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microphones was proposed and a blind audio sources separation algorithm based on this model was developed. This method uses frequency-domain independent component analysis to identify the mixing parameters. These parameters are used to synthesize the separation matrices, and then a time-frequency Wiener postfilter to improve the separation is applied. In this contribution, key aspects of the separation algorithm are optimized with two novel methods. A deeper analysis of the working principles of the Wiener postfilter is presented, which gives an insight in its reverberation reduction capabilities. Also a variation of this postfilter to improve the performance using the information of previous frames is introduced. The basic method uses a fixed central frequency bin for the estimation of the mixture parameters. In this contribution an automatic selection of the central bin, based in the information of the separability of the sources, is introduced. The improvements obtained through these methods are evaluated in an automatic speech recognition task and with the PESQ objective quality measure. The results show an increased robustness and stability of the proposed method, enhancing the separation quality and improving the speech recognition rate of an automatic speech recognition system.

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33	<b>Keywords separated by ' - '</b>	Pseudoanechoic model - Blind source separation - Automatic speech recognition - Mutual information - Wiener postfilter
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# Correlated Postfiltering and Mutual Information in Pseudoanechoic Model Based Blind Source Separation

Q1 **Leandro Ezequiel Di Persia · Diego H. Milone · Masuzo Yanagida**

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1 **Abstract** In a recent publication the pseudoanechoic  
2 mixing model for closely spaced microphones was pro-  
3 posed and a blind audio sources separation algorithm  
4 based on this model was developed. This method uses  
5 frequency-domain independent component analysis to  
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**Keywords** Pseudoanechoic model · Blind source 27  
separation · Automatic speech recognition · 28  
Mutual information · Wiener postfilter 29

**1 Introduction** 30

One of the fundamental problems for the widespread 31  
of applications of automatic speech recognition is the 32  
degrading effect of noise [14]. The speech recognition 33  
systems trained under laboratory conditions, suffer a 34  
strong degradation in their performance when used 35  
in real environments [20]. Several aspects contribute 36  
to this degrading effect. One of them is the presence 37  
of multiple sound sources other than the desired one, 38  
which alter the information of the desired source and 39  
produce a deterioration of the recognition rate. An- 40  
other problem is related to the use of distant micro- 41  
phones [18]. In an ideal close talking environment the 42  
microphones used to capture the sound field are located 43  
near to the speaker mouth. In this way, the direct sound 44  
from the target speech is picked with a large signal to 45  
noise ratio. But in several applications, like teleconfer- 46  
ence systems or remote controlling of home appliances, 47

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48 the microphones are located far away from the speaker.  
 49 In this way the sound field that the microphones pick up  
 50 is affected by several sound sources in a stronger way,  
 51 producing a lower SNR. Moreover, the target speech  
 52 is modified by the room impulse response, producing  
 53 a smearing in its contents and a coloring of the spectra  
 54 [12]. This effect is known as reverberation, and it affects  
 55 the performance of ASR systems even if there are no  
 56 other sound sources and if the system was trained with  
 57 speech recorded in the same conditions [2].

58 There are several approaches that try to mitigate the  
 59 competing noise effect. Basically the alternatives are  
 60 applied at three different levels of the speech recog-  
 61 nition system [10]. At the level of the audio signal,  
 62 the enhancement approach tries to produce a speech  
 63 signal as similar to the original source as possible.  
 64 At the level of the features used by the recognizer,  
 65 the robustness is introduced either by using a set of  
 66 intrinsically robust features, or by projecting the noisy  
 67 features on the space of clean features. Finally, at the  
 68 level of the acoustic models, the effect of noise can be  
 69 introduced either by using multiple acoustic models for  
 70 different noise conditions, or by an adaptation of the  
 71 basic model to the noise conditions during the use of  
 72 the system. This work is focused in the first kind of  
 73 techniques, the task is to preprocess the audio signal  
 74 to produce a desired speech signal as clean as possible.  
 75 In particular, this is done using multiple input signals  
 76 captured through a microphone array.

77 In particular this work is focused in a recently  
 78 proposed frequency-domain independent component  
 79 analysis (fd-ICA) algorithm, which uses a pseudo-  
 80 anechoic mixing model, under the assumption of  
 81 closely spaced microphones. This separation method,  
 82 named pseudoanechoic model blind source separation  
 83 (PMBSS) was shown to be very effective in produce  
 84 separation in environments where other approaches  
 85 fail, and with a very high processing speed [8]. For  
 86 example, it can produce an improvement of more than  
 87 a 45% in recognition rate, with a processing speed  
 88 more than 16 times higher than the standard method  
 89 proposed by Parra et al. [19].

90 This contribution will be focused in producing rele-  
 91 vant improvements to the PMBSS method. First, a revi-  
 92 sion of the PMBSS method will be presented, including  
 93 a new analysis of the working principles of the Wiener  
 94 postfilter, that show its capabilities to not only enhance  
 95 the separation, but also of reducing the reverberation.  
 96 Next, two alternative methods will be presented, one  
 97 for automatic selection of the optimal central frequency  
 98 to use in the estimation of the mixing parameters, and  
 99 the other in the Wiener postfilter, to exploit the tem-  
 100 poral information in the noise estimation. This section

will be followed by a series of experiments to show 101  
 improvements introduced by the proposed methods. A 102  
 discussion and conclusion section ends the article. 103

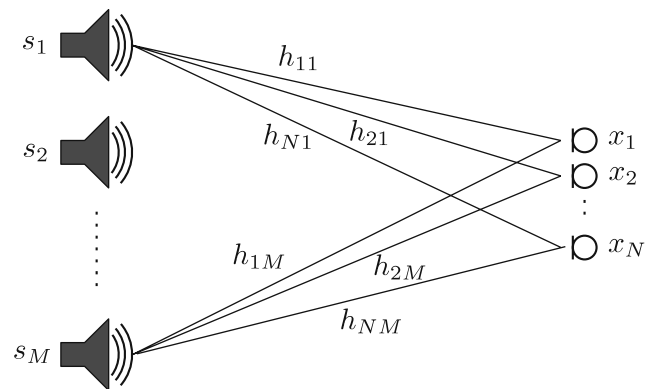
**2 Pseudoanechoic Model for BSS** 104

In this work the speech enhancement approach is used. 105  
 In this way the objective will be to obtain a speech as 106  
 clean as possible. Among the many techniques used 107  
 for this purpose, the microphone array processing has 108  
 recently received strong attention from the scientific 109  
 community. The task of blind source separation in the 110  
 microphone array context, consist in the extraction of 111  
 the sources that originated the sound field, given a set 112  
 of measurements obtained through an array of micro- 113  
 phones [12]. 114

The problem is known in the literature as “cocktail 115  
 party”, because of the analogy with such a party in 116  
 which there are several speakers and sound sources, 117  
 and yet human beings have the ability to segregate 118  
 the source of interest and concentrate in the desired 119  
 conversation [11]. This ability is related to the fact that 120  
 humans have two ears, and thus a multi-microphone 121  
 setup is naturally introduced as an alternative for the 122  
 solution. A brief mathematical description of the prob- 123  
 lem will be presented in the following. 124

**2.1 Convolutional BSS Problem** 125

Consider the case in which there are  $M$  active sound 126  
 sources, and the sound field generated by them is cap- 127  
 tured by  $N$  microphones, as shown in Fig. 1. From 128  
 source  $j$  to microphone  $i$ , an impulse response  $h_{ij}$  char- 129  
 acterizes the room. Using the notation  $s_j$  for the sources 130  
 and  $x_i$  for the microphone signals, with  $i = 1, \dots, N$  and 131



**Figure 1** A case of cocktail party with  $M$  sources and  $N$  microphones.

132  $j = 1, \dots, M$ , the mixture can be represented at each  
 133 instant  $t$  as [4]:

$$x_i(t) = \sum_j h_{ij}(t) * s_j(t), \quad (1)$$

134 where  $*$  stands for convolution. Let us form a vector of  
 135 sources,

$$\mathbf{s}(t) = [s_1(t), \dots, s_M(t)]^T$$

136 and the same for the vector of mixtures

$$\mathbf{x}(t) = [x_1(t), \dots, x_N(t)]^T$$

137 measured by the microphones, where  $[\cdot]^T$  stands for  
 138 transposition. Then the previous equation can be writ-  
 139 ten (with a little abuse of notation) as:

$$\mathbf{x}(t) = H * \mathbf{s}(t) \quad (2)$$

140 where the “matrix”  $H$  has as each element a filter  
 141 given by the impulse response from one source loca-  
 142 tion to one microphone location. The equation must  
 143 be understood as a simple matrix-vector product, but  
 144 replacing the multiplications by a filtering operation via  
 145 convolution.

146 In this context, there are several approaches for the  
 147 solution of the BSS problem. From the basic ones based  
 148 on beamforming [3], to the more advanced separation  
 149 methods based the sparsity of the sources in the time-  
 150 frequency domain [25] and the separation based on  
 151 the search of statistical independence of the obtained  
 152 sources [9]. The last approach assumes that the origi-  
 153 nal sources are statistically independent, and thus the  
 154 separation can be achieved searching for a transfor-  
 155 mation that produces statistically independent results.  
 156 This approach uses independent component analysis  
 157 (ICA) and there are several methods that exploit the  
 158 independence to yield the estimated sources.

159 One of the more successful methods is the frequency-  
 160 domain independent component analysis method  
 161 (fd-ICA) [23]. If a short time Fourier transform (STFT)  
 162 is applied to Eq. 2, the mixture can be written as  
 163 [2, Chapter 13]

$$\mathbf{x}(\omega, \tau) = H(\omega) \mathbf{s}(\omega, \tau), \quad (3)$$

164 where the variable  $\tau$  represents the time localization  
 165 given by the sliding window in the STFT, and  $\omega$  is  
 166 the frequency. It should be noted that, as the mixing  
 167 system was assumed to be LTI, the matrix  $H(\omega)$  is not  
 168 a function of the time. Also note that the convolution  
 169 operations have been replaced by ordinary multiplica-  
 170 tion, which makes the problem simpler in this domain.

171 The classical solution alternative is to apply an ICA  
 172 algorithm to each frequency bin, producing separation

on each of them. After separation, the separated 173  
 sources in each bin need to be reordered due to the 174  
 permutation ambiguity inherent to ICA methods, and 175  
 then an inverse STFT is used for the time-domain 176  
 reconstruction. The permutation problem is one of the 177  
 main drawbacks of this method, because its correction 178  
 is not trivial, and although many solution alternatives 179  
 have been proposed, none of them is completely ef- 180  
 fective [17]. Another problem of the standard method 181  
 is the different convergence of the ICA method for 182  
 each frequency bin, which yields different separation 183  
 qualities for different bins, including some bins where 184  
 the method failed to converge to a proper solution. 185

## 2.2 The Pseudoanechoic Model 186

In a previous development [8], the pseudoanechoic 187  
 model was proposed as an alternative to solve this 188  
 problem. If the microphones are closely spaced, it can 189  
 be assumed that the impulse response from a source to 190  
 all the microphones will be delayed and scaled versions 191  
 of it. Using the notation of Fig. 1, with  $M = N = 2$ , the 192  
 mixture can be expressed as 193

$$\begin{aligned} x_1(t) &= s_1(t) * h_{11}(t) + s_2(t) * h_{12}(t) \\ x_2(t) &= s_1(t) * h_{21}(t) + s_2(t) * h_{22}(t). \end{aligned} \quad (4)$$

Under the assumption of closely spaced micro- 194  
 phones, the crossing impulse response can be expressed 195  
 as delayed and scaled version of the direct impulse 196  
 responses, approximating  $h_{21}(t) \simeq \alpha h_{11}(t - d_1)$  and 197  
 $h_{12}(t) \simeq \beta h_{22}(t - d_2)$ . This simplification is important 198  
 because it allows to write the mixing matrix of Eq. 3 199  
 in a simpler way 200

$$\mathbf{x}(\omega, \tau) = \begin{bmatrix} 1 & \beta e^{-jd_2\omega} \\ \alpha e^{-jd_1\omega} & 1 \end{bmatrix} \begin{bmatrix} H_{11}(\omega) & 0 \\ 0 & H_{22}(\omega) \end{bmatrix} \mathbf{s}(\omega, \tau) \quad (5)$$

In this equation, the rightmost matrix, which does not 201  
 produces any mixing, represent the room effect on each 202  
 source signal. The leftmost matrix in turn, represents 203  
 the mixing effect. In this way the very complex filtering 204  
 and mixing effect of the room can be decomposed in 205  
 two simpler parts, one of mixing and the other of filter- 206  
 ing. Applying the filtering part to the source signals, the 207  
 following is obtained 208

$$\mathbf{x}(\omega, \tau) = \begin{bmatrix} 1 & \beta e^{-jd_2\omega} \\ \alpha e^{-jd_1\omega} & 1 \end{bmatrix} \mathbf{z}(\omega, \tau) \quad (6)$$

where now the  $\mathbf{z}(\omega, \tau)$  contains the reverberated 209  
 sources. In simple words, the pseudoanechoic model 210

211 concentrate the effect of the room in a general impulse  
 212 response for each channel which introduces distortion  
 213 to that signal, and a simpler mixing which is similar to  
 214 the anechoic model which is applied on these reverberant  
 215 signals. It was shown that this model is plausible  
 216 for microphones separated even by 5 cm, in moderate  
 217 reverberant conditions.

218 Based on this mixing model, the PMBSS algorithm  
 219 was introduced. Simply speaking, this method aims to  
 220 produce the  $\mathbf{z}$  sources mentioned before. It is inter-  
 221 esting to note that in Eq. 6, the mixing matrix has  
 222 a dependency on  $\omega$  which is easy to synthesize. For  
 223 all frequencies, the parameters  $\alpha$ ,  $\beta$ ,  $d_1$  and  $d_2$  have  
 224 constant values, this means that if one is capable of  
 225 identifying these parameters in a robust way for one  
 226 specific frequency, they can be used to synthesize the  
 227 mixing matrix (and by inversion, the separation matrix)  
 228 for all the frequencies. Basically, the PMBSS method  
 229 has three stages: 1) Estimation of the Mixing param-  
 230 eters for a *given* frequency bin, using ICA; 2) Synthesis  
 231 of the separation matrixes for all frequencies using the  
 232 estimated parameters, and separation; 3) Application  
 233 of a time-frequency Wiener postfilter. The main ad-  
 234 vantage of this method is that instead of performing  
 235 one ICA separation for each frequency bin, only *one*  
 236 ICA problem is solved over the data from a given  
 237 central bin and a small number of lateral bins. From  
 238 the estimated mixing matrix, the mixing parameters of  
 239 the pseudoanechoic model are estimated, and used to  
 240 synthesize the separation matrices for all the bins. In  
 241 this way the resulting algorithm is extremely fast, and  
 242 yet it produces a high quality of separation.

243 The key aspect of this method is how to identify the  
 244 mixing parameters accurately. The proposed method  
 245 consisted in using ICA in a previously selected (fixed)  
 246 frequency bin. Moreover, to produce robustness, in-  
 247 stead of the data of only that bin, the data from a  
 248 group of bins, taken symmetrically around the selected  
 249 frequency, was used. In this way the ICA algorithm  
 250 has a lot of data for the learning of the parameters,  
 251 which can speed up the convergence, and moreover,  
 252 the estimation produced is more robust, as shown in  
 253 the previous work. Nevertheless, the selection of the  
 254 optimal central bin to use was not explored. There must  
 255 exist an specific frequency bin for which the parameters  
 256 can be estimated more accurately. If this bin can be  
 257 identified by an easy method, it can improve the sep-  
 258 aration results

259 Another interesting aspect of this method was the in-  
 260 troduction of a time-frequency Wiener filter estimated  
 261 using the information obtained after the separation  
 262 stage. At this point, an estimation of the reverberant  
 263 sources  $\mathbf{z}(\omega, \tau) = [z_1(\omega, \tau) z_2(\omega, \tau)]$  was obtained. As

the separation method is not perfect and the main 264  
 hypothesis may be only partially fulfilled, the separated 265  
 sources will have some residual components of the 266  
 competing source. This is because the separation matrix 267  
 can only reject the source coming from one direction, 268  
 as shown in [1]. Nevertheless, as the estimations for the 269  
 two sources are available, this means that to improve 270  
 the separation of one of the sources, the other can be 271  
 used as an estimation of the noise. In this way, the time- 272  
 frequency Wiener filter to improve the source  $z_1$  using 273  
 $z_2$  as an estimation of the noise is given by 274

$$F_{\mathcal{W},1}(\omega, \tau) = \frac{|z_1(\omega, \tau)|^2}{|z_1(\omega, \tau)|^2 + |z_2(\omega, \tau)|^2}, \quad (7)$$

with an equivalent definition for the filter to enhance 275  
 the other source. 276

This postfilter was shown to produce an important 277  
 increase in the separation quality, and also it was shown 278  
 to be a better alternative than other approaches like 279  
 binary masks. Nevertheless, the wiener postfilter is a 280  
 very simple case, and more interesting approaches can 281  
 be used. 282

### 2.3 Reverberation Reduction by Wiener Postfilter 283

In this section a deeper analysis of the Wiener postfilter 284  
 in a 2 by 2 case is performed, to show how this filtering 285  
 provides additional reduction, not only of the compet- 286  
 ing source, but of the echoes coming both from the 287  
 competing source and the echoes of the desired source. 288  
 To this end, it is necessary to study the beampatterns 289  
 generated by the separation matrix. As was shown in 290  
 [1], the separation matrix generated by ICA works as 291  
 an adaptive null beamformer, that is, a beamformer 292  
 which is designed to reject the signal arriving to the 293  
 microphone array from certain direction. In the two 294  
 by two case, the separation matrix works as a pair of 295  
 null beamformers, where each beamformer reject the 296  
 signals arriving from the estimated direction of arrival 297  
 of each source. 298

In an environment with no reverberation, if one 299  
 of the main signals is eliminated, the resulting signal 300  
 will have information only of the other signal, and 301  
 thus producing a good separation. But in reverberant 302  
 environments, there are echoes arriving to the array 303  
 from other directions than the main propagation path. 304  
 As the separation can only eliminate the signal from 305  
 the main direction, the echoes from both, the desired 306  
 source and the competing source, will remain in the 307  
 separated signal. 308

An uniform linear array of  $N$  microphones in the far 309  
 field is characterized by its array response vector, which 310



311 is a function of the frequency  $f$  and the angle of arrival  
 312  $\phi$ , given by

$$\mathbf{v}(f, \phi) = \left[ 1, e^{\frac{-j2\pi f d \sin(\phi)}{c}}, e^{\frac{-j2\pi f 2d \sin(\phi)}{c}}, \dots, e^{\frac{-j2\pi f (N-1)d \sin(\phi)}{c}} \right]^T, \tag{8}$$

313 where  $d$  is the microphone spacing and  $c$  the sound  
 314 speed. This array response vector characterises the  
 315 microphone array as it explain the relation among  
 316 the outputs of each of the microphones. If the out-  
 317 puts of the array are linearly combined (as in a delay  
 318 and sum beamformer), weighted with coefficients  $\mathbf{a} =$   
 319  $[a_1, a_2, \dots, a_N]^T$ , then the beamformer response  $r(f, \phi)$   
 320 will be given by

$$r(f, \phi) = \mathbf{a}^H \mathbf{v}(f, \phi) \tag{9}$$

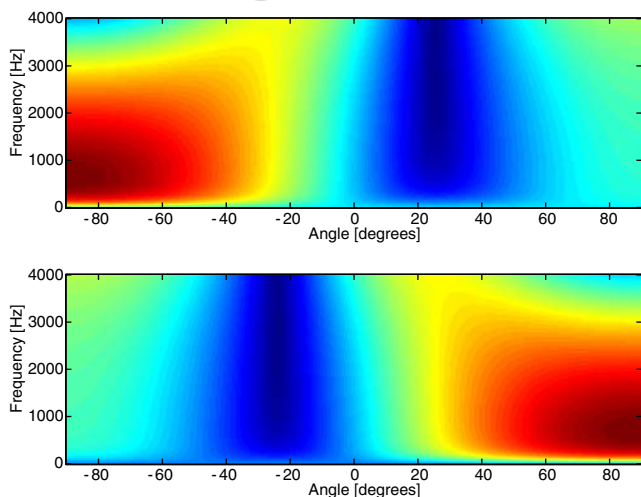
321 where  $[\cdot]^H$  is the conjugate transposed operation. The  
 322 magnitude of the beamformer response is the array  
 323 gain or beampattern, which shows for each frequency,  
 324 how the magnitude of the output signal change with  
 325 the angle of arrival of the input signals. In the case  
 326 of the separation matrix, each row of it works as a  
 327 null beamformer, and thus in a 2 by 2 case a pair  
 328 of null beamformers is generated. Figure 2 shows the  
 329 beampatterns generated by the PMBSS method for the  
 330 case of two speech sources at  $\pm 26$  degrees, sampled  
 331 at 8000 Hz, captured with two microphones spaced by  
 332 5 cm. For each beampattern the null is located in the  
 333 direction of one of the sources.

334 To analyze the capabilities of this Wiener filter, as-  
 335 sume that there is a sound field produced by white and  
 336 stationary signals, with equal power from all directions.

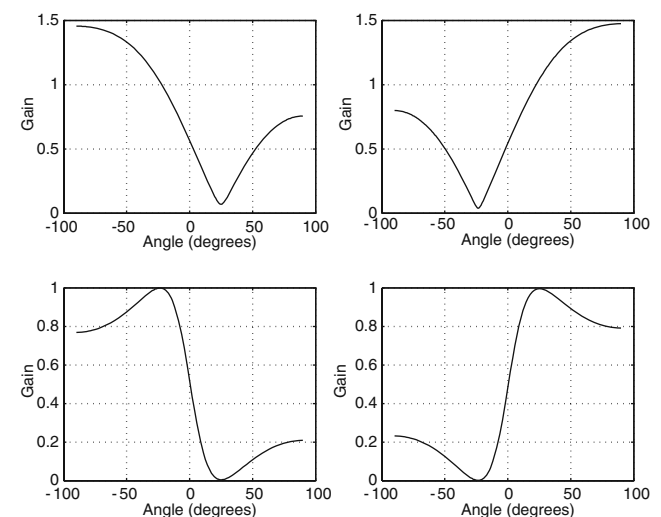
That is, suppose that the microphone array receives  
 equal power from all angles and for all frequencies  
 and times. In this case, the behaviour of the combined  
 separation and Wiener filter process can be analyzed  
 using the beampatterns, as the beampattern output will  
 be the actual magnitude at the output of the separation,  
 as a function of the arrival angle.

Figure 3 shows the beampatterns obtained from the  
 separation matrix in the bin corresponding to 2000 Hz  
 in the same example of Fig. 2 (for other frequencies  
 the analysis is equivalent). The top row shows the  
 beampatterns obtained from the separation matrix. For  
 each beampattern, it can be seen that in the direction  
 of each source, the gain is unitary (which is a consequence  
 of the minimal distortion principle), and in the direction  
 of the other source the gain tends to zero. In the bottom  
 row, we have applied the equation of the Wiener filter  
 to these patterns. That is, if the beamformer gains  
 for the separation matrix at the given frequency are  
 called  $G_1(\theta)$  and  $G_2(\theta)$ , and as they are also the output  
 amplitudes as a function of the angle, the first Wiener  
 filter will be  $G_1(\theta)^2 / (G_1(\theta)^2 + G_2(\theta)^2)$ , and the same  
 for the other filter.

This is a way to visualize the approximate global  
 effect of the whole processing. As it can be seen, the  
 Wiener filter maintains unitary gain in the desired di-  
 rections and nulls in the interference directions, but  
 also produces attenuation in all other directions, which  
 mitigates the effect of all echoes including both, those  
 from the undesired noise (which improves separation)  
 and these from the desired source (which reduces the  
 reverberation). This is very important, because it means



**Figure 2** Beampatterns generated by PMBSS for sources at  $\pm 26$  degrees.



**Figure 3** Effect of the Wiener postfilter on the beampatterns. **a)** the beampatterns generated from the separation matrix. **b)** the beampatterns after application of the Wiener filter.

369 that it helps in improving the fundamental limitation  
 370 of the fd-ICA approach as analyzed in [1], that is, the  
 371 impossibility of rejecting or reducing the echoes. It  
 372 must be noted that this kind of postfilter is general and  
 373 can be incorporated in any fd-ICA approach to improve  
 374 its performance.

375 Clearly, in real situations the input signals will be  
 376 neither of the same power for all directions as assumed,  
 377 nor white and stationary. Nevertheless, the signal with  
 378 stronger component will in general come from the  
 379 detected directions, with the echoes of lower power  
 380 arriving from different directions, and thus the resulting  
 381 effect would be even better than the depicted one.  
 382 That is, Fig. 3 represents the worst case of possible  
 383 inputs, and thus for more realistic cases an even better  
 384 behaviour can be expected.

385 **3 Proposed Methods**

386 As already explained, two improvements for the stan-  
 387 dard PMBSS method will be introduced. First a method  
 388 for automatic selection of the central frequency bin to  
 389 use in the ICA based mixing parameter estimation is  
 390 introduced. The mutual information provides an esti-  
 391 mation of the amount of mixing in each bin. In this way,  
 392 the selection of a bin which has little overlapping of in-  
 393 formation will be optimal to find the proper separation.

394 In second place, the basic time-frequency Wiener  
 395 postfilter uses an instantaneous time-frequency estima-  
 396 tion of the source and noise. But it is know that, due  
 397 to the reverberation effect, the information in some  
 398 instant depends also on previous information. To take  
 399 this effect into account, the noise estimation is com-  
 400 posed not only by the present instant but by a number  
 401 of delayed versions of the previous information. These  
 402 methods will be introduced in what follows.

403 **3.1 Automatic Selection of the Central Bin**

404 As already mentioned, the first stage of PMBSS (es-  
 405 timation of the mixing parameters) is performed by  
 406 means of a robust ICA method on data collected from  
 407 a set of frequency centered in a previously chosen bin.  
 408 In [8], this central bin was set at a fixed value in an  
 409 arbitrary way. However, for each particular mixture  
 410 of signals it must be a frequency bin which yields the  
 411 best possible estimation of the mixing parameters. This  
 412 optimal bin will depend in the particular sources and  
 413 mixing characteristics, and thus it would be desirable to  
 414 have some automatic selection method for it.

415 The best central bin would be that in which the  
 416 ICA algorithm can produce the best mixing matrix

estimation. Intuitively, it would be one in which, given 417  
 the characteristics of the mixture, the sources are “less 418  
 mixed”, or more statistically independent. What is nec- 419  
 essary is a measure of how mixed are the signals in each 420  
 bin. One measure that can be used for this purpose is 421  
 the mutual information. Mutual information measures 422  
 the amount of information that is shared among ran- 423  
 dom variables. It is calculated as [5] 424

$$I(X, Y) = \iint p(x, y) \log \left( \frac{p(x, y)}{p(x)p(y)} \right) dx dy, \quad (10)$$

where  $I(X, Y)$  is the mutual information of the two 425  
 random variables  $X$  and  $Y$ ,  $p(x, y)$  is the joint prob- 426  
 ability density function (pdf) of the variables, and 427  
 $p(x)$  and  $p(y)$  are the marginal pdf of the variables. 428  
 Using the definition of differential entropy  $H(X) = 429$   
 $-\int p(x) \log(p(x)) dx$  and joint differential entropy 430  
 $H(X, Y) = -\iint p(x, y) \log(p(x, y)) dx dy$ , the mutual in- 431  
 formation can be written as [15] 432

$$I(X, Y) = H(X) + H(Y) - H(X, Y). \quad (11)$$

The mutual information is always positive. If the en- 433  
 tropy of a random variable is interpreted as a measure 434  
 of the amount of information carried by the variable, 435  
 a nonzero value of the mutual information indicates 436  
 that the amount of information carried by the joint 437  
 random process is less than the addition of information 438  
 carried by each random variable by itself. Or in other 439  
 words, that the random variables had some common 440  
 information in such a way that when measured as a joint 441  
 process, the total amount of information is less that the 442  
 addition of the information of each one. In fact, this 443  
 measure has been used in several approaches of ICA as 444  
 measure of the independence of the sources [13]. This 445  
 is because if the obtained signals share no information 446  
 (the mutual information is zero), the sources must be 447  
 independent. 448

Applying this concept for the case of a mixture of 449  
 signals, if the mutual information of the signals in a 450  
 frequency bin is small, it will be indicative that there is 451  
 little information sharing among the random variables 452  
 involved. But if there is little information sharing is 453  
 equivalent to express that the degree of mixing is small. 454  
 In this way, mutual information can be used as an index 455  
 of separability for the pair of signals in each frequency 456  
 bin. The central bin selection will be done according to 457  
 the bin that shows the lowest mutual information. 458

At this point we use the following assumption as in 459  
 [21, 22]: For a complex valued random variable  $X$ ,  $p(x)$  460  
 is independent of the phase angle, or in other words, 461  
 $p(x) = p(|x|)$ . This assumption is plausible for the time 462

463 evolution of a specific frequency bin, given that the  
 464 STFT was calculated using arbitrary shifted windows,  
 465 and the arbitrary shift affects the phase information  
 466 but should not affect the pdf. In this way the mutual  
 467 information between the magnitude of the signals in  
 468 each bin can be estimated. To produce an estimation  
 469 of the mutual information a non-parametric histogram  
 470 based estimator was used [15].

471 There are also two other aspects to consider. On  
 472 is the variation of signal levels among different bins.  
 473 To make the measurement independent of these vari-  
 474 ations, we normalize the mutual information by the  
 475 average magnitude of the signals of each bin. The other  
 476 aspect is the effect of frequency in the parameter es-  
 477 timation. The parameters to estimate, particularly the  
 478 delays, are obtained from the angle of the crossing  
 479 terms in the mixing matrix, divided by the frequency  
 480 of the bin. In this way, for the same level of accuracy  
 481 in the angle estimation, a bin at higher frequencies will  
 482 produce a better estimation. If the angle estimation has  
 483 an error of  $\zeta$ , the delays have an error proportional  
 484 to  $\zeta/k$  where  $k$  is the bin index. This means that a  
 485 higher frequency bin will have less effect of the noise  
 486 in the parameter estimation, thus we divide the mutual  
 487 information by the frequency bin index  $k$ , producing  
 488 lower values for higher frequencies. In this way, the  
 489 optimal bin is selected as the one that minimizes the  
 490 following quantity

$$J(k) = \frac{I(|z_1(\omega_k, \tau)|, |z_2(\omega_k, \tau)|)}{\frac{k}{T} \sum_{i=1}^2 \sum_{\tau=1}^T |z_i(\omega_k, \tau)|} \quad (12)$$

491 where  $T$  is maximum frame index used in the STFT.

### 492 3.2 Correlated Wiener Postfilter

493 The Wiener postfilter used in [8] has shown to be very  
 494 usefull, but in its simple form of Eq. 7 a lot of infor-  
 495 mation available in the source and noise estimation  
 496 is disregarded. One of the most important effects of  
 497 reverberation is to propagate the information along the  
 498 time. This means that some event happening at a given  
 499 time will continue to have influence in future instants.  
 500 In other words, the reverberation effect increases the  
 501 correlation in time.

502 This information is not exploited in the ICA method  
 503 used in this work, because the signals are assumed to  
 504 be generated by random iid process. The Wiener filter  
 505 proposed in [8] also does not take into account this  
 506 information as the estimation of the noise is based on  
 507 the current time only. But for a batch method, there is

information available on the noise characteristics from 508  
 both, past and future values, thus a more sophisticated 509  
 alternative can be implemented. In addition, the ob- 510  
 tained signals after separation can have an arbitrary 511  
 delay. That is, there is nothing that guarantees synchro- 512  
 nization of the extracted sources, thus the information 513  
 used as estimation of noise in the original Wiener filter 514  
 could be related to a different instant than that for 515  
 which was used. 516

These two aspects motivate us to explore some way 517  
 to introduce the time correlation information in the 518  
 noise estimation. To achieve this, the Wiener time fre- 519  
 quency postfilter is modified in the following way 520

$$F_{\mathcal{W},1}(\omega, \tau) = \frac{|z_1(\omega, \tau)|^2}{|z_1(\omega, \tau)|^2 + \sum_{k=-p}^p c_k |z_2(\omega, \tau - k)|^2}, \quad (13)$$

where  $k$  represents the index of lag,  $p$  is the maximum 521  
 lag to consider, and  $c_k$  are properly chosen weights 522  
 that must take into account amount of contribution 523  
 of the noise in that lag to the noise present in the 524  
 source. The second term in the denominator represent 525  
 an estimation of the noise in the present time, given 526  
 past and future information of the corresponding bin. 527  
 This produces a more accurate estimation of the noise, 528  
 and although it considers a noncausal estimation, it 529  
 must be noted that even the basic Wiener postfilter is 530  
 noncausal, and this is feasible for batch algorithms. 531

The important aspect here is how to fix the weighting 532  
 constants  $c_k$ . These weights should be large if the de- 533  
 layed version of the noise has an important effect in the 534  
 current time, otherwise it should be small. The effect of 535  
 delayed versions of the noise can be evaluated by some 536  
 measure of similitude with respect to the noisy signal. 537  
 To calculate such a similitude we use the correlation 538  
 among the accumulated squared magnitude over all 539  
 frequencies. These accumulated squared magnitudes 540  
 are given by 541

$$\varepsilon_{z_i}(\tau) = \sum_{j=1}^L |z_i(\omega_j, \tau)|^2 \quad (14)$$

where  $j$  is the frequency bin index and  $L$  the in- 542  
 dex of the maximum frequency. With this definition, 543  
 the weight coefficients are defined as the normalized 544  
 correlation 545

$$c_k = \frac{\sum_{\tau} \varepsilon_{z_1}(\tau) \varepsilon_{z_2}(\tau + k)}{\|\varepsilon_{z_1}\| \|\varepsilon_{z_2}\|}, \quad \forall -p \leq k \leq p. \quad (15)$$

with an equivalent definition for the filter to enhance 546  
 the other source, interchanging the roles of  $z_1$  and  $z_2$ . 547

548 The value of  $p$  is related to two factors. One is  
 549 the already mentioned reverberation. The longer the  
 550 reverberation time of the room, the larger the number  
 551 of successive windows that will be important in the  
 552 estimation. Also, the amount of overlapping between  
 553 windows in the STFT increases the redundancy. In  
 554 PMBSS an overlapping factor of 50% is used, and thus  
 555 this aspect will have a minimal effect in the optimal  
 556 value of  $p$ .

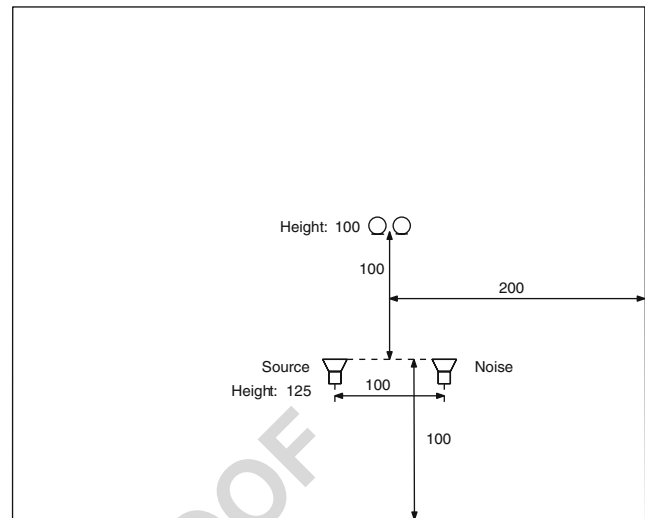
557 **4 Results and Discussion**

558 The performance of the proposed methods was evalu-  
 559 ated using two different quality measures. One is the  
 560 Perceptual Evaluation of Speech Quality (PESQ) mea-  
 561 sure, an objective method defined in the standard ITU  
 562 P.862 for evaluation of communication channels and  
 563 speech codecs. In a series of studies, this measure was  
 564 found to be highly correlated with the output of speech  
 565 recognition systems, when the input was preprocessed  
 566 by fd-ICA methods [6, 7].

567 The other evaluation was performed using an au-  
 568 tomatic speech recognition system. This is a state-of-  
 569 the-art continuous speech recognition system based on  
 570 semi-continuous hidden Markov models, with context  
 571 independent phonemes in the acoustic models, using  
 572 Gaussian mixtures and bigram language model esti-  
 573 mated from the transcriptions. The front-end was Mel  
 574 Frequency Cepstral Coefficients (MFCC), including  
 575 energy and the first derivative of the feature vector. The  
 576 system was built using the HTK toolkit [26].

577 The audio material for the experiments was taken  
 578 from a subset of the Spanish speech Albayzin database  
 579 [16], and we also used white noise from Noisex-92 data-  
 580 base [24]. All the material uses a sampling frequency  
 581 of 8 kHz. The acoustic model was trained using 585  
 582 sentences from a subset related to Spanish geography  
 583 questions. A set of 5 sentences uttered by two male  
 584 and two female, for a total of 20 utterances, was used  
 585 to evaluate the speech recognition rate.

586 The mixtures were recorded in a real room as in  
 587 Fig. 4. This room has  $4 \times 4.9$  m with a ceiling height  
 588 of 2.9 m. The room has a reverberation time of  
 589  $\tau_{60} = 120$  ms, but plywood reverberation boards were  
 590 added in two of the room walls to increase this time  
 591 to  $\tau_{60} = 200$  ms. Two loudspeakers were used to re-  
 592 play the sound sources and the resulting sound field  
 593 was captured with two measurement omnidirectional  
 594 microphones spaced by 5 cm. The 20 sentences were  
 595 mixed with the two kind of noises, at two different  
 596 power ratios: 0 dB and 6 dB. In this way there are four  
 597 sets of mixtures of the 20 test sentences.



**Figure 4** Room setup used in the mixtures generation. All dimensions are in cm.

600 The recognition performance was evaluated using  
 601 the word recognition rate, calculated after forced align-  
 602 ment of the system transcription with respect to the  
 603 reference transcription. This measure was calculated in  
 604 the standard way as  
 605

$$WRR\% = \frac{N - S - D}{N} 100\% , \quad (16)$$

606 where  $N$  is the total number of words in the reference  
 607 transcriptions,  $S$  is the number of substitution errors,  
 608 and  $D$  is the number of deletion errors [26].  
 609

610 For the standard PMBSS we used the same config-  
 611 uration as proposed in the previous work, with central  
 612 bin fixed at  $3/8$  of the maximum frequency for white  
 613 noise, and  $5/8$  of the maximum frequency for speech  
 614 noise. In all experiments we fixed the number of lateral  
 615 bins to use in 10.  
 616

617 **4.1 Optimal Lag for the Wiener Postfilter**

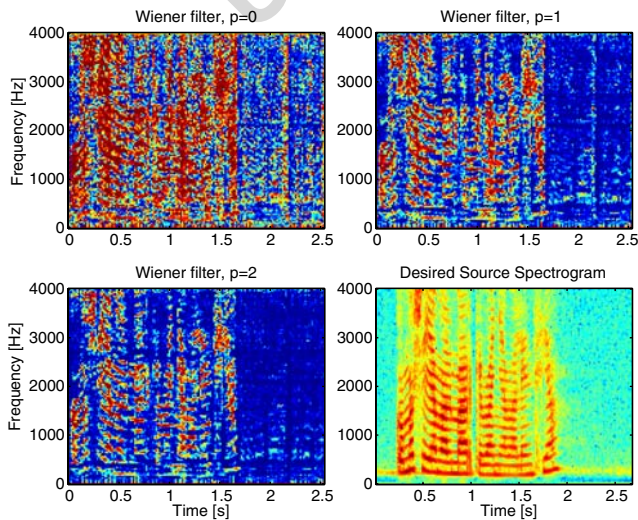
618 The proposed Wiener postfilter depends on one pa-  
 619 rameter that needs to be determined: the maximum  
 620 number of lags  $p$  to consider in the noise estimation.  
 621 There is a compromise in the selection of this para-  
 622 meter. On one side, if the reverberation time is long,  
 623 the information of the noise in one instant will have  
 624 importance at a wider ranges of time instants, and thus  
 625 a larger  $p$  should be used. On the other side, if too  
 626 much lags are combined, there is an increasing prob-  
 627 ability of having time-frequency tiles for which both,  
 628 the estimated source and the estimated noise, have  
 629 significant energy, and this will produce a degradation  
 630 on the source estimation. To verify the influence of this

t1.1 **Table 1** Average separation quality as function of the number of lags used to estimate the Wiener filter.

t1.2	Power	Noise	STD	$p = 0$	$p = 1$	$p = 2$	$p = 3$
t1.3	6 dB	Speech	2.74	2.74	<b>2.80</b>	2.78	2.73
t1.4		White	2.84	2.83	<b>2.88</b>	2.86	2.83
t1.5	0 dB	Speech	2.50	2.48	<b>2.52</b>	2.45	2.41
t1.6		White	2.59	2.54	<b>2.67</b>	2.66	2.65
t1.7	Average		2.67	2.65	<b>2.71</b>	2.69	2.65

626 parameter, the set of 20 test mixtures, under the two  
 627 kind of noises and the two noise powers, were separated  
 628 using values of 0, 1, 2 and 3 for  $p$ , and the PESQ quality  
 629 evaluated on each separated source. For comparison we  
 630 used also the standard method (STD) as proposed in  
 631 [8]. Table 1 presents the results.

632 As it can be seen, the best results are obtained for  
 633 a maximum lag of 1. The use of  $p = 0$  imply using as  
 634 noise estimation only the present time instant, which  
 635 would be the same as in the standard PMBSS method.  
 636 The difference is in the use of weights, that being lower  
 637 than one will reduce the noise estimation with respect  
 638 to the standard method where this weight is always  
 639 equal to one. When the number of lags considered  
 640 is increased, the quality is lowered. This is due to  
 641 the increasing distortions introduced by the Wiener  
 642 postfilter when it eliminates more and more frequency  
 643 components. Nevertheless, it must be noted that when  
 644 the sources are heard, the competing source is almost  
 645 completely eliminated, but the resulting spectrogram  
 646 show an increased number of gaps due to the excessive  
 647 elimination of frequency components, which produce  
 648 the reduction on PESQ.

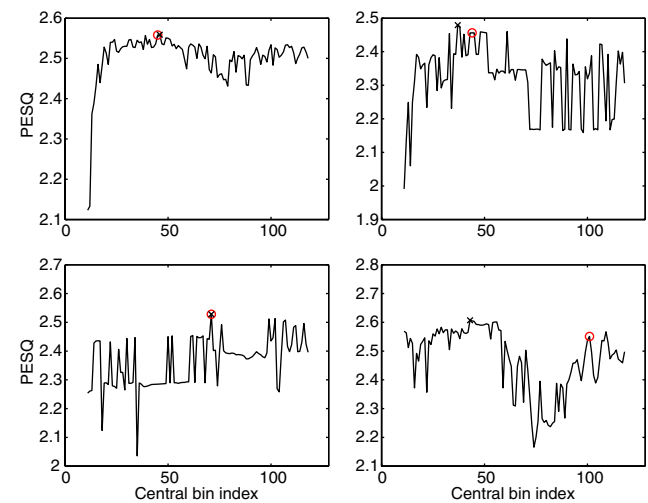


**Figure 5** Effect of the number of lags  $p$  in the Wiener filter. For reference, the desired source spectrogram is also shown.

This effect in the spectrogram can also be seen in  
 Fig. 5. To generate this figure, the magnitude of the  
 Wiener postfilter was draw in colorscale, for  $p = 0, 1, 2,$   
 for one example of speech-speech mixture at 0 dB.  
 Also the spectrogram of the original (desired) source  
 is shown. The effect of adding lags is a sharpening in  
 the spectral characteristic of the desired source. As  
 the number of lags is increased, the Wiener filter ap-  
 proaches a binary mask with sharp transitions, which  
 provides better rejection of the undesired source, but  
 also introducing distortions in the desired source. On  
 the contrary, for small  $p$  the shape is smoother, with  
 better preservation of the desired source, but a greater  
 leakage of the undesired one.

4.2 Evaluation of the Bin Selection Method

To show that the proposed method can properly se-  
 lect the optimum bin, we have chosen four examples  
 of mixtures, two with speech and the other two with  
 white noise as competing sources, all at 0 dB of power  
 ratios. The separation method was applied using a fixed  
 number of 10 lateral bins at each side of the selected  
 central bin to estimate the mixing parameters. A win-  
 dow length of 256 samples with window shift of 128  
 samples was used. This produces a transform with 129  
 bins. The central bin was varied from 11 to 118, and  
 for each value of the central bin, the basic separation  
 method was applied and the PESQ score over the  
 whole reconstructed signal was calculated. In this way, a  
 graphic of the achieved quality in function of the central



**Figure 6** Automatic central bin selection examples. The PESQ as a function of the central bin is drawn. The maximum PESQ is marked with a cross, and the quality of the automatic selected bin with a circle.

**Table 2** Average separation quality (PESQ) for the different methods evaluated in this work and the mixtures.

Power	Noise	Mix	STD	BIN	WIENER	FULL
6 dB	Speech	2.11	2.74	2.83	2.80	<b>2.89</b>
	White	1.98	2.84	2.83	<b>2.88</b>	2.87
0 dB	Speech	1.73	2.50	2.60	2.52	<b>2.65</b>
	White	1.64	2.59	2.56	2.67	<b>2.63</b>
Average		1.86	2.67	2.70	2.71	<b>2.76</b>

bin can be done. Then, the proposed method is applied, and the automatically selected bin reported. This allows to verify if the method can identify the optimum bin properly.

Figure 6 show the results. The first row has two examples of the PESQ for the case of white noise, and the second row the same measure for the case of speech noise. In each case, a cross marks the best PESQ value possible, and a circle mark the obtained PESQ with the automatically selected bin. It can be seen that usually the method is able to find the bin which produces the optimum PESQ, and when it cannot, it detects a bin that produces a local maximum in quality.

### 4.3 Comparative Evaluation

Finally we present the results of PESQ score and word recognition rate for the different alternatives of the method: the standard PMBSS method (STD), the method with only the central bin selection changed (BIN), the method with central bin fixed but with the improved Wiener postfilter (WIENER), and the full proposed method (FULL). Tables 2 and 3 present the results for PESQ and WRR% respectively, for the evaluated methods and also for the mixtures without any processing (that is, as they are captured by the microphones).

The results show that both proposed methods provide for an improvement in the quality of the separated signals, which is reflected in both, improvements in PESQ and in WRR. Moreover, when the two methods are applied together the improvement is even larger than the improvements obtained by the sepa-

**Table 3** Word recognition rates (WRR%) for the different methods evaluated in this work and the mixtures.

Power	Noise	Mix	STD	BIN	WIENER	FULL
6 dB	Speech	44.50	84.66	<b>86.00</b>	84.13	85.19
	White	19.54	84.00	<b>84.50</b>	82.50	80.50
0 dB	Speech	30.00	82.50	83.00	84.66	<b>86.00</b>
	White	7.20	67.50	70.00	<b>73.50</b>	<b>73.50</b>
Average		25.31	79.66	80.87	81.20	<b>81.30</b>

rated methods. This is clearly seen the PESQ average results, where the individual improvements are of 0.03 and 0.04, but combined contribute to a global 0.09 improvement. The complete method provides for a 6% relative improvement in quality measured as PESQ score, and an increase of 1.64% in the average recognition rate. It must be noted that the processing time is almost not changed by these new alternatives (only about 5% increase in processing time), and thus the method maintains its very high processing speed.

## 5 Conclusions

In this work, the PMBSS method was analyzed with increased detail, providing insights in the reason why it is very successful in achieving separation and some reverberation reduction. In particular it was shown why this reverberation reduction is produced even when the separation model is supposed to produce separation but not reverberation reduction.

This paper also addresses an aspect that was left for future work in [8], which is the selection of the optimal central bin to be used in the estimation of the mixing parameters stage. This selection is automatically done by means of an estimation of mutual information, which is used as a measure of the amount of mixing in each bin, using then the bin which shows less mixed signals.

Finally the Wiener postfilter was improved, taking into account the temporal correlation introduced by the reverberation. The noise estimation was done by a weighted average of lagged spectra, where the proper weights are selected by a cross correlation.

The proposed methods were evaluated by means of an objective quality measure and a speech recognition system. The method for central bin selection is capable of detecting the optimal central bin. The two proposed methods produced better objective quality of the obtained signals, and improvements in the recognition rate.

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- Q1. Please provide biographies and photographs of the authors.
- Q2. Please check if the authors' affiliations were presented correctly.
- Q3. Please provide an explanation for the significance of the data presented in bold in Tables 1–3.

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