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# Charge correlations in cuprate superconductors

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#### **Keywords**

high-temperature superconductors, cuprate superconductivity, charge-density-wave order, collective spin and charge excitations.

## Abstract

High-temperature superconductivity, with transition temperatures up to  $\approx 134$  K at ambient pressure, occurs in layered cuprate compounds. The conducting CuO<sub>2</sub> planes, which are universally present, are responsible for the superconductivity, but also show a disposition to other competing states including spin and charge order. Charge-density-wave (CDW) order appears to be a universal property of cuprate superconductors. It has been studied via a multitude of probes including x-ray and neutron scattering, nuclear magnetic resonance, scanning probe techniques, electronic transport and quantum oscillations. Here we review the microscopic properties of the CDW order. We discuss the nature of the ordered state, that is, its symmetry and microscopic structure. Further we show how the CDW order is related to quenched disorder, host structure, symmetry breaking perturbations and magnetic fields. We also describe measurements of dynamic collective charge excitations which are closely related to the quasi-static CDW order. Finally, we highlight some of the debated issues in the field including, the origin of the CDW order, the relationship to spin order and nature of the spatial CDW correlations.

# 1. INTRODUCTION

The investigation of cuprate superconductors over the last four decades has been characterized by reports of various ordered states in addition to the superconductivity (SC). These states are frequently presented in a phase diagram as a function of doped-hole concentration, p, and temperature, T (1). There one can see that the superconducting transition  $T_c$  has a dome-like shape that rises from a neighboring antiferromagnetic (AF) insulator state at  $p \sim 0$  and drops towards zero at  $p \sim 0.3$ , where a metallic phase takes over. The maximum  $T_c$  occurs at  $p_{opt} \approx 0.16$ . Between the AF regime and just beyond the peak of the dome, it is common to draw a line  $T^*(p)$ , starting at a  $T^*$  of several hundred K for small p and decreasing toward the dome peak, representing the onset of a "pseudogap" state. Within the pseudogap regime, electronic states gradually develop coherence on cooling, but only on parts of the nominal Fermi surface (2).

Charge-density-wave (CDW) correlations represent one type of "order" that has been experimentally observed in many cuprate families, with the strongest order occurring near p = 1/8. The doping and family dependent onset temperatures fall below  $T^*$ , so that CDW correlations cannot be the explanation of the pseudogap. At the same time, it is relevant to note that the optimum ordering temperatures associated with superconducting, CDW  $(T_{\rm CDW})$  and magnetic (Néel temperature,  $T_{\rm N}$  of the AF parent phase) orders are comparable, with  $T_c \approx 140$  K,  $T_{\rm CDW} \approx 150$  K and  $T_N \approx 300$  K. Thus, the cuprate superconductors have fascinating, finely-balanced competing and intertwined phases (3). Here we will focus on experimental aspects of CDW correlations and related charge excitations in hole-doped cuprates.

# 1.1. Probing charge density waves

CDW order is a fairly common phenomenon in metallic compounds that are layered, such as NbSe<sub>2</sub> and TaSe<sub>2</sub> (4), or quasi one dimensional (1D) such as NbSe<sub>3</sub> and (TMTSF)<sub>2</sub>PF<sub>6</sub> (5), where the valence charge becomes periodically modulated, with an associated displacement pattern of the atomic lattice. In the 1D case, the CDW order can be driven by purely electronic energies. In the 2D case, however, it has been argued that strong electron-phonon coupling is essential (6). **Figure 1a** illustrates the charge correlations in a metal: charge density fluctuations disperse out of the wave vector  $\mathbf{q}_{\text{CDW}}$  characteristic of CDW order.

At a different extreme, there are also layered Mott insulators, isostructural with superconducting  $La_{2-x}Sr_xCuO_4$  but with Cu replaced by Ni, Co, or Mn that develop CDW order intertwined with antiferomagnetic spin-density-wave (SDW) order when doped (7). To distinguish these from the more conventional case, the modulated states have been referred to as "stripe orders." However, the order parameter for the charge modulation (and atomic displacements) is the same as for the metallic case, so we will use CDW as a generic descriptor.

X-ray and neutron diffraction are commonly used to detect CDW order, through their sensitivity to the associated lattice modulation. Diffraction from single crystals performed on spectrometers that analyze the incident and scattered wave vectors using neutrons or hard x-rays can typically achieve an energy resolution of  $\sim 1 \text{ meV}$ , smaller than most relevant excitations, though not smaller than glassy fluctuations. Sensitivity to element-specific contributions to charge order is obtained with resonant x-ray scattering (RXS) (8). When the the scattered photons are energy resolved, the technique is known as resonant inelastic

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AF: antiferromagnet CDW: charge-density wave

**SDW:** spin-density wave

#### XS: x-ray scattering

IXS: inelastic x-ray scattering

**RXS:** resonant x-ray scattering

**RIXS:** resonant inelastic x-ray scattering

NS: neutron scattering

SI-STM:

spectroscopic imaging scanning tunneling microscopy

NMR: nuclear magnetic resonance x-ray scattering (RIXS). With RIXS, one can resolve elastic scattering from collective excitations. The state of the art for energy resolution in the soft x-ray regime, most relevant to cuprates, is  $\sim 20$  meV.

CDW order can be imaged in real space with scanning tunneling microscopy (STM), where well-ordered modulations of atomic positions can be detected at the surface of a compound such as NbSe<sub>2</sub> by topographic imaging (9), whereas spectroscopic imaging (SI-STM) probes the spatial modulation of the local density of electronic states in energy (10).

Another technique that provides local information, but in this case without restriction to the sample surface, is nuclear magnetic resonance (NMR) spectroscopy. NMR is sensitive both to the hyperfine magnetic field from atomic moments and to charge correlations through electric field gradients (11). NMR spectroscopy typically requires application of a strong magnetic field, which must be taken into account when comparing with other measurements. At the same time, the resonance frequencies used tend to be in the MHz ( $\mu$ eV) range, so that NMR is an effective tool for distinguishing between static and dynamic behavior.



# Figure 1

Charge order and collective charge excitations in cuprates. (a) Schematic of the energy and wavevector dependence of the collective charge excitations. At small h acoustic plasmons and the electron-hole continuum are observed. A quasi-static charge ordering peak is observed at  $\mathbf{q}_{\text{CDW}}$  centered on  $\hbar\omega = 0$ . Dispersing charge excitations are observed at near  $\mathbf{q}_{\text{CDW}}$ . (b-c) The charge ordering peak in YBCO ( $p \approx 0.12$ ) observed by (b) Cu *L*-edge RIXS (12) and (c) hard-(100-keV)-x-ray—scattering (13). (b) reproduced with permission from Ref. (12) and (c) reproduced with permission from Ref. (2) and (c) reproduced with permission from Ref. (2) and (c) reproduced with permission from Ref. (3).

#### 1.2. Discoveries of charge density waves in cuprates

Early evidence for CDW order in cuprates came from a neutron scattering study of the  $La_{1.6-x}Nd_{0.4}Sr_xCuO_4$  (Nd-LSCO) system at p = x = 0.12, a composition at which bulk superconducting order is strongly suppressed (14). SDW order, with twice the  $\sim 4a$  period of the CDW, appeared at a lower temperature. While the CuO<sub>2</sub> layers common to cuprates are often assumed to have a square lattice, the low-temperature structure of Nd-LSCO has broken rotational symmetry in the planes, which led to the inference that the CDW in each layer is modulated in only one direction, which we label as a 1-**q** CDW. A RXS study of the CDW on the closely related compound  $La_{2-x}Ba_xCuO_4$  with x = 1/8, probing the O-K and Cu-L<sub>3</sub> edges, demonstrated that the doped holes in O 2p orbitals are spatially modulated and that there is also a response involving the Cu 3d holes (15).

Evidence for related electronic modulations also came from SI-STM on a different cuprate. STM requires an atomically flat surface, so cleavable  $Bi_2Sr_2CaCu_2O_{8+y}$  (Bi2212)

La<sub>2-x</sub>Ba<sub>x</sub>Sr<sub>x</sub>Cu0<sub>4</sub> LSCO: La<sub>2-x</sub>Sr<sub>x</sub>Sr<sub>x</sub>Cu0<sub>4</sub> Nd-LSCO: La<sub>1.6-x</sub>Nd<sub>0.4</sub>Sr<sub>x</sub>Cu0<sub>4</sub> YBCO: YBa<sub>2</sub>Cu<sub>3</sub>O<sub>6+x</sub> Bi<sub>2</sub>Sr<sub>2</sub>CaCu<sub>2</sub>O<sub>8+x</sub> Hg1201: HgBa<sub>2</sub>CuO<sub>4+y</sub> Tl2201: Tl<sub>2</sub>Ba<sub>2</sub>CuO<sub>6+ $\delta$ </sub>

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# **Reciprocal Space:**

We label reciprocal space as  $(h, k, l) \equiv$  $\mathbf{Q} = h\hat{\mathbf{a}} + k\hat{\mathbf{b}} + l\hat{\mathbf{c}}$ 

**CDW order:** Charge order with associated lattice displacements, which corresponds to a rapid rise in correlation length  $\xi$  below  $T_{\rm CDW}$  and is elastic or quasielastic  $\hbar\Gamma \lesssim 1~{\rm meV}$ .

was the target of choice. It was found that in the superconducting state the application of a magnetic field, which is screened in the bulk by quantized superconducting vortices, induces a checkerboard-like 2-**q** electronic modulation in a "halo" region about each vortex core with a period of  $\sim 4a$  (16). Another group noted the presence of similar modulations in zero magnetic field (17).

Another much-studied cuprate is  $YBa_2Cu_3O_{6+x}$  (YBCO). Measurements of transport properties, such as the Hall effect, on an underdoped crystal in very high magnetic fields revealed quantum oscillations indicating the presence of small Fermi-surface pockets (18). This observation motivated proposals that the pockets might be the consequence of a density wave such as CDW order (19, 20). Direct evidence for CDW order came from high-field NMR measurements on YBCO (p = 0.108) (21) where it was found that charge ordering onsets at  $T \approx 60$  K (comparable to the zero-field  $T_c$ ) in magnetic fields of 28.5 T, and with the absence of any SDW order. Resonant (12) and non-resonant (13) x-ray measurements found diffraction evidence for charge order in superconducting YBCO, as illustrated in **Figure 1b-c**, with dopings similar to the NMR sample. In contrast to the NMR results, the CDW signal was found to appear at a temperature far above  $T_c$ , and to decrease below  $T_c$ .

This cursory historical description indicates a number of puzzles and apparent inconsistencies. Is there evidence of true long-range order as one would expect for a thermodynamic phase of matter? What is the significance of evidence that the CDWs are sometimes 1-**q** and other times 2-**q** correlations? How is the CDW correlated between CuO<sub>2</sub> planes? Are there "static" and "dynamic" components to the CDW correlations? What structural features of lattice order or disorder impact the appearance of CDW correlations? What is the relationship between CDW correlations and superconductivity, and how is this impacted by an applied magnetic field? What do the atomic displacement patterns associated with a CDW look like? What is the relationship between CDWs, SDWs, and fluctuating spin correlations? What are the implications of the studies of quantum oscillations and Fermi surface reconstruction?

In the following, we attempt to address these questions and identify systematic behaviors underlying the experimental observations. We then give a very brief mention of theoretical perspectives, before summarizing and identifying open questions.

#### 2. Nature of the charge correlations in cuprates

In a conventional continuous (second-order) phase transition, one expects to observe critical (dynamic) fluctuations of the order parameter whose correlation length  $\xi$  diverges on cooling to the transition temperature. Below the transition, the order parameter is finite and a static correlation extends across the entire system (i.e.  $\xi \to \infty$ ), with growth of the order parameter on cooling below the transition. In cuprates, the CDW correlations never follow this behavior. The fundamental reason for this is that cuprates are not clean homogeneous systems with simple crystal structures. Creating a high-temperature superconductor requires doping a charge transfer insulator. The resulting system has poorly screened dopant ions and structural inhomogeneities. Thus the CDW develops in a system-dependent inhomogeneous electronic environment (22).



Correlation lengths in cuprates. (a) *T*-dependence of correlation length  $\xi$  for various cuprates (23, 24, 25, 26, 27, 28),  $\xi$  is determined from the half-width-at-half maximum peak width ( $\Delta_{\text{HWHM}}$ ) in scattering experiments ( $\xi = 1/\Delta_{\text{HWHM}}$ ). (b) *T*-dependence of CDW Bragg peak intensity. Arrows denote  $T_c$ . (c) In-plane correlation lengths vs magnetic field *B*. For the 2D and 3D components of the CDW (29).

# 2.1. Correlation Lengths

X-ray scattering scans such as those shown in **Figure 1b-c** can be used to determine the thermal evolution of the CDW correlation lengths,  $\xi$  (**Figure 2a**) and CDW Bragg peak intensity (**Figure 2b**) in a range of cuprate families for  $p \approx 0.12$ . The signal measured is an integral over frequency (energy). For the cuprates, we see that CDW correlations are common and have different and distinct behavior. In the case of LBCO, where the largest  $\xi$  is established,  $\xi$  grows continuously with the superlattice intensity, distinct from a conventional ordered state. At a different extreme, CDW intensity in Bi2212 and HgBa<sub>2</sub>CuO<sub>4+y</sub> (Hg1201) appears at rather high temperatures, but  $\xi$  does not grow much and is just comparable to a single CDW period. We define  $T_{\rm CDW}$  as the temperature where  $\xi$  begins to grow rapidly. For YBCO, the intensity and  $\xi$  start to grow at  $T_{\rm CDW} \approx 150$  K, reach a peak at  $T_c$ , decrease below that. LSCO shows a rise in intensity and  $\xi$  below  $T_{\rm CDW} \approx 70$  K.

Figure 2c provides a teaser to an intriguing behavior found in YBCO that will be discussed further below. At  $T < T_c$  and in a magnetic field *B* applied along the *c* axis, a new CDW component appears with a much larger  $\xi$ . The field (which depresses the superconducting order) also enhances  $\xi$  for the low-field CDW component.

# 2.2. Static Versus Dynamic

CDW correlations involve both static and dynamic components. When discussing CDW order, one usually has in mind static order. Distinguishing between static and dynamic correlations can be challenging. As we will discuss in Sec. 6, there are soft phonons ( $E \leq 70 \text{ meV}$ ) and electronic excitations to which RXS and RIXS are highly sensitive, but where resolving fluctuations from elastic (static) scattering is an experimental challenge.

X-ray photon correlation spectroscopic measurements on CDW scattering from LBCO (p = 0.125) at the Cu  $L_3$  edge indicate static speckle patterns over a measurement time of

> 2 h at temperatures up to 45 K (30), providing compelling evidence for "static" CDW order. In YBCO ( $p \approx 0.12$ ), inelastic x-ray scattering (IXS) measurements (31, 32) find that the 2D CDW signal appears static on an energy scale  $\hbar \omega \lesssim 1$  meV. <sup>17</sup>O NMR measurements on samples with the same doping find similar onset temperatures, providing firm evidence for static order (11).

A Cu  $L_3$ -edge RIXS study on YBCO (33), in which energy integration was performed up to 150 meV, found that the CDW scattering could be decomposed into two peaks. The intensity of the narrow peak decreases with temperature in a fashion similar to the previously-identified CDW order, while the broad peak (short  $\xi$ ) is essentially temperature independent and broad, consistent with dynamic correlations.

# 2.3. 1-q and 2-q Order



# Figure 3

Real space patterns of charge density. (a-c) Real space patterns of charge correlations from Landau-Ginzsburg model with disorder (34). (a) 1-**q** pattern or "stripes". (b) 2-**q** pattern with short range correlations or disorder (a 1-**q** pattern with disorder is indistinguishable (34)). (c) 2-**q** "checkerboard" pattern with long range correlations. Adapted from Ref. (34) with permission.

As a reference point, we now consider the nature of possible broken symmetries introduced by CDW order in a square lattice. The charge density on the lattice sites can be described in terms of two complex scalar order parameters  $\varphi_{1,2}(\mathbf{r})$ ,

$$p(\mathbf{r}) = \overline{\rho} + \left[\varphi_1(\mathbf{r})e^{\mathbf{q}_a \cdot \mathbf{r}} + \varphi_2(\mathbf{r})e^{\mathbf{q}_b \cdot \mathbf{r}} + c.c.\right], \qquad 1.$$

where  $\mathbf{q}_a \equiv (\delta_a, 0)$  and  $\mathbf{q}_b \equiv (0, \delta_b)$ . One can write down a Landau-Ginzburg model consistent with the symmetry in terms of these order parameters (34). The interaction between them is controlled by the coupling term  $\gamma |\varphi_1|^2 |\varphi_2|^2$ . If  $\gamma > 0$ , then  $\varphi_1$  and  $\varphi_2$ effectively repel each other, so that a 1- $\mathbf{q}$  "stripe-like" structure (**Figure 3a**) is favored. On the other hand, if  $\gamma < 0$ , there is effective attraction and a 2- $\mathbf{q}$  "checkerboard-like" pattern (**Figure 3c**) is favored. When  $\xi$  is long, there is a clear distinction between 1- $\mathbf{q}$ and 2- $\mathbf{q}$  order. However, in real materials with a short correlation length, the distinction is not clear, as one can see from the simulation of disorder effects in **Figure 3c** (34).

Diffraction from a cuprate with a square lattice (35) and NMR in general (21) cannot be unambiguously inverted to produce the real space variation of the order parameter. Given that it provides real-space images, SI-STM is the ideal way to see whether there is a preference between 1-**q** and 2-**q** pictures. The challenge is that imaging over a large area requires materials that cleave well, and these systems, even when orthorhombic, tend to have equivalent Cu-O bonds in orthogonal directions and short correlation lengths (36, 37).

In systems where there is broken rotational symmetry between Cu-O bonds , or symmetry is broken by uniaxial stress (see Sec. 4), clear distinctions are possible.

# 2.4. 2D and 3D Orders



#### Figure 4

The schematics show how inter-plane correlations might develop due to interaction with a dopant site or Coulomb interactions in (a) LSCO/LBCO and (b) YBCO. The phase of the CDW order parameter is denoted by the color and the black sphere represents an out-of-plane defect.

The CuO<sub>2</sub> layers in which CDW correlations develop are stacked in 3D structures along the crystalline c axis. What about correlations along the c axis? For CDW scattering characterized by  $\mathbf{q}_a$ , the 3D wave vector is  $\mathbf{Q} = (\delta, 0, \ell)$ . If there were no correlations between neighboring planes, the scattering at  $\mathbf{Q}$  would be independent of  $\ell$ . If the CDW order were exactly the same and in phase in all layers, then the scattering would peak at  $\ell = n$ , where n is an integer (which appears to be the case in Hg1201 (26)). It turns out that measurements of CDW scattering in Nd-LSCO (38), LBCO (39), YBCO (13), and LSCO (24) find that the intensity has a broad peak at  $\ell = n + 1/2$ . Such a peaking in  $\ell$  indicates that the CDW correlations exhibit a doubling along c with respect to the crystallographic unit cell. The significance depends on the crystal structure and the nature of the correlations. A broad peak in  $\ell$  (13, 24) indicates a limited correlation length along the c-axis.

For LBCO and similar compounds, the CDW order occurs in a structure that has broken rotational symmetry of the Cu-O bonds, with an orientation that rotates  $\pi/2$  between neighboring layers (see Sec. 4), correspondingly, the 1-**q** order rotates its orientation, as shown in **Figure 4a**. Coulomb repulsion between the charge modulations in the layers favors a  $\pi$  phase shift of the CDW order in the next-nearest neighbor layers, as indicated. A recent x-ray study indicates how this is communicated between layers by displacements of the out-of-plane ions (40), resulting in a "3D" CDW order. The random distribution of dopant ions presumably limits the correlation length along c.

In YBCO, the  $\pi$  phase shift illustrated in **Figure 4b** appears to arise from quenched disorder in the chain layer (41, 42) resulting in anomalies in the Coulomb potential. The response is the same CDW configuration within the neighboring CuO<sub>2</sub> bilayers, but with out-of-plane displacements occurring in opposite directions. It is convenient to label this order with short *c*-axis correlation length, observed in low magnetic fields, as a "2D" CDW to distinguish it from the 3D CDW order that can be induced by the application of a *c*-axis magnetic field ( $\gtrsim H_{c2}$ ) (43, 29), uniaxial stress (44) or strain due to a substrate (45). This 3D component is also a 1-**q** CDW, peaked at wavevectors such as  $(0, \delta_b, 1)$ . It has relatively long correlation lengths of  $\xi_b \approx 300$  Å (see **Figure 2c**) and  $\xi_c \approx 50$  Å (29).



# 2.5. Pinning, Nucleation, and the Effect of a Magnetic Field

#### Figure 5

Schematic representation CDW order in cuprates. Pink regions of typical size  $\xi$  are where a defect nucleates the CDW or disrupts the phase of the CDW order parameter. (a) CDW halos or puddles nucleate on pinning sites. (b) Correlation length increases as T reduced to  $T_c$ . (c) CDW is suppressed inhomogeneously in the superconducting state (B = 0). (d) Superconducting state in small fields. Vortices are introduced and additional CDW correlations appear as "vortex halos". (e) At high fields, 2-D CDW is strengthened and a second 3-D 1-q component appears, this locks in to form 3-D CDW order (43, 29, 46) (f) SI-STM image of local density of states in showing density correlations associated with vortices Bi<sub>2</sub>Sr<sub>2</sub>CaCu<sub>2</sub>O<sub>8</sub>. The image shows the difference of data collected in the superconducting state, with and without a magnetic field of 5 Tesla illustrating the vortex halos. Image from Ref. (16) with permission. (g) B-T phase diagram based on (11, 43, 29, 46).

In conventional CDW systems, the properties of the CDW are intimately connected to quenched disorder and the periodic ("lock-in") potential of the host lattice (47, 48). McMillan (47) showed that pinning sites (due to dopants and impurities) lead to a local enhancement of the ordered phase and stabilize the incommensurate phase relative to the commensurate.

Figure 5a-c presents a schematic representation of the development of 2-D CDW order in a cuprate such as YBCO on cooling. In the doping regime ( $p \sim 0.12$ ) where CDW correlations are maximized, Figure 5a indicates the situation near the onset of CDW order, where, in analogy with the STM results of Figure 5e (16), it is reasonable to expect that the CDW modulations (with 2-q CDW character) appear in halos or puddles centered on the defects (49). For YBCO, because the crystal structure is orthorhombic, it is possible for x-ray diffraction on detwinned crystals to detect CDW peaks characterized

by both  $\mathbf{q}_a$  and  $\mathbf{q}_b$  (50, 23). The halos and correlation length grow on cooling towards  $T_c$  (**Figure 5b**). The disorder disrupts inter-halo coherence (49) leading to a finite  $\xi$ . The scattered intensity and  $\xi$  decrease below  $T_c$  (**Figure 2**) due to inhomogeneous competition with the superconducting state (**Figure 5c**).



# Figure 6

The effect of magnetic field on CDW in YBCO. (a) Intensity of the CDW Bragg peak vs  $\ell$  (*c*-axis wavevector) showing how inter-planar correlations develop. 2-D correlations (centered on  $\ell \approx 1/2$ ) are enhanced with field and 3-D ( $\ell = 1$ ) CDW order develops above  $B \approx 10$  T (29). (b-c) 3-D CDW order develops as the single bilayer CDW structure (with broken mirror-plane symmetry) becomes coherent along the *c*-axis (29). (d) For  $B \gtrsim 10$  T a locked-in structure with period 3b develops in local regions separated by discommensurations (46). Panels (a-c) adapted from Ref. (29) (CC BY 4.0), (d) reproduced from Ref. (46) (CC BY 4.0).

As we have noted, application of a *c*-axis magnetic field to the superconducting phase introduces quantized superconducting vortices within the CuO<sub>2</sub> planes. Given that the superconducting order goes to zero in the vortex core and that it only gradually recovers strength with distance from the core, it is quite plausible that a competing phase of CDW order will develop in a halo region about the core, as illustrated by the STM image measured on Bi2212 (16) in **Figure 5f**. Hence, as indicated in **Figure 5d**, we expect that applying a modest magnetic field to YBCO in the superconducting phase will induce vortices with CDW halos in regions away from defect-pinned CDW puddles. On approaching the critical field  $B_{c2}$ , the superconducting order will be gradually suppressed, as there is overlap of the CDW halos, and longer-range order (11) develops. Without the CDW order,  $B_{c2}$  would correspond to the point at which vortex cores fill the plane. Since the CDW halos are much larger,  $B_{c2}$  is correspondingly decreased (51, 52).

NMR (46) and x-ray diffraction (43, 29) measurements provide direct support for this picture. The competition between CDW pinning and the stiffness of the CDW order parameter leads to a "crossover (broadened) transition" to a state with long range 3D order (21, 11, 43, 29, 49, 42, 46) which coexists locally (11) with the 2D order. The evolution of the CDW correlations is most dramatically seen in the diffraction measurements of the  $\ell$ -dependence of the intensity of  $\mathbf{q}_b$  correlations,  $I(0, \delta_b, \ell)$  (43, 29) as shown in Figure 6a. For B = 0,  $I(0, \delta_b, \ell)$  shows a weak and broad peak at  $\ell \approx 1/2$  corresponding to the 2D correlations discussed in Sec. 2.4. For B = 10 T, the 2D CDW correlations are enhanced as superconductivity is suppressed, and at B = 16.5 T a new Bragg peak appears at  $\ell = 1$ 

corresponding to the 3D order.

Besides the field-induced enhancement of the intensity associated with the 2D CDW correlations, there is also a shift in the position in  $\ell$  of the intensity maximum (**Figure 6a**). The low-field scattering pattern is a consequence of the broken mirror-plane symmetry of the CuO<sub>2</sub> bilayers (see Sec. 2.7). The CDW structure can be represented by a sequence of layers with Type A (phase=0) and Type B (phase= $\pi$ ) modulations as shown in **Figure 6b**. The evolution of the  $\ell$ -dependent scattering with field can be modeled by changing the balance of the A and B modulations along the *c* axis, as suggested in **Figure 6c** (29).

The 3D CDW order that appears above ~ 15 T is a unidirectional (1-q) modulation along the *b* axis with enhanced correlation lengths both in-plane (**Figure 2c**) and along the *c* axis (43, 29, 53). Recent NMR measurements (46) indicate that the CDW locks in locally with  $\delta_b = 1/3$  for YBa<sub>2</sub>Cu<sub>3</sub>O<sub>6.56</sub> (p = 0.109) (**Figure 6e**). This is interesting because the wave vector measured in high-field x-ray experiments on the 3D CDW (54) find  $\delta_b = 0.314(2)$  for YBa<sub>2</sub>Cu<sub>3</sub>O<sub>6.67</sub> (p = 0.123). The difference can be accounted for by the CDW having regions where the local structure has period  $\lambda = 3a$  with phase slips (discommensurations) between these regions (46). Similar behavior is seen in other CDW systems such as TaSe<sub>2</sub> (55) and also in STM measurements on Bi2212 (56).

The *B-T* phase diagram for the 2D and 3D CDW orders is shown in **Figure 5g**. Interestingly, the 3D order only appears at low temperature, in a range comparable to the superconducting phase that it replaces, while the shorter-range 2D correlations extend to higher temperatures.

The impact of pinning and structure varies among cuprates. In the case of LBCO, the dopants are relatively dense. They determine the landscape within which the CDW order occurs (57), but  $\xi$  is large relative to the average defect spacing.

#### 2.6. Competition with superconductivity

In general, CDW correlations tend to show optimal strength at a hole concentration  $p \sim 0.12$ , with a corresponding depression of  $T_c$  (relative to a dome that varies parabolically with p) (1). For YBCO, plotting the upper critical field  $H_{c2}$  versus p yields peaks at  $p_1 \approx 0.08$  and  $p_2 \approx 0.08$ , and a minimum near  $p \approx 1/8$  (52). Also for YBCO, in zero magnetic field the CDW peak signal grows on cooling toward  $T_c$ , and then decreases below  $T_c$  (but not to zero) and  $\xi$  also decreases below  $T_c$  (12, 13). These observations suggest competition between superconductivity and the CDW.

When  $T_c(B = 30 \text{ T})$  is plotted versus p for YBCO we see suppression around  $p \sim 0.12$ , with a weak peak at  $p_1 \approx 0.08$  and a large peak at  $p_2 \approx 0.18$  (52). Thus YBCO has a lot in common with LBCO in zero field. LBCO shows a sharp dip in  $T_c$  for bulk superconductivity at p = 1/8, which corresponds with optimal CDW order (58, 59). Both the CDW order in LBCO and the 3D CDW order in YBCO appear to be 1-**q** states. It must be noted that, while the bulk superconducting order is strongly depressed in LBCO x = 1/8, mean-field 2D superconductivity onsets at 40 K (60), together with SDW order. The presence of 2D superconductivity without 3D order has been rationalized in terms of pair-density-wave (PDW) order (61). The best evidence for PDW order comes from a recent SI-STM study of the vortex-halo CDW order in Bi2212 (62, 61).

Applying a *c*-axis *B* field to LBCO x = 1/8 has little impact on the CDW order, as there is little bulk superconductivity to suppress. The situation is different for LSCO with  $p \approx 0.12$ , where x-ray diffraction finds that the CDW intensity present at zero field doubles



Crystal structures of selected cuprates. (a)  $YBa_2Cu_3O_{6.5}$  is the ortho-II (chain ordered) structure. For LBCO LTT (e), the shaded squares are center sections of  $CuO_6$  octahedra shown the HTT structure. The octahedral rotations about the Cu–O bonds are exaggerated by a factor of 5. The dashed lines illustrate the bond buckling in the direction perpendicular to the rotation axis, which may favor 1-**q** charge and spin order. Figure produced with VESTA (65).

with a c-axis field of 10 T (63, 64).

# 2.7. Displacement Patterns

The displacement pattern of the cuprate CDWs may be determined (66, 40) by measuring a reasonable number of satellite Bragg peaks by x-ray or neutron diffraction and fitting measured intensities to ones calculated from possible models. The concept of a continuous phase transition, as described by Landau theory, involves the loss of a symmetry of the parent structure. The orthorhombic phase of YBCO has relatively high-symmetry (*Pmmm*), which means that there are relatively few displacement patterns, or irreducible representations (IRs), that are consistent with the ordering wave vectors (66, 68). An analysis based on the observed superlattice peaks indicates that the mirror-plane symmetry of the Cu-O chain layer (**Figure 7a**) is retained but that of the CuO<sub>2</sub> bilayers is broken.

The CDW structure consistent with the x-ray scattering data for YBa<sub>2</sub>Cu<sub>3</sub>O<sub>6.54</sub> (Ortho-II,  $T_c \approx 60$  K) (66) can be described as a sum of displacements associated with modulations  $\mathbf{q}_a$  and  $\mathbf{q}_b$ . For the parent structure, let the atomic positions, lattice points and unit cell atomic positions be denoted  $\{\mathbf{r}_{ld}\}, \{\mathbf{r}_l\}$  and  $\{\mathbf{r}_d\}$ , with  $\mathbf{r}_{ld} = \mathbf{r}_l + \mathbf{r}_d$ . For the  $\mathbf{q}_a$  modulation, the atomic displacements due to the CDW are given by a sum of two terms, one polarized along  $\mathbf{c}$  ( $\mathbf{u}_d^c$ ) and the other polarized along  $\mathbf{a}$  ( $\mathbf{u}_d^a$ ). The displaced atomic positions are

$$\mathbf{r}_{ld}' = \mathbf{r}_{ld} + \mathbf{u}_d^c \cos(\mathbf{q}_a \cdot \mathbf{r}_{ld} + \varphi) + \mathbf{u}_d^a \sin(\mathbf{q}_a \cdot \mathbf{r}_{ld} + \varphi), \qquad 2$$

where symmetry requires that the  $\mathbf{u}_d^c$  and  $\mathbf{u}_d^a$  displacements are  $\pi/2$  out of phase and there is an analogous expression for the displacements associated with  $\mathbf{q}_b$ .

The intriguing CDW structure determined from x-rays is summarized in **Figure 8a-d**. The overall structure of the  $\mathbf{q}_b$  mode is shown in  $\mathbf{a}$ , where it can be seen that the ionic displacements are largest within the CuO<sub>2</sub> planes. The in-plane oxygen atoms have the largest displacement amplitudes,  $\approx 4 - 5 \times 10^{-3}$  Å. The displacements for the  $\mathbf{q}_a$  mode (not shown) are similar. The displacement pattern is made up of a superposition of the



Displacement pattern of CDW in YBCO determined from x-ray diffraction (66). (a) Arrows represent the atomic displacements. Oxygen atoms show the largest displacements. Remaining mirror planes in CDW structure show in grey. The modulation is constructed from the motifs in (b) and (c) which are  $\pi/2$  out of phase. (d) From STM measurements on Ca<sub>2-x</sub>Na<sub>x</sub>CuO<sub>2</sub>Cl<sub>2</sub> (NaCCOC) (67), the ratio of the tunneling current measured at a bias voltage of +150 meV relative to -150 meV shows the *d*-wave form factor. Panels (a-d) reproduced from Ref. (66) (CC BY 4.0), panel (e) reproduced with permission from Ref. (67).

motifs in **Figure 8b** and **c** modulated in space according to Equation 2. This means that the direction of the displacement of a given atom in the unit cell precesses as we move along the *b*-axis as shown in **Figure 8a**. The displacement pattern of the in-plane oxygen atoms along the *c*-axis is plotted for the *a-b* plane in **Figure 8d**. This pattern may be viewed as a *d*-wave form factor (67) associated with the Cu sites in that the displacements along perpendicular Cu-O bond directions are out of phase. A similar pattern is seen in STM measurements on another cuprate (67), as shown in **Figure 8e**, suggesting that the displacement pattern is associated with a modulation of the local electronic structure. The *d*-wave form factor is also seen in RXS measurements (69). However, an *s*-like symmetry was identified by RXS for LBCO p = 1/8 (70), and a recent study indicates an *s* component in YBCO (71).

# 3. Spin Density Waves and Spin Fluctuations

Cuprate superconductors show spin fluctuations that are strongest near  $\mathbf{q} = (1/2, 1/2)$ and have energies up to ~300 meV. Thus, charge order forms in a background of strong antiferromagnetic correlations. Some cuprates, including Nd-LSCO (72, 73), Eu-LSCO (74), LBCO (58, 75) and LSCO also show freezing or ordering into a spin density wave (SDW) for  $T \lesssim T_{\text{CDW}}$  in which the wave vectors of the SDW and CDW are related. Diffraction peaks due to the SDW occur at  $(1/2, 1/2 \pm \delta_s)$  and  $(1/2 \pm \delta_s, 1/2)$  with  $\delta_c = 2\delta_s$ . This relationship of  $\delta_c$  and  $\delta_s$  occurs naturally in the stripe picture mentioned in Sec. 1.2. Note that the cuprates with strong SDW order also have a strong suppression of bulk superconductivity, as discussed in Sec. 2.6.

It is natural to ask how universal the  $\delta_c$  and  $\delta_s$  are among cuprates. We plot the doping dependence of these for a number of cuprates in **Figure 9**. For  $\delta_s$ , we compare the SDW results for LBCO with results for the lowest-energy incommensurate excitations in YBCO and Bi2201, and find a similar trend. In contrast, there are distinct behaviors for  $\delta_c$  vs. p. The relation  $\delta_c = 2\delta_s$  holds only in those systems, mentioned above, that exhibit SDW order. In contrast, systems with suppressed low-energy spin fluctuations, such as YBCO (50, 76, 23, 77, 78) and Bi2201 (79, 80), show no obvious relationship between  $\delta_s$  and  $\delta_c$ .



#### Figure 9

Comparison of propagation vectors ( $\delta_c$  and  $\delta_s$  respectively) associated with CDW and low-energy spin fluctuations as a function of doping for selected materials. (a) For CDW case, wavevector is (0,  $\delta_c$ ). Data from Refs. (50, 76, 23, 59, 24, 81, 82, 26, 83, 79). (b) For spin case wavevectors are (1/2, 1/2  $\pm \delta_s$ ) and (1/2  $\pm \delta_s$ , 1/2). Data from Refs. (77, 78, 84, 80)

#### 4. Relationship between the CDW and crystal structure

The common structural feature of the cuprate superconductors is the CuO<sub>2</sub> plane. Thus, it is the electronic properties of the these planes that almost certainly drive the formation of the CDW, and provide the primary order parameter. The CuO<sub>2</sub> planes can be embedded in a variety of host structures with different crystal symmetries, and a few examples are illustrated in **Figure 7**. A very clear case of coupling of the CDW to the crystal structure occurs for the La<sub>2-y</sub>(Nd)<sub>y</sub>(Sr,Ba)<sub>x</sub>CuO<sub>4</sub> system. Members of this system such as Nd-LSCO (x=0.12) (14) and LBCO (x = 0.125) (59) exhibit a phase transition to the low-temperaturetetragonal (LTT) structure (see **Figure 7**) at  $T_{\rm LTT}$ . It is found that  $T_{\rm CDW} \lesssim T_{\rm LTT}$  in both cases. The distortion of the CuO<sub>2</sub> planes in the LTT phase, as shown in **Figure 7e** involves different Cu-O bond lengths in orthogonal directions, which provides a unique orientation for a 1-q CDW order in each plane, with a  $\pi/2$  rotation between planes.

The situation in the sister compound LSCO is more subtle. For LSCO ( $x \approx 1/8$ ), there is a transition from the HTT structure of **Figure 7d** to the low-temperature-orthorhombic (LTO) structure below  $T_{\rm LTO} \approx 240$  K in which the CuO<sub>6</sub> octahedra rotate about the [110]type directions. Recent studies have found evidence for extra distortions of the nominal LTO phase (85, 86) resulting in inequivalent Cu-O bonds in (approximately) orthogonal directions (though with a smaller difference than in the LTT phase). This enables an onset of CDW order at  $T_{\rm CDW} \approx 70$  K (24, 87), which is higher than the  $T_{\rm LTT}$  of LBCO. Applying a uniaxial compressive stress along one of the Cu-O bond directions has recently been used to prepare a CDW with macroscopic 1-**q** character. CDW and SDW orders are suppressed in the direction of compression and substantially enhanced in the orthogonal direction (64, 88). It seems likely that this is due to the single domain 1-**q** CDW being favored by further structural distortions induced by the uniaxial stress (85).

In YBCO, the 4-fold symmetry of the CuO<sub>2</sub> planes is broken by the Cu-O chain order **Figure 7a**. The resulting orthorhombic structure has distinct Cu-O bond lengths along the *a* and *b* axes, with  $a/b \approx 0.99$  (50). The 2-D 2-**q** charge correlations, most likely nucleated by defects, develop under this orthorhombic perturbation as discussed in Sec. 2.5. In contrast, the 3-D 1-**q** order that is induced in high magnetic field chooses a unique 1-**q** orientation, with its modulation along the *b* axis (parallel to the Cu-O chains) (43, 29). Intriguingly, application of uniaxial stress perpendicular to the chains in YBCO with p = 0.12 induces the same 1-**q** 3D order at  $T_{\text{CDW}}^{3-\text{D}} \approx 70$  K, before disappearing at lower temperature as bulk superconducting order appears (44). In Hg1201 and Bi2212, the CDW correlation length remains short at low temperature (**Figure 2**) blurring the distinction between **1-q** and **2-q** order (89, 90).

#### 5. Fermi surface reconstruction: Hall coefficient and Quantum oscillations

The formation of charge- or spin-density wave order can lead to Fermi surface (FS) reconstruction and, in some cases, to dramatic changes in transport coefficients. For example, in NbSe<sub>2</sub> the *T*-dependence of the Hall coefficient ( $R_H$ ) and the thermopower (*S*) deviate from their high-*T* trends at  $T_{CDW} \approx 32$  K (93). Similar behavior is observed in YBCO (p = 0.12) (91) as shown in Fig. 10(a) where we see that  $R_H(T)$  begins to show a downturn from its high-*T* trend near  $T_{CDW}$  and changes sign at low *T*. Thus, charge order is an obvious candidate to explain reconstruction of the Fermi surface (20, 92) resulting in the formation of closed electron-like pockets (**Figure 10b**) detected by quantum oscillations (QO) (18). The onset of the 2D CDW, with its 2-**q** character, naturally explains (20) the sign reversal observed (91) in the Hall number  $n_H = 1/R_H$  at low-temperature for doping p < 0.16. In the overdoped cuprate Tl<sub>2</sub>Ba<sub>2</sub>CuO<sub>6+ $\delta}$ </sub> (Tl2201), a  $p \rightarrow 1 + p$  transition is observed in the high-field limit of  $n_H$ , where the 1 + p behavior is found for p > 0.25 (94), consistent with QO measurements that indicate a large pocket in that range (95). The crossover has been shown to correlate with the disappearance of the CDW in this compound (83).

The 2-**q** nature of the 2D CDW in YBCO ( $p \approx 0.12$ ) provides a plausible way to explain the QO measurements (96, 19, 20, 97). However, one issue may be the limited correlation length  $\xi_{2D}$ , which is  $\xi_{2D} \approx 100$  Å at B = 15 T and could increase further with field (**Figure 2c**). The relevant length scales for comparison are the radius of the QO orbit in real space, which can be estimated from the QO frequency as  $r_{\text{orbit}} = \sqrt{2\hbar F/(eB^2)} \approx 150$  Å (18), and the quasiparticle mean free path  $l_0 = v_F \tau \approx 200$  Å (98) for YBCO (B = 55 T).



Fermi surface reconstruction in cuprates. (a) In YBCO, on cooling, the Hall coefficient shows a deviation from its trend line beginning near  $T_{\text{CDW}}$ . There is a downturn and eventual sign charge (91). Adapted from Ref. (91) with permission. (b) CDW order combined with the momentum-dependent pseudogap cause FS reconstruction in YBCO (20, 92). The electron pocket (red) is believed to be responsible for the quantum oscillations seen in this system (18). Adapted from Ref. (92) (CC BY 4.0). (c) The CDW observed in Tl2201 should also produce FS reconstruction, in this case the hole pocket (green) is larger than the electron pocket (red) (83).

While the 3D CDW has a longer correlation length, its 1-**q** character makes an explanation of the small pocket more challenging (97). For the 2D CDW,  $\xi_{2D}$  is roughly comparable to  $r_{\text{orbit}}$  and  $\ell_0$ . It is also relevant to note that a recent experiment measuring the Hall effect in the presence of uniaxial stress found no change in behavior in the temperature range where the 3D CDW is induced (99). Hence, the 2-**q** picture remains the popular explanation for the FS reconstruction and QO results. Multiple QO frequencies observed in YBCO (100) are thought to be due to the CDW breaking the mirror plane symmetry of the bilayer (101, 102). QO have also been observed in Hg1201 (103, 104), however, in Hg1201 (26) the CDW has a much shorter correlation length,  $\xi \approx 20-30$  Å, than in YBCO.

#### 6. Charge Excitations and Phonon Anomalies

So far in this review we have concentrated on the charge correlations with wave vectors  $\mathbf{q} \approx (\delta, 0)$ , with  $\delta = 0.2-0.3$ , that are at least quasi-static on the scale of  $\hbar \omega \sim 1$  meV. Collective charge excitations can be observed directly using probes such as electron energy loss spectroscopy (EELS) (110) and RIXS (111, 105), or via their coupling to phonons using inelastic x-ray scattering (IXS), neutron scattering (INS) and RIXS.

Recent advances in RIXS have allowed the observation of dispersive collective charge excitations in cuprates with energies up to ~ 1 eV (111, 105). Figure 1a shows a schematic representation of where these lie in q-space. In a conventional picture of a metal (which does not apply directly to cuprates), we expect the charge response  $\chi_c(\mathbf{q}, \omega)$  to be approximated by a random phase approximation (RPA) or similar theory to include Coulomb interactions together with a Lindhard function (112). This will lead to plasmons and damped but structured 'electron-hole' excitations. In 3D metals, the plasmon has a large gap  $\omega_p \approx ne^2/(2m^*\epsilon)$ . However, the cuprates behave approximately as 2D conducting sheets separated by dielectric blocks. This geometry leads to "acoustic plasmons" (112)



Collective charge excitations in cuprates. (a) Acoustic plasmon excitations measured in LSCO(p = 0.16) using O-K RIXS (105). Figure from Ref. (105) with permission. (b) Signatures of collective charge excitations measured near  $\mathbf{q}_{\text{CDW}}$  in LSCO(p = 0.15) measured with O-K RIXS with ~16 meV resolution. (106). An elastic (E = 0) peak has been subtracted from the data. Scattering due to charge excitations and coupling to various phonon modes is seen at  $\mathbf{q}_{\text{CDW}}$  over the energy range 10 - 70 meV. Figure from Ref. (106) (CC BY). (c) Oxygen phonon modes in a single CuO<sub>2</sub> layer: in-plane bond-stretching mode (BS); the in-phase  $A_{1g}$  and out-of-phase  $B_{1g}$  c-axis polarized modes; and the longitudinal acoustic (LA) mode (107, 106). (d) Nature of CDW correlations in LSCO with doping and temperature (108, 109).

which have out-of-phase charge oscillations in neighboring layers that disperse to energies  $\leq 100 \text{ meV}$  for certain values of the *c*-axis wave vector. Acoustic plasmons or a stronglydispersing electron-hole continuum have been observed for  $h \leq 0.1$  in electron- (111) and hole-doped (105) cuprates using Cu- $L_3$  and O-K edge RIXS, respectively. Figure 11a shows the acoustic plasmons in LSCO (p = 0.16) (105).

Evidence for charge density fluctuations near  $\mathbf{q}_{\text{CDW}}$  was initially provided by their coupling to phonons. A dip in the dispersion of the Cu-O bond stretching mode along the Cu-O bond direction at  $\mathbf{q}_{\text{CDW}}$  was identified by inelastic neutron scattering studies of YBCO (113) and 214 cuprates (114).

The acoustic phonons in conventional CDW systems such as NbSe<sub>2</sub> show a dip in their dispersion near  $\mathbf{q}_{\text{CDW}}$  which tends to zero frequency as  $T \to T_{\text{CDW}}$  (Figure 12c). In YBCO, a broadening is observed at  $T_{\text{CDW}}$  where the 2D CDW order develops (Figure 12a,b). It is not clear whether there is an associated softening at ambient pressures. However, a complete softening is observed when 3D CDW order is induced under uniaxial pressure (44). In LBCO (115) and Bi2212 (116), phonon anomalies at  $\mathbf{q}_{\text{CDW}}$  are found to persist for  $T > T_{\text{CDW}}$ . Thus, it appears that the phonon broadening observed in YBCO and the other systems signals the presence of charge correlations or a precursor effect, rather than the 2D CDW order.

Theoretical analysis (107, 106) has demonstrated the sensitivity of RIXS measurements to phonon modes involving the O atoms within the CuO<sub>2</sub> planes, especially: the in-plane bond-stretching mode (BS); the in-phase  $A_{1g}$  and out-of-phase  $B_{1g}$  c-axis polarized modes; and the longitudinal acoustic (LA) mode. RIXS measurements on LSCO (p = 0.15) at the O K edge (106), shown in **Figure 11b**, demonstrate this sensitivity. The feature at ~ 14 meV and the column of scattering at  $h = q_{\text{CDW}} \approx 0.24$  result from CDW fluctuations



Acoustic phonon anomalies. (a) YBCO (p=0.12) shows *T*-dependent anomalies in the acoustic phonons near  $\mathbf{q}_{\text{CDW}}$ . These have been interpreted on terms of a softening (a) (32) or broadening (b) (31) of the excitations. Panel (a) from Ref. (32) with permission. (c) A phonon softening at  $\mathbf{q}_{\text{CDW}}$  is seen in NbSe<sub>2</sub>, with the phonon frequency tending to zero at  $T_{\text{CDW}}$  (117). Figure from Ref. (117) with permission.

and their coupling to acoustic and other phonons. Related features are seen at the Cu- $L_3$  edge in YBCO (33, 118) and Bi2212 (27). In both cases the scattering increases in strength and has a decreasing characteristic energy with reducing T.

In this review have have discussed two components to the charge correlations near  $\mathbf{q}_{\text{CDW}}$ : A quasistatic, longer-range component with  $\xi \sim 100$  Å (CDW-order) and shorter-range  $(\xi \sim 20$  Å) inelastic (dynamic) component with characteristic energy  $\hbar\Gamma \sim 10-100$  meV (charge density fluctuations). A recent study of LSCO and Eu-LSCO (108) has analyzed measurements in terms of these two components and argues that the CDW order is only found below approximately optimal doping, while the charge density fluctuations exist to higher dopings. **Figure 11d** shows how the charge correlations vary with T and p based on this picture (108, 33, 109). There have been various proposals of a quantum critical point (QCP) near  $p^*$ . Recent measurements on YBCO suggest that the dynamic-charge density fluctuations soften as p approaches  $p^*$  (33, 118). However, the CDW order tends to disappear at lower doping in this system.

# 7. Theoretical perspectives on CDW order in cuprates

Early studies of the hole-doped antiferromagnet problem found Hartree-Fock solutions that corresponded to CDW and SDW order, but with an insulating nature (due to the ratio of doped-hole density to the CDW period) (119, 120, 121). Alternatively, an effective model for competition between short- and long-range interactions (frustrated phase separation) also found evidence for stripe and checkerboard phases (122), checkerboard charge order was also evaluated in Ref. (123). A different take ignores the spin correlations and attributes the non-Fermi-liquid behavior to scattering from CDW fluctuations (124), especially in association with a proposed CDW quantum critical point (125).

A new approach of numerical computations to solve the 2D Hubbard model (and re-

lated simplifications such as the t-J model) began with the application of the Density Matrix Renormalization Group (DMRG) by White and Scalapino (126). New numerical variations have been introduced, providing evidence that combined CDW and SDW states (with pairing correlations) are close in energy to a state with uniform d-wave superconductivity (127). Dynamic CDW and SDW correlations are seen in quantum Monte Carlo (QMC) calculations, where calculations are only possible at a relatively high temperature (128). The numerical techniques mentioned so far are all in real space, but a recent study has found consistent results for intertwined CDW and SDW correlations obtained with one QMC technique solved in reciprocal space and another in real space (129). This is an active and evolving field; for a broad review of work on the Hubbard model see Ref. (130).

#### 8. Summary and Perspectives

This review, together with many studies of spin excitations (131), demonstrates how spin and charge fluctuations pervade the phase diagram of the cuprates. Theory suggests that spin fluctuations near  $\mathbf{q} \sim (1/2, 1/2)$  are responsible for the pseudogap behavior which collapses around  $p^* \sim 0.19$  (see Ref. (132) for recent references). Collective charge fluctuations with  $Q = (\delta_c, 0)$  ( $\delta_c \approx 0.2 - 0.33$ ) and energy scales  $\sim 0 - 100$  meV are observed across the phase diagram, at least to  $p^*$ . The spin and charge fluctuations show longer correlation lengths and extend to smaller energy scales at lower temperatures. Recent work suggests low-energy scales of  $\sim 20$  meV for charge fluctuations for  $0.14 \leq p \leq 0.19$  (106, 118) and  $\sim 5$  meV for  $p = 0.22 \geq p^*$  for spin fluctuations in LSCO (109). Higher resolution measurements of the charge correlations may clarify this.

Charge order frozen on a much lower frequency scale (25, 31, 32, 21, 51, 11) is observed near  $p \approx 1/8$ . This order competes with the superconductivity (12, 13). Some theories have predicted that this order arises from 1-q (uni-directional) stripes made from intertwined charge and spin order. Testing this hypothesis has proved non-trivial because defects can pin or nucleate charge order and the host structure can favor 1-q order. What is clear is that 1-q charge order is present in certain structures that favor it (43, 29, 46, 44, 85). These structures break the  $C_4$  symmetry of a single square-lattice CuO<sub>2</sub> plane. In YBCO, the 1-q order has been observed to lock-in (46) to the the crystal lattice, as is the case for conventional CDWs, when superconductivity is suppressed by a high magnetic field. In  $La_{2-x}(Sr,Ba)_x CuO_4$ , data is consistent the structure favoring the coupling of a SDW to the CDW leading to 1-q 'stripe-like' behavior (14, 64, 85, 88, 40). In other materials (e.g. YBCO, Hg1201) data at low field is consistent with a 2-q structure. Evidence comes from diffraction experiments on detwinned anisotropic materials where two  $\mathbf{q}$ s are observed (50), real-space STM imaging of the CDW in vortex halos where a 2-q pattern is observed (16) and quantum oscillations (18, 103, 133). The observed correlation length of the 2-q order is typically  $\xi \lesssim 100$  Å, suggesting that it is limited by pinning to quenched disorder (or vortex halos).

There has been remarkable progress in our understanding of the charge correlations in cuprate superconductors in recent years. However, there are some significant issues to be resolved. Below we summarize the progress discussed in this review and note some issues which require further work.

#### SUMMARY POINTS

- 1. CDW "order" near p = 1/8 is a universal property of layered cuprates.
- 2. The microscopic nature of CDW order (correlation length, number of **q** components, volume fraction) varies with host structure and the character of disorder.
- 3. 2-q 2D order is nucleated by defects and cores of superconducting vortices.
- 4. 1-q 3D order occurs in the presence of symmetry breaking perturbation of the host lattice.
- 5. CDW order competes inhomogeneously with superconductivity.
- 6. CDW fluctuations are present near  $\mathbf{q}_{\rm CDW}$  and couple strongly to oxygen phonon modes.

# **FUTURE ISSUES**

- Would 3D charge order exist in all cuprates at p ~ 1/8 in the absence of disorder and superconductivity at high magnetic field, and if so, would it be 1-q or 2-q?
- 2. What factors determine the distinct  $\mathbf{q}_{\text{CDW}}$  for different cuprates?
- 3. How are spin and charge fluctuations related across the phase diagram?
- 4. Does CDW order compete with electron pairing or superconducting phase order?

# DISCLOSURE STATEMENT

The authors are not aware of any affiliations, memberships, funding, or financial holdings that might be perceived as affecting the objectivity of this review.

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