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Dietary quality and cardiometabolic indicators in the USA: A comparison of the Planetary Health Diet Index, Healthy Eating Index-2015, and Dietary Approaches to Stop Hypertension

Citation for published version:

Frank, S, Jaacks, L, Avery, CL, Adair, LS, Meyer, K, Rose, D & Taillie, LS 2024, 'Dietary quality and cardiometabolic indicators in the USA: A comparison of the Planetary Health Diet Index, Healthy Eating Index-2015, and Dietary Approaches to Stop Hypertension', *PLoS ONE*, vol. 19, no. 1, e0296069, pp. 1-16. https://doi.org/10.1371/journal.pone.0296069

Digital Object Identifier (DOI):

10.1371/journal.pone.0296069

Link:

Link to publication record in Edinburgh Research Explorer

Document Version: Peer reviewed version

Published In: PLoS ONE

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3	Dietary quality and cardiometabolic indicators in the USA: A
4	comparison of the Planetary Health Diet Index, Healthy Eating Index-
5	2015, and Dietary Approaches to Stop Hypertension
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28 ABSTRACT

29 Background. The Planetary Health Diet Index (PHDI) measures adherence to the sustainable dietary guidance proposed by the EAT-Lancet Commission on Food, Planet, Health. To justify 30 incorporating sustainable dietary guidance such as the PHDI in the US, the index needs to be 31 compared to health-focused dietary recommendations already in use. The objectives of this study 32 33 were to compare the how the Planetary Health Diet Index (PHDI), the Healthy Eating Index-34 2015 (HEI-2015) and Dietary Approaches to Stop Hypertension (DASH) relate to cardiometabolic risk factors. 35 Methods and Findings. Participants from the National Health and Nutrition Examination 36 37 Survey (2015-2018) were assigned a score for each dietary index. We examined disparities in dietary quality for each index. We used linear and logistic regression to assess the association of 38 39 standardized dietary index values with waist circumference, blood pressure, HDL-C, fasting 40 plasma glucose (FPG) and triglycerides (TG). We also dichotomized the cardiometabolic indicators using the cutoffs for the Metabolic Syndrome and used logistic regression to assess the 41 42 relationship of the standardized dietary index values with binary cardiometabolic risk factors. We observed diet quality disparities for populations that were Black, Hispanic, low-income, and 43 low-education. Higher diet quality was associated with improved continuous and binary 44 45 cardiometabolic risk factors, although higher PHDI was not associated with high FPG and was the only index associated with lower TG. These patterns remained consistent in sensitivity 46 analyses. 47 48 Conclusions. Sustainability-focused dietary recommendations such as the PHDI have similar

49 cross-sectional associations with cardiometabolic risk as HEI-2015 or DASH. Health-focused

- 50 dietary guidelines such as the forthcoming 2025-2030 Dietary Guidelines for Americans can
- 51 consider the environmental impact of diet and still promote cardiometabolic health.

52 Introduction

53 Cardiovascular disease (CVD) is the number one cause of morbidity [1] and mortality [2] in the US. Poor dietary quality, in turn, is the number one risk factor for CVD [1]. Thus, 54 55 improvements in dietary quality could significantly lessen the burden of CVD in the US. Dietary guidelines are a set of recommendations designed to promote health and are often used 56 as the basis for food policies. In 2019, the EAT-Lancet Commission on Food, Planet, Health 57 introduced a "universal healthy reference diet," [3] to jointly address diet-related disease and the 58 environmental impact of food production. The diet emphasizes one rich in plant-sourced foods 59 and low in animal-sourced foods using suggested amounts for a diet of 2500 kilocalories per day. 60 61 The Planetary Health Diet Index (PHDI) is a relatively new measure of dietary quality that incorporates recommendations on and is innovative in its consideration of sustainability and 62 63 health from the EAT-Lancet reference diet into a numerical index [4-7]. To justify incorporating 64 the EAT-Lancet Commission's climate-focused recommendations into US food policies, there is a need to assess the PHDI's performance as a predictor of cardiometabolic health and see how it 65 compares to dietary recommendations already in use. Two commonly used dietary indices in the 66 US are the Healthy Eating Index-2015 (HEI-2015) and an index based on Dietary Approaches to 67 Stop Hypertension (DASH). Like PHDI, HEI-2015 uses pre-defined thresholds to quantify 68 69 adherence to the Dietary Guidelines for Americans (DGAs) but does not discourage animalsourced foods [8]. DASH is designed to prevent and control hypertension, but unlike PHDI and 70 HEI-2015, DASH is scored on the distribution of component intake within the target population 71 72 [9]. Both HEI-2015 and the DASH index are associated with decreased risk of cardiometabolic morbidity and mortality in the US [10, 11]. 73

Additionally, there are well-documented dietary disparities by sex, income, education, 74 and race/ethnicity for both HEI-2015 [12] and DASH [13]. To our knowledge, there have been 75 no analyses of disparities in PHDI in the US. There is therefore a need to quantify the disparities 76 in dietary quality as measured by PHDI and compare to disparities in HEI-2015 and DASH. 77 The objectives of this study were to see how the PHDI correlates with HEI-2015 and 78 79 DASH. compare the performance of the three dietary indices in terms of prediction of binary cardiometabolic risk factors. We further examine socioeconomic disparities in diet quality as 80 measured by the three indices. 81

82 Materials and methods

83 Study population

84 The US National Health and Nutrition Examination Survey (NHANES) is a repeated 85 cross-sectional survey that uses a multistage probability design to sample the civilian, noninstitutionalized population residing in the 50 states and District of Columbia [14]. Two cycles of 86 87 NHANES are required to obtain reliable estimates of population-level means [15, 16], so we 88 included data from the two most recently available NHANES cycles unaffected by the COVID-89 19 pandemic. The study protocols of the NHANES are approved by the Research Ethics Review 90 Board at the National Center for Health Statistics (NCHS) [14]. This is a retrospective study of 91 data that were fully-anonymized before the authors accessed them. Because the de-identified 92 observational data from the National Health and Nutrition Examination Survey are publicly 93 available for download, this study received a determination of Not Human Subjects Research by the Institutional Review Board at [First Author's Home University]. 94 Eligible participants were non-pregnant or lactating individuals aged 20 years or older 95

96 who participated in the 2015-2016 or 2017-2018 NHANES cycle and for whom two days of

valid dietary intake data were available. Participants whose mean total energy intake was 97 <500kcal or >8000kcal/day were excluded [17]. 98

Assessment of Dietary Intake 99

Trained interviewers used the US Department of Agriculture Automated Multiple Pass 100 101 Method to gather 24-hour dietary recall data [18]. Participants were asked to recall all foods and beverages they consumed the previous day. Measuring guides were used to assist with estimating 102 103 portion sizes. The second dietary interview was conducted unannounced via phone 3-10 days after the initial face-to-face interview. 104

Dietary recall data were merged to the Food Patterns Equivalent Database (FPED), which 105 assigns foods to the 37 USDA Food Pattern Components using a food composition table. For 106 single-ingredient food items, FPED assigns foods directly to the corresponding component. For 107 108 foods with ingredients from more than one component, FPED disaggregates these items into their component ingredients' gram weights using standard recipe files [19]. 109 Dietary recall data were also used to derive total energy intake [20]. 110

111

Planetary Health Diet Index, PHDI

The Planetary Health Diet Index (PHDI) measures adherence to the recommendations of 112 the EAT-Lancet Commission Scientific Report [3] and is designed to provide 2500 113 kilocalories/day. The index consists of 14 equally-weighted components worth 10 points each 114 115 (Table 1, S1 Table). Six of these components (whole grains; whole fruits; non-starchy vegetables; nuts and seeds; legumes; and unsaturated oils) were encouraged and eight (starchy 116 vegetables; dairy; red and processed meat; poultry; eggs; fish; saturated oils and trans fats; added 117 sugar and fruit juice) were discouraged. The theoretical range of the PHDI is 0 to 140, with a 118 119 higher score indicating better adherence.

120 Healthy Eating Index, HEI-2015

The Healthy Eating Index (HEI-2015) is a quantitative measure of adherence to the US 121 DGAs, which are dietary recommendations published by the federal government and used as the 122 basis for federal food and nutrition policy [21]. HEI-2015 was calculated based on scores for 13 123 124 food components (Table 1): nine adequacy components, for which intake was encouraged (total fruits including fruit juice; whole fruits; total vegetables; greens and beans; dairy; total protein 125 126 foods; seafood and plant proteins; and ratio of unsaturated: saturated fatty acids) and four 127 moderation components for which intake was discouraged (refined grains; sodium; added sugars; and saturated fats). Participant intakes for each food group were scored based on energy-adjusted 128 129 food intake (amount per 1000 kilocalories). The minimum and maximum scoring criteria for each food group are described in detail elsewhere, and participant intakes between the minimum 130 and maximum were scored proportionately [22, 23]. Unlike PHDI and DASH, these components 131 are not weighted equally, with seven components (whole grains; dairy; ratio of unsaturated: 132 saturated fatty acids; refined grains; sodium; added sugars; saturated fats) assigned a range of 0-133 10 points, and six components (total fruits; whole fruits; total vegetables; greens and beans; total 134 135 protein foods; seafood and plant proteins) assigned a range of 0-5 points. Scores were then summed to create the total score (theoretical range: 0 to 100, with a higher score indicating better 136 adherence) [24]. 137

Dietary Approaches to Stop Hypertension, DASH

Dietary Approaches to Stop Hypertension (DASH) is specifically designed to maintain a
healthy blood pressure and has been adapted in settings throughout the globe. The scoring
criteria for DASH is based on a total of eight categories (Table 1), five of which were
encouraged (fruits; vegetables; whole grains; nuts and legumes; and low-fat dairy) and three of

- 143 which were discouraged (sodium; sugar-sweetened beverages; and red and processed meat).
- 144 Scores for each category were assigned by quintile of energy-adjusted food group intake. DASH
- scores can range from 8 to 40, with a higher score indicating better adherence [23, 25].

Table 1: Comparison of the dietary components of the Planetary Health Diet Index (PHDI), HealthyEating Index-2015 (HEI-2015) and Dietary Approaches to Stop Hypertension (DASH)

Dietary Components	PHDI*	HEI-2015 [*]	DASH [‡]	
Encouraged components				
Grains	Whole grains Whole grains		Whole grains	
Emito	Whole fruit	Whole fruit [†] (excluding juice)	Total fruit (including juice)	
FIUIUS	(excluding juice)	Total fruit [†] (including juice)		
Vegetables	Vegetables (excluding starchy)	Total vegetables [†]	Total vegetables	
vegetables		Greens and beans [†]		
Drotains	Nuts	Total protein foods [†]	Total nuts and legumes	
TIOCEIIIS	Legumes	Seafood and plant proteins †	Total huts and regumes	
Dairy		Total dairy	Low-fat dairy	
Fats & oils	Unsaturated oils	Fatty acids (PUFAs + MUFAS)/ SFAs		

Discouraged components

Grains		Refined grains	
Vegetables	Starchy vegetables		
	Red/processed meat		
Proteins	Poultry		Red/processed meat
	Eggs		
	Fish		
Dairy	Total dairy		
Fats & oils	Saturated oils and <i>trans</i> fat	Saturated fats	
Sugar	Added sugar and fruit juice	Added sugars (excludes fruit juice)	Sugar-sweetened beverages
Sodium		Sodium	Sodium

* All dietary pattern component scores range 0-10 unless otherwise noted

[†] Component score range: 0-5

[‡]All component score range: 1-5

146 Cardiometabolic Risk Factors

We examined the cardiometabolic risk factors that are used as the constituent criteria for 147 the clinical definition of Metabolic Syndrome [26]. These cardiometabolic risk factors were: 148 high waist circumference, high blood pressure, reduced high-density lipoprotein cholesterol 149 (HDL-C), high fasting plasma glucose, and elevated fasting triglycerides. 150 151 Anthropometrics and blood samples were taken in the Mobile Examination Center (MEC) according to standardized protocol. NHANES has survey weights that apply to the 152 subsample of participants who participated in the MEC exams. The NHANES anthropometric 153 154 survey collected data on waist circumference (in centimeters, cm) and blood pressure (in mm Hg) [27]. Blood pressure was measured three consecutive times after a five-minute rest. We used 155 156 the average of the second and third readings [28] to calculate systolic and diastolic blood pressure. High density lipoprotein (HDL-C, mg/dL) was measured in venous blood. 157 Additionally, in the laboratory subsample fasting blood-based biomarkers were collected 158 from participants who reported in the morning session after an overnight fast; additional survey 159 weights account for the fasted laboratory subsample. Fasting plasma glucose (FPG) and fasting 160 triglycerides were measured in this blood panel and were available in mg/dL [28]. 161 162 In addition to the continuous values, all variables were dichotomized using the criteria of cardiometabolic risk in the definition of Metabolic Syndrome (MetS) [26] (Table 2). 163 164

Cardiometabolic Risk Factor	Threshold
High waist circumference	≥102 centimeters in males >88 centimeters in females
High blood pressure	Systolic blood pressure \geq 130 and/or diastolic blood pressure \geq 85 mm Hg OR use of antihypertensive medication
Low high-density lipoprotein cholesterol	<40 mg/dL (1.0 mmol/L) in males <50 mg/dL (1.3 mmol/L) in females OR use of cholesterol medication
High fasting plasma glucose	≥100 mg/dL OR use of insulin or other antidiabetic medication
High fasting triglycerides [†]	$\geq 150 \text{ mg/dL}$

Table 2: Criteria used to define binary cardiometabolic risk factor outcomes

[†]Analyses of elevated fasting triglycerides restricted to participants that did not report current cholesterol medication use

165 Covariates

166	All sociodemographic information was self-reported as part of a standardized
167	questionnaire. Age data were modeled in ten-year age categories. Income data were classified
168	using the Poverty Income Ratio (PIR), a measure of family income relative to the Federal
169	Poverty Level that accounts for household size. Income was categorized as PIR 0–185%, PIR
170	186–399%, PIR \geq 400%, and Missing (due to high missingness in self-reported income, 8.1%)
171	[29]. Education was reported in continuous years and classified as high school equivalent or
172	lower, some college, and college degree or higher [30]. Race/ethnicity data were self-reported
173	via categorical selection and classified as Non-Hispanic white, Non-Hispanic Black, Hispanic,
174	Non-Hispanic Asian, or Other race/ethnicity (including Multiracial) [29, 31].

175 Statistical Analyses

176	Because the three indices have different value ranges, in descriptive analyses, we rescaled
177	each index to have a range of 0 to 100. Bland-Altman plots were used to evaluate systematic
178	differences in the continuous index values [32]. Pearson's correlation coefficient was used to
179	assess correlation of continuous values, and radar plots were used to visually inspect how
180	individual components contributed to overall index values. To examine differences in index
181	score by sociodemographic characteristics, we used survey-weighted regression with the
182	standardized index scores as the dependent variable and dummy variables for each level of a
183	given sociodemographic characteristic (sex, age, income, education, race/ethnicity) as the
184	independent predictor variables.
185	In additional descriptive analyses, participants were classified into quintiles for each diet
186	index (PHDI, HEI-2015, and DASH). Survey-weighted tables were used to examine percent
187	agreement between quintiles of the three dietary indices and to examine the distribution of
188	sociodemographic characteristics across quintile of each dietary index.
189	To directly compare the dietary indices and to test for linear trends, we created a
190	standardized Z-score variable for each index (mean of zero, standard deviation of 1) and
191	included this variable as a continuous exposure in survey-weighted linear regression models. We
192	also used survey-weighted logistic regression models to estimate the association between diet Z-
193	score and each cardiometabolic risk factor dichotomized according to the Metabolic Syndrome
194	criteria (high waist circumference, high blood pressure, low HDL-C, high fasting plasma
195	glucose, high triglycerides). For both linear and logistic regressions, models were adjusted for
196	age, sex, income, education, race/ethnicity, and total energy intake.

In addition to our main analyses, we conducted several sensitivity analyses. We repeated 197 the main analyses using quintile of dietary pattern as the exposure. Stata's postestimation 198 margins, dydx command was used to estimate the change in probability of outcome by quintile 199 of dietary index. In additional sensitivity analyses, we systematically tested adding smoking 200 behavior, alcohol use, and physical activity into our final model (S1 Methods). No combination 201 202 of these additional covariates had a significant effect on model estimates, so they were excluded from the final models. 203

To mitigate concerns about reverse causality in participants who made dietary changes or 204 205 began medication use after receiving advice from a physician, we conducted additional sensitivity analyses for all blood pressure, HDL-C, and FPG models restricted to participants 206 who were not currently taking medication and who had never been diagnosed with the respective 207 208 risk factor (i.e., high blood pressure, low HDL-C, and high FPG) by a doctor.

All analyses were conducted in Stata 17.0 and p<0.05 was considered significant. 209

210

Results and discussion

Results 211

212 The final sample size was 8,128 participants for the laboratory-based sample and 3,933 213 participants for the fasted subsample (Table 3). The survey-weighted prevalence of 214 cardiometabolic risk factors ranged from 36.6% (95% CI: 34.1, 39.1%) for low HDL-C to 62.4% (59.8, 65.0%) for high FPG. The range of PHDI values was 21-125 on a scale from 0 to 140, 215 216 whereas HEI-2015 values ranged from 15 to 99 on a scale of 0-100, and DASH spanned the theoretical range of 8 to 40. All three dietary indices were approximately normally distributed. 217

Sex	
Male	49.1 (3954)
Female	50.9 (4174)
Mean age (SD), years	48.6 (15.6)
Educational attainment	
High school equivalent or lowe	r 35.5 (3425)
Some college	32.1 (2575)
College degree or greater	32.4 (2121)
Income	
Poverty-to-Income Ratio < 185%	28.6 (3212)
Poverty-to-Income Ratio 185 - 399%	28.3 (2217)
Poverty-to-Income Ratio \geq 400%	35.0 (1874)
Missing income information	8.1 (825)
Race/ethnicity	
Non-Hispanic white	e 64.1 (2949)
Non-Hispanic Black	k 11.1 (1873)
Hispani	c 14.8 (2054)
Asian, Multiracial, and Other Non-Hispanic race/ethnicitie	s 10.0 (1252)
Mean (SD) PHDI	62 (54-70)
Mean (SD) HEI-2015	53 (44-63)
Mean (SD) DASH	24 (19-28)
Prevalence of cardiometabolic risk factors	
Elevated waist circumference	61.0 (4815)
Elevated blood pressure	43.8 (4132)
Reduced high density lipoprotein cholesterol (HDL-C)	41.7 (3535)
Elevated fasting triglycerides [†]	36.6 (1672)
Elevated fasting glucose [†]	62.4 (2460)

Table 3: Characteristics of eligible participants with two days of dietary recall data, NHANES 2015-2018^{*}

* Values are weighted % (unweighted N) unless otherwise noted. Weighted % accounts for complex survey weights.
 † Results are from fasted subsample only and reflect use of fasted analytic weights.

For continuous index values, the unweighted correlation between HEI-2	015 and DASH
-----------------------------------------------------------------------	--------------

- 219 (ρ =0.78) was slightly stronger than that of PHDI and DASH (ρ =0.66) or PHDI and HEI-2015
- 220 (ρ =0.65). The Bland-Altman plots of differences for each pairwise comparison of values are
- shown in Fig 1. In survey-weighted tables, 45.8% (41.4, 50.4%) of those in the lowest quintile of
- HEI-2015 were in the lowest quintile of PHDI, 50.7% (44.1, 57.3%) in the lowest quintile of
- DASH and PHDI, and 62.8% (57.4, 67.9%) of those in the lowest quintile of HEI-2015 were
- also in the lowest quintile of DASH (Fig 2). For the highest quintile, the concordance was 61.6%
- 225 (57.2, 65.9%) for PHDI and DASH, 54.4% (49.1, 59.5%) for PHDI and DASH, and 69.0%
- (62.0, 75.1%) for HEI-2015 and DASH. When looking at all three indices, concordance was
- 227 34.7% (30.5, 39.2%) for the lowest quintile meaning that of participants in quintile 1, 34.7% of
- participants were in the quintile 1 for all three dietary values and 41.4% (36.6, 46.4%) for the
- 229 highest quintile.

Label. Fig 1

Title. Bland-Altman plots comparing rescaled PHDI, HEI-2015, and DASH values

Legend: Planetary Health Diet Index, Healthy Eating Index-2015, and Dietary Approaches to Stop Hypertension scores were rescaled from 0 to 100 for comparability

Label. Fig 2

Title. Percent agreement for quintiles of PHDI, HEI-2015 and DASH, NHANES 2015-2018

Legend. Values are percent in a given quintile of one index that are in the same quintile of the other index. Perfect correlation would be 20.00 % down the diagonal.

230 We observed several disparities in diet quality (**Table 4**). For all three rescaled dietary

indices, mean dietary quality was lower for men than for women, and tended to be lower for

- 232 younger individuals. People with low income and low education, as well as individuals who
- identified as Non-Hispanic Black, also had lower dietary quality as measured by all three indices.

- For PHDI and DASH only, there was also a significant gradient in dietary quality across income
- 235 category. Finally, individuals who identified as Hispanic had lower dietary scores as measured
- by PHDI or DASH, but not for HEI-2015.

~	PHDI	HEI-2015	DASH	
Sex				
Male [‡]	44.0 (42.8, 45.1)	44.2 (42.8, 45.5)	46.0 (44.4, 47.5)	
Female	47.1*** (46.1, 48.2)	47.2*** (45.6, 48.7)	51.7*** (49.8, 53.5)	
Age category				
20-29‡	43.0 (41.5, 44.5)	41.4 (39.4, 43.4)	43.5 (41.4, 45.6)	
30-39	45.0 (43.3, 46.6)	43.9** (42.1, 45.7)	45.9* (43.8, 48.1)	
40-49	44.9* (43.8, 46.0)	45.5*** (44.4, 46.7)	48.1*** (46.3, 49.8)	
50-59	46.1** (44.2, 48.1)	46.8*** (44.6, 49.1)	49.6*** (46.9, 52.3)	
60-69	47.1*** (45.7, 48.5)	48.2*** (46.3, 50.0)	52.2*** (50.4, 54.0)	
70-79	48.3*** (46.8, 49.8)	49.7*** (48.0, 51.3)	55.3*** (53.5, 57.1)	
80 or older	47.1*** (45.6, 48.6)	48.5*** (46.3, 50.6)	56.1*** (54.0, 58.3)	
Income				
PIR < 185% ‡	42.5 (41.4, 43.6)	42.3 (41.0, 43.6)	44.5 (42.9, 46.1)	
PIR 185 – 399%	45.0*** (43.7, 46.3)	44.9*** (43.3, 46.6)	48.1*** (46.2, 50.0)	
$PIR \geq 400\%$	48.5*** (47.3, 49.7)	48.9*** (47.1, 50.7)	53.0*** (50.9, 55.1)	
Missing	45.7** (43.7, 47.6)	46.4*** (44.0, 48.8)	49.2*** (46.6, 51.2)	
Education				
High school or lower [‡]	42.4 (41.5, 43.4)	42.0 (40.6, 43.4)	43.8 (42.1, 45.4)	
Some college	44.1** (42.9, 45.3)	43.9* (42.3, 45.5)	46.9*** (45.0, 48.8)	
College degree or greater	50.5*** (49.3, 51.7)	51.5*** (50.0, 53.1)	56.5*** (54.8, 58.1)	
Race/ethnicity				
Non-Hispanic white [‡]	46.2 (45.1, 47.3)	45.7 (44.2, 47.2)	49.9 (48.2, 51.6)	
Non-Hispanic Black	40.3*** (39.3, 41.4)	42.5*** (40.9, 44.2)	41.8*** (40.0, 43.6)	
Hispanic	44.4** (43.3, 45.4)	45.3 (43.8, 46.9)	47.9* (46.3, 49.5)	
Asian, Multiracial, and Other Non-Hispanic	49.0*** (47.3, 50.7)	49.7*** (47.9, 51.6)	51.6 (49.2, 53.9)	

Table 4: Predicted standardized PHDI, HEI-2015, and DASH value by sociodemographic characteristics, NHANES $2015-2018^{*,\dagger}$

* Distribution of dietary scores were standardized to 0 to 100 scale for each index.

[†] Values are from linear regression with standardized continuous score (range: 0-100) as the dependent variable and dummy indicators for sociodemographic category as independent variables.

[‡] Indicates reference category

* p < 0.05, **p < 0.01, ***p < 0.001 for the difference from the referent category

- 237 A higher score on all three dietary indices was associated with health-promoting
- differences in cardiometabolic risk factors. Waist circumference decreased by a range of 1.5 (0.5,
- 239 2.5) centimeters per 1-SD increase in PHDI to 2.5 (1.8, 3.2) centimeters per 1-SD increase in
- 240 DASH (Table 5). We observed comparable results using the binary risk factor thresholds: risk of
- high waist circumference decreased by 3.8 (1.9, 5.7), 4.4 (2.2, 6.5) and 4.7 (2.5, 7.0) percentage
- points per 1-SD increase in the PHDI, HEI-2015, and DASH values, respectively.

8	PHDI	HEI-2015	DASH	p-value [‡]
Waist circumference				I
Continuetory	-1.9***	-2.3***	-2.5***	0.02
Centimeters	(-2.5, -1.2)	(-3.0, -1.5)	(-3.2, -1.8)	0.03
Predicted probability of	-3.8***	-4.4***	-4.7***	0.54
high waist circumference	(-5.7, -1.9)	(-6.5, -2.2)	(-7.0, -2.5)	0.54
Blood pressure				
Systolic blood pressure,	-0.5	-0.9**	-1.2***	0.24
mm Hg	(-1.2, -0.1)	(-1.5, -0.4)	(-1.7, -0.6)	0.34
Diastolic blood pressure,	-0.2	-0.5	-0.7*	0.40
mm Hg	(-0.7, 0.2)	(-1.1, 0.1)	(-1.3, -0.2)	0.49
Predicted probability of	-2.9*	-3.7**	3.9***	0.60
high blood pressure	(-5.2, -0.6)	(-5.7, -1.7)	(-5.6, -2.1)	0.00
High-density lipoprotein cholesterol, HDL-C				
	1 9***	2 1***	1 5***	
mg/dL	(1325)	(1625)	(0, 9, 2, 1)	0.20
Predicted probability of	$-4 2^{***}$	-4 3***	-2 9**	
low HDL-C	(-5826)	(-58 - 28)	(-48 - 10)	0.19
Fasting plasma glucose	(5.0, 2.0)	(3.0, 2.0)	(1.0, 1.0)	
FPG				
- / 17	-0.2	-0.3	0.0	
mg/dL	(-1.2, 0.8)	(-1.7, 1.1)	(-1.6, 1.6)	0.64
Predicted probability of	-2.3	-2.8**	-2.4*	0.71
high FPG	(-4.8, 0.0)	(-4.8, -0.1)	(-4.5, -0.3)	0.71
Fasting triglycerides				
/ 1T [†]	-4.6*	-3.7*	-5.4*	0.50
mg/dL	(-9.2, -0.1)	(-8.0, -0.5)	(-9.3, -1.4)	0.59
Dradiated probability of	10	0.0	1.0	
high fasting trigly agrides	-1.0	(36.18)	-1.0	0.66
ingin fasting ungrycefides	(-4.1, 0.0)	(-3.0, 1.0)	(-3.4, 1.4,)	

Table 5: Predicted change in continuous and binary cardiometabolic risk factors per one standarddeviation change in PHDI, HEI-2015, and DASH, NHANES 2003-2018^{*}

* Survey-weighted regression models were adjusted for age, sex, income, education, race/ethnicity, and total energy intake.

[†]*p<0.05, ^{**}p<0.01, ^{***}p<0.001 for the difference from 0 as estimated by a Wald test.

[‡]P value for the joint comparison of the three indices as estimated by a Wald test.

For blood pressure, a 1-SD increase in PHDI and HEI-2015 scores were associated with lower systolic blood pressure, but not with lower diastolic blood pressure (**Table 5**). Higher DASH z-score was associated with lower systolic and diastolic blood pressure. In logistic regression, the predicted probability of high blood pressure decreased across the three indices, ranging from a reduction of 2.9 (0.6, 5.2) percentage points for a 1-SD increase in PHDI to 3.9
(2.2, 5.6) percentage points for DASH.

All three dietary indices were associated with higher HDL-C, ranging from 1.5 (0.9, 2.1)
mg/dL higher for a 1-SD increase in DASH to 2.1 (1.6, 2.5) mg/dL higher for HEI-2015 (Table
5). The predicted probability of low HDL-C decreased by a range of 2.9 (1.0, 4.8) percentage
points for a 1-SD increase in DASH to 4.3 (2.5, 5.8) percentage points for every 1-SD increase in
HEI-2015.
In the fasted subsample, there were no significant associations between dietary index zscore and FPG (Table 5). For the logistic regression analyses using the MetS cutoffs, the

predicted probability of high FPG decreased by 2.8 (0.1, 4.8) percentage points for a 1-SD

increase in HEI-2015 and 2.4 (0.3, 4.5) percentage points per 1-SD increase in DASH. We did
not observe a significant association between PHDI and the binary high FPG outcome.

not observe a significant association between PHDI and the binary high FPG outcome.

For fasting triglycerides, a 1-SD increase in DASH was associated with lower fasting
triglycerides (Table 5). PHDI and HEI-2015 were not associated with continuous fasting
triglycerides. We did not observe a significant association between any of the dietary indices and

262 predicted probability of elevated fasting triglycerides.

In sensitivity analyses of participants who had not been previously diagnosed with the given risk factor, the pattern of results was consistent with the main analyses for blood pressure (N=4921) and HDL-C (N=4580, **S2 Table**). For continuous results of FPG (N=3094), there was still a negative association between higher dietary index score and lower FPG for all three indices, although the magnitude of the results was attenuated. Additionally, in the sensitivity analyses for FPG, higher PHDI was associated with a lower predicted probability of high FPG

(S2 Table). Logistic regression using quintiles of PHDI as the exposure did not substantively
impact our conclusions (S2 Fig, S3 Table).

271 **Discussion**

To our knowledge, this is the first study to compare a dietary index created with both 272 273 health and environmental considerations, the PHDI, to two frequently used dietary indices 274 created with health considerations only (HEI-2015 and DASH). We found a moderate correlation 275 between the indices, with HEI-2015 and DASH more strongly correlated with each other than 276 with PHDI. As expected, across the indices, higher diet quality was correlated with lower predicted probability of cardiometabolic risk across the risk factors examined here. Importantly, 277 our results from the US are consistent with analyses of EAT-Lancet style dietary patterns in 278 other countries that have found that a higher intake of this dietary pattern was associated with 279 lower risk of type II diabetes in Mexico [33], the UK [5], and Denmark [34] and lower 280 prevalence of cardiometabolic risk in the UK [5] and Brazil [35]. Finally, we find that disparities 281 in diet quality are consistent across the three indices, highlighting the need for policies to 282 promote access to healthy diets for vulnerable populations in the US. 283

284 This study is among the first to examine how a dietary pattern that measures adherence to the EAT-Lancet guidelines, the PHDI, compares to two well-established ways of measuring 285 healthy diets. All three dietary indices share some common elements, such as encouraging high 286 287 intakes of fruit, vegetables, and whole grains, and discouraging intake of added sugar and saturated fat. Yet of the three indices examined here, population-level distribution of PHDI 288 values was lowest, and on the Bland-Altman plots were consistently lower than either HEI-2015 289 or DASH. This is likely because HEI-2015 is designed to reflect adherence to the Dietary 290 Guidelines for Americans that were created to promote health within the American cultural 291

context, and because DASH is designed to reflect hypertension risk, but its values are derived
based on the distribution of intake in the underlying NHANES population. In contrast, PHDI is
intended as a global reference diet that incorporates both diet and environmental risk using predefined cutpoints.

With this context in mind, the different ways that HEI-2015, DASH, and PHDI treat food 296 297 groups makes the same diet score differently. For example, PHDI discourages starchy vegetables, emphasizes a high intake of plant sources of proteins such as legumes, nuts and seeds 298 299 and has stricter scoring criteria for added sugars and saturated/trans fats than do HEI-2015 or 300 DASH, such that the median value for these components was zero on the PHDI. Both HEI-2015 and DASH consider starchy vegetables under the encouraged total vegetable component. HEI-301 2015 scoring does not use mutually-exclusive categories and triple counts beans and legumes in 302 the total vegetables, greens and beans, and seafood and plant proteins components [24], leading 303 to higher HEI-2015 values for the same quantity of food. Additionally, PHDI recommends a 304 305 maximum of 14 grams of red and processed meat intake per day. But the median value on the PHDI red and processed meat component was 5 out of 10, and the median intake of red and 306 processed meat was over four times that of the PHDI recommendations, at 62 grams. HEI-2015, 307 308 on the other hand, does not place an upper limit on meat intake and in fact encourages it in the total protein foods component, whose median value was the maximum 5 out of 5 points. Taken 309 310 together, the differences in index construction, in scoring criteria for added sugars and 311 saturated/trans fats, and in the conceptualization of red and processed meat as a discouraged or 312 an encouraged component could explain the differences in the distribution of PHDI, HEI-2015, 313 and DASH scores observed in our descriptive analyses.

Despite these differences, PHDI, HEI-2015, and DASH performed comparably in our 314 primary analyses. First, American dietary quality according to each index was well below the 315 theoretical maximum, aligning with other studies which similarly find that the average diets of 316 Americans do not conform to dietary recommendations. Second, and most importantly, higher 317 dietary quality as measured by each of these indexes is associated with lower cardiometabolic 318 319 risk factors [10, 36]. Third, the indices performed comparably with respect to correlations with the cardiometabolic risk factors we examined, although PHDI was the only index that was 320 321 associated with lower risk of elevated fasting triglycerides and was not as strongly associated 322 with blood pressure when comparing intake quintiles. For triglycerides, this could be due to the inclusion of starchy vegetables as a separate, discouraged component in PHDI as well as a lower 323 maximum saturated fat value. Both high intake of low-glycemic foods and saturated fats are 324 associated with high triglycerides [37, 38]. On the other hand, PHDI does not have a sodium 325 component where the other two indices do, and high sodium intake is a strong predictor of high 326 327 blood pressure [39]. Despite these differences, all three diets have healthy plant-based options, which have not only been associated with lower cardiometabolic risk in a large US-based cohort 328 study, but also have significant benefits for environmental sustainability [40]. 329

We also observed disparities in diet quality across the three indices, such that populations that were Black or that had low levels of income or education had poorer diet quality. The disparities for PHDI were consistent with those observed for HEI-2015 and for DASH. Indeed, diet disparities in the US have been well-documented [12, 41, 42] and are tied to a combination of physical, social, economic, and political factors that make it difficult to access and afford healthy food [43]. Due to these structural factors, vulnerable populations in the US will also be disproportionately impacted by increases in food prices caused by climate change, exacerbating

disparities in both food security and dietary quality [44]. These populations are also more 337 susceptible to other threats to health and livelihood caused by climate change, again due to 338 systematic inequalities that increase their risk of exposure to climate events and negatively 339 impact their capacity to adapt [45, 46]. Ideally, policy solutions would address upstream 340 determinants of health disparities and would lead to improvements in dietary quality measured 341 342 by PHDI, HEI-2015, and DASH. But from a holistic health perspective, addressing disparities in PHDI – which is designed to address both nutritional and environmental aspects of long-term 343 health – could have even greater benefits than using an index that considers nutrition alone. 344

345

Limitations and strengths

The present study has several limitations. Twenty-four hour recall data are subject to 346 measurement error and do not represent usual intake. However, we use data from two days of 347 dietary recall to obtain more information on participants' diets and restricted our sample to 348 participants with plausible total energy intakes. Additionally, PHDI is scored based on fixed 349 intakes for a 2500 kilocalorie/day diet, while HEI-2015 and DASH use the energy density 350 approach for scoring. NHANES is a cross-sectional survey, so we cannot establish causal 351 352 inference for long-term disease risk, and reverse causality is possible. We did, however, conduct rigorous sensitivity analyses in undiagnosed participants, which mitigate concerns about dietary 353 changes made at the advice of a physician. 354

This study also has several strengths. It is the first to use nationally-representative data to examine the correlation between the EAT-*Lancet* Commission's dietary recommendations and cardiometabolic risk factors in the US. It also provides valuable context by directly comparing the PHDI with two other well-established dietary indices.

359 Conclusion

Our analysis suggests that sustainability-focused dietary recommendations, which we operationalized using the PHDI, have similar benefits for cardiometabolic risk factors as HEI-2015 and DASH. There is a need for effective policy solutions to support healthy diets overall, and particularly for populations suffering from a high burden of diet-related disease. Including sustainability in dietary guidelines can have environmental co-benefits while promoting population-level cardiometabolic health.

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Supporting Information Captions

S1 Methods:

S1 Table.

Title. Scoring criteria for the Planetary Health Diet Index (PHDI)

Legend:

* Grams per day calculated from dry weight † To calculate the score for the legumes component, the non-soy and soy subcomponents are each weighted at 0.5

S1 Fig.

Title. Radar plots of median component scores for Planetary Health Diet Index (PHDI), Healthy Eating Index-2015 (HEI-2015), and Dietary Approaches to Stop Hypertension (DASH), National Health and Nutrition Examination Survey 2015-2018

Legend.

* All dietary pattern component scores range 0-10 unless otherwise noted † Component score range: 0-5

S2 Table.

Title. Predicted change in continuous and binary cardiometabolic risk factors per one standard-deviation score in Planetary Health Diet Index, Healthy Eating Index-2015, and Dietary Approaches to Stop Hypertension score among undiagnosed participants only, National Health and Nutrition Examination Survey 2003-2018^{*}

Legend.

* Survey-weighted regression models were adjusted for age, sex, income, education, race/ethnicity, and total energy intake.

[†] mg/dL = milligrams per deciliter

S3 Table.

Title. Predicted probability of cardiometabolic risk factor by quintile of Planetary Health Diet Index, Healthy Eating Index-2015, and Dietary Approaches to Stop Hypertension score, National Health and Nutrition Examination Survey 2003-2018^{*,†}

Legend.

* Survey-weighted logistic regression models were adjusted for age, sex, income, education, race/ethnicity, and total energy intake.

[†] * p<0.05, ** p<0.01, *** p<0.001

[‡]Contrast is from Stata's postestimation margins, dydx command and represents percentage point reduction in predicted probability from Quintile 1 to Quintile 5

S4 Table.

Title. Predicted probability of cardiometabolic risk factor by quintile of Planetary Health Diet Index, Healthy Eating Index-2015, and Dietary Approaches to Stop Hypertension value among undiagnosed participants only, National Health and Nutrition Examination Survey 2003-2018^{*,†}

Legend.

* Survey-weighted logistic regression models were adjusted for age, sex, income, education, race/ethnicity, and total energy intake.

[†] * p<0.05, ** p<0.01, *** p<0.001

[‡]Contrast is from Stata's postestimation margins, dydx command and represents percentage point reduction in predicted probability from Quintile 1 to Quintile 5

S2 Fig.

Title. Estimated change in predicted probability of cardiometabolic risk factors between Quintiles 1 and 5 of Planetary Health Diet Index, Healthy Eating Index-2015, and Dietary Approaches to Stop Hypertension score^{*,†}

Legend.

^{*} Logistic regression models were adjusted for age, sex, income, education, and race/ethnicity. , * p<0.05, ** p<0.01, *** p<0.001 for the estimated contrast between Quintile 1 and Quintile 5