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1 **Title:** Ketone esters and their effects on carbohydrate metabolism during exercise.

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25

26 Ketone bodies are metabolites produced by the liver under conditions of low  
27 carbohydrate and high fatty acid availability. The ketone body of most abundance is  
28 typically beta-hydroxybutyrate (BHB). Physiological scenarios where circulating BHB  
29 concentrations are elevated to >1 mmol/L include fasting, exercise, late pregnancy  
30 (particularly labor), and very low-carbohydrate diets (1, 2). During scenarios of high  
31 BHB concentrations, the brain and muscle can take up and utilize BHB as a fuel (3).  
32 In addition, BHB may play a role in signalling via extracellular receptors and inhibiting  
33 histone deacetylases (4). BHB therefore produces a wide variety of effects on  
34 metabolism and signalling under conditions of low carbohydrate availability.

35

36 Ketone supplements are orally ingestible compounds that can raise circulating BHB  
37 concentrations without the need to restrict carbohydrate intake. Of the various ketone  
38 supplements currently available, the ketone monoester (R)-3-hydroxybutyl (R)-3-  
39 hydroxybutyrate, appears to be the most effective at raising plasma BHB  
40 concentrations. It has been speculated that ingesting ketone esters alongside  
41 carbohydrate during exercise may provide additional fuel and thereby spare glycogen  
42 use and enhance performance. To date, however, evidence on glycogen sparing and  
43 performance effects of ketone esters during exercise has been equivocal (5-7). This  
44 may be, in part, due to the methods of measurement which have focussed on net  
45 muscle glycogen utilisation. A more complete understanding of the effects of ketone  
46 esters of exercise metabolism would include the potential impact on broader aspects  
47 of carbohydrate utilization.

48

49 In this issue of the journal, Howard et al. (8) employed dual stable-isotope tracers  
50 combined with indirect calorimetry to examine plasma glucose kinetics and exogenous

51 substrate metabolism with ketone esters ingested during exercise. This allowed  
52 assessment of how ingested carbohydrates are handled, and the impact on hepatic  
53 carbohydrate metabolism. These methods can overcome some of the limitations of  
54 muscle biopsy measures, which are restricted to the site of sampling, providing  
55 snapshot data, and potentially introducing artefacts from the sampling procedure. In  
56 contrast, the dual-stable isotope method (oral and intravenous infusion of glucose  
57 tracers) can provide insight into fluxes, does not require assumptions or extrapolations  
58 from a single sample site to other glycogen depots, and can reveal the fate of ingested  
59 *versus* endogenous carbohydrates (9).

60

61 Ketone ester ingestion raised plasma BHB concentrations to ~1.25 mmol/L at the  
62 onset of exercise and suppressed glucose rate of appearance (Ra) from ~7 mg/kg/min  
63 to ~6.5 mg/kg/min (equating to a suppression of glucose Ra of ~2 g/h). Since there  
64 was no evidence that exogenous glucose oxidation rates were affected to any  
65 meaningful extent by ketone ester ingestion (<0.01 g/min difference), it can be  
66 assumed that this reduction in glucose Ra primarily represents a reduction in hepatic  
67 glucose output. In other words, it is possible that ketone esters may spare liver  
68 glycogen stores. The relevance of this reduction, however, should be considered  
69 carefully in the context of other strategies. For example, glucose ingestion at a rate of  
70 less than 10 g/h can induce a similar suppression of endogenous glucose Ra (10),  
71 and a suppression of 2 g/h (reflecting <5% of replete liver glycogen stores) is unlikely  
72 to be sufficient to impact performance. However, it may be that optimising the dose  
73 and timing of ketone esters could produce more substantial effects of glucose kinetics,  
74 since data at rest suggest that BHB concentrations >1.5 mmol/L can suppress  
75 endogenous glucose Ra from ~13.5 to ~9.9 g/h (>30% reduction). If this magnitude of

76 suppression with higher BHB concentrations extends to an exercising context, this  
77 could be a physiologically meaningful suppression. The current evidence, however,  
78 does not support the idea the ketone esters consistently suppress liver or muscle  
79 glycogen utilization to any meaningful degree.

80

81 The metabolic impact of ketone esters in this study was, therefore, modest. The impact  
82 on performance was negative, whereby both time trial and time-to-exhaustion tests  
83 showed a reduction in performance with ketone ester ingestion versus placebo. Since  
84 the metabolic effects were relatively small, these are unlikely to explain performance  
85 changes with ketone esters in this study. BHB can suppress lipolysis (3), and glycerol  
86 and long-chain fatty acid concentrations (as markers of lipolysis) were lower in the  
87 present study with ketone ester ingestion (8). Whilst suppressing circulating fatty acid  
88 availability can impair endurance performance (11), this seems to be more relevant  
89 for more prolonged exercise than that tested in the current study. Other potential  
90 mechanisms of impaired performance include gastrointestinal distress and/or acid-  
91 base disturbances with ketone esters (7, 12). Increased gastrointestinal symptoms in  
92 the current study may have partly explained the performance decrement. This is  
93 despite a lack of correlation between gastrointestinal symptoms and the degree of  
94 performance decrement, which is perhaps unsurprising given the subjective nature of  
95 measuring gastrointestinal distress. The contribution of acidosis remains unknown,  
96 since acid-base balance of the blood or muscle was not determined, yet indirect  
97 inference from ventilatory data was consistent with greater acidosis in the ketone ester  
98 trial (8).

99

100 Where next for ketone esters and exercise? The current study makes an important  
101 contribution to understanding the acute effects of ketone esters on exercise  
102 metabolism with moderate glucose ingestion (30 g/h). However, further work is needed  
103 to understand their impact during conditions reflecting sporting scenarios with higher  
104 carbohydrate intakes (e.g., >60 g/h) and with glucose-fructose mixtures. It is plausible  
105 that ketone esters may interact with these factors, since carbohydrate ingestion dose-  
106 dependently affects glucose kinetics (10), and the lactate from fructose ingestion  
107 would be competing with the same cell membrane transport proteins as BHB.  
108 Nevertheless, attention may be also turning more intensively to longer-term effects of  
109 ketone esters and their role as signalling molecules. BHB may stimulate muscle  
110 protein synthesis (13), alter catecholamines during exercise (14), and erythropoietin  
111 concentrations after exercise (15). These signalling effects of ketone bodies may  
112 prove to be more important than their effects as a fuel source for exercise performance  
113 and the research landscape in this area appears to provide some exciting  
114 opportunities for advancements in understanding.

115

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