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REVIEW

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# Analysis and applications of respiratory surface EMG: report of a round table meeting

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## Abstract

Surface electromyography (sEMG) can be used to measure the electrical activity of the respiratory muscles. The possible applications of sEMG span from patients suffering from acute respiratory failure to patients receiving chronic home mechanical ventilation, to evaluate muscle function, titrate ventilatory support and guide treatment. However, sEMG is mainly used as a monitoring tool for research and its use in clinical practice is still limited—in part due to a lack of standardization and transparent reporting. During this round table meeting, recommendations on data acquisition, processing, interpretation, and potential clinical applications of respiratory sEMG were discussed. This paper informs the clinical researcher interested in respiratory muscle monitoring about the current state of the art on sEMG, knowledge gaps and potential future applications for patients with respiratory failure.

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**Introduction**

Respiratory electromyography (EMG) has been used in intensive care units (ICU), wards and home environments to evaluate respiratory muscle function, to titrate ventilatory support levels and to guide recovery from acute illness [1–6]. Obtaining direct recordings of neuron action potentials of the respiratory centers in the human brainstem is impossible. Therefore, provided that phrenic nerve transmission is intact, and the diaphragm is used as the primary inspiratory muscle, the electrical activation of the diaphragm is considered the closest available surrogate to infer the strength and timing of neural respiratory drive [7–9].

The reference standard to measure the electrical activity of the diaphragm (EMGdi) is by using a nasogastric catheter mounted with electrodes on the tip [10]. However, the invasive nature of this technique carries unwanted risks, causes discomfort in spontaneously breathing individuals, and is unsuitable for patients with impaired swallowing function and for those receiving domiciliary ventilation.

Surface electromyography (sEMG) acquired by electrodes such as those used for measurement of the electrocardiogram (ECG) enables transcutaneous measurement of electrical activity of the respiratory muscles. This approach facilitates non-invasive monitoring of respiratory muscles beyond the diaphragm, including the parasternal, sternocleidomastoid, abdominal and scalene muscles [11]. However, the use of respiratory sEMG is still limited in clinical practice. Specific expertise and consensus are required for correct signal acquisition and processing. Additionally, deeper knowledge on its validity and clinical relevance is required [10]. Although general best practices for sEMG acquisition are provided by the ‘Consensus for experimental design in electromyography’ (CEDE) project [12] and the ‘Surface ElectroMyoGraphy for the Non-Invasive Assessment of Muscles’ (SENIAM)

initiative [13], specific considerations for respiratory sEMG are lacking. In addition, signal processing can be time-consuming and difficult due to variable measurement setups and strong crosstalk from the heart and adjacent muscles. Nevertheless, due to its non-invasive nature and clinical rationale for respiratory muscle monitoring in the ventilated patient [1], respiratory sEMG popularity is increasing in clinical research worldwide [14]. However, the various approaches to signal acquisition, processing, and interpretation [15, 16] could hinder research comparability and successful, widespread clinical implementation.

**Toward consensus**

A 4-day expert roundtable was held in spring 2023 to discuss the state-of-the-art, challenges and future directions of respiratory sEMG. The expert group was composed of medical doctors, technical physicians, software engineers and biomedical engineers experienced in respiratory sEMG and working in the acute (ICU) and/or chronic care (home mechanical ventilation) setting (see Additional file 1 for more details). This paper provides recommendations for state-of-the-art acquisition, processing and interpretation of respiratory sEMG. Additionally, it addresses challenges and explores potential clinical applications of respiratory sEMG in patients with respiratory failure. The overarching objective is to advocate for the standardization and generalizability of respiratory sEMG in clinical research.

**Acquisition**

Respiratory sEMG acquisition entails all activities needed to obtain the digitized raw sEMG signal. Table 1 summarizes recommended electrode positions for the most studied respiratory muscles. Legitimate reasons could exist to deviate from these recommendations, such as practical constraints imposed by a clinical or research

**Table 1** Recommended electrode positions (MCL: Midclavicular Line, AAL: Anterior Axillary Line, ICS: Intercostal Space, MAL: Mid-Axillary Line)

Muscle	Options	Electrode 1 (Anode)	Electrode 2 (Cathode)
Diaphragm	Bilaterally long Unilaterally long Unilaterally short	MCL subcostal Left Xiphoid AAL 6th/7th/8th ICS Right	MCL subcostal Right MAL subcostal Right AAL 7th/8th/9th ICS Right
Parasternal	Bilaterally Unilaterally	2nd/3rd ICS Left 2nd rib, sternal edge	2nd/3rd ICS Right 3rd rib, 2 cm lateral to sternal edge
Sternocleidomastoid	Mastoid/Clavicular notch	Lower 1/3 portion, 2 cm apart	
Scalene	Posterior triangle of the neck at the level of the cricoid process		
Ext. oblique	Combined	AAL, 1/2 costal margin à Iliac crest	MCL medially from anode
Int. oblique			

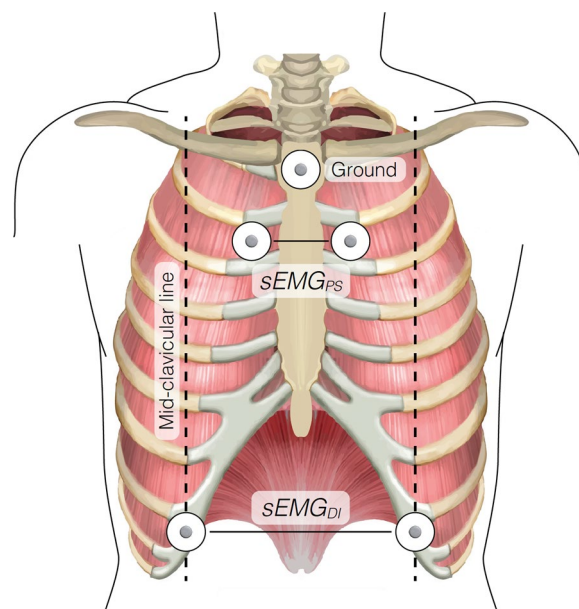
setup. Skin preparation, e.g., shaving, cleansing and scrubbing, is advised to optimize the sEMG recording quality, as it can improve the signal-to-noise ratio and reduce contaminations. Practical recommendations for skin preparation, electrode type and size are outlined in general sEMG guidelines [12, 13].

### Electrode positioning

Pinpointing a one-size-fits-all approach for acquiring diaphragm sEMG is complicated, specifically due to the muscle's dome shape that is strongly affected by patient positioning, lung and thoracic mechanics, as well as intrathoracic and intra-abdominal pressures [17]. Moreover, other muscles including the abdominal external oblique muscle and the intercostal muscles overlay the diaphragm [18]. Ultrasound may be used to detect specific pathologies that may affect electrode placement, like unilateral acquisition of diaphragm activity in the presence of a diaphragm hemiparesis. Furthermore, ultrasound can be used to guide and validate electrode position in relation to the muscle belly [11, 19]. An end-expiratory occlusion test, or in cooperative patients a sniff or maximal inspiratory maneuver, can be used for this purpose as well. When unilateral diaphragm pathology is not expected, it is advised to acquire diaphragm sEMG from the bilateral configuration (Fig. 1). When unilateral pathology is expected or specific information of one hemidiaphragm is required, a unilateral configuration should be used, possibly on both sides. Unilateral configuration can be obtained either with long or short interelectrode distance, see Table 1.

sEMG of extra-diaphragmatic respiratory muscles can also be acquired using bilateral configurations, although adequate electrode positioning over these often small and short muscle bellies can be challenging in clinical practice. Parasternal sEMG can be obtained with electrode positioning over the second intercostal space, as these intercostal spaces show the least postural artifact during breathing, and the amount of subcutaneous fat is relatively limited [20]. However, crosstalk effects complicate analysis and interpretation; they depend on the intercostal space the muscle is located, the exact origins and insertions onto the respective ribs, the moment in the respiratory cycle, and the amount of lung inflation. Parasternal intercostal muscles located in a more cranial intercostal space will activate earlier and to a greater extent, i.e., the neural drive is coupled to mechanical advantage [21]. Scalene and sternocleidomastoid electrode positioning over the lower portion of each muscle is recommended (adapted from [19] and [22]).

The expiratory abdominal muscles (i.e., rectus abdominis, internal and external oblique and transversal abdominal muscles) can strongly interact with



**Fig. 1** Electrode positioning for bilateral parasternal (sEMG<sub>ps</sub>) and diaphragm (sEMG<sub>DI</sub>) configuration, redrawn from [107]

inspiratory muscle action [21]. Considering that crosstalk from the abdominal muscles is common in diaphragmatic sEMG [18, 23], acquisition of abdominal sEMG as a separate channel is advised. This could enable identification of expiratory abdominal muscle crosstalk in the diaphragm leads, thereby facilitating analysis and interpretation of inspiratory diaphragm activity. Crosstalk could be detected by visual inspection. Importantly, when exact timing differences between muscle activation in the diaphragm and expiratory muscle sEMG leads are of interest, using a similar preprocessing pipeline for both signals is recommended (see Sect. “Preprocessing”).

The ground electrode is advised to be placed on a bony structure such as the sternum or clavicle, but its exact location is not expected to significantly affect the acquired signal.

### Interelectrode distance

The distance between electrodes determines the pick-up area and thus affects both the amplitude and frequency characteristics of the resultant signal. Since the diaphragm extends over a large area and deeply into the torso, an electrode configuration with a relatively large interelectrode distance, such as the bilateral configuration, is more likely to capture most of the diaphragm's activity [24]. It should be noted that large interelectrode distance comes at the cost of increasing muscle crosstalk.

**Technical considerations**

The sEMG signal should be acquired at a sampling frequency ( $f_s$ ) of at least 500 Hz, ideally 1000 Hz, because the spectral content of respiratory EMG mainly ranges between 25 and 250 Hz [25, 26]. To eliminate baseline wander, a 0.1 Hz high-pass filter is advised as well as an antialiasing low-pass filter. To note, if an acquisition device applies analogue filtering, reporting these settings is advised.

In addition, it is recommended to synchronously acquire auxiliary measures of breathing activity, such as pressure, flow, or volume, to be able to differentiate between inspiratory and expiratory activity, and to trace and decontaminate from any artifacts.

**Preprocessing**

Raw respiratory sEMG is contaminated by a variety of noise types, complicating the interpretation of the neural activation duration and amplitude. By sEMG preprocessing, we refer to all activities for noise and artifact removal, as well as smoothing, to prepare the signal for parameter calculation. We provide basic building blocks for designing respiratory sEMG preprocessing pipelines (Fig. 2) according to the clinical and research goals. Table 2 provides specific characteristics, pitfalls, and best practices of these building blocks. It is crucial to

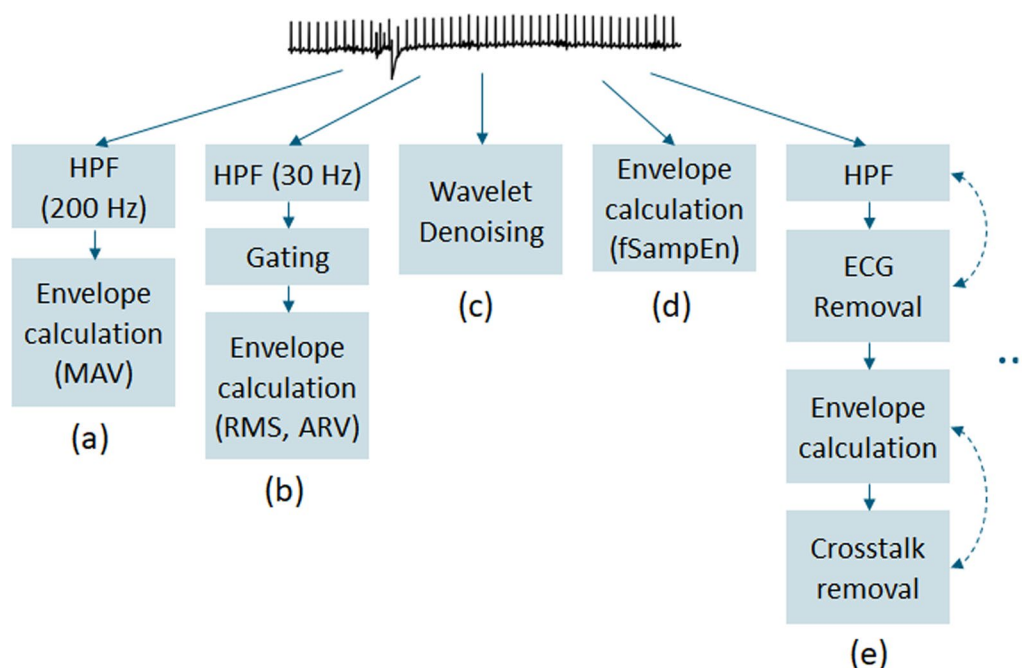
consider that every filter step alters the frequency spectrum, amplitudes, and timing components of the sEMG, which can be critical if the parameter of interest strongly depends on such characteristics. Comprehensively reporting the applied preprocessing steps thus promotes the reproducibility and generalizability of research.

**Low-frequency artifact removal**

Classic high-pass filtering (HPF) with a 0.5–20 Hz cutoff frequency is advised to deal with low-frequency artifacts. These artifacts arise from cable or electrode motion, remaining baseline wander, and low-frequency components of the ECG (such as P and T waves) [27]. Power line interference (50 or 60 Hz) can be suppressed by following standard recommendations as described previously [12, 28].

**ECG removal**

Cardiac crosstalk is the primary contaminant of respiratory sEMG, represented by the ECG, often surpassing the sEMG power by orders of magnitude. The substantial overlap in both temporal and spectral domains poses challenges to successful denoising. A variety of algorithmic approaches have been proposed, exploiting different features of the ECG and EMG to solve the separation [15, 16, 29–34]. The complexity of the filtering procedure



**Fig. 2** Representation of five potential sEMG preprocessing pipelines composed of different basic building blocks. The most appropriate pipeline to use will depend on the target application scenario and may differ from the ones shown. **a** Simple pipeline for data checks. **b** Gating pipeline for strong ECG interference when EMG amplitudes are to be maintained. **c** Wavelet denoising as the go-to method in most cases (e.g., for raw EMG analyses). **d** Fixed sample entropy for robust envelope calculation without additional ECG removal. **e** Illustration of advanced preprocessing pipeline comprising multiple iterations of ECG removal and crosstalk removal

**Table 2** Preprocessing

	Specifics	Common pitfalls	Best practice
<i>ECG removal</i>			
High-pass filtering	Specific for first 'raw' data checks and preliminary step for some preprocessing methods (gating) The higher the ratio between respiratory and cardiac signal power, the lower the required cutoff frequency to reduce impact of cardiac activity on the filtered signal (such as in small-distance electrode setups and parasternal EMG when muscle activation is strong)	Distortion of the spectrum Reduction in EMG amplitude Absence of respiratory EMG amplitude in the filtered signal when there is cardiac interference and/or very weak respiratory muscle activation	if used as the sole method, a high cutoff frequency should be employed and adjusted to minimize impact of cardiac activity Mean Absolute Value (MAV) is recommended to obtain the respiratory waveform in cases where QRS peaks are still present in the resulting signal Lower cutoff frequencies (< 50 Hz) should be combined with other preprocessing techniques to fully remove the QRS complex
Gating	Envelope calculation when EMG amplitude is to be maintained Requires robust detection of R-peaks	Cannot be used with tachycardia Substantial loss of temporal information Not suitable for detecting respiratory onset/offset with high precision	Pan-Tompkins algorithm should be used to detect R-peaks Combination with 20 Hz high-pass filter to remove P and T waves Window length should be adjusted to the duration of the QRS complex Appropriate gate-filling techniques must be used (interpolation or median)
Wavelet	Go-to method for ECG removal in far-distance electrode setups (with strong ECG interference) when resp muscle activation is small Best method when R-peaks cannot be robustly detected (e.g., many ectopic beats, patients with arrhythmias)	Inadequate setting of $F_s$ , level of decomposition, thresholds Thresholding might cutoff large EMG activity bursts	Pre-filtering is not required Number of decomposition levels depends on sampling frequency and should be adjusted to the P-/T-waves and motion artifacts (10–20 Hz); 5 levels for $f_s$ of 1000 Hz, increase/decrease level when $f_s$ doubles/halves Resulting wavelet-bands and thresholds should be checked visually Daubechies 2 and 4 wavelets have demonstrated good performance in denoising respiratory EMG [29, 35, 36] Fixed threshold; start with a threshold set at 4.5 times the standard deviation of the decomposition level (ok)
<i>Envelope</i>			
<i>General recommendation: Use centered window with length 250 ms, deviate when application demands</i>			
Root Mean Square (RMS)	Most generally used Power of the signal can be used based on RMS (and compared with that obtained by spectral methods)	N/A	Step size of the moving window should be considered (1 sample step is feasible)
Average Rectified Value (ARV)	Less affected by high amplitude peaks (like remaining QRS artifacts) than RMS		

**Table 2** (continued)

	<b>Specifics</b>	<b>Common pitfalls</b>	<b>Best practice</b>
Mean Absolute Value (MAV)			Combination with HPF
Fixed sample entropy (fSampEn)	More robust than RMS and ARV, i.e., less affected by high amplitude peaks caused by remaining artifacts	Step size of the moving window, 1 sample step can be computationally expensive (for fSampEn)	Application directly to raw data, no other filtering needed Embedded dimension ( $m = 1$ ) Tolerance value ( $r$ ) set to 0.2–0.3 times the standard deviation of the sEMG signal

can be adjusted based on the intended use, also considering the electrode setup and the specific muscle. For instance, analyses of the raw sEMG spectrum would require more advanced filtering techniques, whereas simpler approaches (which typically strongly alter the signal spectrum) often suffice for estimating sEMG amplitudes. Next, best practices for single-channel ECG removal will be discussed. Examples of these methods are provided in Fig. 3A.

A rudimentary approach to removing the ECG artifact from the sEMG signal is HPF with a relatively high cutoff frequency up to 200 Hz [29]. It removes the ECG contamination while preserving the high-frequency components of the sEMG. This approach is best suited for first data checks in setups with small electrode distances, where it gives direct insight into breathing activity. Although this might work well for specific applications, HPF should be used with caution, because it inevitably alters the sEMG spectrum and amplitude.

Another widely used filtering technique is gating, which is conceptually straightforward. The gating technique relies on detecting the QRS complex and removing a small window of samples around it, requiring only a few parameters to be adjusted (Table 2). Gating is robust to very strong ECG interference and does not distort the spectrum or amplitude of the EMG in between the gates [15, 16]. Therefore, it is applicable for analyzing sEMG amplitudes when the interelectrode distance is large, e.g., in the bilateral electrode setup. However, by design, it entails a loss of temporal information, which in some cases requires discarding a substantial part of the data and filling the gates with for example a fixed value or interpolation method [16].

Wavelet denoising is recommended in most cases, including raw sEMG signal analysis, due to its balanced trade-off between implementation complexity and performance [35, 36]. This method is based on decomposition of the signal into several wavelet components and applying a threshold in the wavelet domain to remove ECG interference, and subsequently reconstructing the sEMG signal using the attenuated components. Wavelet denoising is effective when dealing with significant ECG artifacts due to its ability to exploit amplitude differences between the signal (sEMG) and noise (ECG). Properly adjusting the design parameters (see Table 2) before applying wavelet denoising is crucial [29, 35, 36].

Beyond the herein discussed methods, many more cardiac artifact removal algorithms have been described in the literature [16, 22, 29, 33, 37], but their adoption in clinical practice has been limited so far: these methods usually require highly specific and customized adjustment of parameters for each acquired signal, or need a dedicated reference ECG recording [38].

### Envelope signal

The envelope of the sEMG signal reflects the magnitude of the signal over time, providing valuable information about the respiratory muscle activity. After denoising, this demodulated EMG signal can be derived, for example, by calculating the average rectified value (ARV) or the root-mean-square (RMS) over a moving window. Figure 3B shows an example of RMS envelopes for different preprocessing methods. The key parameter to be adjusted when obtaining such an envelope is the window length, being the time frame over which RMS or ARV are calculated. Increasing the window length, for example, will improve the smoothness of the signal at the cost of slower reactivity. The causality of the window (i.e., the dependence of the filter output on past or future inputs) should be guided by the application, as it affects the timing of the signal. For example, the causality of the window could result in incorrect assessment of patient-ventilator asynchronies such as trigger or cycling delays. When cardiac artifacts remain present in the envelope sEMG, visualized as (QRS peak) outliers, more robust amplitude estimators are advised, such as median absolute value (MAV) [29] or fixed sample entropy (fSampEn), which can be applied directly to the raw data even without using any cardiac artifact removal algorithm [39]. Additional file 2 illustrates the effect of these different envelope computation methods.

The respiratory sEMG envelope often has a noticeable offset due to background noise, which is visible between breaths when muscle activation is low. We advise correcting offsets prior to further analyses by subtracting a baseline noise level; however, this level might fluctuate over the duration of the signal, thereby complicating the correction. For RMS envelopes, it is preferable to remove the noise variance instead of the standard deviation [40].

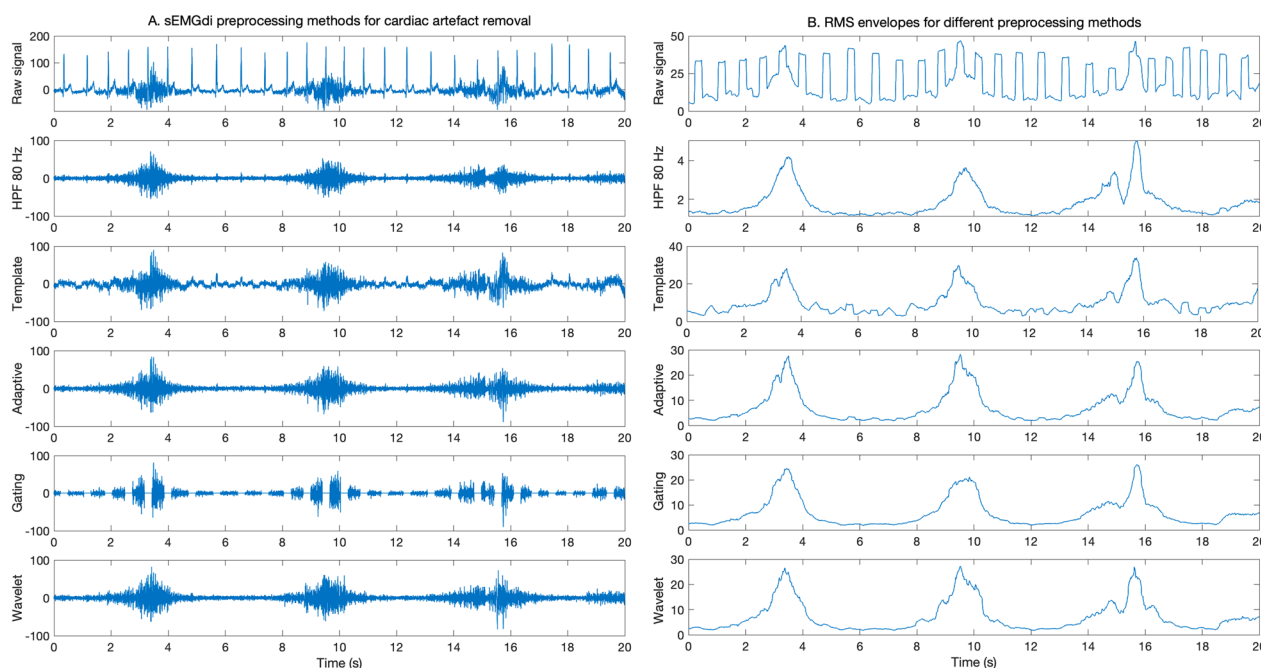
### Postprocessing

Postprocessing is the final stage of signal processing where the parameters of interest are extracted from the decontaminated signal. Key properties that can be computed from the preprocessed sEMG signal (either raw or envelope), their applications and limitations are summarized in Table 3.

### Magnitude of muscle activity

Ideally, respiratory sEMG amplitude should reflect the magnitude of respiratory muscle activity per breath. Unfortunately, however, this relationship can be disturbed by a range of patient, disease and methodology related factors, e.g., underlying tissue/skin characteristics, fluid balance, end-expiratory lung volume, sweat, electrode type and configuration. Low amplitude despite considerable patient effort could therefore be caused by





**Fig. 3** Summary of preprocessing steps for cardiac artefact removal (A) and RMS envelopes for the different preprocessing methods (B)

various confounding factors in signal acquisition, and high amplitude does not necessarily indicate strong muscle activity when artifacts are remaining. Considering the multitude of patient-dependent factors which moreover vary over time, absolute amplitudes will be most comparable within the same patient across a short and stable recording (approximately 30 min). Amplitude comparability over longer recordings or between patients is highly uncertain. To improve robustness to noise, it may be beneficial to determine the breath-wise amplitude using, e.g., the 95th and 5th percentiles, as opposed to the maximum and minimum values. For reference, typically encountered diaphragmatic sEMG amplitudes range between 1 and 10  $\mu\text{V}$  [41–43].

Normalization of sEMG offers means to improve sEMG amplitude interpretability [44] and comparability. Normalization is preferred to a maximal voluntary inspiratory maneuver [45], e.g., by performing an inspiratory capacity maneuver [46] or sniff maneuver [47]. Although maximum inspiratory effort provides a measure of relative muscle activation, maximum-effort inspiratory maneuvers can be challenging to perform. Alternatively, the sEMG signal can be normalized to an EMG signal at a specific ventilatory support level [11]. Normalization to the maximum amplitude over a given measurement could be used to assess relative changes in muscle activation within a recording, e.g., following ventilator adjustments, or after changes of resistances during inspiratory muscle training.

Alternatively, as an estimate of the neural respiratory drive, the area under the inspiratory waveform (i.e., sEMG-time product [42, 48]) reflects the intensity of muscle activation. This measure is less sensitive to remaining artifacts than instantaneous sEMG amplitudes. Nonetheless, it is highly dependent on sEMG onset and offset definitions, and whether the baseline is included in its computation. Moreover, its comparability between patients and over long-term recordings suffers from the same challenges as other amplitude measures.

#### Estimation of force generation

Without proper normalization, the above parameters do not reflect force or pressure generation of the muscle. To estimate breathing effort (e.g.,  $P_{\text{mus}}$ ) from sEMG measurements, a conversion factor can be derived from patient-specific measurements. Current methods assume a linear relationship between  $P_{\text{mus}}$  and sEMG:

$$P_{\text{mus}} = k \times \text{sEMG},$$

with  $k$  the conversion factor and sEMG the peak amplitude of the signal. This conversion factor, also referred to as the neuromechanical efficiency (NME) index, is determined from simultaneously obtained pneumatic measurements [41, 42, 48–50]. This can be done during specific maneuvers, such as end-expiratory occlusions [41, 50], in which case a correction factor of 0.7 or 0.8 is needed as the diaphragm is more efficient during isometric contractions as compared to tidal breathing [41,

**Table 3** Summary of sEMG parameters and their applications and limitations

Key parameter	Definition/calculation	Potential application/benefits	Notes & limitations
<i>Magnitude of muscle activity</i>			
Amplitude	Difference between maximum and minimum value during one breath (either including or excluding the baseline) Using the 95th and 5th percentile to calculate this difference may be more robust	Assessing changes in absolute magnitude of muscle activity within a single recording	Low amplitude does not imply low muscle activity and vice versa Only comparable within short-time recordings Does not enable between-patient or between-recording comparisons
Amplitude normalized to maximum breathing effort	Amplitude divided by maximum amplitude obtained during maximum inspiratory maneuver	Assessing changes in <i>relative</i> muscle activity Improves sEMG amplitude interpretability	Maximum inspiratory maneuvers could be challenging to perform in critically ill patients and multiple repetitions are required Maximum amplitude should be re-obtained for a new recording
Amplitude normalized to maximum amplitude within recording	Amplitude divided by maximum amplitude obtained over a given measurement (without ensuring maximum effort)	Assessing changes in <i>relative</i> muscle activity within a patient during a recording Improves sEMG amplitude interpretability	Maximum amplitude should be re-obtained for a new recording It does not enable between-patient comparisons or within-patient comparisons across multiple recordings
EMG-time product	Area under the sEMG envelope, per breath or per time unit	Less sensitive to remaining artifacts than computing breathwise sEMG amplitudes	Dependent on whether the baseline is included in computation Affected by sEMG onset and offset definitions
<i>Estimation of mechanical output</i>			
Estimated breathing effort	$P_{mus} = k \times sEMG$ , with conversion factor $k$ obtained from patient-specific measures (end-expiratory occlusion or model-based)	Translates muscle activity to mechanical output	$k$ needs to be re-evaluated for a new recording Assumes a linear relationship between muscle activity and output
<i>Timing of muscle activity</i>			
Time-to-peak	Time from onset to peak sEMG	Suggested to reflect respiratory drive	Onset/offset is not binary; no clear definition exists (see text for approaches) Increase in sEMG activity may not be linear Unclear comparability between patients
Duration of muscle activity	Time from sEMG onset to offset	Informs about the duration of muscle activation	Onset/offset is not binary; no clear definition exists (see text for approaches)
Phase angle	Phase lag between sEMG onset (or offset) and start (or end) of ventilator pressurization Phase lag between onset (or offset) of multiple sEMG signals	Assessing patient-ventilator interaction Assessing activation patterns (different muscles)	Onset/offset of sEMG is not binary; no clear definition exists (see text for approaches)
Absolute time delay	Time delay (in ms) between sEMG onset (or offset) and start (or end) of ventilator pressurization Time delay (in ms) between onset (or offset) of multiple sEMG signals	Assessing patient-ventilator interaction Assessing activation patterns (different muscles)	Onset/offset of sEMG is not binary; no clear definition exists (see text for approaches) Does not correct for duration of activity/pressurization such as with phase angle

**Table 3** (continued)

Key parameter	Definition/calculation	Potential application/benefits	Notes & limitations
<p><i>Fatigue</i> Fatigue onset</p>	<p>Various metrics have been described: Shift in mean (or median) frequency High/low frequency ratio (<math>H/L</math> ratio, with <math>H = 150\text{--}350</math> Hz and <math>L = 20\text{--}46.7</math> Hz) Spectral moments ratio of order five (SMR5) and fuzzy approximate entropy (fApEn)</p>	<p>May inform about diaphragm fatigue before a decrease in pressure-generating capacity occurs</p>	<p>No data and cutoff values exist Challenging to compute reliably in respiratory sEMG due to low SNR</p>

42]. Newer model-based approaches use the equation of motion to determine the conversion factor during tidal breathing and computationally derive Pmus [48]. The latter study [48], demonstrated high reliability and accuracy for estimation of Pmus, even for recordings with low sEMG quality, but this should be confirmed in an external cohort of critically ill patients.

Despite these promising studies, there are several methodological considerations with respect to using the NME as a conversion factor between sEMG and Pmus. First, Pmus is the result of the summed effect of all respiratory muscles, and thereby, NME does not resemble a physiologically meaningful efficiency measure of a single respiratory muscle. Second, NME depends on many factors related to its measurement, including thickness of the subcutaneous fat layer, electrode impedance, electrode placement, and signal pre- and postprocessing. Therefore, an sEMG-derived NME is only stable over a short period of time. Third, the relationship between force and EMG is not linear at the extremes of lung volumes considering the diaphragm force-length relationship and its geometry and is influenced by the inverse relationship between force and velocity of muscle contraction [51, 52].

#### Timing of muscle activity

Analysis of onset and offset of muscle activity can inform about muscle activation patterns [53] or could quantify patient-ventilator interaction when synchronized pneumatic signals are available [54]. No clear definitions for sEMG onset and offset are available as muscle activation is not a binary process. (Semi-)automated quantification could involve, e.g., a change in the slope of the sEMG envelope signal, reaching a pre-defined threshold, or the crossing of specific thresholds on pneumatic signals [11, 22, 55–59]. Manual detection has also been reported [56, 59–61] but is cumbersome and time-consuming. End of inspiration as defined by a drop to 70% of the peak envelope sEMG is often used [56–58, 62], as well as its return to baseline. The latter may however be hard to determine in clinical practice. The relative timing of any sEMG signal relative to pneumatic data should always be interpreted with caution, since delays and morphological changes could be introduced in any step from data acquisition to envelope extraction of either data type. Future work should focus on developing and validating robust and standardized algorithms for automated detection of timing parameters.

The timing coordination as computed by the phase angle (or absolute time-delay) between sEMG and ventilator pressurization on- and offset has been used to quantify the timing of sEMG onset relative to flow [22, 63] and also various patient-ventilator asynchronies, such

as ineffective efforts or reversed triggering [64]. It could also inform about the activation patterns of different respiratory muscles [11]. Assessing the changes in relative timing between the diaphragm and accessory muscles could be an interesting future approach, considering that patients likely shift their respiratory drive to accessory muscles before neuromuscular fatigue of the diaphragm is strongly manifested [5, 21].

#### Fatigue assessment

Theoretically, changes in the conversion factor of sEMG to Pmus over time may be indicative of changes in the diaphragm's force-generating capacity and occurrence of fatigue. However, the short-term stability of this factor  $k$  may hamper such reliable interpretation. EMG-based approaches have been described to quantify diaphragm fatigue in healthy subjects [65, 66], but limited data exist on the relevance and reliability of these measurements for the respiratory muscles in critically patients. Electrical signs of diaphragm fatigue were reported in 1989, using invasively measured EMG in patients meeting the usual criteria for weaning failure [67]. More recently, spectral changes in invasively measured diaphragm EMG were reported during inspiratory loading [68]. Complex time-domain methods have been proposed for peripheral muscles based on wavelets and entropy [69] or in-depth analysis of spectral densities [70]. Their potential applicability to diaphragmatic sEMG signals has been demonstrated in a simulation study [71], but needs clinical investigation. Importantly, whether fatigue assessment is relevant for patients in the ICU or chronic ventilation setting is at present unclear.

#### Applications in research and clinic

Respiratory sEMG has been applied in many clinical situations (Table 4). Neural respiratory drive has been shown to be correlated with dyspnea sensation [72–74], respiratory loading [2, 75, 76], clinical deterioration/exacerbations [77], recovery from exacerbations [4] and even mortality [78]. With increased loading, activation patterns of the diaphragm and accessory respiratory muscles change [11, 76, 79, 80]. Parasternal and scalene activity has been shown to serve as surrogate for general respiratory activity in absence of diaphragm recordings, and high respiratory load changes the correlation between diaphragm and parasternal activity in both adult and pediatric patients [11, 81].

#### Monitoring and prognostic applications

sEMG is an attractive tool to monitor respiratory muscle activation in various diseases. Disease progression and respiratory exacerbations deleteriously affect patients' symptoms and health-related quality of life and

**Table 4** Clinical applications

Goal	Setting	Use	References
<i>Investigate mechanisms</i>			
Investigate mechanisms of respiratory muscle activation	Research	For example: Muscle activation during coughing Respiratory muscle activity in health and disease Respiratory muscle activation during inspiratory loading	[2, 73, 108–115]
Investigate mechanisms of breathlessness	Research	Breathlessness in COPD, during exercise	[72, 76, 116, 117]
<i>Monitoring disease</i>			
Diagnostic/Monitor disease severity	ICU/RCU/ward/home	Monitor respiratory muscle activity in pre-school children with airway symptoms	[118, 119]
Predict change in clinical condition	ICU/RCU/ward/home	Monitor respiratory muscle activity to detect recovery and deterioration, need for intervention, post-discharge outcomes	[4, 77, 85]
Predict prognosis	Home	Predict long-term outcomes following AECOPD	[78]
Response to intervention			
Titrate inspiratory muscle training	ICU/RCU/ward/home	Quantify respiratory muscle activation in response to different modalities and resistances	[53, 87, 120]
Response to other interventions	ICU/RCU/ward/home	For example: Response intermittent hypoxia to improve motor plasticity in ALS Response to an arithmetic task in asthmatic children Response of upper airway muscles to non-invasive ventilation	[121–123]
<i>To optimize mechanical ventilation</i>			
Titrate mechanical ventilation	ICU/RCU/ward/home	Quantification of inspiratory effort and contribution of the different respiratory muscles in order to define the optimal level of support Detect patient-ventilator asynchrony	[3, 11, 49, 76, 81, 124]
Facilitate weaning from mechanical ventilation	ICU/RCU	Monitor respiratory muscle activity to detect SBT failure	[6]

are associated with increased healthcare costs [82–84]. sEMG has been applied during severe COPD exacerbations, with inpatient changes predictive of clinician-defined deterioration, safe discharge without 28-day readmission, and post-discharge recovery and survival [4, 77, 78]. Although patients are limited by being connected to wires potentially impairing their mobilization, sEMG is non-invasive and patient friendly. It can be performed without any patient effort and is an attractive tool to monitor patients in whom monitoring based on effort dependent tests is less suitable or impossible. sEMG has been applied in the inpatient pediatric setting, where voluntary lung function testing is challenging, and correlates with clinical asthma scores [85].

#### Monitoring during inspiratory muscle training

Inspiratory muscle training (IMT) aims to improve respiratory muscle strength and endurance. Performing sEMG measurements during IMT allows for monitoring of respiratory muscle activation in response to different modalities and resistances [86], potentially enabling clinicians to tailor training characteristics to optimize its

results [87] with sEMG parameters as outcome measure [88, 89]. For example, sEMG measurements during exercise have been shown to reflect changes in respiratory muscles activation after IMT that were also associated with reduction in dyspnea sensation [90, 91].

#### Optimization of mechanical ventilation

##### *In the intensive care unit*

Monitoring respiratory muscle activity with sEMG in mechanically ventilated critically ill patients has the potential to provide valuable information for clinicians. In this paragraph, we elaborate on clinical applications that are currently studied, although its clinical utility should be corroborated in larger clinical trials. First, sEMG may be used to titrate the level of support during assisted mechanical ventilation. Multiple studies have shown that accessory muscle activation, as a potential sign of high loading, is correlated with the level of pressure support [11, 92]. Second, sEMG may be used to detect patient-ventilator asynchrony [74, 93]. Third, sEMG of the accessory respiratory muscles may help to assess the response to a spontaneous breathing trial

(SBT). Near maximum activation of sternocleidomastoid shortly after the start of the SBT was found in patients with SBT failure and associated with impaired diaphragm activity [94]. Furthermore, expiratory muscle activation was detected in the late phase of the SBT [6]; this can be considered as a compensatory mechanism in the presence of an imbalance between load and capacity of the respiratory muscle pump [18].

### Home mechanical ventilation

Home mechanical ventilation (HMV) has been shown to improve symptoms, health-related quality of life and admission-free survival in COPD [95–97], obesity hypoventilation syndromes [98] and neuromuscular disease such as amyotrophic lateral sclerosis (ALS) [99, 100]. Although synchronized interaction between the patient and ventilator is known to influence comfort, breathlessness, and sleep quality [101, 102], patient-ventilator asynchrony is common in HMV without adversely impacting upon effective gas exchange [3]. Settings are titrated to optimize gas exchange and patient comfort [103]. However, a proportion of patients does not acclimatize to the ventilator or experience problems when their underlying diseases progresses, leading to suboptimal adherence and therapy efficacy [104]. The utility of sEMG to monitor muscle activation can inform the clinician about patient-ventilator interaction and could provide additional information as compared to inspecting ventilator waveforms and respiratory inductance plethysmography solely [3, 105].

### Future perspectives

Respiratory sEMG provides insight in the electrical activation of the respiratory muscle pump, similar to how the ECG reflects cardiac function, and can be used to support clinical assessment and decision making in a range of settings and patient cohorts. Although the many potential applications, implementation of sEMG remains challenging. To facilitate its widespread use into routine clinical practice, standardized acquisition and presentation of respiratory sEMG outcomes are of utmost importance; the need for accuracy and transparency in reporting of the applied hardware and software methods should not be underestimated, and researchers should be stimulated to publish their precise method and code. Further development of open-source and transparent software for analysis and interpretation, as for example ReSurfEMG [106], is encouraged. Clinicians should be aware that respiratory sEMG is dynamic and that guidance on how to best indicate the stability and reliability of the recordings needs to be further developed. This will also allow clinicians to study and understand the best parameters and cutoff values that indicate important clinical changes.

The clinical applications of sEMG are numerous, yet further standardization of the technology will enable and stimulate its routine use.

### Conclusion

Monitoring of patients with respiratory difficulties provides information that may facilitate early intervention, prevent deterioration and (ICU) hospitalization. Respiratory sEMG is a noninvasive tool for respiratory monitoring, but widespread implementation is hindered by practical challenges and pitfalls for acquisition, pre- and postprocessing. This paper outlines important clinical and technological considerations and provides best-practice recommendations for different uses, from acute critical care to the home setting. This is key for further development and implementation of respiratory sEMG.

### Supplementary Information

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**Additional file 1.** AF1\_Background\_expert\_group. A comprehensive overview of the background, clinical field and academic degree of the expert group

**Additional file 2.** AF2\_Comparison\_envelope. A visual overview multiple methods for envelope calculation

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### Declarations

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