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No-reflow phenomenon in stroke patients: a systematic literature review and meta-analysis of clinical data

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1 Abstract

2 Background

3 The no-reflow phenomenon refers to the absence of microvascular reperfusion despite
4 macrovascular reperfusion.

5 Aim

6 The aim of this analysis was to summarize the available clinical evidence on no-reflow in7 patients with acute ischemic stroke.

8 Methods

9 A systematic literature review and a meta-analysis of clinical data on definition, rates and
10 impact of the no-reflow phenomenon after reperfusion therapy was carried out. A predefined
11 research strategy was formulated according to the PICO model and was used to screen for
12 articles in PubMed, MEDLINE and Embase up to September 8, 2022. Whenever possible,
13 quantitative data were summarized using a random-effects model.

14 **Results**

Thirteen studies with a total of 719 patients were included in the final analysis. Most studies (n=10/13) used variations of the Thrombolysis in Cerebral Infarction scale to evaluate macrovascular reperfusion, whereas microvascular reperfusion and no-reflow were mostly assessed on perfusion maps (n=9/13). In one third of stroke patients with successful macrovascular reperfusion (29%, 95% CI 21–37%) the no-reflow phenomenon was observed. Pooled analysis showed that no-reflow was consistently associated with reduced rates of functional independence (OR 0.21, 95% CI 0.15–0.31).

22 Conclusion

23	The definition of no-reflow varied substantially across studies but it appears to be a common
24	phenomenon. Some of the no-reflow cases may simply represent remaining vessel occlusions
25	and it remains unclear whether no-reflow is an epiphenomenon of the infarcted parenchyma or
26	causes infarction. Future studies should focus on standardizing the definition of no-reflow with
27	more consistent definitions of successful macrovascular reperfusion and experimental set-ups
28	that could detect the causality of the observed findings.
29	Key words: no-reflow; perfusion imaging; macrovascular; microvascular; reperfusion
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42 INTRODUCTION

Advances in endovascular stroke therapy have yielded high macrovascular reperfusion rates, 43 yet >50% of all treated stroke patients do not achieve functional independence (defined as 44 modified Rankin scale (mRS) score 0-2).^{1,2} There are many reasons why patients may not regain 45 functional independence despite successful reperfusion (e.g. late treatment, established 46 47 infarcts).^{3,4} Still, this discrepancy has also prompted the idea of analyzing tissue or 48 microvascular reperfusion, as successful macrovascular reperfusion does not necessarily entail microvascular reperfusion.⁵⁻⁷ Macrovascular reperfusion (usually assessed on digital 49 50 subtraction angiography or CT angiography) without microvascular reperfusion (usually assessed on perfusion imaging) has been named the "no-reflow" phenomenon.^{5,6} Preclinical 51 studies on no-reflow phenomenon in the brain confirmed its existence 50 years ago.⁸⁻¹⁰ Despite 52 this evidence, clinical observational studies have reported heterogeneous results.^{8–13} Recently, 53 54 a randomized-controlled trial found a clinical benefit of additional administration of intraarterial alteplase after macrovascular reperfusion.¹⁷ No such benefit was seen in patients with 55 myocardial infarction,¹⁸ suggesting that findings in acute ischemic stroke (AIS) need replication 56 and also pointing towards no-reflow phenomena in the heart and brain potentially being distinct 57 phenomena. Moreover, this preliminary evidence in AIS patients suggest that therapeutic 58 strategies aiming at improving microvascular hypoperfusion may also improve outcomes. 59

In order to summarize the available information on the definition, prevalence and impact of the no-reflow phenomenon, we performed a systematic literature review and a meta-analysis of clinical observational data on the no-reflow phenomenon in in patients with AIS caused by large vessel occlusion in the anterior circulation undergoing reperfusion therapy.

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66 METHODS

The results of this study-level meta-analysis are presented according to the Preferred Reporting Items for Systematic Reviews and Meta-Analyses (PRISMA) and Meta-analyses Of Observational Studies in Epidemiology (MOOSE) guidelines. Ethics approval and patient consent were not required for the present study as it is based exclusively on published or presented manuscripts. All presented data and numeric values were extracted from already published literature.

73 Search strategy and study selection

A predefined research strategy was formulated according to the Population, Intervention,
Comparison and Outcome (PICO) model, and was used to screen for articles in the PubMed,
MEDLINE and Embase databases (Table S1 and S2). All full-text articles and conference
abstracts registered in these databases up to September 8, 2022, were included. Search strategy
details are available in the Supplementary materials (Methods S1).

79 Macrovascular reperfusion

For the present analysis, successful macrovascular reperfusion was defined as an antegrade
reperfusion of ≥50% of the target downstream territory distal to the occlusion site.¹⁹ However,
different reperfusion scales have different definitions of successful macrovascular reperfusion.
Thresholds and definitions of successful macrovascular reperfusion across different scales are
available in the Supplementary materials (Methods S2).

85 Microvascular reperfusion

To obtain rates of microvascular reperfusion, we first checked how microvascular reperfusion
was evaluated and which thresholds were used to discriminate between microvascular
hypoperfusion and reperfusion. Microvascular reperfusion status was commonly evaluated with

two-dimensional maps of contrast bolus passage along the microvascular network within the 89 90 brain parenchyma. These maps were calculated from perfusion images obtained on computed tomography (CTP) or magnetic resonance imaging (MRP). After acquisition, raw perfusion 91 92 images required post-processing in order to calculate hemodynamic functional parameters related to the blood passage in the tissue based on the indicator dilution theory.²⁰ These 93 94 parameters include: time to maximum or maximum of the tissue residue function (Tmax), 95 relative cerebral blood flow (rCBF), relative cerebral blood volume (rCBV) or mean transit 96 time (MTT) lesion volume maps. Details on these parameters are available in Supplementary materials (Methods S3). After obtaining methods of estimating microvascular reperfusion, we 97 98 differentiated between the studies that supplied qualitative, quantitative or both of these measurements. Lastly, we checked whether the authors reported additional methodological 99 safeguards when evaluating no-reflow (e.g. use of pre-interventional imaging to exclude prior 100 101 infarct in the region of interest).

102 Functional independence

We analyzed how patient outcome was evaluated and at what time intervals these evaluations
were performed. Functional independence was almost always reported with an mRS score 0-2,
evaluated three months after the index event.

106 Statistical analysis

Primary study outcomes were the evaluation of no-reflow rates and the association between three-month functional independence (mRS score 0-2) and the no-reflow phenomenon. When odds ratios (OR) were not reported, they were calculated from the number or proportion of patients included in the analysis of the published data. Two independent raters (AM and JK) extracted all the data needed for statistical analysis. If study data was presented in a format that did not permit easy extraction, it was summarized only in the form of a narrative review and

excluded from the meta-analysis. Results are presented for binary outcomes both in total 113 114 summation and across different subgroups using a random-effects model applying the Mantel-Haenszel method. For these outcomes I^2 was used to quantify heterogeneity between the studies 115 and subgroup differences. I^2 provides an extent to which the percentage of variability in results 116 across studies is due to real differences and not due to chance. If I^2 was $\geq 50\%$ we considered 117 the estimates heterogeneous. Summations of point estimates and their 95% confidence intervals 118 119 (CI) derived from the random-effects model were used to evaluate the association between no-120 reflow and functional independence at three months. The Cochrane tool was used to assess the risk of bias for non-randomized studies included in systematic reviews or meta-analyses.²¹ This 121 122 tool comprises seven domains each of which is evaluated with a four-point scale: serious, 123 moderate, low risk of bias or no information provided. Publication bias for the primary endpoint 124 was assessed visually with funnel plots and quantified with the Luis Furuya-Kanamori (LFK) 125 index where values from -1 to +1 indicate no publication bias. This meta-analysis was 126 performed using the meta v6.0 and metasens v1.5 packages from R v4.0.0. The same packages 127 were used for visualization of the results, except for the figure summarizing the risk of bias, 128 which was generated online with an open-access robvis tool.²²

129 **RESULTS**

The database search and citation tracking identified 76 publications, of which 53 were screened as potentially relevant for the present review. Duplicates and manuscripts that did not meet the inclusion criteria were excluded (Figure S1). The final analysis included only publications that reported both macro- and microvascular reperfusion rates. This yielded a final total of 11 original article publications and two conference abstracts, with a total number of 719 patients included across all studies. Median age was 70 years (interquartile range (IQR) 65 – 71), 51.5% were female and admission NIHSS score was 16 (IQR 14 – 17). In seven studies which reported thrombolysis rates, 286 (45%) patients had received intravenous thrombolysis prior to
mechanical thrombectomy. Other baseline characteristics are presented in Table S3.

139 Macrovascular and microvascular reperfusion

140 Thresholds for defining successful macrovascular reperfusion were consistent across the 141 majority of studies (n=11/13) with reperfusion of >50% of the initial target downstream 142 territory denoted as successful macrovascular reperfusion. Microvascular reperfusion was 143 evaluated on perfusion maps: six studies used Tmax, two used rCBF and rCBV maps, and one 144 used MTT maps. Two studies used transcranial Doppler (TCD) imaging to determine the 145 microvasculature resistance in the vascular territory supplying the previously infarcted territory. Lastly, two studies used other measurements for microvascular reperfusion. Most studies 146 (n=9/13) included only patients with successful macrovascular reperfusion and six studies 147 148 reported no-reflow rates stratified by the TICI score.

The interval between the intervention and follow-up imaging varied considerably across the studies (30 minutes – 30 days after the intervention). When defining Intervention-to-Follow-Up time authors usually chose the end of the intervention as the starting point, except for one study which chose intravenous thrombolysis (IVT) administration as its starting point.²³ Conversely, the end point was usually chosen arbitrarily, or according to the predefined institutional protocols, which resulted in inconsistent time windows for the observation of microvascular reperfusion across the studies.

156 Semiquantitative and qualitative analysis

157 Ten studies used CTP or MRP imaging on the follow-up examination for determining rates of 158 no-reflow and two studies used TCD imaging for no-reflow evaluation.^{26,27} Seven studies used 159 both quantitative and qualitative measurements for assessment of no-reflow, while only six 160 reported volumes of tissue that exhibited persistent microvascular hypoperfusion. Most studies

- 161 had two raters evaluating microvascular reperfusion and one study used an independent core-
- 162 lab.¹² Use of admission imaging was mostly restricted to measurement of infarct growth, and
- 163 almost all studies (n=10/13) evaluated the presence of no-reflow in areas that had already
- 164 undergone infarction.
- 165 Further reperfusion details and other study characteristics are reported in Table 1.

Quantitative and qualitative	1	-	Yes	Yes	No	87	Assessed for reperfusion (n=18)	_	31% (13–57%)
Quantitative		mTICI2B 14 (0-37.5) mTICI3 0 (0- 7)	No	Yes	Yes	151	mTICI 2B–3 (n=140)	mTICI 2B 63% (29/46) mTICI 3 43% (40/94)	49% (41–57%)
Quantitative and qualitative	Core lab	32 (3-63)	Yes	Yes	Yes	100	TICI 2B–3 (n=40)	_	15% (7–29%)
Quantitative and qualitative	2	8 (0-26)	No	_	Yes	63	All (n=63)	mTICI 2b 47% (9/19) mTICI 2c-3 23% (10/44)	31% (20-42%)
Quantitative	_	_	No	Yes	No	82	mTICI≥2b (n=75)	mTICI 2b 50% (22/44) mTICI 2c 20% (3/15) mTICI 3 19% (3/16)	37% (27–48%)
Quantitative and qualitative	2	14.3 (8.6– 31.1)	Yes	Yes	No	130	All (n=130)	eTICI 2c 21% (15/73) eTICI 3 32% (18/57)	25% (18–33%)
Quantitative and qualitative	2	13 (6-32)	Yes	Yes	No	33	All (n=33)	mTICI 2c 67% (22/33) mTICI 3 33% (11/33)	3% (0.5% – 15%)
Quantitative	_	51.0±50.4	Yes	Yes	Yes	22	With recanalization (n=13)	_	38.5% (17– 64%)
Quantitative	_	-	No	Yes	No	53	All (n=53)	_	_
Quantitative	-	-	No	Yes	No	170	Three lower quartiles of PI (n=125)	TICI 3 74% (125/170)	36% (28-45%)
Quantitative and qualitative	_	-	_	_	No	83	Patients with imaging 72 hours after index event	_	33% (20-48%)

171 No-reflow rates

Pooling data across all definitions, about one third of stroke patients who achieved macrovascular reperfusion experienced the no-reflow phenomenon (29%, 95% CI 21–37%; Figure 1). Prevalence of no-reflow was comparable between different subgroups based on perfusion imaging modality, except when no-reflow was evaluated on rCBF and rCBV perfusion maps (18%, 95% CI 0–36%). There was wide heterogeneity in prevalence of noreflow across all the studies (I²=86.1%), as well as when heterogeneity was evaluated within subgroups (e.g. I²=75% for Tmax) and between subgroups (I²=77.7%).

No-reflow rates were high in the TICI2b subgroup (55%, 95% CI 45–66%), being more than
double the rates of TICI2c (21%, 95% CI 15–27%), and TICI3 (24%, 95% CI 0–41%), as shown
in Figure 2 and Figure S2. Sub-analysis restricted to studies where Intervention-to-Follow-up
time was up to 24 hours showed comparable prevalence rates (Figure S3).

183 Functional independence

184 A pooled analysis of all studies that reported three-month functional independence rates (n=9/11) showed that no-reflow was associated with lower rates of functional independence at 185 three months after the index event (OR 0.21, 95% CI 0.15–0.31; I²=0%, Figure 3). Subgroup 186 187 analysis on different perfusion map modalities (Figure S4), definitions of functional 188 independence (Figure S5) and follow-up times (Figure S6) showed comparable point estimates. 189 Meta-regression analysis showed no association between Intervention-to-Follow-Up time and 190 no-reflow rates (OR 0.99, 95%CI 0.97 – 1.02 per additional hour of increase, Figure S7). We 191 found no evidence of publication bias on the funnel plot analysis, with LFK index = 0.63 (Figure 192 S8). Overall, studies showed a moderate risk of bias, mainly related to the evaluation of no-193 reflow in all patients undergoing reperfusion therapy as shown in Figure S9.

195 DISCUSSION

The main findings of this systematic review and meta-analysis are: (1) A clear definition of 196 197 how to assess and measure no-reflow is lacking. (2) Prevalence of no-reflow varied across the 198 studies, but could be expected in roughly one out of three patients with successful 199 macrovascular reperfusion and in one out of four patients with complete macrovascular 200 reperfusion. (3) Studies reporting no-reflow in patients with TICI2b-2c may have included 201 perfusion abnormalities related to persistent vessel occlusion rather than the no-reflow phenomenon. (4) Irrespective of the definition and modality, the evidence points to a consistent 202 203 association between no-reflow and lower rates of functional independence at three months.

204 Perfusion imaging modalities

The current standard for evaluating critically hypoperfused tissue in AIS patients before the intervention is with Tmax, rCBF and rCBV lesion volume maps.^{30,31} For Tmax, the delay of >6 seconds has been suggested as the most accurate threshold for identifying hypoperfused tissue;³⁰ For rCBV and rCBF maps, a decrease in blood volume or blood flow \leq 30% relative to brain tissue with preserved perfusion has been reported to accurately identify the tissue that is likely to be irreversibly damaged.³¹ Similar maps and thresholds have also been proposed for the evaluation of microvascular hypoperfusion on follow-up imaging.

Previous studies have used Tmax>2sec, but this threshold was found to overestimate the volume of hypoperfused tissue.^{23,24} More recent studies have used Tmax>6sec as it is more specific for the detection of residual hypoperfusion.^{11,12} Another parameter evaluated is tissue optimal reperfusion (TOR), defined as >90% reduction in lesion volume with a Tmax>6sec between baseline and follow-up imaging.^{15,16} TOR was proposed due to its high correlation with final volume of hypoperfused tissue.³² Other studies have argued that rCBV and rCBF might be more sensitive for identifying microvascular hypoperfusion than Tmax.^{33,34} A decrease of \leq 15% in rCBV or rCBF maps has been reported as the lower boundary for evaluating tissue hypoperfusion;¹³ however, using a more conservative measure of \leq 40% decrease, it was possible to identify critically hypoperfused tissue with higher sensitivity and specificity¹⁴ even when compared to Tmax>6sec.³⁴ In summary, it is not yet clear which perfusion maps are best suited to detect no-reflow. This is reflected by the variety of definitions used in the included studies and underlines the need to establish consensus criteria.

225 Six studies reported volumes of tissue with persistent microvascular hypoperfusion; however none of the included studies reported volume cutoffs when defining no-reflow. This affects all 226 227 perfusion-based modalities as there is a lack of clear cutoff as to how many neighboring voxels 228 should show critical hypoperfusion in order for it to be defined as the no-reflow phenomenon. 229 Per the original definition, no-reflow presents a patchy phenomenon with small regions of absent or reduced flow in a tissue with normal macrovascular perfusion.⁹ However, all studies 230 231 included in this review reported no-reflow as a dichotomized outcome (present or absent). This 232 also raises the questions on the sensitivity of perfusion imaging to measure small deficits on the 233 microvascular level.

234 Time metrics in no-reflow

The Intervention-to-Follow-Up time also varied considerably between studies.^{11,12,24} The percentage of patients with no-reflow across different perfusion maps seems to numerically decrease the longer the time since the intervention;^{13–16} however, that decrease was not significant in a study-level meta-analysis. This seems consistent with the findings of no-reflow in myocardial infarction cases.¹⁸

This decrease could suggest that transient events, such as vasospasms or autolysis of small emboli, might be responsible for the varying prevalence of microvascular hypoperfusion over time.⁵ However, as this decrease was mild, it could be hypothesized that more persisting intra243 and perivascular events, such as pericyte disruption, endothelial cell inflammatory response or leukocyte and neutrophil aggregation, are responsible for microvascular hypoperfusion.⁶ True 244 245 no-reflow rates might also be partially masked by more common findings of hyper-, rather than hypo-, perfusion after successful reperfusion therapy.35 It would be difficult to determine 246 247 whether microvascular hypoperfusion occurred during or after the macrovascular occlusion if perfusion imaging was not performed immediately after the intervention.⁷ Therefore, the true 248 249 rates of no-reflow might be assessed most accurately when tissue perfusion status is observed 250 both immediately after the intervention and again within the following 24 hours, as all of the aforementioned microvascular events are most likely to occur within the 24-hour timeframe.^{5,6} 251

252 I

Patients included for no-reflow assessment

253 Another factor that hinders the determination of true no-reflow rates is the choice of patients 254 included in studies. Earlier studies included only a small percentage of their total study sample 255 for no-reflow assessment, whereas most recent studies included a larger percentage of their 256 cohort. This could partially be explained by changes in scales and definitions of successful 257 macrovascular reperfusion across time. Most recent studies used the TICI scale as it has become an accepted convention for grading macrovascular reperfusion. However, evaluating no-reflow 258 259 in patients who achieved 50% macrovascular reperfusion of the target territory (i.e. patients 260 with TICI2b) might be ineffective, as these patients are expected to have a substantial perfusion deficit due to incomplete macrovascular reperfusion.³⁶ In those cases, perfusion abnormalities 261 262 observed on the follow-up imaging are true persistent macrovascular perfusion deficits. They 263 do not provide evidence of a mismatch between macro- and microvascular reperfusion, (i.e. no evidence of no-reflow), as there is hypoperfusion on both the macro- and microvascular level.³⁶ 264 265 This would explain why higher rates of no-reflow were observed in patients with lower TICI scores (e.g. TICI2b versus 2c-3). Even in cases of near-complete reperfusion (TICI2c), the 266

hypoperfusion observed on the follow-up perfusion imaging may just correspond to non reperfused distal vessel occlusion and, again, would not be evidence of true no-reflow.³⁶

269 The optimal approach would be to evaluate no-reflow only in patients with complete 270 reperfusion (TICI3). Ideally, TICI grading would be performed by an independent core-lab, as 271 treating physicians tend to overestimate the extent of reperfused tissue in acute care settings.³⁷ 272 A core-lab would be able to evaluate reperfusion success impartially and, in patients graded as 273 TICI3 by the core-lab, any findings of microvascular hypoperfusion could not be explained by 274 the presence of distal occlusions and would therefore represent true no-reflow. The most 275 frequently cited causes of bad outcome despite successful macrovascular reperfusion are large initial infarct core (ASPECTS <5) and hemorrhagic transformation after the intervention.^{3,4} 276 277 However, once factors known to be associated with bad outcome are excluded or accounted for, 278 presence of no-reflow in TICI3 patients could also inform reasons for not achieving functional independence despite complete macrovascular reperfusion.³⁸ 279

280 Fu

Functional independence and no-reflow

We found a strong positive association between the presence of no-reflow and lower rates of functional independence after the index event. Point estimates seemed consistent across all subgroup analyses that reported rates of tissue hypoperfusion.

Microvascular reperfusion has already been reported as a better predictor of clinical outcome than macrovascular reperfusion.^{23,24} Microvessels are known to respond to focal ischemia, and changes happening in the microvasculature can permanently alter tissue status.⁵ This response is rapid and linked to neuron damage, which can translate into a long-term loss of functional independence. Future studies on AIS patients with complete macrovascular reperfusion should consider the problem of persistent microvascular hypoperfusion. The Intraarterial Alteplase Versus Placebo After Mechanical Thrombectomy (CHOICE) trial reported higher rates of microvascular reperfusion in patients with TICI2c–3 following local administration of intraarterial alteplase, which also translated to higher rates of functional independence.¹⁷ Four planned randomized-controlled trials (TECNO [clinicaltrails.gov; NCT05499832], IA TREAT [P. Khatri, personal communication, November 3, 2022], IA RESCUE [JM. Olivot, personal communication, November 5, 2022] and CHOICE2 [A. Chamorro, November 20, 2022]) will also look at the effects of locally administered intra-arterial lytics and rates of microvascular reperfusion, providing more information on strategies to tackle no-reflow.

298 Limitations

299 This analysis reported results from retrospective observational studies with inherent related 300 biases. We performed a pooled analysis of the available data, despite heterogeneities in 301 definitions and assessment methods for measurement of the no-reflow phenomenon. Although 302 we tried to account for this heterogeneity by using a more conservative statistical approach and 303 additional sub-analyses, discrepant ways of reporting no-reflow may hinder the analysis 304 regarding a true association between no-reflow and three-month functional independence. 305 Inclusion of patients with TICI2b reperfusion may have overestimated the proportion of patients with no-reflow phenomenon related to interval infarction of the territory supplied by the 306 307 persistently occluded distal vessel.

308 Conclusion

Although the definition of no-reflow varied substantially across studies, it may be a relatively common phenomenon according to the pooled estimates reported here. Currently, some of the cases defined as no-reflow may simply represent persisting vessel occlusions and it remains unclear whether no-reflow is an epiphenomenon of the infarcted parenchyma or causes infarction despite macrovascular reperfusion. Future studies should focus on standardizing the definition of no-reflow with more consistent reporting definitions of successful macrovascular 315 reperfusion and experimental set-ups that are able to shed light on the causality of the observed316 findings.

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318 Online Resources:

- $319 \quad \ Methods \ S1-S3$
- $\textbf{320} \quad \textbf{-} \quad Table \ S1-S3$
- 321 Figure S1 S9

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336

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FIGURES

Figure 1. Prevalence of No-Reflow

Tmax: time to maximum; rCBF: relative cerebral blood flow; rCBV: relative cerebral blood volume; MTT: mean transit time. One-third of stroke patients who achieved macrovascular reperfusion experienced the no-reflow phenomenon (29%, 95% CI 21–37%). Prevalence of no-reflow was comparable between different subgroups that were stratified by the perfusion imaging modality used to evaluate microvascular reperfusion, except when no-reflow was evaluated on rCBF and rCBV perfusion maps (18%, 95% CI 0–36%).

Figure 2 Prevalence of No-Reflow Stratified by Macrovascular Reperfusion Score

TICI: Thrombolysis in Cerebral Infarction. When stratified across the TICI scale, no-reflow prevalence rates were very high in the TICI2b subgroup (55%, 95% CI 45–66%), being more than double the rates observed in the TICI2c (21%, 95% CI 15–27%), and TICI3 subgroups (24%, 95% CI 0–41%).

Figure 3 Pooled Analysis Summary for Functional Independence Rates in Patients With (+) and Without (-) No-reflow Phenomenon

mRS: modified Rankin scale. A pooled analysis of all the studies that reported three-month functional independence rates (n=9/11) showed that no-reflow was associated with lower rates of functional independence at three months after the index event (OR 0.21, 95% CI 0.15-0.31 for mRS 0-2 at 3 months).