth of data from other completed and  
353 planned ICDP projects. Phase 2 will be accomplished through drilling at one or more sites double- or triple-cored  
354 to ~400-500 m sediment depth, positioned in the Central Basin of Lake Turkana in 20-60 m water depth, using  
355 drilling tools and protocols proven in numerous past ICDP lake drilling campaigns. Both legacy and new seismic  
356 reflection data from industry, including continuous onshore-offshore lines (Fig. 3), confirm the continuity of  
357 subsurface units and provide confidence that the stratigraphy can be correlated between these locations to allow a  
358 complete sequence to be developed most effectively through an offset drilling program.

359

360 **4.2 Scientific Agenda-** Continental drilling for core retrieval is essential in the Turkana Basin in order to advance  
361 the state of the science, particularly to constrain the paleoenvironmental and paleoclimatic context in which our  
362 hominin ancestors survived and thrived. But a composite deep drill core located in Turkana Basin would also give  
363 insight into other key topics identified by the PI team and workshop participants, including basin evolution,  
364 tectonics and magmatism. Through the proposed drilling plan, we seek to pursue a series of research topics and  
365 hypotheses related to the thematic breakout groups formed through the online and in person meetings. A summary  
366 of the key take-home messages from these workshop discussions is summarized in the sections that follow.

367

368 **4.2.1 Basin Evolution-** The basin evolution breakout group focused on how DDTB could contribute to  
369 constraining the interplay between tectonics, magmatism, and climate in continental rift systems. The Turkana  
370 Basin, with its magmatically active system and early onset of rifting, is an ideal location to integrate studies of  
371 eruptive history with sedimentary and structural archives of basin evolution. Recent modeling and field studies  
372 reveal that surface processes, including erosion and sediment and water column loading, can impact rates and  
373 styles of extensional deformation in rifts, as well as magma body inflation and deflation (Albino et al., 2010;  
374 Sternai et al., 2020; Egger et al., 2021; Xue et al., 2023). Utilizing only shallow sedimentary cores, critical  
375 feedbacks between the magmatic, tectonic, hydrologic, and sedimentary systems have been revealed on  $10^3$  to  $10^4$   
376 year timescales (Muirhead and Scholz, 2017); however, a rich ( $>1$  Myr) and first-of-its-kind history of the  
377 feedbacks between these key processes operating at continental rifts can only be obtained through a deep drilling  
378 project. Key to this story would be compiling data from both Phase 1 and Phase 2 of this project as both offer  
379 unique but independent opportunities to synthesize the proposed DDTB record with existing structural data. To  
380 achieve these objectives, the project would undertake facies analysis and provenance studies, which could be used  
381 to reconstruct basin extension, paleoenvironment evolution, and eruptive history. Results from the core would be  
382 spatially integrated using core-seismic-outcrop integration, which can allow reconstruction of the temporal history  
383 of fault slip through careful mapping of displaced seismic horizons of known age (e.g. Wright et al., 2023). By  
384 comparing the interpreted fault slip history with the  $\sim 4$  Myr chronology of volcanism and lake-level change  
385 revealed by the deep drilling record, we can explore how climate-driven hydrological changes in the region have  
386 influenced the structural development of the basin. Ultimately, this would enable DDTB to constrain the critical  
387 feedbacks between the tectonic, magmatic, climatic, and hydrologic processes that have driven the Plio-  
388 Pleistocene evolution of ecosystems in the Turkana Basin.

389

390 **4.2.2 Paleoclimate-** The paleoclimate breakout group focused on the potential scientific advances that could be  
391 achieved from a 4 Myr record from the Turkana Basin. Much of our current understanding of eastern African  
392 climate for this time comes from outcrops, providing snapshots of climate variability, and nearby marine sediment  
393 cores (deMenocal, 1995). While marine drill cores are more continuous, questions remain about how well these  
394 distal archives actually reconstruct conditions in continental settings (Cohen et al., 2016). Continuous Pliocene to  
395 present records of proxy-derived paleoclimate from eastern Africa would be the first of their kind, with resolution  
396 and continuity currently unavailable from a single region in Africa. The ability to reconstruct the regional climate  
397 for the last 4 Myr would allow us to better frame the environmental changes underway both across the landscape  
398 and within the lake itself. These records of climate variability, obtained directly for the region where the fossil  
399 record is one of the richest, would also provide context for hominin evolution. This new record would provide a  
400 continental signal of tropical climate variability from northern hemisphere eastern Africa. The proposed DDTB  
401 record could be directly compared to marine records (e.g. Castañeda et al., 2016; Taylor et al., 2021), existing  
402 southern hemisphere continental paleoclimate records spanning the last ~1.4 Ma (e.g. Lake Malawi, Scholz et al.,  
403 2007; Lyons et al., 2015; Johnson et al., 2016), and/or other southern hemisphere continental records proposed to  
404 ICDP (e.g. ~10 Ma to modern from Lake Tanganyika, Russell et al., 2020). We will examine spatiotemporal  
405 coherence of eastern and southern African climate change through quantitative comparisons of the Turkana Basin  
406 drill cores with these other records. New sedimentary records produced through deep-drilling will span important  
407 Plio-Pleistocene global climate changes and allow us to examine how these events shaped the paleoenvironment.  
408 By examining the amount and degree of climatic variability in the region and how sensitive the Turkana Basin is  
409 to global climate events including glaciation, circulation changes, and oceanic gateway changes in a high CO<sub>2</sub>  
410 world, we can provide important Pliocene climate model constraints.

411  
412 **4.2.3 Paleoenvironment and Impacts of Paleoecology-** An understanding of eastern African paleoenvironmental  
413 changes during the last 4 Myr would enable the scientific community to tackle key questions on the ecological  
414 structure through time, including the tempo and mode of change. The breakout group on paleoenvironments  
415 emphasized that this would be crucial to finally understand more about eastern African habitat transformations  
416 and how those might have been associated with the rate of evolutionary change. The unique location of Lake  
417 Turkana within the EARS would facilitate parsing the impacts of tectonic versus climatic drivers and extend the  
418 knowledge on the role that basin evolution might have played in reaching milestones in faunal evolution.  
419 Environmental reconstruction could be achieved through a multi-proxy approach including but not limited to  
420 diatoms, invertebrate fossils (ostracods, molluscs, etc.), pollen, phytoliths, paleosol-based proxies, and organic

421 compound-specific stable isotope records, all of which have comparable records both from HSPDP and outcrop-  
422 based studies (Brown and Feibel, 1991). Although numerous significant fragments of this story are available (e.g.  
423 Yost et al., 2021), the discontinuity of these records in space and time complicates the ability to parse the impacts  
424 of climate from those of tectonic drivers on paleoenvironments and their associated ecosystems. Those gaps could  
425 be finally filled by the DDTB record and, moreover, a continuous paleoenvironmental record from the Turkana  
426 Basin would offer the unique opportunity to tie directly with the robust paleontological, paleoanthropological, and  
427 archaeological record in the Turkana Basin. It also creates opportunities to compare with other basins across the  
428 African continent, leveraging the work from other ICDP projects, both completed (e.g. Lake Bosumtwi (Koeberl  
429 et al., 2005), Lake Malawi (Scholz et al., 2011), HSPDP (Cohen et al., 2016)) and proposed (e.g. Lake Tanganyika  
430 (Russell et al., 2020), Lake Victoria (Berke et al., *in review*), Afar Dallol Drilling (Foubert et al., 2021)).

431

432 **4.2.4 Modern Systems-** The modern lake systems breakout group focused on the activities and changes that have  
433 recently occurred in Lake Turkana driven by anthropogenic activities on the lake and its catchments and by natural  
434 climate variability. The group recognised that a robust understanding of the modern lake system is key to  
435 understanding responses in paleo-proxy records. The modern lake is experiencing pressure from the catchment  
436 that directly impacts the hydrochemistry and water influx. This transboundary lake has its main source of inflow  
437 coming from the Ethiopian highlands via the Omo River and thus activities such as the recent construction of  
438 hydroelectric dams (e.g. Gibe Dam series) on the Omo River perturb the annual cycle of sediments and nutrient  
439 input into the lake and flood regimes. There are a number of data gaps and unknowns within of the modern systems  
440 that need monitoring including, in situ lake level and evaporation monitoring, river level and physicochemical  
441 parameter monitoring, groundwater monitoring, and monitoring of the climatic parameters (winds, solar  
442 insolation, rainfall, humidity) at different elevation within the catchments. The team is actively working to address  
443 these gaps by working in close conjunction with local partners including colleagues at the Kenyan Marine and  
444 Fisheries Research Institution, Earth Observation Systems (satellites) and accessing wind data from The Trans-  
445 African Hydro-Meteorological Observatory (van de Giesen et al., 2014). Collaboration with colleagues focused  
446 on the modern system serves two significant purposes. The first is leveraging this partnership to advance our  
447 mutual research interests. The second, extremely significant opportunity here is to collaborate to successfully  
448 design and implement the in-lake Phase 2 of the DDTB. We continue to work on integrating modern climate,  
449 hydrology and limnological data in ways that support the paleo and resource focus of this project.

450

451 **4.2.5 Outreach, Capacity Building, and Education-** The workshop was structured to maximize input from all



452 participants, regardless of their area of expertise, career stage, or role on the team. In this way all participant  
453 perspectives were heard, and their feedback was documented. Centering our working groups around emerging  
454 themes co-created with the workshop participants cultivated new interpersonal connections that resulted in a sense  
455 of shared responsibility toward broader impacts. This intentionality opened the door for discussions around the  
456 societal impact of coring and drilling work such as the critical role of water in community social structure.

457         With this foundation, ongoing development of strategies for outreach, education, and capacity building  
458 have been facilitated by the US Continental Scientific Drilling Facility’s Science and Outreach Coordinator, Kat  
459 Cantner, with community partners through the Turkana Basin Institute, Kenya. Access to water is essential for  
460 drilling operations. There is also a direct connection between water access and girls education since girls are  
461 responsible for transporting water to the household. Girls are prevented from attending school if water is not  
462 readily available since they must spend many hours supplying the home. Stigma around menstruation and lack of  
463 universal support for women’s education is also a challenge in local communities. Based on assessment of need  
464 and interest through partnership with the Turkana Basin Institute, we proposed funding outreach to communities  
465 regarding the value of girls education, providing sanitary products for girls (where not already covered by national  
466 programs), and sponsoring local students. The project team will co-create science content and educational  
467 activities for TBI’s Student Science Clubs and will also generate age-appropriate material for primary-, secondary-  
468 , and University-age learners to increase awareness of geoscience career opportunities and build capacity for a  
469 stronger geoscience workforce. The international drilling campaign and subsequent research, also offer  
470 opportunities to support future capacity building, particularly for Kenyan students. The implementation of well-  
471 established concepts like international summer school provides unique synergies, and networking opportunities  
472 for both involved experienced scientists and future generations of scientists and students (e.g. Wiersberg et al.,  
473 2021). The involvement of international experts with a range of expertise around scientific drilling, being both  
474 on-site for several weeks and/or in close dialogue with cooperating institutions (e.g. universities, National  
475 Museum, industry), also offers a unique opportunity for interdisciplinary knowledge transfer, hands-on experience  
476 across disciplines and national borders.

## 477 **5. Conclusions/Recommendations**

478         Based on the need to expand understanding of the tectonic, climatic, and biologic evolution in eastern  
479 Africa and the opportunities to leverage collaboration between research and industry (fisheries and geothermal),  
480 we recommend a two-phase drilling campaign to recover a continuous sedimentary record from the Turkana Basin

481 extending from 4 Ma to present. We propose that Phase 1 will recover an on-shore transect of cores along the  
482 northwestern margin of Lake Turkana spanning the interval from 4 to 0.7 Ma, and expanding upon the success  
483 for the WTK13 drill core drilled as part of HSPDP. Phase 2 of the DDTB project will focus on the record from 1  
484 Ma to modern, and targeting the Central Basin of Lake Turkana. DDTB will leverage existing outcrop research  
485 from the Turkana Basin and regional lacustrine cores. When combined, the two phases of this unprecedented  
486 record will enable researchers to parse the relative impacts of tectonics, volcanism, and climate on biological and  
487 ecological evolution, including the physical and cultural origins of our own ancestors.

488

#### 489 **Data availability**

490 No data sets were used in this article.

#### 491 **Team list**

492 In addition to the named co-authors on this paper, the following individuals make up the Deep Drilling in the  
493 Turkana Basin (DDTB) Project Team through their participation in the meetings that shaped this project. This  
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## 512 **Author contribution**

513 CCB, MB, KC, CSF, VF, AN, LO, HMR, and CAS organized the workshop and co-facilitated it. CB was lead  
514 author for the workshop report with significant contributions from co-authors MB, KC, CSF, VF, GMK, JM, AN,  
515 LO, HMR, and CAS. The content of this report was generated from the whole DDTB Project Team and  
516 participants from two online meets which bracketed in the in-person workshop.

## 517 **Competing interests**

518 The authors declare that they have no conflict of interest.

## 519 **Acknowledgements**

520 We acknowledge support for the NSF-funding Research Coordination Network EarthRates which supported the  
521 initial 2017 planning workshop which led to the creation of DDTB. We especially thank the ICDP for the  
522 workshop funding that supported the progression of this project from a general idea to a clearly-defined one and  
523 the ongoing technical support shaping the scope of DDTB. Additionally, we recognize the collaboration of the  
524 Geothermal Development Company (GDC) and the National Museums of Kenya during the workshop. We  
525 acknowledge the contributions from the National Oil Corporation of Kenya, the Turkana Basin Institute, Africa  
526 Oil, and late Dr. Isaiah Nengo (Turkana Basin Institute, Kenya and Stony Brook University, USA) who facilitated  
527 the transfer of industry seismic data that supports the site selection. Thanks to Christian Rowan (Lamont-Doherty  
528 Earth Observatory, USA) for his efforts on seismic interpretation and site selection.

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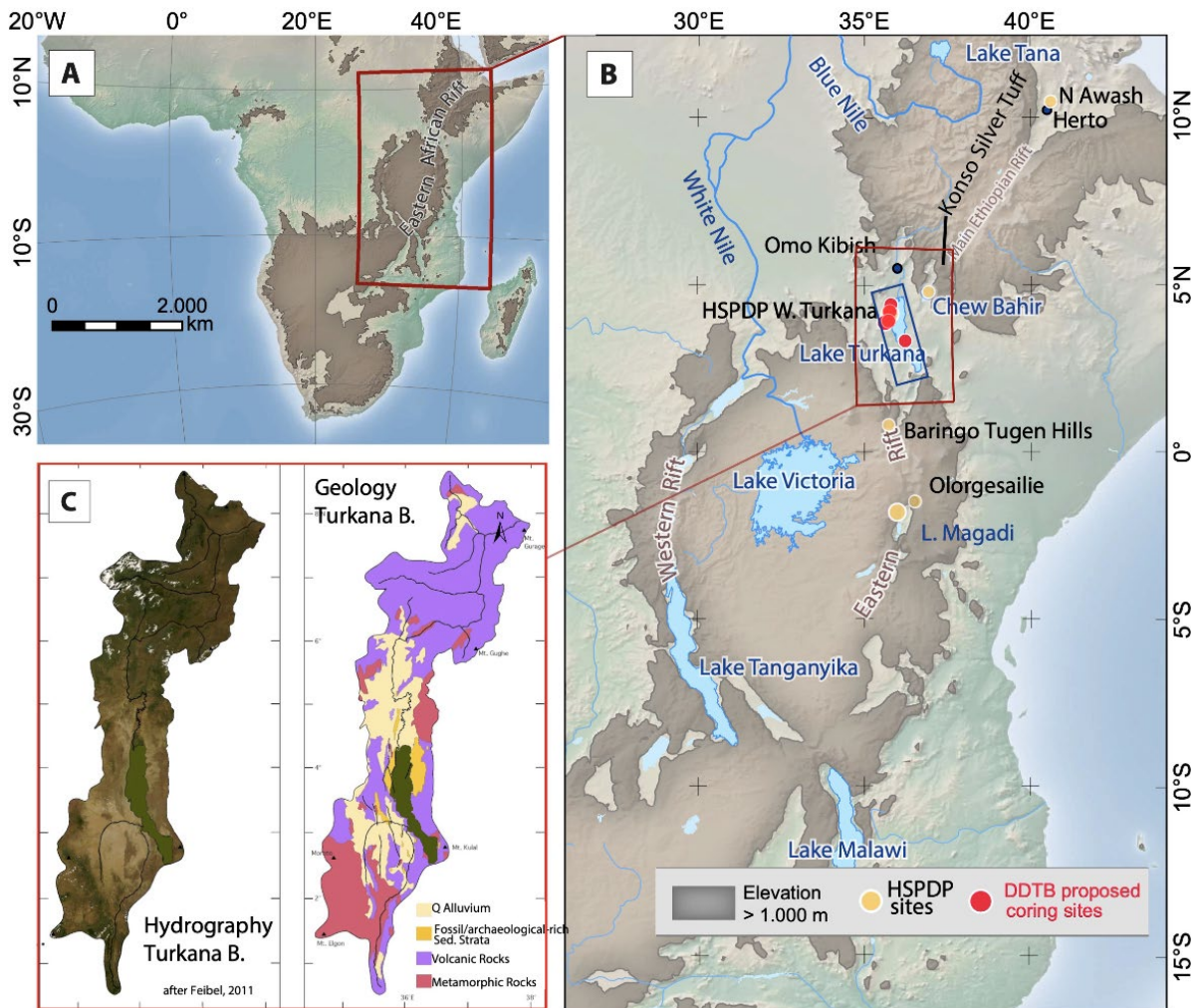
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955 **Figure Legends**

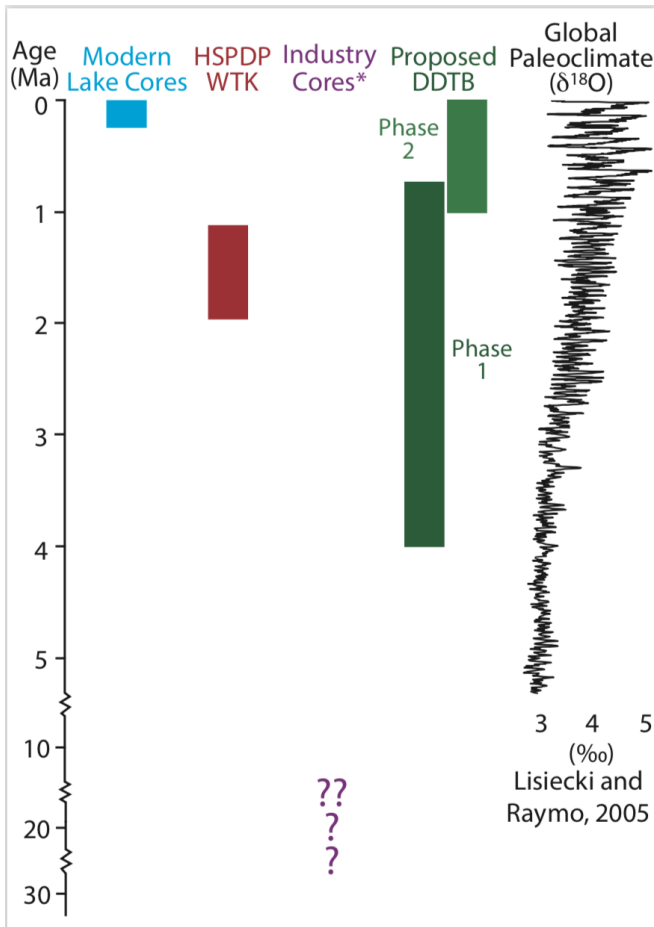
956 Figure 1 | Map of the Turkana Basin (TB) and its location. (a) Rift systems and highland areas in excess of 1000  
 957 m elevation, (b) Eastern African Rift System with major lakes, key archaeological sites and former HSPDP coring  
 958 sites discussed in the text. The proposed DDTB drilling sites are marked with red circles. The blue box marks the  
 959 Turkana region for which seismic reflection profile tracklines are available (Fig. 3), (c) The modern Lake Turkana  
 960 catchment with hydrography and simplified geology (modified after Feibel, 2011).



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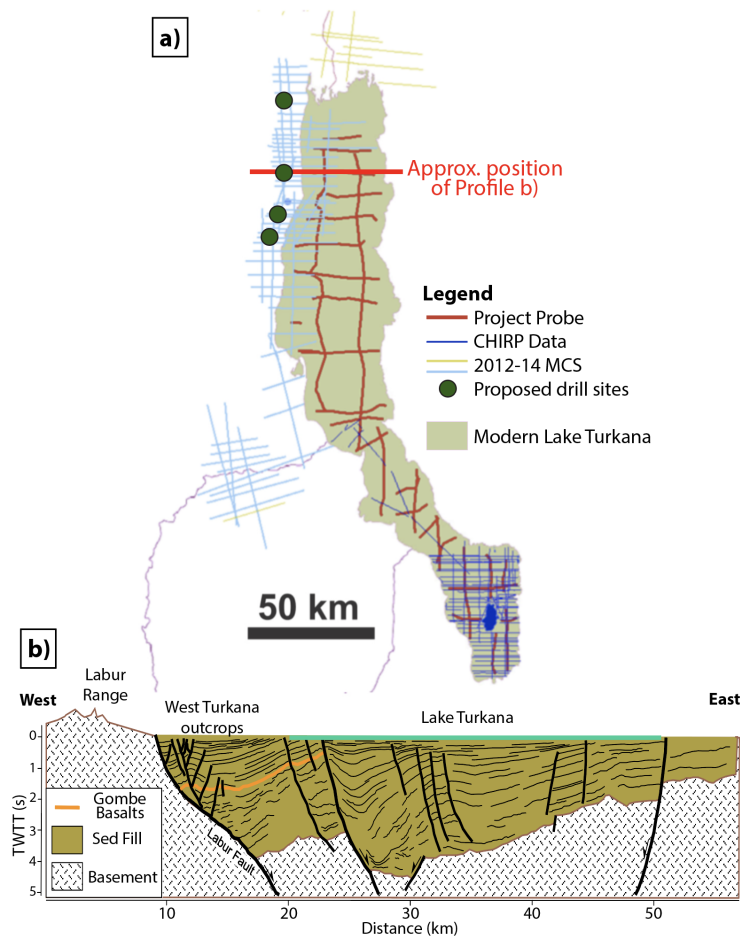
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963 Figure 2 | Summary of time periods covered by existing cores from the Turkana Basin and those spanned by the  
 964 proposed DDTB record plotted with the global paleoclimate benthic stack (Lisiecki and Raymo, 2005). The  
 965 proposed DDTB record will fill significant gaps in the understanding of the continental paleoclimate response to  
 966 global forcing in the Turkana Basin. \*Note: industry data is predominantly cuttings, not continuous core.



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973 Figure 3 | (a) Outline of Lake Turkana with locations of available seismic reflection tracklines. Red lines denote  
 974 legacy offshore multichannel seismic reflection profiles collected in 1984 by Project PROBE of Duke University.  
 975 Dark blue lines indicate high-resolution CHIRP seismic reflection profiles acquired by Syracuse University in  
 976 2009-2011. Light blue lines are commercial seismic profiles acquired during hydrocarbon exploration in the  
 977 Turkana Rift, and include land, transition-zone and offshore reflection data. (b) Interpreted seismic profile across  
 978 the basin. Profile redrawn and simplified from Nutz et al. (2022). The targeted sequence for onshore drilling will  
 979 exploit the West Turkana outcrop belt, with staggered holes extending down to the ca. 4 Ma Gombe Basalts.  
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