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### New geomorphological and archaeological evidence for drainage evolution in the Luangwa Valley (Zambia) during the Late Pleistocene

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- 1 New Geomorphological and Archaeological Evidence for Drainage Evolution in the Luangwa Valley
- 2 (Zambia) during the Late Pleistocene
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- 10 ABSTRACT

11 This is the first systematic investigation of two distinctive geomorphological features recorded in the 12 central Luangwa River valley, Zambia. A series of low hills was found to be capped by thin (~1 m) 13 gravel deposits containing stratified Stone Age artefacts. More widespread gravels occur on the 14 margins of the Luangwa River floodplain lacking stratified artefacts. The previously unreported 15 hilltop deposits are interpreted as remnants of a dissected land-surface, and the valley floor gravels 16 as redeposited clasts from c. 20 m of down-cutting. Clast analysis and drainage basin size analysis 17 support a hypothesis of gravel deposition by unconstrained debris flows from the distant Muchinga 18 escarpment, or from an intermediate zone. Excavation of a perched deposit revealed a coarsely stratified Stone Age record indicating periodic emplacement of artefact-bearing gravels over an 19 20 extended period. Deposition of these perched gravels continued into the Late Pleistocene (~77 ka), 21 based on OSL dating, after which the current dissected landscape formed. We hypothesise further, 22 based on a regional record of landscape instability and core data from Lake Malawi, that fan formation in the valley was linked to periods of extended aridity and reduced vegetation cover 23 24 followed by episodic erosional events on the return to wetter conditions. We argue that the

subsequent dissection of the land-surface is the end state of a sequence of responses to base-levelchanges and climate change.

Keywords: fan deposits, landscape dissection, Stone Age archaeology, Late Quaternary, Luangwa
Valley, Zambia

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#### 30 **1.0 Research questions and research contexts**

31 The Luangwa Valley of eastern Zambia is an extension of the East African Rift System (EARS) (Delvaux 32 et al., 2012), but unlike the better-known rift valleys to the north and east it does not preserve 33 detailed records of Quaternary climate change in datable contexts. As a result, comparatively little 34 research effort has been invested in studying the valley's geomorphology or its archaeological record 35 (Barham et al., 2011). A systematic programme of survey and excavation was undertaken between 36 2002 and 2008 in the central portion of the valley. Two distinctive geomorphological features were 37 identified, mapped and their lithology examined. The first comprises gravels containing Stone Age 38 artefacts in coarsely stratified deposits that cap a series of low-lying hills northwest of the Luangwa 39 River. The second comprises gravel spreads on the floodplain on both sides of the river characterised 40 by few artefacts and no stratification.

Two questions arise from these observations: 1) what local and regional conditions led to fan
formation in the Luangwa Valley; and 2) what processes initiated the erosion of the fan deposits?
The regional context of previous research provides the basis for generating two testable hypotheses
linked to climate change and neotectonics as both processes have the potential to increase
landscape relief relative to the base level, and thus cause down-cutting and the recycling of the
perched gravels in the landscape as it adjusts to a lower base level (Harvey, 2005).

47 1.1. Regional research context

48 Thomas (1999, 2002, 2004), working near the Luangwa Valley in eastern Zambia identified extensive 49 evidence of Middle and Late Pleistocene landscape instability characterised by slope failure and 50 debris flow sequences. Fans and broad sheets of colluvium spread from the base of hillslopes 51 towards river valleys in the Middle Pleistocene (>200 ka) and at least four times in the Late 52 Pleistocene based on the OSL dating of colluvium at the base of flows (Thomas, 2002). Thomas 53 (2004) interprets the periodic high energy flows as evidence of regional responses to periods of 54 increased aridity during which reduced vegetation cover led to increased slope destabilisation, with 55 debris flows following the onset of wetter conditions that initiated landscape dissection. More 56 detailed hydroclimate data has since emerged for south-eastern Africa with evidence for increased 57 aridity from the Limpopo River catchment during the Middle Pleistocene Transition (1.0-0.6 Ma) in 58 response to high latitude ice-sheet expansion and global cooling of sea surface temperatures (Caley 59 et al., 2018). Core data from Lake Malawi indicate an earlier shift to wetter conditions in the Middle 60 Pleistocene after 800 ka punctuated by 15 episodes of drought followed by return to wetter 61 conditions, with an increase in the frequency of drought after 450 ka (Lyons et al., 2015). These two 62 records indicate a contraction of rainfall to lower latitudes or from the Limpopo to the Lake Malawi 63 catchment (Caley et al., 2018). This evidence for large regional variability in hydroclimate reflects 64 multiple forcing mechanisms governing moisture variability (e.g., eccentricity, precession, changes in 65 ice volumes) (Caley et al., 2018). The Lake Malawi data are particularly relevant here given the lake's 66 proximity to the Luangwa Valley and equivalence of latitude (9-13°S). There is evidence of a drought 67 termination ~75 ka followed by increased precipitation transporting sediments from de-vegetated 68 slopes into the lake (Brown, 2011). Stabilisation of the landscape occurs with the subsequent reforestation of the catchment area (Beuning et al., 2011). Increased precipitation is seen in the 69 70 Lake Tanganyika record ~78 ka which may correlate with the end of drought in the Lake Malawi 71 record, indicating a broad shift in climate dynamics at this time (Burnett et al., 2011).

72 1.2 A test hypothesis

73 We hypothesize a direct impact of these climate-driven arid intervals on vegetation cover and 74 landscape instability in the Luangwa Valley in the Middle and Late Pleistocene. Aperiodic flash 75 flooding created fans as they opened into the valley floor and deposited their sediment load. 76 Archaeological material would be entrained in these flood events forming over time a discontinuous, 77 partially mixed but coherent archaeological succession (Lang and Hönscheidt, 1999). In this model, 78 dissection of the valley fill requires base level lowering during arid phases, possibly enhanced by 79 gradual regional neotectonic uplift (Delvaux et al., 1992), that creates slope instability. A reduction 80 of vegetation during dry phases would magnify the effect of mechanical erosion on the landscape 81 initiated by the return of wet conditions. Active dissection of the fan deposits caused by flash 82 flooding would leave perched gravels and redeposit gravels on the floodplain. Dissection switches off 83 when a wet phase eventually comes to an end and the landscape becomes stabilised by vegetation 84 cover.

Base-level lowering or uplift may not have been sufficient to cause dissection without increased
wetness (Frostick and Reid, 1989). Regional uplift post-600 ka (Delvaux et al., 1992) may have
affected sediment supply and the gradient of the Luangwa River, but without flash flooding there is
no mechanism sufficient to initiate landscape dissection.

89 The Luangwa River as a tributary of the Zambezi is affected by base-level changes of this larger 90 system. The modern Zambezi formed as the result of the merger of two independent drainage 91 systems at Victoria Falls which increased the sediment discharge of the combined system with its 92 additional tributaries (Thomas and Shaw, 1988). The mechanisms and timing of the merger, 93 however, remain unresolved (e.g., Moore et al., 2012), and data from the Zambezi delta are too 94 poorly constrained chronologically and spatially to provide a reliable record of base-level change 95 over time (Castelino et al., 2017). The regional hydroclimatic data from the Limpopo basin, Lake 96 Malawi and Lake Tanganyika collectively point to base-level lowering as being primarily climate 97 driven with aridity being caused by ice-sheet expansion (Caley et al., 2018). Neotectonic uplift may play a role in the Luangwa Valley but at present it is not possible to distinguish its effects on baselevel change from that of climate (e.g., Ritter et al., 1995). The limited chronology from the Luangwa
Valley fan deposits (Section 3.5) supports a linkage between aridity then wet phase erosion as
sculpting factors of the Late Pleistocene landscape.

102 1.3 Geological research contexts in the Luangwa Valley

103 The most prominent geological feature in eastern Zambia is the Luangwa River Valley which extends 104 700 km across eastern Zambia from its source in the highlands of northern Malawi to its confluence 105 with the Zambezi River (Figure 1). The river meanders over an area of relatively low topographic 106 relief within the confines of an elongated trough of half-grabens that form a southwest – northeast 107 extension of the EARS (Utting, 1976; Sepulchre et al., 2006). Rifting was reactivated in the late 108 Miocene after a long hiatus (Daly and Watts, 2017). The valley is up to 90 km wide, with a floor 109 ranging in elevation from 400 m above mean sea level (a.s.l.) at its lower reaches to 1000 m a.s.l. at 110 its upper reaches (Astle et al., 1969). The valley is bounded by steep escarpments of Archean granites and metamorphic rocks on its western and eastern margins (Dixey, 1937; Utting, 1976; 111 112 Thieme and Johnson, 1981) (Figure 1). The underlying basin fill lithology is characterized by Karoo 113 Supergroup sediments from the Later Carboniferous to the Early Jurassic (c. 190 Ma) (Drysdall and 114 Weller, 1966; Kemp, 1975; Utting, 1988). A thin mantle of Quaternary surface deposits lies 115 unconformably on the Karoo mudstone and shale deposits and includes Holocene floodplain 116 sediments as well as Pleistocene sands and gravels (Utting, 1988). The Karoo and Quaternary 117 deposits offer siliceous materials that were used by early humans for toolmaking, including silicified 118 wood, quartzite, quartz and a chert-like material (silcrete) (Barham et al., 2011). Stratified Stone Age 119 sites are rare, however, because of site destruction caused by the high rate of channel migration 120 during wet season discharge (Gilvear et al., 2000; Colton, 2009; Bishop et al., 2016).

121 1.4 Archaeological research context

Before 2002 limited archaeological research had been undertaken in the Luangwa Valley (e.g., MacCrae and Lancaster, 1937; Clark, 1950). Of relevance here are the reports by Dixey (1944) of stone tools of presumed Pleistocene age found overlying extensive gravel deposits that occur up to 60 m above the river channel. Dixey also found Stone Age artefacts on the surfaces of low gravel mounds located beyond the floodplain. Clark (1950) used the differences in the elevations of the gravels and the typology surface artefacts to develop a relative chronology for the valley.

The first systematic geomorphological and archaeological research in the valley took place between 2002 and 2008. The aim of the research was to map the Quaternary landscape of the central portion of the valley and to develop a chronology of human settlement. The study area was located near the town of Mfuwe and encompassed part of the South Luangwa National Park (SNLP) and adjacent game management area (Figure 1). The results included a detailed geomorphological map of the area (Colton, 2009), and the foundations of a Pleistocene framework for the human use of the valley (Barham et al., 2011; Bishop et al., 2016).

135 The mapping programme recorded the low mounds (<1 m high) of cobbles described by Dixey (1944) 136 beyond the active floodplain and confirmed his observation that they have no internal structure and 137 no consistency in artefact content (Colton, 2009). The first stratified Stone Age succession was 138 excavated along the Manzi River, a tributary of the Luangwa River (Figure 2). Early and Middle Stone 139 Age artefacts occur here in a discontinuous sequence of fluvial and colluvial deposits uncomformably 140 overlying Karoo sediments. The fluvial context of the Early Stone Age (ESA) artefacts was dated by 141 palaeomagnetic correlation to ~1.1 Ma and the Middle Stone Age bearing colluvium (MSA) was 142 dated by isothermal luminescence to 78 ka (Barham et al., 2011). Elsewhere in the central part of 143 the valley, Holocene sites (Later Stone Age, Iron Age) have been excavated in rock shelters and along 144 tributaries of the Luangwa River (Barham and Jarman, 2005; Fletcher, 2010). Large gaps remain in 145 the Stone Age record of the valley, but more broadly the evidence from Zambia points to a transition 146 from the ESA to MSA occurring between 500 ka – 300 ka (Barham et al., 2015), and the MSA ending

~25 ka (Phillipson, 1976). These date ranges provide a framework for the Stone Age used in this
study.

#### 149 2.0 Research Methods

Outlined briefly are the methods used to map and source the gravels within the study area. A single
hilltop site was selected for excavation and the methods are described. The artefact analyses are
designed to assess the relative age of the deposits and provide evidence of depositional processes.
Optically stimulated luminescence (OSL) protocols are presented for sand samples collected from
the hilltop excavation.

155 2.1 Mapping the gravels

The study area (16 km x 14 km) encompasses the Luangwa River, its floodplain and flanking features 156 157 to the southeast and northwest. The Luangwa River enters from the north and exits to the 158 southwest, cutting a diagonal course at an elevation of 530 m – 520 m a.s.l. (Figure 2). Aerial 159 photographs (1:50,000) were used to initiate the mapping and to direct field analysis of the landform 160 assemblage and sedimentary exposures. These data were recorded by GPS. The deposits were 161 georeferenced onto 1:50,000 Zambian Survey maps in Arc GISTM which was used to store and edit 162 data and create maps. Figure 2 shows the geomorphological features and clast sampling areas recorded in the study area. 163

Wallace (1907) noted the presence of gravels at the edges of the Luangwa floodplain, and the current survey built on this observation. On the floodplain, few large clasts are visible on its sand and silt surface and our sampling strategy focused on exposures in channel cuts of ephemeral tributary streams. The Nchindeni Hills are the dominant feature on the southeast bank which is drained by six seasonal streams including two named rivers, the Chowo and Kafunta (Figure 2). Gravel capped low hills of Karoo sediments (540 m a.s.l. and higher) occur only on the northwest bank and rise 20 m above the floodplain and valley floor gravels. Chipembele Ridge is a separate feature on the northwest bank; a prominent hill rising 60m above the floodplain (580 m a.s.l.). The ridge is also
formed of Karoo sediment and capped by gravel deposits of unknown depth (Figure 2). Behind the
ridge the topography drops sharply by 20 m and then rises gradually 140 – 200 m above the
floodplain westwards towards the Muchinga Escarpment (Figures 2, 3).

175 The spatially restricted hilltop deposits contrast with the widespread distribution of unstructured gravels on the margins of the valley floodplain (Colton, 2009). To understand the relationship, if any, 176 177 between these two geomorphological features their distribution was mapped, and lithology 178 described using coarse clast analysis. Coarse clast (B-axis > 2 cm) analysis enables a rapid assessment 179 of probable sources of pebble to boulder grade material (Howard, 1993; Mather, 2011). The method 180 is particularly useful in logistically challenging areas (e.g., Adhikari and Koshimizu, 2005). Although 181 attempts have been made to quantify errors associated with the datasets created by clast analysis 182 (e.g., Howard, 1993; Wohl et al., 1996), most studies continue to employ qualitative methods (e.g., 183 Steel et al., 1977; Heward, 1978; Adhikari and Koshimizu, 2005; Went, 2005).

184 The localities for clast counts were selected to give a broad coverage of the survey area given the 185 limitations of the network of unsurfaced roads and availability of sections. Sections were described 186 in terms of sedimentary structures after Miall (1977). At each locality 100 clasts greater than 2 cm 187 were selected and their lithology recorded. Where time and safety permitted, angularity and clast 188 size (A, B and C axis measurements) were recorded in the field. Twenty-three localities were sampled with the lithology recorded for 2300 clasts, B-axis for 1400 of the clasts, and angularity for 189 190 1600 of the clasts (SM Tables 1-3a). Twelve localities were recorded on the northwest bank and 191 fourteen on the southeast bank (Figure 2) and the results are outlined in section 3.1.

192 1.2 Archaeological methods

On the northwest bank of the Luangwa River, four concordant hills (NW-SE) were surveyed with the
hilltops rising 20 m above (540 m a.s.l.) the Luangwa floodplain (Figure 2). Each hilltop had

195 microlithic quartz artefacts (Later Stone Age, LSA) on the surface and larger artefacts (quartzite,

196 silcrete) on adjacent slopes. The least vegetated hill-top platform was chosen for excavation and

197 labelled SL8 (South Luangwa 8) and referred to as Locality 28 in the geomorphological survey.

198 The hilltop and slopes were sampled in three separate excavation blocks: Block 1 (B1, 2x7m), Block 2

(B2, 2x3m) and Block 3 (B3, 2m<sup>2</sup>) (Figure 4a). Each block was excavated into the clay surface of the

200 weathered Karoo mudstone. Artefacts are found in the upper 5-7cm of the clay, but no deeper. B1

and B2 sampled the steep slope on the southwest side of the ridge and B1 was subdivided into Areas

1-3 (Figure 4b). The deepest deposits were sampled in B1, Area 1 on the edge of the hilltop

203 platform, and in B3 located in the centre of the platform (Figure 4b).

Excavation took place in natural levels identified by changes in artefact content, sediment colour, texture or composition and followed the slope of deposits. Arbitrary excavation levels (5-10 cm) were used within thick natural levels where no changes were evident in sediment or content. All deposits were sieved (2 mm mesh); no bone or charcoal was found. The B3 section (Figure 5) was sampled for OSL dating with dosimetry measured in situ.

209 The SL8 material was analysed using the techno-typological approach described in Barham (2000:

appendix 1) and which has been applied to other Stone Age sites in Zambia (Barham et al., 2011,

2015). Emphasis is placed on the analysis of the attributes of flakes and cores as these are the most

common artefacts in the regional Stone Age (Tryon and Potts, 2011). Supporting evidence of Stone

Age affinity is drawn from the types of retouched tools, trends in raw material selection and relative

stratigraphic position. The resulting evidence of patterns of tool reduction is linked to culture-

stratigraphic labels of Early, Middle and Later Stone Age (Barham and Mitchell, 2008). The Age

attributions provide a coarse relative chronology.

The extent of surface abrasion was recorded on artefacts to assess the formation of the gravels in
 terms of movement, mixing or compaction (Shea, 1999). Qualitative criteria were applied based on

Clark (1974, p.103) with five categories based on a gradation of edge abrasion from fresh to heavily
worn. If an artefact exhibited more than one category of abrasion the highest level was recorded.
Refitting flakes to cores was also attempted as an indicator of taphonomic disturbance, with only
one refit found (basal clay B1, Area 3). The quantity and size distribution of small flaking debris
(<20mm) was recorded as evidence of artefact manufacture where abundant (Andrefsky, 2005) or</li>
evidence of sorting by depositional processes where rare or absent (Sheppard and Kleindienst,
1996). The results are analysed in Section 3.3.

#### 226 1.3 Dating method

227 Three sediment samples were collected from the upper part of the B3 section for optically 228 stimulated luminescence (OSL) dating. Quartz grains 90 to 250 µm in diameter were separated for 229 luminescence analysis, and dose rates calculated in the field (gamma spectrometry) and in the 230 laboratory based upon thick source alpha counting and beta counting (Table 1a). Small aliquot 231 measurements including a preheat plateau test were undertaken to assess the luminescence 232 behaviour of the quartz, but the focus here is on the single grain measurements for the three 233 samples. Measurements followed the procedures described in Duller et al. (2015) and the results are 234 discussed in Section 3.5.

#### 235 3.0 Results and interpretation

The results of the mapping and clast analyses are discussed including the lithology of the SL8 sediments. The archaeological succession is outlined in terms of a relative chronology and the emplacement of deposits is interpreted based on artefact content and abrasion data. The dating results are summarised and interpreted using a maximum age model (Duller et al., 2015). The clast data are used to assess potential sources for the hilltop gravels and depositional processes able to generate a long but discontinuous archaeological record.

242 3.1 Clast analysis

243 The clast count results at each of the 23 sampling locations are summarised in Figure 6. The perched 244 gravels on the northwest bank were examined in sections exposed at SL8 and Locality 12, a quarry, 245 and as surface collection made on adjacent hilltops (Localities 22, 23). At SL8 the sediments are 246 poorly sorted with little internal structure or stratification, although there are localised loose 247 imbrications (Figures 5, 7). The matrix comprises fine to coarse sand, with little silt and usually no 248 clay component. The clasts are 94% quartzite, 5% other metamorphics and 1% sandstone. Chert 249 (silcrete), quartz and silicified wood occur locally within a 3 km radius but are rare components of 250 the gravels (Colton, 2009). The clasts are sub-angular (39%) to sub-rounded (32%), with a small 251 angular component (9%), and the remainder rounded (18%) or well rounded (2%) (Stow, 2005). A 252 similar sedimentary matrix and lithology was recorded at Locality 12.

At Locality 32 on the northwest bank and below the perched gravels a thin scatter of quartzite gravel was found on well-lithified Karoo mudstone and interpreted as outwash erosion from the hilltops as there are no other gravel sources nearby.

256 On the southeast side of the Luangwa River the geography is dominated by the Nchindeni Hills which 257 occupy a large portion of the survey area. Localities 34 and 35 were at altitudes of 640 m and 570 m 258 a.s.l. respectively and sample the thin hill slope regolith. No artefacts were found and were rare at 259 these altitudes generally (Colton 2009). Most sampling areas were stream exposures that gave 260 access to sections and stream beds of debris flows at the foot of the hills (Localities 8.1, 8.2, 11, 19, 261 33, 9.1, and 9.2). Localities 17 and 18 were bedload samples from small ephemeral streams on the 262 easternmost edge of the Nchindeni Hills in the study area. Also notable are Localities 16 and 31 263 which sampled gravels from an area of deep sandy sediments that support mature woodland 264 (Colophospermum mopane). Artefacts were noted at, or in the vicinity of counts 16 and 31. 265 Excavation at a spring site near Locality 16, to be reportedly separately, produced stratified evidence 266 of Iron Age and Later Stone Age occupation in 3 m of sands and silts overlying gravels. These finegrained deposits are unlike the perched gravels at SL8 and their proximity to the Luangwa River andshared elevation with the floodplain suggests a different depositional history.

269 A lithological comparison of gravels either side of the Luangwa River reveals differences in 270 composition (SM Table 1). Gravels from the northwest side are characterised by a predominance of 271 quartzite clasts and are broadly similar in composition to the key hill-top section at SL8. The 272 exceptions are deposits in proximity to the uplifted Archaean block of Chichele Hill (Localities 14 and 273 24, Figures 2, 3), where the clasts are exclusively granitic and metamorphic. By contrast the 274 lithologies to the southeast bank of the Luangwa adjacent to the Nchindeni Hills are more varied. 275 Quartzite still comprises the majority component (63% - 85%) with the remainder a mix of 276 metamorphics, granites, quartz, and chert (silcrete). At higher elevations (Localities 34, 35) there are 277 no traces of the quartzite, and here, clasts occur as part of the thin regolith covering the hills. 278 Quartzite is also absent from the stream bed and channel wall at Localities 8.1 and 8.2 where 279 metamorphic and granite clasts predominate. Their lithology is similar to that of the adjacent 280 Nchindeni Hills indicating a local origin.

281 The bedloads of the larger seasonal rivers that flow from deep within the Nchindeni (Chowo, Kafunta) resemble the lithology of the hills in the high proportions of metamorphic or granitic clasts 282 283 though they do contain quartzite. The quartzite from the Kafunta, however, differs in structure from 284 the Chowo quartzite and is unlike that found northwest of the river, as there is a lineation in the 285 crystal fabric that causes the rock to weather and erode in tabular rather than rounded clasts. [A 286 single Acheulean handaxe (Early Stone Age) made on tabular quartzite was found in the Kafunta 287 stream bed.] The Chowo quartzite is more similar in structure to that found to the northwest as is 288 the quartzite from Localities 11, 16, and 31. These localities are within the Luangwa floodplain and 289 the material sampled does not derive from perched deposits as at SL8, but from more discrete 290 scatters on the floodplain sands and silts (16 and 31), or channel wall lag deposits (Locality 11). They 291 are interpreted as recent lag deposits of the Luangwa River cut and fill activity.

The angularity data (SM Table 2) show a difference between the hilltop gravels on the northwest bank which have higher proportions of rounded clasts than gravels on the southeast bank on or near the Nchindeni Hills which tend to be more angular indicating they have not been transported far. The difference in angularity is interpreted as an indication of distance transported rather than differences in hardness of the primarily metamorphic and granitic materials.

#### 297 3.2 Clast data interpretation

There are clear differences in geomorphological contexts and lithologies of the gravels either side of the river. There are no perched gravels to the southeast, and the perched and valley gravels on the northwest bank differ lithologically in their higher percentages of quartzite. The floodplain gravels on southeast bank are probably lag deposits of previous channel offcuts and represent recent redeposition of clasts local to the Nchindeni Hills.

303 The clast size results do not indicate significant differences (paired t-tests) across the survey area 304 (SM Table 3b[B]). These data cannot be used to indicate fining out in any direction from a particular 305 source. To the northwest of the Luangwa, however, towards the Muchinga Escarpment clast size is 306 statistically larger than elsewhere (SM Table 3b[A] suggesting that the Muchinga may be the 307 ultimate source of material, assuming larger clasts have been deposited nearer to a potential source 308 and lighter material was transported further. The angularity data are inconclusive; the larger 309 proportion of rounded clasts indicates that they have been transported further, but potentially from 310 either the centre of the valley or the Nchindeni Hills.

There are no modern equivalents of the SL8 hill-top deposits forming today, and this observation applies to the gravels across the study area (e.g., Localities 22, 23 and 32) — they are a relict feature. The modern drainage system is dominated by the sand bed fluvial system of the Luangwa River and its tributaries which are developed on both sides of the Luangwa floodplain, but predominantly on the western side rising from the Muchinga Escarpment. The Holocene and modern fluvial system 316 does not appear to be carrying significant coarse clast assemblages, beyond the limited lag deposits 317 in the floodplain streams. There are, however, geomorphic processes producing lag deposits of 318 gravels as seen in the vicinity of Localities 26 and 27 (Figure 2) where localised seasonal flooding is 319 removing a large proportion of fine material from the Holocene sedimentary sequence that overlies 320 unconformably the Karoo, leaving a collapsed sequence of only the coarser Holocene material. In 321 this environment the mixing of sediments results in late Holocene Iron Age pottery being found 322 underneath Early Stone Age artefacts (Colton, 2009). Such stratigraphic displacement is not present 323 in the SL8 Stone Age sequence making this an unlikely formation process among the exposures 324 studied.

325 Sedimentary structures are extremely rare in the gravels generally, with only partial imbrication in 326 places and little by the way of bedding or internal structure. The fabric of the material displays 327 characteristics associated with tractional flow events, as well as more debris rich hyper-concentrated 328 type flows. Only a few loose imbrications were observed (Figure 6) and we would expect more clast 329 imbrication to be preserved in a fluvially dominated environment (see Prothero and Schwab, 1996; 330 Knighton, 1998) as is the case at the Manzi River section (Barham et al., 2011). As an alternative 331 formation process, we consider the Muchinga Escarpment as a potential source of material 332 distributed by alluvial fans. This hypothesis is developed further in Section 4.1.

333 3.3 Archaeological results

The artefact analyses focus on the hilltop deposits in B3 and in B1, Area 1, as they offer the greatest potential for detecting chronological patterning and for inferring formation processes. B1, Areas 2-3 are not discussed except in relation to specific artefacts that contribute to building a relative chronology for the site. The results are presented by flake and core attributes including, whole flake size (length), extent of abrasion and raw materials used, distinctive core types and retouched tool type frequencies (after Barham, 2000).

#### 340 <u>3.3.1 B3 Results</u>

341 Eleven levels were identified from surface to base with Level 9 being a small feature within Level 8 342 and Level 11 excavated into the top of the basal clay (Figure 7). For this study, the Level 9 material is 343 integrated into Level 8 and the revised Level 9 is a combination of Levels 10 and 11 (7 cm of deposit). 344 The Level 1 artefacts were missing in 2008 when the analyses were undertaken, but the context 345 sheet records "a dense concentration of small quartz debitage with bladelet cores, retouched tools 346 (segments, scrapers), some fire-cracked rock and pigment.... A drop in artefact content 5 cm below 347 the surface led to a level change". A total of 685 artefacts were recovered from B3 excluding Level 348 1. Of these, 206 artefacts were small flakes and chunks (<20 mm), 67 angular chunks (>21 mm), 200 349 broken flakes (>21 mm), 119 whole flakes, 80 cores, 8 retouched pieces and 5 utilised pieces. The 350 numbers of flakes, cores and retouched tools are too small to make meaningful statistical 351 comparisons between levels. Qualitative differences are noted when useful for comparisons. 352 The distribution of flake types by level shows a prevalence of quadrilateral and irregular forms 353 throughout the sequence. These flake forms are not time or technology sensitive, however, from 354 Level 4 and below there are increased frequencies of convergent and pentagonal flake 355 morphologies, and these are indicative of a centripetal flaking strategy (Barham et al., 2011) (Figure 356 8h, i) (Barham, 2000). Centripetal flaking occurs in the LSA but is a more consistent feature of MSA 357 and ESA flaking strategies. A split spheroid in Level 8 (Figure 8I), perhaps used as a hammerstone, 358 also points to either an MSA or ESA attribution as these objects are not part of the LSA technological 359 repertoire.

The sample of cores shows some qualitative trends that reflect differing techniques of core
production indicative of broader technological patterns. Bipolar cores are found only in Level 2 and
this technique of working small quartz cobbles is a feature of the local LSA (Fletcher, 2010).
Centripetal flaking (radial and disc cores) as well as the peripheral flaking of split cobbles occurs from
Level 3 to Level 9. The centripetal strategy is a feature of the MSA and later ESA regionally (Barham

et al., 2015). There are no prepared cores. Split and flaked cobbles ('choppers') occur in Levels 7 – 9
(e.g., Figure 8j).

Among the retouched tools a quartz segment (Figure 8a) and 'chert' (silcrete) borer from Level 2 are
distinctive LSA tools found widely across Zambia (Miller, 1971; Phillipson, 1976), including the
Luangwa Valley (Fletcher, 2010). A broken pick was found in Level 9 and this heavy-duty tool occurs
in the ESA and early MSA (Clark, 1974; Barham et al., 2015). The single scraper in Level 7 is not
diagnostic of a particular technological tradition, but awls ('becs') like those from this level feature in
the regional MSA (Barham, 2000).

373 The majority of small flakes and chunks occur in Level 2 (n=122, 59.2%) decreasing in Level 3 (n=47, 374 22.8%) and Level 4 (n=26, 12.6%) and then below 1.5% (n=  $\leq$  3) in Levels 5-9. A boxplot of flake 375 length (Figure 9a) shows the median to lie between 30-40 mm in Levels 2-5 and to be in the 40-376 50mm range in Level 6-9. The size of the largest non-outliers also increases in the lower levels as 377 does the size and number of outliers above 80 mm. The higher frequencies of larger flakes in the 378 lower levels correspond with an increase in guartzite as a raw material and decline in the use of 379 quartz which is most common in Levels 2-3 among the knapping debris. Chert (silcrete) occurs 380 infrequently with no patterning through the deposit and silicified wood is rare.

A cross-tabulation of abrasion categories on whole flakes by raw material shows Levels 2 and 3 as having the highest frequencies of the least damaged artefacts (SM Table 4). Moderate and worn to very worn degrees of abrasion, however, occur in all levels excepting Level 3. This consistency of the abrasion mix points to a similar depositional process throughout the sequence except near the surface.

386 <u>3.3.2 B1 (Area 1) results</u>

Seven excavation levels were identified from top to bottom with Level 7 being the basal clay (Figure
10). A total of 533 artefacts was recovered: 100 small flakes and chunks (<20 mm), 44 chunks (>21

mm), 146 broken flakes (>21 mm), 126 whole flakes, 103 cores, 6 utilised and 8 retouched pieces. As
in B3, the upper two levels contain the bulk of the small flaking debris <20 mm and most of this is</li>
quartz in Level 1 (n= 57; 93%). Below the surface (Level 1), artefact frequencies are low excepting
Levels 4 and 6 which provide the most useful chronological markers.

393

394 Non-diagnostic quadrilateral and irregular flake forms are the most common throughout the 395 sequence as in B3. Pentagonal flakes as indicators of centripetal flaking occur in each level and are 396 most numerous in Level 4. This level also contains a single convergent flake with a multi-facetted 397 butt potentially indicative of MSA prepared core technology (Clark, 1974). A boxplot of flake length 398 by level shows an increase in median and range from Level 2 and below (Figure 12). As in B3 there is 399 consistency in abrasion distribution on whole flakes throughout the deposit with all levels showing a 400 range from sharp to very worn, with the least abraded pieces in the upper deposit (Levels 1, 2) (SM 401 Table 5).

Among cores, the most distinctive indicator of flaking methods is the presence of radial (quartzite and conglomerate) and prepared cores (silcrete, milky quartz in Levels 4-6 (SM Table 6). The size and raw material (quartzite) are indicative of MSA techniques of core reduction in contrast with the small quartz cores in Level 1 (Figure 8b) which are typical of LSA strategies.

Of the eight the retouched tools (Figure 11), three are useful culture-stratigraphic markers. A quartz segment in Level 1 (Figure 8a) is distinctive of the LSA and the two picks in Level 5 are suggestive of the ESA based on research elsewhere in Zambia (Clark, 2001). Downslope in B1, Area 3, a weathered core-axe (Figure 8d) was found on the surface and this tool form associated with the late ESA and early MSA (Barham et al., 2015). The basal clay of B1, Area 2, preserved an unabraded core and refitting flake of silicified wood (Figure 8f) that were found together suggesting that this lowest deposit is the least disturbed.

413 *3.4. Interpretation of the archaeological data* 

414 SL8 preserves a discontinuous but coherent archaeological succession. The hill-top surface and 415 upper 10-15 cm contain artefacts which in size, raw material, and form are consistent with the 416 regional LSA (Phillipson, 1976; Musonda, 1984; Fletcher, 2010). The abundance of flaking debris 417 indicates tool-making on the surface of the hill, and this relatively fresh material contrasts with the 418 more abraded artefacts below. Beneath the LSA are found sporadic artefacts of MSA affinity (e.g., 419 prepared cores) with some large flakes and cores in the basal deposits which may represent ESA 420 reduction strategies. The identification of an Early Stone Age component is tentative given the 421 absence of diagnostic large cutting tools (cleavers, handaxes). A similar problem of attribution was 422 faced at Localities 21 and 30 where previous excavations identified the ESA by the presence of large 423 flakes (>10cm) and the age of the deposit (1.1 Ma) (Barham et al., 2011). As a generalisation, large 424 bifaces (handaxes, cleavers) are rare in this central area of the Luangwa Valley, but the core-axe 425 from B1, Area 3, and the picks from B1, Area 1, Area 3 and B3 (e.g., Figure 8c) are the clearest 426 indicators of an early human presence in this deposit.

The co-occurrence of artefacts with contrasting degrees of abrasion through the deposits reflects
processes that delivered clasts with differing states of surface preservation, excepting the
comparatively fresh surface LSA material. A coarsely resolved archaeological succession also
indicates a process that was repeated at intervals by intermittent episodes of entrainment in flowing
sediments (Malinsky-Buller et al., 2011). The LSA record post-dates this process and subsequent
bioturbation has mixed some of the LSA with more abraded MSA material.

433 3.5 OSL dating results and interpretation

Three sediment samples were collected from the upper part of the B3 section for OSL dating (Figure
7) and the results and analytical data are summarised in Figure 13a.

436 Sample 1 (SL8-1) was collected from a depth of 5 cm below the surface associated with the LSA

437 occupation of the hilltop (Level 1). Samples 2 and 3 (SL8-2, SL8-3) were collected from a depth of 23

438 cm (Level 3) and 43 cm (Level 5) respectively and are associated with MSA artefacts. No samples 439 were collected from the lower levels. The near surface sample (SL8-1, Figure 13b) is relatively well 440 bleached and gives an apparent age (using the minimum age model) of 210±10 years. This could 441 date deposition of the sediment at the site, but it could also reflect the rate at which modern surface 442 processes are moving sand sized material in the profile and bringing it to the surface. The two 443 deeper samples have very widely scattered data sets (Figure 13c, d), though the equivalent dose 444 values for SL8-3 are higher than those for SL8-2, implying that it is older, as would be expected given 445 their stratigraphy.

SL8-1 has been very effectively reset, indicating either that the sediment has been deposited very recently (within the last 200 years), or that modern processes (including bioturbation) are moving the 90-250 µm diameter grains through the sediment sequence, giving them the opportunity to be bleached at the surface. The age of the surface sample could be recording the most recent use of the site by LSA hunter-gatherers, but we interpret the young age of these surface sediments as the product of reworking by bioturbation, and possibly the incorporation of recent aeolian sands.

452 The scatter in SL8-2 may be indicating deposition by either fluvial or colluvial processes which can 453 produce incomplete bleaching. This degree of incomplete bleaching, however, is very large (Figure 454 13c) and the age calculated using the minimum age model (Table 1b) would imply that deposition 455 occurred very recently (2.88 ka). Alternatively, this deposit may be much older, and the scatter is 456 the result of post-depositional movement of sediments in the profile by bioturbation. If the scatter results primarily from bioturbation bringing younger grains from the surface, then the population of 457 458 grains that most closely records the original deposition of the sediment would be the oldest grains. 459 The maximum age model was applied to this data set to statistically isolate this oldest population of 460 grains (cf. Olley et al., 2006) and gave an age of 77.0±7.9 ka. The youngest grains give ages that are 461 in stratigraphic order, and these may be indicating the rate at which sand sized grains are migrating 462 up and down this profile from processes including bioturbation (cf. Heimsath et al., 2002). The very

small number of saturated grains in this sample (Table 1b) suggests that the maximum age
calculated is credible (77.0 ± 7.9 ka) but we remain cautious about this interpretation in the absence
of other samples from this depth to test for stratigraphic consistency.

There is indirect support for the reliability of the maximum age of SL8-2 from the nearby Manzi River
section where MSA artefacts in colluvium are found near the top of the section and dated to 78.1 ±
5.0 ka using isothermal luminescence (Barham et al., 2011). The similarity in dates between the two
sites may be coincidental or indicate a period of active deposition locally. Resolution of this issue
will require further sampling and dating at SL8.

471 The single-grain De distribution in the lowest sample, SL8-3, is also very scattered with a

472 considerable proportion of the grains in saturation (>30%, Table 1b). Mixing among very old samples 473 may account for the broad range of the scatter including the saturated component, but if this is the 474 case then we would expect to see more saturated grains in SL8-2. Bioturbation alone is also unlikely 475 to account for this complex pattern. We suggest that the maximum age model De value could well 476 be a significant underestimate, and that this sample is beyond the OSL dating range. Other dating 477 methods, such as thermally transferred - OSL (TT-OSL) (Duller et al., 2015) or ESR single grain dating 478 (Tsukamoto et al., 2015) will need to be considered if we are to develop a chronology for these 479 lower deposits.

The dating results support the archaeological evidence for deposition of the upper ~45 cm in the
Late Pleistocene, with possibly earlier deposits which are beyond the age range of OSL dating. The
recent age of the surface sands is attributed to bioturbation and possibly aeolian activity.

483 4.0 Hypothesis testing

484 4.1 Fan deposits in the Luangwa Valley

Our hypothesis that climate change and possibly neotectonic activity altered drainage patterns in
the central Luangwa Valley derives primarily from the research of Thomas (1999) near Chipata 80 km

to the southeast of the Luangwa Valley (Figure 1). He identified alluvial fan deposits (sands and
gravel) overlain by landslide and debris flows comprising local weathered basement rocks
(granulites, schist, quartzite). This evidence of high energy events occurs on all hills of similar
geology in a study area of 10 km<sup>2</sup> indicating events on a regional scale (Thomas, 2004, p. 120). More
than 200 landslips have been reported from the granitic Nyika Plateau in northern Malawi, the area
of the headwaters of the Luangwa River (Shroder, 1976).

In the Chipata area, OSL dating of colluvial sediments indicates active deposition in the Middle Pleistocene (>180 ka), and at intervals in the Late Pleistocene ( $65 \pm 5.0$  ka;  $56 \pm 6.0$  ka;  $22.8 \pm 1.5$  ka) and in the early Holocene ( $9.1 \pm 0.6$  ka) (Thomas, 2002). Increased aridity destabilised slopes in the region by reducing vegetation cover, and slope failure led to the formation of piedmont slopes of colluvium and alluvium accumulated during short, intense periods of landscape change following the onset of wetter conditions (Thomas and Murray, 2001; Thomas, 2004).

499 In the Luangwa Valley study area, debris flow deposits consisting of granite and metamorphic 500 fragments were mapped at the base of the Nchindeni Hills (Localities 8.1, 8.2). On the northwest 501 bank of the river, the hill-top gravels with their discontinuous archaeological succession are 502 interpreted as evidence of periodic fan deposits derived from the Muchinga Escarpment or an 503 intermediate source. The escarpment dominates the topography of the valley when viewed from 504 the valley floor and is incised with deep river channels (Figure 2). Two of the largest rivers are the 505 Mupamadzi and Kapamba that drain into the Luangwa (Figure 1). Under more arid conditions these 506 river channels would be much less defined and conducive to the development of alluvial fans 507 (Harvey, 1997). Alluvial fans comprise a suite of diagnostic depositional features and sequences, 508 some of which might be expected in the Luangwa Valley including gravels, cross-bedded sandstones, 509 debris flow, fluid flow, and hyperconcentrated flow deposits, as well as channel cut and fill 510 structures (Wells and Harvey, 1987; Blair, 1999; Mahapatra and Dana, 2009; Pendea et al., 2009).

511 The gravels on both banks of the river with their poorly sorted clasts in a matrix of coarse-to-fine 512 sands, some silt, but little clay resemble hyperconcentrated deposits (Smith, 1986; Wells and 513 Harvey, 1987; Harvey, 1997; Mather and Hartley, 2005; Meetei et al., 2007). Such deposits typically 514 contain gravels and cobbles deposited during unusually large flood events in environments where 515 there is a large amount of available sediment (Smith, 1986; Batalla et al., 1999; Meetei et al., 2007). 516 Hyperconcentrated deposits are documented in alluvial fan systems (Batalla et al., 1999; Mather and 517 Hartley, 2005; Lafortune et al., 2006; Meetei et al., 2007; Pope and Wilkinson, 2005). As noted 518 above, typically a suite of deposits would be used to identify the depositional environment as an 519 alluvial fan, although not all features would necessarily be present in any one fan as the architecture 520 and range of deposits would vary dependant on past and present environmental and 521 geomorphological factors (see Wells and Harvey, 1987; Blair, 1999; Mahapatra and Dana, 2009; 522 Pendea et al., 2009; Aharipour et al., 2010).

In the case of the Luangwa gravels, there are no other associated fan deposits, and they are perhaps best described as a relict palaeodrainage system that has created a pediment surface, now eroded, and perched 20 m above the modern floodplain. In this scenario where the confined streams from the valley sides open to unconfined flows on the valley floor, material has been deposited during high energy runoff events. If other typical alluvial fan sequences did exist then they have either not yet been discovered or been removed; such differential erosion has been documented in other semiarid environments (e.g., Maizels, 1990).

The data presented here can provide more insight into the origin of the deposits but must be understood in relation to potential sediment sources and Pleistocene environments (see 5.1). Two separate sources are suggested for the gravel deposits on either side of the Luangwa River. The variable lithologies seen in the localities on and close to the Nchindeni Hills indicate a localised source for the gravels on the southeastern side of the Luangwa River. The gravels on the northwest bank with the exceptions of those sampled near Chichele Hill, are uniformly quartzite, suggesting a different source than the Nchindeni Hills. The clast size analysis suggests a direction of transport
from the centre of the valley towards the Luangwa, as the clasts are demonstrably larger behind
Chipembele Ridge which would indicate a source from the Muchinga Escarpment 44 km distant.

539 Given the distance involved, a spatial analysis was undertaken to estimate drainage basin size and 540 likely fan sizes of the local rivers based on the correlation between alluvial fan area and drainage 541 basin size (Guzzetti et al., 1997; Leeder, 1999). Other variables can affect the correlation such as basin slope, sediment yield (Oguchi and Ohmori, 1994), length of the mainstream, and drainage 542 543 density (Church and Mark, 1980; Prabhakaran and Jawahar Raj 2018), but in this study it is only 544 feasible to estimate the current drainage basin size of tributaries. We used tables from Guzzetti et al. 545 (1997) and Leeder (1999) (Table 2), and on the northwest and southeast sides of the Luangwa gravels cover approximately 22 km<sup>2</sup> and 14.4 km<sup>2</sup> respectively. The fan area required to include all 546 547 the gravels was calculated assuming deposits would have covered the modern floodplain of the Luangwa. On this basis, the gravels covered 100 km<sup>2</sup>, which is the upper range of fan areas that 548 549 could be produced by the Chowo River. The Chowo, however, is located on the southeastern bank 550 and is unlikely to have been the primary source for the material on the northwest bank given the 551 differences in lithologies between the two areas.

552 Despite the distance of the Muchinga Escarpment from the survey area, it is not unknown for a fan 553 to extend this far. The Kosi megafan in India has an area of 16,000 km<sup>2</sup> and a length of 150 km while 554 the Gandak fan has an area of 32,000 km<sup>2</sup>. Notably these fans have very large catchments, 50,000 555 km<sup>2</sup> in the case of the Kosi megafan (Gupta, 1997), and both formed in the Himalayas where the 556 basin slope is far greater than any of the rivers in Zambia. The two largest drainage systems near the 557 survey area that drain the Muchinga Escarpment are the Kapamba and the Mupamadzi (Figure 1), 558 and neither would have produced fans that could have spanned the distance to the deposits (Table 2) as the fans would need to be a minimum 1400 km<sup>2</sup> and 4000 km<sup>2</sup> respectively. The Kapamba 559 560 system, however, may have created a fan large enough to contribute material to within 8 km of

561 Chipembele Ridge, which could encompass two of the higher elevation clast localities (26, 27). This 562 would explain the high sand content seen behind the ridge where cobbles would only be transported 563 in the event of larger flood events.

564 Further observations on the valley floor indicate that the gravels on the northwest bank may have 565 been deposited by hyperconcentrated flows originating in the centre of the valley within the range 566 of fans originating from the escarpment. In this scenario, the cobbles in the sand and silt layers 567 behind Chipembele Ridge are reworked in the valley and the coarser material is deposited on the 568 proximal area of the proposed alluvial fans on the northwest bank encompassing site SL8, while the 569 sand and silt are transported to the distal part of the fan in the area now occupied by the Luangwa 570 floodplain. This model of an interim source of fan material is supported by the lithological and clast 571 size data, but it was not possible in the current study to ascertain if clasts nearer the base of the 572 Muchinga Escarpment are the same lithology. Observations made along a track leading to the 573 escarpment (Localities 26, 27) revealed that the deep sands and silts with cobble layers behind 574 Chipembele Ridge are being eroded over large areas. The channels removing this material are confined and would have high flow rates in the wet season, and currently drain into tributaries that 575 meet the Manzi River before reaching the Luangwa. If these tributaries in the past had acted as 576 577 feeds for alluvial fans, with only occasional flash floods, rather than seasonal streams and rivers, the 578 channels on meeting the Luangwa floodplain might have then deposited material in an alluvial fan 579 environment. A key issue is that the high elevation sands behind Chipembele Ridge which would 580 need to have been the source for the alluvial deposits dated at 77 ka and perhaps considerably 581 earlier for the development of the archaeological sequence to include an Early Stone Age 582 component. Considering the apparent fast rate of erosion in the area today it is probable that the 583 material would have been exhausted some time ago.

584 4.2 Neotectonics, base level, and climate change

585 Whether the Muchinga or the Chimpembele area was the source, there would have been periods of 586 abandonment and reactivation linked to cyclical changes in rainfall and susceptibility of the land 587 surface to erosion due to vegetation change. A process of intermittent emplacement and erosion of 588 artefact-bearing gravels would account for the large gaps in the chronology of the upper portion of 589 the SL8 sequence (Lang and Hönscheidt, 1999). The undated lower deposits hint at a much older 590 process of periodic deposition perhaps extending into the Middle Pleistocene. The remaining issue 591 to be addressed is what caused the cessation of fan deposition and dissection of the landscape after 592 77 ka. Neotectonics, climate change, and base-level change are all potential contributing factors 593 (McCarthy et al., 1993; Harvey, 2005; Harvey et al., 2005).

#### 594 <u>4.2.1 Neotectonics and drainage evolution</u>

595 There is little evidence of recent tectonic activity in the Luangwa graben (Fosters and Jackson, 1998), 596 however, research in the adjacent triple junction regions of the EARS (SW Tanzania, N Malawi) 597 indicates that there has been regional doming centred on the Rungwe-Ngozi volcanoes in the Pleistocene (Delvaux, 2001). Middle Pleistocene volcanism in the Rungwe Volcanic Zone (SW 598 599 Tanzania) has been dated to post-600 ka (Delvaux et al., 1992) and linked to stresses on the local NE-600 SW compression zone (Fontijn et al., 2010). Uplift in this region could have had an impact on the 601 evolution of the Luangwa Valley landscape through changes in sediment supply and gradient (Keller 602 and Pinter, 2002) but it is not possible at present to test this hypothesis without further fieldwork 603 and the dating of the gravels.

Even if gradual uplift is accompanied by an arid period, the land would be elevated without any
substantial geomorphic or sedimentary response. In this scenario, as the landscape becomes
progressively elevated above its base level it loses its protective vegetation cover. The land will be
subsequently dissected to its new base level with the onset of a wetter climate as runoff erodes the
denuded surfaces.

609 The planform of the drainage of the key rivers in the area provides some possible evidence of a 610 substantial change in topography linked to tectonic movement. The Mupamadzi River flows 611 northward after reaching the Luangwa Valley floor from the escarpment, the opposite direction to 612 the Luangwa River before turning east, and then southeast to meet the Luangwa River. The 613 Kapamba River on meeting the valley floor connects to the Luangwa River more directly (Figure 1), 614 the small tributaries of the Kapamba River on the valley are a minimum of 300 m distant from the 615 Mupamadzi River's tributaries, suggesting that as the drainage system develops this part of the 616 Mupamadzi system may eventually be captured by the Kapamba. For this study, these divergent 617 drainage systems may be linked to tectonic movements that led to the dissection and isolation of 618 the pediment gravels, but this remains a speculative hypothesis until reliable chronological controls 619 are available for these deposits.

#### 620 4.3 Climate change and drainage development

621 As no depositional mechanism is producing alluvial fans in the valley today, it is assumed that they 622 were the result of a transition from cooler and more arid conditions (Partridge et al., 1997; Gingele 623 et al., 1998; Schefuß et al., 2003), though with considerable regional heterogeneity in wet/dry 624 responses after 70ka (Thomas and Burrough, 2012; Singarayer and Burrough, 2015; Burrough et al., 625 2019). The Luangwa Valley lacks the morphological features that trap deep sequences of sediment 626 that can be used to construct chronostratigraphic environmental models (Barham et al., 2011), and 627 at present it is only feasible to produce simple models of the distribution of vegetation during dry 628 and wet phases to assist in understanding past erosional and depositional conditions. Overall, 629 rainfall is the critical factor affecting vegetation and thus erodibility of the land surface in differing 630 climatic regimes across central Africa (deMenocal, 1995; Dupont et al., 2000; Schefuß et al., 2003; 631 Hopley et al., 2007)

Today, the Luangwa Valley is hotter and drier than the surrounding plateau of the same latitude(Archer, 1971). The low rainfall in combination with nutrient rich soils supports a variety of

634 vegetation types dominated by woodland consisting primarily of a single species Colophospernum 635 mopane (Mäckel, 1971; Astle, 1995), accounting for 55% of the valley floor vegetation (Astle, 1995). 636 The high central plateau of Zambia by comparison has higher rainfall, but leached and nutrient poor 637 soils, supporting comparatively low biodiversity and low herbivore biomass (East, 1984; Barham 638 2000). Under the current climatic regime, the Luangwa River remains watered all year round, fed by 639 its headwaters in the Nyika plateau (Malawi) and by a few perennial rivers draining the Muchinga 640 escarpment. The latter are fed by small, waterlogged basins (*dambos*) on top of the escarpment. By 641 the end of the dry season the main channel of the Luangwa is greatly diminished and most 642 tributaries in the valley are dry. Presuming the modern climatic regime can be used to model climate 643 during cooler and drier phases, there would be a considerable reduction in the amount of rainfall in 644 the valley. Mopane woodlands are currently found in more arid conditions bordering the Kalahari 645 (Thomas and Shaw, 2002) and would presumably have survived a certain amount of rainfall 646 reduction in the Luangwa Valley, but in general all vegetation types and the animals they support 647 would have become concentrated nearer the Luangwa and any flowing tributaries (Colton, 2009). 648 During the driest parts of a glacial or stadial, the landscape would have become denuded of 649 vegetation rendering underlying sediments unprotected and unconsolidated, and thus vulnerable to 650 erosion during flash flood events. Thomas and Thorp (1995) and Thomas (1999) documented 651 evidence of a series of large-scale landslides that occurred 80 km to the south of the Luangwa Valley 652 on the steep slopes of quartzite hills with deeply weathered metamorphics near Chipata. One slope 653 failure occurred >180 ka as dated by OSL on intercalated alluvial deposits and may be indicative of a 654 major transformative event in the landscape in the latter part of the Middle Pleistocene (Thomas 655 and Murray, 2001). Coarse fan deposits and sheets of colluvium occur in the same area, and OSL 656 dating provides evidence of periodic pulses of high energy sedimentation between 90 ka and 9 ka 657 (Thomas, 2002). These same slopes and surfaces are stable today and little affected by recent 658 deforestation (Thomas, 2004). The pulses of colluviation may be linked to regional climate events 659 associated with the last glacial cycle, in particular the extended periods of drought recorded in the

Lake Malawi pollen record from 90-75 ka (Beuning et al., 2011). The colluviation process may reflect more subtle geomorphic responses to base-level lowering affecting the steep slopes around Chipata. The fan deposits and dissected gravels dated to  $65 \pm 5.0$  ka and  $56 \pm 6.0$  correlate more closely with the erosional phases recorded in the Lake Malawi record which mark the return of wet conditions and rapid runoff on de-vegetated surfaces 72 ka and 62 ka (Brown, 2011).

665 Similar episodes of climate-linked instability are presumed to have occurred in the Luangwa Valley 666 given its proximity to Chipata thus providing the conditions for alluvial fan deposition. With the 667 onset of interglacial or interstadial conditions the denuded landscape, with unconsolidated 668 sediments, would have provided a large sediment supply for hyperconcentrated flows, landslides, 669 debris flows, and other semi-arid geomorphic processes. Thomas (2004, p. 113) comments that in 670 the Luangwa Valley there is evidence of past "torrential conditions leading to boulder-sized fan 671 deposits along hillfronts bordering the floodplain" and massive calcretes formed on the floodplain 672 which together reflect large swings in climate during the Quaternary.

673 If the OSL date of 77± 7.9 ka from SL8 Block 3 is reliable then it accords with the date of 78±5.0 ka 674 deposition of sediments containing MSA artefacts at the nearby Manzi River section (Barham et al., 675 2011). Increasingly arid conditions late in MIS 5a may have denuded slopes of their vegetation 676 creating unstable surfaces that would be prone to rapid erosion following the return of rainfall. The 677 limited chronology from the Luangwa Valley gravels accords closely with the regional lake core data 678 and falls within the error margin for the Chipata fan deposit (65 ± 5.0 ka). The Lake Malawi core 679 provides regional evidence of near semi-desert conditions with limited vegetation cover until the 680 termination of drought ~75-72 ka followed by enhanced precipitation and increased physical erosion 681 transporting sediments into the lake (Brown, 2011). Reforestation of the catchment area stabilised 682 the landscape (Beuning et al., 2011). Increased precipitation is seen in the Lake Tanganyika record 683 ~78 ka which may correlate with the end of drought in the Lake Malawi record (Burnett et al., 2011).

684 4.4 Base-level change and landscape dissection

685 In the context of the limited data from the Luangwa Valley, it is difficult to distinguish between the 686 impact of neotectonics and climate change on base-level change. As above, we have no direct 687 evidence of uplift in this valley linked to the timescale of the SL8 hilltop deposits. The limited 688 chronology for SL8 and the Manzi River section provides the best link available to regional 689 hydroclimate processes and to global falls in sea level which will lower the base level for the Zambezi 690 River and its tributary the Luangwa River. In this model, the dry phase landscape cannot respond to 691 the base-level fall because of the reduced rainfall and runoff. The landscape response is in 692 suspended animation until the climate becomes wetter, and its unprotected surface is dissected to 693 the lowered base level. There is a lag in the rebound to the new base level because the response 694 time of the global ice-sea system is slower than the regional climate (Compton, 2011). A brief 695 interval exists when wetter conditions return as the perched gravels are dissected, and the sediment 696 is trans-located into the valleys and valley floors by the increased rainfall-runoff. This process then 697 switches off when sea-level rise causes a rise in the river base level, halting the downward 698 adjustment of the river tributaries.

699 We interpret the perched SL8 deposits as the product of multiple cycles of climate change that 700 switched from drought to wetter conditions. The accumulation of overlaid coarse fan sediments 701 containing Early to Middle Stone Age artefacts indicates more than one period of post-drought high 702 energy deposition. The dissection of the SL8 gravels and their translocation only appears to have 703 happened once which implies that the cycles of climate change were not usually sufficient to cause 704 dissection of the perched gravels. The Late Pleistocene 'megadrought' recorded in the Lake Malawi 705 core and the subsequent return of wetter conditions would have been able to take advantage of 706 severely de-vegetated hillslopes highly susceptible to dissection.

To test this hypothesis of a single, extensive phase of dissection will require the development of a
 well-constrained chronology of the redeposited gravels on the floodplain margins. The existing
 geomorphological and grain size data are insufficient to disentangle multiple episodes of deposition

from a single rapid event which could produce a complex or overlaid series of fans (e.g., Stanistreetand McCarty, 1993).

#### 712 **5.0 Conclusion**

713 The mapping of landforms in the central Luangwa Valley identified widespread deposits of gravels 714 on both sides of the Luangwa River. The gravels occur as low-lying spreads bordering the floodplain, as reported by earlier researchers. New in this study is the discovery of poorly sorted gravels 715 716 perched on hill tops 20 m above the current floodplain with Stone Age artefacts stratified in 717 discontinuous but coherent archaeological succession. The excavation of hill-top site SL8 revealed a 718 1 m-deep profile with concentrations of Later Stone Age artefacts in the upper 15 cm, and diffuse 719 scatters of more abraded Middle Stone Age (MSA) and probable Early Stone Age artefacts in the 720 basal clays. OSL dating was problematical with signal saturation affecting the lowermost sample (43 721 cm below surface) and bioturbation the uppermost sample (5 cm below surface). The middle 722 sample has a maximum age of 77 ka (23 cm below surface) associated with MSA artefacts. The lower 723 two-thirds of the deposit remain undated and other dating methods, such as electron spin 724 resonance, are needed to develop a full chronology.

The emplacement of artefacts during the Late Pleistocene, and possibly earlier, requires a persistent even if intermittent process. Abrasion on the MSA artefacts ranges from fresh to worn with this highly variable spectrum found through the deposits indicating repeated entrainment of clasts in flow events. The relatively unabraded LSA material post-dates the main flow events, and possibly post-dates the dissection of the hilltop deposits.

A clast analysis was undertaken to identify potential sources of high energy that could transport a coarse load and at a level now 20 m above the river. Twenty-three localities were sampled in the survey area and the lithologies recorded and clast size measured. The results are interpreted as providing evidence that the gravels were deposited by hyper-concentrated flows originating on the western bank of the Luangwa. The fans are not currently active and have been extensively eroded, a
process that continues every rainy season. The source for the gravel material is likely to have been a
deposit derived ultimately from the Muchinga Escarpment to the west and redeposited across the
former landscape.

Regarding the underlying and causal factors controlling the dissection and redistribution of these gravels, the influences of climate change and neotectonics (perhaps in combination) have been explored with reference to landscape evolution during the Late Pleistocene for the Zambezi and Limpopo River systems as well as the Lake Tanganyika catchment. Despite limited chronological data, we propose the following sequence of events as accounting for landscape evolution on the Luangwa region during the Late Pleistocene:

- 1. Base-level fall due to climate-induced sea-level fall and/or underlying neotectonic uplift;
- River systems adjust to lower base level during a cold, dry climate phase (MIS 6; 5a), creating
  a new drainage network adjusted to lower base level;
- Reduced vegetation cover under this cold, dry climate (woodland gives way to grassland)
  leaves the landscape susceptible to erosion but reduced rainfall limits response to local
  colluviation;
- 4. Increased wetness regionally during the period ~78-72 ka (c. MIS 5a), in combination with a

readily erodible land surface due to reduced vegetation cover, results in widespread
dissection of perched gravels;

753 5. High runoff events at the transition from dry to wet conditions translocate perched gravels
754 into alluvial fans at the widening of confined valleys in the valley floor.

Further research is needed to develop our model of late Quaternary landscape evolution in the
central Luangwa Valley, particularly with respect to additional chronological data with which to
better tie our proposed model to climate change and underlying neotectonics as expressed in the
region. We also need to establish the extent of the hill-top gravels, especially towards the proposed

source area of the Muchinga escarpment. A programme of systematic survey, excavation and dating
will bring into focus these unusual and potentially important geomorphological phenomena in a little
studied region of south-central Africa.

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#### 776 FIGURE CAPTIONS

#### 777 Figure 1

Location of the main survey area within the South Luangwa National Park (SLNP) Zambia, outlining
the major rivers (grey lines), primary areas of uplifted Archean basement rocks (dark grey shading),
and some localities mentioned in text. The two points labelled 'A' denote the approximate end
points of the cross-section sketch of the valley (figure 3). L26 and L27 are the positions of two

- 1782 localities sampled outside of the survey area along the Kasweta Road (black line in main map).
- 783 Detailed geography and geomorphology within the survey area is mapped in figure 2.

#### 784 **Figure 2**

- 785 The 14km by 16km survey area outlined in Figure 1. Geomorphological terrains mapped from aerial
- photography and fieldwork. The localities sampled are labelled Ln, and the major rivers and
- 787 geographic features are labelled.

#### 788 **Figure 3**

- A simplified (not to scale) cross-section of the key geological features of the valley between A and A
  in Figure 1 (not to scale). The end points are labelled in Figure 1, and here they are the Muchinga
- 791 Escarpment (A, far left) and the Nchindeni Hills (A, far right). Key locations (Ln) as discussed in the
  792 text are included.

Figure 4a

793

A contour map of hilltop site SL8 (Locality 28) showing the location of the excavation Blocks 1, 2 and
3 in relation to the topography of the hilltop and eroded slopes.

#### 796 **Figure 4b**

- 797 Excavation Blocks 1, 2 and 3 with subdivisions (Areas) shown in Block 1 and Block 2. Block 1, Area 1
- samples the break in slope at the edge of the hilltop platform. Block 2 samples the hill slope and
- Block 3 samples the central platform. Block 3 and Block 1, Area 1 provide the deepest deposits.
- 800 **Figure 5**
- 801 Photograph of Block 3 excavation showing the gravel content in a sand matrix with a darker (organic)
- upper horizon (0-15 cm from surface). The stadia rod sits on the gravels overlying the basal clay.
- 803 Figure 6

Pie charts illustrating clast count results at each sampling location; the geomorphological deposits
are those in Figure 2. See text for description and interpretation.

806 **Figure 7** 

807 Section drawing of Block 3 deposits along to two faces of the 1m square (A-B, C-A) showing

808 excavation levels 1-9, the basal clay, and the location of OSL dating samples (x). The cobble deposits

are distinguished from the gravels by clast size, with the cobbles concentrated in the lower half ofthe deposit.

811 Figure 8

812 SL8 artefact illustrations; see text for interpretation of archaeological attribution (Later, Middle and

Earlier Stone Age). A), quartz segment (B1, Area 1, Level 1 – length 23mm, not to scale); B), quartz

core (B1, Area 1, Level 1, length 18 mm); C), quartzite pick (B1, A3, Level 1); D), quartzite

815 (weathered) core-axe (B1, surface); E), quartzite core (B1, Area 1, Level 4); F) Fossilized wood core

and refitting flake (B1, Area 2, Level 3 – basal clay); G), quartzite core (B1, Area 2, Level 3 – basal

clay); H), quartzite flake (B1, Area 3, Level 1); J), quartzite core – multiple platforms (B3, Level 8); I),

818 chert flake – pentagonal (B1, Area 3, Level 1); K), quartzite core (B3, Level 8); L), split spheroid,

819 quartzite (B3, Level 8).

Figure 9a: B3 whole flake length (mm) boxplot showing median and size range by level. Figure 9b: A
 comparison of abrasion category frequencies by level in B3.

822 Figure 10

Section drawing of Block 1 Area 1 deposits showing excavation levels 1-7 down to the basal clay along the exposure at the edge of the hilltop platform (A-B) and downslope (A-C). The depth of deposits with artefacts attributed to the Later, Middle and possibly Early Stone Age is shown for

826	section line A-B.	Cobbles are more free	juent in the lower ha	alf of the de	posit as is the cas	e in Block 3.
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827 The archaeological associations and depth are similar to those described in Block 3.

#### 828 Figure 11

- 829 SL8 retouched tool bar chart by Block and Area. Tool frequencies are low, but some are indicative of
- 830 particular periods (as discussed in the text) and contribute to the collective evidence of an
- archaeological succession at SL8.

832 Figure 12

Block 1, Area 1 boxplot of whole flake length showing median and range by level.

#### 834 Figure 13 A-D.

OSL analytical results for the quartz sand samples from Block 3: (A) Typical dose response curve for a

single grain of quartz. The example shown is from 86/SL8-2 and has a De of 9.6±0.6 Gy. The inset

shows the OSL decay curve for the natural signal. Radial plots showing the distribution of De values

for (B) 86/SL8-1, (C) 86/SL8-2 and (D) 86/SL8-3. The light grey bar in the lower part of figures (B-D)

show the value calculated using the minimum age model while the dark grey bar shows the

840 maximum age model.

#### 841 TABLE CAPTIONS

#### 842 Table 1.

(a) Dosimetry information for the three OSL samples. The dose rate given in the final column is

calculated as the sum of the beta dose rate derived from the beta counting, the gamma dose rate

- based upon the concentration of K, U and Th and the conversion factors of Adamiec and Aitken
- 846 (1998), and a cosmic dose rate calculated from the current burial depth (Prescott and Hutton, 1994).
- The beta and gamma dose rates have been corrected for grain size (90-250 μm) and water content.
- 848 (b) The number of individual quartz grains whose luminescence signal was measured, the number

849	which were saturated,	and the number	of grains that y	ielded equivalent o	lose values that could be
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used in the age models. Results from both the minimum age and maximum age model are

presented. The ages in bold are thought to be the most likely. See text for discussion.

852 Table 2.

- 853 Drainage basin areas of larger rivers and streams in or near the research area, and the likely range of
- fan sizes that may be produced (after Guzzetti et al. 1997:132, and Leeder 1999:332). The drainage
- basin areas have either been directly determined from the 1:50,000 scale Zambian Survey maps, or
- are an estimation based on the available maps. NB these are revised estimates from Colton (2009 p
- 63), based on maps not previously available.

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Figure 1. Location map of survey area





# Figure 3. Valley cross-section











Figure 7. SL8 Block 3 Main section







## Block 3: Flake length boxplot by level

Figure 9a. Block 3 flake length boxplot



## Block 3: Whole flake raw material frequencies by level

Figure 9b. B3 Whole flake raw material



Unexcavated

Figure 11. Retouched tools bar chart

## **Retouched tools**



Block, Area and Level



Block 1, Area 1: Flake length boxplot by level

Figure 12. Block 1 Area 1 flake length boxplot













Sample	Depth	Water	Alpha cou	nt B	eta Dose	Calcu	lated concent	rations	Total Dose
(Aber86/)	(cm)	content (%)	rate (cts/ks/cn	1 <sup>2</sup> )	(Gy/ka)	K (%)	U (ppm)	Th (ppm)	(Gy/ka)
SL8-1	5	5±2	0.379±0.0	01 0	.49±0.02	0.17±0.05	1.27±0.22	6.46±0.72	1.16 ± 0.05
SL8-2	23	10±5	0.141±0.0	03 0	.30±0.01	0.21±0.02	0.50±0.08	2.33±0.26	0.67 ± 0.03
SL8-3	43	10±5	0.163±0.0	03 0	.43±0.01	0.36±0.03	0.45±0.10	3.13±0.33	0.81 ± 0.03
(b)	(b)								
Sample		Number o	of grains:		Min. Ag	e model	Age	Max. Age mod	lel Age (ka)
(Aber86/)	Measur	ed Satur	rated Acc	epted	De	(Gy)	(ka)	D <sub>e</sub> (Gy)	
SL8-1	600	:	3 2	240	0.24	± 0.01	0.21 ± 0.01	-	-
SL8-2	500	1	.1 2	220	1.94	± 0.01	2.88 ± 0.11	51.9 ± 4.9	77.0 ± 7.9
SL8-3	600	14	49 3	334	8.42	± 0.43	10.4 ± 0.7	108 ± 5.0	133 ± 8.2

Table 1: (a) Dosimetry information for the three OSL samples. The dose rate given in the final column is calculated as the sum of the beta dose rate derived from the beta counting, the gamma dose rate based upon the concentration of K, U and Th and the conversion factors of Adamiec and Aitken (1998), and a cosmic dose rate calculated from the current burial depth (Prescott and Hutton 1994). The beta and gamma dose rates have been corrected for grain size (90-250  $\mu$ m) and water content. (b) The number of individual quartz grains whose luminescence signal was measured, the number which were saturated, and the number of grains that yielded equivalent dose values that could be used in the age models. Results from both the minimum age and maximum age model are presented. The ages in bold are thought to be the most likely. See text for discussion.

Rivers and Streams included in analysis.	Drainage basin area of streams, before they reach the valley floor.	Probable range of fan size.
All streams leaving the Nchindeni Hills.	62 km <sup>2</sup>	2 – 200km²
Chowo River from Nchindeni Hills	31km <sup>2</sup>	0.9 – 100km²
Kafunta River from Nchindeni Hills	24km <sup>2</sup>	0.7 – 80km <sup>2</sup>
Mupamadzi River from the Escarpment.	1,500km <sup>2</sup> (conservative estimate based on available maps).	102 – 500km²
Kapamba River from the Escarpment.	900km <sup>2</sup> (conservative estimate based on available maps).	80 – 300km²

Table 2. Drainage basin areas of larger rivers and streams in or near the research area, and the likely range of fan sizes that may be produced (after Guzzetti *et al.* 1997:132, and Leeder 1999:332). The drainage basin areas have either been directly determined from the 1:50,000 scale Zambian Survey maps, or are an estimation based on the available maps. NB these are revised estimates from Colton (2009:63), based on maps not previously available.