

1 **Title:** The potential for Blockchain to improve small-scale agri-food supply chain resilience:
2 A systematic review.

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16 **Abstract**

17 **Purpose**

18 We conducted a systematic review to explore the potential for the application of Blockchain
19 technologies for supply chain resilience in a small-scale agri-food business context.

20 **Findings**

21 The systematic review of articles (n=57) found that the use of Blockchain technology in the
22 small-scale agri-food business sector can reduce the risk of food fraud by assuring the
23 provenance of food products.

24 **Research limitations/implications**

25 Only a few papers were directly from a small-scale agribusiness context. Key challenges that
26 limit the implementation of Blockchain and other distributed ledger technologies include
27 concerns over the disclosure of proprietary information and trade secrets, incomplete or
28 inaccurate information, economic and technical difficulties, low levels of trust in the
29 technology, risk of human error and poor governance of process-related issues.

30 **Originality/value**

31 The application of Blockchain technology ensures that the risks and costs associated with non-
32 compliance, product recalls, and product loss are reduced. Improved communication and
33 information sharing can increase resilience and better support provenance claims and
34 traceability. Better customer relationships can be built, increasing supply chain efficiency and
35 resilience.

36 **Keywords:** Supply chain resilience; Blockchain technology; disruptions; COVID-19; small-
37 scale agri-food business, traceability.

38

39

40 **1. Introduction**

41 Increased global disruption experienced by businesses, including the COVID-19 pandemic
42 (Zhu et al., 2020, Marusak et al., 2021, Guaita Martínez et al., 2022), have led to calls for
43 improved supply chain resilience (Alabi and Ngwenyama, 2023), especially for small-scale
44 agri-food businesses that have limited capabilities to deal with such disruptions (Bak *et al.*,
45 2020, Aslam *et al.*, 2020). Supply chain resilience can be defined as the “capability of a supply
46 chain to develop the required level of readiness, response, and recovery capability to manage
47 disruption risks, get back to the original state or even a better state after disruptions”
48 (Chowdhury Md Maruf *et al.*, 2019, p. 659). These frequent disruptive events point to the
49 unpredictability of contemporary interconnected globalised markets (Duong and Chong, 2020).

50 Disruption risk describes the unplanned occurrences that limit the stability, agility, and
51 flexibility of a supply chain because of natural events or man-made disasters such as hurricanes,
52 floods, pest infestations (e.g., locusts), economic recession, terrorist attacks, labour strikes, and
53 technological changes (Parast and Shekarian, 2019). Risks encountered in the supply chain
54 create obstacles to attaining operational excellence (Wang *et al.*, 2020, Kleindorfer and Saad,
55 2005). Therefore, managing such risks is crucial for organisational sustainability and resilience
56 building (Manning, 2023, Chowdhury *et al.*, 2023, Christopher and Peck, 2004, Martin and
57 Matthias, 2011).

58 Resilience allows supply chains to recover from disruptions faster (Aslam *et al.*, 2020,
59 Lohmer *et al.*, 2020). Hence, food supply chains must be able to predict future stressors and
60 shocks as well as the opportunities to bounce back should they occur (Misselhorn *et al.*, 2012).
61 A resilient food supply chain has the capabilities for adaptability, alignment, and agility in each
62 component of the four supply chain areas of knowledge management, collaboration, logistics,
63 and sourcing (Manning and Soon, 2016) as supply chain management goes beyond just

64 economic issues to incorporate environmental and social issues too (Lis *et al.*, 2020, Zhu *et al.*,
65 2020).

66 Previous studies on promoting food supply chain resilience have focused on the application
67 of Blockchain technology to large-scale agri-food businesses and positioned Blockchain
68 technology as a means of achieving collaboration, traceability, transaction transparency and
69 security (Chin *et al.*, 2022, Shew *et al.*, 2022, Marusak *et al.*, 2021). However, limited attention
70 is given to the application of Blockchain technology to small-scale agri-food businesses
71 (Enescu and Ionescu, 2020), despite the essential role of these businesses in the global food
72 system. This leaves a gap in our theoretical and practice-based understanding of the
73 implications of Blockchain technology for small-scale agri-food businesses that lack the
74 capabilities to be resilient in a turbulent supply chain system (Motta *et al.*, 2020, Song *et al.*,
75 2020, Xiong *et al.*, 2020). Indeed, determining how the adoption of Blockchain technology
76 offers different opportunities and challenges for small-scale agri-food businesses in particular,
77 and the implications in terms of the agility of response to supply chain disruption is important.
78 Therefore, the purpose of this research is to address this gap by exploring the implications of
79 Blockchain technology for small-scale agri-food businesses and how such technology can
80 enhance resilience in the supply chain. Hence, the research questions that emerge are:

81 RQ1. Can Blockchain technology improve supply chain resilience for small-scale agri-food
82 businesses?

83 RQ2. What features of supply chain resilience may Blockchain technology improve for small-
84 scale agri-food businesses?

85 RQ3. What implementation challenges do small-scale agri-food businesses encounter with
86 Blockchain technology?

87 We argue that answering these questions is very relevant to these businesses who, since
88 2019, have been exposed to various single and aggregate shocks emerging from global events.
89 Indeed, the growing body of literature that is considering the occurrence of disruptive events,
90 such as the conflict in Ukraine, and the Covid-19 pandemic, and their short and long-term
91 impact on global sourcing (including Allam *et al.*, 2022, Jagtap *et al.*, 2022, Manning, 2023)
92 demonstrates supply chain vulnerability to a diverse range of risks (Christopher and Peck,
93 2004, Fiksel *et al.*, 2015, Habermann *et al.*, 2015). For small-scale agri-food businesses to
94 remain competitive in their supply chain(s), amidst exposure to shocks, they must be more
95 responsive to disruptions and be agile in identifying opportunities to remain resilient
96 (Battistella *et al.*, 2017, Yang, 2014, Mostafa *et al.*, 2020). Hence, the central argument of this
97 research is that Blockchain technology holds untapped potential for small-scale agri-food
98 businesses, and its adoption can significantly contribute to their ability to maintain resilience
99 within food supply chains.

100 This study, therefore, uses a systematic review approach to explore previously published
101 work on the application of Blockchain for food supply chain resilience to develop (1) an
102 understanding of the potential of Blockchain technology to improve the resilience of small-
103 scale agri-food business within supply chains (RQ1, RQ2), and (2) to identify the Blockchain
104 technology implementation challenges that small-scale agri-food businesses face (RQ3). Our
105 findings contribute to extant literature by identifying how the use of Blockchain technology
106 can support small-scale agri-food business' resilience. This includes assuring the provenance
107 of food products, improving traceability, and reducing the costs associated with non-
108 compliance (Rejeb *et al.*, 2020).

109 Key challenges identified in this review that limit the implementation of Blockchain and
110 other distributed ledger technologies (DLTs) for small agri-food businesses include concerns
111 over trade and business secrets disclosures (Rogerson and Parry, 2020, Rejeb *et al.*, 2020,

112 Tharatipyakul *et al.*, 2022), incomplete or inaccurate information (Tharatipyakul *et al.*, 2022),
113 and economic and technical challenges (Compagnucci *et al.*, 2022), including the high cost of
114 establishment and maintenance (Rejeb *et al.*, 2020). Other challenges include high transaction
115 and information management costs (Chu and Pham, 2022), unwillingness to pay for the
116 technology, lack of trust in the technology, human error, and concerns over governance of
117 process-related issues (Rejeb *et al.*, 2020, Rogerson and Parry, 2020). These findings offer
118 insights and recommendations for policymakers, industry stakeholders, and small-scale agri-
119 food businesses on how to harness Blockchain technology to enhance their resilience and
120 competitiveness in the supply chain.

121 The rest of the paper is structured as follows: section 2 gives an overview of the application
122 of Blockchain technology. In section 3, we present the systematic literature review approach
123 and in section 4 we highlight the findings. The discussion, conclusions and future research
124 directions are presented in sections 5 and 6.

125 **2. Application of Blockchain Technology: An overview**

126 Blockchain comprises of traceable and immutable digital records of transactions accessible
127 to a network of participants (Crosby *et al.*, 2016, Treiblmaier, 2020, Lashkari and Musilek,
128 2021). Blockchain supports a secure, transparent, and timely exchange of data and process
129 automation through intelligent contracts (Lohmer *et al.*, 2020, Leible *et al.*, 2019), storing data
130 in a set of ‘blocks’ with each block containing several time-stamped transactions (Glaser, 2017,
131 Wang and Luo, 2019). It combines concepts and technologies proposed by Satoshi Nakamoto
132 when introducing Bitcoin two decades ago (Narayanan and Clark, 2017).

133 The Blockchain ledger is distributed and shared across all the nodes in the Blockchain
134 network with each node having access to the current version of the ledger (Ahmed and
135 MacCarthy, 2022). It is a consensus mechanism that enables all participants (nodes) in the

136 network to agree on the state of the Blockchain, validate transactions, and determine which
137 transactions should be added to the ledger (Wang *et al.*, 2019). The central notion of Blockchain
138 technology adoption is the consensus mechanism used for information flow management. For
139 instance, in their work, Zhong *et al.* (2023) introduced a pragmatic Byzantine fault-tolerant
140 consensus algorithm designed to assess the credibility of enterprise nodes, enhance the
141 selection process for master nodes, and guarantee both high efficiency and cost-effectiveness.
142 Other consensus mechanisms reported in literature include Proof of Work (PoW), and Proof of
143 Authority (PoA) (Tian, 2016, Bala and Kaur, 2022).

144 The different types of Blockchain, the mechanisms, and how Blockchain works have been
145 studied (for example, Ahmed and MacCarthy, 2022, Treiblmaier, 2020, Pilkington, 2016,
146 Morkunas *et al.*, 2019). So the power, uniqueness, and attractiveness of Blockchain originate
147 from the combination of its diverse features to support different applications (Casino *et al.*,
148 2019), extending beyond cryptocurrencies (Eklund and Beck, 2019, Treiblmaier, 2020) to
149 different sectors (Treiblmaier, 2020, Chang and Chen, 2020, Nguyen *et al.*, 2021, Lu *et al.*,
150 2022). Blockchain technology has been applied to the agricultural sector in different areas. For
151 example, there are software platforms such as AgriDigital and Provenance designed to assist
152 in the transaction and settlement of agricultural commodities and to manage supply chain risks.
153 Early application of Blockchain in agriculture, and more generally, dates back to 2016 (Rocha
154 *et al.*, 2021, Casino *et al.*, 2019, Gurtu and Johny, 2019). Blockchain has been applied with
155 greater advantages that support traceability systems (Demestichas *et al.*, 2020, Köhler and
156 Pizzol, 2020), transparency (Köhler and Pizzol, 2020, Liu *et al.*, 2021), and better management
157 of transaction times (Bermeo-Almeida *et al.*, 2018) compared to technologies like the
158 traditional centralised databased. However, conceptual connections of the application of
159 Blockchain with improved resilience have been lacking.

160 Resilience strategies in supply chain management such as lean production, just-in-time
161 logistics and global sourcing reduce the costs of doing business, but can also reduce
162 organisational agility when faced with higher levels of uncertainty and disruption (Fiksel *et al.*,
163 2015). As a result of the risk of disruption(s) to globalised supply chains, some scholars have
164 advocated for a change in procurement processes such as dual sourcing (Zhu *et al.*, 2020,
165 Fujimoto and Park, 2014) while others propose ‘single sourcing’ from one supplier and
166 developing deeper supply chain relationships (Whitney *et al.*, 2014, Ergun *et al.*, 2010). Taking
167 advantage of improved quality control, fraud risks and cost reduction through deeper single
168 relationships alone will not deliver resilience, so alternative sourcing strategies must also be
169 embedded within procurement processes (Christopher and Peck, 2004, Manning *et al.*, 2016).

170 Improved collaboration could increase flexibility and consequently supply chain resilience
171 (Scholten and Schilder, 2015, Shekarian and Mellat Parast, 2020). Supply chain collaboration
172 has gained wide attention among scholars of supply chain resilience (Duong and Chong, 2020,
173 Christopher and Peck, 2004). However, trust-based relationships are fundamental to successful
174 collaboration (Dubey *et al.*, 2019). Collaboration is likely to be at risk from supply chain
175 disruption, leading to potential distrust among collaborating partners (Duong and Chong,
176 2020). As part of supply chain collaboration, visibility is also important. Lack of visibility leads
177 to information asymmetry and even opacity (Dubey *et al.*, 2018). Visibility extends beyond
178 traceability (Kowalska and Manning, 2022), ensuring relevant information is accessible to
179 users, both within and outside the organisation to control, monitor and adapt supply chain
180 operations and strategy from service acquisition to delivery (Kamble *et al.*, 2020). Hence, the
181 use of information communication technology to promote visibility has been studied in the
182 supply chain management literature (Kowalska and Manning, 2022, Ergun *et al.*, 2014, Wu *et*
183 *al.*, 2016), but not specifically in the context of small-scale agri-food businesses. The next
184 section explores the approach adopted for this study.

185 3. Research approach

186 3.1. Systematic review

187 Publications relevant to Blockchain technologies' application to food supply chain
188 resilience for small-scale agri-food businesses were sourced using Web of Science, Scopus,
189 and Google Scholar as part of a systematic review being the most widely used databases for
190 such reviews (Aria and Cuccurullo, 2017). We explored articles systematically from a dual
191 perspective (Bargoni et al., 2023); considering the type of paper (conceptual or research papers)
192 and secondly, the paper's theoretical contribution in terms of supply chain sector and the
193 aspects of blockchain application. Older articles on the subject published before the year 2000
194 were excluded as literature on supply chain disruption(s) started gaining prominence around
195 2000 (Katsaliaki *et al.*, 2022).

196 The application of a systematic approach was chosen to ensure that the search aspect of the
197 research is reproducible and represents the existing literature in this area (Guitart *et al.*, 2012,
198 Denyer and Tranfield, 2009). Depth compared to the breadth of the reviewed literature was the
199 focus of the search string based on the Population, Exposure and Outcomes (PEO) framework
200 (Aboagye *et al.*, 2021). Population (P) in this case refer to small scale agri-food business,
201 Exposure (E) refer to Blockchain application while Outcome (O) refer to supply chain
202 resilience. The entire process of identification, selection and categorisation of papers was
203 completed to reduce any potential selective biases as found in narrative reviews (Petticrew,
204 2001). Hence, conclusions and the addressing of the research questions to be reported were
205 drawn from the literature using this systematic method (Guitart *et al.*, 2012, Sauer and Seuring,
206 2023).

207 3.2. Search methodology

208 A two stage approach to the data extraction was employed based on Bretas and Alon
209 (2021). Firstly, by developing a keyword search and secondly a detailed examination of the

210 papers (Alon et al., 2020). Papers were selected based on the titles, abstracts, and keyword
211 searches to obtain the relevant papers for inclusion in the review. We recorded 6,143 papers at
212 the first level of the search from both Web of Science, Scopus, and Google Scholar search. The
213 following keywords and criteria: “Blockchain” (Title) And Food supply chain (Topic) Or Food
214 supplychain (Topic) Or agricultur* supply chain (Topic) was used. Exclusion and inclusion
215 criteria such as the language of publication, type of article and scope of the study (population
216 being small-scale agri-food businesses) were applied to select the most appropriate papers for
217 the review (Table I) which were reduced to 193 papers. To support the validity and relevance
218 of this approach, two expert librarians were consulted. The librarians supported the co-creation
219 of the search terms, research priorities and the design of this review (Murray *et al.*, 2018,
220 Murray *et al.*, 2021).

221 **Insert Table I here.**
222

223 Duplicates, conference papers, review papers and articles not related to agri-food supply
224 chain resilience management were excluded. The following information from the selected and
225 reviewed papers was recorded for each one based on Guitart *et al.* (2012): author(s); publication
226 year; resilience management strategies and aspects of Blockchain application. After screening
227 of the title and abstract, the papers included in the review (n=193) were read in full, assessed
228 for eligibility, and 57 papers were specifically considered to be within the context of the
229 research themes about small-scale agri-food businesses Figure 1.

230 **Insert Figure 1 here.**

231
232 *3.3. Data analysis*

233 The papers included in the review were extracted and analysed for the key themes and
234 trends using a Microsoft Excel spreadsheet. To focus on addressing our research questions, we

235 focus on the supply chain sectors and the aspects of Blockchain application. We also considered
236 the location (country) of study, the supply chain risks and resilience measures, the challenges,
237 and the barriers to Blockchain application reported in the literature. The results of the analysis
238 are thematically presented in the next section.

239

240 **4. Results**

241 *4.1. Blockchain in supply chains and reasons for application*

242 Blockchain has been applied in various agri-food sub-sectors and the aspects of application
243 highlighted (Table 2).

244 **Insert Table 2 here:**

245 We found that Blockchain is positioned as providing several benefits in the agri-food sector
246 such as the “ability to share immutable data between supply chain stakeholders and automate
247 agreements and the exchange of trusted information between multiple actors” (Bumblauskas
248 *et al.*, 2020; p. 4). Research also shows that Blockchain can improve farmer visibility to other
249 supply chain actors through organised markets/off-takers (Compagnucci *et al.*, 2022, Enescu
250 and Ionescu, 2020). Among all the studies, only three studies were specifically on small-scale
251 agribusinesses that benefit from blockchain application through cooperative arrangements to
252 increase trust (Mangla *et al.*, 2021, Compagnucci *et al.*, 2022, Lee *et al.*, 2022); with a focus
253 on traceability, food safety and transparency in the case of Mangla *et al.* (2021).

254 *4.2. Country/location of study*

255 The review revealed that the application of Blockchain to small-scale agri-food business
256 was considered in studies in countries such as Australia, Brazil, China, Columbia, Denmark,
257 Estonia, Greece, Italy, India, Indonesia, Malaysia, Mexico, Netherlands, Palestine, Portugal,
258 Russia, Spain, Thailand, Tunisia, Turkey, Uganda, Ukraine, the USA, Vietnam, and Zambia
259 (Figure 2) based on the 57 papers included.

260 **Insert Figure 2 here:**

261 The next section explores the studies in the papers that consider trust, transparency,
262 accountability, and the application of Blockchain for resilience, the specific focus of the
263 research questions in this study.

264 4.3. Blockchain and supply chain resilience factors

265 Blockchain technology has gained significant attention in recent years as a potential
266 solution for improving the resilience of small-scale agri-food business supply chains (Rejeb *et al.*
267 *et al.*, 2020). Blockchain has the potential to address several key challenges faced by small-scale
268 agri-food businesses, including disruption risk, the lack of trust, transparency, and
269 accountability in the supply chain (Rejeb *et al.*, 2020). A study from the USA reported that
270 Blockchain was applied to reduce the risk of food recalls, fraud, and product loss as products
271 (eggs) were collected from a cluster of 100 small farms specifically by identifying the source
272 of the eggs when issues arose during processing (Bumblauskas *et al.*, 2020). Other studies
273 highlighted that Blockchain could eliminate the risk of food fraud, forgery and counterfeiting;
274 (Robb *et al.*, 2020, Tsolakis *et al.*, 2021, Bandinelli *et al.*, 2023) and reduce the risk of milk
275 spoilage (Mangla *et al.*, 2021).

276 Blockchain technology can increase supply chain transparency by offering a secure and
277 decentralised platform for information recording and sharing, promoting better coordination,
278 visibility, and trust (Rejeb *et al.*, 2020). Blockchain technology can improve supply chain
279 efficiency and reduce costs in small agri-food business supply networks by eliminating
280 middlemen and enabling a direct exchange of products (Peng *et al.*, 2022, Chu and Pham,
281 2022). Lucena *et al.* (2018) reported that Blockchain technology can improve food safety by
282 enabling real-time monitoring of the supply chain, reducing the risk of food contamination, and
283 increasing the speed and accuracy of food recalls. Thus, the literature reviewed contends that
284 Blockchain technology has the potential to strengthen the resilience of small-scale agri-food
285 industry supply chains by raising trust, transparency, and accountability, cutting costs, and
286 improving food safety (Rejeb *et al.*, 2020). These sections have therefore provided insight for
287 addressing RQ1 and RQ2.

288 *4.4. Challenges and barriers to blockchain application*

289 Specific challenges and barriers were highlighted in some of the papers reviewed that
290 militate against successful Blockchain implementation (RQ3). These include concerns over the
291 disclosure of trade secrets (Rogerson and Parry, 2020, Tharatipyakul *et al.*, 2022), incomplete
292 or inaccurate information (Tharatipyakul *et al.*, 2022), and economic and technical challenges
293 (Compagnucci *et al.*, 2022). Other challenges reported include high transaction and
294 information management costs (Chu and Pham, 2022), a lack of willingness to pay for the
295 technology, a lack of trust in the technology, human error, and concerns over governance of
296 process-related issues (Rogerson and Parry, 2020). According to Chu and Pham (2022) despite
297 the significant benefits of applying Blockchain technology to the cashew supply chain in
298 Vietnam, the lack of investment in developing agricultural platforms and Blockchain
299 infrastructure limits its application. In the next section, we discuss the implications of our
300 findings and suggest directions for future research.

301

302 5. Discussion

303 This paper set out to explore the opportunities for Blockchain application for supply chain
304 risk management and resilience in the context of small-scale agri-food businesses especially
305 with regard to vulnerability to disruption (Bak *et al.*, 2020, Aslam *et al.*, 2020), and to secure
306 income for the businesses concerned. Blockchain technology is increasingly receiving attention
307 due to the potential for multiple application and the benefits that can be accrued to small-scale
308 agri-food businesses (Enescu and Ionescu, 2020). Three questions were posed:

309 RQ1. Can Blockchain technology improve supply chain resilience for small-scale agri-food
310 businesses?

311 RQ2. What features of supply chain resilience may Blockchain technology improve for small-
312 scale agri-food businesses?

313 RQ3. What implementation challenges do small-scale agri-food businesses encounter with
314 Blockchain technology?

315 To answer the first two research questions, the reviewed literature points to two intersecting
316 features of Blockchain technology and supply chain resilience. First are the consensus
317 mechanisms which are crucial for ensuring the security, integrity, and trustworthiness of the
318 Blockchain (Tian, 2016, Bala and Kaur, 2022). Second is Blockchain's secured and
319 decentralised platform which relies on consensus mechanisms for secure and transparent
320 information flow. Supply chain resilience is achieved because the secure decentralised platform
321 minimises disruptions caused by data inaccuracies or intentionally fraudulent activities. In the
322 event of a disruption, the decentralised ledger ensures flexibility, agility, data availability and
323 resilience (Sharma *et al.*, 2021). Through this, blockchain serves as a powerful tool to address
324 pressing concerns surrounding food safety, quality, and authenticity (Manning *et al.*, 2019).

325 The use of Blockchain could enhance transparency and traceability from ‘farm to fork,’ a
326 pivotal advancement in the small-scale agri-food business supply chains (Bumblauskas *et al.*,
327 2020). Food fraud has emerged as a global challenge and risk, threatening the integrity of food
328 products. Blockchain combats this risk by establishing a comprehensive and tamper-proof
329 record of each product’s journey (Makarov *et al.*, 2019). This multifaceted transparency could
330 instil consumer confidence, ensuring that food products meet the expected quality and ethical
331 standards. In essence, Blockchain has the potential to provide guarantees of the authenticity of
332 food within the agri-food industry supply chain framework at the small-scale level (van Hilten
333 *et al.*, 2020). This is important as many small-scale farmers and agri-food businesses produce
334 food to feed themselves and also for sale within local or global supply chains (Garrard and
335 Fielke, 2020). Moreover, this study shows that the implication of Blockchain technology
336 extends far beyond consumer trust. By utilising Blockchain technology effectively, small-scale
337 agri-food businesses may experience a significant reduction in risk, both in terms of product
338 related disruptions within the supply chain and the financial consequences of product recalls
339 (van Hilten *et al.*, 2020, Bumblauskas *et al.*, 2020, Lucena *et al.*, 2018, Makarov *et al.*, 2019,
340 Tsolakis *et al.*, 2021). Another risk management strategy that Blockchain technology can
341 enable is the implementation of smart contracts which can be used to automate the execution
342 of contracts, reduce the need for intermediaries and increase the speed of transactions. This can
343 help reduce the fraud risks and errors in the supply chain. The benefits are not solely economic;
344 they encompass the strengthening of consumer-business relationships, a cornerstone of
345 sustainable growth (Joo and Han, 2021).

346 Efficiency gains materialise through blockchain's streamlined processes for traceability,
347 while the sector’s overall resilience is enhanced. Transparency translates into informed
348 decision-making, enabling businesses to adapt and respond effectively to challenges and
349 changing circumstances (Tharatipyakul *et al.*, 2022). Furthermore, by utilising the potential of

350 Blockchain, many marginalised small-scale agri-food businesses unable to enter international
351 markets can connect directly with buyers through developing small clusters, and also retaining
352 more of the value at farm level, a key target with the delivery of the Sustainable Development
353 Goals (SDGs) (Chandan *et al.*, 2023). These nuanced aspects of Blockchain technology are
354 beneficial to small-scale agri-food businesses because they enhance their market access,
355 revenue, and negotiations' power through reducing information asymmetry. This is a critical
356 aspect to address since small-scale farmers also play such a key role in feeding a significant
357 percentage of the global population (Godfray *et al.*, 2010).

358 In considering RQ1 and RQ2, one of the key insights derived from this review is the
359 importance of drawing lessons from past supply chain disruption events. The integration of
360 Blockchain technology is highlighted as a promising avenue to enhance supply chain resilience
361 in this context (van Hilten *et al.*, 2020). However, it is crucial to note that the adoption of
362 Blockchain technology is not without its limitations. Privacy-related concerns, particularly the
363 risk of data breaches involving sensitive business information, necessitate a strong emphasis
364 on regulatory compliance (Bumblauskas *et al.*, 2020, Casino *et al.*, 2021, Bertino *et al.*, 2019).

365 To answer RQ3, this study found certain challenges that affects the implementation of
366 Blockchain technology despite the benefits highlighted. These challenges include concern over
367 trade secrets disclosures (Rogerson and Parry, 2020, Tharatipyakul *et al.*, 2022), incomplete or
368 inaccurate information (Tharatipyakul *et al.*, 2022), and economic and technical challenges
369 (Compagnucci *et al.*, 2022). Other challenges reported include high transaction and
370 information management costs for small-scale agri-food businesses (Chu and Pham, 2022, van
371 Hilten *et al.*, 2020, Chandan *et al.*, 2023), lack of willingness to pay for the technology, lack
372 of trust in the technology, risk of human error, and concerns over governance of process-related
373 issues (Rogerson and Parry, 2020). To address these challenges, there is a need for governance
374 structures to be developed, together with regulatory and consumer buy-in (Brewer *et al.*, 2021).

375 Also, the potential for human error needs to be addressed as the quality and safety compliance
376 aspects of small-scale agri-food supply chains are important to all relevant stakeholders from
377 producers, processors, regulators, to consumers (Kasten, 2019). Blockchain can act as a bridge
378 to ensure transparency in the quality audit trail. For instance, in dairy supply chains where
379 collectively producers and processors owned or are linked to food testing laboratories as found
380 in a USA-based study (Bumblauskas et al., 2020, Kasten, 2019) and for small scale dairy
381 farmers in Turkey (Mangla et al., 2021).

382

383

384 6. Conclusions

385 The purpose of this research was to address an existing theoretical gap by exploring the
386 implications of Blockchain technology for small-scale agri-food businesses, challenges to
387 adoption and how the technology can be used to enhance resilience in the supply chain(s) of
388 interest (Rejeb *et al.*, 2020). This approach can then inform future empirical research. There is
389 limited evidence of Blockchain being applied in a small-scale agri-food business context.
390 Where the technology has been applied the focus has been on the areas of traceability, fraud
391 detection and prevention, food safety and transparency (Bumblauskas *et al.*, 2020, Mangla *et*
392 *al.*, 2021). Improved technology access, greater digital literacy and financial resources can
393 enable opportunities for small-scale agri-food businesses to apply Blockchain technologies for
394 resilience in the event of disruptions in the supply chain (Rejeb *et al.*, 2020). Despite the
395 seeming benefits of Blockchain application for the small-scale agri-food business sector,
396 certain challenges persist that limit application therein (Rogerson and Parry, 2020, van Hilten
397 *et al.*, 2020, Chu and Pham, 2022, Tharatipyakul *et al.*, 2022, Chandan *et al.*, 2023). These
398 challenges need to be remedied to ensure that small-scale agri-food businesses have the full
399 benefits of applying Blockchain technologies. Policymakers should address improving the
400 digital literacy of small-scale agri-food business operators to ensure that these businesses
401 harness the benefits and opportunities technology applications bring, enhancing trust in the
402 supply chain and linking small-scale agri-food businesses to a global value chain.

403 6.1. Implications for research

404 This paper makes a contribution by highlighting contemporary framing of the central focus
405 of this work, but also demonstrates that further empirical work needs to be undertaken to better
406 understand how Blockchain can be applied effectively, and the mechanisms to do this is in a
407 low cost way where small-scale farmers and agri-food businesses are not priced out of the

408 market, but have the data they need to remain resilient and to sustain their businesses,
409 communities and minimise environmental impact.

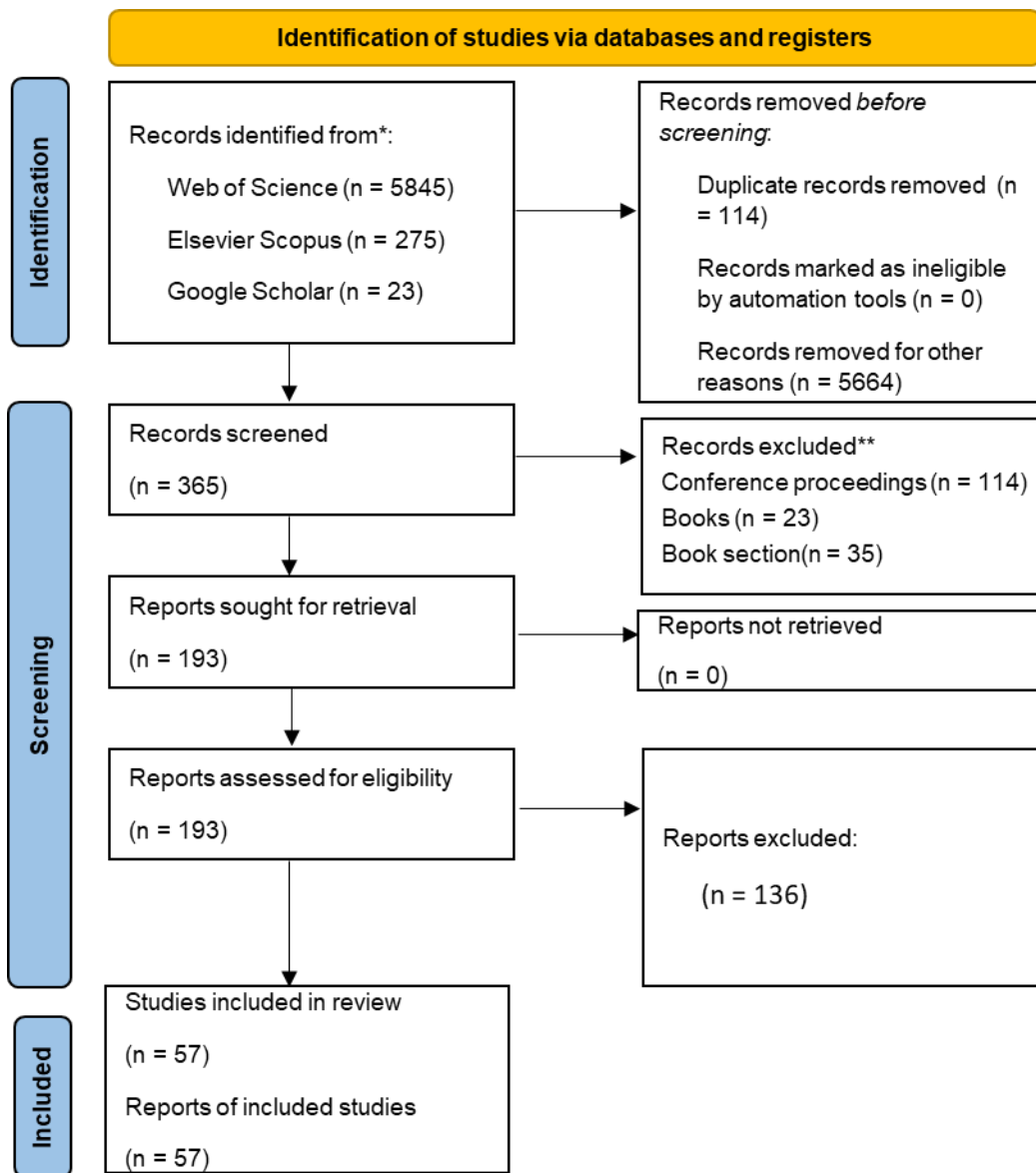
410 *6.2. Implications for practice*

411 This study found some gaps in literature that can be addressed by scholars in the agri-food
412 research domain. While we reported findings that focused on different aspects of Blockchain
413 application in the agri-food business sector in terms of resilience and related benefits such as
414 elimination of systems' boundary and business continuity (Casino *et al.*, 2021), the challenges
415 identified still require research attention. Future studies should consider how to mitigate these
416 challenges especially for small-scale agri-food businesses that are also likely to be affected by
417 cost-related challenges to implementation.

418 *6.3. Limitations and future research directions*

419 Our findings show the wide geographical scope of research in this area and demonstrate
420 the global relevance of the adoption of Blockchain as a resilience-enhancing measure. Yet, the
421 study also reveals a potential limitation in terms of developing country-specific solutions as
422 evidence was not forthcoming in this review. Hence, there are opportunities to consider the
423 application of Blockchain technology for small-scale agri-food businesses that are more
424 accessible, affordable, generalisable, and applicable irrespective of country or commodity
425 context. This review found limited studies in the context of Blockchain in small-scale agri-food
426 businesses. Further empirical studies need to be carried out in the context of Blockchain
427 applications and their role in promoting resilience in small-scale agri-food businesses. Such
428 research can also look at the Blockchain application for resilience in small-scale agri-food
429 businesses from the perspectives of the role of stakeholders, economic and environmental
430 trade-offs, and contextual regulatory and policy implications.

431 **Figures:**



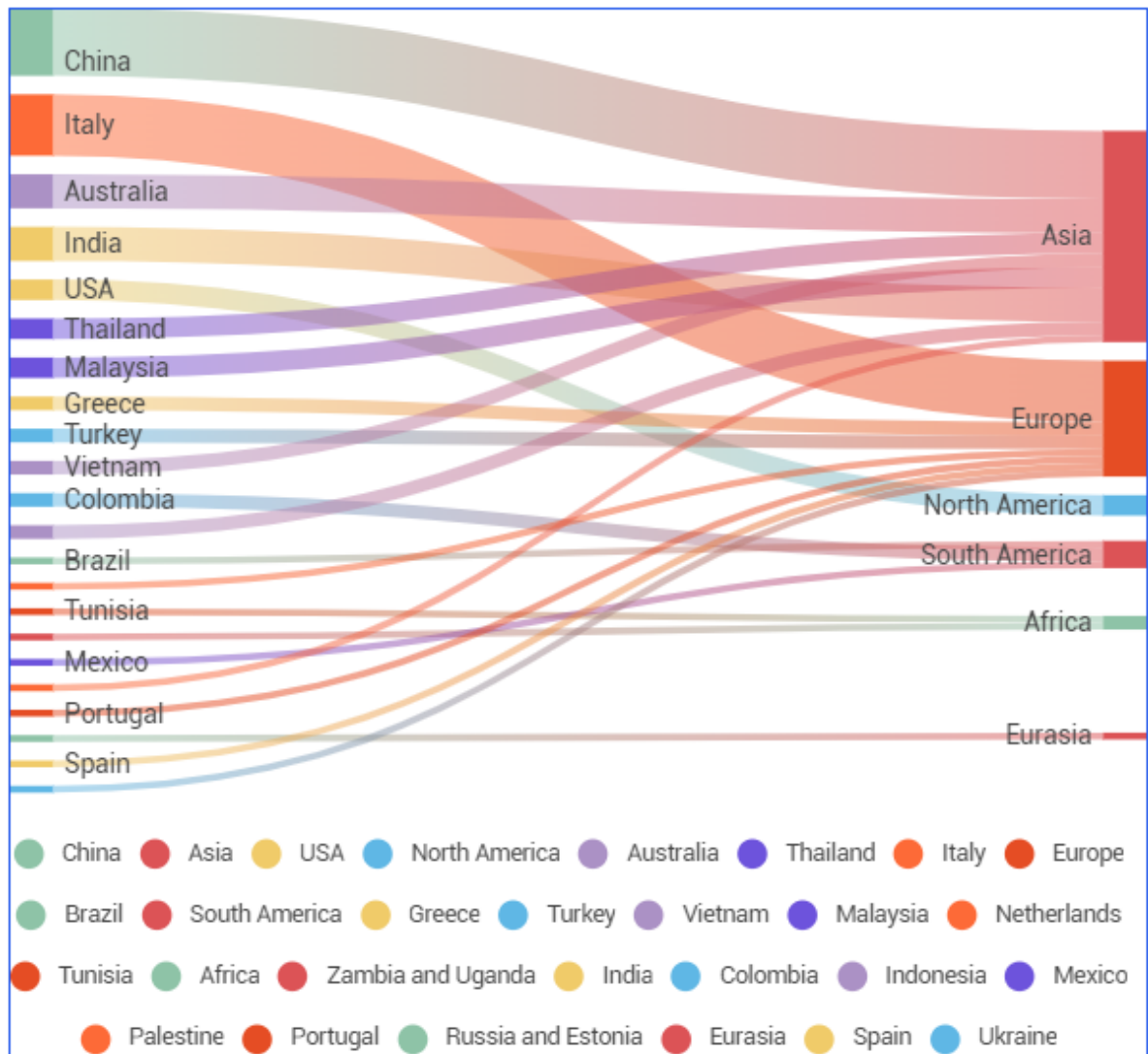
432

433 **Fig. 1.** Preferred reporting items for systematic reviews and meta-analysis (PRISMA)
434 flowchart with the different stages of data screening.

435 **Source:** Adapted from Page et al. (2021).

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Fig. 2. (n=57): Sankey diagram showing number of occurrences of the countries and their regions as represented in the literature studied.

441

Source: Authors (differences in frequency of appearance of papers was low).

442

443

444 **Tables:**

445

446 **Table 1.** Criteria for inclusion and exclusion of articles in this review.

Criteria	Included	Excluded	Justification for criteria application
Publication date	2000 to 2023.	Before 2000.	Available papers from Web of Science, Google Scholar and Elsevier Scopus to have a historical perspective on the resilience management of small-scale farmers.
Language of publication	Papers written in English language	Papers in other languages except English	For readability and researcher' proficiency in English language.
Main publication theme	Papers on supply chain resilience management.	Non- supply chain resilience papers.	To be within the scope of the systematic review.
Article availability	Full paper available	Full paper not available	Paper must be read in full.
Type of article	Peer-reviewed empirical research and book chapters	Conference proceedings, review journal articles, editorials	Increased validity of findings
Scope	Small-scale agri-food business-related papers.	Non-small scale agrifood business related papers.	To remain within the scope of the review.

447 **Source:** Authors' computations.

448

449 **Table 2:** Blockchain application in agri-food business sectors in the examined literature.

Country	Supply chain sector	Risk/resilience measures if highlighted in the paper	Aspect of Blockchain application	Paper type (conceptual or research)	Reference
Australia	Beef	Unspecified	Transparency, Trust	Conceptual	(Robb et al., 2020)
Australia	Baby food	Type of technology configuration	Visibility and trust	Research	(Rogerson and Parry, 2020)
Australia	Beef	Unspecified	Transparency	Research	(Cao et al., 2022)
Australia and China	Beef	Unspecified	Traceability, trust.	Research	(Cao et al., 2021)
Australia	Aquaculture	Unspecified	Provenance, traceability.	Research	(Garrard and Fielke, 2020)
Brazil	Grain	Data accuracy and technology availability	Fraud, delay, quality assurance	Research	(Lucena et al., 2018)
China	Rice	Unspecified	Safety and quality	Research	(Peng et al., 2022)
China	Organic food	Use of incentive mechanisms	Traceability	Research	(Ding and Bai, 2022)
China	Fresh products	Risk attitude	Traceability	Conceptual	(Wu et al., 2021)
China	Jujube	Unspecified	Traceability	Conceptual	(Song et al., 2023)
China	Agri-food	Unspecified	Transparency, traceability, security, and sustainability.	Research	(Joo and Han, 2021)
China	Fresh produce	Unspecified	Traceability	Research	(Yi et al., 2022)
China	Agri-food	Unspecified	Transparency, productivity, competitiveness and sustainability	Research	(Fu et al., 2020)
China	Grain and oil	Unspecified	Traceability and trust.	Research	(Zhang et al., 2022)
China	Grain	Unspecified	Food quality, safety and traceability	Research	(Zhang et al., 2020)
China	Fresh food	Risk attitude	Traceability	Research	(Liu et al., 2022)
Colombia	Coffee	Unspecified	Traceability, transparency, and reliability.	Conceptual	(Valencia-Payan et al., 2022)
Colombia and Denmark	Coffee	Unspecified	Transparency, traceability.	Research	(Bager et al., 2022)
Global	Cocoa	Unspecified	Immutability, transparency, visibility, traceability, integration.	Research	(Kayikci et al., 2022)
Greece/ Global	Dairy	Type of technology configuration	Traceability	Research	(Casino et al., 2021)
Greece	Table olives	Unspecified	Traceability	Research	(Kechagias et al., 2023)
India	Grape wine	Supply chain efficiency and quality management	Traceability	Research	(Saurabh and Dey, 2021)
India	Agri-food	Technology implementation	Interfirm trust and transparency, safety, improved visibility.	Research	(Sharma et al., 2023)

India	Agri-food	Unspecified	Traceability, food safety and quality.	Research	(Gupta and Shankar, 2023)
India	Dairy	Unspecified	Transparency, traceability.	Research	(Khanna et al., 2022)
India	Pork	Shared processes and record keeping	Food quality, traceability.	Research	(George et al., 2019)
Indonesia	Halal Poultry	Unspecified	Traceability, transparency.	Research	(Sidarto and Hamka, 2021)
Italy	Agri-food	Unspecified	Traceability/ visibility	Research	(Compagnucci et al., 2022)
Italy	Wine	Unspecified	Traceability and sustainability		(Spadoni et al., 2019)
Italy	Ancient grains pasta (wheat)	Unspecified	Disclosure, traceability, waste, fraud, and abuse.	Research	(Bandinelli et al., 2023)
Italy	Wine	Unspecified	Provenance, quality, consumer trust.	Research	(Silvestri et al., 2023)
Italy	Fish	Unspecified	Sustainability	Conceptual	(Mileti et al., 2023)
Italy	Coffee	Unspecified	Traceability and transparency.	Research	(Gazzola et al., 2023)
Italy	Dairy	Unspecified	Traceability	Research	(Varavallo et al., 2022)
Italy	Wine	Unspecified	Traceability, sustainability and transparency	Research	(Luzzani et al., 2021)
Malaysia	Halal	Unspecified	Traceability	Research	(Hew et al., 2020)
Malaysia	Halal food	Unspecified	Food integrity, safety, and quality.	Research	(Ali et al., 2021)
Malaysia	Halal food	Unspecified	Traceability	Research	(Tan et al., 2022)
Mexico	Avocado	Unspecified	Integrity, traceability, transparency.	Research	(López-Pimentel et al., 2022)
Netherlands	Organic food	Ability to verify data	Traceability, transparency.	Research	(van Hilten et al., 2020)
Palestine	Agri-food	Unspecified	Competitiveness	Research	(Hamdan et al., 2022)
Portugal	Restaurant food delivery	Secured information sharing	Trust	Research	(Tokkozhina et al., 2023)
Russia and Estonia	Food industry	Unspecified	Transparency, efficiency, traceability and standardization	Research	(Dehghani et al., 2022)
Spain	Agri-food	Unspecified	Food control and traceability	Research	(Martínez-Castañeda and Feijoo, 2023)
Thailand	Coffee	Unspecified	Traceability	Research	(Tharatipyakul et al., 2022)
Thailand	Fish	Integrated technology implementation	Food safety, quality, and fraud prevention	Research	(Tsolakis et al., 2021)
Thailand	Fish	Unspecified	Transparency and traceability	Research	(Tsolakis et al., 2023)
Tunisia	Olive oil	Unspecified	Traceability	Research	(Ktari et al., 2022)
Turkey	Dairy	Recording information quality and hygiene	Traceability, food safety and transparency	Research	(Mangla et al., 2021)
Turkey	Tea	Recording quality and hygiene data	Transparency, traceability, reliability, consensus standards.	Conceptual	(Mangla et al., 2022)
Ukraine	Fish	Unspecified	Transparency, and decentralisation.	Research	(Iermakova et al., 2022)

USA	Food/egg	Reduce risk and cost of food recalls, fraud, and product loss	Traceability and transparency	Research	(Bumblauskas et al., 2020)
USA	Fresh milk	Technology availability	Food safety and quality	Research	(Kasten, 2019)
USA	Coffee	User-friendly technologies availability	Ecological embeddedness	Research	(Trollman et al., 2022)
Vietnam	Cashew	Consistent data recording	Traceability, transparency, and efficiency.	Conceptual	(Chu and Pham, 2022)
Vietnam	Dairy	Unspecified	Food safety and traceability	Research	(Tan and Ngan, 2020)
Zambia and Uganda	Cassava, Sorghum and Dairy	Unspecified	Transparency and accountability	Conceptual	(Lee et al., 2022)

450 **Source:** Authors' synthesis.

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