

The ATLAS Experiment at the CERN Large Hadron Collider

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THE CERN LARGE HADRON COLLIDER: ACCELERATOR AND EXPERIMENTS

The ATLAS Experiment at the CERN Large Hadron Collider

ATLAS Collaboration

ABSTRACT: The ATLAS detector as installed in its experimental cavern at point 1 at CERN is described in this paper. A brief overview of the expected performance of the detector when the Large Hadron Collider begins operation is also presented.

KEYWORDS: ATLAS; LHC; CERN; Accelerator; Proton-proton collisions; Heavy-ion collisions; Minimum-bias events; Bunch-crossings; Pile-up; Superconducting magnets; Solenoidal field; Toroidal field; Magnetic field measurements; Hall probes; Inner detector; Charged-particle tracking; Vertex measurement; Pixel detectors; Silicon micro-strip detectors; Transition radiation; Time-over-threshold; Radiation-hard electronics; Fluorinert cooling; Carbon-fibre reinforced plastics; Optical fibres; Calorimetry; Sampling calorimeters; Liquid argon; Scintillator tiles; Electromagnetic and hadronic interactions; Forward calorimetry; Accordion geometry; Lateral segmentation; Longitudinal segmentation; Muon spectrometer; Precision-tracking chambers; Trigger chambers; Drift tubes; Thin-gap chambers; Resistive-plate chambers; Optical alignment systems; Forward detectors; Cerenkov light; Roman Pots; Zero-degree calorimetry; Trigger and data acquisition; High-level trigger; Event filter; Detector control system; Bandwidth; Processor farm; Electrons; Muons; Leptons; Photons; Jets; Taus; Missing transverse energy; b-tagging; Particle identification; Tracking algorithms; Vertexing algorithms; Impact parameter measurements.

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Chapter 1

Overview of the ATLAS detector

The Large Hadron Collider (LHC) at CERN will extend the frontiers of particle physics with its unprecedented high energy and luminosity. Inside the LHC, bunches of up to 10^{11} protons (p) will collide 40 million times per second to provide 14 TeV proton-proton collisions at a design luminosity of $10^{34} \text{ cm}^{-2}\text{s}^{-1}$. The LHC will also collide heavy ions (A), in particular lead nuclei, at 5.5 TeV per nucleon pair, at a design luminosity of $10^{27} \text{ cm}^{-2}\text{s}^{-1}$.

The high interaction rates, radiation doses, particle multiplicities and energies, as well as the requirements for precision measurements have set new standards for the design of particle detectors. Two general purpose detectors, ATLAS (A Toroidal LHC ApparatuS) and CMS (Compact Muon Solenoid) have been built for probing p - p and A - A collisions.

This paper presents a comprehensive overview of the ATLAS detector prior to the first LHC collisions, written as the installation of the ATLAS detector is nearing completion. This detector represents the work of a large collaboration of several thousand physicists, engineers, technicians, and students over a period of fifteen years of dedicated design, development, fabrication, and installation.

1.1 Physics requirements and detector overview

The coordinate system and nomenclature used to describe the ATLAS detector and the particles emerging from the p - p collisions are briefly summarised here, since they are used repeatedly throughout this paper. The nominal interaction point is defined as the origin of the coordinate system, while the beam direction defines the z -axis and the x - y plane is transverse to the beam direction. The positive x -axis is defined as pointing from the interaction point to the centre of the LHC ring and the positive y -axis is defined as pointing upwards. The side-A of the detector is defined as that with positive z and side-C is that with negative z . The azimuthal angle ϕ is measured as usual around the beam axis, and the polar angle θ is the angle from the beam axis. The pseudorapidity is defined as $\eta = -\ln \tan(\theta/2)$ (in the case of massive objects such as jets, the rapidity $y = 1/2 \ln[(E + p_z)/(E - p_z)]$ is used). The transverse momentum p_T , the transverse energy E_T , and the missing transverse energy E_T^{miss} are defined in the x - y plane unless stated otherwise. The distance ΔR in the pseudorapidity-azimuthal angle space is defined as $\Delta R = \sqrt{\Delta\eta^2 + \Delta\phi^2}$.

The LHC is extensively reviewed in another article of this volume. It will provide a rich physics potential, ranging from more precise measurements of Standard Model parameters to the search for new physics phenomena. Furthermore, nucleus-nucleus collisions at the LHC provide an unprecedented opportunity to study the properties of strongly interacting matter at extreme energy density, including the possible phase transition to a colour-deconfined state: the quark-gluon plasma. Requirements for the ATLAS detector system [1] have been defined using a set of processes covering much of the new phenomena which one can hope to observe at the TeV scale.

The high luminosity and increased cross-sections at the LHC enable further high precision tests of QCD, electroweak interactions, and flavour physics. The top quark will be produced at the LHC at a rate of a few tens of Hz, providing the opportunity to test its couplings and spin.

The search for the Standard Model Higgs boson has been used as a benchmark to establish the performance of important sub-systems of ATLAS. It is a particularly important process since there is a range of production and decay mechanisms, depending on the mass of the Higgs boson, H . At low masses ($m_H < 2m_Z$), the natural width would only be a few MeV, and so the observed width would be defined by the instrumental resolution. The predominant decay mode into hadrons would be difficult to detect due to QCD backgrounds, and the two-photon decay channel would be an important one. Other promising channels could be, for example, associated production of H such as $t\bar{t}H$, WH , and ZH , with $H \rightarrow b\bar{b}$, using a lepton from the decay of one of the top quarks or of the vector boson for triggering and background rejection. For masses above 130 GeV, Higgs-boson decays, $H \rightarrow ZZ^{(*)}$, where each Z decays to a pair of oppositely charged leptons, would provide the experimentally cleanest channel to study the properties of the Higgs boson. For masses above approximately 600 GeV, WW and ZZ decays into jets or involving neutrinos would be needed to extract a signal. The tagging of forward jets from the WW or ZZ fusion production mechanism has also been shown to be important for the discovery of the Higgs boson. Searches for the Higgs boson beyond the Standard Model, for such particles as the A and H^\pm of the minimal supersymmetric extension of the Standard Model, require sensitivity to processes involving τ -leptons and good b -tagging performance. Should the Higgs boson be discovered, it would need to be studied in several modes, regardless of its mass, in order to fully disentangle its properties and establish its credentials as belonging to the Standard Model or an extension thereof.

New heavy gauge bosons W' and Z' could be accessible for masses up to ~ 6 TeV. To study their leptonic decays, high-resolution lepton measurements and charge identification are needed in the p_T -range of a few TeV. Another class of signatures of new physics may be provided by very high- p_T jet measurements. As a benchmark process, quark compositeness has been used, where the signature would be a deviation in the jet cross-sections from the QCD expectations. Searches for flavour-changing neutral currents and lepton flavour violation through $\tau \rightarrow 3\mu$ or $\tau \rightarrow \mu\gamma$, as well as measurements of $B_s^0 \rightarrow \mu\mu$ and triple and quartic-gauge couplings may also open a window onto new physics.

The decays of supersymmetric particles, such as squarks and gluinos, would involve cascades which, if R-parity is conserved, always contain a lightest stable supersymmetric particle (LSP). As the LSP would interact very weakly with the detector, the experiment would measure a significant missing transverse energy, E_T^{miss} , in the final state. The rest of the cascade would result in a number of leptons and jets. In schemes where the LSP decays into a photon and a gravitino, an increased number of hard isolated photons is expected.

Several new models propose the existence of extra dimensions leading to a characteristic energy scale of quantum gravity in the TeV region. In terms of experimental signatures, this could lead to the emission of gravitons which escape into extra dimensions and therefore generate E_T^{miss} , or of Kaluza-Klein excitations which manifest themselves as Z -like resonances with \sim TeV separations in mass. Other experimental signatures could be anomalous high-mass di-jet production, and miniature black-hole production with spectacular decays involving democratic production of fundamental final states such as jets, leptons, photons, neutrinos, W 's, and Z 's.

The formidable LHC luminosity and resulting interaction rate are needed because of the small cross-sections expected for many of the processes mentioned above. However, with an inelastic-proton-proton cross-section of 80 mb, the LHC will produce a total rate of 10^9 inelastic events/s at design luminosity. This presents a serious experimental difficulty as it implies that every candidate event for new physics will on the average be accompanied by 23 inelastic events per bunch-crossing.

The nature of proton-proton collisions imposes another difficulty. QCD jet production cross-sections dominate over the rare processes mentioned above, requiring the identification of experimental signatures characteristic of the physics processes in question, such as E_T^{miss} or secondary vertices. Identifying such final states for these rare processes imposes further demands on the integrated luminosity needed, and on the particle-identification capabilities of the detector.

Viewed in this context, these benchmark physics goals can be turned into a set of general requirements for the LHC detectors.

- Due to the experimental conditions at the LHC, the detectors require fast, radiation-hard electronics and sensor elements. In addition, high detector granularity is needed to handle the particle fluxes and to reduce the influence of overlapping events.
- Large acceptance in pseudorapidity with almost full azimuthal angle coverage is required.
- Good charged-particle momentum resolution and reconstruction efficiency in the inner tracker are essential. For offline tagging of τ -leptons and b -jets, vertex detectors close to the interaction region are required to observe secondary vertices.
- Very good electromagnetic (EM) calorimetry for electron and photon identification and measurements, complemented by full-coverage hadronic calorimetry for accurate jet and missing transverse energy measurements, are important requirements, as these measurements form the basis of many of the studies mentioned above.
- Good muon identification and momentum resolution over a wide range of momenta and the ability to determine unambiguously the charge of high p_T muons are fundamental requirements.
- Highly efficient triggering on low transverse-momentum objects with sufficient background rejection, is a prerequisite to achieve an acceptable trigger rate for most physics processes of interest.

The overall ATLAS detector layout is shown in figure 1.1 and its main performance goals are listed in table 1.1. It is important to note that, for high- p_T muons, the muon-spectrometer performance as given in table 1.1 is independent of the inner-detector system.

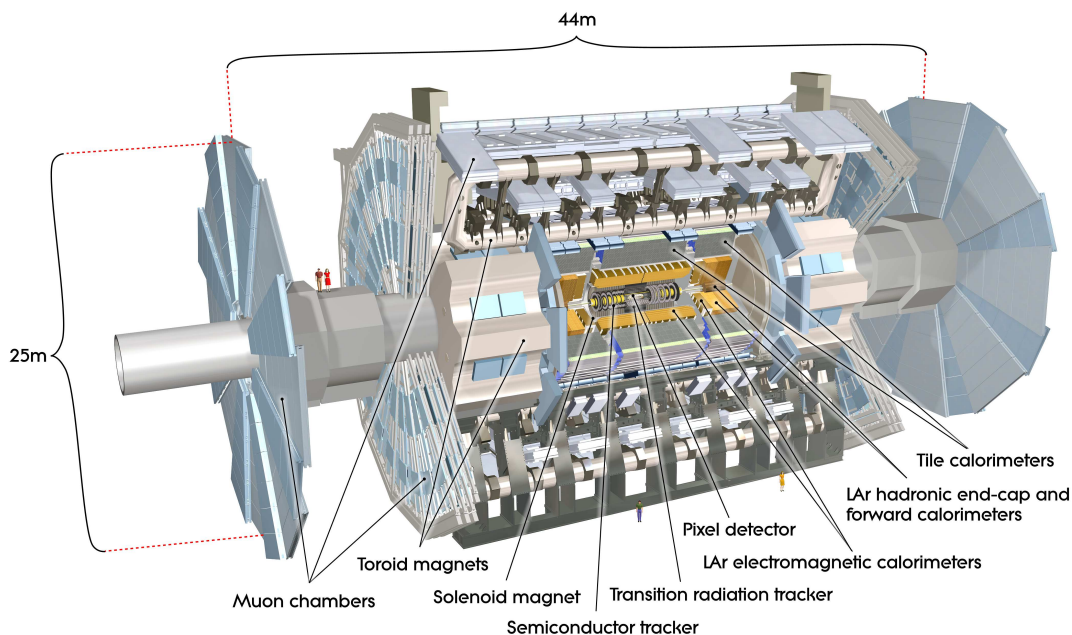


Figure 1.1: Cut-away view of the ATLAS detector. The dimensions of the detector are 25 m in height and 44 m in length. The overall weight of the detector is approximately 7000 tonnes.

The ATLAS detector is nominally forward-backward symmetric with respect to the interaction point. The magnet configuration comprises a thin superconducting solenoid surrounding the inner-detector cavity, and three large superconducting toroids (one barrel and two end-caps) arranged with an eight-fold azimuthal symmetry around the calorimeters. This fundamental choice has driven the design of the rest of the detector.

The inner detector is immersed in a 2 T solenoidal field. Pattern recognition, momentum and vertex measurements, and electron identification are achieved with a combination of discrete, high-resolution semiconductor pixel and strip detectors in the inner part of the tracking volume, and straw-tube tracking detectors with the capability to generate and detect transition radiation in its outer part.

High granularity liquid-argon (LAr) electromagnetic sampling calorimeters, with excellent performance in terms of energy and position resolution, cover the pseudorapidity range $|\eta| < 3.2$. The hadronic calorimetry in the range $|\eta| < 1.7$ is provided by a scintillator-tile calorimeter, which is separated into a large barrel and two smaller extended barrel cylinders, one on either side of the central barrel. In the end-caps ($|\eta| > 1.5$), LAr technology is also used for the hadronic calorimeters, matching the outer $|\eta|$ limits of end-cap electromagnetic calorimeters. The LAr forward calorimeters provide both electromagnetic and hadronic energy measurements, and extend the pseudorapidity coverage to $|\eta| = 4.9$.

The calorimeter is surrounded by the muon spectrometer. The air-core toroid system, with a long barrel and two inserted end-cap magnets, generates strong bending power in a large volume within a light and open structure. Multiple-scattering effects are thereby minimised, and excellent muon momentum resolution is achieved with three layers of high precision tracking chambers.

Table 1.1: General performance goals of the ATLAS detector. Note that, for high- p_T muons, the muon-spectrometer performance is independent of the inner-detector system. The units for E and p_T are in GeV.

Detector component	Required resolution	η coverage	
		Measurement	Trigger
Tracking	$\sigma_{p_T}/p_T = 0.05\% p_T \oplus 1\%$	± 2.5	
EM calorimetry	$\sigma_E/E = 10\%/\sqrt{E} \oplus 0.7\%$	± 3.2	± 2.5
Hadronic calorimetry (jets) barrel and end-cap forward	$\sigma_E/E = 50\%/\sqrt{E} \oplus 3\%$	± 3.2	± 3.2
	$\sigma_E/E = 100\%/\sqrt{E} \oplus 10\%$	$3.1 < \eta < 4.9$	$3.1 < \eta < 4.9$
Muon spectrometer	$\sigma_{p_T}/p_T = 10\%$ at $p_T = 1$ TeV	± 2.7	± 2.4

The muon instrumentation includes, as a key component, trigger chambers with timing resolution of the order of 1.5-4 ns. The muon spectrometer defines the overall dimensions of the ATLAS detector.

The proton-proton interaction rate at the design luminosity of $10^{34} \text{ cm}^{-2}\text{s}^{-1}$ is approximately 1 GHz, while the event data recording, based on technology and resource limitations, is limited to about 200 Hz. This requires an overall rejection factor of 5×10^6 against minimum-bias processes while maintaining maximum efficiency for the new physics. The Level-1 (L1) trigger system uses a subset of the total detector information to make a decision on whether or not to continue processing an event, reducing the data rate to approximately 75 kHz (limited by the bandwidth of the readout system, which is upgradeable to 100 kHz). The subsequent two levels, collectively known as the high-level trigger, are the Level-2 (L2) trigger and the event filter. They provide the reduction to a final data-taking rate of approximately 200 Hz.

Due to budgetary constraints, some detector systems had to be staged. They will be completed and installed as soon as technically and financially feasible. These include, in particular, a significant part of the high-level trigger processing farm. The initial input capacity will be limited to a L1 trigger rate of about 40 kHz. This capacity will be increased as needed to deal with the LHC luminosity profile during the first years. The ultimate goal is to be able to handle 100 kHz if needed. Some parts of the muon spectrometer are staged, most noticeably part of the precision chambers in the transition region between the barrel and the end-caps. In addition, some of the forward shielding elements will be completed later, as the LHC approaches design luminosity.

1.2 Tracking

Approximately 1000 particles will emerge from the collision point every 25 ns within $|\eta| < 2.5$, creating a very large track density in the detector. To achieve the momentum and vertex resolution requirements imposed by the benchmark physics processes, high-precision measurements must be made with fine detector granularity. Pixel and silicon microstrip (SCT) trackers, used in conjunction with the straw tubes of the Transition Radiation Tracker (TRT), offer these features.

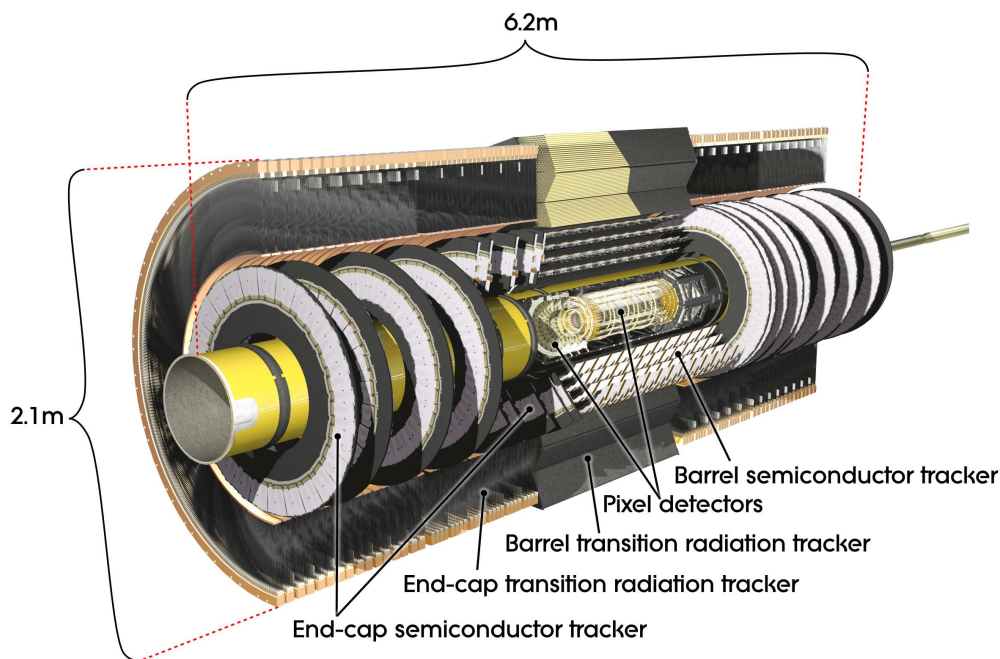


Figure 1.2: Cut-away view of the ATLAS inner detector.

The layout of the Inner Detector (ID) is illustrated in figure 1.2 and detailed in chapter 4. Its basic parameters are summarised in table 1.2 (also see intrinsic accuracies in table 4.1). The ID is immersed in a 2 T magnetic field generated by the central solenoid, which extends over a length of 5.3 m with a diameter of 2.5 m. The precision tracking detectors (pixels and SCT) cover the region $|\eta| < 2.5$. In the barrel region, they are arranged on concentric cylinders around the beam axis while in the end-cap regions they are located on disks perpendicular to the beam axis. The highest granularity is achieved around the vertex region using silicon pixel detectors. The pixel layers are segmented in $R - \phi$ and z with typically three pixel layers crossed by each track. All pixel sensors are identical and have a minimum pixel size in $R - \phi \times z$ of $50 \times 400 \mu\text{m}^2$. The intrinsic accuracies in the barrel are $10 \mu\text{m}$ ($R - \phi$) and $115 \mu\text{m}$ (z) and in the disks are $10 \mu\text{m}$ ($R - \phi$) and $115 \mu\text{m}$ (R). The pixel detector has approximately 80.4 million readout channels. For the SCT, eight strip layers (four space points) are crossed by each track. In the barrel region, this detector uses small-angle (40 mrad) stereo strips to measure both coordinates, with one set of strips in each layer parallel to the beam direction, measuring $R - \phi$. They consist of two 6.4 cm long daisy-chained sensors with a strip pitch of $80 \mu\text{m}$. In the end-cap region, the detectors have a set of strips running radially and a set of stereo strips at an angle of 40 mrad. The mean pitch of the strips is also approximately $80 \mu\text{m}$. The intrinsic accuracies per module in the barrel are $17 \mu\text{m}$ ($R - \phi$) and $580 \mu\text{m}$ (z) and in the disks are $17 \mu\text{m}$ ($R - \phi$) and $580 \mu\text{m}$ (R). The total number of readout channels in the SCT is approximately 6.3 million.

A large number of hits (typically 36 per track) is provided by the 4 mm diameter straw tubes of the TRT, which enables track-following up to $|\eta| = 2.0$. The TRT only provides $R - \phi$ information, for which it has an intrinsic accuracy of $130 \mu\text{m}$ per straw. In the barrel region, the straws are parallel to the beam axis and are 144 cm long, with their wires divided into two halves, approximately at $\eta = 0$. In the end-cap region, the 37 cm long straws are arranged radially in wheels. The total number of TRT readout channels is approximately 351,000.

Table 1.2: Main parameters of the inner-detector system.

Item		Radial extension (mm)	Length (mm)
Overall ID envelope		$0 < R < 1150$	$0 < z < 3512$
Beam-pipe		$29 < R < 36$	
Pixel	Overall envelope	$45.5 < R < 242$	$0 < z < 3092$
3 cylindrical layers	Sensitive barrel	$50.5 < R < 122.5$	$0 < z < 400.5$
2 × 3 disks	Sensitive end-cap	$88.8 < R < 149.6$	$495 < z < 650$
SCT	Overall envelope	$255 < R < 549$ (barrel)	$0 < z < 805$
		$251 < R < 610$ (end-cap)	$810 < z < 2797$
4 cylindrical layers	Sensitive barrel	$299 < R < 514$	$0 < z < 749$
2 × 9 disks	Sensitive end-cap	$275 < R < 560$	$839 < z < 2735$
TRT	Overall envelope	$554 < R < 1082$ (barrel)	$0 < z < 780$
		$617 < R < 1106$ (end-cap)	$827 < z < 2744$
73 straw planes	Sensitive barrel	$563 < R < 1066$	$0 < z < 712$
160 straw planes	Sensitive end-cap	$644 < R < 1004$	$848 < z < 2710$

The combination of precision trackers at small radii with the TRT at a larger radius gives very robust pattern recognition and high precision in both $R - \phi$ and z coordinates. The straw hits at the outer radius contribute significantly to the momentum measurement, since the lower precision per point compared to the silicon is compensated by the large number of measurements and longer measured track length.

The inner detector system provides tracking measurements in a range matched by the precision measurements of the electromagnetic calorimeter. The electron identification capabilities are enhanced by the detection of transition-radiation photons in the xenon-based gas mixture of the straw tubes. The semiconductor trackers also allow impact parameter measurements and vertexing for heavy-flavour and τ -lepton tagging. The secondary vertex measurement performance is enhanced by the innermost layer of pixels, at a radius of about 5 cm.

1.3 Calorimetry

A view of the sampling calorimeters is presented in figure 1.3, and the pseudorapidity coverage, granularity, and segmentation in depth of the calorimeters are summarised in table 1.3 (see also chapter 5). These calorimeters cover the range $|\eta| < 4.9$, using different techniques suited to the widely varying requirements of the physics processes of interest and of the radiation environment over this large η -range. Over the η region matched to the inner detector, the fine granularity of the EM calorimeter is ideally suited for precision measurements of electrons and photons. The coarser granularity of the rest of the calorimeter is sufficient to satisfy the physics requirements for jet reconstruction and E_T^{miss} measurements.

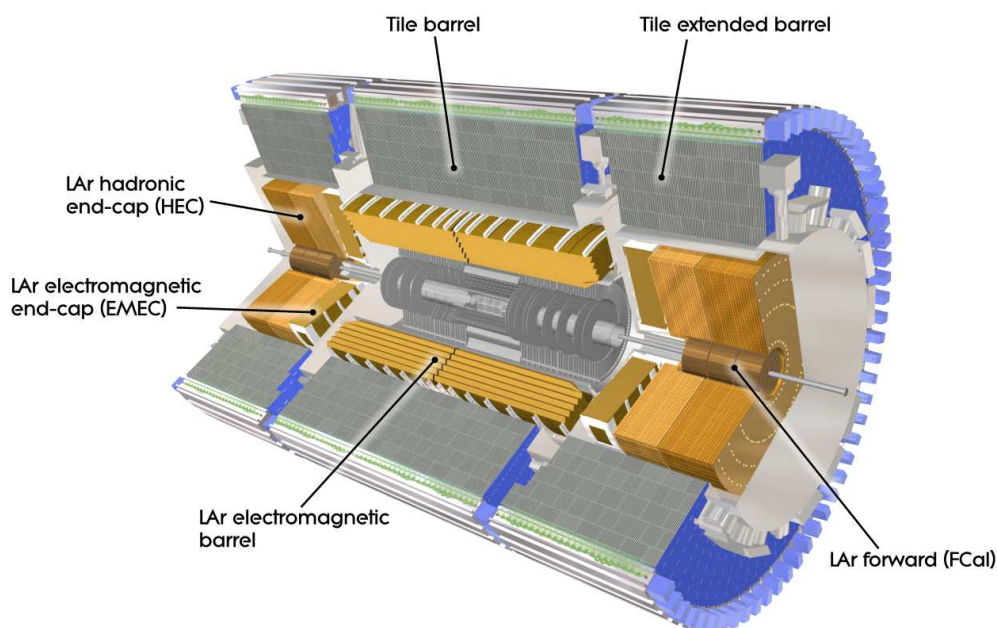


Figure 1.3: Cut-away view of the ATLAS calorimeter system.

Calorimeters must provide good containment for electromagnetic and hadronic showers, and must also limit punch-through into the muon system. Hence, calorimeter depth is an important design consideration. The total thickness of the EM calorimeter is $> 22 X_0$ in the barrel and $> 24 X_0$ in the end-caps. The approximate 9.7 interaction lengths (λ) of active calorimeter in the barrel (10 λ in the end-caps) are adequate to provide good resolution for high-energy jets (see table 1.1). The total thickness, including 1.3 λ from the outer support, is 11 λ at $\eta = 0$ and has been shown both by measurements and simulations to be sufficient to reduce punch-through well below the irreducible level of prompt or decay muons. Together with the large η -coverage, this thickness will also ensure a good E_T^{miss} measurement, which is important for many physics signatures and in particular for SUSY particle searches.

1.3.1 LAr electromagnetic calorimeter

The EM calorimeter is divided into a barrel part ($|\eta| < 1.475$) and two end-cap components ($1.375 < |\eta| < 3.2$), each housed in their own cryostat. The position of the central solenoid in front of the EM calorimeter demands optimisation of the material in order to achieve the desired calorimeter performance. As a consequence, the central solenoid and the LAr calorimeter share a common vacuum vessel, thereby eliminating two vacuum walls. The barrel calorimeter consists of two identical half-barrels, separated by a small gap (4 mm) at $z = 0$. Each end-cap calorimeter is mechanically divided into two coaxial wheels: an outer wheel covering the region $1.375 < |\eta| < 2.5$, and an inner wheel covering the region $2.5 < |\eta| < 3.2$. The EM calorimeter is a lead-LAr detector with accordion-shaped kapton electrodes and lead absorber plates over its full coverage. The accordion geometry provides complete ϕ symmetry without azimuthal cracks. The

Table 1.3: Main parameters of the calorimeter system.

	Barrel		End-cap	
EM calorimeter				
Number of layers and $ \eta $ coverage				
Presampler	1	$ \eta < 1.52$	1	$1.5 < \eta < 1.8$
Calorimeter	3	$ \eta < 1.35$	2	$1.375 < \eta < 1.5$
	2	$1.35 < \eta < 1.475$	3	$1.5 < \eta < 2.5$
			2	$2.5 < \eta < 3.2$
Granularity $\Delta\eta \times \Delta\phi$ versus $ \eta $				
Presampler	0.025×0.1	$ \eta < 1.52$	0.025×0.1	$1.5 < \eta < 1.8$
Calorimeter 1st layer	$0.025/8 \times 0.1$	$ \eta < 1.40$	0.050×0.1	$1.375 < \eta < 1.425$
	0.025×0.025	$1.40 < \eta < 1.475$	0.025×0.1	$1.425 < \eta < 1.5$
			$0.025/8 \times 0.1$	$1.5 < \eta < 1.8$
			$0.025/6 \times 0.1$	$1.8 < \eta < 2.0$
			$0.025/4 \times 0.1$	$2.0 < \eta < 2.4$
			0.025×0.1	$2.4 < \eta < 2.5$
Calorimeter 2nd layer	0.025×0.025	$ \eta < 1.40$	0.050×0.025	$1.375 < \eta < 1.425$
	0.075×0.025	$1.40 < \eta < 1.475$	0.025×0.025	$1.425 < \eta < 2.5$
Calorimeter 3rd layer			0.1×0.1	$2.5 < \eta < 3.2$
	0.050×0.025	$ \eta < 1.35$	0.050×0.025	$1.5 < \eta < 2.5$
Number of readout channels				
Presampler	7808		1536 (both sides)	
Calorimeter	101760		62208 (both sides)	
LAr hadronic end-cap				
$ \eta $ coverage			$1.5 < \eta < 3.2$	
Number of layers			4	
Granularity $\Delta\eta \times \Delta\phi$			0.1×0.1	$1.5 < \eta < 2.5$
			0.2×0.2	$2.5 < \eta < 3.2$
Readout channels			5632 (both sides)	
LAr forward calorimeter				
$ \eta $ coverage			$3.1 < \eta < 4.9$	
Number of layers			3	
Granularity $\Delta x \times \Delta y$ (cm)			FCal1: 3.0×2.6	$3.15 < \eta < 4.30$
			FCal1: \sim four times finer	$3.10 < \eta < 3.15,$ $4.30 < \eta < 4.83$
			FCal2: 3.3×4.2	$3.24 < \eta < 4.50$
			FCal2: \sim four times finer	$3.20 < \eta < 3.24,$ $4.50 < \eta < 4.81$
			FCal3: 5.4×4.7	$3.32 < \eta < 4.60$
			FCal3: \sim four times finer	$3.29 < \eta < 3.32,$ $4.60 < \eta < 4.75$
Readout channels			3524 (both sides)	
Scintillator tile calorimeter				
	Barrel		Extended barrel	
$ \eta $ coverage	$ \eta < 1.0$		$0.8 < \eta < 1.7$	
Number of layers	3		3	
Granularity $\Delta\eta \times \Delta\phi$	0.1×0.1		0.1×0.1	
	0.2×0.1		0.2×0.1	
Readout channels	5760		4092 (both sides)	

lead thickness in the absorber plates has been optimised as a function of η in terms of EM calorimeter performance in energy resolution. Over the region devoted to precision physics ($|\eta| < 2.5$), the EM calorimeter is segmented in three sections in depth. For the end-cap inner wheel, the calorimeter is segmented in two sections in depth and has a coarser lateral granularity than for the rest of the acceptance.

In the region of $|\eta| < 1.8$, a presampler detector is used to correct for the energy lost by electrons and photons upstream of the calorimeter. The presampler consists of an active LAr layer of thickness 1.1 cm (0.5 cm) in the barrel (end-cap) region.

1.3.2 Hadronic calorimeters

Tile calorimeter. The tile calorimeter is placed directly outside the EM calorimeter envelope. Its barrel covers the region $|\eta| < 1.0$, and its two extended barrels the range $0.8 < |\eta| < 1.7$. It is a sampling calorimeter using steel as the absorber and scintillating tiles as the active material. The barrel and extended barrels are divided azimuthally into 64 modules. Radially, the tile calorimeter extends from an inner radius of 2.28 m to an outer radius of 4.25 m. It is segmented in depth in three layers, approximately 1.5, 4.1 and 1.8 interaction lengths (λ) thick for the barrel and 1.5, 2.6, and 3.3 λ for the extended barrel. The total detector thickness at the outer edge of the tile-instrumented region is 9.7 λ at $\eta = 0$. Two sides of the scintillating tiles are read out by wavelength shifting fibres into two separate photomultiplier tubes. In η , the readout cells built by grouping fibres into the photomultipliers are pseudo-projective towards the interaction region.

LAr hadronic end-cap calorimeter. The Hadronic End-cap Calorimeter (HEC) consists of two independent wheels per end-cap, located directly behind the end-cap electromagnetic calorimeter and sharing the same LAr cryostats. To reduce the drop in material density at the transition between the end-cap and the forward calorimeter (around $|\eta| = 3.1$), the HEC extends out to $|\eta| = 3.2$, thereby overlapping with the forward calorimeter. Similarly, the HEC η range also slightly overlaps that of the tile calorimeter ($|\eta| < 1.7$) by extending to $|\eta| = 1.5$. Each wheel is built from 32 identical wedge-shaped modules, assembled with fixtures at the periphery and at the central bore. Each wheel is divided into two segments in depth, for a total of four layers per end-cap. The wheels closest to the interaction point are built from 25 mm parallel copper plates, while those further away use 50 mm copper plates (for all wheels the first plate is half-thickness). The outer radius of the copper plates is 2.03 m, while the inner radius is 0.475 m (except in the overlap region with the forward calorimeter where this radius becomes 0.372 m). The copper plates are interleaved with 8.5 mm LAr gaps, providing the active medium for this sampling calorimeter.

LAr forward calorimeter. The Forward Calorimeter (FCal) is integrated into the end-cap cryostats, as this provides clear benefits in terms of uniformity of the calorimetric coverage as well as reduced radiation background levels in the muon spectrometer. In order to reduce the amount of neutron albedo in the inner detector cavity, the front face of the FCal is recessed by about 1.2 m with respect to the EM calorimeter front face. This severely limits the depth of the calorimeter and therefore calls for a high-density design. The FCal is approximately 10 interaction lengths deep, and consists of three modules in each end-cap: the first, made of copper, is optimised for electromagnetic measurements, while the other two, made of tungsten, measure predominantly the energy of hadronic interactions. Each module consists of a metal matrix, with regularly spaced longitudinal channels filled with the electrode structure consisting of concentric rods and tubes parallel to the beam axis. The LAr in the gap between the rod and the tube is the sensitive medium. This geometry allows for excellent control of the gaps, which are as small as 0.25 mm in the first section, in order to avoid problems due to ion buildup.

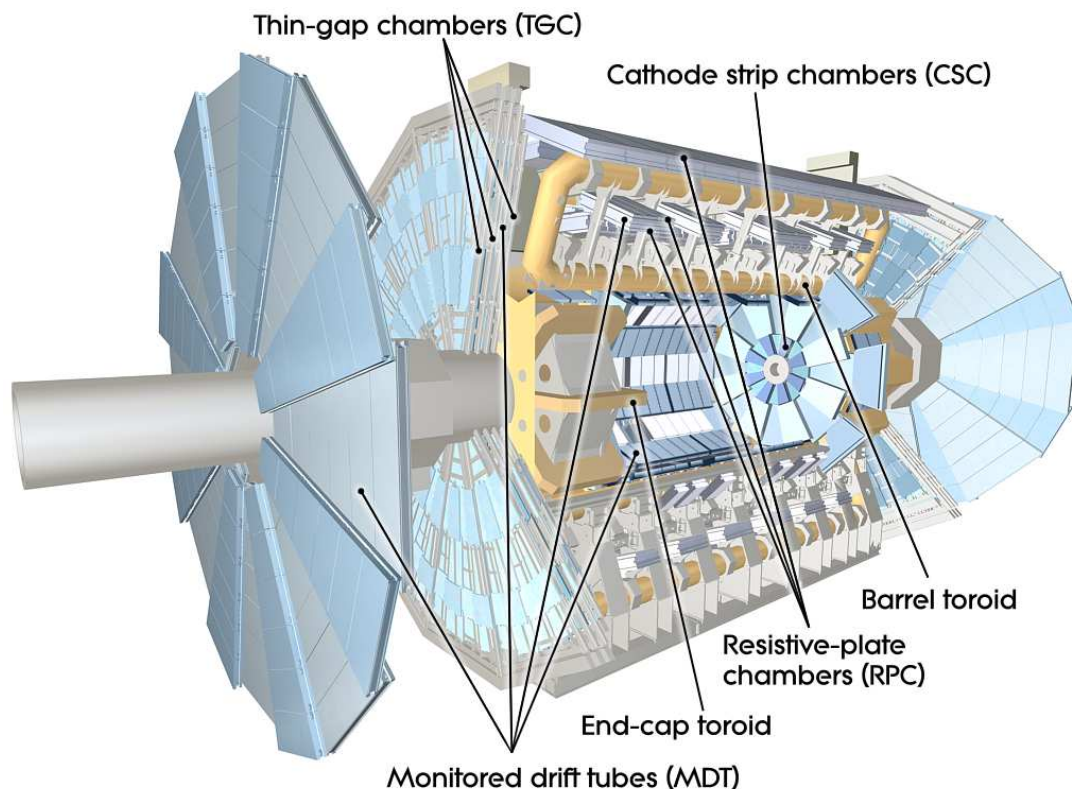


Figure 1.4: Cut-away view of the ATLAS muon system.

1.4 Muon system

The conceptual layout of the muon spectrometer is shown in figure 1.4 and the main parameters of the muon chambers are listed in table 1.4 (see also chapter 6). It is based on the magnetic deflection of muon tracks in the large superconducting air-core toroid magnets, instrumented with separate trigger and high-precision tracking chambers. Over the range $|\eta| < 1.4$, magnetic bending is provided by the large barrel toroid. For $1.6 < |\eta| < 2.7$, muon tracks are bent by two smaller end-cap magnets inserted into both ends of the barrel toroid. Over $1.4 < |\eta| < 1.6$, usually referred to as the transition region, magnetic deflection is provided by a combination of barrel and end-cap fields. This magnet configuration provides a field which is mostly orthogonal to the muon trajectories, while minimising the degradation of resolution due to multiple scattering. The anticipated high level of particle flux has had a major impact on the choice and design of the spectrometer instrumentation, affecting performance parameters such as rate capability, granularity, ageing properties, and radiation hardness.

In the barrel region, tracks are measured in chambers arranged in three cylindrical layers around the beam axis; in the transition and end-cap regions, the chambers are installed in planes perpendicular to the beam, also in three layers.

Table 1.4: Main parameters of the muon spectrometer. Numbers in brackets for the MDT's and the RPC's refer to the final configuration of the detector in 2009.

Monitored drift tubes	MDT
- Coverage	$ \eta < 2.7$ (innermost layer: $ \eta < 2.0$)
- Number of chambers	1088 (1150)
- Number of channels	339 000 (354 000)
- Function	Precision tracking
Cathode strip chambers	CSC
- Coverage	$2.0 < \eta < 2.7$
- Number of chambers	32
- Number of channels	31 000
- Function	Precision tracking
Resistive plate chambers	RPC
- Coverage	$ \eta < 1.05$
- Number of chambers	544 (606)
- Number of channels	359 000 (373 000)
- Function	Triggering, second coordinate
Thin gap chambers	TGC
- Coverage	$1.05 < \eta < 2.7$ (2.4 for triggering)
- Number of chambers	3588
- Number of channels	318 000
- Function	Triggering, second coordinate

1.4.1 The toroid magnets

A system of three large air-core toroids generates the magnetic field for the muon spectrometer. The two end-cap toroids are inserted in the barrel toroid at each end and line up with the central solenoid. Each of the three toroids consists of eight coils assembled radially and symmetrically around the beam axis. The end-cap toroid coil system is rotated by 22.5° with respect to the barrel toroid coil system in order to provide radial overlap and to optimise the bending power at the interface between the two coil systems.

The barrel toroid coils are housed in eight individual cryostats, with the linking elements between them providing the overall mechanical stability. Each end-cap toroid consists of eight racetrack-like coils in an aluminium alloy housing. Each coil has two double-pancake type windings. They are cold-linked and assembled as a single cold mass, housed in one large cryostat. Therefore the internal forces in the end-cap toroids are taken by the cold supporting structure between the coils, a different design solution than in the barrel toroid.

The performance in terms of bending power is characterised by the field integral $\int B dl$, where B is the field component normal to the muon direction and the integral is computed along an infinite-momentum muon trajectory, between the innermost and outermost muon-chamber planes. The barrel toroid provides 1.5 to 5.5 Tm of bending power in the pseudorapidity range $0 < |\eta| < 1.4$, and the end-cap toroids approximately 1 to 7.5 Tm in the region $1.6 < |\eta| < 2.7$. The bending power is lower in the transition regions where the two magnets overlap ($1.4 < |\eta| < 1.6$).

1.4.2 Muon chamber types

Over most of the η -range, a precision measurement of the track coordinates in the principal bending direction of the magnetic field is provided by Monitored Drift Tubes (MDT's). The mechanical isolation in the drift tubes of each sense wire from its neighbours guarantees a robust and reliable operation. At large pseudorapidities, Cathode Strip Chambers (CSC's, which are multiwire proportional chambers with cathodes segmented into strips) with higher granularity are used in the innermost plane over $2 < |\eta| < 2.7$, to withstand the demanding rate and background conditions. The stringent requirements on the relative alignment of the muon chamber layers are met by the combination of precision mechanical-assembly techniques and optical alignment systems both within and between muon chambers.

The trigger system covers the pseudorapidity range $|\eta| < 2.4$. Resistive Plate Chambers (RPC's) are used in the barrel and Thin Gap Chambers (TGC's) in the end-cap regions. The trigger chambers for the muon spectrometer serve a threefold purpose: provide bunch-crossing identification, provide well-defined p_T thresholds, and measure the muon coordinate in the direction orthogonal to that determined by the precision-tracking chambers.

1.4.3 Muon chamber alignment and B-field reconstruction

The overall performance over the large areas involved, particularly at the highest momenta, depends on the alignment of the muon chambers with respect to each other and with respect to the overall detector.

The accuracy of the stand-alone muon momentum measurement necessitates a precision of $30 \mu\text{m}$ on the relative alignment of chambers both within each projective tower and between consecutive layers in immediately adjacent towers. The internal deformations and relative positions of the MDT chambers are monitored by approximately 12000 precision-mounted alignment sensors, all based on the optical monitoring of deviations from straight lines. Because of geometrical constraints, the reconstruction and/or monitoring of the chamber positions rely on somewhat different strategies and sensor types in the end-cap and barrel regions, respectively.

The accuracy required for the relative positioning of non-adjacent towers to obtain adequate mass resolution for multi-muon final states, lies in the few millimetre range. This initial positioning accuracy is approximately established during the installation of the chambers. Ultimately, the relative alignment of the barrel and forward regions of the muon spectrometer, of the calorimeters and of the inner detector will rely on high-momentum muon trajectories.

For magnetic field reconstruction, the goal is to determine the bending power along the muon trajectory to a few parts in a thousand. The field is continuously monitored by a total of approximately 1800 Hall sensors distributed throughout the spectrometer volume. Their readings are compared with magnetic-field simulations and used for reconstructing the position of the toroid coils in space, as well as to account for magnetic perturbations induced by the tile calorimeter and other nearby metallic structures.

1.5 Forward detectors

Three smaller detector systems cover the ATLAS forward region (see chapter 7). The main function of the first two systems is to determine the luminosity delivered to ATLAS. At ± 17 m from the interaction point lies LUCID (LUminosity measurement using Cerenkov Integrating Detector). It detects inelastic p - p scattering in the forward direction, and is the main online relative-luminosity monitor for ATLAS. The second detector is ALFA (Absolute Luminosity For ATLAS). Located at ± 240 m, it consists of scintillating fibre trackers located inside Roman pots which are designed to approach as close as 1 mm to the beam. The third system is the Zero-Degree Calorimeter (ZDC), which plays a key role in determining the centrality of heavy-ion collisions. It is located at ± 140 m from the interaction point, just beyond the point where the common straight-section vacuum-pipe divides back into two independent beam-pipes. The ZDC modules consist of layers of alternating quartz rods and tungsten plates which will measure neutral particles at pseudorapidities $|\eta| \geq 8.2$.

1.6 Trigger, readout, data acquisition, and control systems

The Trigger and Data Acquisition (collectively TDAQ) systems, the timing- and trigger-control logic, and the Detector Control System (DCS) are partitioned into sub-systems, typically associated with sub-detectors, which have the same logical components and building blocks (see chapter 8).

The trigger system has three distinct levels: L1, L2, and the event filter. Each trigger level refines the decisions made at the previous level and, where necessary, applies additional selection criteria. The data acquisition system receives and buffers the event data from the detector-specific readout electronics, at the L1 trigger accept rate, over 1600 point-to-point readout links. The first level uses a limited amount of the total detector information to make a decision in less than $2.5 \mu\text{s}$, reducing the rate to about 75 kHz. The two higher levels access more detector information for a final rate of up to 200 Hz with an event size of approximately 1.3 Mbyte.

1.6.1 Trigger system

The L1 trigger searches for high transverse-momentum muons, electrons, photons, jets, and τ -leptons decaying into hadrons, as well as large missing and total transverse energy. Its selection is based on information from a subset of detectors. High transverse-momentum muons are identified using trigger chambers in the barrel and end-cap regions of the spectrometer. Calorimeter selections are based on reduced-granularity information from all the calorimeters. Results from the L1 muon and calorimeter triggers are processed by the central trigger processor, which implements a trigger ‘menu’ made up of combinations of trigger selections. Pre-scaling of trigger menu items is also available, allowing optimal use of the bandwidth as luminosity and background conditions change. Events passing the L1 trigger selection are transferred to the next stages of the detector-specific electronics and subsequently to the data acquisition via point-to-point links.

In each event, the L1 trigger also defines one or more Regions-of-Interest (RoI’s), i.e. the geographical coordinates in η and ϕ , of those regions within the detector where its selection process has identified interesting features. The RoI data include information on the type of feature identified and the criteria passed, e.g. a threshold. This information is subsequently used by the high-level trigger.

The L2 selection is seeded by the RoI information provided by the L1 trigger over a dedicated data path. L2 selections use, at full granularity and precision, all the available detector data within the RoI's (approximately 2% of the total event data). The L2 menus are designed to reduce the trigger rate to approximately 3.5 kHz, with an event processing time of about 40 ms, averaged over all events. The final stage of the event selection is carried out by the event filter, which reduces the event rate to roughly 200 Hz. Its selections are implemented using offline analysis procedures within an average event processing time of the order of four seconds.

1.6.2 Readout architecture and data acquisition

The Readout Drivers (ROD's) are detector-specific functional elements of the front-end systems, which achieve a higher level of data concentration and multiplexing by gathering information from several front-end data streams. Although each sub-detector uses specific front-end electronics and ROD's, these components are built from standardised blocks and are subject to common requirements. The front-end electronics sub-system includes different functional components:

- the front-end analogue or analogue-to-digital processing;
- the L1 buffer in which the (analogue or digital) information is retained for a time long enough to accommodate the L1 trigger latency;
- the derandomising buffer in which the data corresponding to a L1 trigger accept are stored before being sent to the following level. This element is necessary to accommodate the maximum instantaneous L1 rate without introducing significant downtime (maximum 1%);
- the dedicated links or buses which are used to transmit the front-end data stream to the next stage.

After an event is accepted by the L1 trigger, the data from the pipe-lines are transferred off the detector to the ROD's. Digitised signals are formatted as raw data prior to being transferred to the DAQ system. The ROD's follow some general ATLAS rules, including the definition of the data format of the event, the error detection/recovery mechanisms to be implemented, and the physical interface for the data transmission to the DAQ system.

The first stage of the DAQ, the readout system, receives and temporarily stores the data in local buffers. It is subsequently solicited by the L2 trigger for the event data associated to RoI's. Those events selected by the L2 trigger are then transferred to the event-building system and subsequently to the event filter for final selection. Events selected by the event filter are moved to permanent storage at the CERN computer centre. In addition to the movement of data, the data acquisition also provides for the configuration, control and monitoring of the hardware and software components which together provide the data-taking functionality.

The DCS permits the coherent and safe operation of the ATLAS detector hardware, and serves as a homogeneous interface to all sub-detectors and to the technical infrastructure of the experiment. It controls, continuously monitors and archives the operational parameters, signals any abnormal behaviour to the operator, and allows automatic or manual corrective actions to be taken. Typical examples are high- and low-voltage systems for detector and electronics, gas and

cooling systems, magnetic field, temperatures, and humidity. The DCS also enables bi-directional communication with the data acquisition system in order to synchronise the state of the detector with data-taking. It also handles the communication between the sub-detectors and other systems which are controlled independently, such as the LHC accelerator, the CERN technical services, the ATLAS magnets, and the detector safety system.

1.7 Radiation, shielding, and interface to the LHC machine

The experimental conditions at the LHC will be challenging. The unprecedented radiation levels are expected to result in activated detector components and severe beam-induced backgrounds. To mitigate their impact, the ATLAS shielding layout and the LHC beam-pipe design were carefully optimised. Good communication between the LHC and ATLAS operators will be needed during both injection and data-taking, especially to prevent beam-related accidents which could damage the detector.

1.7.1 Radiation levels

At the LHC, the primary source of radiation at full luminosity comes from collisions at the interaction point. In the inner detector, charged hadron secondaries from inelastic proton-proton interactions dominate the radiation backgrounds at small radii while further out other sources, such as neutrons, become more important. Table 1.5 shows projected radiation levels in key areas of the detector (see chapter 3).

In ATLAS, most of the energy from primaries is dumped into two regions: the TAS (Target Absorber Secondaries) collimators protecting LHC quadrupoles and the forward calorimeters. The beam vacuum system spans the length of the detector and in the forward region is a major source of radiation backgrounds. Primary particles from the interaction point strike the beam-pipe at very shallow angles, such that the projected material depth is large. Studies have shown that the beam-line material contributes more than half of the radiation backgrounds in the muon system. The deleterious effects of background radiation fall into a number of general categories: increased background and occupancies, radiation damage and ageing of detector components and electronics, single event upsets and single event damage, and creation of radionuclides which will impact access and maintenance scenarios.

1.7.2 Shielding

In order to limit the effects of radiation on the detector, ATLAS relies on the use of almost 3000 tonnes of shielding (see chapter 3). The shielding procedure is based on a three-layer concept. The inner layer is designed to stop high energy hadrons and secondaries. It is built from materials such as iron or copper which pack a large number of interaction lengths into a limited volume. A second layer, consisting of doped polyethylene rich in hydrogen, is used to moderate the neutron radiation escaping from the first layer; the low energy neutrons are then captured by a boron dopant. Photon radiation is created in the neutron capture process and these photons are stopped in the third shielding layer, which consists of steel or lead.

Table 1.5: The 1 MeV neutron equivalent fluence (F_{neq}) and doses in key areas of the detector after 500 fb^{-1} of data (estimated to be approximately seven years of operation). Also given are the charged-particle fluxes in the inner detector and fluxes and single-plane rates in the muon spectrometer.

Inner detector					
Location	F_{neq} (10^{14} cm^{-2})		Dose (kGy)		Charged-particle flux above 10 MeV (Hz/cm^2)
Pixel layer 0	13.5		790		40×10^6
SCT layer 1	0.8		38		1.5×10^6
SCT disk 9	0.6		23		10^6
TRT outer radius	0.25		3.5		10^5
Calorimeters					
Location	η		Maximum dose (kGy)		
EM barrel	1.475		1.2		
EM end-cap	3.2		150		
Tile	1.2		0.15		
HEC	3.2		30		
FCal	4.9		1000		
Muon spectrometer					
Location	Flux				Single-plane rates (Hz/cm^2)
	(kHz/cm ²)		(Hz/cm ²)		
	n	γ	μ	p	
Barrel chambers	2.6–4.0	1.0–1.5	0.3–4.5	0.4–3.2	6.0–11.0
Inner edge of inner wheel	79	25	21	64	347
Inner edge of outer wheel	2.7	1.5	3	0.9	12

1.7.3 Beam-pipe

The 38 m long beam-pipe section in the ATLAS experimental area consists of seven parts, bolted together with flanges to form a fully in-situ bakeable ultra-high vacuum system (see chapter 9). The central chamber is centred around the interaction point and is integrated and installed with the pixel detector. It has a 58 mm inner diameter and is constructed from 0.8 mm thick beryllium. The remaining six chambers, made of stainless steel, are installed symmetrically on both sides of the interaction point. They are supported by the end-cap LAr cryostats, the end-cap toroids and the forward shielding, respectively.

1.7.4 LHC machine interface

The LHC machine and ATLAS must continually exchange information to ensure the safe and optimal operation of the machine (see chapter 9). The LHC machine gives ATLAS such information as beam and bunch intensities, and other characteristics of the beam such as its position. It also provides the 40.08 MHz bunch clock of the accelerator, needed for the L1 trigger and detector

sub-systems. ATLAS provides information on total luminosity and luminosity per bunch obtained from its luminosity detectors, and indications of the quality of the collisions based on information from the detector and from the beam conditions monitors (see chapter 3). The ATLAS beam interlock system provides information on whether or not to safely inject or dump the beams, or to move from one mode of operation (e.g. filling) to the next (e.g. ramping). This information is exchanged through the detector control system information server, and dedicated hardware links for such critical information as beam permission signals and timing.

1.8 Outline of the paper

This paper is structured as follows. Chapter 2 summarises the key features of the solenoid and toroid magnet system and the B-field determination methodology. The beam-line shielding and the expected radiation levels are presented in chapter 3. This is followed, in chapters 4, 5, and 6, by the description of the inner detector, calorimetry, and muon spectrometer, respectively. The forward detectors, not shown in figure 1.1, are discussed in chapter 7. Chapter 8 outlines the hardware aspects of the trigger and data acquisition systems. Chapter 9 then presents the main features of the infrastructure in the ATLAS cavern, including the overall integration of the experiment, the sub-detector positioning strategy and the corresponding survey results, the services (including cables, pipes, gas and cooling systems, cryogenics, back-up power infrastructure, etc.), the beam-pipe, and the access and maintenance scenarios. Chapter 10 presents an overview of the global performance expected from the ATLAS detector, as obtained from combined test-beam measurements and from the latest analysis results based on the large-scale simulations done for the commissioning of the computing system. Finally, chapter 11 briefly summarises the current status of installation and commissioning, and the expectations for the ultimate completion of the detector and its operation.

Chapter 2

Magnet system and magnetic field

This chapter begins with a brief description of the ATLAS magnet system (section 2.1), which consists of one solenoid and three toroids (one barrel and two end-caps). Section 2.2 proceeds with a description of the current understanding of the magnetic field across the whole apparatus. This includes mapping of the solenoid field and first measurements of the barrel toroid field with the Hall-probe system, as well as calculations to determine the detailed field map with the required accuracy and performance specifications to be used in ATLAS simulation and reconstruction applications.

2.1 Magnet system

ATLAS features a unique hybrid system of four large superconducting magnets. This magnetic system is 22 m in diameter and 26 m in length, with a stored energy of 1.6 GJ. After approximately 15 years of design, construction in industry, and system integration at CERN, the system is installed and operational in the underground cavern. This section presents the properties of the magnets and their related services. More details can be found in [2] for the solenoid.

Figure 1.1 shows the general layout, the four main layers of detectors and the four superconducting magnets which provide the magnetic field over a volume of approximately 12,000 m³ (defined as the region in which the field exceeds 50 mT). The spatial arrangement of the coil windings is shown in figure 2.1. The ATLAS magnet system, whose main parameters are listed in table 2.1, consists of:

- a solenoid (section 2.1.1), which is aligned on the beam axis and provides a 2 T axial magnetic field for the inner detector, while minimising the radiative thickness in front of the barrel electromagnetic calorimeter;
- a barrel toroid (section 2.1.2) and two end-cap toroids (section 2.1.3), which produce a toroidal magnetic field of approximately 0.5 T and 1 T for the muon detectors in the central and end-cap regions, respectively.

The first conceptual design of the magnet system was sketched in the early 1990's, and the technical design reports [3–6] were published in 1997. Regular project overviews and status reports of design and production were made available [7, 8] throughout the design and manufacturing

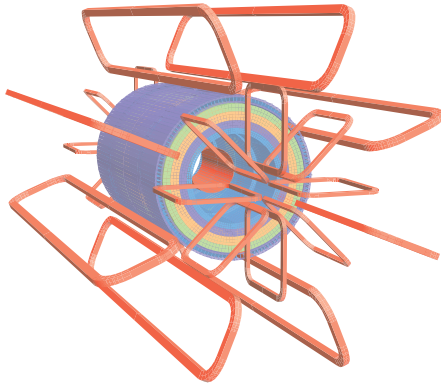


Figure 2.1: Geometry of magnet windings and tile calorimeter steel. The eight barrel toroid coils, with the end-cap coils interlaced are visible. The solenoid winding lies inside the calorimeter volume. The tile calorimeter is modelled (section 2.2.2) by four layers with different magnetic properties, plus an outside return yoke. For the sake of clarity the forward shielding disk (section 3.2) is not displayed.



Figure 2.2: Bare central solenoid in the factory after completion of the coil winding.

phases. The cold-mass and cryostat integration work began in 2001. The first barrel toroid coil was lowered in the cavern in fall 2004, immediately followed by the solenoid (embedded inside the LAr barrel calorimeter). The remaining seven barrel-toroid coils were installed in 2004 and 2005, and the end-cap toroids in the summer of 2007.

2.1.1 Central solenoid

The central solenoid [2] is displayed in figure 2.2, and its main parameters are listed in table 2.1. It is designed to provide a 2 T axial field (1.998 T at the magnet's centre at the nominal 7.730 kA operational current). To achieve the desired calorimeter performance, the layout was carefully optimised to keep the material thickness in front of the calorimeter as low as possible, resulting in the solenoid assembly contributing a total of ~ 0.66 radiation lengths [9] at normal incidence. This required, in particular, that the solenoid windings and LAr calorimeter share a common vacuum vessel, thereby eliminating two vacuum walls. An additional heat shield consisting of 2 mm thick aluminium panels is installed between the solenoid and the inner wall of the cryostat. The single-layer coil is wound with a high-strength Al-stabilised NbTi conductor, specially developed to achieve a high field while optimising thickness, inside a 12 mm thick Al 5083 support cylinder. The inner and outer diameters of the solenoid are 2.46 m and 2.56 m and its axial length is 5.8 m. The coil mass is 5.4 tonnes and the stored energy is 40 MJ. The stored-energy-to-mass ratio of only 7.4 kJ/kg at nominal field [2] clearly demonstrates successful compliance with the design requirement of an extremely light-weight structure. The flux is returned by the steel of the ATLAS hadronic calorimeter and its girder structure (see figure 2.1). The solenoid is charged and discharged in about 30 minutes. In the case of a quench, the stored energy is absorbed by the enthalpy of the cold mass which raises the cold mass temperature to a safe value of 120 K maximum. Re-cooling to 4.5 K is achieved within one day.

Table 2.1: Main parameters of the ATLAS magnet system.

Property	Feature	Unit	Solenoid	Barrel toroid	End-cap toroids
Size	Inner diameter	m	2.46	9.4	1.65
	Outer diameter	m	2.56	20.1	10.7
	Axial length	m	5.8	25.3	5.0
Mass	Number of coils		1	8	2 × 8
	Conductor	t	3.8	118	2 × 20.5
	Cold mass	t	5.4	370	2 × 140
	Total assembly	t	5.7	830	2 x 239
Coils	Turns per coil		1154	120	116
	Nominal current	kA	7.73	20.5	20.5
	Magnet stored energy	GJ	0.04	1.08	2 x 0.25
	Peak field in the windings	T	2.6	3.9	4.1
	Field range in the bore	T	0.9–2.0	0.2–2.5	0.2–3.5
Conductor	Overall size	mm ²	30 x 4.25	57 x 12	41 x 12
	Ratio Al:Cu:NbTi		15.6:0.9:1	28:1.3:1	19:1.3:1
	Number of strands (NbTi)		12	38–40	40
	Strand diameter (NbTi)	mm	1.22	1.3	1.3
	Critical current (at 5 T and 4.2 K)	kA	20.4	58	60
	Operating/critical-current ratio at 4.5 K	%	20	30	30
	Residual resistivity ratio (RRR) for Al		> 500	> 800	> 800
	Temperature margin	K	2.7	1.9	1.9
	Number of units × length	m	4 × 2290	8 × 4 × 1730	2 × 8 × 2 × 800
	Total length (produced)	km	10	56	2 x 13
Heat load	At 4.5 K	W	130	990	330
	At 60–80 K	kW	0.5	7.4	1.7
	Liquid helium mass flow	g/s	7	410	280

The electromagnetic forces are counteracted by the combination of the coil and warm-to-cold mechanical support, which maintains the concentricity of the windings. All solenoid services pass through an S-shaped chimney at the top of the cryostat, routing the service lines to the corresponding control dewar (section 2.1.4.2).

The coil was manufactured and pre-tested in the factory [10], came to CERN for integration in the LAr cryostat, underwent an on-surface acceptance test in its semi-final configuration [11], and was installed in its final central position in ATLAS in October 2005. The one week cool-down and a commissioning test up to nominal field were successfully completed in the summer of 2006 [12]. The solenoid is now ready for detector operation.

2.1.2 Barrel toroid

The main parameters of the magnet are listed in table 2.1. The cylindrical volume surrounding the calorimeters and both end-cap toroids (see figure 1.1) is filled by the magnetic field of the barrel toroid, which consists of eight coils encased in individual racetrack-shaped, stainless-steel vacuum vessels (see figure 2.3). The coil assembly is supported by eight inner and eight outer rings of struts. The overall size of the barrel toroid system as installed is 25.3 m in length, with inner and outer diameters of 9.4 m and 20.1 m, respectively.

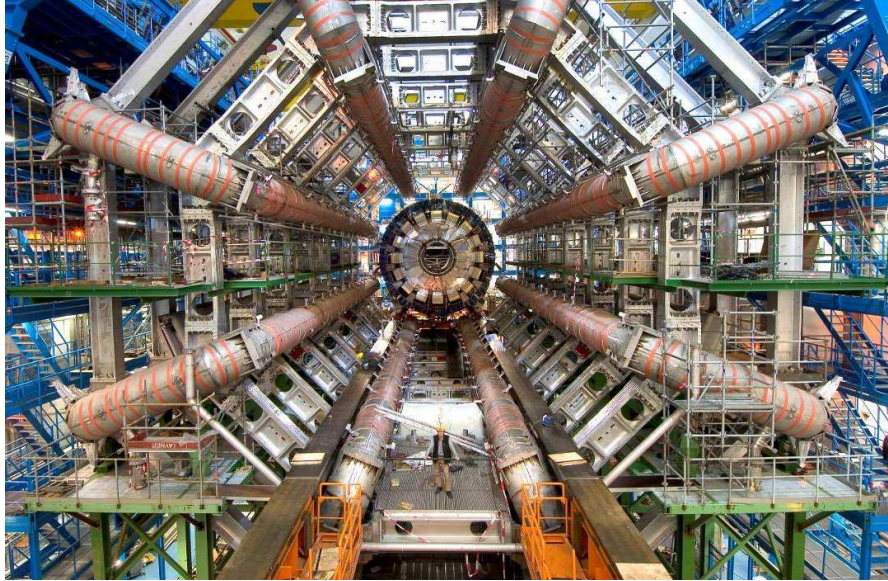


Figure 2.3: Barrel toroid as installed in the underground cavern; note the symmetry of the supporting structure. The temporary scaffolding and green platforms were removed once the installation was complete. The scale is indicated by the person standing in between the two bottom coils. Also visible are the stainless-steel rails carrying the barrel calorimeter with its embedded solenoid, which await translation towards their final position in the centre of the detector.

The conductor and coil-winding technology is essentially the same in the barrel and end-cap toroids; it is based on winding a pure Al-stabilised Nb/Ti/Cu conductor [13] into pancake-shaped coils, followed by vacuum impregnation.

The cold-mass integration [14] and the cryostat integration [15] were performed at CERN over a period of approximately three years, and were completed in summer 2005. In parallel, all coils successfully underwent on-surface acceptance test procedures [16]. Cool down and testing of the barrel toroid in the cavern took place in 2006. The cool down of the 360-tonne cold mass to 4.6 K takes five weeks. The test programme included normal ramps, up to nominal current (in 2 hours) followed by either a slow dump (in 2 hours) or a fast dump (in 2 minutes) in the case of a provoked quench. The ultimate test sequence that proved the system's health is shown in figure 2.4. The magnet current is raised in steps up to its nominal value of 20.5 kA and then finally up to 21.0 kA, demonstrating the ability of the system to withstand at least an additional 500 A. The current is then allowed to decay back to its design value; the magnet is finally turned off by a deliberate fast dump. After re-cooling the cycle was repeated, demonstrating that no degradation had occurred up to the nominal operating current. During a fast dump, triggered either manually or by the quench detection system, the stored energy of 1.1 GJ is absorbed by the enthalpy of the cold mass following the activation of four quench heaters per coil and in all eight coils, which forces the entire magnet into the normal conducting state within less than two seconds. This leads to a very safe global cold mass temperature of about 58 K and a hot-spot temperature in the windings of about 85 K maximum. The uniform quench heating system also ensures that the internal voltage in the toroid is kept at a low value of about 70 V. After a fast dump the magnet cooling system needs

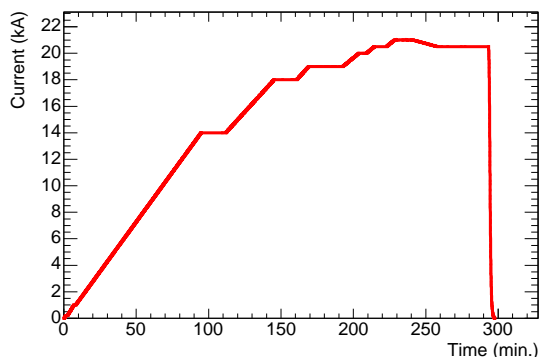


Figure 2.4: Time history of the barrel toroid current during an excitation test up to 102% of the nominal value. The current drops back to zero within two minutes of the deliberately-provoked quench.

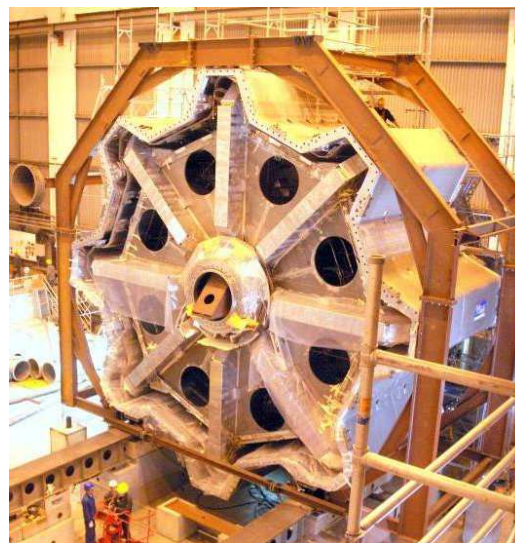


Figure 2.5: End-cap toroid cold mass inserted into the cryostat. The eight flat, square coil units and eight keystone wedges (with the circular holes) are visible.

about 50 hours to re-cool the toroid to 4.6 K whereafter normal operation can re-start. The details of the coil testing are published elsewhere, in [17] for the first coil, in [18] for an overall summary, and in [19] and [20] for quench behaviour and quench losses, respectively.

The net Lorentz forces of approximately 1400 tonnes per coil directed inwards and the self-weight of the toroids are counteracted by the warm structure of Al-alloy struts mounted in between the eight coils. However, the barrel toroid structure still deflects significantly under its own weight. After release of the temporary support structure and systematic loading of the toroid with its own weight of 830 tonnes and the additional 400 tonnes of weight of the muon chambers, the final shape of the toroid bore was designed to be cylindrical. The toroid coils were installed in calculated positions on an oval, longer by 30 mm in the vertical direction, to allow for structure deflection during load transfer from the temporary support structure. Since the release and removal of the installation supports, the upper edge of the toroid moved down by about 26 mm, which demonstrates that the design values had been well established and that the installation was precise to within a few millimetres.

The installation of the barrel toroid in the ATLAS cavern commenced in October 2004. It took about 11 months to install the complete toroid, as depicted in figure 2.3. This is discussed in more detail in section 9.6 within the context of the overall ATLAS installation, for which this toroid installation phase was one of the most demanding ones. The overall structure design and installation experience are reported in [21].

2.1.3 End-cap toroids

The main parameters of the two end-cap toroids are listed in table 2.1. These toroids generate the magnetic field required for optimising the bending power in the end-cap regions of the muon spectrometer system. They are supported off and can slide along the central rails, which facilitates the opening of the detector for access and maintenance (see section 9.5.1). Each end-cap toroid consists of a single cold mass built up from eight flat, square coil units and eight keystone wedges, bolted and glued together into a rigid structure to withstand the Lorentz forces (see figure 2.5). Design details are given elsewhere [22], and the production in industry of the coil modules and vacuum vessels is described in [23].

The cold masses were assembled and inserted into their cryostats at CERN. Figure 2.5 shows the first end-cap toroid interior just prior to the closing of the vacuum vessel. A crucial step in the integration process is the adjustment of the cold mass supports [24]. The weights of cold mass and vacuum vessel are 140 and 80 tonnes respectively. With the exception of windings, coil supports, and bore tube, the entire structure is made of Al alloy. With a weight of 240 tonnes, the end-cap toroids were some of the heaviest objects to be lowered into the cavern.

The end-cap-toroid cold masses will each be subject to a Lorentz force of 240 tonnes, pushing them against the stops mounted on the eight barrel toroid coils. Achieving the correct sharing of the forces in the axial tie-rods has therefore been a critical design goal. Prior to their installation in the cavern in summer 2007, both end-cap toroids passed tests at 80 K to check the magnet mechanics and electrical insulation after thermal shrinkage. Once the end-cap toroids are powered in series with the barrel toroid, the peak stress in the barrel-toroid windings, in the areas where the magnetic fields overlap, will increase by about 30%. After a four-week cooldown, both end-cap toroids were successfully tested at half current, albeit one at a time and in stand-alone mode. The final tests at full field will take place in the spring of 2008, after the installation of the shielding disks and with the end-cap calorimeters at their nominal position.

2.1.4 Magnet services

2.1.4.1 Vacuum system

The insulating vacuum is achieved with diffusion pumps directly attached to the barrel and the end-cap toroids, two per coil for all toroids, each with a capacity of 3000 m³/h. In addition, two roughing and three backing pumps are used in the low stray-field area at the cavern wall. Under normal conditions, with a leak rate less than 10⁻⁴ mbar · l/s, a single pump would be sufficient. However, for redundancy and in order to minimise detector down-time, extra pumping units were installed. Since the solenoid is installed inside the cryostat of the LAr barrel calorimeter, the insulation vacuum is controlled by the LAr cryogenic system (section 9.4.5) rather than by the magnet control system (see section 2.1.4.4).

2.1.4.2 Cryogenics

The overall cryogenic systems in ATLAS are described in section 9.4. Here, details are provided on the system specific to the magnets.

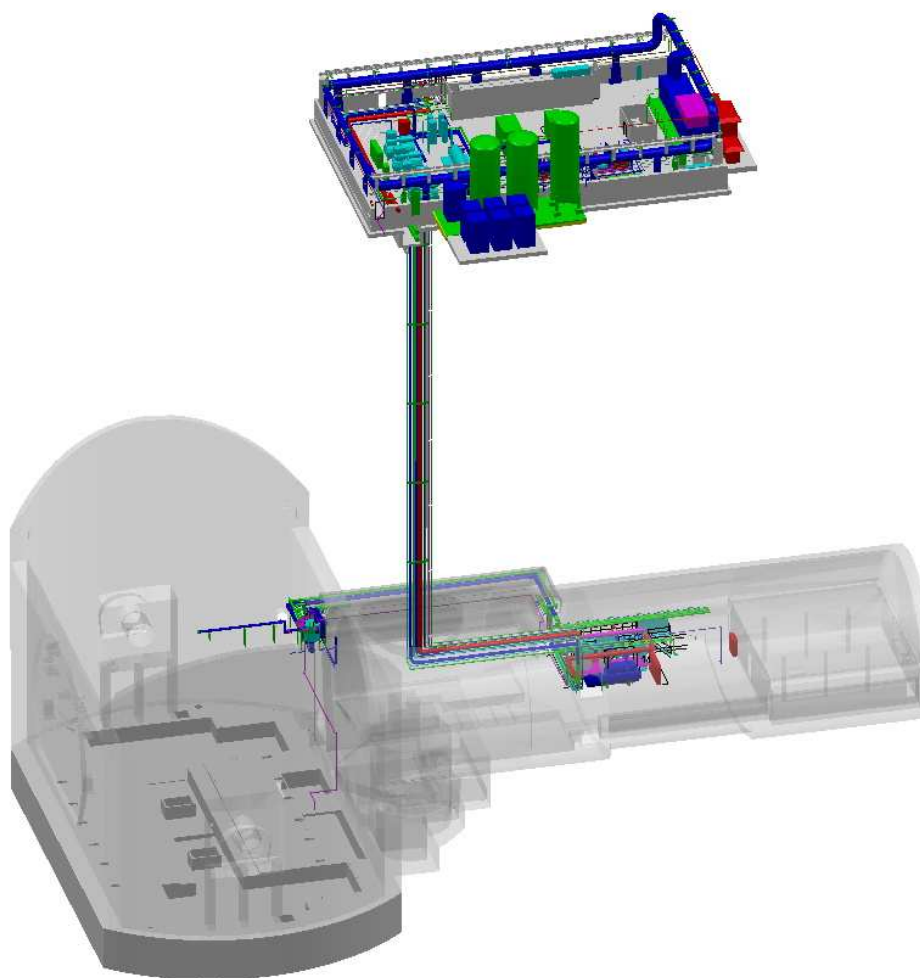


Figure 2.6: Layout of the magnet cryogenics system in the surface hall (compressors) and service cavern (shield refrigerator and helium liquefier). They deliver cold gas and liquid to the distribution valve box in the experimental cavern, from which the solenoid and the toroid proximity cryogenics are fed (see figure 2.7).

The overall magnet cryogenic system is divided into external, proximity, and internal cryogenics, which are connected via transfer lines. The lines serving the solenoid and barrel toroid remain fixed, whereas those of the end-cap toroids are partially flexible, as these toroids have to be moved to access the calorimeters and inner detector for maintenance and repairs (section 9.7).

The layout of the various cryogenic systems is shown in figure 2.6. The external cryogenics consist of two refrigerators (the main refrigerator and the shield refrigerator), a distribution transfer line, and a distribution valve box. The main refrigerator cold box has a refrigeration capacity of 6 kW at 4.5 K equivalent, while the shield refrigerator cold box has a refrigeration capacity of 20 kW at 40–80 K.

The gas buffers are located on the surface with the refrigerator compressors, while the refrigerator cold boxes are installed in the USA15 side cavern. The common distribution transfer line

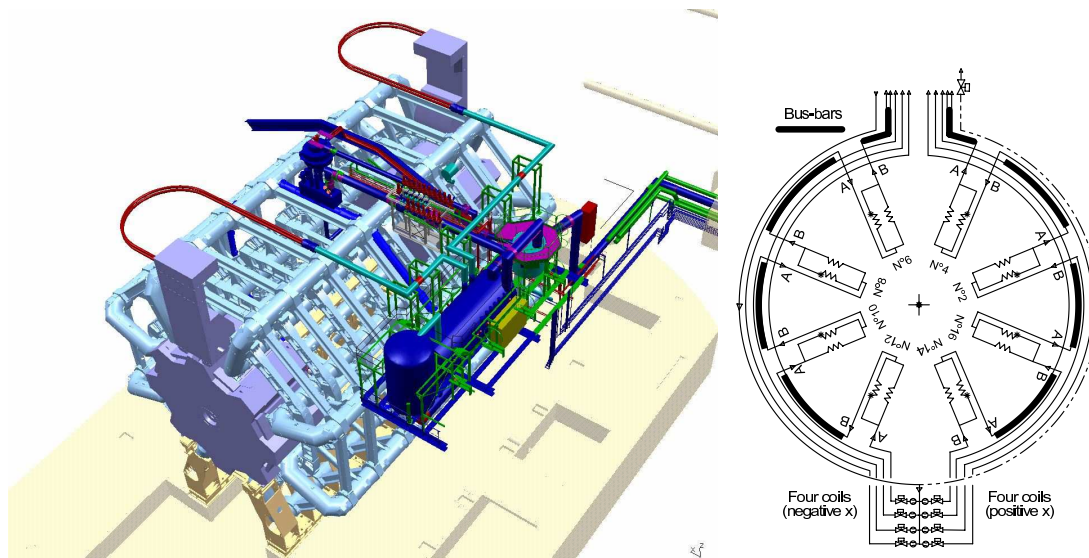


Figure 2.7: Left: Layout of underground service connections to the solenoid and toroid systems. The two large helium dewars can be seen on the side of the main cavern. Also shown are the fixed cryogenic lines supplying the solenoid and the cryo-ring for the barrel toroid coils at the top. The cryogenics lines in the flexible chains supply the two end-cap toroids and follow them whenever they move for detector access and maintenance. Right: schematic of the liquid-helium supply in the barrel toroid. The cryo-ring contains six standard sectors; a bottom sector with a valve box where the input flow per coil is measured and controlled; and the top sector where all lines come together and which is connected to the current lead cryostat.

makes the link to the distribution valve box in the main cavern. All proximity cryogenics equipment, including the storage dewar, cold pumps, cryostat phase separator, and distribution valve box (except for the valve unit of the solenoid) are positioned near the wall of the main cavern, as schematically shown in figure 2.7 (left).

The distribution valve box channels the fluids to two independent proximity cryogenic systems, one for the toroids (barrel cryo-ring and two end-caps) and one for the solenoid. For the toroids, there is a storage dewar with a capacity of 11,000 litres of liquid helium. There also exist a distribution valve box, a phase separator dewar with two centrifugal pumps and a storage capacity of 600 litres of liquid helium. The solenoid has a control dewar with a storage capacity of 250 litres of liquid helium, positioned at the top of the detector.

The proximity cryogenic equipment supplies coolant to the magnet internal cryogenics, which consist mainly of cooling pipes attached to the cold mass and the thermal shield. The aluminium cooling tubes are either welded to the outer surface of the Al-alloy support cylinder (solenoid) or embedded and glued inside and on top of the Al-alloy coil casings enclosing the pancake coils (toroids).

The toroids are cooled with a forced flow of boiling helium, which enters the magnets from the top. In the case of the barrel toroid (see figure 2.7), helium is supplied from the current lead cryostat positioned on the top sector, runs down to the distribution valve box at floor level with

eight control valves regulating the flow in the eight coils, then goes up and enters the eight coils separately, while the return line returns to the top. A total of 1200 g/s of slightly sub-cooled liquid helium is circulated by means of centrifugal pumps, which take the liquid from the phase separator dewar. The system is equipped with two pumps for redundancy. The second pump is called into operation if the first one fails. The liquid helium in the storage dewar will be used in the event of a failure with the main refrigerator to provide the required cooling capacity to safely ramp down the toroids over a two-hour period.

The solenoid, with a cold mass of approximately five tonnes, is cooled by a direct Joule-Thompson flow from the main refrigerator and is slightly sub-cooled via a heat exchanger in the 250 litre helium control dewar.

The flow in the solenoid and the ten toroid cold masses is controlled individually to cope with variations in flow resistance and to guarantee helium quality in all coils. Given that the end-cap toroids and solenoid each have a single cold mass, there is a single flow control and the branches of cooling pipes (two for the solenoid and sixteen for each end-cap toroid) are arranged in parallel.

2.1.4.3 Electrical circuits

The three toroids are connected in series to the 20.5 kA/16 V power supply shown schematically in figure 2.8 (left). They are however individually voltage-protected by the two diode/resistor ramp-down units. The electrical circuit of the central solenoid is similar and shown in figure 2.8 (right). It has a 8 kA/8 V power supply. The power supply, switches, and diode/resistor units are located in the side cavern and approximately 200 m of aluminium bus-bars provide the connections to the magnets in the cavern. Ramping up is accomplished at a rate of 3 A/s, leading to a maximum ramp-up time of two hours. In the case of a slow dump, the magnets are de-energised across the diode/resistor units in about 2.5 hours. Quench detection is by classical bridge connections across the entire barrel toroid, across the end-cap toroids and across the solenoid, as well as across individual coils, using differential voltage measurements with inductive voltage compensation.

There is a six-fold redundancy in the toroid quench detection grouped in two physically-separated units and cable routings. Quench protection is arranged by firing heaters in all toroid coils so that a uniform distribution of the cold-mass heating is achieved. Given the normal-zone propagation of 10–15 m/s, a toroid coil is switched back to the normal state within 1–2 seconds. As for the quench detection, the quench-protection heater circuits including power supply, cabling, and heaters embody a two-fold redundancy. A similar system is used for the solenoid. An overview of the magnet services can be found in [25].

2.1.4.4 Magnet controls

A magnet control system steers and executes automatically the various running modes of the magnet system. Its implementation is realised as part of the overall ATLAS detector control system, as described in section 8.5. The hardware designs rely on a three-layer model, using distributed input/output connected via field-networks or directly by wiring to a process-control layer, the last layer being the supervisor.

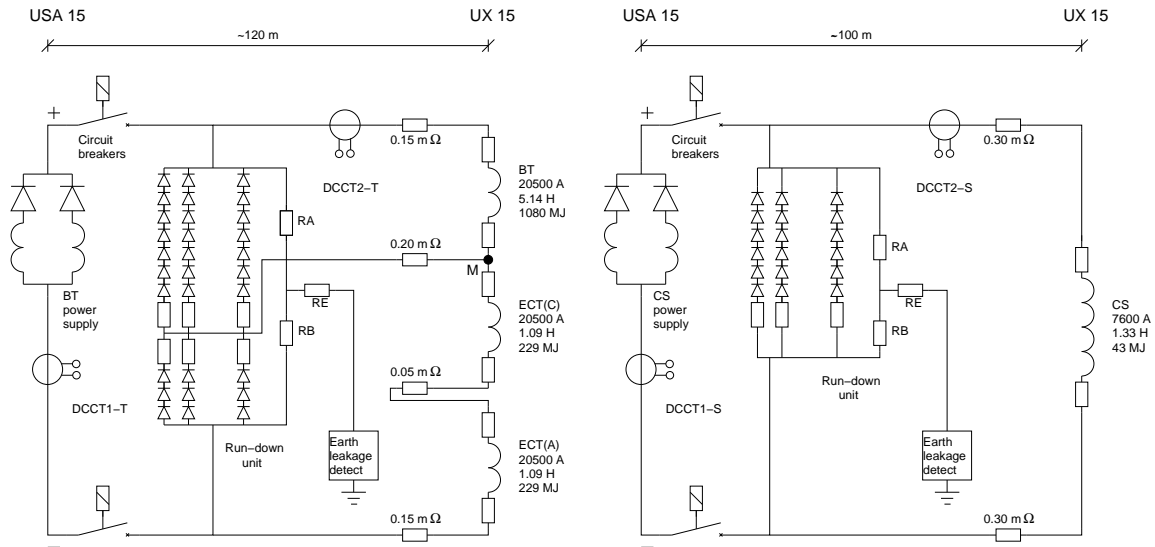


Figure 2.8: Electrical circuit showing the barrel (BT) and end-cap (ECT) toroids connected in series, fed by a 20.5 kA power converter and protected by a voltage-limiting diode/resistor ramp-down unit (left). Electrical circuit of the central solenoid (CS), fed by a 8 kA power converter (right).

The main control functions are:

- performing automatic operational sequences on a given magnet (sub-system tests);
- providing a communication interface with the power converter;
- regulating the helium flow in the magnet current leads as a function of the magnet current;
- enabling information exchange between the control system and other sub-systems such as vacuum or cryogenics;
- monitoring of all critical parameters in the coil (temperatures, strain and displacement gauges);
- performing calculations of non-linear sensor corrections (temperature sensors, vacuum gauges).

The supervision system displays a synopsis of the main process parameters, communicates with the power supply, collects both continuous and transient data, allows visualisation of any collected data on trend charts and archives collected data. For long-term storage and for correlation of data between different systems, a central data-logging system will regularly receive a pre-defined number of data items from each magnet system. A subset of the main control parameters is sent to the ATLAS detector safety system and also to the LHC machine (see section 9.10).

2.2 Magnetic field determination

The specifications on the determination of the magnetic field (section 2.2.1) are rather different in the inner detector (ID) and the muon spectrometer. In the ID cavity, the driving consideration is the absolute accuracy of the momentum scale. In the muon spectrometer, the field is highly non-uniform: residual bending-power uncertainties, if large enough, would translate primarily into degraded muon momentum resolution. Detailed magnetic modelling (section 2.2.2) and novel instrumentation (section 2.2.3) have allowed a high-precision mapping of the solenoid field (section 2.2.4) as well as a preliminary experimental validation of the field measurement and reconstruction strategy in the muon spectrometer (section 2.2.5). Studies are in progress to combine magnetic models with field measurements into an overall field map for ATLAS data-taking (section 2.2.6).

2.2.1 Performance specifications and measurement concepts

In the inner detector, the systematic error affecting the momentum measurement of charged tracks is dominated by the relative alignment of detector components and by bending-power uncertainties, the former being the more demanding. A high-precision measurement of the W -boson mass is clearly the most challenging goal for such measurements: a lepton from W decay carries typically a transverse momentum of 40 GeV, resulting in a sagitta of approximately 1 mm as the lepton traverses the ID cavity. The systematic alignment uncertainties in the ID are unlikely to improve beyond the $1\ \mu\text{m}$ level or 0.1% of the sagitta. This suggests setting a target of $\sim 5 \times 10^{-4}$ for the fractional bending power uncertainty, so that it remains negligible in the determination of the absolute momentum scale. Such stringent requirements can only be achieved reliably by in-situ mapping, using dedicated instrumentation inside the ID cavity, with all the relevant magnetic materials in place and just before the final installation of the ID itself. Eventual long-term drifts of the absolute scale will be detected to a much higher accuracy using permanently installed NMR probes.

In the muon spectrometer, the expected sagitta is approximately 0.5 mm for a muon with a momentum of 1 TeV. The extraction of the momentum from the Monitored Drift Tube (MDT) chamber measurements requires a precise knowledge of the field integral between consecutive chambers along the muon trajectory. Because the field gradient can reach 1 mT/mm, local bending-power uncertainties translate into fluctuations of the momentum scale from one region in space to another, adding in quadrature to the overall momentum resolution. In addition, the interpretation, in terms of spatial coordinates, of the drift time measured in the MDT's is sensitive to the local electric and magnetic fields experienced by the ionisation electrons in each tube. The corresponding functional requirements are extensively discussed in [26] and summarised in table 2.2.

For a given muon trajectory, three sources of uncertainty affect the measured curvature: field measurement errors; accuracy on the relative position of muon chambers and magnet coils; and trajectory measurement errors, in particular along the direction of MDT wires. For the purpose of setting specifications, it has been required (somewhat arbitrarily) that the combined effect of these sources degrade the momentum resolution by no more than 5% in relative terms; each source should then contribute no more than $\sim 3\%$ of fractional resolution degradation, anywhere in the spectrometer volume.

Table 2.2: Summary of magnetic-field-related performance specifications in the muon spectrometer. The quoted spread reflects the $\eta - \phi$ variations in field gradient and/or strength.

Criterion	Bending-power accuracy	MDT drift properties
Performance	$\Delta\sigma_{pT}/\sigma_{pT} < 5\%$ overall	Single-wire resolution degraded by $< 5\%$
Field measurement accuracy	$\Delta B_\phi/B_\phi < 2 - 5 \times 10^{-3}$	$\Delta B_{x,y,z} < 4$ mT (relative over chamber)
Reconstructed position of toroid conductors with respect to MDT tower	$\Delta R \sim 1 - 12$ mm, $R\Delta\phi \sim 1 - 6$ mm, $\Delta z \sim 2 - 30$ mm	-
Muon chamber 2nd-coordinate resolution	1.7–5.5 mm	6 to ~ 100 mm

In-situ mapping of the spectrometer by conventional techniques would have been impractical because of the rapidly-varying field and very large volume. Instead, the muon system is equipped with a total of approximately 1840 B-field sensors; their readings are compared with magnetic simulations and used for reconstructing the field in space. This strategy was shown [27] to meet the field-map specifications above, provided the B-sensor readings, after correcting for perturbations induced by magnetic materials, are accurate to ~ 1 mT (absolute) and the field direction is measured to within ± 3 mrad.

2.2.2 B-field modelling

The total field in the ID cavity, the calorimeters, and the muon spectrometer is computed as the superposition of the Biot-Savart contributions of all magnet windings (see figure 2.1) with those of the magnetised calorimeter and with the localised perturbations induced by other ferromagnetic structures. In order to reach the required accuracy, the calculation combines numerical integration of the contributions of the solenoid, barrel-toroid and end-cap-toroid windings with finite-element modelling of magnetic structures.

The solenoid conductor model is described in section 2.2.4. The magnetised steel (tile calorimeter and solenoid flux-return girder), which surrounds the ID cavity, is predicted to modify the field by 4.1% at the geometrical centre of the coil. At nominal current, the total measured field is 1.998 T at the interaction point, and drops steeply from ~ 1.8 T at $z = 1.7$ m to ~ 0.9 T at the end of the ID cavity (see figure 2.9).

The toroid windings are, at this stage, described using their nominal geometry. The mesh density of the stored field map is tailored to the local field gradient to ensure an accurate representation of field variations (as also done for the solenoid). Depending on the radius R and azimuth ϕ , the field varies from 0.15 T to 2.5 T, with an average value of 0.5 T, in the barrel region, and from 0.2 to 3.5 T in the end-cap region [28]. The analysing performance of the toroid system can be roughly quantified by the field integral experienced by particles originating from the interaction point and propagating in a straight line (the ultimate criterion is the momentum resolution: a zero field integral does not necessarily imply infinite resolution). This available bending power is shown in figure 2.10 as a function of $|\eta|$. It shows good magnetic field coverage up to $|\eta| \sim 2.6$. The regions with low field integral, between $|\eta| = 1.4$ and $|\eta| = 1.6$, correspond to trajectories in the plane of an end-cap coil or of a barrel coil, where the fringe field of one magnet largely cancels the bending power of the other.

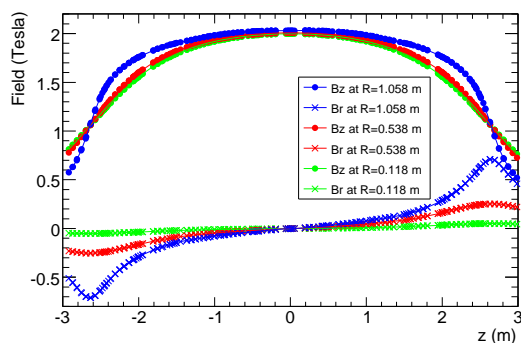


Figure 2.9: R- and z-dependence of the radial (Br) and axial (Bz) magnetic field components in the inner detector cavity, at fixed azimuth. The symbols denote the measured axial and radial field components and the lines are the result of the fit described in section 2.2.4.

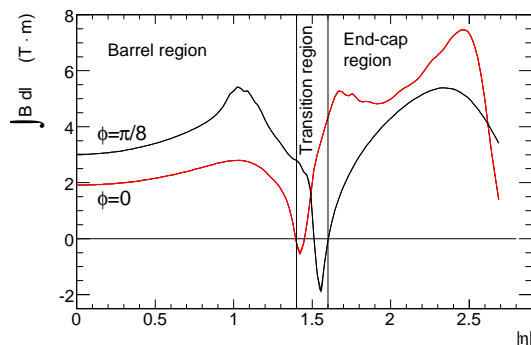


Figure 2.10: Predicted field integral as a function of $|\eta|$ from the innermost to the outermost MDT layer in one toroid octant, for infinite-momentum muons. The curves correspond to the azimuthal angles $\phi = 0$ (red) and $\phi = \pi/8$ (black).

A number of large magnetisable components, shown schematically in figure 2.11, distort the Biot-Savart field at different levels. Although amenable to experimental spot-checks (section 2.2.5), such perturbations can only be determined using field simulations.

The highly anisotropic structure of the tile calorimeter cannot be satisfactorily modelled using only a scalar permeability and an effective steel-packing factor: a formalism incorporating a magnetic permeability tensor, as well as a more sophisticated treatment of magnetic discontinuities at material boundaries, is called for. The problem is compounded by the superposition of the solenoid and toroid fields in the partially-saturated flux-return girder and in the tile calorimeter itself. A novel approach to magnetic-field modelling in such structures has therefore been developed and implemented in the B-field simulation package ATLM [29]. This package, which incorporates a careful description of the toroid and solenoid conductors as well as a detailed mathematical model of the tile calorimeter, is used both to compute the Biot-Savart field by numerical integration (as described above), and to predict, by a finite-element method, the field distortions caused by the tile calorimeter, the flux-return girder and the shielding disk in both the ID cavity and the muon spectrometer. Altogether, these distortions affect the field integral in the muon spectrometer by up to 4%, depending on $|\eta|$ and ϕ ; in addition, they induce, at the level of the inner MDT layers, local field distortions of up to $|\Delta\mathbf{B}| \sim 0.2$ T.

A few discrete magnetic structures, either inside the muon spectrometer or close to its outer layers, induce additional, localised magnetic perturbations. Their impact has been evaluated using the 3D finite-element magnetostatics package TOSCA [30]. The largest perturbations are caused by the air pads, jacks and traction cylinders which allow the calorimeters, the shielding disks, and the end-cap toroids to slide along the rails. These affect primarily the field distribution across the innermost MDT chambers in the lowest barrel sectors (BIL and BIS in sectors 12 to 14, see figures 2.11 and 6.1), and in addition impact the field integral at the level of up to 10% over small islands in $\eta - \phi$ space.

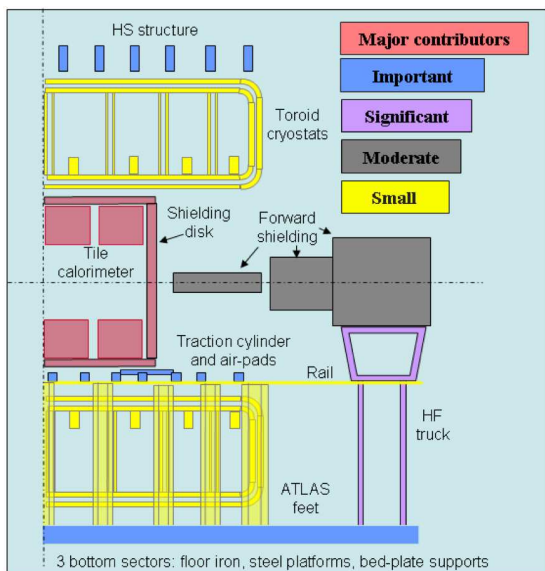


Figure 2.11: Sources of magnetic perturbations induced by metallic structures in or near the muon spectrometer.

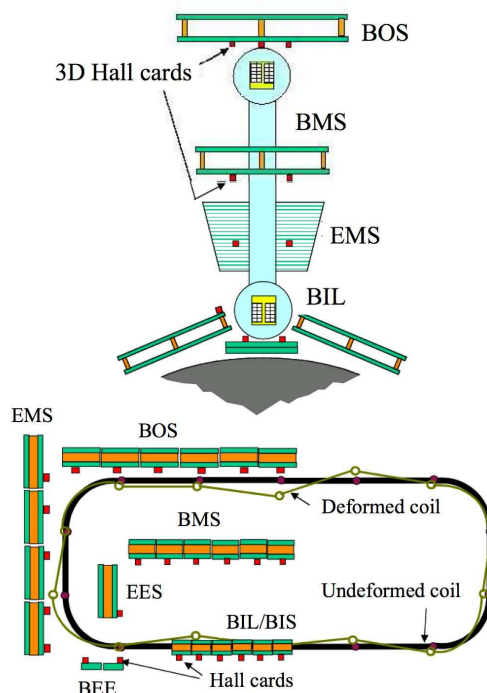


Figure 2.12: Schematic representation of the magnetic-sensor layout and coil deformation model, used to reconstruct the magnetic field inside a barrel octant. The MDT nomenclature is defined in tables 6.3 and 6.4 (see section 6.3).

The field perturbations caused in the outside MDT layers by the massive steel frame and platforms (HS structure described in section 9.5), which surround the detector, range from $|\Delta\mathbf{B}| \sim 2\text{ mT}$ up to $\sim 50\text{ mT}$ and rapidly decrease as one moves inwards from the outer to the middle chamber layer. While their impact on B-sensor readings and MDT drift properties does need to be taken into account, they barely affect the bending power, except possibly in a few narrow regions.

The other components in figure 2.11 have much less of an impact because either they lie in a low-field region, they intercept a very small fraction of the end-cap muons, or they are made of stainless steel with a high-field relative permeability very close to 1.

2.2.3 Magnetic field instrumentation and reconstruction

2.2.3.1 B-field sensors

The inner detector is equipped with four NMR probes fixed to the wall of the inner warm vessel near $z \sim 0$ and equally spaced in azimuth. These probes measure the field strength with an accuracy of around 0.01 mT and will remain in place to monitor the ID field strength throughout the lifetime of ATLAS.

Because NMR probes only measure $|\mathbf{B}|$ and because they cease functioning in a gradient of a few tenths of mT/cm, the solenoid mapper, described in section 2.2.4, and the muon chambers are equipped instead with 3D Hall cards [31, 32]. These consist of a rigid printed-circuit board carrying a small glass cube, with a Hall probe on each of three orthogonal faces to measure each field component. Every card includes its own readout electronics, as well as a thermistor for local temperature compensation.

All the Hall cards were calibrated in a highly uniform field monitored by a NMR probe. The achieved absolute Hall-card accuracy on $|\mathbf{B}|$ is 0.2 mT up to $|\mathbf{B}| = 1.4$ T and 1 mT up to 2.5 T; and the angular accuracy achieved on the measured field direction is 2 mrad.

2.2.3.2 B-field reconstruction

In an air-core magnet, the magnetic field can in principle be calculated by direct application of the Biot-Savart law, once the geometry of all conductors is known and assuming material-induced magnetic perturbations are negligible. In practice however, the conductor position and shape are known only approximately, owing to fabrication tolerances and to deformations of the magnet structure under gravitational and magnetic loads. The exact location of each magnet coil, as well as the relative positions of the end-cap and barrel toroids, will be reproducible, after a power cycle or an access period, to a finite precision only. Therefore, the field must be measured under running conditions, with all detector components in place and under the mutual influence of the different magnets and magnetic structures.

The muon spectrometer is equipped with an array of approximately 1730 Hall cards, which remain mounted permanently and precisely on the MDT chambers and continuously measure all three field components (an additional 64 cards are mounted on the inner and outermost faces of the end-cap toroid cryostats to complement the MDT sensor system in the forward region). Two NMR probes, installed at low-gradient locations in the barrel toroid, complement the system, with the aim of detecting eventual long-term drifts in the response of the Hall cards. The 3-D sensor readings are compared with field calculations which include both the contributions of the magnet windings and those of nearby magnetised structures, and are used for reconstructing the position and the shape of the toroid conductors with respect to the muon chambers (see figure 2.12). Once the geometry of the coils is known, the field can be calculated anywhere in the muon spectrometer. Simulation studies using a simplified coil deformation model have shown that the magnetic field can be reconstructed to a relative accuracy of 0.2% [27].

2.2.4 Solenoid-mapping measurements

2.2.4.1 Mapping campaign

The field was mapped [33] in August 2006 by a machine, which scanned a Hall-card array over a volume slightly larger than that now occupied by the inner detector. During this mapping campaign, the barrel and end-cap calorimeters were all in their final positions. Although the shielding disks were not yet installed, their differential contribution is small enough (< 0.2 mT in the ID tracking volume) that it can be reliably accounted for later. The same is true of corrections for the absence of toroid excitation during mapping.

Mapping data were recorded with solenoid currents of 7730, 7850, 7000, and 5000 A, with a final set of data back at the nominal operating current of 7730 A. Each data set contains at least 20,000 points, and is sufficient by itself to fit the field with negligible statistical uncertainty. Each map took about four hours, during which the solenoid current remained stable to within 0.1 A, as confirmed by the NMR probes.

2.2.4.2 Mapper geometry, survey and auto-calibration

The mapping machine had four arms mounted on a common axle in a windmill configuration, with twelve Hall cards on each arm, at radii ranging from 0.118 to 1.058 m, which directly measured the field components B_z , B_R and B_ϕ . The machine could be rotated around its axle and translated in z along the ID rails by means of pneumatic motors. Optical encoders allowed control of the mapper movements and readout of its stop positions with an accuracy of 0.1 mm. A number of surveys were necessary to determine the positions of each individual Hall sensor for all possible longitudinal mapper positions and azimuthal settings of the windmill arms. After combining all the information, the estimated overall accuracy on the position of a map point in the cryostat coordinate system is approximately 0.3 mm.

The redundancy and internal consistency of the mapping measurements makes it possible to extract individual probe misalignments from the data themselves to an accuracy of ± 0.1 mrad. The strong constraints from Maxwell's equations on physically realisable fields in the absence of any current sources or magnetic materials, combined with the fact that the field at the origin can be almost completely determined from the measurements of a single Hall probe, allow all three probe alignment angles to be determined and the B_z component to be normalised to a common scale for all probes.

The NMR probes, which were operational throughout the field-mapping campaigns, are used to set the overall scale of the Hall sensors with an accuracy of about 0.4 mT, the limitation coming from the extrapolation uncertainty from the mapper arms out to the position of the NMR probes. The NMR data also show that there is negligible hysteresis in the solenoid system: the field at 7730 A remained constant within ± 0.01 mT from the first excitation cycle onwards, provided that this current was approached from below. A small saturation effect is visible in the NMR data, with the field at 5000 A being 0.34 mT higher than would be expected by simply scaling down from 7730 A.

2.2.4.3 Map fitting

Using the measured magnet current and a detailed model of the solenoid geometry, the Biot-Savart law is integrated to produce a field model which should account for most of the measured field. The conductor model is based on engineering drawings, with as many parameters as possible taken from surveys of the as-built solenoid. The coil cross-section is assumed to be perfectly circular. The winding was mechanically assembled from four separate sections, each with a slightly different average pitch, and joined together by welds which are represented electrically by turns having just under twice the average pitch. Also modelled are the welds at the coil ends and the return conductor which runs axially along the outside of the support cylinder. The expected distortion

Table 2.3: Typical fit results of solenoid-mapping measurement at 7730 A.

Fitted parameters	Fit results
Scale factors in conductor model	(R scale, z scale) = 0.9993, 1.0012
Fitted offsets from solenoid centre to centre of cryostat	($\Delta x, \Delta y, \Delta z$) = 0.26, -2.42, 0.51 (mm)
Fitted rotations of solenoid around cryostat x and y axes	(θ_x, θ_y) = -0.08, 0.19 (mrad)
Resulting fit residuals	$\sigma(\Delta B_z, \Delta B_R, \Delta B_\phi)$ = 0.44, 0.35, 0.30 (mT)

of the solenoid, relative to the room-temperature survey and caused by thermal shrinkage and magnetic pressure, is also taken into account.

The geometrical fit to the mapping data has 11 free parameters. Two overall scale factors allow fine tuning of the conductor model: one common to all longitudinal dimensions, and an independent one for the radial dimension. Five more free parameters quantify the three offsets and two rotations of the conductor relative to the mapper coordinate system. The calorimeter-steel contribution is modelled by a Fourier-Bessel series with four terms. These parameters are determined by minimising a χ^2 function which includes the longitudinal and radial field components at all mapped points. The RMS residuals of the geometrical fit alone are just over 0.5 mT. This field model is further improved by parametrising the difference between the data and the geometrical model with a general series which can represent any field obeying Maxwell's equations. This brings the residuals down to about 0.4 mT, as shown in table 2.3.

Systematic uncertainties are estimated by fitting to several representative data sets under varying assumptions, with and without implementing various corrections (such as Hall-card alignment, z -dependent carriage tilt, residual perturbations induced by slightly magnetic mapper components, number of Fourier-Bessel terms etc.). The geometrical scale factors emerge as very close to unity (table 2.3), suggesting that the coil survey data are well understood. The fitted offsets and rotations with respect to the centre of the reference coordinate system (barrel LAr cryostat) are stable at the 0.2 mm and 0.1 mrad level respectively, confirming the vertical -2 mm offset of the solenoid axis indicated by the survey results before and after installation in the main cavern (see table 9.2 in section 9.3.2.3).

The overall fit is excellent, as illustrated in figure 2.9 and confirmed by the resulting RMS residuals of ~ 0.4 mT for all three field components (table 2.3). The on-axis fractional steel contribution, as estimated from the Fourier-Bessel series, is consistent with the magnetic-field simulation to better than 2 mT, although the latter does not perfectly reproduce the measured z -dependence of this perturbation. The fit quality is best measured in terms of the fractional sagitta residual, $\delta S/S$, evaluated along an infinite-momentum trajectory from the interaction point to the point where the track crosses the outer radial or longitudinal boundary of the inner detector. The total uncertainty, estimated by combining the overall scale error, the fit residuals and the systematic uncertainties, is shown as a function of $|\eta|$ in figure 2.13.

2.2.5 Experimental validation of the field map in the muon spectrometer

The tests carried out in fall 2006 for the barrel toroid provided the first full-scale test of the B-sensor system, and an initial validation of the magnetic models and field-reconstruction strategy in the muon spectrometer. The end-cap toroids were not yet installed at the time and the solenoid was

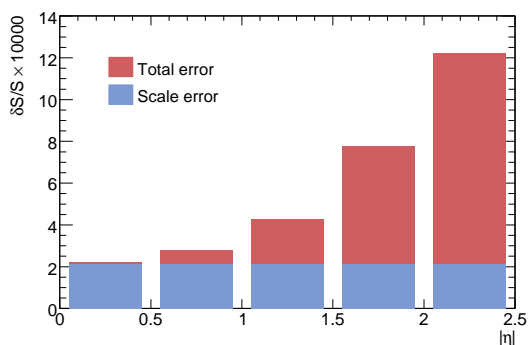


Figure 2.13: Fractional sagitta error due to uncertainties in the solenoid field vs. $|\eta|$.

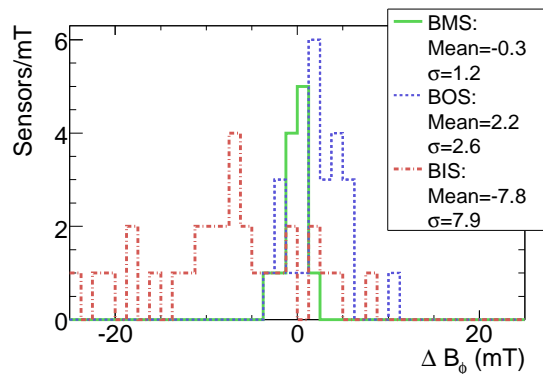


Figure 2.14: Field reconstruction residual ΔB_ϕ for one middle (green, solid), outer (blue, dashed) and inner (red, dot-dashed) MDT layer.

turned off. Since the muon-chamber installation was still in progress, only 400 MDT Hall cards were available for readout, thus providing sensitivity for field reconstruction in about one third of the barrel region.

The sensor signals were extremely clean (~ 0.01 mT of RMS noise at full field), and reproducible to ~ 0.05 mT between magnet cycles separated by up to one week. Non-linear effects remain very small (< 4 mT in the BIS layer, close to the calorimeter steel, over the full current range). The absolute field scale, as determined by an NMR probe located in the azimuthal mid-plane of coil 3, at a point where steel-induced perturbations are negligible and the field gradient below 0.2 mT/cm, agrees with the Biot-Savart prediction to better than 0.2% .

The field reconstruction algorithm outlined in section 2.2.3 and detailed in [27] has been applied to B-sensor data collected at nominal field in the barrel toroid. Because the muon alignment system was still being commissioned and the MDT survey not yet completed, it is necessary, at this stage, to assume that all muon chambers and B-sensors are in their nominal position. For the three coils bracketed by the available sensors, the reconstructed conductor shape is qualitatively consistent with that measured at room temperature before insertion of the windings into their respective cryostats. Figure 2.14 displays the difference, at each active sensor in sector 2 (see figure 6.1) of the muon spectrometer, between the azimuthal component of the measured field (corrected for perturbations from magnetic materials) and that of the Biot-Savart contribution predicted by the field-reconstruction fit. A perfect description of the conductor geometry and of magnetic perturbations should yield $\Delta B_\phi = 0$. The agreement is best in the middle chambers (BM), where the gradients are smallest: the distribution is well centred and exhibits a spread $\Delta B_\phi^{RMS} \sim 1.2$ mT. In the outer chamber layer (BOS), the distribution of ΔB_ϕ shows a moderate bias of 2.2 mT and a spread of 2.6 mT. In view of the larger field gradient in these chambers, such a spread is consistent with the current ± 5 mm uncertainty on the as-installed MDT chamber positions. The situation is similar but somewhat worse in the inner chambers (BIS). These preliminary results reflect the cumulative effect of errors in the assumed sensor and chamber geometry, of residual imperfections in the magnetic model of the calorimeter steel, and of the performance of the reconstruction fit.

Validation of the TOSCA simulations, which describe the distortions induced by other support and service structures was carried out using 40 dedicated Hall cards temporarily installed at

critical locations in the bottom muon sector and between the outer muon chambers and the HS structure (see figure 2.11). The agreement between measured and predicted perturbations typically ranges from 2 to 5 mT at the location of the Hall cards and should be better within the spectrometer volume. It is satisfactory at most locations, although discrepancies as large as 50 mT are observed very close to a few localised and well-identified steel supports. A more extensive magnetic characterisation campaign is planned during the next full magnet-system test.

2.2.6 Towards an overall field map for ATLAS data-taking

The default field map in the ID tracking volume will mirror the very accurate fit obtained for the solenoid mapping data and illustrated in figure 2.9. This approach automatically takes into account the magnetised steel surrounding the ID cavity without having to rely on any field calculations. The fit function is required to satisfy Maxwell's equations and will include empirical corrections to match the measured map as closely as possible, as well as small (< 0.2 mT) additional corrections for the shielding disks (which were absent at the time of mapping) and barrel-toroid contributions.

In the calorimeters, the map will be based on the ATLM simulation, with the magnetic parameters describing the calorimeter steel adjusted to fit the solenoid-only and toroid-only field measurements performed in 2006. This simulated map will be smoothly connected to the fitted solenoid map in the future: the potential discontinuity remains to be characterised, but is estimated not to exceed 2 mT over a very narrow interface region.

In the muon spectrometer, the map will reflect the superposition of the winding contributions with the predicted distortions associated with the calorimeter steel and other significant magnetic structures inside or near the spectrometer volume. So far, the Biot-Savart calculation presented above has been performed only in a 1/16th slice, which spans 45° in azimuth and is longitudinally symmetric with respect to the interaction point: this is the minimum angular size required to handle correctly the symmetries of the full toroid system. Extending it to the case of an arbitrary geometry (without any symmetry assumptions) is currently in progress and the final implementation will depend on the extent to which the actual coil geometry, as eventually revealed by the field-reconstruction procedure, deviates from the ideal configuration. Similarly, studies are in progress to assess the magnetic impact of shape or position imperfections in the tile-calorimeter geometry: their outcome will indicate to which extent such deviations from the ideal configuration must be taken into account when describing the field inside the calorimeter and/or muon spectrometer.

Chapter 3

Background radiation and shielding

3.1 Introduction

In contrast to previous and existing colliders, the dominant primary source of background radiation at the LHC, when operating at design luminosity, arises from collisions at the interaction point. The rates expected from beam-halo particles and beam-gas interactions are negligible in comparison. In the inner detector, charged hadrons from inelastic proton-proton interactions dominate the radiation backgrounds at small radii, while the effects of other backgrounds, such as neutrons, become more important further out (see [34] for detailed studies of the various radiation sources, radiation levels, neutron fluences and activation levels expected in ATLAS throughout the lifetime of the experiment).

In ATLAS, most of the energy from the primary particles is dumped into two regions: the Target Absorber Secondaries (TAS) collimators, and the Forward Calorimeters (FCal) depicted in figure 3.1, which are therefore among the strongest sources of secondary radiation. These two sources are somewhat self-shielding, and since they are compact, they have been further shielded with layers of dense material and cladding. The beam-vacuum system, on the other hand, spans the whole length of the detector. In the forward regions, it is another major source of radiation background due to interactions of primary particles which strike the beam-pipe at very shallow angles. Through this mechanism, the beam-pipe becomes an extended line source illuminating the interior of the forward cavity. Detailed studies have shown that the beam-line material is responsible for more than half of the fluences expected in the muon system [34].

A thorough understanding of the impact of background radiation has been a critical element in the design phase of most of the components of the detector and a number of deleterious effects have been considered:

1. Increased detector occupancy may be an issue. In tracking detectors, this can lead to inefficiencies, degraded resolutions, and increased rates of fake tracks. In calorimeters, the pile-up fluctuations at high luminosity degrade the energy resolution.
2. Hits generated by slow neutrons dominate the occupancy of the muon spectrometer system. This effect has not been of any concern at previous colliders.

3. Spurious trigger rates will increase if the background radiation consists of penetrating tracks. Also, increased occupancies can increase the rates of random triggers.
4. Radiation may damage silicon detectors and readout electronics.
5. Interactions leading to anomalous deposits of local radiation can change the logical status of electronic signals (single-event upset) or permanently destroy components (single-event damage).
6. Wire detectors can experience “ageing” (reduced gain and therefore efficiency) due to polymerised deposits on the wires caused by radiation interacting with certain components of the detector gas.
7. The large fluences expected at the LHC design luminosity may lead to a significant radiation hazard from the prompt component of the radiation, when the accelerator is operating.
8. Nuclear interactions in dense materials will lead to the creation of residual radio-nuclides. The resulting dose rates from radio-activation of certain materials will lead to radiological hazards, which impact access and maintenance scenarios.

The largest impact from background radiation is of course to be expected close to the beam-pipe, in particular in the region of the inner detector and the forward calorimeters. Given the lack of available space and the large contribution from primaries, only a limited amount of moderator shielding could be installed to minimise the impact of background radiation, as described in section 3.2.

Very large reductions in the expected background rates in the muon spectrometer have been achieved by designing a large amount of shielding around the TAS. A total shielding weight of 2825 tonnes (1887 tonnes of metal, 920 tonnes of concrete, and 18 tonnes of plastic) has thus been added to the detector. Since different types of radiation are best stopped with different types of shielding materials, a multi-layered shielding approach has been used. The inner layer’s purpose is to stop high-energy hadrons and their secondaries. This layer is made of materials such as iron or copper, which provide a large number of interaction lengths. In the case of iron, studies have shown that a minimum carbon content of a few percent is advantageous since it efficiently moderates the neutron energies down to lower values. A second layer, consisting of boron-doped polyethylene, is used to moderate the neutron radiation escaping from the first layer and the low-energy neutrons are then captured by the dopant. Photon radiation is created in the neutron-capture process and these photons are stopped in the third shielding layer which consists of steel or lead. Lead is more effective in stopping photons, but induces more neutron radiation than steel. Figure 3.1 shows the locations of the different shielding components in ATLAS.

3.2 Description of the shielding

The moderator shielding (figure 3.2a) on the front face of each of the end-cap and forward LAr calorimeters reduces the neutron fluences in the volume of the inner detector by protecting the inner detector from back-splash of neutrons from the calorimeter. It is made of polyethylene,

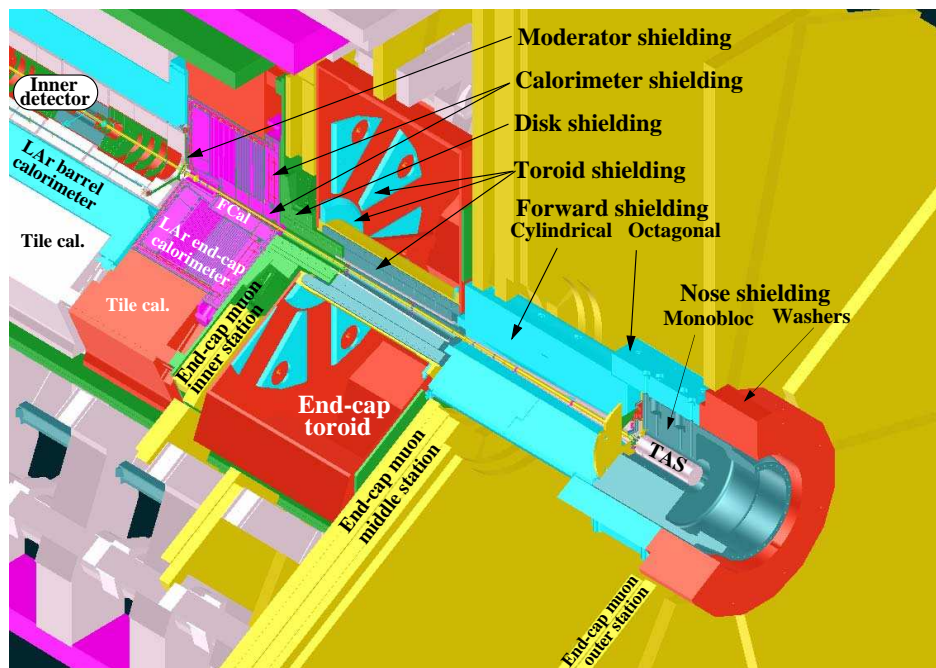


Figure 3.1: Schematic view of major ATLAS detector systems and of the main shielding components (see text).

doped with 5% boron in the form of B_4C . Reactor tests have demonstrated that this choice for the dopant results in a plastic which is more radiation-hard than if other boron dopants had been used. This is important since the shielding in front of the forward calorimeters is exposed to a very large ionising dose over the lifetime of the ATLAS experiment.

There are three brass shielding elements inside each of the end-cap calorimeter cryostats, located directly behind the calorimeters (figure 3.2b). The largest one is attached to the rear end-plate of the cryostats and has a diameter of 387 cm. Closer to the beam-line are two other shielding plugs. One of these is a cylindrically-shaped extension of the forward calorimeters. The main purpose of these shielding elements is to protect the end-cap inner muon stations from the background radiation.

The next protection element is the shielding disk (figure 3.2c), which serves in fact a threefold purpose: it supports the muon chambers in the first end-cap muon station, it shields these chambers from background radiation emerging from the calorimeters, and it provides a well-defined path for the magnetic field flux return from the solenoid magnet. The bulk of this shielding disk consists of a vertical steel disk with a diameter of 872 cm. This disk supports end-cap muon trigger chambers (see section 6.8). At the centre of the disk and surrounding the beam-pipe is a stainless steel tube containing a set of cylindrical shielding pieces made of leaded red brass (85% Cu, 5% Pb, 5% Sn, 5% Zn). This tube also supports Cathode Strip Chambers (CSC) and Monitored Drift Tubes (MDT). Brass shielding has been added to the disk in order to protect the CSC chambers. There is a polyethylene layer on the outside of this brass shielding, which is doped with B_2O_3 , to moderate the neutrons, while photons created in the neutron absorption process are stopped in a third layer made of lead.

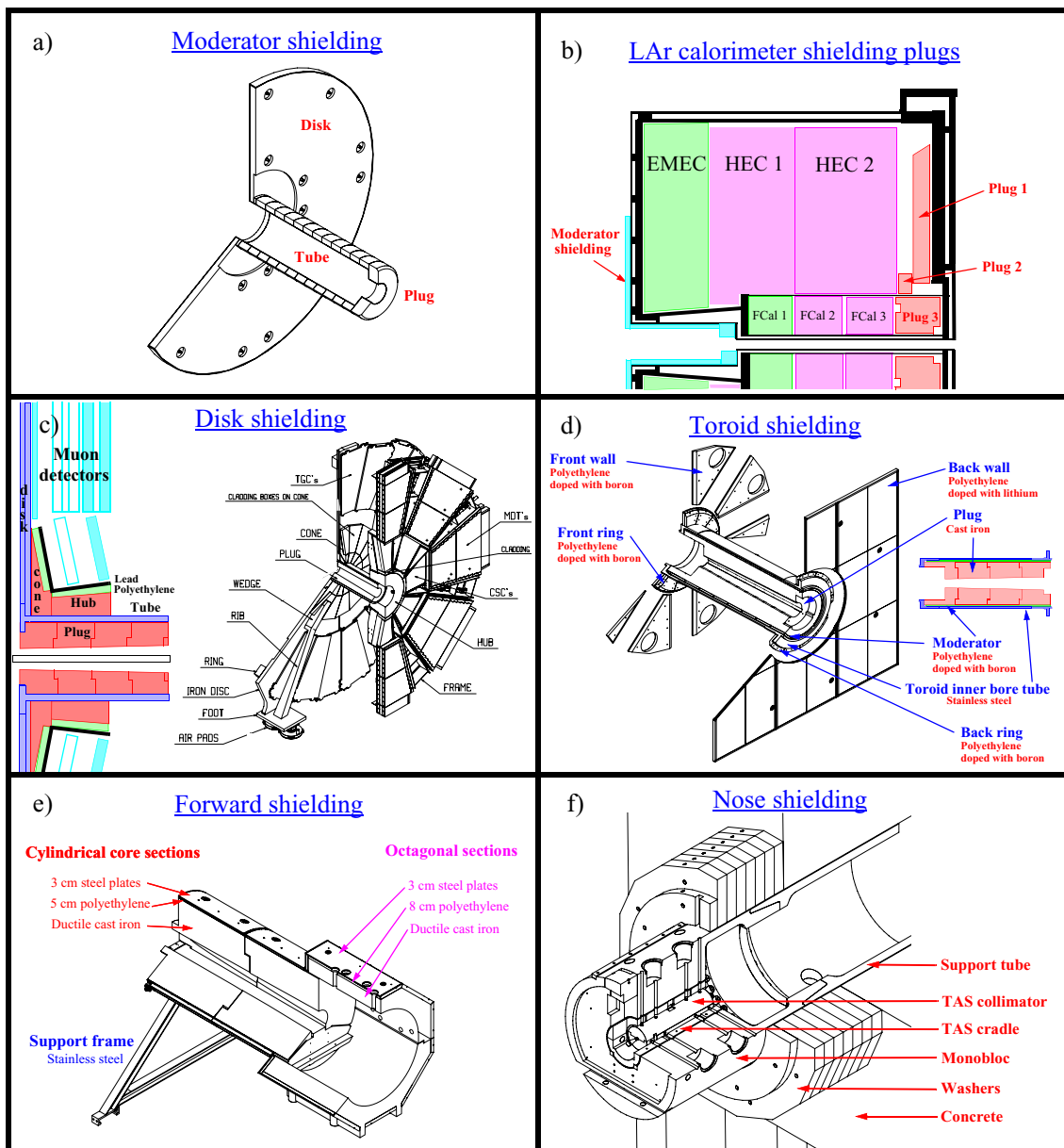


Figure 3.2: Details of the shielding components as described in the text: a) moderator, b) LAr calorimeter plugs, c) disk, d) toroid, e) forward, and f) nose shielding.

The next protection element is the end-cap toroid shielding (figure 3.2d), which consists of two parts, one located outside the toroid and enclosing the beam-pipe and one inside the cryostat:

- the first one is a cylindrical structure made of ductile cast iron, which surrounds the beam-pipe on the inside of the two end-cap toroid cryostats. The front piece has a large hole in the centre, into which the stainless steel tube of the shielding disk fits. On the outside of the cast iron is a polyethylene layer doped with B_2O_3 (5%). The photons created in the polyethylene layer are stopped by the stainless-steel bore tube, which supports the shielding in the end-cap toroid;

- the second part of the toroid shielding consists of various polyethylene structures, which are located in the vacuum of the end-cap toroid cryostats. The polyethylene is doped with B_4C , which causes fewer out-gassing problems than other dopants. Photons created when the neutrons are absorbed by the boron are stopped by the aluminium of the cryostat itself.

The purpose of the two forward shielding assemblies (figure 3.2e) is to protect the middle and outer end-cap muon stations from background particles created in secondary interactions in the beam-pipe, the calorimeters and the TAS collimators. These shielding elements, which are removable and will be stored in the surface building during maintenance of ATLAS, consist of two parts: a cylindrical core and a set of octagonal pieces in the rear. All pieces are made of cast ductile iron, surrounded by a layer of polyethylene doped with boron in the form of H_3BO_3 and followed by a 3 cm thick steel layer. The core pieces are enclosed in a 5 cm thick polyethylene layer, while an 8 cm thick layer surrounds the octagonal pieces. These polyethylene layers are made of 10,000 bricks of three different shapes.

The final shielding element, or nose shielding as depicted in figure 3.2f, supports the TAS collimator and protects ATLAS from the radiation created in this collimator, which is designed to prevent the first LHC quadrupole from quenching due to the energy deposited by the particles emerging from the interactions in ATLAS. The nose shielding is permanently installed in ATLAS and, unlike the forward shielding assemblies, cannot be removed during shutdowns. The main component of this shielding is the cylindrical 117 tonne heavy “monobloc”, which has an outer diameter of 295 cm. It is made of cast iron and supported by a tube, which is anchored in a 460 tonne concrete structure. The 200 tonne heavy “washers”, which are located around the support tube, increase the radial thickness of the iron shielding by 112 cm in a region where the monobloc is thin.

3.3 Calculation of particle fluences and absorbed doses

A vast and systematic effort has been made in the design phase to optimise the shielding in ATLAS by using different simulation programs [34] for simulating hundreds of different geometrical options. These studies have required significant computing resources, since the secondary particles in the hadronic showers had to be followed down to very low energies. Different event generators and transport codes have been used in an attempt to assess the systematic uncertainties in the calculations. When optimising the shielding configuration and materials in the limited space available in ATLAS, it was very often necessary to make trade-offs between different background types, e.g. neutrons versus photons. It has therefore been quite important to also understand the detector response to different types of background radiation, typically particles in the MeV range, in order to converge to the optimal solution [35, 36].

The expected particle fluences (integrated over energy) agree to typically better than 20%, as was shown by comparing two of the most commonly used minimum-bias event generators, PHOJET1.12 [37–39] and PYTHIA6.2 [40]. Larger differences of up to 50% were observed for pions, kaons, and muons with energies above several GeV. However, these particles provide only a small contribution to the total fluence. The program most used for the shielding optimisation in ATLAS has been the GCALOR package [41], which contains the CALOR code [42] with an interface to

GEANT3 [43]. FLUKA2001 [44] is another transport code, which is widely used for studies of hadronic and electromagnetic cascades induced by high-energy particles, and which has been extensively used in simulations of background radiation in ATLAS. In order to investigate transport-code differences, GCALOR was compared not only to FLUKA but also to MARS14(2002) [45]. Comparisons for simplified geometries as well as for the most detailed descriptions of the detector have been carried out.

The results of these studies are extensively reported in [34]: the overall conclusion is that the predictions of FLUKA, MARS and GCALOR are in good agreement for energy-integrated neutron, charged hadron, photon and e^+e^- fluences. For most regions in the inner detector, the difference between the FLUKA and GCALOR values is below 40%. In the pixel vertexing layer differences as large as 80% are however observed for charged hadrons. An excellent agreement, typically to within 20%, between the respective photon and neutron fluences in the muon spectrometer is observed when comparing the FLUKA and the GCALOR results. The charged hadron and lepton fluences in the muon spectrometer show much larger discrepancies, but the differences are always within a factor of 2.5. An overall safety factor of five has been used in the design of the ATLAS muon spectrometer.

The absorbed dose is the mean energy deposited per unit mass, taking into account all energy-loss mechanisms (but corrected for rest-mass effects). The dominant energy-loss mechanism is usually ionisation, but non-ionising energy loss is also important for understanding detector and electronic damage effects. The ionising dose is defined in the following as the integrated dE/dx energy loss in the detector material from charged particles, excluding ionisation energy loss from nuclear recoils. It is given in units of Gy/y, where one year corresponds to 8×10^{15} inelastic proton-proton collisions (assuming an inelastic cross-section of 80 mb, a luminosity of $10^{34} \text{ cm}^{-2} \text{ s}^{-1}$ and a data-taking period of 10^7 s). Comparisons of the calculated ionising dose in the inner detector between FLUKA and GCALOR show differences of up to a factor of two.

3.3.1 The inner-detector and calorimeter regions

Figure 3.3 shows a GCALOR calculation of the ionising dose in the region closest to the interaction point. The forward calorimeters will be exposed to up to 160 kGy/y, whereas the corresponding number for the end-cap electromagnetic calorimeters is 30 kGy/y. This will lead to very large integrated doses over the full lifetime of the experiment and is one of the main reasons why only the LAr technology with its intrinsically high resistance to radiation is used in the end-cap and forward regions. The main concern in the design phase has been for the electrode materials, primarily polymers such as polyimide, which had to be chosen with care and thoroughly tested for radiation hardness [46, 47].

The tile calorimeter, with its scintillator samplings read out by wavelength-shifting fibres, is protected by the LAr electromagnetic calorimeter and is exposed to less than 30 Gy/y, i.e. 5,000 times less than the forward calorimeters. The scintillators and fibres were nevertheless also thoroughly studied under irradiation [48–51] in order to determine their degradation during the lifetime of ATLAS.

In the inner detector, a very large effort had to be devoted over many years to the understanding of the impact of irradiation on silicon sensors, on front-end electronics circuits and on ageing phenomena in the ionising gas used for the straw tubes.

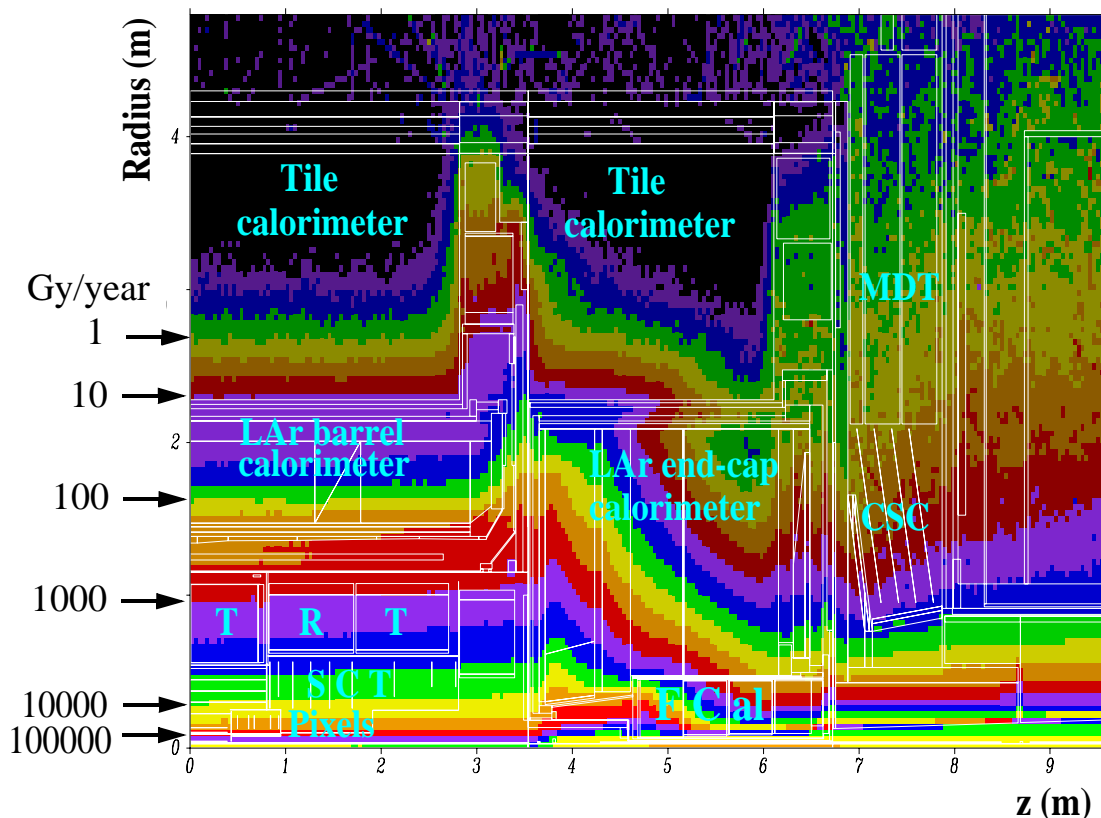


Figure 3.3: The total ionising dose per year calculated by GCALOR (see text) in one quarter of the central part of the detector. The locations of the inner detector sub-systems, of the different calorimeters and of the inner end-cap muon stations are indicated. The scale on the left gives the integrated dose per year corresponding to the various iso-lines.

Two main mechanisms lead to the degradation of the performance of silicon devices under irradiation. First, there is the effect of damage to the devices due to ionising energy loss. This can lead to the creation of trapped charges, in particular in the oxide layer of the sensor, which alters its electric properties. The second effect is bulk damage, or displacement damage, which is caused by the displacement of silicon atoms in the lattice. In the study of bulk damage to silicon devices, it is useful to introduce a quantity called the 1 MeV neutron equivalent fluence (F_{neq}). This fluence is obtained by convoluting the various particle energy spectra and fluences with silicon displacement-damage functions, normalised using the non-ionising energy loss (NIEL) cross-sections to the expected damage of 1 MeV neutrons [52].

Table 3.1 lists the particle rates, F_{neq} values and ionising doses predicted by FLUKA in the inner detector regions shown in figure 3.3. In the pixel detector, the particle rates are dominated by charged pions and photons. The latter are produced mostly in neutron capture processes but also directly from the primary collisions and from interactions in the beam-pipe and its related equipment. The predicted ionising dose in the innermost layer of the barrel pixel detector is 160 kGy/y,

Table 3.1: Particle rates, fluences and doses in key locations of the inner detector sub-systems (see figure 4.2 for the definitions and positions of the inner detector layers). Here, F_{neq} is the 1 MeV neutron equivalent fluence (see text). The FLUKA program has been used for this calculation and the statistical uncertainties are typically less than 10%. One year corresponds to 8×10^{15} inelastic proton-proton collisions (assuming an inelastic cross-section of 80 mb, a luminosity of $10^{34} \text{ cm}^{-2} \text{ s}^{-1}$ and a data-taking period of 10^7 s).

Region	$R \text{ (cm)}$	Particle rates (kHz/cm ²)						F_{neq} ($\times 10^{12} \text{ cm}^{-2}$)	Ionisation dose (Gy/y)
		γ > 30 keV	Protons > 10 MeV	Neutrons > 100 keV	π^\pm > 10 MeV	μ^\pm > 10 MeV	e^- > 0.5 MeV		
Pixel layer 0	5.05	45800	2030	4140	34100	300	8140	270	158000
Pixel layer 2	12.25	9150	280	1240	4120	190	1730	46	25400
SCT barrel layer 1	29.9	4400	80	690	990	130	690	16	7590
SCT barrel layer 4	51.4	3910	36	490	370	67	320	9	2960
SCT end-cap disk 9	43.9	7580	73	840	550	110	470	14	4510
TRT outer radius	108.0	2430	10	380	61	7	53	5	680

which is the same as for the forward calorimeter, while the F_{neq} is expected to be $3 \times 10^{14} \text{ cm}^{-2}/\text{y}$. In the SCT detector, the charged hadron and neutron rates are comparable and the F_{neq} and the ionising dose are reduced by about a factor of 20 with respect to the first pixel layer.

While most of the charged hadrons originate from the interaction point, most of the neutrons in the inner detector are the result of albedo (back-splash from the calorimeters). The purpose of the moderator shielding described in section 3.2 is to moderate the neutrons from the end-cap and forward calorimeters to lower their energies to values for which their contribution to the total F_{neq} is minimised. The polyethylene in the moderator shielding is doped with boron, which has a large cross-section for the capture of thermal neutrons. Nevertheless, the inner detector cavity will be filled during LHC operation by an almost uniform “gas” of thermal neutrons with a flux of 1–2 MHz/cm² and the sensitive detectors will be exposed to fluxes of 2–10 MHz/cm² of low-energy photons originating from the interactions themselves and from neutron capture. The dominant long-term impact of these particle fluences is not only radiation damage but also activation of the detector components (see section 3.5).

3.3.2 The muon spectrometer region

The effects of the absorbed ionising dose in the most critical muon spectrometer regions have been studied [53]. The CSC’s in the inner end-cap stations will be exposed to the highest dose. Figure 3.3 shows that in this region the ionising dose will vary between 3 and 20 Gy/y. The chambers closest to the beam-line in the middle end-cap stations are expected to see at most 10 Gy/y. Most of the muon spectrometer will, however, be exposed to less than 1 Gy/y.

Although care had also to be applied to the choices of materials, to the design of the front-end electronics circuits and to the choice of the ionising gases for all the muon chamber technologies, radiation damage due to the ionising dose is not the only concern in the muon spectrometer region.

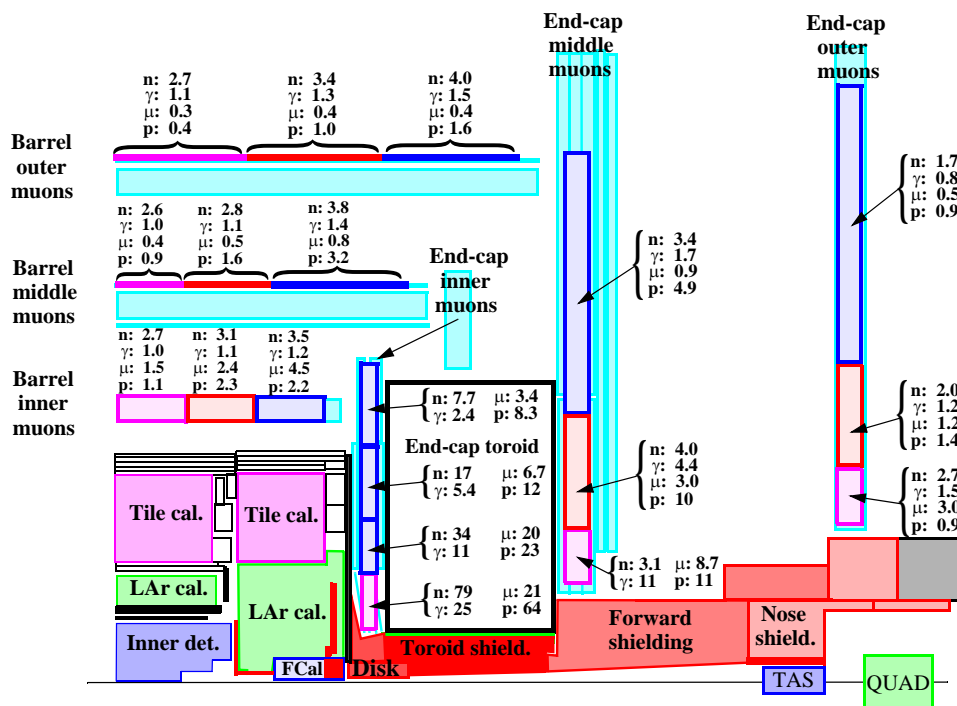


Figure 3.4: Particle fluxes in the various muon spectrometer stations at high luminosity ($10^{34} \text{ cm}^{-2} \text{ s}^{-1}$) as predicted by GCALOR. The neutron and photon fluxes are in units of kHz/cm^2 and the muon and proton fluxes in Hz/cm^2 .

Signals in the detectors from background particles are the main issue, because these background signals may significantly reduce the muon track-finding efficiency and, more importantly, introduce large rates of fake triggers. The expected particle fluxes based on a simulation of the background radiation in the ATLAS muon spectrometer using the GCALOR program are shown in figure 3.4. The energy thresholds used in these simulations are 10 keV, 10^{-11} MeV (well below the thermal neutron energy range), 1 MeV, and 1 MeV for photons, neutrons, muons, and protons, respectively. The highest fluxes are expected in the innermost end-cap muon stations, in particular in the CSC's, which will have to cope with a large background counting rate, although the estimation of this rate is subject to systematic uncertainties between approximately 15% and 25%.

Background hit rates caused by neutrons and photons in the relevant energy range have been calculated with GEANT3 for all muon-chamber technologies using detailed geometrical descriptions of the muon chamber setup. Energy-dependent efficiency curves have been estimated for neutrons, photons, and electrons [34]. Calculations have been done for the various chamber types taking into account the angular distributions of the particles at the chamber locations. Fluxes tend to be isotropic in the barrel, while in the end-cap a substantial fraction of the particles originates from the interaction region and from the beam-pipe in the region of the end-cap toroids, which is the main local source of secondary radiation. The fake L1 trigger rate in the presence of these background hits was studied in simulation including large contingency factors to account for the various uncertainties in the predictions.

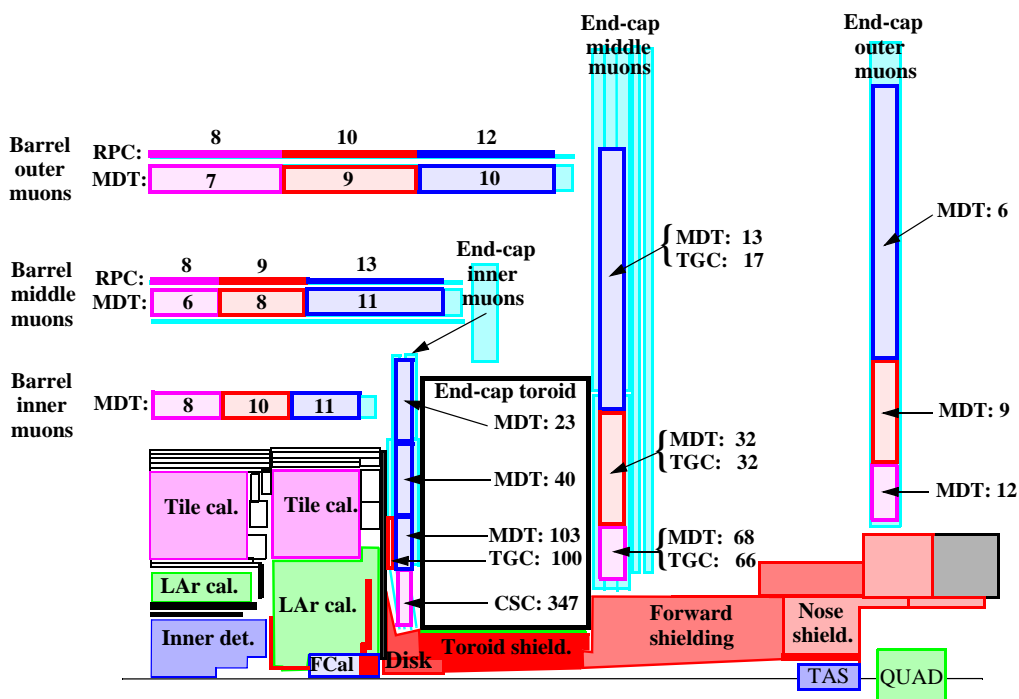


Figure 3.5: Average expected single-plane counting rates in Hz/cm^2 at $10^{34} \text{ cm}^{-2} \text{ s}^{-1}$ and for various regions in the muon spectrometer.

The single-plane efficiency curves have been compared between existing experimental data and simulation and found to be in good agreement. Average single-plane chamber efficiencies have been obtained by folding efficiency curves with the energy spectra predicted at each chamber location. Uncertainties due to the shape of the energy spectrum, the angular distribution, and the surrounding material have been studied and amount to a factor of 1.5. Predicted counting rates in the barrel stations are of the order of 10–12 Hz/cm^2 for both the MDT's and the resistive plate chambers. These rates are dominated by the photon contribution (80%), followed by neutrons and protons (10% each). In the inner barrel stations, the contribution from muons rises to about 15% and that from punch-through pions to a few percent. In the end-cap regions, photons contribute less to the counting rate. In the CSC's for example, photons account for about half of the rate, while muons account for 30% and protons for 10%. The predicted single-plane counting rates in the muon spectrometer are summarised in figure 3.5.

3.4 Background monitors

Measurements of particle fluences in ATLAS will provide a precise bench-marking of the particle transport codes used in the calculations and will also directly monitor the absorbed doses in the various detectors. Possible beam losses near the detector have to be monitored with specific detectors designed to provide fast feedback to the accelerator operations team. The motivation for equipping ATLAS with a reliable set of background monitors in various regions of the detector is therefore obvious.

3.4.1 Monitors in the inner detector

The inner detector region of ATLAS contains a set of small detectors, which are sensitive to dose, to the 1 MeV neutron equivalent fluence (F_{neq}) and to thermal neutrons. These detectors consist of:

1. Field-effect transistors (RADFET's), which measure the total ionising dose;
2. PIN-diodes, which measure F_{neq} ;
3. Radiation-hardened transistors, which measure thermal neutron fluences.

These detectors will measure the integrated doses and fluences in the inner detector and will also to some extent provide bench-marking estimates of the different contributions (charged particles, neutrons and photons).

One of the worst-case scenarios during LHC operation arises if several proton bunches hit the collimators in front of the detectors. While the accumulated radiation dose from such unlikely accidents corresponds to that acquired during a few days of normal operation, and as such provides no major contribution to the integrated dose, the enormous instantaneous rate might cause detector damage. The ATLAS Beam Conditions Monitor (BCM) [54] system consists of a set of detectors designed to detect such incidents and trigger an abort in time to prevent serious damage to the detector (see also section 9.10). These incidents need to be distinguished from the stray protons and beam-gas backgrounds which frequently initiate charged particle showers, which originate well upstream (or downstream) from the ATLAS interaction point. Due to their very fast response time and intrinsically very high resistance to radiation, the BCM detectors will be used throughout the lifetime of the experiment to distinguish these stray beam particles from those originating from proton-proton interactions.

The BCM system, designed to tolerate doses of up to 500 kGy and in excess of 10^{15} charged particles per cm^2 over the lifetime of the experiment, consists of two stations, each with four modules. Each module, as depicted in figure 3.6 (left), includes two radiation-hard diamond sensors [55, 56] read out in parallel by radiation-tolerant electronics with a 1 ns rise-time [57]. Figure 3.6 (right) shows a close-up view of one station installed around the beryllium beam-pipe. The stations are located symmetrically around the interaction point at $z = \pm 184$ cm and $R = 5.5$ cm, which corresponds to a $|\eta| = 4.2$. The difference in time-of-flight between the two stations, Δt , distinguishes particles from normal collisions ($\Delta t = 0, 25, 50$ ns, etc.) from those arising from stray protons ($\Delta t = 12.5, 37.5$ ns, etc.). The in-time and out-of-time multi-module coincidences are determined by an FPGA-based back-end, which digitises the signals, monitors the detector performance and generates beam-abort signals if warranted. Preliminary analysis of data on one of the modules in a high-energy pion test-beam shows a signal-to-noise ratio of 11 ± 2 in an operational geometry, where minimum ionising particles are incident on the BCM sensors at a 45° angle. A full description of the design, construction and test-beam characterisation of the BCM system can be found in [54].

3.4.2 Monitors in the muon spectrometer

Several sets of detectors have been installed in the end-cap muon stations to monitor the background fluences and thus to constrain further the particle transport codes used in the calculations described

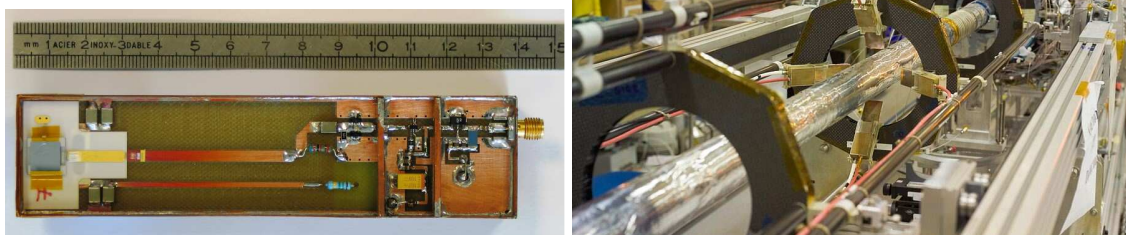


Figure 3.6: Left: top view of a BCM module, showing the diamond sensors (left side of picture), the HV supply and signal-transmission lines, the two amplification stages and the signal connector (right side of picture). Right: close-up view of one BCM station installed at 184 cm from the centre of the pixel detector, which can be seen at the far end of the picture. Each one of the four modules can be seen in position at a radius of 5.5 cm, very close to the beam-pipe.

in section 3.3. These detectors are installed in the inner, middle, and outer end-cap stations. Figure 3.7 shows one set of the detectors which have been installed. They were chosen to provide a reliable response to neutrons or photons in various energy ranges:

1. Boron-lined proportional tubes operating with Ar/CO₂ gas are used to measure thermal and slow neutrons (energies below 10⁻⁵ MeV). Each interaction $n + {}^{10}\text{B} \rightarrow \text{Li} + \alpha$ sends a slow Li or α -particle into the tube. The large ionisation pulse associated with the Li or α -particle is used for pulse-height discrimination against Compton electrons and minimum-ionising particles. These detectors are therefore relatively insensitive to photons and charged particles.

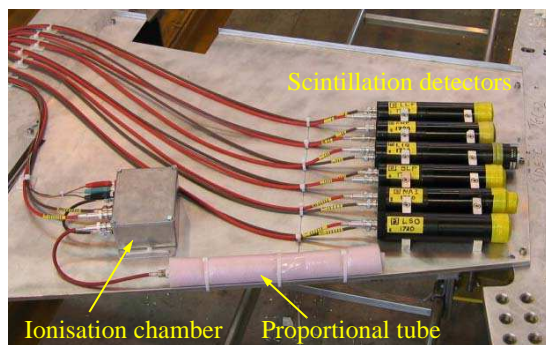


Figure 3.7: Picture of one set of background monitors, to be installed in the thin gap chamber layer of the middle end-cap muon station. The eight different types of detectors are described in the text.

2. Boron-loaded plastic scintillator (BC-454) is sensitive to the neutron interactions described above and is also used to study thermal and slow neutrons.
3. Detectors with a plastic disk loaded with LiF and coated with a thin layer of ZnS(Ag) scintillator are sensitive to the tritium and α -particles produced in the neutron capture process in lithium.

4. Another ZnS(Ag) scintillator embedded in plastic is used to study fast neutrons (with energies of a few MeV). The plastic is rich in hydrogen, from which incoming neutrons scatter to produce recoil protons. These protons produce large ionisation pulses compared to minimum-ionising particles or low-energy electrons. Pulse-height discrimination schemes should therefore provide good rejection against these backgrounds.
5. A liquid scintillator, with pulse-shape discrimination electronics, is used in combination with plastics to measure fast neutrons.
6. Scintillator detectors with NaI and lutetium oxyorthosilicate (LSO) crystals are used to measure the low-energy photon spectrum (0 to 10 MeV). The spectrum is dominated by photons, but also contains a neutron component, which can be separated out using fitting techniques and detailed simulations.
7. Small ionisation chambers measure the total ionising dose.

3.4.3 Network of detectors for radiation measurements

A system of small silicon pixel detectors has been developed for radiation measurements in the experimental environment [58, 59]. This detector network will form a stand-alone system fully capable of delivering real-time images of fluxes and spectral composition of different particle species, including slow and fast neutrons.

These silicon detectors will be operated via active USB cables and USB-ethernet extenders by a PC placed in the underground USA15 counting room, located next to the main cavern. The hybrid silicon pixel device consists of a silicon detector chip, 300 μm thick with 256×256 pixels, bonded to a readout chip. Each of the $55 \mu\text{m} \times 55 \mu\text{m}$ pixels is connected to its respective readout chain integrated on the chip. Settings of the pulse height discriminators determine the input energy window and at the same time provide noise suppression. The pixel counter determines the number of interacting quanta of radiation falling within this window. These devices can be used for position and energy sensitive (from 5 keV up to tens of MeV) spectroscopic detection of radiation. They are also capable of counting particle fluxes at rates in excess of GHz/cm^2 .

This system can be used in both tracking and counting modes, to record tracks or counts caused by x-rays, gamma-radiation, neutrons, electrons, minimum ionising particles and ions. For neutron detection, the silicon detectors are partially covered by neutron converters (${}^6\text{LiF}$ and polyethylene for slow and fast neutrons, respectively). The tracking mode is based on electronic visualisation of tracks and traces of individual quanta of radiation in the sensitive silicon volume. In the case of count rates above $5 \times 10^3 \text{ events}/\text{cm}^2 \text{ s}$, the devices are operated in counting mode, in which charge deposition in the pixels is counted at different threshold settings. Calibration of the devices enables the conversion of the individual tracks observed and/or counts measured into fluxes of respective types of radiation and dose rates. At least 14 of these pixel devices will be placed inside ATLAS: four devices on the LAr calorimeter facing the inner detector, four devices on the tile calorimeter, four devices near the muon chambers in the inner end-cap muon station, and two devices near the forward shielding and close to the outer end-cap muon station.

3.5 Activation

Induced radioactivity will be a major problem at the LHC, and ATLAS is the experiment with the highest levels of induced radiation. This is due to the small radius of the ATLAS beam-pipe, the small bore of the forward calorimeters, and to the shielding elements close to the beam-pipe. A comprehensive study has been made of the expected activation in different regions and for different data-taking and cooling-off scenarios. The methods and assumptions used in the calculation of the induced activity are given in [34]. The main conclusion of these studies is that the beam-pipe will be the major source of induced radioactivity in ATLAS.

Three different access scenarios are foreseen for ATLAS during shutdowns, as described in more detail in section 9.7. They are described below and two of the scenarios are depicted in figures 3.8 and 3.9.

- (a) In the very short access scenario, all detector components remain in place and the magnetic fields remain on. These accesses are typically on the order of a few hours long.
- (b) In the short access scenario, the beam-pipe remains in place, but then acts as a linear source of photon radiation as can be seen in figure 3.8. Because of the high level of radiation, the area around the beam-pipe, out to a radius of about 1 m, has to be fenced off after high-luminosity running. This will ensure that people working in ATLAS during short access will not be exposed to dose rates larger than 0.1 mSv/h (maintenance work in ATLAS will be designed to limit the yearly dose to 6 mSv per person). The only detector which is truly inside the barrier is the inner detector. During a short access, maintenance of the inner detector will therefore be severely limited.
- (c) In the long access scenario, all the beam-pipe sections except the one inside the inner detector volume are removed as well as the small muon wheel (or inner end-cap muon stations) and the end-cap toroids. Two hot spots can clearly be seen in the final configuration, as shown in figure 3.9. One is the end-piece of the inner detector beam-pipe, which is made of aluminium, whereas the rest of the inner detector beam-pipe is made of beryllium. The expected dose rate can reach 0.2 mSv/h at this location. The other hot spot is in front of the forward calorimeters, where the dose rate is predicted to reach very high values of up to 0.5 mSv/h. These relatively small-size regions will therefore be temporarily shielded with lead blocks during maintenance of the inner detector.

While the beam-pipe section inside the inner detector is mostly made of beryllium, the rest of the beam-pipe is made of stainless steel and has to be removed in the case of the long access scenario, since it will become very radioactive with a contact dose rate of 3–5 mSv/h. This could in certain cases inflict several mSv of integrated dose to personnel performing the intervention. One way of reducing the dose to personnel would be to make the beam-pipe out of aluminium instead of stainless steel. This is expected to give a factor 10–50 reduction of the dose levels. If the beam-pipe material were instead to be changed to beryllium over the whole length of the detector, the dose rate would decrease by a factor of 100–1000 and would no longer be a problem. This is, however, very costly and will only be discussed further in the context of the LHC upgrade programme.

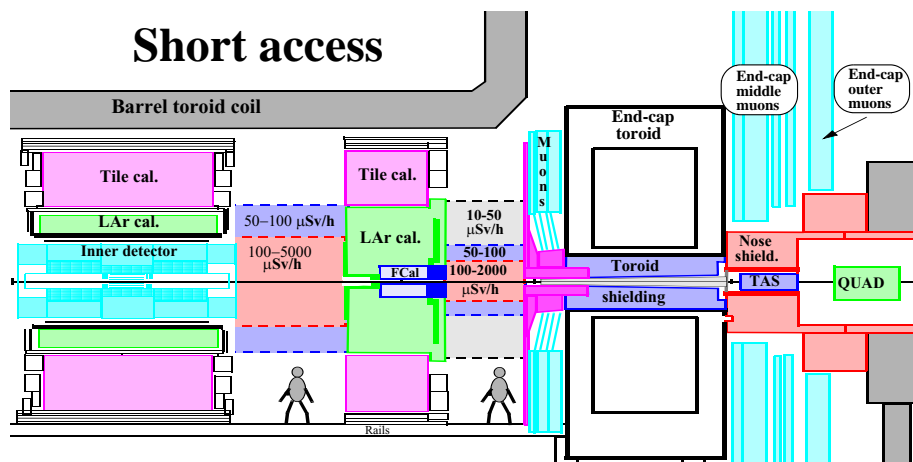


Figure 3.8: The inner region of the detector during one of the short access scenarios. The predicted dose rates have been calculated for 10 years of operation at $10^{34} \text{ cm}^{-2} \text{ s}^{-1}$ and for five days of cooling off. The short access scenario (a) has the beam-pipe in place.

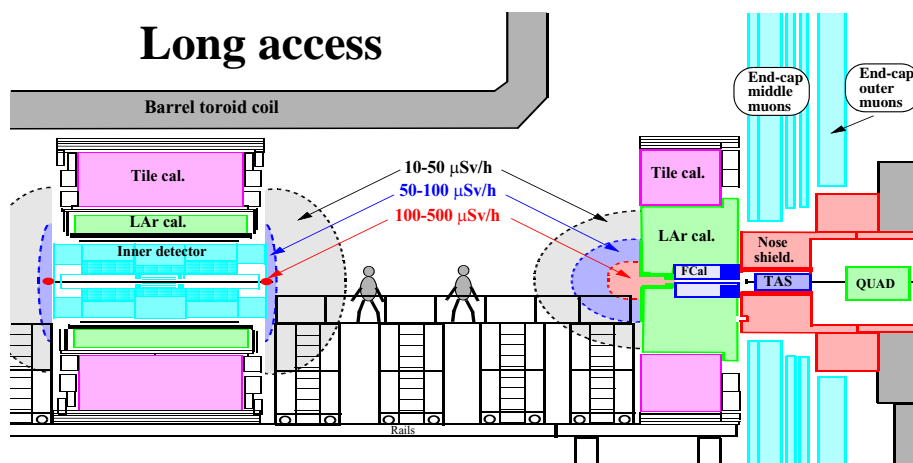


Figure 3.9: The inner region of the detector during one of the main long access scenarios. The predicted dose rates have been calculated for 10 years of operation at $10^{34} \text{ cm}^{-2} \text{ s}^{-1}$ and for five days of cooling off. The long access scenario (b) has only the inner detector section of the beam-pipe in place. The expected dose rates are greatly reduced in this access scenario.

Chapter 8

Trigger, data acquisition, and controls

8.1 Introduction to event selection and data acquisition

As described in chapter 1, the trigger consists of three levels of event selection: Level-1 (L1), Level-2 (L2), and event filter. The L2 and event filter together form the High-Level Trigger (HLT). The L1 trigger is implemented using custom-made electronics, while the HLT is almost entirely based on commercially available computers and networking hardware. A block diagram of the trigger and data acquisition systems is shown in figure 8.1.

The L1 trigger searches for signatures from high- p_T muons, electrons/photons, jets, and τ -leptons decaying into hadrons. It also selects events with large missing transverse energy (E_T^{miss}) and large total transverse energy. The L1 trigger uses reduced-granularity information from a subset of detectors: the Resistive Plate Chambers (RPC) and Thin-Gap Chambers (TGC) for high- p_T muons, and all the calorimeter sub-systems for electromagnetic clusters, jets, τ -leptons, E_T^{miss} , and large total transverse energy. The maximum L1 accept rate which the detector readout systems can handle is 75 kHz (upgradeable to 100 kHz), and the L1 decision must reach the front-end electronics within $2.5 \mu\text{s}$ after the bunch-crossing with which it is associated.

The L2 trigger is seeded by Regions-of-Interest (RoI's). These are regions of the detector where the L1 trigger has identified possible trigger objects within the event. The L2 trigger uses RoI information on coordinates, energy, and type of signatures to limit the amount of data which must be transferred from the detector readout. The L2 trigger reduces the event rate to below 3.5 kHz, with an average event processing time of approximately 40 ms.

The event filter uses offline analysis procedures on fully-built events to further select events down to a rate which can be recorded for subsequent offline analysis. It reduces the event rate to approximately 200 Hz, with an average event processing time of order four seconds.

The HLT algorithms use the full granularity and precision of calorimeter and muon chamber data, as well as the data from the inner detector, to refine the trigger selections. Better information on energy deposition improves the threshold cuts, while track reconstruction in the inner detector significantly enhances the particle identification (for example distinguishing between electrons and photons). The event selection at both L1 and L2 primarily uses inclusive criteria, for example high- E_T objects above defined thresholds. One exception is the L2 selection of events containing the decay of a B -hadron, which requires the reconstruction of exclusive decays into particles with low momentum.

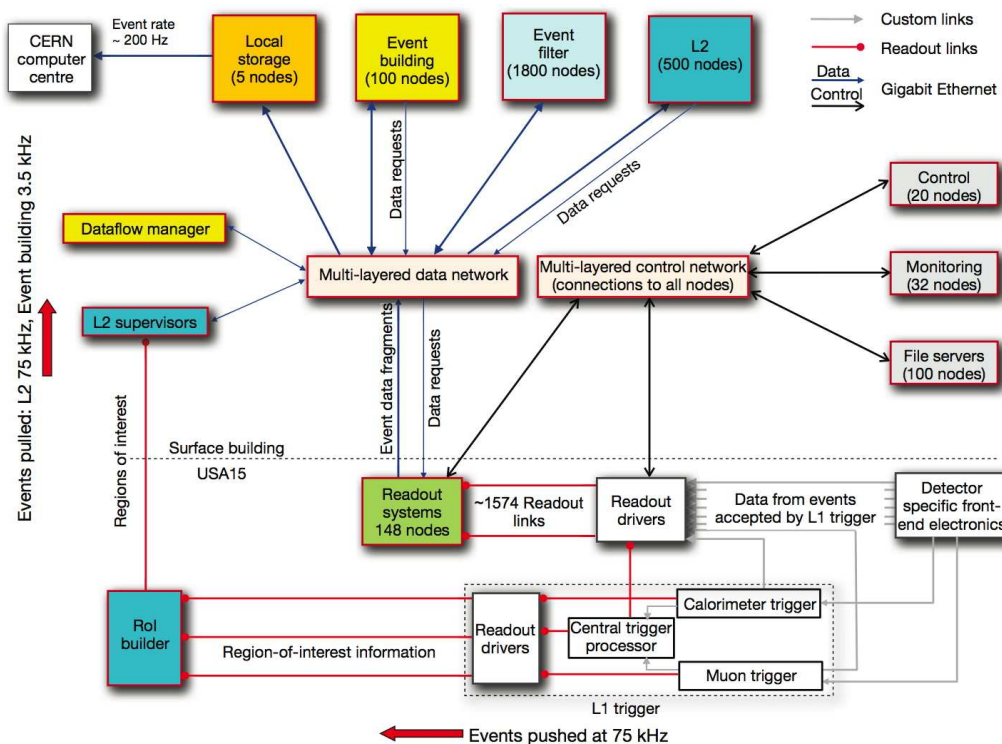


Figure 8.1: Block diagram of the ATLAS trigger and data acquisition systems (see sections 8.2 and 8.3 for further details).

The data acquisition system (DAQ) receives and buffers the event data from the detector-specific readout electronics at the L1 trigger rate. The data transmission is performed over point-to-point Readout Links (ROL's). It transmits to the L2 trigger any data requested by the trigger (typically the data corresponding to RoI's) and, for those events fulfilling the L2 selection criteria, event-building is performed. The assembled events are then moved by the data acquisition system to the event filter, and the events selected there are moved to permanent event storage.

In addition to controlling movement of data down the trigger selection chain, the data acquisition system also provides for the configuration, control and monitoring of the ATLAS detector during data-taking. Supervision of the detector hardware (gas systems, power-supply voltages, etc.) is provided by the Detector Control System (DCS).

Section 8.2 presents the design, algorithms, and implementation of the L1 trigger. The HLT and data acquisition system are described in section 8.3, which gives an overview of the flow of events through the system, a brief description of the main system components, and the performance expected for initial operations. The implementation and capabilities of the DAQ/HLT are presented in section 8.4. Finally, the detector control system is described in section 8.5.

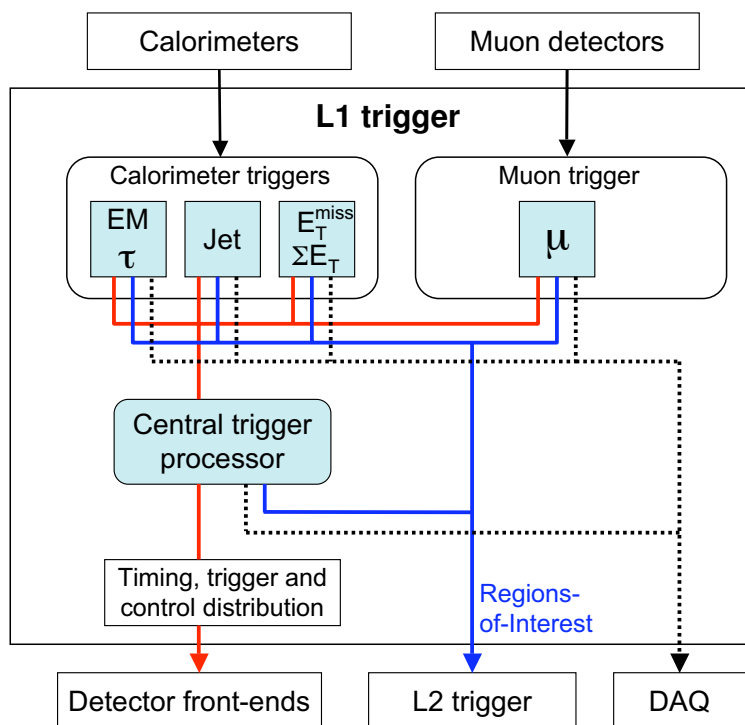


Figure 8.2: Block diagram of the L1 trigger. The overall L1 accept decision is made by the central trigger processor, taking input from calorimeter and muon trigger results. The paths to the detector front-ends, L2 trigger, and data acquisition system are shown from left to right in red, blue and black, respectively.

8.2 The L1 trigger

The flow of the L1 trigger is shown in figure 8.2. It performs the initial event selection based on information from the calorimeters and muon detectors. The calorimeter selection is based on information from all the calorimeters (electromagnetic and hadronic; barrel, end-cap and forward). The L1 Calorimeter Trigger (L1Calo) aims to identify high- E_T objects such as electrons and photons, jets, and τ -leptons decaying into hadrons, as well as events with large E_T^{miss} and large total transverse energy. A trigger on the scalar sum of jet transverse energies is also available. For the electron/photon and τ triggers, isolation can be required. Isolation implies that the energetic particle must have a minimum angular separation from any significant energy deposit in the same trigger. The information for each bunch-crossing used in the L1 trigger decision is the multiplicity of hits for 4 to 16 programmable E_T thresholds per object type.

The L1 muon trigger is based on signals in the muon trigger chambers: RPC's in the barrel and TGC's in the end-caps. The trigger searches for patterns of hits consistent with high- p_T muons originating from the interaction region. The logic provides six independently-programmable p_T thresholds. The information for each bunch-crossing used in the L1 trigger decision is the multiplicity of muons for each of the p_T thresholds. Muons are not double-counted across the different thresholds.

The overall L1 accept decision is made by the Central Trigger Processor (CTP), which combines the information for different object types. Trigger menus can be programmed with up to 256 distinct items, each item being a combination of requirements on the input data. The trigger decision, together with the 40.08 MHz clock and other signals, is distributed to the detector front-end and readout systems via the Timing, Trigger and Control (TTC) system, using an optical-broadcast network.

While the L1 trigger decision is based only on the multiplicity of trigger objects (or flags indicating which thresholds were passed, for global quantities), information about the geometric location of trigger objects is retained in the muon and calorimeter trigger processors. Upon the event being accepted by the L1 trigger, this information is sent as RoI's to the L2 trigger (see section 8.3.6), where it is used to seed the selection performed by the HLT.

An essential function of the L1 trigger is unambiguous identification of the bunch-crossing of interest. The very short (25 ns) bunch-crossing interval makes this a challenging task. In the case of the muon trigger, the physical size of the muon spectrometer implies times-of-flight exceeding the bunch-crossing interval. For the calorimeter trigger, a serious complication is that the width of the calorimeter signals extends over many (typically four) bunch-crossings.

While the trigger decision is being formed, the information for all detector channels has to be retained in pipeline memories. These memories are contained in custom electronics placed on or near the detector, where often radiation levels are high and access is difficult. In the interest of cost and reliability, it is desirable to keep the pipeline length as short as possible. The L1 latency, which is the time from the proton-proton collision until the L1 trigger decision, must therefore be kept as short as possible. The design of the trigger and front-end systems requires the L1 latency to be less than $2.5 \mu\text{s}$, with a target latency of $2.0 \mu\text{s}$, leaving $0.5 \mu\text{s}$ contingency. About $1 \mu\text{s}$ of this time is accounted for by cable-propagation delays alone. To achieve this aim, the L1 trigger is implemented as a system of purpose-built hardware processors, which are described in more detail below.

8.2.1 Calorimeter trigger

L1Calo [227] is a pipelined digital system designed to work with about 7000 analogue trigger towers of reduced granularity (0.1×0.1 in $\Delta\eta \times \Delta\phi$ in most parts, but larger at higher $|\eta|$) from the electromagnetic and hadronic calorimeters. It sends the results for each LHC bunch-crossing to the CTP approximately $1.5 \mu\text{s}$ after the event occurs, resulting in a total latency for the L1Calo chain of about $2.1 \mu\text{s}$, well within the allowed envelope.

The L1Calo system is located off-detector in the service cavern USA15. Its architecture, shown in figure 8.3, consists of three main sub-systems. The pre-processor digitises the analogue input signals, then uses a digital filter to associate them with specific bunch-crossings. It uses a look-up table to produce the transverse-energy values used for the trigger algorithms. The data are then transmitted to both the Cluster Processor (CP) and Jet/Energy-sum Processor (JEP) sub-systems in parallel. The CP sub-system identifies electron/photon and τ -lepton candidates with E_T above the corresponding programmable threshold and satisfying, if required, certain isolation criteria. The JEP receives jet trigger elements, which are 0.2×0.2 sums in $\Delta\eta \times \Delta\phi$, and uses these to identify jets and to produce global sums of scalar and missing transverse energy. Both processors count the multiplicities of the different types of trigger objects. The CP and JEP send these

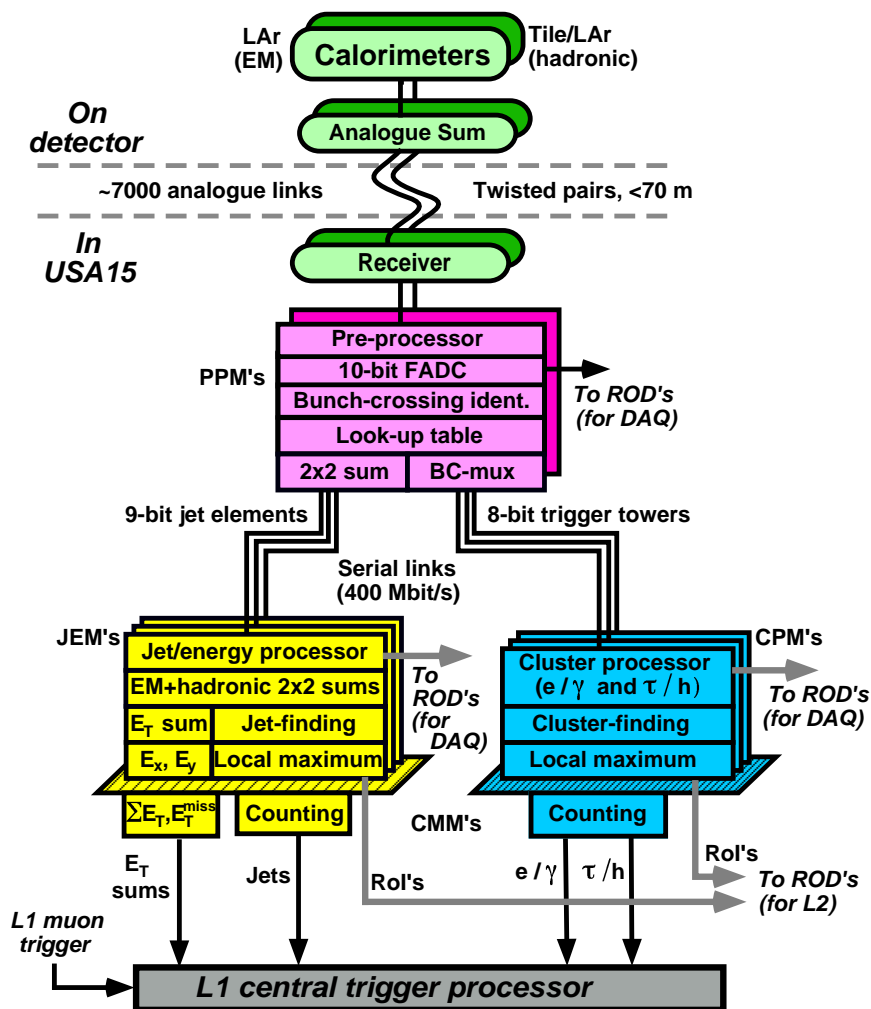


Figure 8.3: Architecture of the L1 calorimeter trigger. Analogue data from the calorimeters are digitised and associated with the correct bunch-crossing in the pre-processor and then sent to two algorithmic processors, the jet/energy-sum processor and the cluster processor. The resulting hit counts and energy sums are sent to the central trigger processor.

feature multiplicities, as well as transverse-energy threshold information, to the CTP for every bunch-crossing.

When there is a L1 Accept (L1A) decision from the CTP, the stored data from the L1Calo subsystems are read out to the data acquisition system: this includes input data, intermediate calculations and trigger results in order to allow full monitoring and verification of the L1 trigger functionality. These data can also provide useful diagnostics for the LHC machine (see section 9.10) and the ATLAS sub-detectors. The types and positions of jet, τ -lepton and electromagnetic cluster candidates are also collected and sent to the RoI builder (see section 8.3.6) for use by the L2 trigger.

The L1Calo architecture is relatively compact, with a minimal number of crates and cable links. This helps in reducing the latency. Some of the hardware modules were designed to fulfil several different roles in the system, in order to reduce hardware costs and design efforts, as well as to reduce the number of spares required.

8.2.1.1 The analogue front-end

Analogue signals from trigger towers in all the calorimeters are sent to the USA15 cavern on 16-way twisted-pair cables. These cables are specially routed to minimise their length, and hence the trigger latency; they range from about 30 m to 70 m in length. Liquid-argon electromagnetic calorimeter signals are converted from energy to transverse energy in the tower builder boards located on the detector, but all hadronic calorimeter signals are transmitted proportional to energy. All the trigger-tower signals arrive at 64-channel receiver modules. The main function of the receiver modules is to adjust the gains, in order to provide transverse energy rather than energy for hadronic calorimeter signals, and to compensate for differences in energy calibration and signal attenuation in the long cables. The receivers reshape the signals, and contain linear, variable-gain amplifiers controlled by DAC's. Receiver outputs are sent as differential signals on short twisted-pair cables to the pre-processor. A further function of the receivers is to monitor a small, programmable selection of analogue input signals.

8.2.1.2 The pre-processor

The pre-processor consists of eight 9U VMEbus crates. Four crates process electromagnetic trigger towers and four process hadronic towers. Each crate contains 16 Pre-Processor Modules (PPM's) which each receive four analogue cables on the front panel and process 64 analogue input signals. The granularity of these signals is reduced compared to the full calorimeter data. This is done by analogue summing at the detector of variable numbers of calorimeter cells, ranging from a few up to 60. The main signal processing is performed by 16 multi-chip modules, each of which processes four trigger towers. Ten-bit Flash ADC's (FADC's) digitise the signals with a sampling frequency of 40.08 MHz. Fine adjustment of the timing of each digitisation strobe is performed by a four-channel ASIC, which provides programmable delays in steps of 1 ns across the 25 ns LHC clock period. The digitised values are then sent to a custom pre-processor ASIC.

The pre-processor ASIC synchronises the timing of the four inputs, to compensate for different times-of-flight and signal path-lengths. It then assigns signals to the correct bunch-crossing, as detailed below. A look-up table is used to carry out pedestal subtraction, apply a noise threshold, and do a final transverse-energy calibration, resulting in 8-bit trigger-tower energies. Finally, it performs bunch-crossing multiplexing (see below) for the CP and it sums the four values into 0.2×0.2 jet elements (2×2 sum) for the JEP. Two 10-bit low-voltage differential signalling (LVDS) serialisers operating at 400 Mbit/s transmit the processed trigger-tower data to the CP, while a third serialiser sends the summed 9-bit jet elements to the JEP.

The pre-processor ASIC reads out data to the data acquisition system upon receiving a L1A signal. The readout data are taken from pipeline memories at two stages: the raw digitised values from the FADC's, and the 8-bit processed trigger-tower data from the look-up tables. Data from the bunch-crossing of interest, as well as a programmable number of bunch-crossings around it (typically up to five in all), allow monitoring of pulse shapes at the FADC's, and of the bunch-crossing identification and energy calibration at the look-up table outputs. These readout data are serialised and sent to the data acquisition readout over an optical fibre. In addition, various rates based on the input signals are monitored and histogrammed automatically in the ASIC and are read out by VMEbus.

Bunch-crossing identification. The analogue pulses from the electromagnetic and hadronic calorimeters have widths of several bunch-crossings, so it is essential that trigger-tower signals are associated with the correct bunch-crossing. This is a crucial requirement not only for normal-size pulses, but also for saturated pulses (above about 250 GeV) and for pulses as small as possible (down to 2–3 GeV, just above the noise level). The pre-processor ASIC is capable of identifying a signal’s bunch-crossing using three different methods, which provides ample redundancy for consistency checks during commissioning.

For normal, unsaturated signals, a digital pipelined finite-impulse-response filter processes five consecutive FADC samples. A subsequent peak-finder attributes the maximum value of this sum to the corresponding bunch-crossing. The working range of the method spans from small trigger signals (energy depositions of a few GeV) up to the near-saturation level of around 250 GeV.

For saturated signals, two consecutive samples are compared to a low and a high threshold, making use of the finite peaking-time (approximately 50 ns) of an analogue input signal. Thus, detection of a leading edge allows attribution of the virtual peak to a specific bunch-crossing. This method is valid from around 200 GeV up to the maximum energy range of the calorimeters.

A third method uses comparators with programmable thresholds on the analogue input signals to present a rising-edge signal to the multi-chip modules. Given the known peaking time, bunch-crossing identification can be performed using an appropriate programmed delay in the pre-processor ASIC. The validity of this method begins well above the comparator threshold and extends up to the full energy range. There is thus a large overlap with the two previous methods, allowing consistency checks between the methods to be performed.

The finite-impulse-response filter output is presented to the look-up table to extract a calibrated E_T value for the trigger tower. If the bunch-crossing identification criteria are met, this value is sent to the CP outputs. In the case of saturation, the tower is assigned the maximum 8-bit value of 255 GeV. For the JEP outputs, any 0.2×0.2 -sum jet element which contains a saturated trigger tower, or which has a 9-bit sum in overflow, is assigned the maximum 9-bit value of 512 GeV. A tower or jet element with a maximum value is understood to be saturated by the CP and/or JEP sub-systems. The trigger menu will be set up so that any event where a saturation condition occurs will produce a L1A signal, and the RoI’s sent to the L2 trigger will be flagged by saturation bits.

Bunch-crossing multiplexing. The data from the pre-processor modules consist of four 8-bit trigger towers per multi-chip module to the CP, and one 9-bit 0.2×0.2 -sum jet element to the JEP. To economise on the number of links needed, it was noted that the bunch-crossing identification algorithm is essentially a peak-finding scheme. This means that an occupied bunch-crossing will always be followed by an empty (zero) one. This allows two trigger towers being sent to the CP to share a single serial link. Trigger towers are paired at the pre-processor ASIC output stage, and the scheme is called Bunch-Crossing Multiplexing (BC-mux). By using it, data transmission to the CP sub-system is achieved with only two links per multi-chip module instead of four. However, for the JEP, where a sum of four towers is transmitted, this cannot be done.

Output signal fan-out and pre-compensation. The high-speed serial outputs to the CP and JEP are fanned out in order to provide the trigger algorithms with overlapping data between detector quadrants in azimuth. The data pass through the back-plane to 11 m long shielded parallel-pair

cables. RC pre-compensation is done to improve signal-driving capabilities, since observed signal attenuation and distortion from the cables may compromise data integrity. Bit-error rates of less than 10^{-14} have been achieved.

8.2.1.3 The cluster and jet/energy-sum processors

The CP and JEP sub-systems share many architectural features and some common hardware. The jet algorithm in the JEP and the electron/photon and τ cluster algorithms in the CP both perform feature searches in overlapping, sliding windows. Therefore, a large amount of data duplication between processor modules is required, and this is done as follows. Both sub-systems divide the calorimeters into four azimuthal quadrants, with each processor module within a quadrant covering a slice in pseudorapidity and 90° in azimuth. Overlapping data from neighbouring azimuthal quadrants are provided by duplicated serial links from the pre-processor. Within each quadrant, modules only need to share input data with their nearest neighbours, over short (roughly 2 cm) point-to-point back-plane links. This architecture minimises the number of cable links from the pre-processor, and the back-plane fan-out is simplified.

The CP is a four-crate system, with 14 Cluster Processor Modules (CPM's) in each crate covering one calorimeter quadrant. The JEP is contained in two crates, each containing eight Jet/Energy Modules (JEM's) from two opposing quadrants in azimuth (16 JEM's total). Results from the processor modules are brought to two Common Merger Modules (CMM's) in each crate: these sum the data to produce crate-level results. The CMM's also perform the system-level summation of data from the different crates, and transmit the final results to the CTP.

The electron/photon and τ triggers extend out to $|\eta| = 2.5$, which is the fiducial limit for precision measurements with the inner detector and electromagnetic calorimetry. The jet trigger extends out to $|\eta| = 3.2$. The E_T^{miss} and total transverse-energy triggers include the forward calorimetry, in particular to provide adequate E_T^{miss} performance, which means that they extend to $|\eta| = 4.9$. This also allows the FCal to be used for forward-jet triggers.

8.2.1.4 The cluster processor module

The electron/photon trigger algorithm [228], shown in figure 8.4, identifies 2×2 clusters of trigger towers in which at least one of the four possible two-tower sums (1×2 or 2×1) of nearest-neighbour electromagnetic towers exceeds a pre-defined threshold. Isolation-veto thresholds are set for the 12-tower surrounding ring in the electromagnetic calorimeter, as well as for the 2×2 hadronic-tower core sum behind the cluster and the 12-tower hadronic ring around it. All these thresholds are programmable.

The τ algorithm uses the same basic elements to select narrow hadronic jets. Each of the four possible two-tower sums of nearest-neighbour electromagnetic towers is added to the 2×2 hadronic-tower core sum directly behind, and the result is compared to a pre-defined threshold. Isolation veto thresholds are set separately for each of the surrounding 12-tower rings in both the electromagnetic and hadronic calorimeters.

The isolation thresholds for both algorithms are absolute values, rather than ratios of isolation energy to cluster energy. This simpler approach was chosen on the basis of studies, which showed

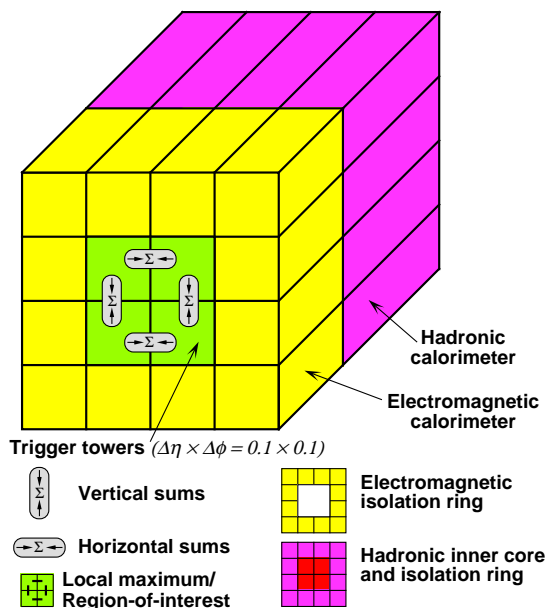


Figure 8.4: Electron/photon and τ trigger algorithms, as described in the text.

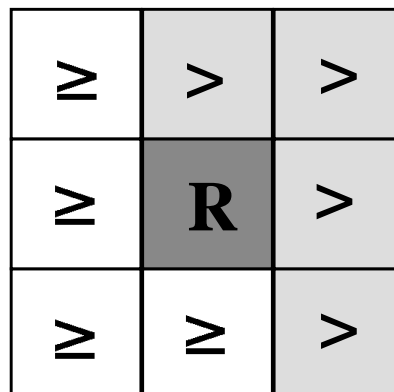


Figure 8.5: E_T local-maximum test for a cluster/RoI candidate. The η -axis runs from left to right, and the ϕ -axis from bottom to top. The symbol R refers to the candidate 2×2 region being tested.

that the expected isolation sums are relatively insensitive to shower energies. In practice, high-energy clusters will generally have looser isolation criteria to maximise the efficiency for possible low-rate exotic signal processes, while lower-energy clusters will have stricter isolation criteria in order to minimise the rates at the expense of a limited loss of signal.

These algorithms are run over all possible 4×4 windows, which means that the windows overlap and slide by steps of 0.1 in both η and ϕ . This implies that an electron/photon or τ cluster can satisfy the algorithm in two or more neighbouring windows. Multiple-counting of clusters is avoided by requiring the sum of the four central electromagnetic plus the sum of the four central hadronic towers to be a local maximum with respect to its eight nearest overlapping neighbours. In order to avoid problems in comparing digital sums with identical values, four of the eight comparisons are ‘greater than’ while the other four are ‘greater than or equal to’, as shown in figure 8.5. The location of this 2×2 local maximum also defines the coordinates of the electron/photon or τ RoI.

The CPM identifies and counts clusters satisfying sets of threshold and isolation criteria. Eight threshold sets are reserved for electron/photon triggers, while eight further threshold sets can each be used for either electron/photon or τ triggers.

Each CPM receives and deserialises input data on 80 LVDS cables from the pre-processor modules, brought in to the rear of the module through back-plane connectors. The data are then shared between neighbouring modules via the back-plane, and finally fanned out to eight CP FPGA’s, which perform the clustering algorithms. The serialiser FPGA’s also store the input data in pipelines for eventual readout to the data acquisition system upon reception of a L1A signal.

The eight CP FPGA's each service eight overlapping 4×4 windows. Pipelines implemented in each one of them save output data for readout to the data acquisition system, and also save cluster types and coordinates for readout as RoI's to the L2 trigger. Two hit-multiplicity FPGA's collect and then sum the 3-bit cluster multiplicities from the CP FPGA's, for reporting to the crate-level merging of CP results. These multiplicities are transmitted via the back-plane. If more than seven instances of a cluster type are identified (a very rare occurrence, given that the mean occupancy is less than one), the multiplicity is reported as seven. Two additional FPGA's collect input data from the serialiser FPGA's, RoI data from the CP FPGA's, and output data from the hit-multiplicity FPGA's upon reception of a L1A signal, and transmit them to readout driver modules serving the data acquisition system and the L2 trigger on two optical fibres from the front panel of the module.

8.2.1.5 The jet/energy module

The Jet/Energy Module (JEM) works with jet elements which are the sums of 2×2 trigger towers in the electromagnetic calorimeters added to 2×2 trigger towers in the hadronic calorimeters. The jet algorithm identifies E_T sums within overlapping windows consisting of 2×2 , 3×3 , or 4×4 jet elements, corresponding to window sizes of 0.4, 0.6, or 0.8 in η and ϕ , as shown in figure 8.6. These sums are then compared to pre-defined jet energy thresholds. Multiple-counting of jet candidates is avoided by requiring the window to surround 2×2 jet elements whose sum is a local maximum, with the same definition as for electron/photon and τ clusters. The location of this 2×2 local maximum also defines the coordinates of the jet RoI. Eight independent combinations of jet E_T threshold and window size are available for trigger menus.

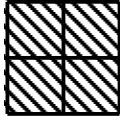
The energy-summation algorithm produces sums of E_T , E_x and E_y , and uses the system-level sums of these to report on four total- E_T and eight E_T^{miss} thresholds to the CTP.

Each JEM receives and deserialises data from 88 LVDS links, corresponding to 44 jet elements for both the electromagnetic and hadronic calorimeters. Four input FPGA's receive the data, sum the electromagnetic and hadronic parts of each jet element to 10-bit values, and send these sums to the main processor FPGA's on the same and neighbouring modules. Pipelines in each input FPGA save input data for readout to the data acquisition system upon reception of a L1A signal.

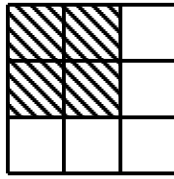
The jet and energy-summation algorithms are implemented in two large main-processor FPGA's per JEM. The main processors are also responsible for reporting results to the crate-level merging, as well as pipelining of data acquisition and RoI information for readout. The jet output of each JEM is a data stream consisting of eight 3-bit jet multiplicities. The energy output is also a data stream containing the values of E_x and E_y , each compressed from 12 bits to an 8-bit (6-bit mantissa plus two multiplier bits) quad-linear scale (a data-compression technique that multiplies the mantissa by 1, 4, 16, or 64).

A single readout-controller FPGA collects input data from the input FPGA's, and output and RoI data from the main processor FPGA's, for readout to readout driver modules serving data acquisition and the L2 trigger on two optical fibres from the front panel of the module.

Window 0.4 x 0.4



Window 0.6 x 0.6



Window 0.8 x 0.8

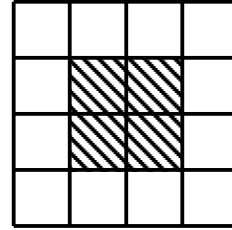


Figure 8.6: Jet trigger algorithms, based on 0.2×0.2 jet elements and showing RoI's (shaded). In the 0.6×0.6 case there are four possible windows containing a given RoI. In the 0.8×0.8 case the RoI is required to be in the centre position, in order to avoid the possibility of two jets per window.

8.2.1.6 The common merger module

Two modules in each CP and JEP crate carry out crate-level merging of results received from the crate's processor modules. In the CP crates, each merger module is responsible for calculating 3-bit cluster multiplicities for eight of the 16 electron/photon and τ cluster definitions. In the JEP crates, one merger module produces 3-bit multiplicities for the eight jet definitions, while the other produces sums of E_T , E_x and E_y . Each Common Merger Module (CMM) receives data from the crate's 14 CPM's or 16 JEM's, over point-to-point links on the crate back-plane.

The CMM carries out all of these merging functions by using different firmware versions. Each CMM receives up to 400 bits of data per bunch-crossing from the crate's CPM's or JEM's. A large FPGA performs crate-level merging. Parallel LVDS cable links between the sub-system crates bring all crate-level results to one CMM of each type, which is designated as the system-merger CMM. A second FPGA on the CMM carries out the system-level merging.

At the system level, the CMM carries out the logic to provide global trigger results. Three-bit overall multiplicities for each of the electron/photon, τ , and jet thresholds are formed and sent to the CTP. The overall sums of E_x and E_y are applied together as the address to a look-up table. In one operation this works out whether the resulting vector sum, i.e. missing E_T , is above or below eight programmable missing- E_T thresholds and codes the result in an eight-bit word. For total scalar E_T , the global sum is compared to four threshold values. Finally, a rough approximation of the total E_T in jets, based on the numbers of jets passing each of the eight jet thresholds, is compared to four threshold values.

All of these calorimeter trigger results are passed to the CTP by cable. As with other processor modules, input and output data on each CMM are stored in FIFO's and read out to the data acquisition system over an optical fibre. RoI data on the missing and total E_T values are sent to L2.

8.2.1.7 The processor back-plane

The CP and JEP use a common, custom processor back-plane. It has 16 CPM/JEM positions flanked by two CMM positions. At the left it has a slot for a commercial VMEbus CPU. At the right is a slot for a timing control module, which interfaces to the TTC (e.g. to distribute clock signals)

and to the detector control system, which uses CANbus to monitor voltages and temperatures on all trigger modules.

The processor back-plane is a monolithic printed-circuit board of 9U height. It is populated almost entirely with 2 mm hard-metric connectors, with 1148 signal and ground pins in each JEM/CPM and CMM position. There are point-to-point links between neighbouring processor modules for input data fan-in/fan-out. Connections are provided from each CPM or JEM to the two CMM's at the right and left of the processor modules. To conserve pins, a non-standard VMEbus with the minimum possible number of pins (43 signals with 16 data bits and 24 address bits) is used.

The LVDS serial-input and merger-interconnect cables are connected to the rear of the processor back-plane and passed through it to the modules in front. This results in a system with fewer cables on the front panels of the modules and, as a consequence, hopefully fewer recabling errors and less cable damage over the lifetime of the experiment.

8.2.1.8 The readout driver

The trigger system has two separate readout systems. Input, output, and some intermediate data from each module are read out to the data acquisition system and at the same time the CP and JEP sub-systems report feature types and coordinates as RoI data to the L2 trigger.

The readout system has been designed to handle one bunch-crossing of RoI data and up to five bunch-crossings of data acquisition data per event at a L1A rate of up to 75 kHz. A common approach has been adopted in all L1Calo sub-systems for data acquisition and RoI readout.

On each module to be read out, readout FIFO's on each processor FPGA or ASIC are read out as serial streams to a readout controller FPGA for timing alignment. This passes the serial streams in parallel to the inputs of a G-link (high-speed serial link) transmitter, which transmits them serially at 800 Mbit/s over optical fibres to a Readout Driver (ROD).

A common ROD module is used by both the data acquisition and RoI readout sub-systems to gather and report data from the pre-processor modules, CPM's, JEM's, and CMM's, using different firmware configurations for different readout tasks and modules. The ROD is a 9U-module residing in a standard VME64x crate. It has 18 G-link receivers, which pass their parallel outputs to the FPGA's for data compression, zero suppression, and some data monitoring. The ROD also contains four S-link transmitters on a rear-transition module for passing compressed event data to the data acquisition and RoI readout buffers. The S-link interface specification defines the signals and protocol for the readout links; it does not define the hardware implementation. Routing of data to the different outputs is carried out by a switch controller FPGA, whose settings depend on the type and source of data being read out. In addition, a further large FPGA provides monitoring capability on a sample of readout data.

8.2.2 Muon trigger

The L1 muon trigger is based on dedicated finely segmented detectors (the RPC's in the barrel and the TGC's in the end-caps, as described in detail in section 6.6) with a sufficient timing accuracy to provide unambiguous identification of the bunch-crossing containing the muon candidate.

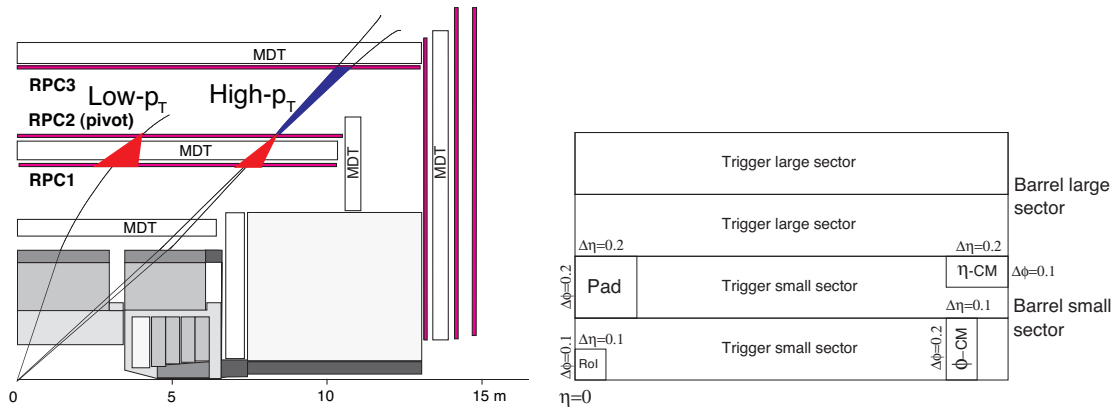


Figure 8.7: Schema (left) and segmentation (right) of the L1 muon barrel trigger. Left: The RPC's are arranged in three stations: RPC1, RPC2, and RPC3. Also shown are the low- p_T and high- p_T roads. See text for details. Right: areas covered by η and ϕ coincidence-matrix (CM) boards, by an RoI, by a Pad logic board, and by sector logic boards.

The trigger in both the barrel and the end-cap regions is based on three trigger stations each. The basic principle of the algorithm is to require a coincidence of hits in the different trigger stations within a road, which tracks the path of a muon from the interaction point through the detector. The width of the road is related to the p_T threshold to be applied. A system of programmable coincidence logic allows concurrent operation with a total of six thresholds, three associated with the low- p_T trigger (threshold range approximately 6–9 GeV) and three associated with the high- p_T trigger (threshold range approximately 9–35 GeV). The trigger signals from the barrel and the muon end-cap trigger are combined into one set of six threshold multiplicities for each bunch-crossing in the muon to CTP interface, before being passed on to the CTP itself.

8.2.2.1 Muon barrel trigger

Trigger signals. The muon trigger for the barrel regions ($|\eta| < 1.05$) makes use of dedicated RPC detectors. The RPC is a gaseous detector providing a typical space-time resolution of $1 \text{ cm} \times 1 \text{ ns}$ and a rate capability of about 1 kHz/cm^2 . As shown on the left side of figure 8.7, the RPC's are arranged in three stations. The two Barrel Middle (BM) stations, RPC1 and RPC2, are arranged on either side of the Monitored Drift Tube (MDT) BM stations at approximately 7.5 m radial distance from the interaction point (see chapter 6). The RPC3 Barrel Outer (BO) station, mounted on the inside (large sectors) or outside (small sectors) of the MDT BO stations, is located at a radial distance of about 10 m. Each station is made of one RPC doublet, i.e. two independent detector layers, each measuring η and ϕ . Both planes are used in the trigger. The η -strips are parallel to the MDT wires and provide the bending view of the trigger detector. The ϕ -strips are orthogonal to the MDT wires and provide the second coordinate measurement. These strips are also needed for the pattern recognition. The RPC's are organised in several modules, and their dimensions have been chosen to match those of the corresponding MDT chambers. In most stations the RPC's are composed of two units along the beam direction. To avoid dead areas between adjacent units, the active zones of neighbouring RPC's are partially overlapped in η .

Trigger algorithm. The trigger algorithm operates in the following way: if a track hit is generated in the second RPC doublet (the pivot plane), a search for a corresponding hit is made in the first RPC doublet, within a road whose centre is defined by the line of conjunction of the hit in the pivot plane with the interaction point. The width of the road is a function of the desired cut on p_T : the smaller the road, the higher the cut on p_T . The system is designed so that three such low- p_T thresholds in each projection can be applied simultaneously. The algorithm is performed in both the η and the ϕ projections to reduce accidental triggers from low-energy particles in the cavern. A 3-out-of-4 coincidence of the four layers of the two doublets is required, which ensures excellent rejection of fake tracks from noise hits and greatly improves the stability of the trigger performance in the presence of small chamber inefficiencies.

The high- p_T algorithm makes use of the low- p_T trigger built from hits in RPC1 and RPC2, and of the information generated in the RPC3 station. The algorithm operates in a similar way to the low- p_T one. The centre of the road is determined in the same way as for the low- p_T trigger, and in addition to the low- p_T trigger pattern result, 1-out-of-2 possible hits of the RPC3 doublet is required. As with the low- p_T trigger, three p_T thresholds operate simultaneously, resulting in a total of six thresholds reported to the central trigger logic for each event. For both low and high- p_T triggers, trigger information in η and ϕ is combined to form RoI's to be sent to the L2 trigger.

System implementation. The trigger scheme for the barrel muon trigger is implemented in custom-built electronics, mounted either directly on the RPC detectors or located outside the main experimental cavern. A schema of the trigger signal and readout chain is shown in figure 8.8. Signals from the RPC detectors are processed in Amplifier-Shaper-Discriminator (ASD) boards (shown as triangles in figure 8.8) attached to the chambers at the end of the RPC strips. In the low- p_T trigger, for each of the η and the ϕ projections the RPC signals of the RPC1 and RPC2 doublets are sent to a coincidence matrix board containing a coincidence matrix chip. This chip performs most of the functions of the trigger algorithm and of the readout. At this stage the signals are aligned, the coincidence operations are performed, and the three p_T thresholds are applied. The coincidence matrix board produces an output pattern containing the low- p_T trigger results for each pair of RPC doublets in the η and ϕ projections. The information of the two adjacent coincidence matrix boards in the η projection, and similarly in the ϕ projection, are combined in the low- p_T Pad Logic board (low- p_T Pad in figure 8.8) board. The four low- p_T coincidence matrix boards and the corresponding Pad board are mounted on top of the RPC2 detector stations. The low- p_T Pad board generates the low- p_T trigger result and the associated RoI information. This information is transmitted to the corresponding high- p_T Pad board, which collects the overall results for low- p_T and high- p_T .

In the high- p_T trigger, for each of the η and ϕ projections the signals from the RPC3 doublet, and the corresponding pattern result of the low- p_T trigger, are sent, via dedicated LVDS links, to a coincidence matrix board very similar to the one used in the low- p_T trigger. This board contains the same coincidence matrix chip as the low- p_T board, programmed for the high- p_T algorithm. The high- p_T board produces an output pattern containing the high- p_T trigger results for a given RPC doublet in the η and ϕ projection. The information of two adjacent coincidence matrix boards in the η projection, and similarly in the ϕ projection, are combined in the high- p_T Pad logic board

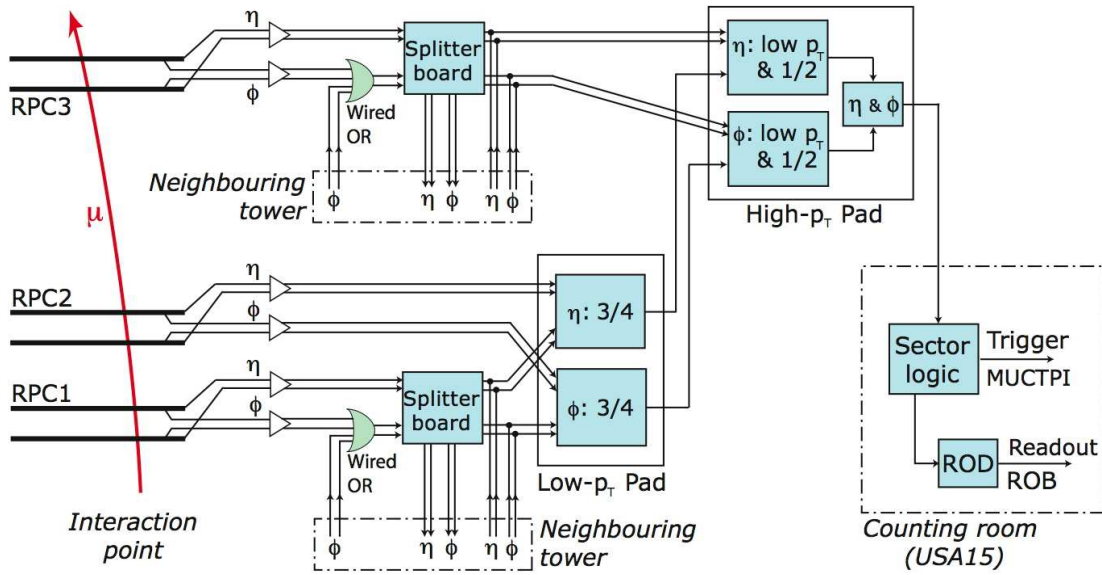


Figure 8.8: Schema of the trigger signal and readout chain of the L1 barrel muon trigger.

(high- p_T Pad in figure 8.8). The four high- p_T coincidence matrix boards and the corresponding Pad board are mounted on top of the RPC3 detector.

The high- p_T Pad board combines the low- p_T and high- p_T trigger results. The combined information for each bunch-crossing is sent via optical links to sector logic boards located in the USA15 counting room. Each sector logic board receives inputs from seven (six) low- p_T (high- p_T) Pad boards, combining and encoding the trigger results of one trigger sector. The sector logic board sends the trigger data for each bunch-crossing to the Muon to Central Trigger Processor Interface (MUCTPI, see section 8.2.2.3), located in the USA15 counting room.

For events which are selected by the L1 trigger, data are read out from both the low- p_T and the high- p_T Pad boards. These data include the RPC strip pattern and some additional information used in the L2 trigger. The readout data for events accepted by the L1 trigger are sent asynchronously to ROD's located in the USA15 counting room and from there to Readout Buffers (ROB's). The data links for the readout data are independent of the ones used to transfer partial trigger results to the sector logic boards.

System segmentation and latency. From the trigger point of view the barrel is divided into two halves, $\eta < 0$ and $\eta > 0$, and within each half-barrel 32 logically identical sectors are defined. The correspondence between these logical sectors and physical chambers is indicated in the diagram on the right of figure 8.7. The barrel large chambers and the barrel small chambers of both middle and outer RPC stations are each logically divided in two in azimuth to produce two large sectors and two small sectors per half-barrel octant. Inside a sector, the trigger is segmented in Pads and RoI's.

A large sector contains seven Pad regions, while a small sector contains six Pad regions. The region covered by a Pad is about 0.2×0.2 in $\Delta\eta \times \Delta\phi$. Inside the Pad the trigger is segmented into RoI's. A RoI is a region given by the overlap of an η coincidence-matrix and a ϕ coincidence-

matrix. The dimensions of the RoI's are about 0.1×0.1 in $\Delta\eta \times \Delta\phi$. The total number of Pads is $7 \times 2 \times 32$ for the large sectors and $6 \times 2 \times 32$ for the small ones, giving 832 Pads altogether. Since one Pad covers four RoI's, the total number of RoI's is 3328.

To avoid losing efficiency due to uncovered regions in the trigger system, different parts of the system overlap. However, this overlap can cause double-counting of muon candidates. In the barrel trigger system, overlap is treated and solved at three different levels. Within a Pad region the Pad logic removes double-counting of tracks between the four RoI's of the region. In addition, if it is found that a trigger was generated in a zone of overlap with another Pad region, this trigger is flagged as 'border' trigger and any overlap will be solved later on. The sector logic then prevents double-counting of triggers within a sector. Triggers generated in zones of overlap between different sectors are flagged by the sector logic and sent to the MUCTPI, which prevents double-counting between sectors.

The latency of the muon barrel trigger is about $2.1 \mu\text{s}$, well within the allowed envelope.

8.2.2.2 Muon end-cap trigger

Trigger signals. The muon trigger for the end-cap regions is based on signals provided by TGC detectors. The time resolution is not as good as for RPC's, but good enough to provide an efficiency greater than 99% for bunch-crossing identification for the 25 ns gate of ATLAS. Crucial for the end-cap region of ATLAS is their larger rate capability of more than 20 kHz/cm². The TGC's are arranged in nine layers of gas volumes grouped into four planes in z (see figure 8.9 left, and also section 6.8). The TGC inner station (I) at $|z| \sim 7$ m consists of one plane of doublet units. At $|z| \sim 14$ m seven layers are arranged in one plane of triplet chambers (M1, closest to the interaction point) and two planes of doublet chambers (M2, M3). The doublet forming the plane farthest from the interaction point in each end-cap (M3) is referred to as the pivot plane, and its chamber layout and electronics are arranged such that, to a good approximation, there are no overlaps or holes in this plane. For triggering, the TGC's cover a pseudorapidity range $1.05 < |\eta| < 2.4$, except for the innermost plane which covers a range $1.05 < |\eta| < 1.92$. Each trigger plane consists of a wheel of eight octants of chambers symmetric in ϕ . Each octant is divided radially into the 'forward region' and the 'end-cap region'. Anode wires of TGC's are arranged in the azimuthal direction and provide signals for R information, while readout strips orthogonal to these wires provide signals for ϕ information. Both wire and strip signals are used for the muon trigger. Signals from two wire-planes and two strip-planes are read out from the doublet chambers, and signals of three wire-planes but only two strip-planes are read out from the triplet chambers. Anode wires are grouped and fed to a common readout channel for input to the trigger electronics, resulting in wire-group widths in the range between 10.8 mm and 55.8 mm. Wire groups are staggered by half a wire group between the two planes of a doublet station, and by one third of a wire group between each of the planes of a triplet station. Each chamber has 32 radial strips, and thus the width of a strip is 4 mrad (8 mrad for the forward region). Strips are also staggered by half a strip-width between the two strip-planes in a triplet or a doublet chamber.

Trigger algorithm. The scheme of the L1 muon end-cap trigger is shown on the left hand side of figure 8.9. The trigger algorithm extrapolates pivot-plane hits to the interaction point, to construct

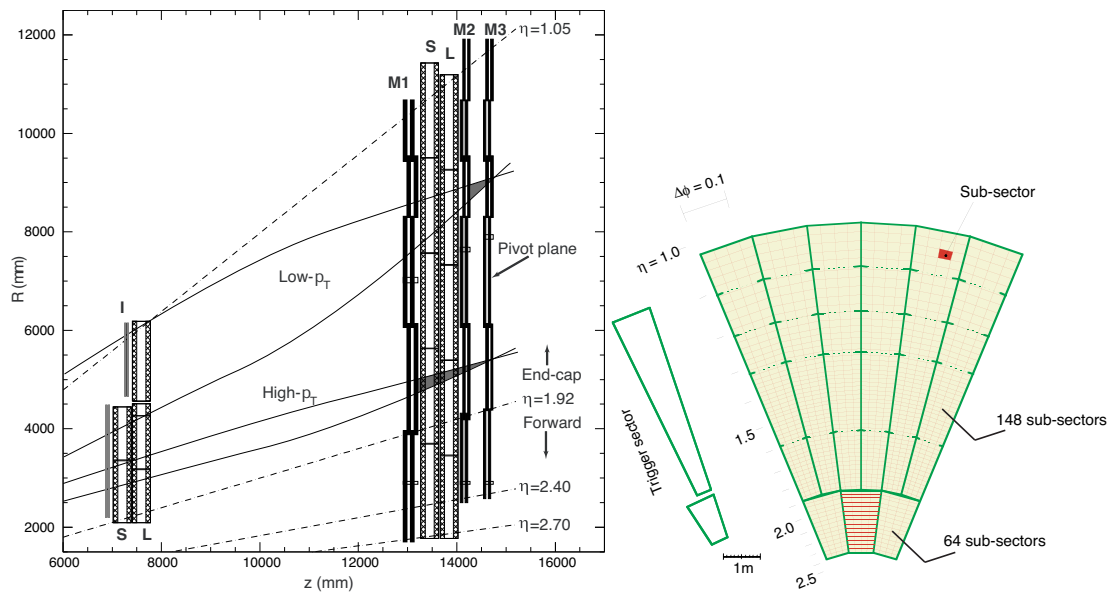


Figure 8.9: Schema (left) and segmentation (right) of the L1 muon end-cap trigger. See text for details.

roads following the apparent infinite-momentum path of the track. Deviations from this path of hits in the trigger planes closer to the interaction point are related to the momentum of the track. Coincidence signals are generated independently for R and ϕ . A 3-out-of-4 coincidence is required for the doublet pair planes of M2 and M3, for both wires and strips, a 2-out-of-3 coincidence for the triplet wire planes, and 1-out-of-2 possible hits for the triplet strip planes. The final trigger decision in the muon end-cap system is done by merging the results of the $R - \phi$ coincidence and the information from the EI/FI chambers in the inner station (see section 6.8.1). As the $\eta - \phi$ coverage of the EI/FI chambers is limited, the coincidence requirements depend on the trigger region, in order to keep a uniform efficiency in the end-cap region. Six sets of windows are constructed around the infinite-momentum path, corresponding to three different high- p_T and three different low- p_T thresholds. Trigger signals from both doublets and the triplet are involved in identifying the high- p_T candidates, while in case of the low- p_T candidates the triplet station may be omitted to retain high efficiency, given the geometry and magnetic field configuration of a specific region.

System implementation. The trigger scheme outlined above is implemented in purpose-built electronics, partly mounted on and near the TGC chambers, and partly located in the USA15 counting room. A schema of the trigger signal and readout chain is shown in figure 8.10. The wire and strip signals emerging from the TGC's are fed into ASD boards physically attached to the edge of a TGC and enclosed inside the TGC electrical shielding. Each ASD board handles 16 channels. From the ASD boards signals are routed to the so-called PS-boards (patch panel and slave), which integrate several functions in one unit. Each PS-board receives signals from up to 20 ASD's. First the signals are routed to a patch-panel section, which also receives timing signals from the TTC system. Signal alignment and bunch-crossing identification (BCID) is performed

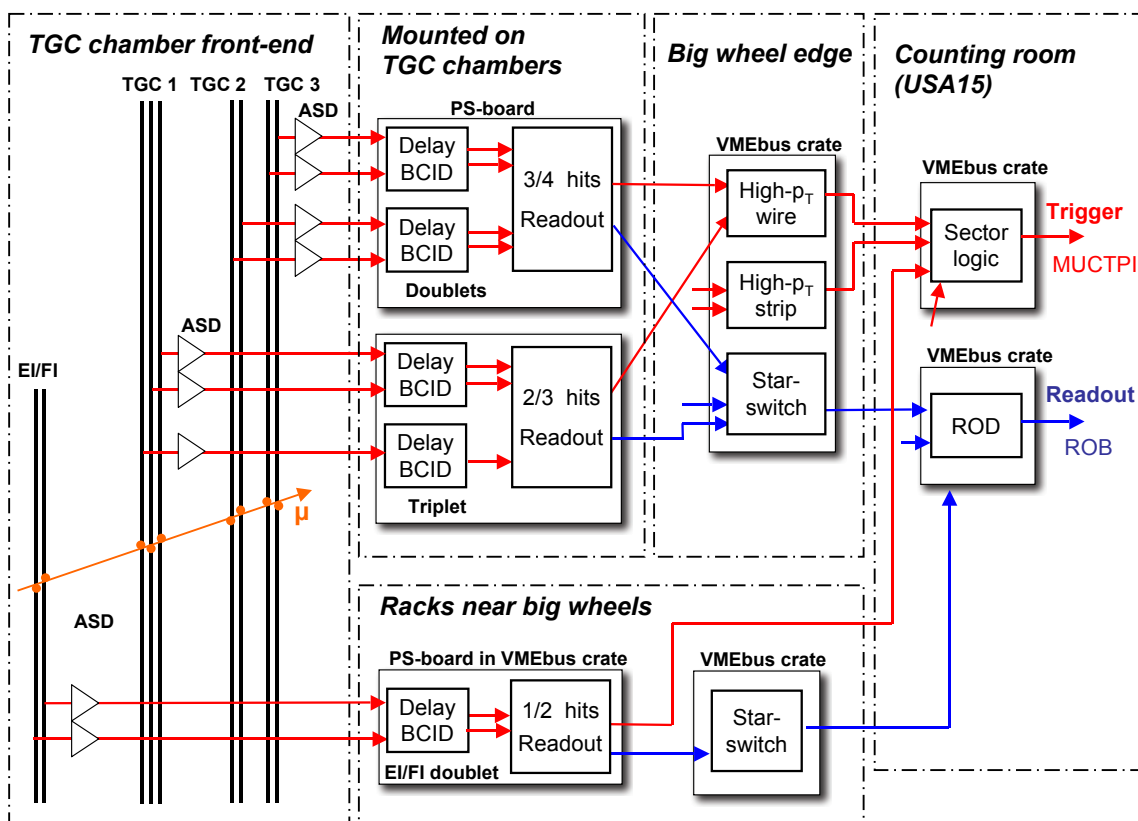


Figure 8.10: Schema of the trigger signal and readout chain of the L1 muon end-cap trigger. See text for details.

at this stage, and physical overlaps of TGC chambers are handled. In addition, detector control system and other control and monitoring signals are routed to the other parts of the electronics mounted on the chambers. The aligned signals are passed to the so-called slave section, where the coincidence conditions are applied and readout functions are performed. The PS-boards are placed on the accessible outer surfaces of the TGC wheels: the electronics for the two doublets are mounted on the outside of the outer doublet wheel M3 and those for the triplets on the inner surface of the triplet wheel M1. The EI/FI PS-boards are installed in racks located near the EI/FI chambers. Signals from the doublet and triplet slave boards are combined to identify high- p_T track candidates in coincidence boards combining all three trigger planes (M1, M2, M3), so-called high- p_T boards, located in dedicated mini-racks around the outer rim of the triplet wheel. Wire (R -coordinate) and strip (ϕ -coordinate) information is still treated separately at this point. Signals from high- p_T boards are sent to sector logic boards containing an $R - \phi$ coincidence unit and a track selector to select the highest- p_T coincidences. The sector logic also receives directly the signals from the EI/FI slave boards and can incorporate them into the trigger logic. The sector logic boards are located in the USA15 counting room. The resulting trigger information for 72 separate trigger sectors per side is sent to the MUCTPI.

Full-information data sets are read out through the data acquisition system in parallel with the primary trigger logic. For readout purposes the slave boards of one or more trigger sectors are grouped into local data acquisition blocks. Each slave board is connected to a so-called star switch, which manages the data collection for a local data acquisition block. From the star switch, the data are passed on to the ROD's located in the USA15 counting room, and from there to ROB's.

System segmentation and latency. The trigger-sector segmentation of one pivot-plane octant is shown in figure 8.9 (right). The pivot plane is divided into two regions, end-cap ($|\eta| < 1.92$) and forward ($|\eta| > 1.92$). Each octant of the end-cap region is divided into six trigger sectors in ϕ , where a trigger sector is a logical unit which is treated independently in the trigger. Trigger sectors are constructed to be projective with respect to the interaction point, and therefore may cross chamber boundaries (see figure 8.9, left). Each octant of the forward region is divided into three trigger sectors. There are hence 48 end-cap trigger sectors and 24 forward trigger sectors per end-cap of TGC detectors. Each trigger sector consists of independent sub-sectors corresponding to eight channels of wire groups and eight channels of readout strips, 148 for each end-cap trigger sector and 64 for each forward trigger sector. The trigger sub-sectors correspond to the RoI's sent to the L2 trigger for events accepted by the L1 trigger.

The latency of the muon end-cap trigger is about $2.1 \mu\text{s}$, well within the allowed envelope.

8.2.2.3 Muon to central trigger processor interface

Functional overview. The results from the muon barrel and end-cap trigger processors which form the input to the Muon to Central Trigger Processor Interface (MUCTPI) provide information on up to two muon-track candidates per muon trigger sector. The information includes the position and p_T threshold passed by the track candidates. The MUCTPI combines the information from all the sectors and calculates total multiplicity values for each of the six p_T thresholds. These multiplicity values are sent to the CTP for each bunch-crossing. For each sector either all muon candidates may be taken into account, or only the candidate with the highest p_T per sector. In forming the multiplicity sums, care has to be taken to avoid double-counting of muon candidates in regions where trigger chambers overlap. As described above, many cases of overlaps are resolved within the barrel and end-cap muon trigger processors. The remaining overlaps to be treated by the MUCTPI are those in ϕ direction between neighbouring barrel trigger sectors, and between barrel and end-cap trigger sectors. The maximum overall multiplicity is seven candidates. Larger multiplicities will appear as a multiplicity of seven.

Additional functions of the MUCTPI are to provide data to the L2 trigger and to the data acquisition system for events selected at L1. The L2 trigger is sent a subset of all muon candidate information which form the RoI's for the L2 processing. The muon RoI's sent to L2 are ordered according to decreasing p_T . The data acquisition receives a more complete set of information, including in addition the computed multiplicity values.

System implementation and latency. The MUCTPI is divided into a number of building blocks which are housed in one 9U VMEbus crate. The different functionalities of the MUCTPI are

implemented in three types of VMEbus modules which are connected to each other via an active back-plane, and controlled by a commercial CPU unit acting as VMEbus master.

A total of 16 octant input boards each receive data corresponding to an octant in the azimuthal direction and half the detector in the η direction. They form muon-candidate multiplicities for this region, correctly taking into account the overlap zones between barrel sectors, and between barrel and end-cap sectors. There is no overlap between muon trigger sectors associated with different octant boards. The interface board to the CTP collects the multiplicity sums for the six p_T thresholds over the custom back-plane described below. The sums are transmitted to the CTP for each bunch-crossing. The interface board is also responsible for distributing time-critical control signals to the rest of the MUCTPI system. The readout driver of the system sends candidate information to the data acquisition and the L2 trigger for each accepted event. All modules are connected via a custom-built back-plane. It contains two components: an active part forms the total candidate multiplicities by adding the multiplicities of the input boards and a passive part contains a bus system to transfer data to the readout driver of the system on receipt of a L1A.

The latency of MUCTPI is included in the latency numbers for the barrel and end-cap muon trigger systems quoted above, to which it contributes $0.2 \mu\text{s}$.

8.2.3 Central trigger processor

8.2.3.1 Functional overview

The Central Trigger Processor (CTP) [229] receives trigger information from the calorimeter and muon trigger processors, which consists of multiplicities for electrons/photons, τ -leptons, jets, and muons, and of flags indicating which thresholds were passed for total and missing transverse energy, and for total jet transverse energy. Additional inputs are provided for special triggers such as a filled-bunch trigger based on beam-pickup monitors, and a minimum-bias trigger based on scintillation counters. Up to 372 signals can be connected to the input boards of the CTP; however, only up to 160 can be transmitted internally. The selection of the signals used from all signals available at the input boards is programmable. The currently foreseen input signals listed in table 8.1 sum up to 150 bits and will therefore all be available in parallel.

In the next step the CTP uses look-up tables to form trigger conditions from the input signals. Such a condition could be, for example, that the multiplicity of a particular muon threshold has exceeded one, i.e. at least two muons in this event have passed this threshold. For such an event, this trigger condition would be set to *true*. Further trigger conditions are derived from internally generated trigger signals: two random triggers, two pre-scaled clocks, and eight triggers for programmable groups of bunch-crossings. The maximum number of trigger conditions at any one time is 256.

The trigger conditions are combined to form up to 256 trigger items, where every trigger condition may contribute to every trigger item. An example for a trigger item would be that the following conditions have been fulfilled: at least two muons have passed a particular threshold, and at least one jet has passed a particular threshold. Furthermore each trigger item has a mask, a priority (for the dead-time generated by the CTP), and a pre-scaling factor (between 1 and 2^{24}). The L1A signal generated by the CTP is the logical OR of all trigger items.

Table 8.1: Trigger inputs to the CTP of the L1 trigger. The number of bits implies the maximum multiplicity which can be encoded, i.e. up to seven for three bits. Multiplicities larger than this value will be set to the possible maximum, in this case seven.

Cable origin	Number of bits	Trigger information
Muon processor	6 thresholds \times 3 bits	muon multiplicities
Cluster processor 1	8 thresholds \times 3 bits	electron/photon multiplicities
Cluster processor 2	8 thresholds \times 3 bits	electron/photon or τ multiplicities
Jet/energy processor 1	8 thresholds \times 3 bits	jet multiplicities
	4 bits	total jet transverse energy
Jet/energy processor 2	2 \times 4 thresholds \times 2 bits	forward-jet multiplicities for each side,
Jet/energy processor 3	4 \times 1 bit	total transverse-energy sum,
	8 \times 1 bit	missing transverse-energy sum
CTP calibration	28 bits	up to 28 input bits for additional trigger inputs from beam pick-ups (see section 9.10), beam condition monitors (see section 3.4.1), luminosity detectors (see sections 7.1 and 7.2), zero-degree calorimeters (see section 7.3), and others.

The CTP provides an eight-bit trigger-type word with each L1A signal. This indicates the type of trigger, and can be used to select options in the event data processing in the front-end electronics and readout chain. The CTP sends, upon reception of each L1A signal, information about the trigger decision for all trigger items to the L2 trigger (RoI builder) and the data acquisition (ROS). Part of the readout data of the CTP is the number of the current luminosity block. A luminosity block is the shortest time interval for which the integrated luminosity, corrected for dead-time and pre-scale effects, can be determined. In case of detector failures, data can be rejected from the boundary of the last luminosity block known to be unaffected, and the interval should therefore be as small as possible to avoid unnecessary data loss. On the other hand, each luminosity block should contain enough data such that the uncertainty of the luminosity determination is limited by systematic effects, not by the available statistics in the interval. For ATLAS this interval will be on the order of minutes. A luminosity block transition is initiated by the CTP, which will momentarily pause the generation of triggers, increment the luminosity block number in a register located on the CTP decision module, and release the trigger again. From this location the number is included in the readout data for each event. At each transition a set of scalers is read out from the CTP and stored, marked with the luminosity block number, in a database. These scalers keep track of the number of triggers generated by the trigger logic, the number of triggers surviving the pre-scale veto, and the number of triggers surviving the dead-time veto. The values of these counters are needed to later derive the corresponding corrections of the luminosity value associated with each luminosity block. For monitoring purposes the CTP provides bunch-by-bunch scalers of inputs and, integrated over all bunches, scalers of trigger inputs and trigger items before and after pre-scaling.

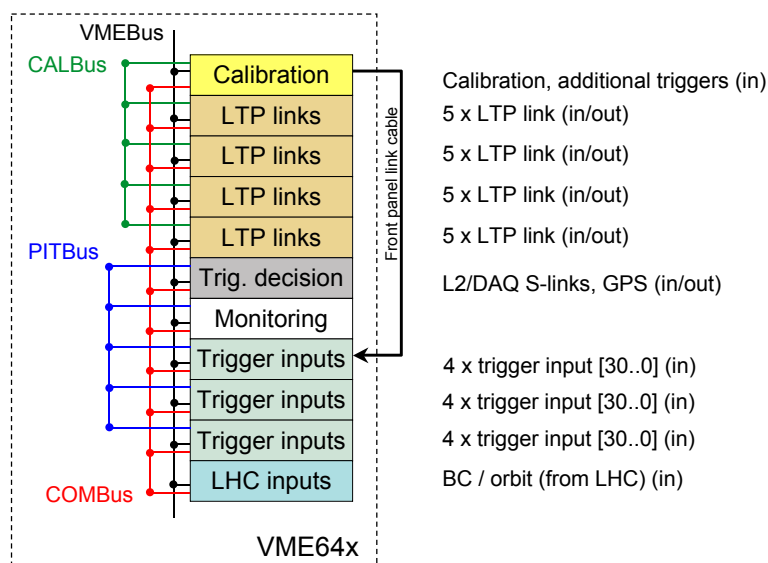


Figure 8.11: Layout of the VMEbus crate for the central trigger processor of the L1 trigger. The calibration module has the further function of receiving additional trigger signals, which are transmitted to one of the input module connectors via a front panel cable. The Local Trigger Processor (LTP) links are the connection to the individual sub-detector systems.

In addition to its function in the selection chain, the CTP is also the timing master of the detector. The clock signal synchronised to the LHC beams arrives at the CTP, and is distributed from here together with the L1A and other timing signals to all other sub-systems.

8.2.3.2 System implementation and latency

The CTP consists of six types of modules which are housed in a single 9U VMEbus crate, as shown in figure 8.11. Internal communication between the controller CPU and the modules, and between the modules proceeds by bus systems implemented on the back-planes of the crate. In addition to VMEbus, the CTP modules use custom busses for the synchronised and aligned trigger inputs (PITbus, where PIT = pattern in time), for the common timing and trigger signals (COMbus), and for the sub-detector calibration requests (CALbus). These extra busses are implemented on a custom-built backplane installed in the CTP crate.

Six different module types are employed in the CTP system. The timing signals from the LHC are received by the machine interface module (designated LHC inputs in figure 8.11), which can also generate these signals internally for stand-alone running. This board also controls and monitors the internal and external busy signals, as for example the busy signal transmitted from a sub-detector in case of overload on its data acquisition system. The module sends the timing signals to the COMbus, thereby making them available to all of the other modules in the CTP.

The trigger input modules receive trigger inputs from the muon and calorimeter trigger processors and other sources. The input boards select and route the trigger inputs to the PITbus, after

synchronising them to the clock signal and aligning them with respect to the bunch-crossing. Three boards with four connectors of 31 trigger input signals each allow for a total of 372 input signals to be connected, of which up to 160 can be made available on the PITbus at any given time.

The trigger decision module receives the trigger inputs from the PITbus. It combines them and additional internal triggers using several look-up tables to form up to 256 trigger conditions. A typical example is a 3-to-4 look-up table, with the three input bits encoding a threshold multiplicity between 0 and 7, and the four output bits enabled if the multiplicity exceeds 0, 1, 4, 6, respectively. The first bit in this list may then be used as the trigger condition that one or more objects fulfilled the corresponding trigger threshold. In a further step the trigger conditions are combined using content-addressable memories to form up to 256 trigger items. Any of the up to 256 trigger conditions may participate in any of the up to 256 trigger items. The trigger masks, pre-scales, and dead-time generation following the forming of the trigger items are implemented in this module. The trigger results are transmitted to the COMbus. The trigger decision module also acts as the readout driver of the system, sending information to the L2 trigger and the data acquisition for each accepted event.

The monitoring module receives the 160 trigger inputs from the PITbus and monitors their behaviour on a bunch-by-bunch basis. The frequency of signals on each input line can be monitored and histogrammed, and can be retrieved via VMEbus. Trigger signals encoding multiplicities are decoded before they are monitored.

The output module (labelled LTP links in figure 8.11) receives the timing and trigger signals from the COMbus and fans them out to the sub-detectors. The module receives back from the sub-systems the busy signals, which are sent to the COMbus, and 3-bit calibration trigger requests, which are routed to the CALbus.

The calibration module time-multiplexes the calibration requests on the CALbus and sends them via a front-panel cable to one of the input modules. The calibration module also has front-panel inputs for beam pick-up monitors, minimum-bias scintillators, and test triggers.

The latency of the CTP is contained in the latency numbers for the barrel and end-cap muon trigger systems and the calorimeter trigger system quoted above, to which it contributes 100 ns.

On the sub-detector side, the timing signals are received by the Local Trigger Processor LTP [230], which acts as an interface between the CTP and the timing distribution system of each sub-detector. During stand-alone data taking of a sub-detector the LTP can generate all timing signals locally and also provides inputs for locally generated triggers. The LTP is fully programmable and can therefore act as a switch between the global and locally generated signals without the need for re-cabling. The timing distribution system of a sub-detector may be partitioned into several parts, each with its own LTP. In this case LTP's can be daisy-chained in order to save output ports on the CTP and the associated cabling. The LTP is complemented by an interface module which provides an additional input and output port, such that interconnections of sub-detectors are possible without removing the link to the CTP. Both the LTP and the interface module are implemented as 6U VMEbus boards.

From the LTP the timing signals are distributed to the detector front-end electronics using the Timing, Trigger and Control system (TTC). The ATLAS TTC system is based on the optical fan-out system developed within the framework of RD12 [231]. Clock and orbit signals synchronous to the LHC beams arrive at the machine interface module of the CTP after passing through the

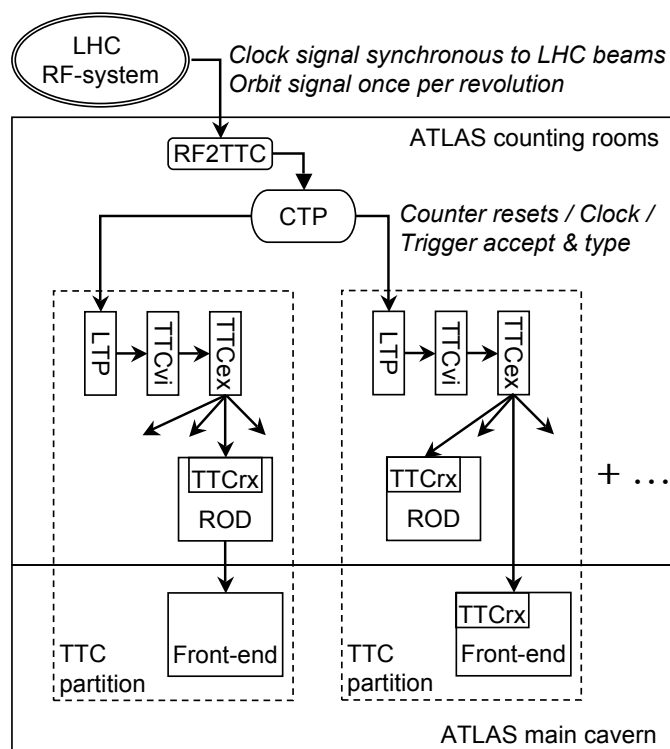


Figure 8.12: Schema of the distribution of timing signals from the LHC radio-frequency system to ATLAS and within the experiment. Here ROD (Readout Driver) more generally denotes the readout electronics in the counting rooms which receive the timing signals, while front-end denotes electronics mounted on the detector components in the main cavern.

RF2TTC (Radio-Frequency to TTC) interface module shown in figure 8.12. In the RF2TTC, the signals are cleaned and delays may be applied to account for any drift in the signal phase. From the CTP the signals are transmitted to the LTP's together with detector-specific timing and control signals like the L1A or the event counter reset signal. From the LTP onwards TTC components are available again to serialise the signals (TTCvi) and transmit them (TTCex) via optical fibres to the detector front-end electronics, where TTC receiver chips (TTCrx) decode the transmitted information and make it available as electrical signals for further use. The implementation and use of the TTC system is sub-system specific. As an example the muon trigger systems use TTC standard components to transmit the timing signals all the way to the electronics mounted on the chambers, while in case of the inner tracking detector a custom-built distribution system is used to transmit the signals from the counting rooms to the cavern.

8.3 Data acquisition system and high-level trigger

8.3.1 Overview

As explained in section 8.1, the main components of the data acquisition system/High-Level Trigger (DAQ/HLT) are: readout, L2 trigger, event-building, event filter, configuration, control and monitoring. An overview of the event selection performed by the HLT is given in section 10.9. Here the movement of data from the detectors to the HLT and subsequently to mass storage is described. The main features of each component are described below.

A block diagram of the DAQ/HLT is shown in figure 8.1. The movement of events from the detector to mass storage commences with the selection of events by the L1 trigger. During the latency of the L1 trigger selection, up to $2.5 \mu\text{s}$, the event data are buffered in memories located within the detector-specific front-end electronics. On selection by the L1 trigger the event data is transferred to the DAQ/HLT system over 1574 Readout Links (ROL's), having first transited through the detector-specific ROD's. The 1574 event fragments are received into the 1574 Readout Buffers (ROB's) contained in the Readout System (ROS) units where they are temporarily stored and provided, on request, to the subsequent stages of the DAQ/HLT system.

For every selected event, the L1 trigger sub-systems (calorimeter, muon, and CTP) also provide the RoI information on eight ROL's, a dedicated data path, to the RoI builder where it is assembled into a single data structure and forwarded to one of the L2 supervisor (L2SV). As its name suggests, the L2SV marshals the events within the L2 trigger. It receives the RoI's, assigns each event to one of the L2 trigger's processing units (L2PU's) for analysis, and receives the result of the L2PU's analysis.

Using the RoI information, requests for event data are made to the appropriate ROS's. The sequence of data requests is determined by the type of RoI identified by the L1 trigger and the configuration of the L2 trigger processing, i.e. the order of items in the trigger menu and the order of the algorithms per trigger item. The result, accept or reject, of the analysis is returned to the L2SV which subsequently forwards it to the DataFlow Manager (DFM). In addition to sending the result of its analysis to the L2SV, an L2PU also sends a summary of the analysis which it has performed to a L2 trigger-specific ROS.

The DFM marshals the events during the event-building. For those events which were found not to fulfil any of the L2 selection criteria, the DFM informs all the ROS's to expunge the associated event data from their respective ROB's. Each event which has been selected by the L2 trigger is assigned by the DFM to an event-building node (called SFI). The SFI collects the event data from the ROS's and builds a single event-data structure, the event. An SFI can build more than one event at a time and the requests to the ROS's for their data are dispatched according to an algorithm which ensures the quantity of data being received by the SFI does not exceed its available input bandwidth. The full event structure is sent to the event filter for further analysis. On completing the building of an event an SFI notifies the DFM, which subsequently informs all the ROS's to expunge the associated event data from their respective ROB's.

The event filter, in addition to the selection, classifies the selected events according to a pre-determined set of event streams and the result of this classification is added to the event structure. Selected events are subsequently sent to the output nodes (SFO's) of the DAQ/HLT system. Con-

versely, those events not fulfilling any of the event filter selection criteria are expunged from the system. The events received by an SFO are stored in its local file system according to the classification performed by the event filter. The event files are subsequently transferred to CERN's central data-recording facility.

8.3.2 Control

The overall control of the experiment covers the control and monitoring of the operational parameters of the detectors and experiment infrastructure, as well as the coordination of all detector, trigger and data acquisition software and hardware associated with data-taking. This functionality is provided by two independent, complementary and interacting systems: the data acquisition control system, and the Detector Control System (DCS). The former is charged with controlling the hardware and software elements of the detectors and the DAQ/HLT needed for data-taking, while the DCS handles the control of the detector equipment and related infrastructure. The DCS is described in section 8.5.

The DAQ/HLT system and detector systems are composed of a large number of distributed hardware and software components which in a coordinated manner provide for the data-taking functionality of the overall system. Likewise, their control and configuration is based on a distributed control system. The control system has two basic components: the process manager and the run control.

On each computer a process management daemon waits for commands to launch or interrupt processes. On the reception of such commands it interrogates the access manager and the resource manager to ascertain whether the requested operation is permitted. It is a task of the access manager to indicate whether the requester is authorised to perform the operation, while it is a task of the resource manager to check that the resources are available to perform the operation.

A hierarchical tree of run controllers, which follows the functional de-composition into systems and sub-systems of the detector, steers the data acquisition by starting and stopping processes and by carrying all data-taking elements through a finite state machine, which ensures that all parts of ATLAS are in a coherent state. As with the handling of commands to the process manager, run control commands also have to be authorised by the access manager. In addition to implementing a global finite state machine and managing the lifetime of processes, the run controllers are further customised according to the sub-system for which they are in charge. One example of a customised controller is the root controller, the starting point of the run control tree, which retrieves the run number from the run number service before starting any new run and drives luminosity block changes during the data-taking.

Another fundamental aspect of the control is the diagnostic and error recovery system. Several aspects of it are integrated into the run control processes. Errors raised by any data-taking node enter the error reporting system and can elicit an appropriate reaction. The diagnostic system can launch a set of tests to understand the origin of the reported problem and the recovery system can then take corrective actions. These aspects of the control have been implemented using an expert system. In order to allow for analysis of errors a posteriori, all error and information messages are archived in a database via the log service. A user interface is provided for efficient searching of messages.

Computer management and monitoring. Access to the experiment's local area network, hence all computers located at the experimental site, is gained via an application gateway. User accounts and passwords are stored in a central directory, which is used to authenticate all the users for logging into the computers associated with the experiment. In addition, the same directory holds the configuration of various system services, such as the servers of the auto-mounted directories, and the user roles and policies required by the role-based access control scheme adopted.

All PC's and single board computers boot over the network using the pre-boot execution environment. The kernel and boot image files for the nodes are provided by a system of Local File Servers (LFS's) each of which serve approximately thirty clients. The initial boot image is a reduced version of Scientific Linux CERN (SLC), which provides the minimum set of binaries and libraries to operate a node. The remaining non-essential parts of the operating system, e.g. the X-window environment, are then loaded via the networked file system from the LFS's. The LFS's also provide, again via the networked file system, the ATLAS software and disk space to the nodes they serve.

A Central File Server (CFS) holds the master copy of the ATLAS software, which is distributed to other CFS's and to the LFS on a daily basis or on request. The unique installation of the operating system and the ATLAS software in conjunction with booting over the network, ensures the uniformity of the software throughout the computer cluster.

The disks served by the LFS are primarily used by the disk-less nodes as scratch space and for storage of detector specific software. Another use of the LFS is for running various central services related to the configuration and control of the trigger and data acquisition systems, thus providing a hierarchical structure for these services to provide the required scaling. Examples are the information server and remote database server (see section 8.3.3).

All nodes are monitored by a customised version of Nagios, an application which monitors the health of the cluster by performing checks of various node services, e.g. disk utilisation, at regular intervals. The results of these checks are displayed by Nagios on a web page and recorded in a database for subsequent retrieval and analysis. Based on the collected data, Nagios allows the graphical tracking and analysis of the health of the cluster or an individual node. It is also configured to set alarms, send notifications via emails and SMS (Short Message Service) messages and, for some services, perform recovery operations, for example the restarting of a network interface.

8.3.3 Configuration

The description of the hardware and software required for data-taking are maintained in configuration databases. A configuration is organised as a tree of linked segments according to the hierarchy describing the DAQ/HLT and detector systems. A segment defines a well defined sub-set of the hardware, software, and their associated parameters. The organisation of the data is described by common object-oriented database schemas which may be extended to describe the properties of specific hardware and software.

To support concurrent access to the configuration data by thousands of applications, and to notify control applications of changes to the configuration data during run time, remote database servers are used to ensure that access times to the configuration data do not scale with the number

of deployed applications. Additional servers are also deployed to cache the results of queries to the relational databases, e.g. the conditions database.

Trigger configuration. At any point in time the complete trigger chain needs to be consistently configured. For L1, i.e. the CTP, a trigger menu comprising up to 256 trigger items which should cause an event to be selected, see section 8.2.3, is defined. Moreover the calorimeter and muon trigger systems have to be configured such that they deliver the information required by the trigger menu (multiplicities for trigger thresholds).

To ensure a coherent and consistent configuration of the L1, L2, and event filter, all components of the trigger are configured using an integrated system. It makes the configuration parameters available to all systems participating in the L1 trigger decision, and to all nodes forming the HLT farms.

The trigger configuration system itself contains a central relational database which stores the configuration data, tools to populate the database and ensure its consistency, the functionality to archive the configuration data and interfaces to extract the data in preparation for data taking or other purposes, e.g. simulation and/or data analysis. A detailed description of the system is given in [232].

Partitioning. Partitioning refers to the ability to operate subsets of the ATLAS detector in parallel and disjointly, thus facilitating the concurrent commissioning and operation of subsets of the detector. Once two or more partitions have been commissioned they may then be operated together as a single partition. Partitions are in this way combined into a fully integrated and operational detector.

A partition maps to a TTC partition, therefore defining the subset of detector components within a partition (see section 8.2.3.2 and also table 8.4). In addition, the static point-to-point connections between the detector ROD's and the ROS's uniquely associates a set of ROS's to a partition. Other components of the DAQ/HLT (i.e. event-building nodes, event filter nodes and SFO's) are connected by multi-layered networks and can therefore be assigned to a partition as required by the operations to be performed. The management of resources, e.g. event-building nodes, between partitions is achieved by the resource manager.

The RoI builder drives the input to the L2 trigger and, from an operational perspective, can only be operated as a single unit. Thus the L2 trigger cannot be partitioned. Analogously, the CTP can only be operated as a single unit, therefore the complete L1 trigger may not be operated in more than a single partition. However, to facilitate calibration and checking of L1Calo input signals, it is possible to operate a partition which consists of the L1Calo and the LAr and/or tile calorimeters but independent of the CTP. Similarly, the L1 muon trigger can operate, for example the RPC's with the MDT's, as a separate partition without the CTP.

8.3.4 Monitoring and information distribution

The monitoring component provides the framework for the routing of operational data and their analysis. Operational data ranges from physics event data, to histograms and the values of parameters. The routing of operational data is performed by the information, on-line histogramming

and event monitoring services. The information service provides the distribution of the values of simple variables. Consumers of the information are able to subscribe to notifications of changes to one or more information items. It also provides a means for any application to send commands to any of the information providers, specifically for the control of information flow, e.g. an application may ask a particular provider of information to increase the frequency at which it publishes a particular piece of information. Complementing the exchange of the values of simple variables, the message reporting system transports messages among trigger and data acquisition applications. Messages may be used to report debug information, warnings or error conditions. The message reporting system allows association of qualifiers and parameters to a message. Moreover, receivers of messages are able to subscribe to the service to be notified about incoming messages and apply filtering criteria.

The On-line Histogramming Service (OHS) extends the functionality of the information service to histograms, in particular raw and ROOT histograms. Within the DAQ/HLT there are many instances of the same application, e.g. L2PU's, active at any one time producing histograms. Via the OHS, a gatherer application sums histograms of the same type and in turn publishes, via the OHS, the resulting histograms. The visual presentation of histograms is based on ROOT and Qt, and allows for the presentation of reference histograms, fitting, zooming and the sending of commands to histogram providers.

The event filter processing application is based on the off-line computing framework. The substitution of the selection algorithms with a monitoring or calibration algorithm allows for monitoring and/or calibration tasks based on the offline computing framework to operate on-line, receiving events from the SFI's. It is also possible to configure these applications to receive events from the event monitoring service. The latter provides a framework to enable the sampling and distribution of event data as they flow through the DAQ/HLT system. Monitoring applications are able to request event fragments according to the values of elements in the event fragment, e.g. trigger and/or sub-detector types, from a specific sampling point, e.g. a particular ROS (part of an event) or SFI (a complete event). Examples of monitoring applications using this service are the event dump and event display.

To complement the viewing and analysis of histograms by an operator, a data quality monitoring framework provides the automatic comparison of recently acquired data to reference data (e.g. reference histograms), statistical checks and alarm generation. More specifically, user-supplied algorithms and/or reference data are used to automatically analyse the large quantities of monitoring data, and generate alarms when deviations from the specified criteria occur.

8.3.5 Readout system

As described in section 8.3.1, the Readout System (ROS) receives event data from the detector ROD's via 1574 ROL's. All of the ROL's have the same design and implementation, based on the S-link interface. It allows for the transmission of 32-bit data at 40.08 MHz, i.e. up to 160 Mbyte/s, and implements flow control and error detection [233]. ROB's are the buffers located at the receiving end of the ROL's, there being one ROL associated to one ROB. Three ROB's are physically implemented on a module called a ROBIN, and up to six ROBIN's can be located in a ROS, which is implemented on a server-class PC. The ROS provides the multiplexing of up to 18 ROL's to the

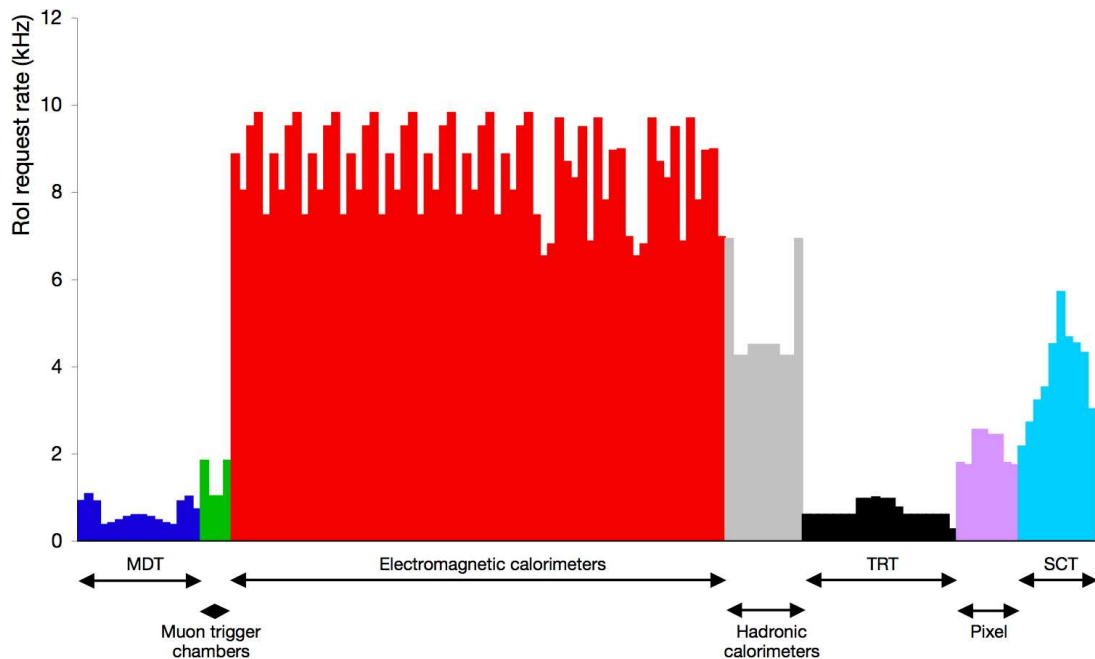


Figure 8.13: Expected average RoI request rate per ROS for a luminosity of $10^{33} \text{ cm}^{-2} \text{ s}^{-1}$.

subsequent components of the DAQ/HLT, i.e. L2 trigger and event-building, reducing the number of connections by approximately an order of magnitude.

A request by an L2PU for data involves, on average, one or two ROB's per ROS, whereas the requests for data from the event-building nodes concern the event data from all the ROB's of a ROS. In either case, the ROS replies to the requester with a single data structure. At the L1 trigger rate of 75 kHz, and an average of 1 kbyte received per RoI, the ROS is able to concurrently service up to approximately 20 kHz of data requests from the L2 trigger, up to 3.5 kHz of requests from event-building nodes, and expunge events on request from the DFM. The rate of data requests received by a specific ROS depends on the $\eta - \phi$ region of the data it receives over the RoI's and from which detector it receives data. For

example, a ROS which receives data from the liquid-argon calorimeter barrel region is solicited for data more frequently than a ROS associated with the barrel MDT's. The expected average rate of RoI requests as a function of 135 ROS's, which participate to the L2 trigger is shown in figure 8.13 (see section 10.9.3 for examples of initial trigger menus). Figure 8.14 shows the expected maximum L1 trigger accept rate sustainable by the ROS which is most solicited for RoI data by the L2

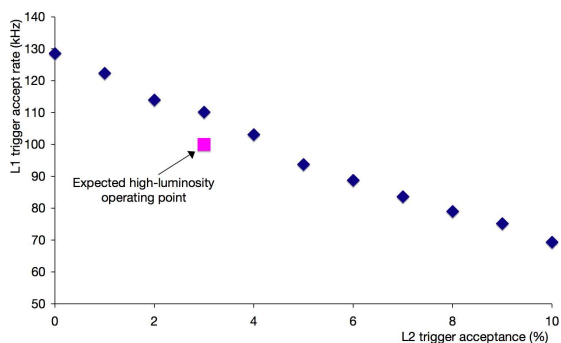


Figure 8.14: The maximum sustainable L1 trigger accept rate as a function of the L2 trigger acceptance for the ROS which is most solicited for RoI data by the L2 trigger. Also shown is the expected operating point at high luminosity.

trigger, for different values of the L2 trigger's acceptance, i.e. the event-building rate. Also shown is the expected operating point at high luminosity.

ROBIN. The ROBIN component provides the temporary buffering of the individual event fragments produced by the ROD's for the duration of the L2 trigger decision and, for approximately 3% of the events, for the duration of the event-building process. In addition, it services requests for data at up to rates of approximately 20 kHz. As a consequence of the rates which have to be supported, the ROBIN is a custom-designed and built PCI-X mezzanine [234]. All functions related to the receiving and buffering of event fragments are realised in an FPGA. A PowerPC is used to implement the functions of memory management, servicing of data requests, control and operational monitoring.

8.3.6 L2 trigger

The L2 trigger is achieved by the combined functionality of the RoI builder, L2SV, L2PU and L2 trigger-specific ROS (pseudo-ROS). The RoI builder receives the RoI information from the different sources within the L1 trigger on eight input ROL's and merges them into a single data structure. It is thus at the boundary between the L1 and L2 trigger systems and operates at the L1 trigger rate (see next section). The single data structure containing the RoI data is transmitted by the RoI builder over one of the output ROL's to the L2SV's. As described in section 8.3.1, L2SV's marshal the events through the L2 trigger.

The principal component of the L2 trigger is the L2 processing farm, where the event selection is executed. The system is designed to provide an event rejection factor of about 30, with an average throughput per farm node of about 200 Hz, using (but not exclusively, see section 10.9.4.5) only the data located in the RoI's, i.e. 1–2% of the full data of an event. The number of L2PU applications performing the physics selection per node is configurable. On the hardware currently deployed (see section 8.4) there are eight L2PU's per node, and one L2PU per processing core of the node, thus the average event processing time per L2PU should be less than 40 ms.

The transmission of a summary of the L2 trigger's selection is achieved by the deployment of a pseudo-ROS. At the end of its event analysis the L2PU sends to the pseudo-ROS information which summarises the results of its analysis. Subsequently, the pseudo-ROS participates in event-building like any other ROS within the system, its event data being the L2 trigger's summary analysis. In this way, the results of the L2 trigger's analysis are built into the final event and subsequently used by the event filter to seed its selection.

The failure of one or more L2PU's during run time does not incur system down time. The system continues to operate at a reduced rate while the failed application, the L2PU, can be restarted under the supervision of the run control.

Steering of the event selection. The HLT starts from the RoI's delivered by the L1 trigger and applies trigger decisions in a series of steps, each refining existing information by acquiring additional data from increasingly more detectors. A list of physics signatures (trigger chains), implemented event reconstruction (feature extraction) and selection algorithms are used to build signature and sequence tables for all HLT steps. Feature extraction algorithms typically request detector data

from within the RoI and attempt to identify features, e.g. a track or a calorimeter cluster. Subsequently, a hypothesis algorithm determines whether the identified feature meets the criteria (such as a shower shape, track-cluster match or E_T threshold) necessary to continue. Each signature is tested in this way. The decision to reject the event or continue is based on the validity of signatures, taking into account pre-scale and pass-through factors. Thus events can be rejected early after an intermediate step if no signatures remain viable. In this manner the full data set associated with the RoI is transferred only for those events which fulfil the complete L2 trigger selection criteria, i.e. the amount of data transferred between the ROS's and the L2 trigger is minimised for those events which are rejected. The stepwise and seeded processing of events in the HLT is controlled by the steering.

The steering runs within the L2 and event filter processing tasks. It implements two of the key architectural and event-selection strategies of the trigger and data acquisition systems: RoI-based reconstruction and step-wise selection. Both are designed to reduce processing time and L2 network bandwidth. The steering takes the static configuration described in section 8.3.3 and applies it to the dynamic event conditions (which RoI's are active and the status of their reconstruction) in order to determine which algorithms should be run on which RoI's and in which order, and ultimately to decide whether the event has fulfilled the criteria for acceptance.

Counters, maintained by the steering, for each trigger chain and step are made available by the online monitoring system, see section 8.3.4, enabling the real time monitoring of the trigger rates. The steering also provides a mechanism for the selection algorithms to publish parameters necessary for monitoring the quality of the event selection.

Region-of-interest builder. The RoI builder [235] is one of only three custom-built components within the DAQ/HLT system. It is a 9U VMEbus system composed of an input stage, an assembly stage, and a single-board computer for the purpose of configuration, control and operational monitoring. The input stage consists of three input cards which each receive and buffer, over three ROL's, the RoI data from three of the eight L1 trigger sources, namely: four CP ROD's, two JEP ROD's, the MUCTPI, and the CTP.

The eight RoI fragments are subsequently routed over a custom back-plane to builder cards in the assembly stage, where they are assembled into a single data structure (RoI record). The assignment of each event to a specific builder card for assembly is based on a token-passing mechanism between the builder cards. Each builder card has four output ROL's which are used to transfer the assembled RoI records to up to four L2SV's according to a round-robin algorithm.

Detector calibration using RoI data. The calibration of the muon MDT chambers requires large data samples within a well-defined time window to establish the relationship between the drift path and measured time as a function of time. This measurement has to be made from the data of the MDT's alone using candidate tracks, and is based on an iterative procedure starting from a preliminary set of constants.

The L1 single-muon trigger rate at a luminosity of $10^{33} \text{ cm}^{-2} \text{ s}^{-1}$ for a threshold of 6 GeV is approximately 25 kHz. For these candidate events the first step of the L2 trigger selection is the reconstruction of tracks in the muon system. To facilitate the calibration of the MDT's, each L2PU can be configured to additionally write, to a pre-defined buffer, the data of the candidate tracks and

the results of its analysis, i.e. the RoI information and the results of track fits. Subsequently the data are transferred from this buffer to a L2-wide calibration server which stores the data to disk prior to sending it to a remote calibration farm for processing.

8.3.7 Event-building

The event-building functionality is provided by the DFM, ROS's and SFI's [236]. The SFI is the application which collects the event data from the ROS's and assembles the event as a single formatted data structure. An SFI is configured with a randomised list of the ROS's within the system, which is used to define the order in which data requests are sent to the ROS's. This results in the randomisation of the traffic pattern in the underlying network and hence improved network performance. To meet the rate requirements a number of SFI's work in parallel, each instance building a number of events concurrently. Each SFI informs the DFM of its readiness to receive events, and the DFM allocates events to the SFI's so as to ensure that the load is balanced across all available SFI's.

The default behaviour of the SFI is to collect all the event data associated with a given event into a single formatted data structure. However, a subset of the events accepted by the L2 trigger are for the purposes of detector calibration and do not necessitate the collection of all the event data. For this type of event, the SFI is capable of collecting a subset of the available event data. The subset is identified by the L2 trigger and communicated to the SFI via the DFM. The subset can range from a few ROB's to a whole sub-detector.

If a requested ROS data fragment is not received within a configurable time budget, the outstanding data fragment can be requested again. Only if several consecutive requests are not fulfilled does the SFI abandon the inclusion of the missing data and assemble an incomplete event. After an event has been moved to the event filter the SFI marks its buffers for re-use. If, for whatever reason, the buffers of the SFI become full, the SFI informs the DFM, i.e. exerts back-pressure, which subsequently suspends the allocation of events to the specific SFI until the SFI indicates it is again available.

The event-building system is designed to function even in case of failure of one or more SFI nodes. In this situation, the DFM ceases to assign events to the failed SFI's. Once the failed nodes become available again, they can be re-integrated into the event-building system without the system incurring down time.

8.3.8 Event filter

The event filter is a processing farm; on each processing node a configurable number of independent processing tasks receive and process events. Unlike the L2 trigger, these tasks are based on standard ATLAS event reconstruction and analysis applications. The steering of the event selection is the same as L2, as described in 8.3.6. For those events passing the selection criteria, a subset of the data generated during the event analysis is appended to the event data structure, enabling subsequent offline analysis to be seeded by the results from the event filter. An integral part of the selection process is the classification of the events according to the ATLAS physics streams, see section 8.3.9. To this end, for those events which fulfil the selection criteria, a tag is added to the event data structure identifying into which physics stream the event has been classified.

Table 8.2: Overlap (Hz) between the data streams at a luminosity of $10^{33} \text{ cm}^{-2} \text{ s}^{-1}$.

Stream	e	μ	Jet	γ	$E_T^{\text{miss}} \& \tau$	B -physics
e	31 ± 7.9	0.0056 ± 0.00058	$0.00053 \pm 6.2 \times 10^{-5}$	1.2 ± 0.4	1.4 ± 0.035	$(1.3 \pm 1.3) \times 10^{-5}$
μ	—	34 ± 8.7	0.021 ± 0.015	0.0028 ± 0.002	0.22 ± 0.022	0.076 ± 0.0043
Jet	—	—	38 ± 5.9	0.48 ± 0.4	0.71 ± 0.4	0 ± 0
γ	—	—	—	22 ± 5.7	0.22 ± 0.073	0 ± 0
$E_T^{\text{miss}} \& \tau$	—	—	—	—	32 ± 7.9	$(15 \pm 6.4) \times 10^{-6}$
B -physics	—	—	—	—	—	9.5 ± 5.5

The failure of one or more event-filter processing tasks or of a complete node during run-time does not provoke any system down-time. The system continues to operate at a reduced rate while the failed application, or node, can be restarted under the supervision of the run control. To ensure that no events are lost during such failures, each event on arrival in the event filter is written to a memory mapped file. On the restart of the failed application or of the node itself, an attempt can be made to re-analyse the event or accept the event without analysis.

8.3.9 Event output

The main functionality of the event-filter output nodes (SFO's) is to receive events which have passed the event filter selection criteria, interface the DAQ/HLT to CERN's central data-recording facility, and de-couple the data-taking process from possible variations in the central data-recording service.

The SFO maintains, locally, a set of files into which it records events at a peak event rate of up to 400 Hz. In the eventuality of a prolonged failure in the transmission of data to CERN's central data recording service, there is sufficient local storage capacity to buffer all events locally for up to 24 hours. Under normal operating conditions, this storage capacity is only partially used. The set of files maps to the ATLAS-defined data streams: electrons, muons, jets, photons, E_T^{miss} and τ -leptons, and B -physics. Each event is recorded in one or more files according to the stream classification made by the event-filter processing task. Table 8.2 shows the rates for each of the data streams and in the off-diagonal elements, the rates of the overlaps between them.

In addition to the data streams mentioned above, a subset of the events is also written to calibration streams and an express stream. The express stream is a subset of the events selected by the event filter and fulfil additional criteria which select the events as being useful for monitoring the quality of the data and the detector. The calibration stream provides the minimum amount of information needed for detector calibration, possibly at a rate higher than the data streams provide. These events will only contain a subset of the event data.

8.4 Implementation and capabilities of the DAQ/HLT

Most of the DAQ/HLT functionality is implemented on commodity, rack-mountable, server-class PC's. The PC's run Scientific Linux CERN and are interconnected by multi-layer gigabit-Ethernet networks, one for control functionality and another for data movement. The majority of PC's have similar specifications (e.g. two CPU sockets, two gigabit-Ethernet connections, support for

Table 8.3: The main data-acquisition system components to be deployed for initial operation: the readout system (ROS), the event-building node (SFI), the dataflow manager (DFM), the L2 supervisor (L2SV), the high-level trigger (HLT) and the event filter output nodes (SFO).

Component	Number of nodes	Number of racks	Number of CPU's/node	Memory (Gbyte)	Type of CPU
ROS	145	16	1	0.512	3.4 GHz Irwindale
SFI	48	3	2	2	2.6 GHz Opteron 252
DFM	12	1			
L2SV	10				
HLT	1116	36	8	8	Xeon E5320 1.86 GHz
SFO	6	2	2	4	Xeon E5130 2.0 GHz
Monitoring	32	4	4	8	Xeon E5160 3.0 GHz
Operations	20		2	4	Xeon E5130 2.0 GHz

IPMIv2.0), and differ only by the number and type of CPU's implemented and the amount of memory. The main features per component and the number of nodes deployed for initial operations in 2008 are given in table 8.3. A few components, the ROI builder, ROL and ROBIN, are, however, implemented in custom hardware.

The ROS PC's are installed in standard ATLAS 52U racks, while all other PC's are installed in standard 47U or 52U server racks. The number of racks (for initial operation) for each component type is given in table 8.3. In addition to the PC's, each rack also contains a local file server and two gigabit-Ethernet switches. The latter form part of the multi-layered gigabit-Ethernet network which implements the control and data networks. Each rack is also equipped with a water-cooled heat-exchanger, designed for the horizontal airflow within a rack, which provides up to 9.5 kW of cooling power. The number of 1U PC's per rack is typically just over thirty, constrained by cooling power, power distribution (particularly in-rush current) and weight limits.

For initial operations, the DAQ/HLT system will be fully configured in the area of configuration, control and monitoring functionality. The operations PC's are used to provide the various central services for configuring and controlling the trigger and data acquisition systems (e.g. run control, error logging). The monitoring PC's are used to monitor the system and sampled event data.

The initial system will also support full detector readout, over the 1574 point-to-point ROL's, into the ROS's at L1 trigger rates up to 75 kHz. The number of ROD's, ROL's and ROS's per detector TTC partition are given in table 8.4. Also given in this table is the expected size of event data per L1 trigger for each part of the detector for a luminosity of $10^{34} \text{ cm}^{-2} \text{ s}^{-1}$.

As described in section 8.3.7, the event-building functionality is performed by a set of SFI's and scales linearly with the number of SFI's, each SFI contributing 60 Hz and approximately 90 Mbyte/s to the total event-building rate and aggregate bandwidth. For initial operations, forty-eight SFI's are deployed allowing a sustained event-building rate of approximately 2.0 kHz, for an average event size of approximately 1.3 Mbyte.

Table 8.4: Numbers of readout drivers (ROD's), readout links (ROL's) and readout systems (ROS's) per detector TTC partition, as well as expected data size per L1A signal for a luminosity of $10^{34} \text{ cm}^{-2} \text{ s}^{-1}$.

TTC Partition			Number of ROD's	Number of ROL's	Number of ROS's	Data per L1A signal (kbyte)
Inner detector	Pixel	Layer 0	44	44	4	60
		Disks	24	24	2	
		Layers 1–2	64	64	6	
	SCT	End-cap A	24	24	2	110
		End-cap C	24	24	2	
		Barrel A	22	22	2	
		Barrel C	22	22	2	
	TRT	End-cap A	64	64	6	307
		End-cap C	64	64	6	
		Barrel A	32	32	3	
		Barrel C	32	32	3	
Calorimetry	Tile	Barrel A	8	16	2	48
		Barrel C	8	16	2	
		Extended barrel A	8	16	2	
		Extended barrel C	8	16	2	
	LAr	EM barrel A	56	224	20	576
		EM barrel C	56	224	20	
		EM end-cap A	35	138	12	
		EM end-cap C	35	138	12	
		HEC	6	24	2	
		FCal	4	14	2	
Muon spectrometer	MDT	Barrel A	50	50	4	154
		Barrel C	50	50	4	
		End-cap A	52	52	4	
		End-cap C	52	52	4	
	CSC	End-cap A	8	8	1	10
		End-cap C	8	8	1	
L1	Calorimeter	CP	4	8	1	28 (can be varied)
		JEP	2	8	1	
		PP	8	32	3	
	Muon RPC	Barrel A	16	16	2	12
		Barrel C	16	16	2	
	Muon TGC	End-cap A	12	12	1	6
		End-cap C	12	12	1	
	MUCTPI		1	1	1	0.1
	CTP		1	1	1	0.2
Total			932	1574	145	1311

In addition to the features given in table 8.3, the PC's for the SFO functionality are each equipped with three RAID controllers each managing eight 500 Gbyte SATA II disks. The three sets of disks are operated as a circular buffer: while events are being written to the event streams of one set of disks, a second set of disks is used to send data to CERN's central data recording service. In this configuration, a single RAID controller does not perform both writing and reading operations simultaneously, thus maximising the throughput of an SFO. The deployed set of SFO's fulfil the final design specifications: a sustained output bandwidth of 300 Mbyte/s and a peak rate of 600 Mbyte/s. Thus for an average event size of 1.3 Mbyte, this gives a sustained event rate of 200 Hz.

Of the 36 HLT racks available for initial operations, eight racks (248 nodes) are dedicated to the event filter selection while the remaining twenty-eight racks (868 nodes) can be configured to perform either the L2 trigger or event filter selection, i.e. the amount of computing power apportioned to the L2 trigger and or the event filter will be adjusted according to the data-taking conditions. The baseline apportioning of these nodes envisages nine racks (279 nodes) for the L2 trigger and twenty-seven racks (837 nodes) for the event filter. In the DAQ/HLT Technical Design Report [237], the algorithm processing times and rejection rates were based on single-core processors with an expected clock speed of about 8 GHz, giving processing times per event of order 10 ms at L2 and 1 s at the event filter. These figures correspond to approximately 40 ms and 4 s respectively per core on today's quad-core processors operating at a clock speed of 2 GHz. Measurements with simulated raw data show that the processing times per event at L2 and event filter are consistent with the available computing resources for acceptable trigger rates and with a representative mixture of simulated events passing the L1 trigger. Therefore the system deployed for initial operations should be able to handle an initial L1 trigger rate of approximately 40 kHz, about half of the final design specification.

8.5 Detector control system

In order to enable coherent and safe operation of the ATLAS detector, a Detector Control System (DCS) has been defined and implemented [238]. The DCS puts the detector hardware into selected operational conditions, continuously monitors and archives its run-time parameters, and performs automatically corrective actions if necessary. Furthermore, DCS provides a human interface for the full control of ATLAS and its sub-detectors. Figure 8.15 shows the general system architecture consisting of two parts: the front-end systems and the back-end control.

The front-end consists of the hardware components used to control the detector, ranging from simple sensors to complex devices such as software controlled power supplies. In order to minimise the effort of integration of devices into the DCS and to achieve a homogeneous system, a small set of commercial devices, such as crates or power supplies, has been selected as standard. The readout of these devices is normally done using the industry-standard protocol OPC.

Due to the special conditions in the experiment cavern, strong magnetic field and ionising radiation, a general-purpose I/O concentrator, the Embedded Local Monitor Board (ELMB) [239], has been developed. An ELMB comprises a multiplexed ADC (64 channels with 16 bit resolution), 24 digital I/O lines and a serial bus SPI to drive external devices. The ELMB can be configured for various types of sensors. A micro-controller pre-processes the data (e.g. calibration, threshold detection) before they are transferred via CANbus to the back-end. The ELMB is designed and tested to be radiation tolerant to a level of about 1 Gy/y and can hence also be placed inside the detector at places shielded by the calorimeter. The ELMB is used in two ways: either directly embedded in the detector electronics, or attached to a general-purpose motherboard to which sensors can be connected. In total about 5000 ELMB's are installed and they are controlled by an OPC server using the CANopen protocol.

The back-end is organised in 3 layers: the Local Control Stations (LCS) for process control of subsystems, the Sub-detector Control Stations (SCS) for high-level control of a sub-detector allowing stand-alone operation, and the Global Control Stations (GCS) with human interfaces in

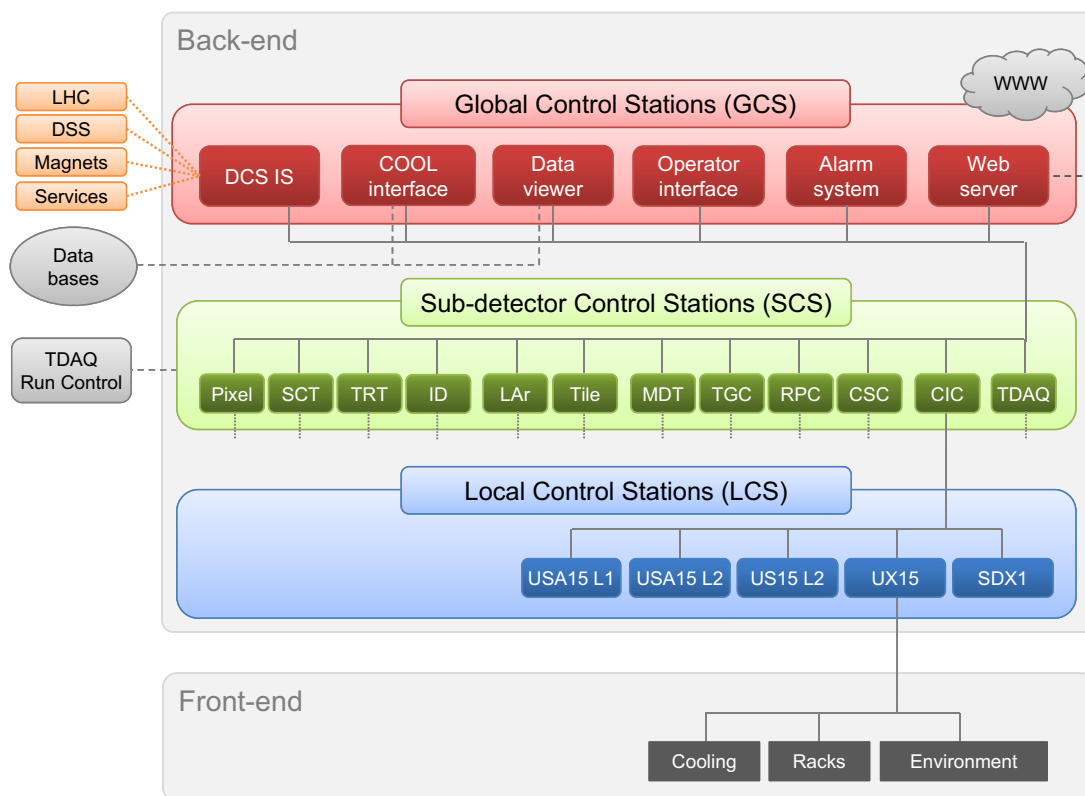


Figure 8.15: Architecture of the DCS.

the ATLAS control room for the overall operation. Each station is a PC running the commercial controls software PVSS-II [240], which provides the necessary supervisory functions such as data analysis with the possibility of triggering the execution of pre-defined procedures, raising alarms, data visualisation, and archiving. This supervisory control and data acquisition software package (SCADA) has been chosen for all LHC experiments in the frame of the Joint Controls Project [241], which provides a set of tools on top of PVSS-II and also software components for the standardised devices. In total, the back-end consists of more than 150 PC's, connected as a distributed system for which PVSS-II handles inter-process communication via the local area network. The full back-end hierarchy down to the level of individual devices is represented by a distributed finite state machine allowing for the standardised operation and error handling in each functional layer.

The LCS are connected to the front-end of a specific sub-system and read, process, and archive the respective data. They execute the commands issued from the SCS and GCS layers and additionally allow the implementation of closed-loop control.

The SCS enable full stand-alone operation of a sub-detector by means of the finite state machine and provide a user interface to control the different subsystems. The SCS also handles the synchronisation with the data acquisition system.

The GCS in the top layer provide all functions needed in the ATLAS control room to operate the complete detector. The operator interface shows the detector status and allows to navigate in the tree-like finite state machine hierarchy and to execute high level commands which are propagated

to the layers below. A data viewer provides selection and plotting of all data available in the DCS. The alarm system collects and displays all parameters which are outside of pre-defined ranges, classified in three severity levels: Warning, Error, and Fatal. An information server (DCS IS) provides an interface to external control systems such as the sub-detector gas systems, the liquid-argon and helium cryogenics systems, the ATLAS magnets, and the electricity distribution for the detector. The data collected from those systems is made available inside the distributed DCS to the individual sub-detectors. Summarised status information is openly available via the World Wide Web.

In addition to the individual sub-detector control stations, one SCS is dedicated to Common Infrastructure Control (CIC) to supervise the common environment and services of the detector. Each of the five geographical zones defined for the CIC (three electronics rooms underground, the cavern of the experiment, and the trigger and data acquisition systems computer rooms) is controlled by an LCS. In each zone, the environmental parameters are monitored, the operational parameters of the electronics racks are supervised, and the electricity distribution is controlled. Furthermore, the CIC includes a large network of I/O points in the experimental cavern, consisting of about 100 ELMB's. It reads the data of radiation monitors, the movement sensors of the safety system to look for personnel inside the ATLAS area (see section 9.9), and some 200 temperature sensors positioned at the support structure of the barrel toroid. Additional readout capacity for future system extensions is available.

The DCS comprises a set of common software tools and packages, used by sub-detector controls and the CIC. A configuration database stores the settings needed for the different operational modes of the detector. All status information and measured data can be transferred to the ATLAS-wide conditions database COOL. Another package allows the synchronisation and information exchange between the DCS and the data acquisition system.

Chapter 9

Integration and installation

9.1 Introduction

The ATLAS detector has been installed underground in a huge cavern, situated in Switzerland at point 1 on the LHC ring, directly opposite the main entrance to the CERN site. The installation task has involved a large team from across the whole collaboration (see annex 11.3).

The soil conditions at point 1 were favourable for the large amount of excavation work required. Once the experiment was approved, preparations for the infrastructure began: civil engineering, electrical power distribution, cooling water, ventilation, etc. In parallel to the work on the infrastructure, many studies on the assembly, integration, layout, support structures, services (pipes and cables), safety issues and access requirements/means for the experiment were carried out.

The construction of the component parts for ATLAS was distributed over many institutions around the world. The components then had to be brought to CERN in a timely manner, a considerable challenge in itself in terms of their size, complexity and fragile nature. In most cases, final assembly and testing were done at CERN on the surface, prior to installation underground.

The main cavern is 92.5 metres underground and the detector is almost as large as the cavern in which it is housed. Supervising the construction of such a complex project meant that rather formal and uniform management and engineering tools had to be used to monitor and document the progress of the project and ensure that items arrived on time and satisfied the requirements. Ensuring that all the pieces of the puzzle fitted together turned out to be a particularly difficult challenge, since the physics goals and the geometry of the detector require minimal clearances between neighbouring parts. One of the most stringent requirements of the ATLAS detector is to ensure hermetic coverage over most of the solid angle: installation of the detector had therefore to be performed to great accuracy, in order to guarantee optimal coverage.

After a brief description of the processes and tools used to fulfil the infrastructure, integration and installation tasks (section 9.2), this chapter briefly describes the mechanical integration (section 9.3), the overall infrastructure and services at point 1 (section 9.4), the support and access structures (section 9.5), the detector installation process (section 9.6), the detector opening and access scenarios (section 9.7), the beam-pipe (section 9.8), safety issues (section 9.9), and the interfaces to the LHC machine (section 9.10).

Table 9.1: List and function of the various software tools used by ATLAS technical coordination during the installation of the detector.

Tool	Function
Engineering data management system (EDMS)	Structured storage and retrieval of engineering data
CERN drawing directory (CDD)	Processing of technical drawings
Project progress tracking (PPT)	Regular Web-based notification and reporting system
Equipment management database (EMD) and manufacturing and test folder (MTF) database	Traceability of all equipment installed in the cavern
Rack wizard and ATLASeditor3D	Configuration of electronics rack connectivity (from detector to counting room)
ATLASsurvey3D	Monitoring of ATLAS sub-system displacements (also uses survey data)
Cable database	Assist cable installation team (labels, routing, connector specifications, etc)
The Glance Project	Interacts with all equipment data residing in distinct and geographically spread repositories

9.2 Organisational issues

The ATLAS project involves many people who are spread around the world. It has also generated a huge, complex and multi-disciplinary volume of data which needs to be organised and shared in an easy and transparent way. The management of the integration and installation work was the responsibility of the technical coordination team. In order to help manage the design, production and installation phases of the ATLAS project, various organisational processes and computing tools were developed [242]. The design phase of the project required the production of drawings, schedules and specifications for procurement. A number of review processes were included during this phase of the project. The goal of these reviews was to evaluate the feasibility and technical validity of the proposed designs. In addition to these reviews, and before launching the production of major items, internal design reviews and production-readiness reviews were organised. Then, during the production phase of the sub-systems, production-advancement reviews were implemented to check progress and compare it with the production milestones in the schedule. In case of specific and major technical issues, experts were called in from within and outside the collaboration to solve such problems in a timely fashion.

The ATLAS technical management board meets on a monthly basis and provides a forum for regular reporting of the status and problems in all areas relevant to the work at point 1 to the collaboration scientists and engineers. During these meetings, the installation schedule is discussed and proposed future strategies are agreed upon. During the installation of ATLAS, the progress and status of the work were monitored on a weekly basis in dedicated meetings with each main detector system. The Web-based tools shown in table 9.1 were used to assist in the communication and organisation process.

9.3 Mechanical integration

The mechanical integration process had to address both static issues related to installation and survey of major detector components and dynamic issues related to detector placement, movements of parts during installation, and to access and maintenance (see section 9.7). This section deals with the mechanical integration aspects related to installation.

The mechanical integration process defined the overall experimental layout, where each nested sub-system has its well-defined shape and position and has no overlaps with any other sub-system. This integration process started from an initial input for the positioning of the sub-systems and for the space needed for access and services. It then defined mechanical envelopes and the overall three-dimensional layout of the ATLAS detector, using most of the tools listed in table 9.1.

9.3.1 Envelopes (individual, global, dynamic)

Envelopes define the space allocated to each part of each sub-system. Three types of envelopes have been created, individual, global and dynamic, and they are defined as follows:

- The individual envelope is the space allocated for the manufactured object, including some space added to the nominal design drawing envelope, in order to take into account fabrication and assembly tolerances.
- The global envelope includes, in addition to the individual envelope, some space dedicated to the inaccuracy of the positioning inside the detector and the deformations applied during installation and operation.
- The dynamic envelope includes, in addition to the global envelope, space for deviations and deformations during displacements (e.g. during access) of the object inside the detector.

After the manufacture and installation of each sub-system, the envelopes were checked and compared to the measurements performed by the survey team. Envelopes have been created as 3D objects with the help of various CAD systems. All this work on modelling and conflict-checking has been most important in order to facilitate the installation process and avoid cost and schedule problems between conflicting objects during installation.

9.3.2 Survey and placement strategy

ATLAS is being assembled in a cavern which is not much larger than the detector itself. Thus any available space had to be optimised once an installation was complete. As soon as the cavern was delivered to ATLAS by the civil engineers, and before any infrastructure was installed, an exhaustive scan was carried out in order to check the as-built work. The task of surveying for the ATLAS detector has been a very challenging one due to the size, nature, complexity and global scale of the work.

9.3.2.1 Survey reference grid in the cavern

The nominal beam-line was defined and used during the installation and positioning of the detectors in the cavern. It is defined by the best-fit alignment line of the low- β quadrupole magnets, located

at a distance of 30 m from either side of the interaction point. This reference line can deviate from the real beam-line by as much as 2-3 mm. It is determined by the reference sockets in the tunnel, from which the machine elements are installed. The final control is carried out on the machine elements themselves (inner focusing quadrupole triplets included) relative to each other. Spatial uncertainties from 0.5 mm to 1.2 mm at the one sigma level was estimated for any fiducial mark with respect to the nominal beam-line depending on the location of the given target.

The datum (interaction point, radial orientation of the colliding beams and reference plane) is given by the initial geometry in the tunnel and the final positioning of the low- β quadrupole magnets. The survey grid reference in the cavern is linked to the machine geometry by standard geometrical measurements and permanent monitoring systems. These include hydrostatic and wire positioning capacitive sensors, implemented in the survey galleries and joining the low- β quadrupoles via the cavern and radial tubes [243]. The reference grid will thus be monitored throughout the lifetime of the detector.

9.3.2.2 Stability measurements of the floor and the bed-plates

Civil-engineering calculations indicated possible vertical floor movements of up to 6 mm settlement due to the loading of the detector and a 1 mm per year lift due to excavation heave. ATLAS has a very limited adjustment capability once the detector elements have been placed in-situ. A placement strategy was therefore developed to position all elements within the best achievable mechanical tolerance, relatively to the interaction point and the nominal beam-line [243].

To monitor these predicted movements, periodical measurements have been carried out on about 20 reference marks embedded in the cavern floor. The measurements are referenced to the machine levelling and to deep reference points in the tunnel.

In addition to these measurements, a permanent hydrostatic system has been implemented in the ATLAS support feet bed-plates. It consists of six capacitive sensing stations monitoring the water plane in two 25 m long tubes, 55 mm in diameter, parallel to the beam and linked by a transversal tube. Two additional stations have been installed in the extreme trenches, recognised as stable zones, and linked to the bed-plate system. Altogether, this is equivalent to a reference water plane of 75 m length, inspected by eight sensors attached to the structure (bed-plate and stable floor) within an accuracy of better than 20 microns.

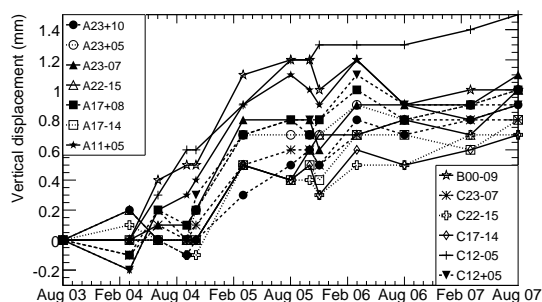


Figure 9.1: Vertical displacements of the ATLAS cavern floor, measured as a function of time in various reference points since August 2003. The beam interaction point is at the origin ($x = 0$, $z = 0$). The labels used for the reference points are the following: C23-7 represents a point on side C at a distance of 23 m longitudinally from the interaction point and of 7 m radially opposite to the centre of the LHC ring. In contrast, A23+10 represents a point on side A at the same longitudinal distance from the interaction point, but at a distance of 10 m radially towards the centre of the LHC ring. The points labelled B are located right in the middle of the cavern, nominally at $z = 0$.

The results from the measurements on the floor, as displayed in figure 9.1, show that, after an initial stable period, during which presumably the heave of the floor was balanced by the loading, a global heave of the floor of up to 1.2 mm happened in the central part of the cavern between March 2004 and March 2006. By August 2006, approximately 85% of the total load had been installed and, over the past 12 months, the cavern floor seems to have settled more or less and the upward heave is no longer visible.

The hydrostatic system in the bed-plates gives immediate movements of the supporting structure with an accuracy of a few microns and has been used to monitor local movements when inserting the calorimeters. It will now be operated continuously to monitor movements in real time during data-taking.

9.3.2.3 Placement of ATLAS sub-systems

The ATLAS detector had to be assembled in the main cavern mostly because of the nature of the barrel toroid magnet structure, which is 26 m long and 20 m in diameter. As discussed above, once assembled and cabled, the detector and its main components cannot in practice be adjusted any more relative to the nominal beam-line. Careful consideration had therefore to be given to the optimal placement of sub-systems during the assembly process. Many sub-systems were prepared on the surface, while others were prepared in the main cavern, prior to their installation in their final position. The aim was to place all the detectors such that they will all be at their appropriate positions relative to the nominal beam-line, once the installation is completed. In the process of defining the initial placements, the following input had to be taken into account:

- floor movement in the ATLAS main cavern (see above);
- deflections of the barrel toroid structures as they were loaded with the muon chambers and the services passing throughout ATLAS;
- deviations of as-built dimensions with respect to the nominal ones for neighbouring sub-systems;
- deviations from nominal of the relative placements of different components, which were installed as one assembly. For example, the solenoid and the LAr barrel electromagnetic calorimeter were assembled on the surface inside their common vacuum vessel with a non-negligible relative error with respect to their theoretical placement. When placing the whole assembly in the cavern, the priority was therefore given to the solenoid regarding the relative importance of nominal placement for ATLAS operation;
- uncertainties on the position of the interaction point from the uncertainties on the closed-orbit calculations and on the placement of the machine components.

Once an assembly of different sub-systems was completed, the relative position of its components was fixed. As the most prominent example, the barrel calorimeter assembly consists of: the barrel tile calorimeter, itself assembled from 64 individual tile modules, the barrel LAr cryostat, which includes the solenoid, the barrel LAr electromagnetic calorimeter and the support rails for the inner detector, located on the inner bore of the cryostat.

Table 9.2: Placement accuracy and current average position along the vertical y direction of different components of the ATLAS barrel system. The average positions in y are given as an illustration of the priorities set in the placement strategy between conflicting requirements from different components of the barrel system.

Assembly	Component	Placement accuracy (mm)	Average position in y (mm)
Barrel toroid		10 (all coils)	Magnetic axis at -8
Barrel calorimetry	Solenoid	2	Magnetic axis at -2.3
Barrel calorimetry	EM calorimeter (side A)	3	-3.1
Barrel calorimetry	EM calorimeter (side C)	3	-2.3
Barrel calorimetry	Cryostat	2	-0.1
Barrel calorimetry	Tile calorimeter	3	Axis at -0.5
ID pixel		0.2	-1.2
ID barrel	SCT	0.5	-1.3
ID barrel	TRT	0.5	-1.6
ID end-cap (side A)	SCT	0.5	-1.9
ID end-cap (side A)	TRT	0.5	-1.6
ID end-cap (side C)	SCT	0.5	-2.4
ID end-cap (side C)	TRT	0.5	-1.6

The strategy for the placement of a given assembly was the following:

1. determine the best position for the assembly, taking into account the input described above;
2. after the initial placement was completed, survey the assembly as installed and perform adjustments wherever possible to come as close as possible to the optimal position;
3. once a major component has been placed, update the envelope drawings to take advantage of the as-installed envelopes of the various components. In most cases, the components respected the assigned envelopes, and it was possible to recuperate some space, which was used either to increase the stay-clear area between assemblies or to optimise further the position of subsequent assemblies. In rarer cases, such as the barrel and end-cap inner detector assemblies, the distance between the assemblies had to be increased by 5 mm with respect to nominal. These deviations are accounted for in the final detector description of the as-installed detector.

Table 9.2 shows the placement accuracies achieved along the vertical axis for the main components of the ATLAS barrel system relative to the nominal beam-line. Table 9.2 also shows the measured offsets in the vertical direction, illustrating the priority given to the placement of the solenoid as close as possible to the nominal beam-line and to the subsequent placement of the inner-detector components as close as possible to the nominal solenoid axis.

The placement accuracy along the beam-line is also in the few millimetre range, but there are exceptions in some cases due to conflicts in envelopes between neighbouring sub-systems. The components of a given assembly are grouped together under the same heading to indicate clearly that their relative position with respect to each other was determined prior to final installation. These relative positions are in many cases more precise than the overall placement accuracy of the

Table 9.3: Placement accuracy and current average position along the vertical y direction of different components of the ATLAS end-cap systems.

Assembly	Component	Placement accuracy (mm)	Average position in y (mm)
End-cap toroid (side A)		3	Align magnetic axis with barrel toroid
End-cap toroid (side C)		3	Align magnetic axis with barrel toroid
End-cap calorimeter (side A)	FCal	1	Align FCal symmetrically around beam-pipe
End-cap calorimeter (side A)	EMEC	3	-0.8
End-cap calorimeter (side A)	HEC1	3	-0.6
End-cap calorimeter (side A)	HEC2	3	0.5
End-cap calorimeter (side A)	Tile	3	-1.9
End-cap calorimeter (side C)	FCal	1	Align FCal symmetrically around beam-pipe
End-cap calorimeter (side C)	EMEC	3	-0.9
End-cap calorimeter (side C)	HEC1	3	-0.1
End-cap calorimeter (side C)	HEC2	3	-0.3
End-cap calorimeter (side C)	Tile	3	-0.4
Small wheels	MDT/CSC/TGC	4	Align on nominal beam-line
Big wheels	MDT/TGC	4	Align on nominal beam-line
End-wall chambers	MDT	4	Align on nominal beam-line

assembly, as for example in the case of the SCT and TRT, or even as in the case of the pixels with respect to the ID barrel, for which special care was taken to adjust the fixation points between the two sub-systems on the surface after assembly of the complete ID barrel in order to ensure that the geometrical axis of the pixel sub-system will be within 0.2 mm of the nominal beam-line. It is also important to note that the placement of the ID components with respect to the inner warm vessel of the barrel cryostat has been surveyed with an accuracy of approximately 0.3 mm, which is very similar to that achieved for the survey of the mapping machine used for the mapping of the solenoidal field (see section 2.2.4).

In a similar way, albeit less complete because of installation work still ongoing in the end-cap regions of the detector, table 9.3 summarises the positioning status of the end-cap calorimeters, the small wheels, the big wheels, the end-cap toroid magnets and the end-wall chambers. All the end-cap detectors can in principle be adjusted relative to the nominal beam-line, when the ATLAS detector is in the open position. In order to monitor possible small changes of detector positions after opening and closing the apparatus, an active optical system has been installed, which will provide a precise monitoring ($20\ \mu\text{m}$ in the transverse plane and $100\ \mu\text{m}$ along the beam) of the relative position of these components with respect to the barrel system.

The placement strategy explained above has been quite successful and all major ATLAS components are located well within the initial target of being aligned to within a few millimetres from the nominal beam-line at the start of data-taking.

More detailed information on the location of individual assemblies is kept in the various databases and will be updated as movements are monitored over time. As an example, figures 9.2 and 9.3 show the current deviations of the geometrical axes of the main components of the ATLAS

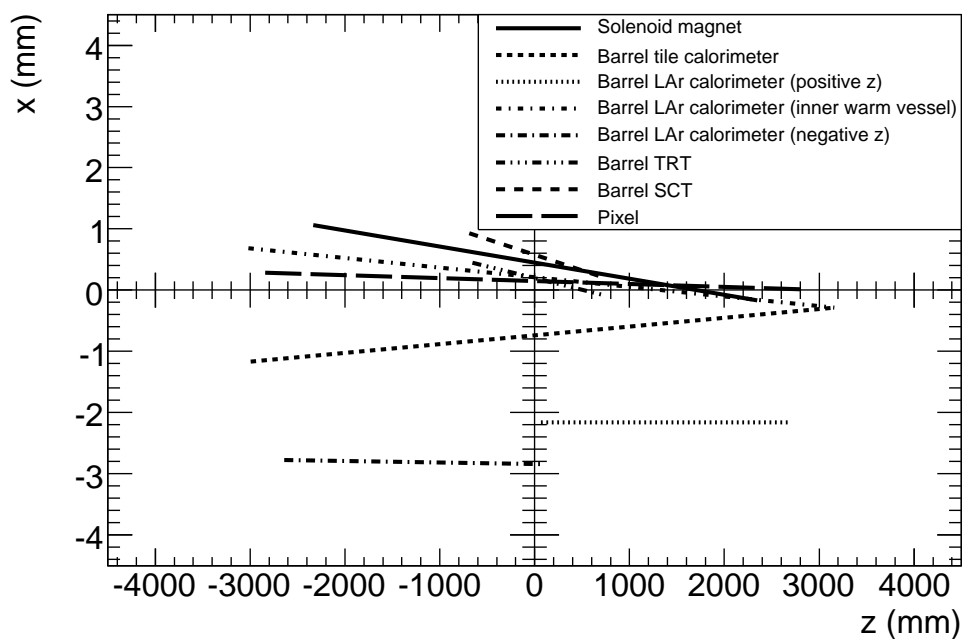


Figure 9.2: Deviations of geometrical axes of main components of the ATLAS barrel from nominal. Shown are the deviations in mm in the $x-z$ plane.

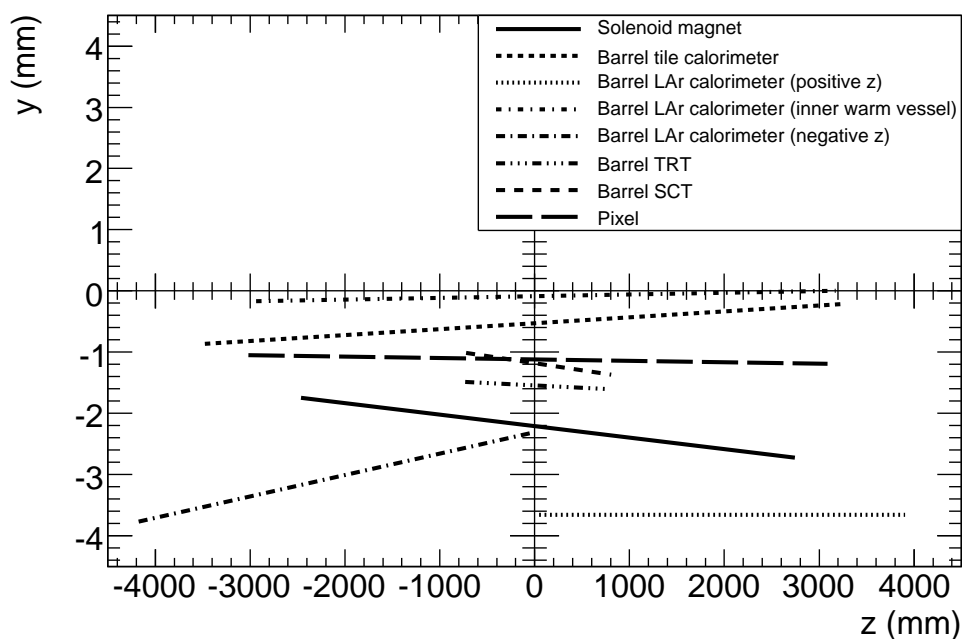


Figure 9.3: Deviations of geometrical axes of main components of the ATLAS barrel from nominal. Shown are the deviations in mm in the $y-z$ plane.

barrel from the nominal beam-line, respectively in the $x - z$ and $y - z$ planes. Since the full loading of the detector in the barrel region, a larger than expected compression of the support feet has been observed. This has led to a 1.5 mm downward shift of the whole barrel, as can be seen more precisely from the average y -positions of the axes of the inner-detector sub-systems in figure 9.3. All these initial positions are recorded in the overall database and they will be monitored with time as soon as new survey numbers become available or as the access and maintenance scenarios will require.

It will also be necessary to monitor the global movements of the detector to understand possible future deviations in position between the detector and the actual beam. In the case of such deviations at the level of 1 mm, the beams can be adjusted and steered, using the field in the triplet magnets and/or adjusting the position of the last magnet. In the event of larger movements, it will be necessary to adjust the magnets over the last 300 m on either side of the interaction point. It has been expected that such an exercise can be done once every three to four years.

9.4 Infrastructure and detector services

This section describes the infrastructure for the surface and underground areas and the services, fixed and mobile, which are connected to the ATLAS detector [244].

9.4.1 Civil engineering

The civil engineering work for the ATLAS experimental area started in November 1997 whilst the previous accelerator (LEP) was still in operation and the situation remained so until the end of 1999. The work included the excavation and concreting of two new shafts, two new large caverns along with the linking galleries, and the construction of six new buildings on the surface, as shown in figure 9.4.

The underground work included the excavation and concreting of the following:

- The PX14 and PX16 shafts, respectively 18 m and 12.6m in diameter, both 60 m deep.
- The PX15 shaft was an existing shaft that required concreting only.
- The main cavern UX15 (50 m long, 30 m wide and 35 m high), which houses the ATLAS detector. Due to the continued operation of LEP in 1999, the vault had to be concreted before the support walls were put in place, leading to a non-standard anchoring technique of the 7000 t roof of the UX15 cavern. UX15 was delivered to CERN for the installation of the infrastructure in June 2003.
- The counting room and service cavern USA15, which houses the electronics racks and services that need to be close to the detector and is accessible during machine runs.
- The seven linking galleries for personnel access and the distribution of services between the UX15 and USA15 caverns.

The surface buildings include the following:

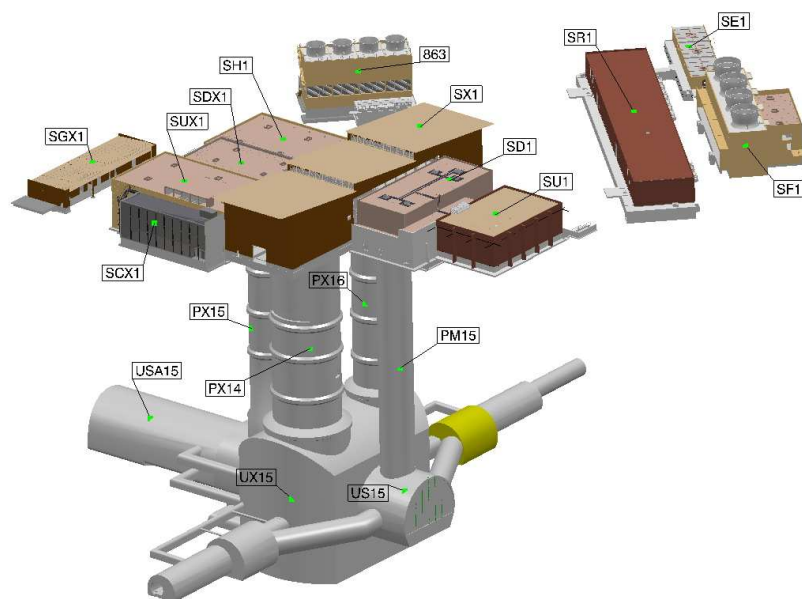


Figure 9.4: Layout of surface buildings and of access shafts to the ATLAS cavern at point 1. The main areas of underground activity are the main cavern (UX15) and the main counting room and service cavern (USA15). The main control room is in building SCX1 on the surface.

- SX1, a steel frame building located on top of the main shafts and housing the travelling cranes, maximum 280 t capacity. Used as short term storage of detector components, prior to their lowering into the main cavern. It also acts as a shelter from the external environment and allows better control of the conditions in the cavern. This building was the first to be structurally achieved allowing the infrastructure works to take place in dry conditions.
- SDX1, located on top of the personnel access shaft and used for the personnel and material access control to the underground areas. It also contains the uninterruptible power supplies for the detector services, an electrical sub-station, and the DAQ room.
- SUX1, the ventilation building, containing the water chillers and air-conditioning units for the underground areas and the new surface buildings.
- SCX1, the main ATLAS control building, used during the detector assembly phase as an engineering design office.
- SH1, a concrete building, containing the noisy cryogenic compressors and other cryogenic equipment.
- SF1, the new cooling towers for the final dissipation of heat recovered from all the underground areas and surface buildings via the water cooling circuits.



Figure 9.5: Air ducts installed for ventilation in the shaft and main cavern.

9.4.2 Electrical power distribution

The total electrical power required on the ATLAS site at point 1 is about 13 MW, which has resulted in the installation of a new 66 kV sub-station, the reorganisation of the existing 18 kV sub-station, and a new 3.3 kV sub-station. Some 21 new transformers with a total power of 42 MW have been installed both on the surface and underground to bring the 3 kV or 400 V/230 V power to all ATLAS systems.

There are different power networks to deal with the appropriate levels of continuous and safe operation:

- Assured power: the normal power is backed up by two diesel generators of 1 MW each, located on the surface;
- Secured power: the technical solution is as for assured power, but it is not interrupted when emergency stop buttons are activated;
- Un-interruptible power: these very critical systems are fed by battery back-ups with a total power of approximately 700 kW.

9.4.3 Air-conditioning and cooling systems

The global environmental requirements in the bulk of the ATLAS cavern are driven by general considerations and by more specific ones from the muon spectrometer chambers. The temperature should remain stable at $25\pm 3^{\circ}\text{C}$ and the humidity should be between 25% and 60%. The cooling and ventilation installation was one of the first infrastructure items to be installed, once the surface buildings had been handed over to CERN, in order to rapidly provide ventilation to the underground

Table 9.4: Overview of main characteristics of the major cooling systems operating in the ATLAS cavern.

System	Medium	Capacity (kW)	Channel count	Operating temperature (°C)
Tile calorimeter	Water	55	24 cooling loops supplying 256 tile fingers	17 to 22
LAr calorimeter	Water	250	24 cooling loops supplying 60 electronic boxes	17 to 22
Diffusion pumps	Water	50	12 cooling loops supplying 26 diffusion pumps	14 to 19
Muon spectrometer and general-purpose stations	Water	300	26 cooling loops supplying CSC's plus racks for small and big wheels	17 to 22
ID evaporative (pixel and SCT)	C ₃ F ₈	60	4 distribution areas supplying 204 cooling channels	-30 to 10
ID mono-phase (TRT)	C ₆ F ₁₄	70	4 distribution areas supplying 176 cooling channels	14 to 22
ID mono-phase (cables)	C ₆ F ₁₄	80	32 distribution manifolds placed all over the detector	14 to 22

areas. This installation included new cooling towers in SF1, the liquid chillers in SUX1, 16 air-handling units in SUX1, SDX1, SX1, and USA15 and kilometres of water pipes and air-ducts. The system is able to cope with emergency cases in fast-extraction mode (see section 9.9).

One of the most challenging tasks was the installation of air supply and extraction ducts in the PX14 shaft, as shown in figure 9.5, and on the vault of the UX15 cavern, this system having the task of extracting the 200 kW of heat released into the air of the cavern by the ATLAS detector. The air-conditioning system has been up and running since summer of 2004. Provision has been made for thermal screens to be incorporated inside the detector to prevent a too large temperature gradient across the inner layer of muon chambers.

Table 9.4 summarises the characteristics of the main cooling systems used for extracting the heat from the detector itself (silicon-sensor leakage currents and ionisation in the TRT gas, as summarised in table 4.10), from the on-detector and off-detector electronics, and from dissipation in the power cables. Most cooling systems are leakless by design and the inner detector has chosen fluorinert systems to minimise risks to the detector in case of leaks. In the case of the pixel and SCT sub-systems, a novel and complex evaporative system has been designed and brought into operation to minimise the amount of material devoted to cooling pipes, fluids and connectors on the detector itself. The detector-specific cooling systems are installed in the USA15 side cavern and have been brought into operation in 2005 and 2006.

9.4.4 Gas distribution

The ATLAS detector requires a variety of gases for its normal operation. Table 9.5 summarises the characteristics of the main gas systems used for normal operation.

The surface gas building SGX1 was designed for the storage, distribution, and mixing of inert and flammable gases in accordance with the CERN regulations. The building was completed

Table 9.5: Overview of main characteristics of the gas systems operating in the ATLAS cavern.

System	Gas mixture	Pressure (bar)	Volume (m ³)	Channel count	Impurity limits
TRT active gas (normal operation)	Xe/CO ₂ /O ₂ 70/27/3	1.005	2.5 (detector) 2.0 (gas system)	48 for barrel 14 per end-cap	< 100 ppm CF ₄
TRT active gas (cleaning mode)	Ar/CO ₂ /CF ₄ 70/26/4				< 100 ppm H ₂ O
TRT ventilation (flushed to atm.)	CO ₂ (barrel)	1±0.001	2.9	48	< 100 ppm H ₂ O
TRT cooling (closed loop)	CO ₂ (end-cap)	1±0.001	6.0	4	< 1% N ₂ < 100 ppm H ₂ O
SCT ventilation (flushed to atm.)	N ₂	1.004		4	< 350 ppm H ₂ O
Pixel ventilation (flushed to atm.)	N ₂	1.004		1	< 350 ppm H ₂ O
ID ventilation (flushed to atm.)	CO ₂	1.0005		13	< 1% N ₂ in ID
MDT active gas	Ar/CO ₂ /H ₂ O 93/7/(≤ 1000 ppm)	3 (abs.)	710	112 for barrel 81 per end-cap	< 100 ppm O ₂
CSC active gas	Ar/CO ₂ 80/20	1±0.001	0.5	32	< 100 ppm O ₂
RPC active gas	C ₂ H ₂ F ₄ /Iso-C ₄ H ₁₀ /SF ₆ 94.7/5/0.3	1±0.001	14	128	< 1% O ₂ < 100 ppm H ₂ O
TGC active gas	CO ₂ /n-pentane 55/45	1±0.001	16	128 for active 56 for CO ₂ purge	< 5 ppm at entrance of n-pentane liquefier

in 1997. Large quantities of liquid gases are stored, both inside and outside this building, in particular N₂, CO₂, Ar, Xe, He, C₂H₂F₄, CH₄, C₄H₁₀, and n-C₅H₁₂. The building is also fitted with an anti-deflagration roof, mechanical ventilation, permanent gas extraction and gas detection, and an alarm system. The mixing room contains the mixing systems for the different sub-detectors. The TRT, MDT's, CSC's and RPC's use non-flammable gases. Only the muon TGC's will use flammable gas (CO₂/n-pentane).

The underground installation consists of a large network of stainless-steel pipes, which convey the gases to the gas room located in the USA15 cavern and then to the gas racks in the main cavern. From the gas racks, many kilometres of pipes have been installed. They connect to all the different types of muon chambers (see section 6.9.1) and to the inner detector (see section 4.2.2).

9.4.5 Cryogenic systems

The ATLAS detector includes two independent systems requiring cryogenic technologies: the superconducting magnets and the liquid argon calorimeters. The cryogenic systems for the magnets and the LAr detectors have each been divided into three parts:

1. External cryogenics, which comprise all the equipment needed to provide the required cooling capacity at given temperature levels, including refrigeration plants and infrastructure. This equipment is located on the surface in the SH1 building and underground in the USA15 cavern. Also, six large helium gas storage tanks (3 m diameter, 21 m long) have been installed on the surface behind the SX1 building.

2. Proximity cryogenics, which comprise all the equipment linking the internal cryogenics to the external cryogenics. This equipment is located in the main cavern, on the steel structure (HS) that surrounds the detector (see section 9.5).
3. Internal cryogenics, which comprise all the devices located inside the system concerned (magnets or liquid argon calorimeter).

The cryogenics systems for the magnets are described in some detail in section 2.1.4.2. This section is devoted to a brief description of the cryogenics systems for the LAr calorimeters.

The primary cooling source for the LAr calorimeter installation is a 20 kW nitrogen refrigerator, which operates at 80 K. Under normal circumstances, the LAr cryostats are filled only once and the liquid argon is never replaced. The argon is kept in the liquid phase by cooling it with liquid nitrogen (which has a slightly lower boiling point than argon) circulating in cooling pipes surrounding the calorimeters. These cooling pipes are also used during the cooling down of the cryostats. The flux and pressure of the liquid nitrogen are regulated such that its boiling point temperature corresponds to the cooling power required to keep the liquid argon at its operating temperature.

The compressor station is placed in the SH1 surface building and the cold box in the USA15 side cavern. The high- and low-pressure gas lines connecting these two items pass through the PX-15 shaft. The cold box delivers its cooling power to a 15,000 litre phase-separator dewar placed in the main cavern. Two 50,000 litre liquid nitrogen storage tanks placed on the surface will supply liquid nitrogen to the phase-separator dewar via a 283-metre long transfer line, in case of problems with the nitrogen refrigerator system. A cryogenic centrifugal pump circulates the liquid nitrogen from the phase-separator dewar through the thirteen heat exchangers placed in the liquid argon cryostats. Each cryostat has been equipped with a valve box, which regulates the mass flow and pressure of the liquid passing through each of the individual heat exchangers. These valve boxes are placed on the HS surrounding structure.

The gaseous nitrogen coming from the heat exchangers is returned to the phase-separator dewar and from there returned to the nitrogen refrigerator system or, in case it is not operational, vented to the surface through a 120-metre long gas line. The three cryostats, placed at the heart of the detector, are linked by large-diameter argon lines to their individual expansion vessel placed on the HS structure. The liquid/gaseous argon boundary of each of the cryostats is located in these expansion vessels.

The need to move the calorimeter end-cap cryostats over a 12 m distance required the implementation of a movement system for the argon and nitrogen lines connecting these cryostats with their expansion vessels and the nitrogen regulation valve boxes. These movement systems are located on the HS structure and are described in section 9.7. Figure 9.6 shows the underground layout of the proximity and external cryogenics for the LAr calorimeters (shown as if installed alone for convenience). One can clearly see the fixed cryogenic lines supplying the barrel calorimeter at the top and also the cryogenics lines in the flexible chains, which supply the two end-cap calorimeters and which follow them whenever they have to move for access and maintenance of the detector.

The 84 m³ of liquid argon present in the cryostats can, in the event of problems, be emptied by gravity into two 50 m³ argon storage tanks placed at the lowest point of the main cavern. A

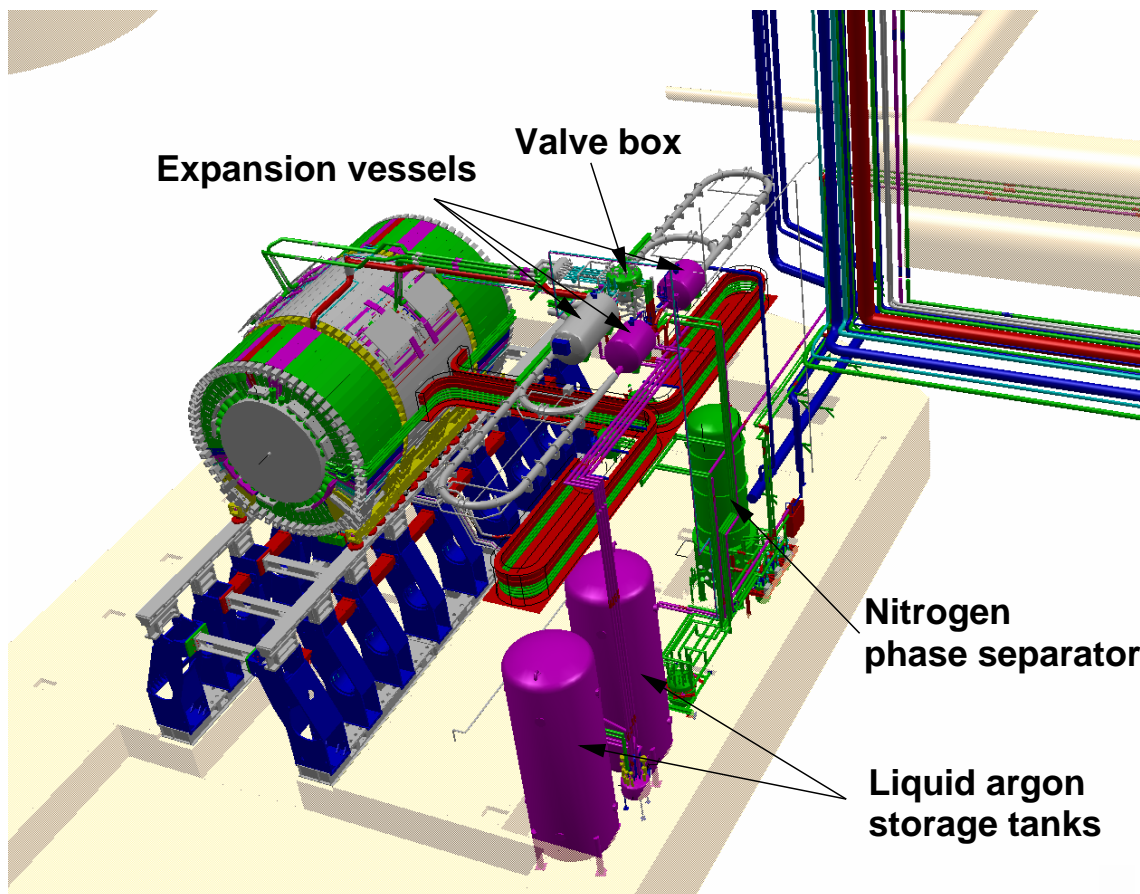


Figure 9.6: Layout of the underground external and proximity cryogenics lines for the LAr calorimeters.

DN500 safety valve line collects any gas coming from the pressure safety valves placed on the cryostats, or storage tank volumes venting it to the surface.

9.4.6 Racks and cables

Prior to the start of the civil engineering work, the detailed cabling and rack needs were not known. The consolidated data from the various sub-systems was provided only much later and the correct provisions for the distribution of cables from the detector to the electronics racks in the counting room and service caverns were therefore made just before the start of the installation work. These included:

- locations for 100 racks in the main cavern, supported from the HS steel structure which surrounds the detector;
- the arrangement of the service cavern and counting room (USA15) with provision for 250 racks on two floors, equipped with a 2.5 MW water-cooling system;

- two main cable distribution galleries, which connect the main cavern to the counting room with provision for 44 cable trays, each $600 \times 100 \text{ mm}^2$ in cross-section;
- the distribution of these 44 cable trays on the HS supporting structure around the perimeter of the detector and to the HO supporting structure on the end-walls of the cavern (see section 9.5).
- locations for 70 racks in another service cavern (US15) together with about 20 holes, each 300 mm in diameter, through the 2 m-thick connecting wall for the passage of cables, with the associated cable-tray distribution system in the existing false floor and with a dedicated cooling system of 500 kW capacity;
- locations for 100 racks in a self-contained data-acquisition room in the SDX1 surface building with a 500 kW total water-cooling capacity and a dedicated 100 kW air-conditioning system.

The various electronics units for the detector are thus installed in racks, implanted in USA15, UX15 and US15 in the underground areas, and in the data-acquisition room on the surface. The total number of racks (electronics, gas and water) for ATLAS amounts to approximately 500. The Rack Wizard tool mentioned in section 9.2 is essential to monitor the evolution of the racks with time. The power requirements, specific contents and the connections are constantly updated as they evolve: this is required not only for the maintenance of the detector and the understanding of its evolution on the long term, but also to meet (Installation Nucléaire de Base) regulations (see section 9.9).

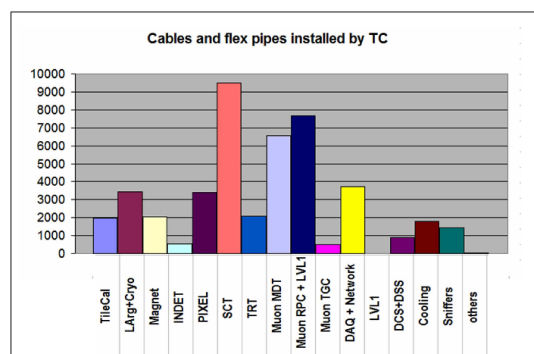


Figure 9.7: Quantities of cable and flexible-pipe bundles installed by the cabling team.

It was necessary to design new types of racks with respect to what existed in the previous projects, due to the increased power consumption, which therefore required more cooling capacity. The rack cooling is provided by turbine units located at the top of the racks, which push the air down in ducts at the sides of the racks. A deflector at the bottom directs the air upwards through the equipment to be cooled, which is interspersed with heat exchangers. The turbine units comprise an ELMB-based monitoring system (temperatures, humidity, air flow, etc.), which is supervised by the common infrastructure control of the DCS (see section 8.5). It was also found useful to increase the width of some racks. All these requirements have led in the end to the production of four types of racks, from 46 to 57 units in height, from 900 to 1000 mm in depth, and 600 mm in width.

Most of the installed cable trays are made of stainless steel to minimise the perturbations to the magnetic field (see section 2.2.2). A total of about 50,000 cable bundles, 3000 flexible pipes and 3500 metallic pipes (see figure 9.7) were installed over a period of two years (May 2005 to May 2007). Many additional proximity cables were installed by the individual sub-systems. Space had to be found to route the large quantity of cables and pipes of the inner detector and barrel

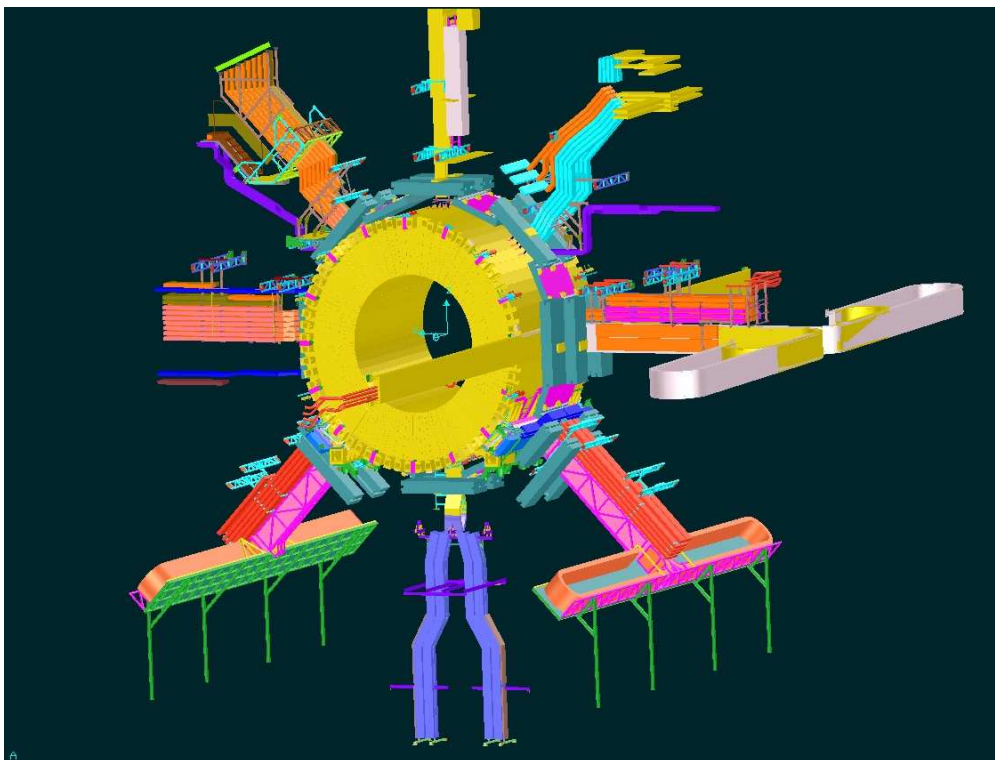


Figure 9.8: Detailed three-dimensional layout and routing of cables and services for the ATLAS barrel system. The three flexible chains for the end-cap calorimeters can be seen in the horizontal plane (right) on the side where the end-cap calorimeter trigger cables can reach the main service cavern (USA15) along the shortest possible path, and at 45° below the horizontal plane. One also sees the cryogenic lines for liquid argon at the top and bottom of the drawing. The inner barrel muon chambers in the central region are shown. One clearly sees the holes in the acceptance caused by the considerable volume of services exiting the detector at $z \sim 0$.

calorimeter systems through the muon spectrometer; this was accomplished by routing most of them radially outwards at $z = 0$ and at fixed azimuthal locations, as illustrated in figure 9.8. All the relevant cable and pipe data are stored in the cable database and constantly updated for the same reasons as those described for the racks above.

9.4.7 Drag-chains and mobile services

Many of the ATLAS sub-systems must move away from the run position to allow access into the detector. As well, the end-cap calorimeters need to remain in a cold bath of liquid argon for the duration of the experiment. The end-cap toroids are cooled with liquid helium and these are also to remain cold during the movement to avoid the lengthy cool-down and warm-up periods (20 to 40 days).

In order to satisfy the above requirements it was decided to use so-called drag-chains, which allow for the services to be supported in a flexible structure. They are used as follows:

- For each end-cap calorimeter there are three chains, as shown in figures 9.8 and 9.9, non-standard commercial products, each around 30 m-long with parts in stainless steel, specifically developed for this application. They also have a force-assist system that enables the chain to be pulled back into its stored position when the calorimeter is being closed. Particularly challenging was the construction of two of the three chains, which are at 45° downwards with respect to the beam axis.
- For each muon inner layer (small wheel) there are four chains, each about 3 m in length inside the ATLAS detector.
- For each end-cap toroid there are two chains. These are in aluminium and have been custom made. They run unsupported over a 9 m length at 24 m from the floor when the toroids are moved to their fully open position off the beam axis.

9.4.8 Grounding and electromagnetic compatibility

The ATLAS detector consists of many complex components, installed and operating in contiguous volumes, resulting in a large amount of installed equipment with multiple interconnections and shared services. For these reasons, the performance of the detector components could be heavily affected by electromagnetic interference or induced electronic noise, if these issues are not properly taken care of already at the design stage and systematically followed up on during installation. This is particularly important for detector systems with a large dynamic range and with analogue front-ends and/or readout.

An ATLAS policy was developed and adopted already in the design phase [127, 245] to minimise possible electromagnetic interference effects. Apart from safety considerations, one of the main concerns has been the prevention of ground-loop currents which could couple to the signals of the detector systems. The proposed implementation of the ATLAS policy on grounding and electromagnetic compatibility has been put in place during the construction and installation phases [128]. The main guidelines can be summarised in three rules: all detector systems are electrically isolated, there are no connections to ground other than through the safety network, and there are no connections between different detector systems.



Figure 9.9: The end-cap calorimeter on side A in its fully open position with all three drag-chains and the flexible LAr fill-line connected.

Even though these rules have been implemented and validated early on in the design phase, it has been a challenge to preserve the electrical isolation of certain large systems during installation. For these reasons, several alarm systems [128] have been installed and operated to ensure that the various detector components remain electrically isolated and grounded to single points. This effort was especially relevant for large structures with many connections like the LAr cryostats, which act as a support for other detector elements and are themselves supported by other parts of ATLAS (see section 5.6.1.1).

9.5 Support and access structures

9.5.1 Feet and rail system

The feet and rail system is shown in figure 9.10 shortly after installation in the pit and before the lowering of the first barrel toroid coil. This system is the main support, the back-bone, of the ATLAS detector. It is made of nine pairs of feet, bound by girders that altogether support the two bottom coils of the barrel toroid magnet. On top of these feet are two rails, and their supports, on which the central part of ATLAS can slide. The total load that the feet and rail system has to cope with is about 6000 t (of which approximately 1000 t correspond to the barrel toroid, which is only supported by the feet). The feet and rail system is mounted on bed-plates, which give the detector its 1.24% incline with respect to the cavern floor, an angle which matches the inclination of the LHC accelerator tunnel.

Since the two bottom toroid coils are placed inside the feet, there was a strong requirement for the material to be non-magnetic. In addition, the total deformation was to be kept to a minimum, and stresses well below the elastic limit. Low-carbon austenitic stainless steel was chosen for its good mechanical properties and very low magnetic permeability. One of the main technical issues has been to produce non-magnetic welds for such a huge number of welded joints (up to 15 t of filler metal in total).

In order to obtain a precise and reproducible geometrical path of the loads during the movement of the sub-detectors on their air-pad movement systems (see section 9.7.2), and also to preserve the integrity of the beam vacuum system (see section 9.8), the flatness requirement on the rails was one millimetre over their total length (more than 25 m), and 0.2 mm over any length of one metre. The maximum deflection of the system remains below 1 mm during the movement of the loads.

The requirement to preserve maximum acceptance for the muon spectrometer resulted in special chambers in the region of the feet and additional chambers alongside the rails (see section 6.3.2). Numerous improvements in the feet design were introduced to cope with constraints from the muon alignment system, with various designs of the muon support rails, as well as with the barrel toroid magnet instrumentation and contact surfaces.

9.5.2 Trucks

The so-called HF trucks are normal steel structures, which are placed directly below the two shafts of the main cavern. They allow for the main components of the detector to be lowered underground

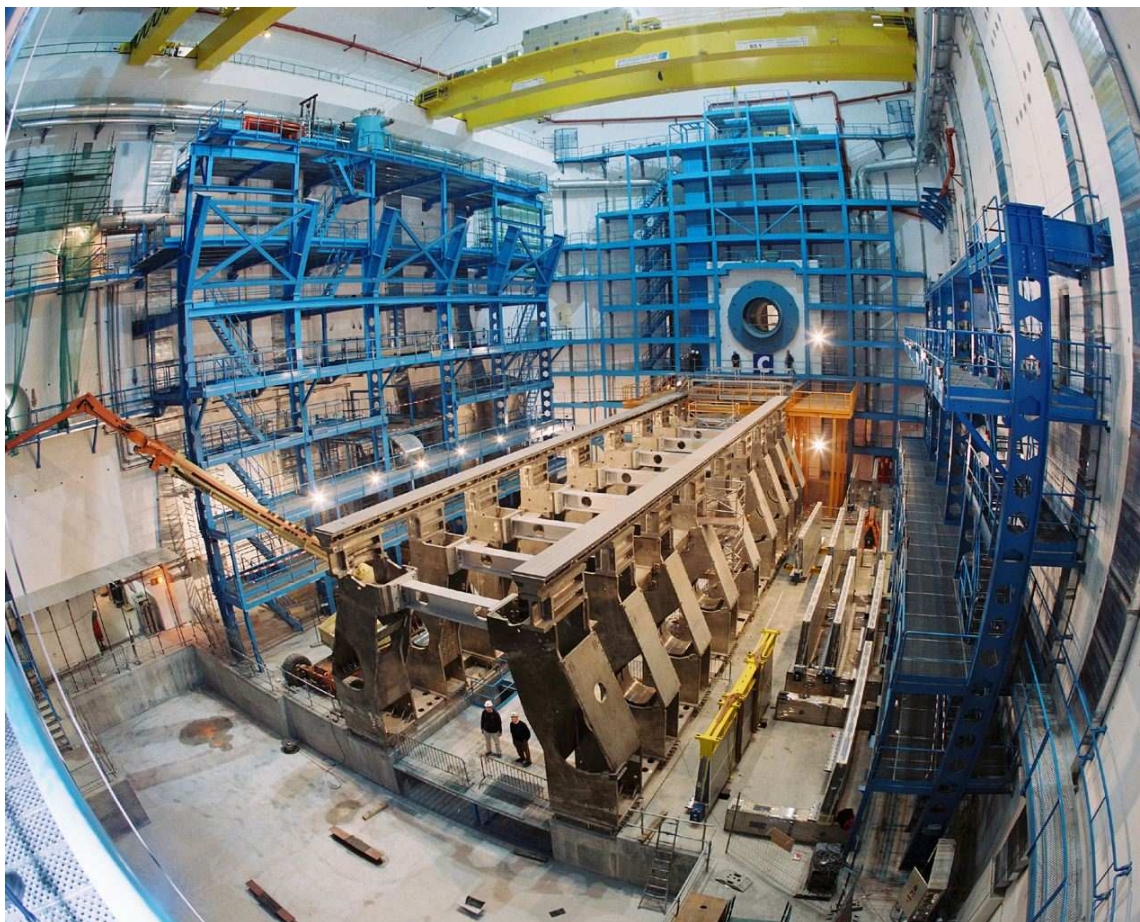


Figure 9.10: The ATLAS feet and rail system after installation and prior to the installation of the first barrel toroid coil. Also shown are the blue steel surrounding structures (HS and HO), and, in the background, one of the orange HF trucks.

using the surface cranes and remain there temporarily before moving into their final position, either by using the cavern travelling cranes (as for the barrel toroid magnet coils) or by using the air-pad movement systems (as for the calorimeters).

These structures also have the role of supporting the end-cap toroid magnets, as well as the end-cap calorimeters, in the opening sequences of the ATLAS detector (see section 9.7). During installation, they also support the forward shielding, whereas they will only support part of it when it is inserted in its final location. They are therefore able to cope with the 1000 t of maximum static load from the barrel calorimeter and they have to allow for the translation of the end-cap toroid magnets and of the forward shielding away from the beam (total weight of 400 t). For such movements, air-pads will be attached to the base of these structures to allow them to slide on the cavern floor.

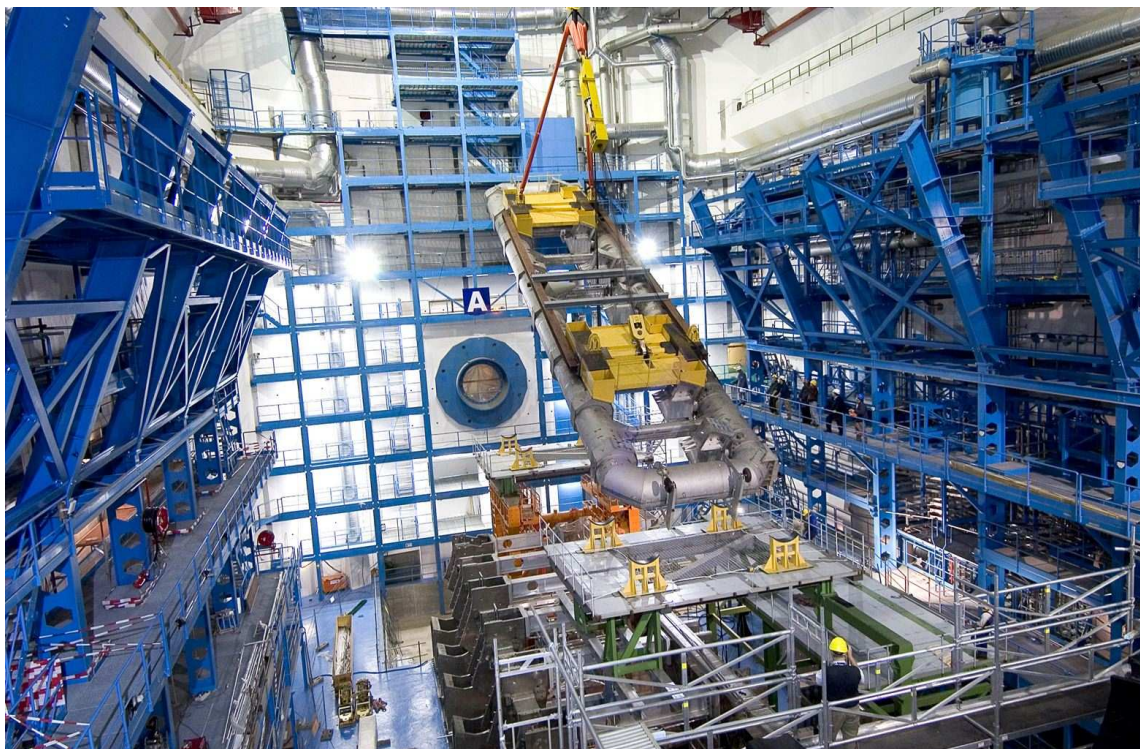


Figure 9.11: The blue support structures (HS on the sides and HO at the ends of the main cavern) at the beginning of ATLAS installation. The arches which now connect the two sides of HS at the top of the main cavern were left out at the time for the installation of the barrel toroid. A barrel toroid coil is in the process of being lowered onto its temporary supports (see section 9.6).

9.5.3 Surrounding structures (HS and HO)

The blue HS structures, which surround the ATLAS detector, as shown in figure 9.11, have dual roles of providing personnel access to the periphery of the detector and to support all the equipment that has to be located close to the detector: proximity cryogenics, electronics racks, gas-distribution racks, electrical switchboards, and services distribution lines (gas, water, coolants, power). These structures were the most tricky to assemble, since they are very close to the detector in certain places and had to be assembled in two stages: the large pillars and gangways up to a height of 20 m were installed at the same time as the HO structures, but the tops of the HS arches were installed only after the completion of the installation of the barrel toroid magnet and with very little margin left. The two structures, which span distances of more than 20 m in three dimensions had to match each other to within 2 cm. The last arch was finally and successfully installed at the end of 2005.

The main role of the HO structures, which are to be found at the two ends of the cavern, are to support the end-wall muon chamber stations. They also serve as a useful means of assembling the sectors of the muon big wheels, before they are hung from the rail system. These structures also serve as viewing platforms for the thousands of visitors to the ATLAS cavern. Approximately 1000 t of normal steel have been used to build these 13-storey-high structures.

9.5.4 Muon barrel access structures

The aluminium access platforms inside the barrel muon spectrometer have several functions:

- permanent access inside the barrel toroid, so that the muon chambers and their service connections can be accessed in a very short intervention (for example, to disconnect the gas supply to a specific chamber);
- permanent access to the patch-panels of the inner detector (PP2), so that they can also be accessed during a very short intervention;
- an emergency exit (through sector 1) in case of access to the barrel calorimeter;
- access for the installation of the barrel muon chambers;
- access to the vacuum pumps of the barrel toroid.

9.5.5 Big wheels

The muon spectrometer (see section 6.1) includes four big moving wheels at each end, each wheel measuring 23 metres in diameter (see figure 9.12). Of the eight wheels in total, six are composed of thin-gap chambers (TGC's) for the muon trigger system and the other two consist of monitored drift-tube chambers (MDT's) to measure precisely the position of the muons. The so-called big wheels comprise aluminium structures which support the muon end-cap chambers. These big wheels resemble bicycle wheels and are made of sectors, which had been pre-assembled on the surface prior to their transport to the cavern, where they were assembled on the end-wall HO structures. Once one of the wheels is completed on the HO structure, it is lifted onto the traction system, which allows it to move longitudinally towards the barrel toroid magnet and reach its final position in the closed configuration of the detector. It is important to note that the big wheels in their final position need to be inclined with a slope of 1.24% with respect to the vertical to account for the angle between the horizontal cavern floor and the inclination of the machine tunnel.

9.6 Detector installation

The installation of the detector can be sub-divided into six main phases, which are briefly described below. The barrel toroid magnet, once installed, occupies the central region of the cavern leaving the two sides, A and C, for the lowering and assembly of the remaining large detectors and magnets.

9.6.1 Phase 1: infrastructure in the main cavern, feet and rails

The main cavern was handed over to ATLAS in May 2003. The first operation was to install the general infrastructure (metallic structures around the cavern walls, temporary electricity and lighting, ventilation ducts, and the overhead travelling cranes).

With the steel structures installed, the first elements of the ATLAS detector to be brought down were the bed-plates, which were bolted to the concrete cavern floor. After the bed-plates, the stainless steel support feet, 18 in total, were lowered one by one and installed. The main rails

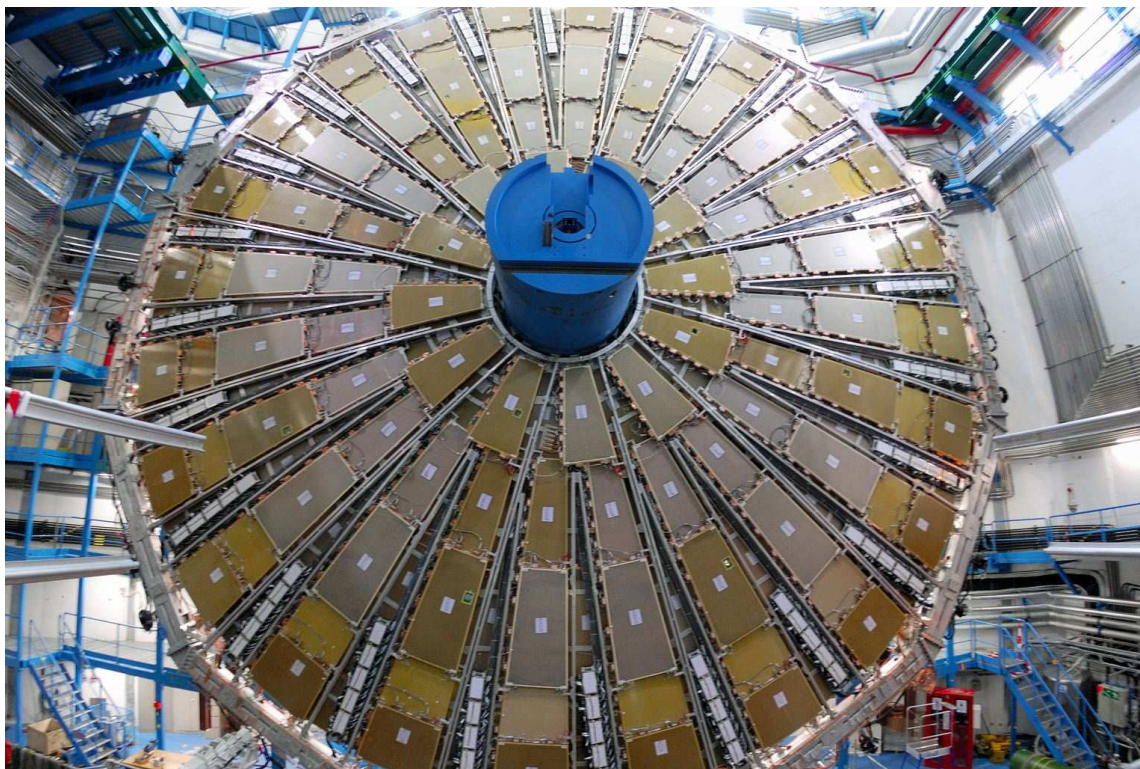


Figure 9.12: One of the assembled TGC big wheels in the ATLAS cavern. The chambers are fixed to an aluminium structure, which was pre-assembled into sectors on the surface and then assembled as a complete wheel in the cavern itself.

were installed and surveyed once positioned on the feet. The feet provide the mechanical support for most of the ATLAS sub-systems, namely the barrel toroid magnet, the calorimeters, the barrel muon chambers, the end-cap toroid magnets, the services and the access structures, amounting to about 6000 t.

9.6.2 Phase 2: barrel calorimetry and barrel toroid

Side A: barrel toroid. The first barrel toroid coil was delivered to point 1 in October 2004. The coil with its weight of 100 t and total length of 25 m, was lifted by the surface crane, tilted with hydraulic winches, lowered, in an inclined orientation, through the 18 m diameter shaft down into the cavern. It was then turned back to the horizontal orientation, before being lowered onto the temporary supports (see figure 9.11). From there, it was picked up by the two 65 t underground travelling cranes and put into its final position inside the ATLAS feet. Once the coils were in position, the aluminium struts and girders were installed so that the next coil could be attached to them. This process was repeated until the assembly was completed. In parallel with the barrel-toroid assembly, the first 100 muon barrel chambers were installed in between the struts/girders and the ATLAS feet.



Figure 9.13: Lowering of the barrel LAr calorimeter down to the cavern in October 2004. The first barrel toroid coil can also be seen on a temporary support platform before it is installed in the cradles of the feet.

Side C: barrel calorimeter. The lower part of the tile calorimeter was lowered in March 2004. Individual tile calorimeter modules were then assembled together, one by one, until 32 of the 64 modules were completed. The LAr barrel calorimeter cryostat was then lowered into this half-cradle in October 2004, as shown in figure 9.13. The tile module assembly was then continued until the mechanical assembly of the full barrel calorimeter was completed.

Barrel: completion of barrel toroid and calorimeter installation. The last aluminium girder was put in place in September 2005, completing the mechanical assembly of the barrel toroid structure. Then the hydraulic jacks, which were supporting the complete structure during the assembly, were released. At this moment the load was transferred from the external temporary supporting structure (used during the magnet assembly) to the support feet at the bottom. The temporary support structure was then cut and removed to give space for the barrel calorimeter, which was moved inside the barrel toroid in October 2005.

9.6.3 Phase 3: end-cap calorimeters and muon barrel chambers

Side C: end-cap calorimeter. With the barrel calorimeter installed inside the bore of the magnet, the space on side C was now vacant for the assembly of the first end-cap calorimeter. This assembly was very similar to that of the barrel and was finished in January 2006. It was then moved inside the barrel toroid in February 2006, once the installation of the services (pipes, cables, etc.) was completed.

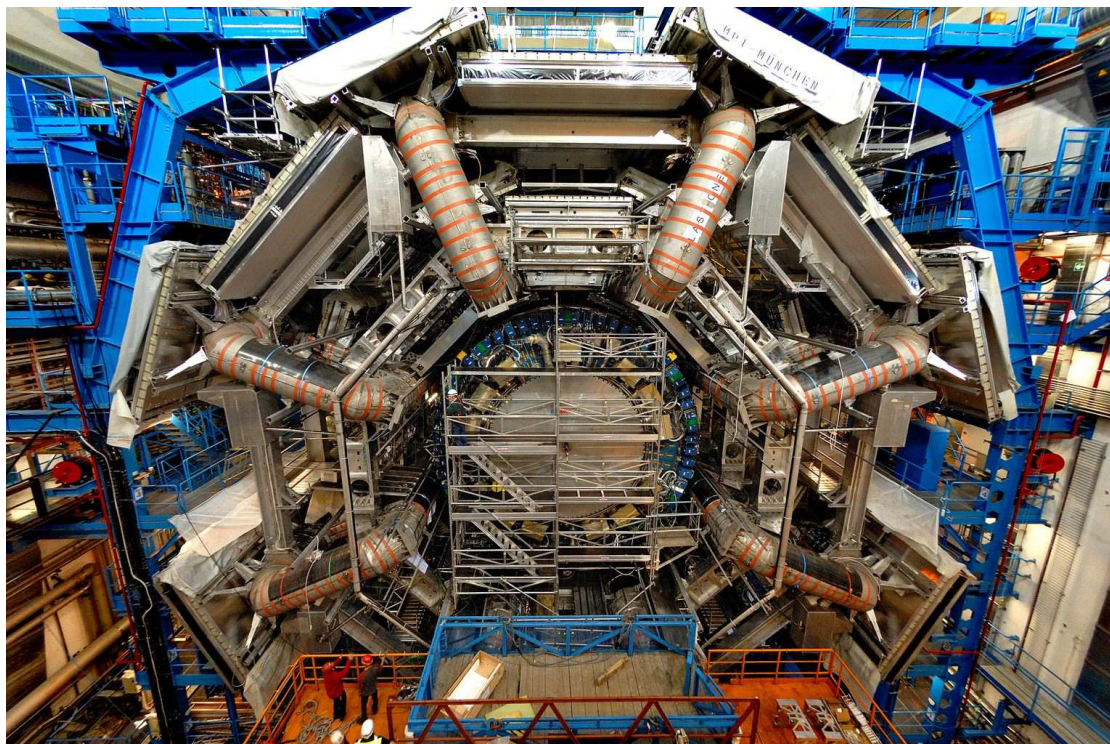


Figure 9.14: View of installed barrel muon spectrometer stations and end-cap calorimeter on side A.

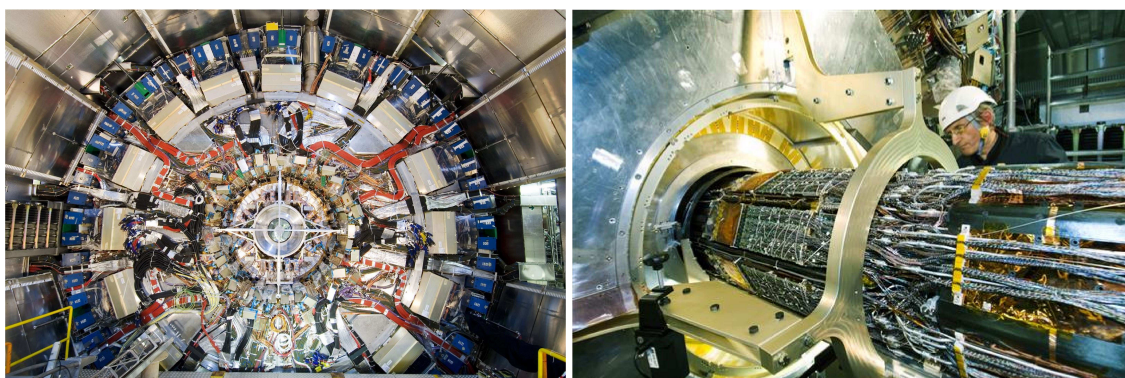


Figure 9.15: View of barrel calorimeter and inner-detector end-flange after installation of the first inner-detector end-cap in early June 2007 (left). This was followed shortly thereafter by the installation of the second inner-detector end-cap and of the pixel detector with the central VI section of the vacuum pipe (right).

Side A: barrel muon chambers and end-cap calorimeter. On side A, the first of the 656 barrel muon chambers was installed in February 2006. When the assembly of the second end-cap calorimeter started in March 2006, it had to be carried out in parallel with the muon-chamber installation. The second end-cap calorimeter was mechanically completed in May 2006. It stayed outside the barrel toroid for a further two months for the installation of the services while the end-cap calorimeter on side C was moved to its nominal position and the magnetic field of the solenoid was switched on and measured in June 2006 (see section 2.2.4).

9.6.4 Phase 4: muon big wheels, inner detector and completion of muon barrel

Side C: big wheels. In April 2006, work started on the first end-cap muon middle station (often referred to as a big wheel) with the mounting of the tooling on the end-wall structure (HO). The first sector was installed in July 2006. Work progressed with an average rate of two sectors per week and this first wheel was mechanically completed in September 2006. After installing the services, the wheel was released from the end-wall structures and moved against the barrel magnet in November 2006.

In March 2007, the second of four big wheels was completed and the first wheel was then opened for the lowering of the inner detector end-cap C. Also all the remaining barrel muon chambers were installed before closing the end of the barrel on side C with the completed big wheels.

Side A: big wheels. After finishing the solenoid field mapping, the barrel section of the inner detector was lowered and installed inside the bore of the barrel cryostat in August 2006. While the work on the connections of the inner detector services continued, the end-cap calorimeter was moved partially inside to allow space for the completion of the muon barrel chambers. By the end of December 2006, 600 chambers or 90% of the total, had been installed (see figure 9.14).

In January 2007, the preparations for the muon big-wheel assembly started. The first sector was installed in March 2007, because the end-cap calorimeter needed to be moved to the open position to allow the lowering of the first inner detector end-cap.

Barrel. The installation of the barrel muon chambers continued in parallel with the assembly of the first muon big wheels. The installation of the services for the inner detector, calorimeters and muon chambers also continued. In May and June 2007, the two inner detector end-caps and the pixel detector together with the central VI section of the beam-pipe (see section 9.8) were lowered into the pit and installed, as shown in figure 9.15.



Figure 9.16: Lowering of the first end-cap toroid magnet onto the truck on side A in June 2007. One of the TGC big wheels can be seen on the right of the picture.

9.6.5 Phase 5: end-cap toroid magnets and muon small wheels

The two end-cap toroids were lowered onto the trucks in June-July 2007, as illustrated in figure 9.16. The muon end-cap small wheels were assembled to the shielding disks on the surface and installed in February 2008 (see also chapter 11).

9.6.6 Phase 6: beam-pipe and forward shielding

The last elements to be installed will be the beam-pipe and the forward shielding, and this will require that all the sub-systems are progressively moved into their closed positions along the beam axis.

9.7 Access and detector opening

9.7.1 Access scenarios

Three access scenarios have been defined, depending on the duration of the shutdown period and the degree of dismantling of the detector. These can be characterised as follows:

1. Very short accesses are typically of the order of a few hours. Such accesses can be provided immediately after the machine shut-down. They can happen on a daily basis, but are not scheduled. As a consequence, no detector components are moved and the access shaft to the surface is not opened (there is therefore no crane access through the shaft). All magnetic fields stay on.
2. Short accesses have a duration from a few weeks to five months. The shorter ones will be based on the needs of the ATLAS sub-systems. In agreement with the other sub-systems, the other LHC experiments and the LHC machine, such accesses can be provided for a short period. Short access is also considered as the standard configuration during the annual LHC shut-down for a period of approximately five months.

During such accesses, the cavern shaft is opened so that crane access to the surface is possible. The removable elements of the forward shielding (see section 3.2) are brought up to the surface, while the muon big wheels, the end-cap toroids, the small wheels and the end-cap calorimeters can be moved along the beam axis. The beam-pipe is left in place, but at atmospheric pressure, and flushed with very pure neon gas (see section 9.8). All magnetic fields are turned off. A maximum of ten persons are allowed inside at each end of the detector.

3. Long accesses are dedicated to the inner detector and small-wheel removal and installation. Such accesses are also for non-standard interventions, which require a break of the beam-pipe. Their duration is the same as that of the LHC annual shut-down (of the order of five months), but their frequency is expected to be much lower and will be related to requests of the experiment for a detector upgrade or for a major maintenance operation. In contrast to short accesses, the beam-pipe is dismantled and one of the end-cap toroids is moved sideways. A second truck is installed along the axis of the detector in order to move back the corresponding small wheel and lift it to the surface. The corresponding end-cap calorimeter

is moved back so that sufficient access is possible to the inner detector. All magnetic fields are turned off. The number of people allowed access is defined according to the evacuation plan of the cavern and the detailed operations which need to be performed.

Given the high levels of induced radioactivity expected in the regions of the detector closest to the beams, as discussed in section 3.5, strict access control and compliance with regulations as laid out in section 9.9 will be of paramount importance during access to any part of the main cavern.

9.7.2 Movement system

During access, a number of sub-systems move into their position on air-pads: the end-cap toroid magnets, the shielding disks (small wheels) and the end-cap calorimeters. The equipment for each detector movement system is basically the same: in the closed configuration, the detectors rest on hydraulic cylinders called blocking jacks. They are equipped with nuts so that the load can be transferred to solid feet, without the need for oil pressure. During movement, the load is transferred from the blocking jacks to the air-pads, which consist of two main components: a rubber air-skirt, which allows the lifting of the detector on a thin film of air, and a hydraulic jack, which allows for the height to be adjusted to a set limit during the movement. Thus, the detector can slide on its rails using the air-pad system with a low friction factor of 0.01. The number of air-pads underneath a sub-system will depend on its weight. They are grouped so that the load is supported by three iso-static points. The movement itself is provided by two hydraulic cylinders, parallel to the rails, and the detectors are moved step by step according to the stroke of the cylinders.

Because of the sensitivity of the detectors to vibrations, shocks, or tilt, the movement must be smooth and well controlled. Moreover, the clearance between detectors and the beam-pipe is only about 15 mm, a distance of similar size to that of the air-pad lift. Therefore a compensation of the pneumatic action has been implemented, so that the sub-system under air-lift is not raised by more than 5 mm. Four height sensors, located on each mobile sub-system, provide feedback to the controller, which drives the hydraulic valves of the air-pads.

The movement of the sub-systems is further complicated because of the services connected to them through the drag-chains, as described in section 9.4. Some of these chains are equipped with their own movement system, therefore it is necessary to monitor these movements with respect to those of the main movement system.

9.8 Beam-pipe

The beam vacuum system represents the main interface between the experiment and the LHC machine. It must therefore fulfil a dual set of requirements:

- the ATLAS requirements, particularly excellent transparency to particles, limited beam-gas backgrounds and conformity with environmental constraints, in terms of radiation, electromagnetic noise and thermal behaviour;
- the accelerator requirements, namely safe operation of the machine, adequate beam aperture and static and dynamic vacuum conditions compatible with the ultimate LHC performance.

The ATLAS beam vacuum system consists of seven beam-pipes of 38 m total length, spanning the distance between the two TAS collimators located at each end of the cavern. They are bolted together with flanges to form an ultra-high vacuum system, which can be fully baked out in situ. The central chamber, called vacuum inner detector (VI), is centred around the interaction point. It has an inner diameter of 58 mm and is constructed of beryllium metal with a thickness of 0.8 mm (see figure 4.34). The remaining six chambers are installed symmetrically on both sides of the interaction point and named after the detector, which supports them: VA (vacuum argon end-cap), VT (vacuum toroid end-cap) and VJ (vacuum forward shielding). They are constructed of thin-walled stainless steel tubes with diameters increasing progressively from 60 mm to 80 mm and finally to 120 mm. Chambers inside different detectors are mechanically decoupled by vacuum bellows, which also serve to absorb thermal expansion during bake-out.

The VI chamber was integrated into the pixel detector on the surface, and installed as part of the pixel package (see section 4.8.1). It is aligned on the beam axis using a system of laser and CCD cameras, which measure the chamber deformation. The VA chambers are centred inside the warm bore of the LAr end-cap cryostats by sliding supports, which allow the detector to move longitudinally along the beam-pipe. Special minimised ultra-high-vacuum flanges, with only 35% of the volume of a standard flange, have been developed to pass through the bore. The VT chambers are held by retractable jack supports on rails in the forward shielding. These can be adjusted from the back-face of the end-cap toroid or fully retracted to allow the end-cap toroids to move longitudinally along the beam-pipe. The VJ chambers are cantilevered from the forward shielding located on the cavern wall, inside a conical support designed to fit inside the opened end-cap toroid. The flanges between the VJ chambers and the TAS collimators are remotely actuated from the outside of the forward shielding, because of the high activation expected in this region at design luminosity.

This supporting system is conceived to allow ATLAS to rapidly move to a short access without the need to open the beam vacuum to air and hence re-activate the Non-Evaporable Getter (NEG) system (see below). However, the chambers are not able to support the stresses induced by offsets expected during opening whilst under vacuum. The chambers will therefore be vented to neon gas at atmospheric pressure, purified to the ppb level by a specially developed gas-purifying system mounted on side A of the HO structure. Neon is not pumped by the NEG system, so the beam vacuum system can be rapidly made operational at the end of a short intervention by simply re-pumping the neon gas.

The main pump used to eliminate desorbed gasses in the system is a non-evaporable getter (NEG) film sputtered onto the whole of the inner surface of the beam-pipe. After activation by heating the beam-pipe to $\sim 200^\circ\text{C}$, this NEG film gives a very high distributed pumping speed for chemically active gasses. Chemically-inert gasses not pumped by the NEG system are removed by two minimised sputter-ion pumps [246] at $\pm 3.8\text{ m}$ and by larger pumps at $\pm 19\text{ m}$ from the interaction point.

The whole length of the vacuum system is permanently equipped with a mass-minimised system of heaters, thermocouples and insulation which allow the NEG system to be re-activated annually. This bake-out system consists of polyimide-foil heaters wrapped with silica aerogel, polyimide tape and aluminium foil. Flexible bellows, pumps and transitions are equipped with semi-permanent flexible heating jackets.

Significant optimisation of the forward beam-pipe chambers is planned for the LHC machine upgrade, as discussed in section 3.5. Stainless steel will be replaced by aluminium or other low-Z materials wherever possible to minimise both the background radiation in the muon chambers and access problems due to beam-pipe activation.

9.9 Safety in ATLAS

The safety responsibilities for ATLAS include the safety of the personnel as well as the protection of the environment, equipment and infrastructure during the installation and the various phases of operation of the detector (data-taking, access and maintenance).

The main risks are located in the underground experimental area, especially in the main cavern and the adjacent technical-service caverns. A risk assessment of these areas has been performed prior to the beginning of the installation. This was continuously revised and updated during installation and commissioning. The main risks are human operational errors, fire, cryogenic-fluid leaks, and radiation during beam operation. There are also dangers linked to the presence of magnetic fields, electrical hazards, laser beams, flammable gases and CO₂ gas. Other risks are related to the mechanical integrity of the detector components, in the case of major incidents or even of seismic events.

Potential risks, pertaining to the installation process of the various components, as well as to all operations of opening and closing of the detector during the shut-down periods, have received special attention. These risks are associated with the difficulties related to working at heights, to multiple parallel activities carried out by various working teams, which have to share the same working space, and to the manipulation tools for heavy objects. In order to minimise such risks, actions are taken at various levels:

- a safety organisation has been established in the experimental area and is enforced with an effective in situ presence;
- all activities are managed via the concept of work packages. Each activity is prepared, described and analysed before work can commence. All safety issues are discussed, and tasks optimised as appropriate to minimise risks;
- access to the underground areas is restricted to specialised and trained personnel;
- safety aspects are considered from the early design phase of the equipment and infrastructure, through all the installation and commissioning phases. For example, the barrel toroid coils have been equipped with surface-mounted heaters to warm the eight magnet cryostats and thus prevent condensation and ice formation in the event of a vacuum loss of the magnet system;
- safety systems have been designed and implemented to detect at a very early stage any possible sources of danger and to activate alarms and trigger the required safety actions;
- all alarm informations concerning underground safety and access are collected and managed in the ATLAS control room by the Shift Leader In Matters Of Safety (SLIMOS). This person acts in real time, a necessary condition to guarantee the highest level of safety for all personnel and equipment.

- specialised safety courses are required for all personnel working underground;
- dedicated courses for people doing specialised work such as electrical power, etc.

9.9.1 Organisation of safety

The ATLAS safety organisation is led by the GLIMOS (Group Leader In Matters Of Safety). The GLIMOS supervises the various activities, the specialised safety officers and the territorial safety officers, who are responsible for the safe operation of the underground areas and surface buildings. The specialised safety personnel includes officers for radiation protection, cryogenics, lasers, flammable gases, and electrical hazards. The territorial safety officers are responsible for the safety of the buildings and underground areas around the ATLAS site. Their duty, in particular for the underground area, is to ensure daily safety controls and visual inspections and to take appropriate actions where required. For the main cavern, given the size and the complexity of the work during installation or access, the territorial safety officer leads a team of technicians.

There is also an external safety coordinator, who leads a small independent team to verify the safety-condition levels inside the experimental area. This team has been active during the construction phase and will be kept operational during the access and maintenance periods. This group is reinforced by a team of engineers, who are in charge of supervising the installation, the commissioning and the maintenance of the various safety systems (see section 9.9.3).

From the beginning of the LHC operation, an additional safety organisation will be put in place around the SLIMOS in the ATLAS control room. The SLIMOS will be continuously on duty, as described in section 9.9.5.

The work packages for the underground activities are agreed upon and are integrated into the general planning to minimise overlap of work and resolve potential conflicts. These work packages cover all activities, from infrastructure or detector installation, to commissioning or maintenance work, and to the movements of heavy objects. A work package is declared active only when all crucial technical and safety issues have been reviewed and agreed upon.

9.9.2 Access control

9.9.2.1 General aspects

Access to the underground areas is restricted to persons who participate in an ongoing declared activity (work package), are authorised and have completed specific safety-training courses. These cover, in addition to the standard general safety training, specific training associated with the hazards which may be encountered in ATLAS: evacuation of the underground areas, cryogenic risks, hazards associated with static magnetic fields, radiation protection, electrical hazards, and handling and removal of equipment inside the caverns.

The control of the access authorisation and the verification of the training and personal biometrical parameters are performed by the LHC access control and safety systems. Personnel and material access control devices are implemented at the top of the lifts and at the entry points of the ATLAS main cavern. In addition to these checks, the access system of the main cavern (UX15) will deliver to each person a safety token during controlled accesses.

9.9.2.2 INB regulations

By a convention signed in 2000, CERN and the French nuclear authorities have agreed to apply the INB (Installation Nucléaire de Base) rules and regulations to the LHC machine and experiments. These rules and regulations govern and impose stringent limitations on the operation, maintenance and future dismantling and disposal of the ATLAS detector. They are written down in two documents, the Règles Provisoires de Sûreté and the Règles Générales d'Exploitation. In particular, they define yearly integrated dose-rate limits and assign specific labels to different regions of the detector depending on the induced activation.

For what concerns the long term and in particular the final disposal of the ATLAS detector, the regions of ATLAS closest to the beams have already been classified as radioactive, whereas regions further away from the beams will remain classified as conventional. This is based on calculations using as input a scenario corresponding to ten years of operation and two years of cool-down.

Detailed rules of operation are therefore required, in particular for managing the flow and traceability of equipment and materials to and from the experiment. The procedures for radiological controls of material from the main cavern are being documented and the ATLAS control procedures will be put in place soon. All equipment leaving the cavern will be measured for radioactivity and tracked.

9.9.3 Safety systems

Following the various risk assessments related to the underground work environment and especially the ones concerning fire and cryogenic leaks, a number of dedicated safety systems have been implemented under the direct supervision of the ATLAS GLIMOS and of the CERN Safety Commission. These safety systems have been designed and implemented so as to detect at a very early stage any event which might endanger the safety of personnel, environment or ATLAS equipment. The readout of most of these systems uses the standard DCS tools described in section 8.5 (ELMB, CANbus and basic communication software).

9.9.3.1 Hazard-detection systems

The main and service caverns are equipped with standard detectors, which detect the presence of smoke inside the infrastructure and service areas of the caverns. The electronics racks have been equipped with smoke-detection points and some of them with an associated CO₂ gas extinguisher system.

Due to the large quantity of liquid argon (84 m³) in the three LAr cryostats, which might fill a large part of the main cavern in only a few minutes with an asphyxiating gas in case of a catastrophic failure, three large trenches have been built in the floor of the cavern. In case of a major leak, the cryogenic liquids and the cold and heavy gases would be contained in these trenches. Access is restricted to these areas and there is an oxygen-deficiency detection system installed. In normal conditions, air is permanently extracted from the lowest point of these trenches. If a leak is detected, the gas extraction can be increased to a massive rate of 32,000 m³/h.

The TGC's in the small and big wheels are filled with a flammable gas mixture (see section 6.8 and table 9.5). Their distribution racks have therefore been equipped with flammable-gas

detection heads. The internal areas of the ATLAS detector are equipped with air-sampling tubes or sniffers, which may detect the presence of smoke, CO₂ or flammable gases, and hence a subsequent deficiency of oxygen. These tubes run on the inside of the various sub-systems and along the detector platforms. They serve as a protection of equipment and personnel working inside the ATLAS detector.

The barrel toroid warm structure, which supports the eight barrel toroid coils, is made of aluminium. In case of a major fire inside the ATLAS detector, the aluminium will begin to lose part of its structural properties at a rather low temperature of approximately 200°C. In order to minimise the risk of any mechanical-instability problem of the toroid warm structure, temperature sensors are fixed on these aluminium parts. These send the temperature information to the ATLAS SLIMOS desk in the control room.

The various safety systems, fixed detection systems and sniffers, generate alarms. Two different alarm-threshold values are defined for each type of detection. The first threshold generates a warning and triggers preventive actions on the ATLAS detectors via the Detector Safety System (DSS). The second threshold indicates that there is a serious danger to the personnel or the environment, which requires the immediate intervention of the fire brigade. In addition, this second threshold also triggers the evacuation from the ATLAS underground areas and immediate actions on the detector via DSS and on the infrastructure (for example, modification of the cavern-ventilation configuration as described above in the case of a cryogenic leak).

9.9.3.2 Foam extinguishing system

In addition to standard fire-fighting means, such as portable fire extinguishers and hose reels, a foam extinguishing system has been implemented in the vault of the cavern. This foam system may be used in the extreme case, to protect the detector and the CERN firemen in the event of a fire getting out of control. The system consists of 12 large blowers installed in the vault of the UX15 cavern which are fed by a mixture of water and detergent and can fill-up the cavern in less than 15 minutes, suffocating any fire. Since this foam has only a 1/1000 water content, personnel trapped in the foam would survive without problems until the foam settles (approximately one hour). Tests have also demonstrated that the foam does not penetrate into electronics racks.

9.9.3.3 Finding people inside ATLAS

The FPIAA system (Finding People Inside ATLAS Areas) detects the presence of persons in all areas of the ATLAS main cavern, including those inside the detector itself. This system does not require any special device to be worn by the personnel. It is based on the use of approximately 500 passive infra-red sensors, appropriately modified to be radiation-tolerant and to operate in a magnetic field. Each one of the 500 small volumes in the cavern and inside ATLAS is continuously monitored: if a person were to disappear without reappearing in the adjacent volume, an alarm would be generated.

9.9.4 Detector safety system

The Detector Safety System, DSS [247], is the central tool to bring (parts of) the ATLAS detector in a safe state in cases when an abnormal operational situation arises or a safety hazard is detected. Its main task is to protect the detector equipment. DSS works ATLAS-wide, i.e. across sub-detector boundaries and including all common infrastructure components of the ATLAS detector. It has its own sensors to detect potentially dangerous situations (e.g. over-temperatures) and receives input from the hazard detection systems described in section 9.9.3. This information is collected by DSS stations distributed over the different counting rooms and is analysed centrally by a redundant system based on programmable logic controllers. In a matrix-like fashion, all input signals can be combined by logic operations to trigger the appropriate action, usually a shut-down procedure of the relevant equipment. This process is fully automatic: operator intervention is only needed to analyse and correct the fault and to bring the detector back into operation. Care has been taken when implementing the DSS system not to rely on external services, such as computer networks or normal electricity supplies. The principle of positive safety has been used throughout, i.e. in case of missing sensor information or possible internal system problems of DSS, all relevant safety actions are executed. A dedicated operator interface in the ATLAS control room provides the SLIMOS with the detailed status of the DSS at all times.

9.9.5 Safety during operation

As described above, the safety organisation and access control will be coordinated during operation around the SLIMOS desk in the ATLAS control room. Responsibility for access control will normally be transferred to the SLIMOS from the central LHC control room (CCC). The SLIMOS will be in charge of controlling in real time the safety conditions inside the cavern via the various safety systems described above. The SLIMOS will also be responsible for providing information to the fire-brigade on the status of the main cavern and the detector, including: beam status, configuration of the detector, detailed instructions for accessing the region of intervention, number of people in the underground areas, radiation levels and environmental conditions, relevant information concerning the status of the ATLAS detector and the infrastructure (cooling, cryogenics, magnets), and status of all possible safety alarms.

9.10 Interface to the LHC machine

For safe and optimal operation of both the LHC machine and the ATLAS detector, the two parties will continuously exchange information about their overall status as well as about the status of relevant individual sub-systems. This data exchange will be used to synchronise actions during the different states of operation, to provide online feedback on tuning operations, to rapidly react to errors, and to understand quickly and efficiently their causes.

ATLAS and the LHC machine exchange most data over the network through the DCS information server (see section 8.5). In addition, dedicated hardware links are used for critical signals that have to be transmitted on time and in a reliable fashion, such as the beam permission signals and timing signals.

Table 9.6: Main operational parameters of the LHC machine for a few configurations: the nominal one (left), the initial one with a bunch spacing of 75 ns (centre), and the specialised one for the measurement of the total cross-section (right).

Machine operation configuration	Nominal	75 ns	Roman pots
Number of bunches	2808	936	43
Number of protons per bunch (10^{11})	1.15	0.9	0.1
Bunch spacing (ns)	25	75	2025
β function at the interaction point (m)	0.55	1–11	2625
Crossing angle (μrad)	285	250	0
Peak luminosity ($\text{cm}^{-2}\text{s}^{-1}$)	10^{34}	10^{33}	10^{28}

The LHC communicates to ATLAS the total beam and individual bunch intensities, the average 2-dimensional beam size, the average bunch length, the luminosity at the four interaction points, the average beam loss, and the average horizontal and vertical beam positions. Table 9.6 lists basic beam properties for some of the interesting configurations envisaged for machine operation.

ATLAS reports to the LHC information that allows the machine to optimise and monitor the conditions of the beams, in particular the quality of collisions and machine-induced backgrounds. Experience from previous colliders shows that the machine-induced background in the detectors is very hard to predict. A number of different factors intervene in a complex manner:

- the local vacuum pressure as well as the vacuum at more distant places, such as the arcs, affects the halo entering the detector;
- inefficiencies of both the betatron and momentum-cleaning systems and the detailed settings of the collimators will also heavily influence the observed background levels;
- other factors, which have a direct impact on the beam halo, are of course the total beam current, the beam tune shift and the orbit positions.

It is therefore of prime importance to the experiment to define reliable background indicators and to communicate them to the LHC control room. These background indicators must be continuously available to the operating crew for monitoring, in particular before stable beam conditions have been reached during the setting-up phase of the machine. They must therefore be available in the experiment independently of the main data acquisition. The ATLAS beam conditions monitor (or BCM as described in section 3.4.1) meets these requirements and will be used in this context.

Among the parameters that ATLAS sends to the LHC are: the total luminosity, the luminosity per bunch, indicators for quality of collisions and amount of machine-induced backgrounds, counting rates for individual bunches, and the position and size of the luminous region.

The 40 MHz bunch clock of the LHC and a pulse per revolution is transmitted from the LHC radio-frequency system at point 4 to ATLAS over a total length of 14 km of optical fibre. Once

received in the ATLAS counting room, these signals are fine-adjusted in phase and distributed via the L1 central trigger processor to all ATLAS sub-systems (see section 8.2.3).

ATLAS receives for each beam one signal from a beam position monitor, which is located 175 m upstream of ATLAS. These signals provide a precise timing reference in order to monitor the phase of the LHC clock with respect to the bunches. In addition, they serve as inputs to the L1 trigger, for which they provide a filled bunch trigger signal for each beam and a time reference with respect to the abort gap of the LHC bunch train.

When in operation, the LHC machine undergoes a sequence of operational modes such as filling, ramping, adjust, stable beams, and unstable beams. The current machine operational mode is received by ATLAS via software, which is appropriate in most cases for synchronising ATLAS operation with LHC operation. Before a state transition, a hand-shake protocol between the LHC and ATLAS is used: the LHC operators request from ATLAS confirmation before going into e.g. the state (adjust mode), where the low- β squeeze and other adjustments take place. A similar protocol is used before a scheduled beam dump by the LHC operator.

A fail-safe and reliable beam interlock system is installed around the LHC ring, with several systems giving permission for beams. The absence of a beam permission signal leads to an immediate beam dump: the safe extraction of the beam from the LHC in less than $300\ \mu\text{s}$. The ATLAS beam interlock system (BIS) consists of three parts, each of which gives beam permission: the detector BIS, the spectrometer magnet BIS and the Roman-pot position BIS. The detector BIS takes inputs from the BCM and possibly other detectors and gives beam permission only when background conditions allow safe operation of the detector.

Additional flags related to the machine modes are transmitted from the LHC to ATLAS through a fast, safe and reliable hardware link, as they are used in the context of the movement control of the Roman pots and for the Roman-pot position BIS (see section 7.2). The ATLAS BIS is complemented by additional interlocks, for instance to inhibit injection into the LHC and to apply more sophisticated logic. The system is flexible enough so that it can evolve with the experience obtained in the operation of the LHC and ATLAS.

Chapter 10

Expected performance of the ATLAS detector

10.1 Introduction

Since the publication of the ATLAS Detector and Physics Performance Technical Design Report [248] in 1999, all the detector components of the experiment have been constructed and integrated and most of them have been installed (see section 9.6). A detailed understanding of their features (geometry, amount of material and placement accuracy) has been achieved over this period, as described elsewhere in this article.

The purpose of this chapter is to present an overview of the main performance features of the ATLAS experiment, as expected today from the latest round of simulations and the current version of the reconstruction software and as validated wherever possible using test-beam measurements. It is therefore a snapshot of the present understanding of the performance of the detector. Somewhat in contrast to earlier documents, in particular the Detector and Physics Performance TDR [248], the performance results presented here will focus on the initial low-luminosity scenario for the beginning of data-taking at the LHC. Since the luminosity is expected to rise over the first year or so from $10^{31} \text{ cm}^{-2} \text{ s}^{-1}$ to $10^{33} \text{ cm}^{-2} \text{ s}^{-1}$, most of the results presented below will correspond to simulated events without pile-up nor neutron background (see section 3.1), except in certain explicit cases where their contributions at luminosities of $\approx 10^{33} \text{ cm}^{-2} \text{ s}^{-1}$ have been considered.

The first two sections are devoted to the expected tracking performance in ATLAS and its powerful but complex magnet system (see chapter 2). The overall expected performance of the inner detector is described in section 10.2, while that of the muon spectrometer, both stand-alone and combined with the inner detector, is presented in section 10.3. Sections 10.4 (electrons and photons), 10.5 (hadronic jets), 10.6 (missing transverse energy), 10.7 (hadronic τ -decays), 10.8 (tagging of heavy flavours) and 10.9 (trigger) describe the expected performance of the overall ATLAS detector with respect to triggering, reconstruction, identification and measurement of the major final-state objects over the required range of energies for most of the physics channels of interest at the LHC.

10.1.1 Realistic data challenge

Over the past seven years, a large and modular suite of software tools for simulation and reconstruction has been developed and integrated into the ATLAS computing model and first full-scale exercises of the operation of this computing model have begun. A large number of high-statistics samples of Monte-Carlo events have been run through the complete ATLAS simulation, reconstruction and analysis chain to assess the readiness of the overall system to cope with the initial data. Results from this data challenge, in particular from its calibration and alignment component, will be presented wherever relevant in this chapter.

As part of the preparations for initial data-taking, the simulation software has been adapted to describe and simulate, in addition to the ideal ATLAS detector description most commonly used, an ATLAS experimental set-up with alignment and placement shifts which are similar in size to those anticipated in the real detector. These have been included from macro-assembly to individual module level, as for example in the inner detector. In addition, magnetic field and material distortions have been included wherever relevant, as well as calibration distortions of the electromagnetic and hadronic calorimeters for certain specific studies. The results presented here are based on many tens of millions of events, originating from a variety of physics processes and event generators, and with the detector response simulated using GEANT 4 (version G4.7.1.p01 and QGSP GN physics list) [220, 249].

The results published more than eight years ago in ref. [248] correspond to a detector description which is quite different from the current one. Several real changes happened to the layout of the ATLAS detector:

- the η -coverage of the TRT has been decreased from $|\eta| < 2.5$ to $|\eta| < 2.0$, resulting in a significant loss of performance in that region (momentum resolution, tracking performance and electron identification);
- the end-cap cryostats and the extended barrel tile calorimeters have been recessed by 40 mm in z to make room for inner-detector services;
- certain end-cap muon chambers dedicated to momentum measurements in the transition region between the barrel and end-cap toroids have been deferred in terms of construction and installation in ATLAS, resulting in a significant loss in stand-alone performance (efficiency and momentum resolution).

In addition, the description of the installed detector has improved considerably:

- the amount of material in the inner detector and just in front of the electromagnetic calorimeter has increased substantially;
- the amount of material in the muon spectrometer has increased substantially in several areas.

For these reasons, the expected performance is somewhat worse than that published in ref. [248]. Only the most striking examples can be given in this article:

- the 25% degradation in the expected resolution for the invariant mass of four muons from Higgs-boson decay for $m_H = 130$ GeV reconstructed in stand-alone mode (see figure 10.40).

This degradation is due in equal proportions to the missing chambers in the transition region between the barrel and end-cap toroids and to the increase of the material in the description of the muon spectrometer;

- the 12% degradation in the expected resolution for the reconstructed invariant mass of four electrons from Higgs-boson decay for $m_H = 130$ GeV (see figure 10.60) and of two photons from Higgs-boson decay for $m_H = 120$ GeV (see figure 10.61);
- the expected degradations in performance are smaller for other channels such as $Z \rightarrow \tau\tau$.

The model of the set-up used for the results presented here differs nevertheless from the reality in the ATLAS cavern, as it has been described in the inner-detector, calorimeter and muon-spectrometer chapters, in several important respects since it had to be frozen for large-scale simulation:

- the amount of material in the inner detector has increased in some services regions of the active volume by a few percent of a radiation length, X_0 (at maximum 7% X_0);
- the amount of material in the inner detector outside the active volume and therefore near to the barrel and end-cap cryostats of the LAr calorimeter has increased by substantial amounts in certain regions: by 3.5% X_0 for $|\eta| < 0.7$, by 35–40% X_0 for $|\eta|$ increasing from 0.8 to 1.1, by 50–80% X_0 for $1.1 < |\eta| < 1.8$ and by 15% X_0 for $1.8 < |\eta| < 2.2$;
- the amount of material in the muon spectrometer is larger in certain areas than what has been included in the detector description for the results presented here. The largest missing items are the support structures for the small and big wheels (a few tens of tonnes), the saddle support structures for the barrel calorimetry, the inner-detector PP2 patch-panels, and more generally specific mechanical supports and services throughout the muon spectrometer. The uncertainties on the knowledge of this extra material will remain large until the installation of the last few macro-components in the pit has been completed.

10.1.2 Combined test-beam

The understanding of the detector components has improved considerably over the many years of construction, especially with extensive measurements in test-beams of the stand-alone and combined performance of the various calorimeters in the H6 and H8 test-beam facilities at CERN. The main results of these measurements are summarised in section 5.7.

A dedicated effort to understand the combined performance of a complete slice of the ATLAS detector, from the pixel detectors to the outermost stations of the muon chambers, took place in 2004 with the large-scale combined test-beam (CTB) exercise. Figure 10.1 shows a sketch of the layout of the CTB set-up, and figures 10.2 and 10.3 show respectively pictures of some of the actual tracking and calorimeter components and of some of the muon chamber components, as they were operated in 2004.

This effort has led to an improved detector description, and also to first sets of detailed calibration and alignment procedures, essential to the initial understanding of the detector performance

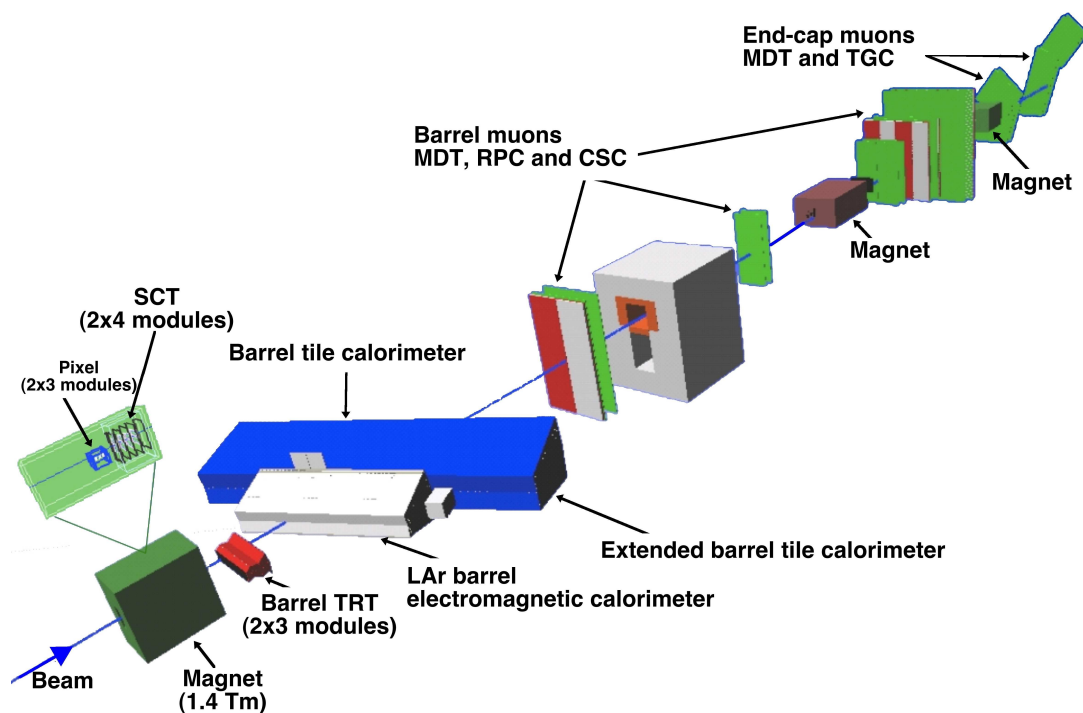


Figure 10.1: Sketch of the ATLAS combined test-beam set-up.

and to the extraction of the first physics results. The main results obtained from these measurements and from their comparison to the detailed simulation of the detector (used both for the CTB and for ATLAS itself) are presented in this article:

- the inner-detector alignment results are presented in section [10.2.2](#);
- the TRT electron identification results are presented in section [10.2.5](#);
- the muon-chamber alignment results are presented in section [10.3.2](#);
- the electromagnetic calorimeter energy measurement results are presented in section [5.7](#) together with all the other test-beam results related to stand-alone and combined calorimeter performance;

A general consequence of the various combined calorimeter test-beam efforts and of the CTB data analysis in particular is that the detector description of the barrel electromagnetic calorimeter and the calibration software of the various calorimeters have been considerably refined to reach agreement between test-beam data and simulation. These refinements are fully integrated into the ATLAS software framework for the experiment itself, a necessary condition to the desired tight coupling between test-beam simulation and data analysis and the actual simulation of physics collisions in ATLAS.



Figure 10.2: Picture of the combined test-beam set-up for the inner detector and the calorimeters. The beam particles come from the left of the picture, traverse the magnet and then hit the calorimeters on the right side of the picture. On the left, just behind the pole tips of the magnet in which the pixel and SCT modules were installed, are the barrel TRT modules. On the yellow rotating support table is the cryostat housing the LAr electromagnetic calorimeter modules and behind it (right side of the picture) are the tile calorimeter barrel (not visible) and extended barrel modules.



Figure 10.3: Picture of the combined test-beam set-up for the end-cap muon chamber system. The beam particles come from the right side of the picture, traverse the barrel muon chamber set-up, which is mostly hidden by the concrete blocks, and then go through three stations of end-cap MDT and TGC chambers.

10.2 Reconstruction and identification of charged particles in the inner detector

Charged particle tracks with transverse momentum $p_T > 0.5$ GeV and $|\eta| < 2.5$ are reconstructed and measured in the inner detector and the solenoid field. The efficiency at low momentum is, however, limited because of the large amount of material in the inner detector (see section 4.10 and figure 4.45). The intrinsic measurement performance expected for each of the inner-detector sub-systems is described in section 4.1. This performance has been studied extensively over the years [60], both before and after irradiation of production modules, and also, more recently, during the combined test beam (CTB) runs in 2004 as described in section 10.1, and in a series of cosmic-ray tests in 2006 as described in section 4.9. The results have been used to update and validate the modelling of the detector response in the Monte-Carlo simulation. This section describes the expected performance of the inner detector in terms of alignment, tracking, vertexing and particle identification.

10.2.1 Track reconstruction

The inner-detector track reconstruction software [250] follows a modular and flexible software design, which includes features covering the requirements of both the inner-detector and muon-spectrometer reconstruction (see section 10.3 for a description of the strategies used for muon reconstruction). These features comprise a common event data model [251] and detector description [252], which allow for standardised interfaces to all reconstruction tools, such as e.g. track extrapolation, track fitting including material corrections, and vertex fitting. The extrapolation package combines propagation tools with an accurate and optimised description of the active and passive material of the full detector [253] to allow for material corrections in the reconstruction process. The suite of track-fitting tools includes global- χ^2 and Kalman-filter techniques, and also more specialised fitters, such as dynamic noise adjustment [254], Gaussian-sum filters [255] and deterministic annealing filters [256]. Other common tracking tools are provided, e.g. to apply calibration corrections at later stages of the pattern recognition, to correct for module deformations or to resolve hit-association ambiguities.

Track reconstruction in the inner detector is logically sub-divided into three stages:

1. A pre-processing stage, in which the raw data from the pixel and SCT detectors are converted into clusters and the TRT raw timing information is turned into calibrated drift circles. The SCT clusters are transformed into space-points, using a combination of the cluster information from opposite sides of a SCT module.
2. A track-finding stage, in which different tracking strategies [250, 257], optimised to cover different applications, are implemented. The default tracking exploits the high granularity of the pixel and SCT detectors to find prompt tracks originating from the vicinity of the interaction region. First, track seeds are formed from a combination of space-points in the three pixel layers and the first SCT layer. These seeds are then extended throughout the SCT to form track candidates. Next, these candidates are fitted, outlier clusters are removed, ambiguities in the cluster-to-track association are resolved, and fake tracks are rejected. This

is achieved by applying quality cuts, e.g. on the number of associated clusters, with explicit limits set on the number of clusters shared between several tracks and the number of holes per track (a hole is defined as a silicon sensor crossed by a track without generating any associated cluster). The selected tracks are then extended into the TRT to associate drift-circle information in a road around the extrapolation and to resolve the left-right ambiguities. Finally, the extended tracks are refitted with the full information of all three detectors and the quality of the refitted tracks is compared to the silicon-only track candidates and hits on track extensions resulting in bad fits are labelled as outliers (they are kept as part of the track but are not included in the fit).

A complementary track-finding strategy, called back-tracking, searches for unused track segments in the TRT. Such segments are extended into the SCT and pixel detectors to improve the tracking efficiency for secondary tracks from conversions or decays of long-lived particles.

3. A post-processing stage, in which a dedicated vertex finder is used to reconstruct primary vertices. This is followed by algorithms dedicated to the reconstruction of photon conversions and of secondary vertices.

10.2.2 Alignment of the inner detector

The alignment of the inner detector is a crucial component in reaching the required tracking performance. The alignment procedure must determine accurately the actual positions in space of the silicon modules (pixel and SCT) as well as of the straws (or groups of straws) in the TRT modules. The task therefore corresponds to the determination of six degrees of freedom for each module, if it is treated as a rigid body. It will also be necessary to correct for imperfections within the modules, due to temperature gradients, module bows or other distortions. To ensure that the misalignment of silicon modules does not inflate the track parameter uncertainties by more than 20% above the intrinsic resolution at high- p_T , the module positions need to be determined with a precision of approximately $10\ \mu\text{m}$ or better in the bending plane [60]. For a precision measurement of the mass of the W -boson, an understanding of the module positions at the level of $1\ \mu\text{m}$ or better is required. The expected as-built and survey precisions of the inner-detector components before data-taking are described in section 4.3, and their overall placement accuracy inside the inner bore of the barrel LAr cryostat is summarised in table 4.11.

Alignment constants for the inner detector will be derived from a dedicated stream of tracks selected at a rate of ~ 10 Hz, and will be updated if required every 24 hours. To reach a precision of $10\ \mu\text{m}$ on the silicon-module positions, approximately one million good tracks with various topologies will be selected within this 24 hour period and written out to the calibration and alignment stream at the time of the final high-level trigger decision.

Several different track-based alignment techniques have been applied to CTB data, to cosmic-ray data and to Monte-Carlo simulations of a misaligned inner detector. All the approaches are based on the minimisation of hit residuals from high-momentum tracks, which are preferred because of their lower multiple-scattering distortions. The minimisation of track residuals is a necessary but not sufficient requirement for the alignment of the inner detector. Certain global distortions

of the geometry may not be or may only weakly be constrained by such tracks (these are called "weak modes"). While preserving the helical trajectory of the track, these modes would, in general, lead to biases on the measured track parameters. Sagitta distortions, which arise from systematic biases in the measurement of q/p_T , where q is the charge of the track, are one of the prominent examples.

To constrain and eliminate these weak modes, it is important to use tracks with different topologies:

- tracks from the interaction point, which will always constitute the bulk of the sample of tracks used for alignment. Using the primary vertex as an additional constraint will help to eliminate certain weak modes;
- cosmic-ray tracks, which have the advantage of providing a continuous helical trajectory across the whole inner detector, thereby mimicking a pair of opposite-sign equal-momentum and back-to-back tracks, when they pass close to the interaction point. In addition, a large fraction of the cosmic-ray tracks will cross the inner detector far from the beam axis, thereby providing additional constraints to eliminate certain weak modes;
- tracks from beam halo will help to constrain the initial alignment of the end-cap regions;
- tracks passing through the overlap regions of adjacent modules. These constrain the circumference of cylindrical geometries and thus improve the determination of the average radial position of the modules;
- track pairs from Z and J/ψ decays. Fitting these tracks to a common decay vertex and to a known invariant mass will provide sensitivity to systematic correlations between different detector elements;
- finally, additional constraints are provided by the information from survey measurements, which are, however, limited in practice to the relationships between nearby detector elements connected by rigid support structures.

With the unprecedented number of detector modules in the inner detector, the alignment task is immense in its scope and complexity. With the aim of simplifying it, the alignment procedure can be broken down into several steps. As a first step, the large detector structures (the barrel and the end-caps of each of the three sub-systems) are aligned with respect to each other. By treating these large-scale structures as rigid bodies with only a few degrees of freedom, the procedure converges on a global alignment with only limited statistics of reconstructed tracks. To achieve this goal, it is planned that sufficient cosmic-ray data be taken before LHC turn-on. In a second step, the individual barrel layers and end-cap disks can be aligned with respect to each other, leading to a system with several hundreds of degrees of freedom. In a third step, the complete alignment of all the detector modules implies resolving a system with almost 36,000 degrees of freedom (1744 pixel modules, 4088 SCT modules and 136 TRT modules) and therefore requires the large samples of tracks mentioned above. The last step in the whole process requires the study of possible residual biases, using resonances decaying to muons, E/p measurements combining inner detector and electromagnetic calorimetry, and combined muon measurements with the muon spectrometer (see section 10.3.2).

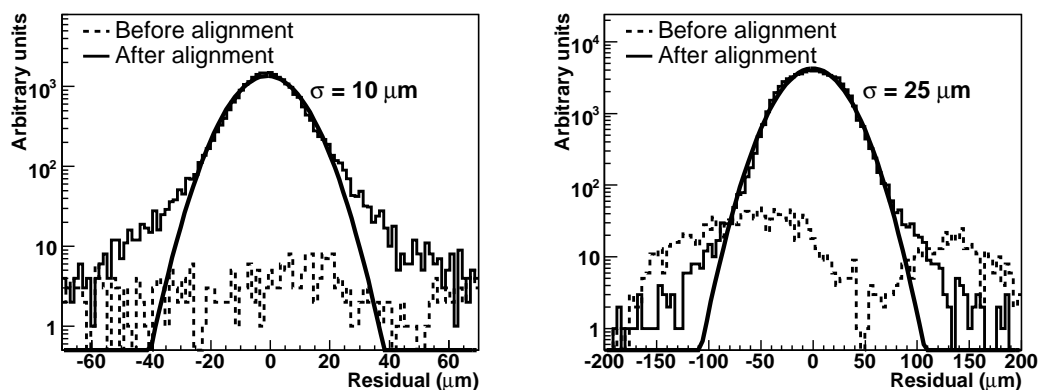


Figure 10.4: Distributions of pixel (left) and SCT (right) residuals for the most accurate measurement coordinate, as obtained for charged pions with an energy of 100 GeV in the combined test-beam data. The results are shown for tracks reconstructed in the pixel and SCT detectors before (dashed histogram) and after (full histogram) alignment. The curves represent Gaussian fits to the residuals after alignment. Because of the large misalignments of certain modules, most of the entries before alignment lie outside the boundaries of the plots.

10.2.2.1 Alignment in the combined test-beam

The alignment procedure has been applied to CTB data [258] using charged-hadron beams with energies between 5 and 180 GeV. The results obtained are shown in figure 10.4, in the case of one beam energy of 100 GeV, for the pixel and SCT residuals for the most accurate measurement coordinate. The striking improvement observed in the residual distributions after alignment arises from alignment constants changing by typically 100–200 μm for some of the pixel and SCT modules. The measured resolutions after alignment are in agreement with those expected from Monte-Carlo simulation of the CTB set-up with a perfect alignment.

Figure 10.5 compares the measured momentum resolution for pions after alignment with that expected from Monte-Carlo simulation as a function of the pion momentum which ranges from 5 to 100 GeV. The mean of the beam momentum as measured using the pixels and the SCT is correct to $\sim 1\%$ at the highest energy of 100 GeV, indicating that residual misalignments are small. The disagreement observed at the lower end of the momentum spectrum is most likely related to the quality of the data taken with low-energy beams (a mix of electrons and pions taken early in the run when the operation of the pixel and silicon detectors was less stable than for the higher-energy runs).

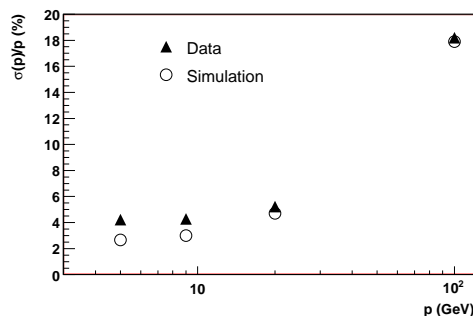


Figure 10.5: Fractional momentum resolution for pions as a function of pion momentum. The results are compared between data after alignment (see text) and simulation.

10.2.2.2 Misaligned inner detector in ATLAS simulation

Within the context of the realistic data challenge described in section 10.1, the inner-detector alignment algorithms are undergoing stringent tests, based on events simulated with a misaligned inner-detector geometry and reconstructed with the nominal geometry. The main focus of these tests is to study the various alignment approaches [259–261] within a realistic and full-scale scenario typical of what can be expected with initial data. One issue of particular interest is the implementation and validation of robust methods to determine and eliminate the weak modes, especially the sagitta distortions. The misalignments introduced for the realistic data challenge do not, however, cover all possible misalignment scenarios. In particular, systematic deformations of large scale structures like end-cap disks or barrel layers are not simulated. Twists or radial deformations of the barrel layers are known to correspond to weak modes of the alignment.

Nevertheless, a number of systematic displacements and rotations of large and smaller-scale structures were introduced, in addition to the smaller and random misalignments introduced at the module level. This resulted in initially low efficiency for reconstructing certain tracks and in track-parameter distortions of large magnitude (the expected mass peak for $Z \rightarrow \mu\mu$ decays was not initially visible). To converge on the first-pass alignment results presented here, reconstructed tracks were constrained to the beam-line and tracks from simulated cosmic-ray events were also used to provide additional constraints.

The impact of global sagitta distortions on the reconstructed invariant masses of neutral resonances decaying into oppositely charged particles is in principle only a small effect, since the momenta of the positively and negatively charged daughters are shifted in opposite directions. However, ϕ -dependent sagitta distortions may give rise to larger effects, which can become very significant at relatively high momentum (depending on the size and systematic nature of the residual misalignments). Figure 10.6 shows the effect of these residual misalignments on reconstructed $Z \rightarrow \mu\mu$ decays after applying the corrections obtained from a first-pass alignment of the inner detector based on high- p_T muons and cosmic rays. The monitoring of the evolution of the alignment constants during the various stages of this first-pass alignment has shown that residual distortions on the track parameters remain, even after using cosmic rays to remove some of the weak modes to which tracks originating from the interaction point are not very sensitive. The residual distortions are observed to be much smaller in the barrel than in the end-caps, for which the constraints provided by cosmic rays are much weaker. The fitted Gaussian widths of the reconstructed $Z \rightarrow \mu\mu$ peaks in figure 10.6 are 2.6 GeV for the ideal (or perfectly aligned) inner detector and 3.9 GeV for the inner detector after completing the first-pass alignment.

A measure of these residual distortions can be extracted, as one would do with real data, by searching for possible asymmetries between the p_T -spectra of negative and positive muons from $Z \rightarrow \mu\mu$ decays. This is illustrated in figure 10.7 which clearly demonstrates a significant residual asymmetry between the two spectra after the first-pass alignment. This large asymmetry is clearly related to the large residual contribution of 2.9 GeV to the resolution on the reconstructed dimuon mass after the first-pass alignment. If this residual width were for example ten times smaller, then a few days of data-taking at a luminosity of $10^{31} \text{ cm}^{-2} \text{ s}^{-1}$ would be required to actually detect a significant effect using $Z \rightarrow \mu\mu$ decays.

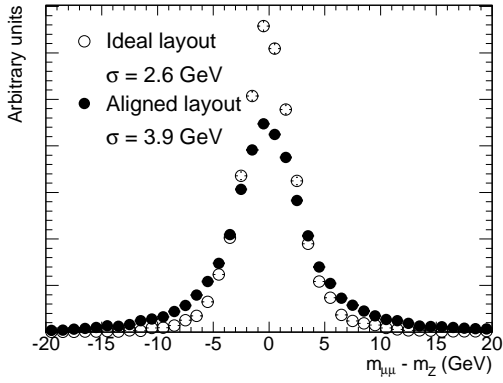


Figure 10.6: Difference between the reconstructed and true mass of dimuon pairs from $Z \rightarrow \mu\mu$ decay. The results are shown in the case of an ideal (perfectly aligned) inner detector (open circles) and for the inner detector after a first-pass alignment (full circles), based on high- p_T muon tracks and cosmic rays (see text).

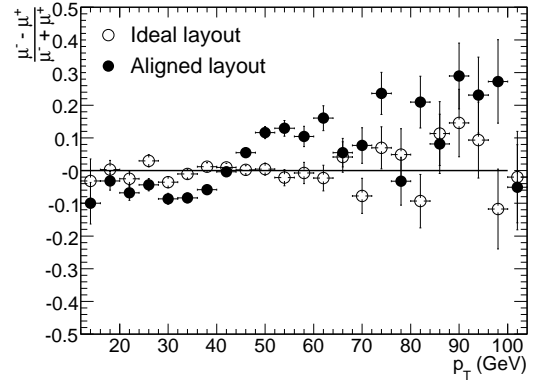


Figure 10.7: Asymmetry between negative and positive muons as a function of p_T , as obtained for the sample of $Z \rightarrow \mu\mu$ decays reconstructed in the inner detector and described in the text. The results are shown in the case of an ideal (perfectly aligned) inner detector (open circles) and for the inner detector after a first-pass alignment (full circles).

10.2.3 Tracking performance for single particles and particles in jets

The expected performance of the tracking system for reconstructing single particles and particles in jets is determined using a precise modelling of the individual detector response, geometry and passive material in the simulation. In this section, a consistent set of selection cuts for reconstructed tracks has been used throughout. Only prompt particles with $p_T > 1$ GeV and $|\eta| < 2.5$ are considered. Standard quality cuts require reconstructed tracks to have at least seven precision hits (pixels and SCT); in addition, the transverse and longitudinal impact parameters at the point of closest approach to the vertex must fulfil respectively $|d_0| < 2$ mm and $|z_0 - z_v| \times \sin \theta < 10$ mm, where z_v is the position of the primary vertex along the beam and θ is the polar angle of the track. Stricter selection cuts, called b -tagging cuts, are defined by: at least two hits in the pixels and one in the vertexing layer, as well as $|d_0| < 1$ mm and $|z_0 - z_v| \times \sin \theta < 1.5$ mm. A reconstructed track is matched to a Monte-Carlo particle if at least 80% of its hits were created by that particle. The efficiency is defined as the fraction of particles which are matched to reconstructed tracks passing the quality cuts, and the fake rate is defined as the fraction of reconstructed tracks passing the cuts which are not matched to a particle.

The resolution of a track parameter X can be expressed as a function of p_T as:

$$\sigma_X = \sigma_X(\infty)(1 \oplus p_X/p_T),$$

where $\sigma_X(\infty)$ is the asymptotic resolution expected at infinite momentum and p_X is a constant representing the value of p_T , for which the intrinsic and multiple-scattering terms are equal for the parameter X under consideration. This expression is approximate, working well at high p_T (where the resolution is dominated by the intrinsic detector resolution) and at low p_T (where the resolution is dominated by multiple scattering). Figures 10.8, 10.9 and 10.10 show the momentum resolution

Table 10.1: Expected track-parameter resolutions (RMS) at infinite transverse momentum, $\sigma_X(\infty)$, and transverse momentum, p_X , at which the multiple-scattering contribution equals that from the detector resolution. The momentum and angular resolutions are shown for muons, whereas the impact-parameter resolutions are shown for pions (see text). The values are shown for two η -regions, one in the barrel inner detector where the amount of material is close to its minimum and one in the end-cap where the amount of material is close to its maximum.

Track parameter	0.25 < $ \eta $ < 0.50		1.50 < $ \eta $ < 1.75	
	$\sigma_X(\infty)$	p_X (GeV)	$\sigma_X(\infty)$	p_X (GeV)
Inverse transverse momentum ($1/p_T$)	0.34 TeV ⁻¹	44	0.41 TeV ⁻¹	80
Azimuthal angle (ϕ)	70 μ rad	39	92 μ rad	49
Polar angle ($\cot\theta$)	0.7×10^{-3}	5.0	1.2×10^{-3}	10
Transverse impact parameter (d_0)	10 μ m	14	12 μ m	20
Longitudinal impact parameter ($z_0 \times \sin\theta$)	91 μ m	2.3	71 μ m	3.7

for muons and the transverse and longitudinal impact parameter resolutions for pions, all without any beam constraint and assuming the effects of misalignment to be negligible. Table 10.1 shows the values of $\sigma_X(\infty)$ and p_X for tracks in two η -regions, corresponding to the barrel and end-caps. The TRT measurements are included in the track fits for tracks with $|\eta| < 2.0$, beyond which there are no further TRT measurements. The impact parameter resolutions are quoted only for tracks with a hit in the vertexing-layer (this requirement has a very high efficiency, as illustrated below).

The determination of the lepton charge at high p_T is particularly important for measuring charge asymmetries arising from the decays of possible heavy gauge bosons (W' and Z'). Typically, such measurements require that the charge of the particle be determined to better than 3σ . Whereas the muon charge can be reliably measured at the highest momenta in the muon system, only the inner detector can measure the charge of electrons. The fraction of electrons for which the sign of the charge is wrongly determined is shown in figure 10.11, together with the same fraction for muons, included as a reference (perfect alignment has been assumed). For the muons, the fraction is well described by the nominal (Gaussian) resolution, whereas electrons are more complicated since they are subject to bremsstrahlung. This should help for charge determination since the momentum is reduced, but sometimes the electrons overlap with subsequent conversion electrons from the bremsstrahlung photons, which may cause pattern-recognition problems because of extra hits and overlaps. For $p_T < 1$ TeV, the sign of the curvature of a track is sufficiently well determined that the benefit from bremsstrahlung is small and the overlap problem dominates the electron reconstruction, causing the electron charge determination to be of worse quality than for muons. However at 2 TeV, the poor intrinsic resolution is the dominant factor, and the effect of bremsstrahlung compensates for the pattern-recognition problems.

Figure 10.12 shows the efficiencies for reconstructing muons, pions and electrons with a transverse momentum of 5 GeV, whereas figure 10.13 shows the efficiencies for reconstructing pions with p_T varying from 1 to 100 GeV. In addition to multiple-scattering, pions are affected by hadronic interactions in the inner-detector material, while electrons are subject to even larger reconstruction inefficiencies because of bremsstrahlung. As a result, the efficiency curves as a function of $|\eta|$ for pions and electrons reflect the shape of the amount of material in the inner detector (see figures 4.45 and 4.46). As expected, the efficiency becomes larger and more uniform as a function of $|\eta|$ at higher energies.

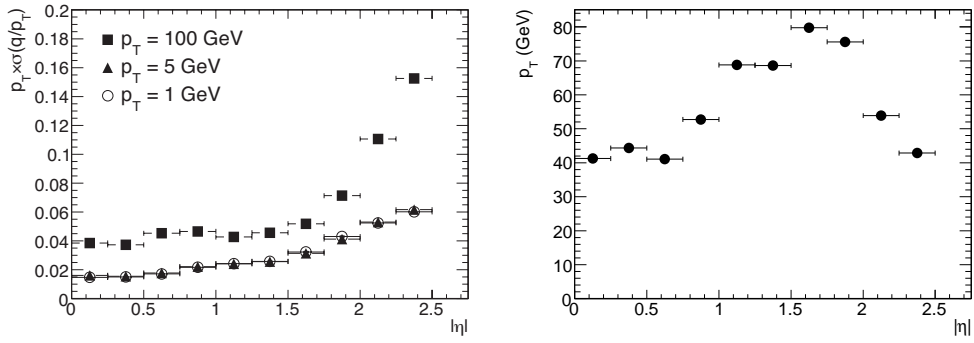


Figure 10.8: Relative transverse momentum resolution (left) as a function of $|\eta|$ for muons with $p_T = 1$ GeV (open circles), 5 GeV (full triangles) and 100 GeV (full squares). Transverse momentum, at which the multiple-scattering contribution equals the intrinsic resolution, as a function of $|\eta|$ (right).

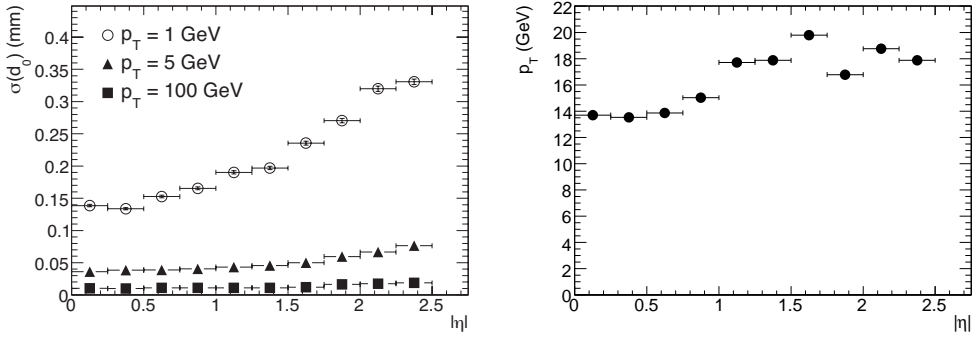


Figure 10.9: Transverse impact parameter, d_0 , resolution (left) as a function of $|\eta|$ for pions with $p_T = 1$ GeV (open circles), 5 GeV (full triangles) and 100 GeV (full squares). Transverse momentum, at which the multiple-scattering contribution equals the intrinsic resolution, as a function of $|\eta|$ (right).

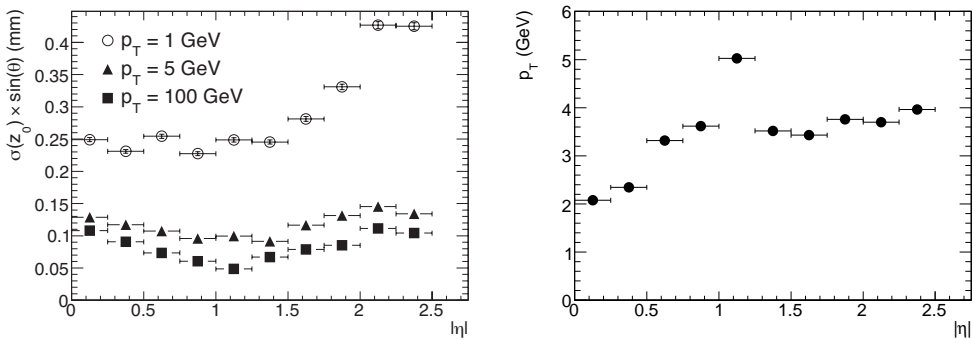


Figure 10.10: Modified longitudinal impact parameter, $z_0 \times \sin \theta$, resolution (left) as a function of $|\eta|$ for pions with $p_T = 1$ GeV (open circles), 5 GeV (full triangles) and 100 GeV (full squares). Transverse momentum, at which the multiple-scattering contribution equals the intrinsic resolution, as a function of $|\eta|$ (right).

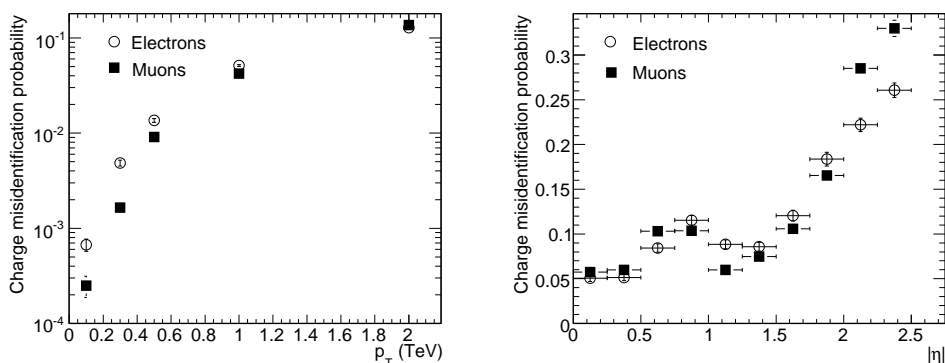


Figure 10.11: Charge misidentification probability for high energy muons and electrons as a function of p_T for particles with $|\eta| \leq 2.5$ (left) and as a function of $|\eta|$ for $p_T = 2$ TeV (right).

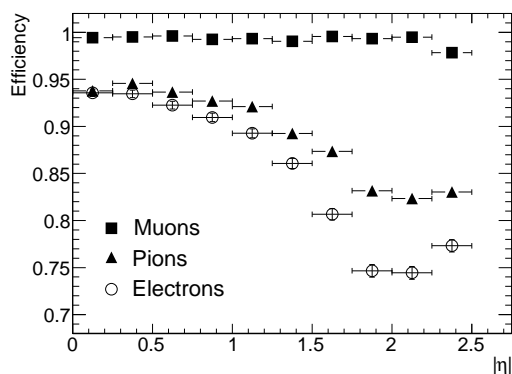


Figure 10.12: Track reconstruction efficiencies as a function of $|\eta|$ for muons, pions and electrons with $p_T = 5$ GeV. The inefficiencies for pions and electrons reflect the shape of the amount of material in the inner detector as a function of $|\eta|$.

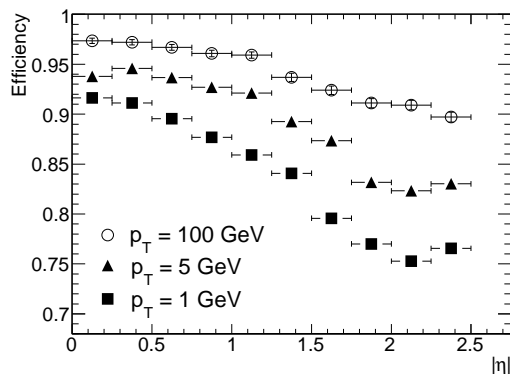


Figure 10.13: Track reconstruction efficiencies as a function of $|\eta|$ for pions with $p_T = 1, 5$ and 100 GeV.

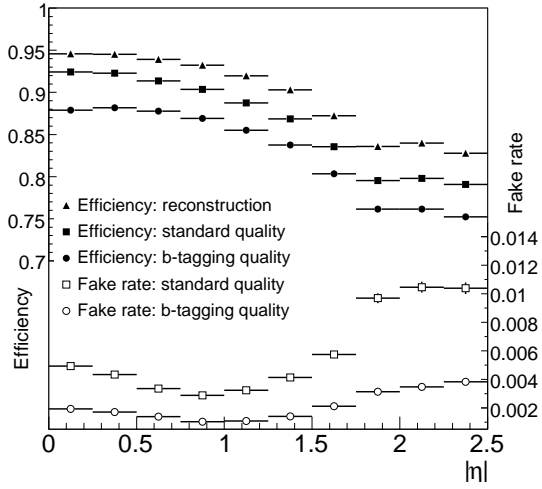


Figure 10.14: Track reconstruction efficiencies and fake rates as a function of $|\eta|$, for charged pions in jets in $t\bar{t}$ events and for different quality cuts (as described in the text). "Reconstruction" refers to the basic reconstruction before additional quality cuts.

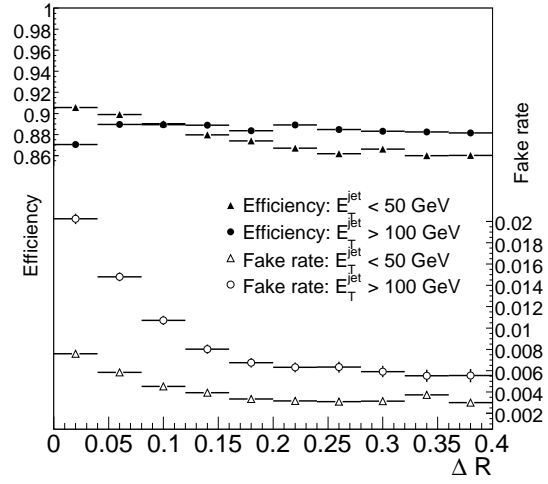


Figure 10.15: Track reconstruction efficiencies and fake rates as a function of the distance ΔR (defined as $\Delta R = \sqrt{\Delta\eta^2 + \Delta\phi^2}$) of the track to the jet axis, using the standard quality cuts and integrated over $|\eta| < 2.5$.

Figure 10.14 shows the track reconstruction efficiency for prompt pions (produced before the vertexing layer) and the fake rate for tracks in jets in $t\bar{t}$ events as a function of $|\eta|$. For these events, the mean jet p_T is 55 GeV, and the mean p_T of the accepted tracks which they contain is 4 GeV. The loss of efficiency at $|\eta| = 0$ with the b -tagging criteria arises from inefficiencies in the pixel vertexing layer, which are assumed here to be 1%; this improves at higher $|\eta|$, owing to the presence of larger clusters when the track incidence angle decreases. Beyond $|\eta| \sim 1$, the tracking performance deteriorates, mostly because of increased material. As shown in figure 10.15, the fake rate increases near the core of the jet, where the track density is the highest and induces pattern-recognition problems. This effect increases as the jet p_T increases. A few percent efficiency can be gained at the cost of doubling the fake rate in the jet core.

10.2.4 Vertexing performance

Vertexing tools constitute an important component of the higher-level tracking algorithms. The residuals of the primary vertex reconstruction are shown in figure 10.16, as obtained without using any beam constraint, for $t\bar{t}$ events and $H \rightarrow \gamma\gamma$ events with $m_H = 110$ GeV. The results shown here for $H \rightarrow \gamma\gamma$ events are based on tracks reconstructed from the underlying event and do not make use of the measurement of the photon direction in the electromagnetic calorimeter, which is discussed in section 10.4. The primary vertex in $t\bar{t}$ events has always a rather large multiplicity and includes a number of high- p_T tracks, resulting in a narrower and more Gaussian distribution than for $H \rightarrow \gamma\gamma$ events. Table 10.2 shows the resolutions of the primary vertex reconstruction in these $t\bar{t}$ and $H \rightarrow \gamma\gamma$ events, without and with a beam constraint in the transverse plane, as well as the

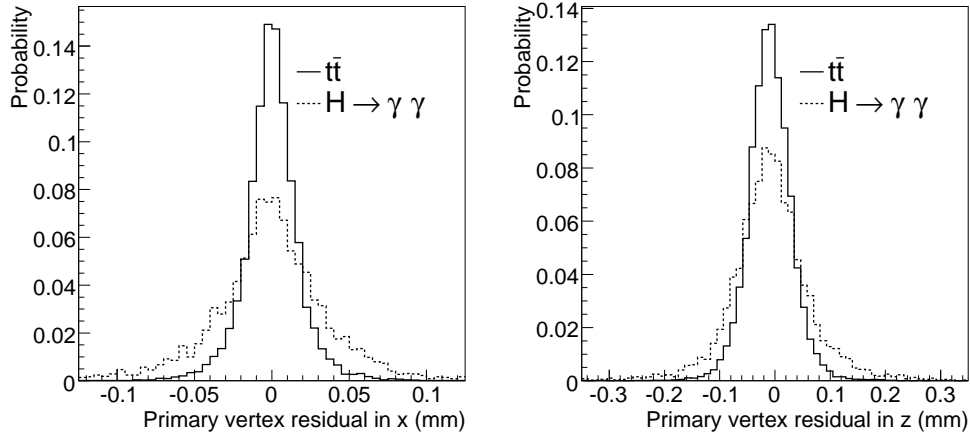


Figure 10.16: Primary vertex residual along x , in the transverse plane (left), and along z , parallel to the beam (right), for events containing top-quark pairs and $H \rightarrow \gamma\gamma$ decays with $m_H = 110$ GeV. The results are shown without pile-up and without any beam constraint.

Table 10.2: Primary vertex resolutions (RMS), without and with a beam constraint in the transverse plane, for $t\bar{t}$ events and $H \rightarrow \gamma\gamma$ events with $m_H = 110$ GeV in the absence of pile-up. Also shown, in the presence of pile-up at a luminosity of 10^{33} cm^{-2} s^{-1} , are the efficiencies to reconstruct and then select the hard-scattering vertex within ± 300 μm of the true vertex position in z . The hard-scattering vertex is selected as the primary vertex with the largest Σp_T^2 , summed over all its constituent tracks.

Event type	x-y resolution (μm)	z resolution (μm)	Reconstruction efficiency (%)	Selection efficiency (%)
$t\bar{t}$ (without beam constraint)	18	41	100	99
$t\bar{t}$ (with beam constraint)	11	40	100	99
$H \rightarrow \gamma\gamma$ (without beam constraint)	36	72	96	79
$H \rightarrow \gamma\gamma$ (with beam constraint)	14	66	96	79

efficiencies to reconstruct and select correctly these primary vertices in the presence of pile-up at a luminosity of 10^{33} cm^{-2} s^{-1} (the beam constraint in the transverse plane assumes the interactions occur at a fixed position with RMS of ~ 15 μm).

The resolutions for the reconstruction of the radial position of secondary vertices for three-prong hadronic τ -decays in $Z \rightarrow \tau\tau$ events, with a mean p_T of 36 GeV for the τ -lepton, and for $J/\psi \rightarrow \mu\mu$ decays in events containing B -hadron decays, with a mean p_T of 15 GeV for the J/ψ , are shown respectively in figures 10.17 and 10.18. In the first more challenging example, the vertex resolutions are Gaussian in the central region, but have long tails as can be seen from the points showing 95% coverage in figure 10.17. Finally, figure 10.19 shows the resolution as a function of decay radius for the reconstruction of the radial position of secondary vertices for K_s^0 decays with mean p_T of 6 GeV in events containing B -hadron decays. The reconstruction is performed in three dimensions and hence requires at least two silicon hits. Consequently, the efficiency falls rapidly

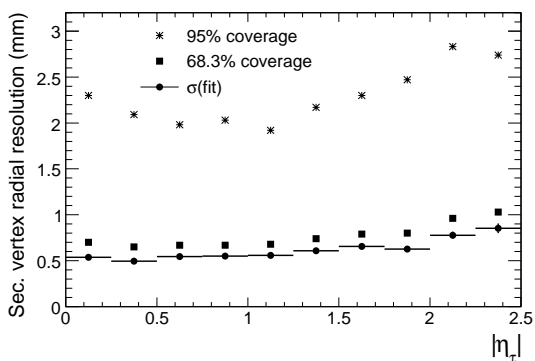


Figure 10.17: Resolution for the reconstruction of the radial position of the secondary vertex for three-prong hadronic τ -decays in $Z \rightarrow \tau\tau$ events, as a function of the pseudorapidity of the τ . The τ -leptons have an average visible transverse energy of 36 GeV. The distributions are fitted to a Gaussian core with width $\sigma(\text{fit})$. The fractions of events found within $\pm 1 \sigma$ (68.3% coverage) and $\pm 2 \sigma$ (95% coverage) are also shown.

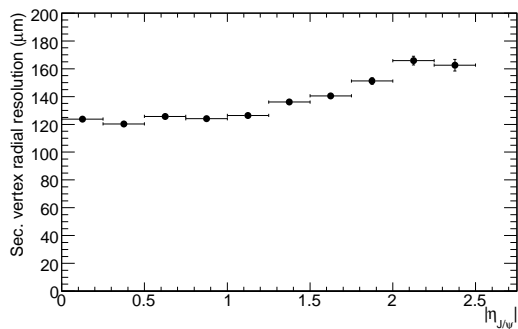


Figure 10.18: Resolution for the reconstruction of the radial position of the secondary vertex for $J/\psi \rightarrow \mu\mu$ decays in events containing B -hadron decays, as a function of the pseudorapidity of the J/ψ . The J/ψ have an average transverse momentum of 15 GeV.

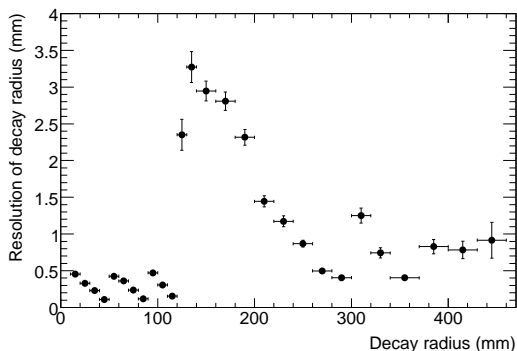


Figure 10.19: Resolution for reconstruction of radial position of secondary vertex for $K_s^0 \rightarrow \pi^+\pi^-$ decays in events containing B -hadron decays, as a function of the K_s^0 decay radius.

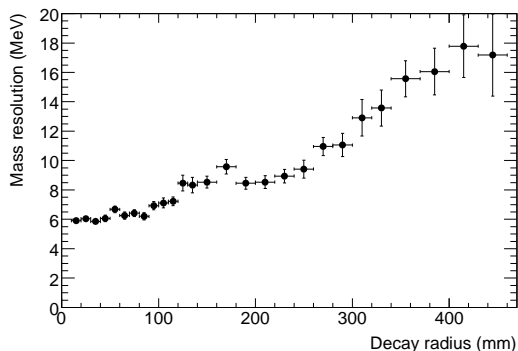


Figure 10.20: Resolution for reconstruction of the invariant mass of the charged-pion pair for $K_s^0 \rightarrow \pi^+\pi^-$ decays in events containing B -hadron decays, as a function of the K_s^0 decay radius.

for decay radii larger than 30 cm. The effect of crossing the three successive pixel layers is clearly visible as well as the degraded resolution for decays beyond the last pixel layer. Figure 10.20 shows the resolution as a function of decay radius for the reconstruction of the invariant mass of the charged-pion pair for the same $K_s^0 \rightarrow \pi^+\pi^-$ decays.

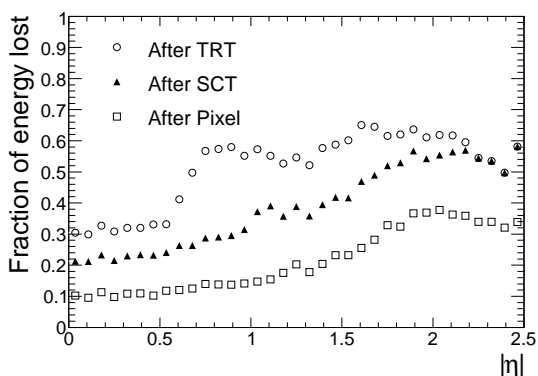


Figure 10.21: Fraction of energy lost on average by electrons with $p_T = 25$ GeV as a function of $|\eta|$, when exiting the pixel, the SCT and the inner-detector tracking volumes. The fraction of energy lost is not a strong function of the electron energy. For $|\eta| > 2.2$, there is no TRT material, hence the SCT and TRT lines merge.

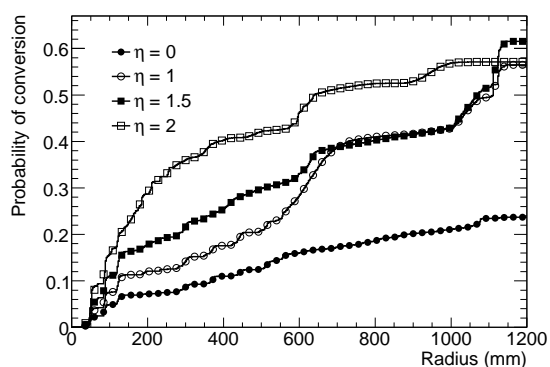


Figure 10.22: Probability for a photon to have converted as a function of radius for different values of $|\eta|$, shown for photons with $p_T > 1$ GeV in minimum-bias events. The probability is not a strong function of the photon energy.

10.2.5 Particle identification, reconstruction of electrons and photon conversions

The reconstruction of electrons and of photon conversions is a particular challenge for the inner detector, since electrons have lost on average between 20 and 50% of their energy (depending on $|\eta|$) when they leave the SCT, as illustrated in figure 10.21. In the same region, between 10% and 50% of photons convert into an electron-positron pair, as illustrated in figure 10.22.

The TRT plays a central role in electron identification, cross-checking and complementing the calorimeter, especially at energies below 25 GeV. In addition, the TRT contributes to the reconstruction and identification of electron track segments from photon conversions down to 1 GeV and of electrons which have radiated a large fraction of their energy in the silicon layers.

By fitting electron tracks in such a way as to allow for bremsstrahlung, it is possible to improve the reconstructed track parameters, as shown for $|\eta| > 1.5$ in figure 10.23 for two examples of bremsstrahlung recovery algorithms. These algorithms rely exclusively on the inner-detector information and therefore provide significant improvements only for electron energies below ~ 25 GeV (see section 10.4.2 for a discussion of bremsstrahlung recovery using also the position information of the electromagnetic calorimeter). The dynamic-noise-adjustment (DNA) method extrapolates track segments to the next silicon detector layer. If there is a significant χ^2 contribution, compatible with a hard bremsstrahlung, the energy loss is estimated and an additional noise term is included in the Kalman filter [254]. The Gaussian-sum filter (GSF) is a non-linear generalisation of the Kalman filter, which takes into account non-Gaussian noise by modelling it as a weighted sum of Gaussian components and therefore acts as a weighted sum of Kalman filters operating in parallel [255]. Figure 10.24 shows the improvements from bremsstrahlung recovery for the reconstructed $J/\psi \rightarrow ee$ mass. Without any bremsstrahlung recovery, only 50% of events are reconstructed within ± 500 MeV of the nominal J/ψ mass, whereas with the use of the bremsstrahlung recovery, this fraction increases to approximately 60% for both algorithms.

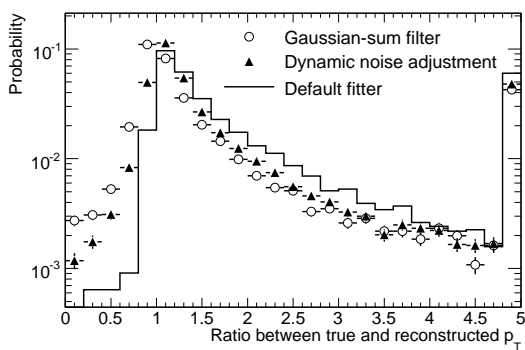


Figure 10.23: Probability distribution for the ratio of the true to reconstructed momentum for electrons with $p_T = 25$ GeV and $|\eta| > 1.5$. The results are shown as probabilities per bin for the default Kalman fitter and for two bremsstrahlung recovery algorithms (see text).

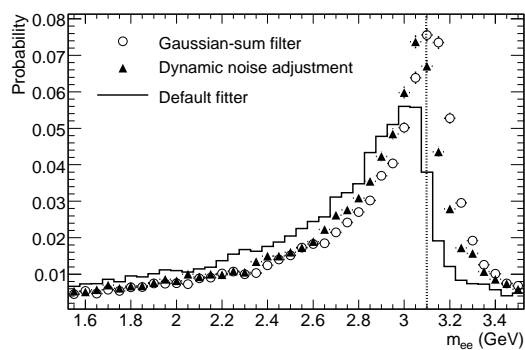


Figure 10.24: Probability for reconstructed invariant mass of electron pairs from $J/\psi \rightarrow ee$ decays in events with $B_d^0 \rightarrow J/\psi(ee)K_s^0$. The results are shown for the default Kalman fitter and for two bremsstrahlung recovery algorithms (see text). The true J/ψ mass is shown by the dotted line.

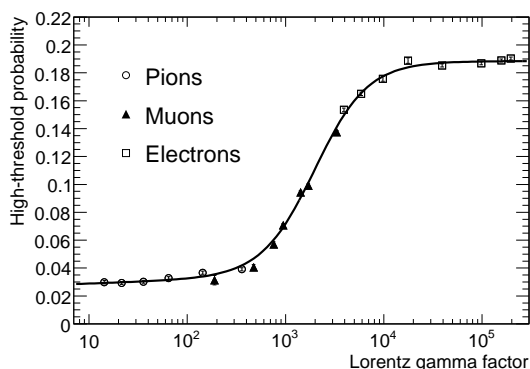


Figure 10.25: Average probability of a high-threshold hit in the barrel TRT as a function of the Lorentz γ -factor for electrons (open squares), muons (full triangles) and pions (open circles) in the energy range 2–350 GeV, as measured in the combined test-beam.

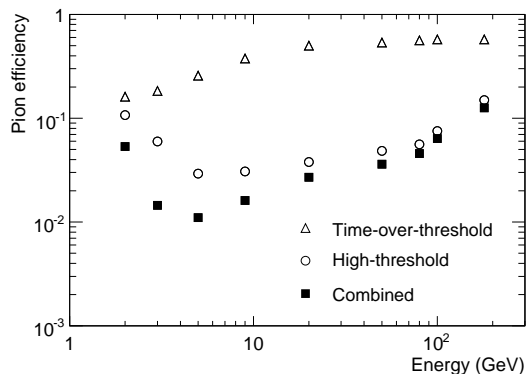


Figure 10.26: Pion efficiency shown as a function of the pion energy for 90% electron efficiency, using high-threshold hits (open circles), time-over-threshold (open triangles) and their combination (full squares), as measured in the combined test-beam.

Using pion, electron and muon samples in the energy range between 2 and 350 GeV, the barrel TRT response has been measured in the CTB in terms of the high-threshold hit probability, as shown in figure 10.25. The transition-radiation X-rays contribute significantly to the high-threshold hits for electron energies above 2 GeV and saturation sets in for electron energies above 10 GeV. Figure 10.26 shows the resulting pion identification efficiency for an electron efficiency of 90%, achieved by performing a likelihood evaluation based on the high-threshold probability for electrons and pions for each straw. Figure 10.26 also shows the effect of including time-over-threshold information, which improves the pion rejection by about a factor of two when combined with

the high-threshold hit information. At low energies, the pion rejection (the inverse of the pion efficiency plotted in figure 10.26) improves with energy as the electrons emit more transition radiation. The performance is optimal at energies of ~ 5 GeV, and pion-rejection factors above 50 are achieved in the energy range of 2–20 GeV. At very high energies, the pions become relativistic and therefore produce more δ -rays and eventually emit transition radiation, which explains why the rejection slowly decreases for energies above 10 GeV.

The electron-pion separation expected for the TRT in ATLAS, including the time-over-threshold information, is shown as a function of $|\eta|$ in figure 10.27 as the pion identification efficiency expected for an electron efficiency of 90%. The shape observed is closely correlated to the number of TRT straws crossed by the track, which decreases from approximately 35 to a minimum of 20 in the transition region between the barrel and end-cap TRT, $0.8 < |\eta| < 1.1$, and which also decreases rapidly at the edge of the TRT fiducial acceptance for $|\eta| > 1.8$. Because of its more efficient and regular foil radiator, the performance in the end-cap TRT is better than in the barrel TRT (see section 4.3.3).

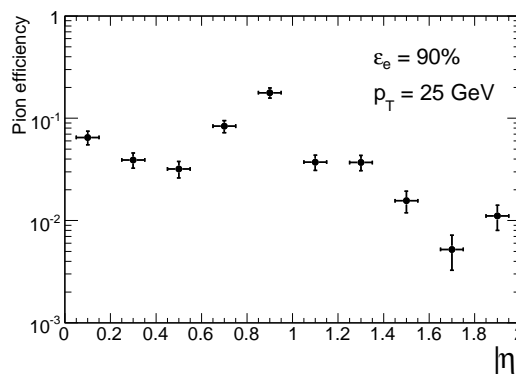


Figure 10.27: Expected pion efficiency as a function of $|\eta|$ for an efficiency of 90% for electrons with $p_T = 25$ GeV.

Figure 10.28 shows the efficiency for reconstructing conversions of photons with $p_T = 20$ GeV and $|\eta| < 2.1$ as a function of the conversion radius, using the standard tracking algorithm combined with the back-tracking algorithm described in section 10.2.1. At radii above 50 cm, the efficiency for reconstructing single tracks drops and that for reconstructing the pair drops even faster because the two tracks are merged. If both tracks from the photon conversion are reconstructed successfully, vertexing tools can be used to reconstruct the photon conversion with high efficiency up to radii of 50 cm. The overall conversion-finding efficiency can be greatly increased at large radii by defining single tracks as photon conversions under certain conditions. Only tracks which have no hits in the vertexing layer, are not associated to any fitted primary or secondary vertex, and pass a loose electron identification cut requiring more than 9% high-threshold hits on the TRT segment of the track, are retained. The resulting overall efficiency for finding photon conversions is almost uniform over all radii below 80 cm, as shown in figure 10.29.

10.3 Muon reconstruction and identification

10.3.1 Introduction

The collisions at the LHC will produce a broad spectrum of final-state muons, ranging from low-momentum non-isolated muons in b -jets to high-momentum isolated muons from W/Z -boson decays or from possible new physics. The experiment will detect and measure muons in the muon spectrometer and will also exploit the measurements in the inner detector and the calorimeters

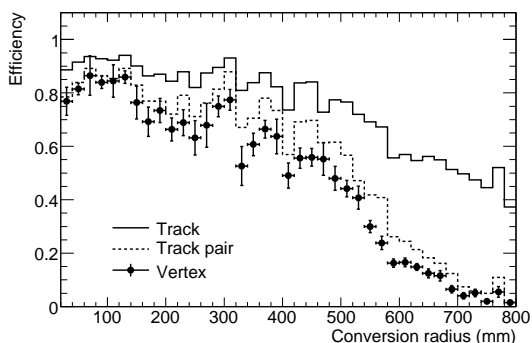


Figure 10.28: Efficiency to reconstruct conversions of photons with $p_T = 20$ GeV and $|\eta| < 2.1$, as a function of the conversion radius. Shown are the efficiencies to reconstruct single tracks from conversions, the pair of tracks from the conversion and the conversion vertex. The errors are statistical.

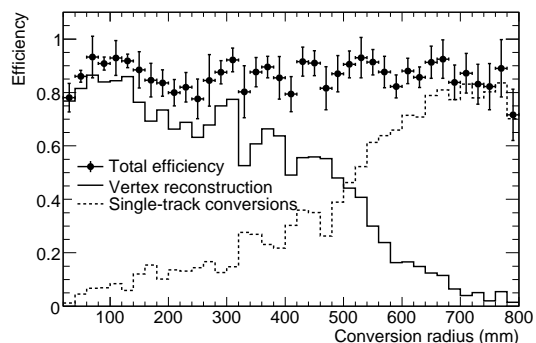


Figure 10.29: Efficiency to identify conversions of photons with $p_T = 20$ GeV and $|\eta| < 2.1$, as a function of the conversion radius. The overall efficiency is a combination of the efficiency to reconstruct the conversion vertex, as shown also in figure 10.28, and of that to identify single-track conversions (see text). The errors are statistical.

to improve the muon identification efficiency and momentum resolution. Muon measurements are a combination of accurate measurements in the muon spectrometer and in the inner detector. The muon spectrometer also efficiently triggers on muons over a wide range of energies and over $|\eta| < 2.4$, as described in detail in section 6.6 for the detectors and in section 10.9 for the actual trigger performance. The inner detector provides the best measurement at low to intermediate momenta, whereas the muon spectrometer takes over above 30 GeV. The toroidal field guarantees excellent momentum resolution even at the highest values of η (see section 2.2.3.2 and figure 2.12 for details about the mapping of the toroidal field).

This section describes the alignment results obtained in the combined test-beam (CTB), which have validated the overall alignment strategy for both the barrel and end-cap muon-chamber systems, and the expected muon reconstruction performance in terms of momentum resolution, track-finding efficiency and mass resolution for selected channels.

10.3.2 Calibration and alignment

In order to achieve the required performance for combined muon reconstruction, the inner detector and the muon spectrometer must be calibrated and aligned internally and with respect to each other. The alignment of the inner detector is described in section 10.2.2.

In the muon spectrometer, movements of most of the precision chambers (MDT and CSC) are monitored by a system of optical sensors with an accuracy of a few micrometres (see section 6.5). In principle, the optical system alone should provide the chamber positions with an accuracy such that the alignment contribution to the error on the sagitta measurement does not exceed $40 \mu\text{m}$. Muon tracks, however, are required to align the chambers with no (or poor) optical connection, to align the end-caps with respect to the barrel, and to align the muon spectrometer with respect to the inner detector with an accuracy of approximately $200 \mu\text{m}$ in z and 1 mm in $R\phi$.

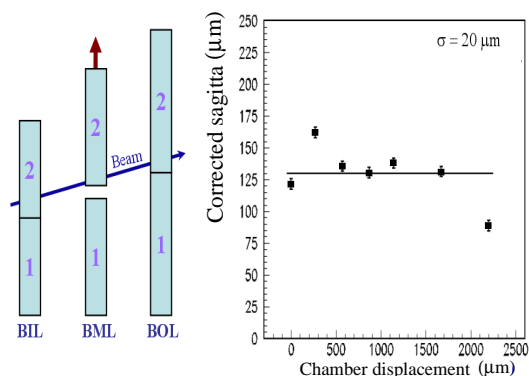


Figure 10.30: Sagitta measurement in the muon combined test-beam barrel sector set-up as a function of the value of systematic displacements of the middle barrel chamber in the direction indicated by the sketch.

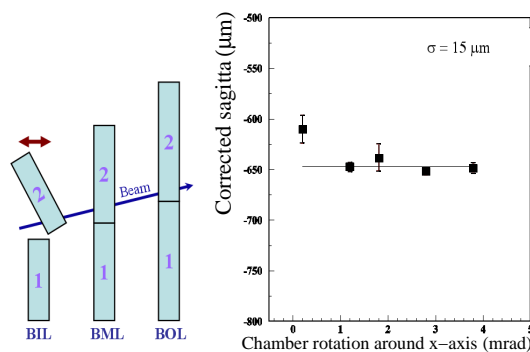


Figure 10.31: Sagitta measurement in the muon combined test-beam barrel sector set-up as a function of the value of systematic rotations of the inner barrel chamber around the axis indicated by the sketch (x -axis parallel to the drift tubes).

10.3.2.1 Performance of optical alignment system in test-beam

The optical alignment concept for the muon spectrometer underwent a final round of testing and validation with one full barrel sector and one full end-cap sector in the H8 muon beam line at CERN in 2002-2004 (see figures 10.1 and 10.3). Figures 10.30 and 10.31 show as examples the measured track sagittas, after applying the corrections obtained from the optical alignment system, for a specific displacement of the middle chamber of the barrel sector and for a specific rotation of the inner chamber of the barrel sector, respectively. Alignment accuracies of approximately $20 \mu\text{m}$ have been achieved in these tests, well within the design specifications of the alignment system (see section 6.5) [194, 195, 262, 263].

10.3.2.2 Alignment of the muon spectrometer with tracks

In the muon spectrometer (see section 6.3.2 and table 6.3), some chambers are not optically linked (BIS.8, BEE), or the optical connection does not have the required precision for the sagitta measurement (barrel chambers of the small sectors). During normal data-taking, these chambers can be aligned precisely using muon tracks passing through overlap regions with the optically aligned neighbouring chambers. Similarly, the alignment of the two end-caps with respect to the barrel will use tracks fully reconstructed in the barrel and passing through one end-cap chamber: one example of such an overlap is that between BIS-EIL-BML-BOL.

As an additional independent test of the achieved alignment accuracy, it is foreseen to run for some short periods without magnetic field in the toroids, while the solenoid is at full field. This will yield straight tracks in the muon spectrometer, which can be selected to have e.g. $p_T > 10 \text{ GeV}$, using the matching track reconstructed in the inner detector to limit the impact of multiple scattering. If the chamber alignment were perfect, the measured sagittas would be centred around zero with

a variance determined by multiple scattering and the position resolution of the chambers. Significant deviations from zero in certain η - ϕ regions would point to errors of chamber positioning, as obtained from the optical alignment. A statistical accuracy of $30\ \mu\text{m}$ on the average sagitta can be obtained with 15000 tracks with $p_T > 10\ \text{GeV}$ per chamber triplet. This corresponds to a less than one day of data-taking at a luminosity of $10^{33}\ \text{cm}^{-2}\ \text{s}^{-1}$. A similar procedure can be used during cosmic-ray data-taking to align parts of the spectrometer independently of LHC operation.

10.3.2.3 Overall calibration and alignment strategy

The drift-time measurements of the MDT's are synchronised with an accuracy of 200 ps by measuring the minimum drift time from the raw drift-time spectra of the individual tubes. The space-to-drift-time relationships, R - t , are iteratively determined from the residuals of reconstructed muon track segments in the chambers. The required R - t accuracy of $20\ \mu\text{m}$ can be achieved with 2000 track segments per chamber.

Both the alignment constants obtained from tracks and the MDT calibrations will be produced on a daily basis and will have to be ready within 24 hours to be used in the reconstruction. In order to collect enough statistics for these tasks, a dedicated stream of high- p_T single muons will be provided at a rate of 1 kHz as a direct output of the L2 muon trigger [174].

10.3.3 Reconstruction strategies

Muons with momenta ranging from approximately 3 GeV to 3 TeV are identified and measured with optimal acceptance and efficiency through the use of a combination of three track-reconstruction strategies (see section 10.2.1 for a brief description of the tracking software common to inner-detector and muon-spectrometer reconstruction):

- Stand-alone: muon track reconstruction based solely on the muon spectrometer data over the range $|\eta| < 2.7$ (defined by the spectrometer acceptance).
- Combined: combination of a muon-spectrometer track with an inner-detector track over the range $|\eta| < 2.5$ (defined by the inner-detector acceptance).
- Segment tag: combination of an inner-detector track with a muon-spectrometer segment, i.e. a straight-line track, in an inner muon station.

Track reconstruction in the muon spectrometer is logically sub-divided into the following stages: pre-processing of raw data to form drift-circles in the MDT's or clusters in the CSC's and the trigger chambers (RPC's and TGC's), pattern-finding and segment-making, segment-combining, and finally track-fitting. Track segments are defined as straight lines in a single MDT or CSC station. The search for segments is seeded by a reconstructed pattern of drift-circles or clusters or by drift-circles or clusters lying in a region of activity, which is defined by the trigger chambers and has a size of the order of 0.4×0.4 in $\eta - \phi$ space.

Full-fledged track candidates are built from segments, starting from the outer and middle stations and extrapolating back through the magnetic field to the segments reconstructed in the other stations. Each time a reasonable match is found, the segment is added to the track candidate.

The final track-fitting procedure takes into account, in full detail, the geometrical description of the traversed material and the magnetic field inhomogeneities along the muon trajectory.

The muon-spectrometer track parameters are determined at the inner stations, which yield the first set of measurements in the muon spectrometer. The track is then propagated back to the interaction point and the momentum is corrected for the energy loss in the calorimeters (and in the inner detector). The energy lost by dE/dX in the calorimeters is estimated by an algorithm, which uses either the parametrised expected energy loss or the measured calorimeter energy. The measured energy is used only if it exceeds significantly the most probable energy loss and if the muon track is isolated.

The combination of the stand-alone tracks reconstructed in the muon spectrometer with tracks reconstructed in the inner detector is performed in the region $|\eta| < 2.5$, which corresponds to the geometrical acceptance of the inner detector. This combination will considerably improve the momentum resolution for tracks with momenta below 100 GeV, but will also suppress to a certain extent backgrounds from pion punch-through and from pion or kaon decays in flight.

In the case of segment tags, inner-detector tracks are extrapolated to the inner muon stations and either associated directly to reconstructed muon segments or used to select muon drift-circles and clusters in a cone with typically a size of 100 mrad, from which track segments are then reconstructed. The muons reconstructed through this procedure provide an important improvement to the stand-alone muon reconstruction for three main reasons:

- at momenta below typically 6 GeV, muon tracks do not always reach the middle and outer muon stations;
- in the barrel/end-cap transition region with $1.1 < |\eta| < 1.7$, the middle stations are missing for the initial data-taking (EES and EEL chambers in table 6.4) and the stand-alone reconstruction efficiency is reduced in this region;
- in the difficult regions at $\eta \approx 0$ and in the feet, the geometrical acceptance of the muon stations is considerably reduced.

10.3.4 Muon reconstruction performance for single muons

Three main quantities can be used to summarise the performance of the muon reconstruction and identification algorithms: the momentum resolution, the efficiency and the misidentification or fake rate. This section presents the expected performance of the three first strategies described above for single muons. Both the stand-alone and combined results shown here have been obtained using as an example the algorithms described in ref. [264]. Except where directly relevant to the performance (e.g. for estimates of the fake rates), the results presented here do not include any effects arising from cavern background or pile-up.

Figure 10.32 shows the expected fractional momentum resolution, averaged over ϕ , for single muons with $p_T = 100$ GeV, as obtained for stand-alone and combined muon tracks. Over a large fraction of the acceptance, the stand-alone resolution is close to 3%, as shown in more detail in figure 10.33, which shows its variation as a function of ϕ in the region $0.3 < |\eta| < 0.65$. One clearly sees the degradation in resolution due to the feet which support the experiment and are situated close to $\phi = 240^\circ$ and 300° . In the region $1.1 < |\eta| < 1.7$, the large degradation of

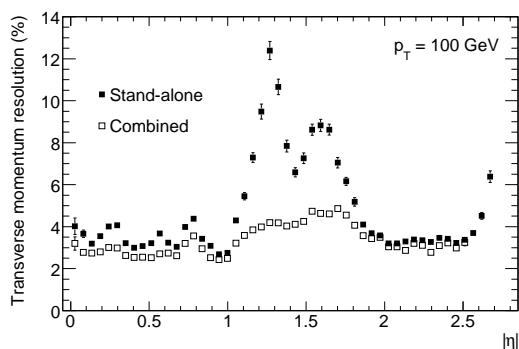


Figure 10.32: For muons with $p_T = 100$ GeV, expected fractional momentum resolution as a function of $|\eta|$ for stand-alone and combined reconstruction. The degradation in the region with $1.1 < |\eta| < 1.7$ is due to the absence of the middle muon stations in the barrel/end-cap transition region for the initial data-taking, to the low bending power of the magnetic field in the transition region between the barrel and end-cap toroids and to the material of the coils of the end-cap toroids.

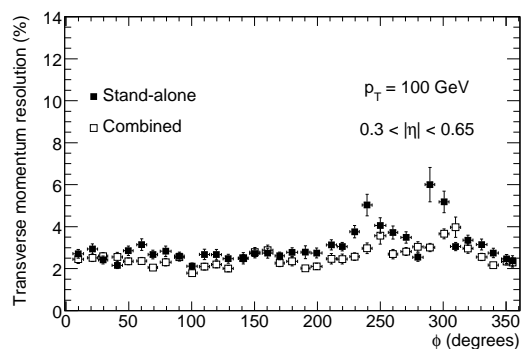


Figure 10.33: For muons with $p_T = 100$ GeV, expected fractional momentum resolution as a function of ϕ for stand-alone and combined reconstruction. The resolution is degraded at $\phi = 240^\circ$ and 300° , due to the additional material introduced by the feet which support the barrel part of the detector.

the stand-alone momentum resolution is due to several effects. In the region $1.1 < |\eta| < 1.3$, the degradation is due to the absence of the middle muon stations in the barrel/end-cap transition region for the initial data-taking, which results in a large degradation of the resolution since the measurement is limited to an angle-angle measurement between the inner and outer stations. At larger values of $|\eta|$, the degradation is due to the combination of the low bending power of the magnetic field in the transition region between the barrel and end-cap toroids and of the large amount of material in the coils of the end-cap toroid in limited regions in ϕ . The contribution of the inner detector to the combined resolution is therefore more important in this η -region. In the barrel region, the contribution of the inner detector remains significant, whereas it basically vanishes for $|\eta| > 2.0$. This is due to the intrinsically worse momentum resolution in the inner detector because of the absence of any TRT measurements in this η -region, of the solenoidal field non-uniformity, and of the shorter length of the tracks in the inner-detector magnetic volume.

The stand-alone momentum resolution of muons with $p_T = 100$ GeV can be calculated based on the spatial resolution of the chambers, the material distribution, and the magnetic-field configuration in the muon spectrometer [265]. The result of this calculation is shown as a function of ϕ and $|\eta|$ in figure 10.34. No momentum measurement is possible at $|\eta| < 0.1$ and $|\eta| = 1.3$ because of holes in the acceptance of the muon spectrometer. The expected stand-alone momentum resolution is approximately 3% over most of the $\eta - \phi$ plane. It is degraded to 5% at $|\eta| = 0.2, 0.3$ and 0.7 , due to support structures of the barrel toroid magnet coils. The degradation in the regions corresponding to $1.2 < |\eta| < 1.7$ and to ϕ -values which are multiples of 22.5° is caused by the

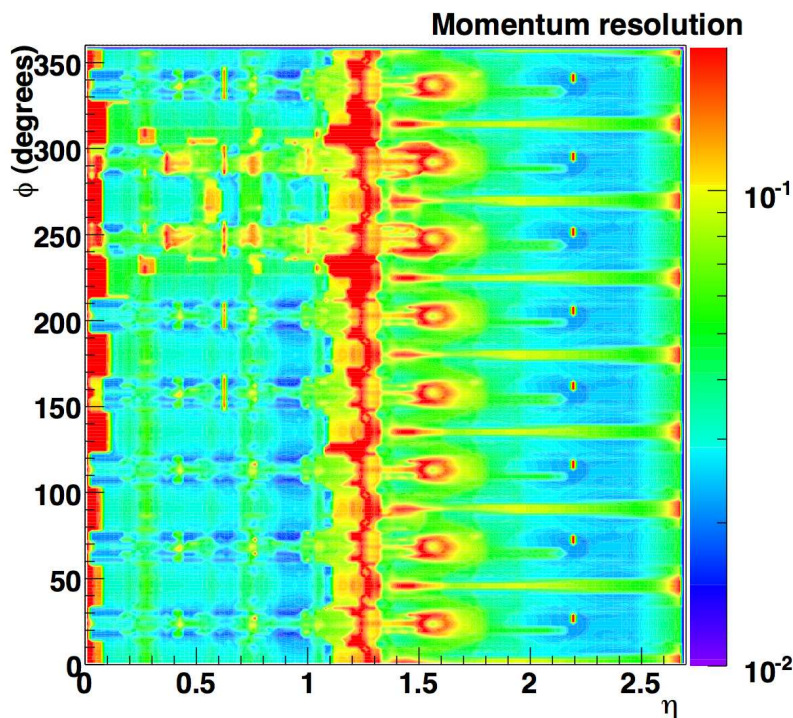


Figure 10.34: For muons with $p_T = 100$ GeV, expected fractional stand-alone momentum resolution as a function of ϕ and $|\eta|$. The results are based on a parametrisation using the material distribution in the muon spectrometer shown in figure 6.7, the magnetic field configuration in the muon spectrometer, and the spatial resolution of the muon chambers. No momentum measurement is possible at $|\eta| < 0.1$ over most of the azimuth, nor at $|\eta| = 1.3$ because of holes in the acceptance of the muon spectrometer (see text).

small bending power of the magnetic field in these regions. The resolution expectations from this analytical model are in good agreement with the results shown in figures 10.32 and 10.33, which are based on full simulation and reconstruction.

Figures 10.35 and 10.36 show the expected stand-alone and combined momentum resolutions as a function of p_T , excluding the η -region $1.1 < |\eta| < 1.7$, respectively for the barrel and end-cap muon spectrometer. The stand-alone resolution displays its characteristic behaviour with optimal resolution achieved at ~ 100 GeV. At lower transverse momenta, the stand-alone resolution is dominated by fluctuations in the energy loss in the calorimeters, whereas at higher transverse momenta, it is dominated by the intrinsic MDT tube accuracy, assumed to be $80 \mu\text{m}$ in the case of a calibrated and aligned detector. At low transverse momenta, the combined resolution reflects directly the dominant performance of the inner detector, which is itself limited by multiple scattering for transverse momenta below ~ 10 GeV (see section 10.2.3).

In figures 10.37 and 10.38, the single muon reconstruction efficiency is shown, respectively as a function of $|\eta|$ for muons with $p_T = 100$ GeV and as a function of p_T . The efficiency is defined as the fraction of simulated muons which are reconstructed within a cone of size $\Delta R = 0.2$ of the

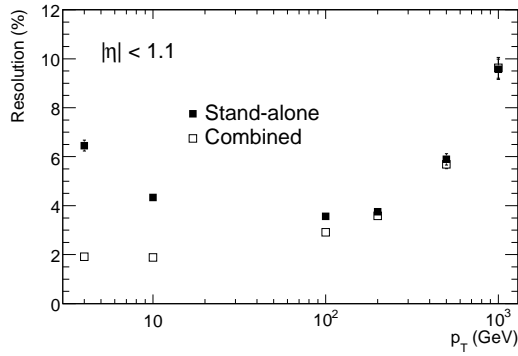


Figure 10.35: Expected stand-alone and combined fractional momentum resolution as a function of p_T for single muons with $|\eta| < 1.1$.

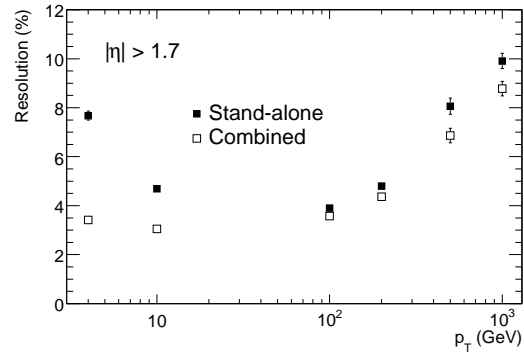


Figure 10.36: Expected stand-alone and combined fractional momentum resolution as a function of p_T for single muons with $|\eta| > 1.7$.

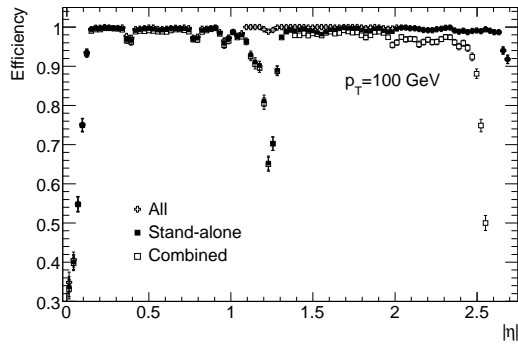


Figure 10.37: Efficiency for reconstructing muons with $p_T = 100$ GeV as a function of $|\eta|$. The results are shown for stand-alone reconstruction, combined reconstruction and for the combination of these with the segment tags discussed in the text.

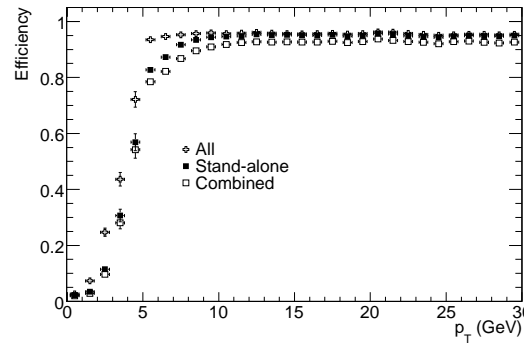


Figure 10.38: Efficiency for reconstructing muons as a function of p_T . The results are shown for stand-alone reconstruction, combined reconstruction and for the combination of these with the segment tags discussed in the text.

initial muon. The results are shown for stand-alone reconstruction, for combined reconstruction, and for the overall combination of these with the segment tags discussed above. The efficiency for stand-alone tracks drops to very low values in the region with $\eta \sim 0$ because of the large gap for services, in which there are very few muon stations. The stand-alone efficiency also drops substantially close to $\eta = 1.2$, which corresponds to a region in the barrel/end-cap transition region where several stations are missing. The efficiency for combining stand-alone muon tracks with the inner detector is very high in the central region, starts to drop for $|\eta| > 2.0$ and decreases rapidly to 0 for $|\eta| > 2.4$. The segment tags contribute only to a limited extent to the overall efficiency for $1.4 < |\eta| < 2.0$ for muons with high p_T , but figure 10.38 shows that, as expected, their contribution is substantial for lower p_T values.

The efficiencies presented above must be compared to the expected fake rates, especially in the presence of cavern background, which permeates the whole muon spectrometer, and of pile-up, which affects mostly the high- $|\eta|$ region. Electromagnetic showers triggered by energetic muons traversing the calorimeters and support structures lead to low-momentum electron and positron tracks, which accompany the muons in the muon spectrometer. These low-momentum tracks are an irreducible source of fake stand-alone muons. Most of them can be rejected by a cut on their transverse momentum. For example, a cut requiring $p_T > 5$ GeV reduces the fake rate to a few percent per triggered event. Such fakes can be almost entirely rejected by requiring a match of the muon-spectrometer track with an inner-detector track.

The second source of fake stand-alone muons is the background of thermal neutrons and low-energy γ -rays in the muon spectrometer (the so-called "cavern background"). Most of these fakes also have transverse momenta smaller than 5 GeV. The expected fake rate with $p_T > 5$ GeV from cavern background at 10^{33} cm $^{-2}$ s $^{-1}$ is below 2% per triggered event. This rate is proportional to the background counting rate and can be reduced by almost an order of magnitude by requiring a match of the muon-spectrometer track with an inner-detector track.

10.3.5 Reconstruction of $Z \rightarrow \mu\mu$ and $H \rightarrow \mu\mu\mu\mu$ decays

The large expected rates of $Z \rightarrow \mu\mu$ decays provide an excellent tool to untangle various effects which might lead to distortions of the measured dimuon invariant mass spectrum. One example is shown in figure 10.39 for stand-alone muon measurements, where the performance obtained with a misaligned layout is compared to that expected from a perfectly aligned layout. The misalignments introduced for this study were random displacements of typically 1 mm and random rotations of typically 1 mrad. These lead to a distribution of the difference between the dimuon reconstructed invariant mass and the true dimuon mass with a fitted Gaussian resolution of approximately 8 GeV. The fitted Gaussian resolution obtained for the same distribution in the case of the perfectly aligned layout is 2.5 GeV.

The muon reconstruction and identification efficiency will also be measured from data using $Z \rightarrow \mu\mu$ decays and the tag-and-probe method described in section 10.9.7 with similar results in terms of accuracy of the measurement. These in situ measurements will be extended to lower-mass resonances, using J/ψ and Υ decays at lower initial luminosities.

Finally, figures 10.40 and 10.41 show the four-muon invariant mass distributions from respectively stand-alone and combined reconstruction without using any Z -mass constraint for $H \rightarrow \mu\mu\mu\mu$ decays in the case of a Higgs-boson mass of 130 GeV. The stand-alone resolution is 3.3 GeV, whereas the combined resolution is 2.1 GeV. The non-Gaussian tails in the distribution

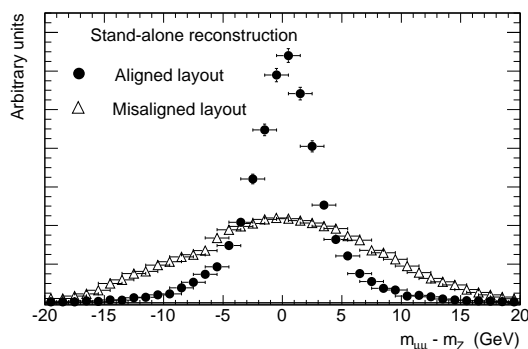


Figure 10.39: For stand-alone muon reconstruction, reconstructed invariant mass distribution of dimuons from $Z \rightarrow \mu\mu$ decays for an aligned layout of the chambers and for a misaligned layout, where all chambers are displaced and rotated randomly by typically 1 mm and 1 mrad.

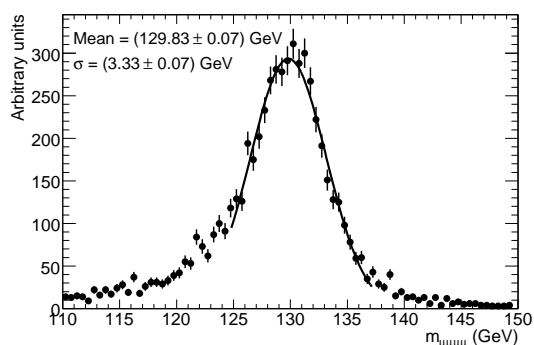


Figure 10.40: For $H \rightarrow \mu\mu\mu\mu$ decays with $m_H = 130$ GeV, reconstructed mass of the four muons using stand-alone reconstruction. The results do not include a Z -mass constraint.

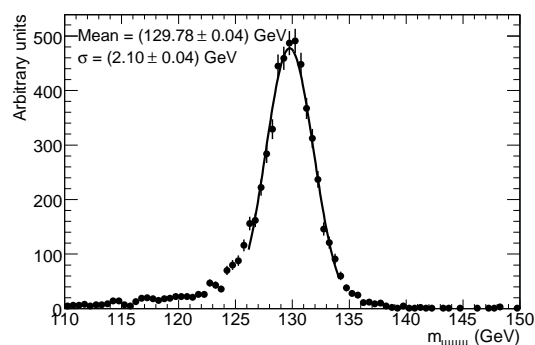


Figure 10.41: For $H \rightarrow \mu\mu\mu\mu$ decays with $m_H = 130$ GeV, reconstructed mass of the four muons using combined reconstruction. The results do not include a Z -mass constraint.

amount to 29% (resp. 18%) of events which lie further than 2σ away from the peak for the stand-alone (resp. combined) reconstruction. They are partially due to radiative decays, but mostly to muons poorly measured in certain regions of the muon spectrometer, especially in the case of the stand-alone measurements.

10.4 Electrons and photons

Efficient and accurate reconstruction and identification of electrons and photons will be a task of unprecedented difficulty at the LHC, where the ratios of inclusive electrons and photons to jets from QCD processes are expected to be between one and two orders of magnitude worse than at the Tevatron (as an example, the electron-to-jet ratio is expected to be $\sim 10^{-5}$ at $p_T = 40$ GeV). In addition, the large amount of material in front of the electromagnetic calorimeters and the harsh operating conditions at the LHC design luminosity provide a difficult challenge in terms of preserving most of the electrons and photons with their energies and directions measured as well as would be expected from the intrinsic performance of the electromagnetic calorimeters measured in test-beams. This section is devoted to a summary of the calibration and expected performance of the electromagnetic calorimeter, of electron and photon identification in the energy range of interest for initial physics, and of the strategies under evaluation for the validation and certification of the performance in situ.

10.4.1 Calibration and performance of the electromagnetic calorimeter

The results presented in this section are based on detailed simulation studies, validated by extensive test-beam studies over the past years (see section 5.7) and using reconstruction procedures developed for test-beam data analysis. Compared to ref. [248], the material budget in front of the calorimeter has increased substantially. The large amount of material in front of the presampler and the electromagnetic calorimeter leads to substantial energy losses for electrons, as shown in figure 10.42 (see also figure 10.21 for more details on electron energy loss in the inner-detector

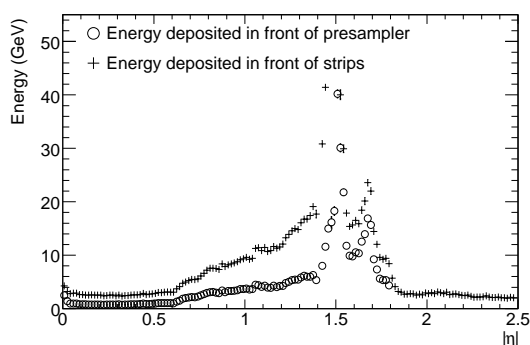


Figure 10.42: Average energy loss in GeV as a function of $|\eta|$ for electrons with an energy of 100 GeV. The results are shown before the presampler (open circles) and the strip layer (crosses).

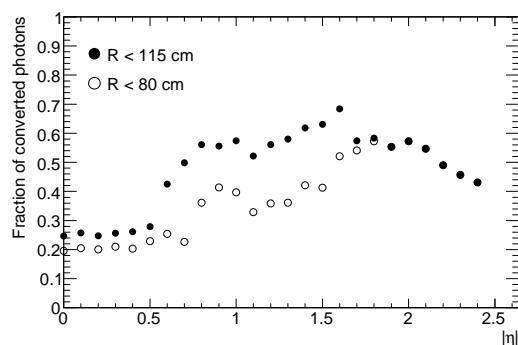


Figure 10.43: Fraction of photons converting at a radius of below 80 cm (115 cm) in open (full) circles as a function of $|\eta|$.

material itself) and to a large fraction of photons converting, as shown in figure 10.43 (see also figure 10.22 for details on the photon conversion probability in the inner-detector material).

Electron and photon reconstruction is seeded using a sliding-window algorithm with a window size corresponding to 5×5 cells in the middle layer of the electromagnetic calorimeter (see table 1.3 for a detailed description of the granularity and η -coverage of the electromagnetic calorimeter). A cluster of fixed size is then reconstructed around this seed. For electrons, the energy in the barrel electromagnetic calorimeter is collected over an area corresponding to 3×7 cells in the middle layer or 0.075×0.175 in $\Delta\eta \times \Delta\phi$. This choice optimises the balance between the conflicting requirements of collecting all the energy even in the case of hard bremsstrahlung and of preserving the energy resolution by minimising the contributions from noise and pile-up. For unconverted photons, adequate performance is obtained by limiting the area to 3×5 cells in the middle layer, whereas converted photons are treated like electrons. Finally, for the end-cap electromagnetic calorimeters, an optimal area of 5×5 cells in layer 2 has been chosen for both electrons and photons.

Position corrections are applied as a first step in the precise reconstruction of the electromagnetic cluster. Corrections for modulations of the local energy response as a function of the extrapolated impact point of the electron in both η and ϕ are shown in figures 10.44 and 10.45, respectively. These corrections do not modify the global energy scale and are rather small in terms of the relative response: typically, the η -variation is, minimum to maximum, around 1%, whereas the ϕ -modulation correction due to the accordion structure of the absorbers is, minimum to maximum, around 0.4%. The parabolic component of this latter correction is smaller than the one in η because of the energy sharing between adjacent cells in ϕ .

The most important corrections to optimise at the same time the energy resolution and the linearity of the response are incorporated using η -dependent longitudinal weights, similarly to what is described for the electromagnetic calorimeter test-beam results in section 5.7.1:

$$E = s(\eta)[c(\eta) + w_0(\eta) \cdot E_{\text{PS}} + E_{\text{strips}} + E_{\text{middle}} + w_3(\eta) \cdot E_{\text{back}}], \quad (10.1)$$

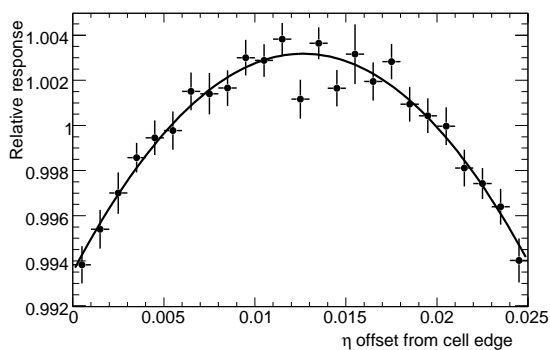


Figure 10.44: Electron energy response modulation as a function of the η offset within the cell. The curve represents a fit to the points used to parametrise the correction.

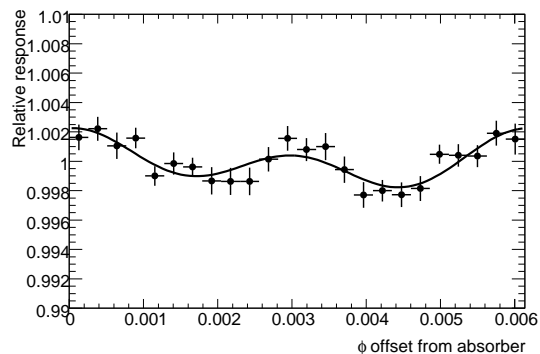


Figure 10.45: Electron energy response modulation as a function of the ϕ offset from the absorber. The curve represents a fit to the points used to parametrise the correction.

where s is an overall scale factor, c is an offset, w_0 corrects for energy losses upstream of the presampler, and w_3 corrects for longitudinal leakage, while E_{PS} , E_{strips} , E_{middle} and E_{back} represent the energies measured in the successive layers of the electromagnetic calorimeter (presampler, strips, middle and back). The weights are determined as functions of $|\eta|$, using simulated single-particle events (electrons and photons) with energies from 5 GeV to 200 GeV. The weights are calculated separately for electrons (matched track required) and photons (no matched track required) and applied to the corresponding cluster energies. In the future, this method will be replaced by a more complex algorithm, which corrects the different types of true energy loss one by one, by correlating each of them with measured observables.

In figures 10.46 and 10.47, the energy response, plotted as the difference between measured and true energy divided by the true energy, is shown for electrons with an energy of 100 GeV and for two illustrative η -values in the barrel electromagnetic calorimeter. The central value of the energy is reconstructed with excellent precision ($\sim 3 \times 10^{-4}$) if one assumes perfect knowledge of the material in front of the calorimeter. Both the Gaussian core and the non-Gaussian component of the tail of the energy distribution are significantly worse at the point with the larger η due to the larger amount of material in front of the calorimeter (see figure 4.46). As shown in figures 10.48 and 10.49, the resolution and non-Gaussian tails are better for photons than for electrons, but are somewhat worse for all photons than for unconverted photons, i.e. photons not converting before leaving the volume of the inner detector.

The energy resolution as a function of energy is shown in figures 10.50 and 10.51, respectively for electrons and photons and for three illustrative values of $|\eta|$. The results shown here include the expected electronic noise contributions at 100 GeV of 190, 190 and 230 MeV (respectively 180, 180 and 230 MeV) for the three η -values for electrons (respectively photons).

As expected in the case of the points at the larger η -values, the resolution is degraded with respect to the one at the more central value of η . Fits to these results similar to those described in section 5.7.1 and expressed in eq. (5.2) yield stochastic terms of respectively 10.0%, 15.1% and 14.5% for the electrons at the three η -values shown. The corresponding terms for photons are found to be 10.2%, 12.4% and 12.1%, once again showing that photons are less sensitive than

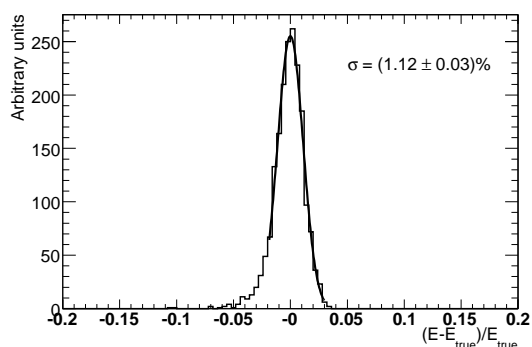


Figure 10.46: Difference between measured and true energy normalised to true energy for electrons with an energy of 100 GeV at $\eta = 0.325$.

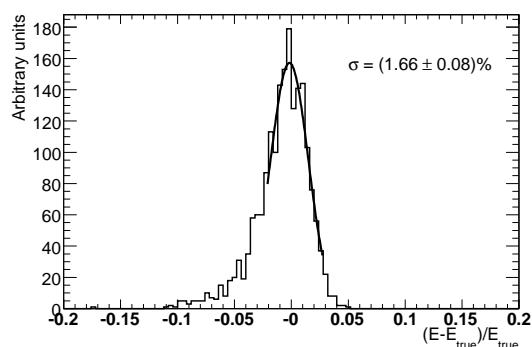


Figure 10.47: Difference between measured and true energy normalised to true energy for electrons with an energy of 100 GeV at $\eta = 1.075$.

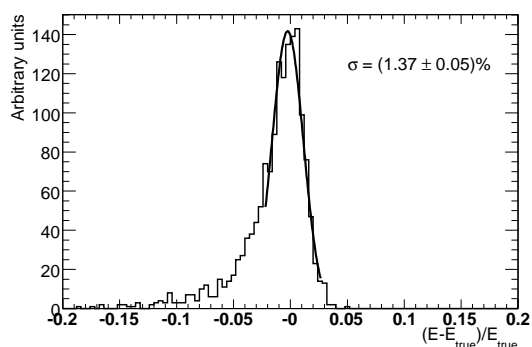


Figure 10.48: Difference between measured and true energy normalised to true energy for all photons with an energy of 100 GeV at $\eta = 1.075$.

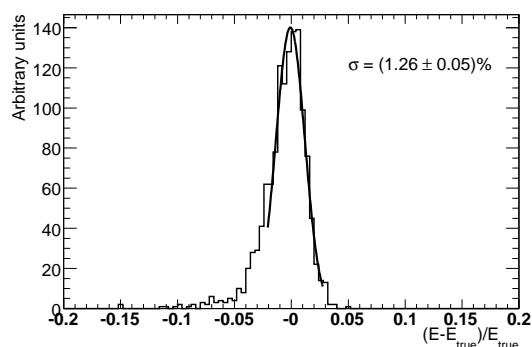


Figure 10.49: Difference between measured and true energy normalised to true energy for unconverted photons with an energy of 100 GeV at $\eta = 1.075$.

electrons to the material in front of the calorimeter. This can also be clearly seen when comparing figures 10.52 and 10.53, which show for electrons and photons the expected relative energy resolution as a function of $|\eta|$ for a fixed energy of 100 GeV. The η -region between 1.37 and 1.52 corresponds to the difficult transition region between the barrel and end-cap cryostats, where the energy resolution degrades significantly despite the presence of scintillators in the crack between the barrel and end-cap cryostats to correct for the energy lost in the barrel cryostat flange (see section 5.5). This crack region is not used for photon identification nor for precision measurements with electrons.

In figure 10.54, the expected η -resolution is shown for the two main layers (strips and middle layer) of the barrel and end-cap calorimeters. The resolution is fairly uniform as function of $|\eta|$ and is $2.5 - 3.5 \times 10^{-4}$ for the strips (which have a size of 0.003 in η in the barrel electromagnetic calorimeter) and $5 - 6 \times 10^{-4}$ for the middle-layer cells (which have a size of 0.025 in η). The regions with worse resolution correspond to the barrel/end-cap transition region and, for the

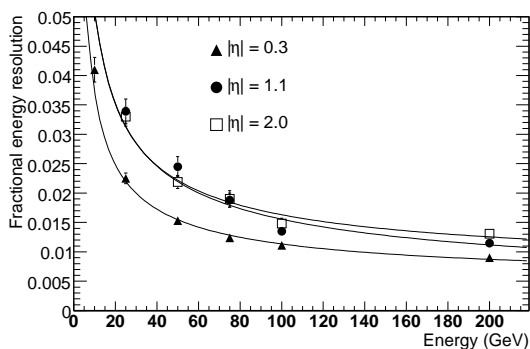


Figure 10.50: Expected relative energy resolution as a function of energy for electrons at $|\eta| = 0.3, 1.1,$ and 2.0 . The curves represent fits to the points at the same $|\eta|$ by a function containing a stochastic term, a constant term and a noise term.

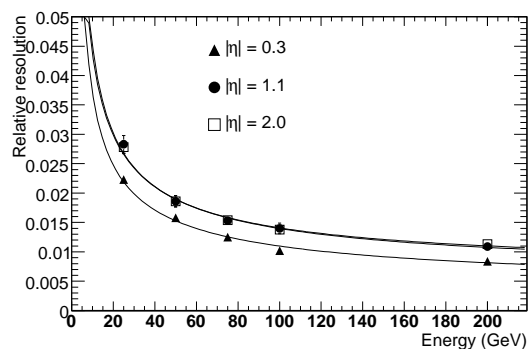


Figure 10.51: Expected relative energy resolution as a function of energy for photons at $|\eta| = 0.3, 1.1,$ and 2.0 . The curves represent fits to the points at the same η by a function containing a stochastic term, a constant term and a noise term.

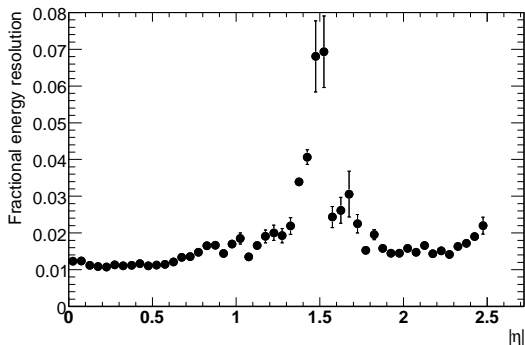


Figure 10.52: Expected relative energy resolution as a function of $|\eta|$ for electrons with an energy of 100 GeV.

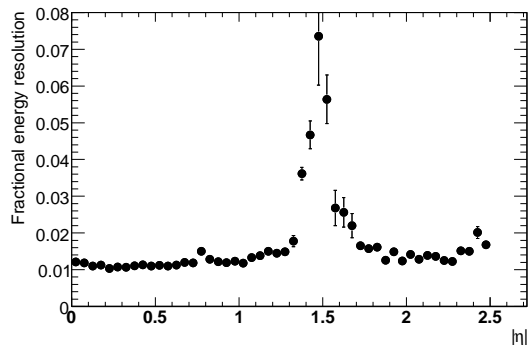


Figure 10.53: Expected relative energy resolution as a function of $|\eta|$ for photons with an energy of 100 GeV.

strips, to the region with $|\eta| > 2$, where the strip granularity of the end-cap calorimeter becomes progressively much coarser (see table 1.3). The results shown in section 5.7.1 are somewhat better because they correspond to a higher electron energy of 245 GeV.

Because of the fine lateral and longitudinal granularity of the electromagnetic calorimeter, these η -measurements can be used to determine the direction of the axis of the shower development in the η -direction (or polar angle θ). To achieve the best performance, one requires an accurate parametrisation of the shower depth (R -coordinate in the barrel and z -coordinate in the end-caps), as determined by Monte-Carlo simulations for both layers. The resulting resolution on the polar angle of photon showers is shown in figure 10.55 for a representative sample of photons from $H \rightarrow \gamma\gamma$ decays. A resolution of $50\text{--}75 \text{ mrad} / \sqrt{E} \text{ (GeV)}$ is obtained, which should be sufficient to e.g. measure accurately the invariant mass of photon pairs without using any primary vertex information.

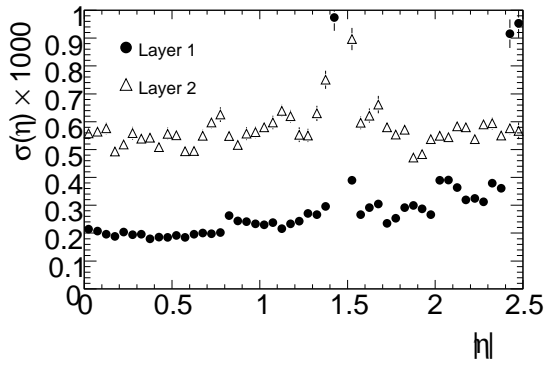


Figure 10.54: Expected η -resolution as a function of $|\eta|$ for photon showers with an energy of 100 GeV and for the two main layers of the barrel and end-cap electromagnetic calorimeters.

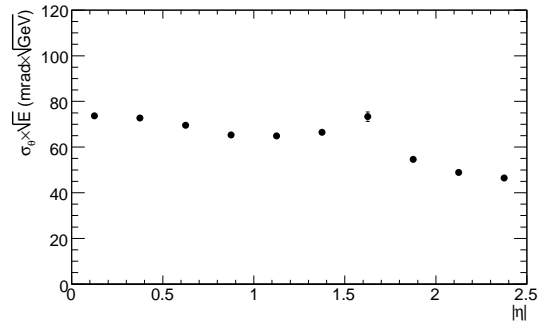


Figure 10.55: Expected precision on the polar angle θ of photons from $H \rightarrow \gamma\gamma$ decays as a function of $|\eta|$, expressed in units of $\text{mrad} \cdot \sqrt{E}$, where E is the measured energy of the photon shower in GeV.

In addition to the calorimeter-seeded electron and photon reconstruction, a second electron reconstruction and identification algorithm uses good-quality tracks as a seed and constructs a cluster around the extrapolated impact point in the calorimeter [266]. This algorithm relies more on the electron identification capabilities of the inner detector and has been developed to improve the efficiency for low- p_T electrons (see section 10.4.3) as well as for electrons close to jets (see section 10.8.5). The algorithm matches good-quality inner-detector tracks to small clusters of electromagnetic energy. For a given track, only the energy contained in a small window along the track extrapolation is used and the contribution of neighbouring hadronic showers is therefore reduced. The identification procedure takes full advantage of the tracking and electron-identification capabilities of the TRT in the inner detector (over $|\eta| < 2.0$, as described in section 10.2.5), as well as of the granularity of the electromagnetic calorimeter. A likelihood ratio combines inner-detector information (measured track momentum and transition-radiation hits) with shower-shape variables from the calorimeter.

In the following, unless specified otherwise (as in section 10.4.3), only the results of the calorimeter-seeded algorithm will be discussed.

10.4.2 Electron and photon reconstruction and identification

For the standard reconstruction of electrons and photons, a seed cluster is taken from the electromagnetic calorimeter and a loosely matching track is searched for among all reconstructed tracks. Additionally, the candidate is flagged if it matches a photon conversion reconstructed in the inner detector. Electron and photon candidates are thus separated reasonably cleanly, by requiring the electrons to have an associated track but no associated conversion. In contrast, the photons are defined as having no matched track, or as having been matched to a reconstructed conversion.

For all electron and photon candidates, shower-shape variables (lateral and longitudinal shower profiles, etc.) are calculated using the fine granularity of the electromagnetic calorimeter, and typically more than 50 calorimeter cells are summed to collect the full cluster energy. Addition-

ally, combined reconstruction properties, such as the ratio of energy (calorimeter) to momentum (inner detector), the difference between the coordinates η and ϕ reconstructed by the cluster and the track extrapolated into the calorimeter, and the ratio of high-threshold transition radiation hits to low-threshold hits on the track, are used to identify electrons.

The energy of high- p_T electrons is obtained from the energy measured in the calorimeter (the inner-detector momentum measurement is not expected to improve the accuracy of the calorimeter energy measurement significantly for energies above 20–30 GeV). The η and ϕ directions are, however, more precisely determined using the associated track. For photons, everything is derived from the calorimeter information, the energy, the ϕ -direction using the precisely known average transverse position of the primary vertex, and the η -direction as described above.

10.4.2.1 Electrons

The standard identification for isolated high- p_T electrons is based on cuts on the shower shapes, on information from the reconstructed track and on the combined reconstruction. Jet rejections are computed with respect to truth-particle jets reconstructed using particle four-momenta within a cone of size $\Delta R = 0.4$. Three sets of cuts have been studied depending on the signal efficiency and jet rejection requirements of the physics samples under study:

- "loose cuts" consisting of simple shower-shape cuts (longitudinal leakage, shower shape in the middle layer of the electromagnetic calorimeter) and very loose matching cuts between reconstructed track and calorimeter cluster;
- "medium cuts", which add shower-shape cuts using the important information contained in the first layer of the electromagnetic calorimeter and track-quality cuts similar to the standard reconstruction cuts quoted in section 10.2.3;
- "tight cuts", which tighten the track-matching criteria and the cut on the energy-to-momentum ratio. These cuts also explicitly require the presence of a vertexing-layer hit on the track (to further reject photon conversions) and a high ratio between high-threshold and low-threshold hits in the TRT detector (to further reject the background from charged hadrons), as shown in section 10.2.5. Additionally, further isolation of the electron may be required by using calorimeter energy isolation beyond the cluster itself. Two sets of tight selection cuts are used in this section to illustrate the overall performance of the electron identification. They are labelled as "tight (TRT)", in the case where a TRT cut with approximately 90% efficiency for electrons is applied, and as "tight (isol.)", in the case where a TRT cut with approximately 95% efficiency is applied in combination with a calorimeter isolation cut.

The performance of the cut-based analysis is summarised in table 10.3 and in figure 10.56 for electrons. As can be seen from table 10.3, the signal from prompt electrons is dominated by initially non-isolated electrons from heavy flavours, which explains the much lower efficiency observed for these electrons. Dedicated algorithms might improve this efficiency somewhat, but these electrons will nevertheless provide the most abundant initial source of isolated electrons and will be used for alignment of the electromagnetic calorimeters and the inner detector, for E/p calibrations, and more generally to improve the understanding of the material of the inner detector. For tight cuts and

Table 10.3: Expected efficiencies for isolated and non-isolated electrons and corresponding jet background rejections for the three standard levels of cuts used for electron identification. The results are shown for simulated inclusive jet samples corresponding to E_T -thresholds of the electron candidates of 17 GeV (left) and 8 GeV (right). The three bottom rows show, for each of the inclusive jet samples, the fractions of all surviving candidates which originate from the different categories for the medium cuts and the two sets of tight cuts. The isolated electrons are prompt electrons from W , Z and top-quark decay and the non-isolated electrons are from b , c decay. The residual jet background is split into its two dominant components, electrons from photon conversions and Dalitz decays (first term in brackets) and charged hadrons (second term in brackets). The quoted errors include part of the systematics, but do not include the larger systematic uncertainties from the physics input and detector simulation.

Cuts	$E_T > 17 \text{ GeV}$			$E_T > 8 \text{ GeV}$		
	Efficiency (%)		Jet rejection	Efficiency (%)		Jet rejection
	$Z \rightarrow ee$	$b, c \rightarrow e$		Single electrons ($E_T=10 \text{ GeV}$)	$b, c \rightarrow e$	
Loose	87.9 ± 0.5	38 ± 1	570 ± 10	75.7 ± 0.5	46 ± 1	510 ± 10
Medium	76.7 ± 0.5	27 ± 1	2200 ± 20	64.8 ± 0.5	36 ± 1	1280 ± 10
Tight (TRT)	61.3 ± 0.5	20 ± 1	$(8 \pm 1)10^4$	46.4 ± 0.5	25 ± 1	$(4.7 \pm 0.5)10^4$
Tight (isol.)	63.6 ± 0.5	16 ± 1	$(9 \pm 1)10^4$	48.7 ± 0.5	24 ± 1	$(4.3 \pm 0.5)10^4$
	Relative populations of surviving candidates (%)			Relative populations of surviving candidates (%)		
	Isolated	Non-isolated	Jets	Isolated	Non-isolated	Jets
Medium	0.9	6.4	92.6 (1.5 + 91.1)	—	7.7	92.3 (2.2 + 90.9)
Tight (TRT)	10.5	56.1	33.4 (4.3 + 29.0)	—	63.2	36.8 (4.0 + 32.8)
Tight (isol.)	13.0	53.4	33.6 (4.6 + 29.0)	—	62.8	37.2 (4.4 + 30.3)

an electron p_T of $\sim 20 \text{ GeV}$, the isolated electrons from W , Z and top-quark decays represent less than 20% of the total prompt electron signal and are only at the level of $\sim 30\text{--}40\%$ of the residual jet background. For the lower E_T -threshold of 8 GeV, the expected signal from isolated electrons is negligibly small. Not surprisingly, the tight TRT cuts are more efficient to select non-isolated electrons from heavy-flavour decay, while the tight isol. cuts are more efficient at selecting isolated electrons. After tight cuts, the signal-to-background ratio is close to 2:1, and depends only weakly on the E_T -threshold. The residual background is dominated by charged hadrons. Further rejection could be possible at the expense of loss of efficiency by stronger cuts (TRT and/or isolation) and by improving the photon conversion reconstruction (see section 10.2.5).

Figure 10.56 shows in more detail the overall reconstruction and identification efficiencies for the three sets of electron cuts discussed above: the E_T dependence of the efficiencies is shown for single electrons of fixed E_T as well as for physics processes containing isolated electrons from cascade decays of supersymmetric particles to illustrate the rather stable behaviour of the cuts when moving from the ideal case of single particles to a busy environment with many additional jets in the event. The somewhat worse efficiency observed in complex events is attributed to the fraction of cases when the electron candidate is close to or even within a high- p_T jet. The overall efficiency of the cuts remains stable for even higher electron energies (the efficiency of the tight isol. cuts is 68% for electrons of $E_T = 500 \text{ GeV}$).

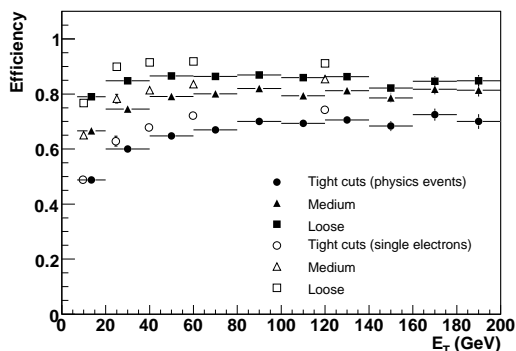


Figure 10.56: Overall reconstruction and identification efficiency of various levels of electron cuts: loose, medium, and tight isol. as a function of E_T for single electrons (open symbols) and for isolated electrons in a sample of physics events with a busy environment (full symbols).

In addition to the traditional cut-based analysis, multivariate techniques have been developed, based on similar variables, and the performance of a likelihood technique is shown as an example in figure 10.57. Compared to the tight cuts described above, a gain of 4–8% in efficiency for the same fixed rejection against jets or of 40–60% in rejection for the same fixed efficiency can be obtained, using this likelihood method for isolated electrons with energies typical of those expected from $Z \rightarrow ee$ decays.

As discussed already to some extent in section 10.2.5, certain dedicated tracking algorithms improve the momentum reconstruction for electrons with transverse momenta up to 10 GeV. However, as shown in figure 10.58 for electrons with $p_T = 25$ GeV, a significant reduction of the tails due to bremsstrahlung can only be achieved at higher energies by combining the inner-detector measurements with the accurate measurement of the ϕ -position of the electromagnetic shower. This latter constraint, when combined with the extrapolated track impact in the calorimeter, provides enough information to estimate with reasonable accuracy the origin and energy of a hard bremsstrahlung photon. As shown in figure 10.58, this combined bremsstrahlung

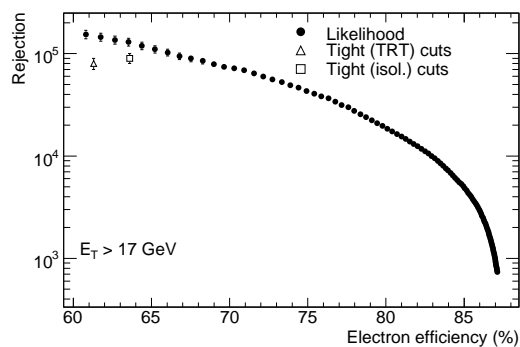


Figure 10.57: Jet rejection as a function of overall reconstruction and identification efficiency for electrons, as obtained using a likelihood method (full circles). The results obtained with the standard cut-based method are also shown in the case of tight TRT (open triangle) and tight isol. (open square) cuts.

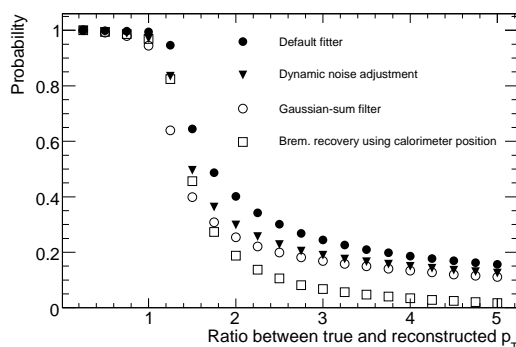


Figure 10.58: For electrons with $p_T = 25$ GeV and $|\eta| > 1.5$, integral probability for ratio of true to reconstructed transverse momentum to exceed a given value. The various symbols represent different track-fitting algorithms (see section 10.2.5) and the bremsstrahlung recovery algorithm, which uses the accurate measurement of the shower position in ϕ in the electromagnetic calorimeter (see text).

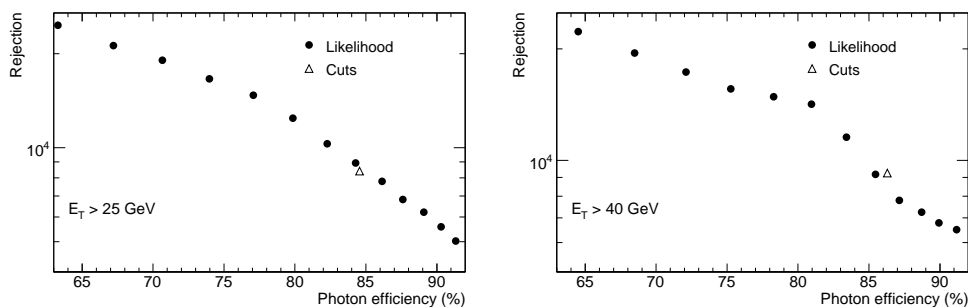


Figure 10.59: For reconstructed photon candidates with $E_T > 25$ GeV (left) and with $E_T > 40$ GeV (right), jet rejection as a function of photon efficiency, as obtained using a likelihood method. The results obtained with the standard cut-based method are also shown for reference.

recovery procedure will reduce considerably the tails in the E/p distribution, which will be an important tool for studying the uniformity of calibration of the electromagnetic calorimeter, as well as material and alignment effects.

10.4.2.2 Photons

Photons are much harder to extract as a signal from the jet background than certain specific isolated electron signals, such as those expected from $Z \rightarrow ee$ or $W \rightarrow e\nu$ decays. A single set of photon identification cuts, equivalent to the "tight cuts" defined for electrons, has been optimised based on the shower shapes in the calorimeter with special emphasis on separating single π^0 's from photons using the very fine granularity in η of the strip layer. In addition, a simple track-isolation criterion has been added to further improve the rejection while preserving the vast majority of converted photons. Using these criteria, an efficiency of 84% has been obtained for photons with an energy spectrum as expected from $H \rightarrow \gamma\gamma$ decay with $m_H = 120$ GeV. This efficiency is quite uniform over the whole η -range except for the crack between the barrel and end-cap calorimeters mentioned above. For this value of the photon efficiency, a jet rejection of ~ 5000 (without track isolation) to 9000 (with track isolation) has been achieved, averaged over the parton flavours corresponding to the inclusive di-jet background sample used. The expected jet rejections are shown in table 10.4 separately for quarks and gluons and for two relevant values of the E_T -threshold applied to the photon candidates. The larger rejection expected against gluon jets is due to the softer fragmentation and therefore broader lateral extent of gluon jets compared to light jets which are dominant in the quark-jet sample. The residual background from jets is mostly composed of isolated π^0 's, so the fine-grained strip layer of the electromagnetic calorimeter is an important element to achieve such rejections. As for the electrons, the jet rejections are computed with respect to truth-particle jets reconstructed using particle four-momenta within a cone of size $\Delta R = 0.4$.

Multivariate methods have also been developed for the more difficult case of photon identification. These can be seen in figure 10.59, which shows as an example the expected performance for a likelihood technique compared to the standard cut-based analysis. For photon candidates with $E_T > 25$ GeV and a fixed efficiency of 84%, the rejection with respect to the cut-based selection is improved by 6% for the likelihood method.

Table 10.4: Jet rejections obtained before and after applying track-isolation cuts for photon candidates with $E_T > 25$ GeV and $E_T > 40$ GeV and for a photon efficiency of approximately 84%. The rejection values are shown with their statistical errors separately for quark and gluon jets.

Selection cuts	$E_T > 25$ GeV		$E_T > 40$ GeV	
	Quark jets	Gluon jets	Quark jets	Gluon jets
Before isolation	1770 ± 50	15000 ± 700	1610 ± 100	15000 ± 1600
After isolation	2760 ± 100	27500 ± 2000	2900 ± 240	28000 ± 4000

10.4.2.3 Reconstruction of $H \rightarrow eeee$ and $H \rightarrow \gamma\gamma$ final states

The performance of the reconstruction, including calibration, with the identification criteria discussed above is shown in figure 10.60 for decays of a Higgs boson with a mass of 130 GeV to four electrons (loose electron cuts applied) and in figure 10.61 for decays of a Higgs boson with a mass of 120 GeV to two photons (tight photon cuts applied and barrel/end-cap transition region excluded). A global constant term of 0.7% has been included in the electromagnetic calorimeter resolution for these plots. In the case of $H \rightarrow \gamma\gamma$ decays, the photon directions are derived from a combination of the direction measurement in the electromagnetic calorimeter described above (see figure 10.55) with the primary vertex information from the inner detector (see table 10.2).

In the case of the Higgs-boson decay to four electrons, the central value of the reconstructed invariant mass is correct to ~ 1 GeV, corresponding to a precision of 0.7%, and the expected Gaussian resolution is $\sim 1.5\%$. The non-Gaussian tails in the distribution amount to 20% of events which lie further than 2σ away from the peak. They are mostly due to bremsstrahlung, particularly in the innermost layers of the inner detector, but also to radiative decays and to electrons poorly measured in the barrel/end-cap transition region of the electromagnetic calorimeter.

In the case of the Higgs-boson decay to two photons, the central value of the reconstructed invariant mass is correct to ~ 0.2 GeV, corresponding to a precision of 0.3%, and the expected resolution is $\sim 1.2\%$. Figure 10.61 also clearly shows that most of the non-Gaussian tails at low values of the reconstructed mass of the photon pair are due to photons which converted in the inner detector.

10.4.3 Assessment of performance in situ with initial data

One important ingredient in the calibration strategy for the electromagnetic calorimeter is the use of large-statistics samples of $Z \rightarrow ee$ decays to perform an accurate inter-calibration of regions with a fixed size of $\Delta\eta \times \Delta\phi = 0.2 \times 0.4$ [267]. It is expected that such a scheme will decrease the initial spread from region to region, conservatively assumed to be approximately 1.5–2%, to values comparable to the expected constant term of $\sim 0.5\%$ in each region. This however assumes an excellent knowledge of the material in front of the electromagnetic calorimeter. The material in the inner detector should be eventually mapped out very accurately using e.g. photon conversions, but other less sensitive but more robust methods will also be used, exploiting the high granularity of the electromagnetic calorimeter. The energy flow measured in the second layer of the electromagnetic calorimeter, for example in minimum-bias events, provides such a tool, as illustrated in figure 10.62. Only energy deposits more than 5σ above the electronic noise level are considered

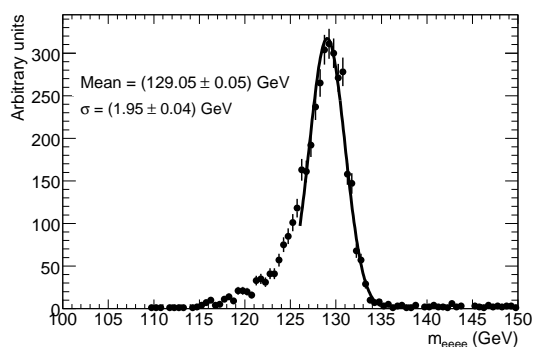


Figure 10.60: Expected distribution for the invariant mass of the four electrons from Higgs-boson decays with $m_H = 130$ GeV. The energies of the electrons are determined only from the electromagnetic calorimeter measurements. The results do not include a Z -mass constraint.

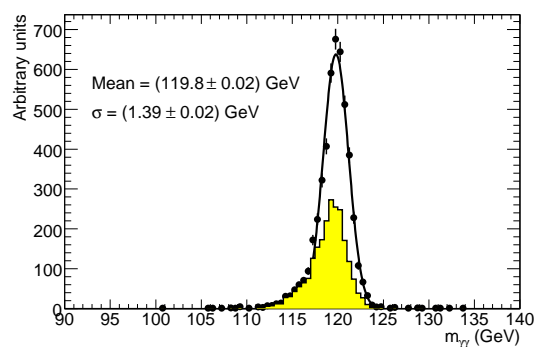


Figure 10.61: Expected distribution for the invariant mass of the two photons from Higgs-boson decays with $m_H = 120$ GeV. The shaded plot corresponds to events in which at least one of the two photons converted at a radius below 80 cm.

for these measurements. With approximately two million minimum-bias events, corresponding to roughly one day of data-taking, additional material inside the inner detector amounting to 20% X_0 would be identified in any region of size $\Delta\eta \times \Delta\phi = 0.1 \times 0.1$ [268]. Another more sensitive possibility is the study of the ϕ -symmetry of the fraction of energy deposited in the first layer of the electromagnetic calorimeter by isolated electrons, as shown in figure 10.63. Combining this information with that from the other layers in the calorimeter and with the momentum measurement of the electrons will provide higher sensitivity (for example in η) than the minimum-bias results.

Figure 10.64 shows the result of such an inter-calibration procedure applied to simulated $Z \rightarrow ee$ decays with an initial 2% spread from region to region. Once the material in front of the electromagnetic calorimeter is sufficiently well understood, an inter-calibration accuracy of 0.7% could be achieved for a total of approximately 50,000 $Z \rightarrow ee$ decays, reconstructed with the medium set of identification cuts described above, and corresponding to an integrated luminosity of ~ 150 pb^{-1} .

As described in section 10.9.3 for initial luminosities of $10^{31} \text{ cm}^{-2} \text{ s}^{-1}$, a trigger on low-mass di-electron pairs (the 2e5 signature in table 10.7) should provide good statistics of $J/\psi \rightarrow ee$ and $\Upsilon \rightarrow ee$ decays. An example of the signal and background samples which will be provided by the low-mass pair di-electron trigger in early data is shown in figure 10.65. For this study, the track-seeded algorithm introduced in section 10.4.1 has been used with tight electron cuts as described above. The signal-to-background ratio obtained is larger than one at the J/ψ and Υ peaks, but the extraction of electron pairs from Drell-Yan will require further studies (tighter identification or kinematic cuts). With an integrated luminosity of 100 pb^{-1} and an efficient identification and reconstruction of these low-mass pairs, approximately 100,000 J/ψ decays and 30,000 Υ decays could be isolated for detailed studies of the electron identification and reconstruction performance, in particular in terms of matching energy and momentum measurements at a scale quite different from that of the more commonly used $Z \rightarrow ee$ decays.

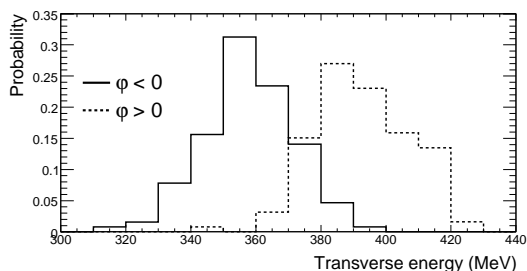


Figure 10.62: Distribution of the transverse energy accumulated in $\Delta\eta \times \Delta\phi = 0.1 \times 0.025$ middle-layer regions with a few hours of minimum bias events. The full histogram corresponds to the hemisphere with a nominal amount of inner-detector material in the simulation for $1.8 < \eta < 1.9$, whereas the dotted histogram corresponds to the hemisphere with a 25% increase in the amount of material in the same η -region.

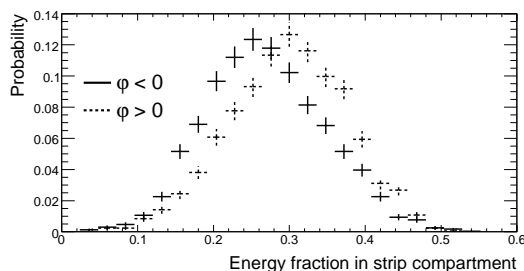


Figure 10.63: Distribution of the fraction of energy deposited in the strip layer by electrons from W/Z decays corresponding to the statistics expected for an integrated luminosity of 50 pb^{-1} . The full histogram corresponds to the hemisphere with a nominal amount of inner-detector material in the simulation for $1.8 < \eta < 1.9$, whereas the dotted histogram corresponds to the hemisphere with a 25% increase in the amount of material in the same η -region.

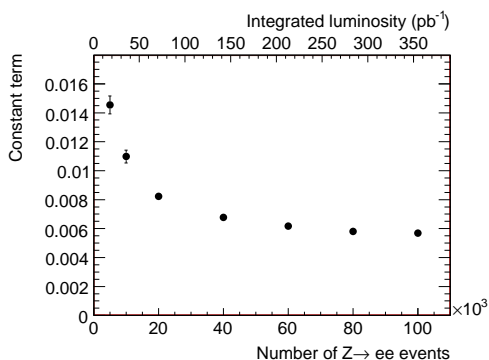


Figure 10.64: Statistical accuracy expected from inter-calibration of the electromagnetic calorimeter as a function of the number of reconstructed $Z \rightarrow ee$ decays or of the integrated luminosity (see text). These results assume a perfect knowledge of the material in front of the electromagnetic calorimeter.

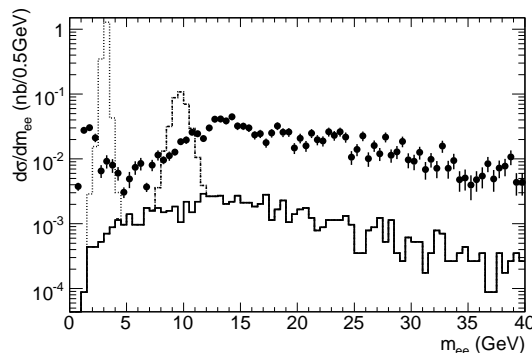


Figure 10.65: Expected differential cross-section for low-mass electron pairs using the 2e5 trigger menu item discussed in section 10.9.3. Shown is the invariant di-electron mass distribution reconstructed using tracks for $J/\psi \rightarrow ee$ decays (dotted histogram), $\Upsilon \rightarrow ee$ decays (dashed histogram) and Drell-Yan production (full histogram). Also shown is the expected background after the offline selection described in the text (full circles).

10.5 Jet reconstruction

The ATLAS calorimeters have very high lateral granularity and several samplings in depth over $|\eta| < 3.2$ (see table 1.3 for an overview of the properties of the various ATLAS calorimeters). The forward calorimeters, which cover the region $3.2 < |\eta| < 4.9$, also provide sufficient granularity to reconstruct jets with small polar angles with reasonable accuracy and efficiency. For the reconstruction of jets in the wide variety of physics processes of interest at the LHC, specific care has therefore been taken to devise a modular and generic design of the corresponding software. The implementation allows for the use of a variety of jet clustering algorithms using as input any reconstruction object having a four-momentum representation. These inputs can vary from calorimeter cells, or charged tracks, to Monte-Carlo truth objects, such as stable particles or final-state partons from the generator. It also supports easy implementation of jet-clustering algorithms different from the ones most commonly used, and has followed the guidelines collected for Run II at the Tevatron [269].

10.5.1 Jet clustering algorithms

The two default jet-clustering algorithms in ATLAS are a seeded fixed-cone algorithm and a successive recombination algorithm. Both algorithms are used in two different configurations, one producing narrow jets for e.g. W -mass spectroscopy in $t\bar{t}$ events or events containing large multiplicities of jets as in supersymmetric models, and the other producing wider jets for e.g. QCD studies of di-jet and multi-jet final states at luminosities below $10^{33} \text{ cm}^{-2} \text{ s}^{-1}$.

The seeded cone algorithm uses two parameters, the transverse energy threshold for a seed, $E_T = 1 \text{ GeV}$ for all cone jets, and the cone size, $\Delta R = \sqrt{\Delta\eta^2 + \Delta\phi^2}$, with $\Delta R = 0.4$ for narrow jets and $\Delta R = 0.7$ for wide jets. In all cases, a split-and-merge step follows the actual cone building, with an overlap fraction threshold of 50%. The cone algorithm in this particular implementation is fast and therefore also used in the high-level trigger (see section 10.9).

The k_{\perp} algorithm in ATLAS is implemented following the suggestions in [270], which makes it efficient even for a rather large number of input objects and avoids the usual pre-clustering step. The distance parameter $R = \sqrt{\Delta\eta^2 + \Delta\phi^2}$ is adjusted for narrow jets to $R = 0.4$ and for wide jets to $R = 0.6$. The physics performance is very similar to the one of the corresponding cone configurations. In all cases the full four-momentum recombination is used to calculate the jet kinematics after each clustering step.

10.5.2 Input to jet reconstruction

Typical inputs for jet-finding in ATLAS are final-state particles for truth-particle jets, and calorimeter signals for reconstructed or calorimeter jets. Naturally, truth-particle jets are only available in simulated data. They are formed by applying a jet algorithm to all stable neutral and charged particles in the final state within $|\eta| < 5$. These particles can emerge from the hadronisation of the hard-scattered parton, from initial- and final-state radiation, and from the underlying multiple interactions in the event. The kinematic properties of these particles are taken at their generation vertex, before any interaction with the detector and its magnetic field.

Figure 10.66 presents an overview of the reconstruction flow for calorimeter jets. Calorimeter jets are reconstructed by applying a jet-clustering algorithm to calorimeter signals, typically followed by a calibration step. Two different signals from the calorimeter are used for jet-finding, towers and topological clusters. Towers are formed by collecting cells into bins of a regular $\Delta\eta \times \Delta\phi = 0.1 \times 0.1$ grid, depending on their location, and summing up their signals, or a fraction of their signal corresponding to the overlap area fraction between the tower bin and the cell in $\Delta\eta$ and $\Delta\phi$. This summing stage is non-discriminatory, meaning all calorimeter cells are used in the towers. Towers with negative signals are dominated by noise, and cannot be used in jet-finding. They are recombined with nearby positive signal towers until the net signal is positive, i.e. the resulting towers have a valid physical four-vector and can directly be used by the jet finders. This approach can be understood as an overall noise cancellation rather than suppression, since the noisy cells still contribute to the jets at initial luminosities of $10^{31} \text{ cm}^{-2} \text{ s}^{-1}$ to $10^{33} \text{ cm}^{-2} \text{ s}^{-1}$.

Topological cell clusters represent an attempt to reconstruct three-dimensional energy depositions in the calorimeter [152, 271]. First, nearest neighbours are collected around seed cells with a significant absolute signal above the major seed threshold, i.e. $|E_{\text{cell}}| > 4\sigma_{\text{cell}}$ of the total noise (electronics plus pile-up). Energy equivalents of the σ of the electronic noise alone in the various calorimeter cells are shown in figure 5.27, while figure 10.67 shows estimates for the total σ when fluctuations from pile-up at a luminosity of $2 \times 10^{33} \text{ cm}^{-2} \text{ s}^{-1}$ are included at the cell level. These neighbouring cells are collected independently of the magnitude of their own signal. If the absolute value of their signal significance is above a secondary seed threshold, typically such that $|E_{\text{cell}}| > 2\sigma_{\text{cell}}$, they are considered secondary seeds and their direct neighbours are also collected. Finally, all surrounding cells above a very low threshold (typically set to 0σ) are added if no more secondary seeds are among the direct neighbours. A final analysis of the resulting cluster looks for multiple local signal maxima. In case of more than one maximum in a given cluster, it is split into smaller clusters, again in three dimensions, along the signal valleys between the maxima.

Contrary to the signal tower formation, topological cell clustering includes actual noise suppression, meaning that cells with no signal at all are most likely already not included in the cluster. This results in substantially less noise, as shown in figure 10.68, and less cells, as shown in figure 10.69, in these cluster jets than in tower jets. Topological cell clusters are under study for

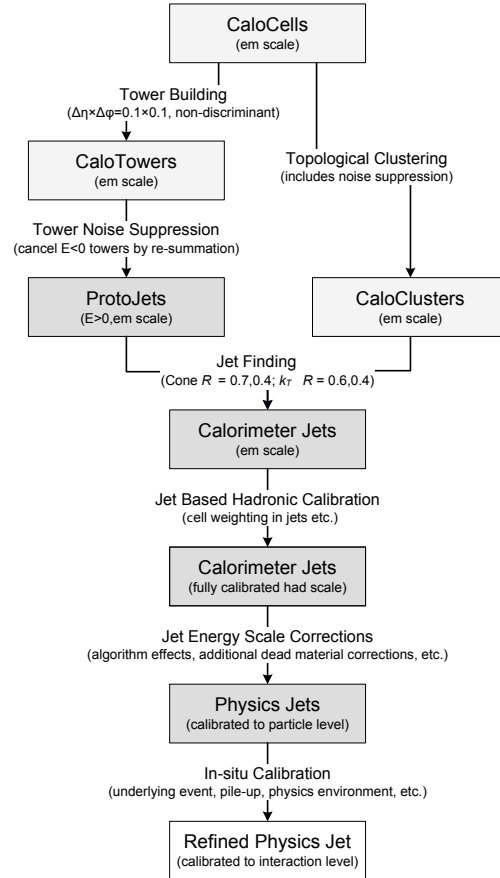


Figure 10.66: Jet reconstruction flow for calorimeter jets from towers or clusters.

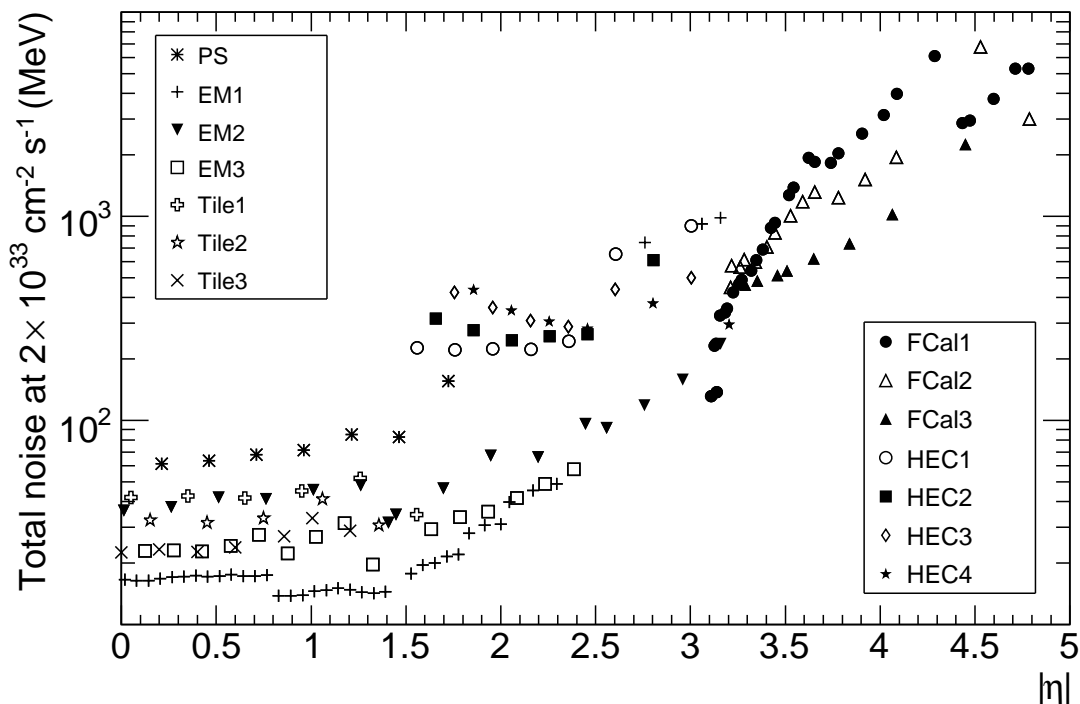


Figure 10.67: Expected noise from the electronics and pile-up at $2 \times 10^{33} \text{ cm}^{-2} \text{ s}^{-1}$ in individual cells of the various layers of the calorimeters as a function of $|\eta|$. See Figure 5.27 for the pure electronic noise expected from the various layers of the calorimeters. Note that the presampler noise is corrected for by the appropriate sampling fractions as discussed in section 5.6.2.1.

use as the basis for the local hadronic energy calibration, which attempts to correct for detector effects, such as calorimeter responses with $e/h > 1$ and dead-material energy losses, outside of the jet context itself. Although very promising in terms of noise suppression, the topological cell clustering will require careful validation with real data, in particular in terms of the possible impact of long-range noise correlations and of detailed studies of pile-up effects as the luminosity increases.

10.5.3 Jet calibration

The strategy currently adopted for calorimeter jet calibration in ATLAS is the application of cell signal weighting similar to the original approach developed for the H1 calorimeter [272]: all calorimeter cells with four-momenta (E_i, \vec{p}_i) , where $E_i = |\vec{p}_i|$, in tower or cluster jets are considered and re-summed with weighting functions, w , such that the resulting new jet four-momentum is:

$$(E_{\text{rec}}, \vec{p}_{\text{rec}}^{\text{jet}}) = \left(\sum_i^{N_{\text{cells}}} w(\rho_i, \vec{X}_i) E_i, \sum_i^{N_{\text{cells}}} w(\rho_i, \vec{X}_i) \vec{p}_i \right). \quad (10.2)$$

The weighting functions w depend on the cell signal density, $\rho_i = E_i/V_i$, where V_i is the volume of the cell considered, and on the cell location in the calorimeter, \vec{X}_i , consisting basically of module and layer identifiers. They are fitted using simulated QCD di-jet events, covering the whole kinematic range expected at the LHC, and matching calorimeter cone-tower jets, with $\Delta R = 0.7$, with

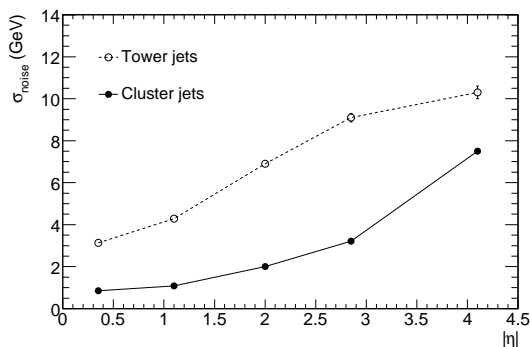


Figure 10.68: Average electronic noise contribution to cone jets with $\Delta R = 0.7$ in QCD di-jet events, reconstructed from towers (open circles) and topological cell clusters (full circles), as a function of $|\eta|$.

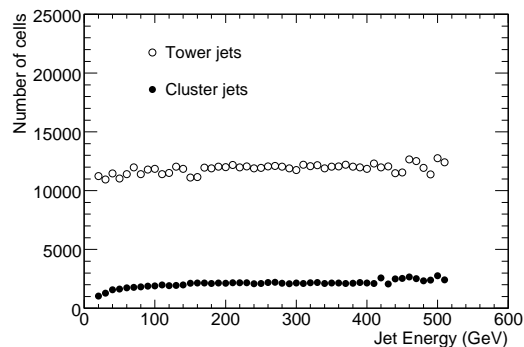


Figure 10.69: Average total number of cells contributing to cone jets with $\Delta R = 0.7$ in QCD di-jet events, reconstructed from towers (open circles) and topological cell clusters (full circles), as a function of the jet energy.

nearby truth-particle cone jets of the same size and with energy E_{truth} , and then constraining E_{rec} in eq. (10.2) to E_{truth} by:

$$\frac{\partial \chi^2}{\partial w(\rho_i, \vec{X}_i)} = \frac{\partial}{\partial w(\rho_i, \vec{X}_i)} \left[\sum_{\text{matched jets}} \frac{((E_{\text{rec}} + E_{\text{DM}}) - E_{\text{truth}})^2}{E_{\text{truth}}} \right] = 0. \quad (10.3)$$

The weighting functions determined in this way absorb all detector effects, including missing signals from charged truth particles with less than ~ 400 MeV transverse momentum, which are bent away from the calorimeter by the solenoid magnetic field in the inner detector cavity. Implicitly included also are corrections for energy loss in inactive materials, except for losses between the electromagnetic barrel and tile barrel calorimeters, which are parametrised in eq. (10.3) as:

$$E_{\text{DM}} = \alpha \sqrt{E_{\text{EMB3}} E_{\text{TILE0}}}, \quad (10.4)$$

where E_{EMB3} is the sum of the energies of the cells in the last layer of the barrel electromagnetic calorimeter belonging to the jet and E_{TILE0} is the corresponding sum in the first layer of the hadronic tile calorimeter. Both quantities are reconstructed at the electromagnetic energy scale. The parameter α was assumed to be independent of energy and of η and was determined together with $w(\rho_i, \vec{X}_i)$ in a combined fit according to eq. (10.3).

Naturally, the calibration applied in this way only corrects to the level of the truth-particle jet. The extracted weighting functions were obtained for cone-tower jets with $\Delta R = 0.7$ and are not universal, since they depend on the choice of calorimeter signals used, on the jet algorithm chosen and on its specific configuration, and on the choice of (simulated) physics calibration samples used to extract them. Residual mis-calibrations for all cluster cone and cluster k_{\perp} jets are corrected for by functions depending on $|\eta|$ and p_T of each measured jet. Similar corrections are applied to tower cone jets with $\Delta R = 0.4$ and to the tower k_{\perp} jets. These corrections have been derived by comparing the calorimeter jets after applying the cell signal weights and dead-material corrections with the matching truth-particle jet in the simulated QCD di-jet events.

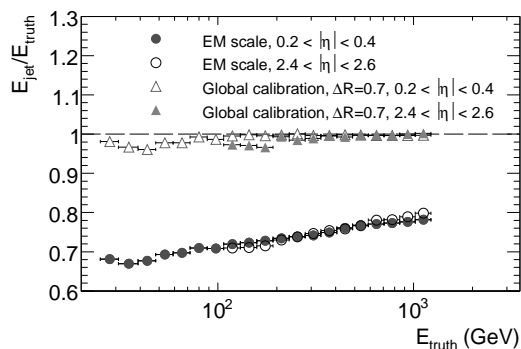


Figure 10.70: Signal linearity for cone-tower jets with $\Delta R = 0.7$, as expressed by the ratio of reconstructed tower jet energy to the matching truth-jet energy $E_{\text{rec}}/E_{\text{truth}}$, in two different regions of $|\eta|$ and as a function of E_{truth} . Jet signals calibrated at the electromagnetic energy scale are compared to the fully calibrated jets.

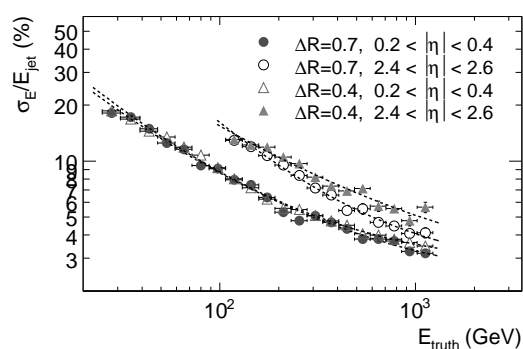


Figure 10.71: Fractional energy resolution for calibrated cone-tower jets reconstructed with $\Delta R = 0.7$ and $\Delta R = 0.4$ in two different regions of $|\eta|$ and as a function of E_{truth} .

10.5.4 Jet signal characteristics

All signal features discussed in the following are extracted from simulations including a model for the electronic noise in each calorimeter cell, tuned with parameters extracted from various test-beam measurements. The results shown here are, unless stated otherwise, based on the jet-calibration procedure described above, called from now on global calibration. Pile-up fluctuations are not included.

The most important requirements for the jet signal after global calibration are a linear response across all jet energies, a uniform response as independent as possible from the jet direction, and a fractional energy resolution within the specifications laid out in table 1.1.

10.5.4.1 Jet signal linearity and energy resolution

The signal linearity for calorimeter jets in ATLAS is expressed by the ratio of the reconstructed jet energy and the matched truth-jet energy, $E_{\text{rec}}/E_{\text{truth}}$, in simulated QCD di-jet events.

Figure 10.70 shows, for two different regions in $|\eta|$, that the signal linearity for cone jets made from towers with $\Delta R = 0.7$ is reasonable over the whole energy range after the global calibration is applied. Figure 10.70 also shows the deviations from signal linearity expected for jets reconstructed at the electromagnetic energy scale, i.e. without any hadronic calibration applied. In this case, the reconstructed jet signals correspond to only $\sim 65\%$ (at the lowest energies) to $\sim 80\%$ (at the highest energies) of the true jet energy.

The fractional energy resolution for the same jets, again after global calibration, is shown as a function of E_{truth} and for two different η -regions in figure 10.71. In addition, the resolution for a smaller cone size $\Delta R = 0.4$ is shown. The curves show the results of a three-parameter fit to the

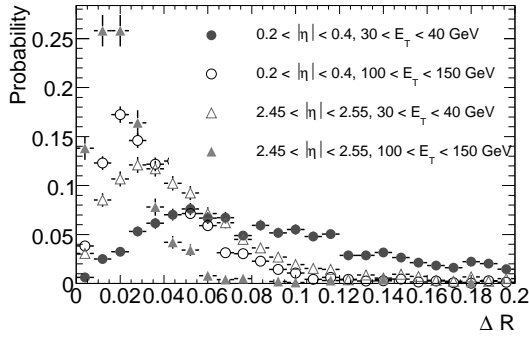


Figure 10.72: For cone-tower jets reconstructed with $\Delta R = 0.7$, distribution of ΔR between reconstructed and matched particle jet axes for two different transverse energy and η -ranges.

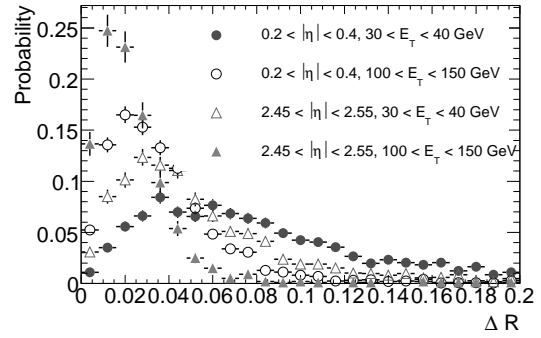


Figure 10.73: For cone-cluster jets reconstructed with $\Delta R = 0.7$, distribution of ΔR between reconstructed and matched particle jet axes for two different transverse energy and η -ranges.

energy resolution function:

$$\frac{\sigma}{E} = \sqrt{\frac{a^2}{E} + \frac{b^2}{E^2} + c^2} \quad (10.5)$$

For central jets in the region $0.2 < |\eta| < 0.4$, the stochastic term is $\approx 60\% \sqrt{\text{GeV}}$, while the high-energy limit of the resolution, expressed by the constant term c , is $\approx 3\%$ with the current global calibration. One important contribution to the η -dependence of the jet energy resolution is the noise, which varies quite rapidly due to the increasing readout-cell size and the change in calorimeter technology in the hadronic calorimeters from the low-noise tile calorimeter to the (higher-noise) LAr calorimeter with increasing η . The noise term b in the energy resolution function is found to increase from 0.5 GeV to 1.5 GeV when going from the barrel to the end-cap η -ranges shown in figure 10.71.

10.5.4.2 Jet direction measurement

The highly granular ATLAS calorimeters provide a precise measurement not only of the jet energy, but also of the jet direction together with the knowledge of the primary vertex position from the inner detector (see section 10.2.4). Figures 10.72 and 10.73 show very similar distributions of the distance ΔR between reconstructed and matched truth-particle jet directions for tower and cluster cone jets with $\Delta R = 0.7$ in two different pseudo-rapidity regions and two different transverse energy ranges. The choice of calorimeter signal obviously does not significantly affect the direction reconstruction of the jet. The general conclusion is that for both tower and cluster jets with transverse energies above 100 GeV, basically all reconstructed jets fall within the default matching cuts, $\Delta R < 0.2$. At lower transverse energies, however, it is clear that the precision with which the jet axis is reconstructed is degraded and a non-negligible fraction of reconstructed jets will fall outside the default matching cut. This issue is rediscussed below in section 10.5.5 with wider matching cuts to assess the efficiency and purity of reconstruction of low- p_T jets.

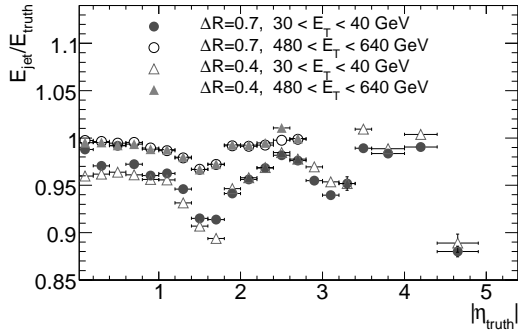


Figure 10.74: Signal uniformity for QCD di-jets in two different E_T ranges, as a function of $|\eta|$ of the matched truth-particle jet. The results are shown for cone-tower jets with $\Delta R = 0.7$ and $\Delta R = 0.4$.

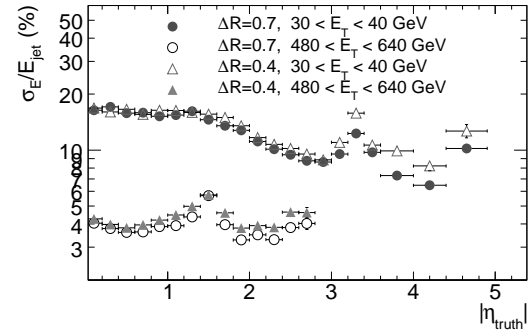


Figure 10.75: Jet energy resolution for QCD di-jets in two different E_T ranges, as a function of $|\eta|$ of the matched truth-particle jet. The results are shown for cone-tower jets with $\Delta R = 0.7$ and $\Delta R = 0.4$.

10.5.4.3 Jet signal uniformity

The variation of the jet energy response as a function of the jet direction is a measure of the uniformity of the jet signal across the full rapidity coverage of the calorimeters. Figure 10.74 shows for tower jets the ratio of reconstructed to matching truth-particle energy as a function of $|\eta|$ for jets in two different bins of E_T . The dips in response, corresponding to the two transition regions, $1.2 < |\eta| < 2.0$ and $2.8 < |\eta| < 3.4$, are much more apparent at low transverse energies. The dip in response in the last η -bin is a reflection of the limited fiducial coverage of jet reconstruction for $|\eta| > 4.4$. The η -dependence of the corresponding fractional energy resolution in figure 10.75 can be understood: the energy E_{jet} of jets with $30 < p_T < 40$ GeV increases from $E_{\text{jet}} = 30$ GeV at $|\eta| = 0$ to $E_{\text{jet}} \approx 1.8$ TeV at $|\eta| = 4.5$. Following the parametrisation in eq. (10.5), the fractional energy resolution, σ/E , improves dramatically over this energy range. The energy-dependent stochastic, a/\sqrt{E} , and noise, b/E , terms dominate over a large part of the kinematic regime. For jets with $480 < p_T < 640$ GeV, the jet energy range is $480 \leq E_{\text{jet}} < 7000$ GeV from $|\eta| = 0$ up to $|\eta| \approx 3.1$, which is the kinematic limit at the LHC. In this region, σ/E is essentially independent of E_{jet} , i.e. dominated by the constant term, $c \gg a/\sqrt{E} \gg b/E$.

10.5.5 Jet reconstruction performance

The evaluation of the jet reconstruction performance includes not only the required signal features discussed above, but also parameters which are more oriented towards physics analysis, such as jet-finding efficiency and purity, jet vetoing, and jet tagging.

The jet reconstruction efficiency is defined as:

$$\varepsilon(\Delta R_m) = \frac{\# \text{ matches of truth particle jets with reconstructed jets}}{\# \text{ truth particle jets}} = \frac{N_m^{\text{jets}}(\Delta R_m)}{N_{\text{truth}}^{\text{jets}}}, \quad (10.6)$$

where $\Delta R_m = \sqrt{(\eta_{\text{reco}} - \eta_{\text{truth}})^2 + (\phi_{\text{reco}} - \phi_{\text{truth}})^2}$ is the chosen matching radius (typically

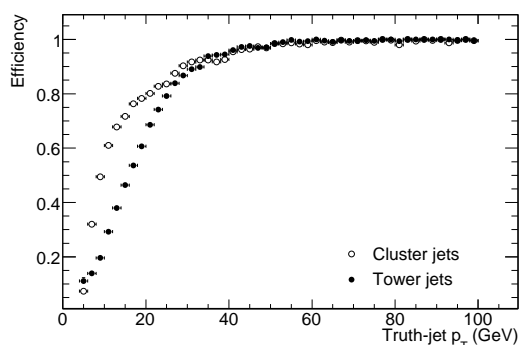


Figure 10.76: Efficiency of jet reconstruction in VBF-produced Higgs-boson events as a function of p_T of the truth-particle jet for cone-tower and cone-cluster jets with $\Delta R = 0.7$.

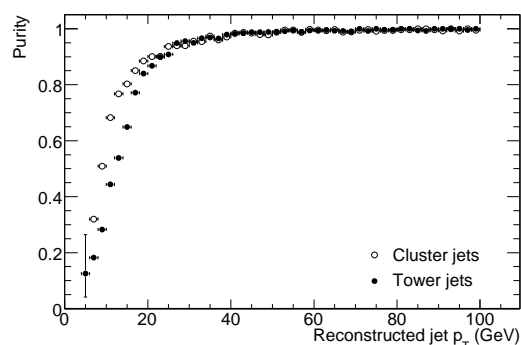


Figure 10.77: Purity of jet reconstruction in VBF-produced Higgs-boson events as a function of p_T of the reconstructed jet for cone-tower and cone-cluster jets with $\Delta R = 0.7$.

$\Delta R_m = 0.2$). The purity π of the jet reconstruction can be expressed as:

$$\pi(\Delta R_m) = \frac{\# \text{ matches of truth particle jets with reconstructed jets}}{\# \text{ reconstructed jets}} = \frac{N_m^{\text{jets}}(\Delta R_m)}{N_{\text{reco}}^{\text{jets}}}. \quad (10.7)$$

The fake jet reconstruction rate f is then simply $f = 1 - \pi(\Delta R_m)$. In all cases, only one match is allowed for each reference jet. In case of two or more nearby jets, the one closest to the chosen reference is taken.

The two different calorimeter signal definitions used for jet reconstruction (towers and clusters) are expected to produce different efficiencies and purities. This is particularly important for searches for specific exclusive final states, where the requirement that no additional jet be present in the event is often used as a powerful tool to reject certain backgrounds. For example, one of the interesting production channels for the Higgs boson is vector-boson fusion (VBF), which has a very characteristic final state with two forward-going quark jets (often called tag jets) and, for non-hadronic Higgs-boson decay modes, no jets from the hard-scattering process itself in the central region of the detector. In this specific case, the efficiency of the jet-finding in the forward region, as defined in eq. (10.6), is a measure of the jet-tagging probability. The purity of the jet reconstruction in the central region then measures the efficiency for vetoing low- p_T jets.

The resulting efficiencies and purities are shown for cone-tower and cone-cluster jets with $\Delta R = 0.7$, respectively, as a function of p_T and y in figures 10.76, 10.77, 10.78 and 10.79 for the specific case of VBF produced $H \rightarrow \tau\tau$ decays with $m_H = 120$ GeV and for a looser matching radius $\Delta R_m = 0.5$ (see eq. (10.6) and (10.7)). These results show that for $p_T > 40$ GeV, the performances of the tower and cluster jets are very similar. For lower values of p_T , however, the cluster jets are found with both higher efficiency and purity than tower jets.

For jets reconstructed with $p_T > 10$ GeV, the fake rates in the central region are quite high, ranging from 30% for cluster jets to 45% for tower jets. In the forward regions, the jet-tagging efficiencies are close to 90% for cluster jets while they are only around 50% for tower jets with,

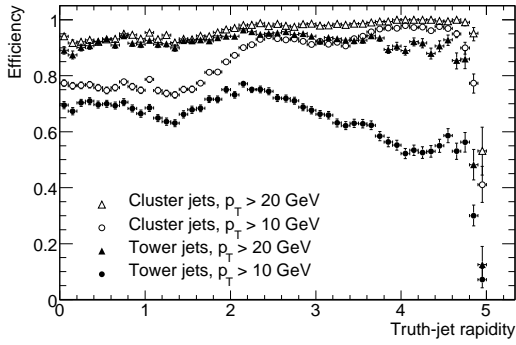


Figure 10.78: Efficiency of jet reconstruction in VBF-produced Higgs-boson events as a function of the rapidity y of the truth-particle jet for $p_T^{\text{jet}} > 10$ GeV and $p_T^{\text{jet}} > 20$ GeV and for cone-tower and cone-cluster jets with $\Delta R = 0.7$.

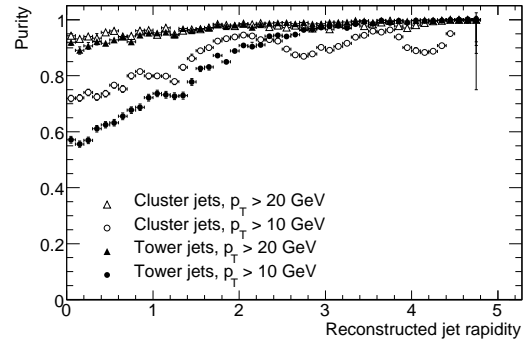


Figure 10.79: Purity of jet reconstruction in VBF-produced Higgs-boson events as a function of the rapidity y of the reconstructed jet for $p_T^{\text{jet}} > 10$ GeV and $p_T^{\text{jet}} > 20$ GeV and for cone-tower and cone-cluster jets with $\Delta R = 0.7$.

however, significantly higher fake rates of $\sim 10\%$ for the cluster jets. These results are clearly also quite sensitive to pile-up, so it is important to stress here that the numbers above apply only for initial data-taking at luminosities between $10^{31} \text{ cm}^{-2} \text{ s}^{-1}$ and $10^{33} \text{ cm}^{-2} \text{ s}^{-1}$.

10.5.6 Validation of jet calibration with in-situ measurements

There are several final states at the LHC which provide signals for validation of the jet energy calibration, and, in some cases, even the extraction of further corrections. In general, final states with a well measured electromagnetic object balancing one or more jets in transverse momentum, such as in $\gamma + \text{jet}(s)$ and $Z + \text{jet}(s)$ events, are good choices for this task. The $\gamma + \text{jet}(s)$ process provides high statistics in the transverse momentum range from 40 to 400 GeV, but lower purity than the $Z + \text{jet}(s)$ process, which should, however, cover precisely the lower edge of the transverse momentum range, up to 100 GeV.

As an example, one approach to measure the jet response using $\gamma + \text{jet}(s)$, which has been developed at the Tevatron, is the missing transverse momentum projection fraction. The basic idea of this method is to project the hadronic transverse-momentum vectors onto the transverse-momentum vector of the photon and to measure the apparent E_T^{miss} fraction. In events where the photon is back-to-back with the jet (to better than approximately ten degrees in ϕ , the jet response R_{jet} can then be determined by

$$R_{\text{jet}} = - \frac{\sum_{\text{signals}} \vec{p}_{T,\text{had}} \cdot \hat{\eta}_\gamma}{p_{T,\gamma}}. \quad (10.8)$$

Here $\hat{\eta}_\gamma = \vec{p}_{T,\gamma}/p_{T,\gamma}$ is the direction of the photon in the transverse event plane. The hadronic transverse momentum can be calculated using the reconstructed jet(s) ($\vec{p}_{T,\text{had}} = \vec{p}_{T,\text{jet}}$), or just using the sum of cluster signals without the jet context ($\vec{p}_{T,\text{had}} = \vec{p}_{T,\text{calo}}$). Figure 10.80 shows the jet response for cone-tower jets with $\Delta R = 0.4$ at the electromagnetic energy scale, as a function of the jet energy, for simulated $\gamma + \text{jet}$ events. This variable can be measured directly and can thus become

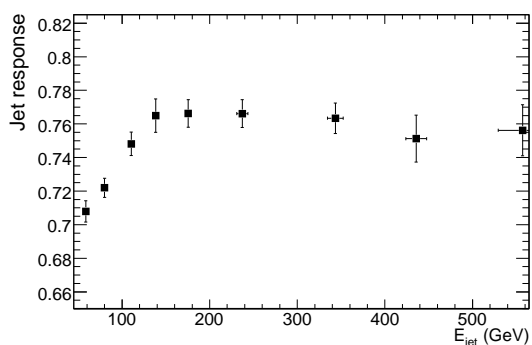


Figure 10.80: Jet response for seeded cone-tower jets ($\Delta R = 0.4$) in γ +jet events, averaged over η and calculated by the missing transverse momentum fraction method, as a function of the jet energy. The calorimeter signals are reconstructed at the electromagnetic energy scale.

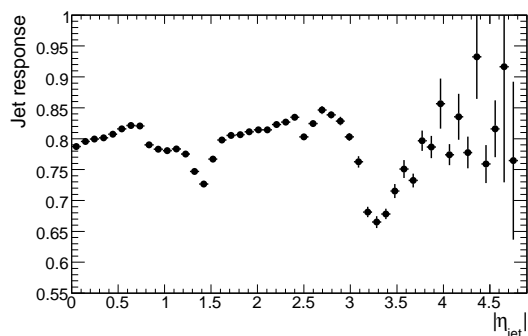


Figure 10.81: Jet response for seeded cone-tower jets ($\Delta R = 0.4$), in γ +jet events, averaged over jet energy and calculated by the missing transverse momentum fraction method, as a function of the jet direction, $|\eta_{\text{jet}}|$. The calorimeter signals are reconstructed at the electromagnetic energy scale. The degraded response in the calorimeter crack regions is clearly visible.

the basis for a global jet energy scale calibration derived from collision data. The η -dependence of the jet response for the same jets and events is shown in figure 10.81. The shape of the response clearly indicates the effect of the crack regions of the ATLAS calorimeter system on the jet energy measurement.

The other important final state for jet calibration are hadronically decaying W bosons ($W \rightarrow qq$), which in ATLAS can only be used with high purity in $t\bar{t}$ production. Here, m_W constrains the energy scale of the two quark jets. Figure 10.82 shows the ratio between the reconstructed di-jet mass from $W \rightarrow jj$ decays and the nominal W -boson mass as a function of the true transverse momentum, p_T^W , of the W -boson. For the nominal selection cuts used to reconstruct $t\bar{t}$ events, this ratio departs significantly from unity at low values of p_T^W because of the high p_T -threshold of 40 GeV applied to the jets, as illustrated in figure 10.82. With further in-situ corrections aimed at re-scaling jet energies as a function of $|\eta|$ to obtain a uniform response, e.g. as shown in figure 10.81, a linearity of better than 2% can be achieved up to values of p_T^W as high as 200 GeV.

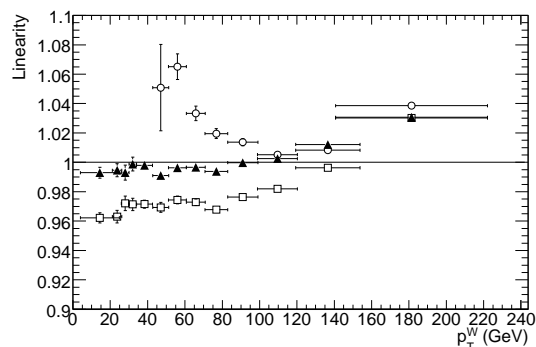


Figure 10.82: Ratio of the reconstructed di-jet mass from $W \rightarrow jj$ decays in $t\bar{t}$ events to the nominal mass as a function of the transverse momentum of the W -boson, p_T^W , for globally calibrated cone-tower jets with $\Delta R = 0.7$. Shown are the results for the nominal jet-selection cuts, $p_T > 40$ GeV (open circles), for jets reconstructed with $p_T > 10$ GeV (open squares) and for jets re-scaled to obtain a more uniform response as a function of $|\eta|$ (full triangles).

10.6 Missing transverse energy

A very good measurement of the missing transverse energy, E_T^{miss} , is a critical requirement for the study of many physics channels in ATLAS, in particular in the search for signals from new physics such as supersymmetry or extra dimensions. A good E_T^{miss} measurement in terms of linearity and accuracy is also important for the reconstruction of the top-quark mass from $t\bar{t}$ events with one top quark decaying semi-leptonically. It is crucial for the efficient and accurate reconstruction of the Higgs-boson mass when the Higgs boson decays to a pair of τ -leptons, the most prominent example being the supersymmetric Higgs boson A . Another important requirement on the measurement of E_T^{miss} is to minimise the impact of tails induced by imperfections in the detector coverage or detector response. The η -coverage of the forward calorimeters minimises by design any tails from particles escaping at very large η , but there are several transition regions in the calorimetry, which will lead to incorrect measurements of E_T^{miss} in a certain fraction of the cases. This could significantly enhance for example the backgrounds from QCD multi-jet events to a possible signal from supersymmetry or the backgrounds from $Z \rightarrow ll$ decays accompanied by high- p_T jets to a possible signal from Higgs-boson decay into two leptons and two neutrinos. This section describes briefly the reconstruction and calibration of E_T^{miss} in ATLAS, illustrates the expected performance with a few examples, and finally concludes with a discussion of the possible sources of fake E_T^{miss} .

10.6.1 Reconstruction and calibration of E_T^{miss}

The E_T^{miss} reconstruction in ATLAS is based in a first step on the calibrated calorimeter cell energies (following the global calibration scheme described in section 10.5.3) and on the reconstructed muons. The E_T^{miss} muon term is calculated from the momenta of the muons measured using the stand-alone muon-spectrometer reconstruction (see section 10.3). Energy lost by muons in the calorimeter is thus not double-counted, since it is only taken into account in the calorimeter term. Only good-quality muons with a matched track in the inner detector are considered, which reduces considerably possible contributions from fake muons, sometimes created from high hit multiplicities in the muon spectrometer in events with very energetic jets.

In a second step, the E_T^{miss} reconstruction accounts for the so-called cryostat term, which corrects for the energy lost in the cryostat between the barrel LAr electromagnetic and tile calorimeters. This correction is applied following the recipe described in section 10.5.3 and eq. (10.4) and is found to be non-negligible for high- p_T jets: it represents a 5% contribution per jet with p_T above 500 GeV.

In a final step, a refined calibration of E_T^{miss} is performed through the association of each high- p_T object in the event to its globally calibrated cells. Starting from the reconstructed identified objects in a carefully chosen order, namely electrons, photons, hadronically decaying τ -leptons, b -jets, light jets and muons, each calorimeter cell is associated to its parent high- p_T object. The refined calibration of E_T^{miss} then replaces the initial contribution from globally calibrated cells by the contribution from the corresponding calibrated high- p_T objects themselves. The cells which survive a noise cut optimised in terms of E_T^{miss} measurements and which do not contribute to any reconstructed object are also calibrated using the global calibration scheme and accounted for in the E_T^{miss} calculation.

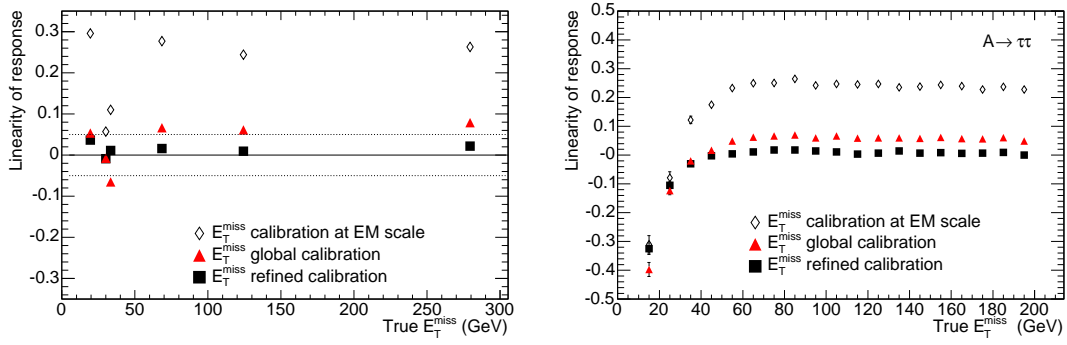


Figure 10.83: Linearity of response for reconstructed E_T^{miss} as a function of the average true E_T^{miss} for different physics processes covering a wide range of true E_T^{miss} and for the different steps of E_T^{miss} reconstruction (see text). The points at average true E_T^{miss} of 20 GeV are from $Z \rightarrow \tau\tau$ events, those at 35 GeV are from $W \rightarrow e\nu$ and $W \rightarrow \mu\nu$ events, those at 68 GeV are from semi-leptonic $t\bar{t}$ events, those at 124 GeV are from $A \rightarrow \tau\tau$ events with $m_A = 800$ GeV, and those at 280 GeV are from events containing supersymmetric particles at a mass scale of 1 TeV (left). Linearity of response for reconstructed E_T^{miss} as a function of the true E_T^{miss} for $A \rightarrow \tau\tau$ events with $m_A = 800$ GeV (right).

10.6.2 Evaluation of E_T^{miss} performance

The E_T^{miss} performance is evaluated by comparing the final reconstructed and calibrated value of E_T^{miss} with the true E_T^{miss} , calculated using all stable and non-interacting particles in the final state, for a number of physics processes of interest, involving a variety of topologies and final states over a wide range of energies. Although this evaluation focuses primarily on the linearity of response and on resolution, other features, such as the direction of the E_T^{miss} vector (in the transverse plane) and tails in the measurement of E_T^{miss} have also been carefully studied.

The expected performance in terms of E_T^{miss} linearity of response as a function of true E_T^{miss} is shown for a number of physics processes of interest in figure 10.83. The evolution of the linearity of response is illustrated for each of the major steps in the E_T^{miss} reconstruction described above:

- the uncalibrated E_T^{miss} corresponds to the use of cell energies at the electromagnetic scale, which therefore creates a large systematic bias of 10–30% in the response (the bias is smaller for events containing little hadronic activity on average, such as $W \rightarrow e\nu$ and $W \rightarrow \mu\nu$ decays);
- the reconstructed E_T^{miss} based on globally calibrated cell energies and reconstructed muons provides a correct response to within 5%;
- the reconstructed E_T^{miss} including in addition the cryostat correction provides excellent linearity of response for all processes except $W \rightarrow e\nu$;
- the refined E_T^{miss} calibration in the specific case of $W \rightarrow e\nu$ events amounts to correcting the globally calibrated cells of the electron shower back to the electromagnetic scale and the linearity of response is then also restored in this case.

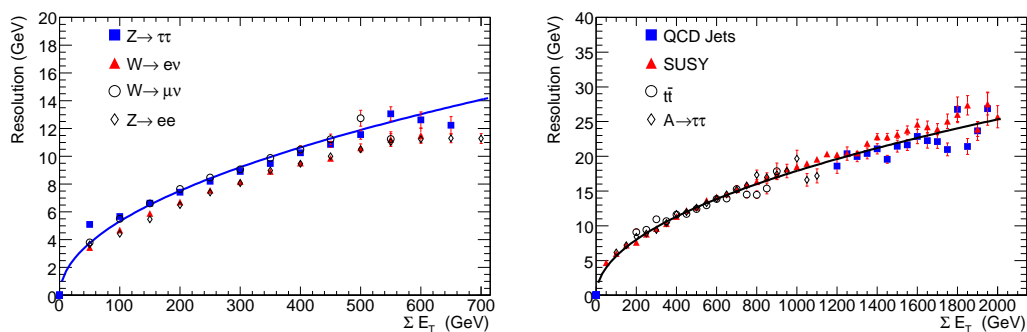


Figure 10.84: Resolution σ of the two components (x , y) of the E_T^{miss} vector after refined calibration as a function of the total transverse energy, ΣE_T , measured in the calorimeters for different physics processes corresponding to low to medium values of ΣE_T (left) and to higher values of ΣE_T (right). The curves correspond respectively to the best fit, $\sigma = 0.53\sqrt{\Sigma E_T}$, through the points from $Z \rightarrow \tau\tau$ events (left) and to the best fit, $\sigma = 0.57\sqrt{\Sigma E_T}$, through the points from $A \rightarrow \tau\tau$ events (right). The points from $A \rightarrow \tau\tau$ events are for masses m_A ranging from 150 to 800 GeV and the points from QCD jets correspond to di-jet events with $560 < p_T < 1120$ GeV.

Figure 10.84 shows that the E_T^{miss} resolution σ follows an approximate stochastic behaviour over a wide range of values of the total transverse energy deposited in the calorimeters. A simple fit to a function $\sigma = a \cdot \sqrt{\Sigma E_T}$ yields values between 0.53 and 0.57 for the parameter a , for ΣE_T values between 20 and 2000 GeV. The refined E_T^{miss} calibration yields somewhat better results for the E_T^{miss} resolution for e.g. $W \rightarrow e\nu$ decays. Departures from this simple behaviour are expected and observed for low values of ΣE_T where noise plays an important contribution and for very high values of ΣE_T where the constant term in the jet energy resolution dominates.

10.6.3 Measurement of E_T^{miss} direction

Figure 10.85 shows the E_T^{miss} azimuthal angular resolution as a function of the true E_T^{miss} for three different physics processes. The measurement of the E_T^{miss} azimuth is clearly more accurate for $W \rightarrow e\nu$ events, which contain in general one high- p_T electron and moderate hadronic activity in addition, than for $t\bar{t}$ events, which contain much more hadronic activity. Figure 10.85 also shows that, for values of the true E_T^{miss} below 40 GeV, the accuracy on the measurement of the direction of a E_T^{miss} vector with small modulus degrades rapidly. In contrast, for high values of the true E_T^{miss} , azimuthal accuracies below 100 mrad can be achieved.

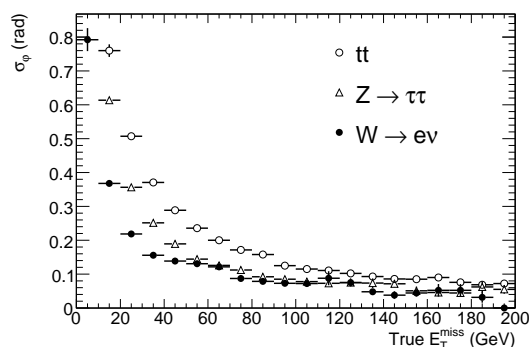


Figure 10.85: Accuracy of the measurement of the azimuth of the E_T^{miss} vector as a function of the true E_T^{miss} for three different physics processes: semi-leptonic $t\bar{t}$ events, $Z \rightarrow \tau\tau$ and $W \rightarrow e\nu$ events.

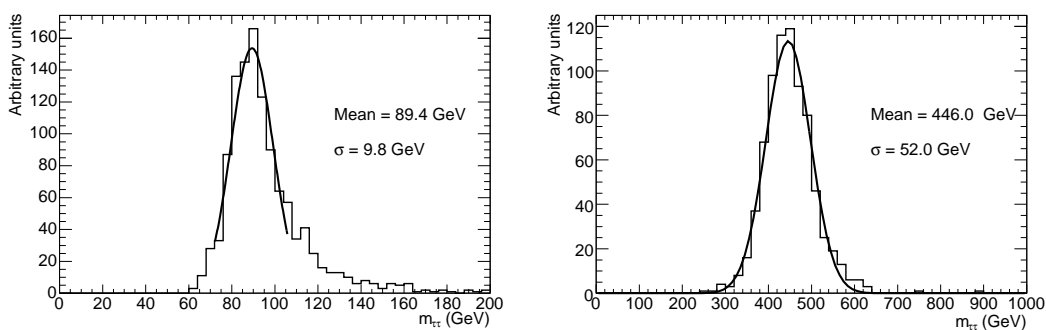


Figure 10.86: Expected distributions for the reconstructed invariant mass of τ -lepton pairs, with one τ -lepton decaying to a lepton and the other one decaying to hadrons. The results are shown for $Z \rightarrow \tau\tau$ decays (left) and for $A \rightarrow \tau\tau$ decays with $m_A = 450$ GeV (right).

As discussed in section 10.6.5, large fluctuations in the jet energy measurements, due in particular to cracks in the fiducial acceptance of the calorimeters, may lead to fake E_T^{miss} with a vector of large modulus pointing in the same direction as the mis-measured jet in the azimuthal plane. A good accuracy on the measurement of the E_T^{miss} azimuth will therefore be needed to apply a cut, requiring that the measured E_T^{miss} vector be isolated from all high- p_T jets in the event, with a high efficiency for signal events with large true E_T^{miss} .

10.6.4 Use of E_T^{miss} for mass reconstruction

The reconstructed E_T^{miss} vector can be used to improve the overall reconstruction of final-state topologies with only one neutrino in the final state (e.g. in $t\bar{t}$ events with one hadronic and one semi-leptonic top-quark decay). But, under certain simplifying assumptions and only for pairs which are not back-to-back [273, 274], one can even use the reconstructed E_T^{miss} vector in $Z \rightarrow \tau\tau$ and $A \rightarrow \tau\tau$ decays, despite the presence of several neutrinos in the final state, to reconstruct the invariant mass of the $\tau\tau$ pair. The results of such a procedure are shown in figure 10.86 for the reconstruction of $Z \rightarrow \tau\tau$ and $A \rightarrow \tau\tau$ decays with $m_A = 450$ GeV, where A is a supersymmetric Higgs boson. The reconstructed masses are correct to $\sim 2\%$ and the mass resolution is approximately 11%. Nevertheless, significant tails remain in the distributions because of the highly non-Gaussian effects induced by mis-measurements of E_T^{miss} and by the approximations used.

10.6.5 Fake E_T^{miss}

Fake E_T^{miss} , defined simply as the difference between reconstructed and true E_T^{miss} , can arise at a significant level from a number of different sources: beam-gas scattering and other machine backgrounds, displaced interaction vertices, hot/dead/noisy cells (or regions) in the calorimeters, and mis-measurements in the detector itself, due to high- p_T muons escaping outside the fiducial acceptance of the detector (see also section 10.3) and to large losses of deposited energy in cracks or inactive materials (see also section 10.5.4.3). These latter two effects might effectively limit the performance of the E_T^{miss} reconstruction in the longer term and have therefore been studied in detail.

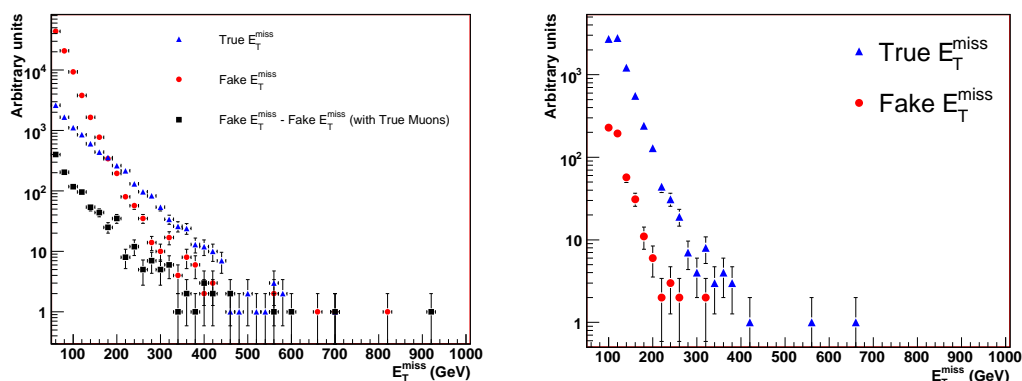


Figure 10.87: For QCD di-jet events containing at least one jet with $560 < E_T < 1120$ GeV, distribution of fake E_T^{miss} (circles), calculated as the difference between reconstructed and true E_T^{miss} , compared to the true E_T^{miss} (triangles) expected in this di-jet sample (left). Also shown is the distribution of fake E_T^{miss} due to muons (squares), calculated as the difference between the fake E_T^{miss} and the residual fake E_T^{miss} obtained using only the true muons in the event. The fake and true E_T^{miss} distributions are shown (right) after applying an isolation cut on the azimuth of the reconstructed E_T^{miss} vector. This cut requires that the distance in azimuth between the reconstructed E_T^{miss} vector and the direction of any high- p_T jet reconstructed in the event be larger than 17° .

Figure 10.87 shows the distributions of fake and true E_T^{miss} for QCD di-jet events containing at least one jet with $560 < E_T < 1120$ GeV and for two cases: in the first case, all events are considered and figure 10.87 shows that fake E_T^{miss} dominates the spectrum up to true E_T^{miss} values of 200 GeV for this particular sample. In the second case, events are considered only if the reconstructed E_T^{miss} vector is isolated in azimuth from all reconstructed jets in the event. The isolation cut requires that the distance in azimuth between the reconstructed E_T^{miss} vector and the direction of any high- p_T jet reconstructed in the event be larger than 17° . In this case, true E_T^{miss} dominates the spectrum even for true E_T^{miss} values well below 100 GeV. These results confirm that the main source of fake E_T^{miss} in these events arises from mis-measurements of jets in certain regions of the calorimeter.

For those events with a fake E_T^{miss} larger than 100 GeV, figure 10.88 shows the distribution of $|\eta|$ of the mis-measured jet, defined as the jet which deviates the most from its matching truth jet in terms of its energy measurement. Clear excesses of mis-measured jets are observed around $\eta = 1.5$ (crack region) and around $\eta = 0$ (sensitive region for calibration of the overall calorimeter

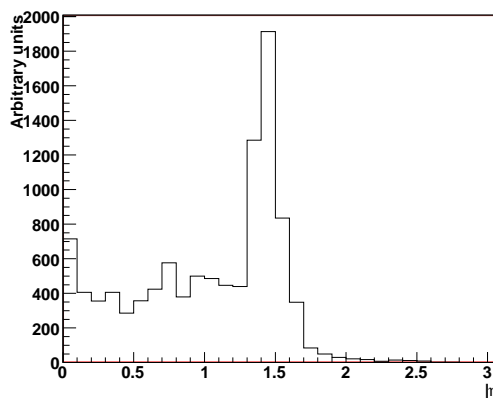


Figure 10.88: For QCD di-jet events containing at least one jet with $560 < E_T < 1120$ GeV and with a fake E_T^{miss} larger than 100 GeV, distribution of $|\eta|$ of the mis-measured jet.

response). As already shown in figure 10.87, these excesses can be significantly reduced with simple topological cuts, but other tools can also reduce them further if required, such as the use of jets reconstructed from tracks to further improve the isolation in azimuth of the reconstructed E_T^{miss} .

10.7 Hadronic τ -decays

Hadronic decays of τ -leptons will play an important role at the LHC, especially as probes for new phenomena spanning a wide range of theoretical models. Based on this motivation, two complementary approaches, one track-based and the other calorimeter-based (see section 10.7.3), have been developed to efficiently reconstruct and identify these decays, whilst providing the required large rejection against the otherwise overwhelming backgrounds from hadronic jets. The equally difficult task of triggering on these decays as inclusively as possible is addressed in section 10.9.

In general, hadronically decaying τ -leptons are reconstructed by matching narrow calorimeter clusters with a small number of tracks. Specific analyses may require exactly one or three tracks with total charge consistent with the charge of a τ -lepton, and, if more than one, the tracks may be required to be quite collimated and to be consistent with originating from a common secondary vertex. The visible reconstructed energy of the hadronically decaying τ -lepton is concentrated in a narrow cone around the leading (highest- p_T) track (typically a cone of half-angle $\Delta R = 0.2$ is sufficient to collect this energy). It can be estimated using only the calorimeter information or using a more refined scheme (often called energy flow), which combines the reconstructed track momenta with the energy of localised electromagnetic clusters within the chosen narrow cone.

Several key variables, which are characteristic of the properties of hadronic τ -decays, are used for the purpose of identification: the profile of the shower in the electromagnetic calorimeter, the isolation of the narrow calorimeter cluster used to identify the τ -candidate, the number and energy-weighted width of strips, the ratio between the transverse energy deposited in the calorimeter and the transverse momentum of the leading track, the number of associated tracks (passing some quality criteria), the momentum-weighted width and invariant mass of the track system and the signed impact parameter significance. Both traditional cut-based selections and multi-variate discrimination techniques (likelihood, neural networks, etc.) have been applied to this set of identification variables (see section 10.7.3).

Two specific performance aspects of particular interest for the reconstruction of hadronic τ -decays are first discussed in this section and are followed by the more general discussion of the overall performance in terms of reconstruction and identification efficiency versus rejection of the large backgrounds from QCD jets expected at the LHC.

10.7.1 Track reconstruction in hadronic τ -decays

The efficiency and quality of the track reconstruction in the inner detector are discussed in some detail in section 10.2. For hadronic τ -decays from a representative sample of $W \rightarrow \tau\nu$ and $Z \rightarrow \tau\tau$ decays studied with the track-based algorithm, particular attention has been given to minimise the amount of charge misidentification and of migration between the single- and three-prong categories in the reconstruction. In the low- p_T range, the performance is degraded due to hadronic interactions in the inner-detector material (see for example figure 10.12). For hadronic

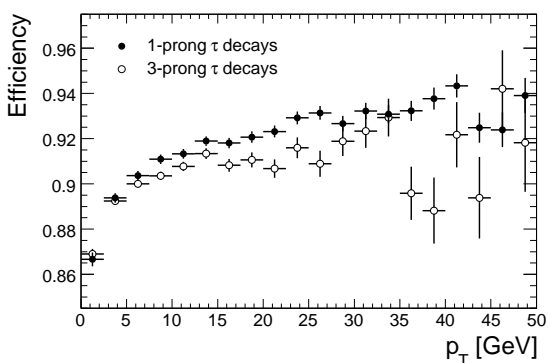


Figure 10.89: Reconstruction efficiency for charged-pion tracks as a function of the pion transverse momentum for single- and three-prong hadronic τ -decays from $W \rightarrow \tau\nu$ and $Z \rightarrow \tau\tau$ signal samples.

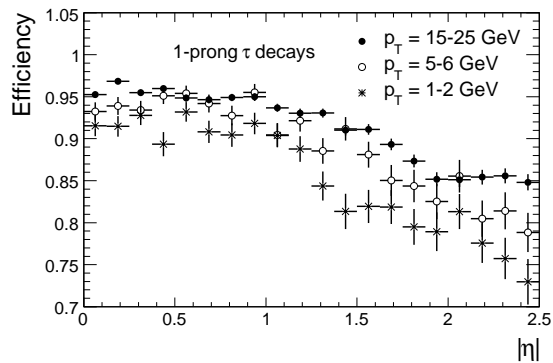


Figure 10.90: Reconstruction efficiency for the charged-pion track as a function of $|\eta|$ for three different ranges of pion p_T , for single-prong hadronic τ -decays from $W \rightarrow \tau\nu$ and $Z \rightarrow \tau\tau$ signal samples.

τ -decays with high energy, the performance for three-prong decays will be degraded due to the strong collimation of the tracks. Figures 10.89 and 10.90 show the efficiency for reconstructing tracks from single-prong and three-prong τ -decays for τ -leptons from W/Z -boson decays as a function of the track transverse momentum and pseudorapidity. The quality criteria used are the standard ones discussed in section 10.2.3 and the results shown in figure 10.90 are in agreement with those shown for single particles in figure 10.13, except for three-prong τ -decays at high energy for which a degradation in efficiency is observed.

The charge of the identified hadronic τ -decay is determined as the sum of the reconstructed track charges. For the leading track, which is required e.g. by the track-based algorithm to have a transverse momentum larger than 9 GeV, charge misidentification is limited to $\sim 0.2\%$ with the standard quality cuts. The overall charge misidentification probability for the τ -lepton is, however, dominated by combinatorial effects: single-prong decays may migrate to the three-prong category due to photon conversions or the presence of additional tracks from the underlying event, or a three-prong decay may be reconstructed as a single-prong decay due to inefficiencies of the track reconstruction and selection. This overall misidentification is estimated to be below $\sim 3\%$ without requiring further quality cuts.

The rejection of leptonic τ -decays misidentified as single-prong hadronic τ -candidates is based on dedicated algorithms optimised to veto electrons and muons in the kinematic configurations of interest here. The rejection obtained against electron tracks from $W \rightarrow e\nu$ decays is approximately 50 for a τ -efficiency of 95%. Using only information from the calorimeter combined with the inner detector, the rejection obtained against muons from $W \rightarrow \mu\nu$ decays is sufficient, reaching a value of approximately 30 for a τ -efficiency of 99%.

10.7.2 Electromagnetic clusters in single-prong decays

Because of the very fine granularity of the electromagnetic calorimeter, electromagnetic clusters created by showers from photons from π^0 decays can be identified and measured with reasonable

Table 10.5: Expected probabilities for observing a specific multiplicity of localised clusters in the electromagnetic calorimeter within the narrow cone ($\Delta R = 0.2$) used to identify the τ -candidate, for inclusive and exclusive single-prong hadronic τ -decays from $W \rightarrow \tau\nu$ and $Z \rightarrow \tau\tau$ signal samples,

Decay mode	No cluster	One cluster	More than one cluster
All single-prong τ -decays	32%	35%	33%
$\tau \rightarrow \pi^\pm \nu$	65%	20%	15%
$\tau \rightarrow \rho^\pm (\rightarrow \pi^0 \pi^\pm) \nu$	15%	50%	35%
$\tau \rightarrow a_1^\pm (\rightarrow 2\pi^0 \pi^\pm) \nu$	9%	34%	57%

efficiency and accuracy within the narrow cone used to reconstruct hadronic τ -decays. The results reported in this section have been obtained using the three-dimensional topological clustering described in more detail in section 10.5 applied only to the first two layers of the electromagnetic calorimeter.

As an example, in the case of single-prong decays, the reconstructed charged track in the inner detector and the reconstructed isolated clusters in the electromagnetic calorimeter may be used to obtain the energy and invariant mass of the visible products of the hadronic τ -decay. The resulting performance has been evaluated for $W \rightarrow \tau\nu$ decays and is shown in table 10.5 for inclusive single-prong decays and also for exclusive decays containing a ρ or a_1 meson compared to decays containing only one single charged pion. Figure 10.91 shows the response and resolution obtained by this algorithm for reconstructing the visible transverse energy from the τ -decay, in the cases where one such isolated electromagnetic cluster is identified: the response is correct to $\sim 2.5\%$ and the fractional energy resolution is $\sim 5\%$, i.e. far better than that obtained for normal hadronic jets in the same energy range of 20–50 GeV. In the cases where several such clusters are identified, their energy-weighted barycentre is calculated and the fractional energy resolution is somewhat degraded to $\sim 7\%$. Finally, in the cases where at least one such cluster is identified, figure 10.92 shows the reconstructed invariant mass of the system for three single-prong final states. The use of certain specific final states in hadronic τ -decays will be of great interest in polarisation and spin analyses in searches for new particles decaying into τ -leptons.

10.7.3 Identification of hadronic τ -decays and rejection of QCD jets

Two complementary algorithms for τ -identification and reconstruction have been studied, as outlined above:

- a track-based algorithm [275], which relies on tracks reconstructed in the inner detector and adopts an energy-flow approach. This algorithm has been optimised for visible transverse energies in the 10–80 GeV range, which corresponds to hadronic τ -decays from $W \rightarrow \tau\nu$ and $Z \rightarrow \tau\tau$ processes;
- a calorimeter-based algorithm [276], which relies on clusters reconstructed in the calorimeter and has been optimised for visible transverse energies above 30 GeV, which corresponds to hadronic τ -decays from heavy Higgs-boson production and decay.

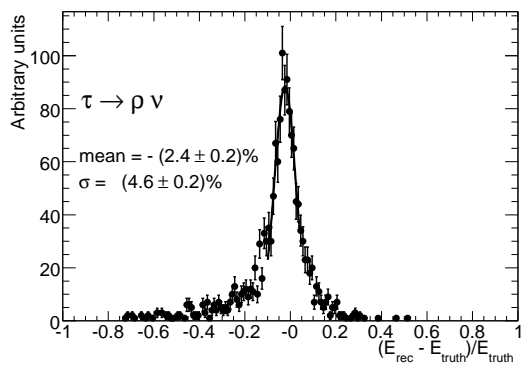


Figure 10.91: Energy response, expressed as the ratio $(E_{\text{rec}} - E_{\text{truth}})/E_{\text{rec}}$, where E_{rec} (resp. E_{truth}) are the reconstructed (resp. true) visible energies (see text), for single-prong hadronic τ -decays from a $W \rightarrow \tau\nu$ signal sample with one reconstructed electromagnetic cluster.

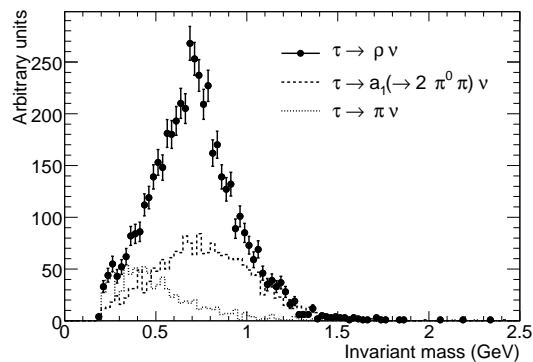


Figure 10.92: Distribution of reconstructed invariant mass of visible decay products (see text), for single-prong hadronic τ -decays from a $W \rightarrow \tau\nu$ signal sample with at least one reconstructed electromagnetic cluster.

Figures 10.93 and 10.94 show the expected performance of the two algorithms, expressed as curves describing jet rejection versus efficiency for single- and three-prong hadronic τ -decays separately and for different ranges of the visible transverse energy. The jet rejections are computed with respect to truth jets reconstructed using particle four-momenta within a cone of size $\Delta R = 0.4$. The behaviour of the respective rejection versus efficiency curves reflects the different optimisations performed for the two algorithms. Whereas the track-based algorithm has been tuned to preserve similar performance for single- and three-prong decays, the calorimeter-based algorithm has been tuned to provide the best possible rejection at medium-to-high energies and is therefore more performant for single-prong decays than the track-based algorithm. For an overall efficiency of 30% for single-prong decays, the rejection against jets is typically between 700 and 6000, as is illustrated more quantitatively and as a function of the visible transverse energy in table 10.6.

The track-based algorithm requires a good-quality track system, in which the leading track has transverse momentum above 9 GeV, as a seed for building a hadronic τ -candidate. This provides already after reconstruction considerable rejection against QCD jets with high track multiplicities. This is illustrated in figures 10.95 and 10.96, which show respectively the normalised track-multiplicity spectra for hadronic τ -candidates, with visible transverse energy above 20 GeV, from $Z \rightarrow \tau\tau$ decays and from QCD jets. The distributions are shown after the reconstruction step, after a cut-based identification algorithm and finally after applying a multi-variate discrimination technique using a neural network. The track multiplicity in the QCD jet sample is quite different from that in the signal sample, for any of the cuts applied. At the same time, figure 10.95 shows that the fractions of single-prong and three-prong decays in the signal sample approach those expected from an ideal signal sample: for single-prong (respectively three-prong) candidates, the fractions of correctly assigned decays improve from 87% (respectively 74%) after reconstruction to 91% (respectively 86%) after cut-based identification and to 92% (respectively 93%) after applying the neural-network discrimination technique.

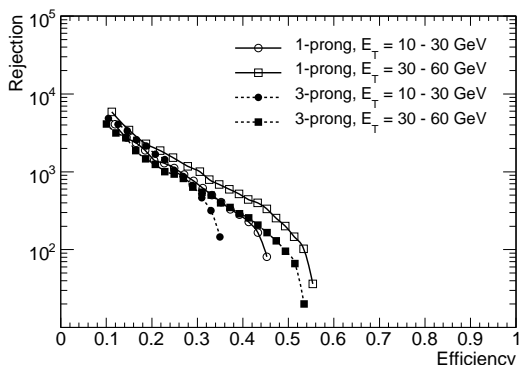


Figure 10.93: Expected rejection against hadronic jets as a function of the efficiency for hadronic τ - decays for the track-based algorithm using a neural-network selection. The results are shown separately for single- and three-prong decays and for two ranges of visible transverse energy.

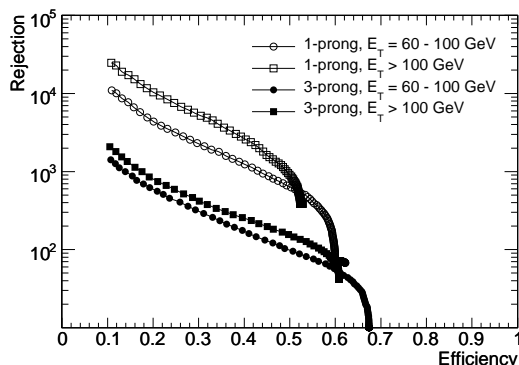


Figure 10.94: Expected rejection against hadronic jets as a function of the efficiency for hadronic τ - decays for the calorimeter-based algorithm using a likelihood selection. The results are shown separately for single- and three-prong decays and for two ranges of visible transverse energy.

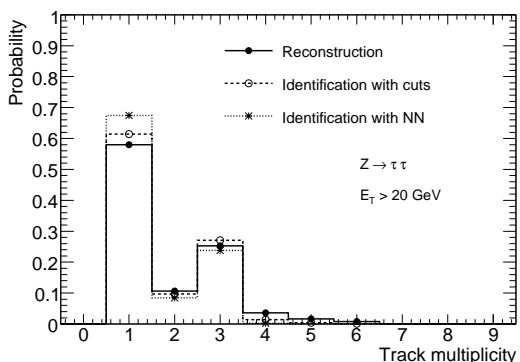


Figure 10.95: Track multiplicity distributions obtained for hadronic τ -decays with visible transverse energy above 20 GeV using the track-based τ -identification algorithm. The distributions are shown after reconstruction, after cut-based identification and finally after applying the neural network (NN) discrimination technique for an efficiency of 30% for the signal.

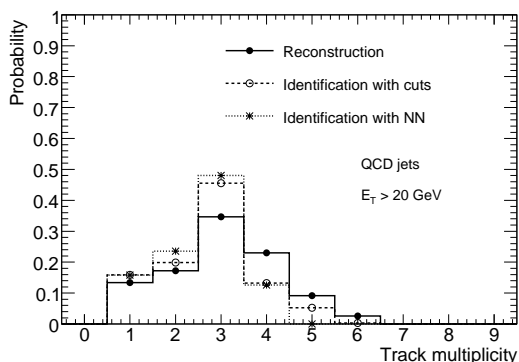


Figure 10.96: Track multiplicity distributions obtained for the background from QCD jets with visible transverse energy above 20 GeV using the track-based τ -identification algorithm. The distributions are shown after reconstruction, after cut-based identification and finally after applying the neural network (NN) discrimination technique for an efficiency of 30% for the signal.

Table 10.6: Rejection of track-based and calorimeter-based τ -identification algorithms over the range of visible transverse energy in which they have been optimised. The values are given separately for single- and three-prong decays and for an efficiency of 30%. The quoted errors are statistical.

Algorithm		$E_T = 10\text{-}30\text{ GeV}$	$E_T = 30\text{-}60\text{ GeV}$	$E_T = 60\text{-}100\text{ GeV}$	$E_T > 100\text{ GeV}$
Track-based (neural network)	1-prong	740 ± 70	1030 ± 160		
	3-prong	590 ± 50	590 ± 70		
Calorimeter-based (likelihood)	1-prong		1130 ± 50	2240 ± 140	4370 ± 280
	3-prong		187 ± 3	310 ± 7	423 ± 8

Figures 10.95 and 10.96 also show that the candidates with track multiplicity above three may be used to normalise the QCD background. This would allow a reasonably precise calibration of the performance of the τ -identification algorithms using real data, provided the rejection against QCD jets is proven to be sufficient to extract a clean signal in the single-prong and three-prong categories. The sensitivity of such a method can be enhanced by also studying the track multiplicity outside the narrow cone used for τ -identification and combining this information with that presented in figure 10.96.

10.8 Flavour tagging

The ability to tag hadronic jets arising from heavy flavours is an important asset for many physics analyses, such as precision measurements in the top-quark sector and searches for Higgs bosons or other new physics signatures. This section describes the b -tagging performance which can be achieved using different methods [277]. In the results presented in this section, the impact of possible residual misalignments on the b -tagging performance has not been taken into account.

10.8.1 Ingredients of b -tagging algorithms

Except when explicitly stated otherwise, the results presented in this section are based on simulations without pile-up and with a perfect alignment of the inner detector. Jets are reconstructed in the calorimeters using standard algorithms (see section 10.5.1) and the jets with $p_T > 15\text{ GeV}$ and $|\eta| < 2.5$ are considered for b -tagging. Only reconstructed tracks within a distance $\Delta R < 0.4$ from the jet axis are used for b -tagging.

To assess quantitatively the b -tagging performance, the Monte-Carlo truth is used to determine the type of parton from which a jet originates. This labelling procedure is somewhat ambiguous. For the results presented here, a quark-based labelling has been used: a jet is labelled as a b -jet if a b -quark with $p_T > 5\text{ GeV}$ is found in a cone of size $\Delta R = 0.3$ around the jet direction. A jet is labelled as a c -jet (or τ -jet) if a c -quark (or τ -lepton) with $p_T > 5\text{ GeV}$ is found in the cone instead of a b -quark. When no heavy quark nor τ -lepton satisfies these requirements, the jet is labelled as a light jet. No attempt is made to distinguish between u -, d -, s -quarks and gluons. It is important to note that this labelling procedure defines as b -jets most gluon jets splitting to a $b\bar{b}$ pair in the parton-shower process.

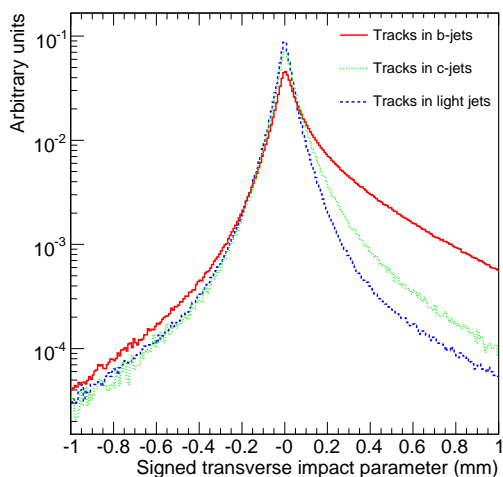


Figure 10.97: Signed transverse impact parameter, d_0 , distribution for b -jets, c -jets and light jets.

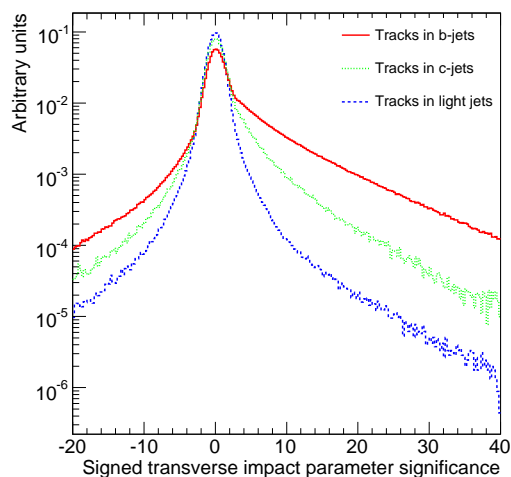


Figure 10.98: Signed transverse impact parameter significance, d_0/σ_{d_0} , distribution for b -jets, c -jets and light jets.

10.8.1.1 Track selection and track impact parameters

The track selection for b -tagging is designed to select well-measured tracks and to reject fake tracks in jets and secondary tracks from K_s^0 , Λ and hyperon decays, as well as electrons from photon conversions. Only tracks with transverse momentum above 1 GeV are considered. At least seven precision hits (pixels and strips) are required, of which at least two must be in the pixel detector and one in the pixel vertexing layer. The transverse (d_0) and longitudinal (z_0) impact parameters at the point of closest approach to the vertex must fulfil respectively, $|d_0| < 1$ mm and $|z_0 - z_v| \sin \theta < 1.5$ mm, where z_v is the reconstructed primary vertex position in z and θ is the measured polar angle of the track. The efficiency of these cuts and the resulting fake-track rate in jets are discussed in section 10.2.3 (see in particular figure 10.14).

For the b -tagging algorithms, the impact parameters of tracks are computed with respect to the primary vertex (see section 10.2.4). The transverse impact parameter is signed using the jet direction as measured by the calorimeters: tracks crossing the jet axis behind the primary vertex have a negative impact parameter. The distribution of the signed transverse impact parameter, d_0 , is shown in figure 10.97 for tracks reconstructed in b -jets, c -jets and light jets. Figure 10.98 shows the corresponding significance distribution, d_0/σ_{d_0} , which gives more weight to precisely measured tracks.

10.8.1.2 Secondary vertices

To further increase the discrimination between b -jets and light jets, the inclusive vertex formed by the decay products of the B -hadron, including the products of the subsequent charm hadron decay, can be reconstructed. The search starts by combining all track pairs which form a good vertex, using only tracks with a high impact-parameter significance in order to remove the tracks which are compatible with the primary vertex. The invariant mass of the particles originating from

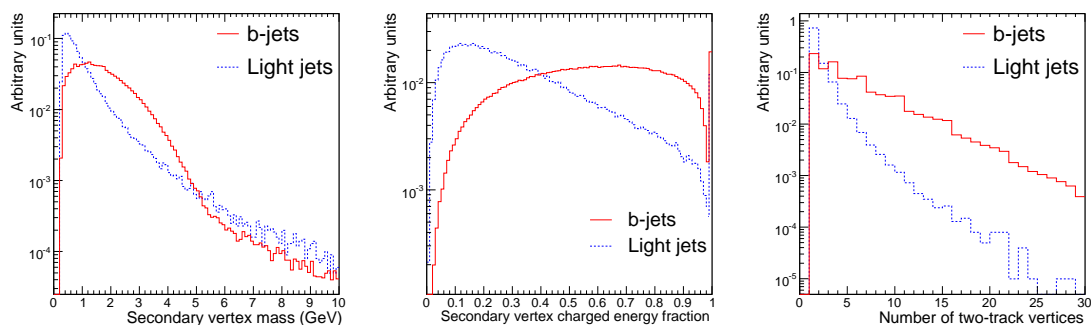


Figure 10.99: Properties of secondary vertices reconstructed in b -jets and light jets: invariant mass of all tracks originating from the vertex (left), the ratio of the sum of the energies of the tracks originating from the vertex to the sum of the energies of all tracks in the jet (middle) and number of two-track vertices (right).

the secondary vertex candidate and the location of this vertex candidate are used to reject vertices which are likely to come from K_s^0/Λ decays and photon conversions or from secondary interactions in material such as the beam-pipe or the vertexing layer. All tracks from the remaining two-track vertices are combined into a single vertex and three of its properties are exploited: the invariant mass of all the tracks originating from the vertex, the ratio of the sum of the energies of the tracks originating from the vertex to the sum of the energies of all tracks in the jet, and the number of two-track vertices. These properties are illustrated in figure 10.99 for b -jets and light jets. The secondary-vertex reconstruction efficiency depends quite strongly on the event topology and the typical efficiencies achieved are higher than 60% for the $t\bar{t}$ and WH events studied here.

10.8.2 Likelihood-ratio tagging algorithms

For both the impact-parameter tagging and the secondary-vertex tagging, a likelihood-ratio method is used: the discriminating variables are compared to pre-defined smoothed and normalised distributions for both the b - (signal) and light- (background) jet hypotheses. Multi-dimensional probability density functions are used as well for some b -tagging algorithms. The ratio of the probabilities defines the track or vertex weight, which can be combined in a jet weight as the sum of the logarithms of the individual weights. The distribution of such a weight is shown in figures 10.100 and 10.101 for b -, c - and light jets for two different b -tagging algorithms: the first one combines only the transverse impact parameter significance of tracks, while the second one combines in two dimensions the transverse and longitudinal impact parameter significances of tracks as well as the three variables from the secondary vertex search discussed above. The former algorithm is simpler and more robust than the latter which will require more time to commission. Currently, no use is made of probability density functions for c -jets, and these are not considered when creating the reference distributions for the signal and background hypotheses.

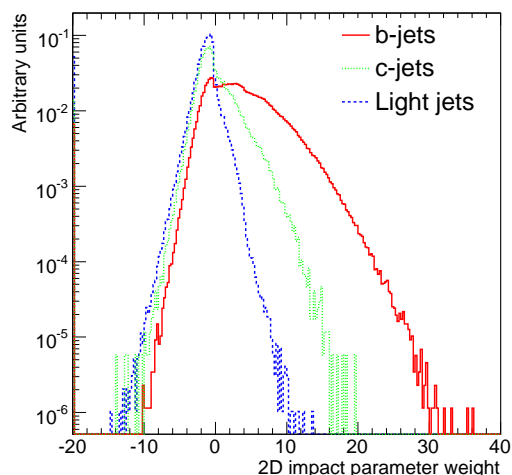


Figure 10.100: Jet b -tagging weight distribution for b -jets, c -jets and purified light jets (see section 10.8.3). The b -tagging algorithm is based on the transverse impact parameter significance of tracks.

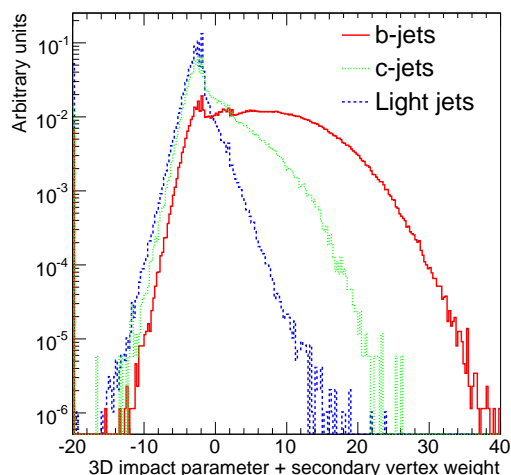


Figure 10.101: Jet b -tagging weight distribution for b -jets, c -jets and purified light jets (see section 10.8.3). The b -tagging algorithm uses the transverse and longitudinal impact parameter significances of tracks as well as the properties of the secondary vertex found in the jet.

10.8.3 Jet activity and jet purification

A difficulty arises as soon as the jet multiplicity is high and various jet flavours are present in the same event: a light jet close in ΔR to a b -jet will sometimes be labelled as a light jet, even though tracks from B -hadron decay with high lifetime content may be associated with it. This leads to an artificial degradation of the estimated performance, which is not related to the b -tagging algorithm itself but to the labelling procedure which strongly depends on the activity in the event. In order to obtain a more reliable estimation of the b -tagging performance, a purification procedure has been devised: light jets for which a b -quark, a c -quark or a τ -lepton are found within a cone of size $\Delta R = 0.8$ around the jet direction are not used to assess the b -tagging performance.

The performance estimated after purification represents the intrinsic power of the b -tagging algorithms and should be similar for different kinds of physics events; in contrast, results obtained using all the light jets, regardless of their environment, are more dependent on the underlying activity in the event. These latter results are, however, more representative of the actual b -tagging performance to be expected for a given physics analysis. This is illustrated in figures 10.102 and 10.103 for two types of physics processes. The WH events correspond to events in which the W decays leptonically and the Higgs boson decays to a $b\bar{b}$ pair (signal case) or is forced to decay to a $u\bar{u}$ or $c\bar{c}$ pair (background case). Such events therefore usually have only two high- p_T and well-separated jets and the light-jet rejection obtained is similar with and without jet purification, as shown in figure 10.102. For semi-leptonic $t\bar{t}$ events, the jet activity is quite high and therefore the two performance curves with and without purification shown in figure 10.103 differ in the region

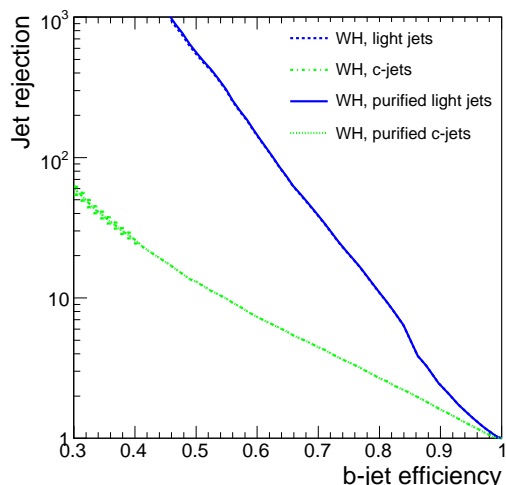


Figure 10.102: Rejection of light jets and c -jets with and without purification versus b -jet efficiency for WH events with $m_H = 120$ GeV, using the b -tagging algorithm based on the 3D impact parameter and secondary vertices.

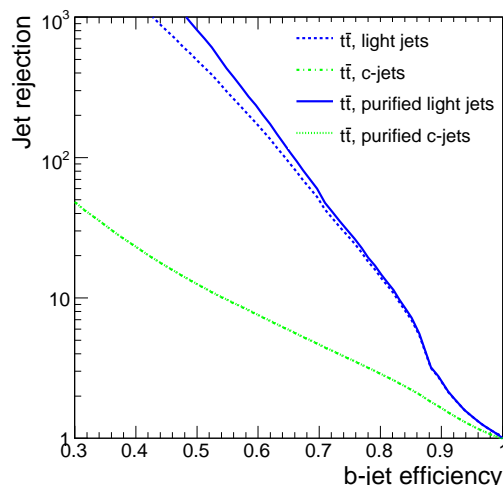


Figure 10.103: Rejection of light jets and c -jets with and without purification versus b -jet efficiency for $t\bar{t}$ events, using the b -tagging algorithm based on the 3D impact parameter and secondary vertices.

of b -jet efficiencies below 80%, where the lifetime content dominates over resolution effects. It is also important to note that the purification procedure discards jets coming from gluon-splitting to heavy quarks.

10.8.4 Expected b -tagging performance

As shown in figures 10.102 and 10.103, a light-jet rejection higher than 100 can be achieved for a b -jet efficiency of 60%. The performance depends strongly on the jet momentum and pseudorapidity. This is illustrated in figures 10.104 and 10.105 for the two b -tagging algorithms described above. At low p_T and/or high $|\eta|$, the performance is degraded mostly because of the increase of multiple scattering and secondary interactions. At high p_T , some dilution arises because the fraction of fragmentation tracks in the fixed-size cone increases, and more B -hadrons decay outside the vertexing layer: some gain should therefore be achieved by changing the track selection. At very high p_T , the performance degradation arises from pattern-recognition deficiencies in the core of very dense jets.

10.8.5 Soft-lepton tagging

Soft-lepton tagging relies on the semi-leptonic decays of bottom and charm hadrons. It is therefore intrinsically limited by the branching ratios to leptons: at most 21% of b -jets will contain a soft lepton of a given flavour, including cascade decays of bottom to charm hadrons. However, when a soft lepton is present, b -tagging algorithms based on soft leptons can exhibit high purity. More importantly, they have only small correlations with the track-based b -tagging algorithms, which is very important for checking and cross-calibrating performance with data.

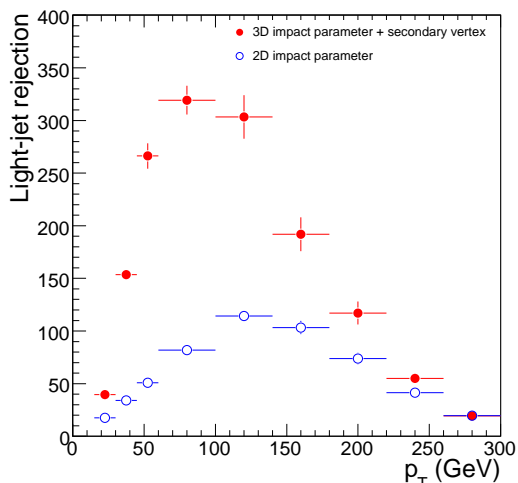


Figure 10.104: Rejection of purified light jets as a function of the jet transverse momentum for two different b -tagging algorithms operating at a fixed b -tagging efficiency of 60% in each bin.

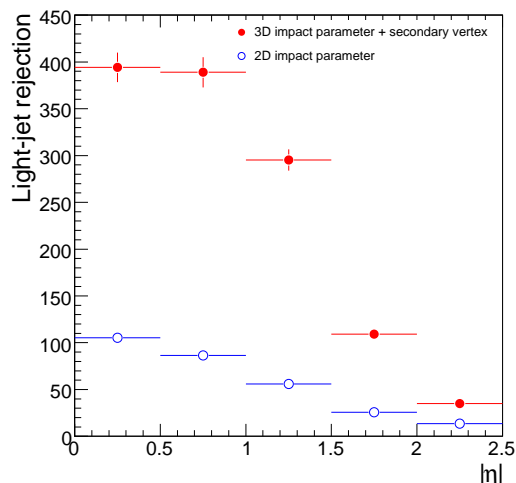


Figure 10.105: Rejection of purified light jets as a function of the jet pseudorapidity for two different b -tagging algorithms operating at a fixed b -tagging efficiency of 60% in each bin.

Soft muons are reconstructed using two complementary reconstruction algorithms (see section 10.3): combined muons, which correspond to a track fully reconstructed in the muon spectrometer and matched with a track in the inner detector, and muons with a low momentum, typically below ~ 5 GeV, which cannot reach the muon middle and outer stations and are identified by matching an inner-detector track with a segment in the muon spectrometer inner stations only. Muons reconstructed in this way and satisfying some basic selection criteria, $p_T > 4$ GeV and $|d_0| < 4$ mm, are associated to the closest jet provided their distance to the jet axis satisfies $\Delta R < 0.5$. Finally, the kinematic properties of the jet-muon system, such as the relative transverse momentum of the muon with respect to the jet axis, are used in order to reject the background caused by punch-through particles and decays in flight in light jets. As shown in figure 10.106 for $t\bar{t}$ events, the soft-muon b -tagging algorithm yields an efficiency of 10% (including branching ratios and identification efficiency) and a light-jet rejection of 200 for jets with $p_T > 15$ GeV and $|\eta| < 2.5$. The rejection against light jets decreases by approximately 30% when the expected contributions from pile-up and especially cavern background at 10^{33} cm $^{-2}$ s $^{-1}$ are included.

Reconstructing soft electrons in jets in the electromagnetic calorimeter is more difficult because of the overlap of hadronic showers with the electron shower itself. This is achieved using the soft-electron algorithm [266] which matches an inner-detector track to an electromagnetic cluster, as described in section 10.4.1. The performance of this algorithm is, however, highly dependent on the track density in the jets as well as on the amount of material in front of the electromagnetic calorimeter (photon conversions). As shown in figure 10.107, a light-jet rejection of 90 can be achieved for an efficiency of 7% in WH events. Currently, for a 7% (respectively 10%) b -tagging efficiency, about 75% (respectively 40%) of the surviving light jets are tagged by electrons originating from photon conversions: the performance would therefore substantially improve if these conversions could be rejected further.

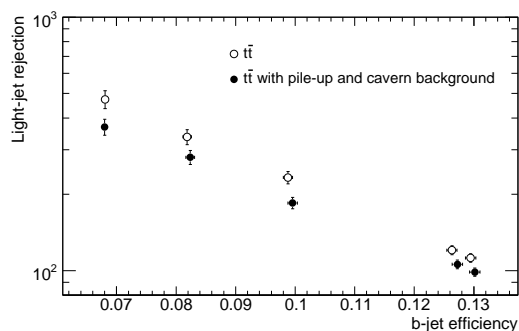


Figure 10.106: Rejection of light jets versus b -tagging efficiency in $t\bar{t}$ events (branching ratios to lepton and lepton identification efficiency included) for the soft-muon b -tagging algorithm. The results are shown without and with the pile-up and cavern background expected at a luminosity of $10^{33} \text{ cm}^{-2} \text{ s}^{-1}$.

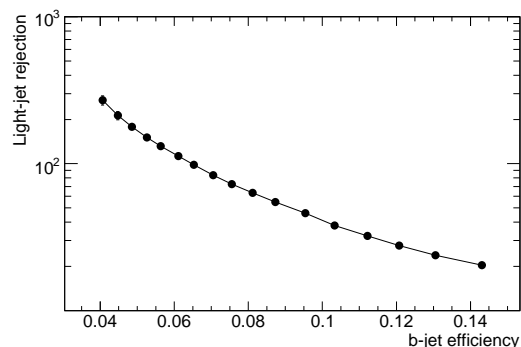


Figure 10.107: Rejection of light jets versus b -tagging efficiency in WH events (branching ratios to lepton and lepton identification efficiency included) for the soft-electron b -tagging algorithm.

10.9 Trigger performance

10.9.1 Overview

This section gives an overview of the performance achieved on simulated raw data using the online physics selection strategy of ATLAS. As already mentioned in section 8.1, components of the reconstruction and analysis software, implemented mostly in the offline environment in previous experiments, have had to be embedded within the trigger system to achieve the required rejection power while retaining excellent sensitivity to the various physics signatures of interest. A great deal of flexibility is provided by the three-level trigger system to adapt to changes in the luminosity (from fill-to-fill and even during a single fill), to variations in the background conditions, and to new requirements which will undoubtedly arise as the understanding of the physics, trigger performance and detector develops.

The approach taken to guarantee good acceptance for as broad a spectrum of physics as possible is to use mainly inclusive criteria for the online selection, i.e. signatures mostly based on single- and di-object high- p_T triggers. The choice of the thresholds is made to have a good overlap with the reach of the Tevatron and other colliders, and to ensure good sensitivity to new particles over a wide range of masses and decay channels. This high- p_T inclusive selection is complemented where necessary with more focussed signatures, such as the presence of several different physics objects or the use of topological criteria.

10.9.2 Selection strategy

The architecture of the trigger and data acquisition system is described in section 8.3 (see in particular figure 8.1) and is based on a three-level trigger system, with a first level (L1) using hardware based on ASIC's and FPGA's, and the other two (L2 and EF or event filter, collectively also called

high-level triggers or HLT) using software algorithms running on farms of commercial computers. At L2, the event selection is based on specialised algorithms, optimised for speed, whereas the EF uses more complex algorithms, basically identical to those used in the offline reconstruction software.

The L2 and EF algorithms are usually "seeded", meaning that reconstruction is normally guided by the previous trigger level to access and process data only in a "Region-of-Interest" (RoI) containing particle candidates. This significantly reduces the processing time (and also data movement from the holding buffers to the L2 processors), without degrading the selection performance. For the EF, and even for L2 where necessary, data can be accessed and processed from the full detector, within the constraints of available data-movement and processing resources. This applies for example to scans of the complete inner detector for low- p_T tracks for the B -physics selection or to the processing of all calorimeter cells for an improved calculation of missing transverse energy. At L2, this can only be done in special cases and for a small fraction of the events due to bandwidth limitations, whereas in the EF the full event data are available in memory. It is also possible to use so-called secondary RoI's which did not contribute to the L1 selection, but provide the coordinates of lower- p_T objects which can be included in the L2 selection.

In the HLT, "feature-extraction" algorithms are used to identify objects (such as electrons or jets) and determine their properties or to determine global characteristics of the event. The sequence of execution of the algorithms (e.g. ordered according to complexity) is chosen to maximise the physics potential and retain adequate flexibility within the available data-movement and processing resources of the HLT. After each step in the sequence, hypothesis algorithms determine whether a given signature is satisfied or not. The processing of any given RoI is stopped as soon as it is clear that it cannot contribute to the selection of the event. The event itself is rejected if none of the signatures in the trigger menu is satisfied.

The initial implementation and capabilities of the DAQ/HLT system are described in section 8.4, where it is stated that the system should handle a L1 trigger rate of ~ 40 kHz, i.e. approximately 50% of the design specification. Clearly, only the availability of real data will allow the whole strategy to be finalised. However, it is important to be able to face this initial phase with the most complete set of tools possible and with a versatile selection architecture, in order to cope with the surprises which are likely to appear at the time of LHC start-up.

10.9.3 Trigger menus

Trigger menus are tables which specify thresholds and selection criteria at each of the three trigger levels to address the physics-analysis requirements of ATLAS. The process of preparing the menus takes into account an assessment of the rejection capabilities at each selection stage and for each signature, and the rate capabilities of each level of the trigger and of the offline computing system. This procedure is iterative and makes use of earlier studies of the L1 trigger and HLT, as documented in [204, 237].

Trigger items, defined as entries in the trigger menu corresponding to selected physics objects, are identified using a notation where a symbol representing a particle type is preceded by a multiplicity value and followed by a E_T -threshold value, e.g. 2e5 corresponds to a requirement of two or more electrons, each with E_T above 5 GeV. The threshold value quoted for L1 is the

Table 10.7: Subset of items from an illustrative trigger menu at $10^{31} \text{ cm}^{-2} \text{ s}^{-1}$.

Signature	L1 rate (Hz)	HLT rate (Hz)	Comments
Minimum bias	Up to 10000	10	Pre-scaled trigger item
e10	5000	21	$b, c \rightarrow e, W, Z, \text{Drell-Yan}, t\bar{t}$
2e5	6500	6	Drell-Yan, $J/\psi, \Upsilon, Z$
γ 20	370	6	Direct photons, γ -jet balance
2 γ 15	100	< 1	Photon pairs
μ 10	360	19	$W, Z, t\bar{t}$
2 μ 4	70	3	B -physics, Drell-Yan, $J/\psi, \Upsilon, Z$
μ 4 + $J/\psi(\mu\mu)$	1800	< 1	B -physics
j120	9	9	QCD and other high- p_T jet final states
4j23	8	5	Multi-jet final states
τ 20i + xE30	5000 (see text)	10	$W, t\bar{t}$
τ 20i + e10	130	1	$Z \rightarrow \tau\tau$
τ 20i + μ 6	20	3	$Z \rightarrow \tau\tau$

raw E_T cut applied in the hardware, and high efficiency is only achieved for particles or jets of somewhat higher E_T ; this differs from the definition used in previous documents [237]. For inclusive selections, the multiplicity requirement of one is implicit. An "i" following the threshold indicates that an isolation requirement is made in addition. For example, τ 20i requires at least one hadronic τ candidate with transverse energy above 20 GeV and with a specific calorimeter isolation requirement in addition. The term "xE" is a short form for E_T^{miss} .

The steering and configuration of the trigger (see section 8.3.6) support the description of both straightforward RoI-based triggers like single electrons, muons, τ -leptons and jets along with more complex triggers like E_T^{miss} and triggers for B -physics. For each trigger level, items in the menu can be pre-scaled to reduce their rates, or "pass-through" flags can be raised, where events are accepted irrespective of the HLT selection decision for the purpose of systematic studies.

The initial start-up luminosity at the LHC is expected to be around $10^{31} \text{ cm}^{-2} \text{ s}^{-1}$. This provides convenient conditions for commissioning the trigger and the detector sub-systems, validating the trigger and offline software algorithms, and ensuring that basic Standard Model signatures can be observed. The trigger menu for this start-up scenario reflects these requirements and allows for low p_T -thresholds on final-state leptons and photons, without any pre-scaling at L1, and for higher p_T -thresholds, for which most of the HLT algorithms are executed in "pass-through" mode.

Table 10.7 presents an example of a sample of the triggers which will be used at start-up. The rates shown have been estimated using non-diffractive minimum-bias events with a total assumed cross-section of 70 mb. Triggering on single and di-leptons should be possible with quite low p_T -thresholds and without applying isolation or other complex criteria, which must be validated with real data at turn-on. With the exception of the minimum-bias selection, the items indicated are those which should be operable without pre-scaling at $10^{31} \text{ cm}^{-2} \text{ s}^{-1}$. The full menu contains a number of additional components, including many pre-scaled items with lower thresholds.

The rates for combined triggers which require two or more final-state leptons or photons are expected to be low in most instances, allowing them to be run without pre-scaling with very low thresholds. Significant bandwidth will be devoted to collecting large samples of minimum-bias data for use in physics analysis and for detector and trigger performance studies. Multi-jet triggers will be run at a comparatively high rate to test b-jet tagging in the HLT which is discussed in section 10.9.6. A small amount of bandwidth is allocated for inclusive E_T^{miss} and scalar sum- E_T triggers, as well as using the E_T^{miss} signature in combination with other criteria. Note that for the item $\tau 20i + xE30$ in table 10.7, the E_T^{miss} selection is made only at the EF level, in case the corresponding L1 selection takes time to commission. The rate of the $\tau 20i$ item at L1 is approximately 5 kHz.

The quoted trigger rates are subject to large uncertainties on the cross-sections for QCD processes in proton-proton collisions at LHC energies, and on the modelling of the performance of the detector. The rates indicated assume that the selection cuts will already have been reasonably well tuned to achieve high background rejection with good signal efficiency. There is still scope to use tighter cuts and more delicate variables such as isolation after extensive optimisation and thorough validation. Should the rates turn out to be higher than estimated, the inclusive thresholds could be raised substantially without compromising much of the main initial physics programme, but nevertheless to the detriment of an efficient collection of large data samples required for the initial understanding of the detector performance.

10.9.4 Examples of trigger performance

The expected trigger performance at an initial luminosity of $10^{31} \text{ cm}^{-2} \text{ s}^{-1}$ is illustrated in the following with representative examples from the menu discussed above. As documented in ref. [237] and discussed briefly in section 10.9.6, the trigger also meets the physics requirements up to a luminosity of $10^{34} \text{ cm}^{-2} \text{ s}^{-1}$.

The performance results presented here were obtained using an exact simulation of the algorithms which are implemented in the L1 hardware and using the same HLT algorithms as those which are run online. The full HLT chain was used to obtain the performance results. As described in section 10.1, these studies have also been made for data simulated with a misaligned and mis-calibrated detector to verify, and improve if necessary, the robustness of the selection.

10.9.4.1 Electrons and photons

The performance of the electron and photon triggers has been evaluated for a luminosity of $10^{31} \text{ cm}^{-2} \text{ s}^{-1}$, using simulations of single particles and selected physics channels. The trigger efficiencies are quoted with respect to events containing electrons and photons identified with loose offline particle identification cuts (see section 10.4). Inefficiency in the trigger selection arises mainly from tighter selection requirements needed to reduce the background rate to an acceptable level. There are also small losses due to the coarser calorimeter granularity used at L1 and the simpler (and faster) selection algorithms applied at L2 compared to the offline reconstruction.

Figure 10.108 shows the L1, L2 and EF efficiencies as a function of E_T for the signature e10, the menu item selecting electrons with $E_T > 10 \text{ GeV}$, as estimated using simulations of single electrons. The efficiency reaches a plateau value for E_T above $\sim 15 \text{ GeV}$ and is quite uniform as a function of $|\eta|$, except for a 10–20% dip in the transition region between the barrel and the end-cap

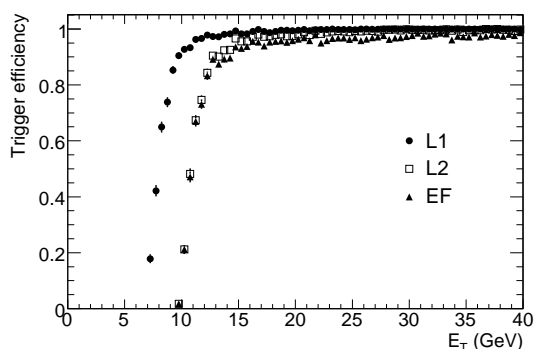


Figure 10.108: Trigger efficiencies at L1, L2 and EF as a function of the true electron E_T for the e10 menu item. The efficiencies are obtained for single electrons and are normalised with respect to the medium set of offline electron cuts discussed in section 10.4.

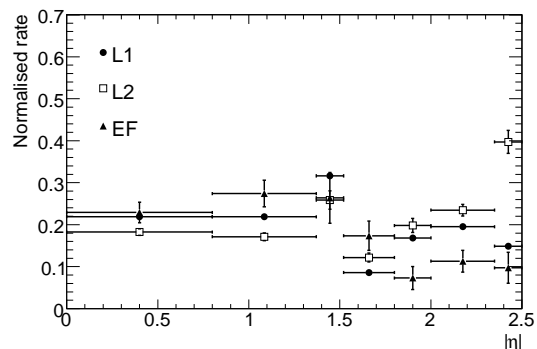


Figure 10.109: Relative rates versus $|\eta|$ for jets passing the L1, L2 and EF trigger selections for the e10 menu item. The relative rates are shown for each of the seven η -ranges used to optimise the offline selection of isolated electrons and are normalised as described in the text.

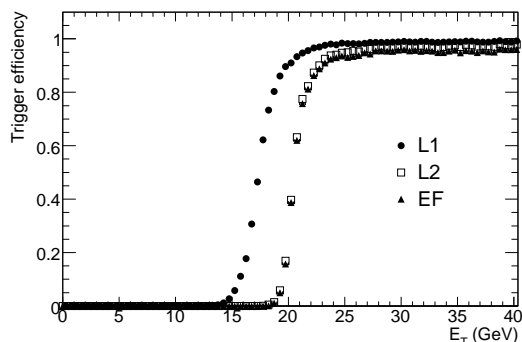


Figure 10.110: Trigger efficiencies at L1, L2 and EF as a function of the true photon E_T for the $\gamma 20i$ menu item. The efficiencies are obtained for single photons and normalised with respect to loose offline photon identification cuts.

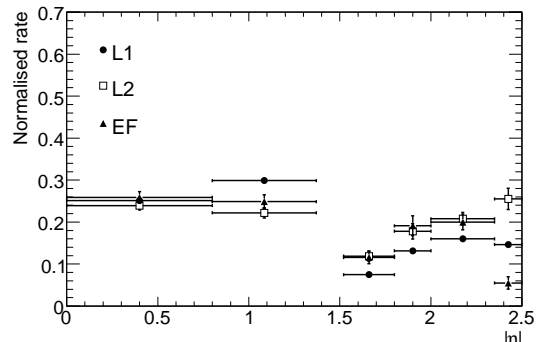


Figure 10.111: Normalised relative rates versus $|\eta|$ for jets passing the L1, L2 and EF trigger selections for the $\gamma 20i$ menu item. The relative rates are shown for each of the six η -ranges used to optimise the offline selection of isolated photons and are normalised as described in the text. The bin corresponding to the barrel/end-cap transition region is not shown because the offline selection excludes it.

calorimeters. Figure 10.109 shows the normalised relative rates expected from QCD jets satisfying the e10 signature as a function of $|\eta|$ for the successive trigger levels. These relative rates are normalised for each trigger level to the total number of events selected and then the rate in each bin is rescaled to that expected for a bin of fixed size $\Delta\eta = 0.5$. The rates are quite sensitive to the result of the trigger efficiency optimisation and their non-uniformity reflects the lower efficiency in the regions where the electromagnetic calorimeter performance is not optimal, as in the barrel/end-cap transition region with $1.37 < |\eta| < 1.52$. Similar results are obtained for photons and shown for the signature $\gamma 20i$, the menu item selecting isolated photons with $E_T > 20$ GeV, in figures 10.110 and 10.111.

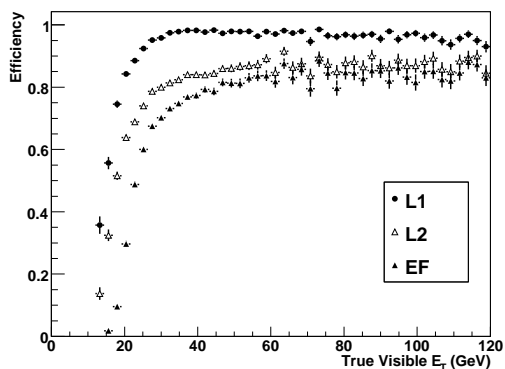


Figure 10.112: Trigger efficiencies at L1, L2 and EF as a function of the true visible E_T of the hadronic τ -decays for the τ_{20i} menu item.

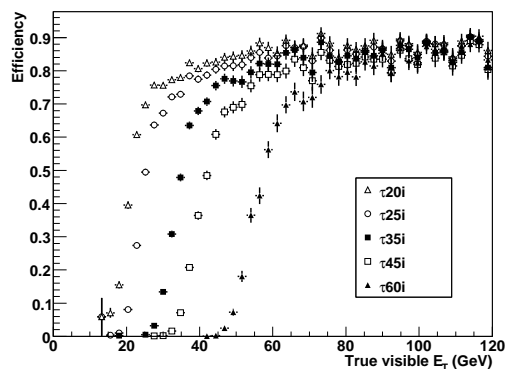


Figure 10.113: Efficiency after EF for τ -trigger items with different thresholds as a function of the true visible E_T of the hadronic τ -decay.

10.9.4.2 τ -leptons and E_T^{miss}

The performance of the trigger for selecting high- p_T τ -leptons is illustrated with the τ_{20i} signature selecting hadronic τ -decays with true visible E_T of the hadronic τ -decay (defined as the summed transverse energy of all the decay products which are not neutrinos) larger than 20 GeV. Figure 10.112 shows the trigger efficiency after each trigger level, normalised to an offline selection with loose requirements (see section 10.7), for hadronic τ -decays from $W \rightarrow \tau\nu$ and $Z \rightarrow \tau\tau$ decays. The efficiency exhibits a drop of approximately 15% after L2, mostly because of the τ -identification cuts applied. The efficiency turn-on rises more slowly than for the electron and photon triggers, especially at L1, reflecting the poorer resolution obtained for hadronic showers. Figure 10.113 shows the efficiency turn-on curves for various τ -trigger thresholds as a function of the true visible E_T of the hadronic τ -decay. The overall efficiency with respect to the offline selection is typically 85% on the plateau.

A somewhat special case is that of E_T^{miss} triggers which can be used either inclusively or in combination with other objects, in particular with jets or hadronic τ -triggers. Because E_T^{miss} is a global property of the event, the RoI-driven L2 trigger is not capable of substantially improving the L1 trigger. However, the E_T^{miss} algorithm in the EF improves substantially on L1 by accessing the precision readout of the entire calorimeter and performing a simplified version of the offline algorithm.

A challenging goal of the τ -selection during the low-luminosity period is to collect a large sample of $W \rightarrow \tau\nu$ decays. This can be achieved using a τ -trigger in combination with a requirement (potentially only at the level of the EF) of substantial E_T^{miss} (see table 10.7). Such events are obviously interesting for physics analyses, but are also needed to monitor the hadronic energy scale using single charged pions, and for other performance studies. An additional goal is to provide triggers with low p_T -thresholds and loose trigger requirements, in addition to the single high- p_T electron and muon triggers, for collecting efficiently Z -bosons decaying into two τ -leptons, where one τ -lepton decays to an electron or muon and the other to hadrons. The background rates for these $e/\mu+\tau$ triggers are estimated to be in the range of one Hz or less at the initial luminosity of $10^{31} \text{ cm}^{-2} \text{ s}^{-1}$, with rather loose HLT cuts applied to the trigger objects.

Table 10.8: Summary of L1 single-jet and multi-jet menu items, of L1 pre-scale factors and expected L1 and EF rates at a luminosity of $10^{31} \text{ cm}^{-2} \text{ s}^{-1}$.

Trigger item	j10	j18	j23	j35	j42	j70	j120	3j10	3j18	4j10	4j18	4j23
Pre-scale factor at L1	42000	6000	2000	500	100	15	1	150	1	30	1	1
L1 rate (Hz)	4	1	1	1	4	4	9	40	140	40	20	8
EF rate (Hz)	4	1	1	1	4	4	9	0.05	1	0.04	0.1	5

10.9.4.3 Jets

The inclusive jet trigger j120 presented in table 10.7 is complemented by a series of pre-scaled items chosen to give an approximately uniform rate across the jet E_T -spectrum. Collecting sufficient statistics over the entire jet E_T -spectrum is important for differential cross-section measurements and also for the measurement of detector, trigger and physics algorithm efficiencies. The set of threshold and pre-scale combinations is expected to be stable with rising luminosity for the first few years of data-taking. The strategy adopted to optimise the jet trigger menu for different luminosities is then primarily to modify the pre-scale factors associated with each jet-trigger threshold, rather than to change the set of thresholds on an ad-hoc basis.

Table 10.8 summarises a set of L1 jet-trigger items, L1 pre-scale factors and L1 and EF rates for a luminosity of $10^{31} \text{ cm}^{-2} \text{ s}^{-1}$. Since the jet rates cannot be reduced much by the HLT, the EF rates quoted in table 10.8 are obtained through additional pre-scale factors applied wherever necessary. Figure 10.114 shows the corresponding reconstructed differential E_T spectrum of the leading jet after the L1 trigger accept. The differential distribution thus obtained is almost uniform over the range of L1 single-jet triggers run with different pre-scale factors, yielding about 10^8 leading jets with E_T in the range between 10 and 100 GeV for an integrated luminosity of 100 pb^{-1} . Figure 10.115 shows that over this range of jet E_T , the efficiency at threshold of the various pre-scaled jet trigger menu items turns on much more slowly than the corresponding curves for leptons because of the poorer resolution of the jet E_T reconstructed at L1.

10.9.4.4 Muons

The geometrical coverage of the muon trigger detector system (see section 6.6 for a detailed description) limits the overall acceptance for triggering on muons at L1, as illustrated in figure 10.116. The barrel trigger system covers approximately 80% of the η - ϕ plane (over $|\eta| < 1.0$), while the end-cap trigger extends over approximately 96% of the relevant η - ϕ space. The limitations of the barrel system can be seen in figure 10.116, and are dominated by the crack at $\eta < 0.1$ (largely to accommodate inner-detector and calorimeter services), by the regions occupied by the feet of the experiment and by the space taken by the barrel toroid ribs. The end-cap trigger coverage is limited only by the detector supports and by the holes needed for the optical alignment system. Within the fiducial acceptance of the trigger detectors, the L1 trigger efficiency for muons with p_T larger than the selection thresholds exceeds 99%. The L2 trigger then provides a first reduction of the L1 rates by confirming the muon candidates with a more precise measurement of their momentum and by matching them to inner-detector tracks.

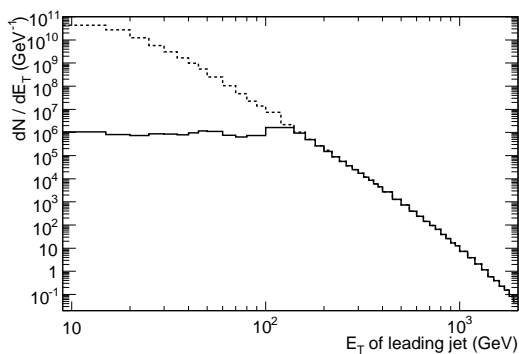


Figure 10.114: Expected differential spectrum for single jets as a function of the reconstructed E_T of the leading jet. The solid line shows the distribution after applying the L1 trigger thresholds and pre-scale factors presented in table 10.8, while the dashed line represents the distribution expected without any trigger requirements.

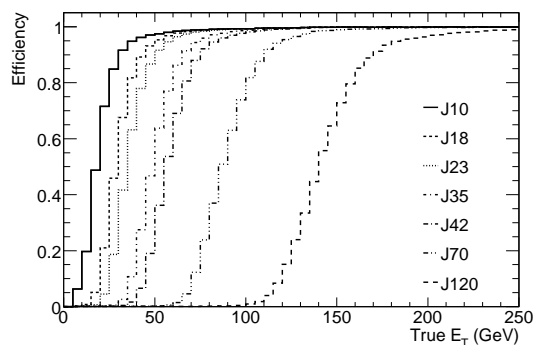


Figure 10.115: Efficiency as a function of the true jet E_T (as defined for a cone of size $\Delta R = 0.4$) for each of the single-jet L1 menu items shown in table 10.8.

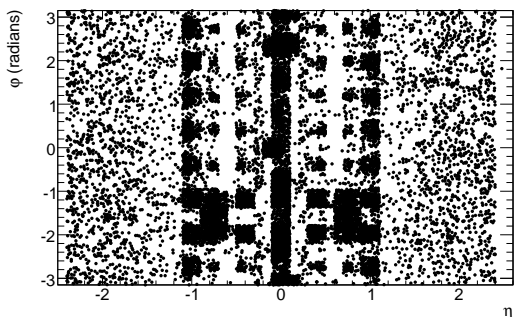


Figure 10.116: Acceptance map in η - ϕ space for the L1 muon trigger, which covers the η -range $|\eta| < 2.4$. The black points represent regions not instrumented with L1 trigger detectors because of the presence of various supports and services.

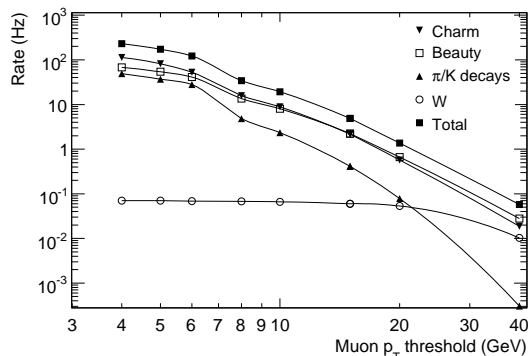


Figure 10.117: Estimated EF output rates for muons as a function of p_T -threshold at a luminosity of $10^{31} \text{ cm}^{-2} \text{ s}^{-1}$, integrated over the full η -range covered by the L1 trigger, $|\eta| < 2.4$.

The rates of muons at the output of the EF have been computed at a luminosity of $10^{31} \text{ cm}^{-2} \text{ s}^{-1}$, by summing the contributions from the barrel and end-cap regions of the muon spectrometer. As shown in figure 10.117, several physics processes contribute significantly to the rate. The rates given as a function of the p_T -threshold are for an inclusive muon selection, without applying an isolation requirement. The largest contributions to the total rate in the p_T -range from 4 to 6 GeV are from charm, beauty and in-flight decays of charged pions and kaons. Isolation, as well as refined matching requirements between the tracks in the inner detector and muon spectrometer, can be used to further reduce the rates.

10.9.4.5 B-physics

The trigger for B -physics is initiated by a single- or di-muon selection at L1. At $10^{31} \text{ cm}^{-2} \text{ s}^{-1}$, a threshold $p_T > 4 \text{ GeV}$ will be used, rising to about 6 GeV at $10^{33} \text{ cm}^{-2} \text{ s}^{-1}$ to match the rate capabilities of the HLT.

At the initial expected luminosity of $10^{31} \text{ cm}^{-2} \text{ s}^{-1}$, the dimuon final states are selected by the $2\mu 4$ trigger which is expected to have a rate of a few Hz. For single-muon triggers, searches can be made in the HLT for additional features using information from the inner detector and calorimeters, as well as from the muon spectrometer. Mass cuts and secondary-vertex reconstruction are used to select the B -decay channels of interest. Channels, such as $B_d \rightarrow J/\psi(\mu\mu)K_s^0$ and $B_{s,d} \rightarrow \mu\mu$, are triggered by requiring two muons fulfilling J/ψ or $B_{s,d}$ invariant-mass cuts. Identification of the second muon can either originate from a separate L1 RoI, or from the HLT in an enlarged RoI around the first muon. For other channels containing muons, such as $B_d \rightarrow K^{*0}\mu\mu$ or $B_s \rightarrow \phi\mu\mu$, inner-detector tracks are combined to first reconstruct the K^{*0} or ϕ and then the muon tracks are added to reconstruct the $B_{s,d}$.

For hadronic final states like $B_s \rightarrow D_s^- \pi^+$ and $B_s \rightarrow D_s^- a_1^+$, inner-detector tracks are combined to reconstruct first the ϕ -meson from the D_s decay, then the D_s and finally the B_s . Two different strategies are used for finding the tracks, depending on luminosity. Full reconstruction over the whole inner detector can be performed at $10^{31} \text{ cm}^{-2} \text{ s}^{-1}$, since the L1 muon rate is comparatively modest, while at higher luminosities reconstruction will be limited to L1 jet RoI's with $E_T > 5 \text{ GeV}$. This latter approach has lower efficiency for selecting the signal, as shown in figure 10.118, but requires fewer HLT resources for a fixed L1 rate. If one combines triggers for hadronic final states and pre-scaled single muon triggers needed for trigger efficiency measurements, the overall rate for B-physics triggers is approximately 10 Hz at $10^{31} \text{ cm}^{-2} \text{ s}^{-1}$.

10.9.5 Trigger commissioning

A detailed strategy for commissioning the trigger during initial running with beam is being developed. It is assumed that the luminosity will be significantly less than $10^{33} \text{ cm}^{-2} \text{ s}^{-1}$ during this period. A first step will be to establish a time reference for bunches of protons colliding at the interaction point in ATLAS. Signals from passive beam pick-ups will be used to form a filled-bunch trigger with known latency. This will be combined with the minimum-bias trigger, based on scintillation counters which are mounted in front of the end-cap cryostats (see section 5.5), which will

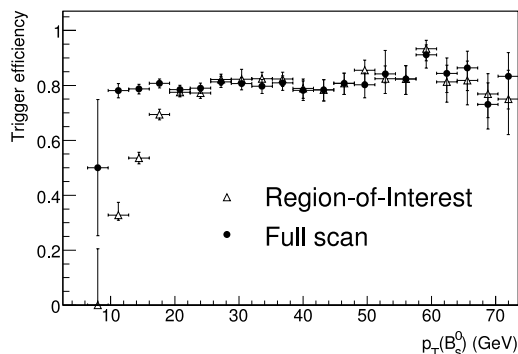


Figure 10.118: Trigger efficiency for $B_s \rightarrow D_s^- a_1^+$ events passing the offline selection as a function of the p_T of the B -meson. The results are shown for two HLT scenarios, the most performant one, based on a full scan of the inner detector which can be used at low luminosity, and a RoI-based scan which can be used at higher luminosities (see text).

be used to signal inelastic proton-proton collisions. The resulting interaction trigger will be used in setting up the timing of the experiment for the detector readout and of the calorimeter and muon L1 trigger systems.

Once the timing-in of the detector is completed, the minimum-bias trigger will be used to collect data for initial physics studies, in parallel with continuing work on commissioning the rest of the trigger. Since the calorimeter and muon L1 trigger systems are digital, commissioning tasks such as calibration using real (minimum-bias) data can be done offline, comparing the results read out from the trigger systems with corresponding quantities from the detector readout. It is anticipated that the trigger system will be brought on-line progressively. A first step will be to use, in parallel with a pre-scaled minimum-bias selection, the L1 trigger with loose and simple selection criteria, with relaxed requirements in the muon trigger and not using calorimeter quantities such as isolation and global energy sums, which are sensitive to low-energy detector behaviour. Tighter and more complicated selections will be brought in progressively after thorough offline validation of their performance.

Once the calorimeter and muon L1 triggers are operational, work will ramp up on commissioning the HLT. Many aspects can be addressed offline, using exactly the same algorithms as online, but running on data recorded previously. Then the L2 and EF algorithms will be used on-line in passive mode, while still recording all events selected by L1. The highest-priority physics channels will initially be covered by high-threshold L1 triggers which are passed through the HLT without further selection, while using the HLT actively elsewhere. Analysis of the recorded data will provide further optimisation of the algorithms and cross-checks on the efficiency of the HLT. As the luminosity increases towards $10^{33} \text{ cm}^{-2} \text{ s}^{-1}$, the full power of the trigger will be required to limit the event rate, while retaining high efficiency for the physics channels of interest.

As an example, one can consider in more detail the commissioning of the L1 muon trigger. Given the low luminosity assumed for initial data-taking ($10^{31} \text{ cm}^{-2} \text{ s}^{-1}$), the related low cavern-background rates expected and the large bunch spacing foreseen (75 ns or more), the configuration parameters of the muon trigger system can initially be relaxed while maintaining acceptable rates. The data collected will be used to check and complete the commissioning of the muon trigger, which has already started using cosmic-ray data. In particular, large samples of muons will be needed to fine-tune the time calibration of the full system, with a required accuracy of about 3 ns in the barrel system. Initial coincidence roads will have been prepared based on simulation and will be available for several p_T -thresholds from about 4 GeV to 40 GeV. Once real data are available with large statistics, these roads will be checked and optimised with muons reconstructed over the full acceptance of the detector, using the p_T -measurement obtained with the inner detector. The commissioning of the muon trigger will use data collected with wide coincidence roads and also with other triggers (minimum bias, jets). The information recorded from the L1 muon trigger will be examined together with the results of the offline reconstruction, allowing measurements of the trigger efficiency for muons as a function of p_T .

In a similar way, the start-up menu for the electron and photon selection must provide data samples needed to commission trigger and detectors, as well as for physics analyses. Relevant physics processes include $J/\psi \rightarrow ee$, $\Upsilon \rightarrow ee$, Drell-Yan, $Z \rightarrow ee$, $W \rightarrow e\nu$ and direct photon production. The menu discussed above selects such events with single electrons with E_T above $\sim 10 \text{ GeV}$ or single photons with E_T above $\sim 20 \text{ GeV}$, in addition to the selection with double-object triggers at significantly lower thresholds.

Table 10.9: Subset of items from two illustrative trigger menus at L1 (left) and at the HLT (right) for a luminosity of $2 \times 10^{33} \text{ cm}^{-2} \text{ s}^{-1}$. The capital letters designate L1 trigger objects, whereas the small letters designate HLT trigger objects. The examples given are more to illustrate the evolution of the rates and thresholds as a function of luminosity, when comparing to table 10.7, than to provide accurate predictions of the expected rates.

L1 signature	Rate (kHz)	HLT signature	Rate (Hz)
EM18I	12.0	e22i	40
2EM11I	4.0	2e12i	< 1
MU20	0.8	γ 55i	25
2MU6	0.2	2 γ 17i	2
J140	0.2	μ 20i	40
3J60	0.2	2 μ 10	10
4J40	0.2	j370	10
J36+XE60	0.4	4j90	10
TAU16I+XE30	2.0	j65+xE70	20
MU10+EM11I	0.1	τ 35i+xE45	5
Others	5.0	2 μ 6 for <i>B</i> -physics	10

10.9.6 Evolution to higher luminosities

Building on the experience gained during the start-up phase, the trigger algorithms and parameters will be optimised to provide a trigger selection for use at higher luminosities. As the LHC luminosity ramps up towards its design value, tighter selections will be needed to control the rate. These will include using complex signatures involving multiple observables, higher p_T -thresholds, tighter selection criteria and requiring a more precise matching between different detector systems.

The trigger reconstruction and selection software must be robust against higher detector occupancies, pile-up and cavern backgrounds, which may affect the performance significantly at luminosities above $10^{33} \text{ cm}^{-2} \text{ s}^{-1}$. Many studies have been made to assess the performance of the trigger and data-acquisition system at high luminosities. Table 10.9 [237] shows an illustrative sample of L1 and HLT signatures, which could be used under stable operating conditions at luminosities around $2 \times 10^{33} \text{ cm}^{-2} \text{ s}^{-1}$.

The triggers should guarantee coverage of the full physics programme, including searches for new physics and precision measurements of Standard Model parameters. The signatures include single- and di-lepton, photon and jet triggers, similar to those used at $10^{31} \text{ cm}^{-2} \text{ s}^{-1}$, but with higher p_T -thresholds and tighter selection criteria. Requirements on lepton and photon isolation, large E_T^{miss} , and possibly other complex criteria such as flavour tagging, which will have been operated only in a passive or loose mode during the start-up phase, will surely play an important role to achieve a sufficient rate reduction.

As an example, one can consider the case of *b*-jet tagging at the HLT. The performance of the proposed HLT *b*-tagging algorithms is based on transverse and longitudinal impact parameters of charged tracks in jets. The L2 and EF *b*-tagging efficiencies are strongly correlated with the offline *b*-tagging efficiency. To preserve full acceptance for an offline analysis with its *b*-tagging

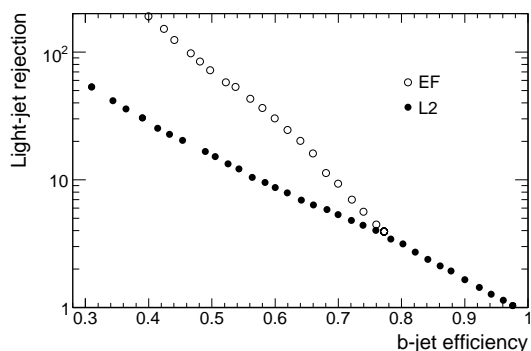


Figure 10.119: Light-jet rejection factor as a function of b -jet efficiency at L2 and EF.

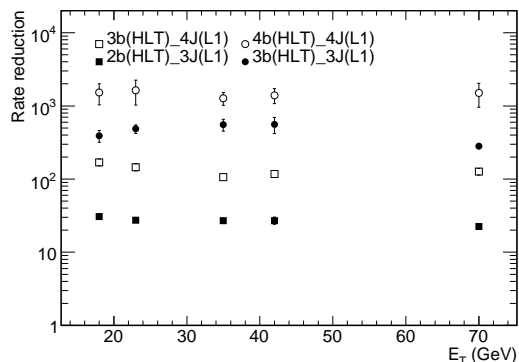


Figure 10.120: Rate reduction after L2 and EF as a function of E_T , using b -jet signatures with an efficiency of 70% per b -jet. Specific examples are shown, e.g. $3b(HLT) 4J(L1)$ which uses the combination of four jets at L1 and of three b -jets at the HLT.

selection criteria set for a given offline b -jet efficiency, the L2 and EF b -tagging algorithms must operate at an efficiency which is higher. Since most of the offline b -tagging results are obtained for b -jet efficiencies of $\sim 60\%$, the results quoted here for b -tagging in the HLT are given for b -jet efficiencies of approximately 80% for L2 and 70% for EF. Figure 10.119 shows that light-jet rejection factors larger than ten can be achieved, both at L2 and EF for a b -jet efficiency of 70% and b -jet tagging could thus allow a more flexible operation of the L1 multi-jet trigger menus. To illustrate this, the rate reduction which could be achieved at L2 or EF by requesting two or more b -jets, is shown as a function of E_T in figure 10.120.

Far more accurate projections of the rates given in table 10.9 will become possible once real data from the start-up phase have been accumulated and analysed. The total output rate of the trigger system at luminosities above $10^{33} \text{ cm}^{-2} \text{ s}^{-1}$ should remain fixed at approximately 200 Hz, a rate defined by the capabilities of the offline computing system.

10.9.7 Measurements of trigger efficiency from data

Since the trigger efficiency represents a basic element of any physics analysis, it is essential to have several independent methods for estimating it. It is important to depend as little as possible on Monte-Carlo models of LHC physics and on the detector operating conditions, particularly at the start-up of the LHC programme, given the large extrapolation from lower-energy measurements. Techniques under study include the "tag-and-probe" method, e.g. triggering events with the electron in $Z \rightarrow ee$ decays and measuring the efficiency to trigger on the positron in addition, and the "bootstrap" method, e.g. using minimum-bias events to measure the efficiency to trigger on low- p_T jets, then triggering on low- p_T jets and using them to measure the efficiency to trigger on higher- p_T jets, etc. Redundant selections can also be used, in which one or more of the steps in the selection are skipped, thereby providing the possibility of determining the corresponding contributions to the inefficiency.

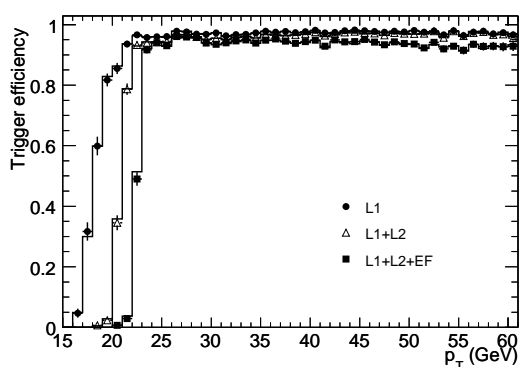


Figure 10.121: Trigger efficiencies as expected to be measured from data using the tag-and-probe method for electrons from approximately 25,000 $Z \rightarrow ee$ decays corresponding to an integrated luminosity of 100 pb^{-1} . The efficiencies are normalised with respect to a reference loose offline selection. The points with error bars show the measured efficiencies after L1 (full circles), L2 (open triangles) and the EF (full squares). Also shown as histograms are the corresponding distributions obtained using as a reference the Monte-Carlo truth information.

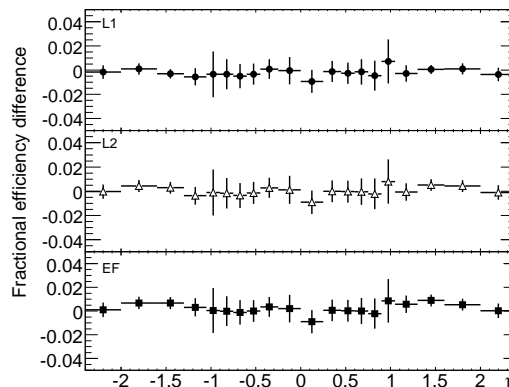


Figure 10.122: Difference between trigger efficiency as expected to be measured from data (using the tag-and-probe method for muons from $Z \rightarrow \mu\mu$ decays) and true efficiency (obtained using as a reference the Monte-Carlo truth information) normalised to true efficiency as a function of η . The efficiencies are normalised with respect to a reference loose offline selection. The results are shown after L1 (top), L2 (middle) and EF (bottom), and correspond to a sample of approximately 50,000 $Z \rightarrow \mu\mu$ decays for an integrated luminosity of 100 pb^{-1} .

As an example, studies have been made for the $Z \rightarrow ee$ tag-and-probe method, using events satisfying the e22i single-electron trigger selection, in which an opposite-charge electron pair has been identified by the offline reconstruction with an invariant mass near the Z peak. Using the second lepton in these events as the probe which was not required to pass any trigger selection, the efficiency (relative to the offline selection) of a given trigger signature can be measured. Figure 10.121 shows the efficiency of the e22i trigger as a function of p_T of the electron, as measured without any reference to Monte-Carlo truth information in the simulated sample of $Z \rightarrow ee$ events. The shape of the trigger-threshold curves in figure 10.121, obtained using as a reference the Monte-Carlo truth information, are accurately reproduced by the tag-and-probe measurements, and the values agree to better than 1% on the plateau for a sample of $Z \rightarrow ee$ decays corresponding to an integrated luminosity of 100 pb^{-1} . It is estimated that with such an integrated luminosity, the e22i trigger efficiency can be evaluated with a statistical accuracy of approximately 0.2%. Obviously, more data will be needed to study the trigger efficiency with much higher granularity, in particular as a function of η and ϕ . An example of such a study is shown in figure 10.122 for a sample of reconstructed $Z \rightarrow \mu\mu$ decays also corresponding to an integrated luminosity of 100 pb^{-1} . The results are plotted as the relative difference between the trigger efficiency measured using the tag-and-probe method and the true trigger efficiency as obtained from the Monte-Carlo truth information. The statistical accuracy achieved per bin is at the percent level.

A similar method can be used to measure the efficiency for triggering on hadronic τ -decays, which can be measured using $Z \rightarrow \tau\tau$ samples collected with single electron and muon triggers (as shown in table 10.7), where one of the τ -leptons decayed leptonically. In events where the second τ -lepton decays to hadrons, one can measure the fraction of τ -leptons reconstructed offline, which also pass the τ -trigger. This will be done by correlating the detailed information recorded from the trigger with the results of the offline reconstruction and will require more integrated luminosity than in the case of the electron and muon triggers.

Chapter 11

Outlook

The broad range of physics opportunities and the demanding experimental environment of high-luminosity 14 TeV proton-proton collisions have led to unprecedented performance requirements and hence technological challenges for the general-purpose detectors at the LHC. The overall ATLAS detector design is the result of a complex optimisation process between conflicting requirements. These requirements can be expressed tersely as a set of four basic criteria over a large acceptance in pseudorapidity and basically full azimuthal coverage for all of the major detector systems (see chapter 1 for details):

- very good electromagnetic calorimetry for electron and photon identification and measurements, complemented by full-coverage hadronic calorimetry for accurate jet and E_T^{miss} measurements;
- high-precision muon momentum measurements with the capability to guarantee accurate measurements at the highest luminosity using the muon spectrometer alone;
- efficient tracking at high luminosity for high- p_T lepton momentum measurements, electron and photon identification, τ -lepton and heavy-flavour identification, and full event reconstruction capability;
- efficient triggering with low p_T -thresholds on electrons, photons, muons and τ -leptons, thereby providing high data-taking efficiencies for most physics processes of interest at the LHC.

After approximately fifteen years of detector design, construction, integration and installation, the ATLAS detector is now completed and almost entirely installed in the cavern (see chapter 9). All detector teams, together with the ATLAS performance and physics working groups, have developed detailed commissioning strategies using cosmic rays, single-beams, and initial data with colliding beams. As more and more detector components become operational, detector calibrations and extensive stand-alone and combined studies with cosmic-ray events are being carried out. These commissioning periods also exercise the full data acquisition chain, including the online and offline data-quality assessment tools and the streaming of events into several physics streams based

on the trigger decision. During the spring of 2008, calibration tests and cosmic-ray data-taking are ramping up, while the few remaining components of the detector are being installed and commissioned. The ATLAS detector will be ready for the first LHC collisions in summer 2008.

11.1 Detector installation and hardware status

The status of the ATLAS detector systems at the time of final submission of this paper in April 2008 is summarised below and in table 11.1.

As described in chapter 2, the superconducting magnet system comprises the central solenoid, the barrel toroid, two end-cap toroids, and their services. Both the central solenoid and the barrel toroid magnets have been successfully commissioned at full current, and their safety systems have been tested in situ. Their mechanical behaviour as well as the magnetic-field measurements have confirmed the design expectations (see section 2.2). The magnetic field in the inner-detector cavity has been carefully mapped and the residual fractional bending-power uncertainties are well within specifications, i.e. below 5×10^{-4} . In the muon spectrometer, a preliminary analysis of B-sensor readings in a quarter of the field volume yields systematic uncertainties a few times larger than the ultimate desired precision. The end-cap toroids have been tested successfully in stand-alone mode at 50% field. An extensive field-reconstruction campaign over the entire spectrometer volume will be carried out during the full ATLAS magnet-system test which is scheduled just before the start of LHC operation.

As described in chapter 4, the inner tracking detector combines three concentric detector systems, namely the pixel detector, the SCT and the TRT. Substantial parts of the integrated barrel and end-cap TRT and SCT systems as well as parts of the pixel detector have been successfully operated on the surface in cosmic-ray tests before these systems were installed in the cavern. The tests of the installed inner-detector components are ongoing in parallel with completing the connection of the inner-detector services (cables and pipes). The TRT and SCT systems are already operational in cosmic-ray runs. The completion of the pixel service connections and subsequent stand-alone and cosmic-ray testing will follow next.

As described in chapter 5, all three calorimeter cylinders, the barrel and the two end-caps, with the tile calorimeter surrounding the LAr cryostats, are installed in the cavern. The three cryostats are cold and filled with LAr. Now that all the calorimeter channels are part of the regular readout chain, the main activities are focused on the overall system commissioning.

As described in chapter 6, the muon spectrometer is instrumented with precision chambers for momentum measurements (MDT's and CSC's) and with fast chambers for triggering (RPC's and TGC's). The construction of the various types of chambers has been completed for the initial detector configuration. The installation of the barrel stations and of the small and big end-cap wheels has also been completed. In parallel with the completion of the installation of the end-wall chambers (MDT's) over the next months, the commissioning with cosmic rays is ongoing for both the barrel and end-cap regions, gradually increasing the number of sectors involved in these tests.

As described in chapter 8, the components of the L1 trigger, of the DAQ/HLT system, and of the detector control systems are in an advanced stage of installation. The L1 trigger system (with its calorimeter, muon and central trigger processor sub-systems) is in its final production and installation phase for both the hardware and the software. The calorimeter trigger installation is

Table 11.1: Hardware status summary of the major ATLAS detector systems. Depending on the installation and commissioning status, the results are based on measurements on the surface prior to installation and/or measurements after installation in the main cavern, as described in the last column.

Component	Operational readiness	Comments
Magnets	Residual RMS values between mapping and model	Solenoid and barrel toroid tested at nominal field. End-cap toroids tested at 50% field.
- Solenoid	~ 0.4 mT for all three field components.	Nominal field: 2T
- Barrel toroid	1–6 mT depending on position.	Preliminary analysis in one sector. Goal is 2 mT.
- End-cap toroids	Analysis in progress.	
Inner detector	Fraction of fully functional channels	Mechanical installation complete. In situ cabling almost complete.
- Pixel	99.7%	After final integration of system on surface.
- SCT	99.8%	After integration with TRT system on surface.
- TRT	98.4%	Measured in situ.
LAr calorimeters	Fraction of fully functional channels	Installed and operational. Electronics tuning ongoing.
- EM barrel/end-cap	99.98%	Tested cool on surface.
- HEC	99.91%	Tested cool on surface.
- FCAL	99.77%	Tested cool on surface.
Tile calorimeter	Fraction of fully functional channels	Installed and operational. Electronics/power supply tuning on-going.
- Barrel/extended barrel	99.2%	Measured in situ for part of detector.
Muon spectrometer	Fraction of fully functional channels	Installed except for some end-wall chambers.
- MDT	99.9%	Tested on surface and partly in situ.
- RPC	99.5%	Tested on surface and partly in situ.
- TGC	99.9%	Tested on surface and partly in situ.
- CSC	99.9%	Tested on surface.
Trigger and data acquisition	System used for cosmic-ray tests and performance verified in stand-alone and commissioning tests.	Readout system installed and operational. Trigger processing-power limited to 40 kHz L1 rate.

completed and the central trigger processor sub-system is in place and routinely used during detector commissioning runs. The readout system, the event builder, and the output to mass storage have been demonstrated in technical runs to deliver the required performance and data through-put rates. The HLT processing power, sufficient to handle a 40 kHz L1 acceptance rate, is planned to be installed for the run in 2008. The HLT algorithms have been successfully tested with physics events pre-loaded in the readout system, and also with cosmic-ray muons. Besides their own commissioning, these systems are used extensively and routinely for the commissioning of specific detector systems and of the overall ATLAS experiment.

The ATLAS control room is fully operational and heavily used. It has become the centre of one of the most prominent activities in the collaboration over the past months, namely periods of global commissioning runs during which, in particular, cosmic-ray events are recorded with the components of the detector already installed and operational in the cavern.

11.2 Outlook on commissioning with data

Chapter 10 summarises the expected performance of the ATLAS experiment. Many of the results are supported by test-beam measurements, in particular for the single-particle response of the detector elements to electrons, photons, pions and muons at various benchmark energies. Other results on the expected performance rely solely on the simulation of the detector geometry, of the detector response, and of the underlying physics processes. These include jets, E_T^{miss} , hadronic τ -decays, b -tagging and trigger performance. Most of these results, particularly the expected trigger rates, are subject to large uncertainties because of the hitherto unexplored energy range for QCD processes at the LHC. At the LHC design luminosity, simulation uncertainties affecting the estimated detector performance also arise from pile-up of p - p interactions (mostly in the triggered bunch-crossing), and from background in the ATLAS cavern consisting predominantly of slow neutrons (see chapter 3).

In all detector systems, calibration runs of various types are used to map noisy and dead channels. The tile calorimeter also performs dedicated laser and caesium-source calibration runs. These initial calibration data, combined with test-beam measurements performed over the past years, are critical to achieve a sufficient quality of the first collision data. The cosmic-ray data will provide important additional information for aligning the detectors relative to each other. As an example, these data will define an absolute geometry for most of the octants of the barrel muon spectrometer, and will be used as a reference for the alignment based on optical sensors. These data will also be used to define an initial alignment of the major components of the inner detector relative to each other. As shown in chapter 10, cosmic-ray data are considered as an important ingredient in the overall alignment strategy of the inner detector.

The combination of the results of the detector-specific calibration and commissioning runs with those from the analysis of future large-scale cosmic-ray data will define to a large extent the expected calibration and alignment accuracies for the major ATLAS detector components at the LHC start-up. These ATLAS start-up goals and the ultimate design goals of the experiment, in terms of tracking and calorimeter performance, are summarised in table 11.2.

At the start-up of the LHC, after timing-in the detector systems with the colliding LHC bunches and the trigger signals, minimum-bias triggers from scintillator counters will provide large event statistics for initial physics studies at luminosities of $10^{31} \text{ cm}^{-2} \text{ s}^{-1}$ or less. All the triggered events will be used to perform a thorough shake-down of the ATLAS detector systems, thereby refining and completing the dead, noisy and faulty channel maps. The large rates of rather high- p_T isolated tracks (leptons or pions) will be used to refine the inner-detector alignment. High- and low-threshold transition radiation hits from isolated electron and pion tracks will be compared to the expectations from simulation studies.

Minimum-bias events will help to monitor the azimuthal uniformity of the calorimeter response and, to a certain extent, the amount of material in the inner detector. In this initial phase,

Table 11.2: Expected calibration and alignment accuracies at the LHC start-up and the ultimate design goals. Examples of physics channels or measurements driving the requirements are indicated in the last column.

	Start-up of LHC	Ultimate goal	Physics goals
Electromagnetic energy uniformity	1–2%	0.5%	$H \rightarrow \gamma\gamma$
Electron energy scale	$\sim 2\%$	0.02%	W mass
Hadronic energy uniformity	2–3%	$< 1\%$	E_T^{miss}
Jet energy scale	$< 10\%$	1%	Top-quark mass
Inner-detector alignment	50–100 μm	$< 10 \mu\text{m}$	b -tagging
Muon-spectrometer alignment	$< 200 \mu\text{m}$	30 μm	$Z' \rightarrow \mu\mu$
Muon momentum scale	$\sim 1\%$	0.02%	W mass

it will also be crucial to validate the ATLAS calorimeter simulation by comparing shower shapes for isolated lepton and hadron tracks. The statistics corresponding to a few days of low-luminosity data-taking without toroid field should provide enough straight muon tracks to calibrate the muon optical alignment system to less than 100 μm . This will be improved to 30 μm at higher luminosity, which is required to take full benefit from the spatial resolution of 40 μm per muon chamber. These steps are all necessary to achieve the goal of measuring 1 TeV muon tracks with approximately 10% accuracy.

The commissioning of the overall trigger system will be a gradual process (see section 10.9.5). Simple inclusive L1 calorimeter and muon triggers will be included first, followed by more complex L1 triggers, involving for example E_T^{miss} . At the same time, the HLT system will begin to operate, initially in pass-through mode in order to test the algorithms, and later using the full power of the HLT. The data collected with the complete low-luminosity trigger menu will contain copious quantities of low-energy leptons from heavy quark decays and also from direct J/ψ and Υ production. The data will contain approximately $5 \times 10^5 W \rightarrow \mu\nu$ and $5 \times 10^4 Z \rightarrow \mu\mu$ decays reconstructed per 100 pb^{-1} of integrated luminosity (the expected rates are somewhat lower for electrons). The low-luminosity trigger menu will also provide abundant samples of high- p_T jets, of prompt photons, mainly from γ -jet events, and of hadronic τ -decays.

All these events will be crucial for an initial validation of the ATLAS performance. More specifically, the inner-detector material can be mapped with photon conversions to an accuracy of 1% X_0 with the statistics available after several months of data-taking. Inclusive electrons can be used to test bremsstrahlung recovery in the inner detector. The inner-detector alignment is expected to converge to the required accuracy of approximately 10 μm soon after the full detector commissioning has started, allowing the constant term in the tracking resolution to be kept below 20% of the overall resolution. Residual inner-detector misalignments can be studied with the use of resonances of known mass and lifetime using their decays to lepton pairs, with E/p comparisons for well-measured electrons in the electromagnetic calorimeter, and with high- p_T muons in combined track fits with the muon spectrometer.

A preliminary electromagnetic inter-calibration can be obtained at low luminosity using the azimuthal symmetry of inclusive isolated electrons from various sources. The next phase of the electromagnetic inter-calibration will use $Z \rightarrow ee$ events. If the inner-detector material is well understood at that point, data corresponding to an integrated luminosity of 100 pb^{-1} would be sufficient to significantly improve the expected initial uniformity of 1–2% to a statistical precision of approximately 0.7%. Further improvements will require the use of E/p distributions from inclusive electrons and/or $W \rightarrow e\nu$ decays.

Jet calibration will use E_T -balancing in di-jet, γ -jet and also Z -jet events. The latter two channels will be important to determine the global jet-energy scale with an expected precision of better than 5% after a few months of data taking. The expected number of ~ 500 fully reconstructed $t\bar{t}$ events for 100 pb^{-1} with one W decaying hadronically and the other one leptonically, will allow a calibration of the jet-energy scale using invariant mass fits to $W \rightarrow jj$ decays.

The most widely studied method to measure with data the performance of b -tagging algorithms at the LHC relies on the selection of $t\bar{t}$ events. However, recent developments show that the techniques extensively used by the Tevatron experiments, combining track-based and soft-muon b -tagging algorithms in di-jet events, could also be used at the LHC. Once large-statistics samples of $t\bar{t}$ events become available, b -jet samples with very high purity will be extracted and used to calibrate, for example, the b -tagging likelihoods directly, thereby reducing the reliance on Monte-Carlo simulation.

One of the most difficult detector observables to measure accurately is E_T^{miss} . Because it is sensitive to many new physics signatures, the tails of its distribution must be precisely calibrated with data before E_T^{miss} measurements can be used for discrimination and especially reconstruction purposes. A reliable measurement of E_T^{miss} requires the removal from the data sample of beam-halo muons, beam-gas collisions, cavern background and cosmic rays. Moreover, all calorimeter cells must be calibrated (for both electromagnetic and hadronic showers), and noise levels and deficient cells must be mapped and corrected for. Initial data-driven E_T^{miss} studies will use minimum-bias and di-jet events, analysing the missing E_T resolution as a function of the summed transverse energy. With larger statistics, the use of $W \rightarrow l\nu$ decays, of mass-constrained $t\bar{t}$ events and of $Z \rightarrow \tau\tau$ decays should lead to a calibration of the E_T^{miss} -scale to about 5%.

Initial physics measurements will primarily focus on Standard Model processes with high cross-sections. The most prominent among these will be the production of hadronic jets, of W and Z bosons, and also of $b\bar{b}$ and $t\bar{t}$ pairs. Analyses aiming at searches for new phenomena will first concentrate on the understanding of the detector performance and on these Standard Model processes. The ATLAS performance and physics working groups will exploit to the full the rich variety of known physics processes at the LHC to calibrate the analysis tools and thus to prepare for the exciting searches for new physics, which have been the driving motivation of large numbers of physicists during the many years of work which have brought the collaboration this far.

11.3 Future changes to the ATLAS detector system

As the luminosity of the LHC machine reaches its design value of $10^{34} \text{ cm}^{-2} \text{ s}^{-1}$, the detector parts which have been staged due to budgetary constraints need to be completed. The main items falling into this category are a significant part of the HLT processing farm, some parts of the muon spec-

trometer including in particular the monitored drift-tube chambers in the transition region between the barrel and end-cap toroids, and also some of the shielding elements in the forward region. During this phase, the performance of the ATLAS detector will be continuously evaluated and optimised, in particular as physics samples are used to further study and improve the calibration and alignment procedures. Pile-up effects will also need to be understood and dealt with as the luminosity increases.

After reaching design luminosity, the challenge will be to operate and optimise the ATLAS detector, its multi-faceted trigger system and the various physics analyses over several years of data-taking. The detector parts are generally designed for ten years of operation (conservatively estimated to correspond to an integrated luminosity of up to 700 fb^{-1}). The most critical element is the innermost layer of the pixel detector or vertexing layer, which is located at a radial distance of only 5 cm from the beam-pipe. This layer is designed to survive a 1 MeV neutron equivalent fluence of approximately 10^{15} cm^{-2} , which corresponds to less than half the integrated luminosity mentioned above. Changes in the pixel system may therefore be needed earlier than for other parts of the detector.

If the LHC luminosity were to be increased significantly beyond the current estimates, as suggested in some studies for the LHC machine upgrade on a time-scale not earlier than 2015, several detector components are likely to need substantial changes. In particular, the inner-detector system would need to be completely replaced, and certain calorimeter, muon and shielding elements in the forward directions would also require significant changes and improvements. Research and development work has started in earnest within the collaboration in several of the areas mentioned above. However, a decision about the necessity, scope and time-scale of such an upgrade can only be made after a few years of LHC and detector operation, considering both the physics results and the performance of the machine and the status of the experiments at that point.

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The ATLAS detector design and construction has taken about fifteen years, and our thoughts are with all our colleagues who sadly could not see its final realisation.

Annex

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ATLAS acronym list

ADC	Analogue-to-Digital Converter
ALFA	Absolute Luminosity for ATLAS
ASD	Amplifier/Shaper/Discriminator
ASDBLR	Amplifier/Shaper/Discriminator/BaseLine Restoration
ASIC	Application-Specific Integrated Circuit
ASM	Amplification, Sampling, (digitization) and Multiplexing
ATLAS	A Toroidal LHC ApparatuS
BC	Bunch Crossing
BCID	Bunch-Crossing IDentification
BCM	Beam Conditions Monitor
BC-mux	Bunch-Crossing MUltipleXing
BEE	Barrel End-cap Extra
BIL	Barrel Inner Large
BIR	Barrel Inner Rail
BIS	Barrel Inner Small
BM	Barrel Middle
BML	Barrel Middle Large
BMS	Barrel Middle Small
BO	Barrel Outer
BOC	Back Of Crate
BOL	Barrel Outer Large
BOS	Barrel Outer Small
BPM	BiPhase Mark
BT	Barrel Toroid
CANbus	Controller Area Network bus
CALbus	Calibration bus
CDD	CERN Drawing Directory
CERN	European Organization for Nuclear Research
CFS	Central File Server
CIC	Common Infrastructure Control
CIS	Charge Injection System
CMA	Coincidence Matrix chip
CM	Coincidence Matrix

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CMM	Common Merger Module
CMOS	Complementary Metal-Oxide Semiconductor
COMbus	Common Timing and Trigger Bus
COOL	ATLAS-wide conditions database
CP	Cluster Processor
CPM	Cluster Processor Module
CRC	Cyclic Redundancy Check
CSC	Cathode Strip Chambers
CS	Central Solenoid
CSM	Chamber Service Module
CTP	Central Trigger Processor
DAC	Digital-to-Analogue Converter
DAQ	Data AcQuision system
DCS	Detector Control System
DFM	Data Flow Manager
DMILL	Durci Mixte sur Isolant Logico-Lineaire (a radiation-hard ASIC technology)
DORIC	Digital Opto-Receiver Integrated Circuit
DSP	Digital Signal Processors
DSS	Detector Safety System
EB	Extended Barrel
EC	End-Cap
ECT	End-Cap Toroid
EDMS	Engineering Data Management System
EEL	End-cap Extra Large
EES	End-cap Extra Small
EF	Event Filter
EI	End-cap Inner wheel
EIL	End-cap Inner Large
EIS	End-cap Inner Small
ELMB	Embedded Local Monitor Board
EMB	ElectroMagnetic Barrel calorimeter
EMD	Equipment Management Database
EMEC	ElectroMagnetic End-cap Calorimeter
EM	ElectroMagnetic
EM	End-cap Middle wheel
EML	End-cap Middle Large
EMS	End-cap Middle Small
ENC	Equivalent Noise Charge
EOL	End-cap Outer Large
EOS	End-cap Outer Small
EST	ElectroStatic Transformer
FADC	Flash ADC
FCal	Forward Calorimeter

FEB	Front-End Board
FECcont	Front-End Crate controller board
FEC	Front-End Crate
FE	Front-End
FIFO	First-In/First-Out
FI	Forward Inner wheel
fLVPS	finger Low Voltage Power Supply
FPGA	Field-Programmable Gate Array
FPIAA	Find Persons Inside ATLAS Area
GSEL	Gain-SElector chip
GCS	Global Control Stations
HAD	HADron calorimeter
HEC	Hadronic End-cap Calorimeter
HF	Steel structures below access shafts
HLT	High-Level Trigger
HO	Blue support structure on ends of ATLAS cavern
HS	Blue support structure on sides of ATLAS cavern
HV	High Voltage
HVPS	High Voltage Power Supply
IC	Integrated Circuit
ID	Inner Detector
IS	Information Server
ITC	Inter TileCal scintillators
IWV	Inner Warm Vessel
JEM	Jet/Energy Module
JEP	Jet/Energy-sum Processor
JTAG	Joint Task Action Group
L1A	Level-1 Accept
L1Calo	Level-1 Calorimeter trigger
L1	Level-1 trigger
L2	Level-2 trigger
L2PU	Level-2 Processing Unit
L2SV	Level-2 SuperVisor
LAr	Liquid Argon
LB	Long barrel
LCS	Local Control Stations
LFS	Local File Server
LHC	Large Hadron Collider
LUCID	LUMinosity measurement using Cerenkov Integrating Detector
LVDS	Low-Voltage Differential Signalling
LV	Low Voltage
LVPS	Low Voltage Power Supply
MCC	Module Control Chip

MDT	Monitored Drift Tubes
MIP	Minimum Ionising Particle
MRS	Message Reporting Service
MTF	Manufacturing and Test Folder database
MUCTPI	MUon-to-Central-Trigger-Processor-Interface
NEF	Neutron Equivalent Fluence
NEG	Non-Evaporable Getter
NMR	Nuclear Magnetic Resonance
NRZ	Non Return to Zero
ODH	Oxygen Deficiency Hazard
OFC	Optimal Filtering Coefficients
OF	Optimal Filtering
OHS	Online Histogramming Service
OMB	Optical Multiplexer Board
OTx	Optical Transmitter
PEEK	PolyEther-Ether-Ketone
PITbus	Pattern In Time Bus
PL	Pad Logic
PMT	PhotoMultiplier Tube
PP	Patch Panel
PPM	Pre-Processor Module
PPr	Pre-Processor
PS	Presampler
PST	Pixel Support Tube
PU	Processor Unit
PVSS	Prozessvisualisierungs und Steuerungs System
QSP	Quarter Service Panel
QUAD	QUADrupole
ROBIN	ReadOut Buffer Module
ROB	ReadOut Buffer
ROC	ReadOut Crate
ROD	ReadOut Driver
RoIB	Region-of-Interest Builder
RoI	Region-of -Interest
ROL	ReadOut Link
ROS	ReadOut System
RPC	Resistive Plate Chambers
SCA	Switched Capacitor Array
SCS	Sub-detector Control Stations
SCT	SemiConductor Tracker
SEU	Single Event Upset
SFI	Event building node
SFO	Event filter output node

SIC	System Interlock Card
SLB	SLave Board
SL	Sector Logic
SLC	Scientific Linux CERN
SPAC	Serial Protocol for Atlas Calorimeters
SSW	Star SWitch
TAN	Target Absorber Neutral
TAS	Target Absorber Secondaries
TBB	Tower Builder Board
TBM	Trigger and Busy Module
TC	Technical Coordination
TDAQ	Trigger and Data AcQuision
TDB	Tower Driver Board
TDC	Time-to-Digital Converter
TDR	Technical Design Report
TGC	Thin Gap Chambers
TileCal	Tile Calorimeter
TLA	Three Letter Acronym
TMB	Technical Management Board
ToT	Time over Threshold
TPG	Thermal Pyrolitic Graphite
TR	Transition Radiation
TRT	Transition Radiation Tracker
TTCR	Trigger, Timing, and Control Receiver
TTCrx	Trigger, Timing, and Control Receiver chip
TTC	Timing, Trigger, and Control
TTCvi	Timing, Trigger, and Control VME interface module
TVS	Transient Voltage Suppressor
USA	Underground Service Area
VA	Vacuum Argon end-cap
VCEL	Vertical Cavity Surface-Emitting Laser Diode
VDC	VCSEL Driver Chip
VI	Vacuum Inner detector
VJ	Vacuum forward shielding
VME	Versa Module Eurocard
VMEbus	Versa Module Euro bus
VT	Vacuum Toroid end-cap
WLS	WaveLength Shifting
ZDC	Zero Degree Calorimeter

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