BASE-STEP: A transportable antiproton reservoir for fundamental interaction studies

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Currently, the world's only source of low-energy antiprotons is the AD/ELENA facility located at CERN. To date, all precision measurements on single antiprotons have been conducted at this facility and provide stringent tests of the fundamental interactions and their symmetries. However, the magnetic field fluctuations from the facility operation limit the precision of upcoming measurements. To overcome this limitation, we have designed the transportable antiproton trap system BASE-STEP to relocate antiprotons to laboratories with a calm magnetic environment. We anticipate that the transportable antiproton trap will facilitate enhanced tests of CPT invariance with antiprotons, and provide new experimental possibilities of using transported antiprotons and other accelerator-produced exotic ions. We present here the technical design of the transportable trap system. This includes the transportable superconducting magnet, the cryogenic inlay consisting of the trap stack and the detection systems, and the differential pumping section to suppress the residual gas flow into the cryogenic trap chamber.

I. PHYSICS MOTIVATION

Our present understanding of the fundamental interactions and cosmology has left us with several unresolved issues. For example, the origin of the matter-antimatter asymmetry in our universe¹, the composition of dark matter, and its interaction with Standard Model particles have yet to be understood². Recently, searches for new physics at low energies have improved their measurement precision resulting in an increased sensitivity to new interactions³. Prominent examples are the precision measurements of the electron magnetic moment^{4,5} that are ultimately compared to independent measurements of the fine structure $constant^{6,7}$, the muon (g-2) measurement⁸?, or the searches for the permanent electric dipole moments of the electron⁹⁻¹¹ and the neutron¹². However, only a few low-energy precision tests have been conducted on antiparticle systems. In particular, all recent measurements with antiprotonic systems, including antiprotonic helium spectroscopy¹³, antihydrogen spectroscopy¹⁴⁻¹⁶, and the high-precision measurements of the antiproton's fundamental properties $^{17-19}$, have been performed exclusively at a single facility - the Antiproton Decelerator (AD) at CERN²⁰ and its low-energy extension ELENA²¹.

The BASE collaboration (Baryon-Antibaryon Symmetry Experiment) has performed the most precise tests of the combined charge, parity and time-reversal (CPT) symmetry with trapped protons and antiprotons to date 17-19,22. These include improved limits on a possible difference of the charge-to-mass ratios of the proton and the antiproton, which, under certain assumptions, also act as a test of the weak equivalence principle for antiprotons²³. BASE has also provided an improved limit on the potential difference of the proton and antiproton magnetic moments at the parts-per-billion level. This test is sensitive to Lorentzand CPT-violating physics^{24,25}, and it provides the first direct limits on the coupling of antiprotons to axion-like dark matter²⁶. Measurements of the cyclotron frequency ratio have confirmed the Standard Model predictions to 16 parts-per-trillion (ppt) relative precision and constrain perturbations of the trapped antiproton's level splittings by new interactions with an energy resolution of $2 \times 10^{-27} \,\text{GeV}^{19}$. However, the lack of understanding of the baryon asymmetry requires further symmetry tests, and while measurements of CP violation in mesons²⁷ and studies of flavor composition of the sea quarks²⁸ are still ongoing, it seems highly valuable to also increase the precision of low-energy symmetry tests in the baryon sector. Experimentally though, the precision of proton/antiproton comparisons based on measuring the in-trap cyclotron frequency $v_c = qB/(2\pi m)$ and Larmor (spin-precession) frequency $v_L = (g/2) q B/(2\pi m)$ is currently limited by magnetic field fluctuations in the accelerator

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hall of the AD. Here, *B* is the magnetic field strength, and *q*, *m*, and *g* are the charge, mass, and *g*-factor of the trapped particle. The most obvious sign that magnetic field fluctuations are limiting our measurements is that the measured cyclotron frequency fluctuations are five times lower during accelerator shut down than during normal AD/ELENA operation²⁹.

BASE-STEP (STEP: Symmetry Tests in Experiments with Portable antiprotons) provides a foundation for the next generation of precision antiproton measurements in the BASE collaboration by developing transportable traps to bring antiprotons out of the magnetic field fluctuations of the AD accelerator hall and into dedicated low-noise laboratories. The relevance of transportable traps for precision measurements had been pointed out as early as in the 1990s^{30,31} as one of the most compelling use cases for Penning traps. In fact, transportable ion traps have a storied history. During the delivery of a new superconducting magnet, electrons were transported more than 5000 km across North America in a closed cryogenic vacuum chamber³⁰. More recently, the sensitivity of atomic clocks to gravitational and relativistic time-dilation effects with systematic uncertainties at or below the level of 10^{-18} have renewed the interest in transportable traps for atomic clocks^{32–35}. Transportable Sr lattice clocks, for example, have recently been used for pioneering measurements in relativistic geodesy³⁶, and have been operated at two locations with a height difference of 450 m to test general relativity³⁷. In contrast to these experiments, however, antiprotons cannot be produced in the measurement device at the desired location, and the technical implementation of accommodating the injection of antiprotons, their storage and transportation are considerably more involved. Uniquely though, transporting antiprotons to radioactive ion beam facilities could also enable novel studies of nuclear structure using the antiproton annihilation signature³⁸. The implementation of this concept is currently pursued by the PUMA collaboration^{39,40} which aims to use antiprotons to study radioactive isotopes produced at the ISOLDE facility of CERN. In the context of the BASE precision matter-antimatter comparison measurements, dedicated off-site experiments will allow us to take advantage of the lower magnetic-field noise environment, and fully profit from the developments of new laser-based sympathetic-cooling methods $^{41-43}$, and quantum-logic inspired state readout 44,45 . Thereby, antiproton precision experiments will be able to also benefit from the latest technology developed to improve the precision records of the most precise Penning-trap measurements, which currently operate only with matter systems^{46,47}. We also note that further dark matter search studies are possible with a distributed network of precision experiments as in magnetometer and atomic-clock based dark-matter searches^{48,49}, but using antiparticle-based clocks instead. Further, measurements in an underground location with a transportable trap would also enable a sensitive search for millicharged dark-matter particles⁵⁰.

Here, we present the BASE-STEP antiproton trap system - a compact transportable cryogenic Penning-trap apparatus for the transport of antiprotons produced and trapped at the AD/ELENA facility, and for the subsequent transfer of antiprotons into an apparatus for precision experiments. It is a dedicated transport system for these non-destructive precision

experiments that ultimately utilize a single particle, and we target to transport 1000 antiprotons at a time as supply for this purpose. We review the magnetic field limitations of precision measurements in the AD/ELENA facility and present the technical design of the transportable trap apparatus with particular focus on the transportable magnet, the trap system and the differential pumping system.

II. ANTIPROTON PRECISION MEASUREMENTS AND MAGNETIC-FIELD LIMITATIONS

Tests of CPT invariance with single trapped protons and antiprotons are performed in cryogenic multi Penning-trap systems that measure frequency ratios in a strong magnetic field. Details of the basic measurement concepts have been reported in detail^{51,52}. Charge-to-mass ratio measurements of trapped particles in Penning traps are based on determining the cyclotron frequency v_c by measuring the motional frequencies in the trap and using the invariance theorem⁵³. Further, magnetic moment measurements require in addition the determination of the Larmor frequency v_L by the application of the continuous Stern-Gerlach effect⁵⁴. Both methods rely on nondestructive image-current measurements of the trapped particle's motional frequencies⁵⁵. In particular, the CPT invariance tests require so far the determination of the antiproton Larmor frequency, and the cyclotron frequencies of the antiproton and the negative hydrogen ion H⁻

$$\mathbf{v}_{L,\overline{p}} = \frac{1}{2\pi} \frac{g_{\overline{p}}}{2} \left(\frac{q}{m}\right)_{\overline{p}} B \tag{1}$$

$$\mathbf{v}_{c,\overline{p}} = \frac{1}{2\pi} \left(\frac{q}{m}\right)_{\overline{p}} B \tag{2}$$

$$\mathbf{v}_{c,H^-} = \frac{1}{2\pi} \frac{1}{R} \left(\frac{q}{m}\right)_p B \tag{3}$$

in the AD/ELENA facility. In addition, an off-line measurement of the proton magnetic moment is necessary²². In charge-to-mass ratio measurements, the negative hydrogen ion is used as a stand-in for the proton with no loss in experimental precision⁵¹, and R = 1.00108921875380(3) is the mass ratio of the negative hydrogen ion to the proton¹⁹ including the polarization shift⁵⁶ in a magnetic field of B = 1.944864T. The ratio of the proton and antiproton charge-to-mass ratios, and the magnetic moment of the antiproton are then given by:

$$\frac{(q/m)_{\overline{p}}}{(q/m)_{p}} = -\frac{1}{R} \frac{v_{c,\overline{p}}}{v_{c,H^{-}}}$$
(4)

$$\frac{\mu_{\overline{p}}}{\mu_N} = -\frac{g_{\overline{p}}}{2} \frac{m_p}{m_{\overline{p}}} = -\frac{v_{L,\overline{p}}}{v_{c,\overline{p}}} \frac{m_p}{m_{\overline{p}}},\tag{5}$$

respectively, where $g_{\overline{p}}$ is the antiproton *g*-factor, and μ_N the nuclear magneton. Here, we require the magnetic field strength to be constant during the measurement of the involved frequencies, so that it cancels in the frequency ratios. To fulfill this condition as ideally as possible, precision Penning traps use persistent-mode superconducting magnets that achieve in the best cases even relative fluctuations of a few times 10^{-11} in frequency ratio measurements^{57,58}.



FIG. 1. Measurement of the magnetic field fluctuations during the operation of the AD/ELENA facility. The data was measured using a fluxgate sensor at three meters distance from the BASE trap system.

Compared to offline Penning trap measurements that deal with the intrinsic stability of the superconducting magnet, external magnetic-field fluctuations are an additional concern for measurements in the AD/ELENA complex. Here, the BASE experiment is exposed to the periodic magnetic-field ramps of the antiproton decelerator, see Fig. 1, which are measured with an FLC3-70 fluxgate magnetometer with a noise limitation of 0.12 nT/ $\sqrt{\text{Hz}}$ about 3 meters from the trap center. These periodic ramps cause 4μ T peak-to-peak shifts, and would cause relative frequency shifts on the 10^{-6} level if they were present in the center of the trap. To counteract these fluctuations, our trap system is operated with an advanced system of superconducting self-shielding solenoids^{59,60} to suppress the impact of the magnetic field ramps of the AD. In addition, helium pressure and temperature stabilization of the magnet reduce the fluctuations of the residual magnetization of materials near the trap and further improve the magneticfield stability.

To characterize the impact of magnetic field fluctuations we measure a series of 2N cyclotron frequencies and define the fluctuation of the resulting N cyclotron frequency ratios from this series

$$\sigma_r = \sqrt{\frac{1}{N} \sum_{i=1}^{N} \left(\frac{\nu_{c,2i} - R_{\text{even/odd}} \nu_{c,2i-1}}{\nu_{c,2i}}\right)^2}$$
(6)

as figure of merit, where $R_{\text{even/odd}}$ accounts for whether different particles were used for the odd and even measurements, such as H^- ions and antiprotons in q/m comparisons. It is $R_{\text{even/odd}} = 1$ for identical particles and otherwise it is given by the evaluated mean cyclotron-frequency ratio. Individual cyclotron-frequency measurements $v_{c,i}$ are composed of the measurements of the three trap eigenfrequencies, the modified cyclotron frequency v_+ , the axial frequency v_z , and the magnetron frequency v_- . The cyclotron frequency is obtained by application of the invariance theorem⁵³: $v_c^2 = v_+^2 + v_z^2 + v_-^2$. In a series with 2N measurements, we expect to reach a statistical uncertainty of $\delta_r = \sigma_r/\sqrt{N}$ in such a measurement sequence. Although one can in principle accumulate enough measurements N to bring δ_r to the desired value, the quality of the measurement is better reflected by σ_r . If data needs to be accumulated over several months to reach the desired level of precision, it is challenging to observe and correct systematic shifts at the level of δ_r . With large statistics, it is challenging to distinguish data points in the tails of the distribution from shifts due to external temporal perturbations that need to be excluded from the data and such measurements may suffer from even only a single undetected correlated quantity. Consequently, it is desirable to reduce σ_r to improve antiproton precision measurements.

We summarize the observed frequency-ratio fluctuations in the BASE experiment in its accelerator-environment exposed magnetic field in Fig. 2. The blue data points show the first antiproton charge-to-mass ratio measurement of BASE with $\sigma_r \sim 5.5$ parts per billion (ppb), recorded in 2014¹⁷, which was the initial performance of the apparatus directly after commissioning. The orange points are the result of measurements during 2018/2019 after the simultaneous implementation of several improvements¹⁹. A new superconducting magnet with a system of self-shielding superconducting solenoids was installed, which reaches for certain types of external magnetic field changes shielding factors of up to 225^{60} . In addition, the temperature and helium pressure stabilization were improved, and the vibrations of the cryogenic trap inlay were reduced. Under these conditions, a ratio fluctuation of $\sigma_r \sim 1.7$ ppb was reached. The blue and orange data points were both acquired using the sideband method 51,61, which measures the cyclotron frequency by mode coupling of the axial and cyclotron modes with a quadrupolar rf-field with frequency $v_{rf} \approx v_+ - v_z$. This causes a periodic energy exchange between the two modes and enables the acquisition of the cyclotron frequency from recording the image-current spectrum on the axial detector. The method is capable of averaging over the magnetic field fluctuations and we synchronized the cyclotron-frequency measurements to the experiment cycle of the AD, so that each measurement is exposed to the same sequence of magnetic-field ramps in the AD cycle.

The sideband method is limited by the fact that all frequency information is obtained from axial frequency spectra. The stability of the trap voltage V_R , and the fit uncertainty of the axial and sideband spectra set the lowest achievable ratio fluctuation in BASE to $\sigma_r \gtrsim 1.67 \,\mathrm{ppb}^{19}$, whereas the cyclotron frequency fluctuation that primarily depends on the magnetic field stability has been reported with lower values 57,58. Accumulating data under these conditions resulted in a $\delta_r = 19 \text{ ppt}$ statistical and 23 ppt systematic uncertainty for the best measurement sequence with the sideband method¹⁹. The systematic uncertainty results from an observed scaling of the measured cyclotron frequency as a function of the detuning of the axial frequency from the detection resonator frequency, and becomes an unavoidable and significant limitation at this level of precision. We conclude that the sideband method is therefore not suited to further improve the antiproton charge-to-mass ratio measurement. Significant improvements in upcoming q/m comparisons require using direct cyclotron frequency measurements instead, such as cyclotron peak detection 19,63 , or phase-sensitive methods 62,64,65 , and have been a subject of investigation following the sideband measurements.



FIG. 2. The statistical uncertainty of the cyclotron frequencyratio from measurements in the BASE apparatus. The first sideband-method measurement campaign¹⁷ (blue) and in an improved apparatus¹⁹ (orange). We also show results from measurements using the cyclotron peak detection without AD/ELENA operation (green)¹⁹. Finally, we show the stability using phase-sensitive techniques⁶² that are possible while the AD/ELENA complex is not operating (red).

The green points in Fig. 2 display the performance of the peak detection sequence that was part of the latest q/mresult¹⁹. Using an image-current detector at the cyclotron frequency⁶⁶, the power dissipated from an excited antiproton with $E_+ \sim 5 \,\mathrm{eV}$ kinetic energy in the resonant detection circuit can be directly detected. The antiproton appears as a peak signal in the image-current FFT spectrum, and the peak frequency can be easily read out. Subsequent cyclotron-frequency measurements with this method show that σ_r is reduced to 850 ppt, about a factor 2 lower compared to the sideband method. This condition is only reached when the AD is not operating, otherwise we observe higher fluctuations, in the range of 1.3 ppb to 2.0 ppb. A drawback of the peak method is that the measured cyclotron frequencies are shifted, since excitation energy contributes about 1 ppb per 1 eV to the relativistic shift $E_+/m_{\overline{\nu}}c^2$, and other trap imperfections can cause additional systematic shifts. BASE has demonstrated that these uncertainties can be well controlled by employing a simultaneous axial-frequency measurement during the peak detection to determine E_+ from the shifted axial frequency⁶⁷. This reduced the systematic uncertainty of this method and resulted in an H⁻ ion-to-antiproton cyclotron-frequency ratio with $\delta_r = 18.5 \,\mathrm{ppt}$ statistical and 13.5 ppt systematic uncertainty¹⁹.

Currently, the best technique for the cyclotron-frequency measurement is to use a phase-sensitive detection method^{62,64}. Here, an initial cyclotron phase is imprinted on the particle by an excitation drive, and the cyclotron frequency is determined by measuring the cyclotron phase as a function of the phase evolution time. Such measurements have been performed by BASE with protons during accelerator shutdown periods and are shown as red points in Fig. 2. The displayed measurement has $\sigma_r \approx 480$ ppt, and the best measurement is a 10 hour long data set with fluctuations as low as $\sigma_r \approx 280$ ppt in the BASE trap system⁶⁵. While in operation, the antiproton decelerator will cause phase slips in the phase-evolution time due the magnetic field ramps. As a result, the phase unwrapping pro-



FIG. 3. Comparison of magnetic field noise measurements in different settings. The magnetic field fluctuation $\sigma_B(\tau)$ is shown as function of the averaging time τ for measurements at CERN with AD operation (red) and without (orange), and in the BASE-Mainz laboratory during weekdays (blue) and during the weekend (purple).

cedure will be disturbed, and it will be a challenge to evaluate the phase-sensitive frequency measurements with high precision while the facility is in operation. Several Penning-trap experiments in dedicated precision laboratories have already demonstrated measurements at lower cyclotron-frequency ratio fluctuations with $\sigma_r < 100$ ppt using phase-sensitive cyclotron frequency measurements^{46,47,58,68,69}, and measured charge-to-mass ratios with statistical uncertainty below 10 ppt⁷⁰. Since these laboratories are exposed to much lower magnetic field fluctuations, they are much more suited to determine magnetic-field dependent frequencies with high precision.

To highlight the effect of the magnetic-field fluctuations, we show as an example the comparison of the magnetic-field noise of representative data sets with 24 hours duration in Fig. 3. Similar to equation (6), we calculate:

$$\sigma_B(\tau) = \sqrt{\frac{1}{N} \sum_{i=1}^{N-1} (\mu_{t_i + \tau, t_i + 2\tau}(B) - \mu_{t_i, t_i + \tau}(B))^2}, \quad (7)$$

where $\mu_{t_i,t_j}(B)$ is the mean value of all magnetic field measurements between time t_i and t_j , and t_i and t_{i+1} are incremented by the averaging time τ . The quantity is similar to the Allan deviation in frequency metrology⁷¹, and allows one to determine the optimum averaging time for frequency measurements. In Fig. 3, $\sigma_B(\tau)$ shows which averaging time produces the least fluctuating magnetic field for our frequency ratio measurements in four different settings.

The magnetic field noise data was recorded in the BASE experiment area inside CERN's antimatter facility and in the BASE-Mainz laboratory at the Institute for Physics in Mainz using the same FLC3-70 fluxgate magnetometer. The data recorded during the operation of the antiproton decelerator shows minima at the 100 nT level for averaging times that are integer multiples of the AD cycle time, see the red points in Fig. 3. At one half of the AD cycle time, the periodic perturbation of the AD magnet ramps causes the Allan deviation to reach up to 2μ T. During shutdown periods, the magnetic field noise is lower but other activities in the facility still contribute to a significant noise floor. The largest perturbation is due to the operation of the overhead crane that causes a jump of several μ T when passing above the experiment zone. The orange data shows a measurement



Antiprotons from ELENA

FIG. 4. Schematic of the BASE-STEP apparatus for transporting antiprotons and its antiproton injection beamline connected to ELENA. The drawing is not to scale, but the entire setup has a length of 3.6 meters, and the magnet bore with the trap system has a diameter of 10 cm and is 50 cm long. The color of the vacuum regions represent different vacuum regions: the magnet cryostat isolation vacuum expected to be about 10^{-8} mbar is shown in light red, the inlet chamber vacuum with a pressure of 10^{-10} mbar in light green, and the trap chamber vacuum with local pressure reaching down to 10^{-16} mbar in green. The injection beamline is also expected to reach the 10^{-10} mbar level. The transportable magnet uses a cryocooler and has a liquid helium tank as buffer for the heatload during transport. The heat shield of the first stage (~ 50 K) is shown in light blue and the second stage (~ 4K) in blue. The transportable part of the setup is disconnected at the valve. The position of three beam monitors are indicated (BM 1, BM2, and BM3). The individual components of the setup are discussed further in the text.

without crane motion, but with other user operations in the AD facility. In this particular measurement, the σ_B is about 40 times lower at 120 s averaging time than during AD operation. The data from the BASE-Mainz laboratory are shown in blue for weekdays and in purple during the weekend. Here, the magnetic perturbations are mainly due to the motion of the elevators in the building which cause shifts of up to a few nT when passing the floor of the laboratory. Further contributions are due to displacment of magnetic materials while working in the laboratory. Both activities have reduced contributions during the weekend. In both cases, the Allan deviation of the magnetic-field noise in the BASE-Mainz laboratory is below 1 nT for 120 s averaging time, and a factor 200 and 600 lower compared to AD operation in these particular measurements. If a further reduction of magnetic noise is required, one could even conceive of measurements in a magnetically shielded room. For example, the BMSR-2 at the PTB in Berlin reaches a magnetic-field noise on the fT/\sqrt{Hz} level⁷². Such environment conditions would eliminate the external magnetic-field noise limitations in antiproton precision experiments. Therefore, a transportable antiproton trap capable of relocating such measurements into magnetically-calm precision laboratories will form one of the cornerstones to enable more sensitive antiproton CPT invariance tests in the future.

III. THE EXPERIMENTAL APPARATUS

A. Overview

The concept of the BASE-STEP apparatus is shown in Fig. 4. It consists of a Penning-trap system with two electrode stacks inside the horizontal bore of a transportable 1 T superconducting magnet. We have designed the apparatus to have only a single cryogenic setup for both the Penning-trap assembly and the superconducting magnet by using a coldbore magnet cryostat. This eliminates the need for a second cooling system for the trap, and reduces the size and maintenance of the apparatus. The primary cooling power comes from a cryocooler used during stationary operation or while connected to a mobile power generator. A liquid-helium buffer tank is then used as a secondary cooling mechanism to bridge gaps in power; for example while lifting the apparatus with a crane into or out of the experiment zone or during power failures.

As the vacuum conditions are crucial for the antiproton storage, the design of the vacuum system has been an important aspect. We expect that we need to store antiprotons for about three months to conduct antiproton precision measurements in an offline apparatus. Therefore, the cryogenic trap system, which consists of a catching trap (CT) and a storage trap (ST), is contained in a dedicated cryogenic vacuum chamber designed to reach below 10^{-16} mbar at the center of the ST trapping region. For the injection and ejection of particles, the trap chamber is connected via an extensive differential pumping system to the inlet chamber at room temperature with a target residual gas pressure of at most 10^{-10} mbar. To prevent hydrogen diffusion from the inlet chamber into the trap chamber, the differential pumping section also includes an inlet valve to close the differential pumping channel for storage operation, and two rotatable trap electrodes are placed in the trap stack to block the direct flow of residual gas to the position of the trapped antiprotons. The successful implementation of the vacuum system will be tested by monitoring and counting the number of trapped antiprotons by non-destructive image-current detection⁵⁵.

The main frame of the transportable apparatus is designed to be as compact as possible and is installed on an aluminum transport frame that is 2.00 m long, 0.87 m wide, and 1.85 m high. Weighing less than 1000 kg, the system can be transported by a forklift and an overhead crane, in particular through the exits of the AD hall and through the door frames, for example on the path to the BASE-Mainz laboratory at the University of Mainz^{22,42}, or to the BASE Hannover trap system⁴⁴. The compressor of the cryocooler and its chiller system are transported on a separate frame. We plan to initially demonstrate the transport of antiprotons in the trap system on the CERN site, and to demonstrate the transfer of antiprotons into a separate permanent-magnet based Penning trap that is presently under development to establish the methods necessary for offline experiment operation.

B. Antiproton injection beamline

The BASE-STEP apparatus will be commissioned at CERN and receive antiprotons from ELENA with 100keV kinetic energy. To this end, the former ATRAP I experiment area⁷³ has been modified into a dedicated experiment zone for BASE-STEP. The experiment zone has a vertical connection to ELENA, so that additional beamline elements are required to inject antiprotons into the horizontal trap system.

An electrostatic deflector with a bending radius of 600 mm and ± 10 kV nominal voltage has been designed to redirect the beam into the horizontal plane. The design is adapted from the ELENA deflectors with lower bending angles used in the ELENA ejection lines⁷⁴, but modified for 90° deflection. The deflector electrodes are spherical to obtain focusing in the direction orthogonal to the bending plane and have 60mm spacing. The stray field of the deflector electrodes at the entrance and exit of the electrostatic deflector causes a further deflection and results in a deviation of the centered beam from the reference trajectory if not considered in the design. Therefore, potential calculations and trajectory simulations using the geometry of the electrodes and the surroundings were performed in COMSOL, and the transfer matrix including the edge effects of the deflector was determined. Subsequently, the transfer matrix was included into MADX modelling of the injection beamline to investigate the injection of antiprotons into the BASE-STEP trap system. These



FIG. 5. (a) Measurement of the test signal response of the charge amplifiers for the readout of four beam monitor plates (CH1 to CH4). The variation in the pulse shape is due to the difference in the parasitic feedback capacitance of the individual channels. (b) Measurement of an defocused antiproton pulse using the beam monitors in the BASE-STEP beamline. The pulse is partly deposited on the beam monitor in the vertical line (BM 1) and partly on the first beam monitor in the horizontal line (BM 2).

transport simulations comprise all elements from the injection into the vertical ELENA ejection beamline that delivers the beam into the STEP zone, the electrostatic deflector, the quadrupole assembly, and the center of the BASE-STEP magnet as target. The simulations project a root-mean-square (RMS) beamsize of 0.53 mm at the center of the catching trap, well within the $\sim 2 \text{ mm}$ radial trap acceptance⁷⁵.

The quadupole assembly following the electrostatic deflector is crucial to achieve the steering of the antiprotons to the trap center and to set the focal length correctly. To center the antiproton pulse on the trap system for injection, the injection beamline is equipped with three beam monitors that are indicated in Fig. 4. The first beam monitor (BM 1) is directly below the deflector entrance, the second one (BM 2) follows the electrostatic deflector, and the third one (BM 3) is placed around the entrance of the differential pumping tube. The beam monitors consist of either plates or wires which are each connected to a charge amplifier outside the vacuum system. The charge amplifiers consist of an inverting integrator using the LMV793 operational amplifier with 0.3 pF feedback capacitance to gain a high charge sensitivity. Fig. 5(a) shows the response of the charge amplifiers to a 20mV test signal that places about 40000 elementary charges on the feedback capacitor. The beam monitors were also tested during online operation at the end of the CERN physics run in 2022 with antiprotons in the BASE-STEP beamline. Fig. 5(b) shows the



FIG. 6. The surfaces of the main solenoid and of the two sets of shim coils are shown. The surface contours show the magnetic field strength on the surface of the superconductors.

response of two beam monitor plates following the ejection of a defocused antiproton pulse with about 5 mm RMS diameter. A fraction of the beam was detected on BM 1 in the vertical line and a smaller fraction was deflected by the electrostatic deflector and observed on BM 2 in the horizontal transport section (see Fig. 4). This demonstrates the functionality of the beam monitors and the electrostatic deflector.

C. Transportable superconducting magnet

The transportable superconducting magnet, designed in collaboration with *Bilfinger Noell GmbH*, is a key component of the BASE-STEP apparatus, requiring both high magneticfield stability and continuous operation during transport. Compared to stationary NMR-type magnets, the BASE-STEP magnet uses a hybrid cryocooler-backed liquid-helium bath cryostat for cooling. The superconducting magnet system is specifically designed to withstand mechanical stress during transport, even in cryogenic conditions and while in operation. Conventional NMR-magnets are shipped warm and uncharged, usually with additional removable mechanical support parts to prevent damage to the fragile cold stage from acceleration and shocks. The mechanical construction of our transportable trap cryostat employs a support structure for the cold stages that can withstand acceleration up to 1g in all directions which exceeds the requirements of the Cargo Transport Units (CTU) road shipping standards. As the magnet is in operation during transport, the stray field needs to be suppressed below 0.5 mT for safety reasons. This is achieved by making the vacuum vessel of the magnet out of carbon steel and adding iron plates on the transport frame.

A highly homogeneous magnetic field is essential for the image-current detection of the trapped antiprotons, since frequency shifts that scale with the magnetic field gradients⁶⁷ would compromise the detection and particle cooling in the trap. Consequently, the magnet is designed to have a homogeneous center that is 150 mm long and 5 mm in diameter with low magnetic field gradients. To this end, the main coil and two sets of shim coils are connected in a single current loop as shown in Fig. 6. This geometry of the coils minimizes the linear and quadratic terms of the magnetic

field gradients in the homogeneous part. Similarly, the magnet has a sufficiently strong field of 1 T to enable efficient $(\tau \sim 2.6 \text{ s})$ cooling of electrons via cyclotron radiation - a crucial last step for trapping antiprotons with $\sim 100 \text{ keV}$ initial energy²¹. To ease the transportation, the magnet will be operated in persistent mode so that a transportable current source is not required. To this end, a persistent current switch (PCS) is installed on the 4 K stage, and the current leads are connected with permanently-installed high-temperature superconductors, that can operate if the first stage heat shield is below 65 K. The operating current to charge the magnet to 1T magnetic field is 33.27 A, which is less than 25% of the critical current.

As the primary cooling source, we use a two-stage pulsetube cooler (Sumitomo RP-082B2S) with 35W cooling power at the first 45K stage, and 900 mW cooling power at the 4K stage. The first stage is connected to the outer heatshield that is cooled to about 35K, and the second stage is connected to the inner heat shield, the liquid helium tank, the superconducting magnet coil and the Penning-trap system. The cool down of the entire cold mass to 4K without precooling with cryoliquids was measured to take 75 hours. With a power consumption of ~ 8 kW, the cryocooler can be connected to the power grid in stationary operation and to a mobile power generator during long-distance transport. As additional features, it is also possible to operate the magnet in "dry mode", i.e. just by the cryocooler without any liquid helium, and to use the recondenser to liquify helium from the gas phase in the helium tank. The recondenser is a copper structure with a large surface at the top of the helium tank with a good thermal connection to the cold head so that it is the coldest point in the liquid helium tank. It condenses and liquifies helium, and we have observed in the commissioning tests that it builds up about 1ℓ of LHe per day. This ensures the possibility of long-term operation without access to cryogenic liquids.

The liquid helium tank has a volume of 29ℓ and can keep the system cold while transporting short distances or if external power is unavailable. The heat load on the second stage of the cryocooler is estimated to be around 350 mW in thermal equilibrium, and an additional heat load is conducted through the cold head when the cryocooler is switched off. During the commissioning tests of the magnet, we made holding time measurements with the cold magnet, and observed that 18ℓ of LHe hold for 12.5h (average heat load $P = 1.1 \,\mathrm{W}$), and that the heat load increases over time as the first stage of the cryocooler and heat shield warms up. Fig. 7(a) shows the evolution of the temperature measured on the magnet coil and the heat shield of the first stage. The latter increases after the cryocooler is switched off because there is no liquid reservoir connected for cooling to the first stage, whereas the magnet coil is held close to liquid helium temperature with a slow temperature increase caused by the increasing conductive and radiative heat load. The reading of the temperature sensor of the magnet coil must be held below 7K to avoid a guench of the coil at its nominal current 33.4 A for a 1T field. However, when we restart the cryocooler, the gas column is set into motion and brings warm gas in contact with the second stage. This results in a temperature spike as shown in Fig. 7(b). Here, magnet coil temperature, the temperature of the copper plate connected to the second stage



FIG. 7. Measurements of the thermal performance of the transportable magnet system when stopping the cryocooler operation. The zero on the time axis corresponds to the time where the cryocooler has been switched off. The colored arrows indicate the relevant axis for the corresponding curve. (a) Measurement of the holding time of the liquid helium tank without restarting the cryocooler. (b) Temperature spike due to the restart of the cryocooler after about 32 mins indicated by the black vertical line. (c) Maximum temperature measured on different sensors during the temperature spike as function of the time the cryocooler is switched off. (d) Stop of the cryocooler while the magnet is in operation. The cryocooler was restarted after 2.5 h, resulting in a temperature spike up to 6.4 K and a reduction of about $500 \,\mu\text{T}$ of the magnetic flux density.

of the cryocooler, and of the PCS are shown. The copper plate is directly exposed to the heat load and has the highest temperature, whereas the thermal resistance of the connection to the magnet coil and the PCS delay the response and reduce the amplitude. The maximum temperature scales with the waiting time and temperature of the first stage and limits the operation time of the system without power, since exceeding the critical temperature will result in a quench of the magnet coil. The scaling of this effect is shown as function of time in Fig. 7(c), and from this data we anticipate to reach the maximum temperature of operation after about 2.5 hours. During the magnet commissioning tests, we conducted a test where the magnet is charged with a current of 33.4 A, and the cryocooler was switched off for 2.5 h. The temperature data and simultaneous magnetic field measurements using a Hall probe are shown in Fig. 7(d), and we observe that the magnet coil temperature stays below 6.4K during this test. The temperature spike reduced the magnetic field only by $\sim 500 \,\mu$ T. This would be sufficient to keep antiprotons trapped without cryocooler operation for 2.5h and there are still margins to extend this limit slightly. In this time, the apparatus can be moved in the AD hall by overhead crane to the loading area and place it on a truck with a mobile power generator to restart the cryocooler. Continuous operation of other essential equipment during the transport, such as the trap voltage power supply (15 W) and the temperature, pressure, and liquid helium sensors (200 W) is provided by batteries and uninterruptible power sources. Short distance transports to another laboratory at CERN may also be possible without power generator.

We note here that the temperature spike on the magnet coil can be reduced or even eliminated by replacing the heat conductors between the magnet coil and the second stage of the cryocooler with a low heat conduction material, potentially increasing the operation time without power to more than 12 h. Heat conduction between the cryocooler and the magnet coil would then take place only through the helium tank, and the temperature spike would result only in an increased LHe consumption during the pulse. This would extend the operation time of the magnet system without power, however it has some trade-offs. It would significantly increase the time for cooling down, and the system may not operate properly in dry mode. Presently, we are planning to operate the system in the present configuration, and rely on a mobile power generator with a load of 15 kW to run the trap, the sensor equipment, and the cryocooler with water cooling provided by a mobile chiller to be able to recool the system during transport.

The homogeneity of the magnetic field was investigated by installing a room temperature bore with 30 mm inner diameter into the magnet and sliding the Hall probe along the axis of the tube. The magnet coil was charged to 33.4 A and put into persistent mode using the PCS, which requires about 40min to complete. The results of the magnetic field mapping in the homogeneous part are shown in Fig. 8(a). We measure a magnetic field of 1.0063 T in the center of the coil. We fit second-order polynomials $B(z,z_0) = B_0 + B_1(z-z_0) + B_2(z-z_0)^2$ locally around z_0 using data with $z_0 - 10 \text{ mm} < z < z_0 + 10 \text{ mm}$ to extract the linear and quadratic field gradients B_1 and B_2 experienced by a trapped particle located at z_0 . We observe an average B_1 of 21 mT/m in the region $\pm 60 \text{ mm}$ around the



FIG. 8. Measurement of B_z (black, left axis) along the center axis of the coil in the homogeneous part, and the linear B_1 (blue, right axis) and quadratic B_2 (red, right axis) magnetic field gradients extracted from this data. z = 0 is the center plane of the coil, and the z-axis is pointing in direction of the trajectory of the antiprotons during injection.

center, and a maximum value of 35 mT/m in the homogeneous region on the side facing to the differential pumping section. B_2 is low in the entire homogeneous region with $B_2 = -1 \text{ T/m}^2$ as largest deviation from zero. This is smaller than in first-generation precision measurements on protons⁷⁶ and antiprotons^{17,18}. As a result, image-current detection and particle cooling can be performed in the entire homogeneous volume without relevant linewidth broadening from the residual magnetic field inhomogeneity.

Furthermore, the temporal stability of the magnetic field is of interest, since this defines to what extent the magnet can be also used for precision measurements. We have investigated the stability of the magnetic field by continuously sampling using an NMR probe placed at the maximum of the magnetic field at z = 75 mm, since this produces the smallest magnetic field changes over the volume of the NMR probe. We observe an exponential drift of the magnetic field immediately after charging the magnet with a time constant of 4.7(1)h. After the magnetic field has settled, we observe only measurement fluctuations due to the resolution of the NMR probe that reach down to 5×10^{-8} T for 20s averaging time. since, we do not observe limitations within the resolution of the NMR probe, we cannot state what the performance for precision measurements will be. We will need to conduct measurements of the fluctuations of the cyclotron frequency with single trapped ions as discussed above to pin down the limitation of the magnetic field stability. We would need to reach the 10^{-10} level to be competitive. However, in comparison to the BASE trap systems, we prioritized the transportability of the system. We anticipate limitations due to vibrations by the cryocooler and a higher sensitivity to temperature changes due to changes in the magnetization of the carbon steel vacuum chamber surrounding the magnet. On the positive side, the carbon steel chamber provides also provides suppression of external magnetic field fluctuations, and we observe that the magnetic field changes of the AD are suppressed by about a factor 50 in the center of the magnet by comparing the amplitude of the ramps inside and outside of the magnet using the Hall probe.

D. Trap system

The trap system is composed of two independent, coaxial, electrode stacks shown in Fig. 9, and is placed in the cryogenic vacuum chamber in the magnet bore. One electrode stack forms the catching trap (CT), the second the storage trap (ST). The electrode stacks are made based on an established procedure from gold-plated oxygen-free electrolytic (OFE) copper and are separated by sapphire rings with machining tolerances $\leq 10 \ \mu m^{51}$. This ensures good thermal conductance and low dissipation losses in the image-current detection circuits. Compared to the BASE trap system with 9 mm diameter⁵¹ traps, the trap diameter was increased to 12 mm to provide a larger harmonic trapping region and a larger catching volume for the antiproton injection from ELENA.

The purpose of the CT is to form an interface between ELENA, the ST, and other external trap systems. Therefore, the CT has to manage the initial antiproton catching and cooling⁵¹ of the ELENA beam. This requires the transmission of the 100 keV antiprotons through a degrader foil to reduce their kinetic energy so that they can be captured in the trap system by high-voltage pulses ($|\Delta U| \leq 2 \text{ kV}$). Moreover, the CT is also used to prepare cold antiproton clouds and separate fractions of the stored antiprotons down to single particles. These can subsequently be transferred into the ST for long-term storage. Furthermore, the CT works as an "airlock" during the antiproton ejection into external trap systems to reduce the risk of antiproton loss in the ST due to residual gas exposure. It is designed to operate for a short time at a higher local pressure when the window to the inlet channel is opened while closing the connection to the ST.

To achieve all these functions, we placed two rotatable elements around the CT. On the side of the inlet channel, a rotatable degrader stage (see Fig. 9) can switch between several elements on the trap-axis: a degrader foil for injection, a thick copper surface to block residual gas during storage, and an open channel for the ejection of low-energy antiprotons into another trap system. Between CT and ST, a rotatable electrode with a channel for particle transmission is placed. Both are operated indiviually by cryogenic piezo motors (Smaract SR-2812). They are also equipped with spring-loaded pins as position indicators, which close an electrical contact at each designated position. The contacts have each a characteristic electrical resistance so that we can determine the position of both rotation stages by resistance measurements. We have verified the functionality of the piezo motors, and the position readout under cryogenic conditions in a coldhead cryostat. Both rotatable electrodes are insulated via a 0.3 mm PTFE sheet between the electrode and the motor and biased via a spring-loaded pin, so that voltages can be applied during catching or transport.

During the antiproton injection, the degrader foil is placed on the trap axis. It is an aluminum-coated Mylar® foil and its thickness is matched to the required stopping power of the antiprotons⁷⁷, so that the low-energy tail of the transmitted antiprotons with a kinetic energy below 2 keV can be stopped by high-voltage pulses in the CT. The two fast-switching, high-voltage electrodes for the catching pulses are located



FIG. 9. (a) Technical illustration of the trap system. The electrode stacks of the catching trap and the storage trap are shown and the individual electrodes are labeled in lower case (a-o) and upper case (A-O) letters, respectively. The rotatable degrader stage and the rotatable electrode are shown including the piezo motors driving the rotation stages, the voltage contact pins and one of the position indicators. The inlet channel for the antiprotons is shown on the left end and the field emission point for electrons on the right. The electric circuit diagrams indicate the connections of the axial (500kHz) and cyclotron (15MHz) image-current detectors to the traps. Color representation: Gold-plated OFE copper (dark yellow) with cutouts (yellow), uncoated OFE copper (brown) with cutouts (light brown), sapphire (light blue), other insulators (light gray), and the piezo motors (dark gray). (b) Photo of the trap stack. On the right side, the trap stack is mounted on the pin base flange with the feedthroughs of the cryogenic trap chamber to the electronics section. On the left side, the inlet channel that extends up to the trap chamber flange is not shown. For details see text.

at both ends of the CT, electrodes a and o in Fig. 9(a), and a fast low-noise high-voltage switch can apply a pulse to -2 kV. Subsequently, sympathetic electron $\operatorname{cooling}^{51,78}$ can be applied to accumulate antiprotons in the central trap electrode h, which forms together with the surrounding electrodes f to *i* a cylindrical five-electrode Penning trap in a compensated and orthogonal design⁵⁹. Trapped particles in the resulting harmonic potential move with the well-defined motional frequencies of the Penning trap, can be manipulated by radiofrequency drives⁷⁹, and detected and resistively cooled via image-current detectors^{55,66}. Axial excitation and axial-radial sideband coupling signals can be either applied to dedicated electrodes, e.g. to electrode f and to the radially-segmented electrode *i*, respectively, or through the slits between the electrodes by wires placed in the vicinity of the electrodes. An image-current detection system picks up signals of the axial mode on electrode g, whereas a cyclotron detection system is connected to the second segment of electrode *i*. We will use this trap configuration in the CT to apply electron cleaning procedures, and remove contaminant negative ions that are created during the injection on the degrader foil. After these cleaning procedures, we obtained typically an antiproton cloud with up to 1000 antiprotons in the BASE trap system that is cooled to the temperature of the image-current detectors⁵¹. Therefore, we anticipate that we can load the 1000 antiprotons for the transportable reservoir in a single shot.

While particles are being manipulated in the CT, the inlet channel is closed to suppress the residual gas flow into the trap chamber and to increase the monolayer formation time (see details below). To this end, the wall of the degrader stage housing is rotated in front of the inlet channel. Note that the closed position of the degrader stage is not completely sealed, but a small channel of 0.1 mm is left between the rotating part and the end of the inlet channel, so that the rotating stage can operate with minimal torque. Among the most essential manipulation steps is the application of separation and merging schemes that non-destructively separate and combine small clouds of antiprotons with the main trap content, respectively⁸⁰. Consequently, we can transfer and eject even single antiprotons, which decreases the risk of losing the entire trap population in a single operation. To implement the separation and merging sequences in the CT, we require several locations where particles can be trapped. Since the potential ramps of these sequences typically form anharmonic potential wells so that the image-current signals cannot be observed during the procedure, we have included a second harmonic trapping region that enables detection at the end or start of the separation or merging procedure,



FIG. 10. (a) Photograph of the detection segment of the BASE-STEP trap system. (b) Measurement of the noise spectrum of one axial detector with a Q-value of 9200. (c) Measurement of a noise spectrum of a cyclotron detector with a toroidal coil at 15.9 MHz, close to the antiproton modified cyclotron frequency. The Q value of the detector is 1700. In (b) and (c) the blue line shows the measured noise spectrum, and the red curve a Lorentzian curve fitted to the data to extract the Q value. The spectrum of the cyclotron detector shows several peaks due to noise signals that were pickup up in the cold-head cryostat.

respectively. This second harmonic trap is formed by the electrodes k to o, and we connect the axial detection system for the CT in addition also to electrode *l*. Thereby, we can count the particles after separation in both potential wells simultaneously, which eliminates additional transport ramps and a sequential counting measurement from our previous procedure⁸⁰. The transfer of particles into the ST is managed by the electrodes m, n and o in the CT and the electrodes A, B and C in the ST. The rotatable electrode that is placed between the CT and ST is opened for this procedure. As in our previous measurements, we will first move the antiprotons via adiabatic ramps of the axial trapping potential along the magnetic field lines using slow voltage ramps with the velocity set by the time constant of our low-pass filters, $\tau \approx 100 \,\mathrm{ms}$, and store the particles in electrode *n*. The electrodes o and A are biased via low-pass filters with a diode-bridged resistor so that they can be pulsed on a timescale of 100 ns to transfer the antiprotons with well-timed pulses as a bunch. This method is routinely used in other Penning-trap experiments, for example, SHIPTRAP has two traps that are similarly separated by a differential pumping barrier in the same superconducting magnet⁸¹ and the transfer of ions between the traps uses low acceleration voltages, typically less than $100 V^{82}$. Our electrodes used to transfer ions are equipped with a biasing network for 200 V except for electrode o that is also intended for operation at $-2 \,\mathrm{kV}$ for the injection procedure.

ejection out of the magnetic field into an external trap system except that the transport is conducted at higher kinetic energies. This ejection procedure, in which the antiprotons exit the magnetic field, is similarly applied to ions of radionuclides in the ISOLTRAP experiment at the ISOLDE facility, in which electrostatic transport between two traps in separate superconducting magnets is performed with around 3 keV kinetic energy 83 . For the ejection, a small fraction of the stored antiprotons is cooled in the CT and transported at low voltages (U < 14 V) into electrode b using the the electrodes a, b and c for confinement. These electrodes are designed as high-voltage electrodes for up to ± 3 kV potential limited by the cryogenic high-voltage feedthroughs, and electrode a can be pulsed open for the ejection. To obtain the electrostatic acceleration, electrodes a, b and c are ramped to -3 kV while keeping the confining potential well constant, and subsequently, electrode a is pulsed open. Before the ejection pulse, the other remaining antiprotons are stored in the ST, and the rotatable electrode between CT and ST is closed before the open channel of the rotatable degrader stage is aligned with the trap axis. This avoids annihilation in the ST with incoming residual gas. The ejected antiprotons need to pass the differential pumping section at much lower kinetic energy than during the ejection, and there is a lack of magnetic-field free regions for placing electrostatic steering elements that are capable of adjusting the trajectory and refocus the ejected pulse. Such elements can be only placed outside of the transportable BASE-STEP setup, and the only parameter that significantly impacts the

collimation of the ejected pulse is the choice of the potentials of electrodes *a* and *b* that define the radial electric field during the acceleration. From SIMION simulations we estimate an ejection efficiency of 30% up to the valve at the entrance of the room temperatue vacuum chamber without additional measures. We are presently evaluating several possibilities to increase the ejection efficiency, but the details are beyond the scope of this paper. One option is to add a magnetic solenoid field to limit the expansion of the antiproton pulse in the differential pumping section. Another possibility would be to look into an ion carpet design⁸⁴ that interfaces well with the Penning trap and use the ion surfing technique⁸⁵ to transmit the antiprotons through the differential pumping channel.

The ST is the trap that is best protected from the incoming residual gas and is used as long-term storage trap region for the antiprotons. The ST electrode stack features three harmonic trapping regions with the electrodes C, H, and M as trap centers, and the primary storage region centered around electrode H is similar to the one of the CT except for the segmented ring electrode H that can apply a rotating wall potential to radially compress larger clouds of antiprotons⁸⁶. From our operation of the reservoir trap in the BASE-CERN experiment^{65,87} and the image-current coupling experiments in the BASE-Mainz experiment^{43??}, we anticipate that antiproton clouds up to 10^3 ions can be confined by a regular application of magnetron sideband pulses on a time scale of \sim 1h to counteract the Coulomb-interaction driven radial expansion. The rotating wall is implemented to be able to provide a permanent radial compression during the transport, so that it is also possible to explore storing antiproton numbers ($\sim 10^5$) that are in the non-neutral plasma regime[?]?. The separation and merge schemes can also be applied in the ST to control the number of antiprotons that are transferred into the CT. Since only two trap regions are required for this procedure, the third harmonic region can implement additional functions, such as a backup antiproton reservoir or an independent vacuum measurement based on the recombination rate of light highly-charged ions^{87,88}, e.g. using C^{6+} ions. This would be required to constrain the antiproton lifetime, and distinguish annihilation losses from antiproton decays. To this end, an electron gun is mounted on the far end of the ST. It primarily produces cold electrons for the initial catching procedure⁵¹, however it can also be used for electron impact ionization or charge-breeding of trapped ions.

The non-destructive detection systems form also an essential part of the trap system. These are employed for frequency measurements, cooling, and to count and monitor the number of trapped particles⁸⁷, which is essential to characterize the particle separation procedures⁸⁰. For the BASE-STEP trap system, we have developed the detection segment shown in Fig. 10, that contains two axial detectors near 500 kHz⁵⁵ and two cyclotron detectors⁶⁶ near 15 MHz that will provide the image-current detection of these two modes in each trap stack. The detectors consist of a superconducting toroidal coil inside a cylindrical copper housing and a low-noise cryogenic amplifier using a dual-gate GaAs FET with high input impedance as input stage⁶⁶. Up to now, BASE has used only the axial detection systems for catching and counting the number of trapped particles, and the BASE-STEP trap system has the cyclotron detectors for additional diagnostics. A novel aspect of our detection unit is that we use toroidal superconducting coils for the cyclotron detectors, which were previously implemented using copper and NbTi solenoids^{51,66}. Toriodal coils have the advantage that their created field is mainly running inside the toroid, so that it is less sensitive to losses in the vicinity of the coil. We show as example of the performance a resonance with $Q \sim 1700$ during tests in a cold-head cryostat, see Fig. 10(c). The cyclotron detectors will also provide additional information for antiproton lifetime measurements, which can constrain possible dark decays of antiprotons⁸⁷. This requires to resolve the large numbers of trapped particles as function of storage time. The required averaging time of the axial detectors increases with N^2 which limits single-particle resolution for large clouds from about 100 antiprotons⁸⁷, and peak counting or integral power measurements with the cyclotron detector provide an alternative way to determine the number of trapped antiprotons.

E. Differential pumping section

An excellent vacuum in the cryogenic trap chamber is critical while transporting antiprotons. In concrete terms, a residual gas pressure of 10^{-10} mbar results in a trap lifetime of only ~ 5 s and we require a vacuum of at least 10^{-16} mbar for a trap lifetime of about three months⁸⁹. So far, BASE has injected antiprotons through thin vacuum windows into a hermeticallysealed cryogenic vacuum chamber⁵¹. Under these conditions residual gas pressure can only be measured via the antiproton trap lifetime and we determined an upper limit of less than 10^{-18} mbar after storing antiproton clouds up to 405 days⁸⁷.

As a transportable trap requires an open trap system to transfer low-energy antiprotons into another trap system, the trap vacuum will be compromised by residual gas entering through the antiproton transfer channel. Initially, the trap chamber conditions will be similar to the closed cryogenic setup, since it will be cooled down after lowering the pressure below 10^{-6} mbar at room temperature, which results in a low coverage ($\leq 1\%$) of the trap surfaces from the freeze out and efficient cryopumping to ultra-low pressure. However, the residual gas entering the trap chamber through the differential pumping section forms a low density atomic beam and can therefore cause annihilation by directly passing through the trap center before attaching to a cold surface. In addition, the pressure in the trap chamber will rise after a monolayer of residual gas has formed on its surfaces. This causes the cryopumping to be no longer effective for hydrogen molecules and helium at 4K. Based on the molecular flow through a channel with conductance C, we obtain the monolayer formation time as⁹⁰:

$$\tau_M = \frac{A_{\rm trap}}{A_{\rm mol}} \frac{k_B T}{\Delta P C},\tag{8}$$

where A_{trap} is the surface area of the cryogenic trap chamber, $A_{\text{mol}} \sim (\pi/4)(300 \,\text{pm})^2$ is the area covered by a residual gas particle based on its kinetic diameter^{91,92}, k_B the Boltzmann constant, ΔP the pressure difference along the channel, and *T* the temperature of the residual gas particles.



FIG. 11. The differential pumping segment is shown with connections to the trap chamber on the right and to the injection port with the gate valve (valve 3 in Fig. 4) on the left. The inlet chamber has two pumping ports in front and behind the cut plane of the drawing. One port is connected to two turbo pumps placed in series, and the other port to a non-evaporative getter pump. The differential pumping channels consist of three concentric tubes that separate the inlet vacuum, the trap vacuum, and the magnet cryostat vacuum. The conductive heat load to the magnet coil is suppressed by using low heat conduction materials (grade 5 titanium - white colored tubes) and by interception using the thermal couplings (OFE copper - light brown) to the heat shields. The light blue shield is the first stage heat shield, and the dark blue shield is the second stage heat shield.

To maintain cryopumping, τ_M needs to be at least about the desired antiproton storage time. Therefore, we must minimize ΔP by making excellent vacuum conditions at the inlet to the differential pumping tube. To this end, we place a dedicated inlet chamber as last element of the room temperature vacuum chambers, see Fig. 11. The inlet chamber is evacuated using two turbo molecular pumps (TMPs) in series and in parallel a non-evaporative getter (NEG) pump. The pumping ports are indicated in Fig. 11). In addition, the inlet chamber has been vacuum-fired to reduce hydrogen outgassing. We aim for an operating pressure of 10^{-10} mbar or better. We also need to separate the inlet vacuum chamber from the insulation vacuum of the magnet cryostat to reach this condition, since the insulation vacuum typically has even under cryogenic conditions a higher operating pressure of $\sim 1 \times 10^{-8}$ mbar due to the presence of multi-layer insulation foil and outgassing from electronics. During transportation, we intend to maintain the pressure in the inlet chamber using the NEG pump, and by closing the inlet valve and both rotatable electrodes in the trap system. The turbo pumps will be shut down and closed off using a gate valve mounted on the pumping port. This reduces the power consumption during transport, since the NEG pump requires no power and it avoids the risk of damaging the TMPs when their rotation axes are tilted during transportation.

series of differential pumping channels to minimize the conductance C. Starting from the inlet chamber, the first element of the differential pumping section is the inlet valve which is opened for the injection and ejection of antiprotons, and closed otherwise to increase the monolayer formation time in the cryogenic vacuum chambers. The inlet valve is made of a low heat-conductance titanium alloy, grade 5 titanium, and consists of a rotatable, conical stem in a titanium valve body with a free aperture of 16 mm diameter and 77.5 mm length in the open state. Following this, the residual gas must pass through a section with 16 mm inner diameter and 500 mm length, which is made from two grade 5 titanium tubes separated by a stainless steel bellow which compensates the mechanical tension due to the thermal contraction between the magnet assembly and differential pumping tubes. The titanium tubes also serve as a resistance for the thermal conduction between the inlet valve, which is connected to the first stage of the cryocooler, and the trap vacuum chamber at 4 K. This section is followed by the trap chamber flange with 20 mm thickness and an 8 mm diameter hole, and by a trap-chamber internal differential pumping section with 6 mm inner diameter and 135 mm length as shown in Fig. 11. This channel is already in the magnetic field of the magnet where the antiproton beam diameter is constrained by the cyclotron motion, so that the channel diameter can be smaller. At 4.2 K, the conductance through the trap-chamber internal channel is about $0.09 \ell/s$ and $0.07 \ell/s$ for hydrogen molecules

and helium, respectively, whereas the combined preceding elements have about 20-fold higher conductance. Based on the operating pressure difference ΔP and the combined conductance $C = 0.16 \ell/s$, we estimate the monolayer formation time to be $\tau_{M,0} \approx 430$ days. For additional protection of the antiproton reservoir, we have added the inlet valve at the entrance of the differential pumping tube. To close the inlet valve, a bellow with a rotary feedthrough is used to form a retractable key that turns the conical stem in the valve body between two defined positions and is withdrawn afterwards. Otherwise, its conductive thermal load of about 350 mW would increase the heat load of second stage of the pulse-tube cooler. In the closed state, the residual gas leaks between the two polished titanium surfaces into the differential pumping tube, since we have chosen a simple design without additional sealing elements. We have considered solutions with PTFE seals to obtain lower leak rates, however, the seal requires pressure on the sealing surface to function, requiring in turn a higher torque to turn the stem. We decided to operate with a low-friction design to ensure reliable operation.

To characterize the impact of the inlet valve on the monolayer formation time, we determined the ratio of the valve conductance in the opened state to the closed state from measurements as shown in Fig. 12. To this end, the inlet valve with its vacuum chamber and the differential pumping barrier was installed in a cold-head cryostat so that the vacuum of the inlet chamber and the cold head were separated by the inlet valve. The thermal couplings in Fig. 11 were connected by copper rods to the first and second stages of the cryocooler. The pressure of the inlet chamber and the cold head chamber was pumped for each test to below 5×10^{-5} mbar and 5×10^{-7} mbar, respectively. Subsequently, the valves to the pumps were closed so that only cryopumping remained active in the cold head chamber, but helium was no longer pumped out from the setup. We then injected a burst of helium gas into the inlet chamber that raised the pressure in the inlet chamber increasing the pressure in the inlet chamber to values in between 10^{-4} mbar to 10^{-1} mbar. In Fig. 12, the helium injection occurs at around t = 30 s, and the rate of the following exponential pressure increase in the cold head chamber is proportional to the conductance of the valve. We compared the conductance ratio in the opened and closed state for several different conditions. We estimate the conductance in the closed state to be at least a factor 1000 lower than in the open state. However, we note that this test was conducted at a pressure above the molecular flow regime, and several other effects limit the accuracy of the measurement, e.g. the finite volume of the inlet chamber, outgassing rates, tempeature changes, etc. so that this can be regarded only as an estimation for the operation under realistic conditions. Nevertheless, our estimation projects an increase of the monolayer formation time by an additional factor of three if we also consider the low conductance of the other differential pumping tubes.

The direct exposure of the antiproton trapping regions to incoming residual gas particles is most of the time suppressed in comparison to the open state by the inlet valve and the two other rotatable electrodes in the trap stack. During the injection of antiprotons from ELENA ($E_{\rm kin} \sim 100 \, \rm keV$), the antiprotons pass through a degrader foil that blocks the incoming



FIG. 12. Measurement of the pressure increase in the coldhead chamber behind the inlet valve after increasing the pressure in the inlet chamber to 6×10^{-3} mbar. In the open state, pressure equilibrium is reached after 15 s, whereas the time constant for the pressure increase is estimated to be a factor 4000 lower in the closed state.

gas from entering the CT. However, when antiprotons need to be ejected from the trap system at low energy $(E_{kin} \sim 2 \text{ keV})$ a direct opening to the CT must be realized, and in this case we expect the highest annihilation rate of the trapped antiprotons in the CT. To estimate the loss rate in this configuration, we conducted a MOLFLOW+ simulation to estimate an upper limit for the residual gas flow into the CT center. We simulated the pressure conditions under the assumption that the monolayer formation in the differential pumping channel is already completed, but the trap chamber surfaces are still perfectly cryopumping. We find the local pressure of residual gas particles at the position of the CT center to be 10^{-13} mbar, a factor 1000 lower than the inlet pressure of 10^{-10} mbar, which results in an effective storage time of about 1.5 hours. Since the time window required to eject the antiprotons can be less than one minute, we conclude that it is possible to perform the transfer without significant annihilation losses in the CT.

The differential pumping section also serves as vacuum separation of the cryostat isolation vacuum and the inlet and trap chamber vacuum. To this end, the differential pumping channel is mechanically connected to the room temperature vacuum chamber as part of a series of concentric tubes, see Fig. 11. To manage the thermal load, the outer and the inner tubes are made of low heat-conductance grade 5 titanium, and the end of the outer tube is thermally connected to the firststage heat shield (\sim 50 K) of the magnet. An OFE copper tube in between both tubes connects both and sinks the radiative heat load on the inlet valve to the 50 K heat shield. Finally, a thermal anchor to the second stage heat shield of the magnet intercepts the remaining heat load and prevents the heating of the trap chamber and the coil body. The estimated heat loads on the first stage and second stage of the cryocooler are 6 W and 80 mW, respectively.

F. Transport procedure

For the transport of the antiproton trap system, we assume the following starting conditions: The magnet is cold, charged to 1 T, and the LHe tank has been completely filled. The trap system is loaded with antiprotons, and the valves between the injection beam line and the inlet chamber have been closed (valves 2 and 3 in Fig. 4). The inlet valve has also been closed, and the rotatable degrader stage and the rotatable electrode have been set into their gas blocking position. The gate valve to the TMPs in the inlet chamber has been closed and the TMPs and its prepump have been shut off. The inlet chamber is pumped only by the NEG pump during the transport. The trap power supply is connected to the UPS with battery unit. In addition, we have implemented mono-stable relays that switch the trap electrodes from the trap power supply to a 9V battery block in case the trap power supply losses power. In this state, we disconnect all remaining cables from the transport frame. A spreader beam is mounted to the overhead crane and moved above the transport frame of the trap system. The transport frame is attached with slings to the four lifting points at its edges, so that the system is ready to lift off. The spreader beam ensures that the system is lifted horizontally with tilts typically below 1°, and the slings pull vertically on the lifting points. The transport frame is closed except for a section in the top middle of the frame so that cold helium leaving from the safety valve or rupture disks of the magnet system in case of an accident is kept away from the slings. As last step, the cryocooler is switched off, and the power of the valve unit and the flexlines of the cryocooler are disconnected.

The transport frame is moved into the loading bay of the AD/ELENA facility by using the two overhead cranes and a trailer platform for transfer between the accessible regions of the cranes in the building. The transport frame will be loaded and secured on an open truck to prevent oxygen deficiency hazards (ODH) due the the evaporation of liquid helium. For the short distance transport without cryocooler, the power on the cryogenic stage is about 1.1 W so that about $1.1 \text{ m}^3/\text{h}$ of gas are produced and must be vented from the liquid helium tank. For long distance transport, the cryocooler will be operated using a power generator and a mobile chiller system, but the open truck is still necessary for the ODH risk in case of a power failure. Further, the truck requires an air suspension system to reduce vibrations during the transport. We performed a transport test to measure the acceleration and inclination experienced by the magnet by the load on the truck on a route, that covered the Meyrin site and Prevessin site of CERN, and the roads in between including the CERN customs tunnel to France. We observed that acceleration was less than 0.3g and the inclination below 6°, and concluded that short distance transports can be performed on this route. The transport of the actual magnet system in operation requires additional precautions, since the liquid helium and a glycol-water mixture in the chiller system will be moved, and therefore ADR regulations for transportation of chemicals and dangerous goods apply. Regarding radioprotection, presently there exists no regulation for transporting antiprotons outside of radiation controlled areas since such an action has never been performed so far. We note, however, that the expected dose from a sudden annihilation of 1000 antiprotons is of the order 1 nSv on the surface of the transport container, and poses no risk to humans or the environment.

IV. OUTLOOK

We have reported the design of the BASE-STEP transportable antiproton trapping apparatus that will be installed in the AD/ELENA facility at CERN. Transportable traps are a promising development in precision measurements on antiprotons, and potentially also for measurements on other accelerator-produced ions with a long lifetime. For precision measurements and fundamental physics studies, the transportable trap will be operated in a similar way to other external ion sources that are presently in use^{93,94}.

In particular, we anticipate that frequency ratio measurements on antiprotons stored in precision Penning traps will greatly benefit from reduced magnetic field noise, and that relocating the antiproton measurements away from the AD/ELENA facility to low-noise laboratories will improve tests of CPT symmetry in the baryonic sector. Although transporting antimatter to distant laboratories has long been desired, it is a significant technical challenge that benefits from recent improvements in ion trap technologies. In particular, we conceived a careful design of the cryogenic vacuum system that will enable long antiproton storage times. Pending an initial demonstration of transporting particles using the BASE-STEP apparatus, we aim to improve direct limits on the antiproton lifetime that constrain dark decay channels of antiprotons⁸⁷. Furthermore, transportable traps would also enable a dedicated search for millicharged dark matter particles in underground laboratories. Particles with less than a 10^{-4} fraction of the electron charge require a large overburden (~ 1 km) to stop, thermalize, and ultimately scatter with a trapped antiproton⁵⁰. Placing the transportable trap inside a high-voltage platform to accumulate or expel millicharged particles in an underground laboratory would form a novel dedicated type of dark matter search. In addition, it becomes possible to perform the first distant, simultaneous frequency measurements with trapped antiprotons using the transportable STEP apparatus and the current stationary BASE apparatus in the AD hall, as direct antiparticle test of Lorentz invariance²⁵, and to implement domain-wall searches of dark matter, such as implemented with magnetometers⁴⁸ or optical clocks⁹⁵, but with an antiparticle probe instead.

As a long-term benefit of developing transportable traps, it becomes possible to supply multiple low-energy antiproton experiments beyond the space available in the AD/ELENA facility, with the constraint that these experiments have to be conducted non-destructively, with a low antiproton consumption rate, or a low reloading frequency.

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- CERN, AD operation
- CERN, calm conditions
- Mainz, Weekday
- Mainz, Weekend



Antiprotons from ELENA

















