



## Research Report

# Do naps benefit novel word learning? Developmental differences and white matter correlates



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## ABSTRACT

Memory representations of newly learned words undergo changes during nocturnal sleep, as evidenced by improvements in explicit recall and lexical integration (i.e., after sleep, novel words compete with existing words during online word recognition). Some studies have revealed larger sleep-benefits in children relative to adults. However, whether daytime naps play a similar facilitatory role is unclear. We investigated the effect of a daytime nap (relative to wake) on explicit memory (recall/recognition) and lexical integration (lexical competition) of newly learned novel words in young adults and children aged 10–12 years, also exploring white matter correlates of the pre- and post-nap effects of word learning in the child group with diffusion weighted MRI. In both age groups, a nap maintained explicit memory of novel words and wake led to forgetting. However, there was an age group interaction when comparing change in recall over the nap: children showed a slight improvement whereas adults showed a slight decline. There was no evidence of lexical integration at any point. Although children spent proportionally more time in slow-wave sleep (SWS) than adults, neither SWS nor spindle parameters correlated with over-nap changes in word learning. For children, increased fractional anisotropy (FA) in the uncinate fasciculus and arcuate fasciculus were associated with the recognition of novel words immediately after learning, and FA in the right arcuate fasciculus was further associated with changes in recall of novel words over a nap, supporting the importance of these tracts in the word learning and consolidation process. These findings point to a protective role of naps in word learning (at least under the present conditions), and emphasize the need to better understand both the active and passive roles that sleep plays in supporting vocabulary consolidation over development.

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## 1. Introduction

Vocabulary knowledge is a critical component of language and communication, and a longitudinal predictor of an array of life outcomes, from school grades to employment and mental and physical health (Armstrong et al., 2017). Understanding the underlying neurocognitive mechanisms of word learning is valuable for advancing theory and informing practice. Numerous studies have shown that the journey of a new word, from unfamiliar to established, plays out over extended period, with sleep actively supporting long-term retention (James, Gaskell, Weighall, & Henderson, 2017; Kurdziel, Mantua, & Spencer, 2017). Nocturnal sleep has been found to benefit word learning in children (Brown, Weighall, Henderson, & Gaskell, 2012; Henderson, Weighall, Brown, & Gaskell, 2012) and adults (Dumay & Gaskell, 2007; Tamminen, Payne, Stickgold, Wamsley, & Gaskell, 2010), with larger benefits for children in some studies (e.g., James et al., 2017). Evidence points to daytime naps also supporting word learning in adults (e.g., Tamminen, Lambon Ralph, & Lewis, 2017); however, little is known about the underlying neurocognitive and neuroanatomical mechanisms, and crucially, whether naps (like nocturnal sleep) are more beneficial for younger than adult learners. These are important questions, especially given calls to incorporate naps into the school day to improve learning (Cabral et al., 2018; Ji, Li, & Liu, 2019; Lemos, Weissheimer, & Ribeiro, 2014).

### 1.1. Sleep and word learning

Word learning is a key example of declarative memory consolidation, where sleep plays a well-established role (see Rasch & Born, 2013). Numerous studies have shown that nocturnal sleep (relative to equivalent periods of wake) can strengthen explicit memories of newly learned words (i.e., leading to gains in recall and recognition) and allow them to become integrated in long-term lexical memory in adults (Dumay & Gaskell, 2007; Tamminen et al., 2010) and children (Henderson et al., 2012; James, Gaskell, & Henderson, 2020; Smith et al., 2018). Lexical integration can be measured via the degree to which spoken novel non-words (e.g., “dolp heg”) engage in lexical competition with words that have overlapping phonology (e.g. “dolphin”), a process that is fundamental to the recognition of established lexical entries (Gaskell & Dumay, 2003). For example, using the pause detection task (Mattys & Clark, 2002), Dumay and Gaskell (2007) showed that adults were slower to make speeded decisions about the presence or absence of a silent pause inserted into existing, familiar words (e.g., “dolph\_in”) that overlapped with newly trained novel words (e.g., “dolp heg”) than familiar words that did not overlap with newly trained words. Assuming that the new competitors had become integrated in the language network, the longer latencies for existing words which now had these new competitors were argued to reflect increased lexical activity at pause onset which worked to decrease resources available for making the response. Importantly, however, this “lexical competition” effect did not emerge until after a period of sleep (see Henderson et al., 2012, for similar findings with children;

although see Kapnoula, Packard, Gupta, & McMurray, 2015; Lindsay & Gaskell, 2013, for examples of where lexical competition can emerge without sleep).

This pattern of word learning has been explained via a complementary learning systems (CLS) account (Davis & Gaskell, 2009). Classic CLS models propose a dual memory system, with the hippocampus serving as a short-term store for new declarative memories and the neocortex as a site for long-term storage (McClelland, McNaughton, & O’Reilly, 1995). As applied to word learning, the initial retrieval of word meaning and form requires hippocampal mediation, but after a period of consolidation, an integrated neocortical representation is strengthened. This prolonged process of consolidation has been suggested to occur offline, particularly during sleep (Davis, Di Betta, Macdonald, & Gaskell, 2009; Davis & Gaskell, 2009; Dumay & Gaskell, 2007).

Supporting the CLS account of word learning in adults, Davis et al. (2009) used fMRI and observed increased neural responses in brain regions that support semantic access and effortful phonological processing (e.g., in the bilateral superior temporal gyrus, the inferior frontal, premotor and motor cortices, and in the cerebellum) in response to untrained pseudowords and pseudowords trained on the day of an fMRI scan relative to pseudowords trained on the day before the scan. They also observed greater hippocampal activity for the untrained pseudowords, with this hippocampal involvement decreasing over the night after learning. Davis et al. argued that sleep worked to improve the efficiency with which new words are represented in cortical systems involved in word recognition and production (see also Landi et al., 2018). Takashima, Bakker-Marshall, van Hell, McQueen, and Janzen (2019) measured behavioural and fMRI responses to newly learned Japanese words in 8–10 and 14–16 year olds, immediately after training and one week later. Hippocampal involvement decreased with time; however, in contrast to Davis et al. there was no evidence of cortical involvement or lexical integration even one week after training. This suggests that for children, novel words may undergo a more protracted phase of lexical integration. Interestingly, this differs from the conclusions of studies that have used purely behavioural measures, where there are claims of sleep-dependent lexical integration as little as 12-hours after training (e.g., Henderson et al., 2012), and highlights the value of using both behavioural and neuroimaging measures when attempting to understand the neurocognitive mechanisms that support word learning, particularly when examining developmental differences.

Building on the classic CLS model, the Active Systems hypothesis provides one explanation for how sleep supports systems consolidation (Born & Wilhelm, 2012; Diekelmann & Born, 2010; Rasch & Born, 2013). During non-rapid eye movement (NREM) sleep, and slow wave sleep (SWS) in particular, newly encoded hippocampal memories are argued to be reactivated until they are redistributed to the neocortex, where they are strengthened and integrated within pre-existing neural networks. This process of redistribution relies on the interplay between three key brain oscillations during sleep: neocortical slow oscillations, thalamo-cortical sleep spindles and hippocampal sharp-wave ripples (Born & Wilhelm, 2012; Diekelmann & Born, 2010; Rasch & Born, 2013). Sleep spindles consist of short bursts of oscillatory

activity in the sigma frequency band (De Gennaro & Ferrara, 2003; Muehlroth et al., 2019) and can be categorized into frontal slow spindles (9–12.5 Hz) and central fast spindles (12.5–16 Hz) (Muehlroth et al., 2019). Behavioural measures of sleep-dependent memory consolidation have repeatedly been associated with fast spindle density (Barakat et al., 2011; Chatburn et al., 2013; Hahn et al., 2019; Tamaki, Matsuoka, Nittono, & Hori, 2008) and power within the fast spindle frequency band (Cairney, Guttesen, El Marj, & Staresina, 2018; Mölle, Bergmann, Marshall, & Born, 2011). Furthermore, sleep-related changes in new word knowledge have been repeatedly associated with NREM sleep spindle and slow-wave parameters in adults (Tamminen et al., 2010, 2013; Weighall, Henderson, Barr, Cairney, & Gaskell, 2017) and children (Fletcher et al., 2020; Smith et al., 2018). For example, Tamminen et al. (2010) found significant correlations between overnight changes in lexical competition for both slow and fast spindle types in adults, and more time in SWS was associated with larger decreases in recognition reaction time. Weighall et al. (2017) found that explicit memory for novel words after sleep correlated with fast (but not slow) spindle density in adults. In children aged 8–13 years, Smith et al. (2018) found that overnight change lexical competition correlated positively with spindle power averaged over fast and slow frequency ranges. Overnight improvements in explicit memory (i.e., captured by a cued recall task) were also related to spindle power, as well as slow wave activity (SWA).<sup>1</sup> Sleep architecture undergoes significant changes across the lifespan (Ohayon, Carskadon, Guilleminault, & Vitiello, 2004), with these changes potentially leading to subtle developmental differences in consolidation mechanisms (James et al., 2017). Amongst these architectural changes are increases in the amount of nocturnal SWS as well as higher SWA over childhood, with claims that the proportion of SWS peaks at age 10–12 years (Campbell & Feinberg, 2009; Kurth et al., 2010; Ohayon et al., 2004; Wilhelm et al., 2012, 2013). Since SWS is thought to be key for effective sleep-dependent memory consolidation and tends to account for roughly double the proportion of night time sleep in pre-early adolescents relative to adults, it has been found that direct comparisons of these age groups produces enhanced sleep-dependent consolidation effects in children (Peiffer, Bricchet, De Tiede, Peigneux, & Urbain, 2020; Wilhelm et al., 2013). For word learning, Weighall et al. (2017) demonstrated that after sleep, 7–8 year-olds had greater increases in explicit memory for newly learned words than adults. James, Gaskell, and Henderson (2019) also found that children (7–9 years old) showed bigger overnight improvements in recall of novel words 24 h after initial learning than compared to adults, and they continued to improve to a greater extent when tested one

week later. Bishop, Barry, and Hardiman (2012) also found that children outperformed adults on the offline retention of novel word forms, using a nonword repetition task, even when initial performance was matched. However, since James et al. (2019) and Bishop et al. (2012) did not measure sleep directly and Weighall et al. (2017) did not measure sleep in both adults and children, these enhanced effects in children cannot be specifically attributed to greater amounts of SWS. Furthermore, enhanced consolidation effects in children are difficult to reconcile with Takashima et al. (2019), who observed no evidence of increased cortical responses to newly learned words after sleep in children. This raises the possibility that the enhanced explicit memory benefits previously found in children are not purely a consequence of enhanced neocortical “active systems” consolidation over sleep.

## 1.2. Daytime naps and word learning

Naps, like nocturnal sleep, have been shown to promote active systems consolidation for newly acquired declarative memories (e.g., Cairney et al., 2018; Farhadian, Khazaie, Nami, & Khazaie, 2021; Kurdziel, Duclos, & Spencer, 2013; Lokhandwala & Spencer, 2021; Tucker & Fishbein, 2008). However, the effect of naps on word learning in adults or children has not been studied extensively. For adults, there is preliminary evidence that naps play a more protective role in word learning (Tamminen et al., 2017), but it is unclear if this is the same for children.

Studying adults, Tamminen et al. (2017) found that a 90-minute daytime nap protected memories of newly learned novel words from forgetting on a free recall task, while an equal period of wake did not. However, over-nap change in free recall did not correlate with time spent in any sleep stage or with sleep spindle density. This differs from the strengthening or enhancing effects of nocturnal sleep on explicit memory for new words, and where changes over sleep are associated with slow wave and sleep spindle parameters (e.g., Dumay & Gaskell, 2007; Tamminen et al., 2010), potentially suggesting a passive role of naps (e.g., protection from interference) as opposed to allowing for active systems consolidation, at least in this particular study. Furthermore, lexical integration effects were found following a delay regardless of whether it was filled with a nap or wake, which again differs from previous overnight studies in which lexical integration was only found after sleep (e.g., Dumay & Gaskell, 2007). However, Tamminen et al. (2017) used a lexical decision task to capture lexical integration, in which participants made timed overt judgements about lexicality. The pause detection task used by Dumay and Gaskell (2007) arguably captures a more implicit form of lexical competition that is less prone to strategic effects (i.e., noticing the overlap between novel and existing competitors during the task). Thus, whether online lexical competition emerges after a nap in adults, as it does after nocturnal sleep, remains unclear. It should also be noted that Tamminen et al. (2017) used a targeted memory reactivation paradigm, in which half of the trained words were “cued” (i.e., played through loudspeakers during SWS while participants remained asleep), thus any effects of the nap may have been influenced by this cueing. Indeed, they observed an association between change in lexical competition over the

<sup>1</sup> It is also important to acknowledge that active systems accounts argue that whilst systems consolidation occurs during SWS, rapid eye movement (REM) sleep acts to stabilize the newly transformed memories by enabling synaptic consolidation (Diekelmann & Born, 2010; see also Stickgold & Walker, 2013; Ambrosini & Giuditta, 2001; Grosmart et al., 2012 for variations on this position). However, our a priori focus here was to test hypotheses regarding the role of NREM sleep parameters in word learning over a nap, using consistent findings from previous overnight studies of word learning as a benchmark.

nap for cued words and time spent in REM sleep, but this was not observed for non-cued words. This again implies that (without cueing) naps might not play an active role in the consolidation of newly learned words.

Evidence broadly points to beneficial effects of napping on memory in pre-schoolers and young children (Giganti et al., 2014; Kurdziel et al., 2013) and for word-pair retention in older adolescents (Lau, McAteer, Leung, Tucker, & Li, 2018; Piosczyk et al., 2013). However, there is a paucity of studies of novel word learning over a nap in children and it is currently unknown whether the higher levels of SWS (and purported associated enhanced benefits of sleep on memory) characterise nap sleep in children relative to adults. In adults, daytime naps are reported to have significantly lower spindle density than night-time sleep (van Schalkwijk et al., 2019), thus it is plausible that the benefits of a nap for word learning may be reduced for both age groups, but this does not perturb the potential for developmental differences.

### 1.3. White matter correlates of word learning

As discussed, fMRI studies with adults have revealed, broadly speaking, that novel word representations initially engage the hippocampal system (Breitenstein et al., 2005; Davis et al., 2009). However, over time (and sleep), hippocampal activation declines and activation in cortical structures associated with the representation of words (e.g., left middle temporal cortex) persists or increases (BakkerMarshall et al., 2018; Landi et al., 2018; Takashima et al., 2019). Yet, findings from Takashima et al. (2019) in 8–10 and 14–16 year old children suggest decreased hippocampal involvement in response to newly learned words one week after learning without corresponding increases in cortical activation. One possibility is that the structural properties of the developing language network may dictate how efficiently new words are strengthened in cortical networks. Indeed, studies of rapid cortical plasticity in word learning in adults (e.g., Hofstetter, Friedmann, & Assaf, 2017; Shtyrov, Nikulin, & Pulvermuller, 2010; Vukovic, Hansen, Lund, Jespersen, & Shtyrov, 2021) point to a structural basis for rapid word encoding mechanisms, highlighting the importance of studying the baseline integrity of white matter structures involved in language acquisition.

In parallel with the growth of cortical grey matter, axonal bundles become increasingly myelinated over childhood and adolescence (Miller et al., 2012), which is crucial for the interneuronal communication that underlies cognitive development (Casey, Tottenham, Liston, & Durston, 2005; Khundrakpam et al., 2013; Schmithorst, Wilke, Dardzinski, & Holland, 2005). Diffusion weighted imaging (DWI), a non-invasive technique used to measure water diffusion characteristics as an index of the integrity of white matter microstructure, has consistently found non-uniform changes in white matter integrity across childhood and adolescence (see Lebel, Treit, & Beaulieu, 2019, for a review). These studies typically measure fractional anisotropy (FA, the directional preference of diffusion), axial diffusivity (AD, diffusion along the main axis of diffusion), radial diffusivity (RD, rate of diffusion in the transverse direction) and mean diffusivity (MD, mean diffusion rate) (Soares, Marques, Alves, & Sousa, 2013).

Various measures of verbal ability are associated with developmental changes in these metrics (Farah, Tzafir, & Horowitz-Kraus, 2020; Houston, Allendorfer, Nenert, Goodman, & Szaflarski, 2019). For instance, greater conversational experience with adults at age 4–6 years is associated with stronger white matter connectivity (as reflected by a positive correlation with FA) in the left arcuate fasciculus (AF) and left superior longitudinal fasciculus (SLF) (Romeo et al., 2018; see also Su et al., 2018; Urger et al., 2015). The SLF and AF connect the frontal cortex to the occipital, parietal and temporal cortices and play a key role in speech and language processing in both the left and right hemispheres (Catani & Thiebaut de Schotten, 2008). Furthermore, auditory recall of word lists in children aged 9–15 years is associated with white matter integrity in the uncinate fasciculus (UF) (Mabbott, Rovet, Noseworthy, Smith, & Rockel, 2009; see also Lebel, Benischek, et al., 2019). The UF is the major white matter tract connecting frontal regions with the temporal pole, anterior temporal cortex, parahippocampal gyrus and amygdala (Von Der Heide, Skipper, & Olson, 2013), with communication between these cortical regions thought to underlie declarative memory skills (Schmahmann et al., 2007; Squire & Zola-Morgan, 1991) and semantic processing (Holland & Lambon Ralph, 2010; Papagno et al., 2011). Whilst associations between these tracts and language measures have predominantly been identified as left lateralized (Mabbott et al., 2009; Romeo et al., 2018; Sreedharan, Menon, James, Kesavadas, & Thomas, 2015; Su et al., 2018; Urger et al., 2015), other studies report associations in the right (Farah et al., 2020) or both hemispheres (Romeo et al., 2018; Sreedharan et al., 2015).

One study has used DWI to examine associations of the microstructural properties of cortical regions and white matter tracts with novel word learning. Namely, Hofstetter et al. (2017) examined learning-induced changes in cortical plasticity following a one-hour training phase in which adults learned novel word–picture pairs. They found that learning of new lexical items induced immediate changes, including reductions in mean diffusivity, in a number of cortical regions linked to language (e.g., the inferior frontal gyrus, the middle temporal gyrus and the inferior parietal lobule). Of interest, they found that changes in diffusivity in the SLF were correlated with the rate at which adults learned the new words. However, Hofstetter et al. (2017) only examined the immediate consequences of the word learning process. To our knowledge, the white matter correlates of both immediate and delayed consequences of novel word learning (particularly in children) are yet to be examined.

### 1.4. Current study

This study examined the neurocognitive (Experiments 1 and 2) and neuroanatomical (Experiment 3) mechanisms of word learning over a nap, in children and adults, addressing three key questions. First, while nocturnal sleep benefits novel word learning and integration of novel words in the lexical network in adults and children, is the same true for naps? Second, do children benefit more from naps for novel word learning than adults? Third, what are the white matter correlates of novel word learning in children? Experiment 1 investigated whether a daytime nap (relative to equivalent

wake) led to benefits for explicit memory and lexical integration of newly learned novel words in young adults. Experiment 2 repeated this endeavour in 10–12-year-olds, targeting the age at which SWS is typically in abundance (i.e., a larger proportion of time is spent in SWS and SWA shows greater amplitude) relative to adults (e.g., Wilhelm et al., 2013). Both experiments measured sleep architecture in the laboratory using polysomnography to examine whether over-nap changes in novel word memory were associated with electrophysiological parameters previously linked to active systems consolidation (i.e., spindle density, sigma power, delta power, time in SWS). Cross-experiment analyses explored developmental differences in nap architecture and the effect of napping on word learning. Finally, Experiment 3 used DWI to explore how the neuroanatomical properties of the developing language system are associated with word learning, both immediately after learning and through capturing changes in memory over a nap.

## 2. Experiment 1: daytime naps and novel word learning in adults

The following pre-registered hypotheses were tested (<https://osf.io/ehw5z/>):

- (1) There will be improvements in explicit memory for the novel words after a nap, but not after wake.
- (2) There will be a greater increase in lexical competition (i.e., slower responses to words for which a novel competitor has been trained, vs untrained words) following a nap compared to an equal period of wake.
- (3) The size of these sleep-based improvements in lexical integration and explicit memory will be positively associated with spindle density, sigma power,<sup>2</sup> delta power and time in SWS during the nap.

### 2.1. Material and methods

#### 2.1.1. Participants

Participants were students aged 18–24 years at the University of York, who took part in two conditions approximately one week apart. For both weeks, participants were instructed to sleep normally and to not consume alcohol the night before testing. On the morning of testing, they were required to get up by 7am and to not nap or consume any caffeine. Actigraphy (Actiwatch Spectrum Plus, Philips Respironics Inc., Pittsburgh, Pennsylvania) verified participants' sleep schedule the night before the experiment. 36 students took part (monolingual, native-English speakers free of current/previous neurological or sleep disorders). Three participants did not complete both conditions and one participant did not complete all tasks, leaving a final sample of 32 (5 males; 27 female, age  $M = 20.94$  years,  $SD = 1.53$ , range: 18.75–24.17). All participants gave informed consent. They received course credit or payment

upon completion of both conditions. This study was approved by the Department of Psychology Ethics Committee (University of York). Please note that we report how we determined our sample size, all data exclusions, all inclusion/exclusion criteria, whether inclusion/exclusion criteria were established prior to data analysis, all manipulations, and all measures in both studies in the pre-registration documents.

#### 2.1.2. Stimuli

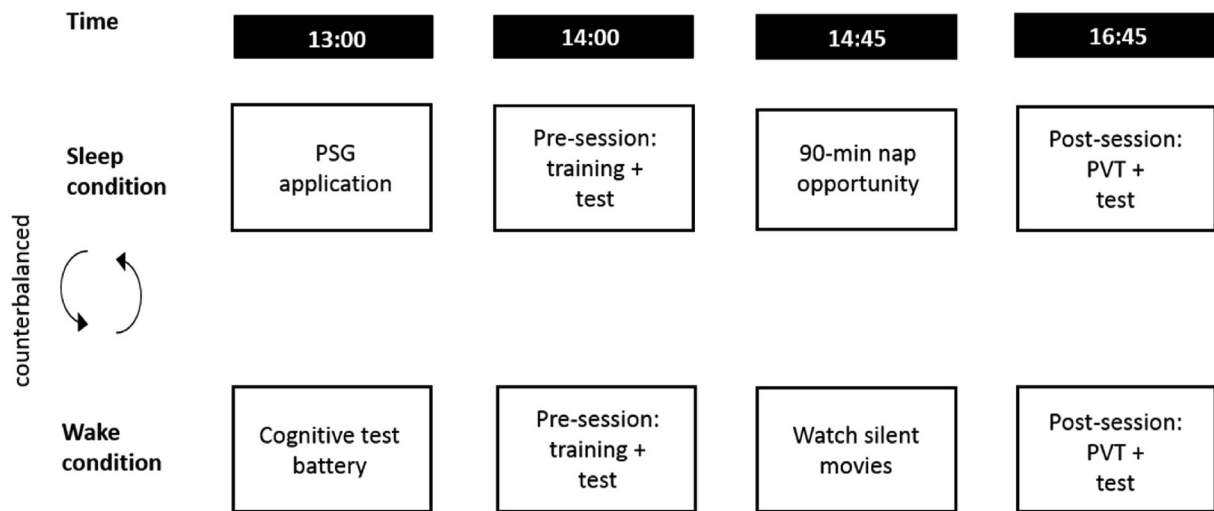
Seventy-two stimulus pairs were devised from existing stimuli (Henderson et al., 2012; Smith et al., 2018; Weighall et al., 2017). Each pair comprised an existing familiar base word (e.g., *dolphin*) and a novel competitor (e.g., *dolpheg*). Two novel foils were also created for each pair (e.g., *dolphess* and *dolphok*) for use in the speeded recognition task. The 72 stimulus pairs were divided into four equal lists matched on written and spoken frequency, number of syllables and phonemes, as well as word length. In each condition (sleep or wake) participants were trained on one of the four lists, while another list served as a control list. Trained lists and control lists were counter-balanced across participants. All stimuli were recorded using Audacity® recording and editing software, by a female native English speaker. Stimuli lists can be found in the supplementary materials.

#### 2.1.3. Design and procedure

This experiment used a Condition (sleep vs wake)  $\times$  Session (pre-interval vs post-interval) within-subjects design (see Fig. 1). The order of condition allocation was randomised and counterbalanced. Participants arrived at the laboratory at 13:00. In the sleep condition, polysomnography (PSG) was applied immediately upon arrival. In the wake condition, participants first completed a cognitive test battery (standardised scores available on the OSF). At 14:00 the pre-interval session started, in which participants in both conditions were first trained on the 18 spoken novel words via computerised training tasks. Immediately after training, participants completed three pre-tests: lexical integration was measured using a pause detection task, and explicit memory of the words was assessed using cued recall and a speeded recognition (old-new categorisation) tasks. In the sleep condition, participants were then given a 90-minute nap opportunity, while participants in the wake group watched silent movies selected to reduce linguistic interference. The post-interval session started 30 min after the end of the nap opportunity to minimise the risk of sleep inertia. This began with a Psychomotor Vigilance Test (PVT; Dinges & Powell, 1985), followed by the same three word learning tests: pause detection, cued recall and speeded recognition.

**2.1.3.1. TRAINING.** Participants were exposed to 18 spoken novel words, each occurring 12 times across three different training tasks. In the first task, participants heard each word and repeated the word out loud. In the second task, involving phoneme monitoring, participants listened to each word and used the keyboard to indicate whether or not the word contained a pre-specified phoneme (/m/,/d/,/b/,/l/,/t/). In the third phoneme identification task, participants again heard each word and used the mouse to select what sound each word contained from a list of four options. The three tasks

<sup>2</sup> Sigma power featured in the pre-registration analysis plan, but was omitted (in error) from the pre-registered hypotheses.



**Fig. 1 – Procedure for adults. PSG = polysomnography, PVT = Psychomotor Vigilance Test.**

alternated during the training phase, with the repetition task performed six times in total and the two phoneme tasks three times each. Stimuli were presented aurally via headphones using Open Sesame version 3.2.4 4 (Mathôt, Schreij, & Theeuwes, 2012). Instructions were presented both aurally and in written form.

**2.1.3.2. TESTING.** Participants were made aware that their memory of the new words would be tested before and after the nap/wake period. To assess lexical integration, participants performed a *pause detection* task. In this task, participants made speeded decisions about the presence or absence of a 200 msec pause inserted into the familiar base words. A total of 72 trials were included in each test session: 18 trained words (9 with pauses), 18 untrained words (9 with pauses) and 36 fillers (18 with pauses). The words with and without pauses were counterbalanced between pre-interval session and post-interval session, and different fillers were used in each session. Ten practice trials (using a different set of familiar words) were included at the start of each session. The key dependent variables were reaction time (RT) (in ms) for correct trials, measured from the onset of the pause for both pause absent and pause present trials (i.e., RT was measured from the same point in the digitised speech file for both trial types), and accuracy. To assess explicit memory, a cued recall and a speeded recognition task were used. In the *cued recall* task participants were presented with the first syllable of each novel word aurally (e.g., *dol-*) and were asked to recall the whole word out loud (e.g., *dolpheg*). The key dependent variable was accuracy. In the *speeded recognition* task, participants made speeded decisions about whether or not a word was learned during training. This task included 36 trials, with 18 trained words and 18 foils. The key dependent variables were RT (in ms, recorded from the beginning of the word) for correct trials and accuracy. Additionally, participants performed a 4-minute computer-based PVT (Khitrov et al., 2014) at the start of the post-interval session as an objective measure of alertness following the nap or wake period. The PVT required participants to respond with the mouse when a counter appeared in

the centre of the screen, at random intervals between 2000 and 10,000 msec. Number of lapses (responses with RT > 500 msec) and RT were measured. Paired-samples t-tests confirmed that the number of major lapses and RT did not significantly differ between conditions (number of major lapses: nap  $M = .03$ ,  $SD = .18$ , wake  $M = .00$ ,  $SD = .00$ ,  $t = 1.00$ ,  $p = .33$ ; RT: nap  $M = 263.84$  msec,  $SD = 28.64$ , wake  $M = 271.09$  msec,  $SD = 24.36$ ,  $t = -1.62$ ,  $p = .17$ ).

#### 2.1.4. Sleep recording and processing

Sleep was monitored in the sleep laboratory using an Embla N7000 PSG system with REMlogic software (version 3.4.0). After cleaning the scalp with NuPrep exfoliating agent (Wece and Company) gold plated electrodes were placed according to the international 10–20 system at the following locations: F3, F4, C3, C4, O1 and O2. Scalp electrodes were referenced to the contralateral mastoid (A1 or A2) and the ground electrode was placed centrally on the forehead. Electrooculography was recorded with one electrode placed next to each eye and electromyography was recorded from three electrodes, one on the chin and two under the chin. Data were sampled at 200 Hz. No filters were applied during recording.

Sleep stages were scored offline in 30-second epochs by two trained scorers according to the standard criteria of the American Academy of Sleep (AASM; Iber, Ancoli-Israel, Chesson, & Quan, 2007). Agreement between scores was 88.55% and any discrepancies were resolved. Time spent in each sleep stage (N1, N2, SWS and REM) and the following sleep parameters were calculated: spindle density (number of spindles per minute; fast, slow and total), sigma power (power density in the spindle frequency range (9–16 Hz); fast, slow and total) and delta power (.3–4 Hz). Artifact rejection was performed with MATLAB, using the FieldTrip toolbox (version 10/04/2018; Oostenveld, Fries, Maris, & Schoffelen, 2011). Spectral analyses were conducted on artifact-free NREM epochs (high-pass filter: .3 Hz, low-pass filter: 30 Hz, notch filter: 50 Hz), using Fast Fourier Transformation on central channels at 12.5–16 Hz for fast sigma power and on frontal channels at 9–12.5 Hz for slow sigma power (Muehlroth et al.,

2019). For delta activity (.3–4 Hz), power was averaged across frontal and central electrodes. Irregular-resampling auto-spectral analysis was used to correct for 1/f noise (Wen & Liu, 2016). To determine NREM total spindles, a continuous wavelet transform with a morlet basis function in central and frontal channels was used at 9–16 Hz (Tsanas & Clifford, 2015). This analysis was repeated in frequency bands 12.5–16 Hz and 9–12.5 Hz to explore both fast and slow spindles. In all cases, spindle density values reflected the mean number of spindles per minute of NREM sleep.

### 2.1.5. Behavioural and sleep data analysis

2.1.5.1. DATA SCREENING. For the pause detection task, accuracy rates were averaged across test sessions and participants with accuracy z-scores less than  $-3.29$  were excluded. Based on tests of normality, a transformation (log or inverse) was used to normalise the distribution of pause detection RTs if the data were found to be skewed (Brysbaert & Stevens, 2018). For the speeded recognition task, a d-prime calculation based on hits (correctly identifying a learned word) and correct rejections (correctly identifying an unlearned word) was used to determine sensitivity. Outliers were detected using z scores ( $\pm 3.29$ ). For both the pause detection and speeded recognition tasks, within-subject RT outliers were classed as any trials 2.5 SDs above a participants' mean RT. RTs  $< 200$  msec were classed as a false response and removed. To detect outliers for individual items, accuracy rates across all test sessions were averaged separately for the pause detection and speeded recognition tasks. Items with accuracy rates 3.29 SDs below the group mean were excluded. For the sleep parameters, oscillatory power values were log transformed and normality was checked for all spindle density parameters to determine if log transformations were needed.

2.1.5.2. HYPOTHESIS TESTING. Data were analysed using R, with models fitted using the package *lme4* 1.1.27.1 (Bates, Maechler, Bolker, & Walker, 2014) and figures made using *ggplot2* 3.3.5 (Wickham, 2016). Mixed-effects logistic regression models were used to model binary outcomes (cued recall accuracy; and if not at ceiling pause detection accuracy and speeded recognition accuracy) and linear mixed-effects models for continuous outcome data (pause detection and speeded recognition reaction times to accurate responses). For each dependent variable, fixed-effects of Condition (sleep vs wake;  $+5$ ,  $-5$ ) and Session (pre-interval vs post-interval;  $-5$ ,  $+5$ ) were included. For the pause detection task, Training (trained vs not-trained items;  $-5 + 5$ ) was an additional independent variable. The fixed-effects structure was simplified using a backwards selection procedure from the maximal fixed-effects structure, using likelihood ratio tests (LRT) and a liberal

criterion of  $p < .2$  to justify inclusion. Random intercepts and slopes were justified using a liberal criterion for model improvement of  $p < .2$  via LRT and added until no further model improvement could be established (Barr, 2013). The p-values were provided by *lmerTest* 3.1.3 (Kuznetsova, Brockhoff, & Christensen, 2017). After establishing the best fitting fixed-effects structure, standardised dfbetas were calculated to identify any influential cases and any participants with z-scores greater than  $\pm 3.29$  were removed from that dataset, utilising the influence. ME package 0.9.9 (Nieuwenhuis, Pelzer, & te Grotenhuis, 2012). To unpack any significant interactions and to test whether changes in performance over wake and sleep were statistically significant, paired comparisons were run using the package *emmeans* 1.7.0 (Lenth, Singmann, Love, Buerkner, & Herve, 2018). Furthermore, to determine whether lexical competition effects were present both at the pre-test and post-test, paired comparisons (trained RT vs untrained RT) were run for each condition (wake or sleep).

Correlations were run between each of the PSG sleep parameters (fast and slow spindle density, fast and slow sigma power, delta power and time in SWS) and over-nap changes in lexical competition and explicit memory. Correlations were corrected for multiple comparisons (Bonferroni corrected  $p = .002$ ). Total sleep time (TST) was partialled out where it correlated with the sleep parameter of interest.

## 2.2. Results

### 2.2.1. Behavioural findings

Descriptive statistics for all word learning variables can be found in Tables 1 and 2. Results tables for the mixed-effects model analyses are presented in the supplementary materials.

2.2.1.1. CUED RECALL. No influential cases were identified. There was a main effect of Session, such that accuracy was higher on the pre-test ( $M = 43.1\%$ ,  $SD = 29.8$ ) than on the post-test ( $M = 36.0\%$ ,  $SD = 30$ ;  $b = -.51$ ,  $SE = .11$ ,  $p < .001$ ). There was also a main effect of Condition, with accuracy higher in the sleep ( $M = 43.1\%$ ,  $SD = 33.3$ ) than in the wake condition ( $M = 35.9\%$ ,  $SD = 27.8$ ;  $b = .64$ ,  $SE = .23$ ,  $p = .01$ ). There was also a significant Condition  $\times$  Session interaction ( $b = .57$ ,  $SE = .22$ ,  $p = .01$ ), with performance declining significantly from the pre-test to the post-test for the wake condition ( $b = .79$ ,  $SE = .16$ ,  $p < .001$ ), but not for the sleep condition ( $b = .22$ ,  $SE = .15$ ,  $p = .14$ ) (Fig. 2).

2.2.1.2. OLD-NEW CATEGORISATION. Mixed-effects analyses were conducted on both RT and accuracy data. No outliers or influential cases were identified for either RT or accuracy. For the RT data, there was a main effect of Session, which showed that

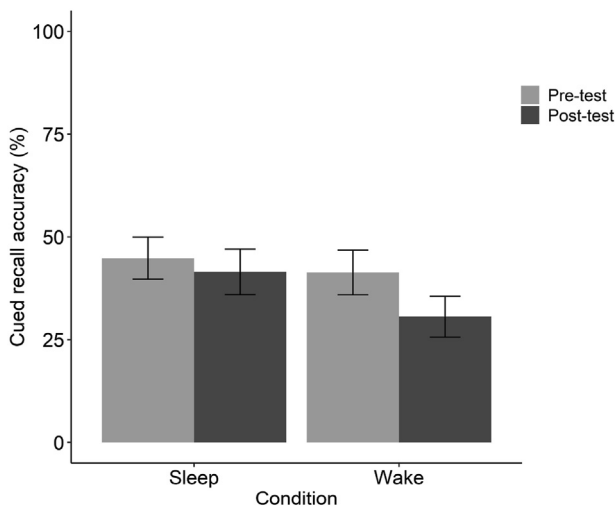
**Table 1 – Mean (and SD) performance for the speeded recognition and cued recall tasks.**

Condition	Session	Cued recall (% correct)	Speeded recognition (% correct)	Speeded recognition (RT (ms))
Sleep	Pre-test	44.79 (29.02)	91.49 (10.46)	1349.59 (193.51)
	Post-test	41.49 (31.43)	80.21 (18.45)	1339.17 (172.08)
Wake	Pre	41.32 (30.88)	93.75 (6.73)	1338.65 (170.55)
	Post	30.56 (28.01)	77.78 (15.39)	1408.26 (216.30)

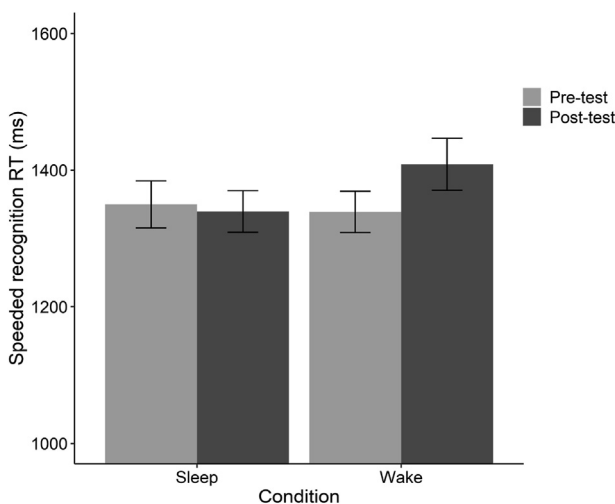
**Table 2 – Means (SD) RT and lexical competition effects (trained RT - untrained RT) for the pause detection task, for the pre-test and post-test.**

Condition	Session	Trained (ms)	Untrained (ms)	Lexical competition (ms)
Sleep	Pre-test	1016.92 (142.54)	992.79 (99.09)	24.13 (103.72)
	Post-test	972.52 (129.24)	978.81 (108.92)	-6.29 (100.98)
Wake	Pre-test	1068.40 (184.03)	1009.46 (114.13)	58.94 (176.74)
	Post-test	989.32 (117.20)	972.80 (82.79)	16.52 (101.16)

Note. N = 31.

**Fig. 2 – Cued recall accuracy (%) for the pre-test and post-test in the sleep and wake condition.**

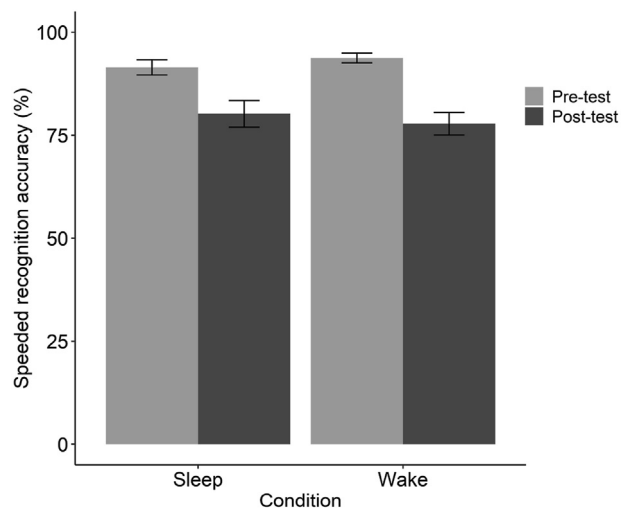
RTs were significantly slower on the post-test ( $M = 1373.72$  msec,  $SD = 196.99$ ) than on the pre-test ( $M = 1344.12$  msec,  $SD = 181.02$ ;  $b = .01$ ,  $SE = .01$ ,  $p = .01$ ). However, this main effect was clearly driven by the wake group, as supported by a Condition  $\times$  Session interaction ( $b = .01$ ,  $SE = .01$ ,  $p = .01$ ). That is, there was a significant slowing of RTs from pre-to post-test

**Fig. 3 – Speeded recognition reaction time (ms) for both the pre-test and post-test in the sleep and wake condition.**

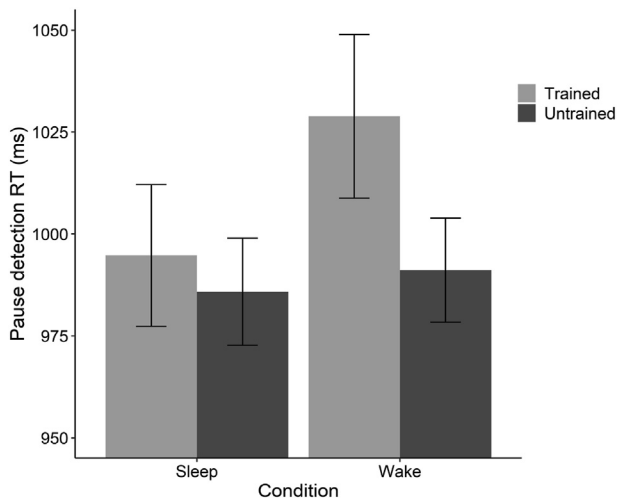
for the wake condition ( $b = -.03$ ,  $SE = .01$ ,  $p < .001$ ), but not for the sleep condition ( $b = -.00$ ,  $SE = .01$ ,  $p = .98$ ) (see Fig. 3).

The speeded recognition accuracy data similarly showed a main effect of Session, such that accuracy was higher on the pre-test ( $M = 92.6\%$ ,  $SD = 8.8$ ) than on the post-test ( $M = 79.0\%$ ,  $SD = 16.9$ ;  $b = 1.18$ ,  $SE = .20$ ,  $p < .001$ ). As seen in Fig. 4 however, the Condition  $\times$  Session interaction did not reach significance ( $b = .45$ ,  $SE = .28$ ,  $p = .11$ ).

**2.2.1.3. PAUSE DETECTION.** For the pause detection task, one participant was excluded due to a task administration error leaving a total sample of  $N = 31$ . Accuracy was at ceiling for both the sleep condition (pre-test percent accuracy:  $M = 96.77\%$   $SD = 4.71$ ; post-test:  $M = 94.27\%$ ,  $SD = 6.00$ ) and the wake condition (pre-test percent accuracy:  $M = 98.75\%$ ,  $SD = 2.76$ ; post-test:  $M = 97.13\%$ ,  $SD = 4.27$ ). Mixed-effects analyses were conducted on RT only. No outliers or influential cases were identified. RTs were significantly faster on the post-test ( $M = 1021.89$  msec,  $SD = 139.98$ ) than the pre-test ( $M = 978.36$  msec,  $SD = 109.71$ ;  $b = -.04$ ,  $SE = .01$ ,  $p < .001$ ). Counter to the hypothesis, removal of the Training (i.e., trained vs untrained)  $\times$  Session and the Training  $\times$  Session  $\times$  Condition fixed effect did not significantly affect model fit ( $p > .2$ ), suggesting that session had no impact on whether RTs were influenced by the words having a trained competitor or not, and crucially this was not influenced by the occurrence of a nap between sessions. RTs were significantly faster in the sleep ( $M = 1009.99$  msec,  $SD = 133.33$ ) in comparison to the

**Fig. 4 – Speeded recognition accuracy (%) for the pre-test and post-test in the sleep and wake condition.**





**Fig. 5 – Pause detection reaction time (ms) interaction between condition (sleep vs wake) and training (trained vs untrained) collapsed across test sessions.**

wake condition ( $M = 990.26$  msec,  $SD = 120.87$ ;  $b = .02$ ,  $SE = .01$ ,  $p = .004$ ). Counter to the hypothesis, there was no evidence of greater lexical completion in the sleep condition relative to the wake condition. Whilst the Condition  $\times$  Training interaction approached significance ( $b = -.02$ ,  $SE = .01$ ,  $p = .06$ ), exploring this with *emmeans* revealed no significant difference between trained and untrained items for the sleep condition ( $b = -.01$ ,  $SE = .014$ ,  $p = .64$ ) and a significant difference between trained and untrained items in the wake condition ( $b = -.03$ ,  $SE = .014$ ,  $p = .035$ ) (see Fig. 5).

### 2.2.2. Associations between sleep and over-nap changes in word learning

Descriptive statistics for time spent in each sleep stage can be found in Table 8. All power parameters were log transformed. All density parameters were log transformed due to normality violations. To explore whether any over-nap changes in performance (post-test - pre-test) were associated with the sleep parameters, correlations were run between over-nap change in each of the behavioural tasks and each sleep parameter: spindle density (slow and fast), sigma power (slow and fast), delta power and time in SWS. Total sleep time (TST) was

partialled out for all sleep parameters except for slow spindle density. All 32 participants were included in the analyses, except for lexical competition where one participant was excluded due to missing data. As shown in Table 3, there were weak correlations between fast spindle density and over-nap changes in speeded recognition accuracy (i.e., greater improvements in accuracy associated with higher fast spindle density, see Figure S1 in Supplementary Materials) and between slow spindle density and over-nap change in lexical competition (i.e., greater increase in lexical competition associated with higher slow spindle density, see Figure S2 in Supplementary Materials). However, in both cases, these correlations did not survive correction for multiple comparisons (Bonferroni corrected  $p = .002$ ).

## 2.3. Discussion

Experiment 1 used a within-subjects design to examine whether a day time nap (versus an equivalent period of wake) benefits novel word learning in young adults. Learning was captured via tests of explicit memory (i.e., recall and speeded recognition) and lexical integration (i.e., pause detection) that were administered before and after the nap/wake period. Sleep architecture was measured with polysomnography to examine whether over-nap changes in explicit memory or lexical integration were associated with key sleep parameters, as based on an active systems account.

Regarding explicit memory, cued recall accuracy declined significantly after a period of wake, but did not decline after a nap. Similarly, although speeded recognition accuracy was high for both groups and declined similarly over wake and sleep, speeded recognition RTs slowed down significantly over wake, but not over sleep. While this indicates a benefit of a nap over wake for novel word learning, the hypothesised enhancing effects of sleep on explicit memory (i.e., gains in recall and speeding up of recognition RTs) were not observed. Furthermore, contrary to the second hypothesis, there was no evidence for an emergence of lexical competition after the nap, despite previous studies using the same pause detection task observing lexical competition in response to learning new spoken words after night-time sleep in adults (e.g., Dumay & Gaskell, 2007) and children (e.g., Henderson et al., 2012). Together, these data suggest that the daytime naps experienced here played a protective role - guarding against

**Table 3 – Correlations (Pearson's  $r$ ) between sleep parameters (log transformed) and over-nap change (post-test – pre-test) in cued recall accuracy, speeded recognition accuracy, speeded recognition reaction time and lexical competition in adults.**

	Over-nap change in cued recall accuracy	Over-nap change in speeded recognition accuracy	Over-nap change in speeded recognition reaction time	Over-nap change in lexical competition
Spindle density (fast)	.159	.379 <sup>##</sup>	-.132	-.057
Spindle density (slow)	.188	-.268	.039	.355 <sup>#</sup>
Sigma power (fast)	.179	.192	.179	-.074
Sigma power (slow)	.135	-.082	-.060	.285
Delta power	-.162	.002	-.212	-.012
Time in SWS	-.138	-.266	-.099	.144

Note. <sup>#</sup> $p = .049$ , <sup>##</sup> $p = .035$  (scatterplots presented in the supplementary materials), but these correlations did not survive Bonferroni correction for multiple comparisons.

forgetting - but did not actively promote the strengthening and/or lexical integration of novel word forms (consistent with Tamminen et al., 2017).

This interpretation aligns with the observed weak correlations between fast and slow spindle density and over-*nap* changes in speeded recognition accuracy and lexical competition that did not survive correction for multiple comparisons. There was also no support for associations between sigma power, delta power or time in SWS and any of the word learning measures. Thus, counter to the third hypothesis, the over-*nap* changes in lexical integration and/or explicit memory were not convincingly associated with electrophysiological markers of active systems consolidation.

### 3. Experiment 2: daytime naps and novel word learning in children

Experiment 2 examined the effect of daytime naps (versus wake) on novel word learning in children aged 10–12 years old, using the materials of Experiment 1. The pre-registered hypotheses for Experiment 2 (<https://osf.io/wpd8v/>) were largely the same as for Experiment 1, based on similar patterns of overnight benefits of sleep for word learning in children (Henderson et al., 2012; James et al., 2020; Smith et al., 2018). Additional exploratory cross-experiment analyses comparing adults and children was also pre-registered. This analysis sought to examine developmental differences in (1) sleep architecture during the nap (e.g., total sleep time, time spent in each sleep stage and spindle density) and (2) over-*nap* changes in the word learning measures. While the adult study (Experiment 1) used a within-subjects design, the child study (Experiment 2) used a between-subjects design; therefore, adult data from the nap condition was compared with child data from the nap group.

#### 3.1. Material and methods

##### 3.1.1. Participants

Children aged 10–12 years were recruited from local primary and secondary schools, as well as through the University of York's staff network. Due to practical and ethical considerations, separate groups of participants were recruited for the sleep (intended  $N = 40$ ) and wake (intended  $N = 20$ ) groups. The reason for a larger sample in the sleep group was twofold: first, to compensate for attrition due to participants not being able to fall asleep in the laboratory, and second to provide statistical power to examine associations between variability in sleep characteristics and changes in word learning across the nap. Due to COVID-19 pandemic restrictions on face-to-face contact, the final 5 participants in the wake group could not be tested. Therefore, 55 participants (24 males, age  $M = 11.79$  years,  $SD = .89$ , range: 10.03–12.71) took part, 40 in the sleep group (age  $M = 11.92$  years,  $SD = .91$ ) and 15 in the wake group (age  $M = 11.55$  years,  $SD = .80$ ). Parental report confirmed that all participants were monolingual native English speakers and did not have any history of neurological, neurodevelopmental or sleep disorders. All parents completed the Children's Sleep Habits Questionnaire (CSHQ; Owens, Spirito, & McGuinn,

2000). Independent-samples *t*-tests indicated no group differences in age and CSHQ scores (see Table 4).

Parents were instructed to ensure their child had at least 8 h of sleep the night before testing. On the morning of testing, they were required to get up by 7am and to not nap or consume any caffeinated products. Participants' sleep schedules the night before the experiment were verified via verbal parental report. All participants and their parents gave written informed consent and participants were paid £60 upon completion of the study. This study was approved by the Department of Psychology Ethics Committee and the York Neuroimaging Centre Research Ethics Committee at the University of York.

##### 3.1.2. Stimuli

Thirty-two child-appropriate stimulus pairs (e.g., *dolphedolphin*) were selected from those used in Experiment 1 (such that the base words were established as highly familiar to children in previous studies). The pairs were divided into two equal lists of 16 pairs, matched on age-of-acquisition (Kuperman, Stadthagen-Gonzalez, & Brysbaert, 2012), written and spoken frequency, number of syllables and phonemes, as well as word length (based on the CELEX lexical database, Kerkman, Piepenbrock, Baayen, & van Rijn, 1995). 16 pairs were used here as opposed to 18 pairs for Experiment 1 in an attempt to keep levels of performance similar between children and adults. Participants were trained on one of the two lists, while the other list served as a control list. Trained and control lists were counterbalanced across participants. Stimuli lists can be found in the supplementary materials.

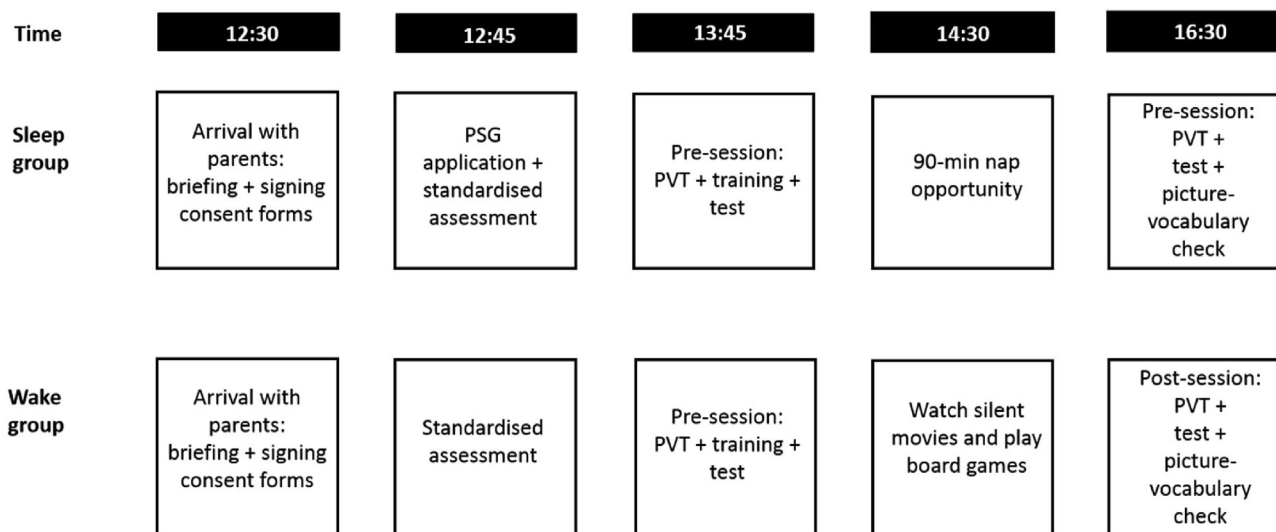
##### 3.1.3. Design and procedure

This experiment used a Group (sleep vs wake)  $\times$  Session (pre-interval vs post-interval) between-subjects design. A schematic overview of the procedure can be found in Fig. 6. Participants and their parents arrived at the laboratory at 12:30. After signing the consent forms, parents were allowed to leave. In the sleep group, PSG was then applied by one experimenter, while a second experimenter administered two standardised assessments: the British Picture Vocabulary Scale (BPVS-III; Dunn, Dunn, Styles, & Sewell, 2009) the Matrices from the British Ability Scales (BAS3; Elliott & Smith, 2011). No group differences were found on these assessments (see Table 4). In the wake group, participants performed the standardised assessment upon signing the consent forms. The pre-interval session started at 13:45.

**Table 4 – Group descriptives (mean (SD)).**

	Sleep group	Wake group	<i>t</i>	<i>p</i>
Age (years)	11.90 (.91)	11.48 (.78)	1.60	.117
CSHQ (total score)	40.90 (5.31)	41.53 (4.87)	-.40	.689
BPVS (standardised score)	101.13 (11.41)	101.53 (9.65)	-.12	.903
BAS Matrices (standardised score)	101.15 (16.51)	102.47 (16.52)	-.26	.793
Picture-vocabulary check (/16)	15.43 (.81)	15.27 (.88)	.63	.532

Note. BPVS = British Picture Vocabulary Scale, BAS = British Ability Scales, CSHQ = Children's Sleep Habits Questionnaire.



**Fig. 6 – Procedure for children.** PSG = polysomnography, PVT = Psychomotor Vigilance Test.

Participants first filled in the Karolinska Sleepiness Scale (KSS; Åkerstedt & Gillberg, 1990) and performed a 4-minute PVT. They were then trained on the 16 spoken novel words. Immediately after training, lexical integration was measured using a pause detection task, and explicit memory of the words was assessed using a cued recall task and a speeded recognition task. Participants in the sleep group were then given a 90-minute nap opportunity, while participants in the wake group watched silent movies and played non-language based games with the experimenters. The post-interval session started 30 min after the end of the nap opportunity. The post-interval session started with the KSS and PVT, followed by the pause detection, cued recall and speeded recognition tasks. Repeated-measures ANOVAs (Group (sleep vs wake)  $\times$  Session (pre-interval vs post-interval)) indicated no significant interactions for KSS scores, PVT number of lapses or PVT RT (all  $p$ s > .05). Detailed results can be found in the supplementary materials (see Table S7). Finally, a picture-vocabulary check was performed to ensure participants were familiar with the 16 base words. No group differences were found on the picture-vocabulary check (see Table 4).

**3.1.3.1. TRAINING AND TESTING.** Training and testing procedures were identical to Experiment 1, with the exception of the number of testing trials due to there being fewer trained novel words. The *pause detection* task included 64 trials in each test session: 16 trained words (8 with pauses), 16 untrained words (8 with pauses) and 32 fillers (16 with pauses). Words with and without pauses were counterbalanced between sessions, and different fillers were used in each session. Sixteen practice trials were included. The *speeded recognition* task included 32 trials, with 16 trained words and 16 foils. In the *picture-vocabulary check* at the end of the post-interval session, participants were presented with the 16 familiar base words, one by one, each accompanied by four pictures. The experimenter verbalised the word, after which the participant selected the picture that best represented the word.

### 3.1.4. Sleep recording and processing

Sleep recording and processing were identical to Experiment 1, with the exception that two additional parietal channels (P3 and P4) were included to facilitate further exploratory analyses in preparation for future work, but were not analysed here. Agreement between scores was 95.08% and any discrepancies were resolved following discussion.

### 3.1.5. Behavioural and sleep data analysis

Statistical analyses were identical to Experiment 1.

## 3.2. Results

Four children in the sleep group did not fall asleep and were excluded from all subsequent analyses, leaving a total of  $n = 36$  in the sleep group. Descriptive statistics for all word learning variables can be found in Tables 5 and 6. Results tables for the mixed-effects model analyses are presented in the supplementary materials.

### 3.2.1. Behavioural findings

**3.2.1.1. CUED RECALL.** One influential case was identified in the wake group, leaving  $n = 50$  in the final model ( $n = 36$  nap,  $n = 14$  wake). There was a main effect of Group, with accuracy higher in the nap group ( $M = 27.08\%$ ,  $SD = 21.83$ ) compared to the wake group ( $M = 14.17\%$ ,  $SD = 12.17$ ;  $b = 1.16$ ,  $SE = .43$ ,  $p = .007$ ). In line with the predictions, there was a significant Group  $\times$  Session interaction ( $b = .87$ ,  $SE = .36$ ,  $p = .017$ ), such that there was a significant decrease in accuracy between the pre-test and post-test for the wake group ( $b = .79$ ,  $SE = .33$ ,  $p = .018$ ), but not for the nap group ( $b = -.09$ ,  $SE = .17$ ,  $p = .617$ ) (see Fig. 7), mirroring the adult findings.

**3.2.1.2. SPEEDED RECOGNITION.** Accuracy was >75% on average but not at ceiling, therefore mixed-effects analyses were conducted on both RT and accuracy data (see Table 5). For accuracy, one influential case was identified in the sleep group, leaving  $n = 50$  in the final model ( $n = 35$  nap group,

**Table 5 – Mean (SD) performance for the cued recall and speeded recognition tasks.**

Group	Session	Cued recall (% correct)	Speeded recognition (% correct)	Speeded recognition (RT (ms))
Sleep	Pre-test	25.69 (19.70)	89.82 (13.14)	1477.65 (198.97)
	Post-test	28.47 (23.97)	79.82 (16.26)	1454.46 (206.15)
Wake	Pre-test	15.18 (9.72)	87.50 (8.84)	1482.57 (186.42)
	Post-test	9.38 (9.41)	75.42 (10.69)	1537.46 (185.07)

Note. Cued recall (% correct): sleep n = 36, wake n = 14. Speeded recognition (% correct): sleep n = 35, wake n = 15. Speeded recognition (RT): sleep = 36, wake n = 14.

**Table 6 – Means (SD) RT and lexical competition effects (trained RT - untrained RT) for the pause detection task, for the pre-test and post-test.**

Group	Session	Trained (ms)	Untrained (ms)	Lexical competition (ms)
Sleep	Pre-test	1211.91 (189.90)	1208.08 (128.53)	3.83 (110.90)
	Post-test	1141.10 (132.93)	1127.19 (117.76)	13.91 (81.07)
Wake	Pre-test	1221.82 (110.84)	1179.97 (120.29)	41.85 (120.94)
	Post-test	1088.41 (122.68)	1110.85 (134.38)	-22.44 (63.79)

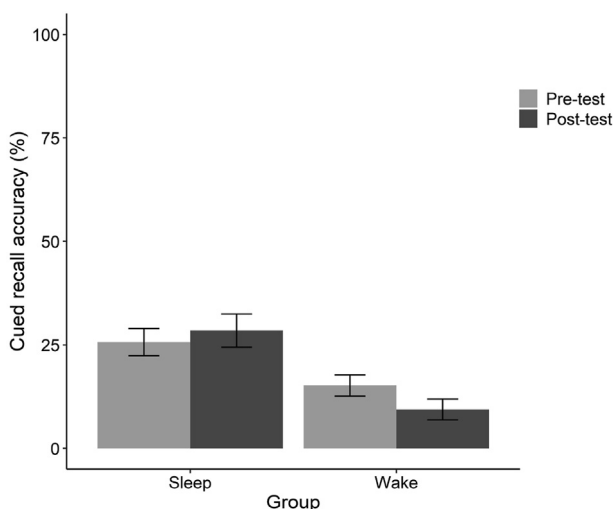
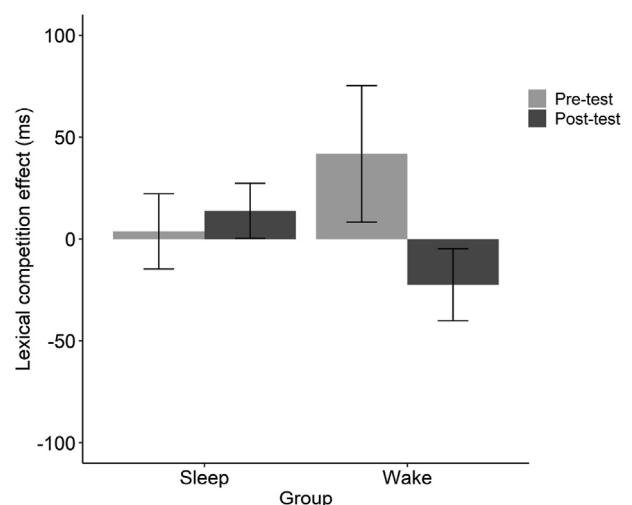
Note. Sleep n = 36, wake n = 13.

n = 15 wake group). There was a main effect of Session, with accuracy higher on the pre-test ( $M = 89.12\%$ ,  $SD = 11.97$ ) than on the post-test ( $M = 78.50\%$ ,  $SD = 14.84$ ;  $b = .91$ ,  $SE = .15$ ,  $p < .001$ ). There was no Group  $\times$  Session interaction, suggesting that a nap did not benefit post-test performance relative to an equivalent period of wake.

For speeded recognition RT, one influential case was identified in the wake group, resulting in  $n = 50$  in the final model ( $n = 36$  nap group,  $n = 14$  wake group). There were no significant main effects or interactions.

**3.2.1.3. PAUSE DETECTION.** In the wake group, one participant was detected as an outlier resulting in  $n = 50$  ( $n = 36$  nap group,  $n = 14$  wake group). Accuracy was at ceiling for both the nap group (pre-test:  $M = 96.18\%$ ,  $SD = 5.02$ ; post-test:  $M = 96.18\%$ ,

$SD = 4.23$ ) and the wake group (pre-test:  $M = 93.75\%$ ,  $SD = 6.00$ ; post-test:  $M = 92.86\%$ ,  $SD = 2.80$ ). Mixed-effects analyses were conducted on RT only. In the wake group, one further participant was detected as an influential case, resulting in  $n = 36$  in the sleep group and  $n = 13$  in the wake group. Children were faster on the post-test ( $M = 1124.99$  msec,  $SD = 125.62$ ) than on the pre-test ( $M = 1207.58$  msec,  $SD = 149.73$ ;  $b = -.073$ ,  $SE = .014$ ,  $p < .001$ ). The three-way interaction between Training  $\times$  Session  $\times$  Group was significant ( $b = .071$ ,  $SE = .028$ ,  $p = .013$ ). While the interaction appeared to be driven by differences in lexical competition between the pre-test and post-test in the wake group (see Fig. 8), none of the contrasts reached significance (all  $ps > .05$ ; see Table S12 in SM), and the hypothesis that lexical competition would emerge after the nap was not supported.

**Fig. 7 – Cued recall accuracy (%) for the pre-test and post-test in the sleep and wake group.****Fig. 8 – Lexical competition effect (trained - untrained reaction time) (ms) for the sleep and wake group.**

**Table 7 – Correlations between sleep parameters (log transformed) and over-nap change (post-test – pre-test) in cued recall accuracy, speeded recognition accuracy, speeded recognition reaction time and lexical competition in children.**

	Over-nap change in cued recall accuracy	Over-nap change in speeded recognition accuracy	Over-nap change in speeded recognition RT	Over-nap change in lexical competition
Spindle density (fast)	<.001	–.030	.119	–.171
Spindle density (slow)	–.214	–.183	.341 <sup>#</sup>	–.153
Sigma power (fast)	.158	–.098	.261	–.176
Sigma power (slow)	–.098	–.277	.327	–.205
Delta power	–.011	.234	–.052	–.108
Time in SWS	–.089	.021	–.319	.084

Note. <sup>#</sup> $p = .042$  (scatterplot presented in the supplementary materials), but this correlation did not survive Bonferroni correction for multiple comparisons.

### 3.2.2. Associations between sleep and over-nap changes in word learning

Descriptive statistics for time spent in each sleep stage can be found in Table 8. All density parameters were log transformed due to normality violations. To explore whether any over-nap changes in performance (post-test - pre-test) were associated with the sleep parameters, correlations were run between over-nap change in each of the behavioural tasks and each sleep parameter: spindle density (slow and fast), sigma power (slow and fast), delta power and time in SWS. Total sleep time (TST) was partialled out for all sleep parameters except for slow spindle density. All participants that had slept were included in the analyses. As shown in Table 7, there was a weak correlation between slow spindle density and over-nap changes in speeded recognition reaction time (i.e., greater increase in RT associated with higher slow spindle density). However, as in Experiment 1, this correlation did not survive correction for multiple comparisons (Bonferroni corrected  $p = .002$ ).

### 3.3. Cross-experimental comparison between adults and children

#### 3.3.1. Nap characteristics

Comparisons of sleep parameters between adults and children can be found in Table 8. The adults slept significantly longer than children, on average 13.19 min more. Adults spent the majority of their sleep in N2, significantly more than children. Children spent most of their time asleep in SWS and their percent of SWS was significantly greater than adults. Additionally, adults spent proportionally more time in REM than children. Spindle density (fast/slow), sigma power (fast/slow) and delta power (all raw values) were compared between adults and children. Adults had significantly higher fast and slow spindle density. They also had higher fast sigma power. There were no significant differences in slow sigma power and delta power.

#### 3.3.2. Over-nap changes in word learning

Since the number of trained words was different between adults and children (18 and 16, respectively), over-nap change in % correct on the cued recall and speeded recognition task, as well as speeded recognition RT, was compared between age groups, as was over-nap change in lexical competition (see

Table 9). There was a significant difference between age groups on over-nap change in cued recall: children showed a small increase in recall following the nap, while adults showed a decrease.<sup>3</sup> Over-nap changes in speeded recognition or lexical competition did not differ between adults and children.

### 3.4. Discussion

#### 3.4.1. Child data

Similar to adults, there was evidence of nap-based benefits for explicit memory of new words: cued recall accuracy declined significantly after a period of wake, but not after a nap. It should be clearly acknowledged, however, that there were group differences at the pre-test, with the nap group outperforming the wake group, which complicate our interpretation (an issue we return to in 3.4.2.). Furthermore, and counter to Experiment 1, the nap-based benefit in cued recall was not mirrored in the speeded recognition data, where accuracy declined for both nap and wake groups from the immediate to the delayed tests and RT was highly variable and produced no significant main effects or interactions. Nevertheless, the child data align with the adult data (where performance was matched at pre-test), such that (i) there was no evidence of nap-based strengthening of new word knowledge (i.e., we observed *maintenance* in performance rather than gains) and (ii) there was no evidence for lexical integration (owing to the absence of lexical competition at any test). While naps have previously been found to actively consolidate declarative memories outside of the domain of word learning in children and adults (e.g., Cairney et al., 2018; Farhadian et al., 2021; Kurdziel et al., 2013; Lokhandwala & Spencer, 2021; Tucker & Fishbein, 2008), the findings from Experiment 2, along with Experiment 1, point to a protective role of naps for explicit memory of new words, rather than naps facilitating an active systems consolidation process. Aligning with

<sup>3</sup> Given there were age group differences in pre-test performance (with children performing worse overall than adults), the over-nap change scores for all measures were also calculated as ratios of pre-test performance (i.e., dividing the change score by pre-test score for each participant). However, the results remained the same (i.e., cued recall mean change for adults (–.13, SD = .37) was significantly lower than for children (.11, SD = .54),  $t = -2.03$ ,  $p = .047$ ).

**Table 8 – Comparison of sleep parameters between children and adults.**

Sleep parameter	Adults	Children	t	p
	Mean (SD)	Mean (SD)		
TST (minutes)	70.20 (16.52)	57.01 (23.03)	2.68	.009
TST range (minutes)	13–87	14–89.5	-	-
Wake after lights off (minutes)	19.80 (16.52)	32.97 (23.03)	-2.68	.009
SE (%)	78.00 (18.35)	63.35 (25.59)	2.68	.009
N1 (%)	10.06 (6.92)	8.65 (7.18)	.82	.414
N2 (%)	51.34 (17.63)	39.86 (14.52)	2.94	.004
SWS (%)	25.65 (17.33)	49.62 (16.91)	-5.77	<.001
REM sleep (%)	12.96 (11.21)	1.87 (4.34)	5.49	<.001
Fast spindle density (raw)	3.44 (1.75)	1.49 (1.12)	5.52	<.001
Slow spindle density (raw)	8.99 (3.94)	5.74 (3.55)	3.58	<.01
Fast sigma power (raw)	.04 (.05)	.01 (.01)	3.65	<.01
Slow sigma power (raw)	.04 (.03)	.02 (.03)	1.64	.106
Delta power (raw)	.92 (.10)	.95 (.07)	1.28	.204

Note. TST = total sleep time, SE = sleep efficiency (calculated as (sleep minutes/90 min (i.e., time in bed with lights off)) \* 100), SWS = slow wave sleep, REM = rapid eye movement. Wake minutes calculated from lights off (i.e., 90 (time in bed with lights off) - sleep minutes). Out of the 32 adults in the sample 28 showed at least some SWS, 25 showed REM, and 23 showed both. For the 36 children, 36 showed SWS, 7 showed REM and 7 showed both.

this, and similar to adults, spindle or SWS parameters were not positively associated with nap-based improvements in memory or lexical integration.

#### 3.4.2. Comparison between adults and children

Adults napped for longer than children and they spent more time in N2 and REM sleep. Spindle density (both fast and slow) and fast sigma power were also higher in adults than in children, in keeping with previous findings that developmental changes in sleep spindle characteristics are linked to the maturation of thalamo-cortical circuits (e.g., Goldstone et al., 2019; Hahn et al., 2019; Hahn, Heib, Schabus, Hoedmoser, & Helfrich, 2020; Zhang, Campbell, Dhayagude, Espino, & Feinberg, 2021). Also in keeping with previous findings from nocturnal sleep studies (e.g., Wilhelm et al., 2013), children spent proportionally more time in SWS than adults.

Over-nap changes in speeded recognition accuracy or lexical competition did not significantly differ between children and adults. However, for cued recall accuracy, there was an interaction such that children showed an increase in recall across the nap, whereas adults showed a slight decrease. This

developmental group difference in over-nap recall cannot be attributed to the greater time spent in SWS shown by the children, given the absence of correlations between over-nap change and SWS in either group. Therefore, this may suggest that there is an enhanced protective benefit of naps in children relative to adults, a possibility that is elaborated further in the General Discussion.

It is important to acknowledge that children and adults differed on their pre-test cued recall performance (i.e., children were recalling ~4 out of the 16 trained items, whereas adults were recalling ~8 out of 18 trained items, on average); however, further exploratory analysis showed that there were no significant correlations between pretest cued recall accuracy and change in cued recall accuracy over the nap for either age group (children  $r = .09$ ,  $p = .58$ ; adults  $r = .06$ ,  $p = .75$ ) suggesting that group differences in pretest performance are not likely accounting for the age group differences in over-nap change here. Furthermore, the age group difference in over-nap change remained when ratio scores were compared (which controlled for pre-test performance). Similarly, as mentioned above, when considering the effect of nap versus wake on cued recall performance for the child samples alone, there was a clear group difference in performance at the pre-test (with the nap group performing better than the wake group); however, given the lack of correlations between pre-test performance and change from pre-to post-test, we think that these baseline differences are also an unlikely explanation for the nap benefit here. Nevertheless, these issues should be addressed via additional data collection and/or a revised experimental design that ensures matching at baseline, before firmer conclusions can be made.

## 4. Experiment 3: structural brain correlates of word learning in children

Experiments 1 and 2 generally lend support to theories that describe word learning as an evolving process that occurs over offline consolidation (e.g., Davis & Gaskell, 2009). However, understanding is currently lacking on the structural neural correlates of this process, particularly in childhood. As summarised earlier, DWI evidence points to three key white matter tracts that are broadly related to an array of skills related to language learning: the arcuate fasciculus (AF), left superior longitudinal fasciculus (SLF) (Romeo et al., 2018; Su et al., 2018; Urger et al., 2015), and the uncinate fasciculus (UF) (Mabbott et al., 2009; see also Lebel, Benischek, et al., 2019). The SLF and AF play a well-established role in speech and language processing, connecting the frontal cortex to the occipital, parietal and temporal cortices in the left and right

**Table 9 – Comparison of over-nap changes in word learning data between children and adults.**

Variable	Adults	Children	t	p
	Mean (SD)	Mean (SD)		
Over-nap change in cued recall accuracy (% correct)	-3.30 (10.45)	2.78 (11.90)	-2.22	.030
Over-nap change in speeded recognition accuracy (% correct)	-11.28 (13.05)	-9.03 (10.18)	-.80	.427
Over-nap change in speeded recognition RT (ms)	-10.42 (167.22)	-23.19 (185.39)	.30	.768
Over-nap change in lexical competition (ms)	-30.42 (128.29)	10.08 (124.64)	-1.31	.195

hemispheres (Catani & Thiebaut de Schotten, 2008). The UF is the major white matter tract connecting frontal regions with the temporal pole, anterior temporal cortex, parahippocampal gyrus and amygdala (Von Der Heide et al., 2013), with connectivity between these regions important for declarative memory (Schmahmann et al., 2007; Squire & Zola-Morgan, 1991) and semantic processing (Holland & Lambon Ralph, 2010; Papagno et al., 2011). However, studies are yet to examine correlations between the structural integrity of these tracts and the learning and retention of new words in children.

In Experiment 3, the children who took part in Experiment 2 were invited to undergo a DWI/MRI scan, permitting an exploratory investigation of the associations between fractional anisotropy (FA) in the three tracts previously broadly linked to language learning (i.e., AF, SLF, UF) and novel word learning. FA is the most commonly used measurement in DWI studies, and typically ranges from a score of 0 (indicative of isotropic movement of water molecules) to 1 (reflecting directive or anisotropic movement of water molecules, as occurs in fibre bundles such as the corpus callosum), with higher FA believed to reflect better white matter integrity. Crucially, associations with FA were examined with children's memory of the new words (i.e., recall and recognition tasks) taken immediately after training (with children from both the wake and sleep groups combined) and also with over-nap change scores on the recall task (with children from the sleep group only) to examine neuroanatomical markers of the immediate consequences of word learning and changes over a nap interval. The recall task was selected for correlations with over-nap change, given this measure was shown at a group level to benefit from a nap (relative to wake) in Experiment 2.

#### 4.1. MRI acquisition and analysis

##### 4.1.1. Participants and scanning protocol

Participants were scanned on a separate day after the word learning procedure (nap group: range = 1 - 51 days later,  $M = 16.79$  days later; wake group: range = 4 - 27 days later,  $M = 14.77$  days later). Before the MRI session, participants were familiarised with the scanning procedure and environment. Participants were first shown images of the scanner, during which one of the experimenters explained the procedure. Next, they practised laying still in a mock scanner while listening to the different scanning sounds (no scan, T1 and DWI) through headphones. Diffusion-weighted images and structural data were acquired using a Siemens Magnetom Prisma 3T Scanner with a 20-channel head coil. Structural data were acquired using a T1-weighted MPRAGE whole-brain scan (TR = 2000 msec, TE = 2.19 msec, TI = 1040 msec, flip angle = 8, FOV = 224 × 224 mm, matrix 224 × 224, voxel size = 1 mm<sup>3</sup>). Diffusion-weighted images were acquired using a spin echo planar imaging sequence with 64 diffusion encoding directions and 3 non-diffusion volumes, with the following parameters: TR = 4300 msec, TE = 105 msec, flip angle = 78, b = 900 sec/mm<sup>2</sup>, FOV 240 cm, matrix 160 × 160, 96 contiguous 1.5 mm<sup>3</sup> slices acquired with no gap, interleaved using a multiband acceleration factor of 4 (Moeller et al., 2010). A second diffusion scan was acquired to aid post processing for distortion correction. The same parameters were used as

the 64-direction scan, but only 6 directional volumes and 1 non-diffusion volume were acquired, and the phase encode direction of acquisition was reversed. During scanning participants watched a movie of their choice projected onto a screen in the bore, with sounds delivered via MR-compatible headphones. Total scan session duration was under 30 min. Nine participants from Experiment 2 were not included in the analyses for the following reasons: did not get scanned ( $n = 3$ ), did not fall asleep in the sleep laboratory ( $n = 4$ ) or excess motion during MRI scanning ( $n = 2$ ), leaving a total of  $n = 33$  in the nap group and  $n = 13$  in the wake group.

##### 4.1.2. Image processing

The FMRIB Software Library (FSL) data analysis suite (Smith et al., 2004) was used to perform distortion correction and to compute fractional anisotropy (FA, which is believed to measure white matter integrity), axial diffusivity (AD, reflecting axonal integrity) and radial diffusivity (RD, reflecting myelination) maps. TOPUP was used to estimate distortion in the diffusion images using the 64-direction diffusion dataset and the reverse phase encoded dataset. Once computed, the warp field map correction was applied to the 64-direction dataset for further analysis. Nonlinear registration was performed on all FA images in order to align them into the 1 × 1 × 1 mm FMRIB58\_FA standard space. Next, the nonlinear transformed images were aligned into the 1 × 1 × 1 mm MNI152 standard space. The mean FA and the FA skeleton were then generated from the mean of the subjects. The mean FA skeleton was thresholded at  $FA > .2$  to select major fibre bundles only. FA, AD and RD maps were created based on data from all 46 participants, as well as for the sleep group separately.

##### 4.1.3. Regions of interest

To examine the relationship between white matter integrity and language learning, the following white matter tracts were selected as regions of interest (ROIs): arcuate fasciculus (AF), superior longitudinal fasciculus (SLF) and uncinate fasciculus (UF). Left and right hemispheres were analysed separately.

##### 4.1.4. Tract-based spatial statistics (TBSS)

FSL's randomize tool (using 5000 permutations) (Smith et al., 2006) was used to carry out voxelwise statistical analyses of FA, AD and RD data in above specified ROIs. Results were corrected for multiple comparisons using threshold-free cluster enhancement (TFCE; Smith & Nichols, 2009), which then allowed for estimation of cluster sizes corrected for family-wise error (FWE;  $p < .05$ ).

To identify if the ROIs were involved in language learning in the current sample, FA, AD and RD measures in all participants were correlated with the following variables from the pre-interval test session of the word learning task: cued recall accuracy score, speeded recognition accuracy score and speeded recognition reaction time. Additionally, change scores (where positive scores reflect an increase in recall from pre-test to post-test, while negative scores reflect forgetting following the nap) were examined in the sleep group separately for variables from the word learning task in which a significant difference was found between the sleep and wake group, in this case cued recall. For the significant correlations (as indicated by the TBSS analysis) the FA, AD or RD values

were extracted for the significant voxels ( $p < .05$ ) and averaged for each participant. Next, Pearson's correlations were run between each DWI index (FA, AD or RD) and the relevant corresponding word learning variable. Only the FA analyses, which was the primary measure of interest, are reported here. Analyses of AD and RD, as well as analyses with sleep variables, can be found on the OSF (<https://osf.io/wpd8v/>).

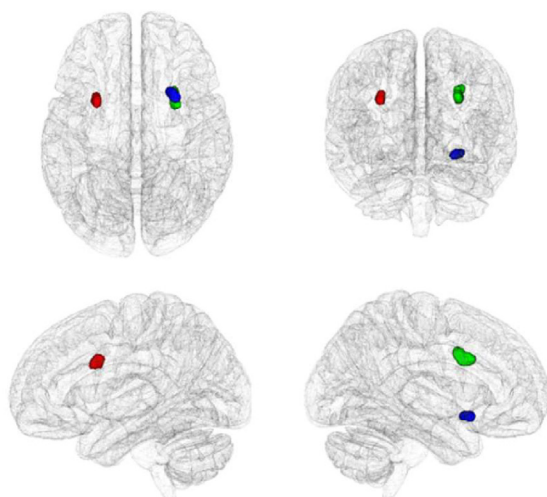
## 4.2. Results

### 4.2.1. Relationship between FA and word learning measures on the pre-interval test session

Correlations were run between FA in the ROIs and word learning measures at the pre-interval test session, to index white-matter correlates of the immediate consequences of word learning. No significant correlations were found between pre-test cued recall scores and FA in any of the ROIs. Pre-test speeded recognition accuracy scores and RT correlated positively with FA in the bilateral AF (accuracy left AF:  $r = .414$ ,  $p = .004$ , accuracy right AF:  $r = .440$ ,  $p = .002$ ; RT left AF:  $r = .514$ ,  $p < .001$ ; RT right AF:  $r = .417$ ,  $p = .004$ ) and the right UF (accuracy:  $r = .528$ ,  $p < .001$ , RT:  $r = .528$ ,  $p < .001$ ) (see Figs. 9 and 10).

### 4.2.2. Relationship between FA and over-nap change in cued recall

As reported in section 3.2.1.1, there was a significant Group  $\times$  Session interaction for cued recall accuracy, driven by a decrease in accuracy between the pre-test and post-test in the wake group, but not the nap group. Therefore, change scores in cued recall accuracy (an index of off-line changes in novel word representations) were correlated with the FA measures in the nap group ( $n = 33$ ). Particularly given the exploratory nature of the analyses, correlations were not run for the wake group to the relatively small number of participants in that group ( $n = 13$ ). Cued recall accuracy change scores correlated positively with FA in the right AF ( $r = .432$ ,  $p = .012$ ), such that participants with larger increase in recall accuracy following the nap had higher FA in the right AF (see Fig. 11).



**Fig. 9** – Correlation between FA and speeded recognition accuracy score on the pre-test in the bilateral arcuate fasciculus and the right uncinatus fasciculus.

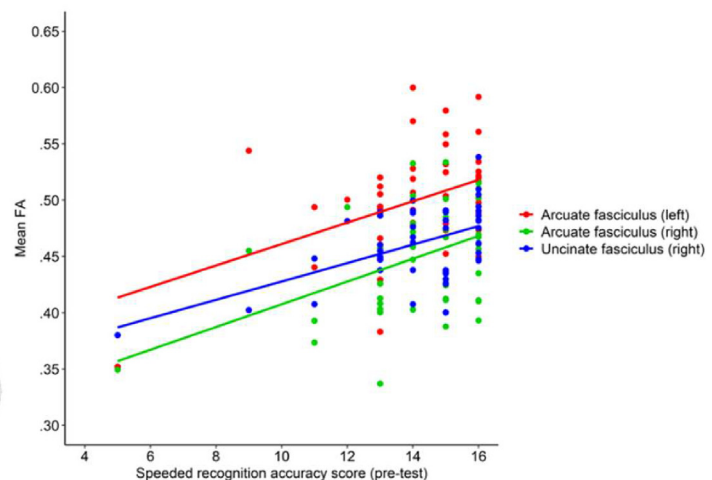
## 4.3. Discussion

Experiment 3 comprised an exploratory analysis of white matter correlates of word learning. When considering the immediate consequences of word learning, speeded recognition accuracy scores significantly correlated with FA in the bilateral AF and the right UF, such that children with higher speeded recognition accuracy had higher FA in these white matter tracts. These correlations are broadly in line with previous studies linking these tracts to various language measures (e.g., Catani & Thiebaut de Schotten, 2008; Mabbott et al., 2009; Romeo et al., 2018; Su et al., 2018; Urger et al., 2015; Von Der Heide et al., 2013). It should be noted that these correlations were coupled with positive correlations between speeded recognition response time and FA in the same tracts, most likely due to a speed-accuracy trade-off (i.e., children who responded more accurately also responded more slowly).

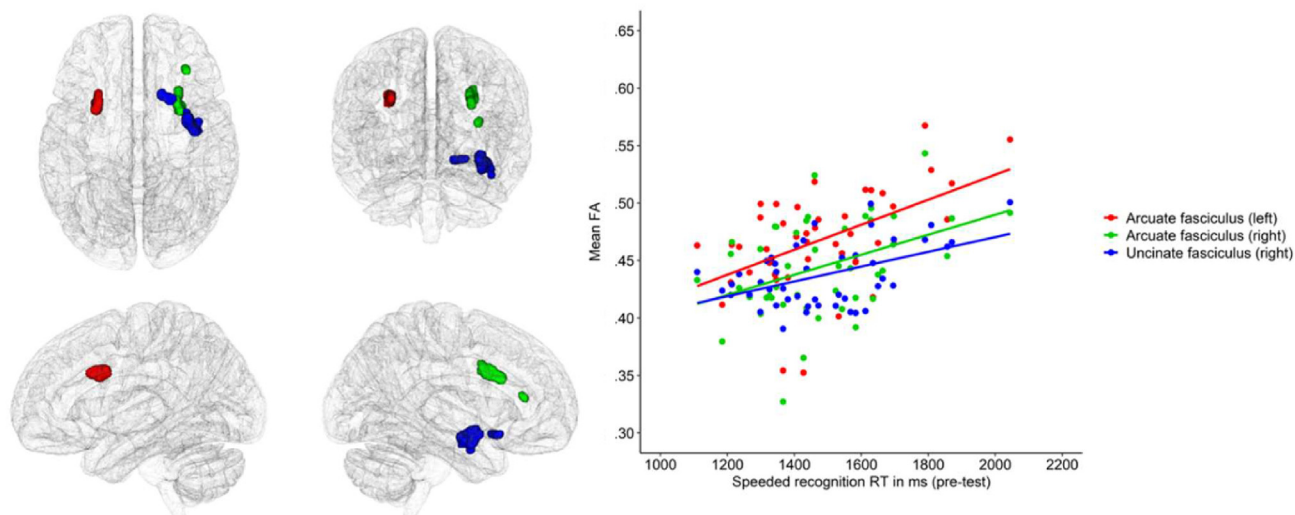
Despite observing no correlations between pre-test cued recall accuracy and FA in any of the ROIs, over-nap changes in cued recall accuracy were significantly (and positively) associated with FA in the right AF. Children with higher FA in this tract had greater increases in explicit memory of the novel words following the nap. Given that a comparable analysis of the wake group was not feasible, the behavioural changes cannot be exclusively attributed to sleep. Nevertheless, it can be concluded that offline changes in memory for novel words are associated with white matter integrity of the right AF.

## 5. General Discussion

This study examined whether daytime naps, like periods of nocturnal sleep, benefit the consolidation of novel words, as well as their integration into the lexical network. This was investigated in two age groups: young adults and children aged 10–12 years old. To examine if children's word learning benefits more from naps than adults (similar to previously reported enhanced effects of nocturnal sleep in children e.g.,







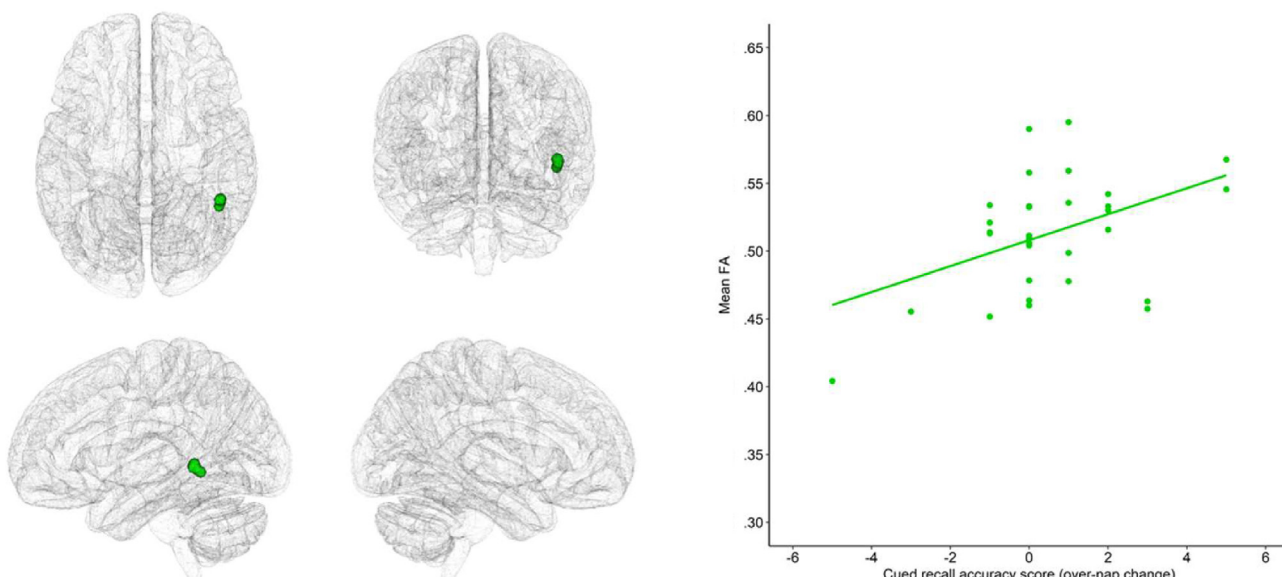
**Fig. 10 – Correlation between FA and speeded recognition reaction time on the pre-test in the bilateral arcuate fasciculus and the right uncinate fasciculus in all participants.**

see James et al., 2017 for a review), the two age groups were compared on nap sleep parameters and on change in word learning performance across the nap. Finally, an exploratory experiment conducted for the child group aimed to identify white matter correlates of word learning.

### 5.1. Daytime naps played a protective role in novel word learning

For children and adults, a 90-minute daytime nap led to the maintenance of explicit memory of novel words, as measured via a cued recall task, whereas an equivalent wake period led to forgetting. Whilst adults were also slower at recognising novel words following wake compared to sleep, there was no

effect of sleep on speeded recognition accuracy for either age group (potentially due to higher levels of initial performance leaving less room for improvement). Furthermore, neither age group demonstrated evidence of lexical integration (as indexed by lexical competition between the known and trained words) following a nap. This falls in contrast to studies examining overnight sleep and word learning in children and adults, which demonstrated sleep-associated improvements in explicit memory, as well as engagement in competition (Fletcher et al., 2020; Smith et al., 2018; Tamminen et al., 2010, 2013; Weighall et al., 2017). There were also no statistically significant correlations between over-nap changes in explicit memory or lexical integration and sleep parameters known to support systems consolidation (Born & Wilhelm, 2012;



**Fig. 11 – Correlation between FA in the right arcuate fasciculus and over-nap change in cued recall accuracy in the sleep group.**

Diekelmann & Born, 2010; Rasch & Born, 2013). Notably, previous studies of both children and adults, using similar novel word learning paradigms, have found improvements in recall of novel words following a full night of sleep, evidence of lexical integration, and associations between these changes and sleep spindle parameters (Henderson et al., 2012; Smith et al., 2018; Tamminen et al., 2010). Thus, while the current results support a protective effect of daytime naps relative to wake for explicit memory in children and adults, there was no evidence that the naps played an active role in strengthening and integrating newly learned words. Possibly then, the 90-minute naps experienced here were beneficial for maintaining new memories of novel words, perhaps through guarding against forgetting that might occur during wake as a consequence of interference (Wixted, 2004), but not for supporting active systems consolidation, at least at the age groups of focus and when daytime naps are not habitual (consistent with Tamminen et al., 2017). This account explains why retention of information after a nap was still superior to wake and why the nap did not promote strengthening or integration of the new words.

One possibility is that sleep parameters need to reach a particular threshold before active systems consolidation is observed. Consistent with this, Piosczyk et al. (2013) found that a daytime nap promoted memory consolidation of word-pairs in 16-year-old females, but only when the nap was characterised by high sigma power. Another explanation for why effects that emerge across nocturnal sleep were not seen here, is because levels of REM sleep were low. Our focus here was on ascertaining whether the previously reported correlations between changes in word learning over nocturnal sleep and SWS and spindle parameters would be observed over a nap here. However, it has also been shown that vocabulary learning might benefit from REM sleep that occurs after SWS during an afternoon nap (Batterink, Westerberg, & Paller, 2017). During nocturnal sleep, SWS and REM sleep alternate cyclically, with SWS naturally followed by REM sleep (Rasch & Born, 2013). Various theoretical models have proposed that SWS and REM play complementary roles in memory consolidation. Active systems accounts argue that whilst systems consolidation occurs during SWS (repeatedly activating newly encoded memories and driving the integration of new memories into the network of pre-existing long-term memories), REM sleep acts to stabilize the transformed memories by enabling undisturbed synaptic consolidation (Diekelmann & Born, 2010). According to the sequential hypothesis (e.g., Ambrosini & Giuditta, 2001), SWS works to eliminate non-adaptive memory traces, with subsequent REM sleep strengthening the remaining traces. Walker and Stickgold (2010) further postulate that REM sleep plays an integrative function, forming rich associative links between new and existing knowledge. These theories are supported by nap studies, for instance Mednick, Nakayama, and Stickgold (2003) found that only naps containing REM improved performance on a visual discrimination task, with naps without REM leading to maintained performance. Thus, nocturnal sleep, rich with SWS and REM sleep, might therefore lead to more opportunities for spontaneous reactivation of newly learned words. For example, a recent study demonstrated that toddlers that had both a daytime nap and night time sleep

benefitted the most for generalisation of novel categories, compared to those that did not nap or were tested 4-hrs after the nap (Werchan, Kim, & Gomez, 2021).

This raises an important point: Post-training naps may be particularly powerful for enhancing longer-term retention when they are combined with nocturnal sleep. It has been shown that when 6-7-year-olds napped soon after mirror discrimination training this led to significantly after gains in reading fluency than compared to not napping after training, even when performance was tested after nocturnal sleep (Torres et al., 2021). These results support the claim that the delay between training and sleep onset is a critical factor, particularly in younger learners (e.g., Backhaus et al., 2008; James et al., 2020; Walker et al., 2020), and crucially, that the protective effects of a nap may work in combination with nocturnal sleep, as a useful memory enhancer.

## 5.2. Developmental differences in nap architecture and over-nap change in word learning

Despite adults napping for longer than children and spending more time in N2 and REM sleep, children exhibited significantly (and proportionally) more SWS. This is consistent with previous reports of greater nocturnal SWS in children in this age range compared to adults (e.g., Wilhelm et al., 2013). Spindle density (both fast and slow) and sigma power (fast only) were also higher in adults than in children. Higher sigma power in adults aligns with previous findings demonstrating that sigma frequency increases linearly with age (Zhang et al., 2021) and plateaus in adulthood (Purcell et al., 2017). Spindle density, on the other hand, increases from childhood to adolescence, peaks at age 15 (Zhang et al., 2021), and then declines from middle to late adulthood (Purcell et al., 2017). Given that the children tested in the current study were 10-12 years old, it is likely that they had not yet reached peak spindle density, which could explain the higher spindle density found in the young adults. Overall then, the comparison between adult and child nap architecture is in line with previous studies of nocturnal sleep (Wilhelm et al., 2013; Zhang et al., 2021).

Behaviourally, children showed a small increase in cued recall accuracy across the nap, while accuracy decreased slightly on this task in adults. This difference in over-nap change in cued recall between the two age groups was significant, albeit small numerically. Whilst children spent more time in SWS than adults, this group difference cannot be simply attributed to increased opportunities for spontaneous reactivation of the new memories during SWS in children, given that there were no correlations between over-nap change in cued recall and time in SWS or delta power in either group. It is possible that the children's levels of alertness benefited more from the nap than adults, accounting for their better post-nap performance for cued recall. However, as children were slower on the post-nap PVT than adults (but had similar major lapses),<sup>4</sup> we think this to be an unlikely explanation.

The enhanced protective role of naps for children relative to adults (coupled with no correlations with SWS or spindle parameters) raises the possibility that previously reported

<sup>4</sup> RT:  $t(66) = -8.25, p = .009$ ; major lapses:  $t(66) = -1.26, p = .214$ .

enhanced benefits of consolidation over nocturnal sleep in children might not be solely due to extra amounts of SWS. It has been claimed that reactivation of newly acquired memories can occur without sleep, during periods of wakeful rest, and memory benefits associated with wakeful rest have been attributed to the absence of interfering information (Dewar et al., 2012, 2014; Mednick, Cai, Shuman, Anagnostaras, & Wixted, 2011; Wixted, 2004). Studies have demonstrated benefits of wakeful rest for word-pair recall in children (e.g., Martini, Martini, & Sachse, 2021), and thus one potential explanation for the present results is that children may benefit more from wakeful rest than adults; however, this remains an open question since no studies to our knowledge have directly addressed whether children show greater effects of wakeful rest relative to adults. It also remains unclear whether the present findings could be explained by developmental differences in susceptibility to proactive or retroactive interference (i.e., such that children capitalised from the lack of interference more than the adults). Preliminary findings suggest that retroactive interference effects remain robust across development but that adults can be more resilient to the effects of retroactive interference than children (e.g., Darby & Sloutsky, 2013; Fatania & Mercer, 2017), however, the literature is sparse on whether there are developmental differences in proactive or retroactive interference in the context of novel word learning. For example, if children are at increased risk of interference when attempting to recall newly learned information, one possibility is that a nap allows the developing system to be “reset”, decreasing susceptibility to such interference and enhancing performance. However, this remains highly speculative. Aside from having pedagogical value, addressing these questions will be theoretically important in deepening our understanding of the various routes by which newly learned words become established in long-term language networks.

### 5.3. Structural brain correlates of word learning in children

The final contribution of this study was to explore the structural neuroanatomical correlates of the word learning process, both immediately after learning and short-term changes that occurred over a nap opportunity. Faster speeded recognition of novel words immediately after learning was associated with higher fractional anisotropy in the bilateral AF and the right UF. This suggests that children with higher white matter integrity in these brain regions are also better at recognising new words immediately after training. These results align with previous research on various aspects of language use and language learning (Farah et al., 2020; Lebel, Benischek, et al., 2019; Mabbott et al., 2009; Romeo et al., 2018; Su et al., 2018), solidifying the importance of these tracts as part of the developing language learning network. Previous studies have linked the integrity of these tracts to a broad range of language measures in children (e.g., expressive language ability, Farah et al., 2020; auditory-verbal memory, Mabbott et al., 2009; conversational experience, Romeo et al., 2018; rate of vocabulary growth, Su et al., 2018); however, the present results importantly add to this existing literature by demonstrating a role for the AF and UF in recognising novel

words, pointing to an underlying learning mechanism for the speeded recognition of new word forms.

Another finding of note here was although cued recall accuracy at immediate testing was not related to any of the ROIs, over-nap change in recall accuracy was positively associated with FA in the right AF. This finding supports the role of the right AF in the retention of novel words, as opposed to initial encoding processes. The fact that these correlations emerged in the right hemisphere is perhaps surprising in the context of the commonly reported left lateralization of the language network (e.g., Romeo et al., 2018; Sreedharan et al., 2015; Su et al., 2018; Urger et al., 2015). However, there have been other studies demonstrating associations with language learning in the right hemisphere (e.g., Farah et al., 2020; Romeo et al., 2018; Sreedharan et al., 2015; Su et al., 2018). Takashima et al. (2019) found that when processing newly learned words, young children (8–10 years old) had greater right lateralized activation in the inferior frontal gyrus (IFG), while older children (14–16 years old) had greater activation in the left IFG. This is in line with studies demonstrating a shift from right to left lateralization as a result of cognitive maturation, as well as increased lexical knowledge or familiarity (Sugiura et al., 2011; Szafarski et al., 2006; Takashima et al., 2019). Possibly, as children get older and their lexical knowledge increases, a similar shift to left lateralised structural correlates of word learning would be observed.

In sum, models of word learning distinguish between processes that help us to quickly acquire new words from the environment and processes that support their long-term consolidation into existing vocabulary, suggesting that variability might emerge at multiple stages of new word acquisition (see James et al., 2017). Here, these models are expanded by identifying structural neuroanatomical correlates of the word learning process, both immediately after learning and over a nap, importantly identifying different neuroanatomical correlates at each point. Such correlates will have use for future studies which track the time course of word learning over longer time periods, including over cumulative periods of sleep. In adults, white matter integrity has been shown to be associated with offline gains in motor memory, with nocturnal sleep spindle density mediating this relationship (Mander et al., 2017; Vien et al., 2019). Therefore, the identification of white matter correlates of both the immediate consequences of word learning and changes over a nap in children informs future examinations of whether these white matter correlates dictate the functional influence of sleep spindles on the consolidation of new words.

### 5.4. Limitations and conclusions

There are a number of limitations that need to be considered when interpreting the present results. Although the sample sizes used here were comparable to previous studies reporting associations between word learning and sleep in children and adults (e.g., Smith et al., 2018; Tamminen et al., 2010) the sample sizes were nevertheless small, which may have contributed to the weak correlations. Notably, the sample size was particularly small ( $n = 15$ ) in the children's wake group (owing to data collection ceasing during the COVID-19 pandemic), and whilst this does not influence the cross-

development differences or the correlations between over-nap changes in performance and white matter integrity (which were based on the nap groups only;  $n = 32$  adults and  $n = 36$  children), it will be important to replicate the sleep-wake differences in the children. Furthermore, the adult and child studies utilised within- and between-subjects designs respectively, limiting the extent to which the datasets can be directly compared. To address this limitation (and the age group/between-subject condition differences in pre-test performance discussed above) future studies should adopt a common experimental design across age groups and match pre-test performance.

To conclude, under the conditions of the present study, daytime naps maintained explicit memory of novel words in children and adults, supporting a protective effect of naps for memory. Unlike previously reported benefits of nocturnal sleep, there was no evidence that naps benefitted lexical integration or initiated active systems consolidation of new word representations. Of course, naps may be able to promote active systems consolidation under different circumstances (e.g., when naps are longer, and/or contain more REM sleep). Consistent with previously observed age-related differences in nocturnal sleep, children had more SWS during the nap than adults, while adults had higher spindle density and fast sigma power. Children showed a slight over-nap increase in cued recall whereas recall declined over the nap slightly for adults, but these changes did not correlate with any sleep parameters. Therefore, rather than this enhanced behavioural effect in children being purely driven by SWS, children may also benefit more from an offline period that is free from further incoming information than adults. Finally, this study provides preliminary insight into the white matter structures that support the immediate and longer-term consequences of word learning in children, identifying structural integrity of the bilateral AF and the right UF as two key tracts, and opening up future lines of research that delve deeper into the role of these tracts in support the word learning process. Together, these findings demonstrate how models of word learning and sleep-associated memory consolidation can be advanced via the lenses of developmental and neuroimaging data.

### Credit author statement

All authors contributed to the design and inception of the study, including contributing to the pre-registration documents. LH and GG were the Principle Investigators on this project. EVR, SW, VK were involved in stimuli and task preparation, programming and data collection. EVR led on data preparation and analysis, with assistance from AG for the DWI analysis. EVR and LH took lead roles in writing and redrafting, with all members of the team providing comments. LH took a lead role in responding to revisions, with significant contributions from EVR.

### Open practices

The study in this article earned Open Data, Open Materials and Preregistered badges for transparent practices. Materials

and data for the study are available at Study 1: <https://osf.io/ehw5z/>. Study 2: <https://osf.io/wpd8v/>

### Data availability

The data associated with this manuscript are provided on the OSF with links in the relevant Results sections.

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### Supplementary data

Supplementary data to this article can be found online at <https://doi.org/10.1016/j.cortex.2022.09.016>.

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