

1 **Microplastic pollution in wastewater treatment plants in the city of**
2 **Cádiz: Abundance, removal efficiency and presence in receiving water**
3 **body**

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5 *Franco, A. A.^{a*}; Arellano, J. M^{b*}; Albendín, G.^b; Rodríguez-Barroso, R^a; Quiroga, J. M^{a a};*
6 *Coello, M^a.D.^a.*

7 *^aEnvironmental Technologies Department, University Institute of Marine Research (INMAR), International Campus of*
8 *Excellence of the Sea (CEI MAR), Faculty of Marine and Environmental Sciences, University of Cadiz, 11510 Puerto*
9 *Real, Cadiz, Spain*

10 *^bToxicology Department, University Institute of Marine Research (INMAR), International Campus of Excellence of the*
11 *Sea (CEI MAR), Faculty of Marine and Environmental Sciences, University of Cadiz, 11510 Puerto Real, Cadiz, Spain*

12
13 *Corresponding author: juanamaria.arellano@uca.es / anafrancodelpino@gmail.com*

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16 *Polymers.*

17
18 **Abbreviations**

19 ASA Acrylonitrile styrene acrylate

20 DAP Diallyl Phthalate

21 CA Cellulose acetate

22 EAA Ethylene acrylic acid

23 EVA Ethylene-vinyl acetate

24 HDPE High density polyethene

25 MP Microplastics

26 PA Polyamide

27 PAH Polycyclic Aromatic Hydrocarbon

28 PB Polybutylene

29 PCB Polychlorinated biphenyl

30 PCL Polycaprolactone

31	PE	Polyethylene
32	PET	Polyethylene terephthalate
33	PMMA	Poly(methyl methacrylate)
34	PP	Polypropylene
35	PR	Phenoxy resin
36	PS	Polystyrene
37	PVC	Polyvinyl chloride
38	WWTP	Wastewater treatment plant

39

40 **Abstract**

41

42 Wastewater treatment plants (WWTPs) have been appointed as one of the main sources
43 of microplastics (MP) into marine ecosystems. The aim of this research work has been to
44 study the influent and effluent of two WWTPs, both located in Cádiz, with different
45 wastewater source (industrial and urban), as well as the receiving water bodies where the
46 facilities discharge their sewage.

47

48 MP were collected, extracted from wastewater matrixes and analysed according to the
49 abundance, shape, size, and type of polymer, along with the removal rates of MPs in the
50 plants.

51

52 Subsequently, the data obtained on both WWTPs were compared, the main difference
53 among the WWTPs was the amount of microplastics found in the wastewater, as well as
54 the presence of polymers with resins from industrial activities.

55

56 The results from this study established that the most representative form was fibers; about
57 the size, 100-355 μm fraction was the most abundant, followed by 355-1000 μm and
58 finally the size among 1000-5000 μm . Regarding to the type of polymers, 17 were
59 identified using attenuated total reflection Fourier-transformed infrared spectroscopy
60 (ATR-FTIR). Further, PVC, PE, EAA and HDPE were the largest found polymers.

61

62 The presence of MPs in the influent varied from 645.03 ± 182.24 MPs/L to $1567.49 \pm$
63 413.18 MPs/L in the urban and industrial WWTP respectively; in the effluent, it varied
64 from 16.40 ± 7.85 MPs/L to 131.35 ± 95.36 MPs/L. The removal rate overcome the 90%
65 in all the samples.

66

67 Receiving water bodies presented heterogeneous abundance of microplastics 6.64 ± 2.71
68 MPs/L and 0.83 ± 0.26 MPs/L in the zones close to IWWTP and UWWTP discharge
69 point.

70

71 The results obtained shows that despite the elimination efficiency in the WWTPs studied,
72 these facilities act as a significant source of MPs into aquatic ecosystem due to large flow
73 of water discharged.

74

75 **1 Introduction**

76 Microplastics (MP) are plastic particles smaller than 5 mm (Gago et al., 2016; Sun
77 et al., 2019; Talvitie et al., 2017; Thompson et al., 2004). These emerging contaminants
78 have sparked interest in news media, education institutions, and society because they have
79 been detected ubiquitously in animals, soils, and water bodies (freshwater, brackish, and
80 marine) generating widespread alarm (Anderson et al., 2016; Asensio-montesinos et al.,

81 2020; Conley et al., 2019; Hann et al., 2018; Li et al., 2015). The plastic global industry
82 produced 360 million tonnes in 2018, of which 29 million tonnes were recycled and
83 treated (Plastics Europe., 2019); so presumably around 90% of the plastic produced were
84 untreated waste that may reach the natural environment, degrading and contaminating the
85 aquatic system due to the durability and resilience of plastic.

86 MPs can be classified as primary or secondary. Primary MPs are manufactured in
87 sizes smaller than 5 mm and widely used in cosmetics, but also in hygiene products,
88 detergents, and fibers released from laundry (Napper et al., 2015; Cristaldi et al., 2020;
89 Sol et al., 2020; Bretas Alvim et al., 2020). Secondary MPs become micro in size through
90 physical, chemical, and/or biological degradation processes of larger plastic (Gatidou et
91 al., 2019; Sun et al., 2019).

92 In recent years, studies of MPs in the marine environment have been conducted to
93 detect the presence, interaction, and deposition of MPs in water bodies, fauna, sediments,
94 and saltworks (Browne et al., 2011; de Sá et al., 2018; Iñiguez et al., 2017; Long et al.,
95 2019; Nel and Froneman., 2015). The results of these studies demonstrate that these
96 pollutants pose a threat to the ecosystem and organisms that inhabit it because MPs can
97 absorb other pollutants (such as PAHs and PCBs) enhancing their contamination (Alimi
98 et al., 2018).

99 In Spain, there are any policies to decrease the amount, production, and release of
100 MPs; however, few EU member states (France, Italy, Sweden) have introduced bans or
101 restrictions on the use of tiny plastic spheres in personal hygiene products. In addition,
102 EU Regulation 2020/741 established requirements for reuse of water and states that MPs
103 and micropollutants should be studied to protect the environment and living organisms
104 (Franco et al., 2020; Vuola et al., 2019).

105 Microplastics can reach the marine environment through multiple pathways, such

106 as poorly managed landfills, stormwater runoff, windborne waste, untreated sewage, and
107 offshore activities (Hann et al., 2018; Sundt et al., 2016). Effluents from wastewater
108 treatment plants (WWTPs) are another important route for MP to enter the aquatic
109 environment (Sun et al., 2019; Talvitie et al., 2015). These facilities receive and treat
110 wastewater from domestic, urban, and industrial activities to avoid contamination when
111 the water is returned to the environment or reused. WWTPs were not designed to remove
112 microplastics from wastewater, however, removal efficiency can range from 64 to 99%,
113 and sludge is expected to be the final fate of MPs retained during depuration at a
114 conventional WWTP (Elkhatib and Oyanedel-Craver, 2020; Habib et al., 2020; Sol et al.,
115 2020). Despite the high removal efficiency, it is estimated in the order of 10^9 MPs can be
116 released into the environment daily (Hidayaturrahman and Lee, 2019).

117 The present study focused on MP contamination in WWTPs in the city of Cádiz
118 (southwest of Spain)—one industrial and one urban—and the presence of these pollutants
119 in the receiving water to determine the abundance of MPs in sewage samples from these
120 WWTPs with respect to their shape, size, and polymer type; calculate the removal
121 efficiency of the facilities; and estimate the amount of MPs released into the environment.

122

123 **2 Materials and Methods**

124 *2.1 WWTP samples*

125 Wastewater samples were collected from two WWTPs in the city of Cádiz, Spain
126 in 2019. Different treatment capacity, population equivalent, influx composition, and
127 water treatment at both facilities were compared (Table 1). The WWTPs analysed are the
128 only ones located within Cádiz's city limit (Figure 1). The urban WWTP of Cádiz had a
129 treatment capacity over 19 million m^3 /year serving the inhabitants of Cádiz and San
130 Fernando city in which effluent is discharged into the sea through an underwater outfall.

131 The industrial WWTP was designed to treat 30,000 m³/year of sewage from vessels and
132 ship building and reparation; after depuration, the water is dumped directly into the port
133 of Cádiz. Both WWTPs discharge their effluents into the Atlantic Ocean. To study the
134 presence of MPs in the receptor water body, samples were collected from two zones
135 (Figure 1).

136 *2.2 Microplastic sampling*

137 Sampling at both WWTPs and in the receiving waterbodies was conducted in
138 spring 2019. Influent sewage samples were collected in the influent after passage through
139 perforated screens and before the mixing of wastewater with the recirculated sludge.
140 Effluent samples were taken prior to discharge points after disinfection (Masura et al.,
141 2015; Xu et al., 2019); however, sampling points had to be adapted in the effluent of the
142 industrial WWTP, due to difficulty in sampling conditions. Wastewater samples were
143 collected using a steel scuttle, then filtered through stainless steel sieves of various mesh
144 sizes (1000, 355, and 100 µm). Heterogeneous sewage composition, population habits,
145 and variations in sewers systems hinder the ability to measure the volume of wastewater
146 sampled; the volume of influent collected varied from 3–10 L, whereas the volume of
147 effluent sampled ranged of 15–35 L. Particles retained on the stainless steel sieves were
148 transferred into beakers using distilled water and letting them dry.

149 *2.3 Sample extraction*

150 Wastewater contains a complex matrix with digested labile matter that needs to
151 be removed. In the present study, the wet peroxide oxidation (WPO) method was used
152 (Magni et al., 2019; Masura et al., 2015; Ou and Zeng., 2018; Xu et al., 2019). This
153 procedure was recommended by National Oceanic and Atmospheric Administration
154 (NOAA) based on the addition of 20 mL of aqueous 0.05 M Fe (II) solution and 20 mL
155 of 30% hydrogen peroxide (H₂O₂) into the beakers containing the samples. Subsequently,

156 a magnetic stir bar was added, and the samples were stirred at 75 °C and 90 rpm for 30
157 min. After exothermic reactions, samples were transferred to a separating funnel to sort
158 the particles by density. Finally, the samples were filtered through a glass sand core filter
159 and placed in polycarbonate filters.

160 In the case of seawater samples, no extraction method was needed. The samples
161 were filtered through stainless steel sieves, transferred to beakers using distilled water,
162 filtered through a glass sand core filter, and placed in polycarbonate filters.

163 *2.4 Sample characterization*

164 After organic digestion, MPs were distinguished according to their morphological
165 and chemical characteristics.

166 *2.4.1. Morphological characterization*

167 Physical analysis of samples was based on visual examination, counting, and
168 classifying the MPs according to morphological characteristics of size and shape using a
169 Carl Zeiss Axio Imager M1m optical microscope. Samples were distinguished in five
170 shapes (fibers, spheres, filaments, flakes, and fragments). Visual identification is prone
171 to miscalculation due to the complexity of discriminating the particles, which can lead to
172 underestimation or overestimation of particle abundance (Franco et al., 2020; Iyare et al.,
173 2020; Masura et al., 2015; Sun et al., 2019).

174 *2.4.2 Chemical characterization*

175 Chemical characterization was based on spectroscopic methods used to identify
176 the types of polymers in the samples collected using a PerkinElmer Spectrum 100 Fourier
177 transform infrared spectroscopy (FT-IR). To determine the composition of the MPs,
178 particles were exposed to infrared radiation (Sun et al., 2019), generating a specific
179 spectrum for each particle depending on the chemical bonds between the atoms. The
180 outcome spectrum was analysed using characteristics peaks compared to the polymer

181 library of peaks in the reference spectrum (Gago et al., 2016; Ou and Zeng, 2018; Torre,
182 2015).

183 *2.5 Contamination control*

184 To prevent contamination, all materials used were cleaned with alcohol and plastic
185 lab ware were avoided during this study. All samples were covered using watch glass; lab
186 coats and gloves were worn during all procedures, and a blank filter was exposed to the
187 air during sample characterization of each sampling point.

188 *2.6 Statistical analysis*

189 The concentrations of MPs were calculated considering the total amount of MPs
190 and the volume sampled (Equation 1). Results were presented as the mean \pm standard
191 error in units of MP/L.

$$192 \text{ MP Concentration} = \frac{\text{Number of MPs}}{\text{Volume sampled (L)}} \cdot \quad (1)$$

193 Removal efficiency (RE) was estimated considering the concentration of MPs in the
194 influent and effluent (Equation 2):

$$195 RE = \frac{\text{MP concentration influent} - \text{MP concentration effluent}}{\text{MP concentration influent}} \times 100\% . \quad (2)$$

196

197 **3 Results and Discussion**

198 *3.1 Microplastic occurrence and removal efficiency*

199 Not all particles collected in samples were plastics (Gies et al., 2018). Figure 2
200 shows MP proportions relative to total microparticles found at each facility and sample
201 point. Non-MP particles were identified as additives, plasters, hormones, cellulose, or
202 polymers; if the search coincidence was below 70%, the particles were not considered to
203 be MP (Franco et al., 2020; Frias et al., 2020).

204 Microplastics were widely detected at both facilities (Table 2). The concentration
205 in the urban WWTP was 645 MP/L in the influent and 16 MP/L in the effluent; whereas

206 the abundance was greater in the industrial WWTP, up to 1567 MP/L and 131 MP/L in
207 the influent and effluent, respectively. These results are consistent with other studies of
208 MPs in urban WWTPs (Franco et al., 2020; Sun et al., 2019; Magni et al., 2019) No
209 specific studies on the presence of MPs in the industrial WWTP were found; but a large
210 gap was found between the concentration of MPs in the urban and industrial WWTPs
211 analysed in the present study. This variation could be explained by the source, and use of
212 water; the urban WWTP serviced a major population (Cádiz and San Fernando cities) and
213 received wastewater from residential and domestic activity, while the industrial WWTP
214 treated sewage from building, cleaning, and repairing of vessels and ships; these activities
215 require large amounts of paint, coating, anti-skid powder, and abrasive materials
216 composed of synthetic polymers which may contribute to the higher concentration of MPs
217 in the industrial facility.

218 The RE were calculated for both WWTPs, and the urban facility presented a
219 97.46% MP removal rate, while the industrial WWTP removed 91.62% MPs from the
220 water line during depuration. These results are consistent with previous studies on MP
221 RE in WWTPs (Table 2) (Edo et al., 2019; Lares, 2019; Murphy et al., 2016; Sun et al.,
222 2019). However, comparison of RE in different studies is subject to inaccuracy due to the
223 large and heterogeneous range of MP concentrations, and the lack of standardized
224 methods of sampling, treatment, and quantification makes comparisons challenging
225 across the consulted research (Gatidou et al., 2019; Ziajahromi et al., 2017).

226 Despite the high removal rate, a daily average of $1.49\text{--}1.94 \times 10^9$ MPs/day were
227 discharged into the Atlantic ocean from the urban WWTP, whereas $1.07\text{--}2.64 \times 10^7$
228 MPs/day were discharged into the ocean from the industrial WWTP during the studied
229 period, however it is important to prolong the investigation to determine Microplastics
230 release fluctuation for a longer time period. Although the industrial WWTP had more

231 MPs concentration than the urban WWTP, the minor daily flux in the industrial facility
232 means fewer MPs enter the environment. Nevertheless, the amount of MPs discharged
233 into the marine environment is significant, which confirms that WWTPs are conduits of
234 MPs to the environment.

235 *3.2 Size and shape of microplastics*

236 Size and shape are physical characteristics studied of microplastics because they
237 impact the capacity of depuration to remove these particles from the sewage during
238 treatment. In addition, these features affect adhesion of other pollutants, plasticizers, and
239 microorganisms (Iyare et al., 2020; Liu et al., 2019).

240 With respect to size, particles under 355 μm comprised over 50% of the total MPs
241 in each sample (Figure 3.A) in both influent and effluent; thus, no notable significant
242 difference was detected between them. The comprised more than 70% of each sample,
243 which is consistent with previous studies (Conley et al., 2019; Edo et al., 2019;
244 Hidayaturrahman and Lee., 2019; Sun et al., 2019; Xu et al., 2019). The greater
245 abundance of smaller particles, rather than larger is attributed to fragmentation of larger
246 plastics during transport to and through the sewer system or the retention of bigger MPs
247 throughout the treatment process. Simon et al. (2018) proposed that physical retainment
248 by sedimentation is the principal removal mechanism for most MPs at the WWTP.

249 Figure 4 shows an example of each shape founded in the present study. With
250 respect to shape distribution, fibers were the most abundant shape representing over the
251 40% of all the particles in all of the samples from both facilities, followed by fragments
252 and flakes; films and spheres were less common shapes (Figure 3.B). In other studies,
253 fibers were also the predominant shape (Franco et al., 2020; Gies et al., 2018; Iyare et al.,
254 2020), and it is attributed to the release of plastic fibers during laundry process. Salvador
255 et al. (2017) reported that a single piece of clothing can release up to 1,900 fibers in a

256 single wash. On average, a regular 6 kg domestic washing machine can discharge 700,000
257 fibers into the sewage system during laundering (Napper & and Thompson, 2016). Fibers
258 are difficult to retain during depuration due to their shape (long and narrow) which
259 inhibits their retention in conventional WWTPs (Sun et al., 2019).

260 Fragmentation of large plastic items during usage, cleaning, and maintenance has
261 been proposed as the origin of plastic fragments and flakes (Sun et al., 2019; Xu et al.,
262 2019) characterised by irregular and rounded shapes, respectively. Similarly, films and
263 spheres were not common shapes found in previous studies as well, with a concentration
264 below 10% (Talvitie et al., 2015; Xu et al., 2019). In the case of spheres, these particles
265 are used in cosmetics (toothpaste, exfoliants, and soaps), but their use has been banned in
266 some European countries causing manufacturers to stop including MPs on their products,
267 resulted in a decrease of spheres in wastewater in recent studies (Edo et al., 2019; Napper
268 et al., 2015; Sundt et al., 2016).

269 *3.3 Polymer identification*

270 The FT-IR spectroscopy revealed 14 different polymers in the samples (Figure 5).
271 The most common types of polymers were PVC, HDPE, PE, and EAA found in most of
272 the samples. These four types of polymers are among the 10 most-demanded and
273 manufactured plastics in the world (PlasticsEurope, 2019), which explain their abundance
274 in the WWTPs analysed in this study; these polymers were also the most abundant in
275 other studies (Liu et al., 2019; Xu et al., 2019). These polymers are thermoplastics widely
276 used to manufacture plastic containers, bottles, pipes, clothes, facemasks, toys, tool
277 coatings, paints, cable and wire sheathing, and so on, explaining their high presence in
278 both urban and industrial wastewater.

279 Regarding the urban WWTP, PA was identified in influent and effluent, this
280 polymer is formed by synthetic fibers used in clothing and toothbrushes, which can be

281 released during laundering and personal grooming. Despite the higher percentage
282 distribution of MPs in effluent (40%) related to influent (5%), the concentration (MP/L)
283 in the influent of 32.25 MP/L is larger than the concentration in effluent (6.56 MP/L).
284 Table 3 shows concentrations (MP/L) according to polymer type in the present study.
285 PMMA was identified in the influent of both facilities, this polymer is used for the
286 manufacture of products as diverse as contact lens and transport covers in industry. EVA
287 and PP were also found in the influent at the urban WWTP. These plastics are used in
288 households for domestic and recreational activities such as food packaging, wrappers, and
289 crafts.

290 Regarding effluent from the urban WWTP, four polymers were found: HDPE,
291 PVC, PA, and PS. It should be noted that PS was not identified in the influent samples;
292 this might be due to the heterogeneous composition of the sewage or the use of this
293 polymer as an insulator in the facility that releases these particles into the treated water.
294 In the industrial WWTP, the most abundant and demanded plastics mentioned before
295 were present at both sample points (influent and effluent). The polymers PMMA, PS,
296 PET, and PB were also identified in the influent.

297 With respect to the effluent in the industrial WWTP, eight polymers were found. HDPE,
298 PE, EAA, and PVC were the most abundant (above 10% each). Less common plastics
299 were ASA, DAP, PP, and PCL, which are stable, flame retardant, and resistant to oil, fuel,
300 and solvents, characteristics contribute to the presence of these polymers possible in
301 industrial wastewater. Our results showed great heterogeneity in the nature of the MPs
302 from two types of treatment plants, one industrial and the other urban. Therefore, a more
303 exhaustive study is essential, increasing the number and type of treatment plants to be
304 sampled, with the aim of knowing in greater depth the behaviour and nature of the MPs
305 discharged into the environment.

306 3.4 Microplastics in receiving water

307 Receiving water exhibited heterogeneous abundance of microplastics. In the case
308 of zone 1, influenced by the urban WWTP, it was concluded that an average of $0.83 \pm$
309 0.26 MP/L was present in the water; whereas zone 2, within the discharge point of the
310 industrial WWTP, the concentration of MPs was 6.64 ± 2.71 MP/L. These results are
311 consistent with previous works; for example, Zhang et al. (2018) reported 0.74 MP/L in
312 the Bay of China. Considering previous results obtained by Ng and Obbard (2016) and
313 Nel and Froneman (2015), the amount of MPs found in the Bay of Cádiz was higher than
314 those found in the waters of Singapore and South Africa, respectively. Nevertheless, the
315 differences observed in MP content is not entirely conclusive because the treatment of
316 samples were not standardized.

317 Figure 6.A shows the difference in MP content observed at the two sampling
318 points. Zone 2, close to the Port of Cádiz, presented a higher load of microplastics in
319 comparison to zone 1. This is probably because most of the particles found might come
320 from industrial activities that take place in the area adjacent to the discharge of the
321 industrial WWTP, within the port of Cádiz (Zone 2). For this reason, it is not possible to
322 ensure that the particles observed in the sample from zone 2 originated in the effluent of
323 the industrial WWTP. On the other hand, the concentration of MPs found in zone 1 was
324 low, although it was above values observed in coastal areas not affected by WWTP
325 discharges.

326 The shapes of the MPs in zones 1 and 2 provide useful information about their
327 source (Figure 6.B). Microparticles in zone 1 were predominantly fibers, as described by
328 other authors (Salvador et al., 2017; Wagner et al., 2018). On the other hand, fragments
329 were predominant in zone 2, indicating a strong influence from the nearby industrial area.
330 The difference in the shapes of particles found in the samples was probably motivated by

331 the high heterogeneity of the water bodies under study. Liu et al. (2019) and De Sá et al.
332 (2018) detected that the predominant forms were fibers, except for one sampling point
333 where the predominant form was fragment, which corroborates the distribution observed
334 in this study.

335 As above-mentioned, zone 2 is in a port area with continuous maritime traffic and
336 therefore expected to discharge more than that the amount found in marine areas with less
337 human activity (Zone 1) (Norén, 2007).

338 Figure 7 shows the different polymers (mean values) determined in each sample.
339 PE was identified in all of the samples. HDPE and PA were only found in zone 2. In zone
340 1, only three polymers were found: CA (40 %), PA (20 %), and PE (40%).

341

342 **4 Conclusions**

343 The present work investigated for 3 months the presence of MPs in the influent and
344 effluent of the two WWTPs in the city of Cadiz, including the evaluation of microplastics
345 in the receiving water. The average abundance of MPs varied significantly in the WWTPs
346 studied, along with the type of water received in the facility; in the case of the UWWTP
347 the abundance of MPs was 645.03 ± 182.24 MPs/L and 16.40 ± 7.85 MPs/L in the
348 influent and effluent, respectively. Whereas in the IWWTP, MPs concentration
349 established was 1567.49 ± 413.18 MPs/L in the influent and 131.35 ± 95.36 in the
350 effluent. These results evidence that IWWTPs present higher concentration of MPs than
351 UWWTPs. Mean removal efficiencies at both WWTPs studied were higher than 90%.

352 Despite the high capacity to remove MPs shown by WWTPs, the relatively low
353 concentration of MPs in the effluents of WWTPs combine with large sewage flow (1.91
354 $\cdot 10^7$ m³/year and $3 \cdot 10^4$ m³/year, in the UWWTP and IWWTP, respectively) arise to
355 discharge considerable bulk of MPs into the receiving water. Estimating that UWWTP

356 can release up to $1.49 - 1.94 \cdot 10^9$ MPs/ day, whereas IWWTP drops approximately 1.07
357 $- 2.64 \cdot 10^7$ MPs/day.

358 Regarding to morphological characterization, the most abundant length fraction was
359 between $355 - 100 \mu\text{m}$ ($> 50\%$ in all the samples) and fibers were the amplest shape found
360 in the present study, whilst chemical analysis the main types of MPs isolated from
361 WWTPs were PVC, PE, HPDE in the urban plant and PVC, PA y EEA in the industrial
362 plant.

363 The evaluation of the receiving water settled that MPs were more abundant in the Zone 2
364 (0.83 ± 0.26 MPs/L) within the discharge point of industrial WWTP, than in Zone 1 (6.64
365 ± 2.71 MPs/L). Fibers were the predominant shape in the Zone 1, whereas in the Zone 2
366 fragments (possibly influenced for the industrial activity adjacent).

367 Respect to polymers identification, CA were the most abundant in the zone 1, whilst in
368 the zone 2, PE and PP corresponded to the most abundant polymers.

369 To sum up, the present paper allows a deep knowledge of the occurrence, typology and
370 removal efficiency of MPs in the wastewater treatment plants in the city of Cadiz and
371 give an estimation of the amount of MP discharged into the environment by WWTPs,
372 Finally, preliminary evaluation of these pollutants in the receiving water bodies was
373 carried out, providing data to compare MPs presence in WWTPs and in the receiving
374 water bodies.

375

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381

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383

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