Portland State University

PDXScholar

Mathematics and Statistics Faculty Publications and Presentations Fariborz Maseeh Department of Mathematics and Statistics

1-2024

Proof of the Kresch-Tamvakis Conjecture

John Caughman Portland State University, caughman@pdx.edu

Taiyo S. Terada Portland State University

Follow this and additional works at: https://pdxscholar.library.pdx.edu/mth_fac

Part of the Physical Sciences and Mathematics Commons Let us know how access to this document benefits you.

Citation Details

Published as: Caughman, J., & Terada, T. (2024). Proof of the Kresch-Tamvakis conjecture. Proceedings of the American Mathematical Society, 152(03), 1265-1277.

This Pre-Print is brought to you for free and open access. It has been accepted for inclusion in Mathematics and Statistics Faculty Publications and Presentations by an authorized administrator of PDXScholar. Please contact us if we can make this document more accessible: pdxscholar@pdx.edu.

Proof of the Kresch-Tamvakis Conjecture

John S. Caughman¹ and Taiyo S. Terada

September 19, 2023

Abstract

In this paper we resolve a conjecture of Kresch and Tamvakis [5]. Our result is the following.

Theorem: For any positive integer D and any integers $i, j \ (0 \le i, j \le D)$, the absolute value of the following hypergeometric series is at most 1:

$$_{4}F_{3}\left[egin{array}{ccc} -i,\ i+1,\ -j,\ j+1\\ 1,\ D+2,\ -D \end{array};1
ight].$$

To prove this theorem, we use the Biedenharn-Elliott identity, the theory of Leonard pairs, and the Perron-Frobenius theorem.

Keywords. Racah polynomial; Biedenharn-Elliott identity; Leonard pair; 6–*j* symbols. **2020 Mathematics Subject Classification.** Primary 33C45; Secondary 26D15.

1 Introduction

In 2001, Kresch and Tamvakis conjectured an inequality involving certain terminating ${}_{4}F_{3}$ hypergeometric series [5, Conjecture 2]. In this paper, we prove the conjecture.

To describe the conjecture, we bring in some notation. For any real number a and nonnegative integer n, define

$$(a)_n = a(a+1)(a+2)\cdots(a+n-1).$$
(1)

Let z denote an indeterminate. Given real numbers $\{a_i\}_{i=1}^4$ and $\{b_i\}_{i=1}^3$, the corresponding ${}_4F_3$ hypergeometric series is defined by

$${}_{4}F_{3}\left[\begin{array}{c}a_{1}, a_{2}, a_{3}, a_{4}\\b_{1}, b_{2}, b_{3}\end{array}; z\right] = \sum_{n=0}^{\infty} \frac{(a_{1})_{n}(a_{2})_{n}(a_{3})_{n}(a_{4})_{n}}{(b_{1})_{n}(b_{2})_{n}(b_{3})_{n}} \frac{z^{n}}{n!}.$$
(2)

We now state the conjecture of Kresch and Tamvakis.

Conjecture 1.1. [5, Conjecture 2] For any positive integer D and any integers $i, j \ (0 \le i, j \le D)$, the absolute value of the following hypergeometric series is at most 1:

$${}_{4}F_{3}\left[\begin{array}{c}-i,\ i+1,\ -j,\ j+1\\1,\ D+2,\ -D\end{array};1\right].$$
(3)

Note 1.2. Conjecture 1.1 is taken from [5, Conjecture 2] with

 $n = i, \qquad s = j, \qquad T = D + 1.$

 $^{^1 {\}rm Corresponding}$ author: caughman@pdx.edu.

Next we discuss the evidence for Conjecture 1.1 offered by Kresch, Tamvakis, and others.

In [5, Proposition 2], Kresch and Tamvakis prove that the absolute value of (3) is at most 1, provided that $i \leq 3$ or i = D. In [4, p. 863], Ismail and Simeonov prove that the absolute value of (3) is at most 1, provided that i = D - 1 and $D \geq 6$. They also give asymptotic estimates to further support the conjecture. In [7], Mishev obtains several relations satisfied by the ${}_4F_3$ hypergeometric series in question.

In this paper, we prove Conjecture 1.1 from scratch, without invoking the above partial results. The following is a statement of our result.

Theorem 1.3. For any positive integer D and any integers $i, j \ (0 \le i, j \le D)$, the absolute value of the following hypergeometric series is at most 1:

$$_{4}F_{3}\left[egin{array}{ccc} -i,\;i+1,\;-j,\;j+1\\ 1,\;D+2,\;-D \end{array};1
ight].$$

To prove Theorem 1.3 we use the following approach. For $0 \le i \le D$ we define a certain matrix $B_i \in Mat_{D+1}(\mathbb{R})$. Using the Biedenham-Elliott identity [1, p. 356], we show that the entries of B_i are nonnegative. Using the theory of Leonard pairs [8–12], we show that the eigenvalues of B_i are 2i + 1 times

$${}_{4}F_{3}\left[\begin{array}{cc} -i, \ i+1, \ -j, \ j+1 \\ 1, \ D+2, \ -D \end{array}; 1\right] \qquad (0 \le j \le D)$$

We also show that the all 1's vector in \mathbb{R}^{D+1} is an eigenvector for B_i with eigenvalue 2i + 1. Applying the Perron-Frobenius theorem [3, p. 529], we show that the eigenvalues of B_i have absolute value at most 2i + 1. Using these results, we obtain the proof of Theorem 1.3.

This paper is organized as follows. In Section 2, we recall the definition of a Leonard pair and give an example relevant to our work. In Section 3, we use the Leonard pair in Section 2 to define a sequence of orthogonal polynomials. In Section 4, we use these orthogonal polynomials to define the matrices $\{B_i\}_{i=0}^{D}$. We then compute the eigenvalues of $\{B_i\}_{i=0}^{D}$. In Section 5, we show that the entries of B_i are nonnegative for $0 \le i \le D$. In Section 6, we use the Perron-Frobenius theorem to prove Theorem 1.3. In the appendix, we give some details about a key formula in our proof.

Throughout this paper, the square root of a nonnegative real number is understood to be nonnegative.

2 Leonard pairs

Throughout this paper, D denotes a positive integer. Let $\operatorname{Mat}_{D+1}(\mathbb{R})$ denote the \mathbb{R} -algebra of all $(D+1) \times (D+1)$ matrices that have all entries in \mathbb{R} . We index the rows and columns by $0, 1, 2, \ldots, D$. Let \mathbb{R}^{D+1} denote the vector space over \mathbb{R} consisting of $(D+1) \times 1$ matrices that have all entries in \mathbb{R} . We index the rows by $0, 1, 2, \ldots, D$. Let \mathbb{R}^{D+1} over \mathbb{R} to be a space over \mathbb{R} consisting of $(D+1) \times 1$ matrices that have all entries in \mathbb{R} . We index the rows by $0, 1, 2, \ldots, D$. The algebra $\operatorname{Mat}_{D+1}(\mathbb{R})$ acts on \mathbb{R}^{D+1} by left multiplication.

A matrix $B \in \operatorname{Mat}_{D+1}(\mathbb{R})$ is called *tridiagonal* whenever each nonzero entry lies on the diagonal, the subdiagonal, or the superdiagonal. Assume that B is tridiagonal. Then B is called *irreducible* whenever each entry on the subdiagonal is nonzero, and each entry on the superdiagonal is nonzero.

We now recall the definition of a Leonard pair. Let V denote a vector space over \mathbb{R} with dimension D + 1.

Definition 2.1. [10] By a *Leonard pair on* V, we mean an ordered pair of linear transformations $A: V \to V$ and $A^*: V \to V$ that satisfy both (i), (ii) below.

- (i) There exists a basis for V with respect to which the matrix representing A is irreducible tridiagonal and the matrix representing A^* is diagonal.
- (ii) There exists a basis for V with respect to which the matrix representing A^* is irreducible tridiagonal and the matrix representing A is diagonal.

The above Leonard pair A, A^* is said to be *over* \mathbb{R} .

Note 2.2. According to a common notational convention, A^* denotes the conjugate-transpose of A. We are not using this convention. In a Leonard pair A, A^* the linear transformations A and A^* are arbitrary subject to (i), (ii) above.

Our next goal is to give an example of a Leonard pair. To do so, we give two definitions.

Definition 2.3. Define

$$c_i = \frac{3(D-i+1)i(D+i+1)}{D(D+2)(2i+1)} \qquad (1 \le i \le D), \tag{4}$$

$$a_{i} = \frac{3i(i+1)}{D(D+2)} \qquad (0 \le i \le D), \tag{5}$$

$$b_i = \frac{3(D-i)(i+1)(D+i+2)}{D(D+2)(2i+1)} \qquad (0 \le i \le D-1),$$
(6)

$$\theta_i = 3 - 2a_i \qquad (0 \le i \le D). \tag{7}$$

We remark that the scalars $\{\theta_i\}_{i=0}^D$ are mutually distinct.

Let A, A^* denote the following matrices in $\operatorname{Mat}_{D+1}(\mathbb{R})$:

$$A = \begin{pmatrix} a_0 & b_0 & & \mathbf{0} \\ c_1 & a_1 & b_1 & & \\ & \ddots & \ddots & \ddots & \\ & & c_{D-1} & a_{D-1} & b_{D-1} \\ \mathbf{0} & & & c_D & a_D \end{pmatrix}, \qquad A^* = \begin{pmatrix} \theta_0 & & \mathbf{0} \\ & \theta_1 & & \\ & & \theta_1 & & \\ & & \theta_{1} & & \\ & & \theta_{2} & & \\ & & \theta_{1} & & \\ & & \theta_{2} & & \\ & & \theta_{1} & & \\ & & \theta_{2} & & \\ & & \theta_{2} & & \\ & & \theta_{1} & & \\ & & \theta_{2} & & \\ & & \theta_{1} & & \\ & & \theta_{2} & &$$

Definition 2.4. We define a matrix $P \in Mat_{D+1}(\mathbb{R})$ with the following entries:

$$P_{i,j} = (2j+1)_4 F_3 \begin{bmatrix} -i, i+1, -j, j+1\\ 1, D+2, -D \end{bmatrix}; 1 \end{bmatrix} \qquad (0 \le i, j \le D).$$
(9)

Lemma 2.5. ([11, Ex. 5.10] and [12, Thm. 4.9]) The following hold:

- (i) $P^2 = (D+1)^2 I;$
- (ii) $PA = A^*P;$
- (iii) $PA^* = AP;$
- (iv) the pair A, A^* is a Leonard pair over \mathbb{R} .

Proof. Calculations (i)-(iii) are the following special case of [11, Ex. 5.10] and [12, Thm. 4.9]:

$$d = D,$$
 $\theta_0 = \theta_0^* = 3,$ $s = s^* = r_1 = 0,$ $r_2 = D + 1,$ $h = h^* = \frac{-6}{D(D+2)}.$

Item (iv) follows from items (i)-(iii).

The Leonard pairs from [11, Ex. 5.10] are said to have Racah type. So the Leonard pair A, A^* in Lemma 2.5 has Racah type. This Leonard pair is self-dual in the sense of [9, p. 5].

3 Some orthogonal polynomials

In this section we interpret Conjecture 1.1 in terms of orthogonal polynomials.

Let λ denote an indeterminate. Let $\mathbb{R}[\lambda]$ denote the \mathbb{R} -algebra of polynomials in λ that have all coefficients in \mathbb{R} .

Definition 3.1. With reference to Definition 2.3, let $u_0(\lambda), u_1(\lambda), \dots, u_D(\lambda)$ denote the polynomials in $\mathbb{R}[\lambda]$ that satisfy: $u_0(\lambda) = 1$ $u_1(\lambda) = \lambda/3$

$$\lambda u_i(\lambda) = b_i u_{i+1}(\lambda) + a_i u_i(\lambda) + c_i u_{i-1}(\lambda) \qquad (1 \le i \le D - 1).$$
(10)

Note that the polynomial $u_i(\lambda)$ has degree exactly *i* for $0 \le i \le D$.

By [11, Ex. 5.10], the polynomials $\{u_i(\lambda)\}_{i=0}^D$ are a special case of the Racah polynomials. Also by [11, Ex. 5.10],

$$u_i(\theta_j) = {}_4F_3 \left[\begin{array}{cc} -i, \ i+1, \ -j, \ j+1 \\ 1, \ D+2, \ -D \end{array}; 1 \right] \qquad (0 \le i, j \le D).$$
(11)

Lemma 3.2. The following hold:

- (i) $u_i(\theta_j) = u_j(\theta_i)$ $(0 \le i, j \le D);$ (ii) $u_i(\theta_0) = 1$ $(0 \le i \le D);$
- (*iii*) $u_0(\theta_j) = 1$ $(0 \le j \le D).$

Proof. Each of (i)-(iii) is immediate from (11).

In light of Equation (11), Conjecture 1.1 asserts that

$$|u_i(\theta_j)| \le 1 \qquad (0 \le i, j \le D).$$

$$(12)$$

To prove (12) it will be useful to adjust the normalization of the polynomials $u_i(\lambda)$. Define

$$k_{i} = \frac{b_{0}b_{1}\cdots b_{i-1}}{c_{1}c_{2}\cdots c_{i}} \qquad (0 \le i \le D).$$
(13)

One checks that

$$k_i = 2i + 1$$
 $(0 \le i \le D).$ (14)

Definition 3.3. With reference to Definition 3.1, let

$$v_i(\lambda) = k_i u_i(\lambda) \qquad (0 \le i \le D).$$
(15)

By construction,

$$v_i(\theta_j) = k_i u_i(\theta_j) \qquad (0 \le i, j \le D).$$
(16)

The polynomials $v_i(\lambda)$ satisfy the following three-term recurrence.

Lemma 3.4. [12, Lem. 3.11] We have

$$v_0(\lambda) = 1, \qquad v_1(\lambda) = \lambda,$$

$$\lambda v_i(\lambda) = c_{i+1}v_{i+1}(\lambda) + a_i v_i(\lambda) + b_{i-1}v_{i-1}(\lambda) \qquad (1 \le i \le D - 1).$$
(17)

Lemma 3.5. For $0 \le i, j \le D$ we have

$$P_{i,j} = v_j(\theta_i). \tag{18}$$

Proof. Immediate by (9),(11),(14), and (16).

We emphasize two special cases of (18).

Lemma 3.6. The following hold:

(i)
$$P_{i,0} = 1$$
 $(0 \le i \le D);$
(ii) $P_{0,j} = k_j$ $(0 \le j \le D).$
Proof. Immediate from (16) and (18).

We have some comments about the parameters (13). For notational convenience, define

$$\nu = (D+1)^2.$$
(19)

By (14),

$$\sum_{i=0}^{D} k_i = \nu.$$

Next, we state the orthogonality relations for the polynomials $\{u_i(\lambda)\}_{i=0}^D$.

Lemma 3.7. [12, p. 282] For integers $0 \le n, m \le D$ we have

$$\sum_{j=0}^{D} k_j u_n(\theta_j) u_m(\theta_j) = \nu k_n^{-1} \delta_{n,m};$$
(20)

$$\sum_{j=0}^{D} k_j u_j(\theta_n) u_j(\theta_m) = \nu k_n^{-1} \delta_{n,m}.$$
(21)

Next, we state the orthogonality relations for the polynomials $\{v_i(\lambda)\}_{i=0}^D$.

Lemma 3.8. [12, p. 281] For integers $0 \le n, m \le D$ we have

$$\sum_{j=0}^{D} k_j v_n(\theta_j) v_m(\theta_j) = \nu k_n \delta_{n,m};$$
(22)

$$\sum_{j=0}^{D} k_j^{-1} v_j(\theta_n) v_j(\theta_m) = \nu k_n^{-1} \delta_{n,m}.$$
(23)

4 Two commutative subalgebras of $Mat_{D+1}(\mathbb{R})$

We continue to discuss the Leonard pair A, A^* from Definition 2.3.

Definition 4.1. Let M denote the subalgebra of $\operatorname{Mat}_{D+1}(\mathbb{R})$ generated by A. Let M^* denote the subalgebra of $\operatorname{Mat}_{D+1}(\mathbb{R})$ generated by A^* .

In this section, we describe a basis for M and a basis for M^* .

Definition 4.2. For $0 \le i \le D$ define

$$B_i = v_i(A), \qquad \qquad B_i^* = v_i(A^*),$$

where $v_i(\lambda)$ is from (15).

Lemma 4.3. For $0 \le i \le D$ we have

$$PB_i = B_i^* P, \qquad PB_i^* = B_i P.$$

Proof. By Lemma 2.5, Definition 4.2, and linear algebra.

Lemma 4.3 tells us that for integers $0 \le i, j \le D$, column j of P is an eigenvector of B_i with eigenvalue $v_i(\theta_j)$. We emphasize one special case. Let 1 denote the vector in \mathbb{R}^{D+1} that has all entries 1.

Lemma 4.4. For $0 \le i \le D$ the vector $\mathbb{1}$ is an eigenvector for B_i with eigenvalue k_i .

Proof. Immediate from Lemma 3.6 and Lemma 4.3.

Lemma 4.5. The matrices $\{B_i\}_{i=0}^{D}$ form a basis for M. The matrices $\{B_i^*\}_{i=0}^{D}$ form a basis for M^* .

Proof. By Definition 2.3, the matrix A^* has D + 1 distinct eigenvalues, so M^* has dimension D + 1. By Definition 4.2, the matrices $\{B_i^*\}_{i=0}^D$ belong to M^* . By these comments, the matrices $\{B_i^*\}_{i=0}^D$ form a basis for M^* . We have now verified the second assertion. The first assertion follows from this and Lemma 4.3.

Next we discuss the entries of the matrices $\{B_i\}_{i=0}^D$. The following definition will be convenient.

Definition 4.6. For $0 \le h, i, j \le D$ let $p_{i,j}^h$ denote the (h, j)-entry of B_i . In other words,

$$p_{i,j}^h = (B_i)_{h,j}.$$
 (24)

We have a comment about the scalars $p_{i,j}^h$.

Lemma 4.7. [9, Lem. 4.19] For $0 \le i, j \le D$ we have

$$B_i B_j = \sum_{h=0}^{D} p_{i,j}^h B_h, \qquad B_i^* B_j^* = \sum_{h=0}^{D} p_{i,j}^h B_h^*.$$
(25)

The scalars $p_{i,j}^h$ can be computed using the following result. This result is from [8]; we include a proof for the sake of completeness.

Proposition 4.8. [8, Lem. 12.12] For $0 \le h, i, j \le D$ we have

$$p_{i,j}^{h} = \frac{k_{i}k_{j}}{\nu} \sum_{t=0}^{D} k_{t}u_{t}(\theta_{i})u_{t}(\theta_{j})u_{t}(\theta_{h}).$$
(26)

Proof. We invoke Equation (24). By Lemma 2.5(i) and Lemma 4.3 we have that $B_i = \nu^{-1}PB_i^*P$. Recall that the matrix P has entries $P_{i,j} = k_j u_j(\theta_i)$. We also have $B_i^* = v_i(A^*)$ and $A^* = \text{diag}(\theta_0, \theta_1, \dots, \theta_D)$. Evaluating (24) using these comments, we obtain the result.

We have a comment about Proposition 4.8.

Lemma 4.9. For $0 \le h, i, j \le D$ we have

$$p_{i,j}^{h} = p_{j,i}^{h}, \qquad k_{h}p_{i,j}^{h} = k_{j}p_{h,i}^{j} = k_{i}p_{j,h}^{i}.$$
 (27)

Proof. Immediate from (26).

5 The nonnegativity of the $p_{i,i}^h$

Our next goal is to show that $p_{i,j}^h \ge 0$ for $0 \le h, i, j \le D$. To obtain this inequality, we use the Biedenharn-Elliott identity [1, p. 356].

Recall the natural numbers $\mathbb{N} = \{0, 1, 2, 3, ...\}$. Note that $\frac{1}{2}\mathbb{N} = \{0, \frac{1}{2}, 1, \frac{3}{2}, 2, \frac{5}{2}, ...\}$.

Definition 5.1. Given $a, b, c \in \frac{1}{2}\mathbb{N}$, we say that the triple (a, b, c) is *admissible* whenever $a + b + c \in \mathbb{N}$ and

$$a \le b + c,$$
 $b \le c + a,$ $c \le a + b.$ (28)

Definition 5.2. Referring to Definition 5.1, assume that (a, b, c) is admissible. Define

$$\Delta(a,b,c) = \left(\frac{(a+b-c)!(b+c-a)!(c+a-b)!}{(a+b+c+1)!}\right)^{\frac{1}{2}}.$$
(29)

Next, we recall the Racah coefficients.

Definition 5.3. ([1, Eq. 5.11.4] and [6, p. 1063]) For $a, b, c, d, e, f \in \frac{1}{2}\mathbb{N}$, we define a real number W(a, b, c, d; e, f) as follows.

First assume that each of (a, b, e), (c, d, e), (a, c, f), (b, d, f) is admissible. Then

$$W(a, b, c, d; e, f) = \frac{\Delta(a, b, e)\Delta(c, d, e)\Delta(a, c, f)\Delta(b, d, f)(\beta_1 + 1)!(-1)^{\beta_1 - (a+b+c+d)}}{(\beta_2 - \beta_1)!(\beta_3 - \beta_1)!(\beta_1 - \alpha_1)!(\beta_1 - \alpha_2)!(\beta_1 - \alpha_3)!(\beta_1 - \alpha_4)!} \times {}_4F_3 \begin{bmatrix} \alpha_1 - \beta_1, \alpha_2 - \beta_1, \alpha_3 - \beta_1, \alpha_4 - \beta_1 \\ -\beta_1 - 1, \beta_2 - \beta_1 + 1, \beta_3 - \beta_1 + 1 \end{bmatrix},$$
(30)

where

$$(\alpha_1, \alpha_2, \alpha_3, \alpha_4) =$$
any permutation of $(a + b + e, c + d + e, a + c + f, b + d + f)$

and where

$$\beta_1 = \min(a+b+c+d, a+d+e+f, b+c+e+f)$$

and β_2, β_3 are the other two values in the triple (a + b + c + d, a + d + e + f, b + c + e + f) in either order. Next assume that (a, b, e), (c, d, e), (a, c, f), (b, d, f), are not all admissible. Then

$$W(a, b, c, d; e, f) = 0.$$
 (31)

We call W(a, b, c, d; e, f) the *Racah coefficient* associated with a, b, c, d, e, f.

Let $0 \le h, i, j \le D$. In order to show that $p_{i,j}^h \ge 0$, we will show that

$$p_{i,j}^{h} = (2i+1)(2j+1)(D+1)\left(W\left(\frac{D}{2}, \frac{D}{2}, i, h; j, \frac{D}{2}\right)\right)^{2}.$$

We will use the Biedenharn-Elliott identity.

Proposition 5.4. (Biedenharn-Elliott identity [1, p. 356]) Let $a, a', b, b', c, c', e, f, g \in \frac{1}{2}\mathbb{N}$. Then

$$\sum_{d \in \frac{1}{2}\mathbb{N}} (-1)^{c+c'-d} (2d+1) W(b,b',c,c';d,e) W(a,a',c,c';d,f) W(a,a',b,b';d,g)$$

$$= (-1)^{e+f-g} W(a,b,f,e;g,c) W(a',b',f,e;g,c').$$
(32)

In order to evaluate the Racah coefficients in the Biedenharn-Elliott identity, we will use the following transformation formula of Whipple.

Proposition 5.5. (Whipple transformation [2, p. 49]) For integers $p, q, a_1, a_2, r, b_1, b_2$ we have

$${}_{4}F_{3}\begin{bmatrix}-p, q, a_{1}, a_{2}\\ r, b_{1}, b_{2}\end{bmatrix}; 1 = \frac{(b_{1}-q)_{p}(b_{2}-q)_{p}}{(b_{1})_{p}(b_{2})_{p}} {}_{4}F_{3}\begin{bmatrix}-p, q, r-a_{1}, r-a_{2}\\ r, 1+q-b_{1}-p, 1+q-b_{2}-p\end{bmatrix}; 1$$
(33)

provided that $p \ge 0$ and $q + a_1 + a_2 + 1 = r + b_1 + b_2 + p$.

We are interested in the following Racah coefficient. For $0 \le i, j \le D$ consider

$$W\left(\frac{D}{2},\frac{D}{2},\frac{D}{2},\frac{D}{2};i,j\right).$$

Evaluating this Racah coefficient using Definition 5.3 we get a scalar multiple of a certain ${}_{4}F_{3}$ hypergeometric series. Applying several Whipple transformations to this hypergeometric series, we get the following result as we will see.

Proposition 5.6. For integers $0 \le i, j \le D$ we have

$$W\left(\frac{D}{2}, \frac{D}{2}, \frac{D}{2}, \frac{D}{2}; i, j\right) = \frac{(-1)^{i+j-D}}{D+1} {}_{4}F_{3}\begin{bmatrix} -i, i+1, -j, j+1\\ 1, D+2, -D \end{bmatrix}; 1$$
(34)

Proof. To evaluate $W\left(\frac{D}{2}, \frac{D}{2}, \frac{D}{2}, \frac{D}{2}; i, j\right)$, we will consider two cases: $i + j \leq D$ and i + j > D.

Case $i + j \leq D$. In this case, from (30) we get $\beta_1 = D + i + j$, $\beta_2 = 2D$, $\beta_3 = D + i + j$, $\alpha_1 = \alpha_2 = D + i$, $\alpha_3 = \alpha_4 = D + j$. The hypergeometric term in (30), after rearranging the upper indices, becomes

$${}_{4}F_{3}\begin{bmatrix}-i, -i, -j, -j\\-D - i - j - 1, D - i - j + 1, 1 & ; 1\end{bmatrix}.$$
(35)

The coefficient in (30) is

$$\frac{\left(\Delta\left(\frac{D}{2},\frac{D}{2},i\right)\right)^{2}\left(\Delta\left(\frac{D}{2},\frac{D}{2},j\right)\right)^{2}(D+i+j+1)!(-1)^{i+j-D}}{(D-i-j)!(j!)^{2}(i!)^{2}} = \frac{(D-i)!(i!)^{2}(D-j)!(j!)^{2}(D+i+j+1)!(-1)^{i+j-D}}{(D+i+1)!(D+j+1)!(D-i-j)!(j!)^{2}(i!)^{2}}.$$
(36)

The expression (36) is equal to

$$\frac{(D-i)!(D-j)!(D+i+j+1)!(-1)^{i+j-D}}{(D+i+1)!(D+j+1)!(D-i-j)!}.$$
(37)

Performing a Whipple transformation (33) with the substitutions -p = -i, q = -j, $a_1 = -i$, $a_2 = -j$, r = 1, $b_1 = -D - i - j - 1$, $b_2 = D - i - j + 1$, the hypergeometric component in (35), after rearranging lower indices, becomes

$${}_{4}F_{3}\begin{bmatrix}-i, i+1, -j, j+1\\ 1, D+2, -D\end{bmatrix}; 1$$
(38)

The coefficient contribution from the Whipple transformation is

$$\frac{(-D-i-1)_i(D-i+1)_i}{(-D-i-j-1)_i(D-i-j+1)_i} = \frac{(-1)^i(D+i+1)!}{(D+1)!} \frac{D!}{(D-i)!} \frac{(D+j+1)!}{(-1)^i(D+i+j+1)!} \frac{(D-i-j)!}{(D-j)!}.$$
 (39)

We see that coefficients (37) and (39) multiply to $\frac{(-1)^{i+j-D}}{D+1}$, as desired.

Case i + j > D. In this case, from (30) we get $\beta_1 = 2D$, $\beta_2 = D + i + j$, $\beta_3 = D + i + j$, $\alpha_1 = \alpha_2 = D + i$, $\alpha_3 = \alpha_4 = D + j$. The hypergeometric term in (30) becomes

$${}_{4}F_{3}\begin{bmatrix}i-D, i-D, j-D, j-D\\-2D-1, i+j-D+1, i+j-D+1\end{bmatrix},$$
(40)

The coefficient in (30) is

$$\frac{\left(\Delta\left(\frac{D}{2},\frac{D}{2},i\right)\right)^{2}\left(\Delta\left(\frac{D}{2},\frac{D}{2},j\right)\right)^{2}(2D+1)!}{\left((i+j-D)!\right)^{2}\left((D-i)!\right)^{2}\left((D-j)!\right)^{2}} = \frac{(D-i)!(i!)^{2}(D-j)!(j!)^{2}(2D+1)!}{(D+i+1)!(D+j+1)!\left((i+j-D)!(D-i)!(D-j)!\right)^{2}}.$$
 (41)

The expression (41) is equal to

$$C_0 = \frac{(i!)^2 (j!)^2 (2D+1)!}{(D+i)! (D+j+1)! ((i+j-D)!)^2 (D-i)! (D-j)!}.$$
(42)

Now we will perform three Whipple transformations. For each one we list the indices chosen -p, q, a_1 , a_2 , r, b_1 , b_2 , the resulting hypergeometric term (with possible rearranging of some upper indices), and the coefficient contribution, C_i , from the corresponding Whipple transformation.

1. Using -p = i - D, q = j - D, $a_1 = i - D$, $a_2 = j - D$, r = i + j - D + 1, $b_1 = -2D - 1$, $b_2 = i + j - D + 1$:

$${}_{4}F_{3}\begin{bmatrix}i-D, i+1, j-D, j+1\\i+j+2, -D, i+j-D+1\end{bmatrix}; 1$$
(43)

$$C_{1} = \frac{(-D - j - 1)_{D-i}(i+1)_{D-i}}{(-2D - 1)_{D-i}(i+j - D + 1)_{D-i}}$$

= $\frac{(-1)^{D-i}(D+j+1)!}{(i+j+1)!} \frac{D!}{i!} \frac{(D+i+1)!}{(-1)^{D-i}(2D+1)!} \frac{(i+j-D)!}{j!}.$ (44)

2. Using -p = i - D, q = j + 1, $a_1 = i + 1$, $a_2 = j - D$, r = -D, $b_1 = i + j + 2$, $b_2 = i + j - D + 1$:

$${}_{4}F_{3}\begin{bmatrix}i-D, -D-i-1, -j, j+1\\ -D, -D, 1\end{bmatrix},$$
(45)

$$C_{2} = \frac{(i+1)_{D-i}(i-D)_{D-i}}{(i+j+2)_{D-i}(i+j-D+1)_{D-i}}$$
$$= \frac{D!}{i!}(-1)^{D-i}(D-i)!\frac{(i+j+1)!}{(D+j+1)!}\frac{(i+j-D)!}{j!}.$$
(46)

3. Using -p = -j, q = j + 1, $a_1 = i - D$, $a_2 = -D - i - 1$, r = -D, $b_1 = -D$, $b_2 = 1$:

$${}_{4}F_{3}\begin{bmatrix}-i, i+1, -j, j+1\\ -D, D+2, 1\end{bmatrix}; 1 = {}_{4}F_{3}\begin{bmatrix}-i, i+1, -j, j+1\\ 1, D+2, -D\end{bmatrix}; 1$$

$$(47)$$

$$C_{3} = \frac{(-D - j - 1)_{j}(-j)_{j}}{(-D)_{j}(1)_{j}}$$

= $\frac{(-1)^{j}(D + j + 1)!}{(D + 1)!}(-1)^{j}j!\frac{(D - j)!}{(-1)^{j}D!}\frac{1}{j!}.$ (48)

Combining coefficients we see that $C_0C_1C_2C_3 = \frac{(-1)^{D-i+j}}{D+1} = \frac{(-1)^{i+j-D}}{D+1}$, since i, j, D are integers. \Box We now evaluate the Biedenharn-Elliott identity using Proposition 5.6.

Proposition 5.7. For integers $0 \le h, i, j \le D$ we have

$$\sum_{t=0}^{D} (2t+1)u_t(\theta_h)u_t(\theta_j) = (D+1)^3 \Big(W\left(\frac{D}{2}, \frac{D}{2}, i, h; j, \frac{D}{2}\right) \Big)^2.$$
(49)

Proof. First we apply Proposition 5.4 with $a = a' = b = b' = c = c' = \frac{D}{2}$, e = h, f = i, g = j, and d = t to obtain

$$\sum_{t \in \frac{1}{2}\mathbb{N}} (-1)^{D-t} (2t+1) W(\frac{D}{2}, \frac{D}{2}, \frac{D}{2}, \frac{D}{2}; t, h) W(\frac{D}{2}, \frac{D}{2}, \frac{D}{2}; t, i) W(\frac{D}{2}, \frac{D}{2}, \frac{D}{2}; \frac{D}{2}; t, j)$$
$$= (-1)^{h+i-j} W\left(\frac{D}{2}, \frac{D}{2}, i, h; j, \frac{D}{2}\right) W\left(\frac{D}{2}, \frac{D}{2}, i, h; j, \frac{D}{2}\right).$$
(50)

Note that $\frac{D}{2} + \frac{D}{2} + t$ is an integer if and only if t is an integer. So by (31), the terms of the sum vanish in which t is not an integer or t > D. By Proposition 5.6 and (11), the left hand side of (50) becomes

$$\sum_{t=0}^{D} (-1)^{D-t} (2t+1) \frac{(-1)^{t+h-D} u_t(\theta_h)}{D+1} \frac{(-1)^{t+i-D} u_t(\theta_i)}{D+1} \frac{(-1)^{t+j-D} u_t(\theta_j)}{D+1},$$

which simplifies to

$$\frac{(-1)^{i+j+h}}{(D+1)^3} \sum_{t=0}^{D} (2t+1)u_t(\theta_h)u_t(\theta_i)u_t(\theta_j).$$
(51)

Setting (51) equal to the right hand side of (50) and dividing by the coefficients completes the proof. \Box Corollary 5.8. For $0 \le h, i, j \le D$ we have

$$p_{i,j}^{h} = (2i+1)(2j+1)(D+1)\left(W\left(\frac{D}{2}, \frac{D}{2}, i, h; j, \frac{D}{2}\right)\right)^{2}.$$
(52)

Proof. Using Propositions 4.8, 5.7 and substituting (14),(19) we have

$$p_{i,j}^{h} = \frac{k_{i}k_{j}}{\nu} \sum_{t=0}^{D} k_{t}u_{t}(\theta_{i})u_{t}(\theta_{j})u_{t}(\theta_{h})$$

$$= \frac{(2i+1)(2j+1)}{(D+1)^{2}} \left((D+1)^{3} \left(W\left(\frac{D}{2}, \frac{D}{2}, i, h; j, \frac{D}{2}\right) \right)^{2} \right)$$

$$= (2i+1)(2j+1)(D+1) \left(W\left(\frac{D}{2}, \frac{D}{2}, i, h; j, \frac{D}{2}\right) \right)^{2}.$$

Corollary 5.9. For $0 \le h, i, j \le D$ we have

$$p_{i,j}^h \ge 0.$$

Proof. Immediate from Corollary 5.8.

6 Proof of the Kresch-Tamvakis conjecture

We are now ready to prove our main result. We will use the Perron-Frobenius theorem [3, p. 529].

Proposition 6.1. For $0 \le i, j \le D$ we have

 $|u_i(\theta_j)| \le 1.$

Proof. By Lemma 4.4, the vector 1 is an eigenvector for B_i with eigenvalue k_i . By Corollary 5.9, the entries of B_i are all nonnegative. By Lemma 4.3 the scalar $v_i(\theta_j)$ is an eigenvalue of B_i . By the Perron-Frobenius theorem [3, p. 529], we have $|v_i(\theta_j)| \leq k_i$. The result follows from this and (16).

Equation (11) and Proposition 6.1 imply Theorem 1.3.

7 Appendix

In this appendix we give more detail about the formula for $p_{i,j}^h$ in Corollary 5.8. By Lemma 4.9, without loss of generality we assume $i \leq j \leq h$. Also, in order to avoid trivialities we assume that h, i, j satisfy the triangle inequalities; which in this case become $h \leq i + j$. As we evaluate $p_{i,j}^h$ in line (52) we consider the last factor. We evaluate that factor using Definition 5.3 with

$$a = \frac{D}{2}, \qquad b = \frac{D}{2}, \qquad c = i, \qquad d = h, \qquad e = j, \qquad f = \frac{D}{2}.$$

For these values,

$$\alpha_1 = D + i,$$
 $\alpha_2 = D + j,$ $\alpha_3 = D + h,$ $\alpha_4 = h + i + j,$

$$\beta_1 = D + i + j, \qquad \beta_2 = D + h + i, \qquad \beta_3 = D + h + j$$

Note that

$$\alpha_1 - \beta_1 = -j,$$
 $\alpha_2 - \beta_1 = -i,$ $\alpha_3 - \beta_1 = h - i - j,$ $\alpha_4 - \beta_1 = h - D$

$$-\beta_1 - 1 = -D - i - j - 1, \qquad \beta_2 - \beta_1 + 1 = h - j + 1, \qquad \beta_3 - \beta_1 + 1 = h - i + 1.$$

For the above data, (52) becomes

$$p_{i,j}^{h} = C_{i,j}^{h}(2i+1)(2j+1)(D+1) \left({}_{4}F_{3} \begin{bmatrix} -j, -i, h-i-j, h-D\\ -D-i-j-1, h-j+1, h-i+1 \end{bmatrix} \right)^{2},$$

where

$$\begin{split} C_{i,j}^{h} &= \left(\frac{\Delta(\frac{D}{2},\frac{D}{2},i)\Delta(\frac{D}{2},\frac{D}{2},j)\Delta(\frac{D}{2},\frac{D}{2},h)\Delta(i,j,h))(D+i+j+1)!}{(h-i)!(h-j)!i!j!(i+j-h)!(D-h)!}\right)^{2} \\ &= \frac{(D-i)!(D-j)!(D-h)!(j+h-i)!(h+i-j)!}{(D+i+1)!(D+j+1)!(D+h+1)!(i+j+h+1)!(i+j-h)!} \left(\frac{h!(D+i+j+1)!}{(h-i)!(h-j)!(D-h)!}\right)^{2} \end{split}$$

Acknowledgement. We would like to express our gratitude to Professor Paul Terwilliger, whose careful feedback greatly enhanced the clarity of the exposition.

References

- Lawrence C. Biedenharn and James D. Louck, *The Racah-Wigner algebra in quantum theory*, Encyclopedia of Mathematics and its Applications, vol. 9, Addison-Wesley Publishing Co., Reading, MA, 1981. With a foreword by Peter A. Carruthers, With an introduction by George W. Mackey.
- [2] George Gasper and Mizan Rahman, Basic hypergeometric series, Second, Encyclopedia of Mathematics and its Applications, vol. 96, Cambridge University Press, Cambridge, 2004. With a foreword by Richard Askey.
- [3] Roger A. Horn and Charles R. Johnson, Matrix analysis, 2nd ed., Cambridge University Press, Cambridge, 2013.
- [4] Mourad E. H. Ismail and Plamen Simeonov, Inequalities and asymptotics for a terminating $_4F_3$ series, Illinois J. Math. **51** (2007), no. 3, 861–881.
- [5] Andrew Kresch and Harry Tamvakis, Standard conjectures for the arithmetic Grassmannian G(2, N) and Racah polynomials, Duke Math. J. 110 (2001), no. 2, 359–376.
- [6] Albert Messiah, Quantum mechanics. Vol. II, North-Holland Publishing Co., Amsterdam; Interscience Publishers (a division of John Wiley & Sons, Inc.), New York, 1962. Translated from the French by J. Potter.
- [7] Ilia D. Mishev, A relation for a class of Racah polynomials, arXiv:1412.7115 (2014).
- [8] Kazumasa Nomura and Paul Terwilliger, Idempotent systems, Algebr. Comb. 4 (2021), no. 2, 329–357.

- [9] _____, Leonard pairs, spin models, and distance-regular graphs, J. Combin. Theory Ser. A 177 (2021), Paper No. 105312, 59.
- [10] Paul Terwilliger, Two linear transformations each tridiagonal with respect to an eigenbasis of the other, Linear Algebra Appl. 330 (2001), no. 1-3, 149–203.
- [11] _____, Two linear transformations each tridiagonal with respect to an eigenbasis of the other; comments on the parameter array, Des. Codes Cryptogr. **34** (2005), no. 2-3, 307–332.
- [12] _____, An algebraic approach to the Askey scheme of orthogonal polynomials, Orthogonal polynomials and special functions, 2006, pp. 255–330.

John S. Caughman Fariborz Maseeh Dept of Mathematics & Statistics PO Box 751 Portland State University Portland, OR 97207 USA email: caughman@pdx.edu

Taiyo S. Terada Fariborz Maseeh Dept of Mathematics & Statistics PO Box 751 Portland State University Portland, OR 97207 USA email: taiyo2@pdx.edu