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Long, Xiaoyan; Weissing, Franz J.

DOI: 10.1101/2020.10.18.344218

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Document Version Early version, also known as pre-print

Publication date: 2020

Link to publication in University of Groningen/UMCG research database

Citation for published version (APA): Long, X., & Weissing, F. J. (2020). *Individual variation in parental care drives divergence of sex roles.* BioRxiv. https://doi.org/10.1101/2020.10.18.344218

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1 Individual variation in parental care drives divergence of sex roles

- 2 Xiaoyan Long¹ and Franz J. Weissing^{1*}
- 3 ¹Groningen Institute for Evolutionary Life Sciences, University of Groningen, Groningen, The
- 4 Netherlands

5 *email: <u>x.long@rug.nl</u> and <u>f.j.weissing@rug.nl</u>

6

7 Abstract

In many animal species, parents provide care for their offspring, but the parental roles of the two 8 9 sexes differ considerably between and within species. Here, we use an individual-based simulation 10 approach to investigate the evolutionary emergence and stability of parental roles. Our conclusions 11 are in striking contrast to the results of analytical models. In the absence of initial differences between 12 the sexes, our simulations do not predict the evolution of egalitarian care, but either female-biased or 13 male-biased care. When the sexes differ in their pre-mating investment, the sex with the highest 14 investment tends to evolve a higher level of parental care; this outcome does not depend on non-15 random mating or uncertainty of paternity. If parental investment evolves jointly with sexual selection strategies, evolution results in either the combination of female-biased care and female choosiness or 16 17 in male-biased care and the absence of female preferences. The simulations suggest that the parental care pattern drives sexual selection, and not vice versa. Finally, our model reveals that a population 18 19 can rapidly switch from one type of equilibrium to another one, suggesting that parental sex roles are 20 evolutionarily labile. By combining simulation results with fitness calculations, we argue that all these 21 results are caused by the emergence of individual variation in parental care strategies, a factor that 22 was hitherto largely neglected in sex-role evolution theory.

23

24 Introduction

25 In the animal kingdom, species differ remarkably in the way and degree female and male parents are involved in parental care^{1,2}. In virtually all mammals, most of the care is provided by females^{1,3}, while 26 27 in birds biparental care (with a certain bias towards females) is the most prevalent pattern^{1,4}. Teleost fishes exhibit a broad variety of care patterns, with male-biased care being the rule rather than the 28 exception^{1,5}. Even within species, parental care patterns can be highly diverse⁶. For example, in 29 30 Eurasian penduline tits (*Remiz pendulinus*) female-only care and male-only care co-occur in the same population⁷, while in Chinese penduline tits (*Remiz consobrinus*) female-only care, male-only care, and 31 biparental care all coexist⁸. Moreover, phylogenetic studies suggest that parental care patterns are 32 highly dynamic in that transitions between patterns occur frequently^{9,10,11}. 33

34 The explanations that have been proposed for sex differences in parental roles often initiated heated 35 debates in the literature. One debate centres around the role of anisogamy (the difference in gamete size between males and females). Robert Trivers¹² argued that anisogamy explains the fact that in 36 many taxa females tend to invest more in post-zygotic parental care than males. According to Trivers, 37 38 the female parent has a strategic disadvantage with respect to the male parent: because the mother 39 has made a large initial investment in the ovum, she has more to lose when deserting the clutch than the father. Some authors pointed out a flaw in Trivers' argument: optimal decision-making should not 40 41 be based on past investments, but rather on future costs and benefits¹³. While agreeing with this 42 critique, other authors pointed out that Trivers' prediction can be revived when taking other factors into account, such as female choosiness or uncertainty of paternity^{14,15}. This viewpoint is, in turn, hotly 43 debated¹⁶⁻¹⁹. Another debate in the literature is on whether and how the relative abundance of males 44 and females drives parental sex roles²⁰. A popular theory predicts that the 'operational sex ratio' (the 45 ratio of males to females among those individuals participating in mating) should play a decisive role, 46 47 because the sex that is overrepresented on the mating market (and hence has fewer mating 48 opportunities) should be predestined for taking on the parental care tasks²¹. More recently, attention 49 has shifted to the 'adult sex ratio' (the ratio of males to females in the overall adult population) as a predictor of sex differences in parental sex roles^{22,23}. Last, but not least, there is debate in the literature 50 51 on the role of sexual selection in determining parental sex roles^{12,14,24}. All these debates are intricate 52 in themselves; moreover, they are interwoven, because initial investments, sex ratios, and sexual

53 selection are mutually dependent¹².

In a situation like this, where the outcome of evolution is determined by the intricate interplay of 54 55 mutually dependent factors, verbal theories can easily lead astray. As a major step forward, Kokko and Jennions²⁵ developed a comprehensive modelling framework, allowing to disentangle the role of 56 57 the various factors involved in the evolution of parental sex roles. In a first step, male and female 58 fitness functions are calculated, based on a scheme describing the interactions of the sexes in a 59 population. These functions are then analysed mathematically (see Methods), allowing to predict how 60 sex differences in life history parameters, biased sex ratios, multiple mating, and sexual selection 61 affect the evolution of parental sex roles. However, this analytical approach has its limitations. First, the calculations are not trivial and error-prone. Indeed, Fromhage and Jennions²⁶ pointed out mistakes 62 63 and erroneous conclusions in the study of Kokko and Jennions²⁵. Second, to keep the model 64 analytically tractable, the factors involved have to be stripped to their bare-bone essentials. For 65 example, the dynamic process of sexual selection is reduced to a set of fixed parameters that cannot 66 coevolve with the parental strategies. Third, the analytical approach focuses on the evolution of 67 population means and thereby neglects intra-population variation around the mean. In other words, populations are considered monomorphic, while it has recently become clear that in natural 68 populations individuals differ systematically in all kinds of behavioural tendencies^{27,28,29}, including 69 parental behaviour^{30,31,32}. Various studies have shown that such variation is often shaped by 70 diversifying selection^{33,34}, and that it can have important evolutionary implications^{35,36}. 71

For these reasons, we here consider an extended version of the modelling framework of Kokko and
 Jennions²⁵, and we study the evolution of parental roles by means of individual-based simulations³⁷.

74 This approach has the advantage that more natural assumptions can be made concerning the inclusion

- of sexual selection or factors such as sex differences in pre-mating investment. Moreover, individual
- variation emerges in a natural way, making it possible to study its evolutionary implications³⁷.

77 In a nutshell, our model (see Methods) follows individual males and females from birth to death. After 78 maturation, adult individuals can be in one of two states: the mating state and the caring state. 79 Individuals seek mating opportunities in the mating state; once mated both members of the mated 80 pair switch to the caring state. Each individual provides care for a time period corresponding to its 81 inherited sex-specific parental care strategy and switches back to the mating state afterwards. The 82 total amount of care provided by both parents determines the survival probability of the offspring in 83 the clutch. The offspring inherit the care strategies from their parents (according to Mendelian 84 inheritance and subject to rare mutations of small effect size). Parental care strategies have to strike 85 a balance between caring as efficiently as possible and mating as often as possible. Both caring and 86 mating are costly, since individuals can die in any state, with a mortality rate that depends on their 87 state and sex. Strategies that perform well are transmitted to a large number of offspring, thereby 88 increasing in relative frequency in the population. Over the generations, an evolutionary equilibrium 89 emerges during the simulation; fitness calculations are not required for this. As explained below, the 90 model can easily be extended to include sexual selection and sex-differences in pre-mating investment.

91 Although the model is very similar in spirit to the analytical models mentioned above, we show that

- 92 the evolutionary outcome is remarkably different from that reported in the earlier studies of parental
- 93 sex-role evolution.

94 Results

95 Sex-biased care evolves in the absence of sex differences. First, we consider the baseline scenario where mating is at random and the sexes do not differ in their mortality rates or other life-history 96 parameters (Fig. 1). Based on their analytical model, Kokko and Jennions²⁵ predicted the evolution of 97 egalitarian biparental care for this scenario. Correcting a mistake in the fitness calculations, Fromhage 98 99 and Jennions²⁶ showed that instead the analytical model predicts convergence to a line of equilibria. 100 If we apply the selection gradient method of refs 25 and 26 (see Supplementary Fig. 5 - 7) to our 101 slightly modified model, we arrive at the same conclusion (Fig. 1a): the care effort of females and 102 males converges to an equilibrium; there is a continuum of equilibria, which are located on a curve 103 that includes a broad spectrum of parental care patterns. In other words, depending on the initial 104 conditions all types of care strategy, from female-only care via egalitarian biparental care to male-only 105 care, can evolve.

In contrast to these analytical predictions, our individual-based simulations never resulted in 106 egalitarian care or a line (or curve) of equilibria. Instead, all our simulations (>5,000, for different 107 108 parameter values and different initial conditions) converged to one of two stable equilibria 109 corresponding to either strongly female-biased care or strongly male-biased care. Initial conditions 110 with sex-biased care tended to converge to the corresponding sex-biased equilibrium, while initial 111 conditions without sex-bias converged to each of the two equilibria with equal probability (Fig. 1b). 112 Fig. 1c and 1d show the time trajectories of two replicate simulations starting at a high level of 113 egalitarian care. In a first phase, both populations follow the analytical prediction and converge to a



Fig. 1 | **Evolution of sex-biased parental roles in the absence of sexual selection.** The graphs depict evolutionary trajectories when mating is at random and males and females do not differ in mortality rates or other life-history parameters. (a) For this scenario, the selection gradient method predicts convergence to a curve of equilibria (solid black line). (b) In contrast, individual-based simulations converge in a characteristic manner to one of two equilibria (black dots) corresponding to either strongly female-biased care or strongly male-biased care. Replicate simulations starting with egalitarian care levels will converge, with equal probability, to (c) the female-care equilibrium or (d) the male-care equilibrium. Differently coloured lines in (b) indicate different initial conditions. The red and blue lines in (c) and (d) depict the average levels of female care and male care in the evolving population. The dotted line in (b) corresponds to those care levels where the sum of female and male care is equal to D = 20, the value of total care maximizing the marginal benefits of care in our model (see Methods). Population sizes fluctuated around 2,000 females and 2,000 males.

114 low level of egalitarian care. Then strongly sex-biased care evolves, along the curve of equilibria of the 115 analytical model. Both stable equilibria have the property that the total care provided by the two 116 parents equals D = 20, the value maximizing the marginal benefit of care in our model (see Methods).

117 The evolution of sex-biased parental roles is driven by individual variation. Fig. 2 shows in more detail how sex-biased care evolves from egalitarian care. In the simulation shown, the population was 118 initialized at the same care level (20) for males and females. Hence, initially the sum of the parental 119 120 care levels exceeds the value D = 20 that, for the parameters chosen, maximizes the marginal benefits 121 of care. Accordingly, there is strong selection in both sexes to reduce the level of care. In the first 800 122 generations, the care level in males and females rapidly declines until a value of 5 is reached in both 123 sexes (Fig. 2a,b), in line with the predictions of the selection gradient approach (see Fig. 1a). At this care level, the mortality of offspring is very high and additional care would provide a considerable 124



Fig. 2 | **Sex role divergence driven by individual variation in parental roles.** Evolution of **(a)** female and **(b)** male care for the simulation in Fig. 1c. Lines show the average care level of females (red) and males (blue) in the population, while dots represent individual care levels. **(c)** For five different generations, the histograms show the distribution of care levels in females (red) and males (blue). The fitness profiles above the histograms indicate in each case the expected lifetime reproductive success of females and males with care strategies ranging from 0 to 20 in the corresponding population.

- 125 benefit. Yet, the parents are caught in a cooperation dilemma: both are interested in the survival of
- their offspring, but each parent is better off if most of the care is provided by the other parent^{12,38,39}
- 127 To understand the further course of evolution, we first considered the simplified version of the model
- 128 where parental care is constrained to be egalitarian (i.e., individuals cannot determine their care
- 129 duration dependent on their sex). In this egalitarian model, a care level of 5 for both parents
- 130 corresponds to an 'evolutionary branching point'⁴⁰ (see Supplementary Fig. 2): at such a point,

131 directional selection changes into disruptive selection, where extreme strategies have the highest 132 fitness. This is confirmed by the U-shaped fitness profile and the emerging bimodal distribution of care 133 levels in both sexes in generation 900 (see Fig. 2c). The process continues, and in generation 950 there 134 are two types of females and two types of males: one type not caring at all and the other type caring 135 at a level around 10. In the egalitarian version of the model, the process would continue until part of 136 the population would not care at all while the other part would care at level D = 20. Such a population is not very efficient, because many matings would result in either no care at all or a very high care 137 138 level of 40. When individuals can make their care strategy dependent on their sex (or any other phenotypic marker), there is an escape route⁴¹: one of the two 'branches' becomes associated with 139 140 the female sex, while the other becomes associated with the male sex. In the simulation in Fig. 2, the 141 high-care strategy becomes associated with the female sex and the no-care strategy becomes associated with the male (the opposite happened in 50% of the simulations): in generation 1400, the 142 143 no-care strategy has almost disappeared in females and selection is directional in males (in favour of 144 the no-care strategy). In the end (generation 1600), directional selection keeps the care level low in 145 males, while stabilizing selection keeps the care level just below 20 in females. Without exception, the 146 same sequence of events (with similar timing) was observed in hundreds of simulations starting with 147 similar care levels in the two sexes.

Anisogamy affects the evolution of parental sex roles even in the absence of sexual selection. In 148 149 most taxa females tend to invest more in post-zygotic parental care than males¹⁻⁴. Since females are, by definition, the sex producing larger gametes, it is plausible to assume that anisogamy plays an 150 important role in the evolution of parental sex roles^{18,26}. Trivers' argument that the sex with the 151 highest pre-mating investment is predestined to invest more in post-zygotic care because it has 'more 152 to lose' is generally considered to be flawed¹³, but various authors pointed out other causal links from 153 154 anisogamy to female-biased care, via secondary effects of anisogamy, such as higher competition 155 among males or a lower certainty of parentage in males^{14,15}. To investigate the role of pre-mating 156 investment, we extended our model by introducing a pre-mating period for one of the sexes. After 157 any parental care period, an individual of that sex has to spend a fixed number of days with other 158 activities (like growing a new clutch of eggs in females or building a new nest in males) before entering the mating phase again. Mating is still assumed to be at random, and there are no other differences 159 160 between the sexes.

Fig. 3 shows, for two mortality levels in the pre-mating period, that the sex with the higher pre-mating 161 162 investment tends to evolve a higher degree of post-zygotic parental care. This trend is very 163 pronounced (white curve) if the mortality in the pre-mating period is five times as high as in the mating period, but it is also noticeable when the pre-mating period does not involve direct fitness costs, 164 165 because the mortality level is zero (black curve). Hence, we clearly observe a 'Trivers effect' in the absence of sexual selection and multiple matings. We think that this outcome results from the 166 167 interplay of two factors. First, a longer pre-mating period leads to a shorter life expectancy, which shifts the balance between current and future reproduction toward a higher investment in the current 168 clutch^{42,43}. Second, the sex with the shorter pre-mating period has a higher variance in mating success, 169



Fig. 3 | A pre-mating investment bias selects for parental sex roles. Percentage of simulations resulting in male-biased care (left axis) or female-based care (right axis) depending on the duration of the pre-mating period in either males (blue) or females (red). Mortality in the pre-mating period was either zero (black dots and black line fitted by logistic model) or five times as high as in the mating phase (white dots and white line fitted by logistic model). 100 replicate simulations were run per setting, all starting from egalitarian care. All of these 2,200 simulations resulted either in female-biased care or male-biased care. In case of a female pre-mating period, female-biased care was the more likely outcome, while male-biased care evolved more often when males had a pre-mating period.

- 170 which selects for higher mating effort and reduced parental care⁴⁴. The first factor does not play a role
- 171 when there is no mortality in the pre-mating period (because in that case life expectancy is not
- affected). Fig. 3 (black dots and line) demonstrates that even in that case the second factor, which was
- 173 first predicted by Sutherland⁴⁴, has a noticeable effect on the evolutionary outcome. In other words,
- 174 Trivers was right, but for different reasons than he envisaged. Additional implications of anisogamy,
- such as paternity uncertainty or intrinsically more intense competition among males are not requiredbut will most probably enhance the Trivers effect.
- Parental sex roles can be evolutionarily labile. Up to now, all simulations converged to one of two 177 178 alternative equilibria that correspond to either male-biased or female-biased care. As shown in Fig. 4, 179 rapid switches from one equilibrium to the other were regularly observed on a long-term perspective. 180 In fact, such switches *always* occurred in situations with alternative stable equilibria, provided that 181 the simulations were run for a sufficiently long time period. Accordingly, our simulations suggest that parental roles can be evolutionarily labile. This is in line with phylogenetic studies, which also conclude 182 183 that parental care patterns are highly dynamic and that, on a long-term perspective, transitions between different care patterns have occurred frequently in many animal taxa^{9,10,11}. 184



Figure 4 | **Evolutionary lability of parental sex roles.** Whenever simulations were run for extended periods of time, transitions occurred between the two stable equilibria. In other words, long periods of male- or female-biased care were followed by rapid switches to a situation where most of the care was provided by the other sex. Here, this is shown for a long-term simulation of the random-mating scenario in Fig. 1, but with a one-day pre-mating period in both sexes.

- In a stochastic dynamical system with alternative stable states, spontaneous transitions from one state 185 to the other are not really surprising⁴⁵. They occur, for example, in ecological systems^{46,47}, in the 186 climate system⁴⁸, and in physical systems⁴⁹ (think of the spontaneous reversal of polarity in magnets⁵⁰). 187 The average time between switches depends on the degree of stochasticity and the strength of 188 189 attraction, which in our case corresponds to population size and the steepness of the selection 190 gradients. Decreasing the population size by relaxing density dependence did indeed lead to much 191 faster transitions between states (see Supplementary Fig. 3). The same happened when we weakened 192 selection by prolonging the pre-mating period in one or both sexes (as in Fig. 4).
- 193 Biparental synergy can lead to fluctuating polymorphism or inefficient biparental care. In contrast 194 to the simulations reported above, egalitarian biparental care occurs in many bird and fish species, and in other animal taxa¹⁻⁴. A potential reason is that in natural populations the parents complement 195 each other, thereby providing more benefits to their offspring than the sum of their individual 196 contributions⁵¹. Division of labour or other sources of synergy among the parents could reduce sexual 197 conflict about who should do the caring and strongly select for biparental care^{52,53}. Here we introduce 198 parental synergy in our model in line with earlier modelling studies^{26,52}: we assume that the care levels 199 200 T_f and T_m of the two parents provide a benefit $T_f + T_m + \sigma T_f T_m$ to their offspring, where the degree of synergy σ is a positive parameter (In the additive model considered until now, $\sigma = 0$). In the 201 analytical model of Fromhage and Jennions²⁶, the introduction of a small degree of synergy 202 transformed their curve of equilibria (Supplementary Fig. 5) into a single stable equilibrium 203 204 corresponding to egalitarian biparental care.

Fig. 5 shows that this prediction is only partly confirmed by individual-based simulations. When synergy is weak ($\sigma = 0.05$, Fig. 5a), the population does not converge to an equilibrium. Instead, the average care level in both sexes (top panel of Fig. 5a) exhibits large fluctuations, corresponding to



Figure 5 | **Evolution of parental roles when biparental care has a synergistic effect.** Representative simulations for the case that the effects of the parents on offspring survival are not additive but synergistic. (a) In case of weak synergy ($\sigma = 0.05$), evolution leads to a rapid succession of male-and female biased care. For long periods of time, one or both sexes are highly polymorphic, with a no-care strategy coexisting with a high-care strategy. (b) In case of intermediate synergy ($\sigma = 0.20$), evolution leads to egalitarian care equilibrium. However, diverse care strategies coexist in both sexes. Total care $T_f + T_m + \sigma T_f T_m$ is considerably smaller than D = 20, the value maximizing the marginal benefit of care in our model. (c) In case of strong synergy ($\sigma = 2.0$), the evolving egalitarian-care equilibrium exhibits relatively little variation and total care now matches D = 20.

208 rapid transitions between female-biased and male-biased care. Moreover, both sexes are polymorphic 209 most of the time: a considerable fraction of individuals does not care at all, while others provide a high 210 level of care. In case of an intermediate degree of synergy ($\sigma = 0.20$, Fig. 5b), the population 211 converges to egalitarian care, although both the male and the female population remain highly 212 polymorphic. Notice that the average care level (top panel of Fig. 5b) in both sexes is about $T_f = T_m = 5$ and, hence, very low. Taking synergy into account, this investment results in a total care level of about 213 214 $5+5+0.2\cdot 25=15$. This is considerably less than in the additive model without synergy (Fig. 1b), 215 where in both non-egalitarian equilibria the total care level is equal to D = 20, the value maximizing 216 the marginal benefits of parental care. Apparently, the introduction of synergism does not allow the 217 parents to escape from the cooperation dilemma by the evolution of either male-biased or female-218 biased care. Instead, the conflict between the sexes continues, resulting in a broad spectrum of care 219 strategies and an outcome that is, regarding offspring survival, quite inefficient. This conclusion only changes for a high degree of synergy ($\sigma = 2.0$, Fig. 5c): now the population converges to an egalitarian care level satisfying $T_f + T_m + \sigma T_f T_m = D$.

222 Joint evolution of mating and parental strategies. Mating and parental care strategies are closely 223 interrelated, but the causal relationships between the two types of strategy are difficult to disentangle. Mathematical models incorporating both factors tend to be analytically intractable and can only be 224 solved by iteration methods⁵². Many models on the evolution of parental roles therefore represent 225 mating patterns by a parameter that cannot change in time^{25,26}. It is a clear advantage of individual-226 based simulation models that various scenarios for the joint evolution of mating and parental care 227 strategies can be implemented in a natural way. To demonstrate this, we extended the baseline 228 229 version of the model by allowing female preferences and male ornaments to evolve alongside with 230 the parental strategies. We restrict ourselves to a simple model of sexual selection, leaving the analysis 231 of more complicated scenarios (e.g., mutual mate choice, differences in parental ability, conditiondependent mating and parental strategies) to a future attempt. In our Fisherian model⁵⁴, female 232 233 preferences and male ornaments are characterized by heritable parameters p and s, respectively. 234 When female preferences are zero, all males have the same probability of being chosen and mating



Figure 6 | **Joint evolution of mating and parental strategies. (a)** If parental care strategies evolve alongside with the evolution of female preferences for a costly male ornament, all simulations result in one of two alternative equilibria. **(b)** One equilibrium is characterized by male-biased care, the absence of female preferences, and a small degree of male ornamentation. **(c)** The other equilibrium is characterized by female-biased care, strong female preferences, and a high degree of male ornamentation. In this simulation, there was no pre-mating period and no parental synergy.

235 occurs at random. When female preferences are above zero, males with large ornaments are 236 preferred. Male ornamentation is costly in that it negatively affects male survival. Female choosiness 237 is costly, because choosy females may take a longer time before they find a mate. Fig. 6 shows some 238 representative simulations, all starting with random mating (p = s = 0) but with different initial levels 239 of parental care. All simulations converge to one of two equilibria (with equal probability) that are 240 characterized by either male-biased care or female-biased care. Whenever male-biased care evolved (Fig. 6b), female preferences stayed at a very low level, corresponding to random mating. Whenever 241 242 female-biased care evolved (Fig. 6c), female preferences for male ornaments evolved as well, together 243 with elaborate male ornamentation. In all simulations leading to female-biased care, female 244 choosiness only got off the ground after female care levels had reached relatively high levels.

245 Also these two types of equilibrium do not persist forever. As shown in Supplementary Fig. 4, each 246 equilibrium defines the dominant sex role pattern for long periods of time (many thousands of 247 generations), followed by a rapid switch to the other type of equilibrium. These transitions proceed in 248 both directions. We investigated many of these transitions, and in all cases the parental strategy 249 changed first (either from male-biased care to female-biased care, or vice versa), followed by the 250 emergence or disappearance of female choosiness and male ornamentation. From this we tacitly 251 conclude that, at least for the mating strategies considered in our simple model, the causal 252 relationship goes from parental sex roles to mating roles, and not the other way around.

253 Discussion

254 Here we investigated an individual-based simulation implementation of a modelling framework²⁵ that 255 may be viewed as the cornerstone of sex-role evolution theory. Although we made very similar assumptions as the analytical models, we arrived at remarkably different conclusions than the earlier 256 mathematical analyses. First, the populations in our 'null model' (random mating, no sex differences 257 in life-history parameters) do not evolve to egalitarian care²⁵ or to a line (or curve) of equilibria²⁶ but 258 259 rather to one of two stable equilibria corresponding to strongly male-biased or strongly female-biased 260 care, respectively. Second, our simulations suggest that even a small sex difference in pre-mating investment (like anisogamy) can induce the 'Trivers effect'¹² that the sex with the highest pre-mating 261 262 investment is predestined for doing most of the post-mating parental care. This does not depend on 263 factors as sexual selection or uncertainty of paternity, which can be expected to strengthen the Trivers 264 effect. Third, parental synergy does not necessarily lead to egalitarian care. Even if it does, the 265 evolutionary outcome is not necessarily efficient: in the presence of synergy the parents can be kept in a parental cooperation dilemma that in the absence of synergy is resolved by parental specialisation. 266 267 Fourth, our simulations reveal that, as in the analytical models^{25,26}sexual selection can lead to a situation where males are highly competitive on the mating market, while females provide most of 268 269 the parental care. However, this is not the only outcome: there is a second equilibrium (that is equally 270 likely) where males do most of the caring while the evolution of female choosiness is suppressed. Our 271 simulations provide evidence that, in our model, the parental care pattern drives sexual selection and 272 not the other way around¹². Lastly, our simulations suggest that (parental and mating) sex roles are 273 evolutionarily labile. For most of the parameters considered, the model has two stable equilibria.

Whenever this is the case, a simulation attains one of these equilibria for a long but limited period of time, followed by a rapid transition to the other equilibrium. Hence, male-biased care can switch to female-biased care, and *vice versa*. Similarly, a population can rapidly switch from a state of female choosiness, male competitiveness, and female-biased care to a state of male-biased care in the absence of choosiness and competiveness. These transitions occur for the same parameter settings; in contrast to other models (e.g. ref 55) they are not necessarily induced by a change in environmental conditions.

281 Why do our simulations lead to contrasting conclusions from the earlier analyses of very similar models? We think that our results highlight three limitations of analytical approaches that are mainly 282 based on fitness considerations. As shown by Kokko & Jennions²⁵ and Fromhage & Jennions²⁶ the 283 analysis of selection differentials and selection gradients can be very informative: they clearly indicate 284 285 the effects of strategic parameters (like parental effort) on life history parameters (like own survival 286 and offspring survival), thus quantifying the trade-offs between fitness components. However, 287 selection-gradient based plots like Fig. 1a should not be over-interpreted, because it is not self-evident that evolution by natural selection proceeds in the direction of the selection gradient (the direction of 288 289 steepest ascent of the fitness landscape). This only happens under restrictive assumptions, such as 290 weak selection⁵⁶, simple interactions across loci⁵⁷, uncorrelated mutations of similar effect sizes⁵⁸, and 291 a simple structure of the genetic variance-covariance matrix⁵⁹. A comparison of Fig. 1a and 1b shows 292 that the gradient method predicts the simulation trajectories reasonably well when the fitness 293 gradient is steep, but that it fails to detect directional selection away from egalitarian care when the curve of equilibria is approached (where the fitness gradient is close to zero). One could argue that 294 295 the discrepancy between Fig. 1a and 1b is not too surprising, because a curve of equilibria, as predicted by the analytical model, is structurally unstable⁶⁰ meaning that it will disappear if the model is slightly 296 297 changes. However, we observed similar discrepancies in the parental synergy scenario where the 298 gradient method predicts a structurally stable pattern of egalitarian care while the simulation model 299 predicts the coexistence of two stable equilibria corresponding to either strongly male-biased or 300 strongly female-biased care.

301 A second limitation of selection gradient methods is their focus on population averages. Averages 302 have only a clear biological meaning if variation around them is small and symmetrically distributed⁶¹. 303 In recent years, it is becoming increasingly clear that in the behavioural domain this assumption is not satisfied: in virtually all animals studied, individuals differ strongly and systematically in all kinds of 304 behavioural tendencies^{27,28,29} (including parental^{30,31,32} and mating behaviour^{62,63}), exhibiting so-called 305 'animal personalities'⁶⁴. Fig. 2 and 5a show that such individual variation in parental strategies, within 306 and between the sexes, is also to be expected in the evolution of sex roles; in fact, it is shaped by 307 natural selection (Supplementary Fig. 2). It has been argued before^{35,36} that such 'patterned' variation 308 can strongly affect the course and outcome of evolution. This is clearly exemplified by our model, 309 310 where the emergence of a bimodal distribution of care strategies is, in virtually all our simulations, the 311 first step toward the evolution of sex role specialisation. The take-home message is that 'selection 312 gradient dynamics' have to be interpreted with care if the emergence of individual variation is to be 313 expected.

A third limitation of selection gradient approaches is their difficulty to include stochasticity. This is exemplified by our simulations including a pre-mating period (Fig. 3), where a rather subtle effect – the higher variance in mating success in the sex with the shorter pre-mating period, even in case of random mating – has a strong effect on the evolutionary outcome, providing a new underpinning for the Trivers effect.

319 At present, individual-based simulations are not yet very popular in evolutionary studies, presumably 320 because of the belief that they do not add much to the evolutionary theory toolbox. Our study 321 demonstrates that such simulations can be a useful check of analytical results, in particular in cases 322 where the complexity of the evolutionary dynamics necessitates the usage of 'short-cut' methods (such as the selection-gradient method). On top of this, individual-based simulations have other 323 324 advantages. They are easy to implement, without the necessity of performing complicated fitness 325 calculations. For example, the fact that in the simulations each offspring has one mother and one 326 father automatically guarantees that the 'Fisher condition' (that total reproductive success of all 327 females is equal to the total reproductive success of all males) is satisfied, while the incorporation of this constraint in analytical models is not obvious^{14,25,65,66}. Stochasticity, spatial structure, and 328 329 environmental variation can easily be included in simulation models, in a variety of ways. The life cycle 330 of the individuals can be much more intricate (and realistic) than in analytical models. Perhaps most 331 importantly, individual interactions can be implemented in a natural way³⁷. We have demonstrated how the evolution of mate choice can be included in the model, instead of representing sexual 332 333 selection by constant parameters. This is relevant, because mating strategies and parental strategies must be allowed to evolve side by side in order to study evolutionary feedbacks between them. We 334 335 are aware that our model of sexual selection is quite simple, but it is straightforward to include 'good genes' and 'direct benefits' variants^{67,68}, as well as condition-dependent preferences⁶⁹ and 336 ornaments⁷⁰. 337

338 We do not plead for replacing analytical methods by simulations. Simulations have the big disadvantage that their outcome can easily be 'as complicated as reality', thereby not furthering our 339 340 understanding and sharpening our intuition. Instead, we recommend a pluralistic approach⁷¹ where analytical insights are checked and expanded by individual-based simulations, while the simulation 341 outcomes are scrutinized with the help of analytical tools (such as the pairwise invasibility plots in 342 Supplementary Fig. 2 and 7). The hope is to achieve a deeper understanding by a combination of 343 diverse methods, in the spirit of Richard Levins' insight⁷² (in our own wording): every model is a lie – 344 345 all we can hope for is to approach truth by the intersection of independent lies.

346 Methods

Model structure. In line with the models of Kokko and Jennions²⁵ and Fromhage and Jennions²⁶, we consider a population with overlapping generations and discrete time structure. To be concrete, we assume that a time unit corresponds to one day. The population consist of females and males that, on each day, can be in one of the following states: juvenile, pre-mating, mating, or caring. In each of the four states, there is a fixed mortality rate, which can be sex-specific. Unless stated otherwise, all mortalities were set to 0.001 day⁻¹. Therefore, the expected lifespan of an individual is 1000 days, a value that we consider a proxy for generation time. Offspring mortality is density dependent, thus
 ensuring a limited population size. In our baseline scenario, population size fluctuates around 2000
 females and 2000 males.

The life cycle of our model organisms is illustrated in Supplementary Fig. 1. Offspring that survive the 356 357 period of parental care spend a fixed number of days (the maturation time) in the juvenile state. In all simulations reported, the maturation time of both sexes was equal to 20 days. After maturation, the 358 359 surviving individuals enter the pre-mating state, corresponding to a condition where they prepare for mating (e.g. territory establishment; nest building; replenishment of gametes). After a fixed sex-360 361 specific number of days, the pre-mating state changes into the mating state. Unless stated otherwise, the pre-mating period was set to zero, meaning that individuals move to the mating state without 362 363 delay. Once in the mating state, individuals seek for mating opportunities. In our baseline scenario, 364 females and males mate at random, but we also consider a mate-choice scenario where females have 365 a preference for certain male ornaments. On a given day, mating is modelled as follows: one by one, a female in the mating state is selected at random. As long as there are still males in the mating state, 366 the female encounters one of these males at random. In the random mating scenario, such an 367 encounter always results in mating; in the mate-choice scenario, the male can be rejected if its 368 ornamentation does not fit to the preference of the female (see below). When mating does occur, 369 370 both the male and the female immediately leave the mating state and both enter the caring state. 371 When a female-male encounter does not result in mating, both individuals stay in the mating state, 372 but they are no longer available for mating on that day. Hence each individual in the mating state can 373 only have one encounter per day, and a female and a male both lose one day if their encounter does 374 not result in mating. Mating will stop for the day when no more males in mating state are available and/or when all females in mating state have made their mating decisions. All remaining individuals 375 376 stay in the mating state, but they will only have a new mating opportunity on the following day.

377 Once a mating has occurred, the mated couple produces a clutch of offspring. Offspring survival 378 strongly depends on the amount of parental care received. The female care duration T_f and the male care duration T_m are heritable traits that may differ between individuals. The evolution of T_f and T_m 379 380 is the core subject of our study. We interpret T_f and T_m as the 'intended' cared duration: if one of the 381 parents dies during the care period, this intended care duration is replaced by the actual care duration (the time from mating to death). To consider the possibility of synergy between the two parents, we 382 assume that their total parental effort is given by $T_{tot} = T_f + T_m + \sigma T_f T_m$ where the 'synergy' parameter 383 σ is non-negative. Unless stated otherwise, we assume that σ = 0 , meaning that each parent has an 384 independent additive effect on total care. Offspring survival is proportional to $S(T_{tot}) = T_{tot}^2 / (T_{tot}^2 + D^2)$, 385 386 an increasing sigmoidal function of total parental care. The parameter D may be viewed as a measure of the care demand of offspring: the function S has a turning point at $T_{tot} = D$, implying that the 387 388 marginal benefits of care are maximal when the total parental effort matches D. Throughout, we 389 consider the case D = 20, i.e. the offspring demand the equivalent of 20 days of care. When the care period T_f (resp. T_m) has passed, the corresponding parent changes into the pre-mating state. When 390 391 the longest-caring parent stops caring, the surviving offspring enter the infant state. As mentioned above, population size is regulated in our model by assuming that offspring survival is density 392

dependent: it is given by $S(T_{tot})/(1+\gamma N)$, where N is the current population size and the parameter γ quantifies the degree of density dependence. This form of density regulation ensures that expected lifetime reproductive success (the fitness measure used by analytical approaches; see below) does indeed predict the course and outcome of evolution⁷³. Our choice $\gamma = 0.003$ ensured relatively large populations (about 2000 females and 2000 males) with limited genetic drift and demographic stochasticity.

At the start of a new day, the survival of each individual was checked according to the individual's sexand state-specific mortality. Non-survivors were removed from the population.

- 401 Sexual selection. In part of our study, we consider a mate-choice scenario where females can evolve a preference p for a male trait of size s, where p and s are both heritable traits. In line with Kokko and 402 Johnstone⁵², we assume that the probability that a female with preference p that encounters a male 403 with trait size *s* will actually mate with this male is given by the logistic expression 404 $(1+\kappa \exp(\alpha(p-s)))^{-1}$. For all non-negative values of p, this expression increases with s (hence all 405 females have a preference for males with larger ornament sizes), and the rate of increase is positively 406 407 related to p (hence females with a large value of p discriminate more strongly against males with a 408 small trait size). The parameters κ and α are scaling factors that affect the intensity of sexual 409 selection. The mate-choice simulations shown are all based on the parameter values $\kappa = 0.02$ and $\alpha = 2$. For these parameters, an 'unattractive' male with s = 0 is accepted for mating with probability 410 411 0.98 by a female with a preference value p=0 (hence, p=0 is almost undistinguishable from 412 random mating) and with probability 0.48 by a female with preference value p=2. We assume that male ornamentation is costly: each time step, the survival probability of a male with trait size s is 413 reduced by a percentage βs^2 , where we chose $\beta = 10^{-6}$. 414
- Reproduction and inheritance. For simplicity, we consider a population of haploid individuals that 415 416 may differ in their alleles at four gene loci. The T_f -locus and the p-locus are only expressed in females, 417 and the T_m -locus and the s-locus are only expressed in males. The alleles at the T_f -locus and the 418 T_m -locus determine the duration of maternal and paternal care, respectively. The allele at the p-locus determines the degree of female preference, while the allele at the s-locus determines the size of the 419 420 male trait. In our baseline scenario (random mating), the *p*-allele and the s-allele are not expressed. Offspring inherit their alleles from their parents' subject to mutation. In a first step, the allele at each 421 422 locus is drawn at random from one of its parents. Moreover, offspring sex is determined at random, 423 with equal probability. In a second step, mutations could occur with probability $\mu = 0.005$ per locus. If a mutation occurs at the T_f -locus or the T_m -locus, the current allele is either increased or decreased 424 by 1, with equal probability. This ensures that the parental care times T_f and T_m are natural numbers. 425 426 If a mutation occurs at one of the other two loci, a small mutational step of size ε was drawn from a 427 Cauchy distribution (with location parameter 0 and scale parameter 0.01) and added to the current value of p or s, respectively. We used the Cauchy distribution (rather than a normal distribution) 428 429 because it allows for occasional larger step sizes. However, we limited mutational step sizes to a maximum value of $\mathcal{E}_{max} = 0.05$. 430

431 **Initialization and replication.** In all simulations, the p- and the s-locus were initialized at p = s = 0. The T_f -locus and the T_m -locus were initialized at different values (leading to the different trajectories in 432 433 Fig. 1b and 6a); each time, we started with a monomorphic population. For each parameter 434 combination, we ran at least 100 replicate simulations. In all cases, the outcome was highly repeatable, allowing us to focus on one or two replicates. As partly documented in the Supplement, we also ran 435 436 numerous simulations for model variants that differed from the baseline model in its parameter values 437 (state- and sex-specific mortalities; offspring demand D; cost of ornamentation β ; density 438 dependence γ ; mutation rate μ), the survival function $S(T_{tot})$, the mate choice function, or the distribution of mutational step sizes. In all cases, we arrived at the same conclusions as reported in 439 440 the manuscript. We therefore conclude that our results and conclusions are guite robust.

441 Mathematical analysis. As a standard of comparison for our individual-based simulations, Fig. 1a shows the trajectories of the corresponding deterministic model, making use of the fitness gradient 442 method described in Kokko and Jennions²⁵ and Fromhage and Jennions²⁶. In a nutshell, this method 443 calculates the selection gradient (indicating the strength and direction of selection) in males and 444 445 females for each combination of parental care parameters (T_t, T_m) . This gradient points into the direction of steepest ascend of the fitness landscape, where fitness is defined by expected lifetime 446 447 reproductive success. Under the assumption that evolution will proceed in the direction of the 448 selection gradient, evolutionary trajectories as in Fig. 1a are obtained. Our model is inspired by the model of Kokko and Jennions²⁵ and Fromhage and Jennions²⁶, but it differs from the former models in 449 450 various respects. In the Supplement, we discuss these differences and demonstrate that our main results are also recovered for the earlier models, again indicating the robustness of our results and 451 conclusions. 452

453 Data availability

454 This study is theoretical; no new empirical data were generated.

455 Code availability

456 The C++ simulation code and a Mathematica file with an implementation of the fitness gradient 457 method are available for download from https://github.com/xiaoyanlong/evolution-of-sex-roles.

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595 Acknowledgements

We thank G.S. van Doorn, R. Scherrer, J. Komdeur, T. Székely and the MARM group at the University
of Groningen for valuable discussion, comments, and suggestions. We are grateful to L. Fromhage for
sharing technical details of the fitness gradient method and some computational resources with us.
We appreciate H. Hildenbrandt and M. Mosna helped with our programming. We would like to thank

- 600 the Center for Information Technology of the University of Groningen for their support and for 601 providing access to the Peregrine high performance computing cluster. X.L. is supported by a PhD
- fellowship of the Chinese Scholarship Council (NO. 201606380125). F.J.W. acknowledges funding from
- 603 the European Research Council (ERC Advanced Grant No. 789240).

604 Author contributions

605 Both authors conceived the study and developed the model. X.L. performed the computational work 606 and analysed the data. Both authors interpreted the results and wrote the manuscript.

607 **Competing interests**

608 The authors declare no competing interests.