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# 1 **Didodecyldimethylammonium Bromide Role in Anchoring Gold** 2 **Nanoparticles onto Liposome Surface for Triggering the Drug Release**

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## 12 13 **ABSTRACT**

14  
15 **Introduction.** Nowadays, liposomes with their possibility of loading gold nanoparticles  
16 (AuNPs) onto their surface are used in terms of detection and imaging in several  
17 pathologies such as cancer disease. The objective of this work was first to optimize vesicle  
18 composition to reinforce the anchoring process of AuNPs onto liposomes by using cationic  
19 agents, and then, be study how the local temperature and the vesicle size affect to drug  
20 release.

21 **Materials and methods.** A Plackett–Burman design was applied to find out the optimal  
22 composition to anchor AuNPs. A comprehensive study about the influence of lipid bilayer  
23 composition on the surface charge, size and PDI of liposomes was carried out. Afterwards,  
24 in vitro release studies were developed by dialysis and several release parameters were  
25 calculated

26 **Results and discussion.** Cholesterol was fixed as rigid-agent and  
27 Didodecyldimethylammonium bromide (DDAB) was selected as cationic lipid into

28 liposome bilayer. Images from Transmission Electron Microscopy (TEM) revealed that  
29 AuNPs were anchored onto the liposomal surface, mostly in the presence of DDAB. The  
30 modification of incubating temperature revealed that the anchoring of AuNPs to the  
31 liposome surface provided an enhancement of calcein release, overall in extruded samples,  
32 in magnitude and in rate. The effect of surface available of vesicles on drug release was  
33 also studied in extruded samples (0.8 and 0.2  $\mu\text{m}$ ), demonstrating that calcein release  
34 increased as vesicle surface was higher (the anchoring process was also improved).

35 **Conclusion.** This interesting contribution may be taken into account with regard to design  
36 this lipid nanostructured system with controlled release properties for anticancer drugs.

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## 39 1. INTRODUCTION

40 Targeted drug delivery constitutes an interesting alternative in order to overcome the  
41 current limitations of drugs in many different therapies. Nowadays, a continuous interest  
42 exists in developing highly localized and specific drug delivery systems. Among them,  
43 nanocarriers with enhanced functionality and smart responsiveness are being promoted [1].

44 **In cancer research, the physiological changes suffered in surrounding tumor area**  
45 **have been exploited to promote the accumulation of the drug carrier in this zone in**  
46 **order to reduce the unfavorable side effects in normal tissue** [2]. The well-known  
47 Enhanced Permeability and Retention (EPR) effect promotes this effect by diffusion and  
48 convection processes [3]. However, it is widely accepted that this effect is not enough to  
49 control the side effects of cytotoxic drugs [4]. Therefore, the development of composite  
50 nanosystems which are triggered by stimuli (i.e., pH, enzyme, temperature and light) is  
51 being exploited [5].

52 Among these systems, thermal sensitive liposomes constitute a potential method to produce  
53 triggered systems for controlled drug delivery [6]. Besides considering liposomes as  
54 attractive nanocarriers by virtue of their high biocompatibility and non-toxicity, some  
55 strategies for a triggering purpose include: to adjust the lipid composition of liposomes, in  
56 order to modify the temperature required for gel-to-liquid crystalline phase transition, or to  
57 use thermosensitive polymers, acoustically active liposomes and laser light sensitive  
58 liposomes [7].

59 In recent years, noble metal nanoparticles are being exploited in virtue of their physical  
60 properties. Among them, gold nanoparticles (AuNPs) are well known for their capacity to  
61 exhibit surface plasmon resonance when irradiated with infrared electromagnetic radiation,  
62 converting most of the absorbed energy to heat [8]. These properties make AuNPs well

63 suitable for widespread biomedical applications [9, 10]. AuNPs can be engineered as  
64 diagnosis agents and/or as targeting systems to specific tissues [11, 12]. They possess  
65 widespread desirable properties in terms of long-term stability, high surface area-to-volume  
66 ratio, providing size-dependent optical, electric and magnetic properties [13].

67 However, in vivo evaluation of AuNPs has revealed short biological half-life and cellular  
68 toxicity. In addition, the accumulation of these nanoparticles at the tumor site in cancer is  
69 limited [14] and they tend to aggregate and lose their unique photo-properties. These  
70 drawbacks can be overcome with the anchoring of AuNPs onto liposomes [15, 16].  
71 Regarding the numerous applications as biophysical and biochemical tools of liposomes,  
72 the possibility of loading AuNPs onto their surface provides several advantages in terms of  
73 detection and imaging [17, 18]. They are used to activate the drug release in virtue of local  
74 temperature changes next to the nanoparticle. This property of producing light-induced  
75 heating of these nanoparticles has been widely used as generating cell damage, for  
76 example, in cancer disease [19-21].

77 Gold nanoparticles can be combined with liposomes by following different strategies, as  
78 postulated by Paasonen et al. [22]. Hydrophobic nanoparticles can be embedded into the  
79 lipid bilayer, whereas charged hydrophilic nanoparticles can be entrapped into the  
80 liposomal core. Finally, lipid functionalized gold nanoparticles can be localized onto  
81 liposome surface.

82 To reinforce the anchoring process of these nanoparticles onto liposomes, it is desirable to  
83 develop an adequate modification of bilayer composition in order to provide suitable  
84 surface charge characteristics of the vesicle; incorporation of cholesterol, which contributes  
85 to bilayer rearrangement and its dynamic [23]. Besides to take into account the lipid  
86 concentration, molecular interaction between the drug and the lipid bilayer membrane plays

87 an important role in liposome formation and drug encapsulation, as was reported by  
88 Villasmil-Sánchez et al. [24].

89 The homolog double-chain liposome-forming cationic lipid didodecyldimethylammonium  
90 bromide (DDAB), a synthetic cationic lipid, has been widely used in the study of vesicles  
91 and other biomembrane models [25, 26] and liposome formation in aqueous solutions with  
92 this lipid is extensively reported [27, 28].

93 In this study, we prepared the complex of AuNPs with liposomes by physical adsorption.  
94 We have used negatively charged nanoparticles, stabilized by citrate buffer. Anionic  
95 nanoparticles have been reported to be less cytotoxic than cationic nanoparticles, [29]. So,  
96 we have developed a cationic liposome in order to improve the anchoring junction with the  
97 anionic AuNPs, as previously proposed Balazs and Godbey [30]. We hypothesized that the  
98 key role of the cationic lipid will be to provide an electrostatic attraction between the  
99 positively charged liposome and the negatively charged AuNPs. For this, a first study will  
100 be focused on obtaining a vesicle lipid composition suitable for further anchor AuNPs onto  
101 the liposome surface.

102 Calcein was used as a model drug to monitor the effect of anchoring AuNPs onto  
103 liposomes. This model substance has the property of increasing the fluorescence intensity  
104 when it is released from the vesicles to the dissolution medium [31], which will be used for  
105 tracking the drug release. Afterward, we will also study the response of AuNPs liposomes  
106 and liposomes to the temperature changes concerning the calcein release. Finally, the  
107 influence of vesicle size on calcein release will be evaluated.

## 108 **2. MATERIALS AND METHODS**

### 109 **2.1. Materials**

110 L- $\alpha$ -phosphatidylcholine from egg yolk (EPC) and stearylamine (SA) were purchased from  
111 Fluka (Switzerland). Cholesterol (Ch), Cholesteryl hemisuccinate (Chems) and gold  
112 nanoparticle solution were obtained from Nanovex Biotecnología (Asturias, Spain).  
113 Chloroform was provided by Panreac Chemistry (Barcelona, Spain). **Calcein (Lot N.**  
114 **127K1057)** and didodecyldimethylammonium bromide (DDAB) were provided by **Sigma–**  
115 **Aldrich (Italy)**. The buffer solution used for the preparation of liposomes was PBS  
116 adjusted to pH 7.4.

## 117 **2.2. Liposome preparation**

118 *2.2.1. Thin-Layer Evaporation (TLE)*. Multilamellar vesicles were prepared according to  
119 the method previously described [32, 33]. Briefly, different ratios of EPC, Ch and the  
120 inducer-charge substance (SA or DDAB) containing 14.1 – 14.6 mmol total lipids were  
121 dissolved in chloroform. The sample was rotaevaporated (Büchi, R-200) at 58°C to remove  
122 the organic solvent until obtaining a thin lipid film, which was then, hydrated by adding 3  
123 mL of buffer PBS pH 7.4. Multilamellar liposomes (MLV) were formed after five  
124 vortexing cycles consisting in stirring for 2 minutes and heating at 58 °C for 5 minutes until  
125 vesicles were formed.

126 For studying drug release, a solution of calcein in PBS (1 mg/mL) was added to the  
127 hydrating solution.

128 *2.2.2. Freezing and Thawing (FAT)*. Frozen and thawed MLV (FATMLV) were obtained  
129 placing MLV colloidal dispersion in a pyrex tube, being accomplished subsequent freezing  
130 and thawing cycles by freezing each preparation of liposomes at –196 °C. After that,  
131 samples were immersed in liquid nitrogen for 30 s and thawed for 30 seconds at 58 °C in a  
132 water bath. The sequence was repeated nine times [34].

133 2.2.3. *Large (LUV) or small (SUV) unilamellar vesicles* were obtained by the extrusion  
134 technique [35]. According to this method, 2 mL of FATMLV dispersion were placed in a  
135 Lipex Thermobarrel extruder (Northern Lipids Inc., Burnaby, Canada) under air flow,  
136 thermostated at 58 °C and extruded-through a 0.8 and 0.2 µm polycarbonate membrane for  
137 6 times each, as previously reported [36].

### 138 **2.3. Screening the significant parameters by a Plackett–Burman design**

139 A Plackett-Burman design (PBD) was used to identify the significant variables of the  
140 formulation on size, polydispersity index (PdI) and zeta potential. This study was focused  
141 on the selection of the most appropriate liposomal formulation for the future anchoring  
142 process of AuNPs. PBD assumes the independence of each factor and a first-order model  
143 can describe it:

$$144 \quad Y = \beta_0 + \sum \beta_i \cdot X_i$$

145 where  $Y$  is the predicted target response (vesicle size, PdI and zeta potential),  $\beta_0$  is the  
146 intercept,  $\beta_i$  is the regression coefficient and  $X_i$  is the independent variable.

147 Sixteen experiments including five independent variables related to the structure of  
148 liposome bilayer, were planned. Each factor was studied at two levels: high (+1) and low  
149 (−1). The five independent variables were rigidity-inducer lipid ( $X_1$ ), cationic lipid ( $X_2$ ),  
150 method of production ( $X_3$ ), the concentration of cationic lipid ( $X_4$ ) and extrusion ( $X_5$ ). The  
151 input variables with their levels are described in Table 1A. Based on preliminary studies,  
152 mean vesicle size ( $Y_1$ ), PdI ( $Y_2$ ) and zeta potential ( $Y_3$ ) were selected as the response  
153 variables [36].

154 The effect of each variable on the different responses was calculated by using the following  
155 equation:

$$E_{(X_i)} = \frac{\sum Y_{(+)_i} - \sum Y_{(-)_i}}{L/2}$$

156

157 where E(X<sub>i</sub>) was the effect of the tested variable, Y(+)<sub>i</sub> and Y(-)<sub>i</sub> were the response values  
158 for higher and lower levels of the variables tested, and L was the number of experiments  
159 realized. When the effect value (E(X<sub>i</sub>)) is positive (>0), the influence of the variable is  
160 greater at the higher level, while when it is negative (<0), the influence of the variable is  
161 greater at the lower level [37].

162 The experimental data were analyzed by ANOVA and F-test. The determination coefficient  
163 (R<sup>2</sup>) and the F-value were applied for statistical evaluation.

164 Based on the Pareto chart and analysis of variance (ANOVA) results, we established the  
165 variables that exhibited significant main effects on the selected responses.

## 166 **2.4. AuNPs anchoring**

167 AuNPs-anchored liposomes (AuNPs-liposomes) were obtained by adding a volume of  
168 nanoparticles (size: 10 nm, zeta potential: -30 mV) to the liposome dispersion, which was  
169 previously optimized in composition. AuNPs solution was added in different ratios  
170 maintaining the stirring for 1 min.

## 171 **2.5. Characterization studies**

### 172 *2.5.1. Size and surface charge*

173 Particle size and PDI values were obtained by dynamic light scattering (DLS) by using the  
174 Zetasizer Nano-S equipment (Malvern Instruments, UK) at room temperature as previously  
175 described [36].



176 Zeta potential was determined from electrophoretic mobility ( $\mu$ ), which was converted to Z  
177 by the Smoluchowski equation, as was previously reported [36].  
178 Diluted formulations (1/20 v/v) were used for both measurements.

#### 179 *2.5.2. Morphological analysis*

180 Samples were analyzed by transmission electron microscopy (TEM, Philips CM 10, USA).  
181 The methodology employed for sample preparation has been previously described [33]. In  
182 this study, an aqueous solution of uranyl acetate (2% w/v) was used as a negative staining  
183 agent.

#### 184 **2.6. In vitro calcein release**

185 Calcein release from AuNPs-liposomes was carried out by a dialysis method. Previously,  
186 non-entrapped calcein was removed from samples by centrifugation (Eppendorf Centrifuge  
187 5804R) where samples were subjected to 10000 rpm, 4 °C during 45 min. The resulting  
188 residue was hydrated with PBS solution pH 7.4. Before the experiments, the dispersion of  
189 calcein-entrapped liposomes was stocked under 4 °C and in dark conditions. Afterward, the  
190 release of calcein from liposome samples was carried out placing 0.5 mL of each sample in  
191 a dialysis bag (molecular cut-off of 10 kD) which was sealed in both ends with a dialysis  
192 clip. After a conditioning stage (artificial membrane in 30 mL of PBS pH 7.4), the whole  
193 system was placed in an automated shaker (IKA Magnetic Stirrer RT 10) maintained at 100  
194 rpm and temperature 37 °C or 42 °C depending of the experiment. At scheduled times, a  
195 fixed volume of dissolution medium was collected and replaced with an equal volume of  
196 fresh medium. Fluorescence emission of calcein was quantified using Synergy 2 (BioTek)  
197 at 485 nm and 520 nm for excitation and emission, respectively. Then, the aliquots were  
198 transferred to a black plate (Fluotrac 200, Greiner Bio-One, Monroe, NC 28110) for

199 measuring the fluorescence. Standard curves were generated to express relative  
200 fluorescence units into the amount of released calcein.

201 The amount of calcein released after time  $t$  was calculated according to the equation [38]:

$$RF(\%) = 100 \cdot \frac{I_t - I_o}{I_{max} - I_o}$$

202 where RF is the fraction of calcein released,  $I_o$ ,  $I_t$  and  $I_{max}$  are the fluorescence intensities  
203 measured at the beginning of the experiment, at time  $t$  and the fluorescence intensity of the  
204 total amount of calcein added to the sample, respectively.

205 Release profiles were obtained by plotting the cumulative amount of calcein released  
206 (normalized values in percent per unit). Release parameters such as the cumulative drug  
207 released at 60 ( $Q_{60}$ ), 120 ( $Q_{120}$ ), 240 ( $Q_{240}$ ) and 480 ( $Q_{480}$ ) min, were calculated. In addition,  
208 the area under the curve of dissolution profiles (AUCDP) was calculated by the trapezoidal  
209 method for all samples. Finally, the time required for dissolution of 50% of the dose was  
210 selected as rate-indicating parameter ( $t_{50\%}$ ).

211 The influence of the temperature of the dissolution medium (37 °C or 42 °C) and vesicle  
212 size (non-extruded samples, 800 nm or 200 nm-extruded samples) on calcein release  
213 parameters, were analyzed.

## 214 **2.7. Statistical analysis**

215 Student's t-test was used to evaluate the statistical significance. The differences were  
216 considered significant when the p-values were less than 0.05.

## 217 **3. RESULTS AND DISCUSSION**

### 218 **3.1. Screening step**

219 In this study, a two-level PBD comprising 16 experiments was introduced with the aim to  
220 screen those variables that significantly affect the surface characteristics of liposomes [39].

221 Variables selected for this study (Table 1A) were considered to potentially affect the  
222 available area and surface properties of vesicles for further proceed to anchor the AuNPs.  
223 This selection was carried out based on previous works in which liposomes with  
224 stearylamine prepared by different manufacturing techniques gave rise to cationic  
225 liposomes with high drug entrapment efficiency [34, 40]. Also, it is widely demonstrated  
226 the capacity of Ch to provide rigidity to liposome bilayer [33, 41].

227 As shown in Table 1B, the selected variables exhibited a wide range of values, so  
228 suggesting that the independent factors had a significant effect on the response chosen.

229 The statistical test F was used to validate the good fit of the model (Figure 1A). When F  
230 values were compared with the theoretical values ( $F_{\alpha}(p - 1, N - p)$ , being  $\alpha$  the chosen  
231 risk,  $p$  the number of terms of the model and  $N$  the number of the experiments), we can  
232 conclude that there are statistically significant differences among the factors. The  
233 experimental test statistic was much higher (68.72; 65.41 and 849.9 for Y1, Y2 and Y3  
234 respectively) than the critical value ( $F_{0.05}(4, 15)$  is 3.056); therefore, good linearity  
235 between the predicted and the observed values was suggested.

236 In addition, the standardized Pareto charts ( $P < 0.05$ ) of main effects were illustrated in  
237 Figure 1B. The decision limits representing the statistically significant effect of factors at a  
238 95% confidence level were 11.1, 0.0107 and 0.149 for vesicle size, PdI and zeta potential,  
239 respectively. Effects above this critical limit are significant and effects below this value are  
240 not likely to be significant.

241 Once obtained these diagrams, it is very easy to predict the suitable conditions and  
242 formulation composition in order to minimize the vesicle size and PdI, and to maximize the  
243 zeta potential. Results are shown in Figure 1C.

244 A clear explanation and discussion about the influence of the most significant factors  
245 (Pareto charts) on the responses evaluated will be carried out in the next sections.

### 246 *3.1.1. Influence of preparation method (X3 and X5)*

247 Reduction in the vesicle size is considered an important strategy to enhance the anchoring  
248 of AuNPs onto the liposomal surface since the available surface area increases [42]. In this  
249 regard, many methods are widely used to reduce the vesicle size including sonication,  
250 freezing and thawing and extrusion [43].

251 In this study, the mean vesicle size of liposomes ranged from 235.6 to 1511.33 nm and PDI  
252 from 0.1 to 0.6 (Table 1B). In general, the multilamellar structure of vesicles prepared by  
253 TLE method gives rise to liposomes largely heterogeneous in size and with a relatively  
254 small volume of hydrophilic phase. When these vesicles are submitted to sonication, single-  
255 layer liposomes are obtained. Also, this mechanical dispersion method results in low  
256 internal volume/encapsulation efficacy vesicles, due to the reduced size (44). In addition,  
257 this commonly used procedure in all formulations resulted in higher sizes in several  
258 batches, probably because aggregation phenomena occur, as was reported by Riaz [45].  
259 Probably, a tendency to undergo concentration-dependent aggregation in this relatively low  
260 polarity environment has contributed to the generation of hydrogen bonds between the drug  
261 and lipid bilayer, giving rise to self-aggregation, limited drug loading and poor shelf  
262 stability [46].

263 In order to analyze the influence of freezing and thawing procedure on vesicle properties,  
264 certain sonicated samples were subjected to cycles of freeze-thawing to make unilamellar  
265 vesicles. Generally, dehydration of water molecules that bind to the hydrophilic head of  
266 liposomal lipids occurs during the freezing stage. This causes the breakdown of the lipid  
267 bilayer, which is reconstructed by fusion in the next step of thawing. Vesicle

268 fragmentations and deformations produce that larger vesicles are divided into smaller  
269 **regular** and irregular vesicles, exhibiting a size reduction with a broad PDI, as was shown  
270 in Figure 1B1 and 1B2, respectively [47]. In this figure, the application of freezing and  
271 thawing cycles to the sonicated samples gave rise to vesicles with lower sizes and higher  
272 values of PDI. As the freeze-thawing process was repeated, the number of lipid layers' also  
273 decreases, despite the fact that some studies have demonstrated that multilayered vesicles  
274 are formed after freeze-thawing was applied, due to the interaction forces between the drug  
275 and the bilayer components [48].

276 On the other hand, Pareto charts (Figures 1B1) and 1B2)) indicated that both the vesicle  
277 size and PDI were reduced when the extrusion process was applied to the samples (X5). It is  
278 well known that this mechanical methodology is widely used to produce homogeneous  
279 liposomes with a controlled average size [49]. So, unilamellar liposomes can be obtained  
280 after extruding the frozen and thawed MLVs, resulting in monodispersed samples with an  
281 inner volume higher than LUVs prepared by extrusion of MLVs. In this binary process, the  
282 maintenance of temperature (during thawing and extrusion) above the temperature of  
283 transition of the main phospholipid was critical to obtain an improvement of aqueous  
284 entrapment volume.

285 As expected, Pareto chart revealed that extrusion had a maximum standardized effect at a  
286 95% confidence interval on the vesicle size and PDI, while this factor did not have any  
287 significant effect on surface charge. PDI is a homogeneity-indicating parameter and Figure  
288 1B showed that the samples were more homogeneous in size (Figure 1B2) when they were  
289 extruded (X5) without submitting to the freezing-and-thawed processes (X3), as expected.

290 *3.1.2. Influence of bilayer composition (X1, X2 and X4)*

291 In this study, we have selected the rigidity-inducer lipid (X1), the cationic lipid (X2) and its  
292 concentration (X4) as variable factors in the experimental design, in order to select the most  
293 adequate combined formulation in terms of reduced size and PDI, and higher zeta potential  
294 values.

295 Pareto charts indicated that a reduction in liposome size was achieved when Chems and  
296 DDAB (15 mol%) were added into the lipid bilayer, probably as a consequence of the  
297 rearrangement of these molecules with the phospholipid within the bilayer. However, this  
298 lack of rigidity in the structure becomes to increase the heterogeneity in terms of size,  
299 making the PDI more favourable when Chems and stearylamine (15 mol%) were added  
300 (Figure 1B2). The combined mixture of Chems and SA favoured the curvature angle to  
301 produce samples that are more homogeneous: Chems is less rigid than Ch and acts a  
302 membrane stabilizer in the preparation of liposomes [50], whereas SA is a rigid lipid with a  
303 small and linear structure that acts stabilizing the liposome [51].

304 Zeta potential is a surface charge-indicating parameter that is related to vesicle stability.  
305 According to the obtained results, the zeta potential was maximized (more positive values)  
306 by adding Ch and DDAB at a concentration of 15 mol%. Vesicle stabilizing effect of  
307 DDAB and Ch has been previously demonstrated by the authors in liposomes formed with  
308 the 3:1 DMPC:DDAB ratio and fixing the ratio of Ch after registering the Langmuir  
309 isotherms of lipid monolayers containing different Ch molar fractions [52]. Therefore,  
310 DDAB has demonstrated to have an important role in stabilizing the vesicles.

311 As a conclusion of this section and regarding the obtained results (Figure 1C), the starting  
312 composition to be used in next studies was: bilayer composed by EPC:Ch:DDAB in a  
313 molar ratio of 16:1:3 (80:5:15 mol%). Liposomes will be synthesized from TLE  
314 methodology following sonication and extrusion.

### 315 **3.2. Anchoring AuNPs onto DDAB-charged liposomes**

316 Among the different types of AuNPs, in this study, we have used synthetic anionic  
317 nanoparticles because of their physical stability. AuNPs have  $-30$  mV of zeta potential. So,  
318 the synthesis of cationic liposomes was firstly planned by adding positively-charged lipid  
319 into the bilayer. As concluded in the previous section, we have selected DDAB as cationic  
320 lipid forming the lipid bilayer with EPC and Ch. The cationic net charge of liposome  
321 surface at physiological pH 7.4 should make it as a suitable formulation to anchor AuNPs  
322 by electrostatic interaction.

323 In addition, samples were extruded through a  $0.4$   $\mu\text{m}$  membrane filter in order to provide a  
324 more uniform liposome size without affecting surface charge as we have previously  
325 obtained in Figure 1B, factor X5.

#### 326 3.2.1. Effect of DDAB on vesicle stability

327 It is known that zeta potential parameter predicts the potential stability of a colloidal  
328 system: as zeta potential increases (absolute values), the repulsion between vesicles will be  
329 greater, leading to a more stable colloidal dispersion [53]. It is well recognized that the  
330 introduction of ionic surfactants into liposome bilayer changes liposome properties. In this  
331 study, DDAB was selected as a cationic surfactant, in different concentrations, able to  
332 modify the surface charge of vesicles and so, the zeta potential.

333 Liposomes without DDAB showed a slight negative zeta potential, in agreement with the  
334 observations of previous studies. In order to clarify the influence of DDAB concentration  
335 on the surface charge and stability of lipid vesicles, formulations with increased DDAB  
336 mol% were made. From Table 2, we can see that the increase of its concentration gives rise  
337 to liposomes with a higher cationic surface charge, in accordance with reported by other  
338 authors [54], showing the disposable lipid with the polar head onto the surface structure, as

339 vesicles or as micelles. However, only between 14A and 14B and between 14C and 14D,  
340 were significant the differences (p:0.0023 and p:0.0048, respectively).

341 Regarding the size of these samples, the significant differences were non-existent in the  
342 concentration range (22.02 to 31.44 mol%) of the DDAB. In addition, an important  
343 decrease of this parameter was obtained from the EPC:Ch:DDAB ratio (59.91:3.01:37.09),  
344 showing significant differences with the previous (p<0.0001). This result may be related to  
345 the arrangement of this lipid forming micelles above its critical concentration, creating  
346 structures with lower dimensions [55]. In this study, the same tendency was observed for  
347 PdI decrease.

348 Based on results obtained after increasing DDAB percentage into the bilayer, we can  
349 conclude that this surfactant should be used for further studies in 22.02 mol% since this  
350 concentration provides a significant increase of surface charge with lower sizes. Therefore,  
351 the following ratio of lipid components will be selected for the next step: EPC:Ch:DDAB  
352 (74.20:3.78:22.02 mol%).

353 Afterwards, AuNPs anchoring onto the cationic liposome surface was performed by using  
354 three different ratios AuNPs:liposomes v/v of the working dispersions.

355 Results showed in Table 3 evidenced an increase in size in vesicles containing DDAB  
356 (compared with control without AuNPs) when the ratio of AuNPs was also increased,  
357 intuiting that AuNPs have been located onto liposome surface after the anchoring process.  
358 Moreover, the anchoring process was homogeneously realized since PdI values were not  
359 significantly affected.

360 Regarding the surface charge of DDAB samples, it is clear that all liposome batches had  
361 cationic zeta potential values, ranging from 26.2 in control batch to 24.73 mV after adding  
362 AuNPs onto the liposomal surface at the higher ratio (3:08 v:v AuNP:liposomes). This



363 significant decrease in zeta potential values ( $p=0.0244$ ) was indicative of the neutralizing  
364 effect of AuNPs after the anchoring process has been performed. Therefore, electrostatic  
365 interaction between opposite charges has been proposed as the main surface adsorption  
366 mechanism AuNP-ammonium polar head of DDAB.

367 As revealed TEM images of AuNPs/EPC liposomes (Figure 2), unilamellar liposomes  
368 containing DDAB may be physically associated with the AuNPs at the surface without  
369 disturbing the membrane packing (Figure 2A). Results suggest that AuNPs could be  
370 entrapped in the hydrophilic surface region of the bilayer because the darker colour of  
371 liposomes is due to the presence of AuNPs on the surface. Therefore, AuNPs were  
372 observed at the boundary surface on the liposomal assembly. Kojima et al. [42] reported  
373 that the head group of phosphatidylcholine was associated with the AuNPs via physical  
374 adsorption. Taken together, we suggest that liposomes-loading DDAB were physically  
375 associated with the AuNPs at the surface without disturbing the membrane.

376 This behavior was compared with vesicles containing SA (Figure 2B), where TEM images  
377 showed much-undefined vesicle structures, probably due to a disturbing effect of AuNPs on  
378 the membrane. This last result can also be confirmed from the characterization properties of  
379 SA liposomes in terms of size and zeta potential after AuNPs have been surface-anchored  
380 (Table 3).

### 381 3.2.2. Effect of anchoring AuNPs, liposome size and temperature on calcein release from 382 liposomes

383 Calcein release studies from these nanocarriers were performed based on three main  
384 parameters that have a relevant effect on calcein release: temperature of release medium,  
385 liposome size and the presence of anchored AuNPs. Release tests were carried out at 37 °C  
386 and 42 °C, with the aim to mimic the physiological and tumor local area, respectively. In

387 addition, formulations corresponding to non-extruded (NE) and extruded by 0.8 or 0.2  $\mu\text{m}$   
388 were also analyzed in order to evaluate the effect of size and type of vesicles on calcein  
389 release.

390 Release profiles were compared using several dissolution parameters: the area under the  
391 curve of dissolution profile (AUCDP), cumulative amount (percent per unit) of calcein  
392 released at 60 ( $Q_{60}$ ), 120 ( $Q_{120}$ ), 240 ( $Q_{240}$ ) and 480 ( $Q_{480}$ ) minutes, which values are  
393 collected in Table 4. From them, we can obtain a clear idea about calcein release from the  
394 different formulations.

395 In agreement with the obtained results, the effect of temperature on calcein release is  
396 crucial on the dissolution of samples containing AuNPs anchored. The presence of AuNPs  
397 onto the liposome surface increased the amount of calcein release at 60, 120 and 240  
398 minutes when the assay was realized at 42 °C. This fact contributed to obtaining higher  
399 AUCDP values in these formulations. On the other hand, Table 4 reveals an interesting  
400 contribution to the release rate of calcein from these nanocarriers.  $t_{50\%}$  results at 42°C  
401 showed lower values than at 37°C in those samples with AuNPs (Figure 3), supporting  
402 again the relevance of the effect of temperature on these metal nanoparticles, which  
403 accelerate the drug release at the temperature characteristic of cancer cells.

404 The relative enhancement of the release rate of calcein from liposomes with AuNPs only  
405 appears with extruded samples and is slightly higher for the samples AuE0.2 than for the  
406 samples AuE0.8. On the contrary, calcein release from samples without AuNPs was faster  
407 at 37°C than at 42°C for the samples NE and E0.8, just the opposite of was expected, while  
408 no temperature influence in the release rate is observed for the samples E0.2. According to  
409 other authors [56, 18], this could be justified by a homogeneous distribution of the  
410 temperature into the lipid bilayers of liposomes; however, in AuNPs-anchored liposomes,

411 specific zones are created at different temperatures due to the thermal conductivity and the  
412 heat capacity of the Au, and this leads to defects in the bilayer.

413 This result can be checked in Figure 4. Certainly, AuNPs anchoring process onto the  
414 liposome surface provides significant differences in the calcein release when release assay  
415 was carried out at 37 °C or 42 °C in the case of liposomes extruded at 0.2  $\mu\text{m}$  (Figure 4A).  
416 However, the difference in calcein release from liposomes of the same size in the absence  
417 of AuNPs was inappreciable (Figure 4B). These results are explained because the  
418 anchoring of AuNPs onto the bilayer may cause local changes in lipid packing, causing an  
419 increase in fluidity [55]. AuNPs work as localized heat sources transferring it to the  
420 surrounding microenvironment. Since the bilayers associated AuNPs are in direct contact  
421 with the lipids, heat is conducted more efficiently to the lipid molecules with higher  
422 available specific area, undergoing the lamellar lipid lattice a structural change to the  
423 crystalline liquid state, thereby inducing the phase transition and calcein release, as  
424 demonstrated Paasonen et al. [22].

425 At this point, vesicle size constitutes an important parameter to be studied in order to  
426 establish a relationship among size, anchoring capacity and calcein release with  
427 temperature. This hypothesis about the influence of vesicle size on the anchoring process  
428 and so, on the calcein release was corroborated after realizing release test to samples  
429 containing non-extruded vesicles and extruded samples (0.2  $\mu\text{m}$  and 0.8  $\mu\text{m}$ ). The  
430 comparative study (Figure 5) provides interesting information about the influence of the  
431 surface area of vesicles on the calcein release behavior. As it is generally accepted, the  
432 reduction of vesicle size increases the dissolution rate due to the subsequent increase in  
433 their specific surface area, though with some exceptions [57].

434 As concluding remarks, we can emphasize that the anchoring of AuNPs onto vesicle  
435 surface-sized 200 nm will improve the calcein release from these nanocarriers in the  
436 tumoral area.

437

#### 438 **4. CONCLUSIONS**

439

440 In this study, we have obtained an optimal liposome formulation to anchor AuNPs,  
441 emphasizing the role of DDAB in obtaining stabilized cationic liposomes. TEM images and  
442 DLS study demonstrated that the anchoring of AuNPs to liposomes occurred successfully.  
443 Release studies revealed an improvement of calcein release in AuNPs liposomes, overall at  
444 42 °C and smallest sizes. This fact becomes interesting for using these nanostructured  
445 carriers in future studies with anticancer drugs.

446 Therefore, we can conclude that AuNPs provides an interesting approach to design  
447 thermally sensitive liposomes. Their combination with lipid nanostructured systems such as  
448 liposomes might be a useful tool in drug delivery system.

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