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Characterisation of irradiation damage using x-ray diffraction line profile analysis

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Abstract

During operation, structural components made of zirconium alloys are subject to neutron irradiation, which leads to the displacement of zirconium atoms from their lattice sites, the production of self-interstitials and vacancies, and eventually dislocation loops. This process leads to deleterious effects such as irradiation growth and embrittlement as well as accelerated aqueous corrosion.

Quantitative analysis of dislocation line densities is seen as an important pathway to distinguish between the irradiation response of different alloys. Analysis of irradiation damage using x-ray diffraction (XRD) line profile analysis has proven to be a powerful complementary technique to transmission electron microscope (TEM), which samples a comparatively large volume, is less affected by the subjectivity of image analysis and does not have a resolution limit when it comes to small dislocation loop size.

In the present work, we present 3 different types of x-ray diffraction experiments and their analysis, describe their purpose and the new insight achieved using such techniques. First, we present work carried out on neutron irradiated samples, comparing dislocation line densities measured by XRD with macroscopic growth measurements. Here we demonstrate a correlation between $\langle c \rangle$ -loop dislocation density and irradiation induced growth strain. A second experiment using a synchrotron-based x-ray microbeam enabled the mapping of dislocation line densities as a function of depth from the surface of proton irradiated Zr-alloys. These data are compared with calculated damage profiles providing new insight in possible early saturation of damage. Finally, the last example presented here focuses on synchrotron-based 3D-XRD measurements where dislocation loop line densities were analysed in hundreds of individual grains providing excellent statistics about the grain-to-grain variability of line densities.

Keywords

Zirconium, Irradiation, XRD, Line Profile Analysis

1. Introduction

Quantifying the relationship between dose and irradiation damage during the life of a zirconium alloy component is important for gaining an understanding of the subsequent degradation mechanisms such as irradiation induced growth and irradiation hardening. Although small changes in composition have yielded significant improvements in performance in the past, particularly on corrosion and growth during irradiation, the root cause for such improvements are still poorly understood constraining the translation of such improvements in higher fuel burn-up.

Characterisation of irradiation induced dislocation loop structure is typically carried out in a transmission electron microscope (TEM), where dislocation loops can be seen; counted; their size, shape and spacing and habit plane measured and interstitial or vacancy character determined [3,4]. Line profile analysis (LPA) of an x-ray diffraction (XRD) pattern is a method which can yield complementary information. Recently, the Convolutional Multiple Whole Profile (CMWP) software has been upgraded to measure the dislocation density of irradiation-induced dislocation loops in zirconium alloys [5]. The technique has now been extensively used to measure the dislocation density of <a>- and <c>-loops in proton- and neutron-irradiated zirconium alloys, investigating the effect of irradiation dose [6], irradiation temperature [4], thermal stability [7], loop size [2], satellite peaks [5] and deformation [8].

Proton irradiation is routinely used as a surrogate for neutron irradiation, since proton irradiated samples are less active and are therefore easier to handle. The reduced activity of proton-irradiated samples is because protons only penetrate a relatively short distance, leaving only a thin damaged layer on the surface of the sample with a variable dose profile. In the case of 2 MeV protons and irradiating Zr, this layer is around 30 µm thick. A variable dose profile in this layer could be exploited to investigate multiple doses and dose rates in a single sample and this will be demonstrated here to allow for systematic studies of loop evolution. Other important considerations remain, such as the choice of conversion factor for dose between neutron and proton irradiations, which is generally thought to be $3 - 7 \times 10^{24}$ n/m² per dpa depending on reactor and neutron

energy profile [9,10]. The effect of crystal orientation relative to irradiation direction, also known as channelling [11], is also not well understood.

First, experiments performed using laboratory XRD to quantify irradiation damage in neutron irradiated coupons will be summarised. Using a synchrotron microbeam, the depth profile of dislocation density and arrangement parameter in a proton irradiated sample will be investigated. Finally, grain resolved experiments were used to determine loop type, dislocation density spread and orientation dependence of dislocation density in a proton irradiated sample.

2. Experimental Method

2.1. Material

The material studied here came from various sources. Bulk measurements of neutron-irradiated Zircaloy-2 and low-Sn ZIRLO came from a Nuclear Fuel Industry Research (NFIR) led irradiation campaign carried out at the BOR-60 reactor. The nominal irradiation temperature was 320 °C. More details about these irradiated specimens can be found in [12]. The conversion between neutron fluence and dpa is given as 5×10^{24} n/m² per dpa [10,12,13]. The damage rate in the BOR-60 reactor is 8.9×10^{-7} dpa/s [10]. Recording diffraction patterns at different depth of a sample (depth-profiling) was carried out on Zircaloy-4 proton-irradiated at 350 °C to nominal doses (about 18 µm from the surface) of 0.1, 0.5, 1.0 and 2.0 dpa at the Dalton Cumbrian Facility, with a dose rate of 1.4×10^{-6} dpa/s for that particular position. The irradiated area and current remained constant, therefore irradiation times were 1.6, 8, 16 and 32 hours for each sample respectively. Grain resolved measurements with 3D-LPA were carried out on Zr-0.1Fe irradiated with protons to nominal doses of 0.8 and 3.0 dpa at 350 °C at the Michigan Ion Beam Laboratory.

Different sample geometries are required for each different type of experiment. For laboratory-based bulk experiments, which are performed in reflection, a sample allowing a large beam footprint is preferable, but a beam size of down to 0.1 mm can be used with a corresponding reduction in intensity and increase in collection time. An

example of a typical proton-irradiated matchstick for XRD-LPA analysis is shown in Figure 1 (a).

For depth profiling, 0.5 mm cross-sections of proton irradiated material were prepared by cutting, grinding and polishing, as shown in Figure 1 (b). This geometry allows sufficient diffraction signal to be captured at high energy synchrotron beamlines, whilst sampling enough grains to ensure the signal is not too spotty.

For 3D-LPA, around 300-400 grains should be visible in each diffraction 2D image to reduce the chance of overlap between diffraction spots of individual grains. Since the grain size of zirconium alloys is around 10 μm , samples were prepared by focussed ion beam, as shown in Figure 1 (c). A tapered geometry was chosen, with two discrete regions, one with thickness 15 μm and one with thickness 30 μm from the proton irradiated surface.

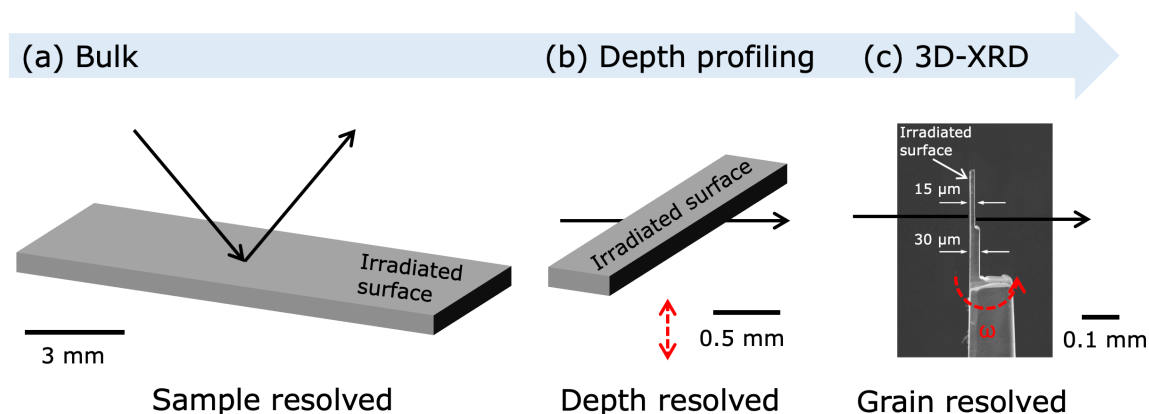


Figure 1. Comparison of sample geometry for (a) laboratory bulk XRD sample resolved measurements, (b) synchrotron microbeam depth resolved measurements and (c) synchrotron grain resolved measurement. Black arrows illustrate the incident and diffracted beams, which is reflected in (a) and transmitted in (b) and (c). Red arrow shows movement of sample during scanning. The irradiated surface is also shown, assuming a proton-irradiated sample.

2.2. SRIM

The Stopping Range of Ions in Matter (SRIM) software was used to predict the dose profile as a function of depth for proton irradiated samples [14,15]. As recommended by Stoller et al [14], a quick Kinchin-Pease calculation is used and the lattice binding energy was set to 0 eV. The threshold displacement energy was set to 40 eV as recommended by ASTM [16]. The total number of vacancies generated is calculated and output as a function of sample depth. Multiplication by fluence and division by number density then gives the number of displacements per atom (dpa). Predicted dose and H implantation as a function of depth is shown Figure 2. Since the energy loss of a proton is proportional to the inverse square of velocity, the peak in damage occurs near the end of the proton's trajectory, also known as the Bragg peak. The nominal dose was calculated at 60% of the depth to the Bragg peak, i.e. around 18 μm from the surface.

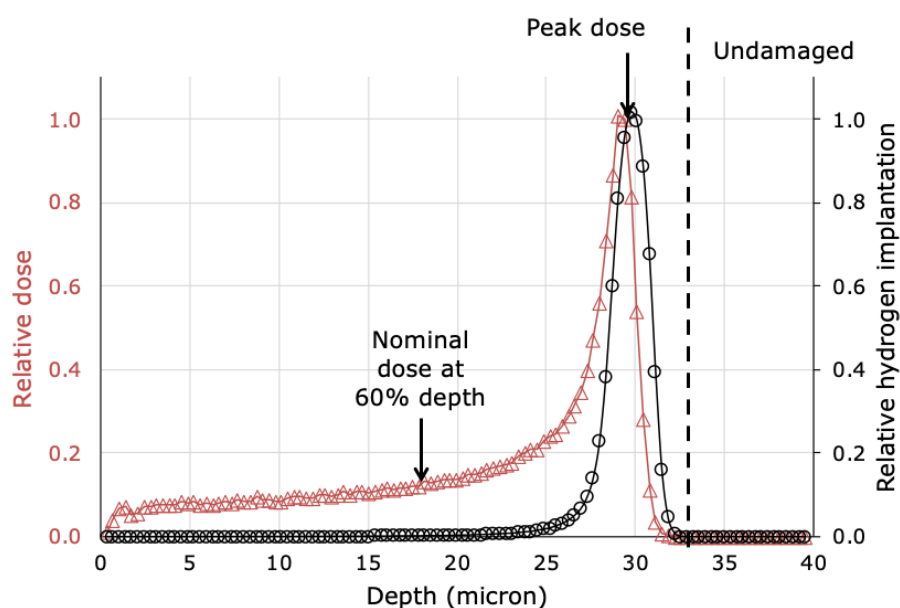


Figure 2. Relative dose (triangles) and relative hydrogen ion implantation (circles) as a function of penetration depth for 2 MeV protons in zirconium alloys.

2.3. XRD

Bulk powder X-ray diffraction was undertaken using a Rigaku SmartLab rotating anode diffractometer (300 mm diameter) and Hypix-3000(H) (38.5 mm active length) 2D-

detector (mounted vertically) operating in 2D mode in theta-omega geometry employing a Copper Line Focus X-ray tube with parallel beam selection from the Rigaku Cross Beam Optic (CBO) to the Rigaku Ge(220)x2 optic producing monochromatic K α 1 radiation (K α 1=1.540598 Å). A custom incident double slit holder (GHM) matched to the incident beam mask of 2 mm and programmable incidence slit 0.5 mm were used. Data collection were undertaken over an angular range from 28 to 114° coupled theta/2theta at 0.02° step, with a total acquisition time per sample of 24 hours. Integration was carried out over an angular range from 178 to 182° in eta, the azimuthal angle around the diffraction rings captured on the 2D detector.

Depth profiling experiments were carried out at the P21.2 beamline at the PETRA III synchrotron facility at DESY in Hamburg, Germany, with a beam energy of 65 keV and a sample - 2D detector distance of 0.9 m, as illustrated in Figure 3 (a). A spot size of (2 x 2) μm was used and the sample was scanned from the surface to 40 μm beneath the proton irradiated surface by moving the stage in increments of 2 μm. The sample was translated perpendicular to the scanning direction by around 200 μm during each scan to improve grain statistics and therefore reduce the spottiness of the pattern. The precise location of the sample edge is important to determine, such that the measurements can be compared with predicted dose from SRIM. The position of the edge was determined from the change in beam intensity measured at the beam stop, which is higher when the beam is going through air, compared to being attenuated by the sample. This was also used to align the sample, since the transition between air and sample becomes sharper when the sample edge is parallel to the beam.

Grain resolved dislocation density measurement was carried out at the 1-ID beamline of the Argonne Photon Source (APS) synchrotron, with a beam energy of 61.33 keV and a line focused beam of width 1 mm and height 40 μm. Samples were mounted in a goniometer for incremental rotation 0.25° around the ω angle from -180° to +180°, as illustrated in in Figure 3 (b). Two sets of scans were carried out, one on the 15 μm thick region of the sample and another on the 30 μm thick region. Three sets of detectors were used, a single 'close' detector at 20 mm away from the sample, a single 'medium'

detector at 500 mm away from the sample and a 2x2 array of detectors 4 m away from the sample, as illustrated in Figure 3 (b).

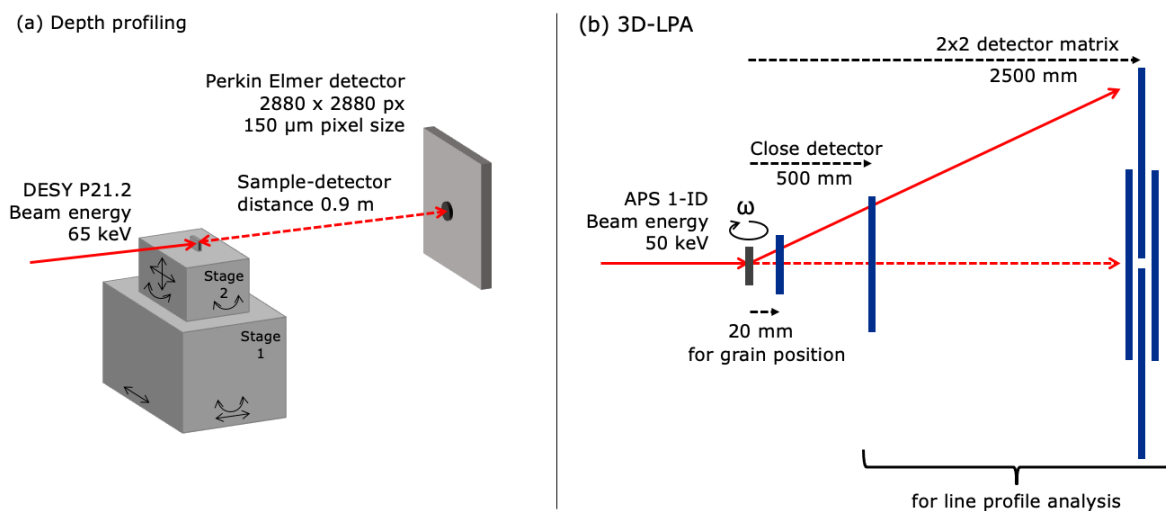


Figure 3. Experimental arrangement for (a) depth profiling at DESY and (b) 3D-LPA at APS.

Following data collection, the diffraction peaks in each of the frames from the middle detector were identified and filtered. Given enough ω frames and reflection positions are captured, certain groups of diffraction peaks can be indexed into grains. The groups of diffraction peaks corresponding to each grain were projected from the close detector, to the far detectors, which have higher angular resolution. Integration was carried out to generate a diffraction pattern for each grain from the far detectors. The technique is described in more detail in [17].

2.4. Line profile analysis

Line profile analysis was carried out using the Convolutional Multiple Whole Profile (CMWP) method [18,19]. CMWP is based on physical profile functions conceived by using principles describing the strain fields and morphology of different lattice defects [20,21]. Peak profiles broaden fundamentally due to size and strain effects [22]. Profiles related to the different peak broadening effects convolute in the diffraction patterns. Consequently, CMWP convolutes all calculated peak profiles to obtain the measured

profiles. The size profile is calculated by assuming log-normal size distribution of the coherently scattering domains [18,19]:

$$I^{\text{Size}}(s) = \int_0^\infty \frac{\sin^2(t\pi s)}{(\pi s)^2} \operatorname{erfc} \left[\frac{\ln\left(\frac{t}{m}\right)}{\sqrt{2}\sigma} \right] dt, \quad (1)$$

where m and σ are the median and the variance in the log-normal size distribution function and erfc is the complementary error function. The Fourier transform of strain profiles can be given as [22]:

$$A_{hkl}^S(L) \cong \exp[-2\pi^2 g^2 L^2 \langle \varepsilon_{g,L}^2 \rangle], \quad (2)$$

where g is the absolute value of the diffraction vector, L is the Fourier variable and $\langle \varepsilon_{g,L}^2 \rangle$ is the mean square strain (mss). For dislocations the mss was developed by Krivoglaz [20] and Wilkens [21]:

$$\langle \varepsilon_{g,L}^2 \rangle \cong \frac{\rho C b^2}{4\pi} f(\eta), \quad (3)$$

where ρ and b are the density and the Burgers vector of dislocations, C is the dislocation contrast factor, $\eta = L/R_e$, and R_e is the effective outer cut-off radius of dislocations. Since R_e depends on the dislocation densities, sometimes it is useful to use the dimensionless parameter $M = R_e \sqrt{\rho}$ describing the arrangement or the dipole character of dislocations [5]. Smaller or larger M values indicate stronger or weaker dipole character. When characterizing dislocation loops in irradiated Zr the contrast factors of dislocations play an important role [5]. In *hcp* crystal they can be given as [18]:

$$\bar{C} = \bar{C}_{hk0} (1 + a_1 H_1^2 + a_2 H_2^2), \quad (4)$$

$$\text{where } H_1^2 = \frac{[h^2 + k^2 + (h+k)^2] l^2}{\left[h^2 + k^2 + (h+k)^2 + \frac{3}{2} \left(\frac{a}{c} \right)^2 l^2 \right]^2}, \quad H_2^2 = \frac{l^4}{\left[h^2 + k^2 + (h+k)^2 + \frac{3}{2} \left(\frac{a}{c} \right)^2 l^2 \right]^2}.$$

and a and c are the *hcp* lattice constants. For dislocation loops in irradiated Zr the contrast factors were calculated by Balogh et al. [23]. The partial dislocation densities of $\langle a \rangle$ and $\langle c \rangle$ loops are determined by splitting the $\langle \varepsilon_{g,L}^2 \rangle$ mean-square-strain:

$$\langle \varepsilon_{g,L}^2 \rangle = \langle \varepsilon_{g,L}^2 \rangle^{\langle a \rangle} + \langle \varepsilon_{g,L}^2 \rangle^{\langle c \rangle} = \frac{\rho_{\langle a \rangle} C_{\langle a \rangle} b_{\langle a \rangle}^2}{4\pi} f\left(\frac{R_e^{\langle a \rangle}}{L}\right) + \frac{\rho_{\langle c \rangle} C_{\langle c \rangle} b_{\langle c \rangle}^2}{4\pi} f\left(\frac{R_e^{\langle c \rangle}}{L}\right), \quad (5)$$

where the $\langle a \rangle$ or $\langle c \rangle$ sub- or super-scripts indicate parameters related to $\langle a \rangle$ or $\langle c \rangle$ loops, respectively. More details about this procedure can be found in [24].

3. Results

Bulk measurement of BOR-60 samples

Typical diffraction patterns are shown in Figure 4, for both a non-irradiated and a neutron-irradiated Zircaloy-2 sample at a fluence of 16.2×10^{25} n/m² (32.4 dpa). Qualitatively, the neutron-irradiated pattern shows significant broadening of all peaks, compared to the non-irradiated pattern, suggesting the presence of $\langle a \rangle$ - and $\langle c \rangle$ -loops. The fit from CMWP is shown in red and matches well with the experimental data in black.

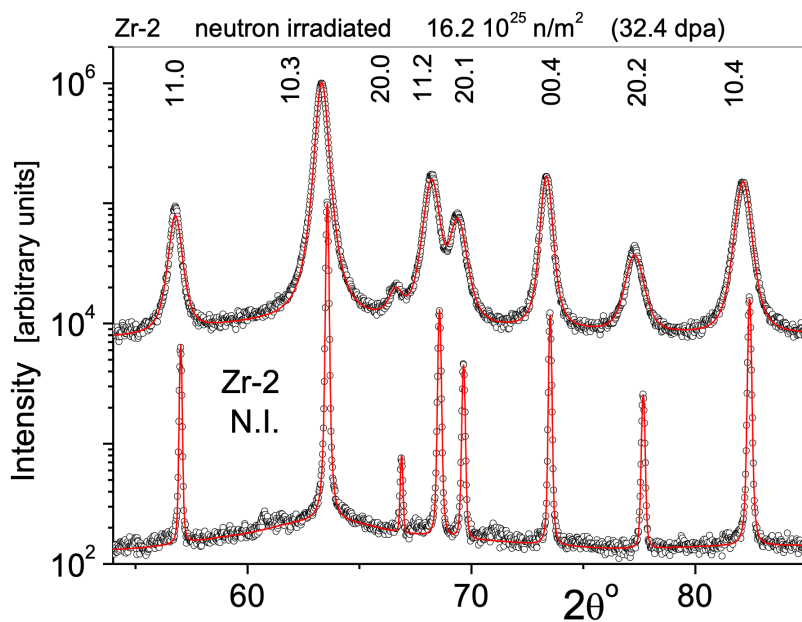


Figure 4. Measured (open circles) and CMWP calculated (red line) diffraction patterns of the non-irradiated (N.I.) and the neutron irradiated to the fluence of $16.2 \times 10^{25} \text{ n/m}^2$ (32.4 dpa) patterns of Zr-2 BOR-60 specimens.

The Williamson-Hall plot in Figure 5 (a) illustrates the full width at half maximum (FWHM) of each peak as a function of reciprocal lattice spacing, for both the $\langle a \rangle$ and $\langle c \rangle$ strain profiles, fitted to the pattern of Zircaloy-2 neutron-irradiated to $16.2 \times 10^{25} \text{ n/m}^2$ (32.4 dpa). This shows that the broadening effect of $\langle a \rangle$ - and $\langle c \rangle$ - loops on most reflections are distinct enough such that dislocation density and arrangement parameter can be measured for each independently. The modified Williamson-Hall plots in Figure 5 (b) take the contrast factors for $\langle a \rangle$ - and $\langle c \rangle$ - loops for each reflection into account and give a linear relationship, where the gradient and intercept are proportional to dislocation density and crystallite size. This shows that the contrast factors for $\langle a \rangle$ - and $\langle c \rangle$ - loops are reasonable and that the difference in the slopes of the two linear relations correlates with the larger $\langle a \rangle$ -loop dislocation density.

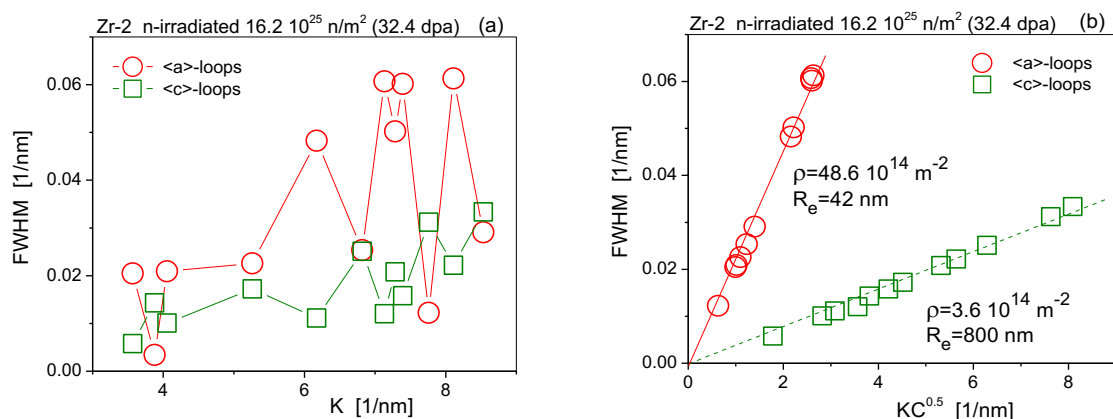


Figure 5. (a) Williamson-Hall plot of the FWHM values calculated from the theoretical diffraction patterns related to <a> (red circles) or <c> loops (green open squares). The plot shows that the FWHM values are in anticoincidence vs. K in correlation with the two related Burgers vectors almost normal to each other. (b) The same FWHM values in the modified Williamson-Hall plot where K is scaled with the square root of the contrast factors, C. The large difference in the slopes of FWHM vs. $KC^{0.5}$ is in correlation with the large difference in the dislocation densities of <a> and <c> loops.

Dislocation density calculation with CMWP was carried out, using strain functions and the contrast factors related to the <a>- and <c>-type loops. The dislocation densities of the <a>- and <c>- loop types in neutron irradiated Zircaloy-2 and Low-Sn ZIRLO are shown in Figure 6 (a) and (b) respectively, for three different fluences and the non-irradiated reference sample. In the non-irradiated specimens, which are well recrystallised, dislocation densities are smaller than $1 \times 10^{14} \text{ m}^{-2}$. In the irradiated specimens, <a>-loop dislocation increases to $30 \times 10^{14} \text{ m}^{-2}$ for both samples at the lowest dose investigated. This value does not change significantly up to the highest dose in the low Sn ZIRLO samples, within the uncertainty of the measurements. However, the <a> loop density in Zircaloy-2 ends up higher than that of the low Sn ZIRLO at the highest dose. In terms of <c>-loop density, an increase is observed as a function of dose in both alloys but ends up higher in Zircaloy-2 than low Sn ZIRLO, at the highest dose. Axial growth strain data from [12] for the same material is also plotted in Figure 6 (c). Low Sn ZIRLO shows favourable irradiation growth kinetics, compared to Zircaloy-2. At the highest dpa of 32.4 dpa, the axial growth of the Zircaloy-2 sample

is 1.33%, compared to 0.43% in low Sn ZIRLO. This growth data is plotted directly against $\langle c \rangle$ -loop density in Figure 6 (d). Axial growth strain remains low, until $\langle c \rangle$ -loop density reaches $2 \times 10^{14} \text{ m}^{-2}$, after which axial growth rises rapidly. This relationship is consistent for both alloys.

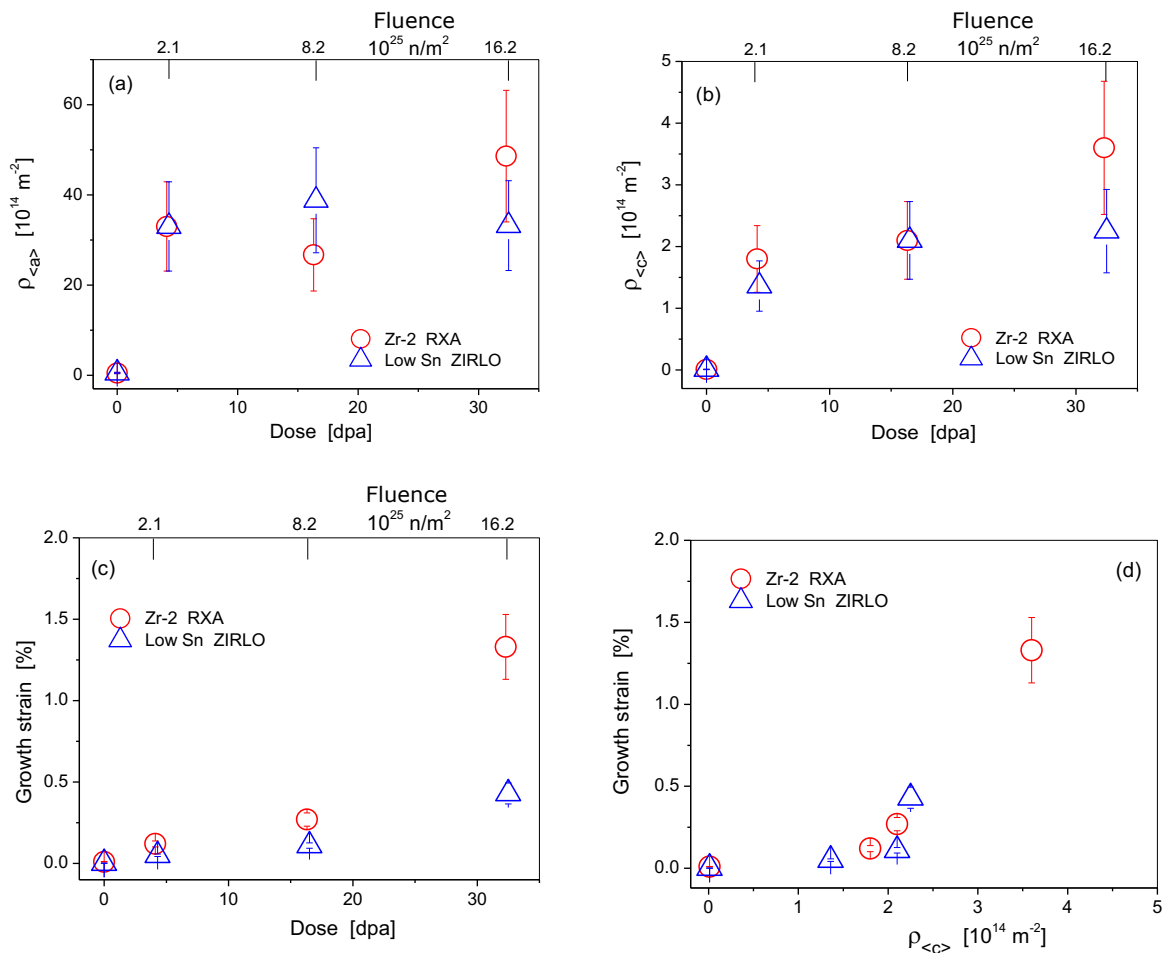


Figure 6. (a) Dislocation densities of $\langle a \rangle$ and (b) $\langle c \rangle$ loops as a function of irradiation dose. (c) Growth strain of Zr-2 (open red circles) and Low-Sn ZIRLO (open blue triangles) vs. the neutron irradiation dose whereas (d) vs. the dislocation density of $\langle c \rangle$ loops, respectively. Growth strain data is published in [12].

Depth profiling of proton irradiated Zircaloy-4

Figure 7 (a) and (c) shows $\langle a \rangle$ -loop dislocation density as a function of depth for Zircaloy-4 samples irradiated to a nominal dose of 0.1 dpa and 2 dpa respectively. On

the right-hand axis, the dose calculated from SRIM is also displayed. At depths over 30 μm , the dislocation density is smaller than $1 \times 10^{14} \text{ m}^{-2}$, as is expected for a well recrystallised sample. This is consistent with the SRIM prediction where no dose, and therefore no irradiation damage, is expected beyond 30 μm .

The dislocation density and arrangement parameter profile for nominal doses of 0.1 and 2 dpa show different trends within the irradiated region ($< 30 \mu\text{m}$). It should be noted that within this region, the dose varies from around 0.5 times the nominal dose at 1 μm deep to 9 times the nominal at the Bragg peak at 30 μm deep. The dose rate is also variable in the irradiated region according to the same factors.

For the 0.1 dpa sample, the dislocation density is $3 \times 10^{14} \text{ m}^{-2}$ at a depth of 5 μm (corresponding to a dose of around 0.05 dpa) and increases to a peak of $55 \times 10^{14} \text{ m}^{-2}$ at a depth of 25 μm (corresponding to a dose of around 0.2 dpa). For the 2 dpa sample, the dislocation density profile is approximately constant at $20 \times 10^{14} \text{ m}^{-2}$ across the damaged region, from the surface to 30 μm . Figure 7 (b) and (d) shows the $\langle a \rangle$ loop dislocation arrangement parameter as a function of depth for Zircaloy-4 samples irradiated to a nominal dose of 0.1 dpa and 2 dpa respectively. This parameter is not plotted where dislocation density is below $2 \times 10^{14} \text{ m}^{-2}$ as the parameter becomes unreliable for small dislocation densities.

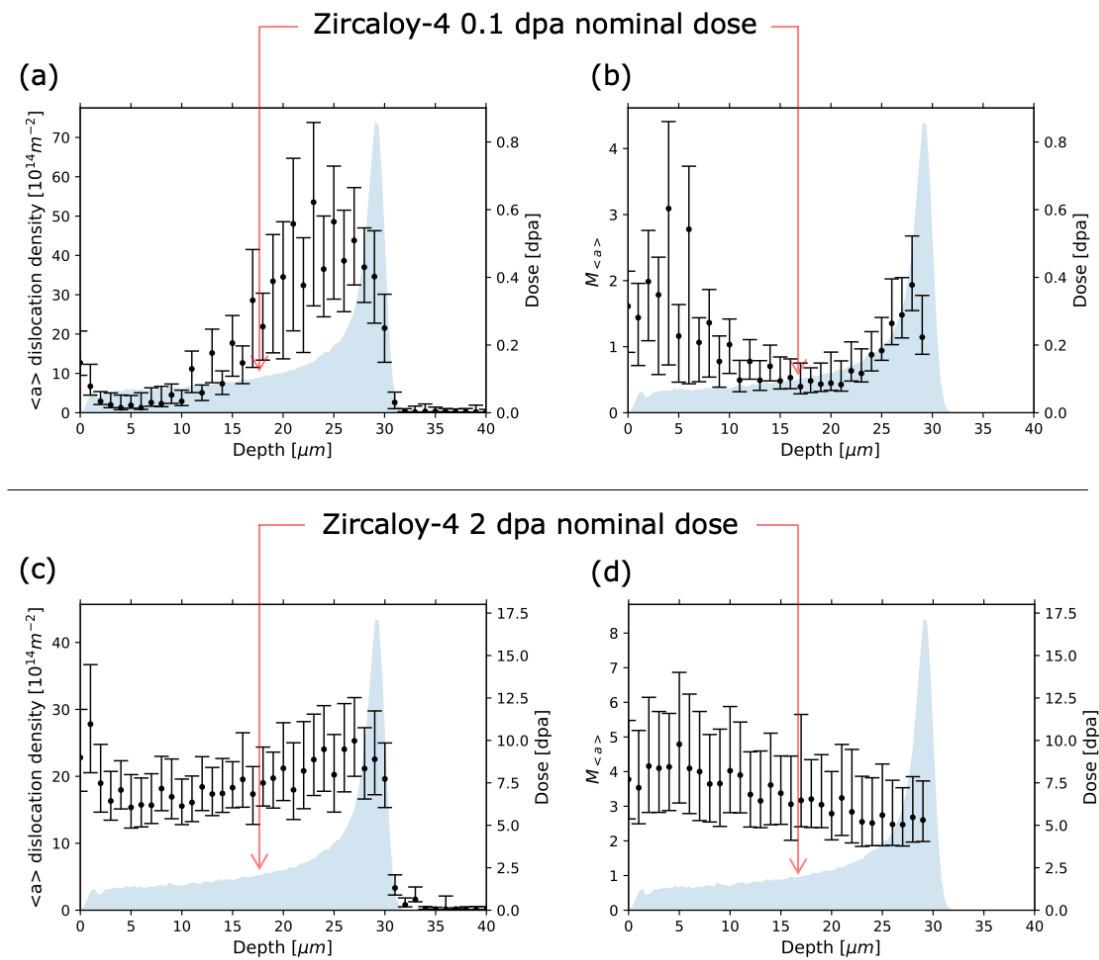


Figure 7. $\langle a \rangle$ -loop dislocation density as a function of depth for (a) 0.1 dpa and (c) 2 dpa samples. $\langle a \rangle$ -loop dislocation arrangement parameter as a function of depth for (b) 0.1 dpa and (d) 2 dpa samples. Dislocation arrangement parameter is only plotted where dislocation density is above $2 \times 10^{14} \text{ m}^{-2}$. Dose as a function of depth, calculated using SRIM is also displayed by the light-blue shaded area.

The dislocation density and dislocation arrangement data are plotted in Figure 8 (a) and (c) respectively, from samples at nominal doses of 0.1, 0.5, 1 and 2 dpa. This highlights the clear difference between the sample at a nominal dose of 0.1 dpa, and those at higher nominal dose. At a given depth, the dose rate in each sample is equivalent and these are marked in the plots. At the lowest dose rates, dislocation density increases as a function of dose, saturating at around 0.5 dpa. However, for the highest dose rate, dislocation density decreases as a function of dose, suggesting the annihilation of

dislocation structures over time at temperature. For all dose rates, the dislocation arrangement parameter increases as a function of dose.

Dislocation density and dislocation arrangement parameter are directly plotted against predicted dose from SRIM in Figure 8 (b) and (d) respectively. Datapoints from depths of between 5 and 25 μm are included, to remove effects from near the sample surface and to remain within the region where dose is more certain. Since each sample has a range of dose rates, points from equivalent depth in each sample, are connected by trendlines. Points connected by a solid line are from 25 μm depth corresponding to 2.6×10^{-5} dpa/s and points connected by a dashed line are from 5 μm depth corresponding to 0.8×10^{-5} dpa/s.

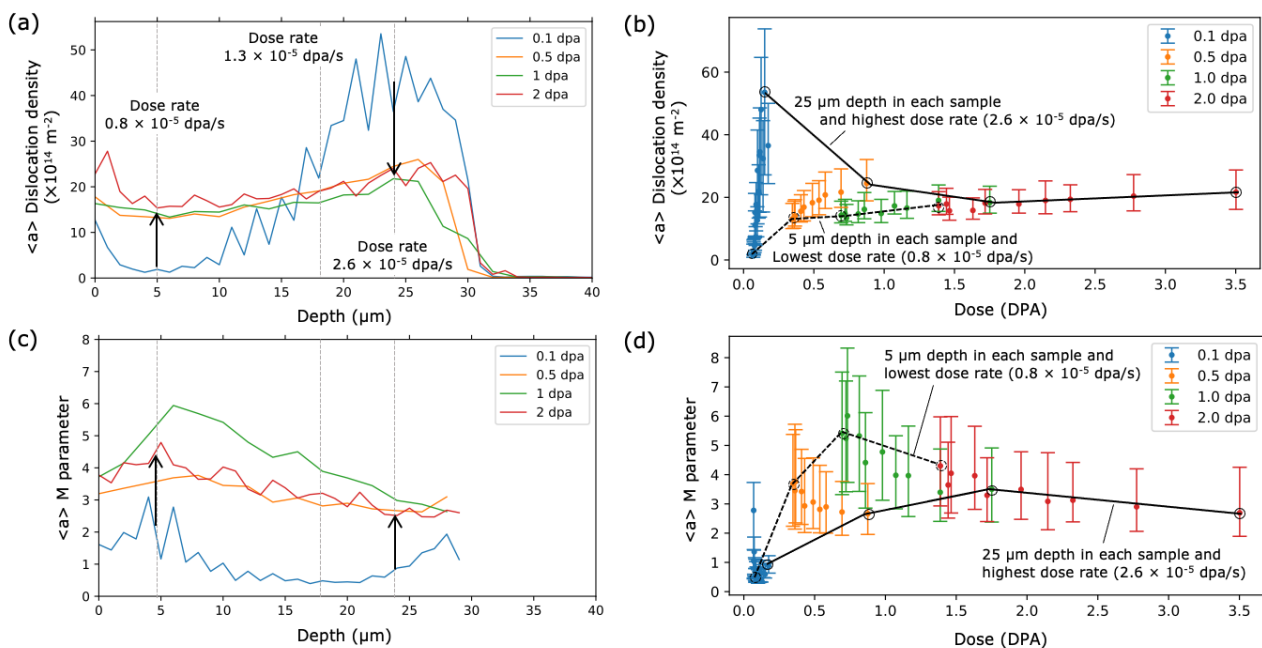


Figure 8. (a) Dislocation density as a function of depth for nominal doses of 0.1, 0.5, 1.0 and 2.0 dpa. (b) Dislocation density as a function of predicted dose, plotted for each sample. (c) Dislocation arrangement parameter, M , as a function of depth, plotted for each of the samples. (d) Dislocation arrangement parameter, M , as a function of predicted dose, plotted for each of the samples. Black trend lines in (b) and (d) denote highest and lowest dose datapoints from each sample.

Grain resolved dislocation measurement

Total dislocation density as a function of depth, for each individual grain, is plotted in Figure 9 (a) and (b) for the 0.8 dpa and the 3 dpa samples respectively. The grain average dislocation density is $4.2 \pm 2.0 \times 10^{14} \text{ m}^{-2}$ and $3.2 \pm 1.8 \times 10^{14} \text{ m}^{-2}$ in the 0.8 dpa and 3 dpa samples respectively. Errors here are quoted as the standard deviation. The distribution of dislocation densities for each grain is also shown as a histogram to the right of Figure 9 (a) and (b).

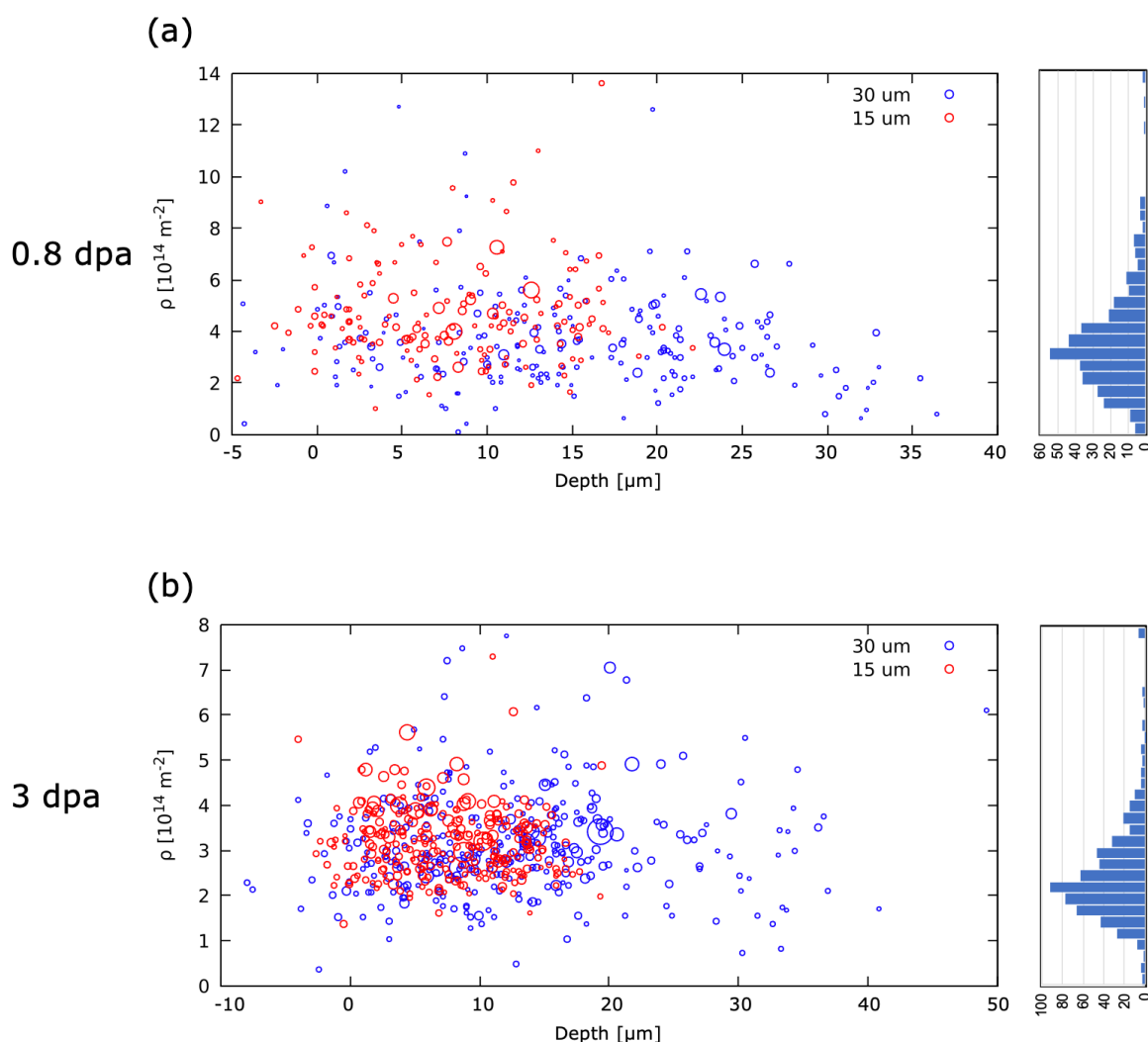


Figure 9. Dislocation density in each individual grain as a function of depth away from the proton irradiated surface, for the (a) 0.8 and (c) 3 dpa samples. Circle diameter is proportional to grain volume to power 1/3 and colour represents the portion of the sample from which the data was obtained. Histograms of dislocation density are shown to the right.

Since individual grain diffraction patterns are collected, patterns are obtained for each signed hkl reflection. In the case of powder diffraction this is not the case since only the lengths of the diffraction vectors are registered while their diffractions merge as an average to form the Debye-Scherrer rings. Since the contrast factor is different for each signed reflection, loop type can be determined in the case of grain resolved 3D-LPA measurements. The loop types considered are shown in Table 1. The majority of the dislocation density in both the 0.8 and 3 dpa sample is attributed to the two loop types highlighted in green in Table 1. That is, $1/3 \langle 11-20 \rangle [10-10] \langle a \rangle$ -loops and $1/6 \langle 20-23 \rangle [0001] \langle c \rangle$ -loops. Small amounts of $1/3 \langle 11-20 \rangle [11-20]$ and $\langle 10-10 \rangle [10-10]$ dislocations were also measured, but an order of magnitude less than $1/3 \langle 11-20 \rangle [10-10]$. The average $1/6 \langle 20-23 \rangle [0001] \langle c \rangle$ -loop dislocation density increases from $0.38 \times 10^{14} \text{ m}^{-2}$ in the 0.8 dpa sample to $0.95 \times 10^{14} \text{ m}^{-2}$ in the 3 dpa sample.

Table 1. Loop types considered during analysis of 3D-LPA data and grain average dislocation density of each loop type.

		Average dislocation density per grain in 0.8 dpa sample ($\times 10^{14} \text{ m}^{-2}$)	Average dislocation density per grain in 3.0 dpa sample ($\times 10^{14} \text{ m}^{-2}$)
$1/3 \langle 11-20 \rangle [11-20]$	$\langle a \rangle$	0.37	0.19
$1/3 \langle 11-20 \rangle [10-10]$	$\langle a \rangle$	3.09	2.15
$\langle 10-10 \rangle [10-10]$	$\langle a \rangle$	0.27	0.25
$1/3 \langle 11-23 \rangle [10-11]$	$\langle c \rangle$	0.05	0.05
$1/3 \langle 11-23 \rangle [10-10]$	$\langle c \rangle$	0.02	0.02
$1/6 \langle 20-23 \rangle [0001]$	$\langle c \rangle$	0.38	0.95

As well as grain position, the crystallographic orientation of each grain can also be determined. Total dislocation density as a function of orientation, for each grain, plotted

on an inverse pole figure (IPF), are shown in Figure 10 (a) and (b) for the 0.8 dpa and 3 dpa samples respectively. Dislocation density against the declination angle of the c-axis away from the irradiation direction are shown in Figure 10 (c) and (d) for the 0.8 dpa and 3 dpa samples respectively. There is no clear trend of dislocation density as a function of crystallographic orientation observed in either the IPF or the declination angle plots. Although not plotted here, dislocation density as a function of grain size was also investigated and again, no trend was observed.

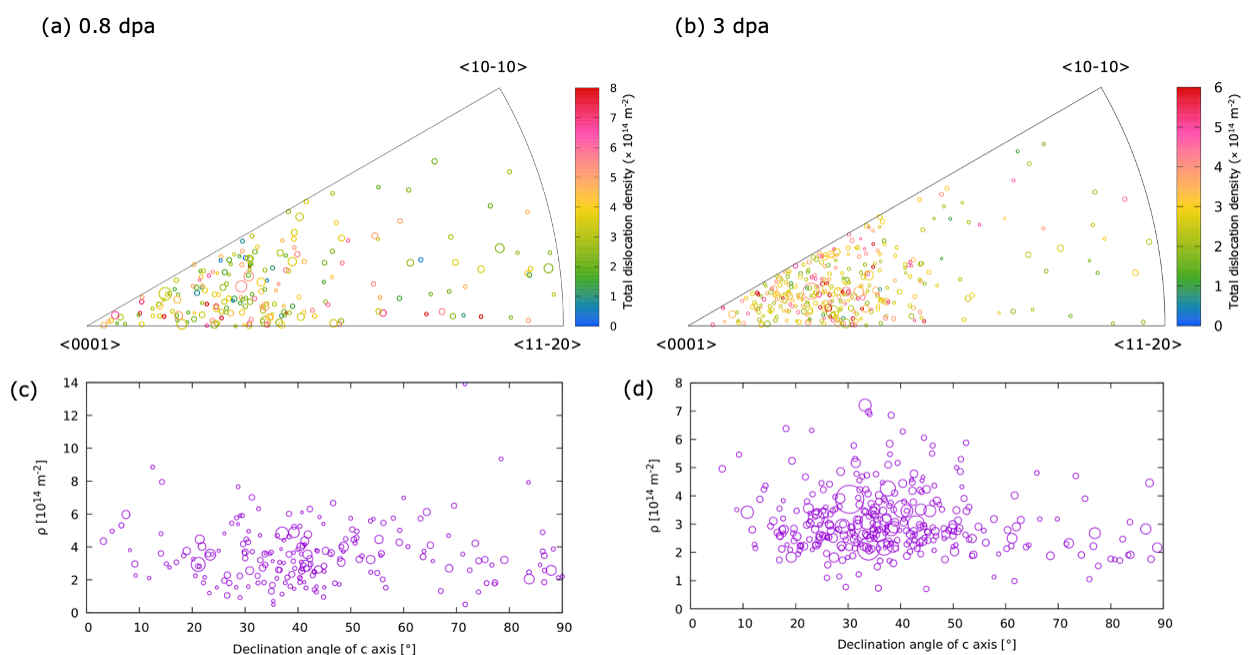


Figure 10. (a-b) Inverse pole figure of irradiation direction for each grain in the 0.8 dpa sample and 3 dpa sample respectively, where colour represents total dislocation density. Circle diameter is proportional to grain volume to power 1/3. (c-d) Total dislocation density as a function of declination angle of c-axis away from the irradiation direction for each grain in the 0.8 dpa and 3 dpa samples respectively.

4. Discussion

Bulk measurement of BOR-60 samples

In order to improve the performance of cladding tubes, understanding the relationship between alloy composition, irradiation damage structures and resultant irradiation growth is crucial. The key focus of the BOR-60 study was the accurate quantification of dislocation density, for a systematic range of alloys and doses, and subsequent correlation to axial irradiation growth measured on the same material by Yagnik et al. [12]. With the limited data available in this study, a correlation of axial growth strain to $\langle c \rangle$ -loop dislocation density was observed. At a critical $\langle c \rangle$ -loop dislocation density of $2 \times 10^{14} \text{ m}^{-2}$, a sharp linear increase in axial growth strain was observed, suggesting a link between $\langle c \rangle$ -loops and irradiation growth. A similar correlation between axial growth strain and average $\langle c \rangle$ -loop density measured by TEM, was observed by Yagnik et al. [12]. Due to various factors, including difficulty in quantification of microscopy and $\langle c \rangle$ -loop diameters exceeding the foil thickness, it was noted that $\langle c \rangle$ -loop densities measured by TEM were likely to be an underestimate. For example, the average $\langle c \rangle$ -loop density in RXA Zircaloy-2 (A30) was measured by TEM in [12] to be $0.2 \times 10^{14} \text{ m}^{-2}$ compared to $2 \times 10^{14} \text{ m}^{-2}$ in the current study.

The BOR-60 samples discussed in this manuscript are a subset of the available samples, both in terms of dose and alloy composition. More measurements are ongoing, and these results will be presented at a later date. Further TEM of the BOR-60 samples is also in progress, and this will be shared at a later date to complement the XRD measured dislocation density. Furthermore, chemical mapping, both in the TEM and with atom probe tomography will be used to investigate the link between chemical segregation and irradiation induced dislocation loop formation.

Depth profiling of proton irradiated Zircaloy-4

The depth profiling experiments on proton-irradiated Zircaloy-4 samples show clear agreement of experimental results with the extent of the irradiated region predicted by SRIM. The irradiation dose of 2 MeV protons into zirconium was expected to be contained within a depth of 30 μm and this was indeed the case in experimental measurements, to within 1 μm . As a result, previous assumptions made about depths from which to extract TEM foils as to remain are correct. Generally, a depth of 18 μm

is targeted, such that the dose throughout the foil is constant. Even with some uncertainty of the exact depth from which the foil is extracted, the dose is fairly constant. For example, within $\pm 2 \mu\text{m}$ of $18 \mu\text{m}$ depth, the dose remains within $\pm 10\%$. However, it should be noted that dose rate is still variable, and the resulting irradiation damage is also variable in some cases.

At a nominal dose of 0.1 dpa, both dislocation density and dislocation arrangement parameter vary strongly as a function of depth and therefore dose and dose rate. Care should therefore be taken when performing microscopy on low dose samples since the dislocation structure is not constant, even near the region where dose and dose rate are constant, at around $18 \mu\text{m}$ depth. At nominal doses of 0.5 dpa up to 2 dpa, an increasingly flat damage profile is observed. This suggests, at least for lower dose rates, that dislocation density saturates as a function of dose, as shown by the dotted line in in Figure 8 (b). This is in agreement with previous observations by Seymour et al. [6], which show a plateau in peak FWHM of neutron irradiated Zircaloy-2, for fluences between $9 - 15 \times 10^{14} \text{m}^{-2}$. A limitation of neutron irradiated material, however, is that the doses are typically high and the behaviour at low doses is missed. The current study captures the behaviour at comparatively small doses. The saturation in $\langle a \rangle$ -loop dislocation density is also reflected in mechanical properties, specifically ultimate tensile strength (UTS), in a number of studies [25–27].

For higher dose rate, near the Bragg peak, the dislocation density decreases as a function of dose, as shown by the solid line in in Figure 8 (b). This suggests that annihilation of dislocation structures or loop size growth may be occurring in this region. As shown previously by Topping et al. [4], dislocation arrangement parameter is loosely related to dislocation loop size. Assuming this relationship holds true here also, $\langle a \rangle$ -loop size does seem to increase as a function of dose. The effect of dose rate is less significant for higher doses, with both dashed and solid curves converging in Figure 8 (b).

At 3.5 dpa in the proton-irradiated RXA Zircaloy-4, the dislocation density was measured to be $22 \pm 6 \times 10^{14} \text{m}^{-2}$. At the lowest BOR-60 dose of 4.2 dpa, the dislocation

density in the RXA Zircaloy-2 sample was measured to be $33 \pm 10 \times 10^{14} \text{ m}^{-2}$. These values are within uncertainty of each other and therefore the depth profiling measurements are consistent with the bulk measurements performed on neutron irradiated BOR-60 samples. The difference between the two measurements is likely attributed to the small difference in alloy chemistry between Zircaloy-2 and Zircaloy-4 and the effect of protons vs neutrons and the associated conversion factor, temperature and dose rate differences.

Further depth profiling measurements at doses lower than 0.1 dpa would give further insight into the early stages of $\langle a \rangle$ -loops nucleation. Also, since there remains a gap between the doses investigated with proton and neutron irradiation, depth profiling on samples proton-irradiated to higher doses would be beneficial to further compare the irradiation structures produced by both techniques. The $\langle c \rangle$ -loop content of the samples investigated here is low, even near the Bragg peak in the high dose sample. Therefore, irradiating samples to doses higher than 2 dpa would be beneficial to systematically investigate $\langle c \rangle$ -loop nucleation and growth and the relationship between $\langle a \rangle$ - and $\langle c \rangle$ -loops. Furthermore, the depth-profiling method described in this paper has the possibility to probe other heterogeneous nuclear microstructures, such as other types of ion irradiations, coatings for accident tolerance and the effect of processing on cladding tubes.

Grain-resolved dislocation measurement

Isolating diffraction spots for individual grains and reconstructing the line profile for each grain allows grain-to-grain variability in physical parameters such as dislocation density, dislocation arrangement parameter and lattice parameter to be measured. At both nominal doses investigated here, there exists a broad variability in dislocation density, which is important to note for TEM studies, which generally investigate only a few grains. Nonetheless, an increase in $\langle c \rangle$ -loop dislocation density was observed from 0.8 dpa to 3 dpa. The dislocation densities measured in the grain-resolved experiment are also lower than those measured in the bulk and depth profiling measurements. This could be due to the different alloy compositions used for each experiment.

Channelling is one possible reason for grain-to-grain variability in dislocation density, whereby the crystal orientation relative to the irradiation direction may impact energy deposition and implantation range [11]. This is particularly important to study, since TEM studies of $\langle a \rangle$ -loops in electropolished foils are constrained to investigate a subset of grains where the [11-20] crystal orientation is parallel with the TEM foil normal [11]. In the current study, no trend was observed between crystallographic orientation and dislocation density. However, due to the texture of the material and the chosen irradiation direction, there is a lack of data around [11-20] and [10-10] poles. It should be noted that crystal orientation is unlikely to have an effect on energy deposition in neutron irradiated samples [11].

Almost all $\langle a \rangle$ -loop dislocation density in the current study was attributed to $1/3 \langle 11-20 \rangle$ [10-10] type loops. The observed Burgers vector is consistent with previous observations by Northwood et al. [28], where only loops with a $1/3 \langle 11-20 \rangle$ Burgers vector were observed. Both Jotsons et al. [29] and Kelly et al. [30] conclude that dislocation loops prefer the [10-10] habit plane. However, in most cases it was determined to be a mixture between [10-10] and [11-20], with a small shift towards [0001]. It should be noted that a limitation of line profile analysis is that only the dislocation loop habit plane and Burgers vector combinations provided to the software can be measured. Therefore, TEM is necessary to find the predominant loop types in the first instance, then line profile analysis provides a robust quantification.

Almost all $\langle c \rangle$ -loop dislocation density in the current study was attributed to $1/6 \langle 20-23 \rangle$ [0001] type loops. A review by Griffiths [3] also concluded that most irradiation-induced $\langle c \rangle$ -component dislocation are loops on the basal plane with Burgers vector $1/6 \langle 20-23 \rangle$. Since large $\langle c \rangle$ -loops in neutron irradiated material exhibit weak diffraction contrast, observation in TEM is particularly challenging and line profile analysis therefore provides a complementary alternative.

In terms of zirconium alloys, grain resolved dislocation density measurement has so far only been carried out on proton-irradiated Zr-Fe binary samples at two doses. It is planned to measure Zircaloy-4 samples proton irradiated to 0.1 dpa, then deformed in

tension, as described in [31]. The aim will be to investigate the evolution of both dislocation loops and deformation-induced dislocations as a function of deformation. Depending on grain orientation, deformation will occur on different slip systems and therefore may have different channel clearing behaviours. In principle, neutron-irradiated samples, such as the BOR-60 samples discussed previously could also be studied. Although no orientation dependence might be expected in neutron-irradiated samples, the evolution of loop type and grain-to-grain variability could be investigated in such samples.

5. Conclusions

Line profile analysis of bulk samples with a laboratory diffractometer has been shown to give complementary information to microscopy. Novel depth profiling at a synchrotron gives information about a range of doses and dose rates in a single sample, enabling systematic studies. Grain-resolved line profile analysis experiments at a synchrotron give further insight into dislocation structures on a grain-by-grain basis. The key findings from the studies are as follows:

- Although $\langle a \rangle$ -loop dislocation densities in neutron-irradiated BOR-60 samples saturate at low dose, both $\langle a \rangle$ - and $\langle c \rangle$ -loop density is higher in Zircaloy-2 compared to low Sn ZIRLO at 32 dpa.
- Axial growth strain is correlated to $\langle c \rangle$ -loop density in neutron-irradiated BOR-60 samples and a sharp increase in growth strain is associated with $\langle c \rangle$ -loop dislocation densities larger than $2 \times 10^{14} \text{ m}^{-2}$.
- The extent of the damaged region in Zircaloy-4 irradiated with 2 MeV protons is consistent with prediction from simulation. For doses less than 0.1 dpa, a variable irradiation damage profile is observed as a function of depth, whereas increasingly flat dislocation density profile is observed for higher doses.
- There exists a grain-to-grain dislocation density variability in proton-irradiated Zr-0.1Fe, however no trend between dislocation density and crystallographic orientation relative to the irradiation direction was observed, suggesting that channelling is not significant for the crystallographic orientations sampled.

- Mostly, $\langle 11-20 \rangle$ $[10-10]$ $\langle a \rangle$ -loops and $\langle 20-23 \rangle$ $[0001]$ $\langle c \rangle$ -loops were observed, with all other loop types having an order of magnitude lower dislocation densities.

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