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Development and biological evaluation of *p*NIPAM-based nanogels as vaccine carriers

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15 Abstract

"Smart" nanogels are an attractive tool for the development of new strategies of immunization 16 17 in veterinary medicine. Here, we reported the synthesis and physicochemical characterization 18 of thermoresponsive nanogels based on poly(N-isopropylacrylamide) (pNIPAM) and their in vitro, ex vivo, and in vivo (mice model) performance. Smart nanogels of ca. 250 nm, with a 19 20 transition temperature of 32°C were obtained by precipitation polymerization. Assays to evaluate pNIPAM nanogels cytotoxicity were performed in different cell lines showing high 21 22 biocompatibility (>70%). The efficient internalization of the system was studied by confocal 23 microscopy as well as flow cytometry. The ability to protect and deliver antigens was analyzed 24 using the outer membrane lipoprotein A (OmIA), an important virulence factor of Actinobacillus 25 pleuropneumoniae (App) cause of porcine pleuropneumonia. This lipoprotein was synthesized 26 by recombinant technology and its technique was also described. The biodistribution of *p*NIPAM 27 nanogels administered intranasally was performed in vivo and ex vivo through Pearl Imaging 28 System, which showed that nanogels were kept mostly in the lungs during the evaluated time. 29 Besides, the efficacy of the proposal nanogel-based vaccine was studied in vivo by measuring 30 the antibody titers of BALB/c mice inoculated with OmIA encapsulated into pNIPAM nanogels 31 compared to OmIA plus aluminum hydroxide adjuvant. The results proved the ability of nanogels

32 to stimulate a humoral immune response. Therefore, we have demonstrated that *p*NIPAM

anogels can be used as an efficient platform for vaccine nanocarriers.

- 34 **Keywords**: *p*NIPAM nanogels, vaccine carrier, animal model, macrophages cells
- 35

36 1. Introduction

37 Polymeric hydrogels, especially nanoscale hydrogels, are an attractive class of materials with wide 38 potential for different applications in the field of veterinary medicine (Carvalho et al., 2020). 39 Nanogels are cross-linked polymeric networks capable of absorbing large quantities of water and 40 biological fluids without dissolving. Among their main physicochemical and biological properties, 41 nanogels have a large contact surface, high loading capacity, versatility in shape and size, and 42 excellent biocompatibility (Neamtu et al., 2017). Due to these characteristics, nanogels can swell 43 in aqueous mediums and encapsulate water-soluble therapeutics biomolecules, proteins, or 44 nucleic acids, which finally will be loaded into the polymer matrix (Chacko et al., 2012). To ensure 45 animal health and welfare, immunization is one of the main practices applied in veterinary 46 medicine, so the investigations of non-invasive and effective vaccination strategies become a new 47 area of development. Therefore, based on their ability to carry and protect vaccine antigens by avoiding their degradation, nanogels emerge as one of the most promising technology in the field 48 49 of animal vaccination (Hernández-Adame et al., 2019). Thus, the possibility of using these 50 materials with subunit vaccines in innovative immunoprophylactic preparations would replace the classical inactivated whole cell preparations currently employed in veterinary or would allow 51 the use of adjuvant-free vaccines. Several reports have shown the protective efficacy of similar 52 53 subunit-based vaccine formulations with nanogels in mice and other species. (Debache et al., 54 2011; Nochi et al., 2010; Yang et al., 2017). For example, the article published by Yang et al. in 55 2017 demonstrated that the use of nanogel as an adjuvant enhanced the protective efficacy of the influenza vaccine in mice. In this work, the highest survival rate was found in a group of mice 56 57 immunized with nanogel plus vaccine antigen compared to the group of vaccine antigen alone or 58 vaccine antigen plus alum. These survival rates were obtained 14 days post-challenge with a lethal 59 dose of the pandemic influenza A virus. Another advantage of nanoparticles is the efficient uptake 60 and acceptance by mammalian cells as a requirement to achieve immunization (Pippa et al., 2021; 61 Wibowo et al., 2021).

62 "Smart" nanogels can respond to a variety of environmental stimuli such as pH, temperature,
63 ionic strength, or electric field through a conformational change, i.e. swelling/shrinkage,
64 disaggregation, etc (Molina et al., 2015; Preman et al., 2020). Nanogels based on the

65 thermoresponsive polymer poly N-Isopropylacrylamide (pNIPAM) have a lower critical solution 66 temperature (LCST) around 32°C and therefore higher temperatures trigger conformational 67 changes resulting in water loss, an increase of hydrophobicity, and volume collapse (Boutris et 68 al., 1997; Chalal et al., 2010; Tang et al., 2021; Tokuhiro et al., 1991). This special feature is very 69 attractive for biological applications due to the proximity of LCST to the physiological temperature 70 of mammals. It is also important to mention that, despite this, it is necessary to know the potential 71 of *p*NIPAM nanogels as an antigen delivery system. An interesting animal model disease to assay 72 pNIPAM nanogels as an antigen delivery system, is the outer membrane lipoprotein A (OmIA), an 73 important virulence factor of Actinobacillus pleuropneumoniae (App), the cause of porcine 74 pleuropneumonia with important economic loss in the pig industry worldwide (Gottschalk, 2012), 75 and could be an interesting antigen candidate to incorporate into nanogels-based vaccines (Alcón 76 et al. 2006; Alcón et al. 2003; Alcón et. al 2005). Although there are a few available vaccines for 77 this disease, most of them use inactivated whole App cells and their protective efficacy is debated 78 (Loera-Muro and Angulo, 2018). Within preliminary evaluations of vaccine candidates, the use of 79 antigen-presenting cells (APC) in the assays with pNIPAM-based nanogels will allow us to elucidate the first step of antigen processing and the immune response generated. 80

The main objective of this work was the development of *p*NIPAM-based nanogels as vaccine nanocarriers of OmlA and its biological evaluation. We described the effect of *p*NIPAM nanogels in macrophages (RAW 264.7 cell line) using *in vitro* cytotoxicity tests and uptake assays. The ability of nanogels to encapsulate and control the release of OmlA antigen was demonstrated. Moreover, the biodistribution of *p*NIPAM nanogels after intranasal instillation and the *in vivo* humoral immune response were performed in mice administered with formulations, using OmlA encapsulated into *p*NIPAM-based nanogels.

88 2. Materials and Methods

89 2.1. *p*NIPAM nanogels

90 2.1.1.Materials

91 For nanogels synthesis, N-isopropylacrylamide (NIPAM, 100%), allylamine, and acrylic acid (AA) 92 monomers were purchased from Scientific Polymer Products. N,N-methylenebysacrylamide (BIS) from Sigma Aldrich was used as the cross-linker agent. Ammonium persulfate (APS, Cicarelli) was 93 94 used as the initiator agent, and sodium dodecyl sulfate (SDS, Invitrogen™) as the stabilizer. For 95 their observation in cell experiments, the nanogels were labeled with fluorescein isothiocyanate 96 (FITC, Sigma) or ADS790WS IR dye (ADS Inc) using N', N'-dicyclohexyl carbodiimide (DCC) as 97 coupling agent. Water was triply distilled. All reagents and solvents in this work were used as 98 received and were of analytical quality.

99 2.1.2.Synthesis of nanogels

100 Nanogels were synthesized via radical polymerization of NIPAM, using APS to initiate the reaction 101 (Pelton and Chibante, 1986). The crosslinking agent BIS was added. Nanoparticles were made by 102 the technique of precipitation polymerization with a low concentration of monomer (NIPAM) and 103 high concentration of initiator (APS). The pre-gel solution was made as follows: NIPAM 123 mM, 104 BIS 2.15 mM, SDS 1 mM dissolved in a final volume of 200 mL of distilled water. This solution was 105 deoxygenated via nitrogen bubbling and then kept it stirring for 1 h at 70°C in a nitrogen 106 environment. After that, an aqueous solution of APS (1.7 mM) was added and the reaction was 107 carried out for 4 h under the same conditions (70°C, nitrogen environment, stirring). The nanogels 108 were purified by dialysis (molecular weight cut off of 12 kDa, SIGMA) against distilled water for 109 two weeks and the distilled water was completely replaced three times per day. Finally, nanogels were freeze-dried (LABCONCO Freezone Plus 6) and stored at room temperature until used. The 110 111 synthesis was performed in triplicate.

112 2.1.3. Dye labeled nanogels

113 Alternatively, modified nanogels were synthesized in order to label them with different dyes.

114 For green fluorescent pNIPAM nanogels, a co-monomer (allylamine, 3.34 mg) (5.85 mM) was 115 incorporated into the initial reaction of 10 mL of distilled water (final volume) to obtain nanogels 116 with free amine groups. This monomer allows the covalent incorporation of FITC into the 117 thermoresponsive nanogels. Once the polymerization reaction ended, the pH was adjusted to 8 and kept on ice and darkness, followed by the addition of 1 mg of FITC (0.26 mM). Finally, labeled 118 nanogels were dialyzed in a membrane tube against ethanol for two days to remove FITC excess, 119 120 and then against distilled water until FITC was not detected in the outer solution by a 121 spectrometer (280 nm). For near-infrared (NIR) fluorescent pNIPAM nanogels conjugates, acrylic 122 acid as the co-monomer (3.34 mg) (4.64 mM) was incorporated to allow binding with ADS790WS 123 IR dye via the carboxylic group. For this reaction 137 mg of NIPAM, 3.34 mg AA, 3.32 mg BIS, and 124 2.85 mg of SDS were used in 10 mL of distilled water. Once the synthesis was completed, 6.67 mg 125 (3.23 mM) of DCC was added to allow coupling of 10 mg (1.19 mM) of ADS790WS in incubation 126 overnight at 4° C. ADS790WS-nanogels were dialyzed in a dialysis tube against distilled water for 127 two weeks in darkness. The synthesis was performed in triplicate.

- 128 2.2. *p*NIPAM nanogels characterization
- 129 2.2.1.Dynamic Light Scattering (DLS)

130 The particle hydrodynamic diameter and polydispersity index (PDI) of the nanogels were131 measured at different temperatures using the Autosizer Malvern 4700. During the measurement

process, the size was measured following a temperature ramp ranging from 25°C to 40° C. For this purpose, a nanogel solution was prepared with a final concentration of 1 mg/mL in distilled water. Experiments were performed in triplicates and results were shown as the mean ± the standard deviation.

136 2.2.2.Atomic Force Microscopy (AFM)

137 The topography of nanogels was scanned with AFM Agilent Technologies 5420 Scanning Probe®

Microscope using non-contact mode. A drop of 1 mg/mL nanogel solution was placed onto a
cleaned mica surface and dried at room temperature by air drying process before observation.
Image analysis and measurements were performed using the Gwiddion software.

141 2.2.3. Fourier Transform Infrared Spectroscopy (FT-IR)

The *p*NIPAM nanogels spectrum was acquired using KBr (potassium bromide) pellets in a
wavenumber range of 600-4000 cm⁻¹ with a resolution accuracy of 4 cm⁻¹ using the
spectrophotometer Nicolet Impact 400 at room temperature.

145 2.2.4. Dye labeled nanogels

To characterize the dye conjugates, the emission fluorescence spectrum of the FITC-nanogels in
water solution (1 mg/mL) was measured from 510 to 610 nm at an excitation wavelength of 495
nm using a SpexFluoromax spectrofluorometer.

For the same purpose, the optical properties of ADS790WS-nanogels were characterized in anaqueous solution (1 mg/mL) by a UV-vis spectrophotometer recording the absorption spectrum.

- 151 2.3. Recombinant protein outer Membrane Lipoprotein A (OmlA)
- **152** 2.3.1.Bacterial strain and growth conditions

153 The reference strain *App* serotype 1 ATCC 27088 was seeded on a 5% equine blood agar plate in 154 an aerobic atmosphere at 37°C for 24 h. Then, a single pure colony was selected and inoculated 155 into BHI (Brain heart infusion growth medium) broth containing 4 mg/mL β -NAD (β nicotinamide 156 adenine dinucleotide) (Roche) and maintained overnight under stirring at 37°C.

157

2.3.2. Molecular cloning of omlA

Genomic DNA from *App* serotype 1 was extracted by Genomic DNA Purification Kit (Fermentas[®])
according to the manufacturer's instructions. The gene (*omlA*) encoding an outer membrane
lipoprotein A from *App* serotype 1 was amplified using the pair of primers: *omlA* Fw
(AGGATCCAATATTGCAACAAAATTAATG) and *omlA* Rv (AGAATTCTTAGGTTGCCGTAGCACCGATTAC). PCR
conditions consisted genomic DNA (50 ng), 0.5 μM of each primer (FAGOS), 0.2 mM of each dNTP

(Promega), 1.5 μM of MgCl₂, 1X buffer (Buffer 5X GoTaq Promega) and 0.5 U GoTaq polymerase 163 DNA (Promega) in a final volume of 25 μ L. PCR was initiated with an incubation step at 94 °C for 164 165 5 min, followed by 35 cycles of 94 °C / 30 s, 58 °C / 30 s, and 72° C / 1 min 40 s with a final extension 166 step at 72 °C for 10 min. The amplified DNA products omlA of 1032 bp were electrophoresed on 167 a 0.8 % (w/v) agarose gel (Biodynamics[®]). Once identified, the PCR products were purified using 168 a PCR purification kit (Qiagen, Hilden, Germany) according to the manufacturer's protocols. DNA 169 concentration and purity were recorded and omIA gene was cloned into pGEM-T Easy plasmid 170 (Promega, Madison, WI). E.coli DH5 α strain was transformed and white colonies, carrying the 171 recombinant pGEM-T Easy:omlA vector, were selected on LB plates containing 100 μ g/mL 172 ampicillin and supplemented with 0.1 mM IPTG/ 0.05 mM Bluo-Gal. Subsequently, the omIA 173 fragment was obtained with enzyme digestion (BamHI and EcoRI), purified through Kit Easy Pure 174 Quick Gel Extraction (TransGen Biotech Co) and subcloned into the same restriction site in the 175 expression pRSETa vector (which allows the expression of recombinant OmIA protein as 6XHis-176 tag fusion). Recombinant plasmid pRSETa::om/A from positive clones were transformed into E. 177 *coli* BL21 (AI) for expression.

178

2.3.3. Expression and purification of OmIA

179 E. coli BL21 (AI) recombinant cells (pRSETa::omlA) were cultured into LB medium supplemented with glucose (0.1%) at 37°C until an OD_{600nm} of 0.4-0.6 was reached. From this point, arabinose 180 181 (0.2%) was added to induce the expression of OmIA during 3 h culture at 37°C. The bacteria were 182 pelleted by centrifugation (6000 rpm) for 10 min at 4°C and suspended in Lysis buffer (LB) 183 containing: Tris-HCl (pH 8) 50mM, NaCl 400 mM, KCl 100 mM, Glycerol 10%, and Tritón X100 1%. 184 After a treatment with lysozyme (SIGMA) 1 mg/mL for 1 h at 4°C and DNAsa (SIGMA) 5µg/mL for 185 30 min at 4°C, the samples were homogenized using a mechanical disruptor (FastPrep®24, MP 186 Biomedicals) with sterile glass beads (0.2 - 1 μ m SIGMA). The disruption was performed in three 187 cycles of 21 s at 600 rpm. Following, lysed cells were centrifuged at 6000 rpm for 20 min; the supernatant containing total proteins was recovered and centrifuged again at 13000 rpm for 40 188 189 min, 4°C. The last resulting supernatant, containing the fraction of soluble proteins, was kept. The 190 OmIA-6X His-tagged protein was determined by SDS-PAGE and Western Blot analysis and purified 191 by affinity chromatography using Ni-NTA agarose resin (QIAGEN, Germany).

192

2.3.4. Identification and Western-Blot analysis

193 The final samples were analyzed by sodium dodecyl sulfate-polyacrylamide gel electrophoresis 194 (SDS-PAGE) and proteins were identified by Coomassie Blue R-250 staining. In parallel, the gel 195 proteins were transferred to nitrocellulose membranes (Bio-Rad nitrocellulose membrane) to

identify the recombinant OmlA.His-tag by immunoblotting using, firstly, a mouse monoclonal
antibody to His6-tagged proteins (Anti-His6, Roche®) and secondly, a goat antibody anti-mouse
alkaline phosphatase conjugates (Sigma Aldrich®). BCIP/NBT (5-bromo-4-chloro-3-indolyl
phosphate/p-nitroblue tetrazolium chloride) chromogen was used as a substrate in order to
detect proteins of interest.

201 2.4. Encapsulation and Release Studies

202 To assess the loading capacity and efficiency of pNIPAM nanogels, two proteins were used. First, 203 a common ovalbumin protein model (OVA) (chicken egg white albumin, Sigma Aldrich®) was used 204 taking advantage of its similar molecular weight to OmIA. For this, 10 mg of nanogels with 10 mg 205 of OVA were dissolved and incubated in 3 mL of PBS overnight at 4°C to allow swelling of the 206 nanogels. The resulting solution was centrifuged in a centrifugal device Vivaspin 100 kDa 207 (Sartorius AG, Göttingen, Germany) at 4°C (three times for 30 minutes at 6000 rpm). The 208 quantification of non-encapsulated OVA was performed by BCA (Bicinchoninic Acid, Thermo 209 Fisher Scientific™) protein assay in the filtered portion. The loading capacity and loading efficiency 210 were calculated as follows:

212

Loading capacity =
$$\frac{W_i - W_f}{W_{NG}} \times 100\%$$

Loading efficiency =
$$\frac{W_i - W_f}{W_i} x \, 100\%$$

where W_i is the initial weight of OVA, W_f is the final weight of OVA remaining in solution and W_{NG} is the weight of nanogels used.

215 The release studies were performed by dialysis method against PBS for OVA. For this purpose, 216 the resulting solution of nanogels loaded with OVA was transferred to a dialysis bag with a 217 membrane of 50 kDa cutoff. These dialysis bags were placed in 10 mL of PBS at 37°C to evaluate 218 the thermosensitive triggered release of nanogels. At certain times, aliquots of 200 µL were taken from the external solution and the concentration of OVA was determined by a BCA protein assay. 219 220 After each measurement, the same volume was replaced with a fresh medium. Finally, the 221 cumulative release was calculated at 37°C. Furthermore, the release studies were performed at 222 4°C to check the stability of the system. All assays were made in triplicate and results were shown 223 as the mean ± the standard deviation.

In the case of OmIA, and considering the difficulties to obtain it, only an encapsulation and release
study at 37 °C was performed in order to corroborate the model, following the same procedure
described above.

The stability of OmIA after release was checked by SDS-PAGE. A sample of released OmIA and non-encapsulated OmIA solutions were seeded in a polyacrylamide gel to compare their electrophoretic profiles. Samples were separated by discontinuous SDS-PAGE with 4% stacking gel and 12% separating gel. Aliquots of solutions were mixed with equal volumes of sample buffer (2.5% SDS, 5% 2-mercaptoethanol, 25% glycerol, and 0.003% bromophenol blue in 0.05 M Tris hydrochloride [pH 6.8]) and boiled for 7 min before electrophoresis. The observation of the gels was performed by Coomassie brilliant blue R250 staining.

- 234 2.5. *In vitro* studies
- **235** 2.5.1.Cell Culture

236 Mouse macrophages (RAW 264.7 cell line) were cultured in Dulbecco's Modified Eagle medium 237 (DMEM) supplemented with 10% fetal bovine serum (FBS), streptomycin (10000 μ g/mL), and 238 amphotericin B (25 μ g/mL). Cultures were maintained at 37 °C in a 5% CO₂ humidified incubator.

239 2.5.2. Incorporation of Fluorescent Labeled Nanogels by Flow Cytometry (FC) Analysis

240 To evaluate the uptake of pNIPAM nanogels in macrophage cells, RAW 264.7 cells were seeded 241 into 24-well microplates (Nunc, Denmark) at a concentration of 2 x 10⁵ cells/mL and then growing 242 under the same protocol previously described. After 24 h of culture, cells were incubated with a 243 FITC-nanogel solution in DMEM (0.01 mg/mL) previously swollen in the same medium for 12 h at 244 4° C. At four time points (3, 6, 12, and 24 h) the medium was removed, and cells were washed 245 twice with PBS and trypsinized to obtain a cell suspension. Finally, cells were centrifuged and 246 resuspended into 500 μ L of PBS with 1% of FBS to be analyzed by FC (flow cytometry). The 247 samples were analyzed in a Guava Easycyte 6 2L flow cytometer (Merck). The FITC-nanogels 248 fluorescence was measured in the 523/30 nm detector. A total of 10,000 events were collected 249 and histograms were made in the green-B-HLog channel (523/30 nm) for subsequent analysis and 250 the calculation of the mean fluorescence intensity values (geometric mean) to compare the 251 different treatments. The experiment was conducted in quadruplicate.

252 2.5.3.Confocal microscopy

To visualize the uptake of nanogels by macrophages, RAW 246.7 cells were incubated with FITCnanogels and observed by confocal microscopy. Thus, 2×10^5 cells were cultured in cover slip placed on 6-well plates at 37 °C, 5% CO₂ in a humidified incubator. After 24 h, cells were treated with 0.01 mg/mL of FITC-nanogels in DMEM and kept under the same conditions for 16 h. After that, the culture medium was removed and cells were washed twice with PBS to remove noninternalized nanogels. Then, each monolayer of cells was covered with 500 µL per well of Hoechst 33342 solution (10 µg/µL) to label nuclei and then fixed with 2% paraformaldehyde. Mounting 260 was done using the cover slip on glass slides to finally, observe the fluorescence of FITC-nanogels 261 and Hoechst 33342 into the cells with a Confocal Microscope (FV 1000 Olympus).

262 2.5.4. MTT assay

This assay is based on the ability of viable cells to metabolize the MTT (3-(4,5-dimethylthiazol-2-263 yl)-2,5-diphenyltetrazolium bromide) dye to finally measure the optical density of formazan 264

265 product by spectrophotometry.

For this protocol, 5000 cells per well were seeded in 96-well-plates (Nunc, Denmark) and after 24 266 h of incubation, the monolayer was treated with three concentrations of nanogels (0.0001, 0.01, 267 and 1 mg/mL) for 24 h. To this end, dry nanogels were mixed with DMEM to obtain these three 268 269 final solutions. Untreated cells served as negative control (100% viability). After nanogels 270 exposure, each well was washed with PBS and 100 μ L of MTT solution was added (in DMEM using 271 0.5 mg/mL) to incubate it at 37°C for 3 h in darkness. MTT solution was removed and 100 µL per 272 well of DMSO was used to dissolve the formazan precipitate. Last, the plates were shaken and their optical density was measured at 540 nm. All experiments were made in triplicate. 273

274 2.5.5.Cell Viability by FC Analysis

As a standard protocol, 1 x 10⁵ cells per well were seeded into 24-well-plates (Nunc, Denmark) 275 276 and after 24 h incubation, cells were treated with three concentrations of nanogels (0.0001, 0.01, 277 and 1 mg/mL). To this end, dry nanogels were mixed with DMEM to obtain these three final 278 solutions and two exposure times were tested, including 6 and 24 h. After these periods, the 279 medium containing nanogels was removed and cells were washed twice whit PBS. The monolayer 280 was trypsinized, harvested, and suspended in 500 µL of a solution containing 1 µL of LIVE/DEAD™ 281 Fixable Far Red and incubated for 20 min in dark. Finally, cells were centrifuged, resuspended in 282 500 μ L of PBS with 1% of FBS, and analyzed using a Guava Easycyte 6 2L flow cytometer (Merck). 283 The fluorescence emission was detected in the Red-R-HLog channel. Cells incubated in a complete 284 culture medium served as lived control. These experiments were carried out in triplicate.

285 2.6. In vivo studies

286

287

2.6.1.Biodistribution imaging of *p*NIPAM nanogels Imaging System and animals 2.6.1.1.

288 To monitor the follow-up of nanogels via mucosal applied in small animals, Pearl® Trilogy Small 289 Animal Imaging System was used. This non-invasive system capture in vivo and ex vivo images of 290 700 and 800 nanometer NIR fluorophores. The software Image Studio software V 5.2 was used 291 for data capture and analysis. This determines arbitrary units for the quantification of the

fluorescence intensity (RFU: relative fluorescence units). All images were presented inpseudocolor because it can distinguish different intensities easier.

Adult female BALB/cCmedc mice (6-7 weeks) were housed in individually ventilated cage (IVC)
systems (Allentown Inc., USA) and given food and water ad libitum. The temperature of the
animal facility was 23 °C with a 12-h light/dark cycle.

297 All the procedures were carried out according to the Guide for the Care and Use of Laboratory 298 Animals (NRC, 2011) and with the approval of the Institutional Ethics and Security Committee 299 (Protocol Nº 632/20) of the School of Veterinary Science of the National University of Litoral, 300 Santa Fe, Argentina. The Centre for Comparative Medicine is an entity compliant with GLP for 301 conducting preclinical tests inspected by the Argentine Accreditation Organism (member of the 302 OECD) and the certifications of local regulatory agencies like the ANMAT (National Argentine 303 Administration of Drugs, Food, and Medical Technology,) and the SENASA (National Argentine 304 Service of Animal Sanitation and Food Quality).

305

2.6.1.2. Experiment and animal imaging

306 One group of five BALB/cCmedc mice was randomly selected and administered intranasally with 307 ADS790WS-nanogels. 20µL (10 µL per nostril) of a 1.05 mg/mL solution of ADS790WS-nanogels. 308 in PBS were dropped in each mouse (1 mg/kg). During the study, animals were monitored and 309 their physiological constants were registered. The animals were in vivo imaged on a Pearl® Trilogy 310 Small Animal Imaging System at 30 min, 1, 2, 4, 8, 12, and 24 h after intranasal administration 311 with ventral, lateral, and dorsal views. In addition, animals were imaged at zero time to establish a basal level of fluorescence. For all procedures, animals were induced and anesthetized with 5% 312 313 and 2% Isoflurane, respectively. In parallel, animals were euthanized by anesthetic overdose at 314 1, 4, 8 h, (n= 1) and 24 h (n= 2) after intranasal administration. The extraction of main organs 315 (lungs, spleen, liver, and kidneys) was carried out and ex vivo images were obtained on a Pearl® Trilogy Small Animal Imaging System. 316

317 2.6.2. Vaccination of mice

Six-week-old BALB/c mice were randomly separated into three groups of 4 animals and inoculated subcutaneously as follows: Group 1: Control 100 μL saline solution; Group 2: 100 μL of a solution with OmlA antigen (30 μg) plus aluminum hydroxide adjuvant (30 μg) and Group 3: 100 μL of a solution with OmlA antigen (30 μg) encapsulated into *p*NIPAM nanogels (30 μg). In all experimental groups, each mouse was inoculated following the next scheme: 0, 10, and 21 days (day 0 was defined as the first day of injections). Seven days after the last immunization (28 days) all mice were euthanized, the blood was obtained by cardiac puncture and centrifuged to obtain

serum that was stored at -20°C until further analysis. All the animal procedures were made
according to Ethic Guidelines, and approved by Comité de Etica de la Investigación Cientifica
(COEDI) of the Universidad Nacional de Río Cuarto (File 121/2015).

328

2.6.2.1. ELISA (enzyme linked immunosorbent assay)

329 As a measure of humoral immunity, titers of IgG anti-OmIA were determined in each group 330 according to described in Campra et al., (Campra et al., 2021). Consequently, 96-well flat-bottom 331 polystyrene plates (Immulon 2HB) were coated with 5 µg of OmlA recombinant protein per well 332 in a final volume of 100 µL of sodium carbonate buffer (pH 9.6) and incubated at room 333 temperature overnight. After four washes with wash buffer (0.05% Tween 20 in PBS), the wells 334 were blocked with 100 μ L of 10% skimmed milk solution for 1 h with agitation at 37 °C. The 335 washing procedure was carried out again and then 100 μ L of mice diluted sera were added. 336 Different dilutions were tested (1:100; 1:200; 1:400; 1:800; 1:1600; 1:3200; 1:6400; 1:10.000, 337 1:15.000 y 1:20.000). The plates were kept at 4°C with agitation overnight. Following the last 338 washing, the second antibody monoclonal antibody Peroxidase AffiniPure Goat Anti-Mouse IgG 339 (Jackson ImmunoResearch) was added and incubated for 1 h at 37 °C. At the end of the assay, 340 and after adding 100 μ L of chromogen ABTS (Azino-bis(3-ethylbenzothiazoline-6-sulfonic acid), 341 Sigma) with 0.002% hydrogen peroxide, the OD values were measured at 405 nm using an ELISA 342 reader (Bio-Tek Instruments, USA). The cutoff value was determined using the formula mean OD 343 value of negative controls plus two standard deviations.

344 2.7. Statistical analyses

Statistical analyses were performed using one-way analysis of variance (ANOVA) followed by
Fisher's LSD method using (SAS University Edition®) to establish differences between different
experimental groups. Statistical significance was assessed as P < 0.05.

348 3. Results and discussion

349 3.1

3.1. Characterization of *p*NIPAM nanogels

In order to obtain the nanogel size distribution according to the thermoresponsive behavior, the hydrodynamic diameter was registered by DLS technique while the temperature of distilled water containing *p*NIPAM nanogels was modified and recorded. Average sizes of *p*NIPAM nanogels ranging from 62 to 255 nm were obtained (Figure 1A). As the results confirmed, nanogels presented thermoresponsivity showing a deswelling behavior with a significant decrease in size when the temperature increased. In Figure 1A can be clearly seen that when *p*NIPAM nanogels surpassed the phase transition temperature (Tp) at 32°C, the hydrodynamic diameter decreased dramatically from 260 to 72 nm. The PDI remained low at all measurements as an indicator of a



358 narrow particle size distribution (<0.3).



From the FT-IR spectrum (Figure 1B) it can be clearly recognized the characteristic bands of amide
I at 1630 cm⁻¹ and amide II at 1540 cm⁻¹ correspond to NIPAM. The stretching in the region
between 3050 - 3550 cm⁻¹ is associated with the typical elongation of overlapping of N-H and
O-H functional groups (Futscher et al., 2017).

- The surface topography of the nanogels was observed by AFM. The images exhibited the typical spherical shape of *p*NIPAM nanogels and their size was around 200 nm at room temperature (Figure 1C). The microphotography also showed the monodispersity of nanogels coincidently with the results of a low PDI measured by DLS.
- 370 After extensive purification by dialysis to ensure complete remotion of free dye, the covalent
- 371 conjugation of FITC-nanogels was confirmed by fluorescence spectroscopy. In Figure 2 it can be
- observed the characteristic fluorescence emissions at 518 nm correspond to the FITC.



- **Figure 2:** Fluorescence spectra of nanogeles, FITC and FITC-nanogels in aqueous solution
- 375 In a similar approach, the coupling of NIR dye on nanogels can be reflected in the corresponding
- 376 UV-vis spectra with an absorption peak of 805 nm produced by ADS790WS (Figure 3).



- 377
- 378 Figure 3: UV–VIS spectra of ADS 790 WS, nanogeles, and ADS790WS-nanogels in aqueous
 379 solution
- 380 3.2. Expression, encapsulation and release of OmlA
- 381 The DNA amplicon encoding OmIA protein was correctly amplified and cloned into pGEMT Easy
- 382 vector, which was confirmed by restriction enzyme analysis and DNA sequencing. OmlA protein
- 383 was overexpressed as His-tag fusion protein, resolved in a SDS-PAGE by Coomassie staining, and

identified by Western-Blot assay using an anti-His6x primary antibody. A single band around 4550 kDa corresponding to recombinant OmIA His-tag protein was present in the soluble fraction
of total proteins (Supplementary materials 1).

387 The ability of nanogels to encapsulate macromolecules was tested with OmIA as an antigen and 388 OVA as a protein model, using a 1:1 weight ratio. The OVA efficiency and capacity of loading were 389 97% for both. Moreover, the size for OVA-loaded nanogels was measured by DLS showing a slight 390 increase of 7 nm after encapsulation (262 vs 255 nm for bare nanogels). The OmlA loading 391 capacity and encapsulation efficiency calculated with this lipoprotein were both 84%. As 392 mentioned in the literature, many factors modify the loading capacity, including the protein 393 molecular weight and hydrophilicity. However, the resulting percentages were considered high 394 and consistent with those obtained by Navarro and coworkers (85–98%) (Navarro et al., 2020). In 395 the mentioned study, the OVA protein was employed and it is worth mentioning that a different 396 crosslinking agent was used and this may generate the observed difference. As expected, the 397 release from *p*NIPAM nanogels (Figure 4) showed a temperature dependent behavior with almost 398 no release at 4 °C (Figure 4A), and a fast and high release of OVA and OmIA at temperatures over 399 LCST (32 °C). The cumulative release for OmIA at 37 °C reached 65% within the first hour and 400 73% for OVA confirming the abrupt drug release profile of pNIPAM nanogels. As the results 401 showed, nanogel swelling-deswelling behavior would provide a useful feature to be exploited for 402 the incorporation and delivery of protein antigens in a biological system, regardless of the protein 403 nature. Despite the collapse procedure responding to temperature changes, nanogels protected 404 the integrity of OmIA antigen, as it was shown in SDS-PAGE analysis (Figure 4B) and OVA protein 405 (Supplementary materials 2) noting that no proteolysis was observed. The released OmIA had the 406 same electrophoretic profile as non-encapsulated OmlA indicating an absence of protein damage 407 during the process. The presented bands in the gel besides that corresponding to OmIA (near the 408 50 kDa according to the marker line) were already observed in the anti-His6x Western-Blot assay, 409 indicating some degraded forms of OmIA protein before encapsulation into nanogels. The 410 mentioned protective effect was also noted in prior studies with nanogels, where encapsulated 411 and thermo-released proteins maintained their enzymatic activity after these processes (Witting 412 et al., 2015). The similar behavior between OVA and OmIA released at 37°C allows us to assume 413 the same profile for retention at a standard storage temperature for vaccines.





416

Figure 4: Encapsulation and release assays. **A**: *In vitro* OVA and OmlA release profile from *p*NIPAM nanogels at 4°C and 37°C. **B**: SDS-PAGE analysis of released OmlA from nanogels at 37°C compared to OmlA protein before encapsulation processes.

417 418

419 3.3. Incorporation of FITC-nanogels in macrophage cells

420 As FC analysis illustrated, the fluorescence intensity histograms of macrophage cells treated with FITC-nanogels were shifted to the right compared with non-treated control cells (Figure 5A). With 421 422 these results, it was proven a remarkable increase in green fluorescence in RAW 264.7 cells 423 exposed to FITC-nanogels. Quantification analysis of these histograms was performed and it could 424 be shown that cell fluorescence intensity (represented as the geometric mean, Figure 5B) 425 increased above the control value at 3 h of incubation time, and this cell fluorescence intensity 426 value was maintained over the following incubation times. Interestingly, it was detected a 427 decrease in cell fluorescence intensity at 24 h, which may be explained by the appearance of a 428 new small cell population whit lower green fluorescence values and could be associated with a 429 release or degradation of FITC-nanogels into the macrophages. Further studies are needed to 430 confirm these assumptions.

431 These fluorescence results can be clearly interpreted due to the cell isolation and washing process 432 that is required for subsequent cell FC analysis, and agree with the explanation provided by 433 Rancan (Rancan et al., 2016). It is interesting to notice that 3, 6, and 12 h of incubation with FITCnanogels had similar fluorescence intensity values which would indicate that nanogels' uptake 434 process by RAW 264.7 cells was fast and almost completed (\approx 100 % cell population) at three 435 436 hours. In agreement with our results, the experiments developed by Charbaji et al, performed 437 with primary culture of human macrophages demonstrated an efficient particle uptake of 438 nanogels based on *poly*-N-isopropylmethacrylamide within 3 h (Charbaji et al., 2021).



440 Figure 5: Uptake quantification of FITC-nanogels by flow cytometry in RAW 264.7 cells. A: Green-B-441 fluorescence histograms of RAW 264.7 macrophages exposed to nanogels (0.01 mg/mL) as function of 442 cell incubation time. Beside each panel gating strategy is shown for the discrimination of singlet cells. B: 443 Geometric mean fluorescence intensity of histograms shown in (A). *p ≤ 0.05 , **p ≤ 0.001 , ns= no

444 statistically significant differences **Useso**madealinetake 44**8** 449

3.4. Confocal microscopy

450 As shown by confocal images (Figure 6), the pNIPAM nanogels were internalized by macrophage 451 cells. The FITC-nanogels (green fluorescence) were observed in all macrophage cells, suggesting 452 great incorporation into the monolayer (Figure 6A). The images confirmed the quantified data in 453 the previous experiments analyzed by FC (Figure 5). These results are in agreement with Naha et 454 al. (2010) who registered clear incorporation of pNIPAM nanogels by non-phagocytic human cells as HaCaT and SW480 lines (Naha et al., 2010). Also, in agreement with these authors, FITC-455 456 nanogels were exclusively observed in the cytoplasm, they did not penetrate the cell nucleus 457 (Figure 6B). Even though, the observed pattern of green spot distribution might suggest a location 458 in restricted areas within the lysosomes, further work is required to establish the proper location 459 of nanogels (Figure 6B). This phenomenon has been described in numerous publications (Rancan 460 et al., 2016), (Luckanagul et al., 2021) even with different cell cultures such as fibroblasts and 461 dendritic cells, among others. The efficient uptake of nanosized hydrogels by mammalian cells is 462 an important characteristic that is used in the delivery of antigens to cells of interest as APC. This 463 process is the initial step of vaccine-induced immune responses. In our experiments, evaluated 464 by FC and confocal imaging, murine macrophages RAW 264.7 demonstrated their capability to 465 easily phagocyte *p*NIPAM nanogeles and located them in the cytoplasmic compartment.

466



481 concentration (1 mg/mL), resulted in a decrease in cell survival statistically differed from negative 482 control ($p \le 0.05$).

483 The LIVE/DEAD[™] Fixable Far Red cell viability assay differentiates live and dead cells using 484 membrane integrity as a proxy for cell viability. MTT results demonstrated good biocompatibility 485 with the macrophage cell line and the cell viability percentages for 0.0001 and 0.01 mg/mL 486 pNIPAM nanogel concentrations were the same as the percentages of control cells (Figure 7B). 487 As previously observed, 1 mg/mL nanogels concentration decreased the cell viability to 74 and 488 72% for 6 and 24 h respectively.

Based on these results, there was no cytotoxicity observed in response to *p*NIPAM nanogels atchosen concentrations (0.0001 and 0.01 mg/mL), except at the highest one.



491

492Figure 7: Cell viability assay in macrophages exposed to pNIPAM nanogels. A: Quantification of cell493viability percentages determined by MTT assay in RAW 264.7 cell line at 24 h after nanogels incubation. B:494Quantification of cell viability percentages by LIVE/DEAD assay in RAW 264.7 cell line at 6 and 24 h after495nanogels incubation evaluated by flow cytometry. *p \leq 0.05, ***p \leq 0.0001, ns= no statistically significant496differences.

497

498 3.6. *In vivo* imaging

499 The signals emitted by NIR fluorescent pNIPAM nanogels was correctly distinguished by Pearl[®] 500 Trilogy Small Animal Imaging System (Figure 8A). All the animals remained in good general health during the experiment and no mortality was observed. The in vivo biodistribution of labeled 501 502 nanogels is shown in Figure 8C. At basal observation (time 0), little or no signal was detected 503 resulting in very low background fluorescence. The intranasal administration (1 mg/kg) of pNIPAM 504 nanogels allowed signal detection in the mice lungs after 1 hour of inoculation, observed by ex 505 vivo analysis (Figure 9A). The fluorescent signal in the lungs remained high during all evaluated 506 periods, even at 24 h, and quantified fluorescence data confirmed an increase in the signal (Figure

507 9B). The presence of pNIPAM nanogels in the lungs had been described by Kawano in 2009 508 (Kawano et al., 2009). These polymeric nanoparticles were synthesized with a core-shell structure 509 of NIPAM gel coated with gold nanorods and were systemically injected (i.v.) into mice. After 30 510 min, the nanoparticles accumulated in the lungs mostly, and in the liver secondly (Kawano et al. 511 2009). In our experiments, 4 hours after in vivo nanogels administration, a diffuse fluorescent signal was observed in the abdominal area indicating a systemic absorption of the nanogels 512 513 (Figure 8C). The abdominal signals of *in vivo* biodistribution experiment were gradually increased 514 and focused in the projected area of the liver after 8 hours. At 12 hours of the experiment, a 515 stronger signal was detected in the right region of animals coinciding with the intestinal area. The 516 images and the finding of detected fluorescent signals in feces at 12 hours suggest the elimination 517 of nanogels through the digestive system probably through the hepatobiliary excretion pathway 518 (Figure 8C). Fluorescent signals were predominantly detected in vivo and ex vivo in the lungs, to 519 and lesser extent in the liver (Figure 10). The *ex vivo* quantification analysis of the main organs 520 confirmed that little or no signal was detected in the other organs evaluated (spleen and kidneys) 521 (Data not shown/ Supplementary materials 3). These results agree with previous studies by 522 DeSimone (Merkel et al., 2011) that showed the circulation time and biodistribution of 523 micrometer-sized hydrogel disks. Their results showed that softer particles could pass through 524 lung tissue and possess longer circulation time compared with stiffer particles that were mostly 525 entrapped in lung tissue. Moreover, Zhang et al. (Zhang et al., 2012) demonstrated that softer 526 nanogels have longer circulation time but less splenic accumulation when compared with stiffer 527 nanogels. Contrary to these findings, Schlachet et al. observed an important brain and head 528 accumulation of mixed amphiphilic nanoparticles made of chitosan-g-poly(methyl methacrylate) 529 and poly(vinyl alcohol)-g-poly(methyl methacrylate) after intranasal application in mice 530 (Schlachet et al., 2020). The inoculation was made to improve drug bioavailability in the brain, 531 using 20 µL per mouse. The average size of nanoparticles was similar to *p*NIPAM nanogels (around 532 200 nm) at environmental temperature, and they could distinguish the nanogels presence in 533 other organs like the spleen and heart, among others. The accumulation of nanogels was taken 534 place in the lungs first, and in the liver secondly. The contrasting results in the bibliography about 535 nanoparticle biodistribution, including our results, emphasize the importance of verifying each 536 nanoplatform performance.



538 Figure 8: A: Image of *p*NIPAM nanogels labeled with ADS790WS IR dye at 800 nm B: Scale used for 800

- 539 nm and for the generation of pseudocolor images. **C:** Representative images acquired at different times
- 540 of dorsal and ventral view in pseudocolor of mice administered intranasally with ADS790WS-nanogels.



Figure 9: Fluorescence analysis of lungs in mice administered intranasally with ADS79WS-nanogels. A:
 Representative *ex vivo* fluorescence images of lungs at different times in pseudocolor mode. B:
 Quantitative analysis of fluorescence of lungs (RFU: relative fluorescence units). Data are presented as
 mean ± standard deviations

541



547

Figure 10: Fluorescence analysis of organs in mice administered intranasally with ADS790WS-nanogels.

549 Representative *ex vivo* fluorescence images of lungs, liver, kidneys and spleen, and brain in pseudocolor

mode

- 550
- 551

552 3.7. ELISA (enzyme linked immunosorbent assay)

A considerable amount of literature has been published on vaccines based on nanogels to develop a new prophylactic strategy, even in veterinary medicine (Debache et al., 2011; Yang et al., 2017). The use of classical adjuvants such as aluminum hydroxide or Freund's adjuvants in the experimental model is a common tool to compare the performance of nanogels as a vaccine adjuvant (Li et al., 2013). Traditional adjuvant action is frequently associated with an increase of local toxicity, which triggers an inflammatory reaction in the inoculation tissue. Therefore, there is a need for alternatives with less toxicity.

560 In this work, the potential antigen delivery function of nanogels was further investigated in mice 561 using OmlA protein, a bacterial virulence factor of Actinobacillus pleuropneumoniae. For this, the 562 antigen was mixed with nanogels or aluminum hydroxide adjuvant to generate the experimental 563 vaccine formulations. As Figure 11 shows, it was observed that the titer of OmIA-specific IgG 564 antibodies was the same in mice injected with the OmIA-nanogels as in those inoculated with the 565 OmIA-aluminum hydroxide adjuvant. Both cases had the same antibody titers in serum, reaching 566 1:6400. These antibody titers suggest the ability of nanogels to stimulate a humoral immune 567 response. It is interesting to note that this positive effect on immune response was produced in 568 absence of cell damage, even with the confirmation of the nanogels intracellular localization 569 assays. The main advantage of nanogels is that they show the same efficacy with less toxicity. 570 Anyway, other complimentary assays must be performed to understand the interaction between 571 nanogels and APC.

572 Although extensive research on nanogels has been successfully carried out, the action of each 573 nanogels-based system needs to be described due to the numerous immune response modifying 574 factors. Shakya et al. 2011, described the adjuvant properties of N-isopropylacrylamide polymer 575 (Shakya et al., 2011). However, it is not a recently published article and they did not use 576 nanoparticles, there are interesting findings to highlight about the studied material. The author 577 observed similar antibody levels in mice vaccinated with pNIPAM with collagen type II (CII) 578 (elected antigen) compare to complete Freund's adjuvant with CII protein. The findings were 579 better in the case of physically entrapped than for the covalent coupling of antigen, and the 580 antibody response recognized the native form of CII. The latter is consistent with the protective 581 effect of *p*NIPAM nanogels found on the loaded and released OmIA antigen.



Figure 11: Specific humoral immune response in serum by ELISA. Each point represents geometric mean
 of optical density value (n=4) according to the dilution factors used. The mean of negative control plus 2
 standard deviations, was considered as negative cut-off (C-) (black line)

587

588 4. Conclusion

In the present work, nanogels based on pNIPAM were obtained by precipitation polymerization 589 590 and tested as vaccine nanocarriers. Their physicochemical characterization and their "smart" 591 ability to transport, protect and release the OmIA antigen lipoprotein were proved. In this work, 592 the first steps to a successful immune response were obtained with pNIPAM nanogels, since they were incorporated in a model of APC (RAW 264.7) within three hours without evidence of 593 594 cytotoxic damage in cell culture. The response of BALB/c mice vaccinated with OmIA-nanogels 595 formulation was adequate, taking into account that the anti- OmlA IgG titer was equal to the 596 conventional aluminum hydroxide adjuvant formulation. The utilization of pNIPAM nanogel-597 based vaccines for mucosal immunization might have advantages over other classical adjuvants 598 given that in vivo imaging results on biodistribution show that fluorescent signals were 599 predominantly detected in the lungs when nanogels were administered intranasally. The results 600 obtained from these preliminary studies summarize an excellent biocompatible alternative to 601 choose in new formulations of vaccines for mucosal application.

602 Conflicts of interest

603 There are no conflicts to declare. The authors declare no competing financial interest.

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	Journal Pre-proofs	
741	Highlights	
742	Thermoresponsive nanogeles are developed as vaccine platform	
743	 Nanogels are internalized by macrophage cell line in absence of 	
744	cytotoxicity	
745	Fluorescence of nanogels is detected in faeces after intranasal inoculation	
746	in mice	
747	OmIA-nanogels formulation produces detectable titer of OmIA-specific IgG	
748	antibodies	
749		
750		
751	Author Credit Statement: M.L. Soriano Perez: Conceptualization, Methodology, Formal analysis,	

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Declaration of interests

763 Image: The authors declare that they have no known competing financial interests or personal
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