1	Fabrication and Characterization of Complex Coacervates Utilizing Gelatin and
2	Carboxymethyl Starch
3	Yiling Zhang <sup>a,1</sup> , Shumin Xie <sup>a.1</sup> , Weijuan Huang <sup>a</sup> , Lei Zhan <sup>a</sup> , Yingwei Huang <sup>a</sup> , Pei Chen <sup>a,*</sup> , Fengwei Xie
4	b
5	<sup>a</sup> College of Food Science, South China Agricultural University, Guangzhou, Guangdong 510642,
6	China
7	<sup>b</sup> School of Engineering, Newcastle University, Newcastle upon Tyne, NE1 7RU, United Kingdom
8	
9	* Correspondence to: P. Chen, College of Food Science, South China Agricultural University,
10	Guangzhou, Guangdong 510642, China.
11	Email addresses: peichen@scau.edu.cn (P. Chen)
12	

13 <sup>1</sup>Yiling Zhang and Shumin Xie should be considered joint first authors.

#### 14 Abstract

Background: In comparison to native polysaccharides, modified polysaccharides have greatly 15 16 expanded applications due to their improved compatibility and interactions with proteins and active 17 compounds in the realm of food-related areas. Nonetheless, there is a noticeable dearth of research 18 concerning the utilization of carboxymethyl starch (CMS) as a microcapsule wall material in food 19 processing despite its common use in pharmaceutical delivery. Therefore, the development of an 20 economical and safe embedding carrier using CMS and gelatin (GE) holds immense importance within the food processing industry. In this work, the potential of innovative coacervates formed by the 21 22 combination of GE and CMS as a reliable, stable, and biodegradable embedding carrier was evaluated 23 by turbidity measurements, thermogravimetric analysis (TGA), X-ray diffraction (XRD), Fourier-24 transform infrared (FT-IR) spectroscopy, and rheological measurements. Results: The results indicate 25 that GE-CMS coacervates primarily resulted from electrostatic interactions and hydrogen bonding. The optimal coacervation was observed at pH 4.6 and a GE/CMS blend ratio of 3:1 (w/w). However, 26 27 the addition of NaCl reduced coacervation and made it less sensitive to temperature changes (35–55°C). 28 Compared to individual GE or CMS, the coacervates exhibited higher thermal stability, as shown by 29 TGA. Importantly, XRD analysis shows that the GE-CMS coacervates maintained an amorphous 30 structure. Rheological testing reveals that the GE-CMS coacervates exhibited shear-thinning behavior 31 and gel-like properties. Conclusion: Overall, attaining electroneutrality in the mixture boosts the 32 formation of a denser structure and enhances rheological properties, leading to promising applications in food, biomaterials, cosmetics, and pharmaceutical products. 33

34 *Keywords*: Gelatin carboxymethyl starch coacervates; Natural Polymers; Microcapsule wall material;

35 Polyelectrolyte complexation; Food processing; Active compounds delivery

## 36 **1. Introduction**

Proteins and polysaccharides, natural compounds extensively used in the food industry<sup>1</sup>, have a 37 38 natural tendency to interact due to their amphiphilic characteristics. When these two biopolymers come into contact, they can exhibit varying degrees of interaction. Typically, thermodynamic compatibility 39 (attraction) and/or incompatibility (repulsion) emerge between biopolymers and solvents<sup>2</sup>. 40 41 Thermodynamic incompatible interactions mainly occur at high ionic strength and concentration levels, 42 and therefore, extensive attention has been directed towards achieving thermodynamic compatibility, characterized by low ionic strength and low concentration, resulting in the formation of soluble 43 complexes or condensates  $^{3}$ . 44

Studies have shown that protein-polysaccharide complexes are formed under different conditions, including pH, ionic strength, temperature, the concentration of biopolymers, and the molecular characteristics of these biopolymers, like charge density and molecular weight  $(M_w)^{4,5}$ . Moreover, the polymers formed by protein and polysaccharides offer many advantages, such as high load and stability. The complexes can serve as effective delivery systems to protect bioactive compounds, such as essential oils <sup>6</sup>, vitamins <sup>7</sup>, and probiotics <sup>8</sup>.

Starch is widely recognized as a highly promising material for the development of novel carriers, owing to its advantages like binding properties, cost-effectiveness, and widespread availability <sup>9</sup>. Despite its remarkable features, starches still have some shortcomings, such as limited solubility in cold water, which restricts its application in food delivery. In recent years, researchers have expanded the range of wall materials by using modified polysaccharides to enhance compatibility and promote interactions with proteins and active compounds, in addition to employing native polysaccharides <sup>10</sup>. Chemical modification of starch offers an excellent avenue to create new starch derivatives with 58 enhanced properties. Carboxymethyl starch (CMS), a frequently investigated modified starch, is a negatively charged polysaccharide with a wide range of promising applications owing to its pH 59 stability, cold water solubility, renewability, and biodegradability<sup>11</sup>. CMS, a negatively charged ether 60 derivative, can effectively retain hydrophilic or hydrophobic core materials within the carrier cavity 61 through electrostatic interactions and hydrogen bonding. Previous investigations have demonstrated 62 63 its potential in the oral delivery of bioactive compounds through techniques like chemical crosslinking <sup>12</sup>. CMS has been used to fabricate innovative delivery systems covalently crosslinked with chitosan 64 hydrochloride <sup>13</sup> and polyelectrolyte complex (PEC) microparticles with chitosan for targeted drug 65 delivery <sup>14</sup>. 66

67 Gelatin (GE) is a protein obtained through the partial hydrolysis of collagen. It can be enzymatically degraded into various amino acids, resulting in the absence of harmful by-products. 68 69 Gelatin holds a "generally recognized safe" (GRAS) status conferred by the US Food and Drug Administration (FDA) thanks to its non-toxic, non-carcinogenic, biodegradable, and environmentally 70 71 friendly nature <sup>15</sup>. Notably, gelatin is an amphoteric protein whose molecules contain 13% positively 72 charged amino acids (arginine and lysine) and 12% negatively charged amino acids (aspartate and 73 glutamate) <sup>16</sup>. This gives gelatin a net positive charge when the pH is below its isoelectric point (pI). Gelatin-based electrostatic complexes remain the preferred choice for carriers in commercial 74 75 applications, attributed to their excellent emulsifying capabilities, high stabilizing activity, water solubility, and significant crosslinking potential through their primary amino groups. 76

Numerous studies have explored the coacervation of gelatin with various biomacromolecules,
including sodium carboxymethyl cellulose (CMC) <sup>17</sup>, sodium alginate <sup>18</sup>, and Persian gum <sup>19</sup>. However,
while there has been extensive research on carboxymethyl starch as a pharmaceutical delivery carrier

material recently <sup>20-22</sup>, there is a limited body of work on its application as a wall material for 80 microcapsules in food processing. Therefore, this study established a complex coacervation system of 81 82 CMS and GE to investigate the interaction mechanism between them as new wall materials. This offers valuable theoretical insights for the application of the new wall material system in the encapsulation 83 84 of active compounds, particularly in the food and related industries. The influence of pH, temperature, 85 total biopolymer concentration, ionic strength, and GE/CMS blend ratio (R<sub>GE/CMS</sub>) on the interaction of GE-CMS mixtures was investigated through turbidity analysis. Besides, various analytical 86 techniques were employed to characterize the physicochemical properties of GE-CMS complexes, 87 88 including Fourier transform infrared (FT-IR) spectroscopy, X-ray diffractometry (XRD), and 89 thermogravimetric analysis (TGA). Furthermore, the rheological properties of GE-CMS complexes were also measured. It is believed that this study will provide helpful information to enhance the 90 91 application of starch-based delivery systems through electrostatic interactions.

## 92 **2. Materials and methods**

## 93 2.1. Materials

Maize starch, containing 12.8% moisture and 27.5% amylose, was sourced from National Starch Pty Ltd. Gelatin (GE, type B, Bloom 200) was supplied by Boyang Biotechnology Co., Ltd. Sodium chloroacetate was purchased from Shanghai McLin Biochemical Technology Co., Ltd. All other chemicals used were of analytical grade.

## 98 **2.2. Preparation of carboxymethyl starch (CMS)**

To prepare CMS, firstly, 8 g regular maize starch (RMS) and 60 mL of 80% (v/v) ethanol were added in a three-necked flask under continuous stirring, followed by a slow addition of 3.61 g sodium

101 hydroxide. The reaction was maintained at 30 °C for 60 min. Then, 12.6 g of sodium chloroacetate

was introduced, and the mixture was stirred at 40 °C for 3 h. After completing the reaction, any unreacted sodium hydroxide was neutralized using glacial acetic acid. The resulting mixture was filtered, and the slurry was washed with an 80% ethanol aqueous solution until no chloride ions were detected (confirmed with 0.2 M AgNO<sub>3</sub>). The resulting precipitate was dried in an oven at 40 °C for 24 h and subsequently ground into a fine powder, passing through a 100-mesh sieve. The degree of substitution (DS) of the prepared CMS was 0.76.

108 **2.3. Preparation of biopolymer solution** 

A certain quantity of gelatin was dissolved in deionized water and allowed to soak for 2 h to achieve full swell. Then, it was heated at 60 °C for 30 min. The CMS solution was stirred using magnetic force at room temperature for 4 h and then refrigerated overnight at 4 °C to ensure complete hydration.

## 113 **2.4.** ζ-Potential measurement

A zetasizer (Nano-ZS, Malvern Instruments Ltd, Malvern, Worcestershire, UK) was used to
measure the ζ-potentials of samples at a concentration of 0.1% (w/v) under different pH conditions.

116 **2.5. Turbidity measurement** 

Turbidity measurements were utilized to study the GE-CMS interaction behavior. The pH of the solutions was adjusted using acetic acid (10%, v/v) through an injector. The mass mixing ratio ( $R_{GE/CMS}$ ) was varied from 1:1 to 5:1 at a total concentration of 0.1% (w/v). The total concentration in this study ranged from 0.05% to 0.1% at a constant  $R_{GE/CMS}$  of 3:1. Temperature was varied in the range of 35– 50 °C, and ionic strength was adjusted from 0 to 10 mM. Turbidity analysis was performed using a UV-vis spectrophotometer (UV-3802, Unico Instruments Corporation, Shanghai, China) at 600 nm. Deionized water was used as a blank for all tests.

## 124 **2.6. FT-IR spectroscopy**

The FT-IR spectra of RMS, GE, CMS, and GE-CMS complex coacervates were acquired using
FT-IR spectroscopy (VERTEX 70, BRUKER, Germany). Each sample was mixed with KBr powder.
The frequency range was set from 4000 to 400 cm<sup>-1</sup> with a resolution of 4 cm<sup>-1</sup>.

## 128 **2.7. X-ray diffraction (XRD)**

The powder was characterized using a Rigaku Ultima IV X-ray diffractometer operating at 40 kV and 40 mA. Diffractograms were collected in reflection mode within a range of 4° to 50° at a scanning speed of 2 °/min.

## 132 **2.8. Thermogravimetric analysis (TGA)**

The thermal stability of GE, CMS, and GE-CMS coacervates was determined using a TGA instrument (TG 209 F1 Libra, German). The parameters for the analysis were as follows: The temperature range was set from 35 °C to 500 °C with a heating rate of 20 °C/min, and a nitrogen flow rate of 20 mL/min was maintained.

## 137 **2.9. Rheological measurement**

Dynamic rheological properties of GE-CMS complexes were assessed using a HAAKE MARS 60 rheometer (Thermo Fisher Scientific, USA). The GE-CMS coacervates were loaded onto the plate and allowed to equilibrate for 3 min. Strain sweep tests were first carried out to determine the linear viscoelastic region of the samples, and a constant strain value of 1% was selected for the subsequent frequency sweep tests. Dynamic viscoelastic properties of GE-CMS coacervates were measured over a frequency range from 0.1 to 10 Hz. Viscosity was measured using a steady shear rate sweep, and the temperature was set at 25°C.

#### 145 **2.10. Statistical analysis**

The experimental data were presented as the mean  $\pm$  standard deviation (SD) based on at least triplicate measurements. Statistical analysis was performed using Origin 2021 and SPSS 26.0 software. Duncan's HSD test (p < 0.05) was used to determine significant differences.

#### 149 **3. Results and discussions**

## 150 **3.1. Effect of pH on the** ζ-potential of gelatin and carboxymethyl starch

151 The pH plays a crucial role in complex coacervation, impacting the charge interactions between proteins and polysaccharides  $^{23}$ . Fig. 1 illustrates the pH-dependent  $\zeta$ -potential of 0.1% GE and CMS. 152 CMS showed a negative zeta potential, decreasing from -15 to -39 mV as the pH increased due to 153 154 carboxylic group deprotonation. In contrast, GE showed a decreasing trend as pH gradually increased, shifting from positive to negative at pH 5.25, which is the pI of gelatin. At pH < pI (5.25), gelatin 155 156 becomes positively charged due to the protonation of amino groups and the neutralization of carboxylate groups. These measurements show that GE and CMS carry opposite charges within the 157 158 pH range of 5.25 to 3, suggesting potential electrostatic complexation between these biopolymers in 159 this pH range.

160

## 3.2. Turbidity measurement: Factors influencing the complex coacervation of gelatin and carboxymethyl starch

## 163 **3.2.1 Effects of biopolymer concentration and mass ratio**

164 Turbidity measurement provides valuable insights into the coacervation progress. **Fig. 2A** shows 165 the effect of varying the total biopolymer concentration on GE-CMS complexes under different pH 166 conditions. The total biopolymer concentrations, ranging from 0.05 to 0.1% (w/w), were measured at a fixed 3:1 biopolymer mixing ratio in the absence of NaCl. The maximum turbidity values increased
as the total biopolymer concentration rose. Specifically, a higher concentration of total biopolymer in
the solution means that more positively charged protein fragments can interact with the anionic sites
on CMS chains.

Furthermore, all these curves presented a similar trend, with maximum turbidity occurring at nearly 4.6 (Fig. 2B), indicating that pH-absorbance behavior is independent of the total biopolymer concentration. These results align with previous research on casein/gum tragacanth mixtures <sup>24</sup>. Previous reports have revealed that at higher total biological concentrations, an increased presence of counter ions in the solution can effectively shield the charged sites on the surface of biological macromolecules <sup>25, 26</sup>. Therefore, the 0.1% total biopolymer concentration was selected for further investigations into the factors influencing the turbidity of GE-CMS coacervates.

178 The impact of mass ratio ( $R_{GE/CMS} = 1:1-5:1$ ) on complex coacervation was studied at a 0.1% 179 total biopolymer concentration without the addition of sodium chloride. As shown in Fig. 2C, the 180 turbidity curve shifted towards a higher pH value with an increase in the mixing ratio. With  $R_{GE/CMS} >$ 181 4, the pH of the soluble complex formed was higher than the pI of GE (Fig. 2D). As R<sub>GE/CMS</sub> decreased, the acidity of the solution gradually increased, indicating a greater requirement for GE's positive ions 182 183 to neutralize the excess negative charge (-COO<sup>-</sup>) of CMS. When the mixing ratio was 3:1, the 184 maximum turbidity was attained. Hence, it can be inferred that CMS chains became saturated and 185 adsorbed by gelatin, showing the strongest electrostatic interaction.

186 The critical pH values (the pH value at which the slope of the turbidity curve first changes, pH<sub>c</sub>; 187 the pH value corresponding to a sudden increase in the slope of the turbidity curve,  $pH_{\phi 1}$ ; and the pH 188 value corresponding to the maximum turbidity,  $pH_{opt}$ ) shifted to higher pH values as the mixing ratio increased from 1:1 to 5:1(Fig. 2D), confirming that an increased mixing ratio enhanced the formation
of GE-CMS coacervates. Actually, polysaccharides may not require a large number of positive charges
to form complexes, and their stability reached a plateau with a further increase in biopolymer mixing
ratio <sup>27</sup>.

193

### 194 **3.2.2. Effects of NaCl concentration** (*C*<sub>NaCl</sub>) and temperatures

195 Fig. 3A presents the turbidity curves of GE-CMS coacervates at different C<sub>NaCl</sub>. The maximum 196 turbidity was observed at pH 4.6 in the absence of NaCl. However, as C<sub>NaCl</sub> increased, the turbidity decreased, consistent with findings on ovalbumin and propylene glycol alginate coacervates <sup>28</sup>. The 197 198 consistent reduction in the turbidity with increasing  $C_{\text{NaCl}}$  can be explained by a competition 199 mechanism. The addition of NaCl introduced Na<sup>+</sup> ions to compete with the positively charged sites of 200 GE for binding with CMS, while Cl<sup>-</sup> ions competed with the negatively charged sites of CMS to bind 201 to GE. As a result, the binding affinity between gelatin and carboxymethyl starch gradually diminished. 202 A shift toward lower pH in critical pH was observed with increasing  $C_{\text{NaCl}}$  (Fig. 3B), indicating a 203 lower degree of electrostatic attraction and a greater need for acidity for complex coacervation. 204 However,  $pH_{02}$ , the pH value corresponding to the stable slope of the turbidity curve, shifted to higher 205 pH values, and the range between  $pH_{\omega 1}$  and  $pH_{\omega 2}$  for biopolymer interactions reduced. Another study 206 suggested that the reduction in pH range between  $pH_{01}$  and  $pH_{02}$  was attributed to ion screening of the protein-polysaccharide charges <sup>29</sup>. 207

The relationship between turbidity and pH was also examined at critical temperatures (35–55°C). As shown in **Fig. 3C**, the turbidity of the GE-CMS complexes increased as the temperature reached

 $210 \quad 40$  °C, possibly due to the higher solubility of gelatin. This leads to the exposure of more hydrophobic

211 groups in the aqueous solution, facilitating the participation of phenolic hydroxyl groups in the reaction. 212 However, as the temperature continued to rise, the turbidity values decreased, and critical pH values 213 shifted to lower pH values (**Fig. 3D**), potentially related to a decrease in hydrogen bonding <sup>30</sup>. Liu et 214 al. <sup>31</sup> also observed a correlation between critical pH values and temperature, which decreased with 215 increasing temperature. Changes in temperature had a minimal effect on the turbidity curve of the GE-216 CMS complexes, indicating that hydrophobic interaction is not the primary driving force behind the 217 GE-CMS complexes.

218

# 3.3. Fourier-transform infrared (FT-IR) spectroscopy analysis of the complex coacervation of gelatin and carboxymethyl starch

Fig. 4A displays the infrared spectra of RMS, GE, CMS, and GE-CMS coacervates. Compared 221 222 to RMS, the spectrum of CMS showed a new absorption peak in the range of 1300–1600 cm<sup>-1</sup>. The absorption peaks at 1590 cm<sup>-1</sup> and 1409 cm<sup>-1</sup> could be attributed to carbonyl functional groups, 223 confirming the occurrence of starch carboxymethylation. Similar results were observed in 224 carboxymethylated kudzu starch <sup>32</sup>. The infrared absorption peaks of gelatin induced a characteristic 225 band at 3407 cm<sup>-1</sup> resulting from N-H and O-H vibrations <sup>33</sup>. Additionally, the peaks at 1629 cm<sup>-1</sup> 226 (C=O band vibration of amide I), 1526 cm<sup>-1</sup>, and 1229 cm<sup>-1</sup> represented amide II and amide III, 227 respectively <sup>34</sup>. The spectrum of GE-CMS coacervate closely resembled that of gelatin, with some 228 229 absorption peaks shifting. Notably, the N-H bands of GE (3270 cm<sup>-1</sup>) shifted to 3294 cm<sup>-1</sup>, indicating 230 that the amide group of GE might have formed strong hydrogen bonds with the hydroxyl group of CMS during the coacervation process. These results align with previous research <sup>35</sup>. 231

Furthermore, the amide II band in gelatin exhibited a shift from 1526  $cm^{-1}$  to 1540  $cm^{-1}$ ,

corresponding to the disappearance of the -NH<sub>3</sub> vibration peak in gelatin. The most significant change occurred in the amide I band, shifting from 1629 cm<sup>-1</sup> to 1640 cm<sup>-1</sup>. This change is attributed to the electrostatic interaction between the carboxyl groups of CMS and the amino groups of GE, indicating the occurrence of complex coacervation. This change may be related to the transformation of the protein from the  $\alpha$ -helical structure to more organized  $\beta$ -sheet and amorphous structures <sup>36</sup>, indicating the occurrence of complex coacervation. A similar slight shift has also been observed in egg proteinsxanthan gum mixtures <sup>37</sup>.

In addition, the absorption peak representing the symmetric and asymmetric stretching vibrations of carboxyl groups (1590 cm<sup>-1</sup> and 1409 cm<sup>-1</sup>) disappeared upon the forming of GE-CMS coacervates. This suggests that in the presence of proteins, carbohydrate molecules have a reduced ability to form intermolecular hydrogen bonds <sup>38</sup>. Therefore, it can be concluded that GE-CMS coacervates formed mainly through hydrogen bonding and electrostatic interaction.

245

## 3.4. X-ray diffraction (XRD) analysis of the complex coacervation of gelatin and carboxymethyl starch

Assessing whether a substance is in an amorphous or crystalline state is important for evaluating the stability of dried products. The XRD patterns of gelatin, CMS, and their coacervates are shown in **Fig. 4B**. Gelatin showed distinct broad peaks at 20.92° and 7.75°, which correspond to the triple helical crystal structure of collagen <sup>39</sup>.

252 Notably, both GE and GE-CMS coacervates share similar XRD patterns, highlighting the high 253 compatibility between gelatin and CMS. However, there is a reduction in the intensity of XRD peaks 254 at 20.92° and 7.75° for the GE-CMS complexes. Interactions with polysaccharides can induce structural changes in proteins. Previous studies have shown that the formation of xanthan gum–gelatin coacervates could change protein structures by disrupting the compact molecular arrangement of gelatin chains <sup>40</sup>. Ghobadi et al. <sup>35</sup> also observed a decrease in diffraction peak intensity when Alyssum homolocarpum seed gum was added to Grass pea (Lathyrus sativus) protein isolates due to the formation of hydrogen bonds between these two biopolymers.

Importantly, the GE-CMS coacervates maintain their amorphous structures. Amorphous structures are known for their higher soluble and hygroscopic properties. Furthermore, they promote the efficient release of core materials within capsules.

263

## 3.5. Thermogravimetric analysis (TGA) analysis of the complex coacervation of gelatin and carboxymethyl starch

As depicted in **Fig. 5**, all samples exhibited a two-step weight loss pattern during thermal analysis. The first minor mass reduction, occurring at approximately 100 °C, was primarily due to water evaporation from the biopolymers. Subsequently, the second step in weight loss is associated with the decomposition of these biopolymers.

270 Specifically, CMS started to undergo a substantial weight reduction in the temperature range of 271 225-314 °C due to the degradation of the backbone, involving processes such as carbonization and ash 272 formation. Previous studies have indicated that CMS showed relatively low thermal stability, 273 particularly when it had a high DS <sup>41</sup>. When heated to 500 °C, CMS retains only about 50% of its initial 274 weight.

In contrast, gelatin demonstrated high-temperature stability and underwent significant weight loss at temperatures ranging from 267 to 374 °C, with a derivative thermogravimetric (DTG) curve peak at

277	342 °C (Fig. 5B), which corresponds to degradation temperature ( $T_d$ ). This weight loss can be
278	attributed to the cleavage of covalent peptide bonds in the gelatin structure <sup>42</sup> . Gelatin residue accounts
279	for about 28% of its initial weight when heated to 500 $^{\circ}$ C, aligning with previous findings <sup>43</sup> .
280	In contrast to CMS and gelatin, the GE-CMS coacervates showed a more gradual weight loss
281	profile and retained about 34% of their weight even at 500 °C. The decomposition temperature of
282	coacervates was 44 °C higher than CMS, and this enhanced thermal stability can be attributed to the
283	effective molecular interactions between CMS and GE within the coacervates. Notably, the coacervates
284	did not undergo thermal decomposition until reaching temperatures as high as 251 °C, indicating
285	significantly improved thermal stability compared to their individual components.
286	
207	3.6 Phoelegical properties of the complex concernation of galatin and carboxymethyl starch at
207	5.0. Kneological properties of the complex coacervation of gelatin and carboxymethyl starch at
287	different conditions
287 288 289	<ul><li>3.6.1 Effect of pH</li></ul>
287 288 289 290	<ul> <li>3.6. Kneological properties of the complex coacervation of genatic and carboxymethyl starch at different conditions</li> <li>3.6.1 Effect of pH</li> <li>The apparent viscosity of GE-CMS coacervates at different coacervation pH levels is presented</li> </ul>
287 288 289 290 291	<ul> <li>3.6. Kneological properties of the complex coacervation of genatic and carboxymethyl starch at different conditions</li> <li>3.6.1 Effect of pH</li> <li>The apparent viscosity of GE-CMS coacervates at different coacervation pH levels is presented in Fig. 6A. The coacervates exhibit relatively high viscosity, exceeding 100 Pa/s at low shear rates.</li> </ul>
287 288 289 290 291 292	<ul> <li>3.6. Kneological properties of the complex coacervation of getatin and carboxymethyl startin at different conditions</li> <li>3.6.1 Effect of pH The apparent viscosity of GE-CMS coacervates at different coacervation pH levels is presented in Fig. 6A. The coacervates exhibit relatively high viscosity, exceeding 100 Pa/s at low shear rates. Furthermore, they displayed shear-thinning behavior, likely attributed to the structural relaxation</li></ul>
<ol> <li>287</li> <li>288</li> <li>289</li> <li>290</li> <li>291</li> <li>292</li> <li>293</li> </ol>	<ul> <li>3.6.1 Effect of pH</li> <li>The apparent viscosity of GE-CMS coacervates at different coacervation pH levels is presented</li> <li>in Fig. 6A. The coacervates exhibit relatively high viscosity, exceeding 100 Pa/s at low shear rates.</li> <li>Furthermore, they displayed shear-thinning behavior, likely attributed to the structural relaxation</li> <li>within the polysaccharides <sup>44</sup>. Notably, the coacervates reached their highest viscosity at pH 4.6, mainly</li> </ul>
<ol> <li>287</li> <li>288</li> <li>289</li> <li>290</li> <li>291</li> <li>292</li> <li>293</li> <li>294</li> </ol>	<ul> <li>3.6.1 Effect of pH</li> <li>The apparent viscosity of GE-CMS coacervates at different coacervation pH levels is presented in Fig. 6A. The coacervates exhibit relatively high viscosity, exceeding 100 Pa/s at low shear rates.</li> <li>Furthermore, they displayed shear-thinning behavior, likely attributed to the structural relaxation within the polysaccharides <sup>44</sup>. Notably, the coacervates reached their highest viscosity at pH 4.6, mainly due to the enhanced interactions between GE and CMS.</li> </ul>
287 288 289 290 291 292 293 293 294 295	<ul> <li>3.6. Kneological properties of the complex coacervation of getain and carboxymethyl starch at different conditions</li> <li>3.6.1 Effect of pH The apparent viscosity of GE-CMS coacervates at different coacervation pH levels is presented in Fig. 6A. The coacervates exhibit relatively high viscosity, exceeding 100 Pa/s at low shear rates. Furthermore, they displayed shear-thinning behavior, likely attributed to the structural relaxation within the polysaccharides <sup>44</sup>. Notably, the coacervates reached their highest viscosity at pH 4.6, mainly due to the enhanced interactions between GE and CMS. Oscillatory measurements provided information about the viscoelastic behavior of the</li></ul>
<ol> <li>287</li> <li>288</li> <li>289</li> <li>290</li> <li>291</li> <li>292</li> <li>293</li> <li>294</li> <li>295</li> <li>296</li> </ol>	<ul> <li>3.6. Kneological properties of the complex coacervation of gerann and carboxymethyr starch at different conditions</li> <li>3.6.1 Effect of pH The apparent viscosity of GE-CMS coacervates at different coacervation pH levels is presented in Fig. 6A. The coacervates exhibit relatively high viscosity, exceeding 100 Pa/s at low shear rates. Furthermore, they displayed shear-thinning behavior, likely attributed to the structural relaxation within the polysaccharides <sup>44</sup>. Notably, the coacervates reached their highest viscosity at pH 4.6, mainly due to the enhanced interactions between GE and CMS. Oscillatory measurements provided information about the viscoelastic behavior of the coacervates. As can be seen from Fig. 6B, the elastic modulus was higher than the viscous modulus</li></ul>

in sodium caseinate-gum tragacanth coacervates  ${}^{45}$ . In contrast, whey protein–puka gum exhibited a  ${}^{14}$ 298

more viscous behavior <sup>46</sup>. Therefore, a rheological study of different coacervates is instrumental in understanding their internal structure. Notably, the values of G' and G'' reached their maxima at pH 4.6, in line with previous research <sup>47</sup> suggesting that coacervates collected at their pH<sub>max</sub> exhibit the highest gel strength as a result of the tighter structures resulting from stronger electrostatic attraction.

## 303 **3.6.2 Effect of** *C***NaCl**

The apparent viscosity of GE-CMS coacervates at different  $C_{\text{NaCl}}$  is shown in **Fig. 6C**. The shearthinning behavior remained unchanged with the addition of NaCl, but the apparent viscosity decreased as  $C_{\text{NaCl}}$  increased. This phenomenon can be attributed to salt ions shielding the charges of the biopolymer, leading to a more relaxed coacervate structure and reduced viscosity. Moreover, the presence of sodium chloride tended to increase the water content in the coacervates, further contributing to their looser structure.

310 The modulus (G' and G'') of the coacervates increased with frequency and remained roughly 311 parallel, indicating weak gel-like characteristics in the binding of gelatin to CMS. Interestingly, these 312 modulus values decreased with increasing  $C_{\text{NaCl}}$  from 0 to 10 mM, indicating that the presence of salt 313 ions shields the biopolymer charges, weakening the electrostatic interaction between protein and 314 polysaccharide. It has been observed that low concentrations of salt ions can shield the repulsive forces 315 between proteins and polysaccharides, facilitating molecular binding and enhancing the modulus of the composite condensate <sup>48</sup>. Ovalbumin-carboxymethylcellulose coacervates, for instance, showed 316 the maximum values of G' and G'' values at a salt ion concentration of 20 mM  $^{49}$ . Therefore, it can be 317 318 inferred that the rheological properties of a colloidal system are often closely related to its internal 319 structure.

320

## 321 **4. Conclusion**

In this study, the complex coacervates based on gelatin and CMS were prepared and thoroughly 322 characterized, including turbidity analysis, TGA, XRD, FT-IR, and rheology, to elucidate the 323 324 interaction mechanism between these two biopolymers. The FT-IR analysis reveals that GE-CMS 325 coacervates were primarily formed through electrostatic interactions and hydrogen bonding under 326 specific conditions. Notably, the strongest electrostatic interaction of GE-CMS coacervation was observed at a R<sub>GE/CMS</sub> of 3:1 (w/w) and pH 4.6. Additionally, the coacervates exhibited the highest 327 viscosity at pH 4.6, mainly due to the stronger interactions between GE and CMS, which aligns with 328 329 the results of turbidity measurements. Compared to individual GE and CMS, the GE-CMS coacervates 330 showcased greater thermodynamic stability according to TGA. Moreover, XRD analysis reveals that 331 the GE-CMS coacervates maintained their amorphous structure. These findings hold significant 332 implications, providing valuable theoretical support for the utilization of GE and CMS interactions in 333 various applications, such as foods, biomaterials, cosmetics, and pharmaceutical products.

334

#### 335 Acknowledgments

This research was funded by Guangdong Province's 2023 Guangxi-Guangdong Cooperation
Science and Technology Special Commissioner Project "Purple-black Fragrant Glutinous Rice
Industry" (Yue Ke Han Nong Zi [2023] No. 1356), and Guangdong Province's 2020 Provincial Modern
Agricultural Industrial Park Project "Simiao Rice Industrial Park in Xinxing County, Yunfu" (Yue
Nong Han [2020] No. 515).

341

#### 342 **References**

Paliya BS, Sharma VK, Sharma M, Diwan D, Nguyen QD, Aminabhavi TM, Rajauria G, Singh BN and Gupta VK,
 Protein-polysaccharide nanoconjugates: Potential tools for delivery of plant-derived nutraceuticals. *Food Chemistry* 428:136709 (2023).

Hosseini SMH, Emam-Djomeh Z, Sabatino P and Van der Meeren P, Nanocomplexes arising from protein polysaccharide electrostatic interaction as a promising carrier for nutraceutical compounds. *Food Hydrocolloids* 50:16-26 (2015).

349 3. Fioramonti SA, Perez AA, Elena Aringoli E, Rubiolo AC and Santiago LG, Design and characterization of soluble

biopolymer complexes produced by electrostatic self-assembly of a whey protein isolate and sodium alginate. *Food Hydrocolloids* 35:129-136 (2014).

- 4. Chai C, Lee J and Huang Q, The effect of ionic strength on the rheology of pH-induced bovine serum
   albumin/κ-carrageenan coacervates. *LWT Food Science and Technology* 59:356-360 (2014).
- Li M, Hou X, Lin L, Jiang F, Qiao D and Xie F, Legume protein/polysaccharide food hydrogels: Preparation
   methods, improvement strategies and applications. *International Journal of Biological Macromolecules* 243:125217
   (2023).
- Heckert Bastos LP, Vicente J, Correa dos Santos CH, de Carvalho MG and Garcia-Rojas EE, Encapsulation of
   black pepper (Piper nigrum L.) essential oil with gelatin and sodium alginate by complex coacervation. *Food Hydrocolloids* 102:105605 (2020).
- Fraj J, Petrovic L, Dekic L, Budincic JM, Bucko S and Katona J, Encapsulation and release of vitamin C in double
   W/O/W emulsions followed by complex coacervation in gelatin-sodium caseinate system. *Journal of Food Engineering* 292:110353 (2021).
- 363 8. Zhao M, Huang X, Zhang H, Zhang Y, Ganzle M, Yang N, Nishinari K and Fang Y, Probiotic encapsulation in
  364 water-in-water emulsion via heteroprotein complex coacervation of type-A gelatin/sodium caseinate. *Food*365 *Hydrocolloids* 105:105790 (2020).
- 366 9. Sahraeian S, Rashidinejad A and Niakousari M, Enhanced properties of non-starch polysaccharide and protein
   367 hydrocolloids through plasma treatment: A review. *International Journal of Biological Macromolecules* 249:126098
   368 (2023).
- Muhoza B, Xia S, Cai J, Zhang X, Duhoranimana E and Su J, Gelatin and pectin complex coacervates as carriers
   for cinnamaldehyde: Effect of pectin esterification degree on coacervate formation, and enhanced thermal stability.
   *Food Hydrocolloids* 87:712-722 (2019).
- Tao H, Huang J-S, Xie Q-T, Zou Y-M, Wang H-L, Wu X-Y and Xu X-M, Effect of multiple freezing-thawing
  cycles on structural and functional properties of starch granules isolated from soft and hard wheat. *Food Chemistry*265:18-22 (2018).
- Thang Y, Chi C, Huang X, Zou Q, Li X and Chen L, Starch-based nanocapsules fabricated through layer-bylayer assembly for oral delivery of protein to lower gastrointestinal tract. *Carbohydrate Polymers* 171:242-251
  (2017).
- 13. Li X-M, Wu Z-Z, Zhang B, Pan Y, Meng R and Chen H-Q, Fabrication of chitosan hydrochloride and
  carboxymethyl starch complex nanogels as potential delivery vehicles for curcumin. *Food Chemistry* 293:197-203
  (2019).
- 381 14. Quadrado RFN and Fajardo AR, Microparticles based on carboxymethyl starch/chitosan polyelectrolyte
   382 complex as vehicles for drug delivery systems. *Arabian Journal of Chemistry* 13:2183-2194 (2020).
- 15. Duconseille A, Astruc T, Quintana N, Meersman F and Sante-Lhoutellier V, Gelatin structure and composition
   linked to hard capsule dissolution: A review. *Food Hydrocolloids* 43:360-376 (2015).
- 385 16. Farris S, Song J and Huang Q, Alternative Reaction Mechanism for the Cross-Linking of Gelatin with

- 386 Glutaraldehyde. Journal of Agricultural and Food Chemistry 58:998-1003 (2010).
- T. Zhang J, Jia G, Wanbin Z, Minghao J, Wei Y, Hao J, Liu X, Gan Z and Sun A, Nanoencapsulation of zeaxanthin
  extracted from Lycium barbarum L. by complex coacervation with gelatin and CMC. *Food Hydrocolloids* 112:106280
  (2021).
- 390 18. Tie S, Zhang X, Wang H, Song Y and Tan M, Procyanidins-Loaded Complex Coacervates for Improved Stability
- by Self-Crosslinking and Calcium Ions Chelation. *Journal of Agricultural and Food Chemistry* 68:3163-3170 (2020).
- 392 19. Emamverdian P, Kia EM, Ghanbarzadeh B and Ghasempour Z, Characterization and optimization of complex
- coacervation between soluble fraction of Persian gum and gelatin. *Colloids and Surfaces a-Physicochemical and Engineering Aspects* 607:125436 (2020).
- 395 20. Mohapatra S, Siddiqui AA, Anwar M, Bhardwaj N, Akhter S and Ahmad FJ, Synthesis and characterization of
   396 novel carboxymethyl Assam Bora rice starch for the controlled release of cationic anticancer drug based on
   397 electrostatic interactions. *Aaps Pharmscitech* 19:134-147 (2017).
- Pooresmaeil M and Namazi H, Developments on carboxymethyl starch-based smart systems as promising
   drug carriers: A review. *Carbohydrate Polymers* 258:117654 (2021).
- Rafael FNQ and Fajardo AR, Microparticles based on carboxymethyl starch/chitosan polyelectrolyte complex
   as vehicles for drug delivery systems. *Arabian Journal of Chemistry* 13:2183-2194 (2018).
- 402 23. Timilsena YP, Wang B, Adhikari R and Adhikari B, Preparation and characterization of chia seed protein isolate 403 chia seed gum complex coacervates. *Food Hydrocolloids* 52:554-563 (2016).
- 404 24. Jain A, Thakur D, Ghoshal G, Katare OP and Shivhare US, Characterization of microcapsulated β-carotene
   405 formed by complex coacervation using casein and gum tragacanth. *International Journal of Biological* 406 *Macromolecules* 87:101-113 (2016).
- 407 25. Feng J, Tian H, Chen X, Cai X, Shi X and Wang S, Interaction between fish gelatin and tremella polysaccharides
  408 from aqueous solutions to complex coacervates: Structure and rheological properties. *Food Hydrocolloids*409 138:108439 (2023).
- 410 26. Yi KJ, Cheng GX and Xing FB, Gelatin/tannin complex nanospheres via molecular assembly. *Journal of Applied* 411 *Polymer Science* 101:3125-3130 (2006).
- 412 27. Klemmer KJ, Waldner L, Stone A, Low NH and Nickerson MT, Complex coacervation of pea protein isolate and
  413 alginate polysaccharides. *Food Chemistry* 130:710-715 (2012).
- 414 28. Zou W, Mourad FK, Zhang X, Ahn DU, Cai Z and Jin Y, Phase separation behavior and characterization of 415 ovalbumin and propylene glycol alginate complex coacervates. *Food Hydrocolloids* **108**:105978 (2020).
- Weinbreck F, Tromp RH and de Kruif CG, Composition and structure of whey protein/gum arabic coacervates.
   *Biomacromolecules* 5:1437-1445 (2004).
- 418 30. Girard M, Turgeon SL and Gauthier SF, Interbiopolymer complexing between  $\beta$ -lactoglobulin and low- and
- high-methylated pectin measured by potentiometric titration and ultrafiltration. *Food Hydrocolloids* 16:585-591 (2002).
- 421 31. Liu S, Cao Y-L, Ghosh S, Rousseau D, Low NH and Nickerson MT, Intermolecular Interactions during Complex
   422 Coacervation of Pea Protein Isolate and Gum Arabic. *Journal of Agricultural and Food Chemistry* 58:552-556 (2010).
- 423 32. Wang L-F, Pan S-Y, Hu H, Miao W-H and Xu X-Y, Synthesis and properties of carboxymethyl kudzu root starch.

424 *Carbohydrate Polymers* **80**:174-179 (2010).

- 425 33. Zhao Y, Khalid N, Shu G, Neves MA, Kobayashi I and Nakajima M, Complex coacervates from gelatin and
- 426 octenyl succinic anhydride modified kudzu starch: Insights of formulation and characterization. *Food Hydrocolloids*427 86:70-77 (2019).
- 428 34. Cebi N, Durak MZ, Toker OS, Sagdic O and Arici M, An evaluation of Fourier transforms infrared spectroscopy

- 429 method for the classification and discrimination of bovine, porcine and fish gelatins. *Food Chemistry* **190**:1109-430 1115 (2016).
- 431 35. Ghobadi M, Koocheki A, Varidi MJ and Varidi M, Fabrication and characterization of Grass pea (Lathyrus sativus)
- 432 protein isolate-Alyssum homolocarpum seed gum complex coacervate. *Polymer Testing* **89**:106636 (2020).
- 433 36. Aberkane L, Jasniewski J, Gaiani C, Hussain R, Scher J and Sanchez C, Structuration mechanism of β434 lactoglobulin acacia gum assemblies in presence of quercetin. *Food Hydrocolloids* 29:9-20 (2012).
- 435 37. Souza CJF and Garcia-Rojas EE, Interpolymeric complexing between egg white proteins and xanthan gum:
- 436 Effect of salt and protein/polysaccharide ratio. *Food Hydrocolloids* **66**:268-275 (2017).
- 437 38. Guerrero P, Kerry JP and de la Caba K, FTIR characterization of protein-polysaccharide interactions in extruded
  438 blends. *Carbohydrate Polymers* 111:598-605 (2014).
- 439 39. Qiao C, Ma X, Zhang J and Yao J, Molecular interactions in gelatin/chitosan composite films. *Food Chemistry*440 235:45-50 (2017).
- 441 40. Hazirah MASPN, Isa MIN and Sarbon NM, Effect of xanthan gum on the physical and mechanical properties
  442 of gelatin-carboxymethyl cellulose film blends. *Food Packaging and Shelf Life* **9**:55-63 (2016).
- 443 41. Zhang B, Wei B, Hu X, Jin Z, Xu X and Tian Y, Preparation and characterization of carboxymethyl starch microgel 444 with different crosslinking densities. *Carbohydrate Polymers* **124**:245-253 (2015).
- 445 42. Apostolov AA, Fakirov S, Vassileva E, Patil RD and Mark JE, DSC and TGA studies of the behavior of water in 446 native and crosslinked gelatin. *Journal of Applied Polymer Science* **71**:465-470 (1999).
- 447 43. Duhoranimana E, Karangwa E, Lai L, Xu X, Yu J, Xia S, Zhang X, Muhoza B and Habinshuti I, Effect of sodium
  448 carboxymethyl cellulose on complex coacervates formation with gelatin: Coacervates characterization, stabilization
  449 and formation mechanism. *Food Hydrocolloids* 69:111-120 (2017).
- 44. Sanchez C, Renard D, Robert P, Schmitt C and Lefebvre J, Structure and rheological properties of acacia gum
  dispersions. *Food Hydrocolloids* 16:257-267 (2002).
- 45. Gorji SG, Gorji EG, Mohammadifar MA and Zargaraan A, Complexation of sodium caseinate with gum
  453 tragacanth: Effect of various species and rheology of coacervates. *International Journal of Biological*454 *Macromolecules* 67:503-511 (2014).
- 46. Wee MSM, Nurhazwani S, Tan KWJ, Goh KKT, Sims IM and Matia-Merino L, Complex coacervation of an
  arabinogalactan-protein extracted from the Meryta sinclarii tree (puka gum) and whey protein isolate. *Food Hydrocolloids* 42:130-138 (2014).
- 47. Ru Q, Wang Y, Lee J, Ding Y and Huang Q, Turbidity and rheological properties of bovine serum albumin/pectin
  coacervates: Effect of salt concentration and initial protein/polysaccharide ratio. *Carbohydrate Polymers* 88:838846 (2012).
- 48. Pandey PK, Kaushik P, Rawat K and Bohidar HB, Effect of organic and inorganic salt environment on the
  complex coacervation of in situ formed protein nanoparticles and DNA. *International Journal of Biological Macromolecules* 122:1290-1296 (2019).
- 464 49. Xiong W, Ren C, Tian M, Yang X, Li J and Li B, Complex coacervation of ovalbumin-carboxymethylcellulose
  465 assessed by isothermal titration calorimeter and rheology: Effect of ionic strength and charge density of
  466 polysaccharide. *Food Hydrocolloids* **73**:41-50 (2017).

467



Fig. 1.  $\zeta$ -potential of GE and CMS at different pH values.



**Fig. 2.** Turbidity results of GE-CMS mixtures at different biopolymer concentration (A) and different mass ratios (C); critical pH values according to the biopolymer concentration (B) and the mass ratio (D) of GE-CMS mixtures.



(C); critical pH values according to the sodium chloride concentration (B) and the temperature (D) of GE-CMS mixtures.



Fig. 4. FT-IR spectra of RMS, CMS, GE, and GE-CMS coacervates (A); and XRD patterns of

GE, CMS, and GE-CMS coacervates (B).



Fig. 5. TGA thermograms of GE, CMS and GE-CMS coacervates.



Fig. 6. Viscosity curves and frequency sweep results of GE-CMS coacervates at different pH (A/B)

and different  $C_{\text{NaCl}}$  (C/D).