

Homologous Recombination Deficiency Across Subtypes of Primary Breast Cancer

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ABSTRACT

PURPOSE Homologous recombination deficiency (HRD) is highly prevalent in triple-negative breast cancer (TNBC) and associated with response to PARP inhibition (PARPi). Here, we studied the prevalence of HRD in non-TNBC to assess the potential for PARPi in a wider group of patients with breast cancer.

METHODS HRD status was established using targeted gene panel sequencing (360 genes) and *BRCA1* methylation analysis of pretreatment biopsies from 201 patients with primary breast cancer in the phase II PETREMAC trial (ClinicalTrials.gov identifier: [NCT02624973](https://clinicaltrials.gov/ct2/show/study/NCT02624973)). HRD was defined as mutations in *BRCA1*, *BRCA2*, *BRIP1*, *BARD1*, or *PALB2* and/or promoter methylation of *BRCA1* (strict definition; HRD-S). In secondary analyses, a wider definition (HRD-W) was used, examining mutations in 20 additional genes. Furthermore, tumor BRCAness (multiplex ligation-dependent probe amplification), PAM50 subtyping, RAD51 nuclear foci to test functional HRD, tumor-infiltrating lymphocyte (TIL), and PD-L1 analyses were performed.

RESULTS HRD-S was present in 5% of non-TNBC cases (n = 9 of 169), contrasting 47% of the TNBC tumors (n = 15 of 32). HRD-W was observed in 23% of non-TNBC (n = 39 of 169) and 59% of TNBC cases (n = 19 of 32). Of 58 non-TNBC and 30 TNBC biopsies examined for RAD51 foci, 4 of 4 (100%) non-TNBC and 13 of 14 (93%) TNBC cases classified as HRD-S had RAD51 low scores. In contrast, 4 of 17 (24%) non-TNBC and 15 of 19 (79%) TNBC biopsies classified as HRD-W exhibited RAD51 low scores. Of nine non-TNBC tumors with HRD-S status, only one had a basal-like PAM50 signature. There was a high concordance between HRD-S and either BRCAness, high TIL density, or high PD-L1 expression (each $P < .001$).

CONCLUSION The prevalence of HRD in non-TNBC suggests that therapy targeting HRD should be evaluated in a wider breast cancer patient population. Strict HRD criteria should be implemented to increase diagnostic precision with respect to functional HRD.

ACCOMPANYING CONTENT

-  [Data Supplement](#)
-  [Protocol](#)

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INTRODUCTION

Following the pioneer studies defining a role for PARP inhibition (PARPi) in *BRCA1/2*-mutated breast¹ and ovarian cancers,² several studies have confirmed the benefit of such targeted therapy in early and metastatic breast cancers.³⁻⁷ Although studies in metastatic disease have reported limited efficacy to patients carrying germline *BRCA1/2* mutations,⁸ recently we reported an objective response rate above 50% for unselected triple-negative breast cancers (TNBCs) receiving neoadjuvant olaparib monotherapy.⁹ Moreover, responders could be identified by markers predicting

homologous recombination deficiency (HRD). These encouraging results point to the potential benefit of PARPi also for patients with HRD-positive non-TNBCs.

Although genomic aberrations associated with HRD have been identified in non-TNBCs,^{3-5,7,10-12} the prevalence of HRD in large stage II-III breast cancers evaluated for neoadjuvant therapy remains to be elucidated. In addition, the definition of HRD is not a univocal term, and studies in other types of cancer have put into question which genomic aberrations are associated with HRD status, as well as the need for biallelic inactivation (ie, loss of heterozygosity [LOH]) to

CONTEXT

Key Objective

To evaluate the prevalence of homologous recombination repair deficiency (homologous recombination deficiency [HRD]) in primary non–triple-negative breast cancers.

Knowledge Generated

We find that a substantial fraction of primary non–triple-negative breast cancers reveal HRD. Applying a strict definition, restricted to mutations in either of five genes and/or *BRCA1* methylation, 5% were found to be HRD while in a wider analysis, including 20 additional genes, the corresponding percentage was 23%. The strict definition had superior concordance with functional HRD measured by lack of RAD51 foci formation.

Relevance

Therapy targeting HRD should be considered for a wider range of breast cancers than triple-negatives.

cause functional HRD.^{13–17} The aim of this study was to explore mutations across a panel of homologous recombination repair (HRR) genes, including *BRCA1* epimutations⁹ and LOH, in concert with other assays defining HRD (*BRCAness* multiplex ligation-dependent probe amplification (MLPA); MLPA and RAD51 nuclear foci staining) to identify potential markers for PARP inhibitor sensitivity in breast cancer.

METHODS

Study Design and Patients

In the phase II PETREMAC trial, patients with stage II/III breast cancer were stratified to eight different neoadjuvant treatment regimens on the basis of ER, progesterone receptor, and human epidermal growth factor receptor 2 (HER2) expression as well as *TP53* mutation status (for details; see the Data Supplement, Supplementary methods, study Protocol, and Data Supplement, Fig S1). The trial was conducted at seven trial sites in Norway, and pretreatment biopsies were collected for centralized molecular analyses. The findings among patients with TNBCs have been reported previously.⁹ The trial was originally powered for assessment of predictive markers within the treatment arms. No formal power estimate for the present assessment of HRD across arms was performed, and this study should be considered retrospective and exploratory.

As primary analysis, HRD was defined applying a strict definition (HRD-S; see below). In secondary analyses, a wider definition (HRD-W) was used (see below). In addition, tumor *BRCAness* by MLPA, RAD51 nuclear foci to test functional HRD, PAM50 subtyping, and tumor-infiltrating lymphocyte (TIL) and PD-L1 analyses were performed retrospectively.

HRR Mutations, *BRCA1* Methylation, and *BRCAness* Analyses

Targeted DNA sequencing was performed on pretreatment tumor biopsies using a 360-gene panel,¹⁸ as a preplanned

analysis per protocol.⁹ *BRCA1* promoter methylation analysis was performed applying an amplicon-based next-generation sequencing assay as described previously^{19–21} (see the Data Supplement, Supplementary Methods). *BRCA1* promoter methylation was combined with HRR mutations to evaluate the prevalence of HRD by either wide (HRD-W) or strict definitions (HRD-S).

HRD-W included *BRCA1* methylation or mutations in either of the following HRR-related genes: *ABL1*, *ATM*, *ATR*, *ATRX*, *BARD1*, *BLM*, *BRCA1*, *BRCA2*, *BRIP1*, *CDK12*, *CHEK1*, *EMSY*, *ERCC4*, *FANCA*, *FANCC*, *FANCD2*, *FANCE*, *FANCF*, *FANCG*, *MEN1*, *MRE11*, *NBN*, *PALB2*, *PTEN*, and *SETD2*, where mutations were called by predefined criteria.⁹

HRD-S included *BRCA1* methylation or mutations within a restricted subset of HRR genes: *BARD1*, *BRCA1*, *BRCA2*, *BRIP1*, and *PALB2*, as recommended by a recent European expert consensus.¹³ However, in contrast to the consensus gene list, *RAD51C* and *RAD51D* were not included as they were not part of the 360-gene panel used in our trial.⁹ Furthermore, to call HRD-S status, the HRR mutations had to be deleterious, and somatic HRR mutations or *BRCA1* methylation had to be accompanied by LOH (defined as lack of the opposite allele) to ascertain biallelic gene inactivation. Allele-specific copy number analysis was performed on matched tumor-normal pair sequencing (binary alignment/map, BAM files) from the 360-gene panel using FACETS (Fraction and Allele-Specific Copy Number Estimates from Tumor Sequencing).²² In cases where there were too few single-nucleotide polymorphisms in the genomic region to estimate allele-specific copy numbers, LOH status was defined as not available.

Additionally, a preplanned analysis of tumor samples for *BRCAness* by MLPA was performed using the SALSA MLPA kit P376 *BRCAness* probemix (MRC Holland) following the manufacturer's instructions where *BRCAness* status was assigned using a nearest shrunken classifier as previously described.^{9,23,24}

RAD51 Staining to Assess Functional HRD Status

RAD51, BRCA1, and γ H2AX immunostaining, as well as geminin and 4',6-diamidino-2-phenylindole (DAPI) counterstaining, were post hoc assessments performed as described elsewhere^{9,25} (see the Data Supplement, Supplementary Methods). Here, pretreatment biopsies from all patients with non-TNBCs included at the trial's coordinating cancer center (Gerogen) were analyzed blinded to the treatment arm.

PAM50 and HRR Gene Expression Analyses

Bulk RNA sequencing was performed on RNA extracted from snap-frozen, whole-tumor biopsies. In brief, 300–500 ng total RNA was used for library preparation, and global RNA sequencing was performed on a NovaSeq 6000 (Illumina, San Diego, CA) using 2×100 cycles, providing a minimum of 70 million reads per sample. For details on RNA extraction, library preparation, sequencing, and bioinformatic processing see the Data Supplement, Supplementary Methods.

A post hoc gene expression analysis was performed on pretreatment biopsies, on the basis of the RNA sequencing data, to assign the tumors to PAM50 breast cancer subtypes and to examine the expression of HRR-S genes (for details, see the Data Supplement, Supplementary Methods).

PD-L1 and Tumor-Infiltrating Lymphocyte Analyses

PD-L1 immunostaining and quantification of tumor-infiltrating lymphocytes (TILs) in hematoxylin and eosin-stained sections were conducted as post hoc assessments of all pretreatment biopsies (all trial centers), as described in the Data Supplement (Supplementary Methods). PD-L1 staining and TIL quantification results for TNBCs in the trial were presented previously,⁹ and these results are included here for comparison with the non-TNBC cases. For PD-L1 analysis, the combined positive score method was used, as outlined in the Data Supplement (Supplementary Methods).

Ethics and Approvals

The PETREMAC trial was approved by the Regional Ethical Committee of the Western health region in Norway (2015/1493) and The Norwegian Drug Agency (2015/8463). The trial was registered at ClinicalTrials.gov identifier: [NCT02624973](https://clinicaltrials.gov/ct2/show/study/NCT02624973) and with EudraCT (2015-002816-34). The study was conducted in accordance with the protocol, good clinical practice guidelines, provisions of the Declaration of Helsinki, and local regulations. All patients signed an informed consent form before inclusion.

Statistics

Statistical tests used for each data set are given in the figures and tables. All *P* values reported are two-tailed. No *P* value was corrected for multiple testing. All statistical analyses

were performed using R, version 4.1.3 or the SPSS 15.0/PASW 17.0 software package (SPSS Inc, Armonk, NY).

RESULTS

Baseline patient and tumor characteristics are given in [Table 1](#). The detailed results of all genomic and immunostaining analyses are given in the Data Supplement (supplemental data).

Applying a wide HRD definition (mutations in HRR-related genes and/or *BRCA1* methylation; see Methods), we found a 23% prevalence of HRD-W in the non-TNBC subset (*n* = 39 of 169). Among these 39 non-TNBC tumors, 35 had HRR mutations while five had *BRCA1* promoter methylation ([Table 2](#)), including one tumor with concordant HRR mutation and *BRCA1* methylation. The prevalence of HRD-W was reported previously as 59% (*n* = 19 of 32) in the TNBC subgroup⁹ and is summarized for both non-TNBC and TNBC in [Figure 1](#) and [Table 2](#).

Applying the consensus guidelines,¹³ we implemented a stricter definition (HRD-S) including mutations only in *BARD1*, *BRCA1*, *BRCA2*, *BRIP1*, *PALB2*, and/or *BRCA1* methylation, with concomitant LOH on the opposite allele. Among the non-TNBC tumors, 5% (*n* = 9 of 169) were classified as HRD-S ([Fig 1](#) and [Table 2](#)). Three of these HRD-S-positive tumors were associated with a germline mutation (one *BRCA1* and two *BRCA2* mutations), one had a somatic *BRCA1* and one a somatic *PALB2* mutation, whereas four revealed *BRCA1* promoter methylation. In contrast, the prevalence of HRD-S among TNBCs was 47% (*n* = 15 of 32; [Table 2](#)). HRR genetic or epigenetic mutations inactivating key HRR proteins involved in DNA double-strand break repair are visualized in [Figure 2](#).

*BRCA*ness evaluation using MLPA-based copy number variation analysis,²⁴ identified 10 of 169 (6%) non-TNBC as *BRCA1*-like ([Fig 1](#) and Data Supplement, [Table S1](#)). *BRCA1*-like status was observed for four of nine HRD-S/non-TNBC, contrasting six of 160 homologous recombination proficient (HRP)/non-TNBC tumors (*P* = .0007). Applying the same analysis to TNBC, 12 of 15 HRD-S/TNBC, contrasting six of 17 HRP/TNBC tumors were defined as *BRCA1*-like (*P* = .02).

In total, 70 non-TNBCs from the PETREMAC trial underwent RAD51 staining, but 12 tumors were nonevaluable because of either a low number of geminin-positive cells or low γ H2AX staining. Of 58 non-TNBC biopsies evaluable for RAD51 status, 17 were classified as HRD-W, and only four of these (24%) had RAD51 low scores ([Fig 1](#)).⁹ Of nine non-TNBC tumors classified as HRD-S, four were analyzed by RAD51 staining, and all had a low RAD51 score ([Fig 1](#)). For these four cases, one was luminal A, two were luminal B, and one was a basal-like subtype by PAM50 analysis ([Fig 1](#)), and all had either *BRCA1* or *BRCA2* inactivation (germline or somatic). Including all nine non-TNBC tumors with HRD-S status, one was luminal A, three were luminal B, three were normal-like, one was HER2-enriched, and one had a basal-like PAM50 signature ([Fig 1](#)).

TABLE 1. Baseline Patient and Tumor Characteristics in the PETREMAC Trial

| Patient and Tumor Characteristic | N = 201 |
|--------------------------------------|-----------|
| Sex, No. | |
| Female | 200 |
| Male | 1 |
| Age, years | |
| Mean (median) | 53 (51) |
| Range | 27-78 |
| Primary tumor size, mm | |
| Mean (median) | 55 (53) |
| Range | 20-110 |
| Tumor lesion(s) sum, mm ^a | |
| Mean (median) | 60 (55) |
| Range | 20-180 |
| Type, No. (%) | |
| IC NST | 169 (84) |
| ILC | 32 (16) |
| T stage, No. (%) | |
| 1 | 1 (0.5) |
| 2 | 85 (42) |
| 3 | 107 (53) |
| 4 | 7 (3.5) |
| x ^b | 1 (0.5) |
| N stage, No. (%) | |
| 0 | 144 (72) |
| 1 | 40 (20) |
| 2 | 12 (6.0) |
| 3 | 5 (2.5) |
| M stage, No. (%) | |
| M0 | 197 (98) |
| M1 ^c | 4 (2.0) |
| Stage | |
| I | 1 (0.5) |
| II | 150 (75) |
| III | 46 (23) |
| IV ^c | 4 (2.0) |
| IHC subtype, No. (%) | |
| ER/PgR+, HER2- | 108 (54) |
| HER2+, ER/PgR- | 20 (10.0) |
| HER2+, ER/PgR+ | 41 (20) |
| TNBC | 32 (16) |
| TP53 status, No. (%) | |
| Mutated | 69 (34) |
| Wt | 132 (66) |

(continued in next column)

Previously, we reported RAD51 low scores to predict HRD status among TNBC tumors in the PETREMAC trial, revealing that 15 of 19 tumors fulfilling HRD-W criteria exhibited RAD51 low status.⁹ When implementing the more accurate Illumina sequencing method to detect *BRCA1* methylation in the

TABLE 1. Baseline Patient and Tumor Characteristics in the PETREMAC Trial (continued)

| Patient and Tumor Characteristic | N = 201 |
|----------------------------------|----------|
| PAM50 subtype, No. (%) | |
| Luminal A | 60 (30) |
| Luminal B | 48 (24) |
| HER2-enriched | 35 (17) |
| Basal-like | 26 (13) |
| Normal-like | 21 (10) |
| No RNA | 11 (5.5) |

Abbreviations: ER, estrogen receptor; HER2, human epidermal growth factor receptor 2; IC NST, infiltrating carcinoma of no special type; IHC, immunohistochemistry; ILC, infiltrating lobular carcinoma; M, metastasis; N, node; PgR, progesterone receptor; T, tumor; TNBC, triple-negative breast cancer.

^aSum of breast and axillary lesions.

^bProtocol violation; axillary recurrence after prior mastectomy for breast cancer.

^cM1 disease confirmed after commencing treatment per protocol. Included in the intention-to-treat population.

current analysis, as compared with the qPCR method used previously,⁹ one tumor changed status from *BRCA1* methylated to nonmethylated. In this one tumor, which was RAD51 high, *BRCA1* methylation was detected, but it was below the predefined cutoff. Thus, HRD-W was present in 15 of 18 tumors with RAD51 low status, using Illumina sequencing both for the 360-gene panel and *BRCA1* methylation analyses. Using the HRD-S criteria, 13 of 14 TNBC tumors classified as HRD-S had RAD51 low scores (Fig 1). The concordance between HRD-S and functional HRD status by RAD51 low immunostaining, for TNBC and non-TNBC tumors combined, was 95.5%, with sensitivity, specificity, and positive predictive values of 0.94, 0.96, and 0.85, respectively.

For non-TNBC and TNBC cases combined, *BRCA1* mRNA expression was significantly lower in the *BRCA1* methylated as compared with nonmethylated tumors ($P < .001$, Data Supplement, Fig S2) while *BRCA1* mutations did not influence *BRCA1* mRNA expression. When split by subtype, *BRCA1* mRNA expression was also significantly lower in *BRCA1* methylated as compared with nonmethylated tumors, both for non-TNBC and TNBC tumors ($P = .02$ and $P = .0002$; Data Supplement, Fig S2).

The content of TILs and PD-L1 expression in non-TNBC tumors is given in the Data Supplement (Fig S3) to compare with our previous findings in TNBC.⁹ TIL content and PD-L1 expression were both significantly lower in non-TNBC, as compared with TNBC tumors. For the whole trial combined, basal-like tumors had significantly higher TIL content and PD-L1 expression than other intrinsic subtypes (Data Supplement, Fig S4). Furthermore, there were high concordances between HRD-S status and high TIL or PD-L1 levels (Data Supplement, Table S2).

TABLE 2. HRD Status Across the PETREMAC Treatment Arms

| HRD-status | Overall (N = 201), No. (%) | ER/PgR+, HER2- | | | | HER2+ | | TNBC | |
|-------------------------------------------------|-------------------------------|----------------------------|----------------------------|---------------------------|---------------------------|----------------------------|----------------------------|---------------------------|----------------------------|
| | | Arm A (n = 88), No. (%) | Arm B (n = 16), No. (%) | Arm C (n = 1), No. (%) | Arm D (n = 3), No. (%) | Arm E (n = 35), No. (%) | Arm F (n = 26), No. (%) | Arm G (n = 8), No. (%) | Arm H (n = 24), No. (%) |
| HRR mutation—strict^a | | | | | | | | | |
| HRR wt | 190 (95) | 86 (98) | 15 (94) | 1 (100) | 3 (100) | 34 (97) | 25 (96) | 6 (75) | 20 (83) |
| HRR mutation | 11 (5.5) | 2 (2.3) | 1 (6.3) | 0 (0) | 0 (0) | 1 (2.9) | 1 (3.8) | 2 (25) | 4 (17) |
| HRR mutation—wide^b | | | | | | | | | |
| HRR wt | 155 (77) | 70 (80) | 11 (69) | 0 (0) | 3 (100) | 28 (80) | 22 (85) | 6 (75) | 15 (63) |
| HRR mutation | 46 (23) | 18 (20) | 5 (31) | 1 (100) | 0 (0) | 7 (20) | 4 (15) | 2 (25) | 9 (38) |
| BRCA1 promoter | | | | | | | | | |
| Nonmethylated | 185 (93) | 86 (99) | 15 (94) | 1 (100) | 0 (0) | 35 (100) | 26 (100) | 7 (88) | 15 (63) |
| Methylated | 15 (7.5) | 1 (1.1) | 1 (6.3) | 0 (0) | 3 (100) | 0 (0) | 0 (0) | 1 (13) | 9 (38) |
| NA | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| HRR mutation—strict or BRCA1 methylation | | | | | | | | | |
| HRP | 177 (88) | 85 (97) | 15 (94) | 1 (100) | 0 (0) | 34 (97) | 25 (96) | 6 (75) | 11 (46) |
| HRD | 24 (12) | 3 (3.4) | 1 (6.3) | 0 (0) | 3 (100) | 1 (2.9) | 1 (3.8) | 2 (25) | 13 (54) |
| HRR mutation—wide or BRCA1 methylation | | | | | | | | | |
| HRP | 143 (71) | 69 (78) | 11 (69) | 0 (0) | 0 (0) | 28 (80) | 22 (85) | 5 (63) | 8 (33) |
| HRD | 58 (29) | 19 (22) | 5 (31) | 1 (100) | 3 (100) | 7 (20) | 4 (15) | 3 (38) | 16 (67) |

Abbreviations: ER, estrogen receptor; HER2, human epidermal growth factor receptor 2; HRD, homologous recombination deficiency; HRP, homologous recombination proficient; HRR, homologous recombination repair; NA, not assessed; PgR, progesterone receptor; TNBC, triple-negative breast cancer; wt, wild type.

^aBRCA1, BRCA2, BRIP1, BARD1, and PALB2.

^bBRCA1, BRCA2, BRIP1, BARD1, PALB2, and additionally ABL1, ATR, ATRX, BLM, CDK12, CHEK1, EMSY, ERCC4, FANCA, FANCCD2, FANCE, FANCG, MEN1, NBN, PTEN, and SETD2.

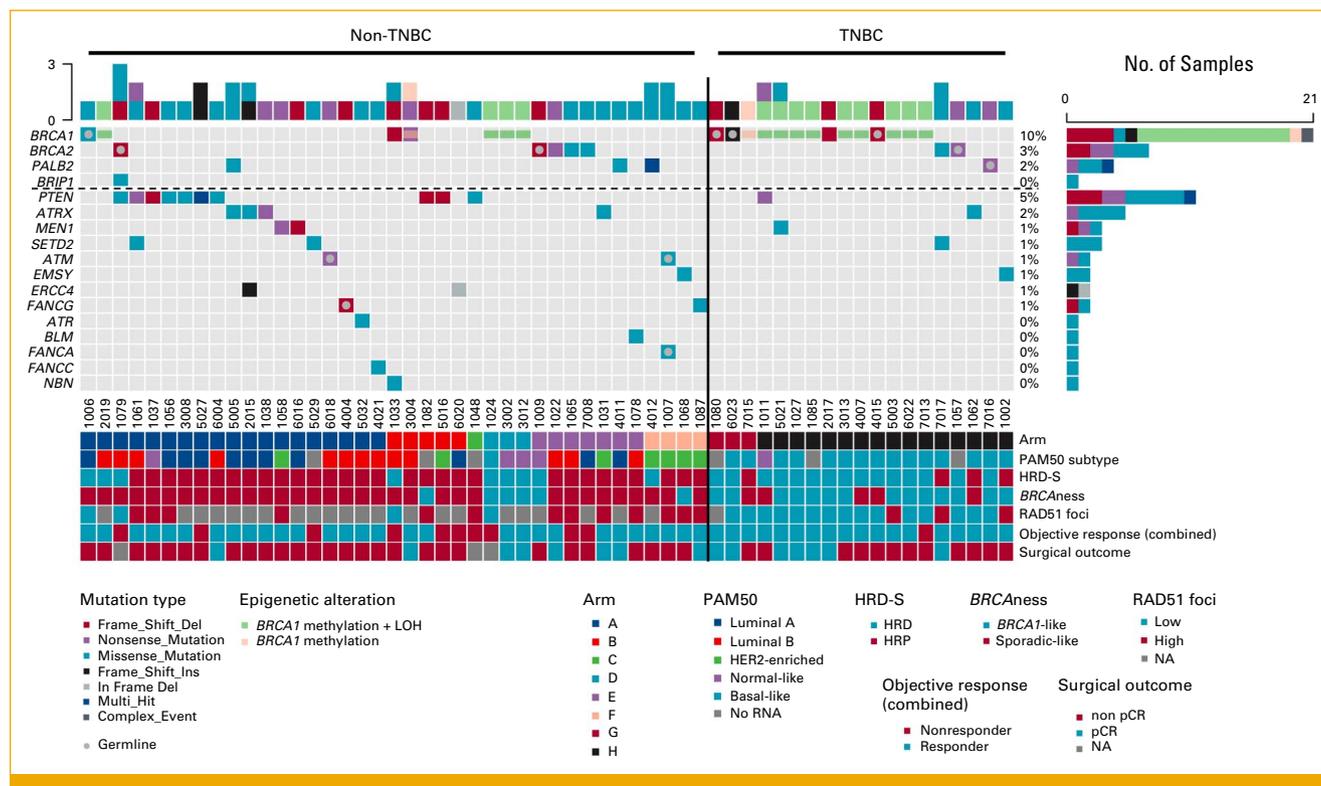


FIG 1. Oncoplot of HRR mutations, HRD status, response, and surgical outcome in the PETREMAC trial. All breast cancers with HRD-W are displayed. The HRD-S subset includes tumors with HRR mutations by strict definition (listed above the dotted line) and/or *BRCA1* methylation and includes gene silencing on the opposite allele. *BRCAness* was assessed by MLPA analysis. Objective response (combined) and patients with a partial or complete response to treatment based on combined radiological and clinical evaluation. HRD, homologous recombination deficiency; HRD-S, HRD-strict definition; HRD-W, HRD scored by wide definition; HRP, homologous recombination proficiency; HRR, homologous recombination repair; LOH, loss-of-heterozygosity; MLPA, multiplex ligation-dependent probe amplification; NA, not analyzed; pCR, pathological complete response; TNBC, triple-negative breast cancer; wt, wild type.

DISCUSSION

This report adds important new data within two areas; the prevalence of HRD in treatment-naïve, primary non-TNBC and the correlation between genomic and functional HRD status, with the use of recently defined, strict HRD criteria.¹³ First, among 201 patients commencing neoadjuvant treatment in the PETREMAC trial, the prevalence of HRD by strict criteria was 5% among 169 non-TNBC tumors, contrasting a prevalence of 4.7% among 32 TNBC tumors.⁹ Second, using the consensus list of core HRR germline and somatic mutations,¹³ as well as *BRCA1* methylation to define HRD, we established a high concordance between HRD by strict criteria and functional HRD status by RAD51 low immunostaining.

Identifying other parameters that may characterize HRD-positive non-TNBCs is an important and remaining challenge. Here, HRD-positive non-TNBC tumors revealed gene expression signatures of all subtypes including luminal A/B as well as the HER2-enriched class, with only one tumor classified as basal-like. Although the basal-like signature aligns with *BRCA1* defects and is prevalent in TNBC,²⁷ about 31%–39% of breast cancers in *BRCA1* germline mutation

carriers are non-TNBCs,^{28,29} and tumors harboring other gene defects, such as *BRCA2*, present gene expression profiles with a closer resemblance to spontaneous breast cancers.³⁰

The predictive impact of HRD and optimal testing strategies to identify potential PARPi responders, beyond *gBRCA* mutations, are being evaluated across different cancer entities.^{15,25,31,32} A limitation of our test panel is that *RAD51C/D* mutations were not included, which is generally recommended.¹³ However, we included *BRCA1* promoter methylation status, shown to predict response to olaparib in patients with TNBC participating in the PETREMAC trial.⁹ Among our TNBCs treated with olaparib, using wider criteria for HRD testing,⁹ we identified 16 of 18 PARPi responders within the TNBC cohort to be HRD positive, whereas the current stricter HRD-S criteria in pretreatment biopsies only identified 12 subsequent PARPi responders. Although our current work demonstrates that strict HRD criteria are more precise in identifying breast cancers with functional HRD, the explanation for PARPi responsiveness among TNBC cases outside of the HRD-S subset remains to be elucidated and could be due to targeting of non-HRD-related mechanisms. Notably, PARPi can cause PARP1 trapping on DNA, which is shown to

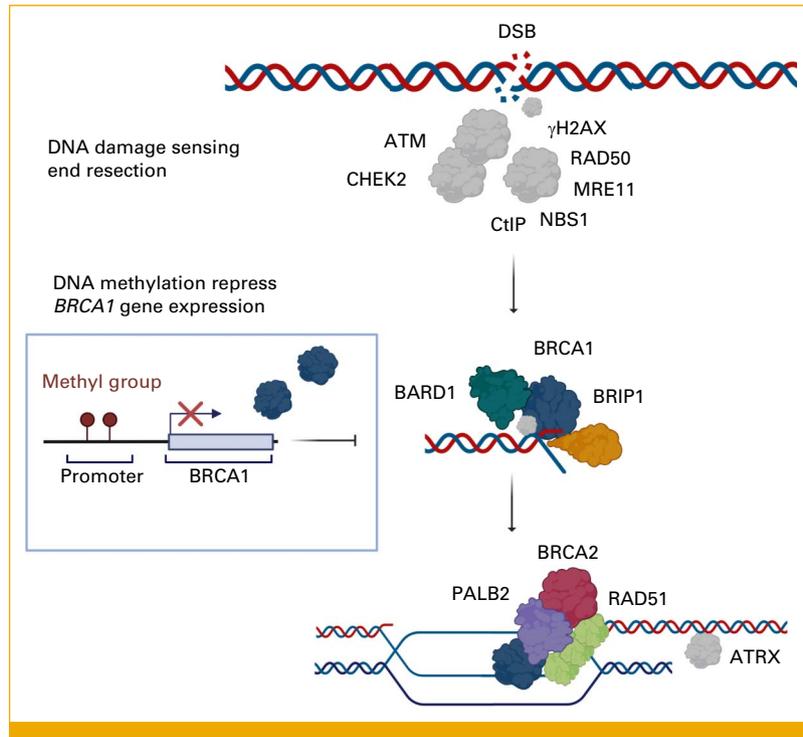


FIG 2. Signaling molecules involved in homologous recombination to repair DNA DSBs. HRR of DNA DSB. HRD results from germline, somatic, or epigenetic gene alterations inactivating either of the key proteins: BRCA1, BRCA2, PALB2, RAD51C, RAD51D, BARD1, and BRIP1. The HRR proteins localize to the DSB site, acting as a helicase and opening the chromatin to allow pairing of BRCA2 and RAD51 with the undamaged DNA strand from the alternate chromosome. The undamaged copy serves as a template for DNA polymerase and PCNA to repair the damaged strand. DSB, double-strand break; HRD, homologous recombination deficiency; HRR, homologous recombination repair. Figure created with BioRender.com.

be toxic for both HRD and HRP cells.³³ Furthermore, PARPi can modulate immune cells to become more cytotoxic,³⁴ and the potential impact on the immune system has been further underlined by the findings that even in BRCA-associated cancers, the effect of PARPi is enhanced by STING agonism.³⁵

Although the 5% prevalence of HRD status in our non-TNBC cohort is less than in previous reports of 18%–21% prevalence of HRR mutations, these reports have had wider test criteria.^{10–12} Assessing HRD status in non-TNBC by other biomarkers/algorithms such as HRDetect has identified 7%–9% as HRD³⁶ while using SigMA to classify the mutational Signature 3 (Sig3) associated with HRD identified 7% of ER+ breast cancers as Sig3+ (ie, HRD).³⁷

On the basis of our current data, we believe that the HRD-S criteria, in concert with RAD51 foci staining, are the most accurate and suitable predictive biomarkers to select patients for studies evaluating PARPi in non-TNBCs. Alternative criteria, that is, BRCAness by MLPA or basal-like subtypes by PAM50 analyses, are less accurate and are less useful for such screening.

Finally, we established that HRD-positive, stage II–III breast cancers have higher TILs and PD-L1 percentages than non-HRD tumors, confirming previous data in breast and ovarian cancers.^{38–40} However, the number of combined HRD-S and non-TNBC cases was too small for further analysis of the immune status in this subset. Immune activation is a frequent observation in HRD-positive tumors,^{38,39,41} which suggests that such breast cancers could be good candidates for anti-PD-1/PD-L1-based therapy. In the neoadjuvant setting, combined chemotherapy and immune checkpoint inhibition is highly effective for early TNBC,^{42,43} and immune activation, measured as an increased content of TILs, is associated with improved survival outcome.^{44–46} Yet, the predictive impact of HRD status toward response to immunotherapy remains to be elucidated.

In conclusion, while the fraction of non-TNBCs revealing HRD is low, the fact that non-TNBCs account for 85%–88% of all breast cancers indicates that a significant number of patients with non-TNBC may benefit from PARPi. Moreover, using our strict HRD criteria implemented herein, we could accurately identify breast cancers with functional HRD, likely to respond to HRD targeted therapy.

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DISCLAIMER

The funders had no role in the study design, data collection, data analysis, data interpretation, or writing of the report. All authors had access to the data and vouch for its accuracy and completeness. All authors were involved in the decision to submit the manuscript for publication.

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DATA SHARING STATEMENT

Haukeland University Hospital and the University of Bergen support the dissemination of research data that has been generated and increased cooperation between investigators. Trial data are collected, stored, and disseminated according to institutional guidelines and in accordance with national laws and regulations to ensure the quality, integrity, and use of clinical data. Study Protocol is available online. Signed informed consent forms are stored at each participating hospital and are available for monitoring by regulatory authorities. After publication and on formal request, raw data, including deidentified individual participant data and a data dictionary defining each field in the data set, will be shared according to institutional procedures. Requests are via a standard pro forma describing the nature of the proposed research and extent of data requirements. Data recipients are required to enter a formal data sharing agreement which describes the conditions for release and requirements for data transfer, storage, archiving, publication, and intellectual property. Requests are reviewed by the PETREMAC study team in terms of scientific merit and ethical considerations, including patient consent. Data sharing is permitted if proposed projects have a sound scientific or patient benefit rationale, as agreed by the study team and with approval from the PETREMAC coinvestigators as required.

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