



15 **Abstract**

16 Ethyl cellulose (EC) / polydimethylsiloxane (PDMS) composite films were prepared at various  
17 concentrations of PDMS in the films (0, 5, 10, 15, and 20 wt.%). Morphological and chemical analysis  
18 by EDX-SEM and ATR-FTIR showed that EC-rich matrices and PDMS-rich particles were formed,  
19 with the two polymers interacting through H-bonds. The number and diameter of particles in the  
20 composite depended on the PDMS content and allowed a fine tuning of several properties such as  
21 opacity, hydrophobicity, water uptake, and water permeability. Relative low amounts of clove  
22 essential oil were also added to the most waterproof composite material (80 wt.% ethyl cellulose and  
23 20 wt.% PDMS). The essential oil increased the flexibility and the antioxidant capacity of the  
24 composite. Finally, the antimicrobial properties were tested against common pathogens such as  
25 *Escherichia coli*, *Staphylococcus aureus* and *Pseudomonas aeruginosa*. The presence of clove  
26 essential oil reduced the biofilm formation on the composites.

27 **Keywords:** ethyl cellulose, PDMS, clove essential oil, composite, waterproofing, food packaging.

## 28 **1.- Introduction**

29 Ethyl cellulose (EC) is a cellulose derivative, where some hydroxyl groups of the cellulose backbone  
30 are substituted by ethyl ether groups. It is usually synthesized from sodium hydroxide solutions of  
31 wood pulp in the presence of ethyl chloride (Wüstenberg, 2014). EC is a versatile material with many  
32 interesting properties, *e.g.* it is a thermoplastic (melting point range 240-255°C), non-toxic, and edible  
33 polymer (Cerqueira, Pereira, da Silva Ramos, Teixeira, & Vicente, 2016). It is soluble in common  
34 organic solvents but insoluble in water and is compatible with some other synthetic polymers, fillers  
35 and plasticizers (Davidovich-Pinhas, Barbut, & Marangoni, 2014; Murtaza, 2012). It also forms  
36 robust films when cast from organic solvents. Due to these characteristics, EC is used as additive and  
37 excipient in drug formulations, cosmetics, and food technology (Davidovich-Pinhas et al., 2014).  
38 However, EC has a high glass transition temperature (~143°C) that needs to be reduced by plasticizer  
39 addition in order to improve flexibility at room temperature. Common plasticizers for EC are diethyl  
40 phthalate, dibutyl sebacate, and triethyl citrate (Hyppölä, Husson, & Sundholm, 1996), although other  
41 nontraditional plasticizers with bioactive applications, such as ibuprofen (a common pain killer), have  
42 also been very effective (de Brabander, van den Mooter, Vervaet, & Remon, 2002). Interestingly,  
43 the necessary amount of plasticizer to achieve the desired degree of softening corresponds to 15-20  
44 wt.% with respect to EC polymer, while other common cellulose derivatives such as nitrocellulose or  
45 cellulose acetate require higher percentages close to 30-35 wt.% (Wüstenberg, 2014). These  
46 plasticizers are usually low molecular weight compounds that can easily migrate and leach from  
47 polymer matrix, inducing polymer rigidity over time and negatively affecting the desired application  
48 (Rahman & Brazel, 2004). To avoid this problem, different strategies, such as compositing with other  
49 polymers, have been used. Polymer particles can modify the mechanical properties of composites and  
50 do not leach away due to low diffusion of solid macromolecules in the polymer host (Hammer, 1978).  
51 In particular, for instance, EC biocomposites have been prepared with polyvinylpyrrolidone, zein, or  
52 poly(3-hydroxybutyrate) (Chan, Ong, & Heng, 2005; Iqbal, Kyazze, Locke, Tron, & Keshavarz,  
53 2015; Lu, Wang, Li, Qiu, & Wei, 2017). On the other hand, recent works demonstrated that  
54 polydimethylsiloxane (PDMS) can strongly interact with polysaccharides (*e.g.*, starch, cellulose or  
55 polysaccharide-rich materials, such as red beetroot or cocoa shells wastes) by formation of hydrogen  
56 bonds (Ceseracciu, Heredia-Guerrero, Dante, Athanassiou, & Bayer, 2015; Tran, Athanassiou, Basit,  
57 & Bayer, 2017; Tran, Heredia-Guerrero, et al., 2017; Zahid, Heredia-Guerrero, Athanassiou, &  
58 Bayer, 2017). Combination of EC and PDMS (as non-crosslinked viscous liquid) with other  
59 plasticizers such as di-*n*-butylphthalate, centchroman, and propylene glycol have been used for the  
60 fabrication of transdermal patches (Gupta et al., 2016). PDMS is the major component or precursor  
61 of siloxane elastomers that are commercially produced with good hydrophobicity, tunable elasticity,

62 and high thermal, light, and chemical stability (Esteves et al., 2009). It has also been approved as  
63 food additive (Jamuna, 2010). Furthermore, PDMS can biodegrade in soil by abiotic hydrolysis,  
64 microbiotic degradation, and volatilization (Lukasiak, Dorosz, Prokopowicz, Rosciszewski, &  
65 Falkiewicz, 2005). In order to transform viscous PDMS liquids into soft but robust solid elastomeric  
66 networks, they need to be crosslinked. There are several crosslinking or curing pathways, such as  
67 peroxide-initiated free radical reactions activated by heat, condensation reactions taking place in the  
68 presence of a tin salt or titanium alkoxide catalyst, Room Temperature Vulcanizing (RTV) moisture  
69 cure and addition reactions that are generally catalyzed by a platinum or rhodium complex, and not  
70 excluding photo-initiated curing systems as well (Mashak & Rahimi, 2009). Among these, PDMS  
71 oligomers that can be crosslinked in the presence of acetoxy-silanes via RTV moisture cure process  
72 are highly suitable for combining with polysaccharides, since the polymerization can be initiated by  
73 the naturally adsorbed superficial water as well as ambient moisture at room temperature (Ceseracciu  
74 et al., 2015).

75 Blends of EC with essential oils from diverse plants such as clove, fennel, rosemary, caraway,  
76 cinnamon, lemongrass, or eucalyptus have been intensely investigated for the fabrication of  
77 antimicrobial or insect repellent packaging materials and wound dressings (Badulescu, Vivod,  
78 Jausovec, & Voncina, 2008; Chattopadhyay et al., 2015; Kwiatkowski, Giedrys-Kalemba,  
79 Mizielińska, & Bartkowiak, 2016; Patil, Agrawal, Mahire, & More, 2016). Among the different  
80 essential oils, clove essential oil, mainly composed by eugenol and extracted from the plant *Syzygium*  
81 *aromaticum*, is commercially available and displays significant multifunctional bioactivity such as  
82 antimicrobial, antioxidant, antifungal, and antiviral activity as well as anti-inflammatory, cytotoxic,  
83 insect repellent, and anesthetic properties (Chaieb et al., 2007). It is used in food processing and  
84 packaging as an additive (CAS number 8000-34-8) in order to extend the shelf life of perishables  
85 (Burt, 2004; Matan et al., 2006). It is also known for its antimicrobial and antioxidant properties  
86 (Burt, 2004; Chaieb et al., 2007). All these characteristics are important for food packaging materials  
87 (Biji, Ravishankar, Mohan, & Srinivasa Gopal, 2015).

88 Hence, in this work, we combine EC with acetoxy-RTV PDMS silicones in ethyl acetate to produce  
89 soft, flexible and waterproof cellulose-derived plastics. Further, we demonstrate that incorporation of  
90 clove essential oil not only confers to the plastics antioxidant and antibacterial activity but also  
91 improves flexibility for potential food packaging applications. We finally demonstrate the  
92 antimicrobial efficacy of the resultant composite materials by *in vitro* biofilm inhibition tests against  
93 Gram-negative (*E. coli*) and Gram-positive (*S. aureus* and *P. aeruginosa*) bacterial strains.

## 94 **2.- Material and methods**

95 **2.1.- Materials**

96 Ethyl cellulose (48% ethoxyl, viscosity 22cP for a solution 5% in toluene/ethanol 80:20), 2,2-  
97 diphenyl-1-picrylhydrazyl radical (DPPH·), ethanol (purity  $\geq 99.5\%$ ), and ethyl acetate (purity  
98  $\geq 99.5\%$ ) were purchased from Sigma-Aldrich and used without further purification. Acetoxy-  
99 polydimethylsiloxane (acetoxy-PDMS, Elastosil E43) was obtained from Wacker Chemie AG,  
100 Germany. Elastosil E43 is a patented mixture of hydroxyl-terminated polydimethylsiloxane (PDMS)  
101 and triacetoxymethylsilane. Clove essential oil was kindly provided by Plant Lipids Company (India).

102 Two reference Gram-negative bacterial strains (*Escherichia coli* ATCC 25404 and *Pseudomonas*  
103 *aeruginosa* ATCC 27853) and one Gram-positive strain (*Staphylococcus aureus* ATCC 29813) were  
104 used for biofilm studies. Bacterial strains were obtained from American Type Culture Collection  
105 (ATCC®). All the strains were cultured in Mueller Hinton Broth (MHB) at 37°C.

106 **2.2.- Preparation of the composites**

107 EC:PDMS composite films were prepared by mixing ethyl cellulose and PDMS solutions in ethyl  
108 acetate. Ethyl cellulose solutions with a concentration of 0.1 g/mL were prepared by dissolving ethyl  
109 cellulose powder in ethyl acetate. The complete dissolution occurred after 1.5 h at room conditions.  
110 Similar solutions were prepared with silicone monomers (Elastosil E43) in ethyl acetate. PDMS  
111 precursors dissolved in 15 minutes. Films were prepared by mixing predetermined volumes of the  
112 above solutions in order to obtain various concentrations of PDMS in the films, namely 0, 5, 10, 15,  
113 and 20 wt.%. Percentages higher than 20 wt.% resulted in macroscopic segregation of both  
114 components. Clove essential oil was directly added to the blended solutions of EC and PDMS (in  
115 particular to 80 wt.% EC and 20 wt.% PDMS) at different percentages of the total amount of polymer  
116 (0, 1, 5, and 10 wt.%). A control sample of pure PDMS was also prepared following the same  
117 procedure. The solutions were drop-casted on glass Petri dishes and the solvent was evaporated under  
118 an aspirated hood for 24 h. A graphical presentation of composite preparation is shown in Figure 1A  
119 for visual clarity. Composites were labeled as EP0 (pure ethyl cellulose), EP5, EP10, EP15, and EP20  
120 according to the PDMS content. When clove essential oil was added to the EP20 solutions,  
121 composites were labeled as EPC0 (same as EP20), EPC1, EPC5, and EPC 10 according to the clove  
122 essential oil content. All samples were stored at 44% RH for few days before analysis to ensure the  
123 polymerization of PDMS precursors.

124 **2.3.- Characterization**

125 The morphology of the composites was characterized by scanning electron microscopy (SEM), using  
126 a JEOL JSM-6490LA microscope working in high vacuum mode, with an acceleration voltage of 15  
127 kV. The diameter (as a result of phase separation) and surface density of the particles were calculated  
128 by using the software ImageJ. Compositional SEM images were used to measure both diameter and  
129 number of particles. The images were loaded into the software and the particles' diameter was  
130 measured using a two point measuring analysis. For the particle count, highly contrasted images were  
131 used. Approximately 100 measurements were taken to obtain each diameter and density distributions.  
132 Energy dispersive X-ray analysis (EDX) was also conducted during SEM imaging in order to  
133 investigate the presence of chemical elements in the different composites.

134 Infrared spectra were obtained with a single-reflection attenuated total reflection (ATR) accessory  
135 (MIRacle ATR, PIKE Technologies) coupled to a Fourier Transform Infrared (FTIR) spectrometer  
136 (Equinox 70 FT-IR, Bruker). All spectra were recorded in the range from 3800 to 600 cm<sup>-1</sup> with a  
137 resolution of 4 cm<sup>-1</sup>, accumulating 128 scans. To assess the homogeneity of chemical composition,  
138 ATR-FTIR spectra were repeated in three different areas.

139 Opacity was determined by measuring the film absorbance at 600 nm using a UV spectrophotometer  
140 Varian Cary 6000i. Films were cut into rectangle pieces and directly placed in the spectrophotometer  
141 test cell. An empty test cell was used as the reference. The opacity of films was calculated by the  
142 following equation as previously reported (Heredia-Guerrero et al., 2017):

143 
$$Opacity = \frac{A_{600}}{x}$$

144 where A<sub>600</sub> is the value of absorbance at 600 nm and x is the film thickness (mm).

145 Mechanical properties of the films were measured by uniaxial tensile tests on a dual column Instron  
146 3365 universal testing machine. Dog-bone shaped samples were stretched at a rate of 10 mm/min. All  
147 the stress-strain curves were recorded at 25°C and 44% RH. Ten measurements were conducted for  
148 each sample and the results were averaged to obtain a mean value. The Young's modulus and the  
149 stress and elongation at break values were calculated from the stress-strain curves.

150 To characterize the surface wettability, water static contact angles (W-CA) were measured with the  
151 sessile drop method at room temperature at ten different locations on each surface using a contact  
152 angle goniometer (DataPhysics OCAH 200). Three µL droplets of milli-Q water were deposited on  
153 the surfaces and side view images of the drops were captured. W-CAs were automatically calculated  
154 by fitting the captured drop shape. W-CAs were measured after 2 min from drop deposition on the  
155 surface in order to consider values at equilibrium.

156 The water vapor permeability (WVP) of films was determined at 25°C and under 100% relative  
157 humidity gradient ( $\Delta RH$  %) according to the ASTM E96 standard method. 400  $\mu L$  of deionized water  
158 (which generates 100% RH inside permeation cell) was placed in each test permeation cell with a 4  
159 mm inner diameter and a 10 mm inner depth. Films were cut into circles and mounted on the top of  
160 the permeation cells. The permeation cells were placed in 0% RH desiccator with anhydrous silica  
161 gel as a desiccant agent. The water transferred through the film was determined by the weight change  
162 of the permeation cell every hour during the first 8 h using an electronic balance (0.0001 g accuracy).  
163 The weight loss of the permeation cells was plotted as a function of time. The slope of each line was  
164 calculated by linear fitting. Then, the water vapor transmission rate (WVTR) was determined as  
165 below (Ho, Zimmermann, Ohr, & Caseri, 2012):

$$166 \quad WVTR (g/(m^2 \cdot day)) = \frac{Slope}{Area \text{ of the film}} \quad (1)$$

167 WVP measurements were replicated three times for each film. The WVP of the film was calculated  
168 as follows:

$$169 \quad WVP (g/(m \cdot day \cdot Pa)) = \frac{WVTR \times l \times 100}{p_s \times \Delta RH} \quad (2)$$

170 where,  $l$  (m) is the film thickness, measured with a micrometer with 0.001 mm accuracy,  $\Delta RH$  (%) is  
171 the percentage relative humidity gradient, and  $p_s$  (Pa) is the saturation water vapor pressure at 25°C  
172 (3168 Pa).

173 Water uptake measurements were carried out as follows: dry samples were weighed (~30 mg) on a  
174 sensitive electronic balance and then they were placed in different chambers with increasing  
175 humidity. Samples were dried by conditioning in a desiccator until no change in sample weight was  
176 measured. The humidity conditions were 0% and 100%. After conditioning in different humidity  
177 chambers until equilibrium conditions, each film was weighed and the amount of adsorbed water was  
178 calculated based on the initial dry weight as the difference.

179 The antioxidant capacity of the samples was determined by using the standard DPPH• free radical  
180 scavenging method according to the procedure described elsewhere (Brandwilliams, Cuvelier, &  
181 Berset, 1995). The method is based on the scavenging of DPPH radical through the action of an  
182 antioxidant that decolorizes the DPPH solution. Briefly, 5x5 mm<sup>2</sup> films were added to 3 mL of 0.1  
183 mM DPPH solution in ethanol. The decrease in absorbance was determined at 515 nm with a Cary  
184 JEOL spectrophotometer at different times. All the measurements were performed in triplicate and

185 the results were averaged to obtain a mean value. Radical scavenging activity was expressed as the  
186 inhibition percentage of free radical by the sample and calculated as follows:

$$187 \quad \text{Radical Scavenging Activity (\%)} = \frac{A_0 - A_1}{A_0} * 100$$

188 where  $A_0$  is the absorbance value of the control (3 mL of 0.1mM DPPH solution in ethanol) and  $A_1$   
189 is the absorbance value of the sample at different times.

190 For biofilm studies, two reference gram-negative bacterial strains (*Escherichia coli* ATCC 25404 and  
191 *Pseudomonas aeruginosa* ATCC 27853) and one gram-positive strain (*Staphylococcus aureus* ATCC  
192 29213) were used. All the strains were obtained from American Type Culture Collection (ATCC®).  
193 For the microtiter plate biofilm inhibition assay, biofilms were cultured in 96-well tissue-culture-  
194 treated polystyrene microtiter plates (Corning Inc.). 6 mm diameter discs were cut from EC:PDMS  
195 (-/+ clove essential oil) composite films by a punch (Harris Uni-Core, Whatman) and put on the  
196 bottom of each well. Overnight cultures of all bacteria strains were centrifuged at 4500 rpm, rinsed  
197 with phosphate buffered saline (PBS, pH 7.4) two times and re-suspended in PBS to approximately  
198  $10^9$  CFU/mL. Aliquots of cells (20  $\mu$ L each) were transferred to the wells along with 180  $\mu$ L of  
199 Mueller Hinton Broth (MHB), and the plate was incubated at 37°C for 24 h or 48 h. After incubation,  
200 the culture broth was carefully removed and the biofilms bound to the surface of the film were rinsed  
201 once with PBS and stained for 15 min at room temperature with 100  $\mu$ L of 0.4% Gram's crystal violet  
202 solution (Sigma-Aldrich). Stained biofilms were rinsed with sterile deionized water and crystal violet  
203 was solubilized by incubating each well with 200  $\mu$ L of absolute ethanol for 15 min at room  
204 temperature. The absorbance of the crystal violet solution was measured at 540 nm by using a  
205 spectrophotometer (Varian Cary 50 Bio, UV-Visible Spectrophotometer). Both films were tested in  
206 five replicates.

207 GraphPad Prism 5 was used for all statistical analysis (GraphPad Software Inc. San Diego, CA, USA).  
208 All values were expressed in terms of mean  $\pm$  S.D. A one-way ANOVA followed by a Bonferroni's  
209 post hoc-test was applied for comparison of the test and control, with a  $P$  value of  $<0.05$  considered  
210 as significant.

211 Percentages of biofilm inhibition by clove essential oil containing films were calculated using the  
212 following formula:

$$213 \quad \text{Biofilm inhibition} = 100 - \left( \frac{EPC5 A540}{EP20 A540} \right) * 100$$

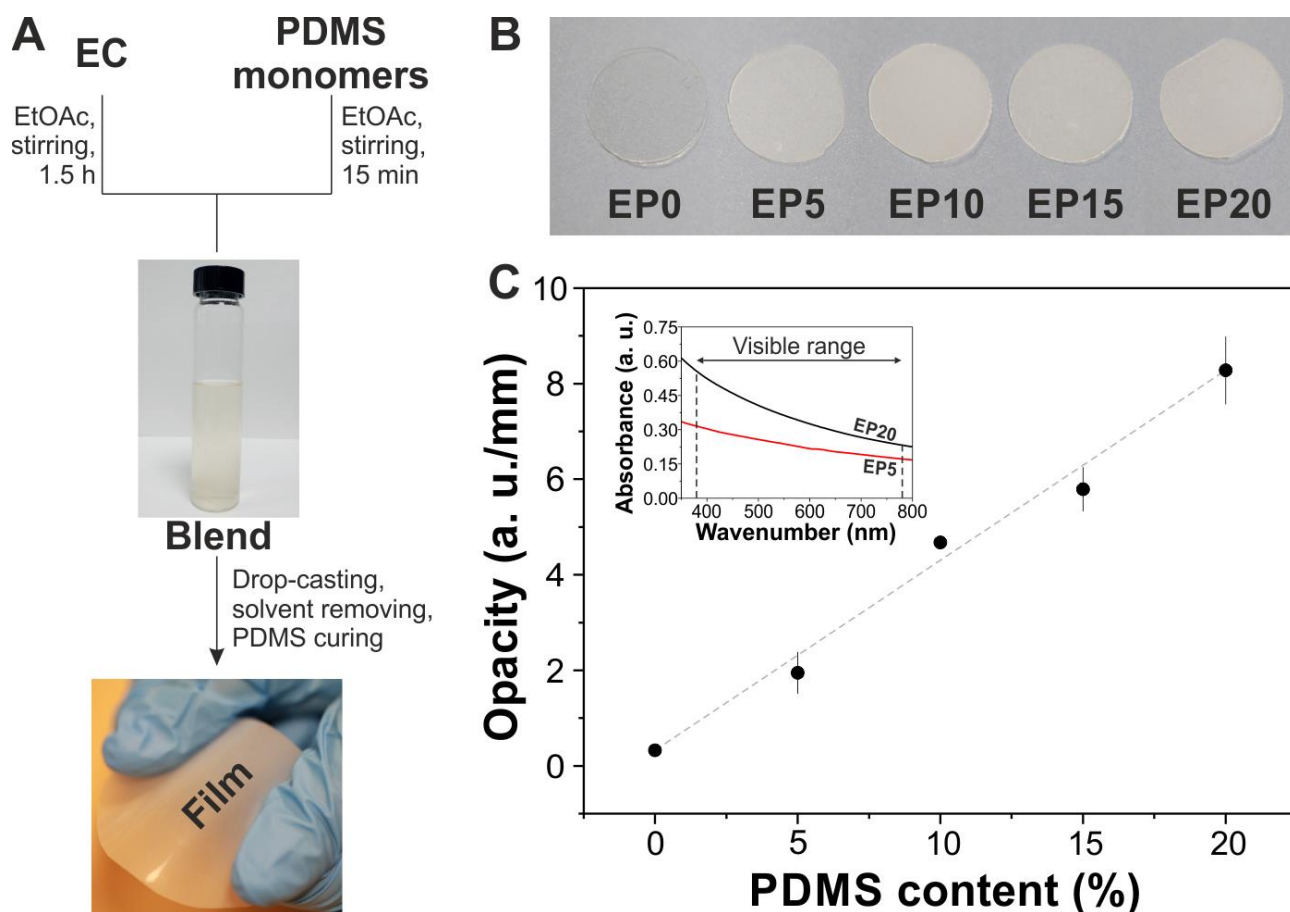


214 where *EPC5 A540* is the absorbance measured for the biofilm growth on the EPC5 substrate and  
215 *EP20 A540* is the absorbance measured for the biofilm growth on the EP20 substrate sample (which  
216 represents the 100% of the biofilm formed for each strain).

### 217 3.- Results and discussion

#### 218 3.1.- Morphological and Chemical Characterization

219 Figure 1B shows photographs of the EC:PDMS films (EP samples). EP0 (pure ethyl cellulose) was  
220 transparent and colorless, while a white color was observed in the other samples (EP5, EP10, EP15,  
221 and EP20). The opacity of the samples was directly proportional to the PDMS content and ranged  
222 from ~0.3 a. u./mm for EP0 to ~8.3 a. u./mm for EP20, Figure 1C.

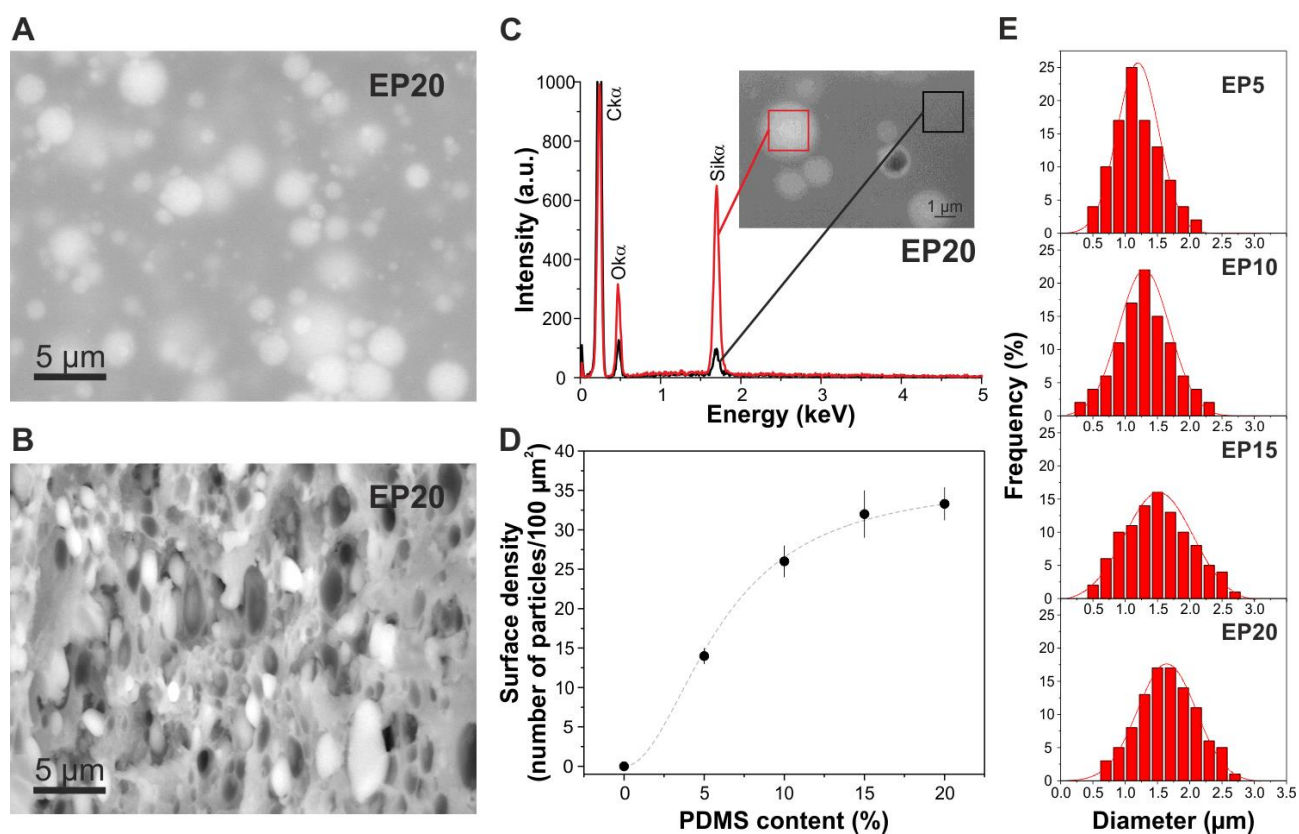


223

224 **Figure 1. A**, Schematic representation of the composite preparation. **B**, photographs of EP composite  
225 materials. **C**, variation of the opacity of EP composites with the content of PDMS. The inset shows  
226 the UV-vis spectra of EP5 and EP20 samples with the same thickness in the visible region.

227 The morphology of the composites was characterized by SEM. Topographic SEM images revealed  
228 very smooth and homogeneous surfaces as well as particles and cavities in the cross-section (Figure  
229 S1). The corresponding compositional SEM images, Figures 2A,B, show well defined sphere-like

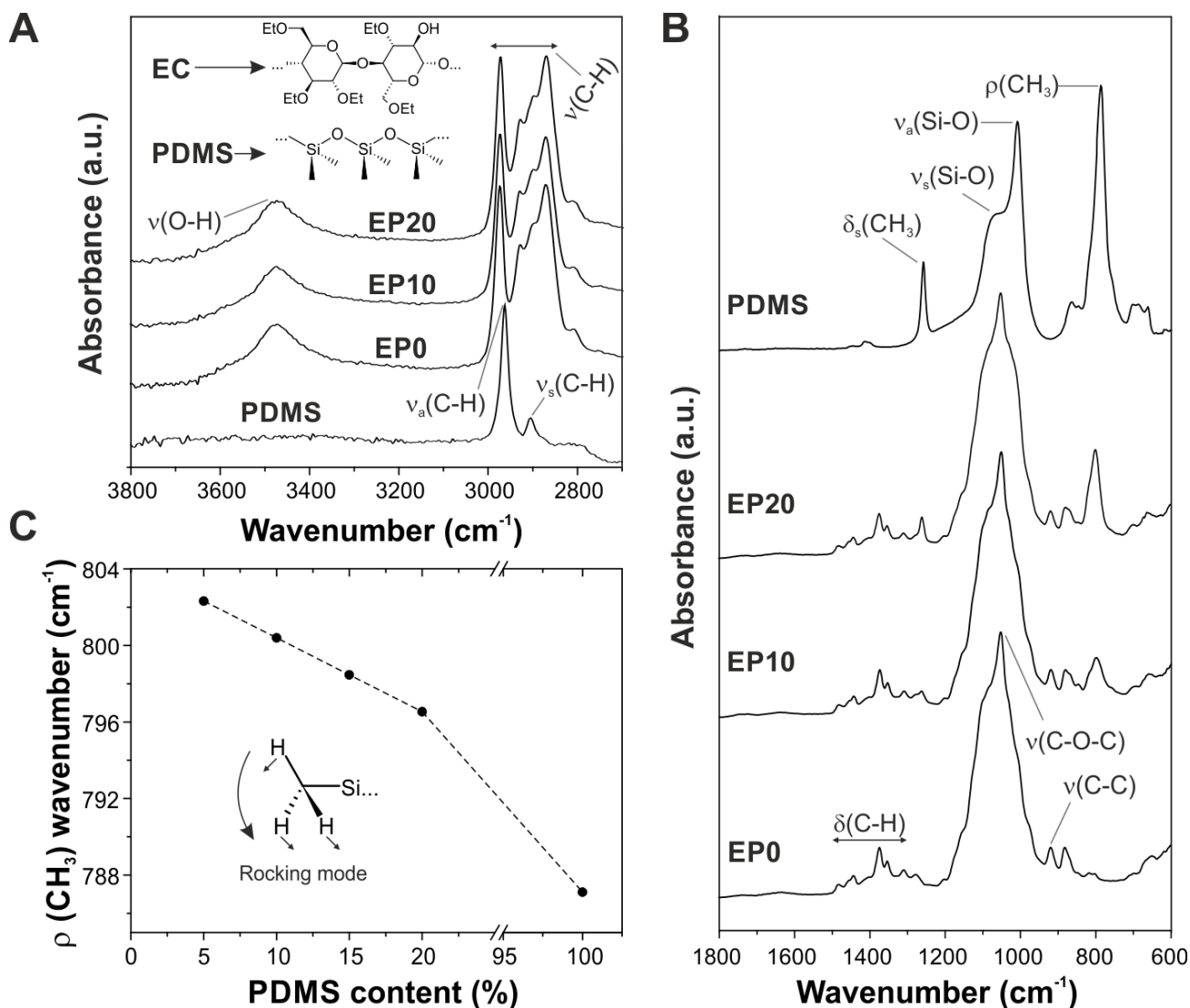
230 particles of different diameters embedded in a continuous matrix. In particular, Figure 2B displays  
 231 also the cavities that such particles form inside the host matrix. The EDX atomic signal distribution  
 232 in the particles and the matrix are compared in Figure 2C. Both of them are composed of Si, C, and  
 233 O, being the relative concentration of Si is much higher in the particles. The surface density of the  
 234 particles (calculated as the number of particles in  $100 \mu\text{m}^2$ ) was determined from the compositional  
 235 SEM images, as reported in Figure 2D. A linear growth of the surface density was observed for low  
 236 PDMS contents while a plateau at  $\sim 32$  particles/ $100 \mu\text{m}^2$  was reached for EP15 and EP20 samples.  
 237 In addition, the particle diameter was increased with the PDMS content, as graphed in Figure 2E. The  
 238 increase in both surface density and particle diameter with the PDMS content can explain the higher  
 239 opacity values measured for the samples richer in silicone.



240

241 **Figure 2.** **A**, compositional SEM top-view of the EP20 sample. **B**, compositional SEM cross-section  
 242 of the EP20 sample after a tensile test. The elongation of particles and their corresponding cavities  
 243 can be caused by the tensile forces. **C**, EDX chemical analysis of the particles (red line) and the host  
 244 matrix (black line) for the EP20 sample. **D**, surface density of particles in the composite as a function  
 245 of the content of PDMS. **E**, Histograms showing the diameter distribution of the particles for EP5,  
 246 EP10, EP15, and EP20 composites.

247 The chemical characterization of EP samples was carried out by ATR-FTIR. Figure 3A shows the  
248 infrared spectra of PDMS, EP0, EP10, and EP20 in the range between 3800 and 2700  $\text{cm}^{-1}$ . The bands  
249 associated with PDMS ( $\text{CH}_3$  asymmetric and symmetric stretching modes at 2962 and 2906  $\text{cm}^{-1}$ ,  
250 respectively) were masked for those of EC (O-H stretching mode at 3472  $\text{cm}^{-1}$  and diverse C-H  
251 stretching modes in the 3000-2800  $\text{cm}^{-1}$  region) in EP10 and EP20 (Elzein, Galliano, & Bistac, 2004;  
252 Laredo, Barbut, & Marangoni, 2011). At lower wavenumbers, Figure 3B, PDMS was characterized  
253 by the presence of different peaks at 1260  $\text{cm}^{-1}$  ( $\text{CH}_3$  symmetric bending mode), 1063  $\text{cm}^{-1}$ , 1009  $\text{cm}^{-1}$   
254  $^1$  (Si-O-Si symmetric and asymmetric stretching modes, respectively), and 796  $\text{cm}^{-1}$  ( $\text{CH}_3$  rocking  
255 mode), while for EP0 the peaks appeared in the 1500-1330  $\text{cm}^{-1}$  region (different CH bending modes:  
256 deformation, rocking and wagging), at 1053  $\text{cm}^{-1}$  (C-O-C stretching modes of the ethoxy groups and  
257 the glycosidic bond) and 919  $\text{cm}^{-1}$  (C-C stretching mode) (Elzein et al., 2004; Laredo et al., 2011).  
258 The presence of PDMS bands in the composites' spectra was proportional to the silicone content.  
259 Furthermore, the  $\text{CH}_3$  rocking mode of PDMS shifted 14  $\text{cm}^{-1}$  from  $\sim 802 \text{ cm}^{-1}$  for EP5 to  $\sim 788 \text{ cm}^{-1}$   
260 for pure PDMS, as illustrated in Figure 3C. The shift was linear in the range of PDMS content between  
261 5% and 20% and attributed to the formation of H-bonds between the O-H groups of the  
262 polysaccharide and the oxygen of the siloxane groups of the cross-linked PDMS (Ceseracciu et al.,  
263 2015; Zahid et al., 2017), indicating that both components can interact by such secondary bonds in  
264 the composite.



265

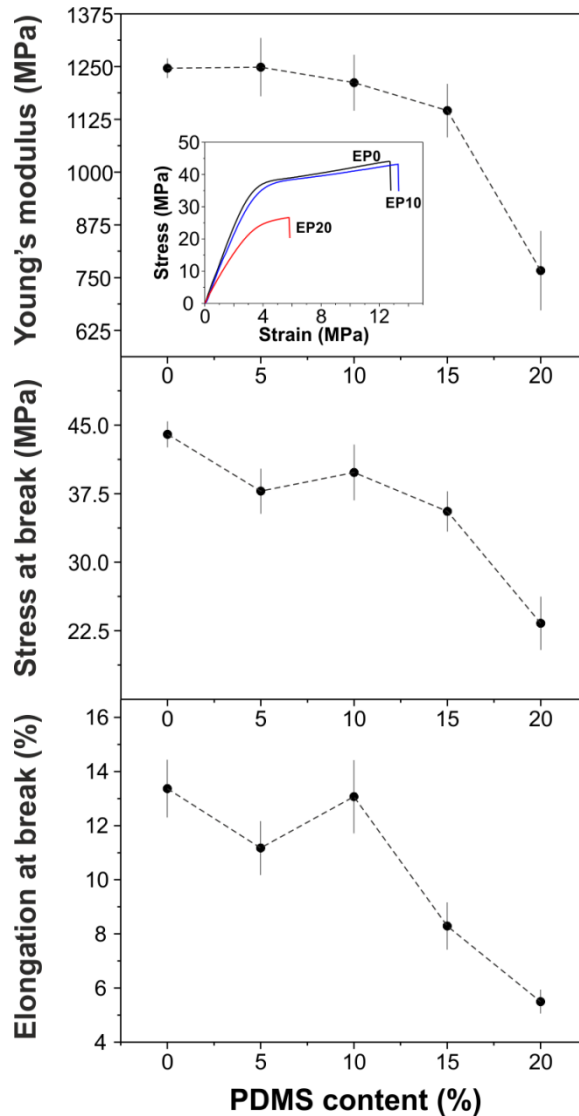
266 **Figure 3. A**, ATR-FTIR spectra of pure PDMS, EC0, EC10, and EC20 samples in the 3800-2700  $\text{cm}^{-1}$   
 267  $^1$  region. The chemical structures of EC and PDMS are included. **B**, ATR-FTIR spectra of pure  
 268 PDMS, EC0, EC10, and EC20 samples in the 1800-600  $\text{cm}^{-1}$  region. **C**, shift of the  $\rho(\text{CH}_3)$  band. The  
 269 rocking mode of PDMS methyl groups is shown.

270

271 3.2.- Mechanical characterization.

272 Stress-strain behaviour of the films (tensile tests) is reported in Figure 4. The response of all  
 273 composites was typical of ductile materials, with a clear yield point and successive plastic  
 274 deformation. The extent of the plastic regime became shorter with the addition of PDMS. Considering  
 275 the mechanical parameters, the addition of PDMS initially did not considerably affect the Young's  
 276 modulus with a value of *ca.* 1200 MPa, although a clear drop to  $\sim 750$  MPa was visible at high  
 277 concentration (20 wt.%) of filler. Strength and elongation at break values ranged from  $\sim 45.0$  MPa to

278 ~22.5 MPa and from 13.5% to 5.5%, respectively, with the decrease becoming more evident above  
 279 10 wt. % of PDMS concentration in the composites. This suggests that the soft PDMS-rich particles  
 280 act as starting points for the onset of plastification and eventual fracture.



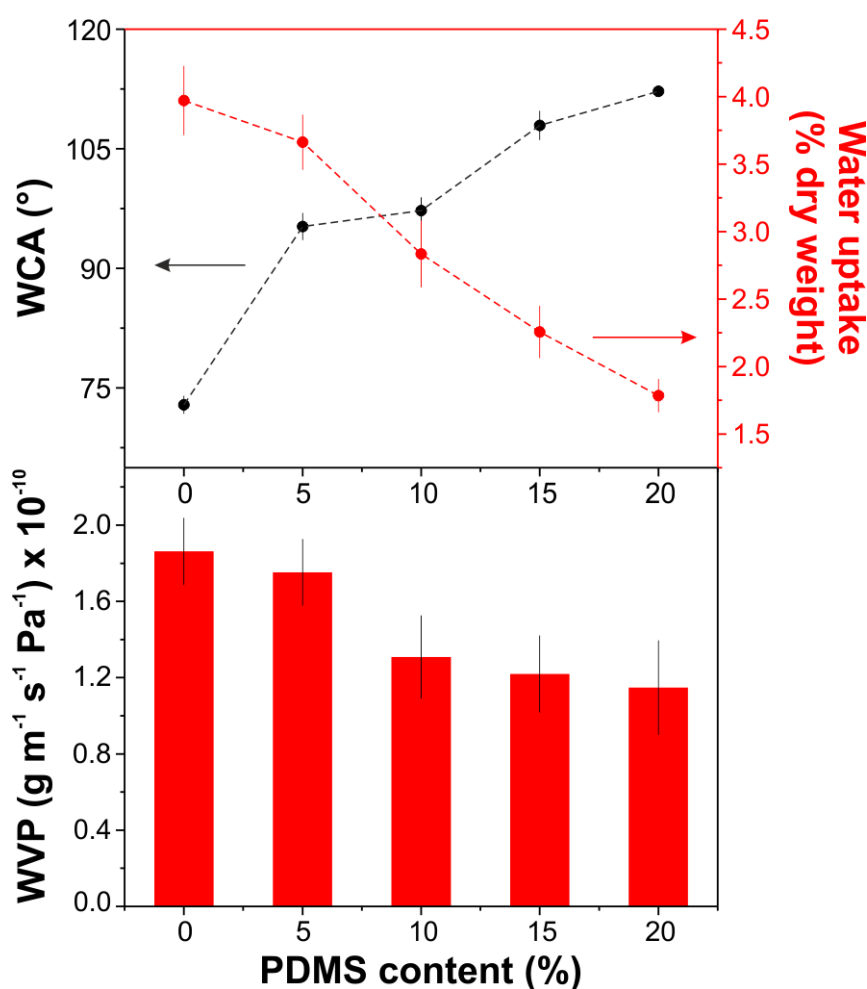
281  
 282 **Figure 4.** Variation of the Young's modulus, stress at break, and elongation at break with the content  
 283 of PDMS. The inset shows the representative stress-strain curves of EC0, EC10, and EC20 samples.

284

285 3.3.- Wettability, Water Uptake, and Water Barrier Properties.

286 The water contact angle (WCA), water uptake, and water vapor permeability (WVP) data of the  
 287 composites are shown in Figure 5. The WCA was increased from ~73° for EP0 to ~112° for EP20,  
 288 most likely due to the inherent hydrophobic properties of PDMS with a WCA of value ~120°  
 289 (Ceseracciu et al., 2015). On the other hand, water uptake values were in general very low, decreasing

290 from ~4% for pure EC to ~1.8% for EP20. Moreover, the WVP values were also decreased with the  
 291 PDMS content. EP0 sample had a value of  $\sim 1.9 \cdot 10^{-10} \text{ g m}^{-1} \text{ s}^{-1} \text{ Pa}^{-1}$ , while for EP20 the water  
 292 permeability was  $\sim 1.1 \cdot 10^{-10} \text{ g m}^{-1} \text{ s}^{-1} \text{ Pa}^{-1}$  (a reduction of ~42%). Interestingly, these values are very  
 293 low when compared to those of common biopolymers used in food packaging such as cellulose  
 294 acetate ( $2.3 \cdot 10^{-5} \text{ g m}^{-1} \text{ s}^{-1} \text{ Pa}^{-1}$ ), polycaprolactone ( $1.4 \cdot 10^{-6} \text{ g m}^{-1} \text{ s}^{-1} \text{ Pa}^{-1}$ ), poly(lactic acid) ( $6.5 \cdot 10^{-7}$   
 295  $\text{ g m}^{-1} \text{ s}^{-1} \text{ Pa}^{-1}$ ) or polyhydroxybutyrate-valerate copolymer ( $1.7 \cdot 10^{-7} \text{ g m}^{-1} \text{ s}^{-1} \text{ Pa}^{-1}$ ) (Shogren, 1997).  
 296 Such a reduction of water uptake and WVP is related to the water-repellent behavior of the silicone,  
 297 the size and presence of hydrophobic PDMS-rich particles and to the participation of ethyl cellulose's  
 298 OH groups in H-bonds with PDMS, which could reduce interaction with water molecules.



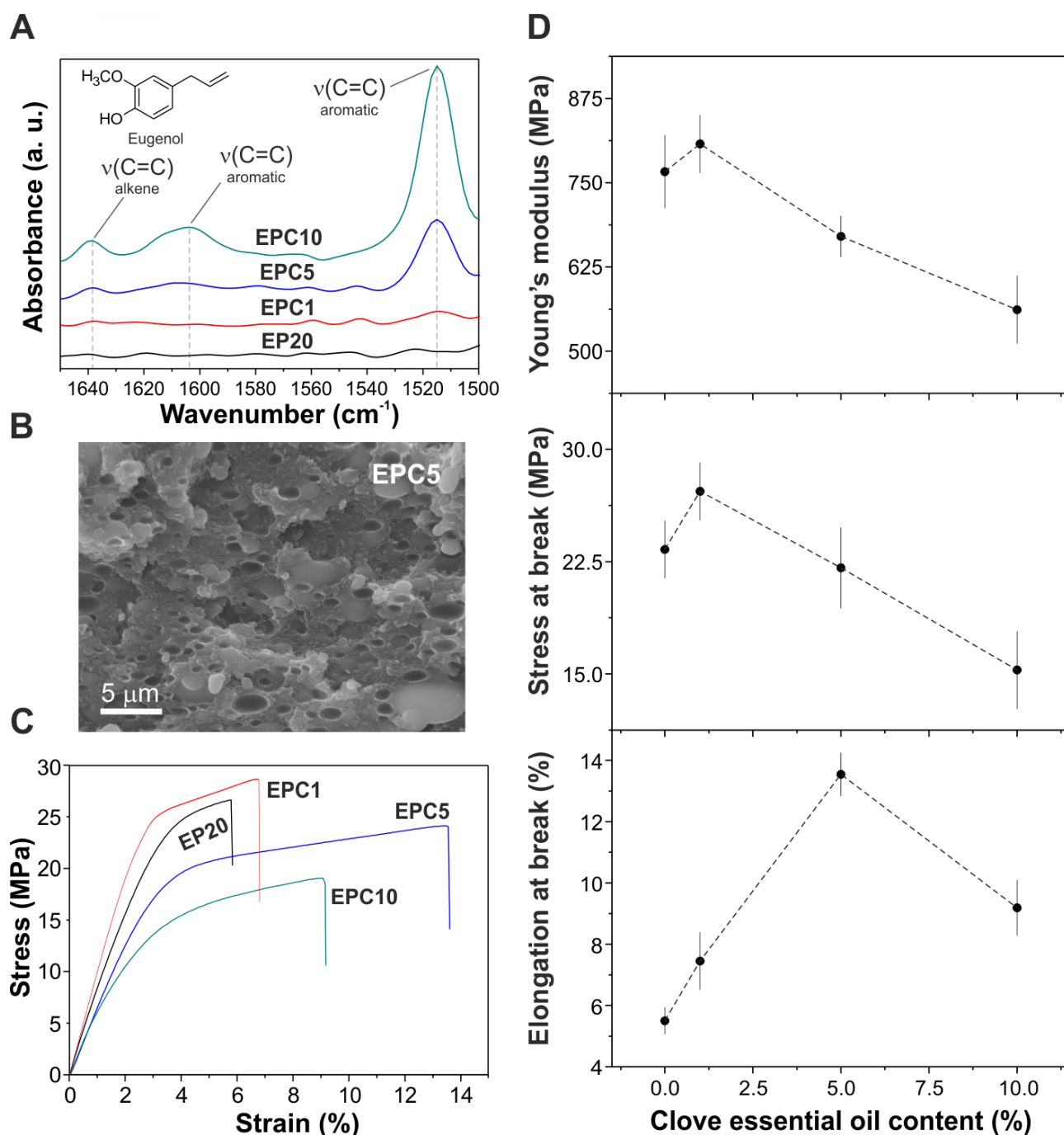
299

300 **Figure 5.** Water contact angle, water adsorption and water vapor permeability (WVP) as a function  
 301 of the PDMS content.

302 3.4.- Effect of the clove essential oil on EC:PDMS composites.

303 The effect of the clove essential oil on the properties of EP20 sample, the composite with the best  
 304 waterproofing properties, was studied. Figure 6A shows the infrared spectra of EP20, EPC1, EPC5,

305 and EPC10 in the 1650-1500  $\text{cm}^{-1}$  region. Bands at 1638  $\text{cm}^{-1}$  (C=C vinyl stretching mode), 1604  $\text{cm}^{-1}$ , and 1515  $\text{cm}^{-1}$  (both bands related to C=C aromatic stretching modes) were associated with the  
306 chemical structure of eugenol (Chowdhry, Ryall, Dines, & Mendham, 2015). The intensity of these  
307 bands increased with the clove essential oil content. No additional bands or shifts were detected in  
308 the rest of the analyzed spectral region. Furthermore, no important changes in the surface density and  
309 diameter of the PDMS-rich particles were observed. As a representative example of the composites  
310 with clove essential oil, a compositional SEM cross-section of the EPC5 sample is shown in Figure  
311 6B. However, the tensile mechanical properties of the films were modified by the presence of the  
312 essential oil, as displayed in Figure 6C. Both Young's modulus and stress at break of samples increase  
313 for low clove essential oil concentration (1 wt.%), while for higher oil concentrations their values are  
314 lower than the initial values of EP20. On the contrary, the elongation at break is higher than the initial  
315 value of EP20 for all the essential oil concentrations. This indicates a plasticizer effect due to the oil  
316 that resulted in a higher flexibility.



318

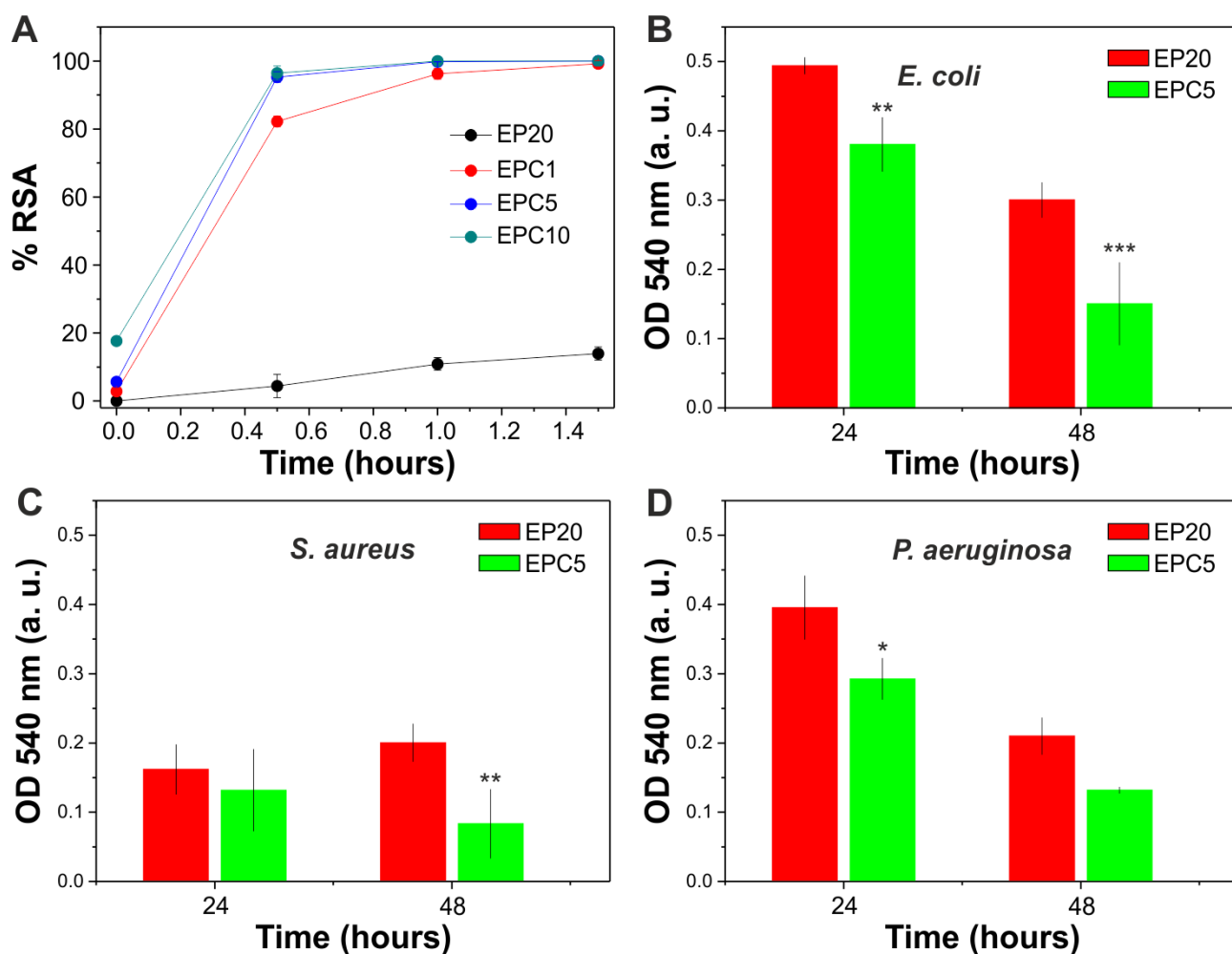
319 **Figure 6. A**, ATR-FTIR spectra of EP20, EPC1, EPC5, and EPC10 samples in the 1650-1500 cm<sup>-1</sup>  
 320 region. Eugenol chemical structure is included. **B**, compositional SEM cross-section of the EPC5  
 321 sample after a tensile test. **C**, representative stress-strain curves of EP20, EPC1, EPC5, and EPC10  
 322 samples. **D**, variation of the Young's modulus, stress at break and elongation at break of the EP20  
 323 sample with the content of clove essential oil.

324 The antioxidant and antimicrobial properties of the composites with clove essential oil are reported  
 325 in Figure 7. Figure 7A shows the results for the radical scavenging activity (RSA) of EP20, EPC1,  
 326 EPC5, and EP10 samples determined by using DPPH• method. EP20 displayed a final value of RSA



327 close to 10% after 1.5 h of measurement. The antioxidant properties of the composites with clove  
328 essential oil were much higher, eventually reaching 100% RSA after 1.5 hours. On the other hand,  
329 phenolic compounds present in the clove essential oil can denature proteins and react with cell  
330 membrane phospholipids changing their permeability and inhibiting a great number of Gram-negative  
331 and Gram-positive bacteria as well as different types of yeast (Chaieb et al., 2007; Walsh et al., 2003).  
332 The biofilm inhibition assay was performed against *E. coli*, *S. aureus*, and *P. aeruginosa*. They are  
333 common pathogens that can be easily found in foods and are responsible for many foodborne illnesses  
334 (Franzetti & Scarpellini, 2007; Law, Ab Mutalib, Chan, & Lee, 2014; Scallan et al., 2011). Figure  
335 7B-D shows *E. coli*, *S. aureus* and *P. aeruginosa* biofilm formation on EP20 and EPC5 composite  
336 materials. The bacterial population of *E. coli* and *P. aeruginosa* decreased with time in both  
337 substrates, while the population of *S. aureus* was similar at 24 h and 48 h. Furthermore, the average  
338 values of OD at 540 nm for EP20 were higher than those of EPC5. In particular, biofilm formation  
339 by *E. coli* was significantly inhibited in the presence of clove essential oil both at 24 h and 48 h  
340 ( $0.001 < p < 0.01$  and  $p < 0.0001$ , respectively). In this case, a percentage of biofilm inhibition of ~44%  
341 at 24 h and ~57% at 48 h was calculated. Biofilm formation by *S. aureus* was not significantly  
342 inhibited at 24 h, but the inhibition became significant at 48 h ( $0.001 < p < 0.01$ ), with a percentage of  
343 biofilm inhibition of ~62%. Finally, the biofilm formation by *P. aeruginosa* was significantly  
344 inhibited only at 24 h ( $p < 0.05$ ) with a percentage of inhibition of ~38%. These results confirm the  
345 good antimicrobial properties of the films incorporating clove essential oil.

346



347

348 **Figure 7. A**, antioxidant capacity of EP20, EPC1, EPC5, and EPC10 samples. **B, C, D**, biofilm  
 349 inhibition effect of EP20 and EPC5 samples at 24 and 48 h against *E. coli*, *S. aureus*, and *P.*  
 350 *aeruginosa*, respectively. Optical density is proportional to the bacterial population. The means  $\pm$   
 351 S.D. for 5 replicate wells are reported (\* $p < 0.05$ , \*\* $0.001 < p < 0.01$ , \*\*\* $p < 0.001$  vs. EP20 group).

#### 352 4.- Conclusions

353 The properties of ethyl cellulose films can be tuned by the addition of relatively low amounts of (5-  
 354 20 wt.%) of PDMS. When ethyl acetate solutions of both components are blended and drop-casted,  
 355 self-standing films made of EC-rich matrices and PDMS-rich particles are formed. The density and  
 356 diameter of the particles increase with the PDMS content. There is also an interaction through H-  
 357 bonds between the two polymers, as confirmed by ATR-FTIR spectroscopy. All these phenomena  
 358 affect the final characteristics of the composites. The opacity, hydrophobicity, and water barrier  
 359 properties improve significantly with the PDMS content, while tensile mechanical properties are  
 360 reduced. The addition of low percentages of clove essential oil does not affect the morphology of the  
 361 composite, but improves the flexibility of the material. Moreover, the presence of clove essential oil

362 considerably increases the antioxidant properties of the composite and can inhibit the growth of  
363 common pathogens. In view of the results, the polymeric composite formed by 80 wt.% EC, 20 wt.%  
364 PDMS and an additional 5.0 wt.% clove essential oil can be a future candidate as a smart food  
365 packaging material.

## 366 **References**

- 367 Badulescu, R., Vivod, V., Jausovec, D., & Voncina, B. (2008). Grafting of ethylcellulose microcapsules onto  
368 cotton fibers. *Carbohydrate Polymers*, 71(1), 85-91.
- 369 Biji, K. B., Ravishankar, C. N., Mohan, C. O., & Srinivasa Gopal, T. K. (2015). Smart packaging systems for food  
370 applications: a review. *Journal of Food Science and Technology*, 52(10), 6125-6135.
- 371 Brandwilliams, W., Cuvelier, M. E., & Berset, C. (1995). USE OF A FREE-RADICAL METHOD TO EVALUATE  
372 ANTIOXIDANT ACTIVITY. *Food Science and Technology-Lebensmittel-Wissenschaft & Technologie*,  
373 28(1), 25-30.
- 374 Burt, S. (2004). Essential oils: their antibacterial properties and potential applications in foods—a review.  
375 *International Journal of Food Microbiology*, 94(3), 223-253.
- 376 Cerqueira, M. A. P. R., Pereira, R. N. C., da Silva Ramos, O. L., Teixeira, J. A. C., & Vicente, A. A. (2016). *Edible*  
377 *Food Packaging: Materials and Processing Technologies*: CRC Press.
- 378 Ceseracciu, L., Heredia-Guerrero, J. A., Dante, S., Athanassiou, A., & Bayer, I. S. (2015). Robust and  
379 Biodegradable Elastomers Based on Corn Starch and Polydimethylsiloxane (PDMS). *ACS Applied*  
380 *Materials & Interfaces*, 7(6), 3742-3753.
- 381 Chaieb, K., Hajlaoui, H., Zmantar, T., Kahla-Nakbi, A. B., Rouabhia, M., Mahdouani, K., & Bakhrouf, A. (2007).  
382 The chemical composition and biological activity of clove essential oil, *Eugenia caryophyllata*  
383 (*Syzygium aromaticum* L. Myrtaceae): a short review. *Phytotherapy Research*, 21(6), 501-506.
- 384 Chan, L. W., Ong, K. T., & Heng, P. W. S. (2005). Novel Film Modifiers to Alter the Physical Properties of  
385 Composite Ethylcellulose Films. *Pharmaceutical Research*, 22(3), 476-489.
- 386 Chattopadhyay, P., Dhiman, S., Borah, S., Rabha, B., Chaurasia, A. K., & Veer, V. (2015). Essential oil based  
387 polymeric patch development and evaluating its repellent activity against mosquitoes. *Acta Tropica*,  
388 147, 45-53.
- 389 Chowdhry, B. Z., Ryall, J. P., Dines, T. J., & Mendham, A. P. (2015). Infrared and Raman Spectroscopy of  
390 Eugenol, Isoeugenol, and Methyl Eugenol: Conformational Analysis and Vibrational Assignments  
391 from Density Functional Theory Calculations of the Anharmonic Fundamentals. *The Journal of*  
392 *Physical Chemistry A*, 119(46), 11280-11292.
- 393 Davidovich-Pinhas, M., Barbut, S., & Marangoni, A. G. (2014). Physical structure and thermal behavior of  
394 ethylcellulose. *Cellulose*, 21(5), 3243-3255.
- 395 de Brabander, C., van den Mooter, G., Vervaet, C., & Remon, J. P. (2002). Characterization of ibuprofen as a  
396 nontraditional plasticizer of ethyl cellulose. *Journal of Pharmaceutical Sciences*, 91(7), 1678-1685.
- 397 Elzein, T., Galliano, A., & Bistac, S. (2004). Chains anisotropy in PDMS networks due to friction on gold  
398 surfaces. *Journal of Polymer Science Part B: Polymer Physics*, 42(12), 2348-2353.
- 399 Esteves, A. C. C., Brokken-Zijp, J., Laven, J., Huinink, H. P., Reuvers, N. J. W., Van, M. P., & de With, G. (2009).  
400 Influence of cross-linker concentration on the cross-linking of PDMS and the network structures  
401 formed. *Polymer*, 50(16), 3955-3966.
- 402 Franzetti, L., & Scarpellini, M. (2007). Characterisation of *Pseudomonas* spp. isolated from foods. *Annals of*  
403 *Microbiology*, 57(1), 39-47.
- 404 Gupta, V., Singh, S., Srivastava, M., Ahmad, H., Pachauri, S. D., Khandelwal, K., . . . Dwivedi, A. K. (2016).  
405 Effect of polydimethylsiloxane and ethylcellulose on in vitro permeation of centchroman from its  
406 transdermal patches. *Drug Delivery*, 23(1), 113-122.
- 407 Hammer, C. F. (1978). *Chapter 17 - Polymeric Plasticizers A2 - PAUL, D.R.* In S. Newman (Ed.), *Polymer Blends*  
408 (pp. 219-241): Academic Press

409 Heredia-Guerrero, J. A., Benítez, J. J., Cataldi, P., Paul, U. C., Contardi, M., Cingolani, R., . . . Athanassiou, A.  
410 (2017). All-Natural Sustainable Packaging Materials Inspired by Plant Cuticles. *Advanced Sustainable*  
411 *Systems*, 1(1-2), 1600024-n/a.

412 Ho, T. T. T., Zimmermann, T., Ohr, S., & Caseri, W. R. (2012). Composites of Cationic Nanofibrillated Cellulose  
413 and Layered Silicates: Water Vapor Barrier and Mechanical Properties. *Acs Applied Materials &*  
414 *Interfaces*, 4(9), 4832-4840.

415 Hyppölä, R., Husson, I., & Sundholm, F. (1996). Evaluation of physical properties of plasticized ethyl cellulose  
416 films cast from ethanol solution Part I. *International Journal of Pharmaceutics*, 133(1), 161-170.

417 Iqbal, H. M. N., Kyazze, G., Locke, I. C., Tron, T., & Keshavarz, T. (2015). Poly(3-hydroxybutyrate)-ethyl  
418 cellulose based bio-composites with novel characteristics for infection free wound healing  
419 application. *International Journal of Biological Macromolecules*, 81(Supplement C), 552-559.

420 Jamuna, P. (2010). Evaluation of certain food additives. Sixty-ninth report of the Joint FAO/WHO Expert  
421 Committee on Food Additives (JECFA). WHO Technical Report Series No. 952. 2009. World Health  
422 Organization. Geneva, pages 208. Springer.

423 Kwiatkowski, P., Giedrys-Kalemba, S., Mizielińska, M., & Bartkowiak, A. (2016). Modification of PLA foil  
424 surface by ethylcellulose and essential oils. *Journal of Microbiology, Biotechnology and Food*  
425 *Sciences*, 5(5), 440-444.

426 Laredo, T., Barbut, S., & Marangoni, A. G. (2011). Molecular interactions of polymer oleogelation. *Soft Matter*,  
427 7(6), 2734-2743.

428 Law, J. W.-F., Ab Mutalib, N.-S., Chan, K.-G., & Lee, L.-H. (2014). Rapid methods for the detection of foodborne  
429 bacterial pathogens: principles, applications, advantages and limitations. *Frontiers in Microbiology*,  
430 5, 770.

431 Lu, H., Wang, Q., Li, G., Qiu, Y., & Wei, Q. (2017). Electrospun water-stable zein/ethyl cellulose composite  
432 nanofiber and its drug release properties. *Materials Science and Engineering: C*, 74(Supplement C),  
433 86-93.

434 Lukasiak, J., Dorosz, A., Prokopowicz, M., Rosciszewski, P., & Falkiewicz, B. (2005). *Biodegradation of Silicones*  
435 *(Organosiloxanes)*. In *Biopolymers Online*: Wiley-VCH Verlag GmbH & Co. KGaA

436 Mashak, A., & Rahimi, A. (2009). Silicone Polymers in Controlled Drug Delivery Systems: A Review. *Iranian*  
437 *Polymer Journal*, 18(4), 279-295.

438 Matan, N., Rimkeeree, H., Mawson, A. J., Chompreeda, P., Haruthaithanasan, V., & Parker, M. (2006).  
439 Antimicrobial activity of cinnamon and clove oils under modified atmosphere conditions.  
440 *International Journal of Food Microbiology*, 107(2), 180-185.

441 Murtaza, G. (2012). Ethylcellulose microparticles: a review. *Acta Poloniae Pharmaceutica - Drug Research*,  
442 69(1), 11-22.

443 Patil, D. K., Agrawal, D. S., Mahire, R. R., & More, D. H. (2016). Synthesis, characterization and controlled  
444 release studies of ethyl cellulose microcapsules incorporating essential oil using an emulsion solvent  
445 evaporation method *American Journal of Essential Oils and Natural Products*, 4(1), 23-31.

446 Rahman, M., & Brazel, C. S. (2004). The plasticizer market: an assessment of traditional plasticizers and  
447 research trends to meet new challenges. *Progress in Polymer Science*, 29(12), 1223-1248.

448 Scallan, E., Hoekstra, R. M., Angulo, F. J., Tauxe, R. V., Widdowson, M.-A., Roy, S. L., . . . Griffin, P. M. (2011).  
449 Foodborne Illness Acquired in the United States—Major Pathogens. *Emerging Infectious Diseases*,  
450 17(1), 7-15.

451 Shogren, R. (1997). Water vapor permeability of biodegradable polymers. *Journal of environmental polymer*  
452 *degradation*, 5(2), 91-95.

453 Tran, T. N., Athanassiou, A., Basit, A., & Bayer, I. S. (2017). Starch-based bio-elastomers functionalized with  
454 red beetroot natural antioxidant. *Food Chemistry*, 216, 324-333.

455 Tran, T. N., Heredia-Guerrero, J. A., Mai, B. T., Ceseracciu, L., Marini, L., Athanassiou, A., & Bayer, I. S. (2017).  
456 Bioelastomers Based on Cocoa Shell Waste with Antioxidant Ability. *Advanced Sustainable Systems*,  
457 1700002-n/a.

458 Walsh, S. E., Maillard, J. Y., Russell, A. D., Catrenich, C. E., Charbonneau, D. L., & Bartolo, R. G. (2003). Activity  
459 and mechanisms of action of selected biocidal agents on Gram-positive and -negative bacteria.  
460 *Journal of Applied Microbiology*, 94(2), 240-247.

- 461 Wüstenberg, T. (2014). *Ethylcellulose*. In T. Wüstenberg (Ed.), *Cellulose and Cellulose Derivatives in the Food*  
462 *Industry* (pp. 275-318): Wiley-VCH Verlag GmbH & Co. KGaA
- 463 Zahid, M., Heredia-Guerrero, J. A., Athanassiou, A., & Bayer, I. S. (2017). Robust water repellent treatment  
464 for woven cotton fabrics with eco-friendly polymers. *Chemical Engineering Journal*, 319, 321-332.
- 465