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22 Abstract

23 Lake thermal stratification is important for regulating lake environments and ecosystems and is sensitive to climate change and human activity. However, 24 25 numerical simulation of coupled hydrodynamics and heat transfer processes in deep 26 lakes using one-dimensional lake models remains challenging because of the 27 insufficient representation of key parameters. In this study, Lake Qiandaohu, a deep and warm monomictic reservoir, was used as an example to investigate thermal 28 29 stratification via an improved parameterization scheme of the Weather Research and 30 Forecast (WRF)-Lake. A comparison with in situ observations demonstrated that the 31 default WRF-Lake model was able to simulate well the seasonal variation of the lake 32 thermal structure. However, the simulations exhibited cold biases in lake surface 33 water temperature (LSWT) throughout the year while generating weaker stratification 34 in summer, thereby leading to an earlier cooling period in autumn. With an improved 35 parameterization (i.e., via determination of initial lake water temperature profiles, 36 light extinction coefficients, eddy diffusion coefficients and surface roughness 37 lengths), the modified WRF-Lake model was able to better simulate LSWT and thermal stratification. Critically, employing realistic initial conditions for lake water 38 39 temperature is essential for producing realistic hypolimnetic water temperatures. The 40 use of time-dependent light extinction coefficients resulted in a deep thermocline and 41 warm LSWT. Enlarging eddy diffusivity led to stronger mixing in summer and further 42 influenced autumn cooling. The parameterized surface roughness lengths mitigated

43	the excessive turbulent heat loss at the lake surface, improved the model performance
44	in simulating LSWT, and generated a warm mixed layer. This study provides
45	guidance on model parameterization for simulating the thermal structure of deep lakes
46	and advances our understanding of the strength and revolution of lake thermal
47	stratification under seasonal changes.
48	
49	Keywords: Thermal stratification; Lake/reservoir; Numerical simulation; WRF-Lake;
50	Parameter sensitivity
51	

53 1. Introduction

Thermal stratification is an important physical process in many lakes/reservoirs 54 that modulates their response to climate change and maintains lake ecosystem 55 56 functions (Donis et al., 2021; Till et al., 2019). Stratification in lakes is mediated by 57 the complex non-linear interactions between the lake surface and the atmosphere. It is 58 influenced by atmospheric forcing and lake-specific properties, such as water 59 transparency and geomorphological (depth, surface area) factors (Kraemer et al., 2015; Richardson et al., 2017). Although recent studies have reported a mixing regime shift 60 61 in large lakes (Anderson et al., 2021), a fundamental and predictive understanding of 62 lake stratification is still obscured due to the scarcity of in situ observations. 63 Numerical simulations provide an opportunity to compensate for the space and time 64 limitations of observations (Piccolroaz et al., 2020) and diagnose the effects of potential impact factors (Woolway et al., 2020; Woolway et al., 2019). Growing 65 66 computational power further allows the coupling of lake and climate models to 67 simulate lake-atmosphere interactions. However, the demand for computing 68 efficiency in large-scale and long-term simulations restricts the application of 69 sophisticated three-dimensional hydrodynamic models (Zamani et al., 2021). One-70 dimensional (1D) lake models, which simplify lake physical processes but require 71 relatively minimal calibration, have been demonstrated to be effective tools for 72 simulating thermal stratification at larger scales (Bruce et al., 2018; Stepanenko et al., 73 2010).

74	There are two types of one-dimensional lake models based on different
75	numerical discretization methods: bulk (or integral) models and models based on the
76	finite-difference scheme (Stepanenko et al., 2010). For example, the Freshwater Lake
77	model (FLake) (Mironov, 2008), as a representative bulk lake model, has been
78	incorporated into a set of climate models with high computational efficiency
79	(Balsamo et al., 2012; Thiery et al., 2014b). Evaluations of the FLake model have
80	shown that it is suitable for reproducing LSWT and thermal structure in shallow lakes
81	but fails to simulate the development of thermal stratification in deep lakes as it does
82	not directly solve the physical processes (Huang et al., 2019; Thiery et al., 2014a).
83	The models based on the finite-difference scheme include eddy diffusion models and
84	turbulent-kinetic (k-ε) models (Perroud et al., 2009). Eddy diffusion models use semi-
85	empirical parameterization for the representation of turbulent fluxes. The k- ϵ models
86	solve two equations including turbulent kinetic energy and its dissipation rate. Eddy
87	diffusion models are inexpensive and proven to produce more accurate epilimnion
88	temperature, whereas the k-ɛ models are more complicated but performed better for
89	simulating thermocline depth (Guo et al., 2021). Eddy diffusion models are ideal
90	candidates for coupling to climate/earth system models because of their relatively low
91	cost and better representation of mixing processes compared to FLake. For example,
92	the Hostetler lake model and its successors have been implemented in the community
93	land surface model (CLM-Lake) (Oleson et al., 2013), common land model (CoLM-
94	Lake) (Dai et al., 2018a), and the Weather Research and Forecast model (WRF-Lake)

95 (Gu et al., 2015). Previous studies have shown that the lake component of WRF-Lake outperformed FLake and other lake models based on turbulent closure schemes when 96 97 simulating summer stratification in Lake Valkea-Kotinen, a small shallow lake in 98 southern Finland (Stepanenko et al., 2014). It has also been suggested that WRF-Lake 99 better describes the temporal variation of the total water column temperature than 100 FLake and CoLM-Lake in Lake Nam Co, a large and deep lake on the Qinghai-Tibet Plateau (Huang et al., 2019). Hostetler model shows smaller biases compared to those 101 102 utilizing more complicated algorithms when used for global application (Guo et al., 103 2021) and offers a marked degree of flexibility for specific lake applications 104 (Martynov et al., 2010).

105 The WRF-Lake model has been utilized to simulate physical processes in a 106 variety of lakes, from shallow to deep systems as well as those situated across warm and cold climatic regions (Gu et al., 2015; Gu et al., 2016; Huang et al., 2019; Su et 107 al., 2022; Wu et al., 2020; Xiao et al., 2016; Xu et al., 2016). The performance of 108 109 WRF-Lake is highly sensitive to key model parameters, notably the eddy diffusivity, 110 light extinction coefficient, temperature of maximum water density for brackish water 111 simulations, and surface roughness lengths of lake surface (Huang et al., 2019). These 112 parameters are lake-specific and simplified in WRF-Lake. Such simplification may be 113 sufficient for global-scale analysis, in which the uncertainties for specific lakes of a 114 single model can either be filtered directly or compensated by ensemble simulations 115 to reveal large-scale patterns. But for regional-scale simulations, the model

parameters related to lake characteristics have to be carefully tuned to achieve the 116 required accuracy. Gu et al. (2015) suggested that although LSWT in relatively 117 118 shallow lakes (e.g., Lake Erie) can be well captured, the vertical heat transfer process 119 predicted by WRF-Lake is underestimated for deep lakes (e.g., Lake Superior) and 120 leads to large biases in LSWT. Previous parameter sensitivity analyses demonstrated 121 that the deficiency of WRF-Lake can be improved by enlarging the eddy diffusivity by a factor of 10^2-10^5 , which has been proven to be effective in simulating the 122 123 thermal structure of Lake Nam Co (Huang et al., 2019; Wu et al., 2020). However, 124 another study at the Laurentian Great Lakes found that the parameterization scheme 125 presented by Gu et al. (2015) only exerted a limited influence on Lake Michigan (~ 147.5 m at the mooring site) (Xiao et al., 2016). A recent study based on the offline 126 127 version of WRF-Lake in the deep Reservoir Nuozhadu utilized a more elaborate 128 calibration for eddy diffusivity, in which an enhanced term was added and enlarged 129 for deep layers (Wang et al., 2019). Therefore, it is evident that the influence and 130 uncertainty of eddy diffusivity on simulating thermal structures in deep lakes are quite 131 diverse yet unclear, which requires proper calibration in different lakes.

Moreover, the light extinction coefficient can substantially alter the thermal structure of lakes by regulating the vertical heat transfer process (Read and Rose, 2013; Rose et al., 2016). For example, larger light extinction coefficients will lead to more absorbed shortwave radiation, resulting in thicker mixed layers (Wang et al., 2019); reducing light extinction coefficients in clearwater lakes may deepen the thermocline and generate a warmer mixed layer (Wu et al., 2020). Previous studies on
modifying the light extinction coefficient were primarily based on empirical
estimations that neglected the seasonal fluctuation of lake water clarity caused by
phytoplankton growth (Shatwell et al., 2016).

141 Lake Qiandaohu is located in the hilly areas of Zhejiang Province in eastern 142 China. It has a complex morphology and remains thermally stratified throughout the 143 year. Lake Qiandaohu is a warm monomictic lake and experiences short-term mixing in winter or spring (Zhang et al., 2014). Thermal stratification in Lake Qiandaohu 144 145 usually begins in March and becomes the most intense in August and lasts until 146 December, with a temperature difference between the surface and bottom ranging from 5 °C to 20 °C (Liu et al., 2019; Zhang et al., 2014). Although the phenology of 147 148 its stratification has been well documented, the influencing mechanisms and evolution of lake physical characteristics (e.g. the water clarity and mixing process) are not clear. 149 150 Proper parameterization in simulating the stratification in Lake Qiandaohu, as a 151 representative of deep and warm monomictic lakes, would help to understand the 152 evolution of the lake thermal structure. This study aims to: (1) improve the 153 fundamental understanding of the thermal structure of Lake Qiandaohu, (2) address 154 the influencing mechanism of key model parameters, and (3) provide references for 155 WRF-Lake application in deep lakes. To achieve these goals, six sensitivity 156 experiments were conducted in Lake Qiandaohu in 2016. This paper is organized as follows: Section 2 describes the WRF-Lake model configuration and numerical 157

experiments, and simulation results are presented in Section 3 and interpreted inSection 4. The major findings of this study are presented in Section 5.

160

161 **2. Numerical modeling and simulation**

162 **2.1. Site description**

Lake Qiandaohu (29.37-29.83 °N, 118.57-119.25 °E) is a deep reservoir in 163 eastern China and means "thousand island archipelagoes" in Chinese; it was 164 165 established in 1959. It is a nationally protected drinking water source, with a basin area of 10480 km² and a water volume of 178.4×10^8 m³ when the normal water 166 storage water level is 108 m (Zhang et al., 2014). It has a water surface area of 580 167 km² with a length and width of 150 km and 50 km, respectively, at its widest point 168 169 (Zhang et al., 2014). Lake Qiandaohu is morphologically complex and contains five 170 sub-basins, the deepest of which is 105 m, although the average depth of the lake is 171 only 30 m (Zhang et al., 2014).

172 **2.2. WRF model configurations**

The Weather Research and Forecasting model version 4.0 (hereafter referred to as "WRF") (https://www2.mmm.ucar.edu/wrf/users) is a state-of-the-art numerical weather prediction model. It solves the fully compressible Euler non-hydrostatic equations using the Arakawa-C staggered grid, second- or third-order Runge-Kutta time integration scheme, and terrain-following vertical coordinate system (Skamarock et al., 2019). 179 In this study, the WRF model was configured with two one-way nested domains with horizontal resolutions of 5 km and 1 km, centered on Lake Qiandaohu (Figure 1), 180 with 33 levels in the vertical direction. The selection of horizontal spacing considers 181 182 both the computational efficiency and complex shape of Lake Qiandaohu. After 183 several tests, the Yonsei University (YSU) planetary boundary layer scheme (Hong et 184 al., 2006) and topographic correction method proposed by Jiménez and Dudhia (2012) were chosen to reach the minimum bias of wind speed. The Betts-Miller-Janjic 185 186 cumulus convection scheme (Janjic, 1994) was applied to the outer 5 km resolution 187 domain only. The Noah land surface scheme (Chen and Dudhia, 2001), WSM6 microphysics scheme (Lim and Hong, 2006), revised MM5 surface layer scheme 188 189 (Jiménez et al., 2012) and UCM urban surface scheme (Chen et al., 2011) are also 190 affiliated. To improve the atmospheric simulation, the temperature, humidity, and 191 horizontal wind fields above the planetary boundary layer of the outer domain were 192 nudged to the ERA5 reanalysis by employing analysis nudging (Stauffer and Seaman, 193 1994).



Figure 1. (a) Simulation domain with terrain height (m). The inner (1 km) grids are outlined in black. (b) Inner WRF grid and terrain height (m). The black dot and red dot in (b) denote the location of the Chun'an weather station and Daba buoy, respectively.

199 2.3. Simulating lake processes using WRF-Lake

200 2.3.1. Lake scheme of WRF-Lake

The lake scheme of WRF-Lake was derived from CLM 3.5, which was 201 embedded into the WRF model (Gu et al., 2015). WRF-Lake is a 1D advection-202 203 diffusion lake model that discretizes the water column vertically into 0-5 snow layers, 204 10 water/ice layers, and 10 soil layers. The layer thickness of the first water/ice layer 205 is always set to 10 cm and the other layer thickness is adjusted with fixed proportion. 206 Taking the location of the Daba buoy as an example (~ 93 m), the layer depths (m) for the ten water/ice layers are listed as follows: 0.05, 4.75, 14.05, 23.35, 32.65, 41.95, 207 208 51.25, 60.55, 69.85, 79.15. The layer thickness is 0.1 m for the first layer and 9.3 m 209 for the rest. We also performed numerical experiments using a 25-layer discretization 210 scheme (as a grid-independence check, see Supporting Information 2). We found that 211 although the 25-layer scheme generated smoother vertical water temperature profiles, 212 the overall pattern of lake thermal structure was similar to that of the ten-layer one. 213 Variations in lake water level and area are not considered in WRF-Lake. 214 The exchange of heat, moisture and momentum between the lake and overlying 215 atmosphere is governed by the energy budget equation (Oleson et al., 2004) as:

216
$$\beta \vec{S}_g - \vec{L}_g - SH_{\uparrow} - LH_{\uparrow} - G_{\downarrow} = 0$$
(1)

217
$$\vec{S}_g = (1 - \alpha)SW_{\downarrow}$$
(2)

218
$$\vec{L}_g = \varepsilon \sigma T_g^4 - L W_\downarrow$$
(3)

where $\beta = 0.4$ is the fraction of the solar radiation absorbed at the lake surface; \vec{S}_g is 219 the net shortwave radiation (W m⁻²); \vec{L}_q is the net emitted longwave radiation (W m⁻²); 220 SH_{\uparrow} is the turbulent flux of sensible heat (W m⁻²); LH_{\uparrow} is the turbulent flux of latent 221 heat (W m⁻²); G_{\downarrow} is the net heat flux into the ground (W m⁻²); α is the lake surface 222 albedo; SW_{\downarrow} is the downward shortwave radiation (W m⁻²); $\varepsilon = 0.97$ is the lake 223 surface emissivity; $\sigma = 5.67 \times 10^{-8}$ W m⁻² K⁻⁴ (Stefan-Boltzmann constant); T_g is 224 the lake surface temperature (K); and LW_{\downarrow} is the downward atmospheric longwave 225 radiation (W m⁻²). Eqn. (1) is solved numerically by the Newton-Raphson iteration 226 method to derive T_g and turbulent fluxes. 227

228 Subsurface energy transport is governed by the 1D heat diffusion equation 229 (Hostetler and Bartlein, 1990; Oleson et al., 2004) and is expressed as follows:

230
$$\frac{\partial T}{\partial t} = \frac{\partial}{\partial z} \left[(k_m + k_e) \frac{\partial T}{\partial z} \right] - \frac{1}{c_w} \frac{d\phi}{dz}$$
(4)

where *T* is the lake temperature (K), *t* is time (s), $k_m = 1.43 \times 10^{-7} \text{ m}^2 \text{ s}^{-1}$ is the molecular diffusion coefficient, k_e is the eddy diffusion coefficient (m² s⁻¹), c_w is the volumetric heat capacity (J m⁻³ K⁻¹), and $\phi = (1 - \beta)\vec{S}_g \exp^{-\eta(max(z-z_s,0))}$ is the solar radiation (W m⁻²) penetrating to depth *z* (m); where $\eta = 1.1925d^{-0.424}$ is the light extinction coefficient (m⁻¹) as a function of lake depth *d* (m), and $z_s = 0.6$ m is the thickness of the surface layer. In WRF-Lake, the mixing process is described using an advection-diffusion model (Eqn. 4), whereby the eddy diffusion coefficient k_e for layer *i* was calculated from the wind speed as described by Oleson et al. (2004):

240
$$k_{e,i} = \begin{cases} \frac{kw^* z_i}{P_0(1+37Ri^2)} \exp(-k^* z_i) &, T_g > T_f \\ 0 &, T_g \le T_f \end{cases}$$
(5)

where k = 0.4 is the Von Karman constant; $w^* = 0.0012u_2$ is the surface friction velocity (m s⁻¹), where $u_2 = min(\frac{u_*}{k}ln(\frac{2}{z_{0m}}), 0.1)$ is the 2-m wind speed (m s⁻¹) calculated; z_i is the node depth (m); $P_0 = 1$ is the neutral value of the turbulent Prandtl number; R_i is the Richardson number given below; and k^* varies with latitude φ as $k^* = 6.6u_2^{-1.84}\sqrt{|\sin \varphi|}$.

246 The Richardson number R_i is defined as:

247
$$R_{i} = \frac{-1 + \sqrt{1 + \frac{40N^{2}k^{2}z_{i}^{2}}{w^{*2}\exp(-2k^{*}z_{i})}}}{20}$$
(6)

248 where N^2 is the buoyancy frequency (s⁻²)

249
$$N^2 = \frac{g}{\rho_i} \frac{\partial \rho}{\partial z}$$
(7)

250 where g is the acceleration due to gravity and ρ_i is the density of water (kg m⁻³).

251 **2.3.2.** Eddy diffusivity calculation from in situ measurements

The flux gradient method can be used to determine the eddy diffusivity k_e from in situ temperature measurements by integrating Eqn. (4) from depth *z* to the lake bottom at depth *d* while assuming that the turbulent heat flux and net radiation at the lake bottom are equal to zero (Powell and Jassby, 1974). Thus, k_e can be derived explicitly from the following expression:

257
$$k_e(z) = \left(\int_z^d \frac{\partial T}{\partial t} dz - \frac{1}{c_w}\phi(z)\right) / \frac{-\partial T}{\partial z} - k_m$$
(8)

258 where ϕ is calculated consistent with the procedure used in WRF-Lake.

259 Eqn. (8) is valid only below the mixed layer, where the heat transfer caused by 260 convective mixing and horizontal advection can be neglected (Powell and Jassby, 261 1974). The 30-day averages of the lake water temperature and ϕ were used to 262 estimate k_e at the center of this time interval. The 30-day averaging was used to smooth the profiles of k_e to better illustrate its variation with depth and time. Central-263 264 difference and forward-difference approximations were used for the spatial and time 265 derivatives with $\Delta z = 0.5$ m and $\Delta t = 30$ days, respectively. The trapezoidal rule was 266 applied for approximating the integral with a 0.5 m subinterval. Negative values due 267 to cooling of the water column or unstable water layers are excluded from the results.

268 **2.4. Improvements to the WRF-Lake model**

269 **2.4.1. Initial lake water temperature profile**

The default initial lake water temperature in the WRF-Lake was derived from the ground temperature T_g as follows:

272
$$T_{i} = \begin{cases} T_{g} & , i = 1 \\ T_{g} + \frac{z_{i}(277 - T_{g})}{50} & , z_{i} \le 50 \\ 277 & , z_{i} > 50 \end{cases}$$
(9)

Where the layer i = 1 denotes the top layer. We note that Eqn. (8) was derived from the observed lake temperature profile of Lake Superior. In the simulation results using the default lake scheme, the initialization procedure generated a large surface-bottom water temperature difference of 8.53 °C, which is substantially higher than the

observed data (2.29 °C). Overestimation of the initial lake water temperature gradients 277 resulted in unrealistic cold biases for the lake bottom water temperature throughout 278 279 the year. For lakes that regularly experience complete turnover, it can be assumed that 280 the lake is fully mixed with a homogeneous temperature profile as the initial condition 281 (Wu et al., 2020). However, Lake Qiandaohu is a monomictic lake with thermal 282 stratification for most of the year, and the temperature gradient shift caused by the default lake scheme or a uniform initial profile cannot be easily corrected during 283 284 model integration (Perroud et al., 2009). In this study, a straightforward and 285 generalized method was proposed to assign initial values for lake temperature. WRF-286 Lake was modified to import lake water temperature profiles directly from external files and interpolate them onto the lake grid of the WRF model. We first replaced the 287 288 lake depths in WRF with the observed bathymetry data. During the model 289 initialization, each water column at lake grids will be discretized into ten layers 290 according to their lake depths. Then we linearly interpolated the temperature profile at 291 Daba to all grids to generate initial conditions for the entire simulation domain. This 292 procedure assumes that the water temperature in Lake Qiandaohu is horizontally 293 homogenous. The measurement depths at Daba are also adequate for providing water 294 temperature profiles for other lake grids. The soil temperature was set equal to the 295 lake water temperature of the bottom layer to ensure that the sediment heat flux was 296 initially zero. Figure 2a depicts the default initial lake water temperature profile in 297 WRF-Lake, observed values, and modified initial lake water temperature profile at the

298 Daba station (0000 UTC on 1st March 2016).

299 2.4.2. Time-dependent light extinction coefficient

300 The light extinction coefficient describes the rate at which the incident shortwave 301 radiation is attenuated by lake water, which determines the vertical distribution of 302 solar radiation. In the default WRF-Lake model, the light extinction coefficient was 303 calculated as a function of lake depth (Section 2.3.1) using in situ observations from 304 88 Swedish lakes, which cannot be generalized to global lakes (Subin et al., 2012). 305 The light extinction coefficient at the Daba station, for example, varies between 0.3– 306 0.6 throughout the year, with the highest values in spring and summer; however, the 307 default values in WRF-Lake remain constant and understated (Figure 2b). Therefore, 308 the in situ observed monthly light extinction coefficients of Lake Qiandaohu were 309 taken as model inputs and disaggregated to daily resolution during the simulation 310 period, which accounted for the temporal variability of the light extinction coefficient.



Figure 2. (a) Initial water temperature profile at Daba station from the observations,default, and modified lake scheme; (b) Monthly light extinction coefficients from

314 sampling and daily light extinction coefficients from the default and modified lake315 scheme.

316 **2.4.3. Eddy diffusion coefficient**

317 The governing equation of the heat transfer in the WRF-Lake was controlled by 318 molecular diffusion and eddy diffusion. Gu et al. (2015) proved that the model 319 performance for lake temperature was sensitive to eddy diffusion and could be improved by enlarging the eddy diffusivity (k_e) for deep lakes. The default lake 320 321 model increased k_e by a factor of 100 when the depth of the lake grid exceeded 15 m. In this study, the factor was enlarged to 10^4 and restricted the total heat diffusion 322 coefficient $(k_m + k_e)$ with a maximum value of 0.01 m² s⁻¹ to avoid unrealistic large 323 diffusivity that may occur at the lake surface. This criterion is the largest vertical heat 324 325 diffusivity observed in the open seas, as suggested by Wang et al. (2019).

326 2.4.4. Surface roughness lengths parameterization

The surface roughness length of momentum, sensible heat, and latent heat for unfrozen lakes is defined as 0.001 m in the default WRF-Lake, which can be considered excessive for lakes. The method proposed by Subin et al. (2012) was adopted is this study. It calculates the surface roughness lengths based on the friction velocity, fetch, and wind speed as follows:

$$z_{0m} = max\left(\frac{0.1\nu}{u_{*}}, \alpha \frac{u_{*}^{2}}{g}\right) \ge 10^{-5} \text{m},$$

$$z_{0h} = z_{0m} \exp\left\{-\frac{\kappa}{P_{r}}\left(4\sqrt{R_{0}} - 3.2\right)\right\} \ge 10^{-5} \text{m},$$

$$z_{0q} = z_{0m} \exp\left\{-\frac{\kappa}{S_{c}}\left(4\sqrt{R_{0}} - 4.2\right)\right\} \ge 10^{-5} \text{m},$$
(10)

where *v* is the kinematic viscosity of air (m² s⁻¹), u_* is the friction velocity (m s⁻¹), α is the effective Charnock coefficient (given below), $R_0 = max(\frac{z_{0m}u_*}{v}, 0.1)$ is the near-surface atmospheric roughness Reynolds number, $P_r = 0.71$ is the molecular Prandt number for air, and $S_c = 0.66$ is the molecular Schmidt number for water in the air.

338 The Charnock coefficient is defined as follows:

$$\alpha = \alpha_{min} + (\alpha_{max} - \alpha_{min}) \exp[-min(A, B)]$$

$$A = \left(\frac{Fg}{u_*^2}\right)^{1/3} / f_c \qquad (11)$$

$$B = \epsilon \frac{\sqrt{dg}}{u}$$

where $\alpha_{min} = 0.01$; $\alpha_{max}=0.11$; *F* is the lake fetch (m); and f_c was set to 22, which corresponds to the use of u_* instead of *u* when calculating *A* (Wang et al., 2019); $\epsilon =$ 1. The fixed-point iteration method proposed by Wang et al. (2019) was also used to update surface roughness lengths and u_* simultaneously.

344 **2.5. Datasets**

345 2.5.1. Reanalysis datasets

The initial and boundary conditions of the WRF model were provided by the 6hourly ERA5 reanalysis (Hersbach et al., 2020) data with 1° spatial resolution. This is the latest fifth generation of atmospheric reanalysis dataset produced by the European Center for Medium-Range Weather Forecasts and has been applied widely in lake research (https://www.ecmwf.int/en/forecasts/datasets/reanalysis-datasets/era5). The China Meteorological Forcing Dataset (CMFD) is a gridded near-surface meteorological dataset with a 0.1° spatial resolution and a three hour temporal 353 resolution (https://data.tpdc.ac.cn/en/data/8028b944-daaa-4511-8769-965612652c49). It is developed by combining remote sensing data, reanalysis datasets, and in situ 354 355 measurements (He et al., 2020; Yang et al., 2010). It has been widely used in other 356 lake modeling studies in China (Wang et al., 2019; Wu et al., 2020). Downward 357 shortwave radiation and longwave radiation from this dataset were used as references 358 to check the performance of the WRF model since the Chun'an weather station does 359 not observe radiation components. CMFD has been used as a representative of observation for assessing other forcing data (Qi et al., 2022); its shortwave radiation 360 361 has also been proven to be reliable for China (Yang et al., 2017). Despite that, some 362 studies in Lake Namco have found inconsistencies between CMFD and in situ observations (Huang et al., 2019; Shi et al., 2022; Wu et al., 2021). To reduce the 363 364 uncertainties caused by single forcing data, we also included the ERA5 reanalysis as a 365 reference when validating the simulated longwave and shortwave radiations.

366

2.5.2 Meteorological observation

Daily observations of meteorological variables, including 2-m temperature, 10-m wind speed, and precipitation at the Chun'an weather station (29.62 °N, 119.02 °E) during 2016, were applied to evaluate the performance of the WRF model in simulating near-surface variables. Data were downloaded from the China Meteorological Data Service Center (<u>http://data.cma.cn</u>).

372 2.5.3 Lake water temperature observation in Lake Qiandaohu

373 In-situ lake water temperature measurements at the Daba buoy station in 2016

(29.51 °N, 119.21 °E; hereafter referred to as "Daba") are used to calibrate model 374 parameters. The water temperature was recorded every three hours by a 375 376 multiparameter water-column profiler attached to a buoy. Vertical depths ($\pm 0.005\%$) 377 and water temperature ($\pm 0.002\%$) were sampled at 0.5 m intervals between 0.1–10 m, 378 and 2 m depth increments were used below 10 m (Liu et al., 2019). This sampling 379 location had a maximum depth of 93 m. But the records only reached 65 m because 380 the water temperature is vertically homogenous down below (Liu et al., 2019). For the 381 convenience of cross-comparison, the water temperature from both in situ 382 observations and numerical experiments was interpolated to 0.5 m intervals from 0.5-383 64 m.

384

2.5.4. Light extinction coefficient measurements

385 Light extinction coefficients η (m⁻¹) were obtained from monthly sampling of water transparency z_{secchi} (m) measured by the Secchi disk method. In this study, 386 light attenuation was calculated using the relationship $\eta = 1.3809 z_{secchi}^{-0.92}$, which was 387 388 estimated from a series of concurrent observations of z_{secchi} and light absorption 389 (Figure S5).

2.6. Metrics of stratification and mixing 390

391 The lake analyzer (https://gleon.org/research/projects/lake-analyzer) is a 392 numerical code package for calculating the key metrics of lake physical states from 393 water temperature, lake bathymetry, and near-surface wind speed (Read et al., 2011). 394 Three indicators were selected to represent the lake thermal structure characteristics

during stratification: the thermocline depth (thermD), thickness of the metalimnion 395 (metaTh), and bottom depth of the metalimnion (metaB). ThermD was defined as the 396 depth of the maximum change in water density. The metalimnion range is defined as 397 the water layer where the vertical temperature gradient is ≥ 0.2 °C m⁻¹ (Liu et al., 2019; 398 399 Zhang et al., 2014). Schmidt stability, which denotes the resistance of mechanical 400 mixing because of potential energy, was also calculated to represent the temporal 401 variation of lake thermal stability (Schmidt, 1928). The lake number and Wedderburn number were calculated to describe the dynamic stability. The two dimensionless 402 403 metrics are expressed as the balance of wind stress and the stratified condition. Lake number represents the potential for nonlinear internal waves caused by wind forcing 404 (Imberger and Patterson, 1989). Meanwhile, the Wedderburn number, represents the 405 406 possibility of upward movement in the metalimnion (Thompson, 1980). Lower lake 407 number and Wedderburn number values indicate a higher likelihood of mixing events 408 (Read et al., 2011).

409

410 **2.7. Experimental design and post processing**

Six numerical experiments were conducted to assess the impact of key parameters that individually affect the lake thermal structure and evaluate performance of the modified WRF-Lake model, as shown in the table below. Since lake temperature measurements below 30 m were not available from January to February 2016, all simulations ran from March 1, 2016, to January 1, 2017, and the

416 first 20 days were discarded as model spin-up.

Case	Description
CTL	Control experiment
INI	Modified initial lake temperature as described in Section 2.4.1
KD	Modified lake light extinction coefficient as described in Section 2.4.2
KE	Modified eddy diffusivity as described in Section 2.4.3
Z0MG	Modified surface roughness lengths as described in Section 2.4.4
MOD	Calibrated model based on INI, KD, KE, and Z0MG

417 Table 1. Model parameter setting for different cases.

In this study, the mean bias error (MBE) was used to represent systematic error of the lake model. This metric provides the average bias of a model in comparison to observations and indicates whether the model needs to be corrected. The root mean square error (RMSE) was used to assess the credibility of the model. This is the standard deviation of the predicted errors and is highly sensitive to the most significant errors.

424

425 **3. Results**

In this section, the results from the WRF-Lake simulations are first validated using in situ observations and other available datasets. After the sensitivity experiments, the lake water temperature and the sensible and latent heat fluxes are further analyzed to determine the effects of key model parameters on the lake surface energy balance and thermal structure.

431 **3.1. Model validation**

432 **3.1.1 Comparison of meteorological variables**

The simulated near-surface air temperature and wind speed from the control experiment were compared with those obtained from meteorological observations. Since radiation measurements from nearby weather stations were unavailable, the CMFD dataset was used as a reference to assess the accuracy of downward shortwave and longwave radiation, which act as the primary energy sources for the lake.

The WRF model accurately reproduced daily variations of 2-m air temperature, 438 downward longwave radiation, and 2-m relative humidity, as well as the seasonal 439 440 pattern of downward shortwave radiation and 10-m wind speed (Figure 3). The 441 comparison of simulated spatial pattern between CTL and reanalysis dataset were shown in Figure S13-14. As shown in Figure 3 and Table 2, the temporal variations in 442 443 air temperature and downward longwave radiation were captured well by the WRF with an MBE of 0.4 $^{\circ}$ C (- 0.6/-13.9 W m⁻²; the comparison between WRF and 444 ERA5/CMFD) and a RMSE of 1.3 °C (9.3/20.4 W m⁻²). The WRF model 445 446 underestimated relative humidity by an MBE of -1.5%. This is possibly related to the 447 warm biases in air temperature, which results in larger saturated water vapor pressure. 448 The WRF model was also able to simulate reasonably the daily variation in wind speed, although there is a large positive bias with an annual MBE of 2.7 m s⁻¹ and an 449 RMSE of 3.0 m s⁻¹. This indicates a systematic overprediction of wind speed and the 450 451 degree of which amplified at higher velocities. The simulated downward shortwave radiation was also overestimated, with a mean MBE of 82.0/84.7 W m⁻². Detailed 452

453	investigations of the overprediction of wind speed and shortwave radiation are not
454	within the scope of this study. However, their effects on lake thermal structures are
455	further discussed in Section 4.1.
456	Table 2. Annual mean bias error (MBE) and root mean square error (RMSE) of the
457	WRF model simulation when compared with the observations (2-m air temperature,
458	10-m wind speed, and 2-m relative humidity) and reanalysis dataset (downward
459	shortwave and longwave radiation).

	Reference data	MBE	RMSE
2-m air temperature (°C)	in situ	0.4	1.3
10-m wind speed (m s ⁻¹)	in situ	2.7	3.0
Downward longwave	ERA5	-0.6	9.3
radiation (W m ⁻²)	CMFD	-13.9	20.4
Downward shortwave	ERA5	82.0	95.8
radiation (W m ⁻²)	CMFD	84.7	103.0
2-m relative humidity (%)	in situ	-1.5	7.0



462 Figure 3. Daily variations in (a) 2-m air temperature (°C), (b) 10-m wind speed (m s⁻¹),

(c) downward longwave radiation (W m⁻²), (d) downward shortwave radiation (W m⁻
²), and (e) 2-m relative humidity (%). Air temperature, wind speed, and relative
humidity are compared to the observations from the Chun'an weather station.
Longwave and shortwave radiation are compared with those obtained from reanalysis
datasets (i.e., CMFD and ERA5). All variables were interpolated from model grid
cells to the Chun'an station.

469

461

470 **3.1.2** Comparison of lake surface energy processes

471 LSWT is determined by heat exchange processes at the air-water interface,

472 namely, absorbed radiation, heat conduction, and heat loss by evaporation (Edinger et 473 al., 1968). Since the net shortwave radiation and downward longwave radiation are 474 subjected to atmospheric conditions, this section analyzes the LSWT and turbulent 475 fluxes to determine effects of the model parameters on the lake surface energy 476 processes. The emitted longwave radiation is not included because it is proportional to 477 the fourth power of the absolute temperature (the first term on the right-hand side of 478 Eqn. 3); thus, it varies naturally with LSWT.

479 LSWT in this study is the average water temperature between 0-2 m. The 480 simulated data at 0400 UTC (12:00 in local time) each day were chosen for a stable and intense thermal stratification (Liu et al., 2019). The WRF-Lake results were 481 interpolated to the location of Daba buoy to compare with the observations. Results of 482 483 simulated LSWT, sensible and latent heat are shown in Figure 4 and Figure S6. The 484 comparison of simulated spatial pattern between CTL and other experiments of lake 485 water temperature at the top model layer was shown in Figure S15. Compared with in situ data, CTL successfully reproduced the seasonal variation of water temperature 486 487 (Figure 4a), but significantly underestimated LSWT from September to December. 488 This results in an annual MBE of -1.3 °C, which indicates a systematic cold bias. In addition, CTL simulated excessive variation in LSWT, particularly during the 489 490 warming period (March–June), with an average of 1.7 °C. It is still comparable to the 491 daily variation in air temperature (1.7 °C) but considerably higher than the observed 492 daily variation in water temperature (0.5 °C).

493	CTL generated a reasonable temporal pattern of turbulent heat fluxes at the lake
494	surface. Figure 4b shows that the latent heat flux exhibited an increasing trend before
495	September and reached its peak in autumn because of the lag between the LSWT and
496	air temperature (Schmid and Read, 2021). This is consistent with the enhanced
497	sensible heat flux since August (Figure 4c) and implies that the lake surface is warmer
498	than the overlying atmosphere and serves as a heat source. Figures 4b and 4c also
499	highlight the dominant contribution of evaporation to turbulent heat fluxes, as is
500	expected in low-latitude lakes such as Lake Qiandaohu (Woolway et al., 2018).
501	As indicated by the RMSE and MBE (Figure 5), all five parameter sensitivity
502	experiments improved the accuracy of LSWT except INI, which exacerbated the cold
503	biases. Results with increased light extinction coefficient warmed the water surface
504	during most of the simulation period (93.4%), consequently yielding a 0.4 °C increase
505	in annual MBE. The INI experiment corrected the overestimation of LSWT at the
506	beginning of the simulation. The difference between INI and CTL diminished until
507	November, when INI began to display a negative bias against CTL and eventually
508	resulted in a decrease in annual MBE of 0.1 °C. The only experiment with a positive
509	MBE of 0.1 °C against observation was Z0MG. This matched the minimum latent
510	heat flux generated by Z0MG in the five sensitivity experiments (Figure 4b). Despite
511	an increase of 1.1 W m ⁻² in the annual average sensible heat flux of Z0MG, the latent
512	heat flux decreased by 14.0 W m ⁻² because of less effective moisture exchange at the
513	air-water interface and dominated changes in LSWT. Enlarging the eddy diffusivity in

514	the deep layers mitigated the cold bias of the LSWT from October to December,
515	which was analogous to Z0MG. However, for the other months of the year, KE
516	estimated colder LSWT than CTL.
517	After the calibrations, the MOD experiment achieved a minimum MBE of -0.1 °C
518	and lowered the RMSE from 2.9 °C to 1.6 °C. The remaining cold bias was partially
519	because of the positive bias in the near-surface wind speed introduced by the WRF
520	model (Figure 3b). Windier conditions promote stronger mixing events in the
521	epilimnion and favor turbulent heat loss (especially latent heat) at the air-water
522	interface, thereby resulting in a cooling effect on LSWT (Woolway et al., 2018).



523

524 Figure 4. Daily variation of (a) lake surface water temperature (°C), (b) sensible heat

525 flux (W m⁻²), and (c) latent heat flux (W m⁻²)



528 Figure 5. (a) Mean bias error (°C) and (b) root mean square error (°C) for 0–2 m, 9– 529 11m, 19–21 m, and 39–41 m averaged water temperature between the simulation 530 results and observations.

531 **3.2. Simulating stratification in Lake Qiandaohu**

527

Results of the CTL experiment showed that the original lake scheme could represent the temporal evolution of lake stratification. Lake thermal stratification began in March and strengthened rapidly in April, with the temperature difference between the surface and bottom of the lake (hereafter denoted as T_{diff}) exceeding 5 °C on April 2. Further, lake stratification was found to be strongest during summer, when the temperature difference reached 23.4 °C on July 26 (Figure 6a). CTL captured this feature relatively well, with the maximum temperature difference occurring on July

25 (Figure 6b). However, CTL predicted an earlier spring warm-up and autumn cool-539 down. The hypolimnion temperature was severely underestimated, which results in an 540 541 unrealistic vertical temperature gradient in the deep layers. This also caused the 542 maximum T_{diff} of the CTL experiment to be 6 °C higher than that observed. Moreover, 543 the default lake scheme generated weaker lake stratification, which is indicated by the 544 negative annual MBE of the thickness of the metalimnion in the INI experiment (Figure 10). It is noteworthy that the positive systematic error of the metalimnion 545 546 bottom depth and metalimnion thickness in CTL was attributable to overestimation of 547 the initial hypolimnion temperatures.

548 As shown in Figure 6, the lake temperature simulated by KD and Z0MG were very close to that of CTL. Although the hypolimnion temperature in the INI 549 550 experiment was more accurate, it simulated a metalimnion structure that was very similar to that of CTL, which was greatly improved in the KE experiment. These 551 552 results confirm that the vertical heat distribution is primarily governed by the mixing 553 process (Subin et al., 2012). Modifying surface properties of the lake (such as surface 554 roughness lengths and light extinction coefficient) has limited effects on the vertical temperature pattern (Xiao et al., 2016). 555

Figure 7 and Figure S10 showed the differences in the temperature simulated from all the sensitivity experiments against CTL and the observations, respectively. The comparison of vertical water temperature profile was depicted in Figure S11. It can be first noted that the KD and Z0MG were both close to CTL and showed an

overall warming trend in the whole water column. INI and KE showed the largest 560 561 deviation from CTL. An increase in the light extinction coefficient resulted in a warming of the lake surface in early summer, as well as a water temperature of 562 563 approximately 15 m during stratification, leading to a temperature increase of 2.0 °C. 564 Negative values only occurred at the lake surface for a few days, possibly because of 565 the increased latent heat flux (Figure 4c). The INI experiment removed cold bias below 25 m. However, it tends to produce a warmer LSWT from June to October and 566 567 a colder metalimnion throughout the year, deteriorating the model performance at 9-568 11 and 19–21 m (Figure 5). When a parameterization for surface roughness lengths 569 was used, the water column temperature increased by an average of 0.6 °C above 25 m. 570 The most pronounced improvements occur at 1-15 m in winter, which largely delayed 571 the earlier prediction of the autumn cool-down, although an even earlier warm-up was 572 estimated (Figure 6e). Enlarging the eddy diffusivity decreased the water temperature 573 above 5 m before September and increased the water temperature below it, thereby 574 implying that more heat energy was transferred to the deep layers by enhancing the 575 mixing strength. Warmer deep layers allow more energy to be stored below the mixed layer, where the air-water heat exchange takes place. This further enhances the 576 577 resistance of the lake to declining air temperature, which is indicated by the warmer 578 water column in winter. These results suggested that, in addition to dominating the 579 development of the metalimnion, the mixing strength in the lake model influences the 580 thermal structure during the subsequent cool-down period. We have also performed a

numerical experiment with only the modified initial water temperature profile and 581 582 eddy diffusivity (Figure S16 and Table S3). The results produced a similar thermal structure with MOD, but the water temperatures above 25 m were colder and showed 583 584 larger biases. This suggests that the initial water temperature profile and eddy diffusivity were most critical for simulating the evolution of the lake thermal structure. 585 586 At the same time, modifying light extinction coefficients and surface roughness 587 lengths, which mainly increased water temperature in shallow layers, are important as 588 well.





observations and as predicted by the lake models: (b) CTL, (c) KD, (d) INI, (e) Z0MG,



593 (f) KE, (g) MOD.

595 Figure 7. Differences between lake water temperatures (°C) simulated by (a) KD, (b)

⁵⁹⁶ INI, (c) Z0MG, (d) KE, and (e) MOD and CTL.
598	The performance of the MOD experiment in simulating water temperature at
599	certain depths is shown in Figure 8. Figure 8 b-d denotes the water temperature at a
600	depth of 9-11 m (above the thermocline), 19-21 m (below the thermocline), and 39-
601	41 m (hypolimnion), respectively. In summary, accuracy of the lake scheme was
602	greatly improved by modifying the aforementioned key model parameters, namely,
603	the initial lake water temperature, light extinction coefficient, eddy diffusion
604	coefficient and surface roughness lengths. The MOD experiment captured the
605	seasonal variation and magnitudes of water temperature and reproduced the extended
606	highest water temperature at 19-21 m compared to that at the surface. Nevertheless,
607	the differences between the MOD and in situ measurements became apparent as the
608	depth increased. Overestimation of water temperature at 9-11 m from June to August
609	and underestimation in 19-21 m suggests insufficient heat transfer below the
610	thermocline. The MOD experiment also failed to reproduce the slow warm-up of the
611	hypolimnion temperatures, thereby implying unresolved mixing processes in the deep
612	layers.



Figure 8. Temporal variation of daily water temperature (°C) at depths of (a) 0–2 m, (b)
9–11 m, (c) 19–21 m, and (d) 39–41 m from CTL and MOD simulations and in situ
observations.

618 The temporal variations in the four modelled lake stability indicators calculated 619 by Lake Analyzer were consistent with those calculated from the observations (Figure 9). Schmidt stability varies coherently with LSWT as it only depends on the water 620 621 density (Figure 9a). The rising Schmidt stability before September suggested that the kinetic energy required to disturb lake stratification increased. Thermal stability 622 weakened during the cooling period but still exceeded 1000 J m⁻² in winter, which is 623 reasonable for a deep and warm monomictic lake. The magnitude of the Schmidt 624 stability in the MOD experiment was consistent with that of the observations, which 625 was attributed to a better representation of the vertical heat content. Figure 9b shows 626 that the thermocline depth changed rapidly in spring and gradually deepened with a 627

628 strengthening of summer stratification. During the cool-down period, the upper water column was fully mixed, and the thermocline depth was maintained near the bottom 629 630 of the metalimnion. The MOD and CTL experiments both captured the sudden 631 increase in thermocline depth in autumn, but failed to reproduce the steady growth 632 behavior before that. The overestimation of wind speed and hypolimnetic water 633 density resulted in lower lake numbers in the numerical experiments (Figure 9c). The 634 lake number from the observations increased with Schmidt stability and the bottom 635 layer depth of the metalimnion from April to July and then slowly decreased. For 636 most of the year, the lake number from the observations remained above 10, which implies that wind forcing could only stir the uppermost layer of the lake and was 637 incapable of diapycnal mixing (MacIntyre et al., 1999). Similar to the lake number, 638 639 the Wedderburn numbers in the simulations were also underestimated owing to an overestimation of wind speed (Figure 9d). Variations in the Wedderburn number were 640 641 primarily determined by changes in the mixed layer depth. In the CTL experiment, the 642 mixed layer depth remained stable until November, which caused a downward trend 643 in the Wedderburn number from August to October owing to the reduced density difference between the epilimnion and hypolimnion. However, the Wedderburn 644 number increased with the mixed layer depth since September in the MOD 645 646 experiment and observations. The Wedderburn number calculated from observations 647 seldom fell below one, which suggests a low likelihood of upwelling events.



Figure 9. Temporal variation of daily (a) Schmidt stability (J m⁻²), (b) thermocline
depth (m), (c) lake number and (d) Wedderburn number from CTL and MOD
simulations and in situ observations.

653 Figure 10 demonstrates the accuracy of the simulated thermal stratification by the annual MBE and RMSE of the thermal characteristics in the numerical 654 experiments against observations. The MOD experiment improved the model 655 656 performance in estimating metaTh and metaB and reduced the MBE to -2.2 m and -657 1.7 m, respectively. The remaining negative biases may be attributed to the weaker 658 mixing strength below the thermocline (Figure 8 c-d). The ThermD estimated by the 659 tuned model has an MBE of 1.0 m and an RMSE of 8.8 m, which is significantly 660 better than that in large-scale simulations with uncalibrated models (Guo et al., 2021). The MOD experiment also improved the performance of the model in simulating the 661 strength of summer stratification. The T_{diff} estimated by the MOD was 23.7 °C 662



663 occurred on July 25, with 0.2 °C higher than that in observations and one day ahead.

664

Figure 10. (a) Mean bias error (m) and (b) root mean square error (m) of simulated
metalimnion bottom depth (metaB), metalimnion thickness (metaTh), and thermocline

667 depth (thermD) against the observations.

668

669 **3.3. Calculation and analyses of eddy diffusivity**

 k_e was computed from the observed water temperature using the approach described in Section 2.3.2 to quantitively evaluate the limitation of the Henderson-Sellers eddy diffusivity. It is noteworthy that k_e produced by Eqn. (14) is a proxy for all three-dimensional processes that contribute to turbulence in Lake Qiandaohu. Therefore, a similar variation pattern and magnitude of the tuned k_e were expected for

675 accurate simulation of the thermal structure.

676 Figure 11 depicts k_e computed from the observations (k_{eobs}) and the enlarged k_e 677 in the MOD experiment (k_{emod}). The profiles of k_{emod} at different seasons were very 678 similar. The k_{emod} first decreased markedly with increasing depth and reached its 679 minimum in the 20-50 m depth range because of increasing vertical temperature 680 gradients. Notably, the water temperature at deep layers was almost homogeneous in 681 the vertical direction (Figure 6g; Figure S11), and the R_i in Eqn. (5) decreased from ~10² to zero (Figure S12) and therefore resulted in large k_{emod} comparable to that in 682 683 shallow layers. This behavior suggests that WRF-Lake is also unable to simulate the 684 vertical heat distribution in the hypolimnion, not only its temporal variation (Figure 685 8d), which is possibly related to the unresolved heat diffusion in the hypolimnion (see discussion in Section 4.2.3). It is evident that the profiles of k_{eobs} varied significantly 686 with time and depth. The k_{eobs} values ranged from 1.3×10^{-8} to 3.0×10^{-4} m² s⁻¹ with 687 an average of 4.0×10^{-5} m² s⁻¹. This result is consistent with previous findings in Lake 688 Zurich, another large and deep lake (Li, 1973). The highest k_{eobs} occurred in early 689 690 summer (March–June), caused by the warming of the water column and the relatively 691 weak vertical temperature gradient. After the stabilization of stratification in summer, 692 the k_{eobs} rapidly declined because of the strong temperature gradient. In August, the 693 k_{eobs} was negative at almost every depth except 7–12.5 m, where the warming trend 694 lasted until September. This phenomenon is in line with the observed lagged 695 maximum temperature in 9-11 m compared to that in 0-2 m from observations 696 (Figure 8a-b). The profiles of k_{eobs} in early summer showed a local minimum of k_{eobs} at approximately around 2.5 m, which corresponds to the depth of thermocline. 697 698 The k_{eobs} further increased and formed a maximum at approximately 20 m. Below 20 m, the behavior of k_{eobs} varied largely with depth in different seasons. In March and 699 700 April, k_{eobs} gradually decreases with depth from top to bottom. In the remaining 701 months, however, the k_{eobs} formed a local minimum at 30-40 m, which is possibly 702 related to the warm-up of water temperature at 39-41 m (Figure 8d). The minimums 703 of the k_{eobs} profile matched the two gradient extremes in water temperature profile 704 (Figure S7).



Figure 11. Vertical heat diffusivity $(m^2 s^{-1})$ (a) calculated from water temperature



708

705

709 **4. Discussion**

This study aimed to simulate the temporal evolution of thermal stratification in asubtropical deep reservoir, Lake Qiandaohu, using an improved WRF-Lake model.

The results show that the improved model with the necessary parameterization cancapture variations in water temperature and intensity of lake stratification.

714 **4.1. Model uncertainty**

715 In a coupled system, the foremost concern is the error introduced by the 716 atmospheric model (in this case WRF) for simulating physical processes in the lake. 717 The 10-m wind speed and downward shortwave radiation were largely overestimated by the model. The near-surface wind speed of the ERA5 reanalysis, which provided 718 719 initial and boundary conditions, showed a magnitude comparable to the observations 720 (Figure S8). This overprediction may be related to deficiencies in the WRF model. 721 WRF is deficient in representing the drag effect because of subgrid-scale orography and tends to underestimate wind speed spatial variability over complex terrain 722 723 (Jiménez and Dudhia, 2012); thus, WRF overpredicts wind speed in valleys, where Lake Oiandaohu is located (Figure 1b). 724

725 Positive error of the downward shortwave radiation simulated by the WRF model is consistent with previous studies that evaluated the performance of atmospheric 726 models in representing surface energy fluxes. For example, the WRF model 727 overestimated shortwave radiation with an average MBE of 152.9 W m⁻² compared to 728 those of the hourly observations in the Heihe River Basin (Pan and Li, 2011). The 729 730 biases of simulated surface insolation is caused by an inaccurate representation of the 731 radiation transfer process between the top of the atmosphere and ground (Wild, 2005). 732 This suggests that misrepresentations of cloud properties, rather than radiation schemes, are responsible for these biases (Jousse et al., 2016). Studies at regional
scales have also suggested that an overestimation of the downward shortwave
radiation by WRF may be attributable to the lack of cumulus cloud amount and
uncertainty in aerosol optical depth (Avolio et al., 2017; Kumar et al., 2015; RuizArias et al., 2016).

738 In the lake scheme, wind speed was used to calculate the friction velocity, which 739 further influenced the simulated water temperature from two perspectives. First, the 740 sensible and latent fluxes from Eqn. (1) are estimated using the bulk aerodynamic 741 algorithm proposed by Zeng et al. (1998), where the surface fluxes of heat and water 742 vapor are proportional to the friction velocity. Second, the 2-m wind speed in Eqn. (5) also varies with the friction velocity. Therefore, a higher wind speed promotes the 743 744 efficiency of heat and water vapor exchange at the lake surface and enhances mixing strength in the subsurface (Woolway et al., 2021). Schmid et al. (2014) investigated 745 746 sensitivity of the lake surface equilibrium temperature to climate forcing variables. 747 According to these findings, overestimation of the average annual 10-m wind speed by 2.7 m s⁻¹ (Table 2) will lead to a decrease in LSWT by 2.7 °C. However, LSWT 748 749 will simultaneously increase by 2.5–4.9 °C because of positive biases in solar radiation. 750 Therefore, the effects of overestimated wind speed and downward shortwave radiation 751 on LSWT may partially compensate for each other. The remaining cold bias in LSWT 752 is presumably because of the favored turbulent heat loss (especially latent heat) under windy conditions (Woolway et al., 2018). Notably, the enhancement of mixing 753

strength by increased wind speed remains in the modified model and might be 754 755 amplified as the eddy diffusivity is increased. However, extremes in eddy diffusivity 756 was constrained with a fixed value; thus, it is reasonable to speculate that the 757 influence of high wind speed on the formation of lake thermal stratification was limited. Moreover, our sensitivity analysis using offline WRF-Lake model (see 758 759 Supporting information 1) suggested that the effect of strong winds is most distinct 760 during autumn, in which the water temperature above 30 m increased, possibly due to 761 deeper mixed layer depth and larger amounts of water participated in the lake-air heat 762 exchange. The enhanced solar radiation increased the water temperature above 20 m 763 (Figure S2), which might increase the strength of lake stratification.

764 The changes in lake surface temperature may also influence the condition of the 765 overlying atmosphere. Generally, lakes could mitigate the regional climate by decreasing the annual range of air temperature and increasing nearby precipitations 766 767 (Dai et al., 2018b; Wen et al., 2014). Therefore, we compared the temporal variations 768 of simulated near-surface air temperature and daily precipitation in the CTL and MOD 769 experiment as shown in Figure S9. It can be noted first that the MOD experiment has 770 similar seasonal patterns to that of CTL. The warmer lake surface in the MOD experiment heated the overlying atmosphere. This alleviated the underestimation of 771 772 summer air temperature in CTL but also amplified the overestimation of winter air 773 temperature. The occurrences of rainfall events are consistent in these two experiments. Simulated precipitation in spring is underestimated in both experiments. 774

The most distinct difference existed in May and June, in which the magnitude of precipitation in MOD was even less. This suggests that the volume of Lake Qiandaohu is not large enough to impact atmospheric circulations. Therefore, the improved lake scheme may slightly influence the magnitude of atmospheric variables but cannot change its overall pattern. The effects could be either improvement or deterioration, depending on the original biases of WRF.

781

782 **4.2.** Parameter sensitivity

783 4.2.1. Time-dependent light extinction coefficient

784 It has been described that darker surface waters tend to form shallower mixed layers owing to the less deeply penetrated radiation. This results in more turbulent 785 786 heat loss at the lake surface and larger variation in the epilimnion temperature (Heiskanen et al., 2015; Zolfaghari et al., 2017). The results from Guseva et al. (2020) 787 788 suggested that different 1D lake models respond similarly to changes in water clarity 789 and that the thermocline depth increases in clear waters. However, the simulation 790 results showed a slight increase in the thermocline depth when larger light extinction 791 coefficients were used, which is indicated by the reduced negative biases in KD compared with those in CTL (Figure 10a). Although the direct influence of penetrated 792 793 radiation is restricted in shallow layers, it seems that this heating effect has extended 794 down to approximately 15 m since June (Figure 7a). This could possibly contribute to 795 a deepening of the thermocline. The results also showed that a larger light extinction

coefficient generated a thicker metalimnion, which corresponds to the linear 796 relationship deduced from in situ water temperature measurements in Lake Qiandaohu, 797 798 where a negative correlation between metalimnion thickness and water transparency 799 was found (Zhang et al., 2014). Therefore, it can be tentatively concluded that the 800 response of lake stratification to changes in water clarity may differ in lakes with 801 different depths and thermal regimes. It is difficult to determine the influence of light attenuation on lake stratification because research on this parameter at regional or 802 global scales is still lacking. This literature deficiency may be attributed to insufficient 803 804 high-frequency measurements of water clarity and water temperature. Satellite-805 derived series of water transparency combined with lake models may shed more light on this issue. 806

807 **4.2.2. Initial lake water temperature in monomictic lakes**

808 Sensitivity experiment of the calibrated initial lake temperature profile showed 809 that this modification is crucial for generating realistic hypolimnion temperatures 810 even though the influence of changes in the initial lake temperature profile on LSWT 811 did not last for more than one month. This is unsurprising given that LSWT is driven by the surface energy balance, and its variation can be captured by lake models even 812 813 in the absence of a reasonable subsurface temperature (Stepanenko et al., 2013). 814 Monomictic lakes do not experience complete overturn in winter and thus cannot reset 815 the deep water temperature like dicmictic lakes. Therefore, the underestimation of the 816 hypolimnion temperature in monomictic lakes induced by initial conditions implies

817 insufficient heat storage and could eventually lead to shifts in thermal dynamic818 processes during long-term simulations (Perroud et al., 2009).

819 4.2.3. Simulating heat transfer in 1D lake models

820 The eddy diffusivity parameterization based on Henderson-Sellers (1985) struggles to simulate the mixing strength in deep lakes (Perroud et al., 2009; 821 Stepanenko et al., 2010; Thiery et al., 2014b). Despite that, this type of model has 822 been used widely in lake simulations because of its flexibility, which allows it to 823 produce acceptable results without considerable modification (Martynov et al., 2010). 824 825 Our results confirmed that the tuned model can simulate seasonal variation of the lake thermal structure with reasonable error during summer, suggesting that this model can 826 be further applied to simulations in which the interannual variations of the lake 827 828 thermal regime matter. It is believed that the 1D mixing processes can be theoretically tuned to imitate the behavior of that in a real lake based on available empirical 829 830 formulas. But the limitation of original eddy diffusivity could not be completely solved by enlarging or shrinking it, which is a common approach (Bennington et al., 831 2014; Wu et al., 2020; Xiao et al., 2016). The results suggest that tuning eddy 832 833 diffusivity affects only the thermal structure in the epilimnion and upper metalimnion 834 as this coefficient decreases exponentially with depth and levels off below the 835 thermocline with a magnitude far less than the molecular diffusivity. This implies 836 little or no turbulence in the modeled deep layer, which is not the case in reality.

837 The vertical structure of the Henderson-Sellers eddy diffusivity raises two

questions. First, the heat diffusion between the thermocline and hypolimnion is 838 lacking in the modeled lake; therefore, the water temperature in the lower 839 metalimnion could not be well reproduced. It is apparent that the k_{eobs} concaved at 840 841 depths with large gradients and decreased mildly with increasing depth; in contrast, 842 the k_{esim} dropped sharply and became less than the molecular diffusivity between 15– 843 40 m. Thus, although Lake Qiandaohu formed two zones with steep temperature 844 gradients, it is believed that this conclusion is tenable in other deep lakes. Moreover, ALBM and MTCR-1, which both uses Henderson-Sellers diffusivity, also failed to 845 846 reproduce the temporal and depth variability of vertical eddy diffusivity in a temperate dimictic lake (Guseva et al., 2020), although the models generated 847 satisfactory thermal structure. Therefore, it is reasonable to infer that this issue not 848 849 only existed in WRF-Lake, but also in all advective-diffusive models based on the same parameterization method. 850

851 The next problem is unresolved heat diffusion in the hypolimnion, for example, 852 internal seiches generated from hypolimnetic currents, that transfer wind energy from 853 the lake surface to turbulence at the bottom (Imberger, 1998). This deficiency exists in selected 1D lake models that do not consider internal waves; even the more 854 855 sophisticated k- ε model can only calculate the turbulence above the thermocline 856 (Perroud et al., 2009), and thus the extra parameterization for turbulences in deep 857 layers is required. It has been found that the vertical diffusivity of heat in stratified layers of many lakes can be described as $k = b(N^2)^m$, where m and b are constants 858

859 related to lake properties (Jassby and Powell, 1975). Therefore, some models such as the PROBE model (Svensson, 1978), the lake component in CLM 4.5, and an offline 860 version of the WRF-Lake model (Wang et al., 2019) adopted this expression to mimic 861 862 the unresolved mixing process in factual lakes. It is noteworthy that the weight of this 863 additional term must be cautiously selected for a specific lake. Throughout the testing 864 process, this added term was required for certain depths to better simulate the deepening of the metalimnion in the spring and early summer. However, during the 865 decay of summer stratification, this term must be turned off or decreased to prevent 866 867 over-mixing in the water column. Zhang et al. (2019) also found that the lake module in CLM 4.5 failed to reproduce the transition between stratification and overturn 868 because of this arbitrary attached term, although it still worked well for some lakes 869 870 (Wang et al., 2019). Overall, it seems that the inclusion of an enhanced term, such as 871 that of other water mixing schemes and parameterization methods for major types of 872 unresolved heat diffusion, is a compromise between computational cost and more 873 complicated three-dimensional hydrodynamic models.

874

875 **5.** Conclusions

In the current study, the WRF-Lake model was improved to simulate the lake thermal regime over a monomictic deep Lake Qiandaohu by tuning four key model parameters, i.e., the initial lake water temperature profiles, light extinction coefficients, eddy diffusion coefficients, and the surface roughness lengths. We found that: 880 (1) The modified 1D lake model, WRF-Lake, simulated thermal structure of Lake Qiandaohu with satisfactory performance. The MBE and RMSE of 881 882 LSWT between simulation results and in situ observations were reduced 883 from -1.3 °C to -0.1 °C and 2.9 °C to 1.6 °C, respectively. The evolution of 884 lake stratification was well captured, which is indicated by three metrics. For 885 example, the MBE of the thermocline depth decreased from 13.0 m to -1.7 m. 886 (2) The WRF model overpredicted the near-surface wind speed and downward shortwave radiation on the ground by an MBE of 2.7 m s⁻¹ and 82.0 W m⁻², 887 888 respectively. The impacts of high wind speed on simulating LSWT are in contrast to those of enhanced shortwave radiation but cannot be completely 889 890 offset. The tuned maximum value of eddy diffusivity limited the effects of 891 wind speed on mixing strength in the subsurface water layers. 892 (3) The initial lake water temperature determined the magnitude of the water 893 temperature in the hypolimnion, which seldom experiences the water-air heat 894 exchange caused by in complete mixing events in deep lakes. The light 895

extinction coefficients and surface roughness lengths have the greatest impact on LSWT by governing the penetration of shortwave radiation in water layers and the exchange efficiency of heat and water vapor at the lake surface. However, they still slightly improve the model performance in simulating subsurface water temperature. Modifications of the eddy diffusivity improved the model performance in simulating the strength of

901 stratification.

(4) The Schmidt stability of Lake Qiandaohu varied with LSWT and was well 902 simulated by the modified WRF-Lake model. Development of the 903 904 thermocline from June to October is difficult to reproduce. The modified 905 WRF-Lake reduced the annual MBE of the thermocline depth from -5.8 m to 906 1.0 m. The temporal variation of lake number and Wedderburn number derived from the simulation results agreed with those of the observations, 907 although the magnitude showed negative biases. The large values of Schmidt 908 909 stability and low values of lake number and Wedderburn number demonstrate 910 that Lake Qiandaohu was strongly thermally stratified throughout the year, 911 and the wind-driven internal waves in deep water and mixing were weak. 912 Thus, our results demonstrated that the modified WRF-Lake model could simulate LSWT and evolution of lake stratification with the lowest MBE and RMSE, 913 914 which contributes to improvements in simulating lake thermal dynamics. Although 915 geomorphology and optical characteristics differ between lakes, the revised version of 916 WRF-Lake can be generalized to other study sites after minor modifications. Finally, more lake water temperature measurements are required to improve the 917 representativeness of the mixing process parameterization scheme and enhance 918 919 overall model performance.

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1195	Contents
1196	This supplementary material contains Supporting Information 1-2, Figure S1-16, and
1197	Table S1-3.
1198	

1199 Supporting information 1: Sensitivity analysis of WRF-Lake in offline mode 1200 To provide practical guidance for the possible consequences of inaccurate 1201 forcings, we performed a sensitivity analysis by running the default WRF-Lake 1202 offline. In this way the meteorological forcings will not be affected by the lake 1203 condition during simulations. The input forcings come from the ERA5 reanalysis with 1204 hourly temporal and 0.25° spatial resolution. Other settings (e.g., initial conditions) 1205 are the same as CTL. We conducted five sensitivity experiments (Table S1) and a 1206 control experiment (BASE). The mean bias errors against BASE are shown in Figure 1207 S2. It is clear that the influences of overestimated wind speed and shortwave radiation 1208 are mainly on the subsurface water layer at annual scale. High wind speed caused 1209 little cooling effect during summer and increased water temperature in shallow layers 1210 during the cool-down period in the autumn. Our results suggested lake surface water 1211 temperature (averaged over 0-2 m) could increase 0.22 °C if wind speed increases by 1212 1.41 m s⁻¹, and increase 0.03 °C if shortwave radiation increases by 1 W m⁻². The latter is similar to the findings in Schmid et al. (2014). For the lake thermal structure, 1213 1214 the overestimated shortwave radiation could result in warmer temperature above 20 m. 1215 Stronger wind largely increases the water temperature above 30 m in the autumn. 1216

1217

Table S1 Five experiments for sensitivity analysis.

Case	Description				
BASE	Control experiment				
Т	Increase 2-m air temperature by 1 °C				
Q	Increase 2-m specific humidity by 0.001 kg kg ⁻¹				
Wind	Increase both 10-m U and V components of wind by 1 m s ⁻¹				
SW	Increase downward shortwave radiation at the ground by 1 W m ⁻²				
LW	Increase downward longwave radiation at the ground by 1 W m ⁻²				

0.0 -	0.23	0.43	0.21	0.03	0.03	0.45
4.7 -	0.23	0.41	0.37	0.05	0.03	- 0.40
14.0 -	0.18	0.31	0.26	0.04	0.02	- 0.35
23.3 -	0.11	0.15	0.14	0.03	0.02	- 0.30
Ê 32.6 -	0.04	0.07	0.06	0.01	0.01	- 0.25
- 0.24 gbt	0.00	0.00	0.00	0.00	0.00	- 0.20
51.2 -	0.00	0.00	0.00	0.00	0.00	- 0.15
60.6 -	0.00	0.00	0.00	0.00	0.00	- 0.10
69.8 -	0.00	0.00	0.00	0.00	0.00	- 0.05
79.1 -	0.00	0.00	0.00	0.00	0.00	0.05
	Ť	Q	Wind	sw	ĹŴ	- 0.00

1219 Figure S1 Mean bias error of lake water temperature between BASE and other

1218

experiments during 2016.



1223 differences between BASE and (b) T, (c) Q, (d) Wind, (e) SW and (f) LW.

1225 Supporting information 2: Using a 25-layer discretization method

- 1226 We performed two additional numerical experiments using a 25-layer
- 1227 discretization method (Table S2) based on the CTL and MOD experiment respectively,
- denoted as CTL 25 and MOD 25 (Figure S3-4). The results showed that increasing
- 1229 vertical layers produces smoother water temperature profile during the development
- 1230 of thermal stratification. The mixing strength above 10 m was promoted, although the
- 1231 overall distribution of water temperature below 20 m was only sightly affected. The
- 1232 root mean square error (RMSE) for the surface water temperature (the average of 0-2
- 1233 m) in MOD 25 is 1.18 °C, smaller than that of MOD (1.59 °C). However, the
- negative bias above 20 m and the positive bias below it got worse in MOD_25.

1235

Table S2 The layer thickness and depth of each layer center at Daba buoy (~93 m)

using 25-layer discretization method.

Layer	Thickness (m)	Depth (m)
1	0.1	0.05
2	0.465	0.3325
3	0.465	0.7975
4	0.465	1.2625
5	0.465	1.7275
6	0.93	2.425
7	0.93	3.355
8	0.93	4.285
9	0.93	5.215
10	1.395	6.3775
11	1.395	7.7725
12	1.395	9.1675
13	1.395	10.5625
14	3.72	13.12
15	3.72	16.84
16	4.65	21.025
17	4.65	25.675
18	6.51	31.255
19	6.51	37.765
20	6.51	44.275
21	6.51	50.785
22	9.7185	58.89925
23	9.7185	68.61775
24	9.7185	78.33625
25	9.7185	88.05475



- 1246 Figure S5 Light extinction coefficients in photosynthetically active radiation region
- 1247 (400–700 nm).



- 1249 Figure S6 Daily variation of (a) lake surface water temperature (°C), (b) sensible heat
- 1250 flux (W m⁻²), and (c) latent heat flux (W m⁻²) differences between CTL and other
- 1251 sensitivity.



1252

- 1253 Figure S7 Monthly vertical profiles of the observed water temperature at the Daba
- 1254 station.



Figure S8 Daily 10-m wind speed (m s⁻¹) from the ERA5 reanalysis (red line) and observations at the Chun'an weather station (black line). Mean bias error (MBE) and root mean square error (RMSE) between them are -0.1 m s^{-1} and 0.9 m s^{-1} , respectively.



- 1262 Figure S9 The 2-m air temperature (°C) and 24h rainfall (mm) observed by Chun'an
- 1263 weather station and simulated by CTL and MOD from March 21 2016 and December


- (b) (a) KD CTL 1 1 10 10 20 20 Depth (m) 30 30 40 40 50 50 60 60 4/1 5/1 6/1 7/1 8/1 9/1 10/1 11/1 12/1 4/1 5/1 6/1 7/1 8/1 9/1 10/1 11/1 12/1 (c) (d) Z0MG INI 1 1 10 10 20 20 Depth (m) 30 30 40 40 50 50 60 60 7/1 8/1 9/1 10/1 11/1 12/1 4/1 5/1 6/1 7/1 8/1 9/1 10/1 11/1 12/1 4/1 5/1 6/1 (f) (e) MOD KE 1 1 10 10 20 20 Depth (m) 30 30 40 40 50 50 60 60 8/1 Date 7/1 9/1 10/1 11/1 12/1 7/1 8/1 9/1 10/1 11/1 12/1 4/1 5/1 6/1 4/1 5/1 6/1 Date 1269 6 8 -2 0 4 6 8
- Figure S10 Differences between lake water temperature (°C) simulated by (a) CTL, (b)
 KD, (c) INI, (d) Z0MG, (e) KE, and (f) MOD.



1270 Figure S11 Monthly vertical temperature profiles for the first 65 m water in 2016.

1272 Figure S12 (a) Ri and (b) k_e (m² s⁻¹) at 04:00 UTC each day from 2016-03-21 to



1273 2016-12-31. The blank at the bottom denotes missing values of the last vertical layer.

Figure S13 The time-averaged (a-b) 2-m air temperature (K), (c-d) 10-m wind speed
(m s⁻¹), (e-f) surface downward shortwave radiation (W m⁻²) and (g-h) surface
longwave radiation (W m⁻²) from 2016-03-21 to 2016-12-31. The first column shows
the reanalysis and the second shows the simulation results. 2-m air temperature and
10-m wind speed are compared with ERA5. The shortwave and longwave radiation
are compared with CMFD.



1281

1282 Figure S14 Same as Figure S13 but for the 2-m relative humidity (%), compared with



1283 ERA5.

1285 Figure S15 The lake water temperature of the top model layer (0.05 m) of CTL (a)

1286 and its difference against KD (b), INI (c), Z0MG (d), KE (e), and MOD (f).





77



1288 Figure S16 The simulated lake water temperature (°C) and its differences with CTL.

- 1290 Table S3 Mean bias error (MBE) and root mean square error (RMSE) of lake water
- 1291 temperature at different lake depth (0-2 m, 9-11 m, 19-21 m and 39-41 m), bottom
- 1292 depth of metalimnion (metaB), thickness of metalimnion (metaTh) and thermocline
 - Case 0-2 m 9-11 m 19-21 39-41 metaB metaTh thermD m m CTL MBE -1.29 -3.59 -5.06 -5.02 12.96 -3.92 -5.8 KD -0.89 -2.85 -4.44 -13.39 -5.01 -7.85 -4.83 INI -1.38 -4.17 -5.75 -1.87 13.96 -3.28 -5.25 Z0MG 0.1 -1.9 -4.08 -4.83 12.03 1.34 2.35 KE -1.31 -1.17 -1.71 -4.7 15.99 -3.97 -5.5 KE INI -1.5 -1.78 -2.48 -1.65 -4.13 0.13 -0.82 MOD -0.08 0.46 -0.6 -1.62 -1.71 0.95 0.51 RMSE CTL 4.54 18.88 7.51 12.1 2.87 6.04 5.33 KD 2.76 3.99 5.49 16.33 13.12 5.14 8.81 INI 2.87 4.85 6.57 2.15 18.51 6.69 11.1 Z0MG 9.73 2.25 3.25 5.21 5.14 18.29 6 KE 2.55 2.39 2.7 5 19.62 6.71 10.54 KE_INI 2.58 2.47 3.01 1.88 9.21 5.01 9.02 MOD 1.59 1.51 1.25 1.85 8.08 4.74 8.75
- 1293 depth (thermD).

1294