

THE LONDON SCHOOL OF ECONOMICS AND POLITICAL SCIENCE

LSE Research Online

Thijs van den Broek and Pearl A. Dykstra Residential care and care to communitydwelling parents: out-selection, in-selection and diffusion of responsibility

Article (Accepted version) (Refereed)

Original citation:

Van Den Broek, Thij and Dykstra, Pearl A. (2016) *Residential care and care to communitydwelling parents: out-selection, in-selection and diffusion of responsibility.* Ageing and Society. ISSN 0144-686X

DOI: <u>10.1017/S0144686X16000519</u>

© 2016 Cambridge University Press

This version available at: http://eprints.lse.ac.uk/68107/

Available in LSE Research Online: October 2016

LSE has developed LSE Research Online so that users may access research output of the School. Copyright © and Moral Rights for the papers on this site are retained by the individual authors and/or other copyright owners. Users may download and/or print one copy of any article(s) in LSE Research Online to facilitate their private study or for non-commercial research. You may not engage in further distribution of the material or use it for any profit-making activities or any commercial gain. You may freely distribute the URL (http://eprints.lse.ac.uk) of the LSE Research Online website.

This document is the author's final accepted version of the journal article. There may be differences between this version and the published version. You are advised to consult the publisher's version if you wish to cite from it.

http://eprints.lse.ac.uk

Residential care and care to community-dwelling parents: Out-selection, in-selection and diffusion of responsibility

Thijs van den Broek¹ & Pearl A. Dykstra²

¹ London School of Economics and Political Science, Department of Social Policy, Houghton Street, London WC2A 2AE, United Kingdom, e-mail: m.p.van-den-broek@lse.ac.uk

² Erasmus University Rotterdam, Department of Public Administration and Sociology, P.O. Box 1738,
3000 DR Rotterdam, The Netherlands, e-mail: dykstra@fsw.eur.nl

Published as: Van den Broek, T., & Dykstra, P. A. (2016). Residential care and care to communitydwelling parents: Out-selection, in-selection and diffusion of responsibility. *Ageing and Society, Published online before print*. doi: 10.1017/S0144686X16000519

Abstract

Research suggests that adult children are less likely to provide care to community-dwelling parents when beds in residential care settings are more widely available. The underlying mechanisms are not well understood. Drawing on data from the Survey of Health, Aging and Retirement in Europe (SHARE) on 1,214 impaired parent-child dyads from 12 countries, we find that adult children are less likely to provide care in where countries beds in residential care settings are more widely available, because (1) parents' care needs are less severe in such countries (*out-selection hypothesis*) and (2) adult children and impaired parents are less likely to share a household in such countries (*in-selection hypothesis*). Finally (3), after taking these two factors into account, adult children remain less likely to provide care in countries where beds in residential care settings are more widely available (*diffusion of responsibility hypothesis*). Plausibly, being able to rely on residential care undermines adult children's sense of urgency to step in and provide care to their parents.

Key words: crowding out; substitution; long-term care; informal care; intergenerational solidarity; family caregiving

Introduction

Population ageing and the associated greater need for long-term care imply a challenge for policy makers to balance safeguarding financial sustainability and providing adequate long-term care for those in need. In many countries, part of the solution to this puzzle is sought in caring for impaired elderly in the community rather than in residential care settings (Pavolini and Ranci 2008; Rostgaard 2002; Rostgaard 2011), and, related to this, in maintaining or activating informal caregiving resources (Le Bihan and Martin 2012; Österle and Rothgang 2010). Particularly family members are increasingly perceived as important potential caregivers (Grootegoed, Duyvendak and Van Barneveld 2015; Österle and Rothgang 2010; Pavolini and Ranci 2008).

In the current study, we explore the relationship between the availability of beds in residential care settings and the provision of care by adult children to impaired community-dwelling parents. When available, spouses are impaired persons' preferred source for care (Litwak 1985; Messeri, Silverstein and Litwak 1993; Stoller and Earl 1983). However, due to widowhood, divorce or never having been married, many older adults cannot fall back on a spouse when they are confronted with declines in functional capacities. Given that marital instability in European countries as well as in the United States has been increasing (Amato and James 2010), the presence of a spouse when care needs occur is even less self-evident for future generations. Therefore, the role of adult children – the other main source of family care (Dykstra 2015) – is likely to become even more central than it is today. Given the primacy of spousal care over intergenerational caregiving when spouses are present, we focus on intergenerational caregiving to community-dwelling parents lacking a spouse or partner.

Many scholars have explored the way the care that adult children provide to parents is related to formal care services. In the bulk of this work, the focus is on formal home care services. Recent research suggests, however, that the availability of beds in residential care settings also has an impact on intergenerational caregiving to impaired community-dwelling older adults. Pickard (2012) noted a decline in intense care provision to older parents in England by coresident adult children between

1985 and 1990, which she attributed to the risen numbers of people aged 80 and over in residential care. She also showed that between 1995 and 2000, when residential care became less widely available, the numbers of people aged 80 and over receiving intense care from coresident children began to rise again. A similar finding was reported by Ulmanen and Szebehely (2015), who showed that care provision by independently living adult children and friends to community-dwelling impaired older Swedes increased considerably in the first decade of 21st century. The authors attributed the change to the dramatically declining coverage of residential care in Sweden over the same period.

The mechanisms underlying the negative association between the availability of residential care and the provision of care to community-dwelling older parents by their adult children have thus far not been explicated and tested. Pickard (2012) and Ulmanen and Szebehely (2015) have suggested that this negative association may in part be mediated by the levels of care needs among community-dwelling parents. Consistent with this idea, Haberkern and Szydlik's (2010) cross-national analysis of intergenerational care provision in Europe showed a negative association between the availability of residential care and care provision from adult children to their parents that was no longer statistically significant in a multivariate model which controlled for many characteristics of the parent and the adult child, including the parent's physical limitations. The studies summed up here, while providing valuable suggestions for a potential explanation of the negative association between the availability of residential care and intergenerational caregiving to community-dwelling older parents, do not provide a direct test of the supposed underlying mechanism. Furthermore, additional theoretical explanations can be developed and tested. The current study is a first attempt to do so. We use data from the Survey of Health, Aging and Retirement in Europe enriched with country level information from the

How does the availability of beds in residential care settings shape adult children's provision of care to community-dwelling impaired parents lacking a spouse or partner?

Theoretical background and hypotheses

In the current study, we follow Walker, Pratt and Eddy's (1995) conceptualization of care. In their view, one can only speak of care when the receiving individual is dependent on another person for any activity essential for daily living, such as dressing, bathing and getting in and out of bed (cf. Haberkern and Szydlik 2010). Emotional support and practical help, for instance with regard to household tasks or paperwork, thus do not fall within the definition of care (cf. Brandt, Haberkern and Szydlik 2009). We use the term residential care settings for non-domestic residential or institutional settings where care services for older adults are provided (cf. Howe, Jones and Tilse 2013).

As Pickard (2012) pointed out, most scholarly work on the relation between formal and informal care has focused on formal home care. The substitution model (Greene 1983) holds that informal care provision to a person in need is lower when this person receives formal home care. Other scholars have argued that the formal home care and informal care complement, rather than substitute, each other. Complementarity can come either in the form of task specific division of labor (Litwak 1985; Messeri, Silverstein and Litwak 1993; cf. Brandt, Haberkern and Szydlik 2009) or by formal home care professionals and informal caregivers sharing similar care tasks (Chappell and Blandford 1991). In the former theoretical model, the provision of formal home care enables a division of labor, with formal caregivers taking on demanding care tasks for which they received professional training, e.g. nursing and personal care, allowing family members to focus on tasks for which they are best equipped, e.g. practical help and emotional support. In the latter theoretical model, there is a positive association between formal care and family care, because family members are more inclined to provide care to a relative when burdens are lightened due to the sharing of the overall care load with formal caregivers.

The substitution thesis and the models of complementarity suppose a relationship between actual receipt of formal care services and support from informal caregivers. Given that community-dwelling impaired older adults are by definition not in residential care settings, none of the models briefly described here helps to explain why family caregiving to community-dwelling older adults is less common when beds in residential care settings are more widely available. To better understand the

association between the availability of beds in residential care settings and adult children's provision of care to community-dwelling impaired parents, new theoretical mechanisms need to be developed and tested. Drawing on the work of Pickard (2012) and Ulmanen and Szebehely (2015), we formulate an *out-selection* hypothesis. In addition, we describe two new potential mechanisms that we capture, respectively, in our *in-selection* and *diffusion of responsibility* hypotheses. A schematic overview of the three hypotheses to be tested in the current study is presented in Figure 1.

<Figure 1 somewhere here>

The availability of beds in residential care settings has an impact on who resides in the community and who does not. As described earlier, Pickard (2012) and Ulmanen and Szebehely (2015) have suggested that the negative association between the availability of residential care and the provision of care to community-dwelling older individuals by their adult children may in part be mediated by the prevalence of severe care needs among community-dwelling individuals. It is well-established that adult children are more likely to provide care to parents when the latter's care needs are more severe (Blomgren, Breeze, Koskinen and Martikainen 2012; Brandt, Haberkern and Szydlik 2009; Haberkern and Szydlik 2010; Ogg and Renaut 2006; Vlachantoni, Shaw, Evandrou and Falkingham 2015). When beds in residential care settings are relatively widely available, particularly older adults with severe needs will more often be admitted to residential care settings, and thus be selected out of the community (cf. Greene and Ondrich 1990; Grundy and Jitlal 2007). As a result, the average level of need of those remaining in the community can be expected to be lower. These considerations lead us to formulate our *out-selection* hypothesis (H1):

The impairments of community-dwelling older parents with care needs tend to be less severe in countries where beds in residential care settings are more widely available, and consequently their adult children are less likely to provide care.

The availability of beds in residential care settings may also determine the extent to which impaired older adults and their adult children select themselves into living arrangements with an optimal opportunity structure for intergenerational family caregiving. Unlike for instance emotional or

financial support, the provision of care requires the physical presence of the caregiver. It is, therefore, not surprising that geographical distance between parent and the adult child hampers the adult child's provision of care (Brandt, Haberkern and Szydlik 2009; Haberkern and Szydlik 2010; Leopold, Raab and Engelhardt 2014; Ogg and Renaut 2006). The barriers to provide care are lowest when the adult child and the parent share a household (cf. Silverstein 1995). Coresident adult children are more likely than their independently living counterparts to take on the role of caregiver (Leopold, Raab and Engelhardt 2014). When an older parent is confronted with care needs, sharing a household with an adult child may therefore be a viable strategy. Research has shown, however, that other strategies are preferred. When receiving care in one's own home is no longer possible, people in West European countries generally prefer a move to a residential care setting over moving in with an adult child (Huber et al. 2008). This preferred option is less viable in countries where beds in residential care settings are less widely available. Under those circumstances, older adults might be compelled to move in with an adult child in order to receive the care that they need (cf. Choi 2003; Silverstein 1995; Smits, Van Gaalen and Mulder 2010). This brings us to our *in-selection* hypothesis (H2):

Adult children are less likely to share a household with impaired community-dwelling parents in countries where beds in residential care settings are more widely available, and consequently they are less likely to provide care.

Finally, the availability of beds in residential care settings may have an impact on intergenerational family caregiving to community-dwelling older adults that goes beyond selection. It shapes the context in which adult children decide whether they will provide care to community-dwelling impaired parents. The bare presence of widely available beds in residential care settings may foster "social shirking" (Sagan 2004; cf. Perrow 1985) or, in social-psychological terminology: diffusion of responsibility (Darley and Latané 1968; Nadler 2012). Adult children may perceive the wide availability of beds in residential care settings as a backup system guaranteeing adequate provision of care to impaired older adults when relatives cannot or do not provide the care needed. The awareness of the presence of this safety net may undermine adult children's sense of urgency to step in and

provide care to their impaired parents (cf. Perrow 1985). This leads us to formulate a *diffusion of responsibility* hypothesis (H3):

Adult children are less likely to provide care to impaired community-dwelling parents in countries where beds in residential care settings are more widely available, even when differences in the severity of care needs and the prevalence of parent-child coresidence are accounted for.

Data

Data for our analyses were taken from the Survey of Health, Aging and Retirement in Europe (SHARE). SHARE is a longitudinal, cross-national dataset on the health, socio-economic status and social relations of European individuals of 50 and older (Börsch-Supan et al. 2008; 2013). To increase statistical power and maximize the number of countries in our sample, data from the first and second rounds were pooled.

Round 1 data were collected in 2004 and 2005 in Austria, Belgium, Denmark, France, Germany, Greece, Israel, Italy, the Netherlands, Spain, Sweden and Switzerland. Round 2 data were collected in 2006 and 2007 in the same countries, except Israel, and furthermore in the Czech Republic, Ireland and Poland. For countries that were also represented in the first round, the SHARE team focused on re-contacting round 1 respondents. However, a "refresher" sample was also drawn in all first round countries except Austria and the Flemish part of Belgium. We did not use the round 3 dataset, collected in 2008 and 2009, as it was not comparable with the prior two rounds due to its focus on life histories. We did not use round 4 and round 5 data, collected, respectively, in 2010-2011 and 2013-2014, because information about the provision of personal care was not collected.

SHARE micro-data were enriched with a country level indicator from the MULTILINKS database of comparative social policy indicators (Keck and Saraceno 2011).¹ This database offers comparative social policy indicators for 27 EU countries plus Norway, Russia and Georgia. It was created as part of the MULTILINKS research programme (Dykstra and Komter 2012).

We selected respondents who had adult children but no non-adult children, were not living with a spouse or partner and were coping with limitations performing at least one activity of daily living (ADL).² In the SHARE questionnaire, parents were asked to provide extensive information about up to four of their children. Per parent, we randomly selected one parent-child dyad observation. Respondents from Switzerland and Israel were excluded, because no country level information was available in the MULTILINKS database. Furthermore, we excluded respondents with missing values on any of the variables of our interest. Our final sample consists of 1,214 impaired parent-child dyads nested in 12 countries: Austria, Belgium, Czech Republic, Denmark, France, Germany, Greece, Ireland, Italy, the Netherlands, Spain and Sweden.

Measures

Dependent variable

Our dependent variable is a dichotomous variable indicating whether or not an adult child provided care to the impaired parent. The design of SHARE's questionnaire necessitated us to code this dummy variable separately for adult children who shared a household with the impaired parent and for those who did not. Coding for the latter category was based on questions regarding out-of-household support received by the impaired parent. Impaired parents were asked whether they received any kind of support from any family member outside the household, any friend or neighbor during the last twelve months.³ Parents who indicated that they received support from outside the household were asked to name up to three persons who gave support most often. For each mentioned person, respondents were asked whether the provided support included personal care, such as help with dressing, bathing or showering, eating, getting in and out of bed, using the toilet. We coded a non-coresident adult child as a provider of care when the impaired parent mentioned this child as out-of-household provider of assistance with personal care tasks. For coresident adult children, coding was based on questions regarding intra-household support with personal care. Impaired parents were asked whether there was someone living in their household who had helped them regularly during the last twelve months with personal care, such as washing, getting out of bed, or dressing.⁴ We coded a coresident adult child as a

provider of care when the impaired parent mentioned this child as an intra-household provider of assistance with personal care tasks.

Child characteristics

Our in-selection hypothesis supposes that parent-child coresidence mediates the negative association between the availability of beds in residential care settings and the likelihood that a given adult child will provide care. We therefore included a dummy variable that distinguished adult children who shared a household with the impaired parent from those who did not.

Drawing on Andersen and Newman's (1973; Andersen 1995) behavioral model of health services' use, we included several measures that capture predisposing and enabling factors for filial caregiving.⁵ A dummy variable was included to distinguish daughters and sons. The adult child's age was recoded into a categorical variable with three categories. Adult children younger than 45 were assigned to the first category, those aged between 45 and 59 were assigned to the second category and those of 60 years old and older were assigned to the third category.

Another dummy variable was included to capture whether or not the adult child was married. Furthermore, we created three dummy variables for the adult children's education level. Those with a lower secondary education degree or less were coded as being lower educated. Adult children with a higher secondary education or a vocational degree were coded as having an intermediate level of education. Those with a college or a university degree were coded as being higher educated. Dummy variables were also created to capture the adult child's employment status, distinguishing fulltime employment, parttime employment and not being employed. A final dummy variable was included to capture whether or not the adult child had children.

Parent characteristics

Our out-selection hypothesis supposes that the severity of the parent's care needs mediates the negative association between the availability of beds in residential care settings and the likelihood that a given adult child provides care. To capture the severity of the parent's care needs, we used the

number of limitations in performing activities of daily living (ADLs) and instrumental activities of daily living (IADLs). In the SHARE questionnaire, respondents were asked about possible difficulties performing 6 ADLs: (1) dressing, including putting on shoes and socks, (2) walking across a room, (3) bathing or showering, (4) eating, such as cutting up your food, (5) getting in and out of bed, and (6) using the toilet, including getting up or down. In addition, they could report limitations on seven IADLs: (1) using a map to figure out how to get around in a strange place, (2) preparing a hot meal, (3) shopping for groceries, (4) making telephone calls, (5) taking medication, (6) doing work around the house or garden, and (7) managing money, such as paying bills and keeping track of expenses. We performed a logarithmic transformation to adjust for the positively skewed distribution of the total number of ADL / IADL limitations.

Several parent characteristics were included because they are known predictors of intergenerational care (Blomgren, Breeze, Koskinen and Martikainen 2012; Brandt, Haberkern and Szydlik 2009; Haberkern and Szydlik 2010; Ogg and Renaut 2006). We included a dummy variable to distinguish mothers from fathers, as well as measures for the impaired parent's age and number of children. Parent's age was recoded into a categorical variable with three categories. Respondents younger than 65 were assigned to the first category, those aged between 65 and 79 were assigned to the second category and those of 80 years old and older were assigned to the third category. We included the number of children of the parent in our model, as this may be negatively related to the likelihood of a given adult child to step in and provide care (Freedman, Wolf, Soldo and Stephen 1991; Van Gaalen, Dykstra and Flap 2008). In addition, we included two dummy variables indicating whether the parent received, respectively, formal home care services and professional household help during the last twelve months.

We created three dummy variables for the impaired parent's educational attainment. Those with a lower secondary education degree or less were coded as being lower educated. Respondents reporting having a higher secondary education or a vocational degree were coded as having an intermediate level of education. Those with a college or a university degree were coded as being higher educated. An indicator for poor financial status was derived from the question of whether the respondent's

household was 'able to make ends meet'. We created a dummy variable, coding it 1 when difficulty or great difficulty to make ends meet was reported and 0 when the household was able to make ends meet easily or fairly easily. Our analyses only pertain to impaired parents not living with a spouse or partner. We included a dummy variable to distinguish those who were divorced from those who were never married, widowed or living separated from the person they were married to. A final parent level dummy variable was included to distinguish observations from the second wave from those from the first wave.

Country characteristics

To capture the availability of care beds in residential care settings at the country level, we enriched the SHARE micro-data with a country level variable indicating the share of the national population of 65 years and older in residential care. This variable was taken from the MULTILINKS database of comparative social policy indicators (Keck and Saraceno 2011).

Method

In our data, parent-child dyads are nested in countries. To account for the non-independence of parentchild dyads within countries when testing our hypotheses, we estimate multilevel logistic regression models. Given that our in-selection and out-selection hypotheses posit that the effect of the availability of beds in residential care settings on the likelihood of intergenerational care provision is mediated, we first estimate a reduced form model in which the assumed mediators are omitted. We compare the total effect of the availability of beds in residential care settings in this model with the remaining direct effect in a full model that includes the assumed mediators. We use Karlson, Holm and Breen's KHB decomposition method (Kohler, Karlson and Holm 2011) to assess whether the difference, i.e. the indirect effect, is significant and, if so, to what extent it can be attributed to each of the assumed mediators. Unlike traditional methods for mediation analysis (e.g. Sobel 1982), the KHB-method accounts for attenuation bias that can occur when comparing nonlinear models like ours.

Results

<Table 1 about here>

Table 1 provides descriptive statistics. One in nine adult children provided care to the parent, whereas one in twelve adult children shared a household with the parent. The average number of ADL / IADL limitations that parents in our sample coped with was 4.4 (on a scale from 0 to 13). The likelihood of care provision and intergenerational co-residence and the average number of ADL / IADL limitations varied markedly across countries, however. As Figure 2 illustrates, care provision and intergenerational coresidence were less likely and the average number of ADL / IADL limitations was lower in countries where beds in residential care settings were more widely available.

<Figure 2 about here>

Results of our multilevel logistic regression analyses are presented in Table 2. Model 1 is the reduced form model that does not include the mediators. We find a strong and statistically significant negative total effect of the availability of beds in residential care settings on the likelihood that an adult child provides care to an impaired parent. Keeping all other variables constant, every percentage point increase in the share of the population aged 65 and upwards living in residential care settings is associated with a 29 percent (p < .001) decline in the predicted odds for an adult child to provide care. Model 1 further predicts that the odds of providing care to a parent are a factor 3.414 (p < .001) higher for daughters than for sons. Adult children with offspring of their own are less likely than their childless counterparts to provide care (odds ratio: 0.486, p < .01). Children of parents aged between 65 and 79 (odds ratio: 3.313, p < .05) and of parents aged 80 or older (odds ratio: 3.762, p < .05) have higher odds of providing care than children of parents younger than 65. The likelihood that a given child provides care is lower when the parent has a larger number of children (odds ratio: 0.846, p < 100.05). The odds of providing care are a factor 1.711 (p < .05) higher for children of parents receiving formal home care than for children of parents who do not receive home care. None of the other parent and child characteristics included in Model 1 were significantly associated with adult children's provision of care.

<Table 2 about here>

The second model is a full model that includes the severity of parents' care needs and intergenerational coresidence. The model fit substantially improved with the addition of these two variables ($LR \chi^2(2) = 78.0, p < .001$). The model indicates that children are more likely to provide care to an impaired parent when the latter's care needs are more severe (odds ratio: 2.742, p < .001). Furthermore, the odds of providing care are a factor 7.132 (p < .001) higher for adult children who share a household with the impaired parent than for children who do not live with the parent. As expected, the effect of the availability of beds in residential care settings is smaller in the full model than in the reduced form model. In Model 2, every percentage point increase in the share of the population aged 65 and upwards living in residential care settings is only associated with a 19 percent (p < .01) decline in the predicted odds for an adult child to provide care, when all other variables are kept constant. Furthermore, the coefficient estimates of adult children having offspring of their own and parents' age and receipt of formal home care are smaller than in the reduced form model and no longer statistically significant. This suggests that the effects found in the first model can largely be explained by the level of parents' care needs and intergenerational coresidence.

In Table 3, we decomposed the effect of the availability of beds in residential care on the likelihood of intergenerational care provision. Indirect effects make up 39 percent of the total effect. Of these indirect effects, 63 percent can be attributed to the natural logarithm of the number of ADL / IADL limitations of older parents (b = -0.085; p < .001) and 37 percent to intergenerational coresidence (b = -0.049, p < .001). The former indicates that, consistent with our *out-selection* hypothesis (H1), the lower likelihood of intergenerational care provision in countries where beds in residential care settings are more widely available can partly be explained by the lower severity of care needs of impaired parents in such countries. The latter indicates that the lower likelihood of intergenerational coresidence in countries with widely available residential care also partly explains the lower likelihood of intergenerational care provision. This is consistent with our *in-selection* hypothesis (H2). Consistent with our *diffusion of responsibility* hypothesis (H3), a significant direct effect of availability of beds in

residential care settings remains after the addition of the mediating variables to the model (b = -0.210; p < .01). This direct effect makes up 61 percent of the total effect.

<Table 3 about here>

Discussion

A large body of research is devoted to the way the care that adult children provide to impaired parents is related to formal care services. In the bulk of this work, the focus is on formal home care services. Recent research suggests, however, that the availability of beds in residential care settings also has an impact on intergenerational caregiving. The underlying mechanisms are not well understood. In the current study we described and tested three explanations for the negative association between the availability of beds in residential care settings and the likelihood that a given adult child provides care to a community-dwelling parent. We labeled these three mechanisms out-selection, in-selection and diffusion of responsibility. We focused on adult children's provision of care to community-dwelling parents lacking a spouse or partner, given the primacy of spousal care over intergenerational caregiving when spouses are present.

Our analyses indicate that adult children are less likely to provide care to impaired communitydwelling unpartnered parents in countries where beds in residential care settings are more widely available, (1) because parents' care needs are less severe in such countries (*out-selection hypothesis*) and (2) because adult children and impaired parents are less likely to share a household in such countries (*in-selection hypothesis*). Finally (3), adult children remain less likely to provide care in countries where beds in residential care settings are more widely available when differences in the severity of the parent's care needs and the prevalence of parent-child coresidence are accounted for (*diffusion of responsibility hypothesis*). Plausibly, being able to rely on residential care undermines adult children's sense of urgency to step in and provide care to their parents.

Our results suggest that widely available beds in residential care settings directly and indirectly undermine the willingness of adult children to provide care to their impaired parents. It should be

noted that adult children do not tend to stop providing support to impaired parents when the latter are admitted to residential care settings. Support to parents becomes more secondary after admission, however, and consists mainly of organizing, managing, and supervising care (Ross, Carswell and Dalziel 2001).

Whether stimulating family caregiving through reduction of beds in residential care settings is desirable depends on one's normative beliefs about how care ought to be provided (cf. Greene 1983). Hochschild (1995) argues that residential care is a manifestation of a so-called cold-modern care ideal. In a cold-modern care ideal women and men focus fully on a career in paid labor, with the state enabling this by taking full responsibility for the provision of care for those in need, making family caregiving unnecessary. A recent study focusing on the Netherlands shows that the share of the Dutch population adhering to a cold-modern care ideal has increased, rather than decreased, in the first decade of the 21st century (Van den Broek, Dykstra and Van der Veen 2015). This suggests that, at least in the Netherlands, the stimulation of family caregiving through the reduction of access to residential care may be increasingly at odds with normative beliefs of the general population (see also Grootegoed et al. 2015).

Although the key focus of the current study was on the association between the availability of beds in residential care settings and adult children's provision of care to community-dwelling impaired parents, we also included measures for parental receipt of formal home care and professional household services in our model. We did so because countries where beds in residential care settings are widely available also tend to have relatively high shares of older adults receiving formal home care (Saraceno and Keck 2010). Unlike what the substitution thesis and the models of complementarity described in the introduction would lead one to expect, our analyses show neither a negative nor a positive association between parental receipt of formal home care and the likelihood that a given adult child provides care. Possibly, competing mechanisms are cancelling each other out.

It has been argued elsewhere that legal obligations to support parents in need are positively associated with intergenerational caregiving (Haberkern and Szydlik 2010). Thus, the association between the

availability of beds in residential care settings and adult children's care provision may be overestimated in our model if countries where adult children are legally obliged to support parents in need also have relatively few beds in residential care settings. For that reason, we also estimated models that included a dummy variable for the presence of legal obligations to support parents in need at the country level. Models that included this indicator instead of or in addition to our indicator for the availability of beds in residential care settings did not fit the data better than the models presented in Table 2, and the presence of legal obligations to support parents was not significantly associated with the likelihood of intergeneration care provision in any of the models.⁶ Plausibly, we did not find an effect of legal obligations, because legal obligations generally pertain to financial support of parents in need rather than to the actual provision of care.

This study has a number of limitations. Our measure of care provided by adult children was based on reports of parents, i.e. the recipients. It has to be borne in mind that recipients tend to report receiving less support than providers report giving (Mandemakers and Dykstra 2008). In addition, we have to consider the possibility that the associations between the availability of beds in residential care provision and the likelihood of intergenerational care provision may be confounded by culture. In his paper on family ties in Western Europe, Reher (1998) underlined the importance of cultural differences within Europe, with the south being characterized by "strong" family links and the northwest by relatively "weak" family links. He argued that these cultural differences are deeply rooted in the distinct histories of different European regions. In a cross-national study like ours, it is difficult to disentangle the relative impact of the cultural context and of the policy context because they are heavily intertwined (Pfau-Effinger 2005). However, recent longitudinal studies conducted in England (Pickard 2012) and Sweden (Ulmanen and Szebehely 2015) have shown that changes in the availability residential care in these countries were followed by changes in intergenerational care provision. Given that cultural factors tend to be highly resistant to change (cf. Reher 1998), these findings suggest that the effects of the availability of beds in residential care settings on adult children's provision of care to impaired community-dwelling parents as found in this study are largely exogenous.

A contextual factor that we did not take into account in the current study is the design of cash-for-care programs. Cash-for-care programs vary greatly across countries on a range of important dimensions, such as entitlement criteria, benefit levels and how the benefits can be used (Da Roit and Le Bihan 2010; Le Bihan and Martin 2012). When the use of cash benefits is limited to the purchase of services under a formal contract or labor relationship, then they may encourage the use of professionally provided care and reduce the necessity of family members to provide care (Saraceno and Keck 2011). When cash benefits can be used freely, they may stimulate the purchase of care services on the informal (often migrant) market, as has been noted in Italy, or they may foster family caregiving, as appears to be the case in Germany and Austria (Rodrigues, Huber and Lamura 2012). The latter is also the likely outcome when the allowance is paid to family caregivers rather than to care recipients (Saraceno and Keck 2011).

Finally, the extent to which residential care is available varies across regions and there are pronounced cross-national differences in the types of care that are offered in residential care settings, organizational structures (public, private non-profit, private for-profit) and the extent to which those in residential care have to contribute in the costs (Forder and Fernandez 2011; Meijer, Van Campen and Kerkstra 2000; Ribbe et al. 1997; Robertson, Gregory and Jabbal 2014; cf. Howe, Jones and Tilse 2013). Due to data limitations, we could not take these kinds of differences into account. The analyses presented here show associations between the availability of beds in residential care settings in general and adult children's provision of care to community-dwelling impaired parents. Future research is needed to provide insight in how various aspects of residential care may moderate the mechanisms underlying the negative association between the availability of beds in residential care settings and adult children's provision of care to community-dwelling impaired parents.

Notes

- 1. For more information, see http://multilinks-database.wzb.eu .
- 2. The ADLs about which respondents could report difficulties were (1) dressing, including putting on shoes and socks, (2) walking across a room, (3) bathing or showering, (4) eating,

such as cutting up your food, (5) getting in and out of bed, and (6) using the toilet, including getting up or down.

- 3. The wording of this question was different in wave 2 for respondents who were also interviewed in wave 1. These respondents were asked whether they received any kind of support from any family member outside the household, any friend or neighbor since the first interview. The period between two interviews is longer than 12 months. Therefore, respondents who were interviewed for the second time may more often report receiving care from a given adult child. We reduce this potential bias through the inclusion in our model of a dummy variable that distinguishes first and second wave observations.
- 4. The wording of this question was different in wave 2 for respondents who were also interviewed in wave 1. These respondents were asked whether there was someone living in their household who had helped them regularly with personal care, such as washing, getting out of bed, or dressing since the first interview. The period between two interviews is longer than 12 months. Therefore, respondents who were interviewed for the second time may more often report receiving care from a given adult child. We reduce this potential bias through the inclusion in our model of a dummy variable that distinguishes first and second wave observations.
- 5. Andersen and Newman's (1973; Andersen 1995) behavioral model of health services' use was initially designed to predict and explain the use of formal health care services, but it has also been applied to the provision of informal care (cf. Broese van Groenou, Glaser, Tomassini and Jacobs 2006; Willis, Glaser and Price 2010).

6. Country level information on the presence of legal obligations to support parents in need was taken from the MULTILINKS database of comparative social policy indicators (Keck and Saraceno 2011). Bayesian Information Criterion scores for the models with a legal obligations dummy instead of the availability of beds residential care settings indicator were 879.0 (reduced form model) and 803.5 (full model). Bayesian Information Criterion scores for the models that included a legal obligations dummy and the availability of beds residential care

settings indicator were 863.7 (reduced form model) and 800.0 (full model). Full results are available on request.

References

- Amato, P. R. and James, S. (2010). Divorce in Europe and the United States: Commonalities and differences across nations. *Family Science*, 1, 1, 2–13.
- Andersen, R. M. (1995). Revisiting the behavioral model and access to medical care: Does it matter? *Journal of Health and Social Behavior*, **36**, 1,1–10.
- Andersen, R. M. and Newman, J. F. (1973). Societal and individual determinants of medical care utilization in the United States. *The Milbank Quarterly*, **51**, 1, 95–124.
- Blomgren, J., Breeze, E., Koskinen, S. and Martikainen, P. (2012).Help from spouse and from children among older people with functional limitations: Comparison of England and Finland. *Ageing and Society*, **32**, 6, 905–933.
- Börsch-Supan, A., Brugiavini, A., Jürges, H., Kapteyn, A., Mackenbach, J., Siegrist, J. and Weber, G. (2008). *First results from the Survey of Health, Ageing and Retirement in Europe (2004-2007). Starting the longitudinal dimension*. Mannheim: Mannheim Research Institute for the Economics of Aging (MEA).
- Börsch-Supan, A., Brandt, M., Hunkler, C., Kneip, T., Korbmacher, J., Malter, F., Schaan, B., Stuck,
 S., Zuber, S. (2013). Data resource profile: The Survey of Health, Ageing and Retirement in
 Europe (SHARE). *International Journal of Epidemiology*, 42, 4, 992–1001.
- Brandt, M., Haberkern, K. and Szydlik, M. (2009). Intergenerational care and help in Europe. *European Sociological Review*, **25**, 5, 581–601.
- Broese van Groenou, M., Glaser, K., Tomassini, C. and Jacobs, T. (2006). Socio-economic status differences in older people's use of informal and formal help: A comparison of four European countries. *Ageing and Society*, **26**, 5, 745–766.

- Chappell, N. and Blandford, A. (1991). Informal and formal care: Exploring the complementarity. *Ageing and Society*, **11**, 3, 299–317.
- Choi, N. G. (2003). Coresidence between unmarried aging parents and their adult children. *Research* on Aging, **25**, 4, 384–404.
- Da Roit, B. and Le Bihan, B. (2010). Similar and yet so different: Cash-for-care in six European countries' long-term care policies. *Milbank Quarterly*, **88**, 3, 286–309.
- Darley, J. M. and Latané, B. (1968). Bystander intervention in emergencies: Diffusion of responsibility. *Journal of Personality and Social Psychology*, 8, 4, 377–383.
- Dykstra, P. A. (2015). Aging and social support. In Ritzer, G. (Ed.), *The Blackwell encyclopedia of sociology*. Oxford: Blackwell.
- Dykstra, P. A. and Komter, A. E. (2012). Generational interdependencies in families: The MULTILINKS research programme. *Demographic Research*, **27**, 487–506.
- Forder, J. and Fernandez, J.-L. (2011). What works abroad? Evaluating the funding of long-term care: International perspectives. Report commissioned by Bupa Care Services, PSSRU Discussion Paper 2794. Canterbury: PSSRU.
- Freedman, V. A., Wolf, D. A., Soldo, B. J. and Stephen, E. H. (1991). Intergenerational transfers: A question of perspective. *The Gerontologist*, **31**, 5, 640–647.
- Greene, V. L. (1983). Substitution between formally and informally provided care for the impaired elderly in the community. *Medical Care*, **21**, 6, 609–619.
- Greene, V. L. and Ondrich, J. I. (1990). Risk factors for nursing home admissions and exits. A discrete-time hazard function approach. *Journal of Gerontology: SOCIAL SCIENCES*, 45, 6, S250–258.

- Grootegoed, E., Duyvendak, J. W. and Van Barneveld, E. (2015). What is customary about customary care? How Dutch welfare policy defines what citizens have to consider 'normal' care at home. *Critical Social Policy*, **35**, 1, 110–131.
- Grundy, E. and Jitlal, M. (2007). Socio-demographic variations in moves to institutional care 1991– 2001: A record linkage study from England and Wales. *Age and Ageing*, **36**, 1, 424–430.
- Haberkern, K. and Szydlik, M. (2010). State care provision, societal opinion and children's care of older parents in 11 European countries. *Ageing and Society*, **30**, 2, 299–323.
- Hochschild, A. R. (1995). The culture of politics: Traditional, postmodern, cold-modern, and warmmodern ideals of care. *Social Politics*, **2**, 3, 331–346.
- Howe, A. L., Jones, A. E. and Tilse, C. (2013). What's in a name? Similarities and differences in international terms and meanings for older peoples' housing with services. *Ageing and Society*, 33, 4, 547–578.
- Huber, M., Rodrigues, R., Hoffmann, F., Gasior, K. and Marin, B. (2008). Facts and figures on longterm care. Europe and North America. Vienna: European Centre for Social Welfare Policy and Research.
- Keck, W. and Saraceno, C. (2011). MULTILINKS database on intergenerational policy indicators.Methodological report. Version 2.1. Berlin: WZB Social Science Research Center Berlin.
- Kohler, U., Karlson, K. B. and Holm, A. (2011). Comparing coefficients of nested nonlinear probability models. *Stata Journal*, **11**, 3, 420–438.
- Le Bihan, B. and Martin, C. (2012). Diversification of care policy measures supporting older people: Towards greater flexibility for carers? *European Journal on Ageing*, **9**, 2, 141–150.
- Leopold, T., Raab, M. and Engelhardt, H. (2014). The transition to parent care: Costs, commitments, and caregiver selection among children. *Journal of Marriage and Family*, **76**, 2, 300–318.

- Litwak, E. (1985). *Helping the elderly: The complementary roles of informal networks and formal systems*. New York: Guilford Press.
- Mandemakers, J. J. and Dykstra, P. A. (2008). Discrepancies in parent's and adult child's reports of support and contact. *Journal of Marriage and Family*, **70**, 2, 495–506.
- Meijer, A., Van Kampen, C. and Kerkstra, A. (2000). A comparative study of the financing, provision and quality of care in nursing homes. The approach of four European countries: Belgium, Denmark, Germany and the Netherlands. *Journal of Advanced Nursing*, **32**, 3, 554–561,
- Messeri, P., Silverstein, M. and Litwak, E. (1993). Choosing optimal support groups: A review and reformulation. *Journal of Health and Social Behavior*, **34**, 2, 122–137.
- Nadler, A. (2012). From help-giving to helping relations. Belongingness and independence in social interactions. In Deaux, K. and Snyder, M. (Eds.), *The Oxford handbook of personality and social psychology*. Oxford: Oxford University Press, pp. 394–418.
- Ogg, J. and Renaut, S. (2006). The support of parents in old age by those born during 1945–1954: A European perspective. *Ageing and Society*, **26**, 5, 723–743.
- Österle, A. and Rothgang, H. (2010). Long-term care. In Castles, F. G., Leibfried, S., Lewis, J., Obinger, H. and Pierson, C. (Eds.), *The Oxford handbook of the welfare state*. Oxford: Oxford University Press, pp. 378–390.
- Pavolini, E. and Ranci, C. (2008). Restructuring the welfare state: Reforms in long-term care in Western European countries. *Journal of European Social Policy*, **18**, 3, 246–259.

Perrow, C. B. (1984). Normal accidents: Living with high-risk technologies. New York: Basic Books.

- Pfau-Effinger, B. (2005). Culture and welfare state policies: Reflections on a complex interrelation. *Journal of Social Policy*, **34**, 1, 3–20.
- Pickard, L. (2012). Substitution between formal and informal care: A 'natural experiment' in social policy in Britain between 1985 and 2000. *Ageing and Society*, **32**, 7, 1147–1175.

- Reher, D. S. (1998). Family ties in Western Europe: Persistent contrasts. *Population and Development Review*, 24, 2, 203–234.
- Ribbe, M. W., Ljunggren, G., Steel, K., Topinkova, E., Hawes, C., Ikegami, N., Henrard, J.-C. and Jónnson, P. V. (1997). Nursing homes in 10 nations: A comparison between countries and settings. *Age and Ageing*, **26**, Supplement 2, 3–12.
- Robertson, R., Gregory, S. and Jabbal J. (2014). *The social care and health systems of nine countries*. London: The King's Fund.
- Rodrigues, R., Huber, M. and Lamura, G. (Eds.) (2012). *Facts and figures on healthy ageing and long-term care. Europe and North America.* Vienna: European Centre for Social Welfare Policy and Research.
- Ross, M. M., Carswell, A. and Dalziel, W. B. (2001). Family caregiving in long-term care facilities. *Clinical Nursing Research*, **10**, 4, 347–363.
- Rostgaard, T. (2002). Caring for children and older people in Europe. A comparison of European policies and practice. *Policy Studies*, **23**, 1, 51–68.
- Rostgaard, T. (Ed.) (2011). Livindhome Living independently at home: Reforms in home care in 9 European countries. Copenhagen: SFI Danish National Centre for Social Research.
- Sagan, S. D. (2004). Learning from normal accidents. Organization and Environment, 17, 1, 15–19.
- Saraceno, C. and Keck, W. (2010). Can we identify intergenerational policy regimes in Europe. *European Societies*, **12**, 5, 675–696.
- Saraceno, C. and Keck, W. (2011). Towards an integrated approach for the analysis of gender equity in policies supporting paid work and care responsibilities. *Demographic Research*, **25**, 371– 406.
- Silverstein, M. (1995). Stability and change in temporal distance between the elderly and their children. *Demography*, **32**, 1, 29–45.

- Smits, A., Van Gaalen, R. I., Mulder, C. H. (2010). Parent–child coresidence: Who moves in with whom and for whose needs? *Journal of Marriage and Family*, **72**, 4, 1022–1033.
- Sobel, M. E. (1982). Asymptotic confidence intervals for indirect effects in structural equation models. *Sociological Methodology*, **13**, 290–312.
- Stoller, E. P. and Earl, L. L. (1983). Help with activities of everyday life: Sources of support for the noninstitutionalized elderly. *The Gerontologist*, 23, 1, 64–70.
- Ulmanen, P. and Szebehely, M. (2015). From the state to the family or to the market? Consequences of reduced residential eldercare in Sweden. *International Journal of Social Welfare*, **24**, 1, 81–92.
- Van den Broek, T., Dykstra, P. A. and Van der Veen, R. J. (2015). Care ideals in the Netherlands: Shifts between 2002 and 2011. *Canadian Journal on Aging / La revue canadienne du viellisement*, 34, 3, 268–281.
- Van Gaalen, R. I., Dykstra, P. A. and Flap, H. (2008). Intergenerational contact beyond the dyad: The role of the sibling network. *European Journal of Ageing*, 5, 1, 19–29.
- Vlachantoni, A., Shaw, R. J., Evandrou, M. and Falkingham, J. (2015). The determinants of receiving social care in later life in England. *Ageing and Society*, **35**, 2, 321–345.
- Walker, A. J., Pratt, C. C. and Eddy, L. (1995). Informal caregiving to aging family members. A critical review. *Family Relations*, 44, 4, 402–411.
- Willis, R., Glaser, K. and Price, D. (2010). Applying the Andersen behavioural model to informal support among Britain's ethnic minorities. *Generations Review*, 20, Available online at http://www.britishgerontology.org/DB/gr-editions-2/generations-review/applying-theandersen-behavioural-model-to-informa.html [Accessed 30 May 2014].

Statement of funding

The research leading to these results has received funding from the European Union's Seventh Framework Programme (FP7/2007-2013) under grant agreement no. 320116 for the research project FamiliesAndSocieties. This paper uses data from SHARE Release 2.5.0. SHARE data collection in 2004–2007 was funded primarily by the European Commission through its 5th and 6th framework programs [QLK6-CT-2001-00360, RII-CT-2006–062193, CIT5-CT-2005–028857]. Additional funding by the US National Institute on Aging [U01 AG09740–13S2, P01 AG005842, P01 AG08291, P30 AG12815, Y1-AG-4553–01, OGHA 04–064, R21 AG025169] as well as by various national sources is gratefully acknowledged (see http://www.share-project.org for a full list of funding institutions).

Acknowledgements

We would like to thank Niels Schenk, Romke van der Veen, Gunhild Hagestad and Anne Martin-Matthews for their valuable comments on an earlier version of this paper.

Table 1.

Descriptive statistics (n = 1,214)

	Range	Mean	SD
Child characteristics:			
Provided care to parent	0/1	.112	
Shares household with parent	0/1	.085	
Female	0/1	.498	
Age			
Under 45	0/1	.308	
45 – 59	0/1	.506	
60 or older	0/1	.186	
Married	0/1	.686	
Education level:			
Low	0/1	.345	
Intermediate	0/1	.400	
High	0/1	.255	
Employment status:			
Not employed	0/1	.311	
Parttime	0/1	.058	
Fulltime	0/1	.630	
Has children	0/1	.759	
Parent characteristics:			
Number of ADL / IADL-limitations ^a	1-13	4.415	3.143
Female	0/1	.806	
Age			
Under 65	0/1	.154	
65 – 79	0/1	.358	
80 or older	0/1	.488	
Divorced	0/1	.150	
Education level:			
Low	0/1	.747	
Intermediate	0/1	.170	
High	0/1	.083	
Poor financial status	0/1	.527	
Number of children	1-9	2.591	1.491
Receives formal home care	0/1	.235	11171
Receives professional household support	0/1	.332	
Wave	V/ 1	.552	
1	0/1	.427	
2	0/1	.573	
<i>Country characteristics:</i>	V/ 1	.010	
% 65+ in residential care	1.0-8.2	5.048	2.113

Source: SHARE, MULTILINKS; ^a scores represent values before log transformation

Table 2.

	Model 1			Model 2			
	-	100011	Odds			Odds	
Independent variable	В	SE	ratio	В	SE	ratio	
Fixed part:							
Constant	-1.304	0.787	0.271	-3.878***	0.928	0.021	
Child characteristics:							
Female	1.228***	0.227	3.414	1.229***	0.240	3.419	
Age (ref.: under 45)							
45 – 59	0.580	0.325	1.785	0.628	0.356	1.873	
60 or older	0.597	0.408	1.816	0.552	0.450	1.737	
Married	-0.207	0.250	0.813	0.183	0.291	1.200	
Education level (ref.: low)	0.207	0.200	01010	01100	0.271	1.200	
Intermediate	0.111	0.234	1.117	0.391	0.258	1.478	
High	-0.545	0.307	0.580	-0.095	0.333	0.909	
Employment status (ref.: not		0.007	0.000	0.070	0.0000	01707	
employed)							
Parttime	0.010	0.426	1.010	0.084	0.459	1.088	
Fulltime	-0.403	0.234	0.669	-0.361	0.251	0.697	
Has children	-0.722**	0.278	0.486	-0.497	0.320	0.609	
Shares household with parent	0.722	0.270	0.100	1.965***	0.340	7.132	
Parent characteristics:				1.905	0.510	7.152	
Female	0.310	0.286	1.364	0.280	0.303	1.323	
Age (ref.: under 65)	0.510	0.200	1.501	0.200	0.505	1.525	
65 – 79	1.198*	0.599	3.313	0.889	0.637	2.433	
80 or older	1.325*	0.634	3.762	0.807	0.679	2.241	
Divorced	-0.357	0.034	0.700	-0.429	0.499	0.651	
Education level (ref.: low)	0.557	0.175	0.700	0.129	0.177	0.001	
Intermediate	-0.660	0.359	0.517	-0.495	0.378	0.610	
High	-0.883	0.567	0.414	-0.856	0.598	0.425	
Poor financial status	-0.360	0.214	0.698	-0.309	0.231	0.734	
Number of children	-0.168*	0.073	0.846	-0.167*	0.077	0.846	
Receives formal home care	0.537*	0.075	1.711	0.246	0.274	1.279	
Receives professional household	0.095	0.259	1.099	-0.016	0.274	0.984	
support	0.075	0.257	1.077	-0.010	0.277	0.704	
Wave 2	-0.480*	0.210	0.619	-0.488*	0.241	0.614	
ADL / IADL limitations (log)	-0.400	0.210	0.017	1.008***	0.187	2.742	
Country characteristics (level 2):				1.000	0.107	2.742	
% 65+ in residential care	-0.344***	0.067	0.709	-0.210**	0.079	0.810	
70 05+ in residential care	-0.344	0.007	0.707	-0.210	0.077	0.010	
Random part:							
σ level 2 (country)	0.121	0.263		0.246	0.195		
Log-likelihood	-348.4			-309.4			
Degrees of freedom	-348.4			-509.4			
Bayesian Information Criterion	860.1			796.3			
	000.1	10 1	0.5	190.5	0.0.1	<u> </u>	

Coefficient estimates of multilevel logistic regression models predicting intergenerational caregiving (n = 1,214)

Source: SHARE, MULTILINKS; Number of countries: 12; * p < .05, ** p < .01, *** p < .001

Table 3.

Decomposition of coefficient of availability of beds in residential care settings

			Share of total	Share of indirect
	В	SE	effect	effect
Reduced form	-0.344***	0.078	100.0%	
Full model	-0.210**	0.079	61.2%	
Δ Reduced form model – Full model	-0.134***	0.023	38.8%	100.0%
Components of difference:				
ADL / IADL limitations (log)	-0.085***	0.019	24.6%	63.2%
Intergenerational coresidence	-0.049***	0.012	14.3%	36.8%

* p < .05, ** p < .01, *** p < .001

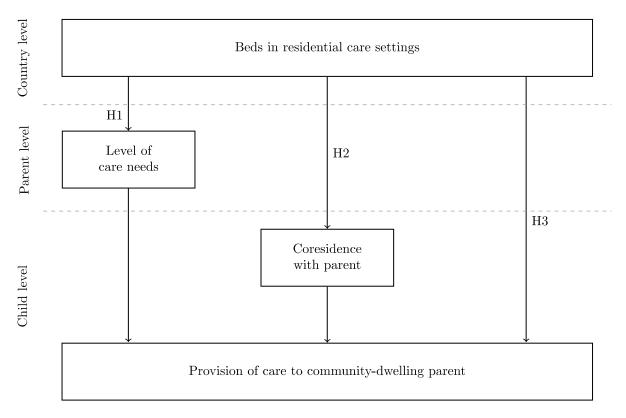


Figure 1. Conceptual model.

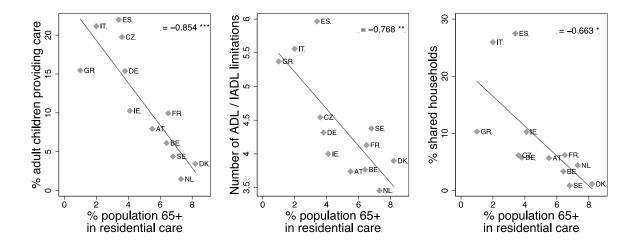


Figure 2. Intergenerational care, coresidence, severity of care needs and residential care.