Evaluation of evaporation estimation methods for a covered reservoir in a 1 2 semi-arid climate (south-eastern Spain) 3 4 5 B. Gallego-Elvira, A. Baille, B. Martín-Gorriz, J.F. Maestre-Valero and V. Martínez-Alvarez* 6 7 Technical University of Cartagena. Agricultural Engineering Section. Paseo Alfonso XIII, 48. 8 30203 Cartagena (Spain). 9 *Correspondence to: Victoriano Martínez-Alvarez. Technical University of Cartagena. 10 Agricultural Engineering Section. Paseo Alfonso XIII, 48. 30203 Cartagena (Spain). 11 *E-mail: victoriano.martínez@upct.es* 12 13 14 Abstract 15 The main purpose of this study was to evaluate different methods of evaporation estimation for 16 covered water reservoirs. A reservoir equipped with a suspended cover was fully monitored to 17 register the evaporation rate and microclimate below the cover. The datasets were used to 18 evaluate the performance of commonly used evaporation methods, namely energy budget, mass-19 transfer, combination (Penman and FAO-56 Penman-Monteith) and floating class-A pan. The 20 mass-transfer formula based on the Sherwood number proposed for free convection conditions, 21 which were observed to prevail below the cover, supplied reasonably good estimates of covered 22 reservoir evaporation and it is a good option from a practical point of view, with low input data 23 requirements. Detailed input data and modifications in the calculation of energy fluxes are 24 required to get good evaporation estimations of covered surfaces with the energy budget and 25 FAO-56 Penman-Monteith methods. Besides, some of the standard meteorological input data 26 (such as wind speed at 2m height) cannot be registered below the cover. Penman equation 27 presented a poor performance related to the overestimation of the advective component for free 28 convection conditions. The pan evaporation was found to be substantially higher than the 29 reservoir evaporation, due to the particular characteristics of the tank, that increased surface 30 temperature and hence evaporation rate. A simplified empirical mass-transfer formula was also 31 proposed to estimate evaporation of covered water bodies from the only knowledge of the 32 surface-to-air mixing ratio gradient. 33 34 Key words: Shade covers; water storages; water losses; Sherwood number; free convection; 35 floating class-A pan. 36 37 38 39 40

42 **1. Introduction**

43 Identification and control of water losses other than crop consumptive use are important issues 44 in irrigation water management. Evaporation from water storages is undesirable and 45 unrecoverable (Carter et al., 1999), representing an important fraction of the stored water, 46 particularly in arid and semiarid climates (Gokbulak and Ozhan, 2006; Martínez-Alvarez et al., 47 2008; Mugabe et al., 2003). Several methods have been proposed to prevent evaporation, such 48 as floating materials (Daigo and Phaovattana, 1999), chemical products (Barnes, 2008), wind 49 shelters (Hipsey and Sivapalan, 2003) or suspended shade nets (Craig et al., 2005; Martínez-50 Alvarez et al., 2010). Suspended shade covers have been pointed out as one of the most 51 promising techniques for mitigating evaporation losses. It was demonstrated that the presence of 52 an opaque porous black polyethylene cover induced strong microclimate changes near the water 53 surface with respect to an uncovered surface, leading to evaporation loss reduction up to 85% 54 (Gallego-Elvira et al., 2011). To assess the performance of different types of cover material, a 55 simplified and reliable method for estimating evaporation loss of covered reservoirs from 56 climate data would be especially useful.

57 Direct measurement of the evaporation rate from a covered reservoir might be 58 performed by monitoring the water level with pressure transducers as in open-water conditions. 59 However, the overall precision would be rather low because the daily evaporation rate is 60 generally lower than 1 mm day⁻¹ while the accuracy of the pressure transducers currently 61 available is of the order of ± 0.5 mm. A more precise measuring method would be to place a 62 floating evaporation pan provided with an accurate level-meter (accuracy: ±0.10mm), assuming 63 that the pan evaporation is representative of the reservoir evaporation. The main advantage of 64 the floating pan is that evaporation measurements are independent of changes in reservoir level 65 due to outflows (e.g. irrigation, seepage) or inflows (refilling), which is the case of most 66 operating water storages. Floating class-A pans have been used to estimate evaporation of open-67 water reservoirs since they simulate the physical conditions on the water surface that control 68 evaporation (Masoner et al., 2008). However, under covered conditions, the assumption that the 69 evaporation rate of the reservoir is close to that of the floating pan is not ascertained. The 70 differences in boundary conditions and thermal stratification are likely to induce different water 71 surface temperature, hence different evaporation rate from the two water bodies.

Several methods are currently used to predict evaporation from meteorological data for open-water reservoirs. They are generally categorized into: temperature and radiation (Xu and Singh, 2000, 2001), mass-transfer (aerodynamic) (Singh and Xu, 1997), pan coefficient (Fu et al., 2004), energy budget and combination methods (Gianniou and Antonopoulos, 2007; Rosenberry et al., 2007). These methods have been validated and calibrated for open-water surfaces, but to our knowledge, the parameterisation and validation of the above-mentioned methods for covered reservoirs have not yet been undertaken. The energy budget and 79 combination methods have been reported to provide reliable and robust evaporation predictions 80 (Ali et al., 2008; Delclaux et al., 2007; Rosenberry et al., 2007) but are not the most appropriate 81 as routine methods, due to the high data requirements for estimating the net radiation (R_n) and 82 conduction heat flux (G). Moreover, R_n and G can be of the same order of magnitude for cover 83 conditions (Gallego-Elvira et al., 2011), likely leading to large relative errors in the estimation 84 of the available energy component, $R_n - G$. A more suitable option, less demanding in terms of 85 input data, is to use the mass-transfer method, although it requires to identify the mass-transfer 86 coefficient (or 'wind' function) and how the latter is related to the microclimate variables 87 prevailing under the cover. The added problem is that 'wind' functions obtained for open 88 reservoirs assuming forced convection, are probably not valid under covered reservoirs, where 89 very low wind speed and large surface to air temperature gradients prevail (Martínez-Alvarez et 90 al., 2010), creating free or mixed convection regime conditions. Note that these specific 91 conditions also invalidate the use of the wind function that is included in commonly used 92 Penman formula to estimate the advective component.

93 To determine evaporation in a free convective state, equations including the Sherwood 94 number (Sh) for evaporation have been proposed (Jacobs and Verhoef, 1997). For these 95 conditions, Sh can be determined from a relation with the Rayleigh number (Ra) (Pauken, 1998; 96 Bower and Saylor, 2009). Most of the studies dealing with evaporation under free or mixed 97 convection state are focused on the situation when water surface temperature is higher than 98 ambient air (Bower and Saylor, 2009). This is commonly the case of open-water bodies, wet 99 soils or heated pools for different purposes (Pauken, 1999). However, for indoor conditions like 100 greenhouses with freely transpiring crops or water bodies covered with shading nets, the 101 vegetated or water surface might be at lower temperature than the air. In that case, a stable 102 profile (thermal stratification) is established, damping the turbulent free convective flow and 103 reducing the intensity of heat and mass transfer between the water surface and the surrounding 104 air.

105 The main objective of the present study is to evaluate different methods for estimating 106 evaporation from covered water reservoirs. The performance of the methods: energy budget, 107 mass-transfer, combination (Penman and FAO-56 Penman-Monteith) and floating class-A pan, 108 has been tested against a detailed experimental dataset that provided all the input variables 109 required for the comparative study. The suitability and practical applicability of each method is 110 discussed. Particular focus has been given to the mass-transfer formula based on the Sherwood 111 number proposed for free convection, since these conditions were observed to prevail below the 112 cover. Besides, a mass-transfer formula has been empirically derived to predict evaporation of 113 the covered reservoir.

114 **2. Materials and methods**

115 <u>2.1. Reservoir and cover description</u>

116 Evaporation (E) and microclimate measurements were collected for an experimental irrigation

117 reservoir equipped with a suspended cover located at the Experimental Station of the University

118 of Cartagena in south-eastern Spain (37°35'N, 0°59'W). The data collection period was from

119 12^{th} June to 27^{th} August 2009. The reservoir has a surface of 2,500 m² and 5 m depth and it is

120 waterproof by means of a plastic liner.

121 The shade cover consists on a porous cloth suspended above the water surface by means 122 of a high tension polyamide cable structure. The shade cloth is a double layer mesh made of 123 black polyethylene (ATARFIL S.L., ATARSUN shade cover). The cable framework has wires 124 under the cloth to hold the mesh and above to prevent wind suction. This cover achieved a 125 reduction of 85% in the annual evaporation loss (Martínez-Alvarez et al., 2010). The cover was 126 also reported to effectively shelter the water surface from wind (92% reduction) and solar 127 radiation (99% reduction).

128 Reference weekly evaporation reduction factors achieved by the cover during the

129 experimental period are presented in Table 1. FAO-56 Penman-Monteith reference

130 evapotranspiration values (*ET*_o, Allen et al., 1998) were used as estimates of open-water

evaporation (Craig, 2006). *ET_o* values were provided by the station CA12 of the agro-

132 meteorological network SIAM (Servicio de Información Agraria de Murcia,

133 <u>http://siam.imida.es</u>), which is located 100m from the experimental reservoir. The reduction

134 factors (*RF*, %) were computed as $(ET_o-E)/ET_o$.

135

Table 1. Reference weekly evaporation reduction factors achieved by the cover during the 11-week
 experimental period

100

139 2.2. Evaporation and microclimate measurements

140 The water level of the reservoir was monitored with a pressure sensitive transducer immersed in

141 the water body (Druck, PDCR1830, accuracy: ± 0.45 mm). A floating class-A pan equipped with

142 an accurate water level sensor (Temposonics, MTS sensor C-series, accuracy: ±0.10mm) was

143 deployed in the covered reservoir (Fig. 1). The floats of the pan were dimensioned to make the

144 water surface of the pan and reservoir being on the same level.

145The meteorological evaporation-driving variables of the air between the cover and water

surface (inner air) were continuously surveyed during the experimental period. The climate

sensors were implemented on a metallic structure attached to a raft in order to register the

148 microclimate data at 0.3m above the water surface (Fig. 1). The following variables were

149 measured: air temperature, T_a , and relative humidity, RH, (Vaisala, HMP45C probe) and wind

150 speed, U, (UPCT, BLC-Y low wind speed sensor). Eleven temperature probes (Campbell, T-

151 107) provided the temperature profile of the covered reservoir. They were placed at the

152	following depths: surface (<i>T_s</i>), 0.33m, 0.66m, 1m, 1.5m, 2m, 2.5m, 3m, 3.5m, 4m and 4.5m. A
153	temperature probe (Campbell, T-107) was also installed in the floating pan to measure the
154	temperature of the water surface, $T_{s,p}$. The temperature of the cover, T_C , was measured by means
155	of an infrared temperature sensor (Apogee, IRR-S). All sensors were scanned at 10s intervals
156	and hourly average values were recorded by means of two dataloggers (Campbell, CR1000).
157	The hourly data were processed to obtain the daily mean values of the variables.
158	
159	Fig 1. Data collection layout in the covered reservoir (the vertical scale is exaggerated for clarity)
160	
161	2.3. Weekly values of evaporation
162	Daily evaporation values for the reservoir (E) and the floating class-A pan (E_{pan}) were directly
163	derived from water level measurements and then weekly averaged. The weekly scale was
164	selected for assessing the performance of the evaporation methods since the accuracy of the
165	water level sensor of the reservoir was limited to ± 0.45 mm.
166	Estimations of the evaporation rate were first calculated on daily scale from the hourly
167	meteorological data with all methods and then averaged to weekly scale to be compared with
168	evaporation measurements.
169	
170	3. Evaporation methods
171	3.1. Energy budget for covered conditions
172	The energy balance at the surface of a water body can be expressed as the balance of energy
173	gains and losses as follows:
174	
175	$R_n + \lambda E_{EB} + G + H = 0 \tag{1}$
176	
177	where R_n is the net radiation at the water surface, λE_{EB} is the latent heat flux, G the heat
178	flux into the underlying water body and H the sensible heat exchanged between the air and the
179	water surface. The sign convection is that both fluxes G and H are considered positive when
180	directed towards the surface (and therefore represent energy available for evaporation), and
181	negative when leaving the surface. G can be considered equal to the heat storage rate by
182	assuming that the contribution of the other terms affecting energy storage (heat transfer to
183	substrate and retaining materials, inflows, outflows) is small and negligible (Gianniou and
184	Antonopoulos, 2007; Rosenberry et al., 2007). All fluxes are expressed in W m ⁻² , if not
185	mentioned otherwise.

186 For a covered reservoir the net radiation at the water surface can be expressed as187 (Gallego-Elvira et al., 2011):

189

$$R_{nC} = (1-a) S_t + (1-b)(L_{at} + L_C) - L_w$$
⁽²⁾

190

191 where $R_{n,C}$ is the net radiation at the covered water surface, S_t and $L_{a,t}$ are the solar and 192 atmospheric radiation transmitted by the cover, respectively, L_C and L_w are the long-wave 193 radiation emitted by the cover and the water surface, respectively, and *a* and *b* are the albedo 194 and long-wave reflectivity of the water surface. L_w and L_C can be calculated from surface 195 temperature by means of the Stefan-Boltzmann equation (water emissivity = 0.97, black 196 polyethylene cover emissivity \approx 1).

197 To determine the heat storage in a covered reservoir, thermal stratification has to be 198 considered (Gallego-Elvira et al., 2011). *G* can be estimated by dividing the water body into 199 layers (*l*) corresponding to each temperature sensor available with the following expression: 200

201
$$G = C_w \sum_{j=1}^{j=l} z_j \frac{\Delta T_{wj}}{\Delta t}$$
(3)

202

where C_w (J m⁻³ °C⁻¹) is the volumetric heat capacity of water at the temperature of each layer, z_j (m) stands for the depth of each layer (in this study: 0.33m in the first meter and 0.5m for the rest, l=11, $T_{wl}=T_s$) and ΔT_{wj} (°C) is the change in water temperature of each layer during a time step.

The sensible heat exchange at the reservoir air-water interface can be calculated as:

208

207

209

$$H = h_c (T_a - T_s) \tag{4}$$

210

211 where T_a and T_s (°C) are the air and water surface temperature, respectively, and h_c (W 212 m⁻² K⁻¹) is the coefficient of convective heat exchange.

213 For free convection conditions h_c can be computed from the Nusselt number (*Nu*) as 214 follows (Incropera and DeWitt, 1996):

215

216

$$h_c = \frac{Nuk}{L} \tag{5}$$

217 where L (m) is the characteristic length and k (W m⁻¹ K⁻¹) is the heat conductivity of air. 218 Once $R_{n,C}$, H and G are computed, the evaporation rate (E_{EB}) is calculated as a residual 219 of Eq. 1, forcing the closure of the energy balance.

220

221

223 <u>3.2. Mass-transfer</u>

224 3.2.1. Forced convection

The mass-transfer method, based on Dalton's law, assumes that evaporation is driven by the vapour pressure gradient between the water surface and the surrounding air, and modulated by the nearby environment through a mass-transfer coefficient, usually considered as linearly dependent on wind speed and termed 'wind' function. The general expression of the masstransfer formula for a freely evaporating water surface is:

230

$$E = f(U) \left(e_s - e_a \right) \tag{6}$$

232

233

234

where e_s is the saturation vapour pressure at the temperature of the water surface, e_a is the vapour pressure of the ambient air and f(U) is the wind function. A good review of proposed

wind functions can be found in Singh and Xu (1997).

Mass-transfer formulae empirically derived for open-water bodies exposed to wind are not suitable for covered surfaces highly protected from wind. A mass-transfer formula which only depends on surface-to-air mixing ratio gradient $(X_s - X_a)$ is proposed in this study for covered water surfaces (Eq. 14). The mass-transfer coefficient was empirically deduced from evaporation measurements of the covered reservoir $(h_{m,C}, \text{ mm day}^{-1} \text{ g}^{-1} \text{ kg})$ and the floating pan $(h_{m,p}, \text{ mm day}^{-1} \text{ g}^{-1} \text{ kg})$ with the following equation:

242

243

$$h_{m,C} = \frac{E}{(X_s - X_a)} \tag{7}$$

244

245 where *E* is the measured evaporation rate (mm day⁻¹) in the covered reservoir and X_a 246 and X_s are the water vapour mixing ratio of air and water surface (g water (kg air)⁻¹), 247 respectively. Values of evaporation and water vapour mixing ratio of the floating pan were 248 taken to calculate $h_{m,p}$ with Eq. 7.

249

250 3.2.2. Mass-transfer formulae for free or mixed convection based on Sherwood number

Under free or mixed convection regimes, which prevail in covered conditions, the evaporation
rate can be determined from the knowledge of the Sherwood number (*Sh*), the temperature and
humidity of surrounding air and water surface temperature, using the following mass-transfer
formulae (Jacobs and Verhoef, 1997):

255

$$E_{Sh} = \frac{Sh \ D \ \rho \ (X_s - X_a)}{L} \tag{8}$$

258 where E_{Sh} is the evaporation rate (g m⁻² s⁻¹), *D* is the molecular diffusion coefficient of 259 water vapour in air (m² s⁻¹), ρ is the air density (kg m⁻³) and *L* (m) is the characteristic length (*L* 260 = 45m for reservoir and *L* = 1.2m the floating pan). Sherwood number is defined as follows: 261

$$Sh = \frac{h_m \ L}{D} \tag{9}$$

263 Sh represents the ratio of the convective mass-transfer coefficient $(h_m, \text{ m s}^{-1})$ to the 264 diffuse mass-transfer coefficient, D. Assuming analogy between heat and mass transfer, Sh can 265 be derived from the Nusselt number (Appendix).

To determine whether the type of convection is free, forced or mixed, criteria based on the ratio of buoyancy to inertial forces (= Ra/Re^2 , where Ra is the Rayleigh number (Eq. A.3) and Re is Reynolds number = UL/v, with U being the air velocity near the surface and v the kinematic viscosity) are generally used (Jacobs and Verhoef, 1997):

270

257

262

271
$$Ra < 0.1Re^2$$
forced convection272 $0.1Re^2 < Ra < 16Re^2$ mixed convection(10)273 $Ra > 16Re^2$ free convection

274

275 <u>3.3. Combination</u>

276 Combination methods derive evaporation by combining radiation and aerodynamic energies

into one equation.

278 *3.3.1. Penman formulae*

279 In the last 60 years, one of the most commonly used formulae to derive open-water evaporation

from meteorological data has been the Penman equation (Penman, 1948). It is a physically

based expression that combines the mass-transfer and energy budget approaches. The classicalform for the Penman equation is (Valiantzas, 2006):

283

$$E_{P} = E_{Pr} + E_{Pa} = \left(\frac{\Delta}{\Delta + \gamma}\right) \frac{R_{n}}{\lambda} + \left(\frac{\gamma}{\Delta + \gamma}\right) \frac{6.43(1 + 0.54U)(e_{a}^{*} - e_{a})}{\lambda}$$
(11)

285

284

where E_{Pr} is usually referred to as the *equilibrium* evaporation or radiative component and E_{Pa} is generally named the *advective*, or aerodynamic component (Brutsaert, 1982). Δ is the slope of the saturation vapour pressure curve (kPa °C⁻¹), γ is the psychometric constant (kPa °C⁻ 289 ¹), λ is latent heat of vaporization (MJ kg⁻¹), e_a^* (kPa) is the saturation vapour pressure of the air and R_n is commonly calculated with the FAO-98 approach which does not require water

- temperature data. Eq. 11 does not allow for heat storage and therefore it would be only suitable
- for very shallow water bodies. In order to improve the accuracy of the estimations the heat
- storage should be considered, and when water temperature is known the term R_n should be
- substituted for R_n -G.

To adapt this equation to covered conditions, R_n can be calculated with Eq. 2 and *G* with Eq. 3 to compute the radiative component. However, the advective component includes a wind function derived for open-water conditions not suitable for covered water surfaces. The accuracy of evaporation predictions for covered conditions has been tested with the original wind function to assess the errors derived from its use.

300

301 3.3.2. FAO-56 Penman-Monteith

The Penman Monteith FAO56 (PM-FAO56) equation is the standard method to predict daily reference evapotranspiration (ET_o , Allen et al., 1998) and it has been reported to give a good estimation of reservoir open-water evaporation (Craig, 2006). The PM-FAO56 equation may be written as:

306
$$E_{PM} = E_{PMr} + E_{PMa} = \frac{0.408\Delta(R_n - G)}{\Delta + \gamma(1 + 0.34U)} + \frac{\gamma(900/(T_a + 273))U(e_a^* - e_a)}{\Delta + \gamma(1 + 0.34U)}$$
(12)

307

308 where E_{PMr} and E_{PMa} are the radiative and the advective components. As in the case of 309 Penman, R_n was calculated with Eq. 2 and G with Eq. 3, to adapt these terms to covered 310 conditions. For standard determination of PM-FAO56 ET_o , the input meteorological data should 311 be measured at 2m above the surface. Note that in this study the meteorological variables are 312 measured at 0.3m above the water surface from a floating station.

313

314 **4. Results and discussion**

315 <u>4.1. Microclimate conditions below the cover</u>

316 317 4

318

4.1.1. Temperature gradients

319 Fig. 2 presents the daily evolution of following temperatures: cover, inner air, water surface of 320 the reservoir and of the floating pan. The data of the floating pan has been included to show the 321 difference in water surface temperature with the reservoir, which is directly related with the 322 difference in the surface-to-air mixing ratio gradient (Fig. 3) and therefore with the evaporation 323 rate. During the whole experimental period a stable temperature profile was observed. The daily 324 average temperature of the cover was above the inner air temperature and the latter was above 325 the water surface temperature of both reservoir and floating pan. The cover reached very high 326 temperatures (up to 57°C, hourly average registered at noon) due to its high absorption of solar

- 327 radiation and heated the inner air which surpassed 49°C in summer afternoons. The inner air
- 328 was on daily average for the study period $6.5^{\circ}C$ ($\pm 1.1^{\circ}C$) above the reservoir water surface
- temperature and $3.8^{\circ}C$ ($\pm 0.8^{\circ}C$) over the floating pan water surface temperature. The latter

330 values indicated strong thermal stratification and prevalence of buoyancy-driven heat exchange

331 mechanisms that transfer heat from the inner air down to the water surface, therefore supplying

- and energy for the evaporation process.
- 333

Fig. 2. Daily evolution of temperature of the cover (T_c) , inner air (T_a) and water surface of the floating pan $(T_{s,p})$ and of the reservoir (T_s) , during the 11-week experimental period

337 *4.1.2.* Wind speed

338 Very low wind speeds were observed below the cover. On daily average, the wind speed

- measured 0.3m above water surface varied from 0.18 to 0.32 m s^{-1} (study period average:
- $0.24\pm0.02 \text{ m s}^{-1}$). This is the consequence of the shelter provided by the cover against outside
- 341 wind whose speed varied from 1.42 to 5.24 m s^{-1} (study period average: $2.06\pm0.62 \text{ m s}^{-1}$).
- 342

343 *4.1.3. Water vapour mixing ratio*

- 344 The vapour mixing ratio gradient is the main driving-force of evaporation, i.e. it is the gradient
- 345 that determines the mass transfer between the water surface and the surrounding air. The vapour
- mixing ratio of the inner air (X_a) remained on average 2.26 (±1.05)g water (kg air)⁻¹ below the
- 347 vapour mixing ratio of the reservoir water surface (X_s) . The average vapour mixing ratio
- 348 gradient between pan water surface $(X_{s,p})$ and inner air was 5.73 (±1.23)g water (kg air)⁻¹ (Fig.
- 349 3). The higher gradient of the pan $(X_{s,p} X_a)$ compared to the reservoir $(X_s X_a)$ is ascribed to the
- 350 difference between water surface temperature of the pan and the reservoir (Fig. 2), which meant
- that the saturation vapour pressure at the temperature of the water surface for the pan was on
- 352 average $0.56 (\pm 0.15)$ kPa above the saturation vapour pressure for reservoir surface. This led to
- 353 higher evaporation rates in the pan and therefore the evaporation measured in the pan
- 354 overestimates the actual evaporation of the reservoir (Fig. 5).
- 355
- **Fig 3.** Daily evolution of water vapour mixing ratio of the floating class-A pan $(X_{s,p})$, of the reservoir (X_s) and of the inner air (X_a) , during the 11-week experimental period
- *4.1.4. Assessment of the convection regime*360
- 361 To assess the type of convection regime prevailing below the cover, the criteria of Eq.10 were
- 362 considered. Table 2 summarizes the values of Ra, Re^2 and Ra/Re^2 that allowed the assessment of
- the convection regime.
- 364
- **Table 2.** Values of Ra, Re^2 and Ra/Re^2 to determine the type of convection
- 366

The high temperature gradient between water surface and inner air and the low wind

below the cover meant relatively high *Ra* and low *Re* values, characteristic of free convection.

369 The reservoir clearly presented free convection conditions according to the criteria $Ra > 16Re^2$

and mixed convection regime $(0.1Re^2 < Ra < 16Re^2)$ prevailed for the floating class-A pan.

370 371

372 4.2. Energy balance at the covered surface

373 Covered surfaces present important modifications on the magnitude, sign and relative weight of 374 the components of the energy balance, which have been observed to be reduced up to one order 375 of magnitude compared to uncovered conditions (Gallego-Elvira et al., 2011). The major fluxes 376 in the energy balance of the covered surface are the incoming ($L_c = 482\pm8.72$ W m⁻²) and outgoing ($L_w = 435 \pm 5.37 \text{W m}^{-2}$) long-wave radiation at the water surface. The reflected long-377 wave radiation only accounted for 14.41±0.25W m⁻² and the transmitted (short- and long-wave) 378 379 radiation by the cover can be neglected since the reservoir was covered with an opaque black 380 polyethylene cloth (Martínez-Alvarez et al., 2010). Although the low evaporation flux is close 381 to $R_{n,C}$ (Fig. 4), the other fluxes, especially heat storage, should be accounted for because they 382 have the same order of magnitude as λE . The sensible heat flux represents a small energy input 383 to the surface since the water surface is on daily scale always colder than the inner air. The 384 water layers were slowly heating up during the experimental period, and therefore these weeks 385 the term G had a negative sign, since this energy was not available at the water surface for 386 evaporation. Note that, the heat storage can represent an important energy input to the water 387 surface (i.e. enhancing evaporation), of even higher magnitude than $R_{n,C}$, when all the heat 388 stored during heating period is released after the overturn of the water profile (Gallego-Elvira et 389 al., 2011).

- 390 The weekly averages of the daily energy balance terms at the covered water surface are depicted in Fig. 4. $R_{n,C}$, G and H were computed from measurements with Eqs. 2, 3 and 4, respectively, 391 392 and λE corresponds to the evaporation flux derived from water level measurements. Looking 393 into the energy balance closure, it was observed that the sum of sensible and latent heat fluxes $(H + \lambda E)$ was lower than the difference between net radiation and heat storage (available 394 energy: $A = R_{n,C} + G$). The average 11-week period energy balance residual ($r = H + \lambda E + R_{n,C}$ 395 + G) was 7.08 \pm 4.66W m⁻², which corresponds to 26.26 \pm 14.83% of A. The absolute residual is 396 397 observed to be reduced one order of magnitude, compared to the common residuals observed in 398 open surfaces (Foken, 2008).
- 399

Fig. 4. Weekly evolution of the energy fluxes at the covered water surface. Experimental period: 12th June to 27th August 2009.

⁴⁰²

405 4.3. Suitability of floating class-A pan evaporation measurements for covered reservoirs

- 406 Although floating class-A pans have been reported to provide a good estimation of open-water
- 407 evaporation, the results of this study show that for covered conditions the pan substantially
- 408 overestimates the reservoir evaporation, even though the surrounding atmospheric conditions
- 409 were the same. The pan presented markedly higher daily water surface temperature (average
- 410 difference with the reservoir: 2.72±0.59°C, Fig. 2), which led to higher vapour-mixing-ratio
- 411 gradient (i.e. higher evaporation driving gradient, Fig. 3). This meant that the evaporation in the
- 412 floating pan was markedly higher (MBE = 0.44 mm day^{-1} , Table 3) than the reservoir
- 413 evaporation and therefore, for estimating covered evaporation from pan measurements, a pan
- 414 coefficient ($=E/E_{pan}$) would be required. The average pan coefficient observed in this trial was
- 415 0.63 (± 0.07). This value can not be used for further calculations since the length of this trial was
- 416 only 11 weeks and important seasonal variation of this coefficient has been observed (Martínez-
- 417 Alvarez et al., 2007). Besides, this value can vary depending on the operating conditions of the

418 reservoir (inflows temperature and frequency). Further data collection would be necessary to

- 419 characterise the annual evolution of this coefficient and how the different operating conditions
- 420

421

422 <u>4.4. Performance of the evaporation estimation methods</u>

scenarios would affect its value.

423 The accuracy and suitability of the evaporation methods above-described have been tested for 424 covered conditions. To assess the accuracy of the methods, the statistical estimators (computed 425 as in Crawford and Duchon, 1998): root mean squared error (RMSE), mean bias error (MBE) 426 and maximum absolute error (MaxAE) are provided in Table 3 with the mean and standard 427 deviation (SD) of weekly averages of daily evaporation measurements and estimations. Fig. 5 428 shows the weekly average values of observed and calculated daily evaporation in order to give 429 an overview of the methods performance. The suitability of each method for covered surfaces is 430 discussed in the next subsections.

431 The MBE, which quantifies systematic errors, indicated that the Penman equation 432 values systematically overestimated the evaporation in the covered reservoir. The Energy 433 Budget (EB) and PM-FAO56 methods slightly overestimated evaporation while the Sherwood 434 formula did not produce any substantial systematic error, outperforming the other methods. The 435 RMSE, which measures both systematic and non- systematic errors, was also the lowest when 436 using the Sherwood method (RMSE = 0.08mm day⁻¹), followed by the PM-FAO56 and EB methods (RMSE = 0.22 mm day⁻¹ and 0.30 mm day⁻¹, respectively). The Penman equation 437 438 produced markedly higher errors than the rest, highlighting that this method is not suitable for 439 covered surfaces. These results indicate that good estimates of evaporation loss from covered 440 water reservoirs can be obtained from Sherwood number method, which had been proposed for

441 free convection conditions, and also reasonable good estimates can be provided by the PM-

- 442 FAO56 and EB methods considering the indicated modifications (Eqs. 2 and 3) in the
- 443 calculation of the energy fluxes at the covered water surface.

444

Table 3. Mean values and Standard Deviation of weekly averages of daily evaporation measurements and
 estimations for the 11-week experimental period. Statistical estimators for estimations of covered
 reservoir evaporation

448 449

Fig. 5. Comparison of weekly averages of daily values of measurements of covered reservoir evaporation (E) with floating class-A pan measurements (E_{pan}) and estimations calculated by Sherwood (E_{Sh}), Energy Budget (E_{EB}), Penman (E_P) and PM-FAO56 (E_{PM}) methods. Experimental period: 12th June to 27th August 2009.

- 454 455
- 456 4.4.1. Sherwood method

457 Equations to predict evaporation based on Sherwood number had been proposed for free or

458 mixed convection state on the situation when water surface temperature is higher than ambient

459 air (Bower and Saylor, 2009). In this study, the performance assessment shows that this method

460 provides good estimates of evaporation for covered water surfaces, which normally have lower

temperature than the air. Considering its good performance and the low requirements of input

- data, we point out this method as the most suitable for covered surfaces. To apply this method,
- it is necessary to install below the cover a temperature and humidity probe and a water surfacetemperature sensor.
- 465

466 *4.4.2. Energy budget*

467 The EB method is considered as the most accurate method to estimate open-water evaporation if 468 the components are evaluated correctly (Rosenberry et al., 2007). This method provided 469 reasonably good estimates of evaporation of the covered reservoir, but according to our results, 470 it did not present better accuracy than the Sherwood method. Since energy fluxes for the 471 covered surface can be reduced up to one order of magnitude with respect to uncovered 472 conditions, errors in the estimation of energy balance terms are relatively more important. The 473 EB method requires more detailed data than Sherwood method such as cover temperature and 474 emissivity to derive the incoming long-wave radiation at the water surface (i.e. radiation emitted 475 by the cover). An error of $\pm 1^{\circ}$ C in the range of observed cover temperatures (12.29 – 57.02°C, 476 minimum - maximum values registered in the experimental period) can produce errors from 5.23 to 8.12W m⁻² in the estimation of cover long-wave radiation and an error of ± 0.01 in the 477 estimation of cover emissivity can lead to errors from 3.74 to 7.15W m⁻². Considering the lower 478 magnitude of the covered-surface net radiation ($R_{n,C}$, 33.10±9.02W m⁻², average of study period 479 480 daily values), these errors could have an important impact on the accuracy of the EB method. 481 Therefore, taking into account the limitations to accurately compute the energy fluxes at the 482 covered water surface and since the simpler Sherwood method can provide good evaporation

483 estimations for these particular conditions, it seems to be a better option for practical

484 applications.

485

486 4.4.3. Penman and FAO-56 Penman-Monteith equations

487 The Penman equation presented substantial overestimation of covered evaporation, whereas the 488 PM-FAO56 method presented a good performance. The radiative component of Penman and 489 PM-FAO56 methods, E_{Pr} and E_{PMr} respectively, which had as input value the available energy 490 flux $(R_{nC} + G)$, had practically the same value (Fig. 6) and showed a similar pattern to the EB 491 estimations (R^2 =0.97 for E_{Pr} vs. E_{EB} and E_{PMr} vs. E_{EB}), although they were about 35% lower. 492 The difference between E_P and E_{PM} is due to advective component. The average calculated 493 value of the advective terms E_{Pa} and E_{PMa} , taking as input wind speed the values registered 494 below the cover $(0.24\pm0.02 \text{ m s}^{-1})$, were 1.13 ± 0.07 and $0.26\pm0.02 \text{ mm day}^{-1}$, respectively (Fig. 6). 495 The value of the advective term of Penman equation for a hypothetical situation of wind speed = 496 0m s⁻¹ would be 1.01±0.06mm day⁻¹ (E_{Pa0} , Fig. 6), which is higher than the actual evaporation 497 rate in the covered reservoir. The latter highlights that the wind function of the Penman 498 advective term is not suitable for covered conditions and leads to important overestimation. The 499 PM-FAO56 advective term for the rather calm conditions below the cover is very low and do not lead to substantial overestimation (note that for $U = 0 \text{ m s}^{-1}$, $E_{PMa} = 0$). In fact, the method 500 501 presented a similar performance to the EB method (Table 3). Since PM-FAO56 and EB 502 methods had the same data requirements and the above-commented accuracy limitations on the 503 energy fluxes determination would also affect the PM-FAO56 estimations, Sherwood method is 504 also recommended over this method from a practical point of view.

505

506 **Fig. 6**. Evolution of weekly averages of daily values of: the radiative and advective terms of the 507 combination methods (Penman (E_P) and PM-FAO56 (E_{PM})), measurements of covered reservoir 508 evaporation (E) and estimations calculated by the Energy Budget method (E_{EB}) . The subindex "a" refers 509 to advective, "r" to radiative, and "0" indicates that wind speed was set to zero for calculations. 510 Experimental period: 12th June to 27th August 2009.

511

512 <u>4.5. Mass-transfer formula for covered conditions</u>

513 The mass-transfer coefficient for open-water conditions is normally derived as linearly

514 dependent on wind speed, but for covered conditions since the wind is no longer a major

515 evaporation-driving factor, a mass-transfer formula only dependent on surface-to-air mixing

516 ratio gradient can be proposed to predict evaporation. Pooling the weekly derived values of the

517 mass-transfer coefficients (Eq. 7) for the reservoir $(h_{m,C})$ and the floating pan $(h_{m,p})$ against

518 surface-to-air mixing ratio gradient (ΔX), a potential function of the type $h_m = c (\Delta X)^d$ fits well

519 the data ($R^2 = 0.94$, Fig. 7).

521
$$h_m = 0.64(\Delta X)^{-0.64}$$
 (13)

Fig. 7. Mass-transfer coefficient vs. surface-to-air mixing ratio gradient (weekly averages). Squares
 correspond to reservoir data and circles to class-A floating pan data

526 Adopting Eq. 13 for both water bodies, E_{hm} (mm day⁻¹) can be described by means of the 527 following empirically derived potential function:

528

522

529

$$E_{hm} = 0.64 (\Delta X)^{0.36} \tag{14}$$

530

This equation provides good evaporation estimates (weekly averages of daily values) for the covered reservoir (RMSE = 0.12, MBE = 0.08, MaxAE = 0.18mm day⁻¹) and the floating pan (RMSE = 0.09, MBE = 0.01, MaxAE = 0.16mm day⁻¹). It is worthwhile pointing out that, in spite of the large differences in size between the pan and the reservoir, a unique relationship (Eq. 14) can be used to derive *E* from the knowledge of a single explicative variable, the surface-to-air mixing ratio gradient.

537 Empirically derived mass-transfer equations can be used to predict the evaporation rate 538 of a covered water surface, but local calibration is required. Eq. 14 is only suitable for 539 analogous reservoirs covered with a material that have the same properties as the one tested in 540 this study and under similar climatic conditions.

541

542 5. Summary and conclusions

543 A reservoir equipped with a black polyethylene suspended cover was fully monitored to register 544 the evaporation rate and to characterise the microclimate conditions below the cover. A floating 545 class-A pan was also deployed to assess if it could provide accurate evaporation measurements 546 of the covered reservoir. The accuracy and adaptability of the energy budget, Penman and FAO-547 56 Penman-Monteith evaporation methods, commonly used for open-water surfaces has been 548 tested for covered conditions. The mass-transfer formula based on the dimensionless Sherwood 549 number to estimate evaporation under free and mixed convection conditions, which prevailed 550 below the cover, has been described and tested. Besides, a simplified mass-transfer formula has 551 been empirically derived to estimate evaporation in the covered reservoir from the knowledge of 552 the surface-to-air mixing ratio gradient. The original findings derived from this study can be 553 summarised as follows:

A free convection regime was observed to prevail below the cover. Reliable and
 accurate weekly evaporation estimations under covered conditions can be obtained from
 formulae based on the dimensionless Sherwood number proposed for free convection

conditions, which only require as input data the temperature and humidity of surrounding airand water surface temperature.

- The energy budget method and FAO-56 Penman-Monteith formula can also provide reasonably good evaporation estimations as long as the pertinent modifications are made in the calculation of the energy balance terms. However, these methods are not recommended for practical applications since they require more detailed input data than the Sherwood method and do not necessarily provide better accuracy.

The estimations made with the Penman equation presented important overestimation
due to the unsuitability for covered conditions of the wind function that is included in the
formula to estimate the advective component.

Whereas floating class-A pans have been reported to provide good estimations of
open-water evaporation, our study highlights that they substantially overestimate covered
reservoir evaporation. Although the water surface of the pan is under the same microclimate
conditions as the reservoir surface, the peculiar characteristics of the tank affected substantially
the surface temperature and hence evaporation rate. Using floating class-A pans to measure
evaporation under covered reservoirs cannot be considered as an accurate and reliable means to
determine water loss of covered water bodies.

574 - The analysis of the evaporation and mixing ratio data collected in two different water 575 bodies demonstrated that a unique relationship can describe the tight dependence of the mass-576 transfer coefficient on the surface-to-air mixing ratio gradient, ΔX , for covered water reservoirs. 577 Locally calibrated empirical relationships between *E* and ΔX , like the one presented in Eq. 14, 578 can be a practical way to derive the weekly evaporation loss of covered reservoirs.

579

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584

585 Appendix

586 Formulae to compute Sh for free and mixed convection conditions

587 Assuming analogy between heat and mass transfer, *Sh* can be derived from the Nusselt number:

588
$$Sh = Nu \left(\frac{Sc}{Pr}\right)^m$$
(A.1)

589	where $Pr = \mu C_p/k$ is Prandtl number and $Sc = \nu/D$ is the Schmidt number, $\mu =$ viscosity
590	(kg m ⁻¹ s ⁻¹), C_p = specific heat at constant pressure (J kg ⁻¹ K ⁻¹), v = kinematic viscosity (m ² s ⁻¹)
591	and the value of the exponent $m = 1/3$ for free and mixed convection conditions (Incropera and
592	DeWitt, 1996; Jacobs and Verhoef, 1997; Pauken, 1999).
593	Nusselt number can be expressed as:
594	
595	$Nu = \frac{h_c \ L}{k} = cRa^n \tag{A.2}$
596	
597	where h_c (W m ⁻² K ⁻¹) is the convective heat transfer coefficient, <i>Ra</i> is the Rayleigh
598	number, $c = 0.14$ and $n = 1/3$ (Jacobs and Verhoef, 1997).
599	Ra is calculated from:
600	
601	$Ra = GrPr \tag{A.3}$
602	
603	where <i>Gr</i> is the Grashoff number:
604	
605	$Gr = \frac{\beta g L^3 (T_a - T_s)}{v^2} $ (A.4)
606	where β = volumetric thermal expansion coefficient (K ⁻¹) (β = 1/ T_a for perfect gases)
607	and g is gravitational acceleration (m s ⁻²). To calculate Gr it is advisable to replace the
608	difference $T_a - T_s$ by the difference of virtual temperature, T_{Va} - T_{Vs} (Monteith and Unsworth,
609	2008), to take into account the fact that moist air is less dense than dry air:
610	
611	$T_{Va} - T_{Vs} = (T_a - T_s) + 0.38(e_a T_a - e_s T_s) / P $ (A.5)
612	
613	where T_{Va} = virtual air temperature (K), T_{Vs} = virtual water surface temperature (K) and
614	P = air pressure (kPa).
615	
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Week	$E (\mathrm{mm}\mathrm{day}^{-1})$	$ET_o (\mathrm{mm}\mathrm{day}^{-1})$	RF (%)
1	0.75	5.55	0.86
2	0.92	5.72	0.84
3	0.82	5.77	0.86
4	0.68	5.68	0.88
5	0.67	5.89	0.89
6	0.91	5.75	0.84
7	0.66	5.32	0.88
8	0.60	5.22	0.88
9	0.78	5.41	0.86
10	0.78	5.44	0.86
11	0.76	5.20	0.85
Average	0.76	5.54	0.86
SD	0.10	0.24	0.02

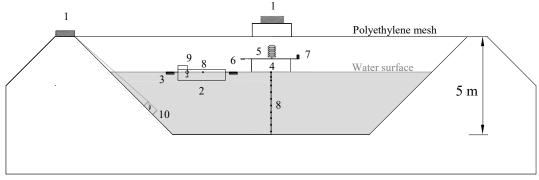
Table 1. Reference weekly evaporation reduction factors achieved by the cover during the 11-week experimental period

		Reservoir			<u>Class A pan</u>		
	Ra	<i>Re</i> ²	Ra/Re^2	Ra	Re^2	Ra/Re^2	
Mean	4.56·10 ¹³	$0.43 \cdot 10^{12}$	115	3.81·10 ⁸	2.39·10 ⁸	1.35	
SD	$8.05 \cdot 10^{12}$	$0.10 \cdot 10^{12}$	36	$1.24 \cdot 10^{8}$	2.90·10 ⁸	0.56	
Max	$6.35 \cdot 10^{13}$	$0.75 \cdot 10^{12}$	226	$7.03 \cdot 10^8$	$3.07 \cdot 10^{8}$	2.45	
Min	$1.38 \cdot 10^{13}$	$0.24 \cdot 10^{12}$	28	$1.85 \cdot 10^{8}$	2.86·10 ⁸	0.55	

Table 2. Values of Ra, Re^2 and Ra/Re^2 to determine the type of convection

Table 3. Mean values and Standard Deviation of weekly averages of daily evaporation measurements and estimations for the 11-week experimental period. Statistical estimators for estimations of covered reservoir evaporation

	Measurer	nents	Estimations			
	Covered reservoir	Floating pan	Sherwood	Energy Budget	Penman	PM-FAO56
mm day ⁻¹	Ε	E_{pan}	E_{Sh}	E_{EB}	E_P	E_{PM}
MEAN	0.76	1.19	0.74	1.00	1.81	0.91
SD	0.10	0.08	0.13	0.11	0.11	0.09
RMSE	-	0.47	0.08	0.30	1.11	0.22
MBE	-	0.44	-0.01	0.24	1.05	0.14
MaxAE	-	0.61	0.18	0.58	1.28	0.46



1 Dataloggers; 2 Floating class-A pan; 3 Pan floats; 4 Raft; 5Air temperature and relative humidity probe; 6 Low-wind sensor; 7 Infrared temperature sensor; 8 Water temperature probes; 9 Water level sensor; 10 Pressure transducer.

Figure 1. Data collection layout in the covered reservoir (the vertical scale is exaggerated for clarity)

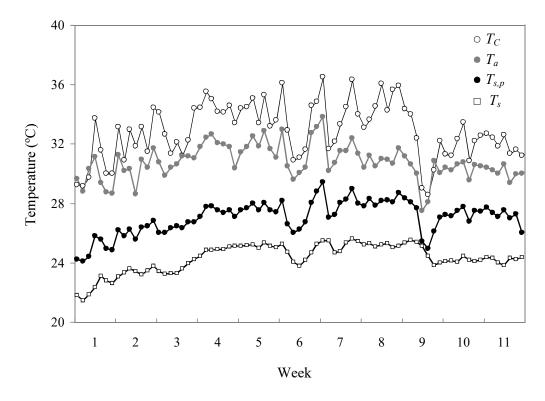


Figure 2. Daily evolution of temperature of the cover (T_c) , inner air (T_a) and water surface of the floating pan $(T_{s,p})$ and of the reservoir (T_s) , during the 11-week experimental period

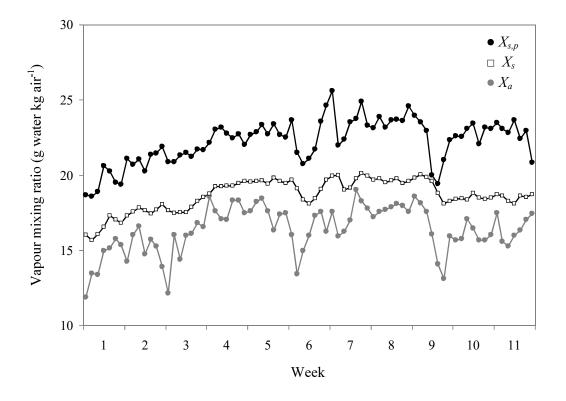


Figure 3. Daily evolution of water vapour mixing ratio of the floating class-A pan $(X_{s,p})$, of the reservoir (X_s) and of the inner air (X_a) , during the 11-week experimental period

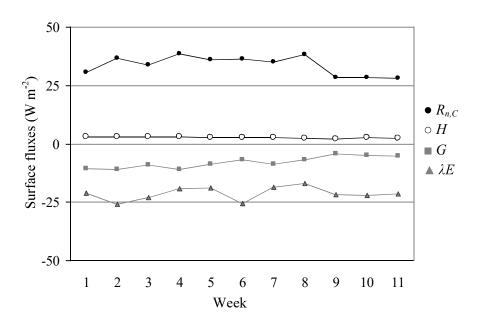


Figure 4. Weekly evolution of the energy fluxes at the covered water surface. Experimental period: 12^{th} June to 27^{th} August 2009.

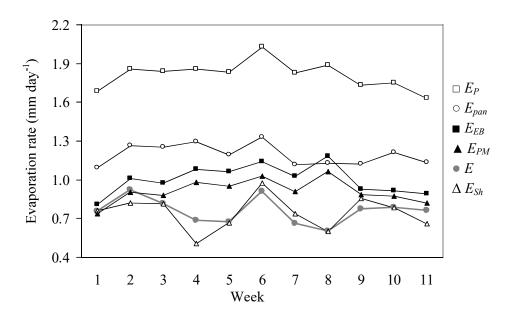


Figure 5. Comparison of weekly averages of daily values of measurements of covered reservoir evaporation (E) with floating class-A pan measurements (E_{pan}) and estimations calculated by Sherwood (E_{Sh}), Energy Budget (E_{EB}), Penman (E_P) and PM-FAO56 (E_{PM}) methods. Experimental period: 12th June to 27th August 2009.

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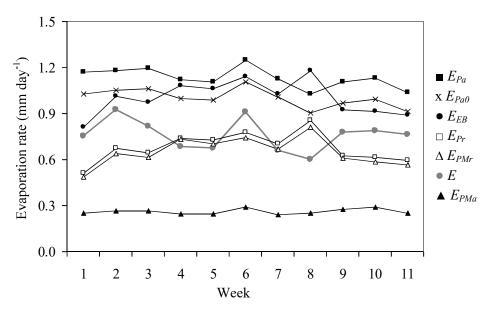


Figure 6. Evolution of weekly averages of daily values of: the radiative and advective terms of the combination methods (Penman (E_p) and PM-FAO56 (E_{PM})), measurements of covered reservoir evaporation (E) and estimations calculated by the Energy Budget method (E_{EB}). The subindex "a" refers to advective, "r" to radiative, and "0" indicates that wind speed was set to zero for calculations. Experimental period: 12th June to 27th August 2009.

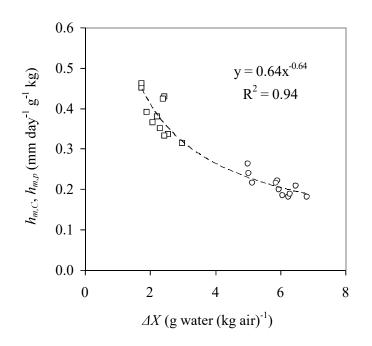


Figure 7. Mass transfer coefficient vs. surface-to-air mixing ratio gradient (weekly averages). Squares correspond to reservoir data and circles to class-A floating pan data