

1 **Simulation research on aerodynamic noise characteristics of a compressor under**
2 **different working conditions**

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3 **ABSTRACT**

4 The shear stress transport turbulence model is employed to conduct a detailed study
5 of flow characteristics at the highest efficiency point and the near-stall point in a full-
6 channel 1.5-stage compressor in this paper. The simulation results for the compressor's
7 total pressure ratio and efficiency exhibit good agreement with experimental data.
8 Emphasis is placed on examining the internal flow structure in the tip area of the

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9 compressor rotor under near-stall conditions. The results reveal that significant differences
10 in flow structure primarily occur in the tip area as the compressor approaches stall.
11 Specifically, a reduction in turbulent kinetic energy is observed in a region spanning
12 approximately 20% to 60% of the chord length on the rotor suction face near stall
13 conditions. Two additional peak frequencies, at 0.8 and 1.6 times the Blade Passage
14 Frequency (BPF), are observed, and the intricate flow phenomena are elaborated at the
15 near-stall point. The near-stall point exhibits greater noise levels than the highest efficiency
16 point, with the intensity of the surface source increasing by more than 10 dB, peaking at
17 20 dB. This additional peak serves as a significant supplementary noise source near the
18 stall point, leading to a maximum increase of 33.3 dB in the free radiated sound power.
19 The acoustic response within the duct indicates that the compressor operating at the near-
20 stall point continues to produce substantial noise on the actual test bench, showing an
21 average increase of 6 dB in noise levels, and the distribution of the additional peak single-
22 tone noise at the entrance significantly differs from that observed at the highest efficiency
23 point.

24 Keywords : Compressor; Aerodynamic noise; Highest efficiency; Near-stall; Flow
25 characteristics

26 I. INTRODUCTION

27 Gas turbines widely employed in maritime transportation and thermal power
28 generation consist of three core components, with the compressor being one of them.
29 The maritime compressor exhibits safe operation and distinct noise characteristics in its
30 healthy operational state, primarily attributed to the dominant single-tone noise of the
31 Blade Passage Frequency (BPF) and its harmonics, along with broadband noise resulting
32 from random turbulent pulsations (Maaloum *et al.*, 2004; Polacsek *et al.*, 2006). However,
33 the stability of the flow field within its internal blade channel is significantly disrupted due
34 to unsteady disturbances when the maritime compressor operates outside its design
35 conditions, such as in the presence of rotating stall. This disruption leads to a considerable
36 deterioration in the marine compressor's aerodynamic performance and may even result
37 in major safety incidents (Zhao *et al.*, 2023). Moreover, the internal blade flow channel of
38 the compressor during rotating stall experiences phenomena such as backflow and
39 substantial air flow separation, giving rise to distinct aerodynamic noise characteristics that
40 deviate from those observed under design working conditions (Kameier and Neise, 1997).
41 Consequently, conducting an in-depth investigation into the flow and noise characteristics
42 of marine compressors prior to the occurrence of rotating stall becomes imperative as a

43 prerequisite for the development of early warning detection technology for unstable
44 operating conditions, including compressor rotating stall and surge, with a distinct focus
45 on applications within the sphere of ship and ocean engineering.

46 Particular attention had been given by researchers to the underlying cause of rotational
47 stall in the investigation of the flow field during compressor stall, known as rotating
48 instability. rotating instability represented an unsteady phenomenon occurring within the
49 rotor domain before compressor stalling and fell under the category of flow disturbances
50 preceding stall (Day and Asme, 2015). Baumgartner et al. (Baumgartner *et al.*, 1995)
51 discovered that the vibration frequency induced by aerodynamic forces did not resonate
52 with the rotor speed harmonic, leading them to propose rotating instability for the first
53 time through a comparison of pressure pulsation and vibration data from the first stage
54 rotor tip region of a multistage compressor. Subsequent simulations conducted by Vo et
55 al. (Vo, 2010) on an isolated rotor demonstrated that rotating instability likely resulted from
56 the reflux phenomenon occurring at the back of the blade tip gap. Similarly, Marz et al.
57 (Marz *et al.*, 2002) undertook a more comprehensive analysis of the physical mechanisms
58 behind rotating instability, employing experimental and numerical approaches. A vortex
59 structure was discovered forming in the leading-edge plane of the blade, resulting from
60 the combined effects of tip gap flow, axial reverse flow, and incoming flow. The formation

61 and progression of this vortex structure were identified as the primary cause of instability.

62 Additionally, Mailach et al. (Mailach *et al.*, 2001) utilized laser-doppler-anemometry to

63 measure the unsteady pressure distribution in the blade channel and tip gap. The findings

64 revealed that rotating instability was, in fact, attributable to the generation of a rotating

65 structure with high mode orders, arising from the periodic interaction between the tip

66 vortex and adjacent blades. Pullan et al. (Pullan *et al.*, 2015) presented the path of vortex

67 structure propagation within the spike-type rotating stall, which stemmed from the

68 pressure loss in the rotor tip region resulting from flow separation induced at high

69 incidence drawing on numerical simulations. Recent studies on rotating instability have

70 primarily focused on techniques for stall suppression. Tomita et al. (Tomita and Furukawa,

71 2020) examined the impact of tip leakage vortex breakdown on internal flow. The results

72 demonstrated that the stall phenomenon in centrifugal compressors was mainly driven by

73 the tip leakage flow, and controlling this flow represented an effective means to enhance

74 the operational range of the compressor. Furthermore, Brandstetter et al. (Brandstetter *et*

75 *al.*, 2019) experimentally observed the feedback mechanism between the acoustic

76 resonance of a transonic fan and the aeroelastic disturbances, thereby proposing the

77 possibility of complex multiphysics coupling in the modal oscillation preceding stall for

78 the first time.

79 Indeed, rotating instability was accompanied by a distinct acoustic spectral
80 characteristic, characterized by a narrowband amplitude peak that differs from the BPF
81 and its harmonics. Kameier et al. (Kameier and Neise, 1997) conducted the first
82 comprehensive experimental investigation into the noise characteristics of mechanical
83 rotating instability in axial turbomachinery following Baumgartner's formal proposition of
84 the rotating instability concept. Pronounced peaks in a narrow frequency band below the
85 BPF were observed. Measurement results indicated that the tip gap noise was associated
86 with flow instability caused by reverse flow within the tip gap. Subsequently, Cudina
87 (Cudina, 2001) conducted a detailed examination of the noise spectrum of axial fans
88 equipped with inlet guide vanes under adjacent stall conditions. Fukano and Jang (Fukano
89 and Jang, 2004), as well as Pardowitz et al. (Pardowitz *et al.*, 2014; Pardowitz *et al.*, 2015),
90 explored the velocity pulsation characteristics and rotating instability of turbomachinery
91 through experimental approaches, thereby proposing the fundamental mechanism behind
92 the peak frequency observed in vortical flow under rotating instability.

93 Simultaneously, the advancement of Computational Fluid Dynamics (CFD) has
94 facilitated the use of numerical simulations as an efficient approach to investigating noise
95 characteristics under unstable operating conditions. A comprehensive numerical
96 simulation of a centrifugal compressor under near-surge conditions was conducted by

97 Galindo et al. (Galindo *et al.*, 2015) utilizing the detached eddy simulation turbulence model.
98 The results revealed that while the reduction of the tip gap significantly impacted the
99 compressor's aerodynamic performance, it did not exhibit a significant effect on noise
100 performance. Zhu et al. (Zhu and Carolus, 2018; Zhu *et al.*, 2018) employed the Lattice
101 Boltzmann Method to thoroughly study the generation mechanism of narrowband noise
102 under the rotational stall, accompanied by pressure field decomposition. Dehner et al.
103 (Dehner *et al.*, 2022) performed pressure field decomposition on the induced plane of the
104 turbocharger. The results demonstrated that as the supercharger transitioned to a stall
105 condition with reduced flow, the mode content of the compressor shifted towards higher-
106 mode numbers and frequencies, consequently leading to the occurrence of the whoosh
107 noise during the stall.

108 Nevertheless, the utilization of Aeroacoustic methods for the prediction and
109 assessment of aerodynamic noise in rotating machinery remains a formidable challenge.
110 Since the inception of the field of aeroacoustics by Lighthill (Lighthill, 1952) in 1954, and
111 the formulation of the free field prediction formula for aerodynamic noise of rotating
112 machinery by Ffowcs Williams and Hawkings (Williams and Hawkings, 1969) in 1969, the
113 Finite Element Method (FEM) has become a widely adopted approach for conducting
114 aerodynamic noise of rotating machinery within intricate spatial configurations. In recent

115 years, a multitude of scholars in Europe and Canada have contributed valuable insights
116 into methods for assessing aerodynamic noise in rotating machinery(Casalino *et al.*, 2019;
117 Ianniello, 2020; Casalino *et al.*, 2021; Kholodov and Moreau, 2021; Sanjose *et al.*, 2021).
118 Building upon this foundation, some scholars have subsequently advanced the application
119 of FEM methods in the domain of aerodynamic noise (Kraxberger *et al.*, 2023; Schoder,
120 2023b; a; Schoder and Wurzinger, 2023). OpenCFS, an open-source framework for solving
121 partial differential equations with finite elements, was introduced by Schoder et al. (Schoder
122 and Roppert, 2022; Schoder, 2023c; Schoder and Roppert, 2023) Additionally, CFS-Data,
123 the pre-processing component of OpenCFS, which incorporates random noise sound
124 fields and radiation models, was also provided. Moreover, human vowel production was
125 simulated by Lasota et al. (Lasota *et al.*, 2023) using the Openfoam and OpenCFS open-
126 source frameworks. The outcomes indicated that the pronunciation model predicted by
127 the new subgrid-scale anisotropic minimum dissipation is more akin to natural speech than
128 conventional subgrid-scale models. The aeroacoustics workflow of the perturbed
129 convective wave equation and the Ffowcs Williams and Hawkings analogy was tested by
130 Schoder et al. (Schoder *et al.*, 2020), concentrating on the convergence issues of CFD and
131 computational acoustic meshes. Ultimately, the results obtained from the perturbed
132 convective wave equation and Ffowcs Williams-Hawkings (FW-H) predictions were

133 essentially identical.

134 Considerable progress has been made in investigating the noise associated with
135 rotating instability, yielding valuable results (Pardowitz *et al.*, 2015; Zhu and Carolus, 2018).
136 However, there is a scarcity of studies focusing on the noise generated during compressor
137 stall, particularly concerning 1.5-stage axial compressors (Broatch *et al.*, 2015; Galindo *et*
138 *al.*, 2015). The intricate nature of 1.5-stage axial compressors, encompassing three blade
139 rows (namely, the guide vane, rotor, and stator), results in multiple noise sources and an
140 escalation in computational expenses for simulations. Moreover, a more comprehensive
141 analysis and research on the intricate flow structure responsible for generating
142 aerodynamic noise sources during stall in 1.5-stage axial compressors remains lacking.
143 Consequently, it becomes imperative to characterize the noise characteristics of these axial
144 compressors under unstable operating conditions, with the ultimate aim of extending the
145 application to multi-stage axial compressors.

146 In this paper, the Shear Stress Transport turbulence model is employed to obtain the
147 steady and unsteady flow characteristics of the marine compressor at the highest efficiency
148 point and the near-stall point. Detailed analysis is conducted to explore the disparities in
149 the flow structure between the highest efficiency and near-stall points, revealing the
150 presence of additional peak frequencies and complex flows that serve as newly identified

151 noise sources under near-stall conditions. The intensities of these noise sources and the
152 corresponding free-field sound power levels are examined to investigate the compressor's
153 noise characteristics near the stall. Additionally, the acoustic response within the actual duct
154 is investigated to provide insights into the noise conditions when the compressor operates
155 in proximity to the stall on an actual test bench.

156 **II. SIMULATION STRATEGIES**

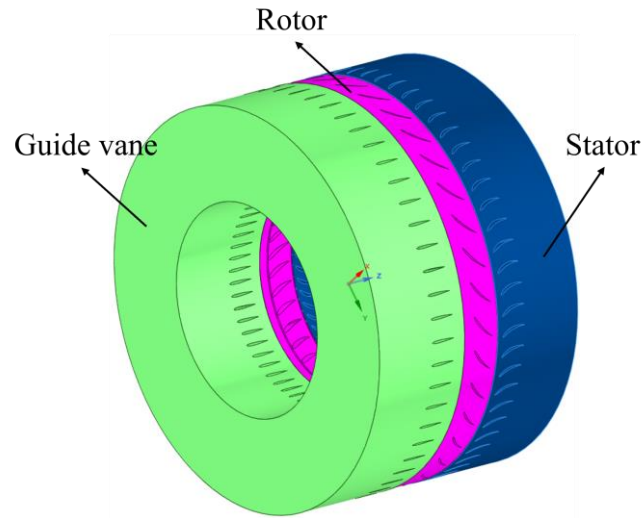
157 **A. Description of the marine 1.5-stage axial compressor**

158 Figure 1 presents the numerical calculation domains of the 1.5-stage axial compressor
159 used for marine gas turbines, encompassing three blade rows: the guide vane, rotor, and
160 stator. Appropriate extensions are applied to the inlet and outlet regions to ensure a stable
161 flow. It is worth noting that the compressor test bench includes a horn-shaped inlet and
162 an exhaust volute, with the latter serving as a compromise component for accommodating
163 the motor and gearbox alignment in a coaxial configuration. They are excluded from the
164 calculation domains to minimize computational expenses as the horn-shaped inlet and
165 exhaust volute do not actively participate in the gas compression process but solely serve
166 to direct the airflow. The remaining parameters pertinent to the 1.5-stage axial compressor
167 are displayed in Table I.

168 **B. CFD mesh and boundary conditions**

169 A fully hexahedral structured mesh is employed in this study to mitigate errors arising
170 from mesh orthogonality. The domain of the guide vane is extended upstream from the
171 leading edge by 2 times the guide vane chord length, while the stator domain is extended
172 downstream from the trailing edge by 1.5 times the stator chord length to ensure complete
173 flow development. These extension distances have been previously utilized in studies to
174 yield favorable results (Li *et al.*, 2021). It plays a crucial role in the aerodynamic
175 performance and noise characteristics of the compressor despite there being a small tip
176 clearance of the rotor in this paper, which is 0.5 mm. Previous investigations have
177 extensively examined the tip gap, encompassing its aerodynamic properties (Du *et al.*, 2008;
178 Zhang *et al.*, 2021) and noise characteristics (Boulamatsis *et al.*, 2019; Avallone *et al.*, 2020).
179 Notably, the formation of the tip leakage vortex associated with the blade tip gap under
180 adjacent stall conditions constitutes the primary source of aerodynamic noise
181 characteristics (Karstadt *et al.*, 2010; Moghadam *et al.*, 2019), emphasizing the significance
182 of considering the tip leakage vortex in this study. The gap sizes below 2 mm necessitate
183 a minimum of 15 layers of prism nodes based on previous research (Wei *et al.*, 2018a; Wei
184 *et al.*, 2018b; Wang *et al.*, 2020). A total of 20 prism layers are implemented to accurately

185 capture the flow within the 0.5 mm tip clearance in this paper.



186

187 **Figure 1.** The configuration of the 1.5-stage axial compressor model.

188 **Table I.** Geometry specification for the 1.5-stage axial compressor.

Parameter	Guide vane	Rotor	Stator
Maximum diameter /mm	458.4	458.4	458.4
Hub-to-tip ratio	0.53	0.53	0.57
Blade height /mm	107.4	107.4	96.1
Mid chord /mm	30	36	36
Aspect ratio	3.58	2.88	2.67
Number of blades	54	37	60
Solidity at mid span	0.74	0.60	0.96
Tip clearance size /mm		0.5(1.4% chord)	

Rotating speed /r/min	2270
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189 Primary consideration should be given to the shear stress transport turbulence model,
190 which represents a low Reynolds number model within the Reynolds average turbulence
191 model framework as per numerous prior numerical simulation investigations on
192 turbomachinery (Yang and Wu, 2014; Shi *et al.*, 2019). The compressor full-channel mesh
193 details are depicted in Figure 2, featuring 13 boundary layers on all walls to effectively
194 simulate the velocity gradient and separated flow near the wall. It ensures that the
195 distribution of viscous effects is directly resolved by mesh nodes, without relying on
196 approximate wall functions. In essence, the shear stress transport turbulence model
197 imposes stringent requirements on the wall-adjacent mesh, necessitating a y^+ value of less
198 than 5 in the core region (Anand *et al.*, 2018; Sajadmanesh *et al.*, 2019) to accurately capture
199 the flow behavior near the wall. The y^+ distribution on the blade surfaces at the highest
200 efficiency point is shown in Figure 3, where the maximum y^+ value does not exceed 3.5,
201 indicating an acceptable level of fidelity. Owing to the favorable flow conditions at the
202 highest efficiency point, where the flow speed is significantly higher than that of near-stall
203 point. It can be inferred that the y^+ near the stall point must also satisfy the prerequisites
204 for CFD calculations, given that the y^+ at the highest efficiency point meets the
205 requirements of the turbulence model, as the identical set of CFD grid is employed in

206 both operational conditions.

207 It is necessary to perform grid independence verification to guarantee the
 208 insensitivity of the calculation results to the number of cells. In this study, Richardson
 209 extrapolation is applied to assess the discretization error of the grid (Schoder *et al.*, 2021).

210 Extrapolated values, representing estimates at infinite grid density, were computed using
 211 three distinct sets of grids. Detailed information regarding the grids is presented in Table
 212 II. In this context, N1, N2, and N3 respectively denote the number of cells in the three
 213 sets of grids, and $\phi_{Total_pressure_i}$ ($i = 1, 2, 3$) represents the total pressure value calculated

214 by the i-th grid. In accordance with literature requirements (Celik *et al.*, 2008), the density
 215 among different grids must adhere to criterion $r_{i,j} = \frac{h_i}{h_j} > 1.3$, with specific attention

216 given to parameter $h = \left[\frac{1}{N} \sum_{i=1}^N (\Delta V_i) \right]^{1/3}$, Here, ΔV_i represents the volume of grid cells,

217 and N denotes the total number of cells employed for the computations. As a consequence

218 of this analysis, extrapolations are performed to estimate the values of $\phi_{ext}^{32} = 1125$ Pa

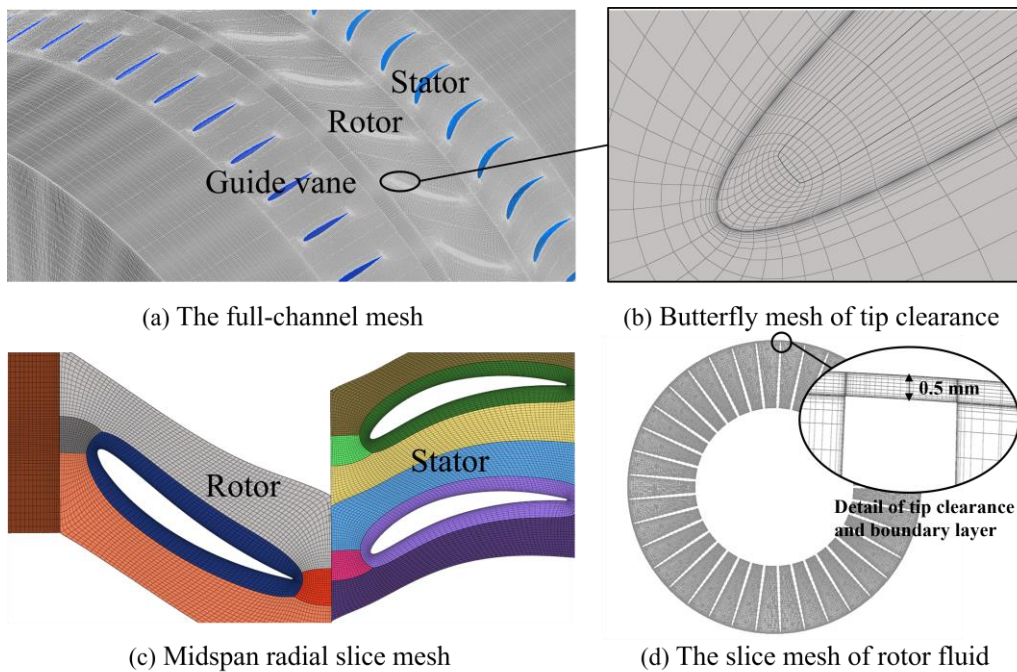
219 and $p = 1.78$. By employing the definition of Grid Convergence Index (GCI) found in

220 the literature, the ultimate uncertainty $GCI_{fine}^{32} = 3.6\%$ is derived. This indicates that

221 the numerical uncertainty of the total pressure on the fine grid is 3.6%. Three sets of

222 distinct meshes are employed to evaluate the variation in the calculation results in this study,

223 as illustrated in Figure 4. The disparity between the experimental and simulated results
 224 diminishes with the decrease in the normal cell size estimate h , and the discrepancy among
 225 the third grid and extrapolated value sets becomes practically negligible. Consequently, the
 226 third grid yield matched results with beneficial convergence properties. Concerning the
 227 specific implementation plan for acquiring experimental data in the figure, reference is
 228 encouraged to be made to previous research. (Lu *et al.*, 2022; Lu *et al.*, 2023)



229

230 **Figure 2.** CFD mesh of the 1.5-stage axial compressor.

231 **Table II.** Sample calculations of discretization error.

	$\phi_{Total_pressure}$
N1, N2, N3/million	14, 19, 27

r_{21}	1.52
r_{32}	1.47
$\phi_{Total_pressure1}/Pa$	1093
$\phi_{Total_pressure2}/Pa$	1104
$\phi_{Total_pressure3}/Pa$	1116

232 The CFD calculations are performed using the CFX code, which is based on the
233 aforementioned fully structured mesh. The simulation settings are summarized in Table
234 III. High-order spatial discretization is employed, and the tangential velocity of all walls is
235 specified as no-slip. Adiabatic heat exchange mode is assumed. The rotor operates at a
236 speed of 2270 r/min, and the inlet is assigned a total pressure of 101325 Pa and a total
237 temperature of 288.15 K, while the outlet has a specified radial average static pressure. In
238 particular, subsequent to the computation of the characteristic curve, the outlet pressure
239 at the point of highest efficiency is determined to be 101750 Pa, whereas the outlet
240 pressure proximate to the stall condition is found to be 102012.5 Pa. The rotor region is
241 modeled as rotating using the Multiple Reference Frame approach in the steady simulation,
242 while the remaining regions are treated as stationary. The rotor-stator interface utilizes the
243 Mixing-Plane model for the exchange of upstream and downstream data. The unsteady
244 scheme initializes with the results from the steady calculation to expedite convergence, and

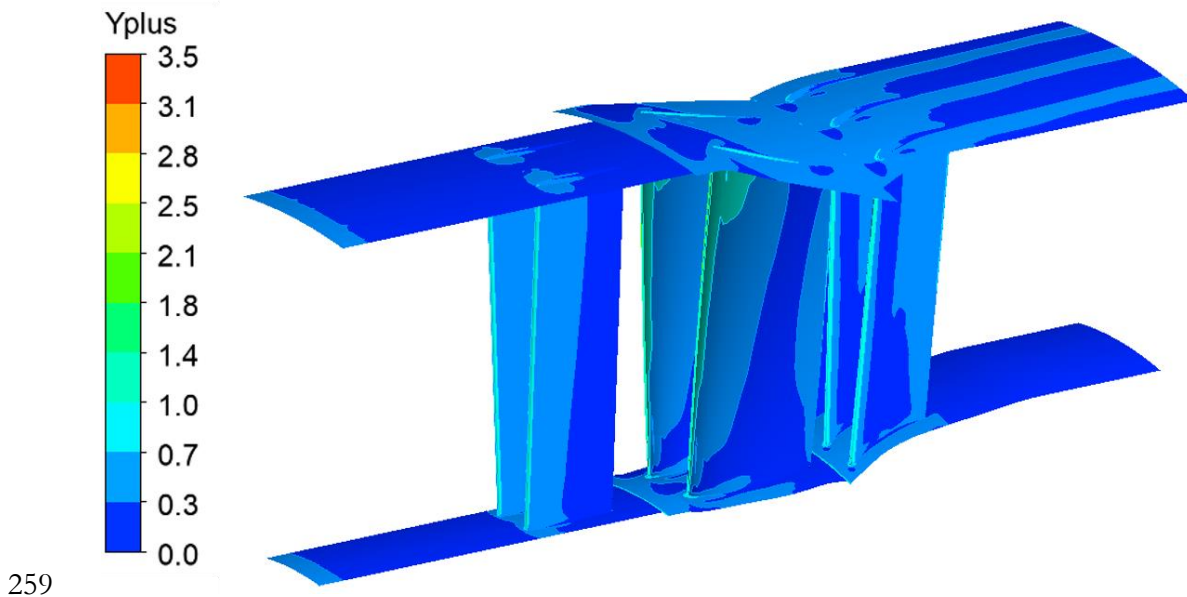
245 the transient flow at the rotor-stator interface is captured using the Transient Rotor Stator
 246 method. The time step in this study is set to 1.78593×10^{-5} s, corresponding to a rotation
 247 of 0.24 degrees per time step, with a total of 40-time steps required for one rotor channel.
 248 This temporal resolution is significantly higher compared to previous works by other
 249 researchers (Polacsek *et al.*, 2006; Hu *et al.*, 2013; Zhang *et al.*, 2016), where a rotor channel
 250 had only 20-time steps and a rotation of 1 degree corresponded to the one-time step.

251 **Table III.** The numerical simulation settings.

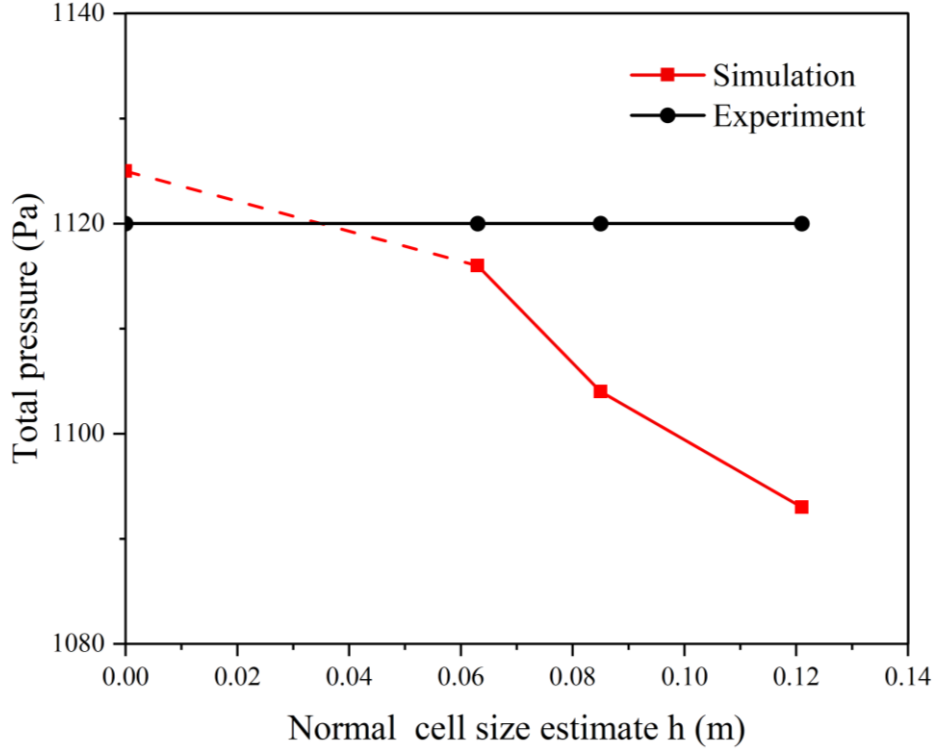
Condition	Steady	Unsteady
Inlet	Pressure-inlet	Pressure-inlet
Outlet	Pressure-outlet	Pressure-outlet
Spatial discretization resolution	High-order	High-order
Temporal schemes	Auto Timescale	Implicit
Wall tangential velocity	No-slip	No-slip
Heat exchange mode	Adiabatic	Adiabatic
Rotation model	Multiple Reference Frame	Sliding mesh

252 The Mach number in the rotor's tip region has reached 0.4 despite the relatively low-
 253 pressure ratio of the studied 1.5-stage compressor, rendering the incompressible
 254 assumption inadequate. The incompressible hypothesis tends to overlook flow and density

255 fluctuations arising from rotor rotation in aerodynamic noise investigations, which
256 contribute to the mass source in the aerodynamic noise generation. Consequently, a
257 compressible flow model is adopted, necessitating the inclusion of the energy equation in
258 the solution procedure.



260 **Figure 3.** The y^+ distribution on the blade surfaces.



261

262 **Figure 4.** Grid convergence regarding mesh refinement using Richardson

263 extrapolation.

264 **C. Governing equations and turbulence model**

265 The continuity, mass, and energy equations within the Navier-Stokes equation

266 framework are given by

$$\frac{\partial \rho}{\partial t} + \nabla \cdot (\rho \bar{\mathbf{v}}) = 0, \quad (1)$$

$$\frac{\partial}{\partial t} (\rho \bar{\mathbf{v}}) + \nabla \cdot (\rho \bar{\mathbf{v}} \otimes \bar{\mathbf{v}}) = -\nabla \cdot \bar{p} \mathbf{I} + \nabla \cdot (\bar{\mathbf{T}} + \mathbf{T}_{RANS}) + \mathbf{f}_b, \quad (2)$$

$$\frac{\partial}{\partial t} (\rho \bar{E}) + \nabla \cdot (\rho \bar{E} \bar{\mathbf{v}}) = -\nabla \cdot \bar{p} \bar{\mathbf{v}} + \nabla \cdot (\bar{\mathbf{T}} + \mathbf{T}_{RANS}) \bar{\mathbf{v}} - \nabla \cdot \bar{\mathbf{q}} + \mathbf{f}_b \bar{\mathbf{v}}. \quad (3)$$

267 where $\bar{\mathbf{v}}$ represents the time-averaged velocity, ρ is the density, \bar{p} is the time-

268 averaged pressure, \mathbf{I} is the unit tensor, $\overline{\mathbf{T}}$ is the time-averaged viscous stress tensor,
 269 \mathbf{f}_b is the resultant force of body forces (such as gravity and centrifugal force), \overline{E} is
 270 time-averaged total energy per unit mass and $\overline{\mathbf{q}}$ average heat flux. The presence of the
 271 stress tensor \mathbf{T}_{RANS} can be written as

$$\mathbf{T}_{RANS} = -\rho \begin{pmatrix} \overline{u'u'} & \overline{u'v'} & \overline{u'w'} \\ \overline{u'v'} & \overline{v'v'} & \overline{v'w'} \\ \overline{u'w'} & \overline{v'w'} & \overline{w'w'} \end{pmatrix} + \frac{2}{3} \rho k \mathbf{I}. \quad (4)$$

272 In this work, the shear stress transport turbulence model (Menter, 1994) is used to
 273 close the governing equations. Previous studies have shown that the tonal noise of rotating
 274 machinery can be accurately predicted using this model.

275 The transport equations for k and ω are

$$\frac{\partial}{\partial t}(\rho k) + \nabla \cdot (\rho k \overline{\mathbf{v}}) = \nabla \cdot [(\mu + \sigma_k \mu_t) \nabla k] + P_k - \rho \beta^* \omega k, \quad (5)$$

276 and

$$\begin{aligned} \frac{\partial}{\partial t}(\rho \omega) + \nabla \cdot (\rho \omega \overline{\mathbf{v}}) &= \nabla \cdot [(\mu + \sigma_\omega \mu_t) \nabla \omega] \\ &+ 2(1 - F_1) \frac{\rho \sigma_\omega \omega^2}{\omega} \nabla \cdot (k) \nabla \cdot (\omega) + \frac{\gamma}{v_t} P - \beta \rho \omega^2. \end{aligned} \quad (6)$$

277 In the Eq. (5) and Eq. (6),

$$P_k = \min(P, 10\beta^* \rho k \omega), \quad (7)$$

$$\mu_t = \frac{\rho a_1 k}{\max(a_1 \omega, SF_2)}, \quad (8)$$

$$\gamma = \frac{\beta}{\beta^*} - \frac{\sigma_{\omega 2} k^2}{\sqrt{\beta^*}}, \quad (9)$$

$$S = \sqrt{2S_{ij}S_{ij}}, \quad (10)$$

$$S_{ij} = \frac{1}{2} \left(\frac{\partial u_i}{\partial x_j} - \frac{\partial u_j}{\partial x_i} \right). \quad (11)$$

278 where p represents the turbulent kinetic energy generation term, F_1 is the hybrid
 279 function, S_{ij} is the strain rate tensor. β^* , a_1 , β , $\sigma_{\omega 2}$, σ_k are the model constants.

280 D. The weak variational form of Lighthill's theory

281 The variational form of the Lighthill equation is used for compressor noise
 282 prediction. The Lighthill equation is given by (Lighthill, 1952)

$$\frac{\partial^2 \rho_a}{\partial t^2} - a_0^2 \frac{\partial^2 \rho_a}{\partial x_i \partial x_i} = \frac{\partial^2 T_{ij}}{\partial x_i \partial x_j}. \quad (12)$$

283 where a_0 is the speed of sound, ρ_a is the density pulsation, and T_{ij} is the Lighthill
 284 stress tensor. After frequency domain transformation this becomes

$$-\omega^2 \rho_a - a_0^2 \frac{\partial^2 \rho_a}{\partial x_i \partial x_i} = \frac{\partial^2 T_{ij}}{\partial x_i \partial x_j}. \quad (13)$$

285 Introducing the potential function ψ and applying the weighted residual method
 286 variation gives

$$\frac{\omega^2}{a_0^2}\psi + \frac{\partial^2\psi}{\partial x_i\partial x_i} = \frac{1}{i\omega} \frac{\partial^2 T_{ij}}{\partial x_i\partial x_j}. \quad (14)$$

287 The weak variational form associated with Equation (14) can be written as

$$\begin{aligned} & -\int_{\Omega} \frac{\omega^2}{\rho_0 a_0^2} \psi \delta\psi d\Omega - \int_{\Omega} \frac{1}{\rho_0} \frac{\partial\psi}{\partial x_i} \frac{\partial\delta\psi}{\partial x_i} d\Omega \\ & = \int_{\Omega} \frac{i}{\rho_0 \omega} \frac{\partial\delta\psi}{\partial x_i} \frac{\partial T_{ij}}{\partial x_j} d\Omega - \int_{\Gamma} \frac{\delta\psi}{i\rho_0 \omega} \frac{\partial}{\partial x_i} (a_0^2 \rho_a \delta_{ij} + T_{ij}) n_i d\Gamma. \end{aligned} \quad (15)$$

288 The right two items of Equation (15) represent the contribution of volume and
289 surface noise sources, respectively. Further detail on the variational form of Lighthill's
290 analogy is given by Oberai et al. (Oberai *et al.*, 2000; 2002).

291 The acoustic code utilized in manuscript is ACTRAN. With respect to discretization,
292 in the inner domain Ω_i , the variable y is locally interpolated on each finite element using
293 a set of interpolation functions $N_i(\xi)$ defined in terms of local coordinates ξ :

$$\psi(\xi) = \sum_{i=1}^N N_i(\xi) \psi_i. \quad (16)$$

294 The selection of a Galerkin approach (test and trial functions are extracted from the
295 same functional space) leads to the following algebraic system:

$$(-\mathbf{K} + \omega^2 \mathbf{M}) \Psi = \mathbf{F}^{aero}. \quad (17)$$

296 where matrices \mathbf{K} and \mathbf{M} result from the assembly of the related element matrices:

$$\begin{aligned} \mathbf{K} &= \sum_e \mathbf{K}^e, \\ \mathbf{M} &= \sum_e \mathbf{M}^e. \end{aligned} \quad (18)$$

297 and the right-hand side vector \mathbf{F} is obtained by assembling contributions in the right hand
298 side involving aerodynamic sources computed using CFD results fields \mathbf{F}^{aero} is the

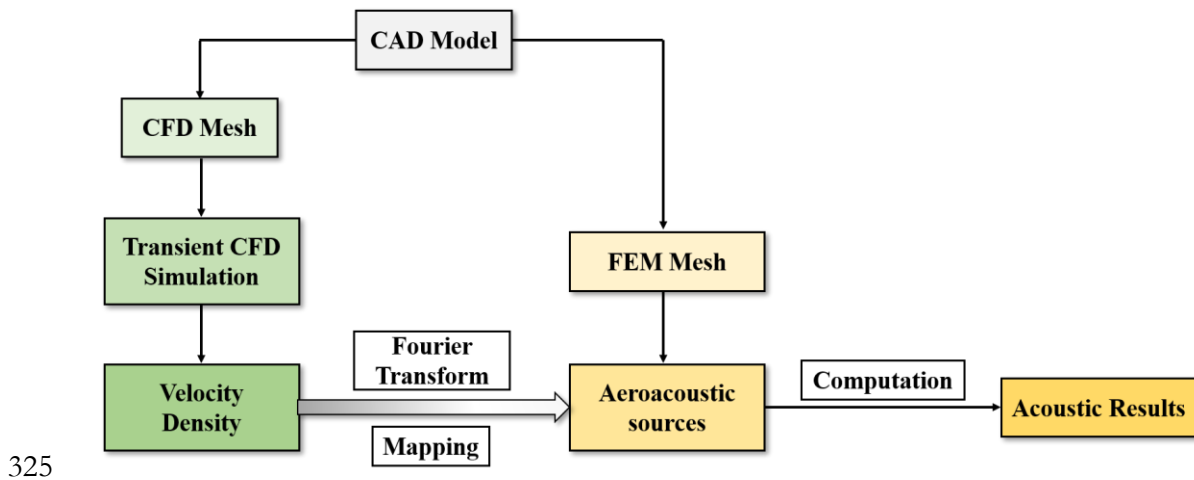
299 volume integral which correspond to the aerodynamic noise excitation.

300 **E. Noise prediction scheme**

301 An aerodynamic noise prediction approach is employed, which utilizes a hybrid
302 method in this paper. Initially, Lighthill's variational theory is employed to extract
303 aerodynamic noise sources from the flow fields. Subsequently, the FEM is employed to
304 conduct noise propagation calculations. Hybrid methods that combine CFD with
305 computational aeroacoustics have gained popularity in this regard. These methods involve
306 separate calculations of the flow field and sound field. Firstly, unsteady flow field
307 computations are conducted to determine the aerodynamic noise sources. Then, the far-
308 field acoustic radiation is predicted based on the noise sources obtained from the CFD
309 computations.

310 In practical applications, sound prediction under complex structures can be effectively
311 achieved using the FEM, which allows for comprehensive modeling and analysis of sound
312 reflection and scattering. The combination of the Lighthill acoustic analogy with the
313 variational form presents inherent advantages over the integral form of the Boundary
314 Elements Method (BEM) when dealing with complex structures and low-frequency noise
315 propagation (Ren *et al.*, 2022). The effectiveness of this simulation approach has been

316 assessed by various scholars in the context of air-conditioning systems (Kierkegaard *et al.*,
 317 2016), axial compressors (Lu *et al.*, 2022), and centrifugal compressors (Ren *et al.*, 2022).
 318 The evaluation results demonstrate the high accuracy of the aforementioned strategy for
 319 predicting interior aerodynamic noise in turbomachinery. Specifically, the integration
 320 interpolation technique is utilized to map the velocity and density data from the fluid mesh
 321 to the acoustic mesh, which ensures maximal retention of information from CFD grid
 322 interpolation to the acoustic mesh, after which the data from each region are converted
 323 into contributions from the volume and surface noise sources. A flowchart depicting the
 324 prediction process based on CFD results is presented in Figure 5.



326 **Figure 5.** The flowchart of the prediction process based on CFD results.

327 For turbomachinery applications, the dominant noise is typically attributed to the
 328 single-tone component associated with the BPF (Polacsek *et al.*, 2006), necessitating the
 329 consideration of the first three harmonics of the BPF. The BPF and its corresponding

330 harmonic frequencies are determined by Equation (19)

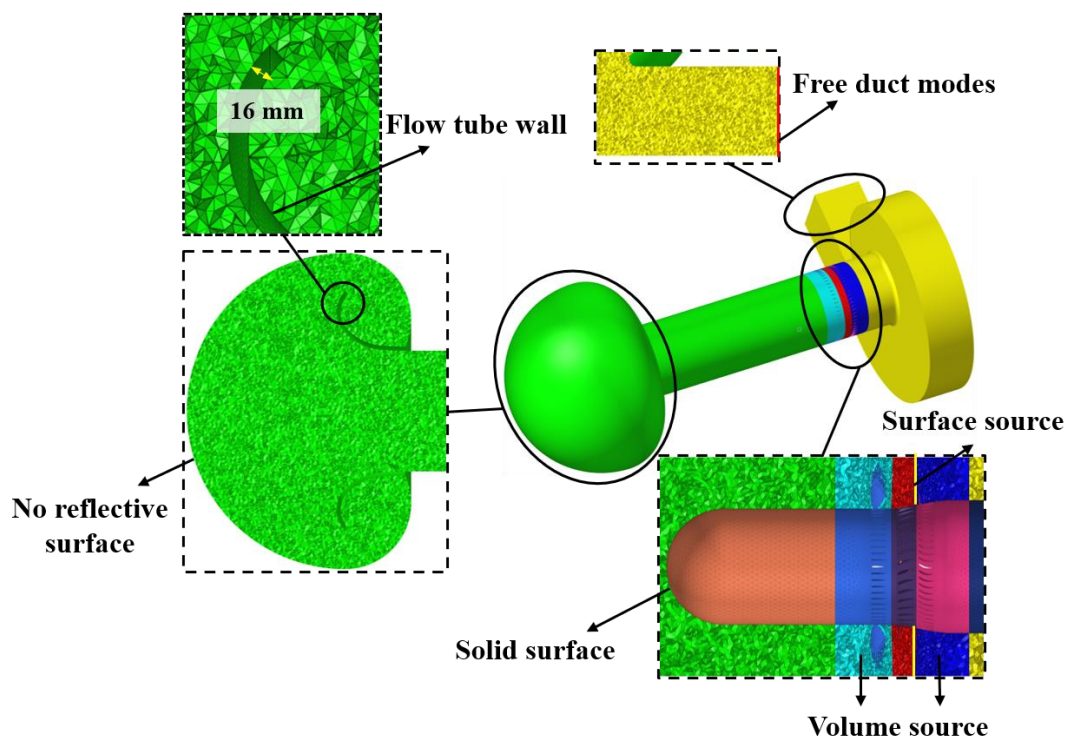
$$f = \frac{nBi}{60}. \quad (19)$$

331 where n is the rotor speed, B is the number of rotor blades, i is the harmonic
332 order, and f is the blade passing frequency. In the case of the compressor under
333 investigation, the third-order BPF corresponds to a frequency of 4200 Hz. To ensure
334 appropriate analysis, the maximum frequency of interest for this study was set at 5000 Hz.

335 Numerous studies have explored the required size of the acoustic mesh for noise
336 calculations (Hu *et al.*, 2013; Zhang *et al.*, 2016). These studies have demonstrated the
337 necessity of maintaining at least six elements within the wavelength of the highest
338 frequency noise. The acoustic mesh encompassed eight elements within the wavelength of
339 the highest frequency noise in this research, resulting in a total of 12 million cells, as
340 depicted in Figure 6.

341 It is important to note that although the exhaust volute does not directly participate
342 in the gas compression process, its impact on noise transmission cannot be disregarded.
343 This complex structure significantly affects noise propagation due to the absence of
344 anechoic treatment in the exhaust volute area of the actual test bench, as confirmed in
345 previous investigations (Lu *et al.*, 2022). The outlet acoustic boundary of the volute was
346 designed to emulate free duct modes, simulating an infinitely long rectangular duct. This

347 configuration ensures that acoustic modes within the frequency range of 5000 Hz pass
 348 through the rectangular section without experiencing reflections. Furthermore, the flow
 349 tube was fully modeled, accounting for the 16mm thick wall, and the inlet area was
 350 configured as a semi-open free space. The circular-like boundary of the inlet area serves
 351 as a non-reflective surface, enabling the inlet to exhibit characteristics akin to open space.



352

353 **Figure 6.** Acoustic mesh for CAA mode of the 1.5-stage compressor.

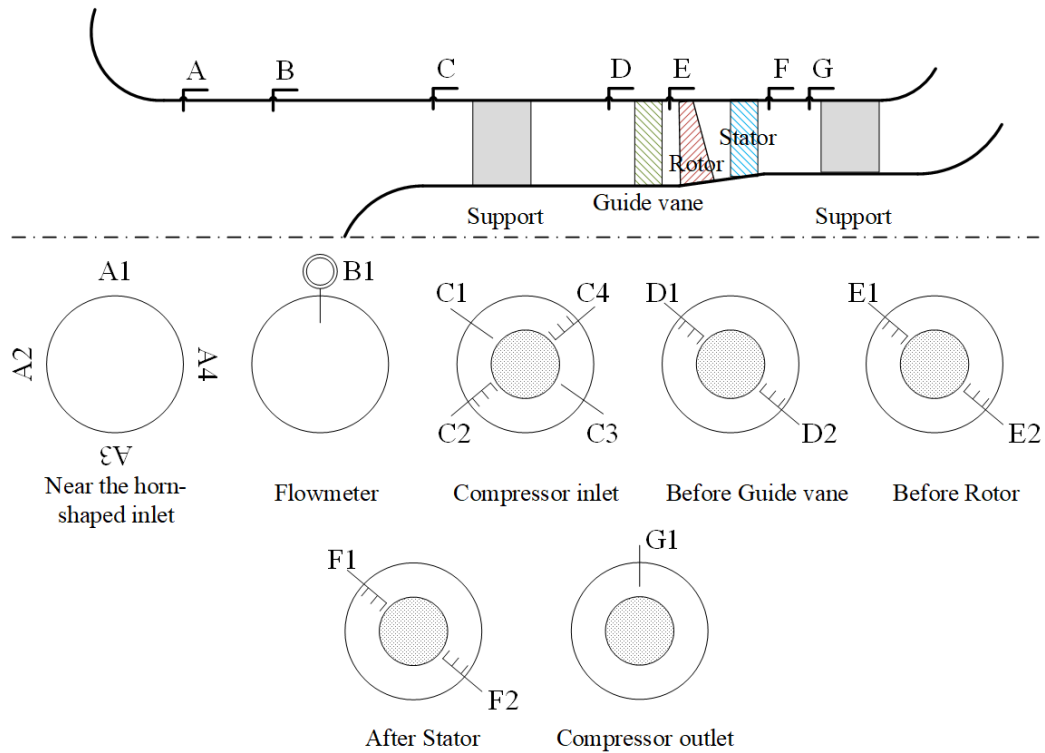
354 III. RESULTS AND DISCUSSION

355 A. Validation of simulation scheme

356 The experimental investigation detailed in this article was conducted on a compressor

357 test bench. Within the suction part of the test bench, a flow tube featuring a horn-shaped
358 inlet was employed to stabilize airflow and facilitate mass flow measurements. In the
359 exhaust system, an exhaust volute and a throttle valve were incorporated to ensure
360 compliance with the experiment's exhaust pressure requirements. A DC frequency
361 modulation motor, connected to a variable speed gearbox, was utilized to drive the
362 compressor.

363 Flow field measurements comprised both steady-state and dynamic measurement
364 systems. The schematic representation of the steady-state measurement system, as
365 depicted in Figure 7, served to assess the overall aerodynamic performance of the
366 compressor. This characterization encompassed measurements of total pressure, total
367 temperature, and mass flow. In section A, four static pressure probes were arranged
368 circumferentially, forming a flow measurement system in conjunction with the surrounding
369 environment. For mutual validation of measurement results from section A, a gas mass
370 flow meter was installed in section B. Section C featured two three-hole comb probes and
371 two total temperature probes, measuring total pressure and total temperature before the
372 front support. Additionally, three-hole comb probes were positioned in sections D, E, and
373 F to measure the total pressure at the inlet, interstage, and outlet, respectively. The total
374 temperature of the outlet was ascertained using the total temperature probe in section G.



375

376 **Figure 7.** Compressor aerodynamic performance measurement location.

377 A test bench is established at Harbin Engineering University to validate the accuracy

378 of the numerical calculations, as illustrated in Figure 8. The compressor's performance is

379 assessed by conducting measurements of various thermodynamic parameters while

380 maintaining a fixed throttle opening and rotor speed. The parameters of interest

381 encompass the total pressure, total temperature, and mass flow, which facilitate the

382 comprehensive evaluation of the compressor's characteristics. The overall behavior of the

383 compressor is quantified employing the total pressure ratio, denoted as π , and the

384 efficiency, denoted as Eff . These performance indicators can be mathematically defined

385 as follows:

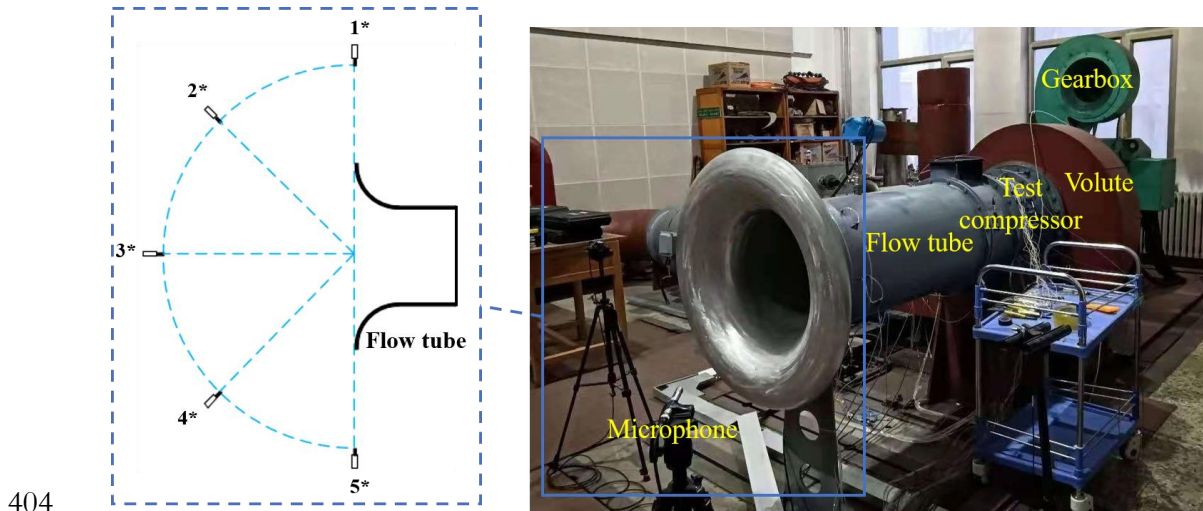
$$\pi = \frac{P_{out}}{P_{in}}, \quad (20)$$

$$Eff = \frac{\pi^{\frac{k-1}{k}} - 1}{T_{out}/T_{in} - 1}. \quad (21)$$

386 where P_{out} and P_{in} are the total pressure of the outlet and the inlet, respectively, and
 387 k is the specific heat ratio of air. T_{out} and T_{in} are the outlet and inlet total
 388 temperatures, respectively.

389 The focus of this paper lies in examining the flow and noise characteristics of the
 390 compressor at the highest efficiency and near-stall operating points. The position of these
 391 two points on the performance curves, specifically the curves of total pressure ratio and
 392 efficiency, is depicted in Figure 9. This depiction is achieved by manipulating the radial
 393 average static pressure at the outlet. As the outlet pressure increases, the compressor's mass
 394 flow gradually diminishes, while the pressure ratio and efficiency initially rise before
 395 declining. As the exhaust pressure further escalates, the flow within the compressor
 396 becomes more constrained, with the blade channel experiencing gradual blockage until the
 397 compressor enters the stall condition. Moreover, a comparison between the overall
 398 compressor characteristics obtained from the flow field calculations and experimental
 399 results demonstrates a satisfactory level of agreement, thereby affirming the reliability of
 400 the CFD results. The actual disparity between the two curves remains within 0.002,

401 although the figure may present a notable difference between the experimental and
402 simulated total pressure ratios, primarily due to the enhanced resolution of the left vertical
403 axis.

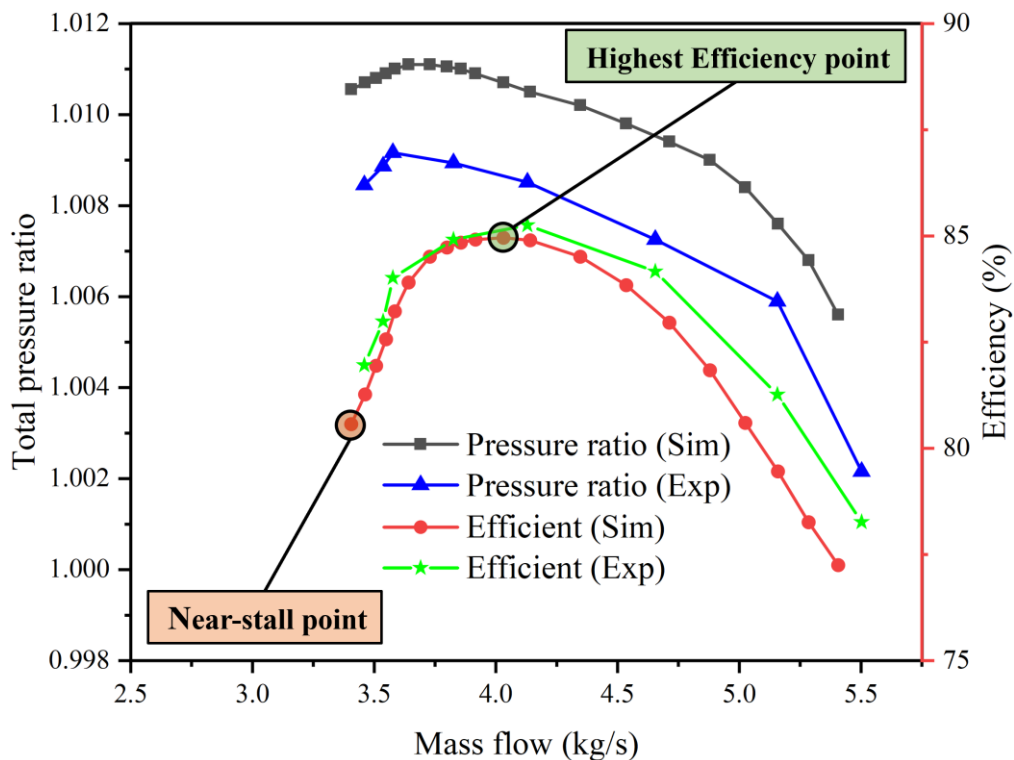


404

405 **Figure 8.** The arrangement of the compressor test bench.

406 The B&K test system is employed to measure noise in the 1.5-stage compressor in
407 terms of noise measurement. The noise signal is captured by the microphones, transmitted
408 to the data exchange, and then connected to a laptop for storage as digital data for
409 subsequent analysis. B&K 4957 microphones are utilized as acoustic sensors in the
410 experiment. They are positioned 1 meter away from the center of the inlet plane, with an
411 angular separation of 45 degrees, as illustrated in Figure 8. Owing to constrained
412 experimental conditions, there are no solid walls within a 6-meter radius of the inlet area,
413 except for the ground to mitigate the undesirable effects of other obstacles on the noise
414 measurement results. Additionally, all walls beyond 6 meters are covered with anechoic

415 material to effectively absorb the propagated sound waves. Nevertheless, certain errors in
 416 the experimentally measured data are inevitably induced by the acoustic measurement
 417 environment, as illustrated in Figure 10. The measurement duration for each working
 418 condition is set to 35 seconds to ensure adequate noise resolution.



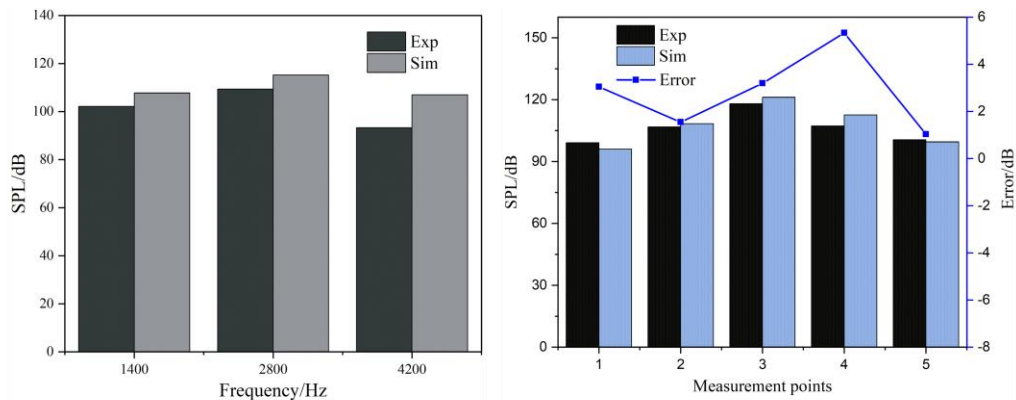
419

420 **Figure 9.** Validation of simulation accuracy and selection of operating points.

421 A detailed comparison has been conducted to analyze the noise results in the previous
 422 work (Lu *et al.*, 2022), with the double validation involving instantaneous quantities and
 423 noise. The results of the previous study are referenced to validate the accuracy of the noise
 424 prediction results in this paper, as depicted in Figure 10. It is widely recognized that discrete
 425 noise plays a prominent role in turbomachinery aeroacoustics and significantly influences

426 the Sound Pressure Level (SPL). The agreement between the simulated and experimentally
427 measured discrete noise at monitoring point 2 indicates a close match, thus affirming the
428 accuracy of the simulation. Furthermore, the simulated total SPL at five measurement
429 points near the inlet also exhibits favorable agreement with the experimental data, with a
430 minimal error of approximately 1 dB. However, a larger discrepancy of about 5 dB is
431 observed at measurement point 4. This issue is attributable to several factors. Firstly,
432 challenges arise from the acoustic measurement environment, where ensuring a completely
433 free field at the compressor inlet proves difficult. Secondly, unlike a fan, the compressor
434 needs numerous auxiliary equipment. However, a compressor test bench encompasses
435 various auxiliary components, including high-power motors, matching gearboxes,
436 lubricating oil supply systems, etc. The initiation of these auxiliary components is a
437 prerequisite for starting the compressor, ensuring its safe operation. The presence of
438 numerous auxiliary components inevitably introduces complexities affecting experimental
439 measurements. Ultimately, the compressor itself is characterized by significant size and the
440 multitude of blades. Undertaking a comprehensive refined CFD calculation on the
441 compressor proves to be an exceptionally challenging endeavor due to the immense
442 computing resources it demands. In the realm of aerodynamic noise, the performance of
443 detached eddy simulation or large eddy simulation on compressors is challenging.

444 Resorting solely to the Reynolds-averaged turbulence model becomes the only viable
 445 option for evaluating compressor aerodynamic noise. Within this context, achieving an
 446 accurate capture of the aerodynamic noise sources of the compressor poses a formidable
 447 challenge.



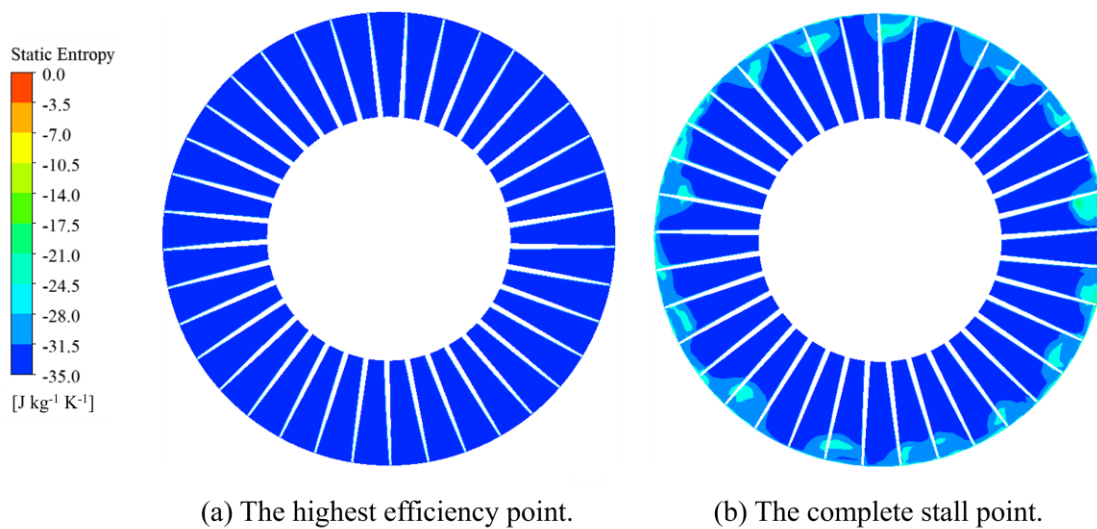
448 (a) The SPL at point 2 at the BPF and its harmonics. (b) Simulation and experimental comparison of SPL

449 **Figure 10.** Validation of noise prediction of measurement points.

450 B. Steady flow characteristics

451 The steady flow characteristics of the simulation are initially examined to compare the
 452 flow characteristics at the highest efficiency point and the near-stall point. Figure 11
 453 presents the static entropy distribution of both points in order to highlight the differences
 454 between the highest efficiency point and the near-stall point more clearly. The complete
 455 stall point is obtained by increasing the outlet pressure from the near-stall point shown in
 456 Figure 9, which corresponds to a further reduction in the actual valve opening. The overall
 457 characteristics of the compressor at the complete stall point are significantly diminished,

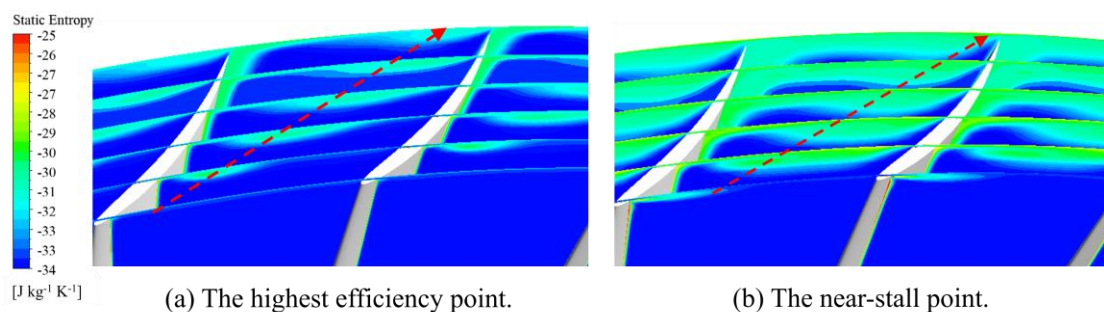
458 and the flow rate is reduced to 2.5 kg/s. The stall groups within the compressor are fully
 459 developed at this point, originating primarily from the tip area as depicted in Figure 11 (b).
 460 It suggests that the variations in flow structure between the highest efficiency point and
 461 stall conditions primarily occur in the tip area, warranting further investigation in this
 462 region.



463
 464 **Figure 11.** Static entropy distributions at rotor inlet.

465 The static entropy distribution of the rotor in different sections along the flow
 466 direction is presented in Figure 12. A tip leakage vortex is formed due to a 0.5 mm gap at
 467 the highest efficiency point, indicated by the red line in the figure. The intensity and
 468 influence range of the tip leakage vortex is small at the highest efficiency point, but they
 469 are significantly amplified at the near-stall point. The time-averaged streamline in the tip
 470 area is depicted in Figure 13 to investigate the development of leakage flow in the tip gap

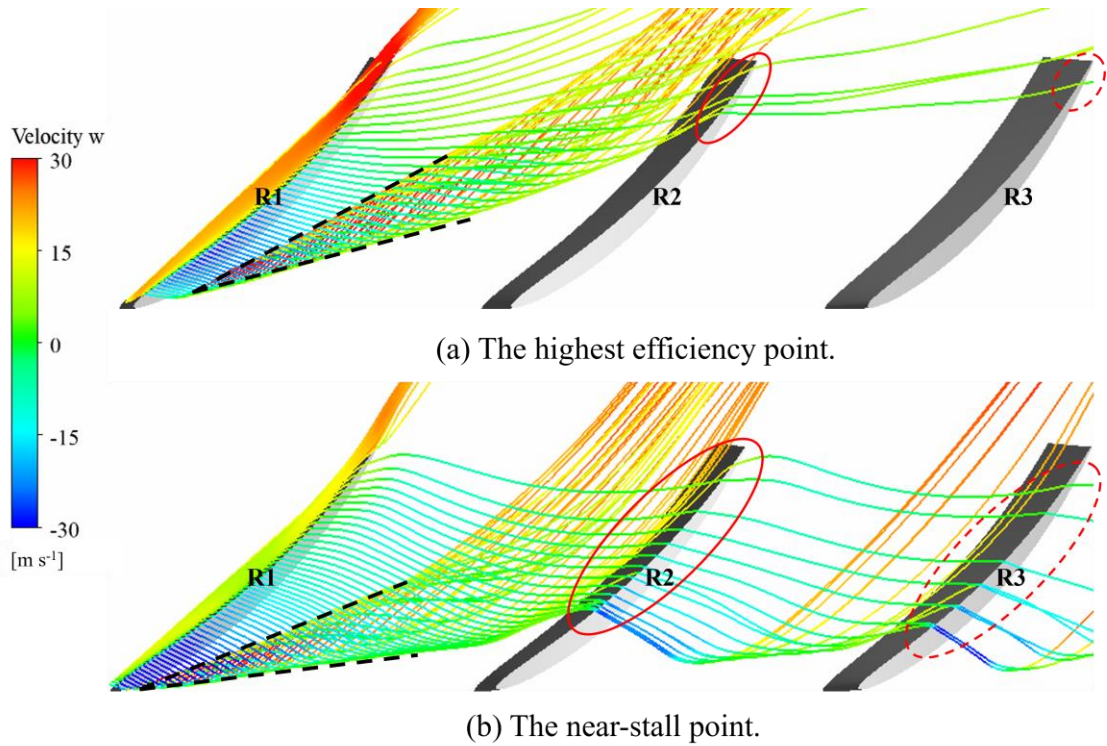
471 further. The blades are labeled as R1 to R3 from left to right for clarity. The tip leakage
 472 vortex has a limited range, and only a few streamlines pass through the tip gap of the
 473 adjacent blade R2 at the highest efficiency point, as shown by the red solid coil in Figure
 474 13 (a), eventually reaching the gap of R3 (red dotted coil). The leakage flow and tip leakage
 475 vortex mix in the channel between R1 and R2 and eventually flow in the main direction.
 476 In contrast, the tip leakage vortex is significantly enhanced at the near-stall point,
 477 occupying nearly half of the rotor channel. After passing through the tip gap of R1, the
 478 leakage flow deviates from the main direction unlike most of the streamlines of the tip
 479 leakage vortex, continuing to flow in the circumferential direction. It crosses the tip gap
 480 of R2, as illustrated by the red solid coil in Figure 13 (b). A portion of this flow then
 481 resumes axial flow in the main direction between R2 and R3, while the remaining portion
 482 proceeds through the tip gap of R3 (red dotted coil).



484 **Figure 12.** Static entropy distributions in the tip area.

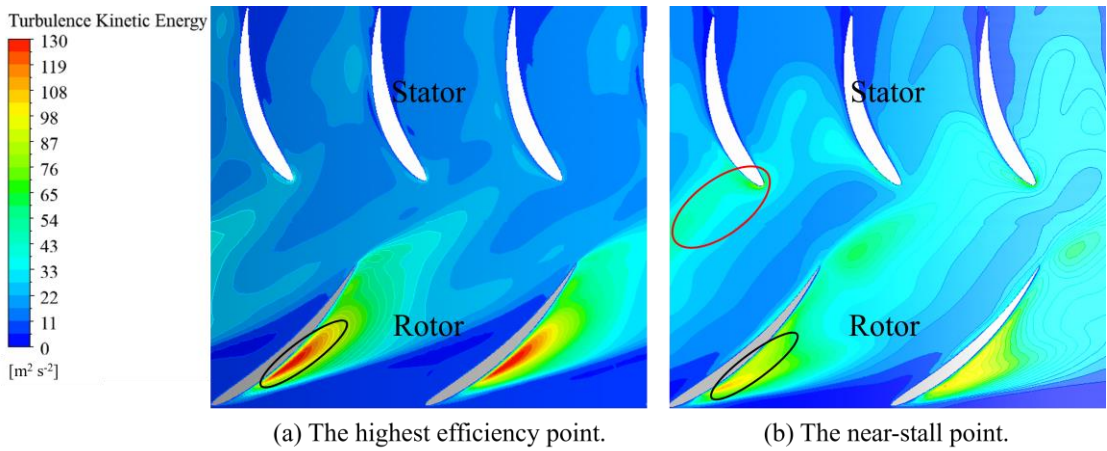
485 The distribution of turbulence kinetic energy at 99.5% span, excluding the guide vane

486 region, illustrates the influence of compressor operation in the near-stall state on the
487 aerodynamic noise source distribution in the tip area, which is a significant parameter for
488 dipole and quadrupole noise sources. The guide vane, having no circumferential bending
489 and span deformation, exhibits low interference intensity with the rotor wake. Therefore,
490 Figure 14 presents the turbulence kinetic energy distributions at the 99.5% span, excluding
491 the guide vane region. The turbulent kinetic energy in the area encompassing
492 approximately 20% to 60% of the chord on the rotor suction surface decreases (within the
493 black circle) as the compressor approaches stall conditions. This reduction implies a
494 potential decrease in the noise source in the rotor tip area at the near-stall point due to
495 variations in the tip area's leakage flow, as indicated in the analysis results of Figure 13.
496 However, this change leads to an increase in the noise source within the stator domain.
497 The enhanced leakage flow in the near-stall point intensifies the interaction between the
498 rotor and stator in the tip region, as observed within the red circle. Consequently, the
499 changes in leakage flow augment the turbulent flow energy in the rotor wake, thereby
500 strengthening the rotor and stator interactions. It ultimately results in a larger noise source
501 on the stator surface.



502

503 **Figure 13.** The flow field in tip area.



504

505 **Figure 14.** The distributions of turbulence kinetic energy in the tip area.

506 **C. Unsteady flow analysis**

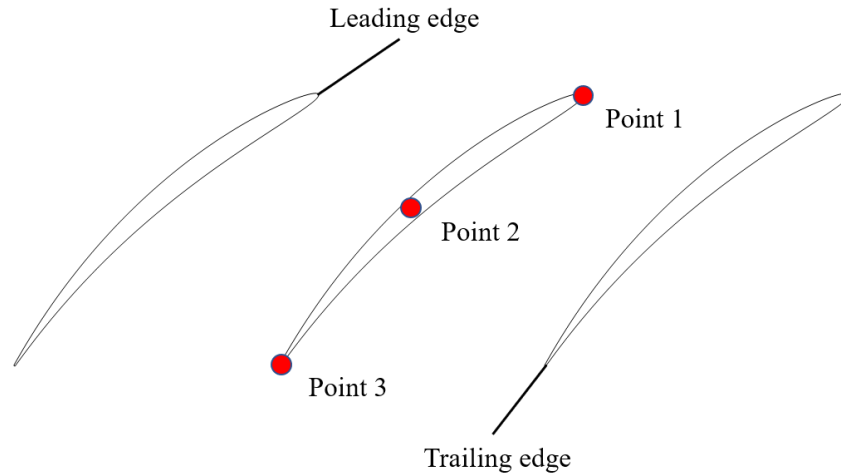
507 The analysis focuses on the unsteady flow characteristics in the tip area of the

508 compressor, considering that noise is a time-varying process, and the variation of static

509 pressure is of utmost interest. Multiple static pressure monitoring points are positioned on
510 the shroud in the unsteady simulation, remaining fixed and not rotating with the mesh in
511 the rotor area, as depicted in Figure 15. These monitoring points, labeled as points 1 to 3,
512 are located at the leading edge, middle of the chord, and trailing edge of the rotor to
513 capture static pressure variations at different positions. The pressure data from the blade
514 rotating two revolutions is collected for analysis once the unsteady simulation reaches
515 convergence. Figure 16 illustrates the temporal evolution of static pressure at these
516 monitoring points. The static pressure at all three measurement points exhibits perfect
517 periodicity at the highest efficiency operating point, with each cycle corresponding to the
518 time taken to complete one rotor revolution. Notably, points 1 and 2 display higher
519 pressure amplitudes compared to point 3, indicating that the flow dynamics influenced by
520 the leakage flow and tip vortex (as shown in Figure 13(a)) primarily impact the front half
521 of the rotor.

522 However, these characteristics undergo significant changes under the near-stall point.
523 The pressure amplitude at point 3, located at the trailing edge of the rotor, increases, while
524 points 1 and 2 experience a slight decrease. This observation signifies the occurrence of a
525 complex flow phenomenon in the near-stall operating condition. The degree of
526 unsteadiness within the compressor intensifies as the compressor approaches the stall

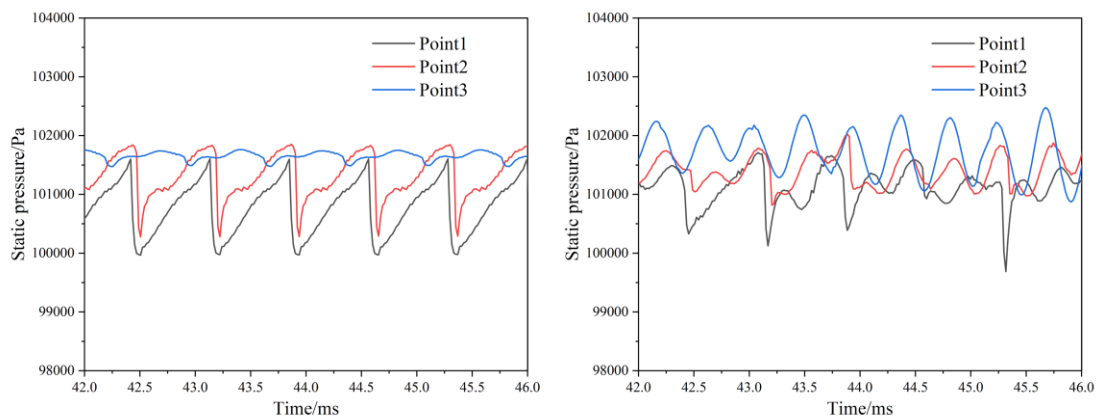
527 boundary, leading to a deviation from perfect periodicity in the time evolution of static
 528 pressure.



529
 530 **Figure 15.** The location of dynamic pressure measurement points.

531 The frequency characteristics are obtained by applying Fast Fourier Transformation
 532 to the static pressures measured at points 1 to 3, as depicted in Figure 17. Distinct peaks
 533 appear solely at the BPF and its harmonics, with negligible pressure amplitudes observed
 534 at other frequencies at the highest efficiency point, characterized by perfect time periodicity.
 535 Points 1 and 2 exhibit significantly higher peaks compared to point 3, consistent with the
 536 amplitude in the time domain. The static pressure exhibits prominent peaks not only at the
 537 BPF and its harmonics but also at frequencies of 1119 and 2250 Hz at the near-stall point,
 538 approximately 0.8 and 1.6 times the BPF, respectively. It indicates that the compressor
 539 operating at the near-stall point generates an additional noise source, distinct from the peak
 540 frequency associated with the BPF and its harmonics. Similar phenomena have been

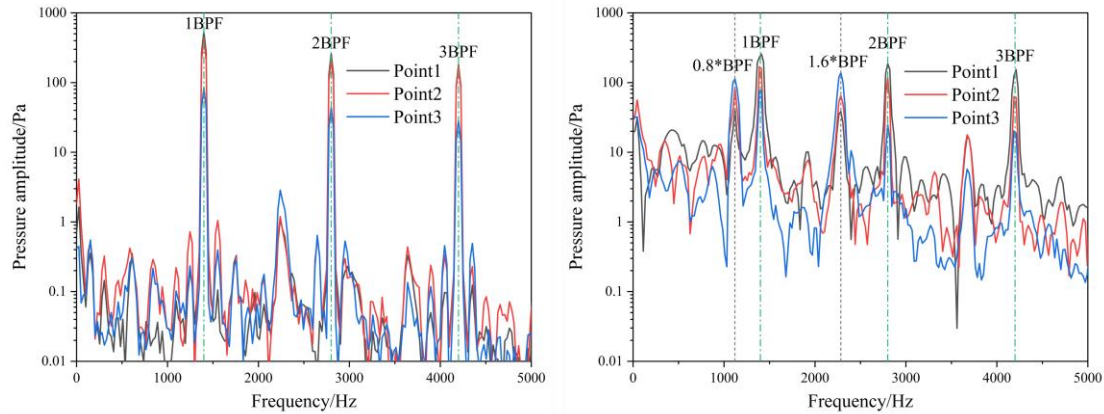
541 documented in the research findings of various scholars, with some reporting additional
542 peak frequencies at 0.5 times the BPF (Kameier and Neise, 1997; Galindo *et al.*, 2015),
543 while others at approximately 0.7 times the BPF (Zhu and Carolus, 2018; Zhu *et al.*, 2018).
544 Notably, the amplitude at the trailing edge is significantly greater than at the other two
545 locations for the additional peak frequency. Thus, there is a justifiable reason to infer the
546 presence of a complex flow structure in the tip region of the rotor during near-stall
547 operating conditions.



548 (a) The highest efficiency point.

(b) The near-stall point.

549 **Figure 16.** The process of static pressure at measurement points.



(a) The highest efficiency point.

(b) The near-stall point.

550

551 **Figure 17.** Frequency characteristics of static pressure at measurement points.

552 Figure 18 presents the development of the tip flow field at the near-stall point,

553 illustrated by the negative contour of axial velocity. The time displayed in the figure is

554 scaled by a denominator of 40 times the time step, corresponding to the duration required

555 for one rotor channel rotation. The interval between adjacent contours is set at 20 times

556 the time step, coinciding with half a channel rotation, allowing for the examination of flow

557 structure evolution in the tip region on a larger time scale. Analysis of Figure 18 reveals

558 the presence of four primary regions characterized by backward flow, where the axial

559 velocity is less than 0. These regions include: (i) Region A: Located near the leading edge,

560 generated by the tip clearance vortex. (ii) Region B: Situated near the trailing edge,

561 attributed to radial separation flow causing reverse flow. (iii) Region C: Represents a large

562 low-speed area formed after the merging of regions A and B. (iv) Region D: Originates

563 from the development of region C and is subsequently severed by the leading edge of the

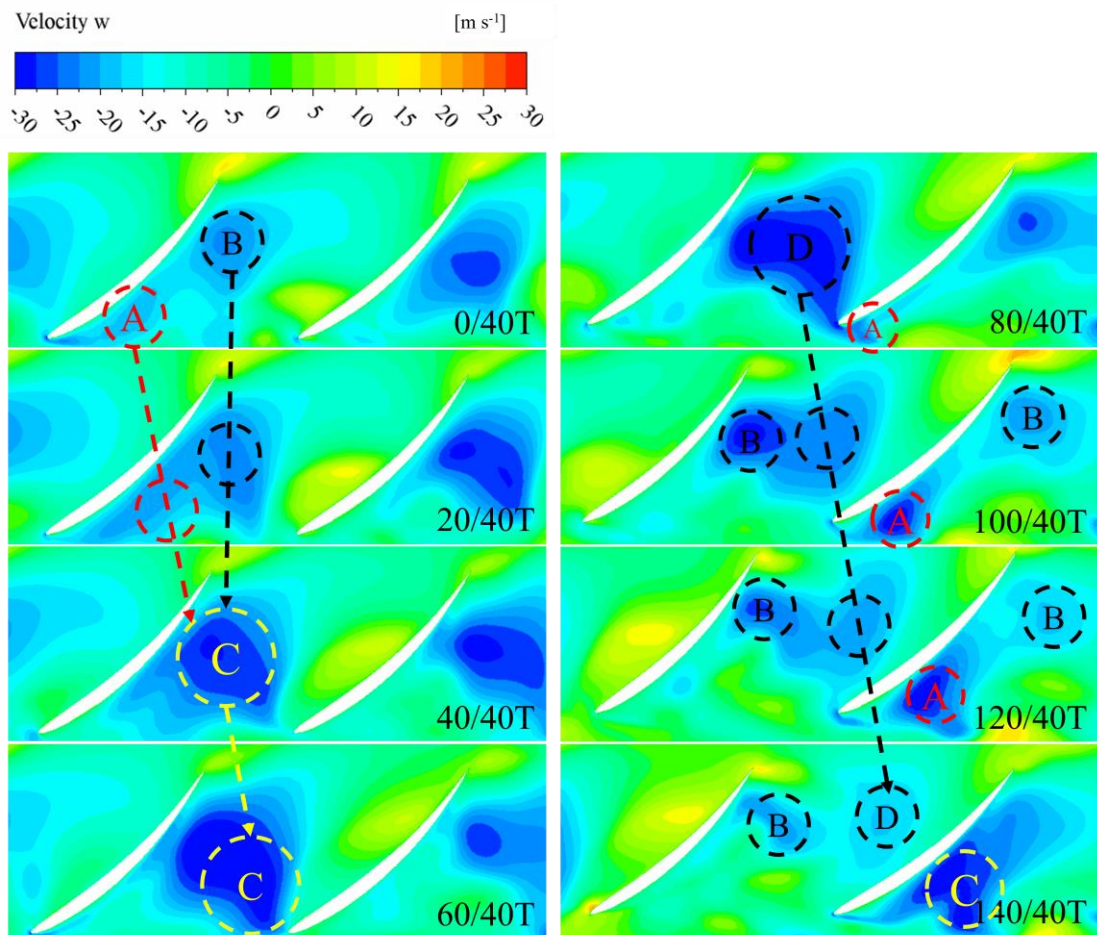
564 adjacent blade. Region D progresses downstream within the blade passage and eventually
565 dissipates. The identified regions contribute to the overall understanding of the flow
566 behavior within the tip region, providing valuable insights into the complex flow dynamics
567 occurring at the near-stall operating point.

568 At the time corresponding to 0/40 T, the generation of backflow regions A and B by
569 the tip leakage vortex and radial separation flow occurs at the leading and trailing edge of
570 the rotor, respectively. Confirmation of region B's origin from the radial separation flow
571 is depicted by the streamlines shown in Figure 19, which are associated with region B and
572 emanate from the vicinity of the hub near the rotor suction surface. By 20/40 T, a tendency
573 of mixture arises as region A propagates towards the trailing edge, leading to the blending
574 of regions A and B. Subsequently, the combination of regions A and B gives rise to the
575 formation of a large low-speed region C within the rotor channel at 40/40 T. Region C
576 progresses towards the leading edge of the adjacent blade at 60/40 T, eventually engaging
577 in interaction with the adjacent blade. Region C divides into two reverse flow regions at
578 80/40 T, namely A and a merged region D (region D and region B are mixed and difficult
579 to distinguish at this moment). Additionally, region A manifests near the leading edge of
580 the adjacent blade. Regions B and D inevitably split into two distinct parts at 100/40 T, as
581 the location of radial separation flow generation remains unchanged, and region D

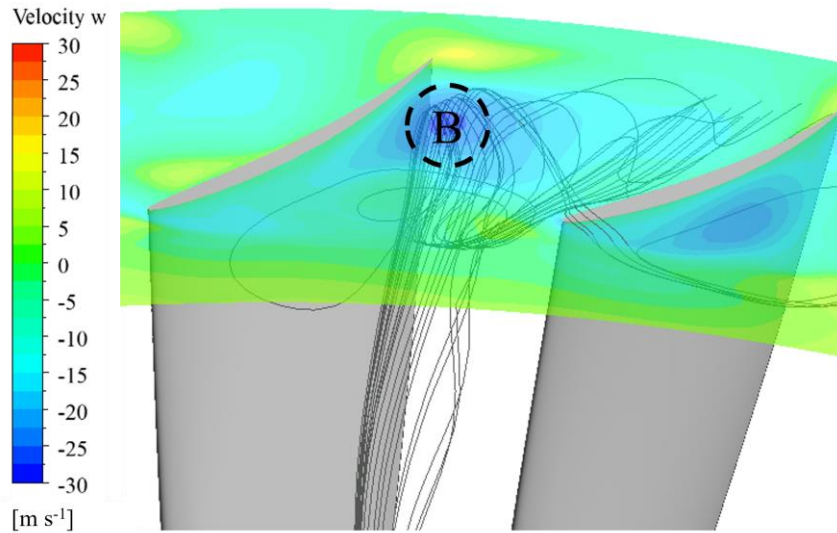
582 continues to develop downstream. Concurrently, the severed region A develops backward
583 at the leading edge of the adjacent blade, anticipated to merge with the adjacent blade's
584 region B. The backflow velocity within region D gradually diminishes during the
585 subsequent intervals of $120/40 T$ and $140/40 T$, dissipating continuously as the channel
586 evolves. Simultaneously, region A persists in backward development until eventually
587 merging with region B, generating a large low-speed region C within the adjacent rotor.

588 The multitude of reverse flow regions of varying sizes is formed in the rotor channel
589 as the outlet pressure of the compressor is increased, resulting in the development of a
590 complex flow in the rotor's tip area. These reverse flow regions ultimately contribute to
591 the occurrence of compressor stall. The presence of these regions not only significantly
592 impacts the aerodynamic performance of the compressor but also leads to the emergence
593 of numerous low-speed clusters that interact with the rotor blades. The existence of these
594 low-speed clusters has dual consequences. Firstly, it imposes an augmented load on the
595 blade surface, intensifying the aerodynamic noise sources of the compressor, particularly
596 the dipole sources. Secondly, the interaction between the low-speed clusters and the rotor
597 blades gives rise to new interference frequencies in the spectrum, causing changes in the
598 noise frequency characteristics of the compressor and introducing additional noise sources
599 (as evidenced by the peaks at 0.8 times and 1.6 times the BPF in Figure 17).

600 Figure 20 illustrates the tip flow field at the highest efficiency point, revealing the
 601 absence of any discernible recirculation structure in the rotor tip area. The figure
 602 specifically displays the speed distribution of the rotor at 0/40 T and 140/40 T, as the
 603 contours at different time instances exhibit a high degree of similarity. The flow within the
 604 channel appears remarkably smooth and unobstructed, in stark contrast to the velocity
 605 distribution observed at the near-stall point.

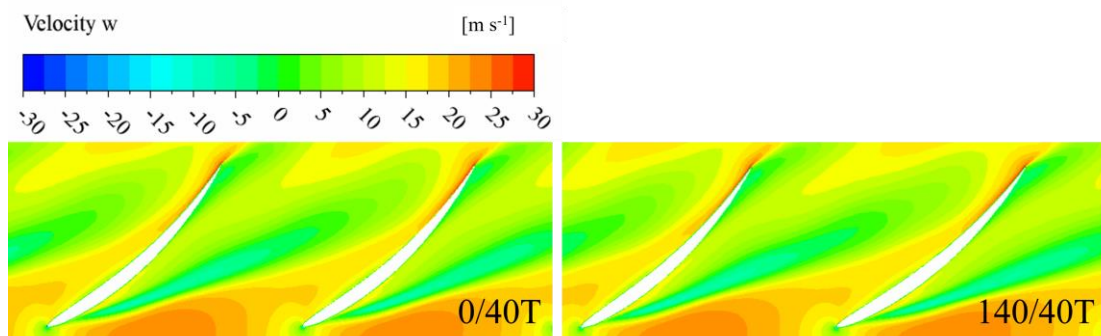


606
 607 **Figure 18.** The development process of the flow structure in the tip area in near-stall point.



608

609 **Figure 19.** The structure of radial separation flow at near-stall point.



610

611 **Figure 20.** The process of flow structure in the tip area at highest efficiency point.

612 **D. Aerodynamic noise sources and free-field acoustic responses**

613 The direct indicators of machine noise intensity are undoubtedly the intensity of the

614 noise source and the radiated sound power in free-field in noise analysis. The distribution

615 of the noise source in the impeller area is calculated using aerodynamic noise theory in this

616 study, while the noise propagation in free-field is simulated using the obtained noise source.

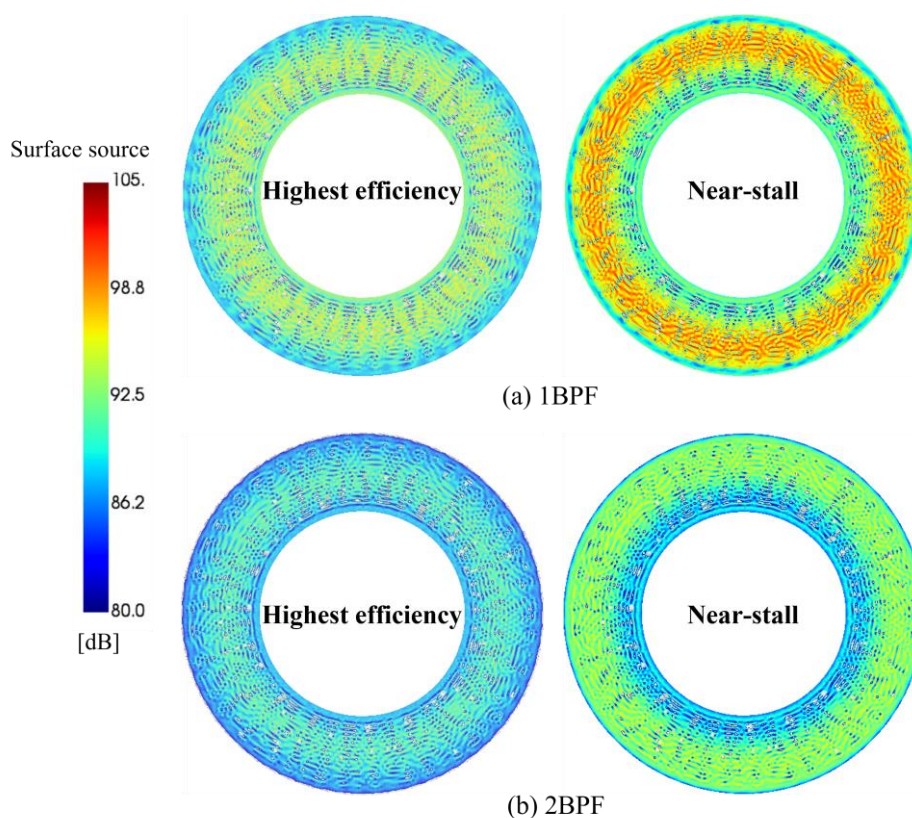
617 Both calculations do not involve complex structures that can reflect or diffract noise,

618 thereby providing a direct representation of the noise generated under different working
619 conditions. The rotor-stator interaction, acknowledged as the principal mechanism of
620 aerodynamic noise (Lewis *et al.*, 2022), contributes as a surface noise source based on the
621 weak variational form of Lighthill's theory in Section 2.3 and the surface source schematic
622 diagram presented in Figure 6. Consequently, the distribution of surface noise sources at
623 the rotor-stator interface serves as a reflection of both the strength of the rotor-stator
624 interaction and a crucial basis for assessing the intensity of the aerodynamic noise source.

625 The primary focus lies on the source of typical single-tone noise. The intensity
626 distribution of the noise source for the first two orders of BPF in the rotor-stator interface
627 is depicted in Figure 21, with a display range spanning from 80 to 105 dB. The most
628 significant variation is observed in the outer circle beyond 30% of the radius, exhibiting
629 an approximately 6 dB difference comparing the surface noise source distribution under
630 the highest efficiency and near-stall working conditions. The disparity in the intensity of
631 the noise source is minimal in the inner ring below 30% of the radius, with an approximate
632 value of 2 dB. It indicates that the effect of rotor-stator interaction in the compressor is
633 indeed intensified at the near-stall point, resulting in an increased aerodynamic noise source
634 associated with typical single-tone noise. Furthermore, the impact on the noise source
635 distribution at the near-stall point predominantly concentrates in the outer ring at the

636 higher radius, aligning with the preceding analysis of flow structures, as the complex flow
637 at the near-stall point originates from the rotor's tip area.

638 Subsequently, the analysis focuses on the distribution and disparity of aerodynamic
639 noise sources corresponding to the additional peak frequencies obtained in Figure 17.
640 Figure 22 presents the findings. A substantial discrepancy is observed in the intensity of
641 the noise source at the rotor-stator interface, surpassing that at the BPF and its harmonics
642 since the normally operating compressor where no additional peak frequencies are
643 generated (as depicted in Figure 17(a)). The noise source under the near-stall condition is
644 approximately 20 dB greater than that under the highest efficiency point at 0.8 times BPF,
645 while the disparity at 1.6 times BPF exceeds 10 dB as well. Undoubtedly, these two
646 additional frequencies will manifest as new noise sources for the compressor during
647 operation at the near-stall point.



648

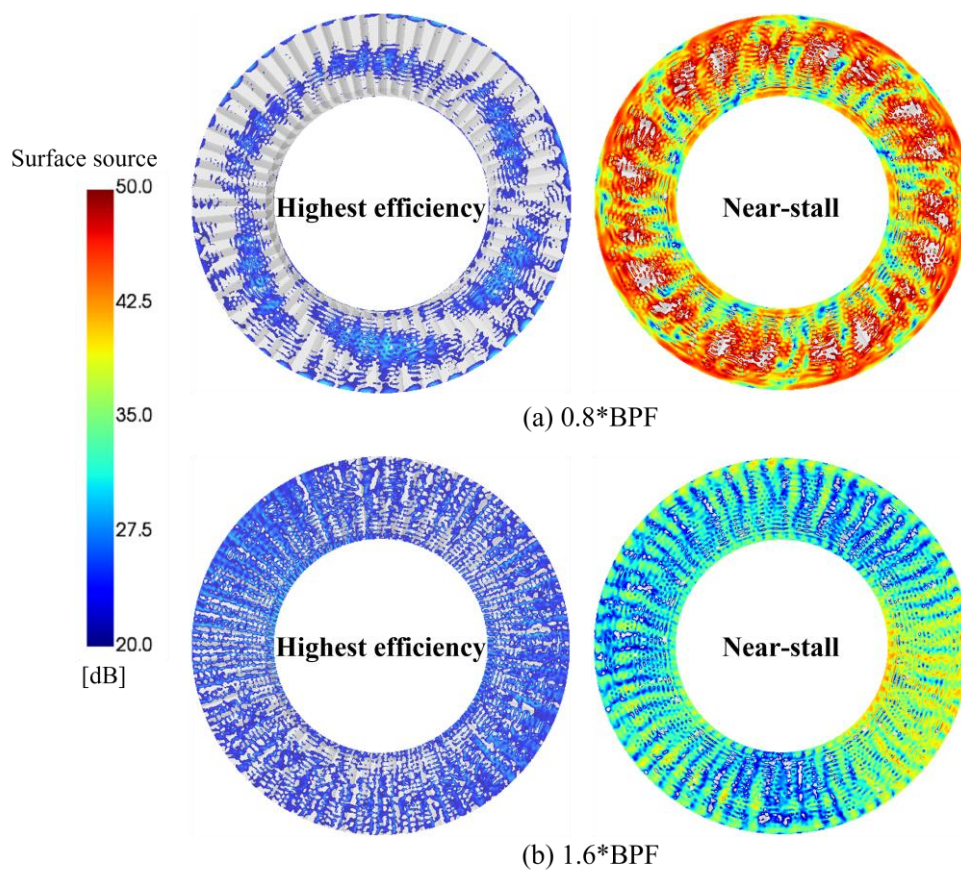
649 **Figure 21.** The intensity of surface noise source at 1BPF and 2BPF in rotor-stator
650 interface.

651 The discrepancy in volume sources of the stator under the two operational conditions
652 is depicted in Figure 23. Variances between the highest efficiency point and the near-stall
653 point are similarly identified. Under 0.8 times BPF, heightened noise source intensity at the
654 highest efficiency point is exclusively distributed within the region encompassing the stator,
655 while near the stall point, elevated noise source intensity permeates the entire stator
656 calculation domain, encompassing the stator wake area. This variability undergoes a
657 substantial reduction at 1.6 times BPF. In general, the noise source intensity at the highest

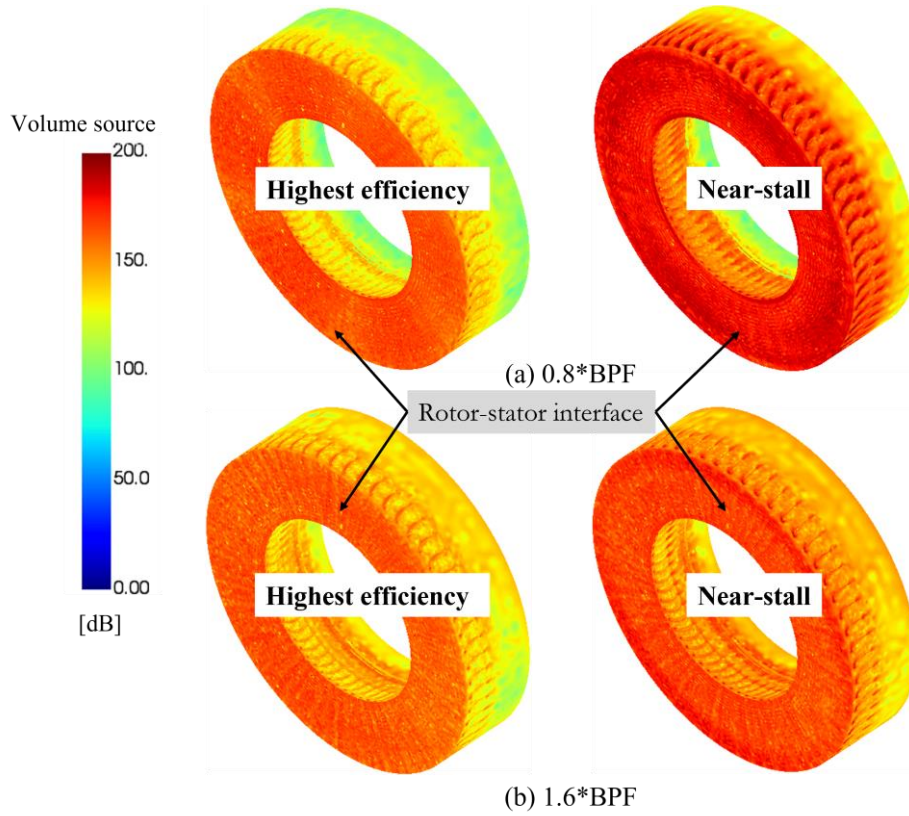
658 efficiency point remains inferior to that near the stall point, with the most pronounced
659 noise source situated on the stator surface. The observation suggests that the dipole noise
660 source, resulting from rotor-stator interaction, prominently manifests as the primary
661 compressor noise source, specifically distributed on the stator surface.

662 Finally, Figure 24 presents the sound power responses in free-field for the highest
663 efficiency and near-stall conditions. The radiated sound power in free-field is computed
664 using the FW-H equation (Williams and Hawkings, 1969), while the evaluation of the
665 compressor's total noise intensity relies on the radiated sound power on a spherical surface
666 encompassing the compressor impeller. The typical single-tone noise at the near-stall point
667 surpasses that at the highest efficiency point. Specifically, the discrepancy is 3.2 dB at 1BPF,
668 and 2.1 dB and 0.5 dB at 2BPF and 3BPF, respectively. However, the difference in radiated
669 sound power level is comparatively significant at the two additional frequencies (0.8 and
670 1.6 times BPF), particularly at 0.8 BPF, reaching as high as 33.3 dB. The peak value of 0.8
671 and 1.6 times BPF is as high as 80 dB, second only to that of 1BPF, equivalent to the peak
672 values of 2BPF and 3BPF, thereby constituting an important noise source for the
673 compressor at the near-stall point. Furthermore, the broadband noise emitted by the
674 compressor at the near-stall point is significantly greater than that at the highest efficiency
675 point. The total sound power levels in free-field for the compressor at the highest efficiency

676 and near-stall points are 110.5 dB and 119.3 dB, respectively. The single-tone noise and
 677 broadband noise originating from the near-stall point exceed those at the highest efficiency
 678 point, with the single-tone noise corresponding to 0.8 times BPF exhibiting a substantial
 679 increase. These findings are consistent with the conclusions drawn from the flow field
 680 analysis. The two additional peak frequencies resulting from the complex flow indeed serve
 681 as new noise sources for the compressor at the near-stall point, and their impact cannot be
 682 overlooked, as they make a crucial contribution to the overall noise level.

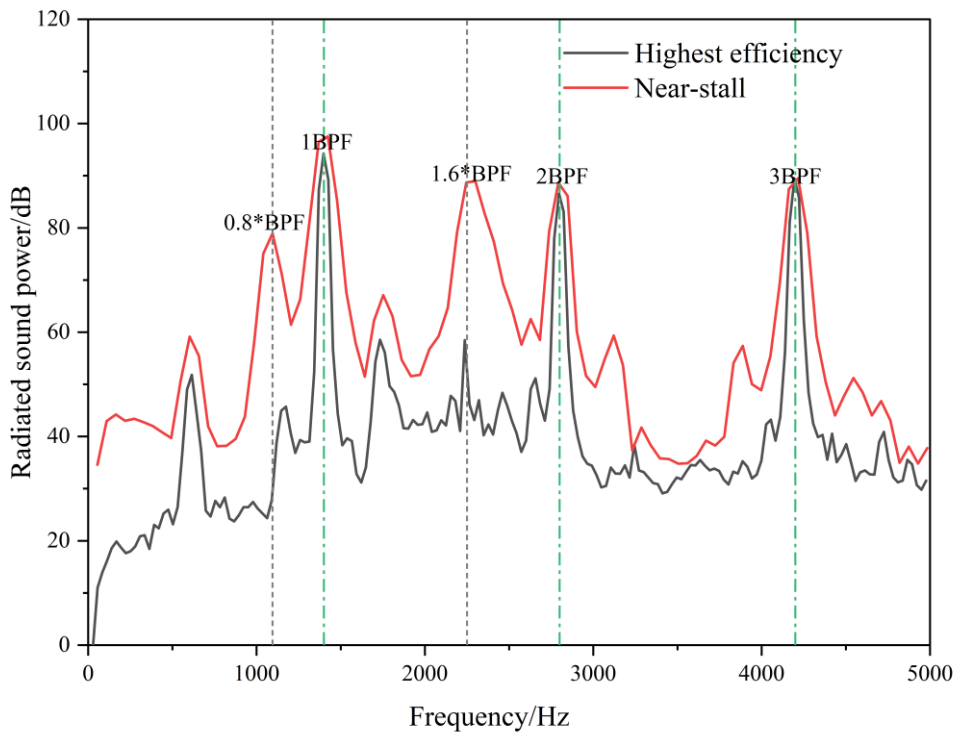


683
 684 **Figure 22.** The intensity of surface noise source at 0.8*BPF and 1.6*BPF in the rotor-
 685 stator interface.



686

687 **Figure 23.** The intensity of volume noise source at 0.8*BPF and 1.6*BPF in the stator.



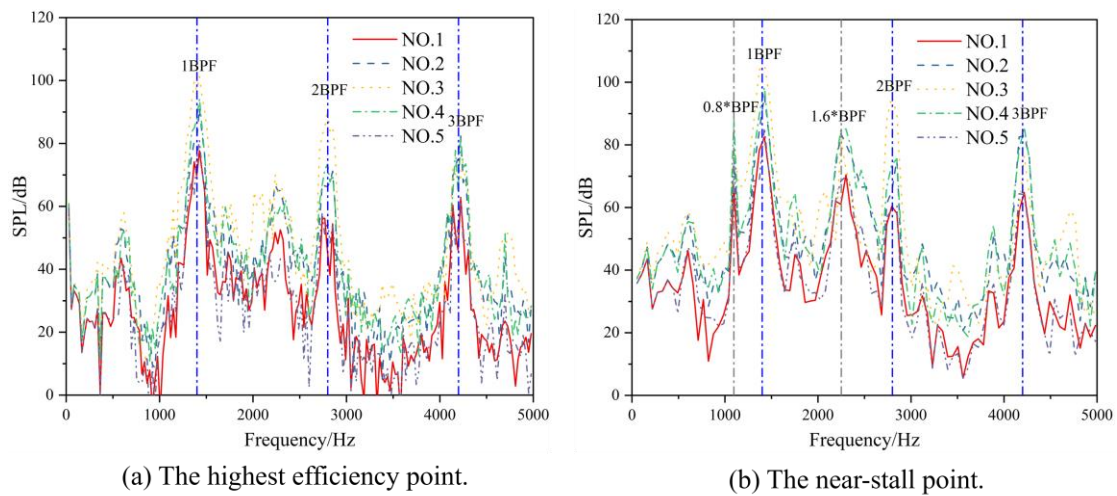
688

689 **Figure 24.** The radiated sound power in free-field.

690 **E. Actual duct acoustic response**

691 The noise analysis in the actual duct utilizes the mesh depicted in Figure 6, and Figure
692 25 illustrates the noise spectrum obtained from numerical simulations of the five
693 measurement points situated in the inlet plane shown in Figure 8. The Distribution at the
694 inlet plane is approximate axisymmetric regarding the BPF and its harmonics. Notably,
695 Point 3, which faces the center of the inlet, registers the highest SPL at the BPF and its
696 harmonics, while Points 1 and 5, located farthest from the axis (with a 90-degree angle
697 between the line connecting the monitoring point and the center of the inlet plane and the
698 compressor axis), exhibit the lowest SPL. Concerning 0.8 and 1.6 times BPF, the radiation
699 lobe of noise at the inlet is biased towards the side encompassing Points 4 and 5. Points 3,
700 4, and 5 showcase the highest peaks at these two frequencies, whereas Points 1 and 2
701 display the smallest peaks. Figure 26 presents a comparison of the total SPL of the
702 compressor under the highest efficiency and near-stall conditions. Irrespective of the
703 working condition, the total SPL at the compressor's inlet plane also demonstrates an
704 approximate axisymmetric distribution, with the noise level at the near-stall operating point
705 averaging around 6 dB higher than that at the highest efficiency point. This observation
706 underscores that the noise experiences a relatively substantial increase under extreme

707 conditions even within the actual duct.



708

709 **Figure 25.** Noise spectrum of measurement points of the inlet plane.

710 A detailed comparison of the noise spectrum predicted at point 3 under different

711 operating conditions is depicted in Figure 27. It becomes evident that the acoustic response

712 of the compressor noise, after propagating through the actual duct, closely resembles that

713 of the free-field when considering the spectrum of free-field radiated sound power in

714 Figure 24. However, at 0.8 and 1.6 times BPF, the noise propagation at the near-stall point

715 experiences suppression due to a significant reduction in the disparity between the near-

716 stall point and the highest efficiency point at these frequencies. More specifically, the

717 difference at 0.8 times BPF amounts to approximately 10 dB, while the disparity decreases

718 to 7 dB at 1.6 times BPF. A preliminary evaluation of the sound propagation in the actual

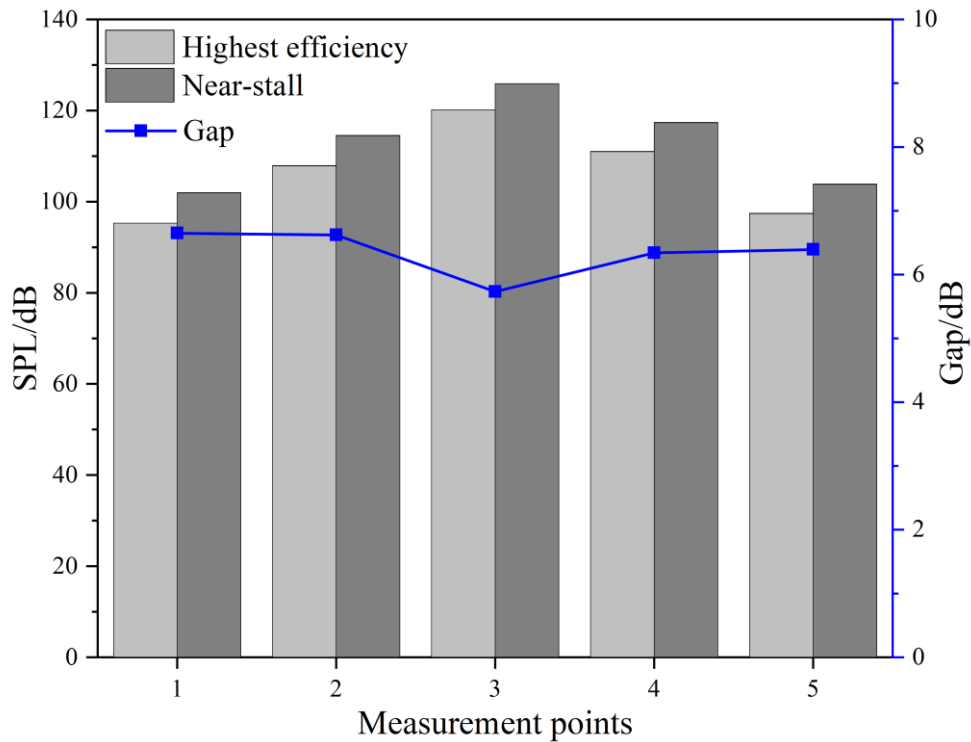
719 duct is obtained using

$$f_{mn} = \sqrt{1 - M^2} \frac{\alpha_{mn} c}{2\pi a} \quad (22)$$

720 to calculate the cut-off frequencies of the higher-order modes. In Equation (22), f_{mn} is
 721 the cut-off frequency of the m-th order circumferential and n-th order radial modes, M
 722 is the Mach number corresponding to the axial velocity, α_{mn} is the nth zero point of the
 723 derivative of the m-th order Bessel function of the annular duct, c is the speed of sound,
 724 and a is the outer radius.

725 A mode can propagate in the duct when the frequency of interest exceeds the duct's
 726 cut-off frequency according to the duct acoustics theory (Jacobsen and Juhl, 2013). The
 727 focal point of this study revolves around the additional peak frequencies, specifically the
 728 frequencies of 0.8 and 1.6 times BPF. The velocity is approximately 22.1 m/s at the near-
 729 stall point. An analysis is conducted to determine the cut-off frequencies for various
 730 modes at the near-stall point by employing the parameters presented in Table I, and the
 731 results are outlined in Table IV. Regarding the frequency of 0.8 times BPF, the highest
 732 circumferential mode order capable of propagation is 3, while no higher-order modes in
 733 the radial direction are excited, as demonstrated in the shaded region in Table IV. On the
 734 other hand, the maximum circumferential mode order that can propagate in a practical
 735 annular duct reaches 7 for the frequency of 1.6 times BPF. Only a mode order of 1 can
 736 propagate in terms of the radial direction. Consequently, a majority of the higher-order

737 modes are attenuated, providing a partial explanation for the distinctions observed
 738 between the free-field response and the practical duct acoustic response at 0.8 and 1.6
 739 times BPF in a real duct configuration.

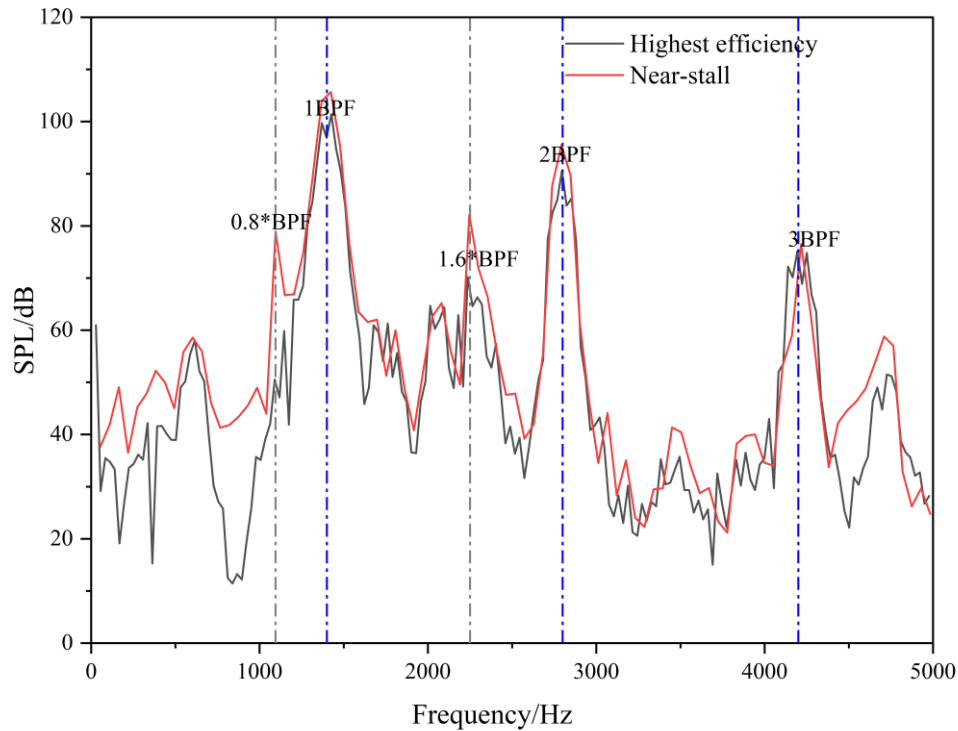


740

741 **Figure 26.** The SPL comparison of the measuring points.

742 To conduct a comparative analysis of the noise distribution at the entrance of the
 743 compressor, the SPL distribution of the typical 0.8 times BPF and the BPF is selected for
 744 examination, as depicted in Figure 28. The SPL distribution exhibits striking similarity
 745 under different working conditions in the case of the BPF, with only slight variations
 746 observed in the magnitude of the radiated sound lobe. Conversely, the radiation lobes of
 747 the near-stall point differ entirely from those of the highest efficiency point (both in terms

748 of the number of lobes and the orientation of the main lobe) at 0.8 times BPF, signifying
 749 a substantial alteration in the radiation characteristics of the entrance.

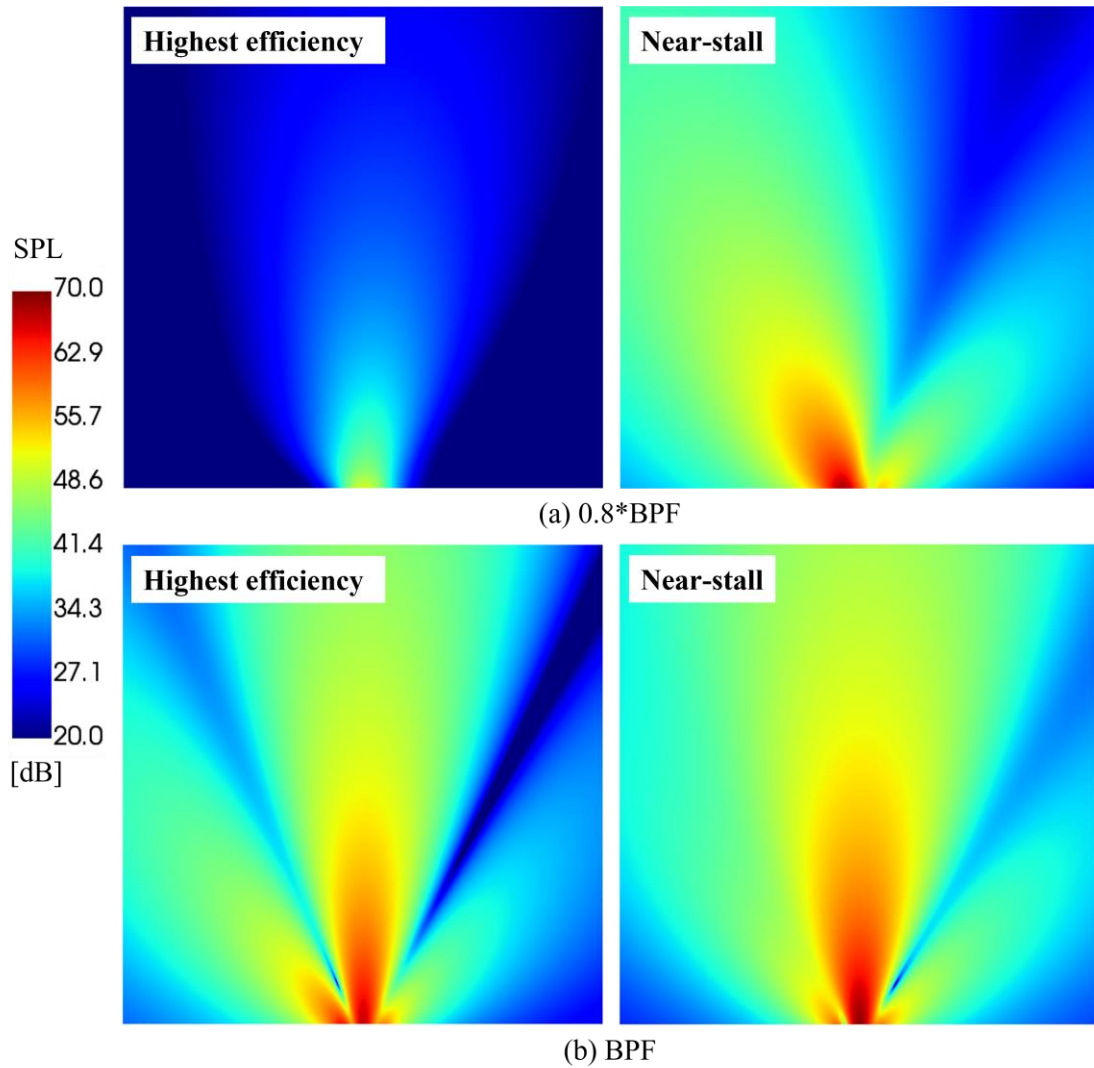


750

751 **Figure 27.** Noise spectrum comparison of measuring point 3.

752 **Table IV.** The cut-off frequency at the near-stall point.

Mode (m, n)	Cut-off frequency/Hz	Mode (m, n)	Cut-off frequency/Hz
(1,0)	311.9	(5,0)	1483.4
(2,0)	619.3	(0,1)	1602.7
(3,0)	918.2	(6,0)	1751.3
(4,0)	1206.3	(7,0)	2012.1



753

754 **Figure 28.** SPL distribution at the entrance.

755 **IV. CONCLUSIONS**

756 In this paper, a hybrid method combining Computational Fluid Dynamics and Finite

757 Element Method was employed to investigate the flow field and aerodynamic noise of a

758 maritime 1.5-stage axial compressor operating at both highest efficiency and near-stall

759 points. The focus was on discussing the differences in flow characteristics and noise

760 response between these two operating conditions. Our research significantly expands the
761 understanding of aerodynamic noise in 1.5-stage compressors under near-stall conditions,
762 an area that has previously received limited numerical investigation. The numerical
763 approach used in this study has been previously validated through experimental analysis.

764 The key findings of this investigation can be summarized as follows:

765 A comparison of steady flow parameters at the highest efficiency and near-stall points
766 revealed that the primary change in flow structure occurs in the rotor's tip region. The
767 extent and magnitude of leakage flow and tip leakage vortex are greater at the near-stall
768 point, altering the distribution of turbulent kinetic energy associated with noise within the
769 tip area.

770 A significant difference was observed at the near-stall point, where two extra peak
771 frequencies emerged, specifically 0.8 and 1.6 times the Blade Passage Frequency. In
772 addition to peaks corresponding to the Blade Passage Frequency and its harmonics within
773 the frequency spectrum of pressure fluctuations in the tip region, a detailed examination
774 of flow phenomena within the tip area at the near-stall point was conducted to
775 comprehensively describe the flow structure associated with these additional peak
776 frequencies.

777 The analysis of the surface source presentation reveals an observed elevation in the

778 intensity of the noise source at the near-stall point during the Blade Passage Frequency
779 and its harmonics, with the most prominent amplification of the noise source evident at
780 the two supplementary peak frequencies. This finding is further corroborated by the
781 examination of the radiated sound power spectrum in the free-field, unequivocally
782 demonstrating that the additional peaks have assumed a crucial role as noise sources for
783 the compressor at the near-stall operating point.

784 Finally, a comprehensive investigation was conducted to examine the acoustic response of
785 the compressor in the actual duct, which revealed an average increase of 6 dB in noise
786 levels at the near-stall point. Notably, the distribution of noise at the compressor inlet
787 during the Blade Passage Frequency and its harmonics exhibited an approximate
788 axisymmetric pattern. However, a distinct disparity was observed in the noise distribution
789 between the highest efficiency and near-stall points at the additional peak frequencies.
790 Specifically, the presence of single-tone noise with extra peak frequencies appeared to be
791 attenuated within the duct, possibly due to the limited number of propagable acoustic
792 modes in the annular duct.

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797 **AUTHOR DECLARATIONS**

798 The authors declare no conflict of interest in preparing this article.

799 **DATA AVAILABILITY**

800 The data that support the findings of this study are available from the corresponding
801 author upon reasonable request.

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