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1 Motor complications in Parkinson's disease: results from 3,343 patients followed for

2 up to **12** years

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33 Motor complications in Parkinson's disease: results from 3,343 patients followed for

34 up to 12 years

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Background: Motor complications are well recognised in Parkinson's disease (PD), but
 their reported prevalence varies and functional impact has not been well studied.

38

Objectives: To quantify the presence, severity, impact and associated factors for motor
 complications in PD.

41

Methods: Analysis of 3 large prospective cohort studies of recent-onset PD patients
followed for up to 12 years. The MDS-UPDRS part 4 assessed motor complications and
multivariable logistic regression tested for associations. Genetic risk score (GRS) for
Parkinson's was calculated from 79 single nucleotide polymorphisms.

46

47 Results: 3,343 cases were included (64.7% male). Off periods affected 35.0% (95% CI 48 33.0, 37.0) at 4-6 years and 59.0% (55.6, 62.3) at 8-10 years. Dyskinesia affected 18.5% 49 (95% CI 16.9, 20.2) at 4-6 years and 42.1% (38.7, 45.5) at 8-10 years. Dystonia affected 50 13.4% (12.1, 14.9) at 4-6 years and 22.8% (20.1, 25.9) at 8-10 years. Off periods 51 consistently caused greater functional impact than dyskinesia. Motor complications were 52 more common among those with higher drug doses, younger age at diagnosis, female gender, and greater dopaminergic responsiveness (in challenge tests), with associations 53 54 emerging 2 to 4 years post-diagnosis. Higher Parkinson's GRS was associated with early 55 dyskinesia ( $0.026 \le P \le 0.050$  from 2 to 6 years).

56

57 Conclusions: Off periods are more common and cause greater functional impairment
 58 than dyskinesia. We confirm previously reported associations between motor

- 59 complications with several demographic and medication factors. Greater dopaminergic
- 60 responsiveness and a higher genetic risk score are two novel and significant independent
- 61 risk factors for the development of motor complications.

62 Motor complications are common in Parkinson's disease (PD), but their reported prevalence varies. Early studies reported more dyskinesia (49-88% after 5 years) 63 than wearing off (41-80% after 5 years) as reviewed by Nutt<sup>1</sup>. More recent studies 64 65 found dyskinesia and off periods to be less common, probably due to the lower Ldopa doses prescribed<sup>2</sup>. A range of 21 to 54% for off periods and 15 to 28% for 66 dyskinesia has been reported previously at 4 to 6 years of disease<sup>3-6</sup>. In the largest 67 68 recent study, off periods affected 34.7% while dyskinesia affected 25.3% of 734 patients followed for up to 10 years<sup>7</sup>. In most of the recent studies, the higher 69 70 prevalence of dyskinesia over off periods seen in earlier studies has therefore been 71 reversed. However, the functional impact of motor complications is much less well 72 studied than their prevalence. This is important since a large proportion of dyskinesia 73 is non-troublesome to patients<sup>8</sup>. Dystonia has not been assessed in previous major studies evaluating motor complications<sup>3-5, 7, 9</sup> and its predictive factors are therefore 74 75 not yet defined.

76

77 The present study uses data from three large prospective cohorts to examine the 78 prevalence of motor complications, evaluate their functional impact and describe 79 their associated demographic and clinical factors over the natural history of PD. We 80 define these features as seen in the current clinical management of PD, updating 81 and extending the size and scope of previous work<sup>7</sup>, as well as increasing the 82 precision of previous prevalence estimates. In addition to the known range of risk factors for motor complications<sup>5, 7, 9-13</sup>, we have analyzed detailed data on dopa 83 84 responsiveness as well as the PD genetic risk score (GRS) and the rate of change in 85 motor severity.

#### 86 Methods

87

3,453 patients were recruited between 2010 and 2014 to one of three cohort studies: 88 89 the Tracking Parkinson's (n = 1,987) and Oxford Discovery (n = 1,043) studies in the 90 UK; and the Parkinson's Progression Markers Initiative (PPMI) study in the United 91 States (US), Europe, UK, and Australia (n = 423). Patients were recruited up to 3.5 92 years after diagnosis in the Tracking Parkinson's and Oxford Discovery studies, and 93 up to 2 years after diagnosis in PPMI. 110 patients (Tracking Parkinson's 34; Oxford 94 Discovery 70; PPMI 6), 3.2%, were excluded from further analysis due to a 95 subsequent change in diagnosis. 3.343 patients were therefore included in the 96 analysis (*Tracking Parkinson's* n = 1,953; *Oxford Discovery* n = 973; *PPMI* n = 417). 97 Cases were prospectively followed for a maximum of 12 years. Comprehensive clinician and patient scoring assessments were performed in each cohort<sup>14-16</sup>. For 98 99 the present study, the disease duration since diagnosis was divided into successive 100 2-year time bands, and clinical observations made closest to the midpoint of each 101 time band were collated from the three cohorts. If participants were recruited 102 between 2 to 4 years disease rather than 0 to 2 years, their baseline assessments 103 were included in the 2 to 4 year time interval. Heterogeneity between the three 104 cohorts was checked for by visual inspection.

105

The MDS-UPDRS part 4 grades both the time spent with dyskinesia and motor
fluctuations, and the resulting functional impact. The complexity of motor fluctuations
describes their predictability. For dystonia the proportion of time spent with painful
dystonia out of the total time in an off state is rated<sup>17</sup>.

The motor response (%) to a standard morning dose of L-dopa in patients prescribed
L-dopa (*Tracking* and *Discovery*), or to a standard morning dose of a dopamine
agonist and/or L-dopa (*PPMI*) was calculated from MDS-UPDRS part 3 scores at
around three years after diagnosis. Dopaminergic therapy was omitted for at least 6
hours for standard-release preparations (or 12 hours for sustained-release
preparations), and dopaminergic responsiveness was determined by the following
formula: (off-score – on-score)/off-score x 100.

117

118 Covariates of interest were identified and selected based upon previous studies<sup>5, 7, 9-</sup> 119 <sup>13</sup> and included: age at diagnosis, sex/gender, body mass index (BMI), education 120 exceeding 12 years, the presence of depression and / or anxiety, MDS-UPDRS part 121 1-3 scores, including the tremor subscore, motor progression over time on the 122 MDS-UPDRS part 3, cognition based on the Montreal Cognitive Assessment (MoCA) 123 adjusted for education, Hoehn and Yahr disease severity, total levodopa equivalent 124 daily dose (LEDD), the Parkinson's GRS, and dopaminergic responsiveness. 125 Depression and anxiety were defined by a score > 1 on questions 1.3 and 1.4 on 126 part 1 of the MDS-UPDRS, respectively. All covariates were assessed at baseline and during each consecutive 2-year time interval over the 12 years of follow-up. 127 128

If education values were missing, unadjusted MoCA scores were used as a modal (or median) imputation as most cases without missing education values had attained higher education. Missing items in the MDS-UPDRS parts 1, 2 and 3 were imputed using the average score of the available items for each scale at each visit. For MDS-UPDRS parts 1, 2 and the tremor subscore of the MDS-UPDRS part 3, imputation has been performed only if a single item was missing. For the MDS-UPDRS part 3,

imputation was performed for up to three missing items<sup>18</sup>. If more items were
missing, no total scores were derived. Missing values for BMI were imputed by
temporal linear interpolation if both an earlier and a later score were available for a
subject, or else by carrying the last observation forward or first observation
backward. Motor progression was estimated as the random slopes of a longitudinal
multilevel model with random slope and intercept model for the MDS-UPDRS 3 total
scores.

142

143 A genetic risk score for Parkinson's was calculated using 90 genome wide significant "hits" (p < 5x10e-8) from a large genome wide association study<sup>19</sup>. We obtained the 144 145 beta coefficients from this study and multiplied them by the corresponding number of 146 effect alleles for single-nucleotide polymorphisms (SNPs) in our three cohorts. 147 Palindromic SNPs with minor-allele frequencies > 0.45 were excluded. This GRS provides an estimate of the contribution of genetics to developing PD<sup>20</sup>. To ensure 148 149 consistency of the GRS across the cohorts, only the 79 relevant SNPs which were 150 available (and non-palindromic) for all three cohorts were used.

151

To identify associations between the prevalence of motor complications and the 152 153 covariates of interest, uni- and multivariable logistic regression was performed. 154 Model coefficients were estimated separately and independently for each two-year interval, taking into account that associations may vary over time. The 0-2 year 155 156 interval was excluded as motor complications were too rare to estimate reliable 157 coefficients. Univariable models were fitted for each covariate of interest. Covariates with P < 0.1 in the univariable analyses for at least two time intervals were included 158 159 in the multivariable models for the respective motor complication. Multivariable

160 models were fitted on complete cases, i.e., all cases with no missing data for 161 outcome or any of the model covariates after imputation. Lasso logistic regression 162 was performed as a sensitivity analysis using the R package glmnet<sup>21</sup> with an 163 internal 10-fold cross validation to obtain a suitable penalty term (lambda) for each 164 model. Cases were allocated to one of five quintiles (q1 low to q5 high) based upon 165 the probability of each motor complication as estimated by lasso regression model. 166

Dopaminergic responsiveness was excluded from the primary multivariable models 167 168 outlined above, as challenge tests were performed in only 54.6% of the subjects 169 included in this analysis (Tracking Parkinson's 1229 out of 1953; Oxford Discovery 170 283 out of 973; PPMI 313 out of 417; Total: 1825 out of 3343). Associations between 171 dopaminergic responsiveness and motor complications were studied by fitting 172 secondary bivariable logistic models using the observed motor complication as the 173 outcome with dopaminergic responsiveness and predicted log odds of each 174 respective motor complication as estimated from the primary multivariable model as explanatory covariates. 175

176

To test if associations between motor complications and our covariates of interest differ between male and female or younger and older subjects, supplementary multivariable models including first-order interaction terms were fitted. These models were fitted using an identical set of covariates as the corresponding primary multivariable models plus one first-order interaction term each. Interactions between age at diagnosis and all other covariates (e.g., LEDD x age at diagnosis) as well as gender and all other covariates (e.g., LEDD x gender) have been explored.

184

- 185 Data preparation was carried out in STATA<sup>22</sup>. Statistical analysis and modeling has
- 186 been conducted in R<sup>23</sup>.

187 **Results** 

188

Of the 3,343 patients, 64.7% were male and the mean age at diagnosis across the 3 cohorts was 65.6 years (SD 9.6 years). 19.9% of patients were drug-naïve at 0-2 years and 4.5% at 2-4 years. The demographic and clinical variables are detailed in Table 1.

193

194 Tests for heterogeneity revealed some minor discrepancies in the demographic and 195 clinical features between the three cohorts, but they were broadly homogenous 196 (Supplementary Figures 1-4). In comparison to the *Tracking Parkinson's* and *Oxford* 197 Discovery cohorts, PPMI cases were generally younger, with higher MoCA scores, 198 less progression on the MDS-UPDRS part 3, and more frequent use of amantadine 199 (Supplementary Figures 2, 3 and 4). The Tracking Parkinson's cohort had higher 200 rates of anxiety and depression, and a greater proportion of cases with a Hoehn and 201 Yahr score of 3 (Supplementary Figure 4).

202

203 Motor complications

Off periods were the most frequent complication followed by dyskinesia and dystonia across 10 to 12 years follow-up. Off periods affected 35.0% (95% CI 33.0, 37.0) at 4 to 6 years and 59.0% (55.6, 62.3) at 8 to 10 years, while dyskinesia affected 18.5% (16.9, 20.2) at 4 to 6 years and 42.1% (38.7, 45.5) at 8 to 10 years (Figures 1 and 2). 13.4% (12.1, 14.9) had painful off-state dystonia at 4 to 6 years, compared with 22.8% (20.1, 25.9) at 8 to 10 years. Off periods generally emerged as the first motor complication, with the subsequent development of dyskinesia. Dyskinesia was more

common in patients with off periods, but was also present in patients without offperiods (Supplementary Tables 1 and 2).

213

Off periods and dyskinesia were mostly graded as 'slight to mild' throughout. Off periods caused greater functional impact than dyskinesia (Figures 1b and 2), and the impact was more likely to be graded as moderate-to-severe for off periods than dyskinesia (Figures 1c and 2). Motor fluctuations were complex in 33.5% (31.6, 35.5) at 4-6 years and 55.7% (52.3, 59.0) at 8-10 years (Figure 2e).

219

### 220 Associated demographic and clinical factors

221 In the univariable modelling, 12 factors for off periods, 14 factors for dyskinesia and 222 8 factors for off-state dystonia had a P value < 0.05 for one or more time duration. In 223 the multivariable model, 9 factors for off periods, 11 factors for dyskinesia, and 7 224 factors for off-state dystonia had a P value < 0.05 for one or more time duration 225 (Figure 3, Supplementary Tables 3 – 5, Supplementary Figure 5). Higher LEDD at 226 assessment was associated with all 3 motor complications throughout, while younger 227 age was associated with all 3 motor complications except for off periods and dyskinesia at 10-12 years disease duration (Figures 3 and 4). Given the loss of 228 229 power indicated by the wider 95% confidence intervals at 10 to 12 years, it is likely 230 that younger age would be associated with off periods and dyskinesia as a larger 231 number of subjects reach this temporal milestone.

232

Dopaminergic responsiveness was significant for multiple time durations for each
 motor complication (Figure 5). Additional factors significantly associated with each of
 the 3 motor complications for at least one time duration were female gender and

higher MDS-UPDRS parts 1 and 2 scores (Figure 3, Supplementary Figure 5). For
off periods, additional significantly associated factors included higher education,
presence of anxiety, and a lower tremor score. For dyskinesia, additional significantly
associated factors were higher GRS, lower BMI, lower tremor score, slower motor
progression, and Hoehn and Yahr stage of 3 or greater. For off-state dystonia,
Hoehn and Yahr stage of 3 or greater was the only additional significantly associated
factor (Supplementary Figure 5).

243

244 The significant associations emerged as early as 2 to 4 years from diagnosis: for dyskinesia the factors included female gender (P < 0.001), younger age at diagnosis 245 246 (P = 0.018), a higher MDS-UPDRS part 2 score (P = 0.012), lower MDS-UPDRS part 247 3 tremor sub-score (P = 0.039), LEDD (P < 0.001) and the Parkinson's GRS (P = 248 0.026). For off periods the values were younger age at diagnosis (P < 0.001), higher 249 MDS-UPDRS part 1 (P = 0.045) and part 2 scores (P < 0.001) and LEDD (P < 250 0.001). For off-state dystonia the values were younger age at diagnosis (P < 0.001). female gender (P = 0.002), LEDD (P < 0.001), and higher MDS-UPDRS part 1 (P < 0.001). 251 252 0.001) and Hoehn and Yahr (P = 0.016) scores (Figure 3, Supplementary Figure 5). Certain temporally constant factors, such as age and gender, may be interpreted as 253 254 'risk factors' for the development of motor complications, in contrast to clinical scores 255 and LEDD, which change over time. In early disease, the motor complications odds 256 ratios associated with an 100mg/d increase in LEDD were higher than in late 257 disease. For off periods and dyskinesia this is unlikely to be due to chance as 258 confirmed by a linear meta regression of the logistic regression coefficients on the time band midpoints (off periods P = 0.030; dyskinesia P = 0.075; dystonia P = 0.48). 259

This finding might, however, be due to higher absolute doses in later disease or lossto follow-up (Table 1).

262

Testing for first-order interactions revealed a potential interaction of age at diagnosis and LEDD in their association with motor fluctuations (P < 0.02 for 3 out of 5 time bands) and dystonia (P < 0.08 in 4 out of 5 time bands) with a stronger association between LEDD and motor fluctuations and dystonia in subjects who are younger at diagnosis (Supplementary Table 6). All other age interaction terms were found to be consistent with chance. Factors associated with motor complications were found to be consistent between males and females (Supplementary Table 7).

270

271 There was a cumulative effect of having multiple risk factors for each of the motor 272 complications. Multiple associations found in the univariable models remained 273 significant in the multivariable models. The multivariable models allow a meaningful 274 distinction between subjects with low and high probability of motor complications 275 (Figure 6). In early disease (2-4 years) < 10% of the q1 to q4 patients experience 276 dyskinesia or off-state dystonia, while in q5 the prevalence of dyskinesia and offstate dystonia exceeds 20%. Throughout the 12 years the prevalence of off-state 277 278 dystonia remains < 20% in the 60% of subjects with the advantageous associated 279 demographic and clinical factors (q1 to q3), while it exceeds 50% at 10-12 years in those with the disadvantageous associated features (q5). While these results should 280 281 not be viewed as model validation, they do show the potential of predicting the risk of 282 future motor complications at a patient level.

283 Discussion

284

We have combined three large international multicenter cohort studies following 3,343 patients for up to 12 years to undertake the largest prospective study of motor complications in Parkinson's disease to date. This has enabled us to quantify the presence, severity and functional impact of motor complications and define their associated demographic and clinical factors with greater detail and precision than possible from prior smaller studies.

291

292 Presence

293 By around five years, just over one third of patients develop off periods and fewer 294 than one in five patients have dyskinesia. By around 9 years, 59.0% experience off 295 periods and 42.1% develop dyskinesia. The consistently higher prevalence of motor fluctuations over dyskinesia in our study reflects the known natural history of PD, in 296 297 which wearing off manifests as the first motor complication with the subsequent 298 evolution of dyskinesia<sup>1</sup> (Supplementary Tables 1 and 2). Our findings are similar to 299 the results of previous studies, in which rates of 40 to 54% for off periods, and 15 to 300 24% for dyskinesia were reported at 4 to 6 years<sup>3, 5, 6</sup>. In comparison to the PINE 301 study, however, we identified a higher prevalence of motor fluctuations (35% vs. 302 21.3%) and less dyskinesia (18.5% vs. 28.4%) at 4 to 6 years<sup>4</sup>, although the 95% CIs from PINE, a considerably smaller community-based PD cohort (183 vs. 3,343 303 PD patients) are consistent with our results. In an earlier interim analysis of the 304 305 Oxford Discovery cohort, off periods affected 34.7% and dyskinesia 25.3% of 734 patients followed for up to 10 years<sup>7</sup>. We additionally demonstrate that painful off-306

307 state dystonia affects 13.4% by around 5 years and 22.8% by around 9 years, while
 308 noting that dystonia is expressed as a proportion of off time.

309

310 Severity and impact

We show that when dyskinesia is present it has a longer duration than off time, but paradoxically the functional impact of off time is greater. This is consistent with prior reports of dyskinesia being largely 'non-disabling'<sup>5, 6</sup>, and with the earlier interim analysis of the Oxford Discovery study<sup>7</sup>.

315

316 Associated demographic and clinical factors

317 We confirm the significant independent relationship between the predisposing factors 318 of higher LEDD, younger age and female gender and the occurrence of dyskinesia and off periods, in keeping with other studies of a similar design<sup>4, 5, 7, 9-12</sup>. Our study 319 320 provides evidence that the strength of the association between each motor 321 complication and LEDD becomes weaker with increasing disease duration. This may 322 be attributed to relative differences in the absolute dose in early compared to late-323 stage disease or may reflect loss to follow-up during the later time periods. A significant novel finding is that painful off-state dystonia shares these 3 key factors. 324 325

The relationship between a higher LEDD and a greater probability of off periods in our study and others<sup>7, 9, 10, 13, 24</sup> might appear paradoxical, because dose increases are used to alleviate off periods in clinical practice. This may reflect the observation that patients with more dopa responsive disease are more likely to develop motor complications and therefore have incremental doses increases, whereas patients with lesser responsiveness are less likely to have up-titration of their dose. This

theory is substantiated by our prior observation that definite therapy responders have
 significantly higher LEDDs and improved MDS-UPDRS part 3 scores, in comparison
 to limited responders<sup>25</sup>.

335

A novel aspect of this analysis is the assessment of gender- and age-specific factors associated with motor complications. This may reflect genetic factors predisposing patients both to younger age at diagnosis and greater susceptibility to motor fluctuations and dystonia with a higher LEDD. Although female gender was associated with a greater likelihood of motor complications, this analysis did not reveal any gender-specific predictors of motor complications in females compared with males.

343

344 Across the 3 cohorts, a subset of cases underwent dopaminergic challenge tests. 345 Greater dopaminergic responsiveness by challenge test was significantly associated 346 with higher rates of dyskinesia, off periods and painful off-state dystonia. Whilst 347 previous reports have identified an association between dopaminergic 348 responsiveness (by challenge test) and motor complications, the challenge tests 349 were undertaken in significantly smaller patient cohorts<sup>26, 27</sup>. A clinician's subjective 350 assessment of treatment response may be adequate to grade this therapy response, 351 as an association with both dyskinesia and off time was found in cases with a strong L-dopa response based on case record review<sup>28</sup> and based on improvement scored 352 353 on a 7-point Likert scale<sup>7</sup>. We plan a separate report comparing subjective 354 interpretation of the dopaminergic response, and measured responses by challenge 355 test.

356

357 Our analysis identified a significant association between the Parkinson's genetic risk 358 score and dyskinesia ( $0.026 \le P \le 0.50$  across all 2-year time intervals), but not with off periods ( $0.095 \le P \le 0.95$ ) or dystonia. Whilst this corroborates an earlier analysis 359 identifying an association between a higher GRS and dyskinesia<sup>29</sup>, given the range 360 in P values, it is possible that this finding may reflect a type I error due to multiple 361 testing. Genetic determinants of dyskinesia have been reported, both for rare 362 monogenic forms of Parkinson's<sup>30</sup>, and for variants in dopamine receptor genes<sup>31</sup>. 363 Given the current finding, we are now collaborating with a genetic meta-analysis of 364 365 dyskinesia that will include functional genetic annotation, to corroborate this finding 366 and better understand the nominated loci.

367

In our analysis, a higher MDS-UPDRS part 1 score evaluating non-motor features,
was significantly associated with off-state dystonia, off periods and dyskinesia. This
is consistent with prior studies<sup>7, 13</sup>, and the interpretation in an earlier report that low
mood and anxiety were key contributors to this relationship<sup>7</sup>.

372

We found that dyskinesia, but not off periods or off-state dystonia, was significantly associated with lower BMI at assessment as in previous studies<sup>7, 9, 32</sup>. Although this may partly relate to a higher LEDD per kilogram of body weight, it showed an independent association perhaps reflecting weight loss secondary to the metabolic effects of dyskinesia.

378

In combining three large prospective cohort studies, this is the largest study
evaluating motor complications in PD to date. This allowed us to undertake a
detailed novel assessment of the factors related to dystonia for which prior studies

were underpowered to comprehensively assess separately from motor fluctuations
and dyskinesia. Although there were some minor differences between the three
cohorts, they were broadly similar with comparable demographic features to other
published studies<sup>3-5, 7</sup>.

386

387 Although this analysis involves multicenter international data from the US, UK, 388 Europe, and Australia, included cases were predominantly Caucasian and recruited 389 from specialist centers in Anglo-Saxon countries, which may limit the generalizability 390 of our results. Furthermore, age at diagnosis in these observational datasets is 391 slightly higher, as expected, to that reported for PD patients recruited to clinical trials, 392 so age-specific rates should be used if these data were to be used to power future 393 clinical trials. A further potential limitation is that the PD diagnosis was clinical rather 394 than pathological, although functional dopaminergic imaging was used to confirm 395 dopamine depletion either as a routine part of the study protocol (PPMI) or in 396 clinically uncertain cases (*Discovery* and *Tracking*), thereby excluding more benign 397 disorders. Additionally, ongoing diagnostic review was undertaken at follow-up visits 398 against defined criteria of atypical features. Overdiagnosis of MSA and PSP may occur when the levodopa responsiveness is limited, where PD is the actual diagnosis 399 at autopsy<sup>33</sup>. It is therefore possible that patients with less levodopa responsive PD 400 401 were excluded from these cohorts, resulting in a slight overestimation of motor 402 complications. Overdiagnosis of PD in cases without confirmatory functional 403 dopaminergic imaging would have the opposite effect. Like any longitudinal study, 404 there is loss to follow-up either due to death, mobility outside the study area or increasing disease severity, which will in some cases result in patients and/or carers 405 406 wishing to withdraw. Our observations in the later time-periods could therefore be

407 biased if withdrawal is related to motor complications. In this case our prevalence
408 estimates in later time-periods will underestimate the true prevalence in the target
409 population.

410

411 Whilst the MDS-UPDRS part 4 is a standardized objective method of evaluating 412 motor complications, it does not differentiate between motor and non-motor wearing 413 off to allow these to be analyzed separately and is less sensitive at detecting motor 414 fluctuations in early disease, which may have underestimated their true prevalence 415 during the earlier stages of follow-up. Furthermore, we did not analyze non-416 troublesome and troublesome dyskinesia separately, the latter of which could impact 417 more significantly upon functional status and quality of life. Finally, it is possible that 418 multiple testing gave rise to type I errors, particularly in the case of the Parkinson's 419 GRS and its association with early dyskinesia, given the wider range of P values. 420 421 In summary, we found that off periods are significantly more common and 422 consistently lead to greater functional impairment than dyskinesia during the first 12 423 years of PD. We have additionally confirmed previous associations between motor 424 complications and predisposing factors, adding key observations about off-state 425 dystonia, dopaminergic responsiveness, and the genetic risk score and its 426 relationship to dyskinesia.

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## 459 Author Roles:

- 460 1. Research project: A. Conception, B. Organization, C. Execution;
- 461 2. Statistical analysis: A. Design, B. Execution, C. Review and Critique;
- **3.** Manuscript Preparation: A. Writing of the first draft, B. Review and Critique.
- **SEG:** 2C, 3A, 3B;
- **TZ:** 2A, 2B, 2C, 3A, 3B;
- **AN:** 2C, 3B;
- **MAL:** 2A; 2C, 3B;
- 468 VM: 2C, 3B;
- **FAH:** 1C, 3C;
- **KAG:** 1A, 1B, 1C, 2C, 3A, 3B;
- **HRM:** 1A, 1B, 1C, 2C, 3B;
- **MTH:** 1A, 1B, 1C, 3B;
- **YBS:** 1A, 1B, 1C, 2A, 2C, 3B;
- **DGG:** 1A, 1B, 1C, 2C, 3A, 3B.

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- 477 Funding sources and Conflict of Interest:
- 478 MAL received consultancy fees for advising on a secondary analysis of an RCT
- 479 sponsored by North Bristol NHS trust.
- 480 VM has received honoraria from BIAL Pharma, AbbVie and Britannia
- 481 Pharmaceuticals.
- 482 KAG has received consultancy fees from Parkinson's UK.
- 483 HRM is employed by UCL. In the last 12 months he reports paid consultancy from
- 484 Roche, Aprinoia, Al Therapeutics and Amylyx; lecture fees/honoraria BMJ, Kyowa
- 485 Kirin, Movement Disorders Society. Research Grants from Parkinson's UK, Cure
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487 Foundation.

488 HRM is a co-applicant on a patent application related to C9ORF72 - Method for

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- 490 MTH received funding/grant support from Parkinson's UK, Oxford NIHR BRC,
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- 495 Products Ltd, JAZZ Pharma, Aventis Pharma. She is an advisory founder of
- 496 NeuHealth Digital Ltd (company number: 14492037), a digital biomarker platform to
- 497 remotely manage condition progression for Parkinson's.
- 498 YBS has received consultancy fees from Human Centric DD and Parkinson's UK.
- 499 DGG has received honoraria from BIAL Pharma, Britannia Pharmaceuticals, and

- 500 UCB Pharma, consultancy fees from NeuroClin Glasgow, and both consultancy fees
- 501 and grant support from Parkinson's UK.
- 502 The other co-authors declare that there are no additional disclosures or conflicts of 503 interest to report.
- 504

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- 506 MAL received consultancy fees for advising on a secondary analysis of an RCT
- 507 sponsored by North Bristol NHS trust.
- 508 VM has received funding for travel to a conference for BIAL and lecture fees from
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- 510 HRM has received paid consultancy from Roche, Aprinoia, AI Therapeutics and
- 511 Amylyx; lecture fees/honoraria BMJ, Kyowa Kirin, Movement Disorders Society.
- 512 Research Grants from Parkinson's UK, Cure Parkinson's Trust, PSP Association,
- 513 Medical Research Council, and the Michael J Fox Foundation.
- 514 MTH is an advisory founder of NeuHealth Digital Ltd (company number: 14492037),
- a digital biomarker platform to remotely manage condition progression for
- 516 Parkinson's.
- 517 YBS has received consultancy fees from Human Centric DD and Parkinson's UK.
- 518 KAG has received consultancy fees from Parkinson's UK.
- 519 DGG has received consultancy fees from Parkinson's UK and NeuroClin Glasgow.
- 520 The other co-authors declare that there are no additional disclosures to report.
- 521

# 522 Ethical compliance statement:

*PPMI* was conducted following approval of the local ethics committees of the
 participating sites. The West of Scotland Research ethics committee and the local
 National Health Service (NHS) research ethics committee approved the *Tracking Parkinson's* and *Oxford Discovery* studies, respectively. All three studies were

527 conducted in accordance with national legislation and the Declaration of Helsinki.
 528 Written informed consent was obtained from each participant prior to enrollment. We
 529 confirm that we have read the Journal's position on issues involved in ethical

530 publication and affirm that this work is consistent with those guidelines.

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### 631 Legends for figures

632

FIG. 1. Presence and functional impact of motor complications over time in 3343
patients with Parkinson's disease.

635 **Legend:** Off periods were consistently more common than dyskinesia and dystonia

636 (A). Off periods caused greater functional impact (B) and was more likely to be

637 graded as moderate-to-severe (C) than dyskinesia. The error bars indicate Wilson

638 95% confidence intervals.

639

FIG. 2. Severity of motor complications and their functional impact over time in 3343patients with Parkinson's disease.

642 Legend: The prevalence and severity of motor complications increased over time (A,

643 C and F). Off periods were the most prevalent motor complication (C). Their

644 complexity increased over time (E) and they caused greater functional impact than

645 dyskinesia (B and D).

646

FIG. 3. Odds ratios from univariable and multivariable logistic regression for the maincovariates.

649 Legend: Error bars indicate 95% confidence intervals.

650 GRS: genetic risk score; LEDD: levodopa equivalent daily dose; MDS-UPDRS:

651 Movement Disorder Society Unified Parkinson's Disease Rating Scale.

652

653

FIG. 4. Prevalence of motor complications with subjects categorized according toselected demographic and clinical features.

656 **Legend:** Motor complications were more prevalent in younger patients, females, with

higher LEDD and greater motor responsiveness (≥ 24.5% improvement defined as a

definite dopaminergic response). Error bars indicate 95% confidence intervals.

659 BMI: body mass index; LEDD: levodopa equivalent daily dose.

660

**FIG. 5.** Odds ratios for dopaminergic responsiveness from secondary bivariable

662 logistic regression

663 Legend: Dopaminergic responsiveness was significantly associated with motor

664 complications. \* The multivariable model uses the logit of the predicted probabilities

665 from the main multivariable model, with responsiveness as a covariate. Error bars:

666 95% confidence intervals.

667

FIG. 6. Prevalence of motor complications by quintiles of probabilities as estimatedby the lasso models.

670 Legend: The multivariable models meaningfully distinguish between subjects with

671 low and high probability of motor complications. Cases were allocated to quintiles

according to their model estimated probability of motor complications (q1 - q5) and

the observed prevalence of motor complications was compared.

674

Supplementary Figures 1 – 4: Comparison of the demographic and clinical features
of the three cohorts

677 Legend: Minor differences were identified between the three cohorts, but they were

678 broadly homogenous. In comparison to the *Tracking Parkinson's* and *Oxford* 

- *Discovery* cohorts, PPMI cases were generally younger, with higher MoCA scores,
- 680 less progression on the MDS-UPDRS part 3, and more frequent use of amantadine
- 681 (Supplementary Figures 2, 3 and 4). The *Tracking Parkinson's* cohort had higher
- rates of anxiety and depression, and a greater proportion of cases with a Hoehn and
- 683 Yahr score of 3 (Supplementary Figure 4).
- APOE4: apolipoprotein E4 allele; BMI: body mass index; COMTI: catechol-O-
- 685 methyltransferase inhibitor; DA: dopamine agonist; Dopaminergic resp:
- dopaminergic responsiveness; GRS: genetic risk score; HY 3: Hoehn and Yahr
- 687 stage 3; LEDD: levodopa equivalent daily dose; MAOBI: monoamine oxidase type B
- 688 inhibitor; MoCA: Montreal Cognitive Assessment; MDS-UPDRS: Movement Disorder
- 689 Society Unified Parkinson's Disease Rating Scale.
- 690
- 691 Supplementary Figure 5: Odds ratios from univariable and multivariable logistic
- 692 regression for all covariates
- 693 Legend: Error bars indicate 95% confidence intervals.
- 694 BMI: body mass index; HY 3 or greater: Hoehn and Yahr stage 3 or greater; MDS-
- 695 UPDRS: Movement Disorder Society Unified Parkinson's Disease Rating Scale

# **Tables**

**TABLE 1:** Demographic and clinical features of the Tracking Parkinson's, Oxford Discovery and PPMI cohorts

	Duration of Parkinson's disease in years								
	0-2	2-4	4-6	6-8	8-10	10-12			
Number of cases <sup>a-b</sup>	2538	2935	2232	1452	829	348			
Age at diagnosis	65.6 (9.8)	65.2 (9.4)	64.3 (9.3)	63.0 (8.9)	61.7 (8.6)	60.1 (8.8)			
Age	66.7 (9.8)	68.2 (9.4)	69.2 (9.3)	69.9 (8.9)	70.6 (8.6)	70.8 (8.8)			
Male, n (%)	1652 (65.1)	1890 (64.4)	1434 (64.3)	948 (65.3)	546 (65.9)	226 (64.9)			
Education >12 years, n (%)	1653 (67.1)	1945 (67.4)	1558 (70.5)	1063 (73.7)	627 (75.9)	266 (76.7)			
BMI	27.0 (4.6)	27.0 (4.7)	26.8 (4.9)	26.6 (4.9)	26.3 (5.4)	26.8 (6.2)			
Dopa responsiveness	28.9 (21.3)	29.2 (21.9)	30.2 (21.8)	29.3 (21.3)	29.4 (21.7)	27.4 (20.5)			
MDS-UPDRS 1 total	8.6 (5.1)	10.0 (5.8)	11.0 (6.2)	11.7 (6.2)	12.5 (6.4)	12.7 (6.7)			
Depression, UPDRS 1 > 0, n (%)	838 (33.1)	1030 (35.1)	853 (38.3)	586 (40.6)	365 (44.0)	144 (41.4)			
Anxiety, UPDRS 1 > 0, n (%)	1095 (43.2)	1277 (43.6)	996 (44.7)	702 (48.5)	414 (49.9)	172 (49.6)			
MDS-UPDRS 2 total	8.8 (6.1)	11.0 (7.1)	13.0 (7.8)	14.2 (8.0)	15.5 (8.3)	16.0 (8.6)			
MDS-UPDRS 3 total	23.9 (11.9)	27.5(13. 5)	30.0 (15.1)	31.3 (15.6)	32.0 (16.7)	31.9 (16.5)			
MDS-UPDRS 3 progression <sup>°</sup>	1.9 (1.2)	1.9 (1.3)	1.8 (1.4)	1.6 (1.4)	1.5 (1.3)	1.2 (1.2)			
MDS-UPDRS 4 total	0.4 (1.3)	1.0 (2.1)	2.0 (3.0)	3.0 (3.5)	4.0 (3.9)	4.6 (4.2)			
N (%) with dyskinesia	64 (2.5)	249 (8.5)	409 (18.5)	444 (30.8)	342 (42.1)	177 (51.3)			
N (%) with functional impact from dyskinesia	34 (1.4)	111 (3.9)	203 (9.3)	222 (15.6)	199 (24.7)	100 (29.0)			
N (%) with off periods	223 (9.0)	559 (19.4)	770 (35.0)	673 (47.0)	480 (59.0)	215 (62.7)			
N (%) with functional impact from motor fluctuations	191 (7.6)	488 (16.7)	666 (30.2)	590 (41.1)	423 (51.7)	187 (54.4)			

N (%) with complex motor fluctuations	207 (8.3)	540 (18.6)	733 (33.5)	636 (44.6)	456 (55.7)	210 (60.9)
N (%) with painful off-state dystonia	113 (4.6)	243 (8.5)	291 (13.4)	260 (18.3)	183 (22.9)	83 (24.7)
Medication						
L-dopa, n (%)	1340 (52.8)	2234 (76.3)	1976 (88.9)	1359 (94.0)	799 (96.6)	339 (97.4)
Dopamine agonist, n (%)	606 (23.9)	1095 (37.4)	964 (43.4)	653 (45.2)	417 (50.4)	166 (47.7)
MAOB-I, n (%)	581 (22.9)	1012 (34.6)	851 (38.3)	596 (41.2)	376 (45.5)	148 (42.5)
COMT-I, n (%)	35 (1.4)	168 (5.7)	238 (10.7)	211 (14.6)	160 (19.4)	78 (22.4)
Amantadine, n (%)	25 (1.0)	90 (3.1)	117 (5.3)	113 (7.8)	103 (12.5)	66 (19.1)
LEDD	240.4 (192.0)	428.1 (254.0)	582.4 (307.0)	690.7 (356.3)	822.0 (392.4)	908.1 (464.4)
DBS, n (%)	0 (0.0)	2 (0.1)	6 (0.3)	15 (1.0)	21 (2.5)	14 (4.0)
МоСА	25.4 (3.4)	25.3 (3.8)	25.4 (4.0)	25.8 (4.0)	26.0 (4.0)	26.3 (4.1)
Hoehn and Yahr > 2, n (%)	154 (6.1)	321 (11.1)	331 (15.3)	227 (17.4)	137 (20.8)	75 (27.2)
APOE ε4+, n (%)	587 (26.5)	668 (26.0)	497 (25.1)	287 (22.2)	165 (22.1)	61 (18.9)
Genetic risk score PD <sup>d</sup>	0.00 (1.0)	-0.01 (1.0)	0.02 (1.0)	0.06 (1.0)	0.13 (1.0)	0.11 (1.0)

697 APOE ε4: apolipoprotein E ε4 allele; COMT-I: Catechol-O-methyltransferase

698 inhibitor; DBS: deep brain stimulation; GRS: genetic risk score; LEDD: levodopa

- 699 equivalent daily dose; MAOB-I: Monoamine oxidase inhibitor type B; MoCA:
- 700 Montreal Cognitive Assessment.

<sup>701</sup> <sup>a</sup>Fewer cases are present at 0-2 years than 2-4 years as some cases were recruited

702 at a disease duration >2 years.

<sup>703</sup> <sup>b</sup>Cases were included when at least one of the MDS-UPDRS 4 scores was recorded.

<sup>704</sup> <sup>c</sup>The MDS-UPDRS part 3 progression is expressed as points/per year.

705 <sup>d</sup>Z-scored.