1	On the origins of phenotypic parallelism in benthic and limnetic
2	stickleback
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12 Abstract

- 13 Rapid evolution of similar phenotypes in similar environments, giving rise to *in situ* parallel
- 14 adaptation, is an important hallmark of ecological speciation. However, what appears to be *in*
- *situ* adaptation can also arise by dispersal of divergent lineages from elsewhere. We test
- 16 whether two contrasting phenotypes repeatedly evolved in parallel, or have a single origin, in
- 17 an archetypal example of ecological adaptive radiation: benthic-limnetic three-spined
- 18 stickleback (Gasterosteus aculeatus) across species-pair and solitary lakes in British
- 19 Columbia. We identify two genomic clusters across freshwater populations, which differ in
- 20 benthic-limnetic divergent phenotypic traits and separate benthic from limnetic individuals in
- 21 species pair lakes. Phylogenetic reconstruction and niche evolution modelling both suggest a
- single evolutionary origin for each of these clusters. We detected strong phylogenetic signal
- 23 in benthic-limnetic divergent traits, suggesting they are ancestrally retained. Accounting for
- 24 ancestral state retention, we identify local adaptation of body armour due to the presence of
- 25 an intraguild predator, the sculpin (Cottus asper) and environmental effects of lake depth and
- 26 pH on body size. Taken together, our results imply a predominant role for retention of
- 27 ancestral characteristics in driving trait distribution, with further selection imposed on some
- 28 traits by environmental factors.

29 Introduction

Parallel occurrence of adaptive phenotypes across similar but geographically separate 30 environments has long fascinated evolutionary biologists. There are two main mechanisms 31 which can explain such a pattern. First, novel adaptive phenotypes may evolve rapidly and 32 33 repeatedly in response to new ecological opportunity i.e. parallel ecological speciation [1]. 34 Alternatively, an adaptive phenotype may arise in a single location and disperse into and or 35 persist only in suitable environments [2-4]. Although these two mechanisms result in the 36 same pattern, they reflect extremely different evolutionary histories: multiple evolutionary 37 origins of the same phenotype vs a single origin. It is therefore necessary to determine which 38 evolutionary history is responsible for apparent parallelism if we are to understand it. There are many definitions for parallel and convergent evolution [5, 6], but here we focus on 39 40 whether similar phenotypic adaptations share an ancestral genetic basis.

41 Parallel ecological speciation may involve multiple *de novo* mutations, each of which may 42 lead to a similar phenotype but by a slightly different mechanism. In this instance it is easy to 43 conclude multiple independent origins. However, evolution is not linear but often reticulated, 44 and, in many cases, parallel ecological adaptation may involve repeated reuse of long-45 standing genetic variation i.e. the same, potentially ancient mutation can be introduced to multiple independent populations via admixture [7]. In this case, parallel populations may be 46 47 young, and have multiple origins, but the mutations responsible for adaptation are shared and may be much older. This scenario is extremely difficult to differentiate from a scenario in 48 which parallel populations themselves have a single origin [8], but it is critical that we 49 attempt to do so in order to understand the underlying processes that shape evolution. 50

The benthic-limnetic axis of stickleback in British Columbia ('BC'), Canada, is an archetypal
example of ecological divergence and speciation [9-14]. It separates bottom-dwelling, benthic

53 individuals, which feed predominantly on macroinvertebrates, from pelagic fish, feeding 54 mostly on zooplankton [15-17]. These two freshwater ecotypes are characterised by heritable differences in body size, shape, trophic morphology and body armour, which confer fitness 55 56 advantages in their corresponding habitats [18-20]. In BC, stickleback occur both as 57 sympatric benthic-limnetic species pairs and solitary populations that possess phenotypes 58 along the benthic-limnetic axis [9, 21-24]. Previous work has identified patterns of 59 parallelism in adaptive genomic divergence across benthic-limnetic species pairs, but closer genetic affinity within lakes at neutral markers [21, 22, 25]. This work has tentatively led to 60 61 the conclusion that benthic and limnetic phenotypes evolved repeatedly and independently in 62 multiple lakes [21, 25, 26]. However, gene flow occurs to some extent in all benthic-limnetic species pairs [27, 28], and even low levels of gene flow quickly erode genetic differences at 63 64 neutral loci, making it impossible to separate patterns of recent in situ ecological speciation 65 from those derived from secondary contact of much older independent lineages [29]. Little investigation has so far been conducted beyond the species pairs, which coexist in only a 66 67 handful of lakes [30], but see [31]. Populations in solitary lakes have far less opportunity for gene flow and thus will likely give a more reliable estimate of the evolutionary history of 68 69 benthic and limnetic ecotypes.

70 We investigate whether benthic-limnetic divergence in BC stickleback likely has a single or 71 multiple evolutionary origins. We first characterise genomic and phenotypic divergence 72 across populations and show that all freshwater individuals fall within one of two genomic 73 clusters, one of which exhibits a more benthic phenotype, and the other, a more limnetic 74 phenotype. We construct a maximum likelihood phylogeny using a stringently filtered 75 dataset, removing all known QTL in stickleback, and test for phylogenetic signal in 76 ecologically relevant phenotypic traits. We construct a microevolutionary adaptive landscape for the BC radiation using recently available niche modelling techniques [32] to identify the 77

best fitting model of benthic-limnetic trait evolution. Finally, accounting for any phylogenetic
signal, we test for relationships between phenotype and environment to detect signals of true
ecological adaptation.

81 **Results**

- 82 We collected stickleback, and environmental parameters from 21 lakes surrounding the Strait
- of Georgia, BC (Figure 1), including two species pair lakes, two coastal locations
- 84 (representing putative marine ancestors) and 17 solitary freshwater lakes (Table S1). We
- 85 collected phenotypic data for key benthic-limnetic divergent traits (methods) for
- approximately 32 individuals (mean = 31.5, SE = 2.4) and generated RAD-seq genomic data
- [13], for approximately 16 individuals (mean = 15.9, SE = 0.9), from each lake, 333
- 88 individuals in total. This resulted in a master genomic dataset of 12,756 SNPs, which was
- subject to further filtering for some analyses (Table 1).



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Figure 1. Map of sampling locations in British Columbia. Sample sites are indicated by
circles and their associated lake ID. Black circles indicate marine populations, blue circles
indicate populations in cluster 1 of our genomic analyses and red circles, cluster 2. Red and
blue semi-circles indicate species-pair populations containing individuals from both clusters 1
and 2. The dashed line represents the border between Canada and the USA.

96 *Genomic divergence*

97 We used two methods to quantify clustering within the genomic data. Firstly, a co-ancestry

98 matrix in fineRADstructure [33] (dataset 1, 12,756 SNPs) identified two genomic clusters

- 99 across all populations (Figure 2A), one incorporating the marine populations and
- approximately half of the freshwater populations (cluster 1), and the other comprising the rest
- 101 of the freshwater lakes (cluster 2). Although marine populations formed part of cluster 1, they
- are considered separately here and in all further analyses because their presence in shallow

103	coastal areas is transient and they likely represent the ancestral phenotypic state of all
104	freshwater populations [7]. Secondly, we conducted a principal coordinate analysis (PCoA,
105	dataset 2, 9668 SNPs). The same two broad genomic clusters (1 and 2) were identified by
106	PCoA analysis, separating along PCo1 (9% of total variation, Figure 2B).
107	To further investigate the genomic properties of cluster 1 and 2 differentiation, we conducted
108	a linkage disequilibrium network analysis (LDna) using the LDna R package (dataset 1,
109	12,756 SNPs). The resulting LD network contained twelve linkage clusters, each of which is
110	likely a signature of a different evolutionary phenomenon [34]. Principal component analysis
111	(PCA) on the SNPs from each cluster revealed a group of 60 SNPs, spread across 17 of the
112	21 chromosomes in the stickleback genome, associated with cluster 1 – cluster 2 separation.
113	Of these 60 SNPs, 28 fell directly within genes (Table S2). Most other LD clusters only
114	separated single populations from all others, likely reflecting local patterns of selection and
115	drift and none of the LD clusters separated marine and freshwater adapted populations
116	(Figures S1 and S2).



Figure 2. Genetic structure across BC stickleback populations. (A) Co-ancestry matrix of BC
stickleback populations, using fineRADstructure. Thin black lines separate populations and
thick black lines separate the broader genetic clusters. (B) Distribution of BC stickleback
along the first principal coordinate of a genomic PCoA (dataset 2, 9668 SNPs). (C)

Distribution of BC individuals in a PCA of 60 linked SNPs comprising linkage cluster 10,identified by LDna.

125 *Phenotypic divergence*

126 To determine whether the genomic clusters differed phenotypically, we analysed differences 127 in group means for important benthic-limnetic divergent phenotypic traits: weight, gill raker length and number, armour PC1 (increasing size of all armour variables and increasing lateral 128 plate number, explaining 70.4% of body armour variation [methods]) and shape PC1 129 130 (describing shape changes associated with benthic and limnetic habitats, such as eye size, body depth and mouth length, explaining 23.2% of body shape variation [35, 36]). There 131 were differences in phenotype between the three groups for all phenotypic traits (Table S3). 132 133 For most traits, clusters 1 and 2 differed from marine fish, and for all traits except for body weight, clusters 1 and 2 differed from each other (Table S4, Figure 3A-E). Cluster 1 had a 134 typically limnetic phenotype [35, 36] with a smaller size, longer, more numerous gill rakers, 135 136 more body armour, a larger pelvis relative to spine length, and a more streamlined, slender body shape than cluster 2, which had a much more benthic phenotype (Figure 3A-E). 137



Figure 3. (A) – (E) Phenotypic differences between marine fish and two freshwater genetic clusters. Circles represent individuals. Abbreviations: M – marine, C1 – cluster 1, C2 – cluster 2. Brackets and asterisks indicate significance thresholds of post-hoc estimated marginal means tests between groups: NS. indicating p > 0.05, ** indicating p < 0.01 and ***

indicating p < 0.001. All p values were adjusted for multiple comparisons.

143 *Phylogeny*

Phylogenetic reconstruction for population-level genomic data can be notoriously 144 145 problematic as numerous factors, including ongoing and / or historic gene flow can mask true 146 phylogenetic signal in the data [37, 38]. To minimise bias in our analysis, we first filtered our 147 master dataset (dataset 1, 12,756 SNPs) to remove all known QTL in stickleback (leaving 8351 SNPs, see methods), and then filtered for linkage disequilibrium ($R^2 > 0.2$, leaving 148 6215 SNPs, dataset 3). We constructed a maximum likelihood phylogeny for all populations 149 using RAxML. Consistent with the co-ancestry and PCoA analyses, the topology showed 150 151 clusters 1 (more limnetic phenotype) and 2 (more benthic phenotype) at opposite ends of the 152 tree, with marine fish most closely related to cluster 1 (Figure 4A). The two species-pair lakes both contained limnetic individuals whose closest relatives were in cluster 1 (PAXL 153 154 and PRIL), and benthic individuals whose closest relatives were in cluster 2 (PAXB and 155 PRIB, Figure 4A).

156 We also performed a topology weighting analysis on a subset of populations selected 157 specifically to test the likelihood that the phenotypes associated with clusters 1 and 2 could 158 have evolved repeatedly *in situ*. Topology weighting is a means by which to quantify 159 relationships between taxa that are not necessarily monophyletic. It determines how support 160 for each possible topology varies across the genome and allows quantification of the overall 161 proportion of the genome which supports each possible tree. This allowed us to identify 162 multiple highly supported phylogenies so that we could determine whether any of those with high support involved a model in which the two clusters arose more than once. It also 163 allowed us to quantify what proportion of the genome supports our most likely topology, and 164 165 how big the difference is between this and the level of support for the next most likely tree. 166 To do this, we selected two populations from each of two locations approximately 100km 167 apart and separated by the Georgia Strait. Each location contained a cluster 1 and 2

168 population occurring reasonably close together which, under a model based purely on 169 geography, would be predicted to be more closely related. One marine individual was used as 170 an outgroup. The topology with the highest weighting across all 50bp sliding windows 171 (topology 8) was concordant with the maximum likelihood phylogeny, with the two cluster 2 populations (DOUG and KLEN) forming a monophyletic clade and each cluster 1 population 172 173 splitting off earlier, deeper to the root (Figure 4C). The topology with the second highest 174 weightings (topology 7) was also concordant, and just involved a switching of the order in 175 which the cluster 1 populations split from the root. The simple geographical hypothesis, with 176 the two pairs of populations nearest to one another being most closely related (topology 3) 177 received very little support. The highest ranking topology had more than twice the 178 proportional support that the second most likely topology had, suggesting that there is a 179 strong genome-wide signal in favour of the maximum likelihood topology.





Figure 4. (A) Maximum likelihood phylogeny of 333 BC stickleback. Black indicates 182 marine; blue, cluster 1 and red, cluster 2. (B) The same phylogeny as (A) with monophyletic 183 populations collapsed into single tips. Branch colours in (B) denote the same as in (A). 184 Coloured circles at branch tips represent two independent selection regimes, detected in the 185 optimal model of niche evolution (R package: SURFACE). In both phylogenies species pairs 186 are divided into benthic (PAXB, PRIB) and limnetic (PAXL, PRIL) populations. (C) Mean 187 weightings for all possible topologies for four freshwater populations: two from cluster 1, 188 NORT and BEAV; and two from cluster 2, DOUG and KLEN, with a single individual from 189 190 the marine population LICA as the outgroup.

191 Phylogenetic signal

192	If benthic and limnetic phenotypes had resulted from repeated, rapid adaptive divergence,
193	phylogenetic signal (the tendency for more closely related individuals to share phenotypes)
194	would be obscured, and trait distributions would instead mimic the adaptive landscape — i.e.
195	variation in the relevant environmental characteristics. Therefore, we tested a null model that
196	traits would be distributed randomly with respect to phylogeny, and an association of trait
197	distribution with population-level relatedness was taken as evidence that benthic and limnetic
198	niches were conserved from the ancestral state [39-41].
199	We estimated phylogenetic signal at the population level, using mean phenotypic trait data,
200	and collapsing nodes in the phylogeny by population (with the two marine populations
201	grouped into a single node, as they lacked monophyly), using the R package: PhyloSignal.
202	We also tested five simulated traits that had no true association with phylogeny. We
203	identified phylogenetic signal in all five real phenotypic traits: weight, gill raker number, gill
204	raker length, armour PC1 and shape PC1 (p -values < 0.05, Table 2). None of the five
205	randomly simulated traits showed phylogenetic signal (p -values > 0.05, Table 2).

206 Niche evolution modelling

207 Niche evolution modelling combines phylogenetic information with the distribution of 208 phenotypic traits across the tree to identify the most likely number and location of selection 209 regimes imposed across the whole phylogeny. It also identifies the number of instances of 210 convergence (where the same regime appears multiple times across the tree). If the benthic 211 phenotype had evolved repeatedly and independently across the phylogeny, niche evolution modelling should identify multiple instances of convergence of a benthic selection regime. 212 213 We performed niche evolution modelling using the R package SURFACE [32]. We ran 214 SURFACE using the same collapsed phylogeny and associated trait data that were used to

estimate phylogenetic signal. The best fitting model involved two different selection regimes
across the phylogeny (Figure 4B). The first included all marine and cluster 1 populations, and
the second, all cluster 2 populations. The best fitting model included no instances of
convergence between selection regimes, i.e. each independent regime appeared only once
across the phylogeny.

220 Relationship between phenotype and environment

221 To test for phenotype – environment relationships, we used linear mixed models, following a

222 phylogenetic generalised least squares (PGLS) approach so that phylogenetic signal could be

accounted for and fitted to the population means of phenotypic traits in R. Marine fish were

excluded from all phenotype – environment modelling, because of the difficulty of measuring

the environment of migratory marine fish. We found that freshwater fish had more body

armour in the presence of sculpin (adjusted p-value < 0.05, Table S5), and fish were heavier

in deeper lakes and lakes with a higher pH (adjusted *p*-values < 0.01 and < 0.05,

respectively). Lake surface area and calcium concentration did not affect any aspect of

229 phenotype and none of the environmental variables we measured affected the number of gill

rakers, the length of gill rakers or shape PC1 (Table S5).

231 Discussion

232 The repeated occurrence of similar phenotypes in geographically isolated but similar environments has several possible evolutionary explanations. Perhaps this pattern results 233 234 from parallel ecological speciation, or maybe similar phenotypes have a single origin and 235 have subsequently become widely dispersed into suitable habitats. It is impossible to separate 236 these different models using only phenotypic data or small numbers of genetic markers, and 237 remains difficult even with genomic data. Nevertheless, it is important that we attempt to do 238 so, because of the consequences for our understanding of evolution. Parallel evolution has 239 been implicated in the global adaptation of stickleback to freshwater [21], but recent analyses 240 suggest that this is unlikely outside of the Eastern Pacific [42]. Furthermore, there are a number of other cases in which conclusions of parallel ecological speciation have been called 241 242 into question by the confounding possibility of a single evolutionary origin followed by migration and gene flow [29]. 243

We investigated the evolutionary origins of divergent phenotypes in a classic model system 244 245 for adaptive radiation and ecological speciation. We find strong evidence for a monophyletic 246 clade of stickleback with a benthic phenotype distributed across freshwater lakes in the 247 southern Georgia Strait region of BC. The evidence strongly suggests that this clade has a single evolutionary origin, and is derived from a local ancestor with a limit phenotype. 248 249 The benthic clade also encompasses benthic fish from two benthic-limnetic species pairs. Our 250 results are consistent with a single origin for benthic fish in BC rather than repeated 251 independent evolution of the benthic phenotype in multiple lakes. This contradicts the 252 currently favoured model for the evolution of benthic and limnetic stickleback in BC [21, 22, 253 25], highlighting the challenging nature of phylogenetic reconstruction at the population 254 level.

255 Many factors, such as incomplete lineage sorting, hybridization, gene duplication, natural 256 selection and recombination can lead to genealogical discordance in estimations of 257 phylogenetic relationships [43]. Resolving the true relationships between divergent groups 258 can therefore be challenging and require a large number of genetic markers. Much of the 259 current research on benthic and limnetic stickleback in BC has been based only on 260 mitochondrial haplotypes [25] or relatively small SNP sets [21], and has largely been 261 restricted to species pairs. In species pairs, multiple QTL regions are repeatedly responsible 262 for benthic adaptation [21, 44, 45], which is consistent with a single benthic origin, but 263 neutral SNPs imply closer genetic affinity of benthics and limnetics within lakes [21], 264 consistent with multiple independent origins. However, elevated levels of genetic similarity 265 at neutral markers in species pairs would be expected even with low levels of gene flow and 266 thus may not reflect true phylogenetic relationships [27]. Clearly, it is important also to 267 consider relationships in solitary populations, where opportunity for gene flow is greatly 268 reduced. Harer et al. [31] looked at both species pairs and solitary populations and identified 269 considerable genomic parallelism in the former but not the latter. However, they define the 270 benthic-limnetic spectrum in solitary populations solely using lake surface area, which 271 correlates only weakly with phenotype, and does not account for differences in lake depth and the presence of specific predators, which also have a major influence on the availability 272 273 of benthic and limnetic niches to stickleback [46].

We identify a monophyletic lineage within BC stickleback, which has a consistently benthic
phenotype when compared with other freshwater or marine populations in BC. Some
argument remains among evolutionary biologists about whether pervasive, genome-wide
selection, can overwhelm the signal from other markers and obscure tree topologies [43, 47].
Sculpin (*Cottus asper*) are an intraguild predator of stickleback, which, when present, select
for a more limnetic phenotype [48, 49]. Our results were consistent with this as we identified

280 an effect of sculpin presence on body armour after accounting for phylogenetic signal in our 281 analysis. However, we find the possibility that selection from sculpin obscures the true relationships between populations in our phylogeny unlikely for a number of reasons. Firstly, 282 283 such a phenomenon is certainly possible in studies using only a small number of markers 284 [50], but with many thousands of unlinked genetic markers, such as in this case, the 285 probability of selection overwhelming the signal from neutral markers is very low [47, 51]. 286 Secondly, recent modelling suggests that even with strong selection affecting 10-20% of 287 markers, in most instances, phylogenetic inference remains robust to the effects of selection 288 [52]. Selection from sculpin likely affects less than 2% of the genome [48] and thus is at least 289 an order of magnitude smaller in effect size than would be necessary to obscure the true tree 290 topology in this case. Furthermore, sculpin were present in some lakes containing the benthic 291 clade and not in all lakes containing the limnetic clade. Thus, the genomic groups identified 292 here do not simply mirror the occurrence of this selective agent, but rather represent a deeper 293 set of ancestral relationships.

294 If the benthic phenotype in BC has a single origin, a clear question that requires explanation 295 is how this ecotype spread across BC? Although the lakes containing stickleback in BC are 296 not particularly widespread, they are physically separated by land or ocean, which likely 297 makes dispersal a challenge for freshwater stickleback. We speculate that evidence for a large 298 flood (~500km³ of water) in the Fraser River valley, dated approximately to the end of the 299 Pleistocene and caused by the failure of a large ice dam [53], could provide an explanation. 300 The estimated extent of the flood across the southern Georgia Strait is very similar to the 301 current known distribution of benthic stickleback in BC, raising the tantalising possibility that 302 it may have been responsible for the spread of the benthic lineage of stickleback from a 303 palaeolake in the Fraser Valley, consistent with previous inference about the evolution of Eastern Pacific freshwater stickleback [42]. 304

305 Our investigations have shown that the well-studied benthic-limnetic species pairs should be 306 understood as part of a broader radiation along the benthic-limnetic axis in BC. We highlight 307 the need to consider carefully all possible explanations for the occurrence of parallel 308 phenotypes if we are to achieve a proper understanding of the evolutionary processes that mediate divergence. Stickleback are clearly capable of remarkably rapid ecological 309 310 adaptation [54-58], but we have shown that the retention of ancestral characteristics can also 311 be important in explaining the distribution of divergent phenotypes. This has significant 312 implications for how we think about the process of evolution and raises the possibility that 313 other model examples of *in situ* ecological adaptation may also result from dispersal rather 314 than convergence.

315 **Declaration of Interests**

316 The authors declare no competing interests.

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326 Tables

327 **Table 1**

328 **SNP datasets.** Details of the SNP datasets used in genomic analyses.

Dataset	N lakes	N individuals	N SNPs	LD thinned	Known QTL removed
dataset 1	21	333	12,756	×	×
dataset 2	21	333	9668	\checkmark	×
dataset 3	21	333	6215	\checkmark	\checkmark
dataset 4	5	53	9668	\checkmark	×

329 N: number, LD thinned: SNPs with $r^2 > 0.2$ removed, Known QTL: SNPs identified as falling

330 within known QTL regions [59].

331 Table 2

Phylogenetic signal in real and simulated phenotypic traits. Table shows estimates of

phylogenetic signal (Pagel's λ) and their associated *p*-values. *P*-values < 0.05 are highlighted in bold.

Trait	Pagel's λ	<i>p</i> -value
Weight	1.5881	0.0421
Number of gill rakers	2.1535	0.0010
Mean gill raker length	1.6492	0.0032
Armour PC1	0.9667	0.0269
Shape PC1	1.3647	0.0010
Random 1	0.0001	1.0000
Random 2	0.0001	1.0000
Random 3	0.0001	1.0000
Random 4	0.0001	1.0000
Random 5	0.0001	1.0000

336 Methods

337 Samples sites and environmental measurements

338 A total of 21 lakes surrounding the Strait of Georgia, BC, which were likely to vary substantially in the ecological niches they presented to stickleback (because of variation in 339 340 environmental factors), were selected for sampling (see Table S1 for detailed sample site information and Figure 1 for a map of sampling locations). This included two lakes, Paxton 341 342 (PAXT) and Priest (PRIE), known to contain benthic-limnetic stickleback species pairs [16, 343 26], and two coastal locations accessible from the sea, Oyster lagoon (OYST) and Little 344 Campbell River (LICA), where marine fish are present during the spring breeding season. 345 The size and depth of a lake largely determine whether both benthic and limnetic habitats are 346 present (in larger deeper lakes) or just benthic (in small, shallow lakes). Therefore, we measured the surface area (km^2) using GoogleEarth and collected data on the mean depth (m) 347 348 of each lake from either HabitatWizard [60] or from data collected in Vamosi [61], with 349 permission. The presence of other fish species can also determine whether both, one or none 350 of those niches are available to stickleback [61]. Many other fish species occur in BC, some 351 of which are predators and/or competitors of stickleback. Cutthroat trout (Oncorhynchus 352 clarkii) and rainbow trout (Oncorhynchus mykiss) are major intraguild predators of 353 stickleback, but both occur in both the littoral and pelagic zones [62, 63] and do not eliminate 354 either niche for stickleback and so are not considered further here. Prickly sculpin (Cottus 355 asper) are a benthic intraguild predator, and their presence selects for a more limnetic stickleback ecotype [46]. We therefore collected data on the presence/absence of prickly 356 357 sculpin in all sampling locations from Hutchinson et al. [64], Miller et al. [48], Atkinson [65], Dennenmoser et al. [66] and Vamosi [61] (see Supplementary Material for data sources for 358 359 each lake).

360 The pH [67] and dissolved calcium concentrations [68] of lake water have previously been 361 associated with external bony armour in stickleback (a trait which varies between benthic and limnetic ecotypes). Therefore we also measured these variables, the former with a calibrated 362 363 pH meter (Multi 340i, WTW, Weilheim, Germany) and the latter were obtained by collecting two filtered water samples (one acidified with 2% nitric acid, one frozen) from each lake. The 364 365 dissolved calcium concentration (to the nearest mg/L) was then measured from the water 366 samples at the Division of Agriculture & Environmental Science at the University of Nottingham by inductively coupled plasma mass spectrometry (ICP-MS). 367 368 Stickleback sampling Stickleback were caught using unbaited minnow traps set overnight from the lake shores 369 during spring of 2015 (all stickleback ecotypes move to the shallows during the spring to 370 371 breed). Samples of between 10 and 63 individuals (See Table S1 for lake specific sample 372 sizes) were taken from each lake and transported to a rental property in aerated lake water for 373 processing. Immediately prior to processing, fish were euthanised with an overdose of tricaine methanesulfonate ('MS222') (400 mg L⁻¹), and killed by destruction of the brain, in 374

accordance with Schedule One of UK Home Office regulations and with the approval of the

376 University of British Columbia Animal Care Committee (UBC animal care certificate A11-

377 0402). Fin clips were immediately taken and stored in 90% Ethanol for later genomic

analyses.

379 Identification of benthic-limnetic divergence

380 *Phenotypic quantification*

Fish sampled from lakes containing species pairs (PAXT and PRIE) were visually classified
as benthic or limnetic at the time of capture as well as being later measured for all phenotypic
traits.

384 To determine body size, fish were blotted and weighed to the nearest milligram. To assess 385 body shape differences, each stickleback's left side was photographed using a tripod mounted 386 digital SLR camera fitted with a macro lens and macro digital ring flash. Images were scaled, 387 and 13 landmarks were placed on each image using tpsDig, version 2.16 [69]. Landmark data 388 were then exported to MorphoJ, version 1.06d [70]. A Procrustes fit was performed to align 389 specimens by their main axes and remove size and rotation bias. Differences between lakes 390 were identified using a Procrustes ANOVA with lake as the classifier. Allometric variation in 391 body shape was removed by taking the residuals of a multivariate partial least squares 392 regression against log centroid size, and the regression was pooled within lakes because the 393 Procrustes ANOVA indicated differences between group centroids [71]. Regression residuals 394 were exported into R, version 3.5.2 [72], where they were standardised and scaled, and 395 variation in body shape was reduced to a single axis using a principal components analysis 396 (PCA), implemented by singular value decomposition. This principal axis (shape PC1) was 397 used to describe differences in body shape in all further analyses.

398 To assess differences in body armour, fish were first bleached and then stained with alizarin 399 red to highlight external skeletal structures following standard procedure [73]. Fish were then 400 re-photographed as above, images were scaled, and counts of lateral plate number, alongside 401 measurements of standard length, first and second dorsal spine length, longest plate length, 402 pelvis height, pelvis length and pelvic spine length, were taken (continuous elements to the 403 nearest 0.01mm) using ImageJ, version 1.52a [74]. All continuous armour variables (thus 404 excluding plate number, which was independent of body size in our data set) were size-405 standardized by taking the residuals of a regression against standard length. Body armour 406 variables were highly correlated, thus we used a principal components analysis (PCA) to 407 reduce variation in body armour variables to a single axis: armour PC1. Armour PC1 was used to describe differences in body armour in all further analyses. 408

Finally, the left primary gill arch was extracted from each individual. For each gill arch, the total number of gill rakers were counted, and the mean gill raker length was calculated by taking the mean of the length of the first three rakers on each arch, measured to the nearest micrometre.

413 Genomic SNP analyses

414 DNA was isolated from fin tissue using Quiagen Blood and Tissue DNA purification kits.

415 RAD-seq data was generated following Magalhaes et al. [75]. BAM files were produced

416 following Magalhaes et al. [75]. Variants were called from per-individual BAM files to create

417 a single VCF file using the Stacks pipeline [76] in Stacks, version 1.47. The POPULATIONS

418 program in Stacks was run with the following filters: SNPs with a minimum depth of

419 coverage < 3 were removed; SNPs present in < 50% of individuals within a population were

420 removed; SNPs with a minor allele frequency < 0.05 were removed; and SNPs that were not

421 present in all of the populations were removed. VCFtools, version 0.1.16 [77], was then used

422 to remove sites with mean depth values (over all individuals) < 6 and > 200, sites with > 25%

423 missing data, sites with a minor allele count over all individuals < 2 and the sex

424 chromosomes. This pipeline produced an overall dataset of 12,756 SNPs for 333 individuals

425 across the 21 lakes (dataset 1). This dataset was then subject to further filtering for some

426 analyses, and detailed information about individual RAD datasets is given in Table 1.

427 Linkage disequilibrium

Sets of loci that have a tendancy to be inherited together, and thus are highly correlated, tend to be affected by the same evolutionary processes and so contain useful information for identifying the characteristics of the processes affecting each set of linked loci e.g. whether divergence is likely linked to small genomic regions e.g. inversions, or is genome wide. To investigate whether any groups of linked loci would distinguish the genomic clusters identified in other genomic analyses we performed a linkage disequilibrium network analysis (LDna) using the LDna package in R. The r^2 linkage disequilibrium matrix was generated using dataset 1 (12,756 SNPs) in Plink version 1.9 [78]. For the extractClusters step of LDna the minimum number of edges was set to 100 and Φ was set to five. SNPs in each LD cluster were extracted from dataset 1 using VCFtools, VCF files were read into R using the vcfR package and principal components anaylsis (PCA) of the SNPs in each LD cluster was performed using the adegenet [79] package.

440 Many genomic tools, however, rely on the assumption that variants are independent and

therefore SNPs in linakge diequilibrium must be removed for such analyses. To that end, we

estimated linkage disequilibrium across the genome as a whole by calculating pairwise R^2

443 values in 100kb sliding windows using Plink version 1.9. R^2 values range between 0 (no

444 linkage) and 1 (complete linkage), and therefore a relatively conservative LD threshold was

set at $R^2 > 0.2$. Thinning dataset 1 (12,756 SNPs) to unlinked loci resulted in a dataset with

446 9,668 retained SNPs (dataset 2, Table 1).

447 Genomic patterns

448 We used fineRADstructure [33] to construct a co-ancestry matrix using the primary SNP set

449 including all 333 individuals (dataset 1, 12,756 SNPs). Prior filtering for linkage

450 disequilibrium is not necessary for analyses using the RADpainter tool at it efficiently

451 estimates the effective number of loci in mapped data files during the analysis [33]. The

452 fineSTRUCTURE [80] clustering algorithm was run with a burn-in of 100,000 iterations

followed by 100,000 sampled iterations and the tree building algorithm was run with a burn-

- 454 in of 10,000 iterations. We then performed a principal coordinate analysis (PCoA) on the
- 455 linkage filtered dataset (dataset 2, 9668 SNPs). The PCoA was performed using Elucidean

distances with the package adegenet in R. VCF files were converted to genpop format forinput to adegenet using PGDSpider, version 2.1.1.5 [81].

458 *Phenotypic divergence*

459 Genomic analyses grouped all fish into two broad genomic clusters, cluster 1 and cluster 2. 460 Although marine fish were grouped with the freshwater fish in cluster 1, they were treated as 461 a third, separate, group in all further analyses. Additionally, they were excluded from most subsequent genetic analyses because their presence in freshwater/coastal areas is transient 462 463 (they migrate to shallow coastal areas only in the spring to breed) and they represent the 464 likely ancestral state of all freshwater populations [82]. To determine the phenotype of these three groups (marine, cluster 1 and cluster 2), we calculated the mean of each phenotypic 465 466 variable (weight, number of gill rakers, mean raker length, armour PC1 and shape PC1) for 467 each group. To test whether the means of each phenotypic variable in each of the three 468 groups were significantly different from one another, linear mixed models were performed 469 using the nlme package [83], with lake included as a random effect and group (marine, 470 cluster 1, cluster 2) as a fixed effect. For models showing a significant effect of group, post-471 hoc pairwise comparisons were performed using estimated marginal means, implemented 472 using the emmeans package [84] in R. P-values for post-hoc comparisons were adjusted for multiple testing using the False Discovery Rate (FDR) method [85]. 473

474 Phylogenetic analyses

Prior to phylogenetic analysis, we filtered our master dataset (dataset 1) to remove all known
QTL in stickleback. Data for QTL were downloaded from Peichel and Marques [59],
converted to BED format, and removed from the VCF file using VCFtools. This reduced the
number of SNPs from 12,756 to 8351. We then ensured approximate linkage equilibrium of

479 remaining markers by removing all SNPs with an R² value >0.2 using Plink version 1.9. This
480 left 6215 SNPs, dataset 3.

481 To construct a phylogeny of all sequenced individuals, we used a bootstrapped maximum-482 likelihood based approach, implemented in RAxML, version 8.2.12 [86]. The VCF file was 483 converted to phylip format for input to RAxML using python version 3.8.2. RAxML was run 484 with a GTR-GAMMA model of substitution rate heterogeneity, automatic bootstrap replicate 485 halting using the autoMRE function and with the default settings for all other parameters. 486 To assess the robustness of the maximum likelihood phylogeny, we also performed topology 487 weighting using TWISST [87]. Topology weighting was carried out on four freshwater populations, with a single marine sequence (from LICA) as the outgroup. The freshwater 488 489 populations were selected to contain two pairs of geographically proximal populations, with 490 one pair from either side of the Georgia strait (NORT and BEAV, and DOUG and KLEN), 491 and with one population from each pair falling in cluster 1, the other in cluster 2. Dataset 2 492 (9668 SNPs) was filtered to contain all individuals from each of the four freshwater 493 populations and a single individual from LICA (TWISST only accepts a single sequence as 494 an outgroup), using VCFtools (dataset 4, 9668 SNPs). The VCF file was converted to .geno 495 format and maximum likelihood trees were estimated in phyml [88] in sliding windows of 496 50bp using Python 2.7.15 and the scripts available with TWISST. Topology weightings were then computed using Python 3.8.2 and topologies were visualised in R. 497

498 Phylogenetic signal in phenotypic traits

499 To estimate phylogenetic signal, the phylogeny constructed in RAxML was imported into R

500 using the ape package [89], individual nodes were collapsed to leave a single node per

501 population, with the exception of the two marine populations, which were both collapsed into

one node using the phytools [90] and phangorn [91] R packages. Phenotypic trait data

503 (weight, number of gill rakers, mean raker length, armour PC1 and shape PC1) were added to 504 the tree tips, and phylogenetic signal and associated *p*-values for each trait were estimated 505 using the package phylosignal [92]. We used Pagel's λ [93] to estimate phylogenetic signal as 506 this statistic performs well compared to others available and has a low type 1 error rate [39, 507 94, 95]. *P*-values are calculated using likelihood ratio tests that compare the observed λ 508 statistic with a phylogenetically independent trait distribution. We also simulated data for five 509 additional traits to be distributed randomly with regard to phylogeny. Simulated traits were 510 tested alongside the real phenotypic variables for comparison. 511 As we aim to detect whether benthic and limnetic characteristics have evolved a single time 512 or repeatedly across the radiation, we also used the R package SURFACE [32] to estimate the

513 most likely number of different selection regimes (*k*) and instances of convergent evolution

514 (c) by identify the best fitting model of trait evolution for our phylogeny and associated

515 phenotypic traits. SURFACE begins by fitting a single peak Ornstein-Uhlenbeck (OU) model

516 (which allows for a single adaptive optimum and variation in the parameter α , which

517 describes the strength of selection towards that optimum) by maximum likelihood. It then

518 sequentially adds adaptive peaks to the model in a step-wise process and accepts each more

519 complex model until AIC values are no longer improved. SURFACE then attempts to

520 collapse regimes with the same optima in a process of step-wise backwards selection

521 whereby if multiple optima are the same, the AIC of the model is improved by reducing the

number of model parameters. We ran SURFACE using the same collapsed phylogeny and

523 associated trait data that was generated to estimate phylogenetic signal. The tree was

converted to ouchtree format and the best fitting model of trait evolution was estimated under

an AIC threshold of 0 (any improvement in AIC should be accepted) using the SURFACE R

526 package.

524

527 Relationship between phenotype and environment

528 To investigate associations between environmental characteristics and divergence in phenotypic traits, we used a phylogenetic generalised least squares (PGLS) approach so that 529 phylogenetic signal could be accounted for in the models, using the ape [89], nlme [96] and 530 531 geiger [97] packages in R. Marine fish were excluded from all phenotype – environment 532 modelling because our main aim was to detect effects in relation to the freshwater benthic 533 and limnetic phenotypes in BC and, although marine fish have a limnetic phenotype, we 534 found them to differ phenotypically from the freshwater limnetic fish in BC. Separate models 535 were run for each phenotypic trait (weight, number of gill rakers, mean gill raker length, 536 armour PC1 and shape PC1). Models were fitted by maximum likelihood and we began with 537 all environmental variables in each model (mean lake depth [m], lake area [km²], 538 presence/absence of prickly sculpin, pH and calcium concentration [mg/L]). Terms were then 539 removed sequentially, with the least significant terms removed first, until the reduced model 540 was no longer a significant improvement on the fuller model under the p < 0.05 threshold. 541 Model comparison was conducted using Wald tests. Phylogenetic effects for each phenotypic 542 trait were accounted for in each model following the principles set out in Mazel et al. [98]. 543 We first transformed the phylogeny for each phenotypic trait under a lambda model with 544 lambda specified as the lambda estimate for that phenotypic trait in the phylogenetic signal 545 analyses. The phylogenetic variance-co-variance matrices were computed from the 546 transformed trees using the ape package and converted to correlation matrices, which were 547 used to specify phylogenetic correlation of errors in the models.

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837 Supplementary Tables

838 Table S1

- 839 Description of sample sites. Sample sizes are shown for phenotypic (n pheno) and RAD (n RAD) analyses. Sal refers to salinity classifications,
- 840 Fr: freshwater (absolute conductivity $<500 \ \mu$ S/cm), Br: brackish (absolute conductivity 20,000-35,000 μ S/cm). Sampling locations are given by
- 841 latitude followed by longitude.

Lake ID	Lake name	n pheno	n RAD	Genomic cluster	Mean depth (m)	Area (km²)	Sculpin	Sal	Location
AMBR	Ambrose lake	29	17	1	13.3	0.2980	Yes	Fr	49°44'3"N; 124°1'16"W
BEAV	Beaver lake	30	19	1	3.5	0.1932	Yes	Fr	48°48'42"N; 124° 4'51"W
BRAN	Brannen lake	30	19	2	11.6	1.0866	Yes	Fr	49°12'55"N; 124°3'18"W
BULL	Bullocks lake	30	17	2	4.0	0.0940	No	Fr	48°52'25N; 123°30'33"W
CRAN	Cranby lake	30	20	2	3.2	0.4460	No	Fr	49°41'36"N; 124°30'42"W
DOUG	Dougan lake	30	16	2	8.5	0.1000	No	Fr	48°42'53"N; 123°36'48"W
GARD	Garden bay	10	9	1	10.0	0.6236	Yes	Fr	49°39'1"N; 124°1'23"W
HOGG	Hoggan lake	30	20	2	3.0	0.1970	No	Fr	49°9'7"N; 123°49'43"W
HOTE	Hotel	35	17	1	5.9	0.2522	No	Fr	49°38'26"N; 124°3'3"W
KENN	Kennedy lake	30	19	1	38.0	65.0000	Yes	Fr	49°3'59"N; 125°28'2"W
KIRK	Kirk lake	30	18	2	8.3	0.0830	No	Fr	49°44'22"N; 124°34'57"W
KLEN	Klein lake	30	17	2	12.0	0.1350	No	Fr	49°43'53"N; 123°58'23"W
LICA	Little Campbell river	34	10	1	3.0	74.400	Yes	Br	49°0'51"N; 122°45'32"W
LILY	Lily lake	30	19	1	2.2	0.1214	Yes	Fr	49°36'44"N; 124° 1'17"W
NORT	North lake	14	14	1	10.1	0.1279	Yes	Fr	49°44'60"N; 123°58'27"W
OYST	Oyster lagoon	30	10	1	1.3	0.0200	Yes	Br	49°36'49"N; 124°1'47"W
PAXT	Paxton lake	63	9	1 / 2	6.2	0.1700	No	Fr	49°42'22"N; 124°31'24"W
PRIE	Priest lake	57	9	1 / 2	5.4	0.4434	No	Fr	49°44'50"N; 124°33'52"W
SPRO	Sproat lake	30	19	1	65.5	37.7500	Yes	Fr	49°16'0"N; 125°2'13"W
STOW	Stowell lake	30	17	2	4.6	0.0564	No	Fr	48°46'54"N; 123°26'37"W
TROU	Trout lake	30	18	2	5.8	0.0756	No	Fr	49°30'26"N; 123°52'37"W

843 **Table S2**

Chromosome	SNP	Gene name	Ensembl gene ID
groupI	138067		ENSGACG0000004458
groupI	1913469		ENSGACG0000005744
groupI	14210802		ENSGACG00000011908
groupI	22123269		ENSGACG00000014464
groupII	20248077	NELL1	ENSGACG00000017098
groupIV	4186906	pde6a	ENSGACG00000016811
groupV	291741	kat6b	ENSGACG0000002173
groupV	1957242	glud1b	ENSGACG0000002813
groupV	2216160	cyth1b	ENSGACG0000002991
groupVI	2259976	cpeb3	ENSGACG0000002944
groupVI	6422836	fbxolla	ENSGACG0000005432
groupVI	13171573	sdccag8	ENSGACG00000010549
groupVII	20193529	dclk1a	ENSGACG0000020560
groupX	8795111	fam126a	ENSGACG0000006077
groupXIII	9178337	ube3b	ENSGACG0000009166
groupXIII	15603103	arvcfb	ENSGACG00000013003
groupXIII	17477236	krt1-c5	ENSGACG0000013907
groupXIV	4013936	dnajc21	ENSGACG00000016480
groupXV	9968890	ppp2r3a	ENSGACG00000010930
groupXV	14295031	odc1	ENSGACG00000012974
groupXVI	119418		ENSGACG0000001436
groupXVI	210791	pde9a	ENSGACG0000001450
groupXVII	840001	ccdc51	ENSGACG0000003571
groupXVII	6327443	trim62.1	ENSGACG0000007462
groupXXI	2692493	nrros	ENSGACG0000002094
scaffold_114	90942	itpr3	ENSGACG0000000253
scaffold_114	90942		ENSGACG0000000233
scaffold_27	3558934	wdr82	ENSGACG0000001254

SNPs in linkage cluster 10 that lie within genes. Genome location of SNPs falling withincoding regions and the associated gene and gene ID for each SNP.

847 Table S3

848 Linear mixed model results for phenotypic differences between groups. Table shows the results of linear mixed models testing for differences between three groups (marine, genomic 849

cluster 1 and genomic cluster 2) in five phenotypic traits, with population as a random effect. 850

Trait	$oldsymbol{F}$	df	<i>p</i> -value
Weight	4.4219	2	0.0257
N gill rakers	5.4898	2	0.0126
Raker length	12.4074	2	0.0003
Armour PC1	8.1948	2	0.0025
Shape PC1	23.0643	2	< 0.0001

F describes the likelihood ratio test statistic, df describes degrees of freedom. P-values < 0.05 851

852 are highlighted in bold.

853 **Table S4**

854 Post-hoc Estimated marginal means test results for pairwise phenotypic differences

between groups. Table shows the results of post-hoc estimated marginal means tests to

determine pairwise differences between groups (marine, genomic cluster 1 and genomiccluster 2) when phenotypes differed significantly between groups in linear mixed models.

Trait	Groups compared	Estimate	SE	df	Adjusted <i>p</i> -value
Weight	limnetic-like – benthic-like	0.2453	0.2400	20	0.3188
	marine – benthic-like	-1.0119	0.4193	20	0.0383
	marine – limnetic-like	-1.2572	0.4232	20	0.0227
N gill rakers	limnetic-like – benthic-like	-2.0254	0.6387	20	0.0144
-	marine – benthic-like	-1.9978	1.1211	20	0.1349
	marine – limnetic-like	0.0276	1.1304	20	0.9808
Raker length	limnetic-like – benthic-like	-0.1799	0.0639	20	0.0107
-	marine – benthic-like	-0.5286	0.1121	20	0.0004
	marine – limnetic-like	-0.3487	0.1130	20	0.0088
Armour PC1	limnetic-like – benthic-like	-2.2551	0.6914	20	0.0069
	marine – benthic-like	-3.8791	1.2155	20	0.0069
	marine – limnetic-like	-1.6240	1.2250	20	0.1999
Shape PC1	limnetic-like – benthic-like	-2.4654	0.4697	20	<0.0001
	marine – benthic-like	-4.5652	0.8202	20	<0.0001
	marine – limnetic-like	-2.0998	0.8280	20	0.0197

858 Estimates describe the mean difference between groups, SE describes the standard error of

859 the estimates and *df* describe degrees of freedom. *P*-values are adjusted for multiple testing

using the FDR method. P-values < 0.05 are highlighted in bold.

861 **Table S5**

862 Effects of the environment on the distribution of benthic-limnetic phenotypic traits.

Table shows the results of phylogenetic generalised least squares analyses (PGLS) on each
phenotypic trait. *P*-values < 0.05 are highlighted in bold. *P*-values were adjusted for multiple
testing using the FDR method.

Phenotypic trait	Environmental variable	df	Wald statistic	<i>p</i> -value	Adjusted <i>p</i> -value
Armour PC1	Lake area	1	1.5877	0.2077	0.5077
	Ca concentration	1	3.2274	0.0724	0.2172
	Mean lake depth	1	0.0883	0.7663	0.8969
	pН	1	1.6609	0.1975	0.3950
	Sculpin presence	1	17.6411	0.0000	0.0002
N rakers	Lake area	1	0.0461	0.8300	0.8300
	Ca concentration	1	0.3525	0.5527	0.6088
	Mean lake depth	1	0.0327	0.8564	0.8969
	pH	1	0.1860	0.6663	0.7882
	Sculpin presence	1	0.7284	0.3934	0.4721
Raker length	Lake area	1	6.8857	0.0087	0.0521
-	Ca concentration	1	1.4304	0.2317	0.4634
	Mean lake depth	1	0.0168	0.8969	0.8969
	pH	1	0.5091	0.4755	0.7133
	Sculpin presence	1	2.6932	0.1008	0.1512
Shape PC1	Lake area	1	0.0641	0.8001	0.8300
-	Ca concentration	1	0.2620	0.6088	0.6088
	Mean lake depth	1	0.1346	0.7137	0.8969
	pH	1	1.7163	0.1902	0.3950
	Sculpin presence	1	3.8490	0.0498	0.0996
Weight	Lake area	1	0.1549	0.6939	0.8300
U	Ca concentration	1	0.5770	0.4475	0.6088
	Mean lake depth	1	16.8205	0.0002	0.0013
	pH	1	7.9713	0.0048	0.0285
	Sculpin presence	1	0.0013	0.9714	0.9714

867 Supplementary Figures



Figure S1. Principal component analyses (PCA) of each LD cluster in the LD network
identified by LDna. Black circles represent marine individuals, blue circles, freshwater
cluster 1 and red circles freshwater cluster 2. 95% confidence ellipses are shown for each





Figure S2. Principal component analyses (PCA) of each LD cluster in the LD network

identified by LDna. Coloured circles and ellipses represent each of the 23 sampled

876 populations.