

Scotland's Rural College

## Genetic analysis of phenotypic indicators for heat tolerance in crossbred dairy cattle

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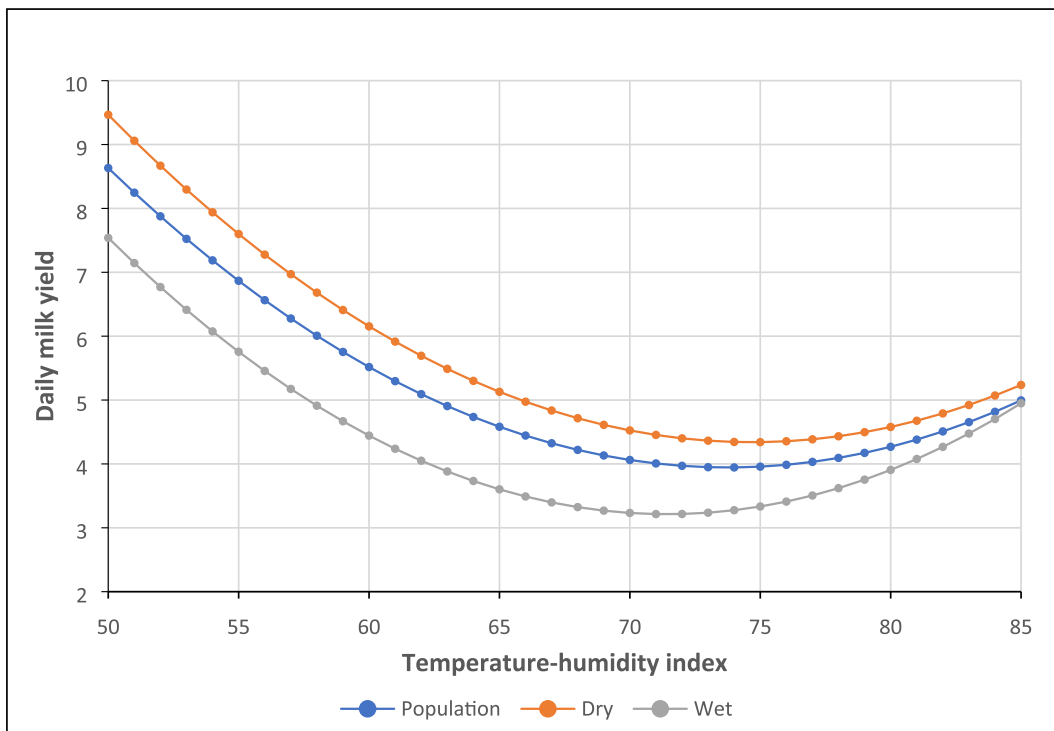
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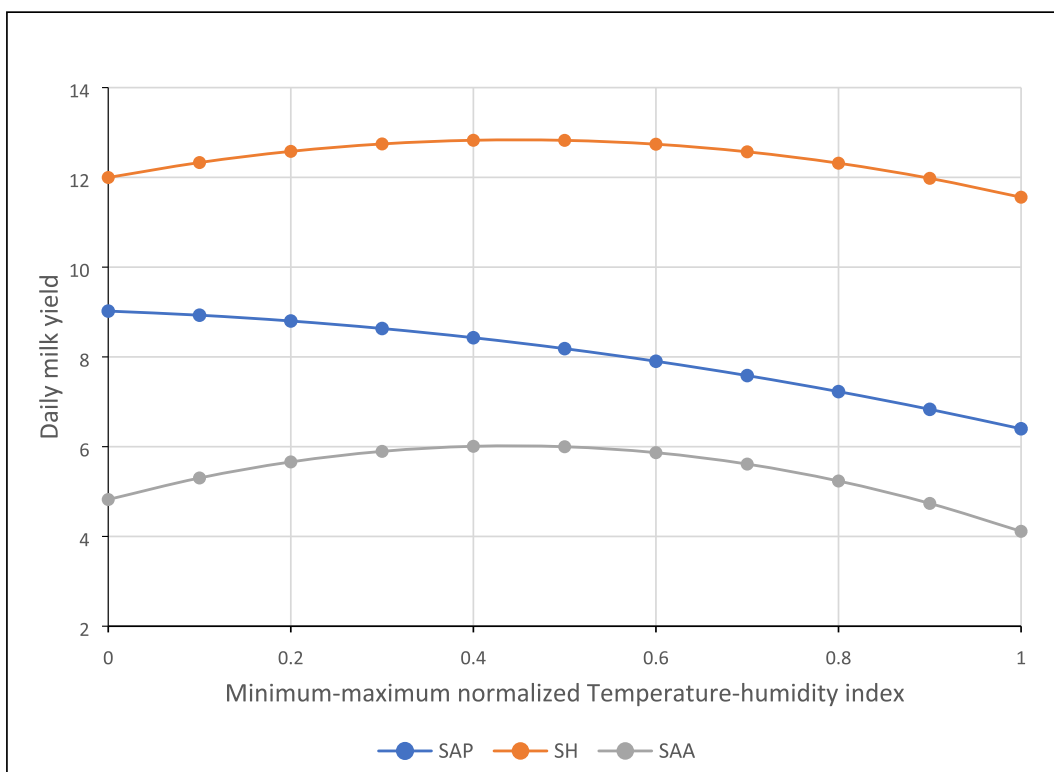








**Fig. 1.** Derived population reaction norms for the changes in 7-day average milk yield (daily milk yield, kg) in response to the average temperature-humidity index for all the cows (population), cows that calved during the dry season (dry) and cows that calved during the wet season (wet).

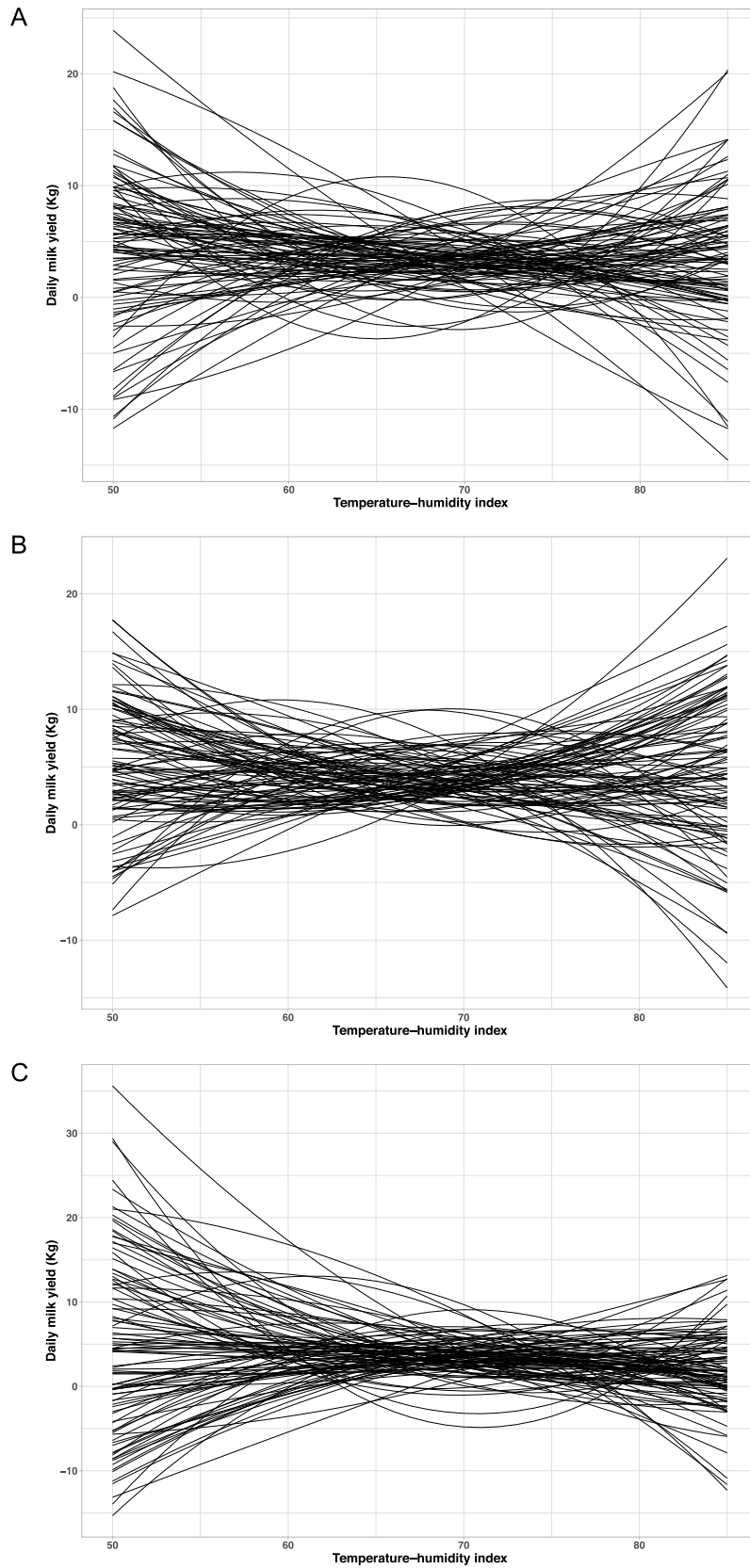


**Fig. 2.** Derived population reaction norms for changes in the 7-day average milk yield (daily milk yield, kg) in response to the minimum–maximum normalized average temperature-humidity index for cows kept under Semi-Arid Pasture (SAP), semi-humid (SH), and semi-arid arable (SAA) agroecological zones.

each group, especially at both ends of the THI range, signifying substantial G×E effects and a possibility of genetic improvement through selection.

Table 2 represents the descriptive statistics of the resilience phenotypes of individual cows. Slope1 and Slope2 denote changes in milk yield in response to heat load fluctuations at THI 50 and THI





**Fig. 4.** Individual reaction norm showing changes in 7-day milk yield (daily milk yield, kg) in response to average temperature-humidity index (THI) for a random sample of 100 cows representing the entire population (A), wet season of calving (B), and dry season of calving (n = 100).



**Table 2**

Descriptive statistics (mean and SD in parentheses) of resilience phenotypes expressed as milk production change per unit increase in temperature-humidity index for the cattle population.

Data type	Slope1	Slope2	Absolute1	Absolute2
Population	-0.131 (0.756)	-0.025 (0.517)	0.701 (0.319)	0.567 (0.264)
Season-Specific	-0.110 (0.808)	-0.026 (0.437)	0.725 (0.327)	0.565 (0.264)

Abbreviations: Slope1 = performance change per unit change in temperature-humidity index at temperature-humidity index (THI) 50; Slope2 = performance change per unit change in temperature-humidity index at THI 80; Absolute1 = Absolute value of corresponding performance change (square root transformed) at THI 50; Absolute2 = Absolute value of corresponding performance change (square root transformed) at THI 80.

**Table 3**

Least square mean (LSM, SE in parentheses) by cattle breed and agroecological environment of resilience phenotypes expressed as milk production change per unit increase in temperature-humidity index.

Variable, and level	N	Population phenotypes				Seasonal phenotypes			
		Slope1	Slope2	Absolute1	Absolute2	Slope1	Slope2	Absolute1	Absolute2
<b>Breed group (BG)</b>									
BG1	689	-0.21(0.04) <sup>a</sup>	-0.002(0.03) <sup>a</sup>	0.68(0.02) <sup>a</sup>	0.54(0.01) <sup>a</sup>	-0.21(0.04) <sup>a</sup>	0.02(0.03) <sup>a</sup>	0.70(0.02) <sup>a</sup>	0.55(0.01) <sup>a</sup>
BG2	450	-0.24(0.04) <sup>a</sup>	-0.002(0.03) <sup>a</sup>	0.76(0.02) <sup>b</sup>	0.59(0.01) <sup>ab</sup>	-0.24(0.04) <sup>a</sup>	0.001(0.03) <sup>a</sup>	0.77(0.02) <sup>b</sup>	0.58(0.01) <sup>ab</sup>
BG3	600	-0.22(0.04) <sup>a</sup>	-0.07(0.03) <sup>b</sup>	0.74(0.02) <sup>b</sup>	0.60(0.01) <sup>b</sup>	-0.19(0.04) <sup>a</sup>	-0.08(0.03) <sup>b</sup>	0.76(0.02) <sup>b</sup>	0.61(0.01) <sup>b</sup>
<b>Herd environment level</b>									
SAP	499	-0.57(0.05) <sup>a</sup>	-0.04(0.04) <sup>a</sup>	0.73(0.02) <sup>a</sup>	0.55(0.02) <sup>a</sup>	-0.58(0.05) <sup>a</sup>	0.03(0.03) <sup>a</sup>	0.74(0.02) <sup>ab</sup>	0.55(0.02) <sup>a</sup>
SH	398	-0.01(0.04) <sup>b</sup>	-0.002(0.03) <sup>a</sup>	0.79(0.02) <sup>a</sup>	0.60(0.02) <sup>b</sup>	-0.02(0.05) <sup>b</sup>	0.004(0.03) <sup>a</sup>	0.79(0.02) <sup>a</sup>	0.60(0.02) <sup>b</sup>
SAA	842	-0.09(0.04) <sup>b</sup>	-0.03(0.03) <sup>a</sup>	0.67(0.02) <sup>b</sup>	0.54(0.01) <sup>a</sup>	-0.08(0.04) <sup>b</sup>	0.02(0.02) <sup>a</sup>	0.70(0.02) <sup>b</sup>	0.54(0.01) <sup>a</sup>

Abbreviations: Slope1 = performance change per unit change in temperature-humidity index at temperature-humidity index (THI) 50; Slope2 = performance change per unit change in temperature-humidity index at THI 80; Absolute1 = Absolute value of corresponding performance change (square root transformed) at THI 50; Absolute2 = Absolute value of corresponding performance change (square root transformed) at THI 80; SAP = Semi-arid pasture based agroecological zone; SH = Semi-humid agroecological zone; SAA = Semi-arid arable agroecological zone. Least square means sharing no superscript letter are significantly different at  $P < 0.05$ .

**Table 4**

Genetic parameters of resilience phenotypes ( $\pm$ SE) expressed as milk production change per unit increase in temperature-humidity index and correlation of the phenotypes with average daily milk yield for the cattle population.

Resilience Phenotype	$V_A$	$V_E$	$V_P$	$h^2$	$r_g$	$r_p$
<b>Population</b>						
Slope 1	0.093 $\pm$ 0.024	0.436 $\pm$ 0.026	0.529 $\pm$ 0.020	0.18 $\pm$ 0.044*	0.50 $\pm$ 0.090*	0.51 $\pm$ 0.019*
Slope 2	0.086 $\pm$ 0.015	0.174 $\pm$ 0.013	0.261 $\pm$ 0.010	0.33 $\pm$ 0.051*	-0.71 $\pm$ 0.053*	-0.48 $\pm$ 0.020*
Absolute 1	0.013 $\pm$ 0.005	0.084 $\pm$ 0.005	0.097 $\pm$ 0.003	0.13 $\pm$ 0.046*	0.618 $\pm$ 0.128*	0.08 $\pm$ 0.024
Absolute 2	0.012 $\pm$ 0.003	0.055 $\pm$ 0.003	0.067 $\pm$ 0.002	0.18 $\pm$ 0.049*	0.63 $\pm$ 0.121*	0.16 $\pm$ 0.024*
<b>Calving season</b>						
Slope 1	0.086 $\pm$ 0.024	0.513 $\pm$ 0.029	0.599 $\pm$ 0.021	0.14 $\pm$ 0.041*	0.40 $\pm$ 0.11*	0.41 $\pm$ 0.021*
Slope 2	0.085 $\pm$ 0.014	0.172 $\pm$ 0.013	0.256 $\pm$ 0.010	0.33 $\pm$ 0.051*	-0.64 $\pm$ 0.06*	-0.44 $\pm$ 0.021*
Absolute 1	0.007 $\pm$ 0.004	0.095 $\pm$ 0.005	0.102 $\pm$ 0.004	0.06 $\pm$ 0.04	0.74 $\pm$ 0.192*	0.08 $\pm$ 0.024
Absolute 2	0.008 $\pm$ 0.003	0.006 $\pm$ 0.003	0.066 $\pm$ 0.002	0.13 $\pm$ 0.044*	0.74 $\pm$ 0.140*	0.17 $\pm$ 0.024*

Abbreviations: Slope1 = performance change per unit change in temperature-humidity index at temperature-humidity index (THI) 50; Slope2 = performance change per unit change in temperature-humidity index at THI 80; Absolute1 = Absolute value of corresponding performance change (square root transformed) at THI 50; Absolute2 = Absolute value of corresponding performance change (square root transformed) at THI 80;  $V_P$  = Phenotypic variance;  $V_A$  = Additive variance;  $V_E$  = Residual variance;  $h^2$  = heritability estimate;  $r_g$  = Genetic correlation;  $r_p$  = Phenotypic correlation. Asterisk denotes significance at  $P < 0.05$ .

phenotypic correlations indicating that stability in milk production of cows is maintained regardless of the THI level. Thus, a cow with a stable performance at THI 50 has a stable performance at THI 80. Whereas Slope2 and Absolute2 had a significant moderate negative genetic and phenotypic correlation, Slope1 and Absolute1 did not portray a substantial correlation for both population and calving season phenotypes.

## Discussion

While the livestock industry is a known contributor to climate change, it is essential to recognize the reciprocal effects of climate change on livestock, particularly in tropical regions. Notably, the global rise in temperature, attributed to global warming, has heightened the heat stress experienced by livestock species and reduced overall livestock productivity. Selective breeding aimed at improving the animals' capacity to withstand increasing heat

load, while maintaining optimal performance, could guarantee sustainable and profitable production of, and affordable access to animal-sourced food products. This study assessed phenotypes based on the response of milk production to changing heat load as potential indicators of heat tolerance in dairy cattle performing in SSA.

Despite a lack of difference in heat load range between cows that calved during dry and wet seasons, those that calved during dry season had significantly higher 7-day average milk yield. This observation could be attributed to the peak lactation milk yield, a determinant of lactation milk yield (Mellado et al., 2011). The environments under study have four seasons: two wet and two dry seasons with a dry season that lasts for 2–3 months and is followed by a wet season. Previous studies have shown that animals in this region reach their peak milk production between 2–3 months postcalving (Ojango et al., 2019; Ekine-Dzivenu et al., 2020; Oloo et al., 2022b). Therefore, it is most likely that cows that calved during the dry season peaked during the wet season when

**Table 5**  
Genetic and phenotypic correlations ( $\pm$ SE) between different resilience phenotypes for the cattle population.

Resilience phenotype	$r_g$	$r_p$
Population Vs Season resilience phenotypes		
Population Slope1 Vs Season Slope1	0.98 $\pm$ 0.004*	0.98 $\pm$ 0.014*
Population Slope2 Vs Season Slope2	0.99 $\pm$ 0.007*	0.93 $\pm$ 0.003*
Population Absolute1 Vs Season Absolute1	0.97 $\pm$ 0.114*	0.80 $\pm$ 0.009*
Population Absolute2 Vs Season Absolute2	0.97 $\pm$ 0.031*	0.82 $\pm$ 0.008*
Similar phenotypes at different head load levels		
Population Slope 1 Vs Population Slope2	-0.58 $\pm$ 0.096*	-0.51 $\pm$ 0.019*
Population Absolute1 Vs Population Absolute2	0.60 $\pm$ 0.169*	0.21 $\pm$ 0.022*
Season Slope 1 Vs Season Slope2	-0.57 $\pm$ 0.104*	-0.52 $\pm$ 0.019*
Season Absolute1 Vs Season Absolute2	0.51 $\pm$ 0.156*	0.26 $\pm$ 0.023*
Different phenotypes at the same heat load level		
Population Slope1 Vs Population Absolute1	-0.14 $\pm$ 0.205	-0.16 $\pm$ 0.024*
Population Slope2 Vs Population Absolute2	-0.63 $\pm$ 0.133*	-0.14 $\pm$ 0.024
Season Slope1 Vs Season Absolute1	-0.44 $\pm$ 0.289	-0.12 $\pm$ 0.024*
Season Slope2 Vs Season Absolute2	-0.63 $\pm$ 0.155*	0.12 $\pm$ 0.024*

Abbreviations: Slope1 = performance change per unit change in temperature-humidity index at temperature-humidity index (THI) 50; Slope2 = performance change per unit change in temperature-humidity index at THI 80; Absolute1 = Absolute value of corresponding performance change (square root transformed) at THI 50; Absolute2 = Absolute value of corresponding performance change (square root transformed) at THI 80;  $r_g$  = Genetic correlation;  $r_p$  = Phenotypic correlation. Asterisk denotes significance at  $P < 0.05$ .

the feed supply was enough to support high milk production. Most animals that calved during the wet season (which normally lasts for 2–4 months) reached their peak lactation during the dry season when there were feed and water shortages which might have limited their milk production potential.

No substantial differences in the pattern of the response of milk yield to fluctuating heat load were observed in cows that calved during different seasons. This is probably because the differences in heat load between the dry and wet seasons in these environments are smaller and, in some cases, insignificant. Actually, it is mostly the amount of precipitation that is used to define seasons and not temperature or heat load.

At the population level, the milk yield of animals under study reduced with increasing heat load due to heat stress up to approximately THI 75 where the milk yield loss plateaued before it began to rise. This shows that the animals on average had acclimatized to the heat stress conditions beyond this THI (Ekine-Dzivenu et al., 2020). Exposure of animals to a prolonged high heat load makes them activate a process of acclamatory homeostasis (Horowitz, 2001). The process is characterized by a decline in the secretion of growth hormones, glucocorticoid, and catecholamine, leading to reduced metabolic heat production (Webster, 1991). The changes in hormonal profiles reduce feed intake, ultimately resulting in reduced milk production before acclimatization is reached. This explains the decline in milk yield at the population level before approximately THI 75.

Cows with less than or equal to 50% *Bos taurus* genes acclimatized to heat load at lower THI values and had the most stable milk production at higher THI values; hence, they were the most thermotolerant. This could be alluded to a higher percentage of *B. indicus* genes in their blood, which has been shown to enable the cows to be more thermotolerant and adapt well to prevailing harsh tropical production environments (Hansen, 2004; Renaudeau et al., 2012; Mwai et al., 2015; Kim et al., 2017). While the population reaction norm suggests that cows with more than 87.5% *B. taurus* acclimatized before those with > 50–87.5% *B. taurus*, further analysis revealed that this difference was not statistically significant. Cows with more than 87.5% *B. taurus* had significantly a lower slope of the reaction norm at THI 80 indicating a more pronounced negative impact of heat stress on this group. Moreover, no significant difference was observed between these two breed groups in all other resilience phenotypes.

A strong microenvironmental effect on the response of milk yield to heat load was evident. Cows performing in semi-arid envi-

ronments showed a higher stability in performance at THI 80. Semi-arid environments are characterized by low rainfall and prolonged periods of drought (Jaetzold et al., 2006, 2010; Oloo et al., 2023a). The environmental stimulation and experiences in semi-arid environments helped the animals to acquire genetic/biological adaptation and evolved to survive in adverse heat load conditions (Parsons, 1994; Hansen, 2004; Gaughan et al., 2009).

A considerable portion of observed phenotypic variation in individual resilience phenotypes among cows stemmed from their genetic makeup. Heritability estimates of all resilience phenotypes were mostly significantly different from zero and ranged from 0.06 to 0.33. These estimates are within and slightly higher than the range previously reported (Sánchez-Molano et al., 2019; Tsartsianidou et al., 2021) for the same resilience phenotypes, but within the range reported for other resilience indicators and fitness traits (Berghof et al., 2019; Putz et al., 2019; Poppe et al., 2020, 2021b, 2021a; Oloo et al., 2023a). Similar to previous reports (Sánchez-Molano et al., 2019), we found that the slope of the reaction norm had higher heritability estimates than the absolute value of the slope of the reaction norm. These findings open up the potential for continuous improvement of heat tolerance in dairy cattle through targeted genetic selection.

The slope of the reaction norm at THI 50 and THI 80 had a moderate positive and negative genetic correlation with average milk yield, respectively. This implies that animals with high genetic merit for milk yield will produce high milk yield when not heat-stressed, but their milk production will be adversely affected under heat-stress conditions. On the other hand, although heat-tolerant cows generally produce low milk yield, their milk production profile is less negatively affected by heat stress (Gantner et al., 2015). These findings align with prior studies on heat stress, which demonstrated that cows possessing high genetic capacity for milk production tend to be more vulnerable to heat stress (West, 2003; Das et al., 2016; Sánchez-Molano et al., 2019). It is widely known that high-producing cattle typically exhibit lower THI thresholds compared to lower-producing cattle (Zimelman et al., 2009; Cartwright et al., 2023). Milk production generates metabolic heat, and as production increases, the metabolic heat load also increases (Carabaño et al., 2017). So, for high-producing cows to maintain an optimal body thermal range during heat stress conditions, they tend to reduce their milk production. It is thus imperative to consider unfavourable correlations that may accompany any other trait of interest before incorporating these resilience phenotypes into the breeding goal. Developing and using the selection index

approach would ensure an overall desirable genetic improvement by appropriately combining genetically antagonistic traits (van der Werf and Marshall, 2005; Dekkers and van der Werf, 2014; Mrode et al., 2021).

We noted a positive correlation between the absolute value of the slope of the reaction norm and average milk yield at THI 50 and 80. Animals with lower absolute values have a stable or less volatile response of milk yield to heat load. This observation confirms that cows with more stable milk production are generally low milk producers and vice versa.

High genetic similarity between indicators derived for population and seasons of calving further showed that the response of milk yield to heat load of individual animals based on season of calving and at population level followed a similar pattern. This infers that the variations in the season of calving in these environments did not invoke significant genetic differences in the response of milk yield to fluctuating heat load.

A negative correlation was observed between the slopes of the reaction norm at THI 50 and THI 80. Thus, cows that tended to have a higher response of milk yield at THI 50 had a lower response at THI 80, possibly due to reduced feed intake and metabolic inability to produce optimally at this thermal range. A positive genetic association between the absolute value of the slope of the reaction norm at THI 50 and THI 80 was evident. It signifies that a cow with stable milk production under heat-stress conditions is likely to maintain this stability when performing under optimal thermal conditions.

The observed negative correlation between Absolute 2 and Slope 2 denotes that under heat stress conditions, cows with a more stable performance tend to have a higher response of milk yield to heat load. The absolute value of the reaction norm looks at how close the response is to zero without considering the direction (+or -). Thus, the negative correlation between Absolute 2 and Slope 2 implies that these cows had generally a negative response of milk yield to heat load at THI 80 but heat-tolerant cows showed a less negative (or higher) response than their non-tolerant counterparts.

In general, this study has shown the possibility of utilizing reaction norms to measure the resilience of livestock species to varying weather conditions. Between the two indicators, the use of actual slopes, rather than their absolute values, provides a potentially more effective approach to quantifying resilience. This is because it allows for the selection of animals that exhibit enhanced performance in the direction of the anticipated climate change. This study has established that heat tolerance is negatively correlated with milk production potential. A multitrait selection index on resilience phenotypes might allow for the selection of heat-tolerant animals with improved milk production. High milk-producing cows exhibit a more pronounced increase in milk yield in response to rising heat load prior to experiencing heat stress. During heat stress conditions, only heat-tolerant cows have a stable performance. Therefore, a combination of a directional increase of animal performance up to the point where stress is triggered and stability of performance thereafter into an animal index would perhaps create the required balance between milk production and thermotolerance.

## Conclusion

This study highlights the potential for selective breeding to enhance heat tolerance in dairy cattle in tropical countries. Heat stress negatively affects milk production, and dairy cows are able to acclimatize to heat stress conditions beyond a certain threshold. We used the slope of the reaction norm and its absolute value for changes in milk yield in response to heat load at different THI

levels as distinct phenotypes of heat tolerance in dairy animals. These phenotypes are significantly heritable and hence can respond to genetic selection and be improved through targeted genetic interventions. However, such selection should consider the unfavorable correlations that exist between these and other traits of interest, such as milk yield, when incorporating them in selection indices and breeding goals. The study also found that higher milk-producing cows are more vulnerable to heat stress, while heat-tolerant cows tend to have stable milk production during such conditions. The use of actual slopes rather than absolute values as indicators of thermotolerance may offer a more effective approach to selecting animals with improved performance in the face of anticipated climate change. Ultimately, a multitrait selection index combining milk production potential with heat tolerance could strike a balance between milk productivity and thermotolerance. The research highlights the potential utility of reaction norms in measuring livestock resilience to varying weather conditions and provides valuable insights for enhancing heat tolerance in dairy animals in regions facing climate challenges such as sub-Saharan Africa through genetic selection.

## Ethics approval

Not applicable.

## Data and model availability statement

The data/models were not deposited in an official repository. Data are available upon request to the corresponding author.

## Declaration of Generative AI and AI-assisted technologies in the writing process

During the preparation of this work the author(s) did not use any AI and AI-assisted technologies.

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**R.D. Oloo:** Writing – review & editing, Writing – original draft, Visualization, Software, Methodology, Investigation, Formal analysis, Data curation, Conceptualization. **C.C. Ekine-Dzivenu:** Writing – review & editing, Methodology, Data curation. **R. Mrode:** Writing – review & editing, Supervision, Methodology, Data curation, Conceptualization. **J. Bennewitz:** Writing – review & editing, Supervision, Methodology, Conceptualization. **J.M.K. Ojango:** Writing – review & editing, Data curation. **G. Kipkosgei:** Writing – review & editing, Software, Data curation. **G. Gebreyohanes:** Writing – review & editing, Data curation. **A.M. Okeyo:** Writing – review & editing, Supervision, Funding acquisition, Data curation, Conceptualization. **M.G.G. Chagunda:** Writing – review & editing, Supervision, Methodology, Funding acquisition, Conceptualization.

## Declaration of interest

None.

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