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Fault Detection and Tolerant Control for Aero2 2DOF Two-rotor Helicopter

Khalid Kabir Dandago, Long Zhang and Wei Pan

Abstract-Stability and satisfactory performance are key control requirements for any Unmanned Aerial Vehicle (UAV) application. Although conventional control systems for UAVs are designed to ensure flight stability and safe operation while achieving a desired task, a UAV may develop different types of flight faults that could lead to degradation in performance or, worse, instability. Unsatisfactory performance or instability of a UAV poses threats to lives, properties, and the flying environment. Therefore, it is crucial to design a system that can detect the occurrence of faults, identify the location at which the fault occurs, determine the severity of the fault, and subsequently use this information to accommodate the fault so that the vehicle can continue to operate satisfactorily. Even though performance analysis of faults is crucial in selecting the best strategies for fault detection and tolerance, little has been done in this regard, especially with real systems. Therefore, this paper analyzes the performance of a 2-degree-of-freedom (2DOF) bi-rotor helicopter's control system in the presence of various actuator faults. Results from different faulty conditions indicate that faults degrade the performance of a conventional control system on UAVs and introduce vibrations into the system. These findings are more apparent when a fault leads to asymmetry or imbalance of the system. However, further experiments have shown that proper fault diagnosis and accommodation methods could help maintain satisfactory performance of the system in the presence of faults.

Keywords—Fault Accommodation, Fault Analysis, Fault Diagnosis, Fault Detection, Helicopter, Unmanned Aerial Vehicle.

I. INTRODUCTION

Unmanned Aerial Vehicles (UAVs), also referred to as drones, are becoming integral part of our daily activities. Their vast functionality in aerial photography, precision agriculture, traffic regulation, building, among other applications [1]–[3] have contributed to their rapid demand. Also, advancements in design techniques, as well as sensor and communication technologies have led to their impressive evolution [4].

The three main types of UAVs based on aero-structural configuration are flapping-wing, fixed-wing and rotorcraft UAVs [5]. Comparatively, fixed-wing UAVs have higher endurance, range coverage and cruise speed [6]. However, rotorcraft, which is propelled by a number of motors (depending on its configuration), offers affordable performance-to-price ratio, simplicity, low maintenance cost, and high agility [7], [8]. Due to the various benefits of helicopters and quadcopters, they are the most researched rotorcrafts in the literature [5]. While a helicopter is configured to operate with two rotors, quadcopter is equipped with four motors as its actuators.

Helicopters and quadcopters, as well as other UAVs,

require robust control strategies to efficiently handle flightrelated scenarios such as obstacle avoidance, trajectory tracking, internal/external disturbances, and faulty conditions. Control strategies may be classified into linear, nonlinear, artificial intelligent and Hybrid control schemes [5]. Each of these schemes has its own pros and cons, and selection of any of them usually depends on functional requirements and trade-offs.

A UAV may develop different types of fault during its flight operations. UAV faults are majorly categorized into three: actuator faults, sensor faults and other components faults [9]. The paper further defines actuator fault as the partial or total loss of an actuator's control action. Moreover, actuator fault is subdivided into constant output, constant gain, and drift faults. Among the three, constant gain fault is the most studied in the literature. On the other hand, sensor fault was classified into total sensor fault, constant bias fault, drift fault and outlier fault. These divisions are further illustrated by Fig. 1.

In the advent of any of these faults, conventional feedback control systems might not be able to maintain the stability and satisfactory performance of the vehicle. Hence, the occurrence of fault in such settings might be catastrophic. Therefore, to ensure flight safety whenever faults occur, it is essential to design a flight control system that is robust enough to handle the occurrence of a fault. Such control system should consist of diagnosis and tolerance subsystems. The diagnosis subsystem is responsible for determining the occurrence of fault, knowing the location at which the faults occurs, and determining the severity of the fault. Subsequently, the tolerance subsystem would use the information gathered by the diagnosis subsystem to reconfigure the employed controller and accommodate the fault. Fig. 2 further illustrates the synergy between fault diagnosis and fault tolerance subsystems in handling faults on UAVs.

Fault tolerant control systems for UAVs are widely classified into two: active and passive fault tolerant control systems (AFTCS and PFTCS) [10]. PFTCS are designed to be robust against presumed faults. Therefore, they do not need a Fault Diagnosis (FD) system to detect, isolate and estimate the magnitude of a fault [11]. Also, they don't require the reconfiguration of the control scheme adopted to handle an existing fault. As such, they have limited fault handling capabilities as they can only respond to faults that were considered during design. Conversely, AFTCS are designed to actively handle faults as they arise during flight operation. This type of configuration requires FD scheme that will detect the occurrence of fault, the location at which it occurs,



Actuator Faults Sensor Faults Reference Signal Fault Toleran UAV Σ Σ Controller Fault Information External Disturbances ontrol Signal Fault Diagnosis Syster State Feedbac Sensor Measurement Nominal UAV mode

Fig. 1. Classification of different types faults that may affect the operation of a UAV [9].

Fig. 2. Block diagram that illustrates the design of a fault diagnosis and tolerance control system.

and estimate fault parameters and/or post-fault models [12]. The obtained information is then used to reconfigure the controller to achieve overall system stability and satisfactory performance [13].

The relatively simple structure and less computational burden of PFTCS are widely studied in the literature. The strong capability of handling uncertainties and disturbances, as well as being insensitive to parameter uncertainties have made Sliding Mode Control (SMC) an excellent choice for PFTCS [10], [14]. The performance of a sensor-based incremental and model-based dynamic inversion control schemes that were based on sliding mode disturbance observers was compared on fault tolerant control of a quadrotor [15]. The sensor-based method was found to outperform its modelbased counterpart due to its lower uncertainty and less dependence on the vehicle's model.

Another controller that was successfully used to implement PFTCS for UAVs is the backstepping controller [16], [17]. Furthermore, A passive fault tolerant control scheme was developed using control allocation scheme to satisfactorily handle the complete failure of one rotor during the flight operation of a quadrotor [18]. Upon occurrence of such fault, the faulty rotor is exempted from control; therefore, the vehicle operated like a tri-copter and PID control signals were adequately distributed to the three healthy rotors. Although the stability of the vehicle was maintained, degradation in performance was obvious due to the non-symmetrical nature of a tri-copter, activation delay and loss of yaw control.

A key component of the AFTCS is the FD scheme which generates the fault information that is utilized by the adopted control algorithm to adequately reconfigure itself to maintain a system's stability and an acceptable performance in all faulty conditions. FD methods are generally categorized into three: 1) hardware redundancy method 2) analytical method 3) signal processing method [19]. Multiple identical components are used in the hardware redundancy technique, for the same function, to easily detect and isolate any component that develops a fault [20]. Although a highly reliable method, it is not practical for rotary UAVs due to the increase in power demand [21] and the decrease in agility [22] that it would introduce to the system. The analytical method makes use of a system's model/information to diagnose a fault [23]. This method is further classified into model-based and knowledge-based analytical methods [19]. In the modelbased method, the residual signal between a sensor measured

signal and the corresponding signal estimated by a system's model is used to extract fault information from a system [24]. On the other hand, knowledge-based method depends on past system performance data and expert knowledge to execute diagnostic procedure [25]. Finally, the signal processing method depends on measured signals, specialized processing tools and established knowledge on the symptoms of an unhealthy/healthy system to determine the faulty conditions of the system [19], [20], [26].

Recurrent neural network [11], gain-scheduling PID controller [16], model predictive controller [27], dynamic output feedback control algorithm [24], and nonlinear dynamic inversion method [28] are some of the control methods that were used for the design of AFTCS. Furthermore, feedforward neural network and a nonlinear observer were used to protect a UAV against false data injection through cyber-attacks [29].

While numerous fault diagnosis and tolerance control systems have been developed and tested on UAVs, typically through simulations, only a few studies have analyzed the performance of faulty aircraft systems operating with conventional controllers. Such analyses are essential in determining which diagnosis and tolerance methods to utilize for a particular system. Furthermore, analysis and diagnosis on real systems have been limited to a few faulty conditions. Therefore, this study investigates the performance of various faulty conditions on the propeller blades of a 2-degree-offreedom (2DOF) helicopter. Additionally, a fault diagnosis and accommodation scheme for the analyzed fault were proposed. The contents of the paper are presented as follows: Section II explains the experimental set-up and the material/methods that were used to conduct the research work. Section III presents results obtained from the experiments. Section IV discusses the results, and finally, concluding remarks were given in Section V.

II. TECHNICAL BACKGROUND

A. Quanser's Aero2 Platform

Quanser's Aero2 aerospace system was used for the research work. Aero 2 is a reconfigurable dual-rotor aerospace system that could be used to emulate the operation of a 1-DOF VTOL, 2-DOF helicopter and a half quadrotor. The ability to lock/unlock its two axes—pitch and yaw axes—and



Fig. 3. 2-DOF helicopter configuration of the Aero 2 equipment [image provided by Quanser Inc.]

TABLE I. Model parameters

Parameters	Values
$D_t(m)$	0.1674
$K_{pp}(N/V)$	0.00321
$K_{sp}(-)$	0.00744
$D_p()$	0.00199
$J_p(Kg.m^2)$	0.0232
$K_{yy}(N/V)$	0.00610
$D_y(-)$	0.00192
$J_y(kg.m^2)$	0.0238
$K_{up}(N/V)$	-0.00319
$K_{py}(N/V)$	0.00137

configure its thrusters vertically or horizontally gives users the flexibility of mimicking different aerospace systems. The 2-DOF helicopter setting of the system, which is shown in Fig. 3, was used for conducting experiments.

B. Modelling of Aero2 2-DOF helicopter dynamics

Although the pitch and yaw motions are primarily influenced by motor 0 and motor 1 of the system, respectively, coupling exists between the actions of the two motors due to reactive torques. The simplified Newtonian linear equation of motions of the 2 DOF helicopter are given by Eq. 1 and Eq. 2. The model assumes that the thrusts generated by the motors are directly proportional to applied voltages. Even though the model takes gyroscopic reactive torques into account, it does not capture all system dynamics such as nonlinearities. However, the linearized representation is useful for designing linear control systems.

$$\tau_p = K_{pp}V_pD_t + K_{py}V_yD_t = J_p\ddot{\theta} + D_p\dot{\theta} + K_{sp}\theta \quad (1)$$

$$\tau_y = K_{yy}V_yD_t + K_{yp}V_pD_t = J_y\ddot{\psi} + D_y\dot{\psi}$$
(2)

Some of the parameters in Table I are given in the system's specification, while others were experimentally obtained. The values of the parameters are presented in Table I.

The terms in Eq. 1 and Eq. 2 are defined as:

 τ_p : Torque that generates the pitch motion

 K_{pp} : Thrust-Force gain acting on the pitch axis from the main rotor

 V_p : Input voltage of the main motor

 D_t : Distance between Aero 2 pivot and center of the rotors K_{py} : Thrust-Force gain acting on the pitch axis from the rear rotor

 V_y : Input voltage of the rear motor

 J_p : Total moment of inertia about the pitch axis

 D_p : Damping about the pitch axis

 K_{sp} : Stiffness about the pitch axis

 τ_y : Torque that generates the yaw motion

 K_{yy} : Thrust-Force gain acting on the yaw axis from the rear rotor

 K_{yp} : Thrust-Force gain acting on the yaw axis from the front rotor

 J_y : Total moment of inertia about the yaw axis

 D_y : Damping about the yaw axis

The developed state space model of the system from Eq. 1, Eq. 2, and Table I is given by Eq. 3 and Eq. 4.

$$X = AX + BU \tag{3}$$

$$Y = CX \tag{4}$$

where,

$$\begin{split} A &= \begin{bmatrix} 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \\ -0.3190 & 0 & -0.1164 & 0 \\ 0 & 0 & 0 & -0.1386 \end{bmatrix}, \\ B &= \begin{bmatrix} 0 & 0 \\ 0 & 0 \\ 0.0216 & 0.01154 \\ -0.01336 & 0.052 \end{bmatrix}, \\ C &= \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}, \\ X &= \begin{bmatrix} \theta, \psi, \dot{\theta}, \dot{\psi} \end{bmatrix}', \\ U &= \begin{bmatrix} V_p & V_y \end{bmatrix}' \end{split}$$

C. Control Method

Linear Quadratic Regulator (LQR) was used for the pitch and yaw motions control of the 2DOF helicopter. LQR control is a multi-input multi-output (MIMO) full state feedback control system which computes an optimal control gain, K, of dimension $m \cdot n$ —where n is the number of states and m is the number of control outputs—by minimizing a cost function, J. The cost function constitutes of the tracking error of the system, as well as the amount of control input required to achieve the desired control objective. The formulation of the cost function is given by Eq. 5.

$$\min_{U=\mathbb{R}^m} J = \int_0^\infty e^T Q e + U^T R U$$

subject to: $\dot{x} = Ax + BU$ (5)

From Eq. 5, e is the tracking error, U is the control input, while Q and R are the weighting matrices that are tuned to actualize the minimization preference of the tracking error and the control input, respectively. Fig. 4 visualizes the control structure of LQR.



Fig. 4. LQR control architecture



Fig. 5. Blades breaks considered as faults for fault experiments.

It could be deduced from Fig. 4 that U = K(r-x), where K is obtained by solving Eq. 6.

$$K = R^{-1}B^T P \tag{6}$$

P in Eq. 6 is obtained by solving the Riccati equation, which is given by Eq. 7.

$$A^{T}P + PA - PBR^{-1}B^{T}P + Q = 0 (7)$$

The weighting matrices that were used for this work are:

D. Faulty Conditions

The Aero2 2DOF helicopter operates with a pair of 8-blade propellers, with each mounted on one of its motors. Various types of breaks on the propeller blades were considered as faulty conditions for experiments. The system was operated with healthy propellers, one blade break, 2-blade breaks, 4blade breaks, and 8-blade breaks. The breaks are visualized by Fig. 5.

E. Performance Metrics

The impact of the faults on trajectory tracking of the system's pitch and yaw motions was assessed by measuring time response metrics such as rise time, overshoot, and steady-state error (SSE). Additionally, to examine the level of vibration introduced into the system by the faults, the standard deviation (SD) of the computed control input, as well as the SD of the pitch and yaw angles at steady state, were recorded. Furthermore, the open-loop natural

frequency of the system was measured under the various fault conditions.

F. Fault Diagnosis and Tolerance

Breaks on propeller blades is an actuation problem that may lead to loss of control effectiveness. In several research works [16], [17], [30], such category of actuator faults has been modelled by Eq. 8:

$$u_f^i = (1 - \gamma_i)u_i \tag{8}$$

Where γ_i denotes the loss of control effectiveness in the i_{th} actuator. u_i is the control input generated by controller, and u_f^i is the control input that the i_{th} actuator operates on due to fault.

 $\gamma_i \in [0, 1]$ is the fault parameter that defines the degree of healthiness of i_{th} actuator; in the case of Aero2, we have motor0 and motor1. $\gamma_i = 0$ signifies that an actuator is fully healthy, while $\gamma_i = 1$ indicates complete failure of an actuator. Γ is the defined as fault parameter vector and, for Aero2, it is given by $\Gamma = [\gamma_1 \quad \gamma_2]'$.

As an unknown input to the system Γ can be regarded as an augmented state to the conventional state-space model given by Eq. 3 and Eq. 4. This generates an augmented state space model of the system that is given by Eq. 9.

The control input was modelled by Eq. 8. Therefore, the augmented system model becomes:

$$\dot{X} = AX + B(1 - \Gamma)U$$

$$Y = CX$$

$$\Gamma = diag(\gamma_i)$$
(9)

Hence,

$$\dot{X} = AX + BU + N\Gamma$$

 $Y = CX$ (10)
 $N = -Bu, \quad u = diaq(U)$

The designed fault diagnosis scheme is a modification of the discrete state Kalman filter proposed by [31]. Therefore, Eq. 10 was discretized to obtain Eq. 11.

$$x_{k+1} = A_k x_k + B_k u_k + N_k \Gamma_k + \omega_k$$

$$y_k = C_k x_k + \nu_k$$
(11)

Where ω_k and ν_k are uncorrelated zero-mean white gaussian noise sequences with covariances Q_k and R_k , respectively. Considering Γ_k as an augmented states vector, Eq. 11 becomes Eq. 12.

$$x_{k+1}^a = A_k^a x_k^a + B_k^a u_k + \omega_k^a$$

$$y_k = C_k^a x_k^a + \nu_k^a$$
(12)

where,

$$A_k^a = \begin{bmatrix} A_k & N_k \\ 0 & I \end{bmatrix}, B_k^a = \begin{bmatrix} B_k \\ 0 \end{bmatrix}, C_k^a = \begin{bmatrix} C_k & 0 \end{bmatrix}$$

The filter has two steps: prediction and correction steps.



Fig. 6. LQR Trajectory Tracking control of Aero2 2DOF helicopter's pitch and yaw motion under different fault conditions. Note: The 1 blade break is on Motor0, while half of the other breaks is on each Motor.

1) Prediction Step

The mathematical formulation of the prediction step, which is also known as the control update step is given by Eq. 13-Eq. 15.

$$\hat{x}_{k|k-1}^{a} = A_{k-1}x_{k-1} + B_{k-1}^{a}u_{k-1} \tag{13}$$

$$P_{k|k-1}^{a} = A_{k-1}^{a} P_{k-1}^{a} A_{k-1}^{aT} + Q_{k-1}^{a}$$
(14)

$$K_k^a = P_k^a C_k^{aT} [C_k^a P_k^a C_k^{aT} + R_k^a]^{-1}$$
(15)

Where K is the Kalman filter gain and P is the uncertainty matrix of the filter's estimates.

2) Correction step

The estimate of the states and the uncertainty of the estimate is further corrected using the kalman filter gain using Eq. 16 and Eq. 17.

$$\hat{x}_{k|k}^{a} = \hat{x}_{k|k-1}^{a} + K_{k}^{a}(y_{k} - C_{k}^{a}\hat{x}_{k|k-1}^{a})$$
(16)

$$P_{k|k}^{a} = P_{k|k-1}^{a} - K_{k}^{a} C_{k}^{a} P_{k|k-1}^{a}$$
(17)

3) Fault accommodation

Upon estimation of the faults, the control input is adjusted using Eq. 18.

$$u_f^i = (1 - \gamma_i)u_i + \gamma_{estimated_i}u_i \tag{18}$$

Where $\gamma_{estimated_i}$ is the fault parameter estimated for the i^{th} rotor.

III. RESULTS

A. Trajectory Tracking

The results of the trajectory tracking of the pitch and yaw motion of the real aerospace system, under different faulty conditions, is presented by fig. 6. The computed control inputs for the actuation of both Motor 0 and Motor 1 of the system is also presented in the figure. Although the controller has some level of inherent fault tolerance capability, upon the occurrence of fault, degradation in performance was observed in some of the metrics utilized for analysis. Table II and Table III presents the time response performance of the pitch and yaw tracking, respectively.

TABLE II. Pitch trajectory tracking performance for different fault conditions

Fault Conditions	SSE (%)	Rise time (s)	Overshoot (%)
Healthy Propellers	0.04	0.64	5.29
1 blade break	0.00	0.67	5.70
2-blade breaks	0.09	0.76	0.83
4-blade breaks	0.10	0.74	0.83
8-blade breaks	0.09	1.18	2.25

TABLE III. Yaw trajectory tracking performance for different fault conditions

Fault Conditions	SSE (%)	Rise time (s)	Overshoot (%)
Healthy Propellers	1.6	1.6	16.60
1 blade break	2.22	1.58	19.53
2-blade breaks	0.68	1.59	18.65
4-blade breaks	2.16	1.56	20.11
8-blade breaks	2.5	1.44	32.72

To examine the level of vibration that was induced into the system as a result of the faults, the SD of the pitch and yaw angles' steady state values, as well as the SD of the steady state values of Motor 0 and Motor 1 voltages was observed. These values are presented in Table IV and Table V.

Furthermore, experiments were performed to notice the change in the natural frequency of the system's open loop response with different fault conditions. The result of the experiments is presented in Table VI

B. Simulations Results of Fault Diagnosis and Accommodation

Fig. 7 provide the result of the fault diagnosis and accommodation technique utilized on the system model. The simulation was carried out with MATLAB/SIMULINK, and the lost of control effectiveness that were injected into the system are: $\Gamma = [0.7 \quad 0.7]'$. Front and back rotors in Fig. 7 relate to Motor0 and Motor1, respectively.

IV. DISCUSSION

A. Fault Analysis

Fault analysis was carried out on the real aerospace system. Fig. 6, Table II, and Table III provide results on the trajectory tracking performance of the 2DOF helicopter. Experiments with different fault settings indicated that while the transient response of the pitch tracking slows down, that of the yaw tracking almost remained the same. Also, the introduction of fault into the system tends to increase the SSE for the tracking of both pitch and yaw angles. Furthermore, while the overshoot in yaw tracking significantly increases with all faults, that of the pitch tracking decreases with the exception of 1 blade break on Motor 0, whose pitch overshoot was noticeably higher than that of other faults.

An increase in the vibration of the system was observed as the fault worsened. To examine this closely, the SD for the steady state values of the pitch and yaw angles as well as the SD for the steady state values of Motor 0 and Motor 1 values were recorded. Table IV and Table V provide the recorded values. The results showed that the SD of the pitch and yaw angles at steady state tends to increase with fault. Additionally, the SD value is comparatively higher when

TABLE IV. Standard deviations of Pitch angle and Motor 0 steady state values

Fault Conditions	Pitch angle SD (deg)	Motor 0 Voltage SD (V)
Healthy Propellers	0.049	2.247
1 blade break	0.07	3.096
2-blade breaks	0.049	2.014
4-blade breaks	0.042	2.139
8-blade breaks	0.044	2.187

TABLE V. Standard deviations of Yaw angle and Motor 1 steady state values

Fault Conditions	Yaw angle SD (deg)	Motor 1 Voltage SD (V)
Healthy Propellers	0.156	1.413
1 blade break	0.211	1.962
2-blade breaks	0.185	1.220
4-blade breaks	0.148	1.380
8-blade breaks	0.161	1.347

there is 1 blade break on Motor 0 propeller, while the blades on Motor 1 propeller were fully healthy. Moreover, the SD values for Motor 0 and Motor 1 steady state values are higher for 1 blade break fault. These can be attributed to the imbalance and asymmetry that arises as a result of such faults.

Open loop system response of the system further illustrated that the vibration of the system increases as the fault worsens. Table VI shows that the natural frequency increases as the as the fault worsens.

Although a model-based method was used for fault diagnosis in this research, the observation that frame vibrations increase with such faults, and the possibility of using sensors like accelerometers to measure such vibrations, suggests that signal processing methods could be employed for diagnosing the system.

B. Fault Diagnosis and Accommodation

Fig. 7 illustrates the performance of the fault diagnosis and accommodation methods employed on the system model. In addition to estimating the injected fault in the system, the estimated fault parameters were able to gauge the magnitude of the coupling effects affecting the system's operation whenever there was a change of reference for one of the motions. These estimates are depicted by the spikes in the fault parameters. Although a loss of effectiveness of $\gamma = 0.7$ was injected in each rotor, the detection accuracy of the fault on the front rotor (Motor 0) is relatively higher than that of the back rotor (Motor 1). This could be attributed to the coupling effect that exist between the two motions. However, it could be seen that after readjustment with the estimated fault information, the control input did well in regaining the performance of the system when it was fully healthy.

V. CONCLUSIONS

In the event of faults in system components such as actuators, sensors, and the frame, conventional feedback control systems may result in poor performance or instability of the system. This problem is particularly concerning for safety-critical systems such as aircraft. Although essential for fault diagnosis and accommodation, little has been done in analyzing the performance of real aerospace systems under

TABLE VI. Natural frequency, ω_n , of the system's Pitch angle with different fault conditions.



Fig. 7. Fault detection and accommodation for Aero 2 2DOF model with $[\gamma_1 \quad \gamma_2] = [0.7 \quad 0.7]$

different fault conditions. Therefore, this work presents the performance analysis of a 2DOF helicopter with varying fault conditions on its propeller blades. Results from experiments show degradation in performance and an increase in vibration due to different types of propeller faults, especially when the faults lead to imbalance and asymmetry of the system. Model-based fault diagnosis and accommodation methods were proposed to maintain satisfactory performance when a fault occurs and experimental results demonstrates the effectiveness of the proposed method. Future Work may use sensors to measure fault-induced vibrations to detect the occurrence of fault, the location at which it occurs, and its severity.

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