# 1 COUNTING SUBGRAPHS IN SOMEWHERE DENSE GRAPHS\*

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3 Abstract. We study the problems of counting copies and induced copies of a small pattern graph H in a large host graph G. Recent work fully classified the complexity of those problems 4 according to structural restrictions on the patterns H. In this work, we address the more challenging 56 task of analysing the complexity for restricted patterns and restricted hosts. Specifically we ask 7 which families of allowed patterns and hosts imply fixed-parameter tractability, i.e., the existence of an algorithm running in time  $f(H) \cdot |G|^{O(1)}$  for some computable function f. Our main results 8 9 present exhaustive and explicit complexity classifications for families that satisfy natural closure 10 properties. Among others, we identify the problems of counting small matchings and independent 11 sets in subgraph-closed graph classes  $\mathcal{G}$  as our central objects of study and establish the following 12 crisp dichotomies as consequences of the Exponential Time Hypothesis:

- Counting k-matchings in a graph  $G \in \mathcal{G}$  is fixed-parameter tractable if and only if  $\mathcal{G}$  is nowhere dense.
- Counting k-independent sets in a graph  $G \in \mathcal{G}$  is fixed-parameter tractable if and only if  $\mathcal{G}$  is nowhere dense.

17 Moreover, we obtain almost tight conditional lower bounds if  $\mathcal{G}$  is somewhere dense, i.e., not nowhere 18 dense. These base cases of our classifications subsume a wide variety of previous results on the 19 matching and independent set problem, such as counting k-matchings in bipartite graphs (Curtica-20 pean, Marx; FOCS 14), in F-colourable graphs (Roth, Wellnitz; SODA 20), and in degenerate graphs 21 (Bressan, Roth; FOCS 21), as well as counting k-independent sets in bipartite graphs (Curticapean 22 et al.; Algorithmica 19).

At the same time our proofs are much simpler: using structural characterisations of somewhere dense graphs, we show that a colourful version of a recent breakthrough technique for analysing pattern counting problems (Curticapean, Dell, Marx; STOC 17) applies to *any* subgraph-closed somewhere dense class of graphs, yielding a unified view of our current understanding of the complexity of subgraph counting.

28 **Key words.** counting problems, somewhere dense graphs, parameterised complexity theory

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1. Introduction. We study the following subgraph counting problem: given two 30 31 graphs H and G, compute the number of copies of H in G. For several decades this problem has received widespread attention from the theoretical community, leading 32 to a rich algorithmic toolbox that draws from different techniques [50, 3, 10, 40] and 33 to deep structural results in parameterised complexity theory [28, 18]. Since it was 34 discovered that subgraph counts reveal global properties of complex networks [46, 47], 36 subgraph counting has also found several applications in fields such as biology [2, 57]37 genetics [59], phylogeny [41], and data mining [60]. Unfortunately, the subgraph counting problem is in general intractable, since it contains as special cases hard 38 problems such as CLIQUE. This does not mean however that the problem is *always* 39 intractable; it just means that it is tractable when the pattern H is restricted to certain 40

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41 graph families. Identifying these families of patterns that are efficiently countable has 42 been a key question for the last twenty years. A long stream of research eventually 43 showed that, unless standard conjectures fail, subgraph counting is tractable only for 44 very restricted families of patterns [28, 23, 14, 20, 39, 45, 18, 55, 30].

To circumvent this "wall of intractability", in this work we restrict both the 45family of the pattern H and the family of the host G. Formally, given two classes 46 of graphs  $\mathcal{H}$  and  $\mathcal{G}$ , we study the problems  $\#SUB(\mathcal{H} \to \mathcal{G}), \#INDSUB(\mathcal{H} \to \mathcal{G})$ , and 47 #HOM $(\mathcal{H} \to \mathcal{G})$ , defined as follows. For all of them, the input is a pair (H, G) with 48  $H \in \mathcal{H}$  and  $G \in \mathcal{G}$ . The outputs are respectively the number of subgraphs of G 49isomorphic to H, denoted by  $\#Sub(H \to G)$ , the number of induced subgraphs of G 50isomorphic to H, denoted by  $\# \mathsf{IndSub}(H \to G)$ , and the number of homomorphisms 52(edge-preserving maps) from H to G, denoted by  $\#\text{Hom}(H \to G)$ . Our goal is to determine for which  $\mathcal{H}$  and  $\mathcal{G}$  these three problems are tractable. To formalize what 53 we mean by tractable, we adopt the framework of parameterized complexity [22]: we 54say that a problem is *fixed-parameter tractable*, or in the class FPT, if it is solvable in time  $f(|\hat{H}|) \cdot |G|^{O(1)}$  for some computable function f (see Section 2 for a complete 56 introduction). For instance, we consider as tractable a running time of  $2^{O(|H|)} \cdot |G|$ 57 but not one of  $|G|^{O(|H|)}$ . This captures the intuition that H is "small" compared 58 to G, and is the main theoretical framework for subgraph counting [28]. Thus, the 59goal of this work is understanding the fixed-parameter tractability of  $\#SUB(\mathcal{H} \to \mathcal{G})$ , 60 #INDSUB $(\mathcal{H} \to \mathcal{G})$ , and #HOM $(\mathcal{H} \to \mathcal{G})$  as a function of  $\mathcal{H}$  and  $\mathcal{G}$ . Moreover, when 61 those problems are not fixed-parameter tractable we aim to show that they are hard 63 for the complexity class #W[1], which can be thought of as the equivalent of NP for parameterized counting. 64

We first briefly discuss which properties of  $\mathcal{G}$  are worthy of attention. When  $\mathcal{G}$ 65 is the class of all graphs, it is well known that each of the three problems is either 66 FPT or #W[1]-hard depending on whether certain structural parameters of  $\mathcal{H}$  (such 67 as treewidth or vertex cover number) are bounded. Thus, when  $\mathcal{G}$  is the class of all 68 graphs, the problem is solved. However, when  $\mathcal{G}$  is arbitrary, no such characterization is known. This is partly due to the fact that "natural" structural properties related 70 to subgraph counting are harder to find for  $\mathcal{G}$  than for  $\mathcal{H}$ ; subgraph counting algo-71rithms themselves usually exploit the structure of H but not that of G (think of tree 72decompositions). There is however one deep structural property that, if held by  $\mathcal{G}$ , 73 yields tractability: the property of being nowhere dense, introduced by Nešetřil and 74Ossona de Mendez [48]. In a nutshell  $\mathcal{G}$  is nowhere dense if, for all  $r \in \mathbb{N}_0$ , its members 75 do not contain as subgraphs the r-subdivisions of arbitrarily large cliques; it can be 76shown that this generalizes several natural definitions of sparsity, including having 77 bounded degree or bounded local treewidth, or excluding some topological minor. In 78 a remarkable result, Nešetřil and Ossona de Mendez proved:<sup>1</sup>

THEOREM 1.1 (Theorem 18.9 in [49]). If  $\mathcal{G}$  is nowhere dense then  $\#\text{HOM}(\mathcal{H} \to \mathcal{G})$ ,  $\#\text{SUB}(\mathcal{H} \to \mathcal{G})$ , and  $\#\text{INDSUB}(\mathcal{H} \to \mathcal{G})$  are fixed-parameter tractable and can be solved in time  $f(|\mathcal{H}|) \cdot |V(G)|^{1+o(1)}$  for some computable function f.

80 Thus the case of nowhere dense  $\mathcal{G}$  is closed, and we can focus on its complement —

 $^{81}$  the case where  $\mathcal{G}$  is *somewhere dense*. Hence the question studied in this work is:

82 when are  $\#SUB(\mathcal{H} \to \mathcal{G}), \#INDSUB(\mathcal{H} \to \mathcal{G}), \text{ and } \#HOM(\mathcal{H} \to \mathcal{G}) \text{ fixed-parameter}$ 

83 tractable, provided  $\mathcal{G}$  is somewhere dense?

 $<sup>^{1}</sup>$ In the realm of decision problems, an even more general meta-theorem is known for first-order model-checking on nowhere dense graphs [36].

**1.1. Our Results.** We prove dichotomies for  $\#SUB(\mathcal{H} \to \mathcal{G}), \#INDSUB(\mathcal{H} \to \mathcal{G})$ 84  $\mathcal{G}$ ), and  $\#\text{HOM}(\mathcal{H} \to \mathcal{G})$  into FPT and #W[1]-hard cases, assuming that  $\mathcal{G}$  is some-85 where dense. It is known [56] that a fully general dichotomy is impossible even 86 assuming that  $\mathcal{G}$  is somewhere dense; thus we focus on the natural cases where  $\mathcal{H}$ 87 and/or  $\mathcal{G}$  are monotone (closed under taking subgraphs) or hereditary (closed under 88 taking induced subgraphs). Our dichotomies are expressed in terms of the finiteness 89 of combinatorial parameters of  $\mathcal{H}$  and  $\mathcal{G}$ , such as their clique number or their induced 90 matching number. Existing complexity dichotomies for subgraph counting are based 91 on using interpolation to evaluate linear combinations of homomorphism counts [18]. This technique has been exploited for families of host graphs that are closed under 93 tensoring — the closure is used to create new instances for the interpolation. The host 94 95 graphs in our dichotomy theorems do not have this closure property. Nevertheless, we obtain a dichotomy for all somewhere dense classes using a combination of techniques 96 involving graph fractures and colourings. 97

The rest of this section presents our main conceptual contribution (Section 1.1.1), gives a detailed walk-through of our complexity dichotomies (Section 1.1.2, Section 1.1.3, Section 1.1.4), provides some context (Section 1.2), and overviews the techniques behind our proofs (Section 1.3). For full proofs of our claims see Section 2 onward.

Basic preliminaries.. We concisely state some necessary definitions and obser-103 vations which are given in more detail in Section 2. We denote by  $\mathcal{U}$  the class of 104 all graphs. We denote by  $\omega(G), \alpha(G), \beta(G)$ , and m(G) respectively the clique, in-105106 dependence, biclique, and matching number of a graph G. The notation extends to graph classes by taking the supremum over their elements. Induced versions of those 107 quantities are identified by the subscript ind (for instance, mind denotes the induced 108 matching number).  $G^r$  denotes the r-subdivision of G, and  $F \times G$  denotes the tensor 109 product of F and G. All of our lower bounds assume the Exponential Time Hypothe-110 sis (ETH) [38]; and most of them rule out algorithms running in time  $f(k) \cdot n^{o(k/\log k)}$ 111 112 for any function f, and are therefore tight except possibly for a  $O(\log k)$  factor in the exponent.<sup>2</sup> All of our #W[1]-hardness results are actually #W[1]-completeness re-113sults; this holds because  $\#SUB(\mathcal{H} \to \mathcal{G}), \#INDSUB(\mathcal{H} \to \mathcal{G}), and \#HOM(\mathcal{H} \to \mathcal{G})$  are 114 always in #W[1] due to a characterisation of #W[1] via parameterised model-counting 115problems (see [29, Chapter 14]). 116

**117 1.1.1. Simpler Hardness Proofs for More Graph Families.** Our first and 118 most conceptual contribution is a novel approach to proving hardness of parameter-119 ized subgraph counting problems for somewhere-dense families of host graphs. This 120 approach allows us to significantly generalize existing results while simultaneously 121 yielding surprisingly simpler proofs.

The starting point is the observation that proving intractability results for param-122 eterized counting problems is discouragingly difficult, as it often requires tedious and 123 involved arguments. For instance, after Flum and Grohe conjectured that counting k-124 125matchings is #W[1]-hard [28], the first proof required nine years and relied on sophisticated algebraic techniques [15]. This partially changed in 2017 when Curticapean, 126 Dell and Marx [18] showed how to express a subgraph count  $\#\mathsf{Sub}(H \to G)$  as linear 127 combination of homomorphism counts  $\sum_{F} a_F \cdot \# \mathsf{Hom}(F \to G)$ . They showed that 128 computing this linear combination has the same complexity as computing the hardest 129

130 term  $\#\text{Hom}(F \to G)$  such that  $a_F \neq 0$ . A similar claim holds for induced subgraph

<sup>&</sup>lt;sup>2</sup>This  $O(\log k)$  gap is not an artifact of our proofs, but a consequence of the well-known open problem "Can you beat treewidth?" [43, 44].

131 counts as well. Thanks to this technique one can prove intractability of several sub-

132 graph counting problems, including for instance the problem of counting k-matchings.<sup>3</sup>

133 These hardness results ultimately yielded complexity dichotomies for general subgraph

134 counting problems, including notably  $\#SUB(\mathcal{H} \to \mathcal{G})$  and  $\#INDSUB(\mathcal{H} \to \mathcal{G})$  when  $\mathcal{G}$ 135 is the class of all graphs.

The technique of [18] does not work for proving hardness of  $\#SUB(\mathcal{H} \to \mathcal{G})$  and 136 #INDSUB $(\mathcal{H} \to \mathcal{G})$  when  $\mathcal{G} \neq \mathcal{U}$ . Indeed, one caveat of that technique is that the 137 family of host graphs  $\mathcal{G}$  must satisfy certain conditions. One of those conditions is 138 that  $\mathcal{G}$  is closed under tensoring, i.e., that  $G \times G' \in \mathcal{G}$  for all  $G \in \mathcal{G}$  and all  $G' \in \mathcal{U}$ . 139The reason is that the interpolation relies on evaluating, say,  $\mathsf{Sub}(H \to G \times G_i)$  for 140several carefully chosen graphs  $G_i$ , with the goal of constructing a certain invertible 141 system of linear equations; for this to yield a reduction towards counting patterns 142 in graphs from  $\mathcal{G}$ , it is crucial that  $G \times G_i \in \mathcal{G}$  for all such  $G_i$  (Section 1.3 gives 143a concrete example using the problem of counting k-matchings). This is why the 144technique of [18] works smoothly for  $\mathcal{G} = \mathcal{U}$ ; closure under tensoring holds trivially in 145that case. But many other natural graph families  $\mathcal{G}$  are not closed under tensoring, 146including somewhere dense ones (for instance, the family of d-degenerate graphs for 147148 any fixed integer  $d \ge 2$ ). Until now, this has been the main obstacle towards proving hardness of subgraph counting for arbitrary somewhere dense graph families. The 149central insight of our work is that this obstacle can be circumvented in a surprisingly 150simple way. Using well-established results from the theory of sparsity, we prove the 151following claim, which we explain in detail in Section 1.3: 152

 $153 \\ 154$ 

### Every monotone and somewhere dense class of graphs is closed under vertex-colourful tensor products of subdivided graphs.

Ignoring for a moment its technicalities, this result allows us to lift the interpolation technique via graph tensors to *any* monotone somewhere dense class of host graphs, including for instance the aforementioned class of *d*-degenerate graphs. In turn this yields complexity classifications for  $\#HOM(\mathcal{H} \to \mathcal{G}), \#SUB(\mathcal{H} \to \mathcal{G})$ , and  $\#INDSUB(\mathcal{H} \to \mathcal{G})$  that subsume and significantly strengthen almost all classifications known in the literature (see below). Moreover, our approach yields simple and almost self-contained proofs, helping understand the underlying causes of the hardness.

**1.1.2.** The Complexity of #Sub $(\mathcal{H} \to \mathcal{G})$ . This section presents our results 162 on the fixed-parameter tractability of  $\#SUB(\mathcal{H} \to \mathcal{G})$ . We start by presenting a 163minimal<sup>4</sup> family  $\mathcal{H}$  for which hardness holds: the family of all k-matchings (or 1-164regular graphs). In this case we also denote  $\#SUB(\mathcal{H} \to \mathcal{G})$  as  $\#MATCH(\mathcal{G})$ . In the 165foundational work by Flum and Grohe [28],  $\#MATCH(\mathcal{U})$  was identified as a central 166 problem because of the significance of its classical counterpart (counting the number 167 of perfect matchings); a series of works then identified  $\#MATCH(\mathcal{U})$  as the minimal 168 169 intractable case [15, 20, 18]. In this work, we show that  $\#MATCH(\mathcal{G})$  is the minimal hard case for *every* class  $\mathcal{G}$  that is monotone and somewhere dense: 170

171 THEOREM 1.2. Let  $\mathcal{G}$  be a monotone class of graphs<sup>5</sup> and assume that ETH holds. 172 Then  $\#MATCH(\mathcal{G})$  is fixed-parameter tractable if and only if  $\mathcal{G}$  is nowhere dense.

 $<sup>^{3}</sup>$ In the field of database theory a similar technique expressing answers to unions of conjunctive queries as linear combinations of answers of conjunctive queries was independently discovered by Chen and Mengel [11].

<sup>&</sup>lt;sup>4</sup>Minimal means that, for every class  $\mathcal{H}'$ , #SUB( $\mathcal{H}' \to \mathcal{G}$ ) is intractable if and only if the monotone closure of  $\mathcal{H}'$  includes  $\mathcal{H}$ . The same holds for #INDSUB with "monotone" replaced by "hereditary".

 $<sup>^{5}</sup>$ We emphasize that we do not need our classes to be computable or recursively enumerable. This is due to the assumed closure properties of the classes.

- 173 More precisely, if  $\mathcal{G}$  is nowhere dense then  $\#MATCH(\mathcal{G})$  can be solved in time  $f(k) \cdot$ 174  $|V(G)|^{1+o(1)}$  for some computable function f; otherwise  $\#MATCH(\mathcal{G})$  is #W[1]-hard
- and cannot be solved in time  $f(k) \cdot |G|^{o(k/\log k)}$  for any function f.

Theorem 1.2 subsumes the existing intractability results for counting k-matchings 176in bipartite graphs [20], in F-colourable graphs [56], in bipartite graphs with one-177 sided degree bounds [19], and in degenerate graphs [9]. It also strengthens the latter 178 result: while [9] establishes hardness of counting k-matchings in  $\ell$ -degenerate graphs 179for  $k + \ell$  as a parameter, Theorem 1.2 yields hardness for d-degenerate graphs for 180every fixed  $d \ge 2.6^{6}$  Additionally, we show that Theorem 1.2 cannot be strengthened to 181 achieve polynomial-time tractability of  $\#MATCH(\mathcal{G})$  for nowhere dense and monotone 182183  $\mathcal{G}$ , unless #P = P.

As a consequence of Theorem 1.2 we obtain, for hereditary  $\mathcal{H}$ , an exhaustive and detailed classification of the complexity of  $\#SUB(\mathcal{H} \to \mathcal{G})$  as a function of invariants of  $\mathcal{G}$  and  $\mathcal{H}$ .

187	THEOREM 1.3. Let $\mathcal{H}$ and $\mathcal{G}$ be graph classes such that $\mathcal{H}$ is hereditary	and ${\cal G}$ is
188	monotone. Then the complexity of $\#SUB(\mathcal{H} \to \mathcal{G})$ is exhaustively classified b	y Table 1.

	${\mathcal G}$ n. dense	$\mathcal{G}$ s. dense $\omega(\mathcal{G}) = \infty$	$\mathcal{G}$ s. dense $\omega(\mathcal{G}) < \infty$ $\beta(\mathcal{G}) = \infty$	$\mathcal{G}$ s. dense $\omega(\mathcal{G}) < \infty$ $\beta(\mathcal{G}) < \infty$
$m(\mathcal{H}) < \infty$	Р	Р	Р	Р
$m_{\text{ind}}(\mathcal{H}) = \infty$	FPT	hard	hard	hard
$\begin{split} \mathrm{m}_{ind}(\mathcal{H}) < \infty \\ \beta_{ind}(\mathcal{H}) = \infty \end{split}$	Р	$\mathrm{hard}^\dagger$	$\mathrm{hard}^\dagger$	Р
Otherwise	Р	$\mathrm{hard}^\dagger$	Р	Р
		TABLE 1		

The complexity of  $\#\text{SUB}(\mathcal{H} \to \mathcal{G})$  for hereditary  $\mathcal{H}$  and monotone  $\mathcal{G}$ . Here "hard" means #W[1]-hard and, unless ETH fails, without an algorithm running in time  $f(|H|) \cdot |G|^{o(|V(H)|/\log |V(H)|)}$ ; "hard<sup>†</sup>" means the same, but without an algorithm running in  $f(|H|) \cdot |G|^{o(|V(H)|)}$ .

Note that the unique fixed-parameter tractability result in Table 1 is a "real" FPT case: we can show that, unless P = #P, it is in FPT but not in P. We point out that the contributions in this work are the hardness results in the third and fourth column, that is, for the cases in which  $\mathcal{G}$  is somewhere dense, but not the class of all graphs. (For monotone  $\mathcal{G}$ ,  $\omega(\mathcal{G}) = \infty$  implies that  $\mathcal{G}$  is the class of all graphs.)

From the classification of Theorem 1.3 one can derive interesting corollaries. For example, when  $\mathcal{H}$  and  $\mathcal{G}$  are monotone one has essentially the same classification of the case  $\mathcal{G} = \mathcal{U}$ : only the boundedness of the matching number of  $\mathcal{H}$  (or equivalently, of its vertex-cover number) counts [20].

198 THEOREM 1.4. Let  $\mathcal{H}$  and  $\mathcal{G}$  be monotone classes of graphs and assume that ETH 199 holds. Then  $\#SUB(\mathcal{H} \to \mathcal{G})$  is fixed-parameter tractable if  $m(\mathcal{H}) < \infty$  or  $\mathcal{G}$  is nowhere 200 dense; otherwise  $\#SUB(\mathcal{H} \to \mathcal{G})$  is #W[1]-complete and cannot be solved in time

<sup>&</sup>lt;sup>6</sup>The class of all *d*-degenerate graphs is somewhere dense for all  $d \ge 2$ .

# 201 $f(|H|) \cdot |G|^{o(|V(H)|/\log(|V(H)|))}$ for any function f.

202	We conclude by remarking that Table 1 and the proofs of its bounds suggest the	ıe
203	existence of three general algorithmic strategies for subgraph counting:	
204	1. If $\mathcal{G}$ is nowhere dense (first column of Table 1), then one can use the FP	Т
205	algorithm of Theorem 1.1, based on Gaifman's locality theorem for first-orde	er
206	formulas and the local sparsity of nowhere dense graphs (see $[49]$ ).	
207	2. If $m(\mathcal{H}) < \infty$ (first row of Table 1), then one can use the polynomial-time	ıe
208	algorithm of Curticapean and Marx [20], based on guessing the image of	a
209	maximum matching of $H$ and counting its extensions via dynamic program	n-
210	ming.	
011	3 All remaining entries marked as "P" are shown to be essentially trivial. Con	n

 All remaining entries marked as "P" are shown to be essentially trivial. Concretely, we will rely on Ramsey's theorem to prove that minor modifications of the naive brute-force approach yield polynomial-time algorithms for those cases.

1.1.3. The Complexity of #IndSub( $\mathcal{H} \to \mathcal{G}$ ). In the previous section we proved that, when  $\mathcal{G}$  is somewhere dense, k-matchings are the minimal hard family of patterns for #SUB( $\mathcal{H} \to \mathcal{G}$ ). In this section we show that k-independent sets play a similar role for #INDSUB( $\mathcal{H} \to \mathcal{G}$ ). Let #INDSET( $\mathcal{G}$ ) = #INDSUB( $\mathcal{I} \to \mathcal{G}$ ) where  $\mathcal{I}$  is the set of all all independent sets (or 0-regular graphs). We prove:

THEOREM 1.5. Let  $\mathcal{G}$  be a monotone class of graphs and assume that ETH holds. Then #INDSET( $\mathcal{G}$ ) is fixed-parameter tractable if and only if  $\mathcal{G}$  is nowhere dense. More precisely, if  $\mathcal{G}$  is nowhere dense then #INDSET( $\mathcal{G}$ ) can be solved in time  $f(k) \cdot |V(\mathcal{G})|^{1+o(1)}$  for some computable function f; otherwise #INDSET( $\mathcal{G}$ ) cannot be solved in time  $f(k) \cdot |\mathcal{G}|^{o(k/\log k)}$  for any function f.

This result subsumes the intractability result for counting k-independent sets in bipartite graphs of [17]. It also strengthens the result of [9], which shows #INDSET( $\mathcal{G}$ ) is hard when parameterized by k + d where d is the degeneracy of G. More precisely, [9] does not imply that #INDSET( $\mathcal{G}$ ) is hard when  $\mathcal{G}$  is the class of d-degenerate graphs, for any  $d \geq 2$ . In contrast to this, Theorem 1.5 proves such hardness for every  $d \geq 2$ . Finally, we point out that the FPT case of Theorem 1.5 is not in P unless P = #P.

As consequence of Theorem 1.5, when  $\mathcal{H}$  is hereditary (and thus in particular monotone) we obtain:

THEOREM 1.6. Let  $\mathcal{H}$  and  $\mathcal{G}$  be classes of graphs such that  $\mathcal{H}$  is hereditary and  $\mathcal{G}$ is monotone. Then the complexity of #INDSUB( $\mathcal{H} \to \mathcal{G}$ ) is exhaustively classified by Table 2.

1.1.4. The Complexity of  $\#\text{Hom}(\mathcal{H} \to \mathcal{G})$ . Finally, we study the parameterized complexity of  $\#\text{Hom}(\mathcal{H} \to \mathcal{G})$ . We denote by  $\mathsf{tw}(H)$  the treewidth of a graph H. Informally, graphs of small treewidth admit a decomposition with small separators, which allows for efficient dynamic programming. In this work we use treewidth in a purely black-box fashion (e.g. via excluded-grid theorems); for its formal definition see [22, Chapter 7]. We prove:

	${\mathcal G}$ n. dense	$\mathcal{G}$ s. dense $\omega(\mathcal{G}) = \infty$	$\mathcal{G}$ s. dense $\omega(\mathcal{G}) < \infty$ $\alpha(\mathcal{G}) = \infty$
$ \mathcal{H}  < \infty$	Р	Р	Р
$\alpha(\mathcal{H}) = \infty$	FPT	$\mathrm{hard}^{\dagger}$	hard
Otherwise	Р	$\mathrm{hard}^\dagger$	Р
TABLE 2			

The complexity of #INDSUB $(\mathcal{H} \to \mathcal{G})$  for hereditary  $\mathcal{H}$  and monotone  $\mathcal{G}$ . Here "hard" means #W[1]-hard and, unless ETH fails, without an algorithm running in time  $f(|H|) \cdot |G|^{o(|V(H)|/\log |V(H)|)}$ ; "hard<sup>†</sup>" means the same, but without an algorithm running in  $f(|H|) \cdot |G|^{o(|V(H)|)}$ .

THEOREM 1.7. Let  $\mathcal{H}$  and  $\mathcal{G}$  be monotone classes of graphs.

- 1. If  $\mathcal{G}$  is nowhere dense then  $\#\operatorname{HOM}(\mathcal{H} \to \mathcal{G})$  is fixed-parameter tractable and can be solved in time  $f(|H|) \cdot |V(G)|^{1+o(1)}$  for some computable function f.
- 2. If  $\mathsf{tw}(\mathcal{H}) < \infty$  then  $\# \operatorname{HOM}(\mathcal{H} \to \mathcal{G})$  is solvable in polynomial time, and if a tree decomposition of H of width t is given, then it can be solved in time  $|H|^{O(1)} \cdot |V(G)|^{t+1}$ .
- 3. If  $\mathcal{G}$  is somewhere dense and  $\mathsf{tw}(\mathcal{H}) = \infty$  then  $\#\mathrm{HOM}(\mathcal{H} \to \mathcal{G})$  is  $\#\mathrm{W}[1]$ -hard and, assuming ETH, cannot be solved in time  $f(|H|) \cdot |G|^{o(\mathsf{tw}(H))}$  for any function f.

(The novel part is 3.; we included 1. and 2. to provide the complete picture.)

Unfortunately, in contrast to #SUB and #INDSUB, we do not know how to extend 242 243 Theorem 1.7 to hereditary  $\mathcal{H}$ . We point out however that for hereditary  $\mathcal{H}$  the finiteness of  $\mathsf{tw}(\mathcal{H})$  cannot be the correct criterion: if  $\mathcal{H}$  is the set of all complete graphs 244 and  $\mathcal{G}$  is the set of all bipartite graphs, then  $\mathcal{H}$  is hereditary and  $\mathsf{tw}(\mathcal{H}) = \infty$ , but 245 $\#\operatorname{Hom}(\mathcal{H}\to\mathcal{G})$  is easy since  $|V(H)|\leq 2$  or  $\#\operatorname{Hom}(H\to G)=0$ . More generally, the 246 247 complexity of  $\#HOM(\mathcal{H} \to \mathcal{G})$  appears to be far from completely understood for arbitrary classes  $\mathcal{H}$ . In fact, it has been recently posed as an open problem even for specific 248 monotone and somewhere dense  $\mathcal{G}$  such as the family of d-degenerate graphs [9, 4]. 249 There is some evidence that the finiteness of induced grid minors is the right criterion 250for tractability [9]. 251

In what follows we provide a detailed exposition of our proof techniques, starting with a brief summary of the state of the art.

**1.2. Related Work.** The general idea of using interpolation as a reduction 254technique for counting problems dates back to the foundational work of Valiant [61]. 255Roughly speaking, the key to interpolation is constructing a system of linear equations 256that is invertible and thus has a unique solution. For example, in the classic case of 257258polynomial interpolation (where one has to infer the coefficients of a univariate polynomial given an oracle that evaluates it) the system corresponds to a Vandermonde 259260 matrix, which is nonsingular and thus invertible. In the case of linear combinations of homomorphism counts, an invertible system of linear equations can be construc-261ted via graph tensoring arguments, as proven implicitly by works of Lovász (see e.g. 262 [42, Chapters 5 and 6]). It was then discovered by Curticapean et al. in [18] that 263264 these interpolation arguments could be extended to subgraph and induced subgraph counts, by showing that those counts may be expressed as linear combinations of homomorphism counts. Using this fact, they proved that interpolation through graph tensoring applies to a wide variety of parameterised subgraph counting problems. However, their technique fails when one restrict the class of host graphs  $\mathcal{G}$ , see the discussion in Section 1.1.1; our work shows how to circumvent this obstacle.

The idea of using graph subdivisions for proving hardness results appeared in 270the context of linear-time subgraph counting in degenerate graphs [5, 6, 4]. For 271example, [5] observed that counting triangles in general graphs, which is conjectured 272not to admit a linear time algorithm, reduces in linear time to counting 6-cycles in 273degenerate graphs by subdividing each edge once (which always yields a 2-degenerate 274graph). Our work makes heavy use of graph subdivisions as well, although in a more 275276 sophisticated fashion. This is not surprising since, for each d > 2, the class of ddegenerate graphs constitutes an example of a monotone somewhere dense class of 277graphs. 278

1.3. Overview of Our Techniques. The present section expands upon Section 1.1.1 and gives a detailed technical overview of our proofs of hardness for  $\#SUB(\mathcal{H} \rightarrow \mathcal{G})$  and  $\#INDSUB(\mathcal{H} \rightarrow \mathcal{G})$  (Section 1.3.1) and for  $\#HOM(\mathcal{H} \rightarrow \mathcal{G})$  (Section 1.3.2). The main contribution of our work is these hardness proofs. The upper bounds hold from (simple adaptations of) previous work.

1.3.1. Classifying Subgraph and Induced Subgraph Counting. We start 284 by analysing a simple case. Recall that a graph family  $\mathcal{G}$  is somewhere dense if, for 285some  $r \in \mathbb{N}_0$ , for all  $k \in \mathbb{N}$  there is a  $G \in \mathcal{G}$  such that  $K_k^r$  is a subgraph of G. From 286this characterization it is immediate that, if  $\mathcal{G}$  is somewhere dense and monotone, 287288 then it contains the r-subdivisions of every graph. In turn, this implies that detecting subdivisions of cliques in  $\mathcal{G}$  is at least as hard as the parameterised clique problem [27]. 289Since the parameterised clique problem is W[1]-hard, we deduce that  $\#SUB(\mathcal{H} \to \mathcal{G})$ 290 and #INDSUB $(\mathcal{H} \to \mathcal{G})$  are intractable when  $\mathcal{H} = \{K_k^r : k, r \in \mathbb{N}\}$  and  $\mathcal{G}$  is monotone 291and somewhere dense. Unfortunately, it is unclear how to extend this approach to 292293 arbitrary  $\mathcal{H}$ , since the elements of  $\mathcal{H}$  are not necessarily r-subdivisions of graphs that are hard to count. To show how this obstacle can be overcome, we will focus on 294#SUB $(\mathcal{H} \to \mathcal{G})$  when  $\mathcal{H}$  is the class of k-matchings,  $\mathcal{M} = \{M_k : k \in \mathbb{N}\}$ ; in other 295 words, on the problem of counting k-matchings,  $\#SUB(\mathcal{M} \to \mathcal{G})$ . This problem will 296 turn out to be the minimal hard case for  $\#SUB(\mathcal{H} \to \mathcal{G})$ , and its analysis will contain 297the key ingredients of our proof. The proof for #INDSUB( $\mathcal{H} \to \mathcal{G}$ ) will be similar. 298

Let us start by outlining the hardness proof of #SUB( $\mathcal{M} \to \mathcal{G}$ ) when  $\mathcal{G} = \mathcal{U}$ , by using the interpolation technique discussed in Section 1.2. From [18], we know that for every  $k \in \mathbb{N}$  there is a function  $a_k : \mathcal{U} \to \mathbb{Q}$  with finite support such that, for every  $\mathcal{G} \in \mathcal{U}$ ,

303 (1.1) 
$$\#\mathsf{Sub}(M_k \to G) = \sum_H a_k(H) \cdot \#\mathsf{Hom}(H \to G)$$

where the sum is over all isomorphism classes of all graphs. By a classic result of 304 305 Dalmau and Jonsson [23], computing  $\# Hom(H \to G)$  is not fixed-parameter tractable for H of unbounded treewidth, unless ETH fails. Hence, if we could use (1.1) to show 306 307 that an FPT algorithm for computing  $\#Sub(M_k \to G)$  yields an FPT algorithm for computing  $\# Hom(H \to G)$  for some H whose treewidth grows with k, we would 308 conclude that computing  $\#\mathsf{Sub}(M_k \to G)$  is not fixed-parameter tractable unless 309 ETH fails. This is what [18] indeed prove. The idea is to apply (1.1) not to G, 310 but to a set of carefully chosen graphs  $G_1, \ldots, G_\ell$  such that the counts  $\# \mathsf{Hom}(M_k \to \mathcal{O}_k)$ 311

312  $\hat{G}_1$ ,..., #Hom $(M_k \to \hat{G}_\ell)$  can be used to solve a linear system and infer #Hom $(H \to G)$  for all H appearing on the right-hand side of (1.1).

Let us explain this idea in more detail. Suppose we had an oracle for  $\#SUB(\mathcal{M} \rightarrow \mathcal{M})$ 

315  $\mathcal{U}$ ), so that we could quickly compute  $\#\mathsf{Sub}(M_k \to G)$  for any desired G. Let  $\ell$  be the

size of the support of  $a_k$ , which is finite and thus a function of k, and let  $\{G_i\}_{i=1,...,\ell}$ 

be a set of graphs such that each  $G_i$  has size bounded by a function of k. It is a well-known fact that, for all graphs H, G, G',

319 (1.2) 
$$\#\operatorname{Hom}(H \to G \times G') = \#\operatorname{Hom}(H \to G) \cdot \#\operatorname{Hom}(H \to G').$$

By combining (1.1) and (1.2), for each  $i = 1, \ldots, \ell$  we obtain

(1.3)

321 
$$\#\mathsf{Sub}(M_k \to G \times G_i) = \sum_H a_k(H) \cdot \#\mathsf{Hom}(H \to G_i) \cdot \#\mathsf{Hom}(H \to G) = \sum_{\substack{H \\ a_k(H) \neq 0}} b_H^i \cdot X_H ,$$

where  $b_H^i := \# \operatorname{Hom}(H \to G_i)$  and  $X_H := a_k(H) \cdot \# \operatorname{Hom}(H \to G)$ . Now, we can 323 324 compute  $\#Hom(H \to G_i)$  in FPT time since  $|G_i|$  is bounded by a function of k, and we can compute  $\#\mathsf{Sub}(M_k \to G \times G_i)$  using the oracle. Therefore, in FPT 325 time we can compute a system of  $\ell$  linear equations with the  $X_H$  as unknowns. By 326 applying classical results due to Lovász (see e.g. [42, Chapter 5]), Curticapean et al. 327 [18] showed that there always exists a choice of the  $G_i$ 's such that this system has a 328 329 unique solution. Hence, using those  $G_i$ 's one can compute  $\# Hom(H \to G)$  in FPT time for all H with  $a_k(H) \neq 0$ . In particular, one can compute  $\# \mathsf{Hom}(F_k \to G)$  where 330  $F_k$  is any k-edge graph of maximal treewidth, since [18] also showed that  $a_k(H) \neq 0$ 331 for all H with  $|E(H)| \leq k$ . This gives a parameterized reduction from  $\# HOM(\mathcal{F} \to \mathcal{U})$ to  $\#SUB(\mathcal{M} \to \mathcal{U})$ , where  $\mathcal{F}$  is the class of all maximal-treewidth graphs  $F_k$ . Since 333 #HOM $(\mathcal{F} \to \mathcal{U})$  is hard by [23], the reduction establishes hardness of #SUB $(\mathcal{M} \to \mathcal{U})$ 334 335 as desired.

Our main question is whether this strategy can be extended from  $\mathcal{U}$  to any monotone somewhere dense class  $\mathcal{G}$ . This it not obvious, since the argument above relies on two crucial ingredients that may be lost when moving from  $\mathcal{U}$  to  $\mathcal{G}$ :

(I.1) We need to find a family of graphs  $\hat{\mathcal{F}} = \{\hat{F}_k \mid k \in \mathbb{N}\}$  such that  $\#\text{HOM}(\hat{\mathcal{F}} \to \mathcal{G})$ is hard and, for all  $k \in \mathbb{N}$ ,  $a_k(\hat{F}_k) \neq 0$ .

(I.2) We need to find graphs  $G_i$  such that  $G \times G_i \in \mathcal{G}$ . This is necessary since the argument performs a reduction to the problem of counting  $\# \mathsf{Sub}(M_k \rightarrow G \times G_i)$ , and is not straightforward since  $G \times G_i$  may not be in  $\mathcal{G}$  even when both  $G, G_i$  are.

It turns out that both requirements can be satisfied in a systematic way. First, we 345 346 study  $\#SUB(\mathcal{H} \to \mathcal{G})$  in some carefully chosen vertex-coloured and edge-coloured version. It is well-known that the coloured version of the problem is equivalent in 347 complexity (in the FPT sense) to the uncoloured version; so, to make progress, we 348 may consider the coloured version. Next, coloured graphs come with a canonical 349 350 coloured version of the tensor product which satisfies (1.2), so we can hope to apply interpolation via tensor products in the colorful setting, too. The introduction of 351352 colours in the analysis of parameterised problems is a common tool for streamlining reductions that are otherwise unnecessarily complicated (see e.g. [20, 51, 26, 30]). The 353 technical details of the coloured version are not hard, but cumbersome to state; since 354here we do not need them, we defer them to Section 2. Let us now give a high-level 355explanation of how we achieve (I.1) and (I.2). 356

For (I.1), we let  $\hat{\mathcal{F}}$  be the class of all *r*-subdivisions of a family  $\mathcal{E}$  of regular expander graphs. A simple construction then allows us to reduce  $\#\text{HOM}(\mathcal{E} \to \mathcal{U})$ , which is known to be hard, to  $\#\text{HOM}(\hat{\mathcal{F}} \to \mathcal{U}^r)$ , where  $\mathcal{U}^r$  is the set of all *r*-subdivisions of graphs. As noted above  $\mathcal{U}^r \subseteq \mathcal{G}$ , hence  $\#\text{HOM}(\hat{\mathcal{F}} \to \mathcal{G})$  is hard. We will show in the coloured version that for each graph  $F_k \in \hat{\mathcal{F}}$  with *k* edges,  $a_k(F_k) \neq 0$  (see the proof of Lemma 4.6). Thus, (I.1) is satisfied.

For (I.2) we construct, for each k, a finite sequence of coloured graphs  $G_1, G_2, \ldots$ 363 satisfying the following two conditions: the system of linear equations given by (the 364 coloured version of) (1.3) has a unique solution, and the coloured tensor product 365 between each  $G_i$  and any coloured graph in  $\mathcal{U}^r$  is in  $\mathcal{G}$ . Concretely, we choose as  $G_i$ 366 the so-called *fractured graphs* of the r-subdivisions of the expanders in  $\mathcal{E}$ . Fractured 367 graphs are obtained by a splitting operation on a graph and come with a natural 368 vertex colouring. They have been introduced in recent work on classifying subgraph 369 counting problems [51] and we describe them in Section 2.1. 370

Together, our resolutions of (I.1) and (I.2) yield a colourful version of the frame-371 work of [18] that applies to any monotone somewhere dense class of host graphs. As a 372 consequence we obtain that  $\#HOM(\mathcal{E} \to \mathcal{U})$ , the problem of counting homomorphisms 373 374 from expanders in  $\mathcal{E}$  to arbitrary hosts graphs, reduces in FPT time to  $\#SUB(\mathcal{M} \to \mathcal{G})$ whenever  $\mathcal{G}$  is monotone and somewhere dense. Since  $\#HOM(\mathcal{E} \to \mathcal{U})$  is intractable, 375 this proves the hardness of  $\#SUB(\mathcal{M} \to \mathcal{G})$  for all monotone and somewhere dense  $\mathcal{G}$ , 376 as stated in Theorem 1.2. From this result we will then be able to prove our general classification for  $\#SUB(\mathcal{H} \to \mathcal{G})$  (Theorem 1.3) by combining existing results and 378 379 Ramsey-type arguments on  $\mathcal{H}$  and  $\mathcal{G}$ .

This concludes our overview for #SUB( $\mathcal{H} \to \mathcal{G}$ ). The proofs for #INDSUB( $\mathcal{H} \to \mathcal{G}$ ) are similar, but instead of #SUB( $\mathcal{M} \to \mathcal{G}$ ), they use as a minimal hard case #INDSET( $\mathcal{G}$ ), the problem of counting k-independent sets in host graphs from  $\mathcal{G}$ .

**1.3.2.** Classifying Homomorphism Counting via Wall Minors. The proof 383 of our dichotomy for  $\#HOM(\mathcal{H} \to \mathcal{G})$  for monotone  $\mathcal{H}$  and  $\mathcal{G}$  (Theorem 1.7) requires 384us to establish hardness when  $\mathcal{G}$  is somewhere dense and  $\mathsf{tw}(\mathcal{H}) = \infty$ . Recall that our 385 solution of (I.1) relied on a reduction from (the coloured version of)  $\# HOM(\mathcal{E} \to \mathcal{U})$ 386 to (the coloured version of)  $\# HOM(\hat{\mathcal{F}} \to \mathcal{U}^r)$ , where  $\mathcal{E}$  is a family of regular expander 387 graphs,  $\hat{\mathcal{F}}$  is the class of all r-subdivisions of graphs in  $\mathcal{E}$ , and  $\mathcal{U}^r$  is the class of r-388 subdivisions of all graphs. Since for all monotone somewhere dense classes  $\mathcal{G}$  there is 389 an r such that  $\mathcal{U}^r \subseteq \mathcal{G}$ , we would be done if we could make sure that every monotone 390 class of graphs of unbounded treewidth  $\mathcal{H}$  contains  $\hat{\mathcal{F}}$  as a subset. Unfortunately, this 391 392 is not the case. As a trivial example,  $\mathcal{H}$  could be the class of all graphs of degree at most 3 while  $\mathcal{E}$  is a family of 4-regular expanders. 393

To circumvent this problem, we use a result of Thomassen [58] to prove that, for 394 every positive integer r, every monotone class of graphs  $\mathcal{H}$  with unbounded treewidth, 395 and every wall  $W_{k,k}$ , the class  $\mathcal{H}$  contains a subdivision of  $W_{k,k}$  in which each edge is 396 subdivided a positive multiple of r times. Now, the crucial property of the class of all 397 walls  $\mathcal{W} := \{W_{k,k} \mid k \in \mathbb{N}\}$  is that  $\#\text{HOM}(\mathcal{W} \to \mathcal{U})$  is intractable by the classification 398 of Dalmau and Jonsson [23]. Refining our constructions based on subdivided graphs, 399 we are then able to show that  $\#HOM(\mathcal{W} \to \mathcal{U})$  reduces to  $\#HOM(\mathcal{H} \to \mathcal{G})$  whenever 400 $\mathcal{H}$  is monotone and of unbounded treewidth, and  $\mathcal{G}$  is monotone and somewhere dense. 401 402Theorem 1.7 will then follow as a direct consequence.

403 **2. Preliminaries.** We denote the set of non-negative integers by  $\mathbb{N}_0$ , and the 404 set of positive integers by  $\mathbb{N}$ . Graphs in this work are undirected and without self-405 loops unless stated otherwise. A *subdivision* of a graph *G* is obtained by subdividing



FIG. 1. A fractured graph  $Q\#\sigma$  from [51]. Left: a vertex  $v \in V(Q)$  with incident edges  $E_Q(v) = \{\bullet, \bullet, \bullet, \bullet, \bullet, \bullet, \bullet\}$ . Right: the splitting of v in  $Q\#\sigma$  for a fracture  $\sigma$  where the partition  $\sigma_v$  of  $E_Q(v)$  consists of the two blocks  $B_1 = \{\bullet, \bullet, \bullet\}$ , and  $B_2 = \{\bullet, \bullet, \bullet\}$ .

each edge of G arbitrarily often. Given a graph G and  $r \in \mathbb{N}_0$ , we write  $G^r$  for the 406r-subdivision of G, i.e., the graph obtained from G by subdividing each edge r times 407 (so that it becomes a path of r+1 edges). Note that  $G^0 = G$ . (The graph  $G^{r-1}$ ) 408 is also called the "r-stretch of G" in the literature). Given a graph G and a vertex 409  $v \in V(G)$ , we write  $E_G(v) := \{e \in E(G) \mid v \in e\}$  for the set of edges incident to 410 v. Furthermore, given  $A \subseteq E(G)$ , we write G[A] for the graph (V(G), A). Given a 411 subset of vertices  $S \subseteq V(G)$ , we write G[S] for the subgraph of G induced by the 412 vertices in S, that is,  $G[S] := (S, \{e \in E(G) \mid e \subseteq S\})$ . An "induced subgraph" of G 413is a subgraph induced by some  $S \subseteq V(G)$ . 414

415 A homomorphism from a graph H to a graph G is a mapping  $\varphi : V(H) \to V(G)$ 416 which is edge-preserving, that is,  $\{u, v\} \in E(H)$  implies  $\{\varphi(u), \varphi(v)\} \in E(G)$ . We 417 write:

• Hom $(H \to G)$  for the set of all homomorphisms from H to G,

• SurHom $(H \to G)$  for the set of all surjective homomorphisms from H to G,

•  $\mathsf{Sub}(H \to G)$  for the set of all subgraphs of G isomorphic to H, and

•  $\mathsf{IndSub}(H \to G)$  for the set of all induced subgraphs of G isomorphic to H.

422 **2.1. Coloured Graphs and Fractures.** Let H be a graph. Following standard 423 terminology, we refer to an element of  $\text{Hom}(G \to H)$  as an H-colouring of the graph G. 424 An H-coloured graph is a pair (G, c) where G is a graph and c an H-colouring of G. 425 We say that (G, c) is a surjectively H-coloured graph if  $c \in \text{SurHom}(G \to H)$ .

426 Given two *H*-coloured graphs  $(F, c_F)$  and  $(G, c_G)$ , a homomorphism from  $(F, c_F)$ 427 to  $(G, c_G)$  is a mapping  $\varphi \in \text{Hom}(F \to G)$  such that  $c_G(\varphi(v)) = c_F(v)$  for each 428  $v \in V(F)$ .<sup>7</sup> We write  $\text{Hom}((F, c_F) \to (G, c_G))$  for the set of all homomorphisms from 429  $(F, c_F)$  to  $(G, c_G)$ .

Following the terminology of [51], we define a fracture of a graph H as a |V(H)|tuple  $\rho = (\rho_v)_{v \in V(H)}$  where  $\rho_v$  is a partition of the set  $E_H(v)$  of edges of H incident to v. Now, given a fracture  $\rho$  of H, we obtain the fractured graph  $H \# \rho$  from Hby splitting each vertex v according to the partition  $\rho_v$ . Formally, the graph  $H \# \rho$ contains a vertex  $v^B$  for each vertex  $v \in V(H)$  and block  $B \in \rho_v$ , and we make  $v^B$ and  $u^{B'}$  adjacent if and only if  $\{v, u\} \in E(H)$  and  $\{u, v\} \in B \cap B'$ . An illustration is provided in Figure 1.

The following H-colouring of a fractured graph is used implicitly in [51].

437

438 DEFINITION 2.1. Let H be a graph and  $\rho$  a fracture of H. We denote by  $c_{\rho}$ : 439  $V(H \# \rho) \rightarrow V(H)$  the function that maps  $v^B$  to v for each  $v \in V(H)$  and  $B \in \rho_v$ .

<sup>&</sup>lt;sup>7</sup>We remark that in previous work [51], homomorphisms between *H*-coloured graphs are called "colour-preserving" or, if F = H, "colour-prescribed". Since we will work almost exclusively in the coloured setting in this work, we will just speak of homomorphisms and always provide the *H*-colourings explicitly in our notation.

### 440 OBSERVATION 2.2. For each H and $\rho$ , $c_{\rho}$ is an H-colouring of $H \# \rho$ .

**2.2. Graph Classes, Invariants and Minors.** We use symbols  $\mathcal{F}, \mathcal{G}, \mathcal{H}$  to denote classes of graphs, and we denote by  $\mathcal{U}$  be the class of *all* graphs. A graph invariant is a function  $g : \mathcal{U} \to \mathbb{N}_0$  such that g(G) = g(H) whenever G and H are isomorphic. An invariant g is *bounded* on a graph family  $\mathcal{H}$  if there exists  $B \in \mathbb{N}_0$ such that  $g(H) \leq B$  for all  $H \in \mathcal{H}$ , in which case we write  $g(\mathcal{H}) < \infty$ ; otherwise we say g is unbounded on  $\mathcal{H}$  and write  $g(\mathcal{H}) = \infty$ . Our statements involve the following invariants.

448 DEFINITION 2.3 (Graph Invariants). For any graph G define:

451

- 449 the independence number  $\alpha(G)$ , i.e., the size of the largest independent set of 450 G
  - the clique number  $\omega(G)$ , i.e., the size of the largest complete subgraph of G
- 452 the biclique number  $\beta(G)$ , i.e., the largest k such that G contains a k-by-k 453 biclique as a subgraph, and its induced version, the induced biclique number 454  $\beta_{ind}(G)$
- the matching number m(G), i.e., the size of a maximum matching of G, and its induced version, the induced matching number  $m_{ind}(G)$

457 We denote by  $\mathsf{tw}(G)$  the *treewidth* of a graph G. We omit the definition of treewidth 458 as we rely on it in a black-box manner; the interested reader can see e.g. Chapter 7 459 of [22]. For any  $k \in \mathbb{N}$  the k-by-k grid graph  $\boxplus_k$ , depicted in Figure 2, is defined by 460  $V(\boxplus_k) = [k]^2$  and  $E(\boxplus_k) = \{\{(i,j), (i',j')\} : i, j, i', j' \in [k], |i-i'| + |j-j'| = 1\}$ . It 461 is well known that  $\mathsf{tw}(\boxplus_k) = k$ , see [22, Chapter 7.7.1].

462 We make use of the following two consequences of Ramsey's Theorem for an 463 arbitrary class of graphs  $\mathcal{H}$ . The first one is immediate, and the second one was 464 established by Curticapean and Marx in [20].

465 THEOREM 2.4. If  $|\mathcal{H}| = \infty$  then  $\max(\alpha(\mathcal{H}), \omega(\mathcal{H})) = \infty$ .

466 THEOREM 2.5. If  $m(\mathcal{H}) = \infty$  then  $\max(\omega(\mathcal{H}), \beta_{ind}(\mathcal{H}), m_{ind}(\mathcal{H})) = \infty$ .

467 A class of graphs is *hereditary* if it is closed under vertex deletion, and is *monotone* 468 if it is hereditary and closed under edge deletion. In other words, hereditary classes 469 are closed under taking induced subgraphs, and monotone classes are closed under 470 taking subgraphs.

To present the different notions of graph minors used in this paper in a unified way, we start by introducing *contraction models*.

473 DEFINITION 2.6 (Contraction model). A contraction model of a graph H in a 474 graph G is a partition  $\{V_1, \ldots, V_k\}$  of V(G) such that  $G[V_i]$  is connected for each 475  $i \in [k]$  and that H is isomorphic to the graph obtained from G by contracting each 476  $G[V_i]$  into a single vertex (and deleting multiple edges and self-loops).

477 Recall that a graph F is a *minor* of a graph G if F can be obtained from G by 478 deletion of edges and vertices, and by contraction of edges; equivalently, F is a minor 479 of G if F is a subgraph of a graph that has a contraction model in G. In this work, 480 we will also require the subsequent stricter notion of minors.

481 DEFINITION 2.7 (Shallow minor [48]). A graph F is a shallow minor at depth d 482 of a graph G if F is a subgraph of graph H that has a contraction model  $\{V_1, \ldots, V_k\}$ 483 in G satisfying the following additional constraint: for each  $i \in [k]$  there is a vertex 484  $x_i \in V_i$  such that each vertex in  $V_i$  has distance at most d from  $x_i$ . Given a class of 485 graphs  $\mathcal{G}$ , we write  $\mathcal{G} \nabla d$  for the set of all shallow minors at depth d of graphs in  $\mathcal{G}$ . Observe that the shallow minors at depth 0 of G are exactly the subgraphs of G, and the shallow minor of depth |V(G)| are exactly the minors of G. For this reason, the notion of a shallow minor can be considered an interpolation between subgraphs and minors. Furthermore, having introduced this notion, we are now able to define somewhere dense and nowhere dense graph classes.

491 DEFINITION 2.8 (Somewhere dense graph classes [48]). A class of graphs  $\mathcal{G}$  is 492 somewhere dense if  $\omega(\mathcal{G} \nabla d) = \infty$  for some  $d \in \mathbb{N}_0$ , and is nowhere dense if instead 493  $\omega(\mathcal{G} \nabla d) < \infty$  for all  $d \in \mathbb{N}_0$ .

#### <sup>494</sup> We use the following characterisation of monotone somewhere dense graph classes.<sup>8</sup>

495 LEMMA 2.9 (Remark 2 in [1]). Let  $\mathcal{G}$  be a monotone class of graphs. Then  $\mathcal{G}$  is 496 somewhere dense if and only if there exists  $r \in \mathbb{N}_0$  such that  $G^r \in \mathcal{G}$  for all  $G \in \mathcal{U}$ .

**2.3.** Parameterised and Fine-Grained Complexity. A parameterized counting problem is a pair  $(P, \kappa)$  where  $P : \{0,1\}^* \to \mathbb{N}$  and  $\kappa : \{0,1\}^* \to \mathbb{N}$  is computable. For an instance x of P we call  $\kappa(x)$  the parameter of x. An algorithm  $\mathbb{A}$  is fixed-parameter tractable (FPT) w.r.t. a parameterization  $\kappa$  if there is a computable function f such that  $\mathbb{A}$  runs in time  $f(\kappa(x)) \cdot |x|^{O(1)}$  on every input x. A parameterized counting problem  $(P, \kappa)$  is fixed-parameter tractable (FPT) if there is an FPT algorithm (w.r.t.  $\kappa$ ) that computes P.

504 A parameterized Turing reduction from  $(P, \kappa)$  to  $(P', \kappa')$  is an algorithm  $\mathbb{A}$  equipped 505 with oracle access to P' satisfying the following constraints:

506 (A1)  $\mathbb{A}$  computes P

507

(A2)  $\mathbb{A}$  is FPT w.r.t.  $\kappa$ 

(A3) there is a computable function g such that, on input x, each oracle query x'satisfies that  $\kappa'(x') \leq g(\kappa(x))$ .

510 We write  $(P,\kappa) \leq^{\mathsf{FPT}} (P',\kappa')$  if a parameterized Turing reduction from  $(P,\kappa)$  to 511  $(P',\kappa')$  exists.

The parameterized counting problem #CLIQUE asks, on input a graph G and  $k \in \mathbb{N}$ , to compute the number of k-cliques in G; the parameter is k. As shown by Flum and Grohe [28], #CLIQUE is the canonical complete problem for the parameterized complexity class #W[1]. In particular, a parameterized counting problem  $(P, \kappa)$  is called #W[1]-hard if #CLIQUE  $\leq^{\mathsf{FPT}}(P,\kappa)$ . We omit the technical definition of #W[1] via weft-1 circuits (see Chapter 14 of [29]), but we recall that #W[1]-hard problems are not FPT unless standard hardness assumptions fail (see below). We define the problems studied in this work. As usual  $\mathcal{H}$  and  $\mathcal{G}$  denote classes of graphs.

520 DEFINITION 2.10.  $\#\text{HOM}(\mathcal{H} \to \mathcal{G}), \#\text{SUB}(\mathcal{H} \to \mathcal{G}), \#\text{INDSUB}(\mathcal{H} \to \mathcal{G})$  ask, given 521  $H \in \mathcal{H}$  and  $G \in \mathcal{G}$ , to compute respectively  $\#\text{Hom}(H \to G), \#\text{Sub}(H \to G)$ , and 522  $\#\text{IndSub}(H \to G)$ . The parameter is |H|.

For example,  $\#SUB(\mathcal{H} \to \mathcal{G}) = \#CLIQUE$  when  $\mathcal{H}$  is the class of all complete graphs and  $\mathcal{G}$  the class of all graphs. The following result follows immediately from an algorithm for counting answers to Boolean queries in nowhere dense graphs due to Nešetřil and Ossona de Mendez [49].

527 THEOREM 2.11 (Theorem 18.9 in [49]). If  $\mathcal{G}$  is nowhere dense then  $\#\text{HOM}(\mathcal{H} \to 528 \quad \mathcal{G}), \#\text{SUB}(\mathcal{H} \to \mathcal{G}), and \#\text{INDSUB}(\mathcal{H} \to \mathcal{G})$  are fixed-parameter tractable and can be 529 solved in time  $f(|H|) \cdot |V(G)|^{1+o(1)}$  for some computable function f.

<sup>&</sup>lt;sup>8</sup>It is non-trivial to pinpoint the first statement of Lemma 2.9 in the literature: Dvorák et al. [27] attribute it to Nešetřil and de Mendez [48], who provide an implicit proof. The first explicit statement is, to the best of our knowledge, due to Adler and Adler [1].

530 In an intermediate step towards our classifications, we will rely on a coloured 531 version of homomorphism counting.

532 DEFINITION 2.12. #CP-HOM( $\mathcal{H} \to \mathcal{G}$ ) asks, given  $H \in \mathcal{H}$  and a surjectively<sup>9</sup> H-533 coloured graph (G, c) with  $G \in \mathcal{G}$ , to compute  $\#\text{Hom}((H, \text{id}_H) \to (G, c))$ , where  $\text{id}_H$ 534 denotes the identity on V(H). The parameter is |H|.

It is well known that  $\#CP-HOM(\mathcal{H} \to \mathcal{U})$  reduces to the uncoloured version via inclusion-exclusion. The same holds for  $\#CP-HOM(\mathcal{H} \to \mathcal{G})$ , too, if  $\mathcal{G}$  is monotone. Formally:

538 LEMMA 2.13 (see e.g. Lemma 2.49 in [53]). If  $\mathcal{G}$  is monotone then #CP-HOM( $\mathcal{H} \rightarrow \mathcal{G}$ ) 539  $\mathcal{G}$ )  $\leq^{\mathsf{FPT}} \#$ HOM( $\mathcal{H} \rightarrow \mathcal{G}$ ). Moreover, on input  $H \in \mathcal{H}$  and (G, c) with  $G \in \mathcal{G}$ , every 540 oracle query (H', G') in the reduction satisfies H' = H and  $G' \subseteq G$ .

An implicit consequence of the parameterized complexity classification for counting homomorphisms due to Dalmau and Jonsson [23] establishes the following hardness

result for #CP-HOM; an explicit argument can be found e.g. in Chapter 2 in [53].

544 THEOREM 2.14 ([23]). If  $\mathcal{H}$  is recursively enumerable and  $\mathsf{tw}(\mathcal{H}) = \infty$  then 545  $\#\mathsf{CP}\text{-}\mathsf{HOM}(\mathcal{H} \to \mathcal{U})$  is  $\#\mathsf{W}[1]$ -hard.

546 Finally, all running-time lower bounds in this paper are conditional on ETH:

547 DEFINITION 2.15 ([38]). The Exponential Time Hypothesis (ETH) asserts that 548 3-SAT cannot be solved in time  $\exp(o(n))$  where n is the number of variables of the 549 input formula.

550 Chen et al. [12, 13] showed that there is no function f such that #CLIQUE can be 551 solved in time  $f(k) \cdot |G|^{o(k)}$  unless ETH fails. This in particular implies that #W[1]-552 hard problems are not FPT unless ETH fails. Marx [43] strengthened Theorem 2.14 553 into:<sup>10</sup>

THEOREM 2.16 ([43]). If  $\mathcal{H}$  is recursively enumerable and  $\mathsf{tw}(\mathcal{H}) = \infty$  then #CP-HOM( $\mathcal{H} \to \mathcal{U}$ ) cannot be solved in time  $f(|\mathcal{H}|) \cdot |G|^{o\left(\frac{\mathsf{tw}(\mathcal{H})}{\log \mathsf{tw}(\mathcal{H})}\right)}$  for any function f, unless ETH fails.

The question of whether the  $(\log tw(H))^{-1}$  factor in the above lower bound can be omitted can be considered the counting version of the open problem "Can you beat treewidth?" [43, 44].

**3.** Counting Homomorphisms. This section is devoted to the proof of our dichotomy theorem for  $\#\text{HOM}(\mathcal{H} \to \mathcal{G})$ , Theorem 1.7. We start by showing a reduction from  $\#\text{CP-HOM}(\mathcal{H} \to \mathcal{U})$  to counting colour-prescribed homomorphisms between subdivided graphs. While the proof is straightforward, the reduction will turn out useful for the more involved cases of  $\#\text{SUB}(\mathcal{H} \to \mathcal{G})$  and  $\#\text{INDSUB}(\mathcal{H} \to \mathcal{G})$ . Theorem 1.7 will be an immediate consequence of Theorem 2.11 and Theorem 3.6 below.

To begin with, let  $c \in \mathsf{SurHom}(G \to H)$  and let  $r \in \mathbb{N}_0$ . Define the following canonical homomorphism  $c^r$  from  $G^r$  to  $H^r$ . For each  $u \in V(G)$ , set  $c^r(u) := c(u)$ . For any edge  $e = \{u_1, u_2\} \in E(G)$ , let  $u_1, w_1, \ldots, w_r, u_2$  be the corresponding path in  $G^r$ . Let  $e' = \{v_1, v_2\} = \{c(u_1), c(u_2)\}$  — note that  $e' \in E(H)$  as  $c \in \mathsf{Hom}(G \to H)$ 

<sup>&</sup>lt;sup>9</sup>In previous works (e.g. in [51]), the definition of  $\#CP-HOM(\mathcal{H} \to \mathcal{G})$  did not require the *H*-colouring to be surjective. However, one can always assume surjectivity, since  $\#Hom((H, id_H) \to (G, c)) = 0$  if *c* is not surjective. We decided to make the surjectivity condition explicit in this work.

<sup>&</sup>lt;sup>10</sup>More precisely, Marx established the bound for the so-called partitioned subgraph problem. However, as shown in [55], the lower bound immediately translates to  $\#CP-HOM(\mathcal{H} \to \mathcal{U})$ .

- 570 and let  $v_1, x_1, \ldots, x_r, v_2$  be the corresponding path in  $H^r$ . Then, set  $c^r(w_i) := x_i$
- for each  $i \in \{1, ..., r\}$ . It is easy to see that  $c^r$  is a surjective  $H^r$ -colouring of  $G^r$ . Furthermore:
- 573 LEMMA 3.1. For every surjectively H-coloured graph (G, c) and every  $r \in \mathbb{N}_0$ ,

574 (3.1) 
$$\# \operatorname{Hom}((H, \operatorname{id}_H) \to (G, c)) = \# \operatorname{Hom}((H^r, \operatorname{id}_{H^r}) \to (G^r, c^r))$$

576 where  $\operatorname{id}_H$  and  $\operatorname{id}_{H^r}$  are the identities on respectively V(H) and  $V(H^r)$ .

*Proof.* We define a bijection  $b : \mathsf{Hom}((H, \mathsf{id}_H) \to (G, c)) \to \mathsf{Hom}((H^r, \mathsf{id}_{H^r}) \to (G, c))$ 577  $(G^r, c^r)$ ). Let  $\varphi \in \mathsf{Hom}((H, \mathsf{id}_H) \to (G, c))$ . For every  $v \in V(H)$  let  $b(\varphi)(v) = \varphi(v)$ . 578For every  $\{v_1, v_2\} \in E(H)$  and every  $i \in [r]$ , if  $u_1 = \varphi(v_1)$  and  $u_2 = \varphi(v_2)$ , and if  $x_i$  and  $w_i$  are the *i*-th vertices on the paths respectively between  $v_1$  and  $v_2$  in 580 $H^r$  and between  $u_1$  and  $u_2$  in  $G^r$ , then let  $b(\varphi)(x_i) = w_i$ . It is easy to see that 581  $b(\varphi) \in \mathsf{Hom}((H^r, \mathsf{id}_{H^r}) \to (G^r, c^r))$  and that b is injective. To see that b is surjective 582as well, note that for every  $\varphi^r \in \mathsf{Hom}((H^r, \mathsf{id}_{H^r}) \to (G^r, c^r))$  its restriction  $\varphi^r|_{V(H)}$ 583 to V(H) satisfies  $\varphi^r|_{V(H)} \in \mathsf{Hom}((H, \mathsf{id}_H) \to (G, c))$  and  $b(\varphi^r|_{V(H)}) = \varphi^r$ . 584Π

**3.1. Warm-up: Minor-closed Pattern Classes.** Using the characterisation of somewhere dense graph classes in Lemma 2.9, and known lower bounds for counting homomorphisms from grid graphs, we obtain as an easy consequence the following complexity dichotomy:

THEOREM 3.2. Let  $\mathcal{H}$  be a minor-closed class of graphs and let  $\mathcal{G}$  be a monotone and somewhere dense class of graphs.

- 591 1. If  $\mathsf{tw}(\mathcal{H}) < \infty$  then  $\#\operatorname{HOM}(\mathcal{H} \to \mathcal{G}) \in \mathsf{P}$ . Moreover, if a tree decomposition 592 of H of width t is given, then  $\#\operatorname{HOM}(\mathcal{H} \to \mathcal{G})$  can be solved in time  $|H|^{O(1)} \cdot$ 593  $|V(G)|^{t+1}$ .
- 594 2. If  $\mathsf{tw}(\mathcal{H}) = \infty$ , then  $\#\mathrm{HOM}(\mathcal{H} \to \mathcal{G})$  is  $\#\mathrm{W}[1]$ -hard and, assuming ETH, 595 cannot be solved in time  $f(|H|) \cdot |G|^{o(\mathsf{tw}(H))}$  for any function f.

*Proof.* The tractability result is well known [24, 23], so we only need to prove the hardness part. Recall that  $\boxplus_k$  denotes the k-by-k grid; see Figure 2 for a depiction of  $\boxplus_4$ . Let  $\boxplus := {\boxplus_k \mid k \in \mathbb{N}}$ . It is known that  $\#CP-HOM(\boxplus \to \mathcal{U})$  is #W[1]-hard and, unless ETH fails, cannot be solved in time  $f(k) \cdot |G|^{o(k)}$  for any function f (see [16, Lemma 1.13 and 5.7] or [53, Lemma 2.45]). As tw $(\boxplus_k) = k$ , the lower bound above can be written as  $f(k) \cdot |G|^{o(tw(\boxplus_k))}$ .

Let  $(\boxplus_k, (G, c))$  be the input to #CP-HOM $(\boxplus \to \mathcal{U})$ . Since  $\mathcal{G}$  is somewhere dense and monotone, by Lemma 2.9 there is  $r \in \mathbb{N}_0$  such that  $\mathcal{G}$  contains the *r*-subdivision of every graph and thus, in particular,  $G^r$ . Moreover, since tw $(\mathcal{H}) = \infty$  and  $\mathcal{H}$  is minor-closed, by the Excluded-Grid Theorem [52]  $\mathcal{H}$  contains every planar graph and thus in particular  $\boxplus_k^r$ . Clearly,  $\boxplus_k^r$ ,  $G^r$  and  $c^r$  can be computed in polynomial time. Moreover, by Lemma 3.1,

$$\#$$
Hom $((\boxplus_k, id_{\boxplus_k}) \to (G, c)) = \#$ Hom $((\boxplus_k^r, id_{\boxplus_r^r}) \to (G^r, c^r))$ 

609 Hence  $\#CP-HOM(\boxplus \to \mathcal{U}) \leq^{\mathsf{FPT}} \#CP-HOM(\mathcal{H} \to \mathcal{G})$ . Since  $\#CP-HOM(\mathcal{H} \to \mathcal{G}) \leq^{\mathsf{FPT}}$ 610  $\#HOM(\mathcal{H} \to \mathcal{G})$  by Lemma 2.13, we conclude that  $\#HOM(\mathcal{H} \to \mathcal{G})$  is #W[1]-hard. 611 For the conditional lower bound, observe that both reductions used above preserve 612 the treewidth of the pattern (the first because treewidth is invariant under edge sub-613 division,<sup>11</sup> the second by Lemma 2.13).

608

 $<sup>^{11}</sup>$ For example, this invariance is in Exercises 7.7 and 7.13 in [22].

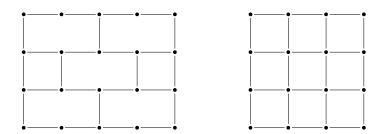


FIG. 2. The wall  $W_{4,5}$  (left) and the grid  $\boxplus_4$  (right).

614 **3.2. Monotone Pattern Classes.** The strengthening of Theorem 3.2 to mono-615 tone pattern classes can be done by reduction from counting homomorphisms from a 616 class of well-known graphs called *walls*.

617 DEFINITION 3.3 (Walls). Let  $k, \ell \in \mathbb{N}$ . The wall of height k and length  $\ell$ , denoted 618 by  $W_{k,\ell}$ , is the graph whose vertex set is  $\{v_{i,j} : 1 \leq i \leq k, 1 \leq j \leq \ell\}$  and whose edge 619 set contains:

620 •  $\{v_{i,j}, v_{i,j+1}\}$  for all  $1 \le i \le k$  and  $1 \le j \le \ell - 1$ ,

621 •  $\{v_{i,1}, v_{i+1,1}\}$  and  $\{v_{i,\ell}, v_{i+1,\ell}\}$  for all  $1 \le i \le k-1$ 

622 •  $\{v_{i,j}, v_{i+1,j}\}$  for all  $1 \le i \le k-1$  and  $1 \le j \le \ell$  such that i+j is even.

Figure 2 depicts  $W_{4,5}$  as an example. We let  $\mathcal{W} := \{W_{k,k} \mid k \in \mathbb{N}\}$  be the class of all walls.

<sup>625</sup> The following structural property of large walls is due to Thomassen.<sup>12</sup>

EEMMA 3.4 (Proposition 3.2 in [58]). For every  $k, r \in \mathbb{N}$ , there exists  $h(k, r) \in \mathbb{N}$ such that every subdivision of  $W_{h(k,r),h(k,r)}$  contains as a subgraph a subdivision of  $W_{k,k}$  in which each edge is subdivided a (positive) multiple of r times.

The final ingredient of our proof for the classification of monotone pattern classes is given by Lemma 3.5, which is an immediate consequence of Lemma 2.9.

EEMMA 3.5. Let  $\mathcal{G}$  be a monotone and somewhere dense class of graphs. There exists  $r \in \mathbb{N}_0$  such that the following holds. Let G be any graph and let G' be any graph obtained from G by subdividing each edge a (positive) multiple of r times. Then G' is contained in  $\mathcal{G}$ .

Proof. We show that the claim holds for the  $r \in \mathbb{N}_0$  given by Lemma 2.9. For this r, Lemma 2.9 guarantees that for every graph  $H, H^r \in \mathcal{G}$ . Now let G be any graph and label its edges  $e_1, \ldots, e_m$ . Let G' be any graph obtained from G by subdividing each edge a (positive) multiple of r times. Then there exist  $d_1, \ldots, d_m \in \mathbb{N}$  such that, for each  $i \in [m]$ , the edge  $e_i$  is subdivided  $d_i r$  times. Now let  $\hat{G}$  be the graph obtained from G by subdividing, for each  $i \in [m]$ , the edge  $e_i$  just  $d_i$  times. It is immediate that  $G' = \hat{G}^r$ . Thus, by Lemma 2.9 and our choice of r, we have that  $G' \in \mathcal{G}$ .

642 We are now ready to establish the main result of this section.

<sup>643</sup> THEOREM 3.6. Let  $\mathcal{H}$  be a monotone class of graphs and let  $\mathcal{G}$  be a monotone and <sup>644</sup> somewhere dense class of graphs.

645 1. If  $\mathsf{tw}(\mathcal{H}) < \infty$  then  $\#\operatorname{HOM}(\mathcal{H} \to \mathcal{G}) \in \mathsf{P}$ . Moreover, if a tree decomposition 646 of H of width t is given, then  $\#\operatorname{HOM}(\mathcal{H} \to \mathcal{G})$  can be solved in time  $|H|^{O(1)} \cdot$ 647  $|V(G)|^{t+1}$ .

 $<sup>^{12}</sup>$ Note that walls are called grids in [58].

648 2. If  $\mathsf{tw}(\mathcal{H}) = \infty$ , then  $\#\mathrm{HOM}(\mathcal{H} \to \mathcal{G})$  is  $\#\mathrm{W}[1]$ -hard and, assuming ETH, 649 cannot be solved in time  $f(|H|) \cdot |G|^{o(\mathsf{tw}(H))}$  for any function f.

*Proof.* The tractability result is well known [24, 23], so we only need to prove 650 point 2. To this end, we will reduce from  $\#CP-HOM(\mathcal{W} \to \mathcal{U})$ . Walls clearly have 651 grid minors of linear size, that is, there is a function  $h \in \Theta(k)$  such that  $W_{k,k}$  con-652 tains  $\boxplus_{h(k)}$  as a minor. Furthermore, it is well-known that #CP-HOM is minor-653 monotone (see e.g. [16, Lemma 5.8] or [53, Lemma 2.47]), hence  $\#CP-HOM(\boxplus \rightarrow$ 654  $\mathcal{U} \leq \mathsf{FPT} \# \mathsf{CP-HOM}(\mathcal{W} \to \mathcal{U})$ . Moreover the reduction is tight, in the sense that the 655lower bound for  $\#CP-HOM(\boxplus \to \mathcal{U})$  shown in the proof of Theorem 3.2 transfers to 656  $\#CP-HOM(\mathcal{W} \to \mathcal{U})$ ; hence  $\#CP-HOM(\mathcal{W} \to \mathcal{U})$  is #W[1]-hard and, assuming ETH, 657 it cannot be solved in time  $f(k) \cdot |G|^{o(\mathsf{tw}(W_{k,k}))}$  for any function f. 658

Let us now construct the reduction  $\#CP-HOM(\mathcal{W} \to \mathcal{U}) \leq {}^{\mathsf{FPT}} \#CP-HOM(\mathcal{H} \to \mathcal{G}).$ 659 Let  $r \in \mathbb{N}_0$  as given by Lemma 3.5. We use the fact that  $\mathsf{tw}(\mathcal{H}) = \infty$  implies that 660  $\mathcal{H}$  contains as minors all planar graphs; that is, for every planar graph F there is a 661 graph  $H \in \mathcal{H}$  such that F is a minor of H [52]. In particular,  $\mathcal{H}$  contains all walls 662  $W_{k,k}$  as minors. A graph J is said to be a "topological minor" of a graph H if there 663 is a subdivision of J that is isomorphic to a subgraph of H. Since walls have degree 664 at most 3, the fact that  $\mathcal{H}$  contains all walls as minors implies that it also contains 665 all walls as topological minors (see e.g. [25, Proposition 1.7.3]). 666

667 Now let  $W_{k,k}$  and (G, c) be an input instance of #CP-HOM $(\mathcal{W} \to \mathcal{U})$ . Let 668  $e_1, \ldots, e_\ell$  be the edges of  $W_{k,k}$  in arbitrary order. By Lemma 3.4, every subdivi-669 sion of  $W_{h(k,r),h(k,r)}$  contains as a subgraph a subdivision of  $W_{k,k}$  in which each edge 670 is subdivided a (positive) multiple of r times. Since  $\mathcal{H}$  contains  $W_{k,k}$  as a topological 671 minor, there is a subdivision of  $W_{k,k}$  that is isomorphic to a subgraph W' of a graph 672 in  $\mathcal{H}$ . Since  $\mathcal{H}$  is monotone, there are  $W' \in \mathcal{H}$  and  $d_1, \ldots, d_\ell \in \mathbb{N}_0$  such that W' is 673 obtained from  $W_{k,k}$  by subdividing  $e_i$  precisely  $d_i r$  times for each  $i \in [\ell]$ .

We will now construct from (G, c) a graph G' and a surjective homomorphism c'from G' to W'. For each edge  $e = \{u, v\}$  of G we proceed as follows. Since  $c \in$ Hom $(G \to W_{k,k})$ , then  $\{c(u), c(v)\} = e_i$  for some  $i \in [\ell]$ . By the definition of W',  $e_i$ was replaced by a path  $c(u), x_1, \ldots, x_{d_i r}, c(v)$ . Hence, we replace the edge e in G by a path  $u, w_1, w_2, \ldots, w_{d_i r}, v$ , where the  $w_j$  are fresh vertices. Furthermore, we extend the colouring c to the colouring c' by setting  $c'(w_j) := x_j$  for each  $j \in [d_i r]$ . Since cis surjective, so is c'. Also,

681

$$\#\operatorname{Hom}((W_{k,k}, \operatorname{id}_{W_{k,k}}) \to G, c) = \#\operatorname{Hom}((W', \operatorname{id}_{W'}) \to (G', c')).$$

By querying the oracle for  $\#CP-HOM(\mathcal{H} \to \mathcal{G})$  on the instance  $((W', id_{W'}), (G', c'))$ we can thus conclude our reduction. This immediately implies #W[1]-hardness of  $\#CP-HOM(\mathcal{H} \to \mathcal{G})$ . For the conditional lower bound, we observe that W' has the same treewidth as  $W_{k,k}$  since it is a subdivision of  $W_{k,k}$ , and that the size of (G', c') is clearly bounded by  $f(k) \cdot |G|^{O(1)}$  — note that the f depends on  $\mathcal{H}$  which is, however, fixed. A reduction to the uncoloured version via Lemma 2.13 completes the proof.  $\Box$ 

Theorem 1.7 follows immediately from Theorem 2.11 and Theorem 3.6. We conclude with a remark.

690 REMARK 3.7. A strengthening of Theorem 3.6 to hereditary pattern classes  $\mathcal{H}$  is 691 not possible. Suppose for instance that  $\mathcal{H}$  contains all complete graphs and  $\mathcal{G}$  is the 692 class of all bipartite graphs. Although  $\mathcal{H}$  is hereditary and of unbounded treewidth, and 693  $\mathcal{G}$  is monotone and somewhere dense, it is easy to see that  $\#\text{HOM}(\mathcal{H} \to \mathcal{G})$  is trivial, 694 since we can always output zero if  $H \in \mathcal{H}$  has at least 3 vertices. When it comes

to a sufficient and necessary condition for tractability in case of hereditary classes 695 696 of patterns, we conjecture that induced grid minor size might be the right candidate. However, even for very special cases, such as classes of degenerate host graphs (which 697 are somewhere dense and monotone), it is still open whether induced grid minor size 698 is the correct answer [9]. Thus, we leave the classification for hereditary classes of 699 patterns as an open problem for further research. 700

4. Counting Subgraphs. This section is devoted to the proofs of Theorem 1.2, 701 Theorem 1.4, and Theorem 1.3. We begin in Section 4.1 by analysing the problem of 702 counting k-matchings in somewhere dense host graphs, and proving Theorem 1.2; this 703 is the most technical part. We then move on to prove Theorem 1.4 and Theorem 1.3704 705 in Section 4.2.

706 **4.1.** Counting Matchings: Proof of Theorem 1.2. A k-matching in a graph G is a set  $M \subseteq E(G)$  with |M| = k and  $e_1 \cap e_2 = \emptyset$  for all  $e_1 \neq e_2$  in M. In other 707 words, a k-matching in G is a set of k pairwise non-incident edges of G. Given a 708 class of graphs  $\mathcal{G}$ , the problem  $\#MATCH(\mathcal{G})$  asks, on input  $k \in \mathbb{N}$  and a graph  $G \in \mathcal{G}$ , 709 to compute the number of k-matchings in G; the parameter is k. We remark that 710711  $\#MATCH(\mathcal{G}) = \#SUB(\mathcal{M} \to \mathcal{G})$  where  $\mathcal{M}$  is the set of all 1-regular graphs. The goal of this section is to prove that  $\#MATCH(\mathcal{G})$  is hard whenever  $\mathcal{G}$  is monotone and 712somewhere dense, i.e., the hardness part of Theorem 1.2. 713

Before moving on, let us pin down some definitions and basic facts. Our analysis 714relies on the following "coloured" version of the graph tensor product, as in [51]: 715

DEFINITION 4.1. Let H be a graph, and let  $(G_1, c_1)$  and  $(G_2, c_2)$  be H-coloured 716graphs. The tensor product  $(G_1, c_1) \times (G_2, c_2)$  is the H-coloured graph  $(\hat{G}, \hat{c})$  defined 717 by: 718

(T1)  $V(\hat{G}) = \{(v_1, v_2) \in V(G_1) \times V(G_2) \mid c_1(v_1) = c_2(v_2)\}.$ 719

 $(T2) \ \{(u_1, u_2), (v_1, v_2)\} \in E(\hat{G}) \text{ if and only if } \{u_1, v_1\} \in E(G_1) \text{ and } \{u_2, v_2\} \in C(G_1) \text{ and } \{u_2, v_2\}$ 720721  $E(G_2).$ 

 $(T3) \ \hat{c}(v_1, v_2) = c_1(v_1) \ (equivalently \ by \ (T1), \ \hat{c}(v_1, v_2) = c_2(v_2)) \ for \ all \ (v_1, v_2) \in$ 722 723 V(G).

The crucial property of the tensor product is given by:<sup>13</sup> 724

LEMMA 4.2 ([51]). If H is a graph and  $(F, c_F)$ ,  $(G_1, c_1)$ ,  $(G_2, c_2)$  are H-coloured 725 726 graphs, then

727 
$$\# \text{Hom}((F, c_F) \to (G_1, c_1) \times (G_2, c_2)) = \# \text{Hom}((F, c_F) \to (G_1, c_1)) \cdot \# \text{Hom}((F, c_F) \to (G_2, c_2)) + (G_2, c_2)) = \# \text{Hom}((F, c_F) \to (G_2, c_2)) + (G_2, c_2) + (G_2,$$

The final ingredient we need is the non-singularity of a certain matrix whose 728 entries count homomorphisms between fractured graphs. Formally, let H be a graph. 729

The square matrix  $M_H$  has its rows and columns indexed by the fractures of H, and 730 its entries satisfy: 731

732 (4.1) 
$$M_H[\rho,\sigma] := \# \operatorname{Hom}((H \# \rho, c_\rho) \to (H \# \sigma, c_\sigma)),$$

where  $c_{\rho}$  and  $c_{\sigma}$  are the canonical *H*-colourings of the fractured graphs  $H \# \rho$  and  $H \# \sigma$ 733

(see Definition 2.1 and Observation 2.2). By ordering the columns and rows of  $M_H$ 734 735along a certain lattice, the following property was established in previous work.<sup>14</sup>

<sup>&</sup>lt;sup>13</sup>Proofs of Lemma 4.2 and Lemma 4.3 can also be found in Section 3.1 in an earlier version [54] of [51]. <sup>14</sup>See Footnote 13.

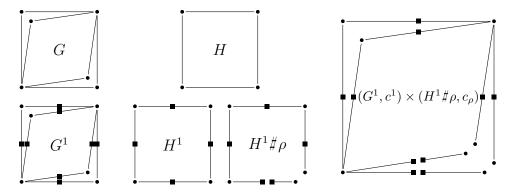


FIG. 3. the tensor product of the  $H^1$ -coloured graphs  $(G^1, c^1)$  and  $(H^1 \# \rho, c_\rho)$ .

## T36 LEMMA 4.3 ([51]). For each graph H, the matrix $M_H$ is nonsingular.

If  $\mathcal{G}$  is closed under *uncoloured* tensor products<sup>15</sup>, then the hardness result can 737 be achieved by applying the reduction of [18] verbatim. However, that reduction fails 738if  $\mathcal{G}$  is not closed under uncoloured tensor products, and this closure property is very 739 restrictive. Consider for example the class  $\mathcal{G}$  of square-free graphs, i.e., graphs that 740 do not contain the 4-cycle  $C_4$  as a subgraph. Then  $\mathcal{G}$  is clearly monotone and, since it 741 contains the 3-subdivision of every graph, it is also somewhere dense by Lemma 2.9. 742743 However,  $\mathcal{G}$  is not closed under (uncoloured) tensor products: the path on 2 edges  $P_2$ is in  $\mathcal{G}$ , but  $P_2 \times P_2 \notin \mathcal{G}$  since it contains a  $C_4$ . 744

The main insight of this section is a weakened closure property for monotone and somewhere dense graph classes, established in the lemma below. Combined with the characterisation of somewhere dense graph classes via *r*-subdivisions (Lemma 2.9), this property implies that any monotone and somewhere dense class is closed under tensor products of subdivisions of *coloured* graphs.

T50 LEMMA 4.4. Let  $r \in \mathbb{N}_0$ , let H be a graph without isolated vertices, and let (G, c)51 be an H-coloured graph on n vertices, and let  $\rho$  be a fracture of  $H^r$ . Then  $(G^r, c^r) \times (H^r \# \rho, c_\rho)$  is a subgraph of the r-subdivision of a complete graph of order O(kn), 53 where k = |E(H)| and the constants in the O() notation depend only on r.

*Proof.* Let  $T = (G^r, c^r) \times (H^r \# \rho, c_\rho)$ ; see Figure 3 for an example. The claim follows from Claims 1, 2, and 3 below, with Claim 3 applied to F = T.

756 **Claim 1.** |V(T)| = O(kn). Straightforward since  $G^r$  is a subgraph of  $K_n^r$ .

Claim 2: if x and y are distinct vertices of T of degree at least 3, then the length of any simple path from x to y is a multiple of r + 1.

To prove this, recall that T is  $H^r$ -coloured by  $\hat{c}$  from Definition 4.1, and that  $V(H^r)$  can be partitioned into V(H) and a set S of kr fresh subdivision vertices. Let (u, v) be a vertex of T such that  $\hat{c}(u, v) = s \notin V(H)$ , that is, (u, v) is coloured with a subdivision vertex s. We show that (u, v) has degree at most 2 in T. Let  $s_1$  and  $s_2$ be the two neighbours of s in  $H^r$ . By the construction of  $(G^r, c^r)$ , u has exactly two neighbours in  $G^r$ , say  $u_1$  and  $u_2$ . Furthermore,  $c^r(u_1) = s_1$  and  $c^r(u_2) = s_2$ . Since shas degree 2 in  $H^r$ , there are only two cases for  $\rho_s$ .

<sup>&</sup>lt;sup>15</sup>The adjacency matrix of the tensor product of two uncoloured graphs G and F is the Kronecker product of the adjacency matrices of G and F.

• Case 1:  $\rho_s = \{B\}$  where  $B = \{\{s, s_1\}, \{s, s_2\}\}$ . In this case  $s^B$  is the only vertex of  $H \# \rho$  that is coloured by  $c_\rho$  with s. Since  $\hat{c}(u, v) = s$  implies  $c_\rho(v) = s$ , we conclude that  $v = s^B$ . Hence (u, v) has exactly two neighbours in T,  $(u_1, s_1^{B_1})$  and  $(u_2, s_2^{B_2})$ , where  $B_1$  and  $B_2$  are the blocks of  $\rho_{s_1}$  and  $\rho_{s_2}$  containing respectively  $\{s, s_1\}$  and  $\{s, s_2\}$ .

771 772 773

774 775 taining respectively  $\{s, s_1\}$  and  $\{s, s_2\}$ . • Case 2:  $\rho_s = \{B, B'\}$  where  $B = \{\{s, s_1\}\}$  and  $B' = \{\{s, s_2\}\}$ . In this case  $s^B$  and  $s^{B'}$  are the only two vertices of  $H \# \rho$  that are coloured by  $c_\rho$  with s. Since  $\hat{c}(u, v) = s$  implies  $c_\rho(v) = s$ , we conclude that  $v \in \{s^B, s^{B'}\}$ . Assume that  $v = S^B$ ; the other case is symmetric. Then the only neighbour of (u, v) in T is  $(u_1, s_1^{B_1})$ , where  $B_1$  is the block of  $\rho_{s_1}$  that contains the edge  $\{s, s_1\}$ .

We conclude that the only vertices (u, v) of degree at least 3 in T satisfy  $\hat{c}(u, v) \in V(H)$ , implying that  $c^r(u) \in V(H)$  and thus, by the definition of  $c^r$ , that  $u \in V(G)$ , hence u is not a subdivision vertex. The claim follows since the length of every simple path between two non-subdivision vertices  $u_1$  and  $u_2$  in  $G^r$  is a multiple of (r+1), and since T can be obtained from  $(G^r, c^r)$  by splitting vertices.

781 **Claim 3:** if *F* is a graph where the length of any simple path between two vertices 782 of degree at least 3 is a multiple of (r + 1), then *F* is a subgraph of the *r*-subdivision 783 of a complete graph of order O(|V(F)|).

Note first that we can deal with each connected component of F separately. 784 Furthermore, the claim is clearly true if F is just a path (of any length). For what 785 follows we can hence assume that F is connected and not isomorphic to a path. We 786 say that a path P in F is *extendable* if its internal vertices have degree 2, one endpoint 787  $s_P$  (the "startpoint") has degree 1, and the other endpoint has degree at least 3. If P 788 has length  $\ell_P$ , then its extension length is the smallest  $\ell'_P \in \mathbb{N}_0$  such that  $\ell_P + \ell'_P$  is a 789 multiple of r+1. Let F' be the graph formed from F by considering every extendable 790 path P and adding a new length- $\ell'_P$  path from  $s_P$  (adding  $\ell'_P$  fresh vertices to make 791 up this path). Observe that, for every pair of non-isolated vertices u' and v' of F', 792 if both u' and v' have degree not equal to 2, then the length of every simple path 793 from u' to v' in F' is a multiple of (r+1). Therefore F' is a subgraph of the r-794subdivision of a complete graph of order at most O(|V(F')|) = O(|V(F)|), where the 795 constants depend only on r. Moreover F is by construction a subgraph of F', which 796 this concludes the proof of the claim. 797

To establish the hardness of  $\#MATCH(\mathcal{G})$ , we first consider an edge-coloured version. Let G be a graph and  $k \in \mathbb{N}$ . A k-coloring of E(G) is a map  $c : E(G) \rightarrow \{1, \ldots, k\}$ . A matching  $M \subseteq E(G)$  is edge-colorful under if for every colour in  $\{1, \ldots, k\}$  there is precisely one element of M with that colour.

B02 DEFINITION 4.5 (#COLMATCH( $\mathcal{G}$ )). Let  $\mathcal{G}$  be a class of graphs. The problem #COLMATCH( $\mathcal{G}$ ) asks, on input  $k \in \mathbb{N}$ , a graph  $G \in \mathcal{G}$ , and a k-coloring c of E(G), to compute the number of edge-colorful k-matchings in G. The problem is parameterised by k.

LEMMA 4.6. Let  $\mathcal{G}$  be a monotone somewhere dense class of graphs. Then the problem  $\#\text{ColMATCH}(\mathcal{G})$  is #W[1]-hard and, assuming ETH, cannot be solved in time  $f(k) \cdot |G|^{o(k/\log k)}$  for any function f.

809 Proof. Let  $\mathcal{H}$  be a class of 3-regular expander graphs. Both the treewidth and 810 the number of edges of the elements of  $\mathcal{H}$  grow linearly in the number of vertices; that 811 is,  $|E(H)| \in \Theta(|V(H)|)$  and  $\mathsf{tw}(H) \in \Theta(|V(H)|)$  for all  $H \in \mathcal{H}$  (see, e.g., [37]). Hence 812 theorems 2.14 and 2.16 imply that #CP-HOM $(\mathcal{H} \to \mathcal{U})$  is #W[1]-hard and, assuming 813 ETH, cannot be solved in time  $f(|H|) \cdot |G|^{o(|H|/\log |H|)}$  for any function f. We will 814 now show that  $\#CP-HOM(\mathcal{H} \to \mathcal{U}) \leq {}^{\mathsf{FPT}} \#COLMATCH(\mathcal{G}).$ 

Let  $H \in \mathcal{H}$  and (G, c) be the input of  $\#CP-HOM(\mathcal{H} \to \mathcal{U})$ . By Lemma 2.9, there is  $r \in \mathbb{N}_0$  such that  $G^r \in \mathcal{G}$  for all  $G \in \mathcal{U}$ . Construct then  $H^r$  and  $(G^r, c^r)$ , which clearly takes polynomial time. Let  $k = |E(H^r)|$ ; clearly  $k \in O(|H|)$  where the constants depend only on r. Now, by Lemma 3.1,

819 
$$\#\operatorname{Hom}((H, \operatorname{id}_H) \to (G, c)) = \#\operatorname{Hom}((H^r, \operatorname{id}_{H^r}) \to (G^r, c^r)).$$

Next, we view surjectively  $H^r$ -coloured graphs  $(\tilde{G}, \tilde{c})$  also as edge-coloured graphs where every edge  $e = \{u, v\}$  is mapped to the colour  $\{\tilde{c}(u), \tilde{c}(v)\}$ . This allows us to invoke the results of [51] and deduce what follows.<sup>16</sup>

First, there is a unique function a from fractures of  $H^r$  to rationals such that, for every surjectively  $H^r$ -coloured graph  $(\tilde{G}, \tilde{c})$ , the number of edge-colourful k-matchings of  $(\tilde{G}, \tilde{c})$  is:

826 (4.2) 
$$\sum_{\rho} a(\rho) \cdot \# \operatorname{Hom}((H^r \# \rho, c_{\rho}) \to (\tilde{G}, \tilde{c})),$$

where the sum is over all fractures of  $H^r$ . Additionally, a satisfies:

828 (4.3) 
$$a(\top) = \prod_{v \in V(H^r)} (-1)^{\deg(v)-1} \cdot (\deg(v) - 1)!$$

829 where  $\top$  is the coarsest fracture, that is, for each  $v \in V(H^r)$  the partition  $\top_v$  only 830 contains a singleton block (and therefore  $H^r \# \top = H^r$ ). In particular, it is easy to see 831 that

832 
$$a(\top) = \pm 2^{|V(H)|} \neq 0.$$

Now let  $\sigma$  be a fracture of  $H^r$ . Considering (4.2) with  $(\tilde{G}, \tilde{c}) = (G^r, c^r) \times (H^r \# \sigma, c_{\sigma})$  and applying Lemma 4.2, the number of colorful k-matchings in  $(G^r, c^r) \times (H^r \# \sigma, c_{\sigma})$  equals:

836 (4.4) 
$$\sum_{\rho} a(\rho) \cdot \# \mathsf{Hom}((H^r \# \rho, c_{\rho}) \to (G^r, c^r)) \cdot \# \mathsf{Hom}((H^r \# \rho, c_{\rho}) \to (H^r \# \sigma, c_{\sigma}))$$
837

By Lemma 4.4,  $(G^r, c^r) \times (H^r \# \sigma, c_{\sigma})$  is a subgraph of the *r*-subdivision of a complete 838 graph, which is in  $\mathcal{G}$  by our choice of r. Since  $\mathcal{G}$  is monotone this implies  $(\mathcal{G}^r, c^r) \times$ 839  $(H^r \# \sigma, c_{\sigma}) \in \mathcal{G}$ , too. Hence, if we have an oracle for  $\# \text{COLMATCH}(\mathcal{G})$ , then we can 840 compute the value of (4.4), while  $\# \text{Hom}((H^r \# \rho, c_\rho) \to (H^r \# \sigma, c_\sigma))$  can obviously be 841 computed in a time that is a function of |H| and r. Thus, by letting  $\operatorname{coeff}(\rho) := a(\rho)$ . 842#Hom $((H^r \# \rho, c_{\rho}) \to (G^r, c^r))$ , in FPT time we obtain a system of linear equations 843 with unknowns  $\operatorname{coeff}(\rho)$  and whose matrix is  $M_{H^r}$ , see (4.1). By Lemma 4.3  $M_{H^r}$  is 844 nonsingular, hence by solving the system we can retrieve: 845

846 
$$\operatorname{coeff}(\top) = a(\top) \cdot \#\operatorname{Hom}((H^r \# \top, c_{\top}) \to (G^r, c^r)) = a(\top) \cdot \#\operatorname{Hom}((H^r, \operatorname{id}_{H^r}) \to (G^r, c^r)).$$

Since  $a(\top) \neq 0$ , we can divide by  $a(\top)$  and recover  $\#\text{Hom}((H^r, \text{id}_{H^r}) \to (G^r, c^r))$  as

desired. This concludes the parameterized reduction to  $\#COLMATCH(\mathcal{G})$  and proves

Π

<sup>849</sup> the thesis.

<sup>&</sup>lt;sup>16</sup>In [51], the number of edge-colourful k-matchings of G is denoted by  $\#ColEdgeSub(\Phi, k \to G)$ , where  $\Phi$  is the graph property of being a matching. The identities (4.2) and (4.3) are immediate consequences of Lemma 4.1 and Corollary 4.3 in [51] (see also Lemma 3.1 and Corollary 3.3 in an earlier version [54] of [51]).

With the hardness results for  $\#COLMATCH(\mathcal{G})$  above, we can finally obtain our complexity dichotomy for  $\#MATCH(\mathcal{G})$ . First, we prove:

THEOREM 4.7. Let  $\mathcal{G}$  be a monotone somewhere dense class of graphs. Then #MATCH( $\mathcal{G}$ ) is #W[1]-hard and, assuming ETH, cannot be solved in time  $f(k) \cdot |\mathcal{G}|^{o(k/\log k)}$  for any function f.

Proof. A well-known application of inclusion-exclusion (see, e.g., [16, Lemma 1.34]) yields a parameterized reduction from  $\#ColMATCH(\mathcal{G})$  to  $\#MATCH(\mathcal{G}')$  that preserves the parameter, where  $\mathcal{G}'$  is the class of all subgraphs of  $\mathcal{G}$ . By monotonicity  $\mathcal{G}' = \mathcal{G}$ , so the claim of Lemma 4.6 holds for  $\#MATCH(\mathcal{G})$ , too.

859 Finally, we obtain:

860 COROLLARY 4.8 (Theorem 1.2, restated). Let  $\mathcal{G}$  be a monotone class of graphs 861 and assume that ETH holds. Then  $\#MATCH(\mathcal{G})$  is fixed-parameter tractable if and 862 only if  $\mathcal{G}$  is nowhere dense. In particular, if  $\mathcal{G}$  is nowhere dense then  $\#MATCH(\mathcal{G})$ 863 can be solved in time  $f(k) \cdot |V(\mathcal{G})|^{1+o(1)}$  for some computable function f; otherwise 864  $\#MATCH(\mathcal{G})$  cannot be solved in time  $f(k) \cdot |\mathcal{G}|^{o(k/\log k)}$  for any function f.

865 *Proof.* Immediate from Theorem 2.11 and Theorem 4.7.

REMARK 4.9. Unless #P = P, Corollary 4.8 / Theorem 1.2 cannot be strengthened to achieve polynomial time tractability of  $\#MATCH(\mathcal{G})$  for nowhere dense and monotone  $\mathcal{G}$ . Let indeed  $\mathcal{G}$  be the class of all  $K_8$ -minor-free graphs. Then  $\mathcal{G}$  is clearly monotone, and since it does not contain the subdivisions of cliques larger than 7, it is also nowhere dense by Lemma 2.9. However, as shown recently by Curticapean and Xia [21], counting perfect matchings (i.e., k-matchings with k = n/2) in  $K_8$ -minorfree graphs is #P-hard.

**4.2. Counting Subgraphs: Proofs of Theorems 1.3 and 1.4.** Equipped with our hardness results for counting *k*-matchings, we move towards proving hardness for counting subgraphs.

THEOREM 4.10 (Theorem 1.3, restated). Let  $\mathcal{H}$  and  $\mathcal{G}$  be graph classes such that  $\mathcal{H}$  is hereditary and  $\mathcal{G}$  is monotone. Then Table 3 exhaustively classifies the complexity of #SUB( $\mathcal{H} \to \mathcal{G}$ ).

879 *Proof.* Let us first show that the cases for  $\mathcal{H}$  and  $\mathcal{G}$  in Table 3 are exhaustive and 880 mutually exclusive. For  $\mathcal{G}$  this is straightforward. For  $\mathcal{H}$ , the first row and the rest 881 are mutually exclusive and exhaustive, since rows 2, 3 and 4 all imply  $m(\mathcal{H}) = \infty$ . To 882 see that rows 2, 3, and 4 are mutually exclusive and exhaustive for  $m(\mathcal{H}) = \infty$ , note 883 that in that case Theorem 2.5 implies that at least one of  $m_{ind}(\mathcal{H})$ ,  $\beta_{ind}(\mathcal{H})$  and  $\omega(\mathcal{H})$ 884 is unbounded.

Let us now prove the entries of Table 3. The first row is due to Curticapean and Marx [20], and the FPT result in the first column follows from Theorem 2.11. The intractability results in the second row follow from Theorem 4.7 and the fact that  $m_{ind}(\mathcal{H}) = \infty$  implies that  $\mathcal{H}$  contains all matchings (since  $\mathcal{H}$  is hereditary). For the second column, note that  $\omega(\mathcal{G}) = \infty$  and  $\mathcal{G}$  being monotone implies that  $\mathcal{G} = \mathcal{U}$ ; the dichotomy of Curticapean and Marx [20] then applies again.<sup>17</sup> Next, we prove the

<sup>&</sup>lt;sup>17</sup>The tight conditional lower bounds in the second column follow from the fact that the respective entries subsume counting k-cliques in arbitrary graphs, and counting k-by-k bicliques in bipartite graphs. The tight bound of the former was shown in [12, 13], and the tight bound of the latter was implicitly shown in [20], and explicitly in [26]; while [26] studies *induced* subgraphs in bipartite graphs, we note that all bicliques in a bipartite graph must be induced.

	${\cal G}$ n. dense	$\mathcal{G}$ s. dense $\omega(\mathcal{G}) = \infty$	$\mathcal{G}$ s. dense $\omega(\mathcal{G}) < \infty$ $\beta(\mathcal{G}) = \infty$	$\mathcal{G}$ s. dense $\omega(\mathcal{G}) < \infty$ $\beta(\mathcal{G}) < \infty$
$m(\mathcal{H}) < \infty$	Р	Р	Р	Р
$m_{\text{ind}}(\mathcal{H}) = \infty$	FPT	hard	hard	hard
$\begin{split} & \operatorname{m}_{ind}(\mathcal{H}) < \infty \\ & \beta_{ind}(\mathcal{H}) = \infty \end{split}$	Р	$\mathrm{hard}^\dagger$	$\mathrm{hard}^\dagger$	Р
$\begin{array}{c} \operatorname{m}_{ind}(\mathcal{H}) < \infty \\ \beta_{ind}(\mathcal{H}) < \infty \\ \omega(\mathcal{H}) = \infty \end{array}$	Р	$\mathrm{hard}^\dagger$	Р	Р

TABLE 3

The complexity of  $\#\mathrm{Sub}(\mathcal{H} \to \mathcal{G})$  for hereditary  $\mathcal{H}$  and monotone  $\mathcal{G}$  (Theorem 1.3). P and FPT stand respectively for polynomial-time tractability and fixed-parameter tractability, hard means #W[1]-hard and without an algorithm running in time f(|H|).  $|G|^{o(|V(H|)/\log|V(H)|)}$  for any function f unless ETH fails, and hard<sup>†</sup> means the same but with a lower bound of  $f(|H|) \cdot |G|^{o(|V(H)|)}$ . The FPT entry cannot be strengthened to P unless P = #P, see Remark 4.12.

#### remaining entries. 891

• Row 3, Column 3: if  $\beta(\mathcal{G}) = \beta_{\mathsf{ind}}(\mathcal{H}) = \infty$  then  $\# \mathrm{Sub}(\mathcal{H} \to \mathcal{G})$  is hard. Since  $\mathcal{H}$ 892 is hereditary, it contains all bicliques. Since  $\mathcal{G}$  is monotone, it contains all bipartite 893 graphs. Hence  $\#SUB(\mathcal{H} \to \mathcal{G})$  is at least as hard as counting k-by-k bicliques in 894 bipartite graphs, which is known to be hard [20].<sup>18</sup> 895

• Row 3, Column 4: if  $m_{ind}(\mathcal{H}), \omega(\mathcal{G}), \beta(\mathcal{G}) < \infty$  then  $\#SUB(\mathcal{H} \to \mathcal{G})$  is in polynomial 896 time. Let (H,G) be the input of  $\#SUB(\mathcal{H} \to \mathcal{G})$ . If  $\omega(H) > \omega(\mathcal{G})$  or  $\beta_{ind}(H) >$ 897  $\beta(\mathcal{G})$ , then we can output 0. We can thus restrict the problem to those H such that 898  $\omega(H) \leq \omega(\mathcal{G})$  and  $\beta_{ind}(H) \leq \beta(\mathcal{G})$ . Recall that  $m_{ind}(H) \leq m_{ind}(\mathcal{H}) < \infty$ . By the 899 900 contrapositive of Theorem 2.5, there is a monotonically increasing function R such that: 901

902 
$$m(H) < .$$

$$\mathbf{m}(H) \le R(\mathbf{m}_{\mathsf{ind}}(H), \omega(H), \beta_{\mathsf{ind}}(H)) \le R(\mathbf{m}_{\mathsf{ind}}(\mathcal{H}), \omega(\mathcal{G}), \beta(\mathcal{G})) < \infty,$$

where the second inequality holds by monotonicity of R and the third one by the 903 904 boundedness of all three arguments. We therefore obtain polynomial time as in the 905 first row.

906 • Row 4, Columns 3 and 4: if  $m_{ind}(\mathcal{H}), \beta_{ind}(\mathcal{H}), \omega(\mathcal{G}) < \infty$ , then  $\#SUB(\mathcal{H} \to \mathcal{G})$  is in polynomial time. Let (H, G) be the input of  $\#SUB(\mathcal{H} \to \mathcal{G})$ . If  $\omega(H) > \omega(\mathcal{G})$  then 907 908 we output 0, hence we can assume that  $\omega(H) \leq \omega(\mathcal{G})$ . Similarly to the previous case, we then obtain polynomial time since 909

910 
$$\mathbf{m}(H) \le R(\mathbf{m}_{\mathsf{ind}}(H), \omega(H), \beta_{\mathsf{ind}}(H)) \le R(\mathbf{m}_{\mathsf{ind}}(H), \omega(\mathcal{G}), \beta_{\mathsf{ind}}(H)) < \infty.$$

• Rows 3 and 4, Column 1:  $\#SUB(\mathcal{H} \to \mathcal{G})$  is in polynomial time. We show that 911  $\omega(\mathcal{G}), \beta(\mathcal{G}) < \infty$ ; then the same arguments used for Rows 3 and 4 of Column 4 912

<sup>&</sup>lt;sup>18</sup>See Footnote 17 for the tight conditional lower bound.

apply. Suppose by contradiction that  $\max(\omega(\mathcal{G}), \beta(\mathcal{G})) = \infty$ . Since  $\mathcal{G}$  is monotone, if 913 914 $\omega(\mathcal{G}) = \infty$  then  $\mathcal{G}$  contains (the 0-subdivision of) every clique, and if  $\beta(\mathcal{G}) = \infty$  then 915  $\mathcal{G}$  contains all bipartite graphs and thus the 1-subdivision of every clique. In any case 

Lemma 2.9 implies that  $\mathcal{G}$  is somewhere dense, contradicting the assumptions. 916

Theorem 1.4 follows immediately. 917

918 COROLLARY 4.11 (Theorem 1.4, restated). Let  $\mathcal{H}$  and  $\mathcal{G}$  be monotone graph classes and assume that ETH holds. Then  $\#SUB(\mathcal{H} \to \mathcal{G})$  is fixed-parameter tractable 919 if  $m(\mathcal{H}) < \infty$  or  $\mathcal{G}$  is nowhere dense; otherwise  $\#Sub(\mathcal{H} \to \mathcal{G})$  is #W[1]-complete 920 and cannot be solved in time  $f(|H|) \cdot |G|^{o(|V(H)|/\log(|V(H)|))}$  for any function f. 921

922 *Proof.* If  $\mathcal{H}$  is monotone then  $\mathcal{H}$  is hereditary and Theorem 1.3 applies. The union of the first row and the first column of Table 3 yield the tractable case; the union of 923 the remaining entries yield the intractable case and the lower bounds. 924

We conclude this section with a remark. 925

REMARK 4.12. Let  $\mathcal{H}$  and  $\mathcal{G}$  be the classes of graphs of degrees bounded by 2 and 926 3, respectively. Then  $\#SUB(\mathcal{H} \to \mathcal{G})$  subsumes the #P-hard problem of counting 927 Hamiltonian cycles in 3-regular graphs. Since both classes are monotone (and thus 928 also hereditary), since  $m_{ind}(\mathcal{H}) = \infty$ , and since classes of bounded degree graphs are 929 nowhere dense (see e.g. [36]), this shows that the FPT entry in Table 3 cannot be 930 931 strengthened to P unless #P = P.

5. Counting Induced Subgraphs. This section is devoted to the proofs of 932 Theorem 1.5 and Theorem 1.6. We begin in Section 5.1 by analysing the problem of 933 counting independent sets and proving Theorem 1.5; this is the most technical part. 934 We then prove Theorem 1.6 in Section 5.2. 935

936 5.1. Counting Independent Sets: Proof of Theorem 1.5. Given a class of graphs  $\mathcal{G}$ , the problem #INDSET $(\mathcal{G})$  asks, on input  $k \in \mathbb{N}$  and a graph  $G \in \mathcal{G}$ , to 937 compute the number of independent sets of size k (also called *k*-independent sets) in 938 G. In this section we prove hardness results for #INDSET( $\mathcal{G}$ ) and leverage them to 939 #INDSUB $(\mathcal{H} \to \mathcal{G})$ . To this end we will rely on subgraphs induced by sets of edges; 940 941 they play a role similar to that of fractured graphs in Section 4. Given a graph F942 and a set  $A \subseteq E(F)$ , we denote the subgraph (V(F), A) by F[A]. For what follows observe that, for any  $A \subseteq E(F)$ , the identity function on V(F), which we denote 943 by  $id_F$ , is a surjective F-colouring of F[A]. Now recall Definition 4.1. We start with 944 the following simple variation of Lemma 4.4. 945

LEMMA 5.1. Let  $r \in \mathbb{N}_0$ , let H be a graph without isolated vertices, let G be an 946 *H*-coloured graph, and let  $A \subseteq E(H^r)$ . Then  $(G^r, c^r) \times (H^r[A], \mathsf{id}_{H^r})$  is a subgraph of 947  $K^r_{|V(G)|}$ . 948

*Proof.* Let n = |V(G)|. First, note that  $(G^r, c^r) \times (H^r, \mathsf{id}_{H^r}) = (G^r, c^r)$ , and by 949construction  $(G^r, c^r)$  is a subgraph of  $K_n^r$ . Next, for every  $A \subseteq E(H^r)$  the graph 950  $(G^r, c^r) \times (H^r[A], \mathsf{id}_{H^r})$  is obtained from  $(G^r, c^r)$  by deleting edges — specifically, for 951 every  $e = \{u, v\} \in E(H^r) \setminus A$ , delete from  $G^r$  all edges between vertices coloured with 952 u and vertices coloured with v. Thus  $(G^r, c^r) \times (H^r[A], \mathsf{id}_{H^r})$  is a subgraph of  $K_n^r$ 953 too. 954

Recall that  $\#COLMATCH(\mathcal{G})$ , the problem of counting edge-colourful k-matchings, 955 was the key subproblem in the hardness proofs for  $\#SUB(\mathcal{H} \to \mathcal{G})$  — see Section 4.1. 956 In the case of #INDSUB( $\mathcal{H} \to \mathcal{G}$ ), the key subproblem turns out to be that of counting 957 958 vertex-colourful independent sets. Let G be a graph and let  $c: V(G) \to \{1, \ldots, k\}$  be a coloring of V(G). A set  $U \subseteq V(G)$  is *vertex-colorful* if for every colour in  $\{1, \ldots, k\}$ there is precisely one element of U with that colour.

961 DEFINITION 5.2 (#COLINDSET( $\mathcal{G}$ )). Let  $\mathcal{G}$  be a class of graphs. The problem 962 #COLINDSET( $\mathcal{G}$ ) asks, on input  $k \in \mathbb{N}$ , a graph  $G \in \mathcal{G}$ , and a k-coloring c of V(G), 963 to compute the number of vertex-colorful k-independent sets in G. The problem is 964 parameterised by k.

965 Our goal is to show that  $\#COLINDSET(\mathcal{G})$  is intractable whenever  $\mathcal{G}$  is monotone 966 and somewhere dense. As for  $\#COLMATCH(\mathcal{G})$  in Section 4.1, the reduction relies on 967 solving a system of linear equations. Let H be a graph. The square matrix  $N_H$  has 968 its rows and columns indexed by the subsets of E[H], and its entries satisfy

969 (5.1) 
$$N_H[A, B] = \# \text{Hom}((H[A], \text{id}_H) \to (H[B], \text{id}_H))$$

970 Similarly to the matrix  $M_H$  in Section 4.1, the following was established in prior work:

971 LEMMA 5.3 ([26]). For each graph H, the matrix  $N_H$  is nonsingular.

972 We are now able to establish intractability of  $\#COLINDSET(\mathcal{G})$ .

973 LEMMA 5.4. Let  $\mathcal{G}$  be a monotone somewhere dense class of graphs. Then the 974 problem  $\#\text{ColINDSET}(\mathcal{G})$  is #W[1]-complete and, assuming ETH, cannot be solved 975 in time  $f(k) \cdot |G|^{o(k/\log k)}$  for any function f.

Proof. The proof is similar to that of Lemma 4.6. First, since  $\mathcal{G}$  is monotone and somewhere dense, by Lemma 2.9 there exists  $r \in \mathbb{N}_0$  such that  $G^r \in \mathcal{G}$  for every  $G \in \mathcal{U}$ . Second, let  $\mathcal{H}$  be a class of 3-regular expander graphs. By theorems 2.14 and 2.16, #CP-HOM $(\mathcal{H} \to \mathcal{U})$  is #W[1]-hard and assuming ETH cannot be solved in time  $f(|\mathcal{H}|) \cdot |G|^{o(|\mathcal{H}|/\log |\mathcal{H}|)}$  for any function f. We show a parameterized reduction from #CP-HOM $(\mathcal{H} \to \mathcal{U})$  to #COLINDSET $(\mathcal{G})$ .

Let (H, (G, c)) be the input to  $\#CP-HOM(\mathcal{H} \to \mathcal{U})$ . Our reduction starts by constructing  $H^r$  and  $(G^r, c^r)$ , which by Lemma 3.1 satisfy

$$\#\mathsf{Hom}((H,\mathsf{id}_H)\to (G,c)) = \#\mathsf{Hom}((H^r,\mathsf{id}_{H^r})\to (G^r,c^r)).$$

Let  $k = |V(H^r)|$ ; clearly  $k \in O(|H|)$  since r is a constant independent of H. Our goal is to use the oracle for  $\#\text{ColINDSET}(\mathcal{G})$  to compute  $\#\text{Hom}((H^r, \text{id}_{H^r}) \to (G^r, c^r))$ . From now on we view surjectively  $H^r$ -coloured graphs  $(\tilde{G}, \tilde{c})$  also as vertex-coloured graphs with colouring  $\tilde{c}$ . This allows us to invoke [26, Lemma 8] and obtain what follows.<sup>19</sup>

990 First, there is a unique function  $\hat{a}$  from subsets of  $E[H^r]$  to rationals such that, 991 for every surjectively  $H^r$ -coloured graph  $(\tilde{G}, \tilde{c})$ , the number of vertex-colourful k-992 independent sets in  $(\tilde{G}, \tilde{c})$  equals

993 (5.2) 
$$\sum_{A} \hat{a}(A) \cdot \# \mathsf{Hom}((H^{r}[A], \mathsf{id}_{H^{r}}) \to (\tilde{G}, \tilde{c})),$$

994 where the sum is over all subsets of  $E[H^r]$ . Additionally,

995 (5.3) 
$$\hat{a}(E(H^r)) = \pm \hat{\chi} \neq 0,$$

<sup>&</sup>lt;sup>19</sup>In [26] the number of colourful k-independent sets in a surjectively  $H^r$ -coloured graph  $\hat{G}$  is denoted by  $\#cp-IndSub(\Phi \to_{H^r} \hat{G})$ , where  $\Phi$  is the graph property of being an independent set.

where  $\hat{\chi}$  is the so-called alternating enumerator for the graph property of being an independent set — we omit the definition since the only property needed for  $\hat{\chi}$  is it being easily computable and non-zero (see [26]).

Now consider (5.2) with  $(\tilde{G}, \tilde{c}) = (G^r, c^r) \times (H^r[B], \operatorname{id}_{H^r})$  and apply Lemma 4.2. We deduce that the number of vertex-colourful k-independent sets in  $(\tilde{G}, \tilde{c})$  is

$$1001 \qquad \sum_{A} \hat{a}(A) \cdot \# \mathsf{Hom}((H^{r}[A], \mathsf{id}_{H^{r}}) \to (G^{r}, c^{r})) \cdot \# \mathsf{Hom}((H^{r}[A], \mathsf{id}_{H^{r}}) \to (H^{r}[B], \mathsf{id}_{H^{r}})).$$

1003 By Lemma 5.1, for every  $B \subseteq E(H^r)$  of  $H^r$  the graph  $(G^r, c^r) \times (H^r[B], \mathsf{id}_{H^r})$  is a 1004 subgraph of the *r*-subdivision of a complete graph; by the monotonicity of  $\mathcal{G}$  and by 1005 the choice of *r* this implies  $(G^r, c^r) \times (H^r[B], \mathsf{id}_{H^r}) \in \mathcal{G}$ , see Lemma 2.9. Thus, as in the 1006 proof of Lemma 4.6, by using an oracle for #ColINDSET $(\mathcal{G})$  we can construct in FPT 1007 time a system of linear equations whose matrix  $N_{H^r}$  is nonsingular by Lemma 5.3. 1008 Since  $\hat{a}(E(H^r)) \neq 0$  by (5.3), solving this system enables us to compute

1009 
$$\#\operatorname{Hom}((H^r[E(H^r)], \operatorname{id}_{H^r}) \to (G^r, c^r)) = \#\operatorname{Hom}((H^r, \operatorname{id}_{H^r}) \to (G^r, c^r)),$$

1010 concluding the proof.

1011 With the above hardness results for  $\#COLINDSET(\mathcal{G})$ , we can finally prove complex-1012 ity dichotomies for its non-coloured counterpart  $\#INDSET(\mathcal{G})$ . We start by porting 1013 Lemma 5.4 from  $\#COLINDSET(\mathcal{G})$  to  $\#INDSET(\mathcal{G})$ .

1014 THEOREM 5.5. Let  $\mathcal{G}$  be a monotone somewhere dense class of graphs. Then 1015 #INDSET( $\mathcal{G}$ ) is #W[1]-hard and, assuming ETH, cannot be solved in time  $f(k) \cdot$ 1016  $|G|^{o(k/\log k)}$  for any function f.

1017 Proof. Almost identical to the proof of Theorem 4.7: when  $\mathcal{G}$  is monotone, 1018 #COLINDSET( $\mathcal{G}$ ) can be reduced in FPT time to #INDSET( $\mathcal{G}$ ) via inclusion-exclusion 1019 while preserving the parameter (see, for instance, [16, Lemma 1.34]), and the claim 1020 then follows by Lemma 5.4.

1021 We can finally prove Theorem 1.5 as a simple corollary.

1022 COROLLARY 5.6 (Theorem 1.5, restated). Let  $\mathcal{G}$  be a monotone class of graphs 1023 and assume that ETH holds. Then #INDSET( $\mathcal{G}$ ) is fixed-parameter tractable if and 1024 only if  $\mathcal{G}$  is nowhere dense. In particular, if  $\mathcal{G}$  is nowhere dense then #INDSET( $\mathcal{G}$ ) 1025 can be solved in time  $f(k) \cdot |V(\mathcal{G})|^{1+o(1)}$  for some computable function f; otherwise 1026 #INDSET( $\mathcal{G}$ ) cannot be solved in time  $f(k) \cdot |\mathcal{G}|^{o(k/\log k)}$  for any function f.

1027 *Proof.* Immediate by Theorem 2.11 and Theorem 5.5.

1028 We conclude with a remark.

1029 REMARK 5.7. Corollary 5.6 cannot be strengthened to polynomial-time tractability 1030 of #INDSET( $\mathcal{G}$ ) when  $\mathcal{G}$  is nowhere dense and monotone, unless #P = P: graphs of 1031 degree at most 3 form such a class, yet counting independent sets in them is #P-1032 hard [35].

1033 **5.2.** Counting Induced Subgraphs: Proof of Theorem 1.6. Equipped with 1034 our complexity dichotomy for #INDSET( $\mathcal{G}$ ), we can now prove our complexity di-1035 chotomies for #INDSUB( $\mathcal{H} \to \mathcal{G}$ ). First, we consider the case that  $\mathcal{H}$  is monotone.

1036 COROLLARY 5.8. Let  $\mathcal{H}$  and  $\mathcal{G}$  be monotone graph classes and assume that ETH 1037 holds. Then #INDSUB( $\mathcal{H} \to \mathcal{G}$ ) is fixed-parameter tractable if  $\mathcal{H}$  is finite or  $\mathcal{G}$  is 1038 nowhere dense; otherwise #INDSUB( $\mathcal{H} \to \mathcal{G}$ ) is #W[1]-complete and cannot be solved 1039 in time  $f(|\mathcal{H}|) \cdot |\mathcal{G}|^{o(|V(\mathcal{H})|/\log(|V(\mathcal{H})|))}$  for any function f.

	$\mathcal{G}$ nowhere dense	$\mathcal{G}$ somewhere dense $\omega(\mathcal{G}) = \infty$	$\mathcal{G}$ somewhere dense $\omega(\mathcal{G}) < \infty$ $\alpha(\mathcal{G}) = \infty$
${\cal H}$ finite	Р	Р	Р
$\alpha(\mathcal{H}) = \infty$	FPT	$\#\mathbf{W}[1]\text{-hard}$ not in $f(k) \cdot n^{o(k)}$	$\# W[1]-hard$ not in $f(k) \cdot n^{o(k/\log k)}$
$\alpha(\mathcal{H}) < \infty$ $\omega(\mathcal{H}) = \infty$	Р	$\#\mathbf{W}[1]\text{-hard}$ not in $f(k) \cdot n^{o(k)}$	Р
		TABLE 4	

The complexity of #INDSUB( $\mathcal{H} \to \mathcal{G}$ ) for hereditary  $\mathcal{H}$  and monotone  $\mathcal{G}$ . P and FPT stand respectively for polynomial-time tractability and fixed-parameter tractability, and hard means #W[1]-hard and without an algorithm running in time  $f(k) \cdot n^{o(k/\log(k))}$  for any function f unless ETH fails, where k = |V(H)| and n = |V(G)|. The FPT entry cannot be strengthened to P unless P = #P, see Remark 5.7.

1040 Proof. If  $\mathcal{H}$  is finite then #INDSUB( $\mathcal{H} \to \mathcal{G}$ ) is clearly in polynomial time (and 1041 thus fixed-parameter tractable) since the brute-force algorithm runs in time  $O(|G|^{|H|})$ . 1042 If  $\mathcal{G}$  is nowhere dense then the fixed-parameter tractability follows by Theorem 2.11. 1043 Finally, if  $\mathcal{H}$  is monotone and infinite then it contains all independent sets, and thus 1044 #INDSUB( $\mathcal{H} \to \mathcal{G}$ ) subsumes #INDSET( $\mathcal{G}$ ); in which case Theorem 5.5 yields the 1045 lower bound for somewhere dense  $\mathcal{G}$ .

Next, we consider the case that  $\mathcal{H}$  is hereditary. We obtain a refined complexity classification that subsumes the one of Corollary 5.8 and yields Theorem 1.6.

1048 THEOREM 5.9 (Theorem 1.6, restated). Let  $\mathcal{H}$  and  $\mathcal{G}$  be graph classes such that  $\mathcal{H}$ 1049 is hereditary and  $\mathcal{G}$  is monotone. Then Table 4 exhaustively classifies the complexity 1050 of #INDSUB( $\mathcal{H} \to \mathcal{G}$ ).

1051 *Proof.* The cases for  $\mathcal{G}$  and  $\mathcal{H}$  in Table 4 are mutually exclusive and exhaustive 1052 by Ramsey's Theorem (Theorem 2.4). Let us then prove the entries of Table 4.

The first row holds since for finite  $\mathcal{H}$  the brute-force algorithm runs in polynomial time, and the FPT result follows from Theorem 2.11. For the intractability results in the second column, note that since  $\mathcal{G}$  is monotone and infinite then  $\mathcal{G} = \mathcal{U}$ , and since  $\mathcal{H}$ is hereditary, the cases  $\alpha(\mathcal{H}) = \infty$  and  $\omega(\mathcal{H}) = \infty$  subsume respectively #INDSET( $\mathcal{U}$ ) and #CLIQUE( $\mathcal{U}$ ). Both are canonical #W[1]-hard problems and cannot be solved in time  $f(k) \cdot n^{o(k)}$  unless ETH fails [12, 13].<sup>20</sup> The intractability results in the third column follows from Theorem 5.5 since  $\mathcal{H}$  being hereditary and  $\alpha(\mathcal{H}) = \infty$  imply that #INDSUB( $\mathcal{H} \to \mathcal{G}$ ) subsumes #INDSET( $\mathcal{G}$ ).

1061 It remains to prove the first and the third entry of the third row. Note that both 1062 entries assume  $\omega(\mathcal{G}) < \infty$  and  $\alpha(\mathcal{H}) < \infty$ . Let then (H, G) be the input. If  $\omega(H) >$ 1063  $\omega(\mathcal{G})$  then we can immediately return 0. Otherwise  $|V(H)| \leq R(\omega(\mathcal{G}), \alpha(\mathcal{H})) < \infty$ , 1064 where *R* is Ramsey's function (see Theorem 2.4), and the brute-force algorithm runs 1065 in polynomial time.

<sup>&</sup>lt;sup>20</sup>The lower bound in [12, 13] applies to counting k-cliques, and we note that counting k-cliques and counting k-independent sets are interreducible by taking the complement of the host.

1066 **6. Outlook.** Due to the absence of a general dichotomy [56], the following two 1067 directions are evident candidates for future analysis.

Hereditary Host Graphs.. Is there a way to refine our classifications to hereditary 1068  $\mathcal{G}$ ? While such results would naturally be much stronger, we point out that a classi-1069 fication of general first-order (FO) model-checking and model-counting in hereditary graphs is wide open. Concretely, even if  $\mathcal{H} = \mathcal{U}$ , it currently seems elusive to ob-1071 tain criteria for hereditary  $\mathcal{G}$  which, if satisfied, yield fixed-parameter tractability of 1072 #SUB $(\mathcal{H} \to \mathcal{G}), \#$ INDSUB $(\mathcal{H} \to \mathcal{G}), \text{ and } \#$ HOM $(\mathcal{H} \to \mathcal{G})$  and which, if not satisfied, 1073 yield #W[1]-hardness of those problems. In a nutshell, the problem is that there are 1074arbitrarily dense hereditary classes of host graphs for which those problems, and even 1075the much more general FO-model counting problem, become tractable; a trivial ex-1077 ample is given by  $\mathcal{G}$  being the class of all complete graphs. See [31, 33, 34] for recent work on specific hereditary hosts and [32, 7] for general approaches to understand FO 1078 model checking on dense graphs. 1079

Arbitrary Pattern Graphs.. Can we refine our classifications to arbitrary classes of 1080 patterns  $\mathcal{H}$ , given that we stay in the realm of monotone classes of hosts  $\mathcal{G}$ ? We believe 1081 this question is the most promising direction for future research. While a sufficient 1082 1083 and necessary criterion for the fixed-parameter tractability of, say  $\#SUB(\mathcal{H} \to \mathcal{G})$ , must depend on the set of forbidden subgraphs of  $\mathcal{G}$ , we conjecture that the structure 1084 of monotone somewhere dense graph classes is rich enough to allow for an explicit 1085 combinatorial description of such a criterion. In fact, such criteria have already been 1086established for some specific classes of host graphs, e.g. bipartite graphs [20] and 1087 1088 degenerate graphs [9].

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