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Burned area detection and mapping using Sentinel-1 backscatter coefficient and thermal anomalies

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7 Abstract

3

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This paper presents a burned area mapping algorithm based on change detection of Sentinel-1 backscatter data guided by thermal anomalies. The algorithm self-adapts to the local scattering conditions and it is robust to variations of 9 input data availability. The algorithm applies the Reed-Xiaoli detector (RXD) to distinguish anomalous changes 10 of the backscatter coefficient. Such changes are linked to fire events, which are derived from thermal anomalies (hotspots) acquired during the detection period by the Moderate Resolution Imaging Spectroradiometer (MODIS) and 12 the Visible Infrared Imaging Radiometer Suite (VIIRS) sensors. Land cover maps were used to account for changing 13 backscatter behaviour as the RXD is class dependent. A machine learning classifier (random forests) was used to 14 detect burned areas where hotspots were not available. Burned area perimeters derived from optical images (Landsat-15 and Sentinel-2) were used to validate the algorithm results. The validation dataset covers 21 million hectares in 18 8 locations that represent the main biomes affected by fires, from boreal forests to tropical and sub-tropical forests and 17 savannas. A mean Dice coefficient (DC) over all studied locations of 0.59 ± 0.06 (\pm confidence interval, 95%) was 18 obtained. Mean omission (OE) and commission errors (CE) were 0.43 ± 0.08 and 0.37 ± 0.06 , respectively. Comparing 19 results with the MODIS based MCD64A1 Version 6, our detections are quite promising, improving on average DC 20 by 0.13 and reducing OE and CE by 0.12 and 0.06, respectively. 21 Keywords: Burned area detection, Sentinel-1, backscatter coefficient, SAR, Random forests, Reed-Xiaoli detector, 22

23 Fire

24 1. Introduction

Fire is one of the natural agents that most alter terrestrial ecosystems and has a key ecological role in a large part of the Earth's surface. Fires may have local to global effects as they reduce soil fertility, change water supply, increase biodiversity loss and negatively influence carbon sequestration (Hoffmann et al., 2002; Van der Werf et al., 2010; Hansen et al., 2013; Bond et al., 2005; Aponte et al., 2016; Pausas & Paula, 2012; Lavorel et al., 2007). Fires may

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also alter global biochemical cycles by modifying the emitted greenhouse gases (GHGs) and aerosols presence in the

atmosphere (Van Der Werf et al., 2017; Andreae & Merlet, 2001; Bowman et al., 2009). Annual global estimates of

carbon emissions from forest fires are quite variable. Van der Werf et al. (2010) place them between 1.6 and 2.8 PgC

per year, which is equivalent to 20 to 30% of the global carbon emissions generated by burning fossil fuels (Kloster

et al., 2012; Flannigan et al., 2009). However, other authors estimate fire related emissions at 2 to 4 PgC per year, the

equivalent of up to 50% of fossil fuel emissions (Bowman et al., 2009). Regardless of the actual value, changes in

³⁵ global burned area (BA) remains an important source of interannual variability of atmospheric carbon concentration.

³⁶ Direct relationships between global warming and the frequency of fires at the global level implies a positive feedback

process with sufficient potential to be a key factor in climate change (Flannigan et al., 2009; Hoffmann et al., 2002;

³⁸ Knorr et al., 2016). Although the current understanding of all these interactions is limited (Krawchuk et al., 2009),

³⁹ increased carbon concentration in the atmosphere may reinforce the effect of climate on fire frequency and intensity

40 (Langenfelds et al., 2002; Flannigan et al., 2006). Such increases are spatially variable. Furthermore, some areas may

⁴¹ not experience changes with respect to current fire regimes, while others may even experience reduced fire occurrence

42 (Flannigan et al., 2009; Kloster et al., 2012; Andela et al., 2017).

Given the relationship between the fire regime and climate, the Global Climate Observing System (GCOS) considers fire disturbance as an Essential Climatic Variable (ECV). An ECV is a physical, chemical, biological or a group 44 of linked variables that contributes in a critical way to the characterization of the climate system, being key to study 45 and predict its evolution (Bojinski et al., 2014). The origin of ECVs dates back to the 1990s, when gaps in climate knowledge and the reduction of observation networks in many countries led GCOS to develop the ECV concept to 47 simplify the study of climate through systematic observations of a limited set of variables with great climatic importance using satellite remote sensing data (Hollmann et al., 2013; Bradley et al., 2012). In 2010, the European Space 49 Agency (ESA) started the Climate Change Initiative (CCI) programme as the main contribution of the Agency to the 50 GCOS agenda. The CCI programme aims to obtain information on different ECVs using remote sensing data to help 51 improving climate modelling (Plummer et al., 2017; Hollmann et al., 2013). Fire Disturbance is one of the ECV in-52 cluded in the first phase of the CCI programme initiated in 2010. The goals of this project were to produce long-term 53 and consistent time series of global BA information (Chuvieco et al., 2016). The interest of global BA products for 54 climate modelling has been reviewed by several authors (Mouillot et al., 2014; Poulter et al., 2015). And many global 55 BA products have been released over the last years (Humber et al., 2018). Three such products were based on data 56 from the NASA's Moderate Resolution Imaging Spectrometer (MODIS) sensor, the MCD45 (Roy et al., 2008), the 57 MCD64 (Giglio et al., 2009, 2018) and the MODIS Fire_cci v5.0 (Chuvieco et al., 2018). Images acquired by the 58 VEGETATION sensor on board the SPOT-4 (Satellite Pour Observation de la Terre) satellite have also been used to 59 generate global BA products, namely the Global Burnt Area (GBA) 2000 (Tansey et al., 2004), Globcarbon (Plummer 60 et al., 2006), L3JRC (Tansey et al., 2008) and the Copernicus Global Land Service Burnt Area (based on Proba-V 61 since 2014: land.copernicus.eu/global/products/ba). Furthermore, the European Remote Sensing Satellite - Advanced 62 Along Track Scanning Radiometer (ERS2-ATSR2) was used to generate the Globscar product (Simon et al., 2004) 63

while the MEdium Resolution Imaging Spectrometer (MERIS) data were used to generate the Fire_cci v4.1 product (Alonso-Canas & Chuvieco, 2015; Chuvieco et al., 2016). All these products were obtained using passive remote sensing datasets (optical and thermal wavelengths) which have significant limitations in areas with persistent cloud cover. Another limitation comes from the relatively coarse (> 250 m) spatial resolutions of these sensors, which makes the detection of small fires difficult (Stroppiana et al., 2015a; Randerson et al., 2012).

Several factors limit burned area mapping from remote sensing data. These factors are related to both, the sensor 69 characteristics and the observed scene. The type of sensor (passive or active) and the region of the electromagnetic 70 spectrum in which the images are acquired are decisive in the success of the burned area detection. Among the scene 71 characteristics influencing detection accuracy, the size and shape of fire patches, land cover type, fire unrelated changes 72 (e.g., phenology, floods, harvest, insects) and the presence of clouds (optical and thermal part of the spectrum) are the 73 most relevant. Since sensor and scene related factors interact, the degree to which each of the mentioned factors affect 74 BA detection success varies (Eva & Lambin, 1998; Boschetti et al., 2004; Belenguer-Plomer et al., 2018a; Padilla 75 et al., 2015). The spatial and temporal resolution of the sensor have a significant impact on BA mapping accuracy, 76 determining the minimum size of the fires that can be detected (Boschetti et al., 2004) and the time interval between 77 fire and detection (Eva & Lambin, 1998). However, previous studies suggest that temporal resolution is less important than the spatial resolution when it comes to the accuracy of the BA detection (Boschetti et al., 2010). 79

In a survey based on a questionnaire of 47 researchers who used BA products and an extended literature review, 80 Mouillot et al. (2014) suggested that BA products should have commission errors (CE) in the range of 4% (ideal) to 81 17 % (maximum) while omission errors (OE) above 19% were deemed less useful for the climate modelling efforts. 82 A first global comparison analysis found that the NASA's MCD64 was the most accurate BA product (Padilla et al., 83 2015), but was far from achieving these goals with CE and OE reaching 42% and respectively 68%. These errors 84 were in part due to the low spatial resolution which results in small fires being overlooked (Randerson et al., 2012). A 85 recent study has demonstrated that the contribution of small fires may be in fact even greater, as comparing Sentinel-2 86 and MODIS products for Africa showed an underestimation of almost 45% of BA (Roteta et al., 2019). Therefore, the 87 development of new BA detection algorithms is a relevant research topic in the current context where climate change is a key issue. To achieve this improvement, the use of images from new satellites, such as those of the Copernicus 89 missions of ESA, is necessary. Furthermore, alternative mapping options (e.g., radar based) are needed over areas 90 where optical images are limited by persistent cloud cover (e.g., tropical areas). 91

⁹²During the last decade, synthetic aperture radar (SAR) data have been increasingly used for BA mapping as data ⁹³from multiple sensors became available. Such studies have taken advantage of radar independence of cloud cover and ⁹⁴solar illumination, their increased spatial resolution and the availability of multiple polarizations and incidence angles ⁹⁵(Bourgeau-Chavez et al., 2002; French et al., 1999). The European Remote Sensing (ERS) SAR satellites (ERS-1 ⁹⁶and ERS-2) were widely used in boreal (Bourgeau-Chavez et al., 1997; Kasischke et al., 1994), tropical (Siegert & ⁹⁷Hoffmann, 2000; Siegert & Ruecker, 2000; Ruecker & Siegert, 2000) and Mediterranean (Gimeno et al., 2004, 2002) ⁹⁸ecosystems to detect and map BA. More recently, RADARSAT (Gimeno & San-Miguel-Ayanz, 2004; French et al., ⁹⁹ 1999) and ALOS - PALSAR (Advanced Land Observation Satellite Phased Array type L-band Synthetic Aperture Radar) (Polychronaki et al., 2013) were employed for the same purpose. However, past SAR missions only provided data with low temporal resolution which hindered the development of efficient radar-based BA detection and mapping algorithms over large areas. In addition, the utility of past sensors was limited by the available polarizations (mostly single co-polarized sensors), steep viewing geometries (far from ideal when monitoring changes in vegetation) and data access restrictions.

With the launch of ESA's Sentinel-1 satellite constellation (A and B platforms, operational since October 2014 105 and December of 2015, respectively) such limitations have been largely reduced. The Sentinel-1 constellation could 106 theoretically provide images every three days by combining datasets acquired during ascending and descending trajec-107 tories. The independence from cloud cover and solar illumination, added to improvements in sensors characteristics 108 (e.g., dual polarization, increased spatial resolution and incidence angle, precise orbital information), provides un-109 tapped opportunities for BA detection. A few studies have already explored the potentials of Sentinel-1 SAR images 110 for BA detection, but these studies are focused on specific regions (Engelbrecht et al., 2017; Lohberger et al., 2018). 111 To date, few studies tried integrating active and passive datasets for BA detection. Such a study detected BA in-112 dependently from Sentinel-1 and Sentinel-2 datasets on a relatively small area in the Congo basin suggesting that a 113 combined sensor approach compensate for the strengths and limitations of each individual sensor (Verhegghen et al., 114 2016). However, SAR based BA detection has limitations as discussed in more detail in subsection 3.2. Lastly, fusion 115 approaches combining optical and radar data have been considered for BA detection. In Stroppiana et al. (2015b,a) 116 Landsat-5 TM and C-band ENVISAT ASAR data were integrated into a fuzzy algorithm aimed at burned area detec-117 tion in a Mediterranean environment. 118

This paper presents a novel radar-based BA mapping algorithm based on temporal series of C-band backscatter coefficient, that self-adapts to local scattering conditions and it is able to detect small fires (down to 1 ha) in a fairly automatic way. The specific objectives of this study were to: (i) present the proposed algorithm and explain its functionalities; (ii) validate the BA detections over major biomes; (iii) compare the detection accuracy with that of existing products based on passive datasets; and (iv) analyse the factors influencing the algorithm accuracy.

124 **2.** Study area and dataset

The algorithm was developed using data from four sites, three located in the Amazon basin and one located in the Iberian Peninsula. Subsequently, the algorithm was validated over 18 sites around the world (Fig. 1). The validation areas were located within biomes where fire events occur frequently, from boreal forests to tropical and sub-tropical forests, savannas and grasslands.

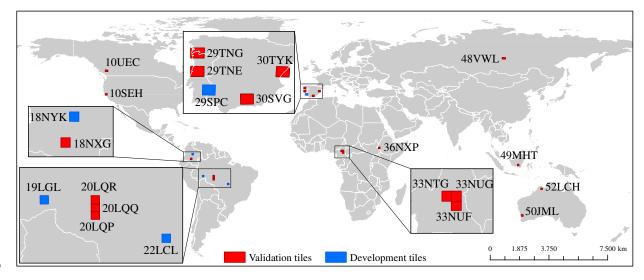




Fig. 1: Location of the Military Grid Reference System tiles used for algorithm development and validation.

The algorithm relies on temporal series of Ground Range Detected (GRD) dual-polarized (vertical-vertical VV, and vertical-horizontal VH polarizations) SAR images acquired by the Sentinel-1 A/B satellites in interferometric wide (IW) swath mode. The GRD data was processed on a tile base structure using as grid the 100 × 100 km Military Grid Reference System (MGRS). For each tile, Sentinel-1 images from ascending and descending passes (when available) and from all intersecting relative orbits were used. Land cover (LC) classification and hotspots derived from thermal anomalies were used as ancillary data.

The land cover classification was produced in the framework of the ESA's Land_Cover_cci project. This project 138 delivers time series of consistent global LC maps at 300 m spacing on an annual basis from 1992 to 2015. The most 139 recent map (i.e., 2015) was used. CCI land cover maps were generated using a combination of sensors, including 140 MERIS and Proba-V time series of surface reflectance (Kirches et al., 2014). Since the SAR images were processed 141 at a significantly higher pixel spacing (40 m, see subsection 3.1) than the LC map, the later was resized using a 142 nearest-neighbour interpolation to coincide with the SAR spacing. In addition, the Land Cover Classification System 143 (LCC) (Di Gregorio, 2005) was simplified by joining similar cover types into six groups: shrublands, grasslands, 144 forests, crops, non-burnable, and others. One should notice that BA detection takes place over 100×100 km tiles. 145 Therefore, for any given tile, the simplified LCC classification groups very similar classes. 146

Hotspots were available from NASA's Fire Information for Resource Management System (FIRMS). The hotspots
 were recorded by two sensors, the VIIRS (Visible Infrared Imaging Radiometer Suite) sensor at 375 m spatial resolu tion (Schroeder et al., 2014) and the MODIS sensor at 1 km spatial resolution (Giglio et al., 2003). The VIIRS and
 MODIS database was last accessed in January 2018.

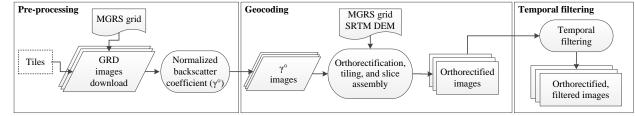
To derive the validation fire perimeters (see subsection 3.4 for more details), Landsat-8 optical images were retrieved from the United States Geological Survey repository (USGS) as atmospherically corrected surface reflectance products (Vermote et al., 2016). The validation period was adjusted for each tile considering the fire season length and the availability of Landsat images with a cloud cover under 30%. Sentinel-2 Level-1C images retrieved from the Copernicus Open Access Hub were considered to reduce temporal gaps in the validation dataset and thus large discrepancies between the validation period and the Sentinel-1 detection period.

The effect of soil moisture, an important factor affecting radar backscatter, on BA detection accuracy was analysed 157 using the global Soil Moisture Active Passive (SMAP) product. Specifically, the Enhanced Level 3 Passive Soil 15 Moisture Product based on L-Band Radiometer (9 km pixel spacing and 3 days revisit period) was used. The reliability 159 of this product was demonstrated by a correlation coefficient above 0.8 between the estimated soil moisture and in 160 situ measurements (Chan et al., 2018; Chen et al., 2018). From this product, the descending pass images (6 AM 161 Equator crossing), more accurate than ascending according to Chan et al. (2018), were used so that all measurements 162 represented the same acquisition time (Chan, 2016). As for the LC map, the product was resized to 40 m using the 163 nearest-neighbour interpolation. 164

165 **3. Methods**

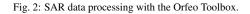
166 3.1. SAR data pre-processing

The Sentinel-1 data was processed using open-source libraries available in the Orfeo ToolBox (OTB), an image processing software developed by the National Centre for Space Studies (CNES), France (Inglada & Christophe, 2009). The OTB-based processing chain uses Ground Range Detected (GRD) Sentinel-1 images with the SAR data being tiled to 100 km using the MGRS system. The chain is highly scalable and autonomous once few parameters are set and includes the data download from Sentinel-1 repositories. The SAR data processing may be grouped in several steps including, pre-processing, geocoding and temporal filtering (Fig. 2).



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The pre-processing step includes data download of the specified MGRS tiles and radiometric normalization to gamma nought (γ^0) using the gamma nought lookup table provided in the product metadata. Only SAR images acquired in the interferometric wide swath mode, the Sentinel-1 default acquisition mode over land, were used. The calibrated images were orthorectified to ground geometry using elevation information from the Shuttle Radar Topography Mission (SRTM) one arc-second DEM and the bicubic interpolator. The orthorectified images were clipped to the processing tile and the data acquired from the same orbital path but provided within different slices were mosaicked (i.e., slice assembly). It should be noted that the BA algorithm uses temporal backscatter differences of the same relative orbit, hence, terrain-flattening (Small, 2011; Frey et al., 2013) was not necessary as the DEM-derived normalization (illumination) area for a given pixel is constant in time thus not affecting the pre- to post-fire backscatter coefficient variations (Tanase et al., 2010c, 2015, 2018). The last step was a multi-temporal filtering of the products for each satellite pass (Quegan et al., 2000). The GRD data were processed to the nominal Sentinel-1 resolution (20 m) through the OTB based chain.

The BA detection algorithm deployment over large areas is conditioned by its performance (speed) and accuracy. 188 Both parameters are influenced by the pixel spacing to which products are processed as omission and commission 189 errors are highly depended on speckle while the processing speed increases with decreasing pixel size. Analysing 190 the effect of pixel spacing on image radiometric properties, processing time and BA detection accuracy was essential 191 for selecting the optimum pixel spacing for deployment. Tanase & Belenguer-Plomer (2018) carried out an analysis 192 for four pixel spacing (i.e., 20, 30, 40 and 50 m) over two test tiles. A 40 m spacing provided the optimum trade-off 193 between speckle reduction, storage and computing requirements and the accuracy of the detected BA. Therefore, the 194 temporally filtered images were aggregated to 40 m. 195

Radio Frequency Interference (RFI) may contaminate SAR data. Since RFI are largely observed over highly populated urban areas (Li et al., 2004; Njoku et al., 2005; Lacava et al., 2013) and considering that burned areas are usually located away from large cities, such effects were not observed and consequently were not considered.

199 3.2. Backscatter behaviour in burned areas

To better understand the proposed algorithm, its development, and the decision-making process that shaped it, this subsection describes the behaviour of C-band backscatter coefficient after fire events.

Fire on vegetated areas results in variations of the backscatter coefficient, which may increase or decrease de-202 pending on the polarization, the remaining vegetation and the environmental conditions (i.e., rainfall) during SAR 203 data acquisition. Fire consumption reduces the number of vegetation scattering elements potentially reducing the 204 backscatter coefficient (Van Zyl, 1993; Antikidis et al., 1998). However, biomass consumption may increase scat-205 tering from the ground due to reduced signal attenuation (less vegetation) and the increased effect of soil surface 206 properties, such as moisture and roughness (Tanase et al., 2010b). Hence, microwaves backscatter behaviour in areas 207 affected by fires may be more heavily influenced by soil moisture properties when compared to unburned areas, par-208 ticularly when rainfall occurs after the fire (Imperatore et al., 2017; Gimeno & San-Miguel-Ayanz, 2004; Ruecker & 209 Siegert, 2000). Rain and melting snow are the main causes of increased soil moisture (Huang & Siegert, 2006), influ-210 encing the radar signal and consequently reducing C-band sensitivity to fire induced changes (Tanase et al., 2010b). 211 SAR-based BA mapping may be further hindered by spatial changes in soil moisture due to fire unrelated factors (e.g., 212 temperature, insolation, wind, slope and orientation, soil roughness) which are difficult to embed into detection algo-213 rithms. The local incidence angle (LIA) is yet another factor influencing C-band sensitivity to fire induced changes, 214 with smaller LIA values providing increased burned to non-burned differentiation for co-polarized waves (Gimeno & 215 San-Miguel-Ayanz, 2004; Huang & Siegert, 2006; Tanase et al., 2010b). Finally, wave polarization is also a funda-216

mental variable, with cross-polarized waves being more sensitive to changes in vegetation (volumetric scattering) and 217

less to surface properties (e.g., soil moisture and roughness) when compared to the co-polarized waves (Freeman & 218

Durden, 1998; Yamaguchi et al., 2005; Van Zyl et al., 2011). Such contrasting effects may generate a wide range of 219

possible backscatter variations over burned areas that depend on the interplay between the SAR sensor characteristics

(e.g., wavelength, polarization, incidence angle) and environmental conditions at SAR acquisition (e.g., fire impact, 22

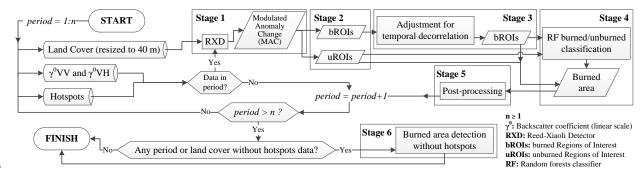
soil surface properties, meteorological conditions). 222

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The impact of fire on the backscattering coefficient was actually found to cause ambiguous effects. A strong 223 backscatter decrease was found for burned tropical forests at C-band VV polarization under dry weather conditions 224 due to the decreased volume scattering and increased heat flux, which led to a dryer ground (Ruecker & Siegert, 225 2000; Lohberger et al., 2018). After rainfall, discrimination from the unburned surrounding forests was difficult as 22 the backscatter coefficient over BA increased (Siegert & Ruecker, 2000). In the temperate region and the Mediter-227 ranean basin, lower backscatter values were found in fire-affected areas for cross-polarized C-band when compared 228 to adjacent unburned forest (Rignot et al., 1999; Imperatore et al., 2017). In boreal forests, higher backscatter values, 229 when compared to the adjacent unburned areas, were observed at C-band VV polarization when soil moisture was 230 high, whereas lower backscatter was observed for sites with better drainage (Bourgeau-Chavez et al., 2002; Huang & 23 Siegert, 2006; Kasischke et al., 1994). In Australian woodlands and open forests, the post-fire backscatter increased 232 for co-polarized waves and decreased for cross-polarized waves (Menges et al., 2004) while for African open forests 233 the backscatter decreased for both co- and cross-polarized C-band channels, although only the co-polarized channel 234 was deemed useful for BA detection (Verhegghen et al., 2016). Changes in the post-fire backscatter levels appear to 235 be strongly related to changes in soil moisture, with data acquired after rainfall being less suitable for classification 236 or biophysical parameters retrieval. However, some fire-related studies reported increased differentiation potential for 237 BA after rainfall in the Mediterranean basin (Gimeno & San-Miguel-Ayanz, 2004). 238

3.3. Burned area detection and mapping algorithm 239

The main requirements of the BA detection algorithm were: (i) the use of cloud insensitive satellite data (i.e., 240 SAR); (ii) sensitivity to local burn conditions; and (iii) a high degree of automation. The algorithm was designed to 241 make use of existing datasets for training purposes by using sets of susceptible burned and unburned pixels for locally 242 dominant land cover types. The algorithm has six stages with its simplified structure being provided in Fig. 3. The 243 following paragraphs explain in detail each stage. 244



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Fig. 3: Flowchart of the SAR based algorithm for burned area detection.

248 3.3.1. Stage 1: Anomaly change detection

An anomalous change implies variations outside the typical behaviour expected for a given area and time. Burned 249 areas were considered anomalies since fires are inconsistent spatial and temporal events. The Reed-Xiaoli detec-250 tor (RXD), proposed by Reed & Yu (1990), extracts signatures that are distinct from the surroundings without the 251 need for *a priori* information. Anomalies have two characteristics that make them outliers: (i) spectral signatures 252 different from the surrounding pixels; and (ii) low occurrence probability (Stein et al., 2002; Banerjee et al., 2006; 253 Kwon & Nasrabadi, 2005). RXD uses the Hence, RXD allows to distinguish anomalous changes, such as burned 254 areas, from pervasive changes, those occur in a periodical way and most part of the image, such as seasonal effects 255 (Theiler & Perkins, 2006). The covariance matrix to calculate the Mahalanobis distance from a given pixel to the 256 mean of the no change areas surrounding pixels (background) is needed by the RXD (Dabbiru et al., 2012). Thus, for 257 any given pixel of the image, the RXD (Eq. 1) scores the Anomalous Change (AC). 258

$$AC(x) = (\mathbf{x}' - \boldsymbol{\mu})^{\mathsf{T}} \mathbf{C}^{-1} (\mathbf{x}' - \boldsymbol{\mu})$$
(1)

where x is any given pixel, \mathbf{x}' is a vector formed by the image bands values of the pixel x, $\boldsymbol{\mu}$ is a vector composed by 260 the mean value of the background pixels (e.g., stable areas) in each image band and \mathbf{C} is the covariance matrix of the 261 image bands (computed from the background pixels). The background value may be computed as the mean sample of 262 a subset image – where only pixels of same land cover class of x were included in order to differentiate in a easiest way 263 the anomalous changes from the pervasive, since seasonal effects or soil moisture variations may modify backscatter 264 coefficient differently in function of land cover class. When a priori information is available, the background value 265 may be computed from areas where anomalies are not expected. For BA detection, a priori information was provided 266 by MODIS and VIIRS active fire databases. MODIS and VIIRS hotspots corresponding to the current detection 267 period (CDP) were used to mask areas likely affected by fires while the remaining pixels were used to calculate the 268 background values. The BA masks were derived by taking a buffer of 0.75 km around each hotspot. This buffer was 269 considered the influence area of each individual hotspot (IAhs) and it roughly corresponds to the pixel size for VIIRS 270 and MODIS thermal channels while also considering location uncertainty. 271

The RXD was applied to a set of temporal ratios of the backscatter coefficient (Eq. 2 and 3). Such temporal indices were previously used for estimating the impact of different disturbance agents (e.g., fire, insects, wind) on vegetation (Tanase et al., 2015, 2018). The selected temporal radar indices mainly use the VH backscatter, which is more responsive to volumetric scattering from vegetation and less affected by changes in surface properties (e.g., soil moisture, surface roughness) when compared to the co-polarized (VV polarization) channel (Freeman & Durden, 1998; Yamaguchi et al., 2005; Van Zyl et al., 2011).

278
$$RI_1 = \gamma^0 V H_{t-1} / \gamma^0 V H_{t+1}$$
 (2)

279
$$RI_{2} = \left(\gamma^{0} V H_{t-1} / \gamma^{0} V V_{t-1}\right) / \left(\gamma^{0} V H_{t+1} / \gamma^{0} V V_{t+1}\right)$$
(3)

where γ^0 is the backscatter coefficient (linear scale) of VV or VH polarizations, and t - 1 and t + 1 are respectively pre- and post-fire detection dates that define the CDP.

To reduce errors related to signal variation due to fire unrelated sources (e.g., variation in soils moisture, vegetation regrowth), the AC values for CDP were modulated by the AC values recorded for the previous detection period (PDP) (Eq. 4). Practically, AC scores of the PDP were subtracted from the AC of the CDP. The result was a Modulated Anomalous Changes (MAC) score used in all subsequent algorithm stages.

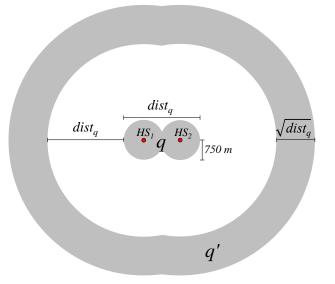
287 3.3.2. Stage 2: Burned and unburned regions of interest

In this stage, burned and unburned Regions of Interest (ROIs) were automatically extracted using the MAC scores 288 and ancillary information from hotspots and land cover data. Since information on hotspots was acquired daily from 289 two independent sensors (VIIRS and MODIS) most burned pixels in the selected study areas (94.3%) were in fire 29 patches with at least one hotspot within 0.75 km, the selected buffer considered as hotspot area of influence (IAhs) 291 even for the tropical regions, where cloud cover is frequent. The presence of hotspots greatly facilitated the attribution 292 of the detected MAC values to burned areas. This allowed distinguishing BA from other changes, such as logging, crop 293 harvesting, flooding, or vegetation disturbance due to insects or diseases. When hotspots were not available, due to the 294 cloud cover or small fire size, a different attribution method was used as explained in Stage 4. Burned ROIs (bROIs) 295 were extracted in two steps: seeding and growing. This is an approach previously used for BA mapping algorithms 296 (Bastarrika et al., 2011; Alonso-Canas & Chuvieco, 2015; Roteta et al., 2019). To obtain the seeds, spatially connected 297 IAhs pixels were first grouped in uniquely identified objects: $q_1 : n$, where n is the number of the unique objects. A 298 pixel x inside an object q, was considered burned seed (bSeed) if Eq. 5 was met. 299

$$x = bSeed(q) \rightarrow (MAC(x) \ge \min(s, v) > 0) \lor (MAC(x) \ge \max(s, v) > 0 \land \min(s, v) < 0)$$
(5)

where $s = \mu (MAC_{q'})$, being μ the mean and q' a region around q bounded by $dist_q$ and $dist_q + \sqrt{dist_q}$, with $dist_q$ being the maximum span of object q. Thus, q' delineates likely unburned areas in the vicinity of q; and $v = \mu (MAC_{N_G})$, with N_G being the neighbour pixels of G, where G is a pool of pixels inside q with MAC values below $\mu (MAC_q)$. Essentially, for a pixel to be considered seed it had to fulfil two conditions, one related to vicinity to a hotspot (within IAhs) and the second related to the magnitude of backscatter change (MAC score).

The bSeed pixels were extracted considering the major land cover type for each q object. Therefore, pixels in 306 q' region were stratified by land cover type with only pixels of the same land cover type as predominant of q being 307 used for computations. In addition, the selected q' pixels needed to be outside the IAhs of any other hotspot. Fig. 4 308 shows graphically the concepts of q, q' and $dist_q$. Once bSeed pixels for q were extracted, an open morphological 309 operator $(3 \times 3 \text{ window})$ was used to eliminate isolated bSeed pixels. With increasing window size, BA omission 310 errors increased while commission errors decreased. To determine the optimum size, an error analysis was carried out 311 using different window sizes $(3 \times 3, 5 \times 5 \text{ and } 7 \times 7)$ over the four algorithm development tiles (analysis not shown). 312 The 3×3 window was selected since it least affected the detection of small size fires while still managing to reduce 313 commission errors. The same window size was used in previous works to reduce speckle effects (Menges et al., 2004). 314





317

Fig. 4: Graphical representation of concepts needed to extract bROIs, being HS - hotspot.

Given an object q and its predominant land cover class k, the growing phase started by masking out all the pixels of the image which MAC values were below the mean MAC value of all image pixels of land cover class k. The remaining pixels were used to compute a new mean of the MAC values which was used as the minimum threshold to label Likely Burned Pixels (LBP) of q (Eq. 6).

$$x = \text{LBP}(q) \to \text{MAC}(x) > \mu(\text{MAC} > \mu(\text{MAC}_k))$$
(6)

³²³ Connected LBP(q) pixels were grouped and subsequently overlapped with the extracted bSeed pixels of q. LBP(q) ³²⁴ groups overlapping bSeed pixels of q were assigned to the bROIs and constituted the first component of the de-³²⁵ tected burned areas. The second component was detected using no parametric classification (i.e., random forests) as ³²⁶ explained in Stage 4.

The unburned ROIs (uROIs) were derived iteratively by land cover type. The histogram of bROIs pixels identified 32 in the previous step was used to calculate the MAC values for the 25 and 75 percentiles (P_{25} and P_{75} , respectively). 328 These values constituted thresholds used to classify the MAC image in burned and unburned. Pixels with MAC values 329 below P_{25} or above P_{75} were considered possible unburned seeds since: (i) MAC values below P_{25} indicate small 330 changes, likely unrelated to fires (e.g., vegetation growth, changes in vegetation water content); and (ii) MAC values 331 above P_{75} are usually associated with significant changes, such as logging, crop harvesting, or floods. One should 332 note that, high severity fires may also result in MAC values above P75. However, such areas are regularly associated 333 to hotspots and therefore were not labelled as uROIs. An open morphological operator $(3 \times 3 \text{ window})$ was applied to 334 the classified binary image to remove noise. The effect of the open morphological operator was an increased number 335 of unburned pixels. Pixels from the not burnable LC map classes (i.e., bare soils, water, snow and ice, urban areas) 336 were labelled as uROIs, while pixels overlapping IAhs or bROIs were filtered out. Additionally, for the crop land 33 cover class, groups of pixels over 56 ha (0.75×0.75 km, being 0.75 km the double of VIIRS spatial resolution) not 338 overlapping hotspots were included as uROIs to account for fire-unrelated changes, such as crop harvesting or changes 339 in surface properties (roughness) due to agricultural works (e.g., ploughing). 340

341 3.3.3. Stage 3: Adjustment for temporal decorrelation

During algorithm development, a temporal decorrelation between fire events (i.e., hotspots date) and backscatter 342 coefficient change was observed (Belenguer-Plomer et al., 2018b). Such decorrelation events may be the result of 343 delayed backscatter decrease after fire due to multiple factors including: (i) pre-fire conditions, e.g., drier than usual 344 weather may result in low values for the pre-fire backscatter coefficient; (ii) post-fire weather, e.g., precipitations 345 may temporally increase the backscatter coefficient; and (iii) vegetation-dependent backscatter response to fire events. 346 For example, over forests, VH backscatter decrease may be delayed as there are still sufficient scattering elements 347 (tree trunks and branches) present after fire. As time passes, trunks and branches dry up, which results in decreased 348 backscatter from vegetation. 349

To account for temporal decorrelation the BA was detected iteratively for each period. Delayed changes in backscatter were accounted for computing the bROIs detected in periods formed by the current pre-fire image (t - 1)and images acquired during following 90 days past the CDP (i.e., t + 2, t + 3). This temporal threshold was based on empirical observations (Belenguer-Plomer et al., 2018b). Such bROIs were labelled as burned in the CDP (t - 1 to t + 1) when overlapping hotspots from the CDP. Additionally, these bROIs must not overlap hotspot recorded past the CDP.

356 3.3.4. Stage 4: Random forests burned / unburned classification

Only a fraction of the anomalous pixels was labelled as burned based on information from hotspots due to the 357 rather restrictive criteria (i.e., MAC score) used in Stage 2 and 3. Pixels not meeting the imposed criteria also needed 358 labelling. To avoid subjectivity, such pixels were labelled using a non-parametric classifier (i.e., random forests) 359 trained with data extracted from bROIs and uROIs by each land cover classes and CDP. The random forests (RF) 360 classifier was used as it is robust to data noise (Gislason et al., 2006; Rodriguez-Galiano et al., 2012; Du et al., 2015; 361 Waske & Braun, 2009) and less sensitive, when compared to other machine learning techniques, to the quality of 362 training samples and overfitting (Belgiu & Drăguț, 2016). Moreover, RF was already used to classify SAR data 363 (Waske & Braun, 2009) and solve similar fire mapping problems (Collins et al., 2018; Fernandez-Carrillo et al., 2018; 364 Ramo & Chuvieco, 2017; Meddens et al., 2016). 365 RF is an ensemble classifier that consists of a group of decision trees { $h(\mathbf{x}, \Theta_z), z = 1, ...$ }, where \mathbf{x}' is the input 366 vector of any given pixel (x), and Θ_z are an independently bootstrap sampled vectors with replacement in each decision

vector of any given pixel (*x*), and Θ_z are an independently bootstrap sampled vectors with replacement in each decision tree (*z*). Each tree provides a unique class for *x*, being the class of *x* assigned as the most popular voted class (Breiman, 2001). In this study, *TreeBagger* from MATLAB [®] software package was used to construct the RF classifiers.

RF classifiers are customizable through different parameters, such as: (i) number of trees; (ii) number of training 370 samples; (iii) proportion of training samples by class; and (iv) number of independent variables employed in each tree. 371 The number of trees is a key adjustment in RF classification since for more trees the generalization error converges 372 and models are not over-fit (Breiman, 2001; Pal, 2005; Rodriguez-Galiano et al., 2012). On the other hand, using more 373 trees demands more computational resources. An empirical analysis (not shown) concluded that 250 trees provided 374 the best trade-off between speed and accuracy for BA classification in this study. Since the number of pixels in 375 bROIs and uROIs is high, computational costs may be reduced by using just a fraction for training purposes. This 376 fraction was determined, by land cover classes, as 1% of all bROIs and uROIs pixels divided by the number of trees 377 (250). Unbalanced training samples may result in infra-classification of the minority classes. According to Chen et al. 378 (2004), several approaches may be used to address such problems: (i) reducing the overall learning cost, with high 379 costs being assigned to the miss-classification of the minority classes (Pazzani et al., 1994); (ii) under-sampling the 380 majority and over-sampling the minority classes; or (iii) a combination of both techniques (Chawla et al., 2002). The 381 latter approach was used in this study. Depending on the misclassification cost, the TreeBagger function generated 382 in-bag samples by oversampling the burned class and under sampling the unburned class. The proportion of training 383 data was empirically adjusted to 40% and 60% for burned and unburned classes, respectively. 384

The number of variables considered for trees growing in each split was computed as the square root of the total number of variables (Gislason et al., 2006), as it reduces the correlation of trees and thus improves global accuracy (Rodriguez-Galiano et al., 2012; Gislason et al., 2006). In addition to the SAR based metrics used for RXD (Eq. 2 and 3), up to 30 SAR metrics were used for RF classification. These metrics were computed as in Eq. 7 to 12. The non-parametric classification was carried out considering the land cover type with specific models being built for each

land cover class. The BA detected by RF was added to bROIs detected in Stage 2 and 3, and formed the total BA for 390 the CDP. 39

392
$$\mu(\gamma^0 X Y_{[t',t-1]}) - \gamma^0 X Y_{t+i}$$
 (7)

393
$$\mu(\gamma^0 X Y_{[t',t-1]}) / \gamma^0 X Y_{t+i}$$
 (8)

$$_{394} \quad \gamma^0 X Y_{t-1} - \gamma^0 X Y_{t+i} \tag{9}$$

$$^{395} \quad \gamma^0 X Y_{t-1} / \gamma^0 X Y_{t+i} \tag{10}$$

$$_{396} \left(\gamma^0 V H_{t-1} / \gamma^0 V V_{t-1}\right) / \left(\gamma^0 V H_{t+i} / \gamma^0 V V_{t+i}\right)$$

$$\tag{11}$$

³⁹⁷
$$\mu \left(\gamma^0 V H_{[t',t-1]} / \gamma^0 V V_{[t',t-1]} \right) / \left(\gamma^0 V H_{t+i} / \gamma^0 V V_{t+i} \right)$$
 (12)

where $\gamma^0 XY$ is the backscatter intensity (linear scale) of VV and VH polarizations, t' is t-1 minus the double of days 398 distance between t - 1 and t + 1, and i is 1 or 2, being 30 the maximum number of indices computed. 39

3.3.5. Stage 5: Post-processing 400

413

Post-processing was needed to account for temporal decorrelation and improve detection results over problematic 401 land covers such as cropping areas. To adjust for temporal decorrelation, the BA detected by the non-parametric 402 classifier for the CDP was compared to the IAhs of previous detection periods, up to 90 days before the pre-fire 403 image (t-1) (Belenguer-Plomer et al., 2018b). If burned areas detected in the current CDP (i.e., objects formed by 404 contiguous pixels) overlapped previous IAhs (objects) by more than 75% (set from empirical observations) they were 405 masked out and considered previous burns. Three additional post-processing steps were then carried out to further 406 improve the results: (i) on cropping lands, groups of burned pixels (objects) with areas above 56 ha (see Stage 2) 407 that did not overlap IAhs (i.e., no local hotspot) were removed. The rationale was that lack of hotspots over a large 408 changing cropping area is an indication of harvesting rather than fire; (ii) burned objects below one hectare were 409 removed to reduce noise in BA detections due to residual speckle; and (iii) a modal filter with a convolution kernel of 410 3×3 pixels was applied to smooth the salt and pepper effects typical for SAR based classifications. 411

Post-processing also deals with joining the BA detected in the different relative orbits intersecting a specific tile. 412 The BA was detected separately for each relative orbit, to avoid misinterpreting backscatter changes due to chang-

ing azimuth angles or illumination geometry as fire related changes, and the results were subsequently. To cope the 414

topographic effects for BA detection (Gimeno & San-Miguel-Ayanz, 2004; Huang & Siegert, 2006; Tanase et al., 2010b) 415

, the results from different relative orbits were combined by joining the BA detected in all relative orbits. 416

3.3.6. Stage 6: Burned area detection without hotspots 417

As clouds may prevent the propagation of radiation from active fires to the thermal sensors on board satellites, the 418 algorithm was built with a backup mechanism to cope with the absence of hotspots for a specific land cover type and 419 detection period. However, for the algorithm to work, hotspots need to be available for each land cover class at some 420

⁴²¹ point during the analysed fire season.

The algorithm first detected the BA for all land cover types during detection periods for which hotspots were avail-422 able. For detection periods without hotspots, the data were temporally stored for later processing. During detection, 423 the algorithm saved a database containing the P_{25} and P_{75} of MAC values for bROIs (Stage 2) and the trained RF 424 models (Stage 4) for each land cover class. This database is hereafter referred to as the Classifier Model and Criteria 425 (CMC). Once detections for land cover classes and detection periods with hotspots ended, the CMC database was 426 used to classify the temporally stored data (i.e., land cover types without hotspots during detection periods) if two 427 conditions were met: (i) the CDP was within the fire season. The length of the fire season was computed using the 428 hotspots daily frequency as the interval between the dates corresponding to the P_5 and P_{95} ; and (ii) the difference 429 between the CDP and the date for the nearest CMC was less than one month, thus avoiding possible confusions due 430 to changes in vegetation phenology. When CMC entries from different detection periods met the conditions, the one 431 closest to the CDP was used. The MAC image for the CDP was segmented into possibly burned and unburned based 432 on the CMC P25 and P75, with the possible burned pixels being subsequently classified using the stored RF models by 433 land cover class. When CMC entries were spaced equally in time when compared to the CDP (i.e., one entry is from 434 a previous period and one from a posterior period), each entry was used separately and only the commonly detected 435 BA was kept. The post-processing operations from Stage 5 were carried out on the detected BA from this stage. 436 An additional operation was carried out to reduce possible commission errors during this stage. The operation was 437 438

carried out over BA detected on different relative orbits. Note that detections were always carried out using time-series of images from the same relative orbit. If several relative orbits intersected a given tile, the algorithm worked through the data from each relative orbit separately. BA products composites were subsequently formed using detections from different relative orbits and the same detection period. For each detection period, BA pixels detected in different relative orbits were grouped in objects. If all pixels of an object were classified as unburned in one orbit, the object was removed from the detected BA for the CDP. Since, dual pass (ascending and descending) acquisition were not available for all tiles and spatially overlapping relative orbits only partially covered any given tile, this additional operation reduced commission errors where BA detections intersected.

446 3.4. Reference images and validation metrics

The reference burned perimeters extraction for validation purposes was based on a well established framework 447 (Padilla et al., 2014, 2015, 2017). The reference data were obtained from Landsat-8 images using a RF classifier and 448 training polygons selected by an independent operator. The validation perimeters were generated from 120 multi-449 temporal pairs of images with a maximum separation of 32 days. The temporal separation of the pairs was short 450 to ensure that fire scars were clearly visible in the post-fire image. Before running the classification, clouds were 451 removed using the pixel quality band of the Landsat product and each pair of images was clipped to the extent of its 452 corresponding MGRS tile. Training areas were selected using a false colour composite (RGB: SWIR, NIR, R) that 453 allowed for a clear discrimination of burned areas. Three training classes were considered: burned, unburned and no 454

455 data.

The variables selected as input for the RF classifier were: (i) Landsat-8 bands 4 and 7; (ii) the Normalized Burn

⁴⁵⁷ Ratio (NBR); and (iii) the temporal difference between the pre- and post-fire NBR values (dNBR). The NBR (Eq. 13)

458 is defined as the normalized difference between the reflectance of NIR and SWIR wavelengths (García & Caselles,

459 1991; Key & Benson, 2006).

$$_{460} \text{ NBR} = (\text{Band 4} - \text{Band 7}) / (\text{Band 4} + \text{Band 7})$$
(13)

where Band 4 is the surface reflectance in the near infra-red (NIR) wavelength ($0.772 - 0.898 \,\mu\text{m}$) and Band 7 is the surface reflectance in the shortwave infra-red (SWIR) wavelength ($2.064 - 2.345 \,\mu\text{m}$).

After the RF classification, fire perimeters were visually revised to correct possible errors. New training fields were iteratively added and the RF was re-run until the classification result were deemed accurate. Reference BA perimeters were resized using a nearest-neighbour interpolation to the selected pixel spacing of the Sentinel-1 product (40 m). Temporal gaps between the Landsat-8 reference period and the Sentinel-1 detection period were filled in through photo-interpretation of Sentinel-2 images.

The Sentinel-1 BA detections were validated using confusion matrices (Table 1). Three accuracy metrics were computed for the burned area class using the confusion matrices, the omission error (Eq. 14), the commission error (Eq. 15) and the Dice coefficient (Eq. 16) (Padilla et al., 2015).

471 472	Table 1: Confusion matrix example.						
		Refererence data					
	Detection	Burned	Unburned	Row total			
473	Burned	<i>P</i> ₁₁	<i>P</i> ₁₂	P_{1+}			
	Unburned	P_{21}	<i>P</i> ₂₂	<i>P</i> ₂₊			
	Col. total	P_{+1}	<i>P</i> ₊₂	Ν			

474	$OE = P_{21}/P_{+1}$	(14)
475	$CE = P_{12}/P_{1+}$	(15)

476
$$DC = 2P_{11}/(P_{1+} + P_{+1})$$
 (16)

477 **4. Results**

478 4.1. Algorithm accuracy

The OE and CE over the validation tiles varied, with the highest errors (0.54 to 0.81) being observed over Australian grasslands and the lowest (0.19 to 0.2) over the Mediterranean forests and shrublands (Table 2). The highest BA detection accuracy (DC 0.82) was observed over the tile 22LQP located in the Amazon basin (Fig. 5). By land cover type, the algorithm produces more accurate results over forested areas (DC 0.64), followed by shrublands (DC 0.56). The lowest detection accuracy was observed over grasslands (DC 0.28) (Fig. 6). Note that error metrics by land cover type were computed by pooling pixels with the same land cover type from all tiles.

485 486

Table 2: Error metrics for Sentinel-1 burned area detections for each MGRS tile analysed.

486											
	MGRS	Reference period	Detection period	Р	Dd	nIM	LC	С	DC	OE	CE
	10SEH	04/10/2017-05/11/2017	28/09/2017-03/11/2017	В	12	16	G	NA	0.61	0.34	0.43
	10UEC	05/07/2017-22/08/2017	08/07/2017-25/08/2017	В	12	32	F	NA	0.76	0.31	0.16
	18NXG	30/10/2016-02/03/2017	03/11/2016-03/03/2017	А	24	6	F	SA	0.64	0.35	0.36
	20LQP	20/07/2016-22/09/2016	03/07/2016-25/09/2016	D	84	4	F	SA	0.82	0.14	0.22
	20LQQ	04/07/2016-22/09/2016	03/07/2016-25/09/2016	D	36	5	F	SA	0.55	0.42	0.48
	20LQR	04/07/2016-25/09/2016	03/07/2016-25/09/2016	D	36	8	F	SA	0.64	0.26	0.43
	29TNE	05/10/2017-06/11/2017	04/10/2017-04/11/2017	В	6	24	S	Eu	0.7	0.38	0.2
	29TNG	05/10/2017-06/11/2017	04/10/2017-05/11/2017	В	6	24	S	Eu	0.67	0.36	0.3
487	30SVG	30/06/2015-16/07/2015	26/06/2015-20/07/2015	В	12	9	S	Eu	0.65	0.19	0.46
	30TYK	12/06/2017-30/07/2017	10/06/2017-28/07/2017	В	12	26	S	Eu	0.69	0.31	0.3
	33NTG	28/11/2015-16/02/2016	21/11/2015-13/02/2016	А	12	14	F	Af	0.63	0.47	0.21
	33NUF	07/12/2015-23/12/2015	28/11/2015-22/12/2015	А	12	3	F	Af	0.52	0.52	0.43
	33NUG	21/11/2015-24/01/2016	16/11/2015-27/01/2016	А	12	8	F	Af	0.52	0.52	0.44
	36NXP	30/12/2016-15/01/2017	01/01/2017-26/01/2017	D	6	6	S	Af	0.46	0.62	0.41
	48VWL	12/06/2017-21/06/2017	11/06/2017-23/06/2017	D	12	3	F	As	0.58	0.57	0.15
	49MHT	02/07/2015-04/09/2015	26/06/2015-06/09/2015	D	24	5	0	As	0.67	0.35	0.32
	50JML	07/03/2017-10/05/2017	04/03/2017-15/05/2017	D	12	13	G	Au	0.21	0.81	0.76
	52LCH	05/04/2017-21/04/2017	26/03/2017-24/04/2017	D	12	7	S	Au	0.31	0.78	0.51

Reference period - period for which the reference burn perimeter were derived; Detection period - first and last
Sentinel-1 images of the data series; P - satellite pass (A-ascending, D-descending, and B-both); Dd - day difference
between images (mode); nIM - number of SAR images within the detection period; LC - predominant land cover
(G-grassland, S-shrub, F-forest, and O-others); C - continent for each tile (NA-North America, SA-South America,
Eu-Europe, Af-Africa, As-Asia, and Au-Australia); DC - Dice coefficient; OE - omission error; and CE - commission
error.

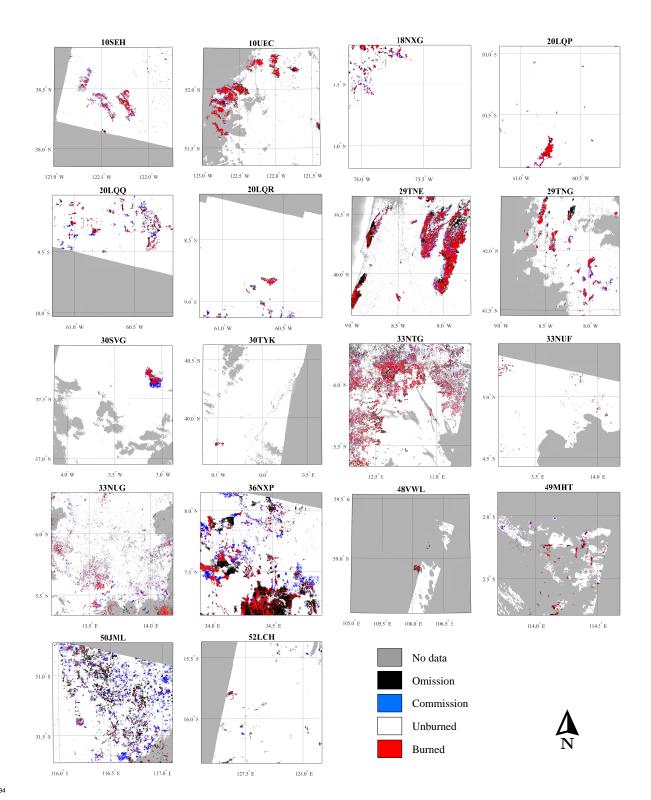


Fig. 5: Maps of burned area detected using Sentinel-1 data per MGRS tiles. Errors of omission and commission are also shown.

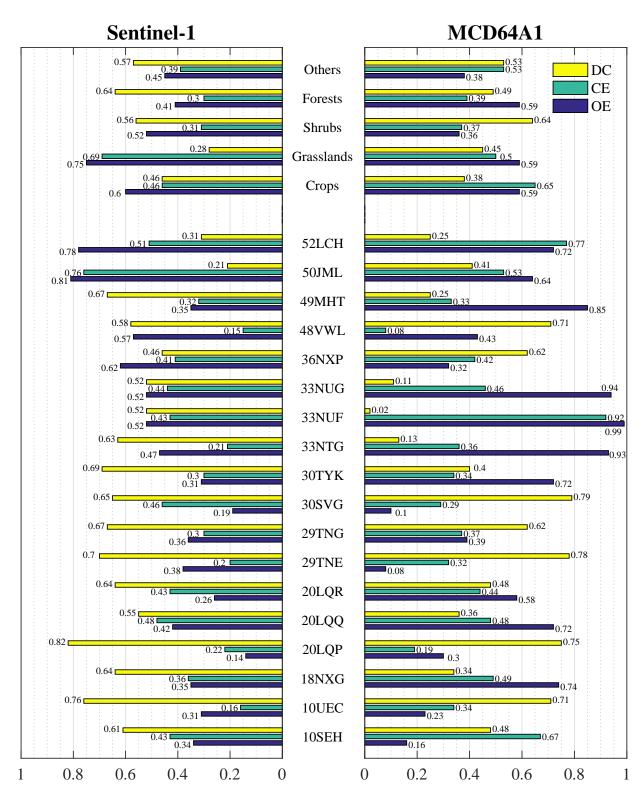


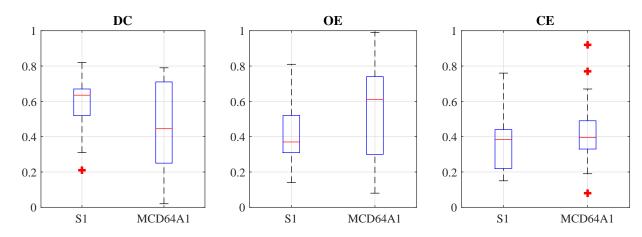


Fig. 6: Assessment metrics of Sentinel-1 and MCD64A1 Version 6 burned area detections per MGRS tiles and land cover classes. The metrics
 by land cover were computed using confusion matrices formed by pixels of the same land cover class from all tiles. DC - Dice coefficient, OE omission error and CE - commission error.

⁵⁰³ 4.2. Comparison with existing global products

The accuracy metrics of the Sentinel-1 BA detections obtained from the presented algorithm were compared to those derived from the current most widely used BA global product, the MCD64A1 Version 6 (Giglio et al., 2018). The magnitude of the error metrics may be influenced by the temporal match between the images used to generate the reference perimeters and those used to generate the BA products. To account for detection errors caused by slightly different validation and detection periods, the MCD64A1 product was temporally subset to match the Sentinel-1 detection periods.

The accuracy metrics were analysed by tile as well as by land cover classes. The tile-based analysis showed 510 particularly poor results for the MCD64A1 product over the tiles 18NXG, 20LQQ, 20LQR, 30TYK, 33NTG, 33NUF 511 and 33NUG (Fig. 6). For the remaining tiles, the accuracy of the two BA detection algorithms were closely matched, 512 with some tiles being more accurately estimated by the Sentinel-1 algorithm while others by the MCD64A1. By 513 land cover class, the MCD64A1 achieved higher accuracies over grasslands while the Sentinel-1 detections were 514 considerably more accurate over forests. For the remaining land cover classes both products showed similar accuracies 515 over burned areas. Overall, the BA was more accurately detected using the SAR based algorithm. On average Sentinel-516 1 detections improved the DC of the MCD64A1 product from 0.46 ± 0.11 to 0.59 ± 0.06 (± confidence interval, 95%) 517 and reduced the OE from 0.55 ± 0.14 to 0.43 ± 0.08 and CE from 0.43 ± 0.08 to 0.37 ± 0.06 (Fig. 7). 518



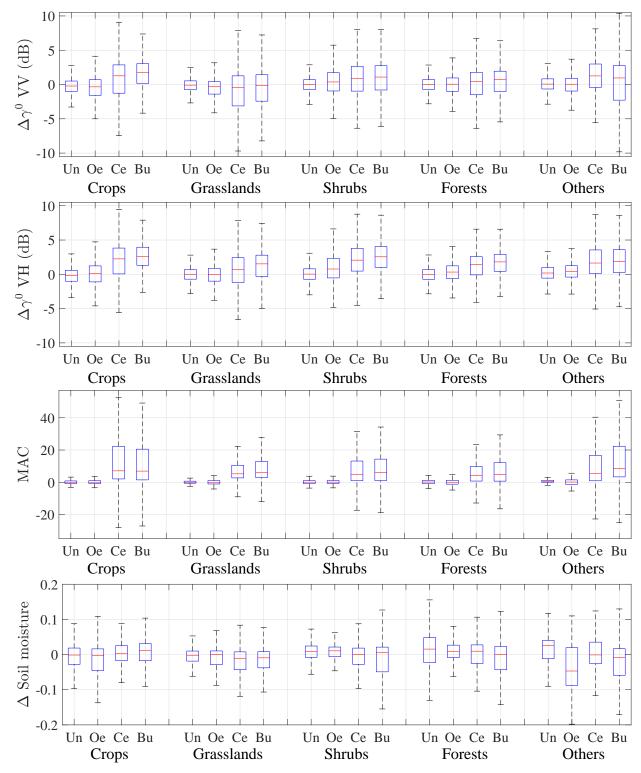
519

Fig. 7: Dispersion of Dice coefficient (DC), omission and commission errors (OE and CE) of burned area detected for all tiles for Sentinel-1 (S1)
 and MCD64A1 Version 6. The red line indicates median value, and top and bottom box edges indicate the 75th and 25th percentiles, respectively,
 while red dots indicate outliers.

525 4.3. Factors influencing the algorithm accuracy

The MAC values (Eq. 4), and the temporal variation (pre- minus post-fire date) of backscatter coefficient and soil moisture were analysed by land cover class for each Sentinel-1 temporal pair after the BA classification. Four categories were studied: burned, unburned, commission and omission errors. Data from all tiles were pooled (Fig. 8). The analysis confirmed that, over burned and commission error pixels, VH backscatter mean variation was higher

- $_{530}$ (1.72 ± 0.002 dB) when compared to the VV polarization (0.34 ± 0.0023 dB) for all land cover classes. As expected,
- MAC values were on average considerably higher over burned pixels and commission errors (13.5 ± 0.15) when
- compared to unburned and omission errors pixels (0.17 \pm 0.03), following the trends observed for VH backscatter
- coefficient mean variation. Soil moisture variations from the SMAP product were very similar between burned and
- ⁵³⁴ unburned pixels with no particular trend being apparent. For crops and shrubs soil moisture variations was slightly
- ⁵³⁵ higher over burned areas while for the other land cover classes the opposite was true (Fig. 8).



536

Fig. 8: Temporal variation ($\Delta = data_{pre}-data_{post}$) of the backscatter coefficient (dB) and soil moisture (from SMAP) between pre- and post-dates for BA detection periods. MAC values from RXD are also presented. Values are displayed by land cover classes for four categories of pixels: unburned (Un), burned (Bu) and commission (Ce) and omission errors (Oe). Red line indicates median value. Top and bottom box edges indicate the 75th and respectively the 25th percentiles. Outliers not shown to improved graphs discernibility.

542

Since the algorithm uses hotspots derived from thermal sensors to map BA, the accuracies metrics (by land cover class) of the pixels located within and outside the IAhs were also compared (Table 3). The highest BA accuracy (DC) and lowest omission and commission errors were observed for the pixels located within the IAhs over all land cover classes as expected. Likewise, VH and VV pre- to post-fire backscatter coefficient temporal differences were also compared for both cases. Similar trends, as observed in Fig. 8, where burned and commission error pixels had a significant higher variation when compared to unburned and omission errors pixels, were found over both polarizations independently of the location with respect to the IAhs.

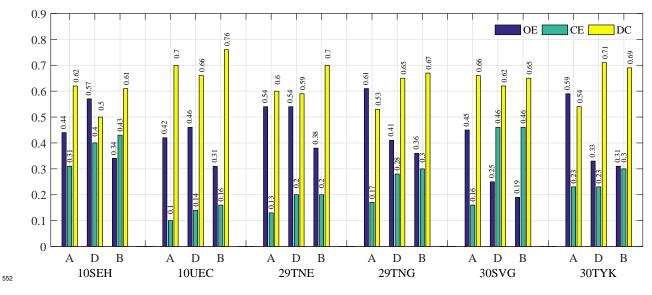
Table 3: Errors metrics for Sentinel-1 BA detections and pre- to post-fire backscatter variations assessed as a function of proximity with respect to the hotspots influence area (IAhs).

552 -							
_			Crops	Grasslands	Shrubs	Forests	Others
_		DC	0.55	0.34	0.63	0.71	0.61
		CE	0.38	0.64	0.27	0.27	0.36
		OE	0.5	0.68	0.45	0.32	0.43
	Inside IAhs	Δ VH (bp)	2.52 ± 0.02	1.06 ± 0.01	2.24 ± 0.005	1.48 ± 0.003	2.33 ± 0.03
		Δ VH (cp)	1.27 ± 0.03	0.64 ± 0.01	1.54 ± 0.01	0.91 ± 0.01	1.26 ± 0.03
		Δ VH (op)	0.15 ± 0.01	-0.33 ± 0.01	0.98 ± 0.003	0.31 ± 0.002	0.43 ± 0.01
		Δ VV (bp)	1.42 ± 0.02	-0.73 ± 0.02	0.66 ± 0.01	0.26 ± 0.003	0.84 ± 0.04
		Δ VV (cp)	0.03 ± 0.03	-0.91 ± 0.01	0.21 ± 0.01	-0.13 ± 0.01	0.42 ± 0.04
14		Δ VV (op)	-0.29 ± 0.01	-0.78 ± 0.01	0.61 ± 0.004	0.06 ± 0.002	-0.1 ± 0.01
		DC	0.11	0.17	0.39	0.27	0.45
		CE	0.84	0.79	0.44	0.56	0.54
		OE	0.92	0.86	0.7	0.81	0.57
	Outside IAhs	Δ VH (bp)	2.6 ± 0.09	1.2 ± 0.03	3.63 ± 0.01	2.25 ± 0.01	0.9 ± 0.05
		Δ VH (cp)	3.31 ± 0.04	0.33 ± 0.02	3.39 ± 0.01	2.18 ± 0.02	3.33 ± 0.08
		Δ VH (op)	-0.01 ± 0.02	0.08 ± 0.01	0.81 ± 0.01	0.22 ± 0.004	0.52 ± 0.02
		Δ VV (bp)	0.46 ± 0.11	-0.53 ± 0.03	1.73 ± 0.01	0.27 ± 0.02	-1.44 ± 0.07
		Δ VV (cp)	2 ± 0.05	-1.34 ± 0.02	2.03 ± 0.01	1.21 ± 0.02	2.78 ± 0.09
		Δ VV (op)	-0.73 ± 0.02	-0.55 ± 0.01	0.12 ± 0.01	-0.39 ± 0.005	-0.03 ± 0.02

 Δ_{545} Δ_{-} pre- to post-fire temporal differences of VV and VH backscatter data by pixels classes of: burned (bp) and commission (cp) and omission (oe) errors.

For six of the validation sites, images from ascending and descending Sentinel-1 passes were available. Therefore, a more detailed analysis was carried out to understand the difference in BA accuracy between ascending and descending passes (Fig. 9). Overall, BA omission errors were minimum when both passes were used while BA commission

errors increased. However, DC values showed that BA detection generally improved when data from both passes was



551 available.

Fig. 9: Assessment metrics of Sentinel-1 burned area detections per ascending (A), descending (D) and both satellite passes (B). DC - Dice coefficient, OE - omission error and CE - commission error.

The effect of topography and the environmental conditions (soil moisture) were analysed for each acquisition pass 557 over the six tiles. The LIA was often used to analyse the effect of topography on the backscatter coefficient in areas 558 affected by fires (Tanase et al., 2009, 2010a; Kalogirou et al., 2014; Gimeno & San-Miguel-Ayanz, 2004; Kurum, 559 2015). However, the wide swath of the Sentinel-1 IW mode results in a variation of the incidence angle of about 17° 560 from near (29°) to far (46°) range. Since LIA is a function of incidence angle and local slope (U), DC scores were 56 analysed (by satellite pass) as a function of both angles after grouping in five degrees classes (Tanase et al., 2010a). 562 Similar trends were observed for both passes (Fig. 10) with better accuracies being observed for low LIAs and Us 563 groups ($<40^{\circ}$). 56

Nevertheless, analysing BA accuracy by LIA and U angles has limitations as LIA groups may include areas of dif-565 ferent slopes while U groups may include slopes oriented towards and away from the sensor with completely different 566 scattering properties. Therefore, the sloped areas $(U \ge 5^{\circ})$ were further analysed by their orientation (V) with respect 567 to the satellite viewing geometry (Fig. 10). Notice that positive V values are observed for slopes oriented towards the 568 sensor while negative values are observed for slopes oriented away from the sensor. The BA accuracy improved over 569 pixels oriented toward the sensor with omission error being lower for such pixels while commission errors slightly 570 higher. Notice that a paired t-test showed no significant difference (p-value > 0.05) between the percentage of pixels 571 (by ten degrees V groups) from ascending and descending satellite passes. 572

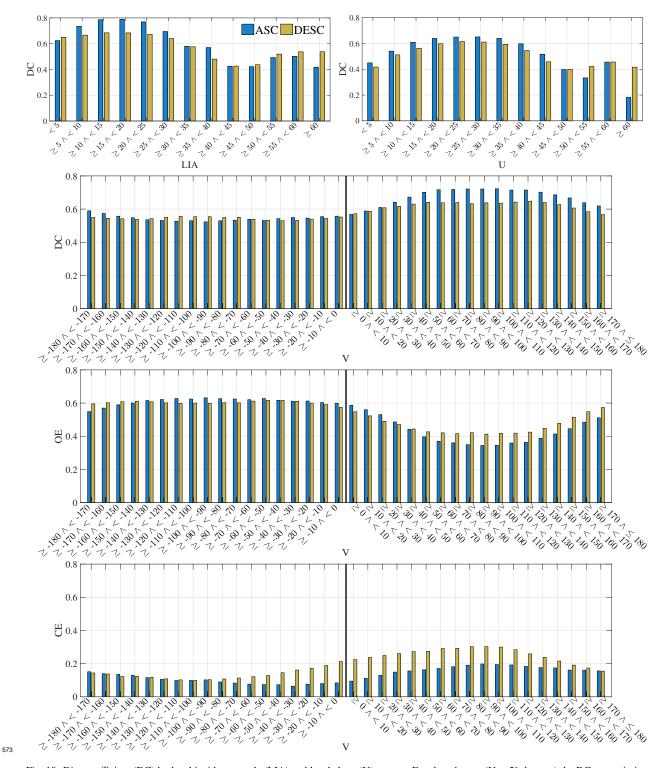
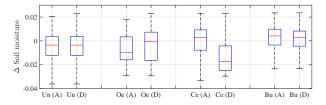


Fig. 10: Dice coefficient (DC) by local incidence angle (LIA) and local slope (U) groups. For sloped areas ($U \ge 5^{\circ}$ degrees) the DC, commission (CE) and omission errors (OE) are shown as a function of slope orientation (V) with respect to the Sentinel-1 viewing geometry. Negative V values show slopes oriented away the sensor while positive V values show slopes oriented toward the sensor. The BA metrics are shown for six tiles where both ascending (ASC) and descending (DESC) passes were available (i.e., 10SEH, 10UEC, 29TNE, 29TNG, 30SVG and 30TYK).

Since Sentinel-1 ascending and descending images were acquired at different dates, variations in soil moisture 580 (from the global SMAP product) between the pre- and post-dates delineating the CDPs were analysed to ascertain the 58 influence of this important environmental parameter on BA detection errors. Over five of the six tiles the difference 582 in soil moisture between ascending and descending passes were reduced. However, for tile 30SVG soil moisture 583 increased considerably over some areas for descending pass acquisitions which translated in much larger commission 58 errors (0.46) when compared to those observed for the ascending pass (0.16), where soil moisture was stable (Fig. 11). 585 The increased commission errors were the result of a large and compact area located south of the fire perimeter that 586 was misclassified as burned (Fig. 12). The temporal variations of the backscatter coefficient between ascending and 587 descending passes (tile 30SVG) were correlated with the accuracy metrics. An important variation of the backscatter 588

coefficient during the descending pass was observed over the misclassified burned area (CE) for both VV (2.8 ± 0.029)

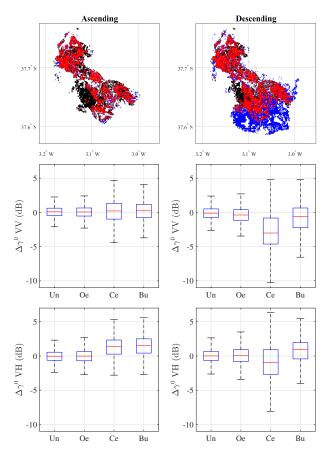
and VH (1.0 ± 0.027) polarizations (Fig. 12).



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Fig. 11: Temporal variations of soil moisture (SM) from Soil Moisture Active Passive (SMAP) mission for pre- and post-fire dates ($\Delta_{SM} = SM_{pre}-SM_{post}$), in tile 30SVG. Ascending (A) and descending (D) passes are analyzed separately. Pixels are grouped by classes of unburned (Un) and burned (Bu). Pixels from areas affected by commission (Ce) and omission errors (Oe) are also shown. The red line indicates median value, and top and bottom box edges indicate the 75th and respectively the 25th percentiles. Outliers are not shown to improve graph discernibility.



598

Fig. 12: Burned area from ascending (left column) and descending (right column) passes in tile 30SVG: red – burned (Bu), white – unburned (Un), black – omission errors (Oe) and blue – commission errors (Ce). VV and VH backscatter coefficient variation ($\Delta \gamma^0 = pre_{fire} - post_{fire}$) is also shown for each pass.

602

604 5. Discussion

605 5.1. Algorithm development

The Reed-Xiaoli anomaly detector (Reed & Yu, 1990), not widely used with SAR images except for levee slide 606 detection (Dabbiru et al., 2012, 2016, 2018), seemed to work coherently when detecting burned areas as errors of 607 omission appeared when low backscatter changes were observed over burned areas while error of commissions ap-608 peared due to fire unrelated backscatter variations over unburned areas. These trends were reflected by the MAC 60 values for OE and CE classes which were close to those observed for unburned and respectively burned areas sug-610 gesting a correct estimation of the covariance matrices by taking advantage of the *a priori* information from stable 611 areas (i.e., likely unburned pixels). Comparing backscatter variability over burned and unburned classes one may 612 notice notably smaller MAC values over the later which also suggests a properly functioning of the anomaly detector 613 according to the input data. To test the correct delineation of stable areas (i.e., background), a t-test was used to 614 analyse the statistical difference between the inverted covariance matrices (used by RXD) obtained using hotspots and 615

those obtained using the BA validation perimeters from optical data (section 3.4). The analysis showed no statistical difference (p-values > 0.05) between the two methods demonstrating that hotspots may be reliably used to identify likely burned and unburned pixels as a preliminary source of burned area.

The use of ancillary information from thermal anomalies (hotspots) allowed for attributing anomalous changes 619 of SAR backscatter data as BA though a locally derived knowledge extraction. Hence, burned pixels were extracted 620 without the need for relying on fixed thresholds on the SAR signal, which may depend not only on the land cover 621 type, but also on backscatter variations due to spatially variable influencing factors (e.g., soil and vegetation moisture) 622 that are difficult to model. Temporal decorrelation between hotspots (i.e., fire date) and the date at which radar 623 backscatter changes were detected (Belenguer-Plomer et al., 2018b) was observed over most tiles. One should notice 624 that temporal decorrelation is not specific to burned area nor the C-band frequency as similar effects were observed 625 for L-band HV polarization over areas affected by deforestation (Watanabe et al., 2018). Therefore, temporal studies 626 using SAR-based change detection techniques must devise methods to reduce or account for such effects (see the 627 proposed approach in the Stage 3). 628

The use of a non-parametric classifier was essential to cope the temporal lack of hotspots due to persistent cloud 629 cover or small fire size (i.e., not detected by thermal sensors). Parametrising random forests classifier (RF) for BA 630 classification may prove complex as almost an infinite combinations of parameter settings are possible. Ramo & 631 Chuvieco (2017) proposed using 600 trees and a stratified training, where 10% of training data were burned pixels 632 and the rest not burned, for the classification of MODIS images in burned and unburned classes. Such a setting was 633 tested during algorithm development but the results were not as accurate as expected. Therefore, the RF set-up was 634 customized based on empirical observations. The substantial differences in RF parametrization settings were mainly 635 caused by the algorithm design, since it is building specific RF models for each land cover type and detection period. 636 Hence, it does not have to cope with widely varying land cover and burn conditions as the work of Ramo & Chuvieco 637 (2017) which used one uniquely trained model worldwide. 638

639 5.2. Comparison with global products

Over most validation areas, the accuracy of the proposed algorithm was higher when compared to the MCD64A1 Version 6 product (Giglio et al., 2018). The mean DC value over all studied locations was 0.13 higher for the Sentinel-1 BA detections (i.e., 28% higher). The DC values of Sentinel-1 detections per tiles were statistically higher than those of MCD64A1 (paired t-test p-value of 0.024). In addition, the variability of Sentinel-1 BA detection accuracy was considerably lower when compared to the MCD64A1 product. The mean values for OE and CE over all tiles were also lower for the Sentinel-1 detections.

The analysis showed that for 13 tiles (72% of the studied areas) the Sentinel-1 BA detections had higher DC scores than the MCD64A1 product. For one tile, 33NUF, the difference in accuracy (DC) of the two products is 0.5. The very low accuracy (DC 0.02) observed over this tile for the MCD64A1 product is difficult to explain with the data at hand, hence the tile was considered an outlier. For five tiles (i.e., 18NXG, 30TYK, 33NTG, 33NUG, and 49MHT)

the improvement of the Sentinel-1 product was substantial with DC increasing on average by 144% when compared 650 to the MCD64A1 product. The large difference in DC scores was mainly caused by the high OE (0.72 to 0.94) in 65 the MCD64A1 product. Detection of small burned areas (< 120 ha) is problematic using MODIS data due to the 652 coarse sensor resolution (Giglio et al., 2009). To evaluate if reduced spatial resolution of MODIS was the reason 653 behind MCD64A1 product poor performance, the percentage of BA from fire scars below 120 ha was computed 65 based on the reference datasets. In tiles 33NUG, 33NTG, and 49MHT fires below 120 ha constituted 85%, 53% and 655 respectively 48% of the total BA suggesting that the lower performance may be related to the coarser MODIS spatial 656 resolution. Therefore, these results suggest that improvements in BA detection accuracy may be possible not only in 657 areas with frequent cloud cover. However, for tiles 18NXG and 30TYK small fires (< 120 ha) constituted only 34% 658 and respectively 25% of the total BA indicating that fire size may not be the only factor influencing detection accuracy 659 when using coarse resolution sensors. 660

For five tiles (i.e., 29TNE, 30SVG, 36NXP, 48VWL and 50JML) the MCD64A1 product showed higher DC scores 661 when compared to the Sentinel-1 based detections. The mean difference for the four first tiles was only 0.13. However, 662 for tile 50JML this difference was higher, with the MCD64A1 product being markedly more accurate (DC 0.41 vs. 663 0.21). It seems such large differences were related to the conditions encountered over the Australian grasslands, where 66 backscatter variations recorded from pre- to post-fire periods were low, hindering the detection algorithm. By land 665 cover class, the results indicate that a radar-based BA mapping algorithm may provide BA products with better or 666 similar accuracies when compared to available global products, except for grasslands. The most significant difference 667 in accuracy was observed over grasslands, where the MCD64A1 was more accurate than the Sentinel-1 based BA (DC 668 0.45 vs. 0.28). Conversely, over forests Sentinel-1 derived BA was more accurate (DC 0.64 vs. 0.49). 66

670 5.3. Factors influencing BA accuracy

Temporal variation of pre- and post-fire VH and VV backscatter coefficient over pixels of affected by CE and OE were similar to those observed over burned and respectively unburned pixels. Following, the main factors affecting burned area classification were discussed.

674 5.3.1. Environmental conditions

CE may only be related to factors that modify the scattering proprieties in a similar manner to fires (e.g., rainfall, 675 harvest, defoliation, snow-melt, logging) if backscatter changes are concentrated in a reduced part of the image 676 (anomalous changes), since the RXD may identify such variations as spatial anomalies similar than fires. For in-677 stance, unrelated fire backscatter variations which did not affect the entire image occurred over tile 30SVG, where the 678 highest difference between commission errors for ascending (0.16) and descending (0.46) passes were observed. For 679 this tile, soil moisture variations over CE pixels varied notably between ascending and descending passes. For the 680 descending pass, post-fire soil moisture was on average $0.014 \pm 1.18e-04 \text{ m}^3/\text{m}^3$ higher when compared to pre-fire 681 soil moisture, while for the ascending pass the increment was marginal (6.2e-04 m³/m³). Consequently, over pixels 682

affected by CE, an average increase of 2.8 ± 0.029 dB for VV polarization and 1.0 ± 0.027 dB for the VH polarization 683 was recorded from pre- to post-fire date for the descending acquisitions. The differentiated increase by polarization 68 confirmed the larger influence of the soil surface properties on the VV polarization when compared to the VH polar-685 ization as noted previously by others authors (Freeman & Durden, 1998; Yamaguchi et al., 2005; Van Zyl et al., 2011). 686 The backscatter coefficient change generated by variations in soil moisture was incorrectly mapped as burned since: 68 (i) the algorithm does not account for the sign of the backscatter change; and (ii) the image part affected by rainfall 688 was located close to hotspots (areas bordering the fire perimeter). This suggests that algorithm improvements may 689 further mitigate commission errors related to soil moisture variations by considering the backscatter change direction. 690 Notice that, tile 30SVG was an exception as at this location a major part (67.6%) of the CE were concentrated in an 691 large enough area (3420 ha) to extract useful information from the coarse pixel spacing SMAP product. The influence 692 of soil moisture on BA accuracy was inconclusive for rest of the tiles, most probably due to the coarse pixel spacing 693 of the SMAP product (9 km). Since global products of snow-melt, The use of soil moisture products at higher spatial 694 resolution, such as the Copernicus Surface Soil Moisture (SSM) based on Sentinel-1 data at 1 km of pixel spacing 695 (Bauer-Marschallinger et al., 2018), to reduce CE derived from soil moisture variations will be investigated when 696 global available, since now exists only for Europe. On the other hand, since global products of harvest, defoliation, 69 floods or logging at enough detailed pixel spacing are not available and precipitation products based on extrapolation 698 of data from rain gauges have a much coarser pixel spacing (0.5°) and own errors (Hu et al., 2018), it was not possible 699

⁷⁰⁰ to identify all the commission errors sources in order to filter them.

701 5.3.2. Fire impact

Conversely, pixels affected by OE may have been the result of the effects of different variables which attenuated 702 the vegetation combustion effects on the C-band backscatter coefficient. Fire severity, the degree of organic matter 703 loss due to fire combustion (Keeley, 2009), constrains the temporal backscatter variation between pre- and post-fire 704 (Tanase et al., 2010b, 2014). The dNBR mean values over the pixels affected by omission errors ($0.068 \pm 6.65e-05$) 705 was 22.73% lower when compared to the dNBR values observed for correctly detected burned pixels ($0.088 \pm 7.5e$ -706 05). Notice that the dNBR index is widely used to detect BA and estimate fire severity over a range of biomes (Escuin 707 et al., 2008; Loboda et al., 2007; Van Wagtendonk et al., 2004; Tanase et al., 2011) and that high fire severity implies 708 a more significant reduction of vegetated scattering elements due to combustion. 709

710 5.3.3. Topography

Topography also affected the BA accuracy, with a tendency of increased burned areas omission being observed for the pixels oriented away from the sensor most likely due to the existence of shadowed regions (Tanase et al., 2010a, 2009). Conversely, for the pixels oriented towards the sensor the commission errors increased since soil proprieties had a higher influence on radar scattering. Since the OE derived of the topography were higher than the CE, to improve the BA accuracy, detections from different relative orbits were joined when available (see subsubsection 5.3.5). The angle of incidence determines the accuracy not also in SAR based fire monitoring, since in Xu et al. (2019) it is observed
 how also affects the land cover classification accuracy.

718 5.3.4. Land cover type

The variables mentioned above affect the scattering processes over burned and unburned areas differently depend-719 ing of the land cover class observed and translated into variable map accuracies. Lower BA accuracies were found 720 over grasslands as the scattering elements characteristic for this vegetation type interact to a lesser extent with the C-721 band waves when compared to the scattering elements encountered in shrubs and forests (stems, branches). However, 722 the most important factor affecting the algorithm sensitivity to fire in grasslands seemed to be related to fire timing. In 723 areas characterized by long intervals (months) between grass curing and fire events the algorithm encountered diffi-724 culties as the cured (i.e., dry) grass has low scattering properties being mostly transparent to the radar waves (Menges 725 et al., 2004). Therefore, grass consumption by fire results in small or nil VV and VH backscatter changes from vegeta-726 tion consumption which hinders BA detection. This observation seemed supported by the lower temporal variation of 727 the backscatter coefficient over burned when compared unburned grasslands. Conversely, forest and shrubs, besides 728 containing scattering elements more susceptible to interact to C-band radar waves, are not affected by curing to the 729 same extent (i.e., some water needs to be retained to ensure plant survival). Thus, vegetation consumption by fire 730 results in a noticeable scattering decrease which is detected by the algorithm, although sometimes a temporal gap between fire and detection was observed (temporal decorrelation) as discussed in Belenguer-Plomer et al. (2018b). 732

⁷³³ 5.3.5. Ancillary information and SAR data availability

The use of hotspots was essential given that only two backscatter channels were available (VV and VH polarizations). Without hotspots, differentiation of burned areas from other land changes (e.g., floods, logging, harvest, vegetation disturbance due to pests, drought) that modulate the backscatter coefficient in a similar fashion was difficult as also noted by Huang & Siegert (2006). Lower BA detection accuracies were found in pixels located far (outside IAhs) when compared to pixels located in close proximity (within 750 m) of hotpots events (see supplementary material). According to the reference data, only a 15.3% of burned pixels were not located within IAhs allowing for BA detection rates comparable or better than those of currently available global products.

Joining detections from different passes (relative orbits (from ascending and descending passes) increased the 741 detected burned area. Inherently, the availability of several orbits covering the same area resulted in reduced OE which 742 is particularly true when different viewing geometries were used over areas with steep topography. Conversely the CE 743 increased as wrongly detected areas are also joined in post-processing (Stage 5 of the algorithm). Despite the increased 744 CEs, the use of both Sentinel-1 passes generally improved the BA accuracy. It should be noted that consistent dual 745 pass (ascending and descending) acquisitions are currently available only over Europe and North America. The 746 analysis suggested that differences in BA accuracy between ascending and descending passes were mainly caused 747 by the interaction between the viewing geometry and the local topography as explained in subsection 4.3, with the 748

highest accuracies being achieved over areas oriented towards the sensor. Using images acquired in a single pass
 may result in increased omission errors particularly in regions with accentuated topography. These results confirm
 previous findings that highlight the effect of topography on burned area detection and fire impact estimation (Gimeno

⁷⁵² & San-Miguel-Ayanz, 2004; Huang & Siegert, 2006; Tanase et al., 2010b). Future investigations in order to reduce

topographic effects will be needed, since according to current Sentinel-1 observation scenario, over most of Earth

⁷⁵⁴ surface data is taken only in a single pass.

The accuracy of the Sentinel-1 product was also assessed as a function of the number of SAR images available 755 during the detection period as well as the number of days between consecutive acquisitions. The BA was detected 756 regardless of the image number or their temporal distance, thus coping with the variable acquisition strategy (temporal 757 frequency) of the Sentinel-1 mission over different regions. The main temporal factor which limited the algorithm 758 accuracy was the post-fire vegetation regrowth cycle. Where image acquisitions were more frequent, when compared 759 to vegetation regrowth cycles, the algorithm detected the changes in backscatter coefficient generated by fires and 760 labelled them as BA. However, the relationship between BA detection accuracy (DC) and the number of images used 761 and their acquisition frequency (day difference of consecutive images) per tiles was weak (0.32 and respectively 0.38 762 Pearson's correlation coefficient) since additional factors affected the algorithm accuracy (i.e., topography and fire 763 unrelated changes). Thus, it was concluded that current Sentinel-1 temporal frequencies might be sufficient for global 764 retrieval. Nevertheless, the relatively small number of test samples may have obscured some effects. In addition, the 765 relationship between Sentinel-1 acquisition frequency and the detection accuracy may vary with the land cover type 766 (different post-fire regrowth cycle). 767

768 5.4. Comparison with previous Sentinel-1 based approaches

Previous studies based on Sentinel-1 data for BA detection were carried out only at local to regional scales. 769 However, C-band backscatter from fire affected areas varies with the local conditions. Therefore, locally trained 770 algorithms are difficult to transfer to other regions. Engelbrecht et al. (2017) used empirical thresholds to detect BA 771 in South Africa achieving OE and CE of 0.29 and 0.48, respectively. Depending on area, the proposed algorithm may 772 achieve similar or better accuracies. Lohberger et al. (2018) used an object-based image analysis approach to detect 773 BA in Indonesia. However, since only information on the overall accuracy was provided comparisons were difficult. 774 Finally, Verhegghen et al. (2016) tested the most suitable thresholds when separating burned from unburned pixels in 775 the Congo basin, but did not provide accuracy metrics of their detected BA. Nevertheless, since such studies relied 776 on algorithms heavily optimized over local to regional scales, comparisons with the proposed algorithm are of little 777 relevance. 778

779 6. Conclusions

This paper introduced an automated and cloud cover insensitive algorithm for BA detection using Sentinel-1 dual-polarized backscatter images. Hotspots from active fires and land cover data were used as ancillary information

when attributing anomalous backscatter changes to burned and unburned classes. The algorithm was validated at 782 18 locations (100×100 km tiles) covering over 21 million hectares worldwide. Algorithm accuracy was assessed 783 using reference burn perimeters derived from optical sensors (Landsat-8 and Sentinel-2). The agreement between the 784 Sentinel-1 algorithm and the reference perimeters was compared with that of the most widely used global BA product, 785 the MCD64A1 Version 6. Over all tiles, the mean OE and CE for BA were 0.43 and 0.37, respectively. The mean DC 78 was 0.59. When compared with the MCD64A1, the proposed algorithm improved burned area detection (DC) by 28% 787 (from 0.46 to 0.59) over the analysed tiles. This improvement was mainly related to reduced OE reduce OE, which is 788 very useful for the users, since has been demonstrated that Sentinel-1 may be a key source of information, especially 789 where optical data based products have information gaps, due mainly to clouds. 790 According to our analysis, strong topography conditioned the BA accuracy with slopes oriented away from the 791

sensor being subject to higher errors, being necessary combine detections from different relative orbits to cope these 792 effects. Likewise, it was observed that a reduced fire severity translated into increased omission errors. On the other 793 hand, commission errors seemed to correlate with fire unrelated changes affecting the scattering processes (e. g., 794 soil moisture). Furthermore, scattering from burned areas was directly influenced by vegetation type with higher 795 accuracies being observed over forested areas (DC 0.64) and lower over grasslands (DC 0.28) which were attributed 796 to the difficulty in tracking changes of cured vegetation using the C-band data. The main advantages of the proposed 797 algorithm were related to: (i) insensitivity to cloud cover; Self-adapting to local scattering conditions to extract 798 burned area without the need of fixed thresholds or prior information of observed area; and (ii) independence between 799 accuracy and Sentinel-1 temporal frequency; and (iii) more detailed pixel spacing when compared to current global 800 products capability of BA detection when thermal anomalies were not available using random forests models built 80 from data when were available. On the other hand, the main limitations were related to the: (i) misclassification of 802 fire unrelated changes(e.g., due to soil moisture); (ii) positive relationship between accuracy and hotspots availability; 803 and (iii) accuracy dependence on variables affecting radar scattering processes (e.g., ecosystem type, topography). To 804 reduce such limitations, further improvements shall be investigated. 805

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1079 List of Figure Captions

1080	Fig. 1	Location of the Military Grid Reference System tiles used for algorithm development and valida-
1081	tion	5
1082	Fig. 2	SAR data processing with the Orfeo Toolbox
1083	Fig. 3	Flowchart of the SAR based algorithm for burned area detection
1084	Fig. 4	Graphical representation of concepts needed to extract bROIs, being HS - hotspot
1085 1086	Fig. 5 con	Maps of burned area detected using Sentinel-1 data per MGRS tiles. Errors of omission and mission are also shown
1087	Fig. 6	Assessment metrics of Sentinel-1 and MCD64A1 Version 6 burned area detections per MGRS
1088	tile	s and land cover classes. The metrics by land cover were computed using confusion matrices
1089	for	ned by pixels of the same land cover class from all tiles. DC - Dice coefficient, OE - omission
1090	erro	or and CE - commission error
1091	Fig. 7	Dispersion of Dice coefficient (DC), omission and commission errors (OE and CE) of burned
1092	area	a detected for all tiles for Sentinel-1 (S1) and MCD64A1 Version 6. The red line indicates median
1093	valu	ie, and top and bottom box edges indicate the 75th and 25th percentiles, respectively, while red
1094	dot	s indicate outliers
1095	Fig. 8	Temporal variation ($\Delta = data_{pre} - data_{post}$) of the backscatter coefficient (dB) and soil moisture
1096	(fro	m SMAP) between pre- and post-dates for BA detection periods. MAC values from RXD are also
1097	pres	sented. Values are displayed by land cover classes for four categories of pixels: unburned (Un),
1098	bur	ned (Bu) and commission (Ce) and omission errors (Oe). Red line indicates median value. Top
1099	and	bottom box edges indicate the 75th and respectively the 25th percentiles. Outliers not shown to
1100	imp	roved graphs discernibility
1101	Fig. 9	Assessment metrics of Sentinel-1 burned area detections per ascending (A), descending (D) and
1102	bot	n satellite passes (B). DC - Dice coefficient, OE - omission error and CE - commission error 25
1103	Fig. 10	Dice coefficient (DC) by local incidence angle (LIA) and local slope (U) groups. For sloped
1104	area	as (U \geq 5° degrees) the DC, commission (CE) and omission errors (OE) are shown as a function
1105	of s	lope orientation (V) with respect to the Sentinel-1 viewing geometry. Negative V values show
1106	slop	bes oriented away the sensor while positive V values show slopes oriented toward the sensor. The
1107	BA	metrics are shown for six tiles where both ascending (ASC) and descending (DESC) passes were
1108	ava	ilable (i.e., 10SEH, 10UEC, 29TNE, 29TNG, 30SVG and 30TYK)

1109	Fig. 11 Temporal variations of soil moisture (SM) from Soil Moisture Active Passive (SMAP) mission	
1110	for pre- and post-fire dates ($\Delta_{SM} = SM_{pre} - SM_{post}$), in tile 30SVG. Ascending (A) and descending	
1111	(D) passes are analyzed separately. Pixels are grouped by classes of unburned (Un) and burned (Bu).	
1112	Pixels from areas affected by commission (Ce) and omission errors (Oe) are also shown. The red	
1113	line indicates median value, and top and bottom box edges indicate the 75th and respectively the 25th	
1114	percentiles. Outliers are not shown to improve graph discernibility.	27
1115	Fig. 12 Burned area from ascending (left column) and descending (right column) passes in tile 30SVG:	
1116	red – burned (Bu), white – unburned (Un), black – omission errors (Oe) and blue – commission errors	
1117	(Ce). VV and VH backscatter coefficient variation ($\Delta \gamma^0 = pre_{fire} - post_{fire}$) is also shown for each	
1118	pass	28