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# A Pressure Equation for Weakly Compressible SPH

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## Abstract

This paper presents a formulation of a general form of an equation for pressure using thermodynamic principles. The motivation for this is in large part due to the need for a pressure equation for smoothed particle hydrodynamics, SPH, that takes into account the role of entropy. This is necessary because the use of physical and artificial viscosity leads to an increase in entropy. While such an increase in entropy in liquids may be negligibly small, standard SPH formulations treat a liquid as a weakly compressible gas. Consequently, for fluid-fluid and fluid-structure impact flows, the resulting increase in entropy is not negligible anymore. The proposed pressure equation contains diffusion terms whose main role is to smooth out unphysically large numerical oscillations in the pressure field related to the shock during an impact event. One consequence of adopting this numerical scheme, however, is that there are new (free) parameters that must be set. Nevertheless, effort has been made to obtain their plausible estimators from physical principles. The

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proposed model is applicable outside the domain of SPH.

*Keywords:* pressure equation, generalized EDAC, incompressibility modulus, SPH, thermodynamics

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## 1. Introductions

In weakly compressible SPH (WCSPH), artificial incompressibility is introduced via a simple equation of state on the basis that the flow is isentropic i.e. zero thermal diffusivity. This was originally proposed by Monaghan [1].

$$P = B \left[ \left( \frac{\rho}{\rho_0} \right)^\gamma - 1 \right] \quad (1)$$

where  $P$  is the pressure,  $\rho$  is the density and  $\rho_0$  is the reference density when  $P = 0$ . The stiffness parameter  $B$  is given by defining a reference speed of sound  $c_0^2 := (\partial P / \partial \rho)|_{\rho=\rho_0} = B\gamma / \rho_0$  and the parameter  $\gamma$  is typically chosen to be  $\gamma = 7$  for water and  $\gamma = 1$  for air. With this stiff equation of state, small errors in the density lead to very large spurious noise in the pressure field which in turn pollutes the computed velocity field. In particular, within the realm of weakly compressible fluids, one can consider density fluctuations  $\Delta\rho := \rho - \rho_0$  with an additional assumption that these fluctuations are small i.e.  $|\Delta\rho / \rho_0| \ll 1$ . Then using the binomial expansion of  $(1 + \Delta\rho / \rho_0)^\gamma$  for small  $\Delta\rho$  in equation (1), a less stiff equation of state proposed by Müller et al. [2] is obtained:

$$P = c_0^2(\rho - \rho_0) \quad (2)$$

Note the similarity of (2) to the ideal gas law, with the exception of the background pressure  $-\rho_0 c_0^2$  which is essential for numerical stability of the

18 simulation [2]. Furthermore, due to the isentropic flow assumption, both  
19 equations of state (1) and (2) may not be adequate in capturing the pressure  
20 correctly for viscous flows within the weak compressibility SPH framework.  
21 Due to the use both physical and artificial viscosity, entropy increases. While  
22 the role of entropy in liquids can be neglected, in WCSPH, a liquid is treated  
23 as a weakly compressible gas. Therefore, on this basis, the role of entropy  
24 in computing the pressure must be taken into account particularly for fluid  
25 flows involving impact.

26 The WCSPH scheme has been successfully applied to the simulation of  
27 astrophysical problems, multiphase flows, free surface flows, fluid-structure  
28 interaction, elastic fracture, thermal and matter diffusion, physiological prob-  
29 lems and many others [3]. It remains a popular approach as it makes it  
30 possible to write the system equations as an hyperbolic system that can be  
31 integrated in a simple manner. However, the use of a stiff equation of state  
32 for pressure in WCSPH schemes sometimes leads to large, spurious numer-  
33 ical high-frequency oscillations in the predicted pressure field. To mitigate  
34 this problem, several correction algorithms have been proposed in the liter-  
35 ature. Colagrossi and Landrini [4] suggested to periodically re-initialize the  
36 density field every 20-40 time steps with a moving least square (MLS) inte-  
37 gral interpolation. While this method is effective at reducing the unphysical  
38 pressure oscillations, it does not guarantee long term stability of the pres-  
39 sure field due to the loss of volume conservation; mismatch between density  
40 and volume represented by fluid particles accumulates as the density is cal-  
41 culated by a time-advancing manner. The third and particularly attractive  
42 approach is the introduction of diffusion terms into the continuity equation

43 as proposed by Antuono et al. [5]. The introduction of these artificial terms  
44 is perhaps less rigorous when compared with the EDAC approach which is  
45 premised on a physically sound basis [6]. The EDAC scheme has recently  
46 attracted some attention in the fluid dynamics community; Ramachandran  
47 and Puri [7], Toutant [8], Kajzer and Pozorski [9], Dupuy et al. [10]. Unlike  
48 the Poisson equation, the EDAC method is fully explicit requiring no sub-  
49 iterations. This implies that the EDAC method has a lower computational  
50 cost for incompressible flow simulations. The aforementioned studies have  
51 further demonstrated that the EDAC method has low memory requirements  
52 and is easily parallelizable as the system of equations are explicit in time.

53 One common way of modeling the incompressible Navier-Stokes equations  
54 (INS) is to assume that the fluid compressibility is zero. In such an ideal fluid,  
55 pressure disturbances are transmitted instantaneously. As noted by Clausen  
56 [6], the instantaneous propagation of pressure fluctuations across the entire  
57 fluid domain results in an elliptic-type system that requires non-local com-  
58 munication. The Poisson pressure equation (PPE) in incompressible SPH  
59 presents a challenge in speeding up SPH computations as it involves solv-  
60 ing a large, sparse matrix, that must be solved on an entire computational  
61 domain; small perturbations near boundaries or free surfaces can instanta-  
62 neously propagate across the entire domain and may lead to large numerical  
63 oscillations and instabilities in the numerical solution [11]. This means that  
64 the INS is sensitive to numerical oscillations and it is consequently easier to  
65 induce numerical instabilities.

66 The structure of the paper is organized as follows: In the subsequent  
67 section, a detailed formulation of the pressure equation is presented with

68 careful consideration of relevant thermodynamic properties. Then the the-  
69 oretical considerations of the parameters fundamental to this work are dis-  
70 cussed in some detail. Finally, in the section on model validation, a number  
71 of benchmark problems are computed using the proposed model and the  
72 EDAC scheme, and the computational results are validated against experi-  
73 mental data or analytical solutions if available.

## 74 2. Pressure equation

### 75 2.1. Background

76 The analysis begins with the fundamental equations of fluid mechanics,  
77 namely the compressible Navier-Stokes equations:

$$\frac{d\rho}{dt} = -\rho \nabla \cdot \mathbf{u} \quad (3a)$$

$$\rho \frac{d\mathbf{u}}{dt} = -\nabla P + \rho \nu \nabla^2 \mathbf{u} + \rho \mathbf{g} \quad (3b)$$

$$\rho \frac{du}{dt} = -P \nabla \cdot \mathbf{u} + \nabla \cdot (k \nabla T) + \Phi \quad (3c)$$

78 In the above equations the symbols have the following meaning: fluid density  
79  $\rho$ , fluid pressure  $P$ , fluid velocity  $\mathbf{u}$ , kinematic viscosity  $\nu$ , acceleration due  
80 to gravity  $\mathbf{g}$ , temperature  $T$ , specific internal energy  $u$ , thermal conductivity  
81  $k$ , and dissipation function  $\Phi = \rho \nu (\nabla \mathbf{u} + \nabla \mathbf{u}^T) : \nabla \mathbf{u}$ .

82 When incompressibility  $\nabla \cdot \mathbf{u} = 0$  is assumed, the system of equations  
83 (3) can be closed by taking the divergence of the conservative form of the  
84 momentum equation (3b) to obtain an equation for pressure, namely the  
85 pressure Poisson equation, PPE, given by  $\nabla^2 P = -\nabla \mathbf{u} : \nabla \mathbf{u}^T$ . This is  
86 the equation (or its variants in SPH) that is solved in incompressible SPH

87 to obtain the pressure field. Instead of solving an elliptic system, the in-  
 88 compressibility requirement can be relaxed. WCSPH accomplishes this by  
 89 using either (1) or (2) above and evolving the density according to equation  
 90 (3a). Clausen [6] proposed the entropically damped artificial compressibility  
 91 (EDAC) model:

$$\frac{d\mathbf{u}}{dt} = -\frac{1}{\rho_0} \nabla P + \nu \nabla^2 \mathbf{u} + \mathbf{g} \quad (4a)$$

$$\frac{dP}{dt} = -\rho_0 c_0^2 \nabla \cdot \mathbf{u} + \nu \nabla^2 P \quad (4b)$$

92 where thermodynamic parameters subscripted with a zero are reference quan-  
 93 tities when  $P = 0$ ; speed of sound  $c_0$ , and reference density  $\rho_0$ .

94 Note that while the pressure equation (4b) is for quasi-incompressible  
 95 flows, a similar equation was proposed by Zang et al. [12] in the context  
 96 of compressible flow dynamics. One key assumption made by Clausen [6] is  
 97 that there are no thermodynamic fluctuations in the density  $d\rho = 0$ , i.e. fluid  
 98 density is constant. This enabled him to express temperature gradients in  
 99 terms of pressure gradients via a simple linear relation. In our analysis this  
 100 assumption shall be relaxed. This is to be consistent with the assumption of  
 101 weak compressibility where  $d\rho$  is small but not necessarily zero.

102 Although in SPH calculations the volume is not computed directly, we  
 103 can use the volume estimator  $V = m/\rho$  where  $m$  is the mass. Then the  
 104 change in volume can be estimated as  $dV = -md\rho/\rho^2$ . Using this volume  
 105 estimator, quantities can either be expressed in terms of per unit mass or per  
 106 unit volume. These transformations shall be used in the next section where  
 107 we have adopted the per unit volume convention since it is typical to use  $V$   
 108 as a state function in thermodynamics rather than  $\rho$ .

109 *2.2. Formulation: thermodynamics of the fluid*

110 First, observe that the motion of a compressible fluid is directly affected  
 111 by its thermodynamic state, itself a consequence of the motion. This has a  
 112 profound consequence that the thermodynamic principles underpinning the  
 113 first and second laws of thermodynamics are fundamental to the theory of  
 114 compressible flows. The fact that any change in the state of the fluid is  
 115 independent of the actual physical process by which the change was achieved  
 116 makes thermodynamics powerful. This makes it possible to combine the first  
 117 and second laws of thermodynamics to obtain the famous Gibbs equation  
 118 which is stated exclusively in terms of exact differentials.

119 To begin our exposition, we briefly consider the notion of work and heat  
 120 next. First we define a state space  $\Sigma$  as an open, simply connected subset  
 121  $\Sigma \subset (0, \infty) \times (0, \infty)$ . The elements of  $\Sigma$  are called states; of which the most  
 122 fundamental are pressure  $P$ , internal energy  $U$ , and volume  $V$ . We define a  
 123 path  $\Gamma$  for our model to be an oriented, continuous, piecewise  $C^1$  curve in  
 124 the state space. This is then parameterized by writing:

$$\Gamma := \{(U(\mathbf{r}(t), t), V(\mathbf{r}(t), t)) \mid t \in \mathcal{I} = [t_1, t_2]\} \quad (5)$$

125 The first law of thermodynamics for our thermodynamic system i.e. a fluid  
 126 parcel is given by

$$\delta Q = dU + \delta W \quad (6)$$

127 where  $\delta Q$  is the heat added to the system (per unit volume) with the net heat  
 128 gained  $\mathbb{Q}(\Gamma) := \int_{\Gamma} \delta Q$ . Similarly,  $\delta W$ , is the work done by compression and  
 129 expansion of the fluid parcel with  $\mathbb{W}(\Gamma) := \int_{\Gamma} \delta W \equiv \int_V P dV$ . Note that the

130 notation  $\delta$  is used here for the differentials to emphasize that both  $\delta Q$  and  
 131  $\delta W$  are path dependent. This obviously means that both  $\mathbb{Q}$  and  $\mathbb{W}$  do not  
 132 qualify as state functions. The internal energy, on the other hand, is a state  
 133 function and is thus expressed as an exact differential using the notation  $d$ .  
 134 Any change in the internal energy of the system is equal to the difference  
 135 between the final and initial values irrespective of the path followed by the  
 136 system between the two states 1 and 2 since  $\int_1^2 dU = U_2 - U_1$ . The system  
 137 in this case would be an infinitesimal fluid element. For the fluid, the first  
 138 law of thermodynamics (6) is only useful if we can determine a functional  
 139 relationship between the internal energy, volume and pressure  $P = P(U, V)$ .  
 140 Assuming that an equation of state, or alternatively an evolution equation  
 141 for pressure, can be found, the first law of thermodynamics becomes:

$$\delta Q = dU + P(U, V)dV \quad (7)$$

142 According to Pfaff's theorem (7) has an integration factor  $1/T(U, V)$  (postu-  
 143 lated in the zeroth law of thermodynamics) that transforms it into an exact  
 144 differential form.

$$\frac{\delta Q}{T(U, V)} = \frac{1}{T(U, V)}dU + \frac{P(U, V)}{T(U, V)}dV \equiv dS(U, V) \quad (8)$$

145 which implies the existence of two new state functions which are the tem-  
 146 perature  $T(U, V)$  and an associated integral called the entropy  $S(U, V)$ . The  
 147 final result is Gibbs equation.

$$dU = TdS - PdV \quad (9)$$

148 Using the volume estimator  $V = m/\rho$  where  $m$  is the mass, then the change  
 149 in volume can be estimated as  $dV = -md\rho/\rho^2$ . In terms of quantities per



150 unit mass variables instead of per unit volume (i.e.  $du$  instead of  $dU$  with  
 151  $u = U/m$ ), Gibbs equation can be expressed as  $du = Tds - Pd\rho/\rho^2$ . How-  
 152 ever, since thermodynamic capacities (to be discussed shortly) are typically  
 153 expressed in terms of per unit volume quantities, we shall use the per unit  
 154 volume quantities for the rest of the discussion. It is nevertheless straightfor-  
 155 ward to switch between the two conventions. Furthermore, thermodynamic  
 156 properties such as  $S$ ,  $U$ ,  $V$  and  $m$  are additive and are called extensive prop-  
 157 erties; they depend on the mass of the thermodynamic system. By contrast,  
 158  $P$ ,  $T$  and  $\rho$  are nonadditive and are called intensive properties; they do not  
 159 depend on the size of the thermodynamic system. The ratio between any two  
 160 extensive properties is an intensive property so that specific internal energy  
 161  $u = U/m$ , specific entropy  $s = S/m$  and density  $\rho = m/V$  are all inten-  
 162 sive properties. Extensive properties are symbolized by uppercase letters  
 163 whereas intensive properties are symbolized by lowercase letters. Exceptions  
 164 are temperature  $T$  and mass  $m$ .

165 Our goal is to determine  $dP(U, V)$ . Starting with the differential form for  
 166 U:

$$dU = \left(\frac{\partial U}{\partial S}\right)_V dS + \left(\frac{\partial U}{\partial V}\right)_S dV \quad (10)$$

167 From (9) and (10) we then have

$$T = \left(\frac{\partial U}{\partial S}\right)_V, \quad P = -\left(\frac{\partial U}{\partial V}\right)_S \quad (11)$$

168 However,  $dU$  is an exact differential and by Clairaut's theorem second deriva-  
 169 tives of partials are the same irrespective of the order of differentiation. We

170 then obtain an important relation for the system parameters.

$$\left(\frac{\partial T}{\partial V}\right)_S = -\left(\frac{\partial P}{\partial S}\right)_V \quad (12)$$

171 which happens to be one of the classical Maxwell's relations for thermody-  
 172 namics. Since  $U = U(S, V)$ , we then infer that  $T = T(S, V)$  and  $P =$   
 173  $P(S, V)$ . Using these important relations, we proceed to compute the differ-  
 174 ential form for the pressure.

$$dP = \left(\frac{\partial P}{\partial S}\right)_V dS + \left(\frac{\partial P}{\partial V}\right)_S dV \quad (13)$$

175 In order to obtain the material derivative of the pressure, we first use Gibbs  
 176 equation (9) to eliminate  $dS$  from equation (13) yielding;

$$dP = \frac{1}{T} \left(\frac{\partial P}{\partial S}\right)_V dU + \left\{ \left(\frac{\partial P}{\partial V}\right)_S + \frac{P}{T} \left(\frac{\partial P}{\partial S}\right)_V \right\} dV \quad (14)$$

177 The goal is to find equivalent partial derivatives containing  $P$ ,  $T$  and  $V$   
 178 that are physically measurable and thus provides a means for determining  
 179 the change of pressure with entropy, which is not measurable. To this end,  
 180 we now introduce useful thermodynamic capacities given by the following  
 181 relations.

$$K_S := -V \left(\frac{\partial P}{\partial V}\right)_S, \quad K_T := -V \left(\frac{\partial P}{\partial V}\right)_T, \quad \beta := \frac{1}{V} \left(\frac{\partial V}{\partial T}\right)_P \quad (15)$$

182 where  $K_S$  is the adiabatic incompressibility modulus,  $K_T$  is the isothermal  
 183 incompressibility modulus and  $\beta$  is the volumetric thermal expansivity. Since  
 184  $K_S, K_T < 0$  contradicts mechanical stability, all materials have  $K_S, K_T > 0$ .  
 185 There is no general principle that could limit  $\beta$ . However, fluids and most  
 186 materials expand upon heating so that  $\beta > 0$ . To determine the coefficient

187 of  $dU$  in (14), we first determine the isochoric change in pressure with tem-  
 188 perature. Using the Jacobian transform:

$$\begin{aligned}
 \frac{\partial(S, T)}{\partial(P, T)} &= \frac{\partial(S, T)}{\partial(V, T)} \cdot \frac{\partial(V, T)}{\partial(P, T)} \\
 \implies \left(\frac{\partial S}{\partial P}\right)_T &= \left(\frac{\partial S}{\partial V}\right)_T \cdot \left(\frac{\partial V}{\partial P}\right)_T \\
 \implies -\left(\frac{\partial V}{\partial T}\right)_P &= +\left(\frac{\partial P}{\partial T}\right)_V \cdot \left(\frac{\partial V}{\partial P}\right)_T \\
 \therefore \left(\frac{\partial P}{\partial T}\right)_V &= -V \left(\frac{\partial P}{\partial V}\right)_T \cdot \frac{1}{V} \left(\frac{\partial V}{\partial T}\right)_P = K_T \beta \quad (16)
 \end{aligned}$$

189 where use has been made of of Maxwell's relations (see [Appendix A](#)) in the  
 190 third equality. Finally, using the above result, we then have

$$\begin{aligned}
 \frac{\partial(P, V)}{\partial(S, V)} &= \frac{\partial(P, V)}{\partial(T, V)} \cdot \frac{\partial(T, V)}{\partial(S, V)} \\
 \left(\frac{\partial P}{\partial S}\right)_V &= \frac{\beta T K_T}{C_V} \quad (17)
 \end{aligned}$$

191 where  $C_V := T(\partial S/\partial T)_V$  is the heat capacity at constant volume. With the  
 192 relation given by equation (17), the pressure differential form (14) can then  
 193 be expressed in terms of thermodynamic capacities:

$$dP = \frac{\beta K_T}{C_V} dU + \left( \frac{\beta K_T}{C_V} P - \frac{K_S}{V} \right) dV \quad (18)$$

194 Furthermore, using the continuity equation (3a) with  $dV = -m d\rho/\rho^2$  and  
 195 the energy equation (3c) with  $u := U/m$ , equation (18) takes the form

$$\frac{dP}{dt} = -K_S \nabla \cdot \mathbf{u} + \frac{\beta V K_S}{C_p} \Phi + \frac{\beta V K_S}{C_V} \nabla \cdot (k \nabla T) \quad (19)$$

196 using the thermodynamic relation  $\gamma = C_p/C_V = K_S/K_T$ .

197 *2.3. Temperature gradient estimate*

198 The next step is to eliminate the temperature from the above equation.  
 199 To achieve this, we introduce pressure as a thermodynamic state function of  
 200 temperature and volume i.e.  $P \equiv P(T, V)$ . The associated differential form  
 201 becomes

$$\begin{aligned} dP &= \left( \frac{\partial P}{\partial T} \right)_V dT + \left( \frac{\partial P}{\partial V} \right)_T dV \\ &= \beta K_T dT - \frac{K_T}{V} dV \end{aligned} \quad (20)$$

202 In the standard EDAC scheme, Clausen [6] proceeds by imposing a constraint  
 203 that there are no thermodynamic fluctuations in the density i.e.  $d\rho = 0$  or  
 204 equivalently  $dV = 0$  to obtain a thermodynamic relationship between pres-  
 205 sure gradients and temperature gradients. In principle, imposing a constraint  
 206 such as  $d\rho = 0$  would be computationally demanding in the sense that one  
 207 has to continually check that at each time integration step, this condition is  
 208 met (or is at least below a prescribed threshold), akin to INS solvers where  
 209 the condition  $\nabla \cdot u = 0$  has to be checked.

210 Therefore, with this background, we proceed without the additional con-  
 211 straint  $d\rho = 0$  or equivalently  $dV = 0$ . Then from equation (20), we have

$$\nabla T = \frac{\nabla V}{\beta V} + \frac{\gamma}{\beta K_S} \nabla P, \quad \text{alternatively} \quad \nabla T = -\frac{\nabla \rho}{\beta \rho} + \frac{\gamma}{\beta K_S} \nabla P \quad (21)$$

212 Simplifying (19) and (21) gives:

$$\frac{1}{K_S} \frac{dP}{dt} = -\nabla \cdot \mathbf{u} + \frac{\beta}{\rho c_p} \Phi + \frac{\beta}{\rho c_p} \nabla \cdot \left( \frac{\gamma k}{\beta K_S} \nabla P \right) - \frac{\beta}{\rho c_p} \nabla \cdot \left( \frac{k}{\beta \rho} \nabla \rho \right) \quad (22)$$

213 where the specific heat capacity is defined as the heat capacity per unit mass  
 214 i.e.  $c_p := C_P/m$ . Note that  $\gamma > 1$  for all substances so that  $K_S > K_T > 0$

215 and  $C_P > C_V > 0$  are valid for all materials. Similar versions of the pressure  
 216 equation can be found in [6, 12].

217 *2.4. Coefficients of differential susceptibility; first approximation*

218 Equation (22) is the general form of the pressure equation in which careful  
 219 consideration of thermodynamic quantities has been made. However, we can  
 220 introduce several simplifying assumptions on the experimentally measurable  
 221 thermodynamic variables  $\beta$ ,  $\gamma$ ,  $k$  and  $c_p$ . These quantities, in general, are  
 222 not constants but functions of the thermodynamic state. By nature, they are  
 223 coefficients of differential susceptibility: they tell us how, when we hold all  
 224 variables but one fixed and differentially “perturb the system“, the solitary  
 225 unconstrained variable responds. These coefficients all arise from perturba-  
 226 tion processes that are by nature calorimetric. As a first approximation one  
 227 can assume that they are temperature independent and thus taken to be  
 228 constant. Under this assumption, (22) is shown to be

$$\frac{1}{K_S} \frac{dP}{dt} = -\nabla \cdot \mathbf{u} + \frac{\alpha\beta}{k} \Phi + \gamma\alpha \nabla \cdot \left( \frac{1}{K_S(P, T)} \nabla P \right) - \alpha \nabla \cdot \left( \frac{1}{\rho} \nabla \rho \right) \quad (23)$$

229 noting that the adiabatic incompressibility modulus  $K_S$  generally varies with  
 230 pressure and temperature. If viscous dissipation effects are neglected, all that  
 231 remains for (23) to be useful is to develop models for only two thermodynamic  
 232 properties;  $K_S$  and  $\alpha$ , certainly a much simpler task.

233 **3. Model Parameters**

234 A more elaborate theoretical consideration is needed to make the pro-  
 235 posed pressure equation useful. To this end, the modeling of  $K_S$  is first  
 236 made and then the estimation of  $\alpha$  is briefly discussed.

237 *3.1. Incompressibility modulus*

238 While equation (22) must hold for any fluid flow, there are idealized situ-  
 239 ations in which this equation can be further simplified. The condition of zero  
 240 thermal diffusivity  $\alpha = 0$  results in the conservation of entropy  $dS = 0$  and  
 241 we say that the flow is isentropic. This is an idealized thermodynamic process  
 242 that is adiabatic and in which work transfers are frictionless. The simplifying  
 243 feature of isentropic flow is that exchanges between internal energy and other  
 244 forms of energy are reversible, and the internal energy and temperature play  
 245 passive roles; merely changing in response to the compression of a fluid.

246 The incompressibility modulus is, in general, dependent on both temper-  
 247 ature and pressure[13], i.e.  $K_S = K_S(T, P)$ . By Taylor expanding around  
 248 the reference thermodynamic state  $\Omega_0 := (T_0, P_0)$ :

$$\begin{aligned}
 K_S(P, T) = & K_S(P, T) \Big|_{\Omega_0} + \frac{\partial K_S}{\partial P} \Big|_{\Omega_0} (P - P_0) + \frac{\partial K_S}{\partial T} \Big|_{\Omega_0} (T - T_0) \\
 & + \frac{1}{2!} \frac{\partial^2 K_S}{\partial P^2} \Big|_{\Omega_0} (P - P_0)^2 + \frac{1}{2!} \frac{\partial^2 K_S}{\partial T^2} \Big|_{\Omega_0} (T - T_0)^2 + \dots \quad (24)
 \end{aligned}$$

249 Assuming that temperature dependency is negligible or that  $K_S$  varies weakly  
 250 with temperature, then for a reference pressure  $P_0 = 0$ :

$$K_S(P) = K_{S,0} + \gamma P + \zeta P^2 \quad \text{with} \quad \gamma := \frac{\partial K_S}{\partial P} \Big|_{P=0}, \quad \zeta := \frac{1}{2!} \frac{\partial^2 K_S}{\partial P^2} \Big|_{P=0} \quad (25)$$

251 where  $K_{S,0}$  is the reference adiabatic incompressibility modulus at  $P = 0$ .  
 252 The parameters  $\gamma$  and  $\zeta$  must, in general, be determined empirically.  $K_S$   
 253 must increase with pressure and the simplest relationship satisfying this re-  
 254 quirement is when  $\zeta = 0$  and the resulting equation is sometimes known as  
 255 Murnaghan's equation [13–15]. In the following analysis we first consider the  
 256 two parameters  $\gamma$  and  $K_{S,0}$  and their use/definitions in SPH. For isentropic

257 flows, the thermal diffusivity is zero. This implies that the last 3 terms of  
 258 (23) must vanish. In that case, the pressure equation reduces to a simple  
 259 differential form where pressure is barotropic:

$$dP = (K_{S,0} + \gamma P) \frac{d\rho}{\rho}, \quad P|_{\rho=\rho_0} = 0, \quad K_{S,0} = \rho \left. \frac{\partial P}{\partial \rho} \right|_{S, \rho=\rho_0} \quad (26)$$

260 which is an easily solvable differential equation. Equation (26) could be  
 261 referred to as the integrated linear theory. A simple integration of the above  
 262 equation yields the standard equation of state for SPH where the pressure  
 263 varies non-linearly with the density:

$$P(\rho) = \frac{1}{\gamma} K_{S,0} \left[ \left( \frac{\rho}{\rho_0} \right)^\gamma - 1 \right] \quad (27)$$

264 This equation is called Murnaghan equation of state [13–15] and  $\zeta = 0$  in  
 265 this case. By further defining the reference adiabatic speed of sound  $c_{s,0}^2 :=$   
 266  $(\partial P / \partial \rho)_s|_{\rho=\rho_0}$  then it is easy to show that  $K_{S,0} = \rho_0 c_{s,0}^2$ . Note that for an  
 267 ideal gas  $K_{S,0} \equiv 0$  since  $K_S = \gamma P$ , which further yields the more familiar  
 268 ideal gas equation of state  $P(\rho) = A(s) \rho^\gamma$ . Here  $A(s)$  is the adiabat, itself a  
 269 function of the entropy. In the case of isentropic flows,  $s$  and thus  $A$  remain  
 270 constant.

### 271 3.2. The parameter $\zeta$

272 In thinking about volume and temperature changes, we often have some  
 273 sort of gas in mind. This is clearly the case in WCSPH, for instance, where  
 274 liquids are modeled as gases. However, in fluid-fluid and fluid-structure im-  
 275 pact flows we have to deal with very large pressures during impact where  
 276 changes in volume and temperature are not negligible. One parameter that

277 could be useful in characterizing these types of flows is the Grüneisen pa-  
 278 rameter, a dimensionless property. Knopoff and Shapiro [16] showed that  $\Gamma$   
 279 for water does become substantially independent temperature at high pres-  
 280 sure. This lack of dependence of  $\Gamma$  on  $T$  for part of volume of water implies  
 281 that techniques of lattice dynamics, originally developed for solids, can be  
 282 applied to the liquid in this range. Under these conditions [16], the volume  
 283 dependence of  $\Gamma$  is given by

$$\Gamma(V) = \frac{t-2}{3} - \frac{V \frac{d^2}{dV^2} \left( PV^{\frac{2t}{3}} \right)}{2 \frac{d}{dV} \left( PV^{\frac{2t}{3}} \right)} \quad (28)$$

284 where the case  $t = 2$  is called the free-volume (FV) formulation. The Slater-  
 285 Landau (SL) and Dugdale-MacDonald (DM) formulations are obtained by  
 286 setting  $t = 0$  and  $t = 1$ , respectively. Using definitions (15) for the incom-  
 287 pressibility modulus, we can rewrite equation (28) as

$$\Gamma(V) = -\frac{1}{6} + \frac{1}{2} \frac{K'_S(P) - \frac{2t}{3}}{1 - \frac{2t}{3} \frac{P}{K_S(P)}} \quad (29)$$

288 where adiabatic compressions are assumed and so the subscript  $S$  is desig-  
 289 nated. Then from equation (25), we have  $K'_S = \gamma + 2\zeta P$  and then  $\Gamma_0$  is given  
 290 by

$$\Gamma_0 = -\frac{1}{6} + \frac{1}{2} \left( \gamma - \frac{2t}{3} \right) \quad (30)$$

291 With the assumption that  $\Gamma$  is independent of temperature, methods of lat-  
 292 tice dynamics (specifically developed for solids where  $\Gamma$  is nearly independent  
 293 of  $T$ ) are applicable to liquids as well [16]. Using these ideas, Mao [14] derives  
 294 a formula for the composite parameter  $K_{S,0} K''_{S,0}$  which we refine as

$$-K_{S,0} K''_{S,0} = 2\Gamma_0 \left( \Gamma_0 + \frac{1}{3} \right) + \frac{1}{3} \left( K'_{S,0} - \frac{1}{3} \right) \quad (31)$$



295 where  $K'_{S,0} := (\partial K_S / \partial P)_{P=0}$ ,  $K''_{S,0} := (\partial^2 K_S / \partial P^2)_{P=0}$  and  $\Gamma_0 = \beta K_{S,0} / (\rho_0 c_p)$   
 296 is the reference Grüneisen number. Then from Eq. 25, we have  $K'_{S,0} = \gamma$  and  
 297  $K''_{S,0} = 2\zeta$ . This leads to the following estimator for  $\zeta$ :

$$\zeta = -\frac{1}{2\rho_0 c_{s,0}^2} \left[ \frac{1}{3} \left( \gamma - \frac{1}{3} \right) + 2\Gamma_0 \left( \Gamma_0 + \frac{1}{3} \right) \right] \quad (32)$$

298 from which the physical meaning is now relatively clear. Note that  $\zeta$  is  
 299 strictly negative i.e.  $\zeta < 0$  as shown by equation (32) since  $\gamma > 1$  and  $\Gamma_0 > 0$ .  
 300 This theoretical result is indeed supported by experimental measurements as  
 301 pointed out by Stacey et al. [13]. It is associated with the curvature in the  
 302  $K(P)$  vs  $P$  curve. Clearly, as  $\zeta < 0$ , at high pressure  $K_S$  can attain negative  
 303 values which would violate mechanical stability due to which  $\gamma > 1$  and  
 304  $K_S > 0$ . This polynomial representation of  $K_S$  would therefore be useful  
 305 if  $K_S$  remains positive over the compression range. Mao [14] presents an  
 306 alternative to (25) by making the assumption that

$$K_S \frac{\partial P}{\partial K_S} = a + bP \quad (33)$$

307 whose solution yields:

$$K_S := K_{S,0} \left( 1 + \frac{b}{a} P \right)^{\frac{1}{b}}, \quad a = \frac{K_{S,0}}{\gamma}, \quad b = 1 - 2 \frac{\zeta K_{S,0}}{\gamma^2} \quad (34)$$

308 Unless stated explicitly, for computational efficiency, the polynomial approx-  
 309 imation (25) will be used by default.

### 310 3.3. Thermal diffusivity

311 With regards to the thermal diffusivity, an assumption made in [6] is  
 312 that the Prandtl number is approximated by  $\text{Pr} = \gamma$ . Consequently, from

313 the formal definition of the Prandtl number i.e.  $\text{Pr} := \frac{\nu}{\alpha}$ , we obtain an  
 314 estimator for the thermal diffusivity:

$$\alpha = \frac{\nu}{\gamma}. \quad (35)$$

315 Ramachandran and Puri [7] found that if the physical viscosity is used in  
 316 the EDAC scheme, the system is unstable as pressure builds up quickly.  
 317 They also report non-physical solutions when large values of the viscosity  
 318 are used. Since the physical viscosity is not always suitable, they suggest  
 319 using the artificial viscosity used in standard WCSPH schemes where

$$\nu_e = \frac{\lambda hc_{s,0}}{8} \quad (36)$$

320 where the parameter  $\lambda$  was found to be  $\lambda \approx 0.5$  for most simulations with  
 321 Reynolds number in the range 0.0125 to 10,000. It shall be made explicitly  
 322 clear whenever this artificial viscosity  $\nu_e$  is used in our simulations. No-  
 323 tably, this is the same idea adopted in the  $\delta$ -SPH scheme where the artificial  
 324 diffusion coefficient in the continuity equation is modeled as  $\delta hc_{s,0}$  and the  
 325 parameter  $\delta$  controls the magnitude of the diffusion term [5].

### 326 3.4. Summary

327 In summary, the proposed artificial compressibility model  $g$ EDAC as the  
 328 final formulation is given by

$$\frac{d\rho}{dt} = -\rho \nabla \cdot \mathbf{u} \quad (37a)$$

$$\rho \frac{d\mathbf{u}}{dt} = -\nabla P + \rho \nu \nabla^2 \mathbf{u} + \rho \mathbf{g} \quad (37b)$$

$$\kappa_s \frac{dP}{dt} = -\nabla \cdot \mathbf{u} + \gamma \alpha \nabla \cdot (\kappa_s(P) \nabla P) - \alpha \nabla \cdot \left( \frac{1}{\rho} \nabla \rho \right) \quad (37c)$$

329 This system of equations is then closed by introducing the adiabatic incom-  
 330 pressibility modulus model given by equation (25) or (34). The three param-  
 331 eters for the parameterization of the incompressibility modulus include the  
 332 reference incompressibility modulus which determined by

$$K_{S,0} := \rho_0 c_{s,0}^2 \quad (38)$$

333 so that  $K_{S,0}$  is known once the reference values for the density and sound  
 334 speed are prescribed. The other two are  $\zeta$  which is estimated from equa-  
 335 tion (32) and  $\gamma$  whose values are well known in SPH. Consequently all the  
 336 thermodynamic properties *viz.*  $K_S$ ,  $\alpha$  and  $\zeta$  are fully determined as they  
 337 are all functions containing only two thermodynamic constants;  $\gamma$  and  $K_{S,0}$ .  
 338 The nature of the parameter  $\gamma$  is well understood within the SPH framework  
 339 where  $\gamma = 1.04$  for air and  $\gamma = 7.0$  for water and in our model, we will adopt  
 340 this same criteria for setting  $\gamma$ . This connection is due to (27) being the same  
 341 as the standard equation of state that is widely used in SPH. In one sense,  
 342 it can be argued that the meaning of  $\gamma$  and  $K_{S,0}$  is less ambiguous than that  
 343 of  $\zeta$ .

#### 344 4. Causality

345 It is important to discuss the numerical implications of the pressure equa-  
 346 tion model above. Explicit time stepping numerical methods, in general, have  
 347 their own condition for causality called the CFL stability criterion. However,  
 348 for highly viscous flows the time step is controlled by the viscosity. This vis-  
 349 cous time step in SPH is given by  $\Delta t \leq C_\nu \frac{h^2}{\nu}$  with  $C_\nu = 0.125$ . The stability  
 350 criterion on the diffusion term in the pressure equation can be calculated

351 independently of the equations of motion since its stability is dependent on  
 352 the thermal timescale rather than the dynamic timescale. In this case, the  
 353 constraint on the timestep due to thermal diffusivity is given,

$$\Delta t \leq C_\alpha \frac{h^2}{4\gamma\alpha}, \quad 0 < C_\alpha < 1 \quad (39)$$

354 where the parameter  $C_\alpha$  depends on the dimensionality of the problem. In  
 355 particular, under the assumption that  $\nu \approx \gamma\alpha$ , then  $\Delta t \leq C_\alpha \frac{h^2}{4\nu}$ . This  
 356 corresponds to the constraint on the timestep due to viscous diffusion with  
 357  $C_\alpha = \frac{1}{2}$  for most SPH schemes [17]. For a detailed derivation of (39), the  
 358 interested reader can refer to [Appendix B](#).

359 The pressure equation as presented above damps pressure oscillations via  
 360 a thermal diffusion process. Therefore, this scheme is conceptually similar  
 361 to the  $\delta$ -SPH approach where diffusive terms are artificially introduced into  
 362 the continuity equation, albeit in a rather heuristic manner. The smoothed  
 363 density is then used to compute a smooth pressure from the equation of state  
 364 (2), see Antuono et al. [5] for details.

## 365 5. Discretization

366 The implementation of the generalized EDAC or  $g$ EDAC scheme follows  
 367 the work in [7] on the EDAC scheme. The diffusion terms in the pressure  
 368 equation are, however, implemented according to [18]. In Standard SPH  
 369 schemes a fluid is treated as quasi-incompressible, i.e. the weakly compress-  
 370 ible SPH. Here the density is dynamically evolved by the discretized conti-  
 371 nuity equation or the density summation interpolant [19]. The other class  
 372 of SPH schemes comprise fully incompressible SPH schemes or ISPH and its

373 variants. In the ISPH approach the density is constant whereas the pressure  
374 is obtained by solving the Poisson pressure equation. For the EDAC scheme  
375 the density can either be held constant or evolved. Caution, however, that for  
376 flows involving liquid-solid impacts it is probably best to solve the continuity  
377 equation and the pressure equation separately. For these kinds of problems  
378 the pressure value is of particular importance especially in practical applica-  
379 tions [5]. Doing things this way could ensure that smooth density enters the  
380 pressure and momentum equations for a smooth solution. In this work, we  
381 use the density summation approach to compute the density.

382 Using the number density approach [5], the momentum equation becomes:

$$\frac{d\mathbf{u}_i}{dt} = \frac{1}{m_i} \sum_{j=1} (V_i^2 + V_j^2) \left\{ -\tilde{P}_{ij} \nabla w_{ij} + \tilde{\eta}_{ij} \mathbf{u}_{ij} \frac{\mathbf{r}_{ij} \cdot \nabla w_{ij}}{\|\mathbf{r}_{ij}\|^2 + \epsilon h^2} \right\} + \mathbf{g}_i \quad (40)$$

383 where the relative position  $\mathbf{r}_{ij} := \mathbf{r}_i - \mathbf{r}_j$ , relative velocity  $\mathbf{u}_{ij} := \mathbf{u}_i - \mathbf{u}_j$ ,  
384 smoothing length  $h$  and  $\epsilon h^2$  is a softening parameter to prevent divergence  
385 due to two interacting fluid particles being very close together. Typically the  
386 value  $\epsilon = 0.01$  is chosen.

$$V_i = \frac{1}{\sum_j w_{ij}}, \quad \tilde{\eta}_{ij} = \frac{2\eta_i \eta_j}{\eta_i + \eta_j}, \quad \tilde{P}_{ij} = \frac{\rho_j P_i + \rho_i P_j}{\rho_i + \rho_j} \quad (41)$$

387 with dynamic viscosity  $\eta_i = \rho_i \nu_i$ . Similarly, the equation for pressure:

$$\begin{aligned} \kappa_i \frac{dP_i}{dt} = & \sum_{j=1} \frac{m_j}{\rho_j} \mathbf{u}_{ij} \cdot \nabla w_{ij} + \gamma \alpha \sum_{j=1} \frac{1}{m_i} (V_i^2 + V_j^2) \tilde{\kappa}_{ij} P_{ij} \frac{\mathbf{r}_{ij} \cdot \nabla w_{ij}}{\|\mathbf{r}_{ij}\|^2 + \epsilon h^2} \\ & - \alpha \sum_{j=1} \frac{1}{m_i} (V_i^2 + V_j^2) \rho_{ij} \frac{\mathbf{r}_{ij} \cdot \nabla w_{ij}}{\|\mathbf{r}_{ij}\|^2 + \epsilon h^2} \end{aligned} \quad (42)$$

388 where  $P_{ij} := P_i - P_j$  is the relative pressure and  $\rho_{ij} := \rho_i - \rho_j$  is relative  
389 density.

$$\rho_i = \frac{m_i}{V_i}, \quad \kappa_i = \frac{1}{\rho_0 c_{s,0}^2 + \gamma P_i + \zeta P_i^2}, \quad \tilde{\kappa}_{ij} = \frac{2\rho_i \kappa_i \cdot \rho_j \kappa_j}{\rho_i \kappa_i + \rho_j \kappa_j} \quad (43)$$

390 The particles are then moved according to

$$\frac{d\mathbf{r}_i}{dt} = \mathbf{u}_i \quad (44)$$

391 Only 2D simulations are considered in this paper. The kernel of choice is the  
 392 quintic spline  $w_{ij} := w(\|\mathbf{r} - \mathbf{r}'\|, h)$ :

$$w(q) = \alpha_2 \begin{cases} (3 - q)^5 - 6(2 - q)^5 + 15(1 - q)^5, & 0 \leq q \leq 1 \\ (3 - q)^5 - 6(2 - q)^5, & 1 \leq q < 2 \\ (3 - q)^5, & 2 \leq q < 3 \\ 0, & q \geq 3 \end{cases} \quad (45)$$

393 where the normalization constant  $\alpha_2 = 7/(478\pi h^2)$  and the normalized rela-  
 394 tive position  $q = \|\mathbf{r}_{ij}\|/h$ . Unless otherwise stated, the quintic spline will be  
 395 used in all simulations.

396 The predictor-corrector time integration scheme described in [7] is used  
 397 to integrate the equations (40) and (42). The implementation of the EDAC  
 398 and our proposed  $g$ EDAC scheme follow the work of Ramachandran and Puri  
 399 [7].

## 400 6. Model validation

401 The generalized EDAC model,  $g$ EDAC for short, is used to test a number  
 402 of benchmark problems in the SPH framework. Comparisons are made with  
 403 EDAC scheme [7]. The code for the  $g$ EDAC is implemented within the open  
 404 source code developed by Ramachandran and Puri [7].

405 *6.1. Couette and Poiseuille Flows*

406 The first two test cases to be considered here are the Poiseuille flow and  
407 the Couette flow in a 2D infinite dimensional channel where the walls are  
408 separated by  $L_y = 1$  m. The physical properties of the fluid are specified as;  
409 viscosity  $\nu = 0.01 \text{ m s}^{-2}$  and density  $\rho = 1.0 \text{ kg m}^{-3}$ . The fluid is discretized  
410 with SPH particles having initial particle spacing  $\Delta x = 0.05$  m. Periodicity  
411 is imposed in the  $x$ -direction and we only simulate a small section of width  
412  $L_x = 0.4L_y$ . In either test case, the maximum velocity  $u_{\max} = F_x d^2 / (2\mu) =$   
413  $1.25 \text{ m s}^{-1}$  with the driving force for the Poiseuille flow  $F_x = 0.1 \text{ N}$  and  $d =$   
414  $0.5L_y$ . For the Couette flow the upper plate is moved with a constant velocity  
415 of  $u_w = 1.25 \text{ m s}^{-1}$ . The artificial sound speed is taken to be the standard  
416 SPH choice of  $c_{s,0} = 10u_{\max}$ .

417 Fig. 1a shows a comparison of the  $g$ EDAC simulation with the analytical  
418 solution for the Poiseuille flow at times  $t = 2 \text{ s}$ ,  $10 \text{ s}$ ,  $20 \text{ s}$  and  $100 \text{ s}$ . The  
419 observations indicate good consistency with the expected physical phenom-  
420 ena; initially the fluid is at rest but gets accelerated by the driving force. At  
421 steady state, parabolic velocity profiles develop and the solution converges  
422 to the analytical solution at large  $t$ .

423 The  $g$ EDAC simulation is compared with the analytical solution for the  
424 Couette flow at times  $t = 2 \text{ s}$ ,  $10 \text{ s}$ ,  $20 \text{ s}$  and  $100 \text{ s}$ , and the result is shown  
425 in fig. 1b. There is good agreement between the simulated profiles and the  
426 analytical solutions and convergence is achieved for large  $t$ .

427 *6.2. Hydrostatic test*

428 The goal of this test case is to establish how well the proposed pressure  
429 equation evolves the pressure. The setup for this simulation is from Adami

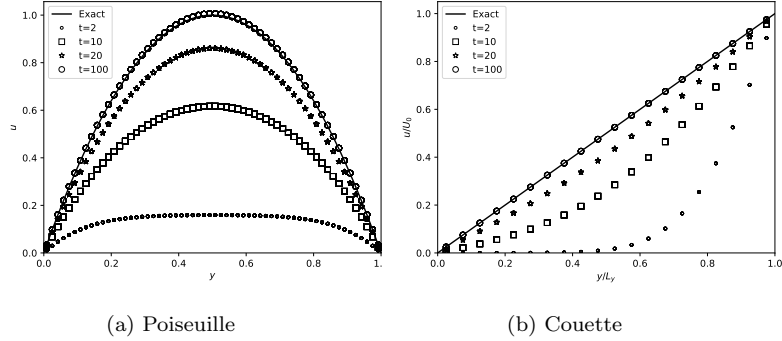


Figure 1: Comparison of the  $gEDAC$  simulation with the analytical steady solution for the Poiseuille flow (a) and the Couette flow (b).

430 et al. [20] where a  $2\text{ m} \times 1\text{ m}$  tank is filled with water up to a height  $H = 0.9\text{ m}$ .  
 431 Recently, Ramachandran and Puri [7], Hu et al. [21] among others, have also  
 432 used the same setup. The top of the tank is left open and the fluid is ini-  
 433 tialized with zero pressure and velocity fields. The reason for this choice  
 434 of initial condition is that although an exact solution of the Navier-Stokes  
 435 equations exists for this problem, one would ideally initialize the pressure as  
 436  $p_i = \rho_0 g(H - y_i)$  for each fluid particle. However, this is not generally suit-  
 437 able as the SPH particles come to equilibrium due to the effect of boundary  
 438 forces and gravity [22].

439 The adiabatic incompressibility modulus  $K_s$  defined by (38) is parameter-  
 440 ized with  $\gamma = 7.0$ , and  $\lambda = 0.5$ . The rest density of water is  $\rho_0 = 1000\text{ kg m}^{-3}$ ,  
 441 and acceleration due to gravity is set at a lower value of  $g = -1.0\text{ m s}^{-2}$ .  
 442 The artificial sound speed was taken to be ten times the reference velocity  
 443  $U = \sqrt{gH}$ . As discussed in [7, 20] artificial viscosity with the parameter  
 444  $\alpha_v = 0.24$  corresponds to a Reynolds number  $Re = 100$  and no physical  
 445 viscosity is used.



446 The particles are initially placed on a rectangular grid with inter-particle  
 447 spacing set at  $\Delta x = \Delta y = 0.02$  m with  $h = 1.2\Delta x$ . For this configuration,  
 448 this implies a total of  $100 \times 50 = 5000$  particles. This is obviously not an  
 449 equilibrium state and due to a jump in the initial data resulting from a  
 450 response to gravity and boundary forces, spurious high frequency pseudo-  
 451 sound waves travel through the domain. In this work such artificial effects  
 452 are damped using the model suggested by Monaghan and Kajtar [22] and  
 453 explicit details are given by Adami et al. [20]. The boundary conditions  
 454 on the solid walls are free-slip whereas the dynamic free surface boundary  
 455 condition is not imposed, as is the case for SPH. The problem is simulated  
 456 for the EDAC and  $g$ EDAC schemes.

457 A plot of the pressure at the bottom of the tank is as shown in Fig. 2.  
 458 Since the system is not initially in hydrostatic equilibrium, in response to  
 459 gravity at  $t = 0^+$ , the system begins to readjust to hydrostatic equilibrium  
 460 in an oscillatory mode. Particularly, the EDAC scheme of Ramachandran  
 461 and Puri [7] tends to produce a pressure field that is quite oscillates. On  
 462 the other hand, the  $g$ EDAC model shows less oscillations than the EDAC  
 463 scheme for  $\zeta = -0.03 \text{ Pa}^{-1}$ . In particular, when  $\zeta = 0$ , the  $g$ EDAC scheme  
 464 shows a stable pressure field that shows small oscillations. However, when  
 465  $\zeta = -0.03$  the  $g$ EDAC has less oscillations in the pressure field than both  
 466 the EDAC. This improvement is attributable to the quadratic term  $\zeta p^2$  in  
 467 the incompressibility modulus given by equation (38).

468 Fig. 3 shows the variation of pressure  $p_i = p(y_i)$  at the center of the  
 469 tank for the two schemes. Like the EDAC scheme, the  $g$ EDAC produces an  
 470 accurate pressure distribution.

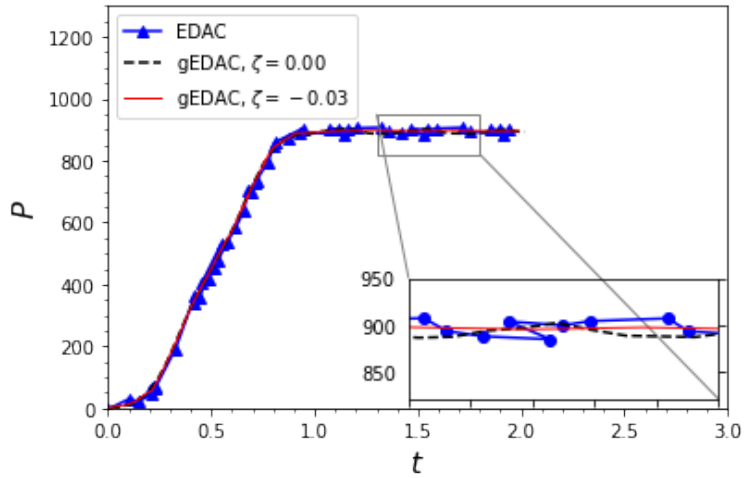


Figure 2: Plot of the pressure at the bottom of the tank versus time for different schemes.

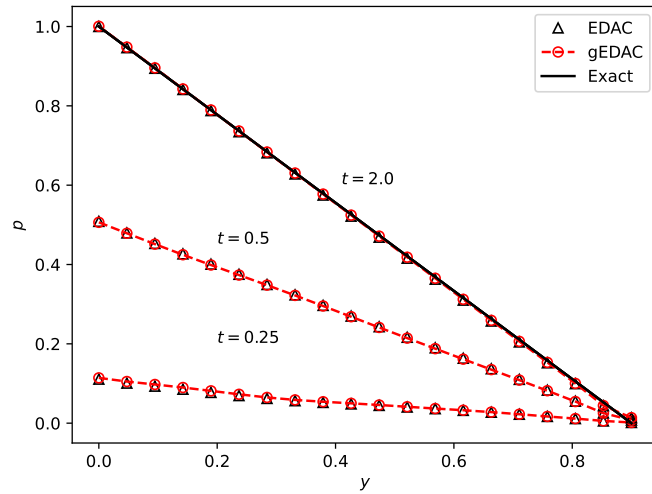


Figure 3: Pressure variation with height for the two schemes at  $t = [0.25, 0.5, 2.0]$ .

471 *6.3. Impinging water jets in 2D*

472 This is a recent addition to the standard benchmark tests for SPH schemes  
473 [23]. The impact of two rectangular, identical water jets each of length  $L$  and  
474 width  $2H$  at time  $t = 0^+$  when they form an interface at  $y = 0$  is considered.  
475 The upper jet moves down the  $y$ -axis with velocity  $v = -U$  whereas the  
476 lower jet moves in the opposite direction with velocity  $v = +U$ . No external  
477 forces are applied and the flow is assumed to be incompressible and inviscid.  
478 In their study, Marrone et al. [23] used a fully incompressible Riemann-SPH  
479 solver whereas Ramachandran and Puri [7] used the EDAC scheme and also  
480 the WCSPH. Here we only compare the EDAC scheme with our proposed  
481  $g$ EDAC scheme. For this test case  $L = 1.0$  m,  $H = 2.0$  m,  $U = 1.0$  m and  
482  $\rho = 1.0$  kg m<sup>-3</sup>. Variations in density are restricted to be on the order of  
483 0.01% by fixing the Mach number at  $Ma = 0.01$ . The smoothing kernel  
484 for all three cases is a quintic spline with smoothing length  $h = \Delta x$  and  
485  $L/\Delta x = 100$ . Furthermore, for this test case the incompressibility modulus  
486 of Mao [14] (given by equation (34) above) is used and  $\gamma = 7.0$  and  $\lambda = 0.8$ .  
487 The simulations were performed with artificial viscosity parameter  $\alpha_v = 0.1$ .  
488 This leads to a stabilized pressure field. Both the EDAC scheme Fig. 4 and  
489  $g$ EDAC scheme Fig. 5 tend to produce less oscillatory pressure fields/velocity  
490 fields. Results from both schemes are comparable with those obtained from  
491 the SPH-Riemann solver of Marrone et al. [23].

492 *6.4. Fluid-fluid and fluid-structure impacts generated by a dam-break*

493 Now we consider the dam-break problem studied by Marrone et al. [24].  
494 This is a standard benchmark free-surface problem that involves the collapse  
495 of a water column. The water column is of height  $H = 0.6$  m and width

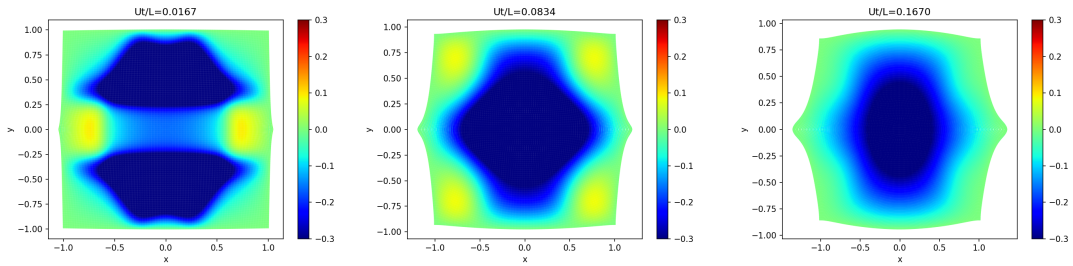


Figure 4: Particle distribution and pressure at times  $Ut/L = [0.0167$  (left) and  $Ut/L = 0.167$  (right) for simulation with the standard EDAC scheme with artificial viscosity coefficient  $\lambda = 0.8$ .

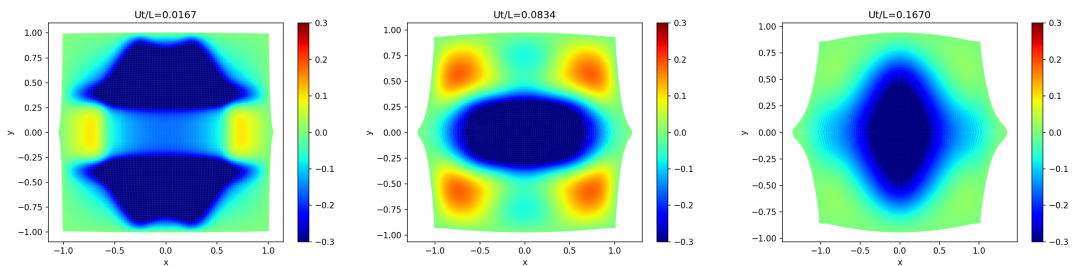


Figure 5: Particle distribution and pressure at times  $Ut/L = [0.0167$  (left) and  $Ut/L = 0.167$  (right) for simulation with the standard EDAC scheme with artificial viscosity coefficient is 0.8.

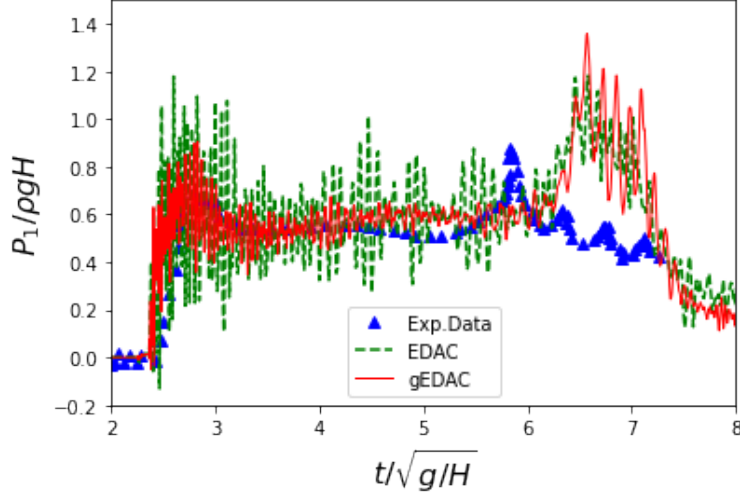


Figure 6: Comparison of the temporal pressure profiles at probe  $P_1$ : 0.16 m between experimental data [25], EDAC and  $g$ EDAC simulations with  $H/\Delta x = 75$ .

496  $L = 2H$ . The container has width  $L_w = 5.366H$  and we set its height at  
 497  $H_w = 2.0$  m. Fluid particles are uniformly distributed as [7] with initial  
 498 particle spacing  $\Delta x = 0.008$  m and smoothing length  $h = 1.2\Delta x$ . The two  
 499 correction schemes of XSPH and artificial viscosity are not employed in this  
 500 simulation. The fluid has density  $\rho_0 = 1000 \text{ kg m}^{-3}$  and the speed of sound is  
 501 given by  $c_{s,0} = 10\sqrt{2gH}$ . The artificial diffusion parameter of 0.5 is used for  
 502 the EDAC scheme. Following the suggestion of Ramachandran and Puri [7],  
 503 the boundary pressure is clamped to non-negative to prevent it from sticking  
 504 to the walls. For the  $g$ EDAC scheme the parameters for the incompressibility  
 505 modulus  $\gamma = 7$  is used.

506 For a quantitative validation, the temporal pressure on the downstream  
 507 wall at the probe  $P_1 : y = 0.16$  m is compared with experimental data from

508 Buchner [25]. A naive method

$$P_1(t) = \frac{1}{N(t)} \sum_{i=1}^{N(t)} P_i(t)$$

509 was used to interpolate the pressure at the probe. Here  $N(t)$  is the number  
510 of fluid particles within a fixed distance of 0.09 m from the probe at time  
511  $t$ . Both the EDAC and  $g$ EDAC schemes agree with the experiment up to  
512 about  $t\sqrt{g/H} = 5.8$ . The peak at  $t\sqrt{g/H} = 6.0$  is due to the the plunging  
513 wave of the first roll-up when the flow hits the right wall. There is a delay in  
514 the occurrence of the first peak as the effect of air-entrapment is not taken  
515 into account in our simulations; a two-phase flow is needed to capture this  
516 effect. For the same spatial resolution, the EDAC scheme exhibits higher  
517 oscillations than the  $g$ EDAC scheme. The EDAC scheme approximates the  
518 incompressibility modulus as simply  $K_S = \rho c_{s,0}^2$  whereas the  $g$ EDAC employs  
519 a higher order approximation to account for high-pressure effects.

520 Fig. 7 shows snapshots of the dam-break simulation at times  $t = 0.4$  s,  
521 0.8 s, 1.4 s and 1.6 s. The snapshots capture the time evolution of the dam-  
522 break flow up to generation of a cavity at  $t = 1.6$  s. The results are in good  
523 agreement with those of Marrone et al. [24]. Fig. 8 displays similar snapshots  
524 for the EDAC scheme.

### 525 6.5. Lid-driven-cavity

526 This problem constitutes a very good test for a numerical scheme's ca-  
527 pability to simulate viscous flows. The fluid domain is a 1.0 m  $\times$  1.0 m box  
528 containing a fluid whose rest density is  $\rho_0 = 1.0$  kg m $^{-3}$ . No-slip boundary  
529 conditions are imposed at the walls except for the top wall which is a mov-  
530 ing boundary at a uniform velocity  $u_w$ . With the Reynolds number given

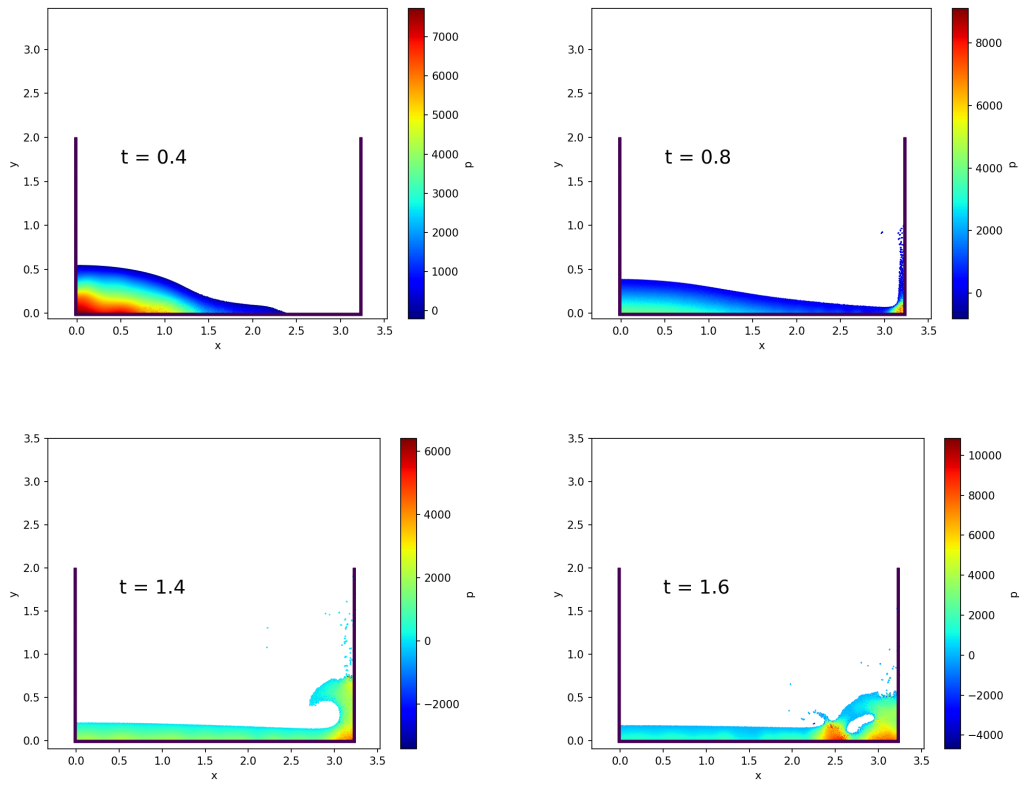


Figure 7: snapshots of the dam-break simulation for the  $gEDAC$  scheme

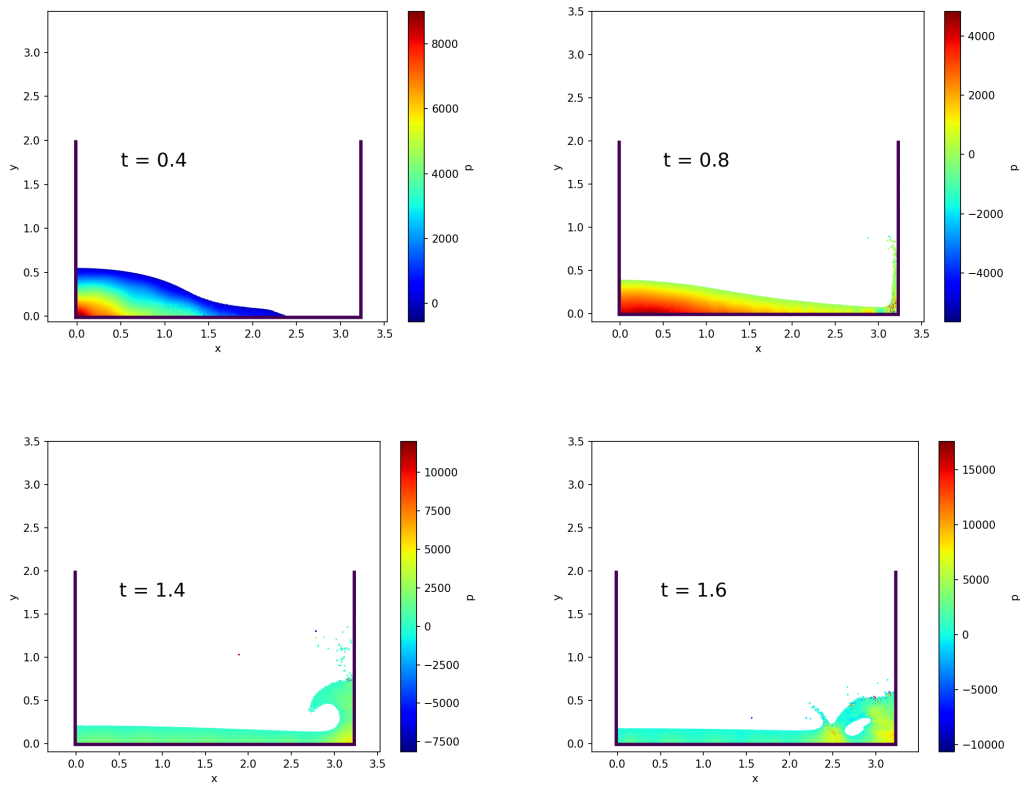


Figure 8: snapshots of the dam-break simulation for the EDAC scheme



531 by  $Re = u_w/\nu$ , laminar flow in the cavity was investigated for  $Re = 100$   
532 and  $Re = 1000$  [7]. An interesting feature of this problem is that while the  
533 geometry is very simple, no known analytical solution exists. Two singular-  
534 ities emerge at the top corners due to the moving lid on the top horizontal  
535 wall boundary and the no-slip conditions on the vertical wall boundaries  
536 [26]. It therefore presents one of the well-known challenging test cases for  
537 SPH schemes and as such it is customary to validate against reference data  
538 from a numerical calculation performed on a fine grid by Ghia et al. [27].  
539 Here, simulation results from the EDAC scheme and the  $g$ EDAC scheme are  
540 compared with this reference data.

541 The quintic spline with smoothing length  $h = \Delta x$  was used and the fixed  
542 time-step predictor-corrector scheme was used for the integration. For the  
543  $g$ EDAC scheme the parameter  $\gamma = 1.04$  is used. The fluid particles are  
544 assigned a density  $\rho_0 = 1.0 \text{ kg m}^{-3}$  and were initially uniformly distributed  
545 on a  $50 \times 50$  rectangular grid for  $Re = 100$  and  $100 \times 100$  for  $Re = 1000$   
546 [7]. Results are presented for comparatively low resolutions to highlight the  
547 gains available due to a generalized incompressibility (bulk) modulus in the  
548  $g$ EDAC scheme compared with standard EDAC [7]. Both the EDAC and  
549  $g$ EDAC simulation results agree well with the results of [27] and the same  
550 conclusion was reached by Ramachandran and Puri [7] even for low resolu-  
551 tions. There are noticeable improvements in the overlap between the  $g$ EDAC  
552 results and the benchmark solution [27]. This improvement can be further  
553 harnessed once a proper understanding of the empirical parameters  $\gamma$  and  
554  $\zeta$  is established [13, 14, 28]. The results are in good agreement with the  
555 benchmark solution of Ghia et al. [27].

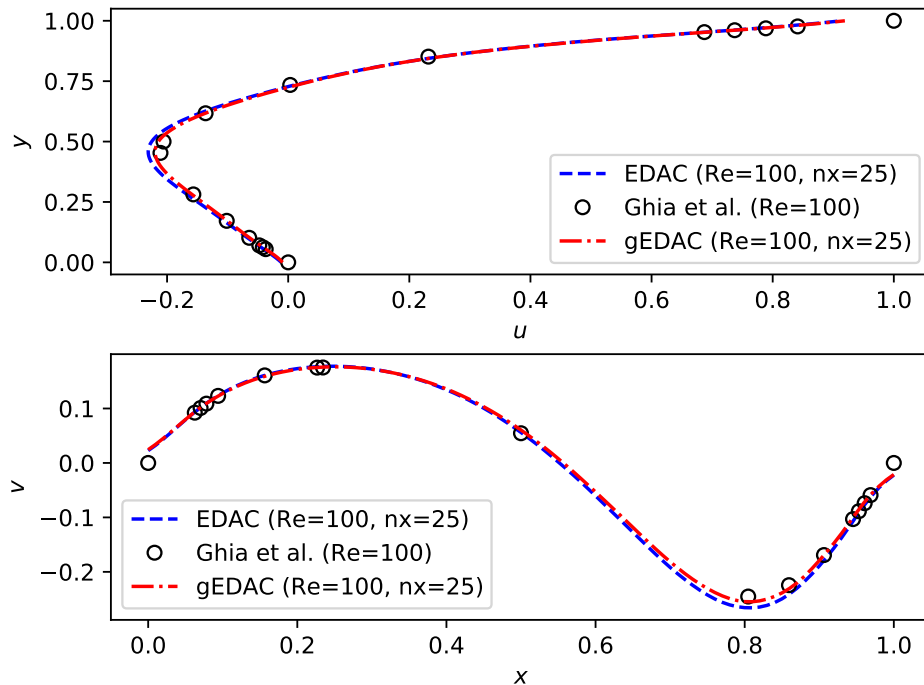


Figure 9: Horizontal velocity profile (top) and vertical velocity (bottom) vs  $y$  for the lid-driven-cavity problem at  $Re = 100$  with EDAC and  $g$ EDAC schemes. The results are compared with those of Ghia et al. [27].

556 Fig. 9 and Fig. 10 show the results for two resolutions at Reynolds num-  
 557 bers  $Re = 100$  and  $Re = 1000$ , respectively. These results are in good  
 558 agreement with those of Ghia et al. [27].

### 559 6.6. Taylor Green vortex

560 The Taylor-Green vortex problem is widely used as a benchmark test for  
 561 the numerical stability of smoothed particle hydrodynamics schemes. The  
 562 viscous Taylor-Green vortex flow is a periodic array of vortices that is an

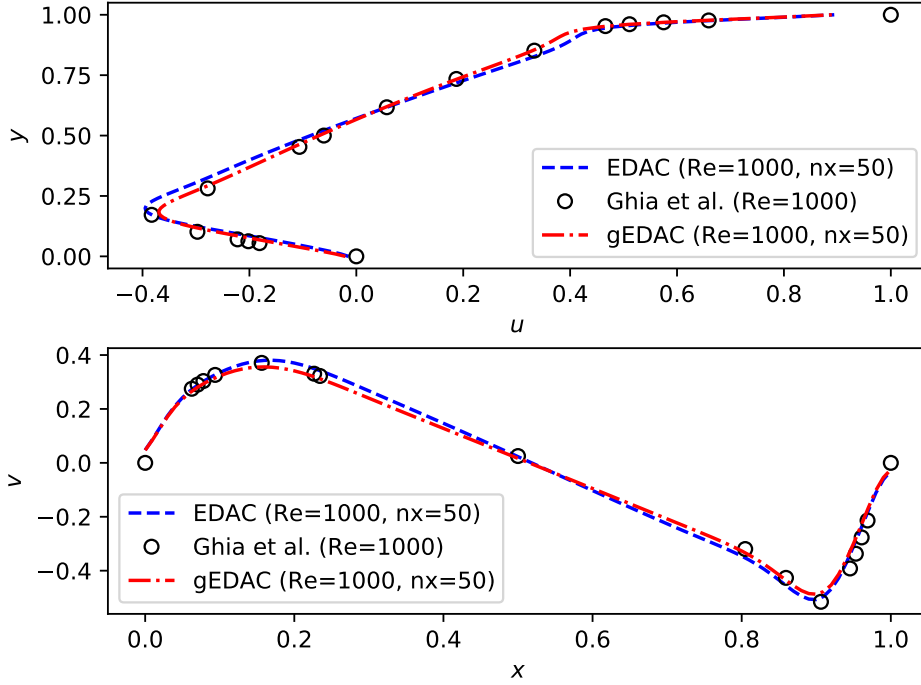


Figure 10: Horizontal velocity profile (top) and vertical velocity (bottom) vs  $x$  for the lid-driven-cavity problem at  $Re = 1000$  with EDAC and  $gEDAC$  schemes. The results are compared with those of Ghia et al. [27].

563 exact solution to the Navier-Stokes equations over a periodic domain and is  
 564 given by

$$u(x, y, t) = -Ue^{bt} \cos(2\pi x) \sin(2\pi y) \quad (46a)$$

$$v(x, y, t) = Ue^{bt} \sin(2\pi x) \cos(2\pi y) \quad (46b)$$

$$p(x, y, t) = -\frac{1}{4}U^2 e^{2bt} [\cos(2\pi x) + \cos(2\pi y)] \quad (46c)$$

565 where the decay rate of the velocity field is given by  $b = -8\pi^2/Re$ ; the  
 566 Reynolds number  $Re = UL/\nu$  is obtained from the maximum initial veloc-

567 ity  $U = 1.0 \text{ m s}^{-1}$  and the length of the periodic vortex array  $L = 1.0 \text{ m}$ .  
 568 The setup for this problem is as described in Adami et al. [26] and the  
 569 same setup has been reproduced most recently by Ramachandran and Puri  
 570 [7], Zhang et al. [29] among others. The fluid domain is a  $1.0 \text{ m} \times 1.0 \text{ m}$   
 571 square with periodic boundary conditions. The artificial speed of sound is  
 572 set by the standard SPH idea  $c_0 = 10U$ . The quintic spline with  $h$  set to  
 573 the initial particle separation is used to run the simulation. For the two  
 574 schemes:EDAC and  $g$ EDAC, no artificial viscosity was used as the physical  
 575 viscosity is significant enough to generate smooth solutions [7]. The initial  
 576 flow field  $\{u(x, y, 0), v(x, y, 0), p(x, y, 0)\}$  is obtained from the exact solution  
 577 above and the initial Reynolds number is set to 100.

578 Fluid particles are initially uniformly distributed with a small uniform  
 579 random displacement superimposed onto the particles. The uniformly dis-  
 580 tributed random displacement in the  $x$ - and  $y$ -directions has a maximum  
 581 value set at  $0.2\Delta x$ . All the schemes used in this problem were subjected to  
 582 the same set of initial conditions.

583 In Fig. 11 the decay of the maximum velocity for different schemes is  
 584 benchmarked against the exact solution. It is clear that the EDAC and  
 585  $g$ EDAC schemes perform relatively well. For this particular test, the gain  
 586 in using the  $g$ EDAC scheme over the EDAC scheme is not obvious and this  
 587 lack of distinction in accuracy applies to all schemes. As proposed in [7],  
 588 the  $L_1$  error is introduced as a better measure of performance between the  
 589 two schemes. The  $L_1$  error is defined as the mean value of the exact and  
 590 numerical value of the absolute value of the velocity:

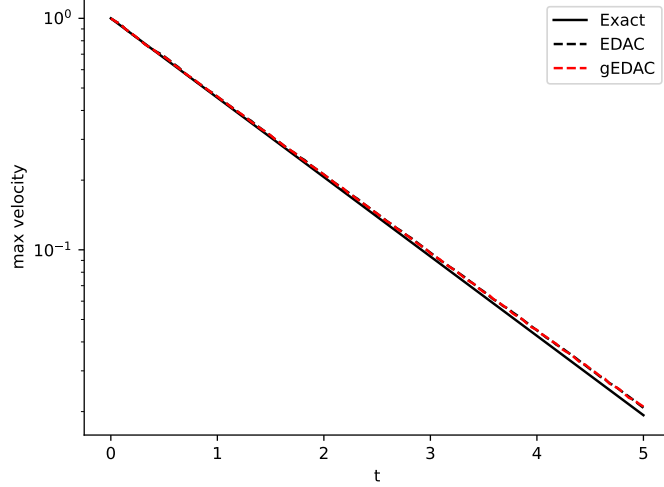


Figure 11: Variation of the velocity magnitude with time for the two schemes. Particles are initialized with  $n_x = n_y = 50$  and thereafter randomly perturbed. The Reynolds number is chosen to be  $Re = 100$ . The quintic spline kernel is used with a smoothing length equal to the initial (undisturbed) particle spacing.

$$L_1 = \frac{\sum_i |\mathbf{u}_{i,\text{numerical}}| - |\mathbf{u}_{i,\text{exact}}|}{|\mathbf{u}_{i,\text{exact}}|} \quad (47)$$

591 where the value of  $\mathbf{u}$  is computed at the positions for the  $i^{\text{th}}$  particle in the  
 592 flow.

593 From Fig. 12 it is evidently clear that the  $gEDAC$  and  $EDAC$  schemes  
 594 produce comparatively the same result.

595 The most attractive feature of the  $EDAC$  and  $gEDAC$  schemes is that  
 596 they can produce a smoother and stable pressure field than standard SPH  
 597 schemes. To highlight this feature the time evolution of the  $L_1$  error of the  
 598 pressure field is given in Fig. 13. The  $L_1$  error for the pressure field is defined

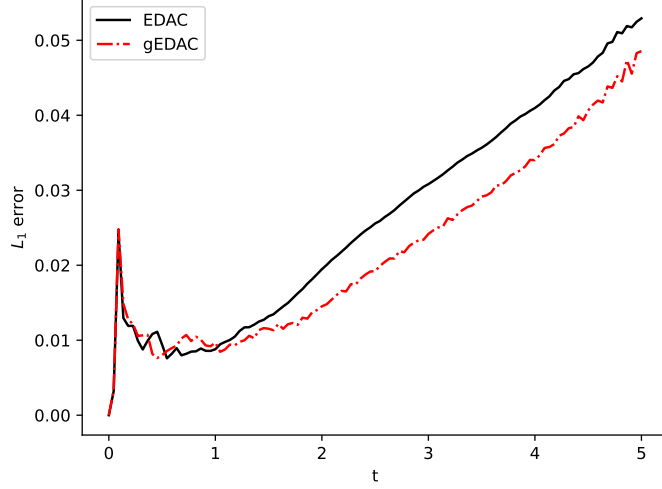


Figure 12: The time variation of the  $L_1$  error for the velocity magnitude for the exact (black solid line), EDAC (black dash),  $g$ EDAC (red dash) schemes.

599 as:

$$p_{L_1} = \frac{\sum_i |p_{i,\text{numerical}} - p_{i,\text{exact}}|}{\max(p_{i,\text{exact}})} \quad (48)$$

600 The first observation from Fig. 13 is that the EDAC and  $g$ EDAC are  
 601 comparable upto  $t = 2s$ , thereafter the  $L_1$  for the EDAC scheme begins to  
 602 grow and shows a nearly constant trend for the  $g$ EDAC scheme. The effect  
 603 arising from the generalization of the EDAC on the computed pressure field  
 604 is made manifest this test case.

605 The density summation formula is used in both the EDAC and  $g$ EDAC  
 606 schemes implementations. The time evolution of the variation in the density  
 607 computed using the summation density [7] is shown in Fig. 14 and serves as  
 608 a test of how well the schemes preserve volume. The results for both schemes  
 609 are comparable.

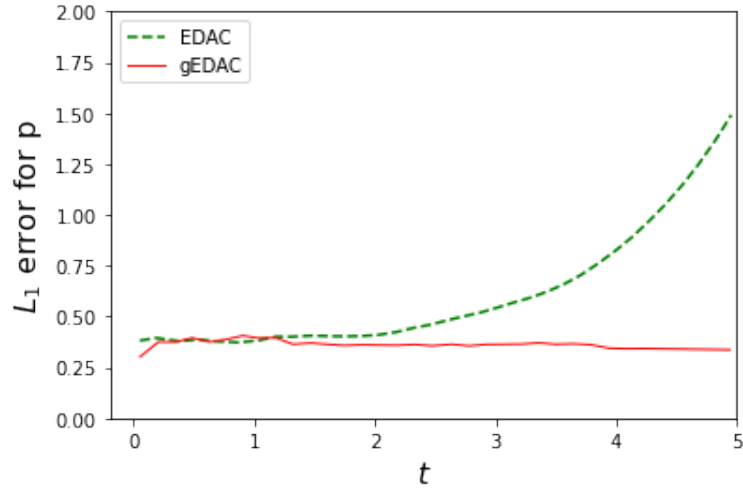


Figure 13: Time evolution of the  $L_1$  error of the pressure field for the EDAC (green dash),  $g$ EDAC (red solid line) schemes.

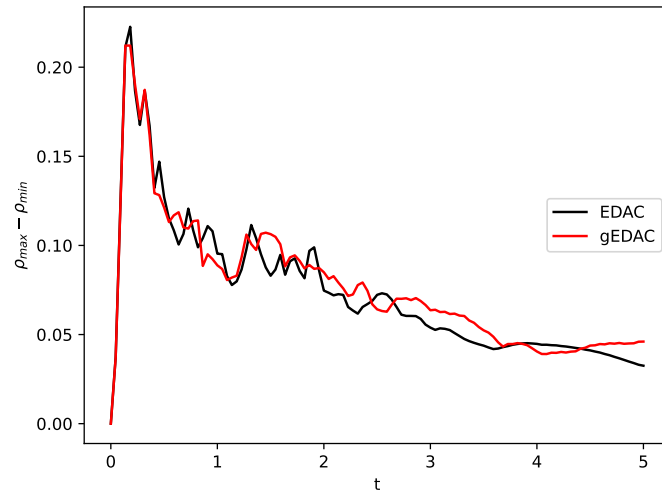


Figure 14: Time evolution of the density computed using the summation density for both the EDAC and  $g$ EDAC schemes. The number of particles is  $n = 50 \times 50$  and  $Re = 100$ .

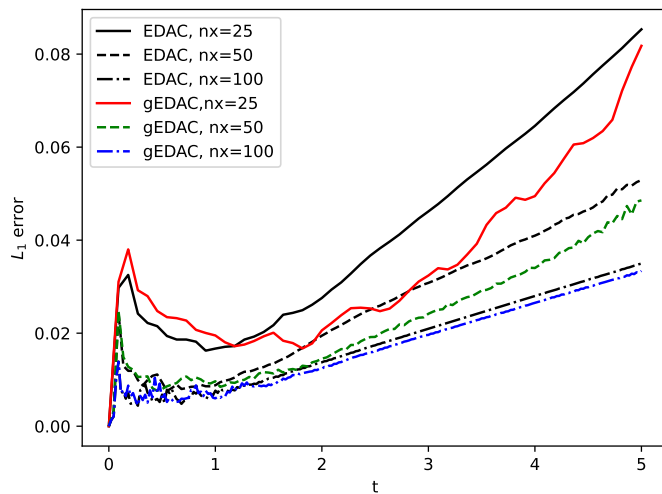


Figure 15: The  $L_1$  error of the velocity magnitude versus  $t$  for different resolutions.

610 We next consider the  $L_1$  error of the velocity magnitude for different  
 611 values of  $n_x$  where the initial particle spacing is given by  $\Delta x = 1.0/n_x$ .  
 612 Fig 15 shows that the  $gEDAC$  scheme produces a slightly smaller error than  
 613 the  $EDAC$  scheme for the low resolutions considered.

614 The sensitivity the simulations to changes in the artificial thermal diffu-  
 615 sivity parameter  $\lambda$  is investigated by varying  $\lambda$  for  $Re = 100$  and  $n_x = 25$ .  
 616 From fig. 16 it seems that a  $\lambda$  value of 1.0 is reasonable. We perform a  
 617 similar study with  $Re = 10000$  with a higher particle resolution and present  
 618 the results in Fig. 17. From both these cases it seems that using a  $\lambda$  1.0 is  
 619 reasonable. In Fig. 17  $Re = 10000$  with  $n_x = 101$  and  $\lambda$  is varied. It seems  
 620 plausible that  $\alpha$  should be treated as an artificial parameter.

621 A convergence study at  $Re = 1000$  for different  $n_x$  is shown in Fig. 18.  
 622 Convergence in the  $L_1$  norm for the velocity magnitude can be seen in Fig. 18.



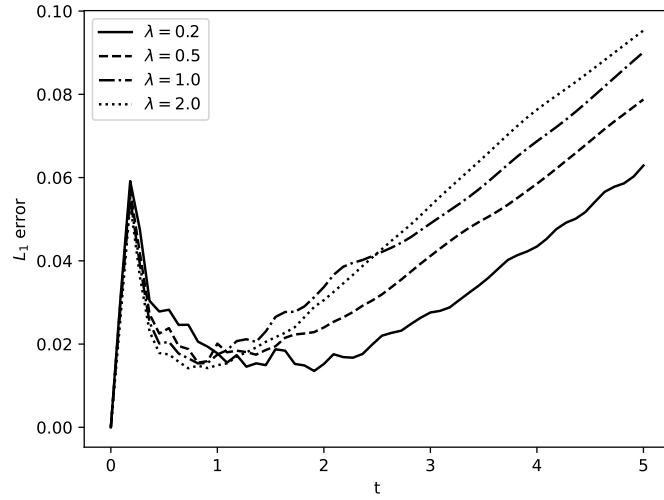


Figure 16: The  $L_1$  error of the velocity magnitude versus  $t$  for different choices of  $\lambda$  with  $Re = 100, n_x = 25$  while using the  $gEDAC$  scheme.

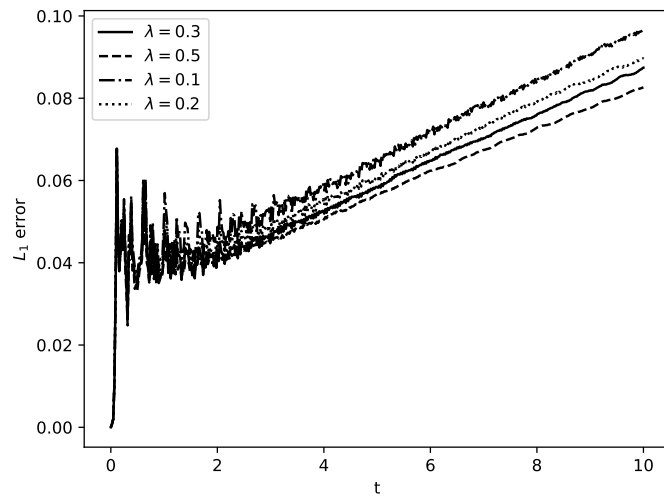


Figure 17: The  $L_1$  error of the velocity magnitude versus  $t$  for different choices of  $\lambda$  at  $Re = 10000$  while using the  $EDAC$  scheme. When  $\lambda = 0$  is used the  $\nu_{edac}$  is set to the fluid viscosity  $\nu$ .

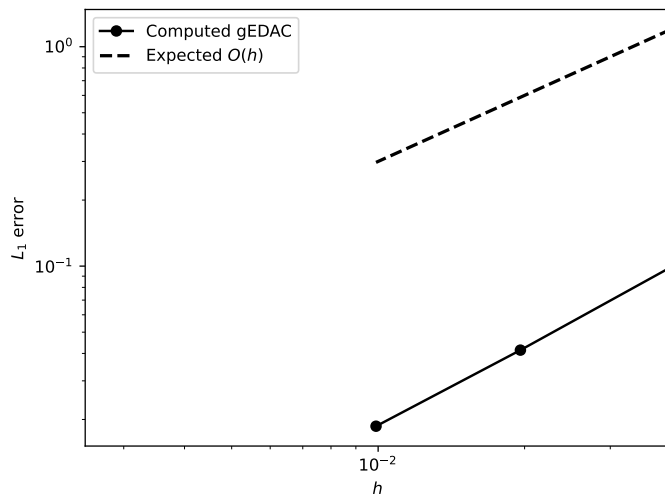


Figure 18: The  $L_1$  error of the velocity magnitude at  $t = 5$  versus  $h$  at  $Re = 1000$  for the EDAC scheme. The dashed line shows the convergence of an ideal scheme with first order convergence.

623 The  $g$ EDAC seems to exhibit first order convergence. Within the limits of  
 624 SPH convergence, the scheme appears to be accurate. The known conver-  
 625 gence issues with standard SPH still persist here. In particular the rate of  
 626 convergence decreases with increased  $n_x$ . It is well known that for large  $n_x$ ,  
 627 convergence is only attained by also increasing  $h$ .

628 The above tests show that the  $g$ EDAC scheme is working correctly. Since  
 629  $K_{S,0}$  is treated as an artificial parameter in SPH, it seems plausible that  $\alpha$   
 630 must as well be treated as an artificial thermal diffusivity.

## 631 7. Conclusions

632 This paper investigated the generalization of the EDAC method of Clausen  
 633 [6] in the weakly compressible context and its application to SPH. As such,

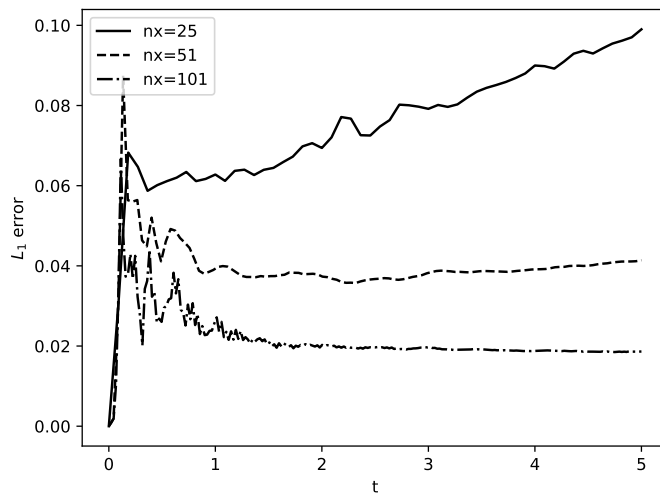


Figure 19: The  $L_1$  error of the velocity magnitude versus  $t$  for different choices of  $n_x$  at  $Re = 1000$  while using the  $gEDAC$  scheme.

634 the proposed method is applicable outside the domain of SPH. The  $gEDAC$   
635 was implemented into the EDAC scheme developed by Ramachandran and  
636 Puri [7]. The  $gEDAC$  scheme performs better than the EDAC fluid flows in-  
637 volving impact. The  $gEDAC$  scheme shows improvements in the capture of  
638 the negative pressure region formed by two impinging jets and is consistent  
639 with results from Marrone et al. [23]. Similar, but slight, improvements in  
640 the  $gEDAC$  scheme over the EDAC scheme are observed for the lid-driven  
641 cavity problem and the Taylor-Green vortex problem. For some other cases,  
642 however, the difference between the EDAC and  $gEDAC$  scheme is hard to  
643 discern. Methods for determining the parameters  $\alpha$ , and  $\zeta$  whereas  $\gamma = 1.04$   
644 for air and  $\gamma = 7.0$  for water. In particular, our short analysis of the causality  
645 associated with pressure equation presented in this paper shows that there

646 is a condition on the time step; the thermal time step. Depending on the  
647 Prandtl number either the viscous time step or the thermal time step become  
648 more significant than the CFL conditions. This is possibly the reason why  
649 Ramachandran and Puri [7] reported non-physical solutions when physical  
650 viscosity is used in the pressure equation since using the physical viscosity  
651 imposes a prohibitively small time step. Ramachandran and Puri [7] in-  
652 stead proposed a heuristic expression based on the artificial viscosity and we  
653 similarly introduce the approximation  $\gamma\alpha \approx \nu$  under the assumption that  
654  $Pr \approx \gamma$ . A method for estimating  $\zeta$  is also provided.

## 655 8. Acknowledgements

656 This work was supported by Okinawa Institute of Science and Technology  
657 Graduate University.

658 The implementation of the code in this work was realized in PySPH [30]  
659 due to its flexibility in implementing new equations to the existing ones. The  
660 code is publicly available at [https://gitlab.com/pypr/edac\\_sph](https://gitlab.com/pypr/edac_sph)

## 661 Appendix A. Maxwell's relations

The four Maxwell's relations are give by

$$\left(\frac{\partial T}{\partial V}\right)_S = -\left(\frac{\partial P}{\partial S}\right)_V \quad (\text{A.1})$$

$$\left(\frac{\partial T}{\partial P}\right)_S = +\left(\frac{\partial V}{\partial S}\right)_P \quad (\text{A.2})$$

$$\left(\frac{\partial S}{\partial V}\right)_T = +\left(\frac{\partial P}{\partial T}\right)_V \quad (\text{A.3})$$

$$\left(\frac{\partial S}{\partial P}\right)_T = -\left(\frac{\partial V}{\partial T}\right)_P \quad (\text{A.4})$$

662 **Appendix B. Causality**

663 The stability criterion on the diffusion term in the pressure equation can  
 664 be calculated independently of the equations of motion since its stability  
 665 is dependent on the thermal timescale rather than the dynamic timescale.  
 666 Thus, the pressure equation now reads;

$$\frac{dp}{dt} = \gamma\alpha\nabla^2 p \quad (\text{B.1})$$

667 for a simple case of nearly constant adiabatic compressibility. We have further  
 668 assumed that density gradients and thermal dissipation contributions are  
 669 negligible. Consider a numerical fluctuation around a homogeneous state  
 670 corresponding to the fluid equilibrium, i.e.  $\rho(\mathbf{r}) = \bar{\rho}$  and  $p(\mathbf{r}) = \bar{p}$ . If the  
 671 system is now perturbed from equilibrium, we have

$$\begin{aligned} \mathbf{r} &= \bar{\mathbf{r}} + \delta\mathbf{r} \\ \rho(\mathbf{r}) &= \bar{\rho} + \delta\rho(\mathbf{r}) \\ p(\mathbf{r}) &= \bar{p} + \delta p(\mathbf{r}) \end{aligned}$$

672 Using the SPH approximation of the Laplacian operator, one obtains [1]:

$$\frac{d}{dt}\delta p(\mathbf{r}) = 2\gamma\alpha \int_{\Omega} (\delta p(\mathbf{r}) - \delta p(\mathbf{r}')) \frac{(\mathbf{r} - \mathbf{r}') \cdot \nabla w_h}{\|\mathbf{r} - \mathbf{r}'\|^2} d\mathbf{r}' \quad (\text{B.2})$$

673 where  $\Omega$  is the compact support of the kernel  $w_h$ . Now, assuming that the  
 674 perturbation can be expressed as

$$\delta p = Q(t)e^{i\mathbf{k}\cdot\mathbf{r}} \quad (\text{B.3})$$

675 Then,

$$\frac{d}{dt}Q(t) = 2\gamma\alpha I(h, k)Q(t) \quad (\text{B.4})$$

676 where we have used the definition  $I := I(h, k)$  which depends on the choice  
 677 of the SPH kernel used.

$$I(h, k) = \int_{\Omega} \left(1 - e^{-i\mathbf{k} \cdot (\mathbf{r} - \mathbf{r}')} \right) \frac{(\mathbf{r} - \mathbf{r}') \cdot \nabla w_h}{\|\mathbf{r} - \mathbf{r}'\|^2} \quad (\text{B.5})$$

678 When the leapfrog method is applied to the absolute stability model (B.4)  
 679 we have

$$Q_{n+1} = Q_{n-1} + 2\Delta t \lambda Q_n, \quad \lambda = 2\gamma\alpha I(h, k) \quad (\text{B.6})$$

680 The corresponding characteristic polynomial is given by  $M_w(r) := r^2 - 2wr - 1$   
 681 with  $w := \lambda\Delta t$ . Since  $w$  is real and positive, the leapfrog method has two  
 682 distinct roots  $r_{\pm} = w \pm \sqrt{1 + w^2}$ . Using the binomial expansion we have  
 683  $r_+ = 1 + w + w^2/2 - w^3/8 + \dots$ ,  $|w| < 1$ ; i.e. for small  $|w|$ , one step of  
 684 the mode  $r_+$  of the leapfrog method agrees with the terms of order  $\leq w^2$  in  
 685 the exact solution and the remainder is bounded by a multiple of  $w^3$ . We  
 686 formally have the time constraint as

$$\Delta t \leq \frac{1}{2} \frac{1}{\gamma\alpha I(h, k)} \quad (\text{B.7})$$

687 For a Gaussian filter[19] we have  $I(h, k) = 2/h^2$ . In this case the stability  
 688 condition becomes,

$$\Delta t \leq C_{\alpha} \frac{h^2}{4\gamma\alpha}, \quad 0 < C_{\alpha} < 1 \quad (\text{B.8})$$

689 where the parameter  $C_{\alpha}$  depends on the dimensionality of the problem.

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