Sequential ultrasound-assisted extraction of pectin and phenolic compounds for the valorisation of `GRANNY SMITH´ apple peel

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Author statement

Esteban Villamil-Galindo: Conceptualization, Data curation, Validation, Investigation,

Writing - original draft, Formal analysis.

Andrea Marcela Piagentini: Conceptualization, Methodology, Writing - Reviewing and

Editing, Supervision, Project administration, Funding acquisition.

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Abstract

 This study aims to evaluate the impact of the sequential extraction system for phenolic compounds and pectin from waste `Granny Smith´ apple peel using ultrasound. The effects 21 of solvent, formic acid concentration (C_{FA}) , and the number of extraction steps on the content of individual and total phenolic compounds (TPC), total flavonoids, and antioxidant capacity, were determined. Then, pectin was obtained from apple peel before (BE) and after (AE) phenolic compounds extraction, using conventional (TR) or ultrasound-assisted 25 procedures (US). The two-steps 80% Acetone (0% C_{FA}) extraction system had the highest TPC (3.47 g GAE/Kg). Procyanidin B2 (0.03-0.77 g/Kg) was the major phenolic compound extracted from `Granny Smith´ apple peel. AE pectin extraction yield (6.38% for US and 4.92% for TR) was higher than BE. Pectin obtained had 57-60 % DE, 9.3-10.3 % Methoxyl content, and 436-460 equivalent weight. Wasted apple peel is a great low-cost source of phenolic compounds and pectin. Furthermore, it is possible to achieve the highest yields of both compounds through appropriate extraction sequences (AE: phenolic compound extraction followed by pectin extraction) and alternative technologies like ultrasound- assisted extraction. The two-steps 80% Acetone (0% C_{FA}) extraction system
E/Kg). Procyanidin B2 (0.03-0.77 g /Kg) was the major ph
Granny Smith' apple peel. AE pectin extraction yield (6.3
was higher than BE. Pectin obtained had 57-60 % DE

Keywords:

 Fruit waste by-products; circular economy; bioactive compounds; cavitation; procyanidins; antioxidants.

Abbreviations

(-)EPQN: Epicatechin; (+)CTQN: Catechin, Ac: acetone 80%; ACL: Chlorogenic acid, AE:

- Pectin extraction process after obtaining phenolic compounds; AERUS: Phenolic
- compounds obtained from RAE-US; BE: Pectin extraction process before obtaining phenolic
- compounds; BERTR: Phenolic compounds obtained from RBE-TR; BERUS: Phenolic
- 42 compounds obtained from RBE-US; C_{FA} : formic acid concentration; C_{PC} : Phenolic

1. Introduction

 The agro-industrial business generates large amounts of wasted by-products in its production processes, representing a critical problem for the environment and public health (Kumari et al., 2018; Ravindran et al., 2018). In developing countries, 40% of these by-products come from the industrial processing steps (Garcia-Amezquita et al., 2018; Ravindran et al., 2018). Their composition includes essential nutrients such as vitamins, fibre, amino acids, and bioactive and functional compounds such as lignocellulose, terpenes, alkaloids, and phenolic compounds (Campos et al., 2020; Girotto et al., 2015; Santagata et al., 2021). Therefore, several studies have determined these by-products´ bioactive and techno-functional properties and the application of different technologies for extracting their bioactive molecules (Cano-Lamadrid & Artés-Hernández, 2021; Kumari et al., 2018; Maina et al., 2017). The industrial processing of apples generates a substantial amount of non-avoidable residues such as peel, seeds, and core, which account for 16-36%.(Piagentini & Pirovani, 2017; Garcia-Amezquita et al., 2018). Apple wasted by-products contain many valuable compounds, including pectin and phenolic compounds (Henríquez et al., 2014; Kalinowska et al., 2014; Massini et al., 2016). Pectin is part of the primary cell walls present in the middle lamellae of plants (Luo et al., 2017). The structural and functional properties of pectin depend on the methoxylation degree, galacturonic acid content, sugar composition, and molecular weight. These properties vary according to the source and the extraction methodology (Güzel & Akpınar, 2019). In the industrial pectin extraction process, the apple peel is dried to avoid enzymatic degradation and simplify storage and handling. High methoxyl pectin is extracted using an acidified solid-liquid extraction system that breaks the polygalacturonic acid chains, solubilising the protopectin (Maran et al., 2017). This process may also degrade other mos et al., 2020; Girotto et al., 2015; Santagata et al., 202
aave determined these by-products' bioactive and techno-fie
ne application of different technologies for extracting their
D-Lamadrid & Artés-Hernández, 2021; Ku

 secondary metabolites, such as phenolic compounds, due to the long extraction times and high temperatures required, which increases the rate of oxidation and condensation of phenolic compounds (Mieszczakowska-Frąc et al., 2016). It also generates aqueous residues that can be harmful to the environment and may lead to a loss of nutritional quality of the 86 product (Bhatia et al., 2016). Therefore, other more efficient and environmentally friendly techniques have been applied for pectin extraction, such as ultrasound-assisted extraction (Maran et al., 2017), supercritical fluid extraction (Azwanida, 2015), and microwave- assisted extraction (Sarah et al., 2018). The apple peel can have 2-5 times more phenolic compounds than flesh, depending on the cultivar, environmental conditions, and type of production (Piagentini & Pirovani, 2017). These compounds have biological (anti-inflammatory, anticancer, antimicrobial, and antioxidant activities) and technological activities and can be applied as replacements for synthetic antioxidant compounds at the industrial level (Kalinowska et al., 2014; Rodríguez- Arzuaga et al., 2021). Solid-liquid extraction is widely used to obtain phenolic compounds from plant tissues, and First, supercritical ridia entitation (Findminia, 2010), and 1
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an have 2-5 times more phenolic compounds than flesh, d
mental conditions, and type of production (Piagentini & P
ds have biological (

 it is mainly affected by solvent, acidity, temperature, time, particle size, agitation conditions, and solid-liquid ratio (Mourtzinos & Goula, 2019). There is no single solvent that ensures total phenolic compound extraction. The solvatochromic and macroscopic properties of the solvents will determine the solvent-solute and solvent-solvent interactions (Villamil-Galindo et al., 2020). The capacity of the extraction solvent to donate hydrogen bonds and the ability to accept hydrogen bonds vary the solvation of the different phenolic compounds and their derivatives (Mourtzinos & Goula, 2019). Ultrasound-assisted extraction has proven to be a technology with high industrial projection for obtaining bioactive compounds, improving extraction yields and reducing extraction costs (Zhang et al., 2018).

 Some authors have studied the profile and the extraction of phenolic compounds from `Granny Smith´ apples (Henríquez et al., 2014; Piagentini & Pirovani, 2017). Others have studied the extraction of pectin from apple peel (Bhatia, Sharma, & Alam, 2016; Constenla et al., 2002; Güzel & Akpınar, 2019). However, there are no current studies about the sequential extraction of pectin and phenolic compounds from wasted `Granny Smith´ apple peel. The ultrasound-assisted extraction appears as a prominent option for the full use of these fruit wasted by-products recovering more phenolic compounds and pectin. Therefore, the main objective of this work was to revalorise apple peel waste by evaluating the impact of different solvent systems on the total phenolic content and the antioxidant activity of apple peel extracts. Moreover, the effect of the ultrasound-assisted extraction step of pectin, and the sequence of the extractions steps for obtaining phenolic compounds and pectin from apple peel, were studied. we of this work was to revalorise apple peel waste by evaluent systems on the total phenolic content and the antioxidate.
Let us Moreover, the effect of the ultrasound-assisted extractive of the extractions steps for obtai

2. Material and methods

2.1. Plant material

 `Granny Smith´ apples were obtained in a local market (Santa Fe, Argentina) and stored at 122 1.5°C and 95% RH. The fruits were selected, washed, and disinfected with sodium hypochlorite (100 ppm, 2 min). The peel was removed (1 mm thickness) with a sharp 124 stainless-steel knife, and the moisture content was determined in triplicate $(80.4 \pm 0.52\%)$ using a thermogravimetric analyser (RADWAG PMR 50, Poland) at 80°C, 60 min. Part of 126 the apple peel (P) was packed in polyethylene bags, frozen at -20° C for studying the extraction of phenolic compounds and the extraction of pectin AE (After the Extraction of phenolic compounds, Fig. 1). Another part of the apple peel was dried in a laboratory oven 129 (50 °C, 24 h, up to 9% of moisture), then it was milled and sieved to a particle size of $<$ 1

g) for obtaining pectin BE (Before the Extraction of phenolic compounds, Fig. 1).

2.2. Phenolic compounds extraction.

2.2.1. Experimental design

135 The effect of the type of solvent [water (100%) and ethanol, methanol, and acetone (80%)];

136 the formic acid concentration (C_{FA}) [0 and 0.5%]; and extraction steps [1 (1/10 w/v) and 2

 (1/5 w/v)] were determined through a factorial design, on the total phenolic content (TPC), determined through a factorial design, on the total phenoliontent (TF), phenolic compound profile, and the antioxida
apple peel extracts, for selecting the best extraction system
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total flavonoid content (TF), phenolic compound profile, and the antioxidant capacity of

`Granny Smith´ apple peel extracts, for selecting the best extraction system.

2.2.2. Phenolic Compound Extraction

The phenolic compound extraction was carried out according to Villamil-Galindo et al.

(2020) to study the one-step and two-step extraction process. For the one-step extraction, the

mixture of ground apple peel (P, Fig 1) with the solvent (1:10 w/v) was sonicated (Ultrasonic

Cleaner, Testlab, Buenos Aires, Argentina) at 160 W and 40 kHz for 15 min and centrifuged

at 12000 g for 20 min at 20°C (Neofuge 18R Heal Force centrifuge, Shanghai, China). The

supernatant was collected and reserved until analysis.

Two-step extraction consisted of sonicating for 15 min 20°C, a mixture of the ground sample

149 (P) plus the solvent (1:5 w/v) (first step), and then centrifuged at 12000 g for 20 min 20 $^{\circ}$ C.

The supernatant was collected in a volumetric flask, and the residue was re-extracted with

fresh solvent solution (1:5 w/v) (second step). Then, the mixture was sonicated, centrifuged,

and the supernatant was separated. Both supernatants were pooled and analysed.

The extraction system with the highest phenolic compound yield was used for analysing the

polyphenols extraction impact on pectin extraction and vice-versa.

2.3. Pectin Extraction

2.3.1. Experimental design

filtered, added to the same volume of absolute ethanol, and allowed to precipitate the pectin

- for 30 min 4°C. The pectin pellet was centrifuged (20 min, 12000g, 4°C), collected, and
- washed three times with ethanol. The pectin pellet was left to repose for 12 h in ethanol 70%

$$
DE\ (\%) = \frac{V2}{(V1 + V2)} \times 100\tag{2}
$$

$$
MC(\%) = \frac{V2 \times normality \times 3.1}{weight \ of \ sample \ (g)} \tag{3}
$$

2.4.6. Pectin equivalent weight

 The equivalent weight of pectin (EW), calculated according to Doesburg (1966), was the number of grams of pure polygalacturonic acid that corresponds with an equivalent of free carboxyl groups. EW was calculated with equation 4, where DE (%) was the pectin degree of esterification (equation 2):

$$
EW = \frac{17600 + 14 * DE}{100 - DE} \tag{4}
$$

2.5. Statistical analysis

 Data were subjected to analysis of variance (ANOVA to determine the effect of extraction variables on the analytical responses. First, we investigated the assumptions underlying the ANOVA test. The Kolmogorov-Smirnov test determined (with 95% confidence) that responses had normal distributions. Homoscedasticity was assessed through Levene's test (p>0.05), verifying the homogeneity of variance for all response variables. Statistical 245 differences among treatment means were determined by Tukey's multiple range test (at a 5% significance level). Also, a correlation analysis between the studied variables was performed using the Pearson correlation coefficients. The statistical analyses were performed with STATGRAPHICS Centurion XV (StatPoint Technologies Inc., Warrenton. VA, USA). EW was calculated with equation 4, where DE (%) was t

(equation 2):
 $EW = \frac{17600 + 14 * DE}{100 - DE}$ (4)
 analysis

cted to analysis of variance (ANOVA to determine the effe

analytical responses. First, we investigated the

3. Results and discussion

3.1. Phenolic compound extraction and its antioxidant capacity

252 The solvent (S) , formic acid concentration (C_{FA}) and the number of extraction steps (ES) 253 significantly affected the total phenolic content and the antioxidant capacity ($p \le 0.001$) of the 254 apple peel extracts (Tables 1 and S1). The interaction of $S.C_{FA}$ was highly significant 255 ($p \le 0.001$) for all responses, and the S. C_{FA}.ES interaction significantly affected TPC, TF, and DPPH. The effect of the extraction variables on the phenolic compounds and antioxidant capacity cannot be analysed separately, as most of the interactions were highly significant (Table S1).

259 A C_{FA} of 0.5% in the extraction solution improved the TPC in the extracts obtained with polar protic solvent solutions (100% water - W, 80% methanol – MeOH, and 80% ethanol - EtOH). In the case of TF, the increased acid concentration reduced the flavonoid content in 262 all extraction systems. On the other hand, the TPC and the antioxidant capacity (FRAP) were higher in the extracts obtained two- than one-step extractions. In the same way, the systems with polar protic solvent showed more yields of TPC and more antioxidant capacity in two- step extraction (Table 1). n the extraction solution improved the TPC in the extracts
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se of TF, the increased acid concentration reduced the flav
stems. On the other hand, the TPC and the antioxi

266 For one-step extracts ($C_{FA} = 0\%$), acetone 80% (Ac) showed the major TPC (p<0.05) with 1.94 g AGE/Kg (Table 1). Although having a low capacity to donate hydrogen bonds, the dipole moment of acetone allows it to solvate phenolic compounds as it has a high acceptance of hydrogen bonds, explaining their solvatochromic properties. In acetone-water solutions, water facilitates diffusion and solute-solvent interactions (Villamil-Galindo et al., 271 2020). The TPC increased ($p<0.05$) around 15%, when C_{FA} = 0.5% in one-step extraction with MeOH and Ac solutions (Table 1). The pH reduction in the extraction solutions allows hydrolysing of the plant matrix, stabilising the charges of certain phenolic compounds and their solvation (Takeuchi et al., 2008).

Besides, the use of acetone 80%, in two steps without formic acid, provides the highest

276 (p<0.05) TPC (3.47 g AGE/Kg) among the extracts (Table 1). This yield was 44% higher

277 than the obtained with acetone 80% in one-step and 9.5% higher than the extract with $C_{FA}=0.5\%$ in two-step extraction. Regarding the mass transfer phenomenon occurring in the different extractions performed on the apple peel, the solvent-solute ratio generates the driving force for this phenomenon to occur (Takeuchi et al., 2008). These phenolic compound contents were higher than those reported by Drogoudi and Pantelidis (2011) for `Granny Smith´ peel (3.03 g AGE/Kg) and Guyot et al. (2002) (3.15 g AGE/Kg). They were 283 even higher than those reported for peel from other cultivars such as `Golden delicious´ (3.04 g AGE/Kg) and `Red Delicious´ peel obtained with acetone 80% with a solid-solvent ratio of 1:10 (2.48 g AGE/Kg) (Piagentini & Pirovani, 2017). Phenolic compounds present a broad structural diversity, contributing to their different properties, such as polarity, demonstrating no single solvent can guarantee a complete extraction of phenolic compounds (Azwanida, 2015). Compared with previous studies performed using the same extraction 289 systems on the strawberry by-products, the extraction with methanol 80% with $C_{FA}=0.5\%$ in 290 two steps showed the highest TPC yield with $15 \frac{\text{g}}{\text{Kg}}$ (Villamil-Galindo et al., 2020). These results confirm the variation of phenolic compounds and their concentrations among the different fruit waste by-products. Besides, the diverse molecular structures of these metabolites confer them different polarities, hence generating a preferential solvation phenomenon. Consequently, the phenolic compounds of strawberry by-products were better extracted with MeOH, while the extraction yields of phenolic compounds of the `Granny Smith´ apple peel were better with Ac (Table 1). Therefore, the use of binary solutions of organic solvents and water extends the range of solvation due to the change in polarity, viscosity dielectric constant, acidity, surface tension, and `Red Delicious´ peer nom onter cantrars start as some set of the set of diversity, contributing to their different properties, su

and solvatochromic properties, and consequently, allowing a higher recovery of phenolic

compounds (Takeuchi et al., 2008). The acetone-water solution was used with excellent

results, obtaining higher yields than pure solvent or mixtures of other organic solvents

327 the extract obtained with Ac in one step without formic acid had 7.70 mmol Fe^{+2}/Kg . The 328 use of two-step extraction improves FRAP significantly by 30% (11 mmol $Fe^{+2}Kg$). The use 329 of a C_{FA}= 0.5% increased FRAP significantly (p < 0.05), having the two-steps extract 330 obtained with acetone 80% the highest FRAP value (13.4 mmol Fe^{+2}/Kg), 2.4 times higher than the EtOH extract in one-step. FRAP values of Ac extracts show the importance of `Granny Smith´ apple peel extracts as an excellent source of antioxidant compounds. These values were higher than those reported for other agro-industrial by-products such as 334 pistachio hull (6.6 mmol Fe^{+2}/Kg) obtained with acetone 100% (Rezaie et al., 2015). **3.2. `Granny Smith´ apple peel phenolic compound profile** Ten phenolic compounds were identified and quantified (Tables 2, S3, and S4). Flavan-3-ols, flavonols, phenolic acids, and dihydrochalcones were the principal phenolic compound 1.6 mmol Fe⁺²/Kg) obtained with acetone 100% (Rezaie et
nith $\acute{\ }$ apple peel phenolic compound profile
mpounds were identified and quantified (Tables 2, S3, and
plic acids, and dihydrochalcones were the principal phen

families determined in `Granny Smith´ apple peel. Flavan-3-ols (procyanidins) were the

main phenolic compounds (59.6%), followed by flavonols (38.3%), phenolic acids (0.85%),

and dihydrochalcones (0.67% of the identified phenolic compounds). Massini et al. (2016)

reported similar results for `Bramley´ apple peel, with the procyanidins (64%) as the

 principal family of phenolic compounds, followed by flavonols (26%), using ethanol 80% as the extraction solvent.

Among the flavan-3-ols, the (+) catechin [(+) CTQN] was identified in concentrations of

0.005-0.082 g/Kg, being the MeOH in two-steps the extraction system with higher yields,

and the formic acid concentration did not affect (p>0.05) the extraction yield (Table S3).

348 Besides, the (-) Epicatechin [(-) EPQN] was extracted in 0.006-0.199 g/Kg. MeOH with CFA

 $349 = 0.5\%$ in two-steps, and Ac with C_{FA}= 0% in two-steps (Table 2) showed the major yields

of (-) EPQN (0.196 and 0.199 g/Kg, respectively). The (+) catechin and (-) epicatechin

concentrations obtained herein were higher than those reported for `Idared´apple flesh (0.05

Example 3 Journal Pre-proof

 `Granny Smith´ apple peel (0.006-0.33 g/Kg) (Table S3) in lower concentrations than the reported for the flesh (1.34 g/Kg) (Almeida et al., 2017). The total phenolic content 404 determined by HPLC (TPC_{HPLC}) for two-step extraction with Ac and MeOH showed the 405 highest concentration (1.99 and 1.90 g/Kg, respectively) for $C_{FA}=0$ (Table 2), in agreement with TPC (Table 1). Apple industrial processing has been generating most fruit waste, and the use of `Granny Smith´ apple peel as a phenolic compound source became an important issue (Scarano et al., 2022). The average daily intake of quercetin and phloretin in developed countries was approximately 34 mg/100 g fresh food portion and 0.7 mg/100 g diet, respectively, lower 411 than the intake of other compounds such as hesperidin (100 mg/diet) (Koch et al., 2015). Besides, these amounts were lower for most of the population in developing countries. For this reason, it was of interest to have a low-cost source of different phenolic compounds that brought the consumer a bioactive compound source for improving the antioxidant and healthy characteristics of food. age daily intake of quercetin and phloretin in developed compounds some search of my/100 g diet, resp
age daily intake of quercetin and phloretin in developed compounds such as hesperidin (100 mg/diet) (Koc
mounts were low

3.3. Impact of the extraction processes on pectin and phenolic compounds of `Granny Smith´ apple peel

 The principal industrial use of apple peels was for pectin production. As part of the conventional process for obtaining pectin, the peel must be dried to avoid enzymatic alterations (Constenla et al., 2002). However, this process not only affected the pectin 422 quality but also affected the polyphenol content. Fig. 2 shows the TPC_{HPLC} retention of the `Granny Smith´ apple peel during the conventional (TR) and ultrasound-assisted (US) pectin extraction processes (BE: before phenolic compound extraction, and AE: after phenolic 425 compound extraction). The fresh apple peel (P) , with 80.1% moisture and TPC_{HPLC} of 9.9 426 g/Kg dw, was dried at 50 \degree C for 24h to obtain DP. The drying process reduced the TPC_{HPLC}

by 66% (3.4 g/Kg dw) (Fig. 2). The phenolic compound extraction from residual tissue of

428 BE pectin US-extraction (R_{BE-US}) allowed the recovery of the 7.12% of TPC_{HPLC} initially 429 present on de fresh apple peel and the 6.15% of TPC_{HPLC} from the residual tissue of BE 430 pectin TR-extraction (R_{BE-TR}) , losing a large number of valuables phenolic compounds. Otherwise, in the AE sequential extraction process, the larger quantity of phenolic 432 compounds was obtained from P (9.9 g/Kg dw), and the remanent TPC_{HPLC} on R_{AE-US} was 433 0.04 g/Kg dw (0.36%); and on the R_{AE-TR} was 0.15 g/Kg dw (1.49%). These results showed 434 that the best TPC_{HPLC} recovery yields corresponded to the AE process (Fig. 2). Fig. 3 shows the phenolic compound content changes during the sequential extraction of phenolic compounds and pectin. During the drying process (DP, Fig. 3), procyanidins were the most affected phenolic compound. The drying process produced losses of up to 94% of PACB2 and 96% of PACT. Similarly, Heras-Ramírez et al. (2012) reported that drying apple pomace at 60°C significantly reduced the content of phenolic compounds (mainly chlorogenic acid and (-) epicatechin). In contrast, the flavonols (Q3G, QP, QHS, K3G) were the least affected among phenolic compounds, even in the DP extracts. QHS concentration increased up to 50% compared to the P extract, becoming the main compound of the DP extract. Probably, the drying process stabilised these glycosides, allowing a better recovery in the extraction. Schieber et al. (2003) reported that drying apple pomace in a three-step drum dryer increased the recovery of flavonols by about 6% but negatively affected the procyanidins by up to 22%. This phenomenon could occur by external factors, like high temperature, although some authors reported that most phenolic compounds were stable up to 150-200°C (Huaman-Castilla et al., 2019). Nevertheless, the polyphenol thermal degradation followed a first-order kinetic, and some compounds, such as kaempferol, could be unstable at temperatures below 50°C (Setyaningsih et al., 2016). Henríquez et al. (2014) studied the thermal degradation of phenolic compounds from `Granny Smith´ apple peel CHPLC recovery yields corresponded to the AE process (Fig
phenolic compound content changes during the sequentia
unds and pectin. During the drying process (DP, Fig. 3), p
d phenolic compound. The drying process produced

452 during drum-drying and reported a loss of 27% of phenolic compounds at 110^oC for 250 s. Moreover, internal factors can participate in the phenolic degradation through anaerobic pathways like the benzoyl-CoA pathway, the resorcinol pathway, and the phloroglucinol pathway, promoted by low drying temperatures and prolonged drying time (Schink et al., 2000). Otherwise, the conventional BE-TR pectin extraction process allowed a higher recovery of PACT and FLN (0.246 and 0.05 g/kg, respectively) from the residual RBE-TR 458 tissue than the one recovered in DP $(0.058$ and 0.02 g/Kg) (Fig. 3). In plant tissue, the metabolic route of shikimic acid produced the deamination of phenylalanine by the enzyme Phenylalanine ammonium lyase (PAL, EC 4.3.1.5), generating cinnamic and coumaric acids. Later, chalcones and hydrochalcones, such as phloretin FLN present in `Granny Smith´ apple peel, were obtained by malonyl CoA and the chalcone synthase (EC 2. 3.1.74). From chalcones and hydrochalcones, condensed tannins (such as epicatechin homo-oligomers like procyanidins, PACT), could be synthesised by hydroxylases and isomerases (Rue et al., 2018). These phenolic compounds could be covalently linked to cell wall structural components in the food matrix. The pectin extraction process with citric acid (pH 2) could extract these insoluble-bound polyphenols, increasing the concentration of these phenolic compounds in RBE-TR compared with DP (Azwanida, 2015; Mariadoss et al., 2019). Regarding the pectin extraction in the BE process, the use of ultrasound-assisted extraction (US) showed a higher yield of pectin (5.35%), 22% higher than the extraction yield obtained 471 without the ultrasound process $(TR, 4.17\%, p<0.05)$ (Table 3). However, these results are similar to those reported for pectin extraction from `Granny Smith' peel (4.2%) with nitric acid pH 2.5 and with citric acid at 80°C (5.25%) (Constenla et al., 2002; Kumar et al., 2020). The ultrasonic frequencies generated micro-jets that moved with the acoustic flow and then cycles of contraction-expansion in the citric acid solution. This cavitation produced a swelling of the plant material that absorbed more of the extraction solution, facilitating the or shikimic acid produced the deamination of phenylalanism
monium lyase (PAL, EC 4.3.1.5), generating cinnamic a
and hydrochalcones, such as phloretin FLN present in `G
ned by malonyl CoA and the chalcone synthase (EC 2. 3

 hydrolysis of the cell walls, thus improving extraction yields (Maran et al., 2017; Minjares-Fuentes et al., 2014).

 The pectin extraction after the extraction of the phenolic compounds (AE) increased $(p<0.05)$ the pectin yields, when compared with BE process, for both studied pectin extraction methodologies, US (6.38%) and TR (4.92%). Due to the previous two-step ultrasound-assisted extraction of phenolic compounds with 80% acetone, the above mention swelling occurred and subsequently facilitated the hydrolysis of the glycosidic bonds of the middle lamella of the cell wall by the citric acid solution (pH 2) and the ultrasound cavitation (Bhatia et al., 2016; Dranca & Oroian, 2018). These values were higher than the pectin yields obtained with other technologies like microwave-assisted extraction. Yeoh et al. (2008) reported a 5.2% pectin yield from orange peel using microwave-assisted extraction. The characteristics of the extracted pectin were related to the different extraction conditions and raw materials used (Bhatia et al., 2016). Commonly, pectin was classified according to its degree of esterification (DE). If DE > 50%, more than 50% of the carboxyl groups of polygalacturonic acid were methylated, and the pectins were called high-methoxyl pectins (HMP). If DE < 50%, pectins were called low-methoxyl pectins (LMP) (Bhatia et al., 2016; Güzel & Akpınar, 2019). The degree of pectin esterification (DE) obtained herein by the different processes varied between 52 and 58% (high-methoxyl pectin) (Table 3). These degrees of esterification were higher than those reported by Gazala et al. (2017) for pectin from concentrated apple juice (49% DE). The use of different sequential extraction processes did not significantly affect the molecular characteristics of the pectin obtained (Table 3). The methoxyl content of the pectin obtained in this study (9.30-10.7%, Table 3) was higher than the reported for pectin from other sources, such as cocoa hulls (using 5% citric acid for pectin extraction) (Sarah et al., 2018). Nevertheless, the equivalent weight values determined for pectin extracted herein were lower (436-462) than the reported for commercial pectin of the cell wall by the citric acid solution (pH 2) and the ull-
116; Dranca & Oroian, 2018). These values were higher the ull-
116; Dranca & Oroian, 2018). These values were higher the
with other technologies like microwa

 (1666.67) (Kumar & Chauhan, 2010). Probably, the lower pH values in the citric acid solution degraded pectin. Minjares-Fuentes et al. (2014) reported that the use of acidic solutions (pH<2.5) could lead to partial degradation of the homogalacturonan chains of pectin. These results suggested that the obtained pectin could form gels through hydrogen 506 bonds and hydrophobic interactions at $pH < 3.5$ and sugar content greater than 55%. The low equivalent weight of pectin allowed to generate these interactions in less time, but its stability could be lower than that of commercial pectin. Further research is needed to determine the appropriate conditions for implementing sustainable alternative technologies for obtaining phenolic compounds and pectin with better functional properties through the extraction process AE-US.

4. Conclusions

 The highest total phenolic compound content was extracted from fresh apple peel with acetone (80%) in two-step extraction. Flavan-3-ols were the majority class of phenolic compounds determined in the apple peel extracts representing 59% of total phenolic compounds. The procyanidin B2 was the main phenolic compound extracted and 518 significantly correlated with the antioxidant capacity of DPPH (\mathbb{R}^2 0.86) and FRAP (\mathbb{R}^2 0.88). The procyanidins were the compounds more affected by the drying process, with reductions of up to 96%, thus reducing the content of total phenolic compounds in the BE pectin extraction process (before the phenolic compound extraction). The ultrasound-assisted extraction improves the pectin yields significantly (22%). The pectin propriate conditions for implementing sustainable alternate
propriate conditions for implementing sustainable alternate
nolic compounds and pectin with better functional proper
ss AE-US.
I phenolic compound content was ext

obtained after the phenolic compound extraction (AE pectin extraction process, both

ultrasound-assisted) increased pectin yield from 5.35 to 6.38 % and TPC yield from 1.37 to

11.92 g AGE/Kg dw for the BE and AE pectin extraction processes, respectively.

The following is the Supplementary data to this article:

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Figure Captions

- **Fig. 1**. Flow-sheet for phenolic compounds and pectin extraction processes from `Granny Smith´ apple peel
- **BE:** Pectin extraction process before obtaining phenolic compounds; **AE:** Pectin extraction process
- after obtaining phenolic compounds; **P:** Fresh peel; **DP:** Dried Peel; **DRT**: Dried Residual Tissue;
- **TR:** conventional pectin extraction; **US:** ultrasound-assisted pectin extraction; **RBE-US:** residual tissue
- of BE pectin US-extraction; **RBE-TR:** residual tissue of BE pectin TR-extraction; **RAE-US:** residual
- tissue of AE pectin US-extraction; and **RAE-TR:** residual tissue of AE pectin TR-extraction; **BERUS:**
- 745 Phenolic compounds obtained from R_{BE-US}; **BE_{RTR}**: Phenolic compounds obtained from R_{BE-TR};
- **AERUS:** Phenolic compounds obtained from RAE-US; **RAE-US:** Phenolic compounds obtained from RAE-

TR.

- **Fig. 2:** Total Phenolic Content (TPC) during de different steps of pectin extraction processes from `Granny Smith´ apple peel. n US-extraction; and **RAE-TR:** residual tissue of AE pectin TR-e
nds obtained from R_{BE-US}; **BE_{RTR}:** Phenolic compounds obtaine
compounds obtained from R_{AE-US}; **R_{AE-US}**: Phenolic compounds
nenolic Content (TPC) duri
- 751 P: Fresh peel; DP: Dried Peel; RBE-US: residual tissue of BE pectin US-extraction; RBE-TR: residual
- 752 tissue of BE pectin TR-extraction; R_{AE-US}: residual tissue of AE pectin US-extraction; and R_{AE-TR}:
- residual tissue of AE pectin TR-extraction; BE: Pectin extraction process before obtaining phenolic
- compounds; AE: Pectin extraction process after obtaining phenolic compounds; TR: conventional
- pectin extraction; US: ultrasound-assisted pectin extraction.
-
- 757 **Fig. 3:** Phenolic compound concentrations (C_{PC}) for the different steps of pectin extraction from `Granny Smith´ apple peel.
- **(+)CTQN**: Catechin, **PACB2**: Procyanidin B2, **(-)EPQN**: Epicathechin, **PACT**: Procyanidin
- tetramer, **ACL:** Chlorogenic acid, **Q3G**: Quercetin-3-*O*-glucuronide, **QP:** Quercetin penstoside,
- **QHS:** Quercetin Hexoside, **K3G**: Kaempferol-3-*O*-glucuronide, **FLN:** Phloretin P: Fresh peel; DP:
- 762 Dried Peel; RBE-US: residual tissue of BE pectin US-extraction; RBE-TR: residual tissue of BE pectin
- 763 TR-extraction; $R_{AE\text{-}US}$: residual tissue of AE pectin US-extraction; and $R_{AE\text{-}TR}$: residual tissue of AE

- pectin TR-extraction; BE: Pectin extraction process before obtaining phenolic compounds; AE:
- Pectin extraction process after obtaining phenolic compounds; TR: conventional pectin extraction;
- US: ultrasound-assisted pectin extraction.

S	ES	TPC (g GAE/Kg) C_{FA} (%)		TF (g quercetin/Kg) C_{FA} (%)		DPPH (mmol Trolox/Kg) C_{FA} (%)		$FRAP$ (mmol $Fe2+/Kg$) C_{FA} (%)	
		W	1.		0.78 ± 0.01 eA 0.78 ± 0.01 fA		0.11 ± 0.05 cA 0.12 ± 0.006 dA 1.20 ± 0.40 eB 2.30 ± 0.10 eA 4.40 ± 0.09 dA		
$\mathbf{2}$					1.25 ± 0.08 dA 1.60 ± 0.004 dB 0.23 ± 0.01 bA 0.22 ± 0.01 cA 4.90 ± 0.50 dA 4.910 ± 0.10 dA 5.60 ± 0.20 cA 6.10 ± 0.50 dA				
EtOH	$\mathbf 1$				1.39 ± 0.01 dA 1.40 ± 0.02 eA 0.28 ± 0.01 bA 0.20 ± 0.001 cB 5.30 ± 0.10 dA 2.90 ± 0.60 eB $5.30 \pm 1,00$ cdA 5.60 ± 0.50 dA				
		2 2.09 ± 0.01 bcB 2.27 ± 0.01 cA 0.33 ± 0.01 bA 0.38 ± 0.01 aA 7.20 ± 0.80 cA 6.80 ± 0.30 cA 7.60 ± 0.50 bA							8.10 ± 0.07 cA
MeOH	1				1.39 ± 0.07 dB 1.64 ± 0.06 dA 0.22 ± 0.001 bB 0.28 ± 0.002 bA 1.00 ± 1.10 eA 1.00 ± 0.01 fA 5.50 ± 0.08 cdB 8.50 ± 0.30 cA				
	$\overline{2}$				2.19 ± 0.10 bB 3.00 ± 0.02 bA 0.43 ± 0.001 aA 0.37 ± 0.002 aB 6.30 ± 0.20 dB 13.10 ± 1.00 bA 7.30 ± 0.02 bB 10.80 ± 0.10 bA				
Ac	1				1.94 ± 0.07 cB 2.23 ± 0.01 cA 0.27 ± 0.002 bA 0.28 ± 0.01 bA 17.60 ± 0.40 aB 12.08 ± 0.90 bA 7.70 ± 0.40 bB 11.20 ± 0.50 bA				
	2	3.47 ± 0.06 aA 3.14 ± 0.10 aB 0.45 ± 0.06 aB 0.21 ± 0.01 cA 16.10 ± 1.00 bA 14.79 ± 2.00 aB 11.0 ± 0.30 aB 13.40 ± 0.04 aA							

`Granny Smith´ apple peel

S: solvent, CFA: formic acid concentration, ES: extraction steps. Mean (n=3). W: water 100%, EtOH: ethanol 80%, MeOH: methanol 80% Ac: acetone 80%. Different capital letters and lowercase letters indicate significant differences (p< 0.05) by Tukey's test, between formic acid concentration, and among extraction systems, respectively.

Table 2. Content of the principal phenolic compounds from different `Granny Smith´ apple peel extracts

S: solvent, C_{FA}: formic acid concentration, ES: extraction steps, PACB2: Procyanidin B2, (-)EPQN: Epicatechin, PACT: Procyanidin tetramer, Q3G: Quercetin-3-glucuronide, TPC_{HPLC}: Total phenolic compounds by HPLC. Mean (Different capital letters and lowercase letters indicate significant differences (p< 0.05) by Tukey's test, between formic acid concentration, and among extraction systems, respectively.

Table 3: Characterization of apple peel pectin obtained by ultrasound-assisted (US) and conventional (TR) extraction processes

DE: Degree of esterification, TPC: total phenolic compounds. Different capital letters and lowercase letters indicate significant differences (p< 0.05) by Tukey's test, among extraction systems, and between US and TR extraction methods, respectively.

PROCESS STAGE

Phenolic compounds

Highlights

- Apple peel waste is a great low-cost source of phenolic compounds and pectin
- Ultrasound-assisted extraction enhances the phenolic compounds and pectin recovery
- Extraction with Acetone 80% in two-step produces the highest phenolic compound yield
- Procyanidin B2 is the main phenolic compound extracted from Granny Smith apple peel
- Phenolic compounds extraction followed by pectin extraction provided the best

yield Journal Press

Declaration of competing interest

The authors confirm that they have no conflicts of interest with respect to the work

described in this manuscript.

Outral Pre-proof