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1 Title: Neanderthals and *Homo sapiens* had similar auditory and speech capacities

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- 29 Abstract: The study of audition in fossil hominins is of great interest given its
- 30 relationship with intraspecific vocal communication. While the auditory capacities have
- 31 been studied in early hominins and in the Middle Pleistocene Sima de los Huesos (SH)
- 32 hominins, less is known about the hearing abilities of the Neanderthals. Here we provide
- 33 a detailed approach to their auditory capacities. Relying on CT scans and a
- 34 comprehensive model from the field of auditory bioengineering, we have established
- 35 sound power transmission through the outer and middle ear and calculated the occupied

bandwidth in Neanderthals. The occupied bandwidth is directly related to the efficiency
 of the vocal communication system of a species. Our results show that the occupied
 bandwidth of Neanderthals was greater than the SH hominins and similar to extant
 humans, implying that Neanderthals had evolved the auditory capacities to support a
 vocal communication system as efficient as modern human speech.

41

42 Introduction

The linguistic capacities in Neanderthals have long been an area of active research and debate 43 among paleoanthropologists, albeit with little resolution¹⁻³. The last two decades have seen 44 increasing archaeological discoveries documenting complex behaviors in Neanderthals. These 45 have been linked to the possible presence of language in Neanderthals, since it seems 46 47 reasonable to suggest that such behaviors require the presence of a complex and efficient oral communication system³. Nevertheless, a different point of view maintains that the distinctive 48 features of human language, absent in other organisms, include a symbolic element as well as 49 a recursive syntactic process called "merge"⁴. This latter process, at its simplest, uses two 50 51 syntactic elements and assembles them to form a set and is argued to be exclusive to Homo sapiens and to have appeared no earlier than 100 ky^{1,4}. 52

Tracing the presence of symbolism and syntactic processes in the course of human 53 evolution currently lies outside the realm of possibility in paleontology¹. Nevertheless, the study 54 of human fossils can prove key to determining whether past human species, and in particular 55 the Neanderthals, possessed the anatomy necessary to produce and perceive an oral 56 57 communication system as complex and efficient as human speech, the usual (but not exclusive) vehicle for language. In other words, although paleontology cannot study the evolution of the 58 59 "software" of language it can contribute to our understanding of the evolution of the "hardware" of speech. In our opinion, to suggest that a past human species, such as the Neanderthals, may 60 61 have had language, it is not only necessary to establish the presence of symbolism, which can

be approached in the archaeological record¹, but also to demonstrate the existence of the
 anatomical bases necessary to produce and perceive articulated speech.

The study of brain endocasts has been one of the classic approaches to interpreting the cognitive and linguistic capacities in Neanderthals. Although the Neanderthals as a species are as encephalized as *H. sapiens*^{5,6}, clear differences have been documented in the cerebral organization⁷⁻⁹ as well as the allometric trajectories in both phylogenetic¹⁰ and ontogenetic¹¹ terms. Nevertheless, the significance of these differences for inferring cognitive and linguistic capacities in Neanderthals remains actively debated^{2,4,8,9,12,13}.

During the last five decades, much debate has centered on whether the supralaryngeal vocal tract in Neanderthals was capable of producing the fundamental sounds of human speech². While this debate remains unresolved, recent anatomical¹⁴⁻¹⁶ and genetic¹⁷ data support the idea that Neanderthals could have produced a wide repertoire of acoustic signals, facilitating a complex form of vocal communication. In this context, it would be particularly interesting to establish whether the auditory capacities in Neanderthals were capable, or not, of supporting a vocal communication system as efficient as that of our own species.

The auditory capacities in Neanderthals have been indirectly approached through comparative studies of the dimensions and proportions of the ear ossicles¹⁸ as well as the functional properties of the middle ear¹⁹. These studies found broad similarities between Neanderthals and modern humans in both aspects, suggesting that these similarities might imply similar hearing abilities as well. On the other hand, based on a few features of the external and middle ear, Masali²⁰ proposed that Neanderthals had a slightly higher best audible frequency than modern humans.

More recently, a comprehensive model, based on a large number of anatomical variables directly related to auditory physiology, has been used to estimate the sound power transmission (SPT) through the outer and middle ear in fossil hominins^{14,21-22}. The SPT reflects the acoustic filtering process, leading to a frequency-dependent attenuation of sound power at the cochlear entrance, which largely shapes the resultant audiogram in living subjects²³. The
 SPT directly depends on the dimensions of the different anatomical structures of the outer and
 middle ear²³, making it possible to estimate it in fossil species.

At the same time, based on the SPT it is also possible to calculate the occupied bandwidth 91 92 (OBW), defined as the width of a frequency band such that, below the lower and above the upper frequency limits, the mean powers are each equal to a specified percentage of the total 93 mean power of a given signal spectrum²⁴. In the present study, the OBW includes the range of 94 frequencies which contains at least 90% of the sound power transmitted to the inner ear ^{14,21,22}, 95 reflecting the frequency range of maximum auditory sensitivity. The OBW is, in turn, directly 96 related to the bandwidth of the oral communication channel. A wider communication channel 97 bandwidth allows for a larger number of easily distinguishable acoustic signals to be used in the 98 oral communication of a species. This improves the efficiency of communication (i.e. the ability 99 100 to deliver a clear message in the shortest amount of time) since it allows for an increase in the 101 number of phonemes and a reduction of the perception error rate²⁵.

The theory of information, as outlined by Shannon²⁵, has been used to estimate the information rate of speech communication²⁶, and forms the basis for recent studies examining the correlation between speech intelligibility and bandwidth for normal and hearing impaired people^{27,28}. The influence of bandwidth reduction on speech intelligibility is reflected in the definition of the ANSI/ASA S3.5-1997 speech intelligibility index standard^{29,30}. Thus, there is a clear link between the OBW and the oral communication system in humans.

Previous studies carried out on the European middle Pleistocene fossils from the Sima de los Huesos (SH)^{14,22} and in the early hominin taxa *Australopithecus africanus* and *Paranthropus robustus* from South Africa²¹ showed that the OBW in the early hominins was quite similar to *Pan troglodytes*, while the SH fossils had an OBW more similar to, although somewhat narrower than, *H. sapiens*. In this context, establishing the OBW in Neanderthals would be particularly interesting. If this parameter is similar to that in the SH hominins, it could
be argued that the Neanderthals developed more complex behaviors than their ancestors
without the need to increase the efficiency of their communication system. Nevertheless, if the
OBW in Neanderthals is wider than in the SH hominins, this would show that the increased
behavioral complexity of the Neanderthals, compared with their ancestors, was accompanied by
an increase in the efficiency of the oral communication system, suggesting a functional link
between these two phenomena.

To establish the SPT and OBW in Neanderthals, we virtually reconstructed the outer and 120 121 middle ears in five Neanderthal individuals (Fig. 1) from CT scans. We have also reconstructed the outer and middle ears in six new SH individuals and have carried out new reconstructions of 122 three previously published individuals^{14,21,22} based on higher resolution CT scans (Extended 123 Data 1). These new data on the SH hominins are particularly relevant given their close 124 125 phylogenetic relationship between the SH population and Neanderthals^{5,31}. The fossil samples were compared with a sample of recent H. sapiens (n = 10) published previously²¹. 126 Based on anatomical measurements taken on the 3D models (Extended Data 2; Supplementary 127 Table 1), we have calculated the SPT and OBW in the Neanderthals and the expanded SH 128 129 sample (Table 1, Figs. 2, 3; Extended Data 3; Supplementary Table 2).

130

131 **Results**

132 Comparison with previous studies of the Sima de los Huesos fossils

The auditory capacities in the SH fossils have been studied previously ^{14,22,32}. However, the SH sample used in the present study includes novel data in several regards. First, the present study only includes complete individuals, where all the measurements of the outer and middle ear can be taken. Thus, two previously published incomplete individuals (AT-84 and AT-421), which relied on estimating the values for some missing variables²², have been removed from the sample. In addition, previous studies on the auditory capacities of the SH hominins used

medical CT scans for Cr. 3, Cr. 5 and AT-1907²². These same three individuals have now been 139 140 CT scanned at a higher resolution, along with all the new SH individuals included in this study 141 (Cr.4, Cr.7, Cr.8, Cr.13, Cr.15, Cr.16) (Supplementary Table 3). As a consequence, we carried 142 out new reconstructions of the external and middle ear cavities of Cr.3, Cr.5 and AT-1907, using 143 the new CT scans and obtained new values for the anatomical variables (Supplementary Table 1). The descriptive statistics of the anatomical variables and the SPT in 7 SH individuals, relying 144 on the new reconstructions, were published previously³², but here we include for the first time 145 the individual values for these variables in these same individuals, together with the unpublished 146 147 values in Cr.16 (Supplementary Table 1 for anatomical variables and Supplementary Table 2 for SPT values). In addition, we provide here for the first time the individual values for the OBW, a 148 central objective of the present study, for all the SH individuals based on the higher resolution 149 CT scans (Supplementary Table 2). 150

For Cr.3 and AT-1907, the differences between the previous anatomical measurements and the new values are very small (Supplementary Table 1), and the differences for SPT and OBW are also very small (Extended Data 4). In the case of Cr.5, the differences between the previously published values and the new data are greater, particularly for the variables L_{AD} , A_{TM} , L_{EAC} and A_{EAC} , and the SPT and OBW have also been affected. In particular, the OBW in Cr.5 is narrower than previously published (Extended Data 4), although, importantly, the new value for OBW falls within the range of variation in the expanded SH sample.

The mean value for OBW in the enlarged SH sample is slightly lower than that previously published²². The new SH mean is still significantly higher than the mean OBW in *P. troglodytes* (Extended Data 5) but is significantly lower than that in modern humans (Table 1). This lower mean value is mainly due to the low values for OBW obtained in three new individuals included in this study: Cr.4, Cr.8 and Cr.13 (Supplementary Table 2). These are the three largest crania in the SH sample, based on their cranial capacities (Supplementary Table 4). This relationship between cranial size and OBW is explained by the fact that the largest crania also tend to show

165	the longest external auditory canals. Since the length of the external auditory canal is strongly
166	negatively correlated with the OBW (Extended Data 6), the inclusion of the largest crania in the
167	sample has lowered the mean OBW for the SH hominins. Given this relationship between
168	cranial size and the OBW, it is important to emphasize that our Neanderthal comparative
169	sample includes the smallest (La Quina H5) as well as two of the largest (La Chapelle-aux-
170	Saints 1, Amud 1) known Neanderthal crania (Supplementary Table 2).
171	
172	Results in the Neanderthals
173	The results revealed no statistically significant differences between the Neanderthal and modern
174	human means in any of the anatomical variables (Extended Data 7), nor the SPT (Fig. 2;
175	Extended Data 3) or OBW (Table 1, Fig. 3). Contrary to previous suggestions ²⁰ , our results for
176	the SPT indicate a similar best frequency in Neanderthals and modern humans. More
177	importantly, the OBW values for Neanderthals fall within the modern human range of variation,
178	except for La Chapelle-aux-Saints1, which is just below the lower limit of modern humans. At
179	the same time, Neanderthals show some differences from the SH sample in a few anatomical
180	variables (Extended Data 7), as well as the SPT at frequencies between 4-5 kHz (Fig. 2;
181	Extended Data 3) and the OBW, which is wider in Neanderthals (Table 1, Fig. 3) and extended
182	towards higher frequencies. These differences in the OBW can be explained by the significantly
183	lower values in Neanderthals than in the SH sample for a few anatomical variables, including
184	the volume of the <i>aditus</i> (V_{AD}), radius of the entrance of the <i>aditus</i> ($R_{AD(entrance)}$) and length of the
185	external auditory canal (L_{EAC}), which show negative correlations (especially L_{EAC}) with the OBW
186	(Extended Data 6).

187

188Discussion and Conclusions

189Our results show that the auditory capacities in Neanderthals do not differ from those in190modern humans, including the presence of an extended OBW in both species. Importantly, the

OBW in Neanderthals extends towards frequencies which primarily involve consonant 191 192 production³³, suggesting this may have been a significant component of their vocal 193 communication, one which would distinguish them from the pattern of largely vowel-based vocal 194 communication in chimpanzees³⁴ and, indeed, nearly all mammals³⁵. Although much of the 195 acoustic information in human speech is concentrated in the region up to around 2.5 kHz (e.g., the first two formant frequencies of the vowels), the region between 3-5 kHz is associated with 196 the production of high frequency consonants³³. Consonants in this frequency range mainly 197 consist of the voiceless plosives (stops), such as those associated with the sounds 198 corresponding to the English letters /t/ and /k/, and the voiceless fricatives including those 199 associated with the sounds corresponding to the English letters /f/, /s/ and /th/. While other 200 consonants do occur at lower frequencies, the high frequency consonants are particularly 201 salient features in human speech. Voiceless plosives are present in over 90% of the world's 202 languages, with /t/ and /k/ being the most strongly represented³⁶. Similarly, fricative consonants 203 are found in more than 90% of the world's languages, and among the fricatives, /s/ is the most 204 common voiceless fricative, present in over 80% of languages, with /f/ also occurring at high 205 206 frequencies³⁶. Importantly, because these consonants are voiceless, they do not propagate 207 across the landscape and are limited to short-range intraspecific communication. Indeed, voiceless consonants may represent "...the evolutionarily oldest group of consonants"³⁷. 208 In addition, there is evidence that vowels and consonants are processed separately in the 209 human brain³⁸ and that the latter are particularly important for determining word meaning³⁹. In 210 211 modern human adults with age-related hearing loss, the high frequency consonants are also the first to be affected, with a concomitant loss in intelligibility and comprehension⁴⁰, demonstrating 212 a direct link between the OBW and language comprehension. This relationship between 213 214 consonant production and an extended OBW helps make the link between audition and 215 vocalization explicit and helps explain how an extended OBW corresponds to a vocal communication system in Neanderthals that was as complex and efficient as human speech. 216

217 Compared to their evolutionary ancestors from the Sima de los Huesos, the 218 Neanderthals show an increase in both the OBW and behavioral complexity, including sophisticated subsistence strategies, such as the exploitation of a wide variety of resources⁴¹⁻⁴³, 219 the systematic production and use of fire^{44,45}, the possible construction of musical instruments⁴⁶ 220 and the expression of symbolic behaviors⁴⁷⁻⁵⁰, including potential evidence for parietal art⁵¹⁻⁵³. 221 Importantly, this relationship between an increase in both behavioral complexity and the OBW 222 was also found in previous studies of the auditory capacities in early hominins (Australopithecus 223 and *Paranthropus*) and the SH hominins. The early hominins show an OBW that was similar to 224 that in chimpanzees²¹, while the SH hominins show an OBW that was considerably extended 225 compared with the early hominins, along with an increase in behavioral complexity. This 226 increased behavioral complexity in the SH hominins includes sophisticated stone tool 227 manufacture (Mode 2)⁵⁴, evidence of communal hunting of large game species⁵⁵, incipient 228 mortuary practices⁵⁶ and conspecific care^{57,58}. In our opinion, this is strong evidence in favor of 229 the coevolution of increased behavioral complexity and increased efficiency in vocal 230 communication throughout the course of human evolution. 231

232 The narrower OBW in the SH hominins, with respect to Neanderthals and modern 233 humans, indicates that the increase in the OBW occurred in both Neanderthals and modern humans after their last common ancestor. This may have evolved through an evolutionary 234 process of adaptive convergence for an increasingly efficient vocal communication system in 235 both lineages. Alternatively, it could be attributed to potential gene flow between the two 236 237 lineages. We would point out that the Krapina Neanderthals, who show an extended OBW similar to modern humans, are approximately 130 kya⁵⁹ and predate the estimated earliest 238 evidence of gene flow between these two species⁶⁰. This would seem to reject the idea that the 239 240 Neanderthals acquired their extended OBW through gene flow from modern humans. 241 Nevertheless, it would still be possible that modern humans acquired their extended OBW through gene flow from the Neanderthals. Future study of the auditory capacities in early H. 242

sapiens (i.e. older than 100 kya) can reject or reinforce this possibility. If the hypothesis of gene
 flow were rejected, then the only explanation for the extended OBW in both species would be an
 adaptive convergence for an increasingly efficient vocal communication system.

In summary, our results reinforce the idea that the Neanderthals possessed the same 246 247 auditory capabilities as *H. sapiens* necessary to support a vocal communication system as complex and efficient as human speech. It is true that the presence of the anatomical 248 "hardware" necessary to produce human-like speech in the Neanderthals does not necessarily 249 imply the presence of similar mental "software" as in H. sapiens, and, by implication, the 250 presence of a language with the same characteristics as that of our own species¹. Nevertheless, 251 our results, together with recent discoveries indicating symbolic behaviors in Neanderthals 252 reinforce the idea that they possessed a type of human language, one that was very different in 253 its complexity and efficiency from any other oral communication system used by nonhuman 254 255 organisms on the planet.

256 Methods

257 Fossil specimens

Sima de los Huesos sample (Spain): The site is dated to a minimum of 430 kilo-annum (ka), and 258 259 the SH fossils represent early ancestors of the later Neanderthals^{5,32}. Cranium 3: very complete calvarium belonging to an adolescent⁵, preserving both temporal bones. In this study we have 260 used the left one (AT-4103) because it is the best preserved. Cranium 4: complete 261 neurocranium belonging to an adult⁶¹ preserving both temporal bones. This specimen suffered 262 263 from a bilateral exostosis in both external auditory canals and was considered to have suffered conductive hearing loss⁶². Nevertheless, a more recent and thorough study demonstrated that 264 the exostoses did not modify the auditory pattern of this individual³² and so it can be used in this 265 study. We have used the right side because it is slightly better preserved. Cranium 5: a 266 267 complete adult skull preserving both temporal bones, the right malleus (AT-666) and the left stapes (AT-667)⁶¹. For this study we have used the left temporal bone because it is better 268

269 preserved. Cranium 7: Very complete calvarium of a young adult individual preserving both 270 temporal bones⁵. We have used the left temporal bone (AT-804) because it is complete. **Cranium 8**: Most of the left side of a calvaria of an adult individual, including the temporal bone 271 (AT-433)⁵. Cranium 13: Very complete calvarium of an adult individual preserving the right 272 273 temporal bone (AT-2872+AT-2873). Cranium 15: Very complete skull of an adult individual which includes the complete right temporal bone (AT-5528). Cranium 16: Partial cranium of a 274 late adolescent which preserve both temporal bones. In this study the left temporal bone (AT-275 6969) was used because it is the most complete one. AT-1907: Isolated right temporal bone of 276 a late adolescent individual with the associated malleus (AT-3746) and incus (AT-3747)²¹. AT-277 5518: Isolated stapes associated with temporal bone AT-5500. 278

The bony structures of the outer and middle ear (including the tympanic cavity and the ear ossicles) are completely ossified and fully formed at birth⁶³, and the external auditory canal reaches adult size by the age of 9 years in modern humans⁶⁴. Thus, all the bony structures included in the present study have already reached their adult dimensions prior to adolescence in our own species, justifying the inclusion of adolescent individuals in this study.

Neanderthal sample: Krapina sample (Croatia): This site is dated to around 130 ka⁵⁹. Two 284 285 specimens have been included in this study: the adult right temporal bone Kr.38.1 (Tp.2) and the late adolescent left temporal bone Kr39.3 (Tp.4)⁶⁵. Amud1 (Israel): This is a very complete 286 skull of an adult individual⁶⁶, including the complete left temporal bone. The age-estimates for 287 the Mousterian levels of Amud Cave range from 50-70 ka⁶⁷ and the level where the Amud1 288 cranium was found has been dated to 53 ± 8 ka⁶⁸. La Chapelle-aux-Saint1 (France): This is a 289 very complete skull of an adult individual⁶⁹ and the right temporal bone was used in this study. 290 The site has been estimated to date between 47-56 ka⁷⁰. La Quina H5 (France): This is a very 291 292 complete calvarium of an adult individual which preserves the left temporal bone⁷¹. The site of 293 La Quina has been dated in around 48-42.5 ka⁷².

294 <u>CT Parameters</u>

295 The Neanderthals and the SH fossils were CT scanned at different institutions and at different 296 resolutions (Supplementary Table 3). The CT scans for the Krapina Neanderthals (Kr.39.3 and Kr.38.1) were downloaded from the NESPOS platform (www.nespos.org) and the resolution is 297 lower than that for the other fossil specimens. To ensure the accuracy of our measurements in 298 299 these individuals, we have compared our values for the A_{OW}, A_{TM} and the distance between the center of the tympanic groove and the center of the oval window in Kr.39.3 with the values 300 previously published¹⁹, which were taken on microCT images. Our values for these variables 301 are very similar to those published¹⁹, indicating that our measurements in this individual were 302 not affected by the lower resolution of the CT scans. 303

304

305 <u>Segmentation process and anatomical measurements</u>

Virtual reconstructions and metric data collection were performed using the Mimics © v.18 306 software following the standard procedure established in previous works^{15,21,22}. We performed 307 semi-automatic segmentation relying on the half maximum height (HMH) thresholding protocol⁷³ 308 to establish the boundary between the temporal bone and the air-filled cavities. This boundary 309 was calculated as the average between the threshold for the external auditory canal and that of 310 311 the mastoid air cells. Manual segmentation was necessary in the middle ear cavity of the specimen Krapina 39.3 since it was broken. In the case of Krapina 38.1 it was not possible to 312 segment the mastoid air cells because they were filled with sediment. The anatomical 313 measurements used to calculate the sound power transmission have been defined in^{15,21,22} and 314 are defined in Extended Data 8 and Extended Data 9. Most of the measures necessary for 315 estimating the sound power transmission were taken on the 3D models using Mimics ©. 316 However, the areas of the entry and exit of the aditus ad antrum, the cross-sectional area of the 317 318 external auditory canal and the area of the oval window were measured in 2D images with 319 Photoshop © v.5 (Extended Data 8).

321 Calculation of the Sound Power Transmission and Occupied bandwidth

322 The use of electrical circuits to model mechanical and acoustic systems is well known in acoustic engineering⁷⁴. This approach has been used by several researchers to develop 323 electrical circuit models of the outer and middle ears^{75,76}, which constitutes the basis of the 324 model used in the present study. This model is a slightly modified version²¹ of the model 325 published in⁷⁶, to take into account more recent knowledge found in the literature. The electrical 326 circuit which models the acoustic and mechanical behavior of the external and middle ears is 327 built with two-port sections described with 'transmission matrices'77, to make the implementation 328 with MATLAB © R2019a easier. This comprehensive model is used to estimate the sound 329 power transmission through the outer and middle ears as described below. 330

The concha is modeled as an exponential horn. The smaller cross-sectional area is equivalent to the cross-sectional area of the ear canal.

- The ear canal is modeled as a tube with constant cross-sectional area.

The middle ear cavity is modeled in the same way as that proposed in previous works^{21,75,76}. 334 -335 The compliance of the tympanic air space located directly behind the tympanic membrane is modeled with a capacitor, which is connected in parallel to the equivalent electrical circuit of a 336 337 Helmholtz resonator representing the aditus ad antrum and the mastoid air cell cavities, 338 composed of a capacitor, representing the compliance of the mastoid air cells, a resistance and an inertance, representing the aditus ad antrum. These parameters are calculated from physical 339 340 measurements. For modeling purposes, we have considered the entrance to the epitympanum as representing the entrance to the aditus ad antrum and the exit into the mastoid antrum as 341 representing the exit from the aditus ad antrum (Extended Data 8). Subsequently, we have 342 calculated the radius of the neck of the resonator as the average between the radii at both 343 extremes (entrance and exit) of the aditus ad antrum. The overall middle ear cavity model is 344 345 connected in a series branch, and is an antiresonant circuit, which gives rise to a notch at the antiresonant frequency, which depends on the physical parameters. 346

No modifications have been introduced in the tympanic membrane-malleus network, which is
 the same as that used in previous works^{21,75,76}.

- The ossicular chain is modeled with a series branch composed of a resistance, compliance and mass, that jointly model the mass of the malleus and incus, the compliance and damping with the supporting ligaments. After that, a transformer is included, and the transformer parameter is the ratio of the malleus-incus functional lengths. A shunt branch is connected to the transformer, with a capacitor and a resistor, that accounts for the loss of stapes velocity from compression of the ossicular joints. The ossicular chain model is completed with the mass of the stapes, and another transformer, whose parameter is the stapes footplate area.

Finally, the model is completed by the annular ligament block, where no modifications have
 been introduced compared to Rosowski's model, and the cochlear input impedance (Z_c). The
 cochlear input impedance has been considered resistive, taking into account the cochlear input
 impedance measurements in 11 human cadaver ears published by Aibara and colleagues⁷⁸,
 who found a flat, resistive cochlear input impedance with an average value of 21.1 GΩ from 0.1 5.0 kHz.

The reliability of this model was assessed in²¹, by comparing the theoretical middle ear pressure gain (GME) obtained for modern humans with those measured experimentally in⁷⁸, finding no significant differences.

The electrical parameters used in the model are associated with anatomical structures of the 365 366 ear²². Some of these parameters are related with skeletal structures accessible in fossils, while others are related with soft tissues which are not preserved in fossil specimens. The respective 367 value for modern humans^{76,78} has been used for the soft-tissue related variables which cannot 368 be measured in fossil specimens. The use of modern human values for the soft-tissue related 369 variables may introduce a bias in the results for the SPT (as well as the OBW) in the fossil 370 specimens. However, previous studies^{14,21,22} have shown that the use of these same modern 371 human values for the soft-tissue variables to model the auditory capacities in chimpanzees does 372

not make the resultant SPT more human-like. Rather, the SPT results are fully compatible with the empirical audiogram-based results in chimpanzees^{14,21,22}. Thus, it is reasonable to conclude that the use of modern human soft-tissue values does not bias the SPT results in the fossil specimens towards modern humans.

This model, where each block is modelled with transmission parameters, makes it possible to calculate the sound power at the entrance to the cochlea, assuming an incident plane wave of constant intensity stimulating the auditory system. The sound power at the entrance to the cochlea is calculated using the stapes velocity and the cochlear impedance. The results for sound power transmission presented in this paper are calculated for an incident plane wave intensity of $I=10^{-12}$ W/m², and are presented in dB relative to P₀=10⁻¹⁸W.

The bandwidth of the external and middle ears, considered as a communication channel, is 383 directly related to the channel capacity, an indicator of the amount of information that can be 384 385 transmitted through the channel with arbitrarily low error rate. For real channels, there are different definitions of channel bandwidth that could be considered, which could provide slightly 386 different values, but are equally useful when used for comparative purposes. In this paper, we 387 have relied on the occupied bandwidth⁷⁹, defined as the bandwidth such that under the lower 388 cutoff frequency and above the upper cutoff frequency, the average power is equal to a 389 390 specified percentage, $\beta/2$, of the total average power. In this paper, $\beta/2$ is considered as equal to 5%, such that the occupied bandwidth includes the range of frequencies which contains at 391 least 90% of the sound power transmitted to the inner ear. 392

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394 Statistical analysis

To test for statistical differences between samples we relied on the Exact test and we have performed a Holm-Bonferroni correction for P values for the OBW comparisons. All statistical analyses were carried out using the Past 4.02 software package.

398 **References**

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Data availability: All the technical data regarding the CT scans as well as the measurements of 3D reconstructions necessary to reproduce our work are offered within the manuscript and Supplementary Information. Computed tomography scans of fossil material from Krapina are available at the Nespos platform (www.nespos.org). Computed tomography scans of the fossil specimens La Chapelle-aux-Saints 1 and La Quina H5 are the property of Musée de l'Homme (France), that for Amud 1 is the property of Tel Aviv University (Israel) and the fossil specimens from the Sima de los Huesos are property of Junta de Castilla y León (Spain), to whom application must be made for access. Interested readers may contact the authors, who will assist in getting in touch with the relevant institutions. The CT scans and 3D models of recent Homo sapiens are available at Morphosource (www.morphosource.org).

- **Competing interests:** Authors declare no competing interests.

599 Figure legends

Figure 1. Anatomical reconstruction of the external and middle ear cavities in Neanderthals.
 External auditory canal (green), middle ear cavity (blue), *aditus* (orange) and mastoid air cells
 (purple/grey). Mastoid air cells of Krapina 38.1 (grey) is represented using the mastoid air cells
 of Krapina 39.3.

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Figure 2. Sound power transmission in modern humans, the SH hominins and Neanderthals.
 Continuous lines represent the means and colored areas show ± 1 s.d.

Figure 3. Occupied bandwidth (OBW) in SH, Neanderthals and modern humans. Means
(triangles), medians (vertical black bars), Q1 (25%) to Q3 (75%) (boxes), and individual values
(circles). Cr = SH Crania; Kr = Krapina; LCh= La Chapelle-aux-Saints1; Am1 = Amud1; LQ5 =
La QuinaH5.

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Table 1. Occupied bandwidth values and Exact test comparisons for *H. sapiens*, Neanderthals and Sima de los Huesos hominins (SH).

	Lower limit	Upper limit	Bandwidth
	(Hz)	(Hz)	(Hz)
<i>H. sapiens</i> mean \pm s.d.	813 ± 89	4316 ± 367	3503 ± 403
Range (<i>n</i> = 10)	660–1010	3815–5000	2980–4340
Neanderthal mean ± s.d.	810 ± 78	4035 ± 124	3225 ± 187
Range (<i>n</i> = 5)	715–920	3880-4200	2960–3485
SH mean ± s.d.	758 ± 60	3584 ± 242	2826 ± 264
Range (<i>n</i> = 9)	635–820	3170–3965	2400-3265
Exact test			
SH vs Neanderthals	0.280	0.004	0.012
SH vs H. sapiens	0.150	<0.001	0.001
Neanderthals vs H. sapiens	0.929	0.196	0.206
Holm-Bonferroni corrected P			
SH vs Neanderthals	0.784	0.028	0.072
SH vs H. sapiens	0.750	<0.001	0.008
Neanderthals vs H. sapiens	0.929	0.784	0.784

In bold P < 0.05