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Spin-Phonon Interaction in Yttrium Iron Garnet

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Abstract: Spin-phonon interaction is an important channel for spin and energy relaxation in magnetic insulators. Understanding this interaction is critical for developing magnetic insulator-based spintronic devices. Quantifying this interaction in yttrium iron garnet (YIG), one of the most extensively investigated magnetic insulators, remains challenging because of the large number of atoms in a unit cell. Here, we report temperature-dependent and polarization-resolved Raman measurements in a YIG bulk crystal. We first classify the phonon modes based on their symmetry. We then develop a modified mean-field theory and define a symmetry-adapted parameter to quantify spin-phonon interaction in a phonon-mode specific way for the first time in YIG. Based on this improved mean-field theory, we discover a positive correlation between the spin-phonon interaction strength and the phonon frequency.

Introduction

Magnetic insulators are of considerable interest in spintronics due to their minimal spin damping [1–3]. This low damping originates in part from the absence of low-energy electronic excitations, leaving the spins to interact primarily with other spins (magnons) and the lattice (phonons). Beyond their role in spin excitation damping, interactions between the magnons and phonons play a crucial role in developing devices based on thermally driven spin transport [4–6], spin pumping through hybrid spin-lattice excitations [7], and magnon cavity quantum electrodynamics [8,9]. Of various magnetic insulators explored for spintronic devices, yttrium iron garnet (YIG): $\text{Y}_3\text{Fe}_5\text{O}_{12}$ is the most widely investigated due to its remarkably low spin damping and its high transition temperature of 560 K [10,11]. While *ab initio* studies have some progress at describing spin wave phenomena [12,13], extracting the spin-phonon interaction (SPI) of YIG remains difficult due to its massive unit cell (160 atoms as in inset of Fig. 1a).

The SPI in YIG has been investigated through different types of experiments. Brillouin light scattering and spin Seebeck transport measurements of YIG have examined the interactions of magnons and phonons through quasiparticle hybridization [14–17]. Other studies have touched upon the SPI by

47 measuring the magnon-phonon energy relaxation length and time [4,18–20]. However, no study provides
48 a direct and quantitative measurement of the strength of the SPI in YIG in a phonon-mode specific way.
49 Without knowing the SPI strength, it is difficult to develop accurate models of spin relaxation in YIG or
50 compare YIG to other magnetic insulators for device development.

51 Here we report Raman spectroscopy studies of optical phonons in a YIG bulk crystal. By
52 analyzing their symmetry properties and temperature-dependent phonon frequency shift, we investigate if
53 SPI changes systematically for each phonon mode. We determine that the complex unit cell precludes a
54 direct correlation between symmetry or frequency of a phonon mode with the conventional λ -model of
55 the SPI strength [21–23]. By developing a mean-field model and defining a new parameter to describe
56 SPI strength, we observe a correlation between this mean-field SPI parameter and phonon frequency.
57 These results provide crucial information and advance the understanding of how magnons and phonons
58 interact in YIG.

59

60 Experiment

61 YIG ($\text{Y}_3\text{Fe}_5\text{O}_{12}$) is an insulating ferrimagnet (FiM) with Curie temperature $T_C = 570$ K [24,25].
62 YIG crystals exhibit symmetries described by cubic space group $Ia\bar{3}d$ (No. 230) and point group O_h at
63 the Γ point [26–28]. Inversion symmetry present in O_h implies that the phonon modes show mutually-
64 exclusive infrared and Raman activity. The possible Raman irreducible representations in O_h are either
65 T_{2g} , E_g , or A_{1g} . The crystal structure is composed of Y atoms occupying the 24c Wyckoff sites, Fe ions in
66 the 16a and 24d positions, and O atoms in the 96h sites. The conventional unit cell has eight formula units,
67 with 24 Y ions, 40 Fe ions, and 96 O ions for a total of 160 atoms.

68 Raman measurements were taken with a 532 nm laser incident on a bulk YIG single crystal with
69 $[001]$ oriented along the surface normal. The sample measured approximately $5\text{mm} \times 3\text{mm} \times 1\text{mm}$ and
70 was grown using the traveling-solvent floating-zone method in an infrared-heated image furnace [29]. The
71 scattered light was collected in a backscattering geometry and directed to a diffraction grating-based
72 spectrometer. The observed optical phonon modes in the Raman spectra agree with previous
73 measurements of YIG [30,31]. Low-temperature measurements from 8.8 K to 313.65 K were performed
74 in a closed-loop cryostat, and high-temperature measurements from 313.65 K to 631.95 K were performed
75 with a ceramic heater. Between each temperature, the sample was allowed to equilibrate for 15 minutes
76 or longer. The laser spot sizes and powers were $0.8 \mu\text{m}$ and 4 mW, and $1.3 \mu\text{m}$ and 6 mW, for the high
77 and low temperature measurement sets, respectively. A saturating magnetic field was applied in the sample
78 plane for all measurements. Due to constraints of the experiment systems, low-temperature measurements
79 used a 300 mT saturating field, and the high-temperature measurements used a 50 mT saturating field. As
80 both fields were above the saturating field, typically $\sim 10\text{s}$ of mT, this difference did not noticeably affect
81 the magnetic ordering of YIG or the Raman spectra [32,33].

82 Raman spectra were collected with a fixed polarization (\hat{e}_i) and normal incidence on the sample.
83 Fig. 1a shows the spectra collect for the scattered light polarization (\hat{e}_s) parallel and perpendicular to \hat{e}_i ,
84 at low temperature (8.8 K). Phonon modes of different symmetries scatter light with different
85 polarizations. Fig. 1 (b) and (c) show the intensity of the Raman signal from the scattered light as it passed
86 through a linear polarizer, with the polarization axis rotated in steps of 20° from -28° to 152° , with 0°
87 corresponding to aligned parallel with the $[110]$ crystal axis. Based on the results, the phonons are
88 categorized with their respective irreducible representations: T_{2g} , E_g , or A_{1g} .

89 The temperature dependence of the phonon frequencies was determined by fitting with a
90 Lorentzian function and extracting the central frequencies. We plot the measured Raman spectra for one
91 T_{2g} mode at three different representative temperatures 8.8 K, 313.65 K, and 632 K in Fig. 2 (a), (b), and
92 (c). At low temperatures (e.g. 8.8 K), the low thermal population of the phonons reduces the Raman
93 intensity. In contrast, the phonon modes exhibit a broader linewidth at high temperatures due to increased
94 phonon-phonon and phonon-magnon scattering, which lowers the peak intensity. Consequently, the
95 temperature-dependent frequency was only measurable for a subset of the observed phonons. The

96 temperature dependence of the peak frequencies for the two modes is shown in Fig. 2d and 2e. The
 97 temperature dependence of peak frequencies of all the measurable phonon modes can be found in
 98 Supplementary Materials [34].
 99

100 Results

101 In the absence of spin order above the transition temperature (i.e. 559 K for YIG), the temperature
 102 dependence of the optical phonon frequency ω_p is determined by anharmonic effects, i.e. phonon-phonon
 103 scattering. Well below the melting points, 3-phonon scattering dictates the temperature dependence of ω_p
 104 as follows

$$105 \quad \omega_p(T) = \omega_p(0) - A \left(1 + \frac{2}{\text{Exp}[x] - 1} \right) \quad (1)$$

106 where $\omega_p(0)$ is the zero temperature phonon frequency, A is a coefficient related to the 3-phonon
 107 scattering strength and $x = \hbar\omega_p(0)/2k_B T$ with Planck's constant \hbar , Boltzmann's constant k_B , and
 108 temperature T [21–23]. We fit the peak frequency above 559 K using Eq. (1) to determine $\omega_p(T)$ for each
 109 phonon mode. Examples of these fits are shown in Fig. 2d and 2e.

110 In the magnetically ordered state, the influence of spin order on the phonon frequency can be
 111 treated as a small deviation, $\Delta\omega_{sp}$, such that the optical phonon frequency is given as

$$112 \quad \omega'_p = \omega_p(T) + \Delta\omega_{sp} \quad (2)$$

113 where ω'_p is the measured phonon frequency. Then, $\Delta\omega_{sp}$ can be found by taking the difference of the
 114 measured frequency and anharmonic temperature-dependent phonon frequency, i.e. $\omega'_p - \omega_p(T)$, as
 115 shown in Fig. 3 for selected phonon modes.

116 Many previous studies of the SPI express the frequency deviation as $\Delta\omega_{sp} = \lambda \langle \mathbf{S}_i \cdot \mathbf{S}_j \rangle$, where λ
 117 is a single term capturing the SPI strength and $\langle \mathbf{S}_i \cdot \mathbf{S}_j \rangle$ represents nearest-neighbor spin correlation
 118 function [35–39]. The spin correlation function can be approximated $\langle \mathbf{S}_i \cdot \mathbf{S}_j \rangle \approx S_z^2 B_J(T)$, where B_J is
 119 the Brillouin function, which has a maximum value of 1 at $T/T_c = 0$ [36]. Thus to find λ without the
 120 spin-related, temperature-dependent contribution to the frequency, $\Delta\omega_{sp}$ should be evaluated at $T = 0$.
 121 Table 1 reports frequency deviation measured at 8.8 K, $\Delta\omega_{sp}^0 = \omega'_p - \omega_p(8.8 \text{ K})$, the lowest temperature
 122 reached in our experiments. The high T_c of YIG and slow decrease of $B_J(T)$ results in $B_J(8.8 \text{ K}) \approx 1$.
 123 Then, $\langle \mathbf{S}_i \cdot \mathbf{S}_j \rangle \approx S_z^2$ and using $S_z = \frac{5}{2}$ for the magnetic iron atoms in YIG, λ is found from $\Delta\omega_{sp}^0$, also
 124 reported in Table 1. Examining the results shown in Table 1, there is no clear trend for $\Delta\omega_{sp}^0$ and λ with
 125 either the frequency or symmetry of the mode. These results highlight the deficiency of the λ model that
 126 has been applied successfully for other materials with a simple unit cell such as FeF₂ and ZnCr₂O [35–
 127 39].
 128

129 Discussion

130 The simple λ model, which treats all phonon modes equally, is insufficient for describing the SPI
 131 in YIG. This is not surprising as the large unit cell leads to complicated phonon dispersions. However, a
 132 more detailed first-principles approach like density functional theory (DFT) for determining the SPI is
 133 exceedingly difficult, again due to the large unit cell of YIG, as well as the especially high precision
 134 required in the computations to accurately describe the lattice vibrations and their coupling to magnetic
 135 order. Thus, to describe spin-phonon interaction in YIG, we develop a modified mean-field model that
 136 captures the mode dependence of the SPI.

137 We begin with the Ginzburg-Landau (GL) potential describing the magnetic order,

$$138 \quad F = \frac{A}{2} m^2 + \frac{B}{2} m^4 \quad (3)$$

139 where $m \equiv M/M_0$ is the ferrimagnetic order parameter defined as the magnetization (M) divided by its
 140 zero temperature value (M_0). The GL parameters A and B have units of energy and $A = -a(T_c - T)$,
 141 where T_c is the magnetic transition temperature. The temperature dependence of the order parameter
 142 agrees well with the temperature dependence of the magnetic moment of YIG reported in the literature
 143 (Supplementary Material [34]).

144 This GL potential only includes magnetic order, and thus needs to be expanded to include phonon
 145 contribution to the GL potential. By including only the harmonic terms, the GL potential takes the form
 146

$$147 \quad F = \frac{A}{2} m^2 + \frac{B}{2} m^4 + \frac{1}{2} \mu \omega u^2 + \frac{1}{2} \delta_{sp} m^2 u^2 \quad (4)$$

148 where μ is the phonon mode reduced mass, ω is the phonon frequency, u is the atomic displacement, and
 149 δ_{sp} is the SPI strength [40,41]. Note that for phonons with irreducible representation A_g and T_{2g} , the
 150 symmetry allows a cubic term proportional to $m^2 u$, which is weak in YIG, see Supplementary
 151 Material [34,42]

152 Equilibrium values m_* and u_* are found from the conditions

$$154 \quad \frac{\partial F}{\partial m} = 0, \frac{\partial F}{\partial u} = 0 \quad (5)$$

153 and the spin-dependent phonon frequency (Ω) is determined by

$$155 \quad \mu \Omega^2 = \left. \frac{\partial^2 F}{\partial u^2} \right|_{\substack{m=m_* \\ u=u_*}} = \mu \omega^2 + \delta_{sp} m_*^2. \quad (6)$$

156 Now, using the equilibrium value of $m_* = \sqrt{a(T_c - T)/B}$, Ω is approximately given by

$$157 \quad \Omega(T) \approx \omega + \frac{\delta_{sp}}{2\mu\omega} \left(1 - \frac{T}{T_c} \right) \quad (7)$$

158 to first order in δ_{sp} . (Note: δ_{sp} is defined for angular frequencies.) Compared to the λ model, we see that
 159 the frequency deviation is determined by the frequency and reduced mass of the phonon mode, as well as
 160 the SPI strength. We use this improved mean-field theory to extract the SPI strength. Figure 3 shows $\Delta\omega_{sp}$
 161 across the temperature range, with fits using Eq. (7) to extract the δ_{sp} , shown in Figure 4.

162 To further understand the SPI found from the modified mean-field model, we examine the atomic
 163 displacements of each phonon mode. Using group theory projection operators, we can derive a basis of
 164 eigenmodes that brings the dynamical matrix to a block-diagonal form [43]. The 739 cm^{-1} mode only
 165 involves the O atoms' displacements due to its A_g symmetry (see Supplementary Material [34]). Because
 166 it only involves O atoms, this mode has the smallest reduced mass μ compared with T_{2g} and E_g modes.
 167 We find that this phonon mode has the largest δ_{sp} . This finding is consistent with the interpretation that
 168 the vibrations of the light O atoms are most affected by the magnetic ordering of the heavy Fe atoms. The
 169 symmetries of other phonon modes, T_{2g} and E_g , allow motions of all three ion types (Y, Fe, O) in principle.
 170 First-principles calculations of the Raman phonon frequencies and symmetries allow us to assign μ to
 171 each Raman phonon. We find that, as expected, lower frequency phonons have larger μ , (See
 172 Supplementary Material [34]). Using these values of μ to calculate the SPI, we find that higher frequency
 173 phonons have larger SPI as shown in Figure 4. This trend suggests that the atoms with stronger bonds
 174 (consequently higher phonon frequency) are more affected by magnetic ordering.
 175

176 Conclusion

177 In summary, we investigate SPI associated with optical phonon modes of a YIG bulk crystal. By
 178 taking polarization-resolved Raman spectra, we analyze their symmetry. Temperature-dependent Raman
 179 spectra taken over a broad temperature range of 8.8-635 K allow us to evaluate SPI quantitatively and
 180 specific to a particular phonon mode. By developing an improved mean-field model and applying a refined

181 analysis, we discover that the SPI increases with phonon frequency. The A_g mode involving vibrations
182 of only O atoms has the strongest SPI. These results provide both direct and mode-specific interaction
183 strengths, thus, providing valuable information for advancing theories of magnetic insulators and for
184 exploring spintronic devices such as those based on spin-caloritronic effects. †

185

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193 K.S.O. and J.C. performed measurements. B.F. built the experimental system. K.S.O. and J.C.
194 analyzed experimental results. M.R.-V. and G.A.F. developed mean-field model and performed group
195 theory analysis with input from G.K. G.K. and N.A.B. performed first-principles evaluation. J.H. and J.Z.
196 provided and characterized the YIG sample. K.S.O., J.C., M.R.-V., and X.L. wrote the original
197 manuscript. X.L. and G.A.F. supervised the project. All authors assisted in the discussion of results and
198 revision of the manuscript.

199

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Phonon frequency (cm ⁻¹)	Symmetry	Spin-phonon frequency deviation $\Delta\omega_{sp}^0$ (cm ⁻¹)	Coupling strength λ (cm ⁻¹)
174	T _{2g}	8.4 ± 0.9	1.3 ± 0.1
194	T _{2g}	5.1 ± 0.8	0.8 ± 0.1
239	T _{2g}	11.4 ± 1.0	1.8 ± 0.2
276	E _g	3.5 ± 0.6	0.6 ± 0.1
346	E _g	12 ± 2	1.9 ± 0.4
378	T _{2g}	-2.3 ± 4.2	-0.4 ± 0.7
447	T _{2g}	8 ± 4	1.3 ± 0.6
508	E _g	8 ± 2	1.3 ± 0.3
591	T _{2g}	10 ± 3	1.6 ± 0.5
739	A _{1g}	11 ± 5	1.8 ± 0.8

265 TABLE 1. Symmetry, spin-phonon frequency deviation, and λ coupling strength of the measured
266 phonon modes in YIG.

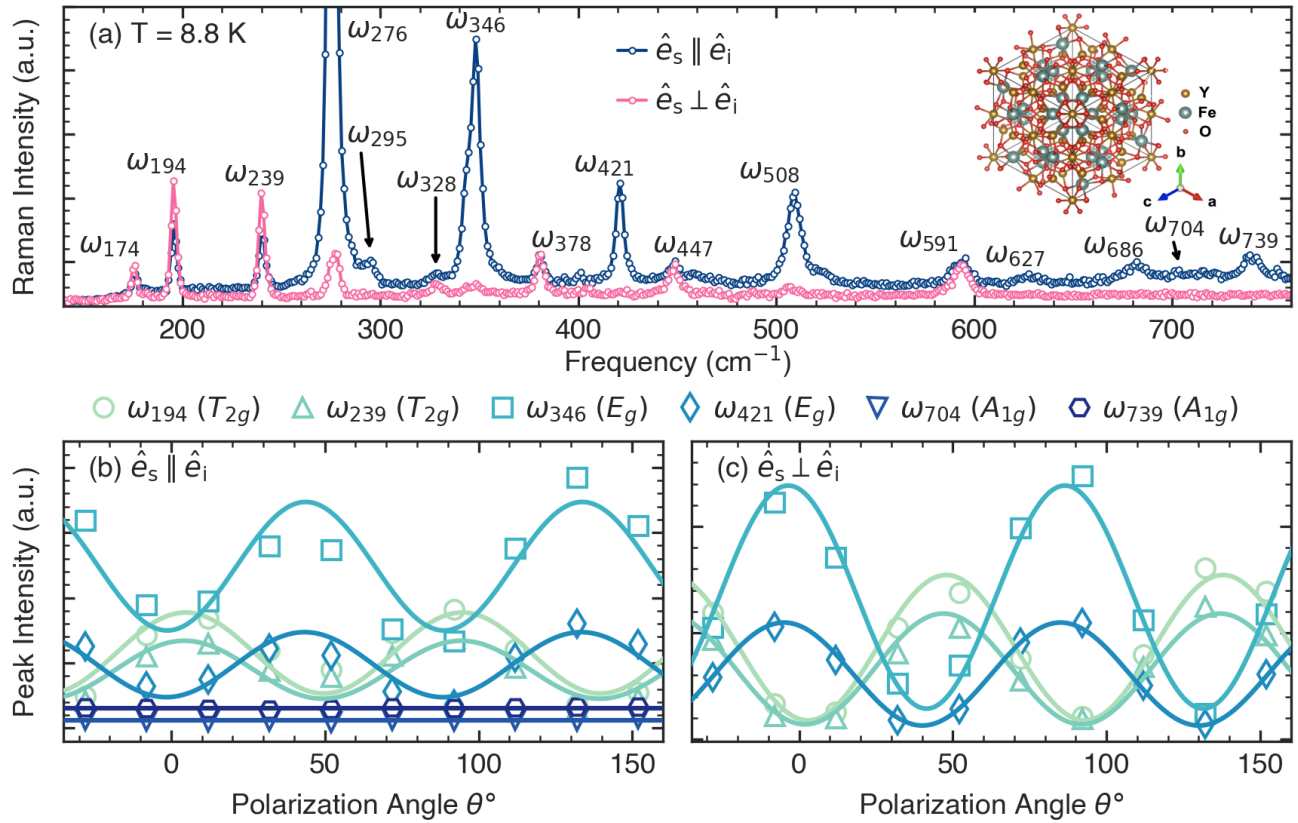


FIG. 1. (a) Raman spectra taken with s-in/s-out (colinear) and s-in/p-out (crossed) polarization configurations at 8.8 K. Solid lines connect data points for clarity. Inset shows the YIG crystal structure viewed along the [111] direction. (b,c) Angle-dependent intensities of the representative A_{1g} , E_g , and T_{2g} modes. The spectra were obtained by keeping incident polarization fixed. Panel b and c refer to colinear and crossed polarization configurations, respectively. The fit curves follow theoretical predictions from crystal lattice symmetry.

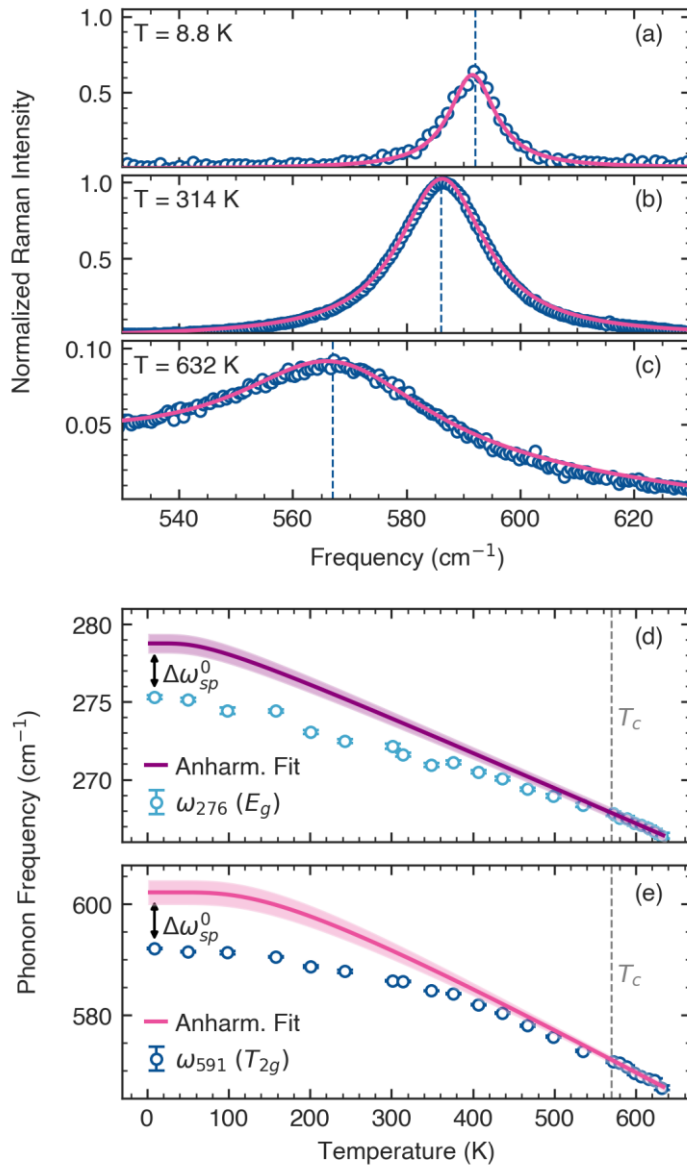


FIG. 2. (a,b,c) Example spectra for different temperatures, normalized to the peak intensity at 314K. Solid lines are Lorentzian fits with a linear offset to account for the background. Vertical dashed lines indicate the peak positions. (d,e) Temperature dependence of ω_{276} and ω_{591} phonon frequencies, which have symmetries T_{2g} and E_g , respectively. The solid curves correspond to the anharmonic phonon-phonon scattering fit, which is based on fitting to data only above the temperature T_c . The deviation from the anharmonic curve (black arrow) reflects the corresponding spin-phonon coupling strength, λ , given in Eq.(2).

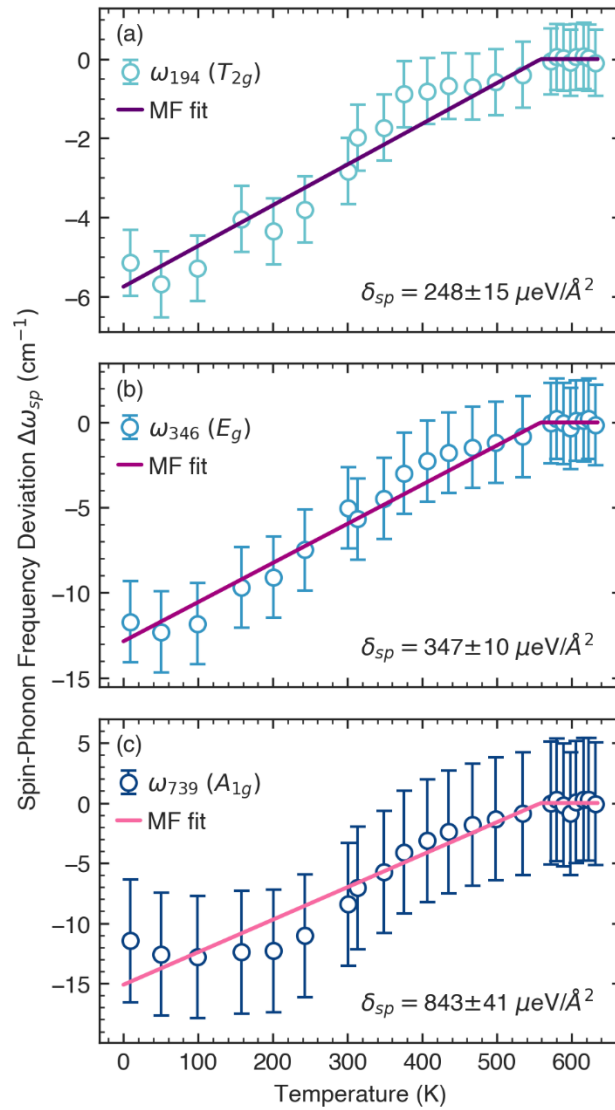


FIG. 3. The measured phonon frequencies is subtracted from the temperature-dependent frequency found with the anharmonic fit [see Fig. 2 (b) and (c)] to determine $\Delta\omega_{sp}$. Solid lines show fits to the mean-field model which yield the spin-phonon interaction strength δ_{sp} , given in Eq.(7).

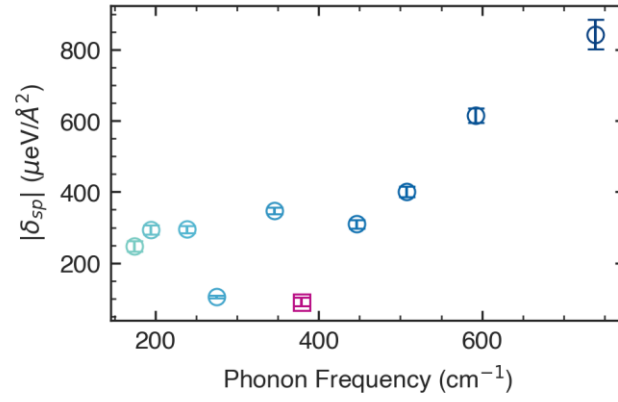


FIG. 4. Absolute value of the spin-phonon interaction strength evaluated with the mean-field model for the phonon modes in YIG. The measured δ_{sp} for the ω_{378} mode is negative (purple square), while the rest of the measured δ_{sp} are positive.