- 1 Rifampicin tolerance and growth fitness among isoniazid-resistant clinical *Mycobacterium*
- 2 *tuberculosis* isolates: an in-vitro longitudinal study.
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#### 28 Abstract

29 Antibiotic tolerance in *Mycobacterium tuberculosis* leads to less effective bacterial killing, 30 poor treatment responses and resistant emergence. There is limited understanding of 31 antibiotic tolerance in clinical isolates of *M. tuberculosis*. Therefore, we investigated the 32 rifampicin tolerance of *M. tuberculosis* isolates, with or without pre-existing isoniazid-33 resistance. In-vitro rifampicin survival fractions determined by minimum duration of killing 34 assay in isoniazid susceptible (n=119) and resistant (n=84) M. tuberculosis isolates. 35 Rifampicin tolerance was correlated with bacterial growth, rifampicin minimum inhibitory 36 concentrations (MICs) and isoniazid-resistant mutations. The longitudinal isoniazid-resistant 37 isolates were analyzed for rifampicin tolerance based on collection time from patients and 38 associated emergence of genetic variants. The median duration of rifampicin exposure 39 reducing the M. tuberculosis surviving fraction by 90% (minimum duration of killing-40 MDK90) increased from 1.23 (95%CI 1.11; 1.37) and 1.31 (95%CI 1.14; 1.48) to 2.55 41 (95%CI 2.04; 2.97) and 1.98 (95%CI 1.69; 2.56) days, for IS and IR respectively, during 15 42 to 60 days of incubation respectively. Increase in MDK90 time indicated the presence of fast 43 and slow growing tolerant sub-populations. A range of 6 log<sub>10</sub>-fold survival fraction enabled 44 classification of tolerance as low, medium or high and revealed isoniazid-resistance 45 association with increased tolerance with faster growth (OR=2.68 for low vs. medium, 46 OR=4.42 for low vs. high, P-trend=0.0003). The high tolerance in longitudinal isoniazid-47 resistant isolates was specific to those collected during rifampicin treatment in patients and 48 associated with bacterial genetic microvariants. Our study identifies a range of rifampicin 49 tolerance and reveals that isoniazid resistance is associated with higher tolerance with growth 50 fitness. Furthermore, rifampicin treatment may select isoniazid-resistant isolate microvariants

51 with higher rifampicin tolerance, with survival potential similar to multi-drug resistant 52 isolates. These findings suggest that isoniazid-resistant tuberculosis needs to be evaluated for 53 rifampicin tolerance or needs further improvement in treatment regimen.

54

#### 55 Introduction

56 Mycobacterium tuberculosis causes around 10 million cases of tuberculosis (TB) each year and 1.5 million deaths<sup>1</sup>. Challenges to successful TB treatment include bacterial evolution 57 58 and diversification under host stresses and antibiotics, leading to differential antibiotic 59 susceptibility even among genetically-susceptible *M. tuberculosis* isolates<sup>2</sup>. Based on killing 60 dynamics, the differential susceptibility can be classified into two, 1) antibiotic tolerance 61 observed as reduced rate of killing of the entire bacterial population, and 2) antibiotic 62 persistence observed as reduced rate of killing of sub-populations compared to more 63 susceptible bacteria<sup>3</sup>. There are differences in the molecular mechanisms of tolerance and 64 persistence, for example stress conditions inhibiting bacterial protein or ATP synthesis can result in population level tolerance<sup>4</sup>, whereas stochastic expression and induction of bacterial 65 stress response result in persistent subpopulations<sup>5</sup>. Clinically susceptible isolates exposed to 66 67 host stresses and antibiotic selection can exhibit increased antibiotic tolerance and persistence<sup>6-8</sup>, supporting this studies have shown emergence of mutations increasing 68 tolerance or persistence among clinical *M. tuberculosis* isolates<sup>9-12</sup>. 69

Emergence of rifampicin tolerance or persistence, a key drug in TB treatment is a major concern considering the emergence of multi-drug resistant (MDR, resistant to at least isoniazid and rifampicin) tuberculosis<sup>13</sup>. Several mechanisms lead to rifampicin tolerance, heteroresistance or persistence<sup>14</sup>. These include efflux pump overexpression<sup>15</sup>, mistranslation<sup>16</sup>, overexpression of rifampicin target  $rpoB^{17}$ , cell size heterogeneity<sup>18-20</sup> and the redox heterogeneity in bacteria<sup>21</sup>. Recent study has implicated the antibiotic tolerance in clinical isolates as a risk factor for hard-to treat infections and tolerance can also contribute to the emergence of resistance<sup>22</sup>. One of the difficulties in reducing the duration of anti-tuberculosis treatment is that shorter regimens are associated with high rates of relapse of infection. Relapses are believed to be partly due to hard-to treat bacterial phenotypes<sup>23</sup>. Therefore, it is important to identify hard-to treat phenotypes and stratify the treatment regimens based on the risk factors for poor treatment responses<sup>23</sup>.

83 Apart from antibiotic susceptibility variations, another concern in standard TB treatment is 84 the emergence of resistance to isoniazid (IR). There is globally around 10% prevalence of IR among clinical *M. tuberculosis* isolates<sup>24</sup>. IR is difficult to rapidly diagnose during drug 85 86 susceptibility testing, and is associated with worse treatment outcomes compared to isoniazid-susceptible (IS) M. tuberculosis isolates<sup>24</sup>. Importantly, IR is also associated with 87 subsequent emergence of rifampicin resistance leading to MDR TB<sup>25</sup>. Therefore, emergence 88 89 of antibiotic tolerance or persistence among IS and IR clinical *M. tuberculosis* isolates may 90 contribute to poor treatment response and the emergence of MDR-TB.

91 In addition to antibiotic survival, emergence of growth heterogeneity post-stress in bacterial 92 survival fractions can lead to trade-offs between growth fitness and population survival, with 93 the fast-growing sub-population mainly contributing to growth and the slow growing subpopulation as a reservoir strategy for survival under future stresses<sup>26</sup>. Rifampicin treatment 94 95 can result in differentially detectable sub-populations of *M. tuberculosis*, which can grow 96 only in liquid medium as compared to solid medium<sup>27</sup>. Therefore, in determining risk of post-97 treatment relapse, it is important to consider, alongside tolerance range, the degree of growth 98 heterogeneity within tolerant subpopulations.

99 Despite its potential importance for the TB treatment, the distribution of antibiotic tolerance
100 among clinical *M. tuberculosis* isolates is unknown, and routine clinical microbiology

101 diagnosis does not include any assays for tolerance. The growth fitness of antibiotic tolerant 102 subpopulations, and the association of pre-existing (other drug) resistance with antibiotic 103 tolerance is completely unknown. Antibiotic tolerance and persistence can be differentiated 104 by linear vs bi-phasic killing curves or single vs bi-modal growth rate distribution<sup>5</sup>. Another 105 consideration is that tolerance can mask persistence,<sup>5</sup> therefore a single assay may not 106 determine both tolerance and persistence among clinical isolates<sup>5</sup>.

107 To address this knowledge gap, we developed a most-probable number (MPN) based 108 minimum duration of killing (MDK) assay to determine the rifampicin tolerance among 109 clinical *M. tuberculosis* isolates in a medium-throughput manner<sup>28</sup>. In the current study, we 110 investigated the rifampicin tolerance in a large set of IS (n=119) and IR (n=84) clinical *M.* 111 *tuberculosis* isolates and its correlation with bacterial growth rate, rifampicin MICs, IR-112 mutations and the rifampicin treatment selection in patients.

113

#### 114 **Results**

#### 115 Study design

116 We investigated rifampicin tolerance and its association with isoniazid susceptibility among 117 242 clinical M. tuberculosis isolates. We treated susceptible isolates with rifampicin 118  $(2\mu g/mL)$ , a concentration several times higher than their MICs (supplementary table 1), and 119 at 0, 2 and 5 days determined fractional survival following 15 and 60 days of culture (figure 120 1). Higher survival fractions indicate higher rifampicin tolerance, and differences in survival 121 fraction determined between 15 and 60 days of incubation indicated greater growth 122 heterogeneity in rifampicin tolerant sub-populations. 23 of the isolates grew poorly in the 123 absence of antibiotic, and a further 10 had low initial MPN, making accurate determination of 124 survival fractions difficult (figure 1), and these 33 isolates were removed from further 125 analysis. Of the remaining 209 isolates, 119 IS, 84 IR and 6 resistant to both rifampicin and 126 isoniazid, MDR. The MDR isolates were controls and comparators as isolates with a known

127 high degree of rifampicin tolerance<sup>28</sup>.

#### 128 Distribution of Rifampicin tolerance in IS and IR isolates

129 We analyzed the rifampicin survival fraction and the kill curve for IS and IR M. tuberculosis 130 isolates, at 0, 2 and 5 days of rifampicin treatment followed by 15 and 60 days of incubation 131 (figure 2). Following 5 days of rifampicin treatment, the average survival fraction reduced by 132 90-99% of the starting bacterial population (figure 2). Of note, the average time required for 133 90% survival fraction reduction (MDK<sub>90</sub>) was 1.23 (95%CI (Confidence interval) 1.11; 1.37) 134 and 1.31 (95%CI 1.14; 1.48) days for IS and IR respectively when survivors were incubated 135 for 15 days, but rose to 2.55 (95%CI 2.04; 2.97) and 1.98 (95%CI 1.69; 2.56) days for 60 136 days for IS and IR isolates respectively (figure 2). This shift in the  $MDK_{90}$  indicated the 137 presence of growth heterogeneity within the tolerant subpopulation – with both fast and slow-138 growing bacteria within tolerant subpopulations. For most of the isolates MDK<sub>90</sub> time could 139 be calculated but other parameters of tolerance and persistence such as MDK<sub>99</sub> (at 15 140 day=81% (170/209), 60 day=41% (86/209)) and MDK<sub>99,99</sub> (at 15 day=11% (22/209), 60 141 day=8% (17/209)) could be calculated for only a fraction of 209 isolates and rest were 142 beyond the assay limits (supplementary figure 1). Intriguingly, we observed a significant 143 difference in rifampicin tolerance between IS and IR isolates – but only in the 15 days post 144 recovery. The difference had disappeared by 60 days (figure 2). Therefore, we decided to 145 consider survival fractions with 15 and 60 days recovery for further analysis, the earliest and 146 latest time points for determining the fast- and slowly-growing rifampicin tolerant 147 subpopulations.

#### 148 Isoniazid resistance is associated with fast-growing rifampicin tolerant subpopulations

149 To further investigate the relationship between rifampicin tolerance, growth fitness and 150 isoniazid susceptibility, we compared fractional survival at 15 and 60 days recovery

151 following 2 and 5 days of rifampicin treatment in IS (n=119) and IR (n=84) isolates (figure 152 3A, supplementary figure 2). There was no significant difference in rifampicin tolerance 153 between IS and IR isolates at 2 days of treatment (supplementary figure 2). At 5 days of 154 rifampicin treatment and both early and late recovery time points, IS and IR isolates showed a 155 broad distribution of fractional survival-spanning 1 million-times difference in rifampicin 156 susceptibility (figure 3A). At the 15 day recovery period, IR was significantly associated with 157 higher survival to rifampicin treatment as compared to IS isolates (P=0.017, figure 3A), 158 whereas at 60 days, fractional survival increased in both groups with no difference according 159 to isoniazid susceptibility (figure 3A). These results suggest that the difference between IS 160 and IR rifampicin tolerant subpopulations is within their fast-growing tolerant bacilli only.

161 To further refine distribution of rifampicin tolerance between isolates, fractional rifampicin survival was parsed as low, medium or high as defined by falling within the 25<sup>th</sup>, 75<sup>th</sup> and 162 163 100<sup>th</sup> percentiles of survival fractions following rifampicin treatment and either 15 or 60 days 164 recovery (figure 3B). As expected, there was substantially lower tolerance to rifampicin in 165 low and medium groups compared with MDR isolates. Surprisingly, tolerance to rifampicin 166 between non-rifampicin resistant "high" tolerance strains and MDR strains was not 167 significantly different (P=0.78, figure 3B), and these high tolerant strains were characterized 168 in both IS and IR isolates. This suggests that within the IR, high tolerant subgroup, antibiotic 169 susceptibility (to both rifampicin and isoniazid) may be similar to *bona fide* MDR strains.

Analyzing rifampicin tolerance subgroups between IS and IR strains, at the early, 15 day recovery time-point, the majority (79%, 26/33) of "low" rifampicin tolerant strains were isoniazid susceptible. By contrast, IR isolates were over-represented in the "medium" and "high" tolerant subgroups (OR of 2.7 and 4.4 respectively–table 1). These associations disappeared with longer (60 day) recovery post antibiotic treatment, confirming that IR isolates harbored fast-growing, high-level rifampicin-tolerant bacilli compared with ISisolates (table 1).

### 177 Association between rifampicin tolerance and relative growth in absence of antibiotics,

#### 178 rifampicin MICs, isoniazid resistant mutations of *M. tuberculosis* isolates

179 Clinical isolates of *M. tuberculosis* exhibit a large degree of lag time and growth 180 heterogeneity<sup>29</sup>, as well as differences in rifampicin MICs or isoniazid-resistant mutations. 181 Prior studies showed that slow growth rate and non-replicating persistence were correlated<sup>30</sup>, 182 therefore we wished to investigate the association between growth rates in the absence of 183 antibiotic treatment, rifampicin MIC distribution, isoniazid-resistant mutations and rifampicin 184 tolerance distribution in *M. tuberculosis* isolates.

185 For correlating relative growth in absence of antibiotics, we removed 19 outliers 186 (supplementary figure 3 with 19 outliers), Intriguingly, slower growth before rifampicin 187 treatment did not had significant correlation with higher growth fitness in rifampicin survival 188 fraction at 15 days incubation in IS isolates (figure 4A regression coefficient -0.21, 95%CI [-189 0.44, 0.007], P=0.058). By contrast, correlation of slower growth with lower growth fitness 190 in the long recovery period was observed in both IS and IR isolates (figure 4B, regression 191 coefficient for IS=0.38 [0.15, 0.61], P=0.0014, and IR=0.38 [0.12, 0.64], P=0.0041). 192 Comparing IS and IR isolates, IR isolates had slower growth in the absence of antibiotics 193 (figure 4C, P<0.0001). Thus, slow growth before rifampicin treatment correlates with 194 reduced growth fitness in certain rifampicin tolerant populations at 60 days incubation. 195 In case of IS isolates, higher rifampicin MICs correlated with lower rifampicin tolerance at

196 long recovery period, 15 (-0.24 [-0.50, 0.022], *P*=0.073) and 60 days incubation (-0.31 [-0.53,

197 -0.083], P=0.007, supplementary figure 4A), whereas IR isolates did not show such a

198 negative correlation of rifampicin tolerance with rifampicin MICs (0.14 [-0.14, 0.41], P=0.33

and 0.21 [-0.057, 0.48], P=0.12, supplementary figure 4A). This latter observation might be

due to increased growth fitness of IR rifampicin tolerant populations. In addition, there was
no significant difference in rifampicin MICs distribution between IS and IR isolates
(supplementary figure 4B).

203 We next investigated the association between isoniazid-resistant mutations in *M. tuberculosis* 204 isolates and rifampicin tolerance distribution. These isolates had three different isoniazid-205 resistant mutations, katG S315X (n=71), inhA I21T (n=2) and fabG1 C-15X (n=6) and data 206 not available for 5 isolates (supplementary figure 5). Due to low number of isolates with inhA 207 and fabG1 mutations, it was not possible to identify the difference in rifampicin tolerance 208 distribution between the isolates with different isoniazid-resistant mutations. Nevertheless, 209 we observed wide distribution of rifampicin tolerance in isoniazid-resistant isolates with 210 katG S315X mutation itself (supplementary figure 5), indicating the role of other genetic or 211 epi-genetic determinants influencing rifampicin tolerance.

# Higher rifampicin tolerance and growth fitness is associated with IR isolates from intensive phase of treatment with rifampicin

214 The IS isolates were collected only at baseline before treatment, whereas the IR isolates in 215 our study were collected longitudinally from patients at different stages of treatment. The 216 antibiotic treatment may select different *M. tuberculosis* genetic microvariants in the patients 217 and lead to differences in rifampicin tolerance between longitudinal isolates. Therefore, we 218 analyzed the rifampicin tolerance distribution in the IR isolates in three sub-groups, before 219 treatment (IR-BL), initial two months of intensive phase of treatment with rifampicin in the 220 regimen (IR-IP), continuous phase and relapse lacking rifampicin and any other antibiotics 221 treatment selection respectively (IR-CP) (Figure 5). This grouping reflects TB-treatment 222 regimen in Vietnam during the study period with rifampicin only in the initial two months of 223 treatment<sup>24</sup>.

Interestingly, we observed significantly higher rifampicin tolerance and growth fitness in IR-IP group (P=0.0018, Figure 5 as compared to IS, IR-BL and IR-CP groups during 15 days recovery, indicating rifampicin treatment itself as a possible mechanism leading to the selection of *M. tuberculosis* tolerant microvariants in patients<sup>17</sup>.

228 To verify this finding, we grouped individual patients based on changes in rifampicin 229 tolerance between their initial and subsequent IR isolates as decrease, unchanged and 230 increase (Figure 6) and analyzed the difference in non-synonymous SNPs between the 231 isolates from the same patients associated with differences in rifampicin tolerance (Figure 7, 232 supplementary table 2). The SNPs difference between the longitudinally collected M. 233 tuberculosis isolates from same patient were 0-3 except in one case (SNPs=11), indicating 234 de-novo emergence or selection of genetic microvariants within the patient (supplementary 235 table 2). Next, we analyzed the non-synonymous SNPs associated with the changes in 236 rifampicin tolerance both at 15 and 60 days incubation. This included both genetic variants 237 emerging as more than 90% of WGS reads and less-than 90% threshold used as a cut-off for 238 calling SNPs. Several genes Rv0792c, Rv1266c, Rv1696, Rv1758, Rv1997, Rv2043c, 239 Rv2329c, Rv2394, Rv2398c, Rv2400c, Rv2488c, Rv2545, Rv2689c, Rv3138, Rv3680 and 240 Rv3758c previously reported to be associated with persistence, tolerance and survival within 241 host had non-synonymous SNPs associated with changes in rifampicin tolerance (Figure 7, 242 supplementary table 3 with references). This indicates mutations in multiple genes might 243 affect rifampicin tolerance and growth fitness, since there was no one gene or genetic variant 244 in *M. tuberculosis* in multiple patients consistently associated with increased or decreased 245 rifampicin tolerance, or that mutations may be epistatic with the genetic background of the 246 strain.

247

248 **Discussion** 

249 We investigated rifampicin tolerance in a large number of clinical isolates of *M. tuberculosis*. 250 Overall clinical *M. tuberculosis* isolates showed higher levels of rifampicin tolerance than lab 251 isolates as the average survival fraction post-rifampicin treatment decreased only by 90 to 252 99% over 5 days. Therefore, emergence of tolerance may mask persistent sub-populations or 253 one assay may not capture both tolerance and persistence. We found that levels of rifampicin 254 tolerance are widely distributed among isolates, with some genetically susceptible strains 255 having similar susceptibility to rifampicin-mediated killing as bona fide rifampicin-resistant 256 isolates, at least during the 5 days rifampicin exposure of our assay condition. Furthermore, 257 IR isolates were more likely to harbor fast-growing subpopulations with high levels of 258 rifampicin tolerance.

259 In our experimental set-up, we decided to assay the recovery of *M. tuberculosis* following 260 rifampicin treatment at two distinct time-points, 15 and 60 days. Heterogeneity in regrowth 261 following stress has been linked to a tradeoff between growth fitness and survival<sup>26</sup>, and it is 262 likely that in *M. tuberculosis* such diversification in growth rates among rifampicin-tolerant 263 subpopulations represents a balance between growth and persistence under antibiotic stress. 264 A better molecular mechanistic understanding of drivers of growth-rate heterogeneity in M. 265 tuberculosis may contribute to understanding the dynamics and drivers of tuberculosis 266 relapse following standard therapy.

We also observed a variation in growth rate in the absence of antibiotic therapy. IR isolates were slower growing than IS isolates, which likely represents a fitness cost due to isoniazidresistance-causing mutations and strain genetic background<sup>31</sup>. As expected, IS isolates, with slower growth in the absence of drug had a weak association with high levels of rifampicin tolerance at the 15 day time point (representing rapidly growing recovered cells), whereas both IS and IR isolates with slower growth in the absence of drug were significantly associated with lesser rifampicin survival fraction levels at 60 days– representing slow 274 growing rifampicin tolerant bacilli. These data suggest that slower growth (in absence of 275 drug) in both isoniazid susceptible and resistant isolates, perhaps due to fitness cost of 276 mutations<sup>31</sup>, may be associated with more persister-like tolerant subpopulations.

277 By contrast, the association between rifampicin MIC and rifampicin tolerance showed a 278 contrasting trend with isoniazid susceptibility. IS isolates showed decreased tolerance with 279 increase in rifampicin MIC, but IR isolates did not show this association. This may indicate 280 higher growth fitness of IR with rifampicin tolerance. Another important finding from our 281 study is the emergence of higher rifampicin tolerance and growth fitness in longitudinal IR 282 isolates under rifampicin treatment selection. This further supports the findings that multiple 283 genetic microvariants may co-exist in patient and rapidly change their proportion under selection from host stresses and antibiotic treatment<sup>32</sup>. We also observed non-synonymous 284 285 mutations in multiple genes, associated with persistence and host survival enriched with 286 changes in rifampicin tolerance between the longitudinal isolates (supplementary table 3 with 287 references). However, lack of convergent SNPs in the samples may be due to the relatively 288 small sample size, interaction between SNPs and strain background or indication of a larger 289 set of tolerance-related genes that independently affect bacterial growth and antibiotic 290 tolerance<sup>3</sup>.

291 In addition to demonstrating a wide distribution of rifampicin tolerance among clinical 292 isolates and the specific finding that IR isolates are associated with high-level rifampicin 293 tolerance and growth fitness, our study reveals novel aspects of rifampicin tolerance 294 associated with isoniazid susceptibility. Rifampicin treatment itself led to the selection of IR 295 M. tuberculosis genetic microvariants with high rifampicin tolerance and increased growth 296 fitness in patients. The precise mechanisms underlying these phenotypes will require further 297 investigation, but it is intriguing to note that different *M. tuberculosis* lineages have varying liabilities for development of isoniazid resistance<sup>33</sup>, suggesting that clinical isolates may 298

evolve diverse paths towards phenotypic drug resistance that impact fundamental bacterialphysiology and tolerance to other antibiotics.

301 The wide range of observed rifampicin tolerance, spanning many orders of magnitude 302 confirms findings of experimentally evolved drug tolerance to the laboratory isolate M. *tuberculosis*-H37Rv<sup>10</sup> and extends our prior findings from a smaller-scale pilot study<sup>28</sup>. Given 303 304 that almost all rifampicin resistance is via mutations in  $rpoB^{34}$ , our findings suggest that first-305 line molecular testing for rifampicin susceptibility, which is replacing phenotypic drug 306 susceptibility<sup>35</sup>, may not fully capture response to therapy. It needs to be further validated if 307 these strains that are 'hyper-tolerant' to rifampicin are risk factors for poor clinical outcomes 308 in IR-TB $^{24}$ .

309 An important observation arising from our study is that IR is associated with increased 310 likelihood of high levels of tolerance to rifampicin – but only in faster growing recovered bacteria. Given the association of IR with emergence of rifampicin resistance<sup>25</sup>, our findings 311 312 suggest a plausible mechanism by which isoniazid resistance, via rifampicin tolerance, acts as 313 a 'stepping stone' to rifampicin resistance. The association between IR and rifampicin 314 tolerance only held for fast-growing recovered bacteria. Given the observation that 'growing' 315 rifampicin tolerant bacteria are over-represented after initiation of drug therapy in humans 316 due to the specific regulation of rpoB in mycobacteria in response to rifampicin exposure<sup>17</sup>, 317 this may represent a divergence between growing and non-replicating persister forms of 318 antibiotic tolerance. A better understanding of which forms of tolerance contribute to 319 clinically relevant response to therapy will be critical for tailoring individualized regimens for TB or improving treatment regimen for  $IR-TB^{36}$ . 320

321 Our study has some limitations. We only assayed rifampicin tolerance under one standard 322 axenic culture condition. It is known that antibiotic tolerance phenotypes vary considerably 323 according to culture conditions<sup>11</sup>, with some phenotypes only emerging *in vitro* with

specialized media, e.g. containing odd-chained fatty acids<sup>11</sup>. Secondly, contributors to antibiotic tolerance can be genetic, epigenetic or transient<sup>9-12</sup>, and there is considerable epistasis between genetic variation and antibiotic susceptibility. Our assay will not be able to capture drivers of tolerance that have been lost in the collection, banking, freezing and reviving of the *M. tuberculosis* isolates. Finally, the isolates were from a previous study<sup>24</sup>, and during the study period the old 8-month TB treatment regimen lacked rifampicin in the continuation phase<sup>24</sup>.

This study also reveals interesting aspects like fast and slow growing sub-populations and possible variation in lag-time distribution among clinical *M. tuberculosis* isolates. There can also be different mechanisms of tolerance and persistence among *M. tuberculosis* isolates, detailed investigations are required to further understand these aspects and its clinical relevance.

In conclusion, our study identifies a significant association between isoniazid-resistance and rifampicin tolerance in clinical isolates of *M. tuberculosis*. Our findings have implications for the requirement to consider heterogeneity in bacterial responses to antibiotics and emergence of antibiotic tolerant bacterial genetic microvariants in determining optimal tuberculosis treatment regimens.

341 Methods

#### 342 Ethical approval

*M. tuberculosis* isolates in this study were a part of collection from a previous study<sup>24</sup>, approved by the Institutional Research Board of Pham Ngoc Thach Hospital as the supervisory institution of the district TB Units (DTUs) in southern Vietnam, Ho Chi Minh City Health Services and the Oxford University Tropical Research Ethics Committee (Oxtrec 030–07).

348

#### 349 Bacterial isolates

350 242 *M. tuberculosis* isolates, collected for a previous study in Vietnam were used in this 351 study<sup>24</sup>. All the isolates were cultured in the biosafety level-3 laboratory at the Oxford 352 University Clinical Research Unit, Ho Chi Minh city, Vietnam<sup>24</sup>.

353

#### 354 Rifampicin killing assay

355 Most-probable number-based rifampicin killing assay was done for the clinical M. 356 tuberculosis isolates as per the published protocol<sup>28</sup>. M. tuberculosis isolates, after single sub-357 culture from archive, were inoculated in 7H9T medium (Middlebrook 7H9 broth 358 supplemented with 0.2% glycerol, 10% OADC and 0.05% Tween-80) and incubated at  $37^{\circ}$ C 359 until exponential phase with OD<sub>600</sub> range of 0.4-0.6 is reached. All cultures were 360 homogenized by vortexing for three minutes with sterile glass beads and diluted to the  $OD_{600}$ 361 of 0.4. The diluted culture was used for measuring initial viable bacterial number by most probable number (MPN) method, using 96 well plates according to the published protocol<sup>28</sup>. 362 363 Briefly the protocol was as follows, a 1 mL aliquot of *M. tuberculosis* culture was harvested, 364 and the cell pellet was washed once. This washed culture was resuspended in 1mL culture 365 and 100 µL was transferred to 96-well plates as an undiluted culture in duplicate for serial dilution. The undiluted culture was used for 10-fold serial dilution up to  $10^9$  dilutions in 366 367 microtiter plates. Immediately, after sampling for initial MPN (day 0), the remaining culture 368 in the tube was treated with rifampicin (Merck-Sigma Aldrich, USA) at a final concentration 369 of 2 µg/mL and incubated. On 2 and 5 days post-rifampicin treatment, viable bacterial number was determined again by MPN method as previously mentioned<sup>28</sup>. The growth in 96 370 371 well plate was recorded as images by the Vizion image system (Thermo Fisher, Scientific Inc, 372 USA) after 15 and 60 days of incubation, beyond 60 days drying of plates were observed. 373 The number of wells with visible bacterial growth was determined by two independent

readings from two individuals, discrepancies between the two readings were verified and corrected by a third person reading. MPN value was calculated as mean MPN/mL. The survival fraction at 2 and 5 days post rifampicin treatment was calculated as compared to the initial MPN taken as 100% survival.

#### 378 Relative growth difference calculation from MPN number

For calculating relative growth difference of isolates before rifampicin treatment, the  $log_{10}$ MPN ratio between 15 and 60 days of incubation were taken to determine the relative proportion of fast and slow growing sub-population. A  $log_{10}$  MPN ratio close to 0 indicated less growth heterogeneity in the population, whereas a ratio less than 0 indicated presence of growth heterogeneity due to the presence of fast and slow growing, or heterogeneity in the lag time distribution of sub-populations.

#### 385 **Drug susceptibility testing**

386 Microtiter drug susceptibility testing was performed using UKMYC6 plates (Thermo Fisher, 387 Scientific Inc-, USA) for determining initial rifampicin and isoniazid phenotypic 388 susceptibility<sup>37</sup>. Briefly, three weeks-old *M. tuberculosis* colonies from Lowenstein-Jensen 389 medium were used to make cellular suspension in 10 mL saline-Tween80 tube with glass 390 beads (Thermo Fisher, Scientific Inc., USA) and adjusted to 0.5 McFarland units. The 391 suspension is diluted in 7H9 broth (Thermo Fisher, Scientific Inc., USA) and inoculated into 392 96-well microtiter plate using a semi-automated Sensititre Autoinoculator (Thermo Fisher, 393 Scientific Inc., USA). Plates were sealed with plastic sheet and incubated at  $37^{0}$ C for 14 to 21 394 days. The minimum inhibitory concentration (MIC) was measured by a Sensititre Vizion 395 Digital MIC Viewing system (Thermo Fisher, Scientific Inc., USA) and considered valid if 396 there was growth in the drug free control wells. The clinical resistant cut-off concentrations 397 for isoniazid and rifampicin were 0.1 and 1  $\mu$ g/mL, respectively.

The IR isolates were also confirmed using BACTEC MGIT 960 SIRE Kit (Becton Dickinson) according to the manufacturer's instruction in the biosafety level-3 laboratory at the Oxford University Clinical Research Unit<sup>24</sup>. Phenotypic DST was done for streptomycin (1.0  $\mu$ g/mL), isoniazid (0.1  $\mu$ g/mL), rifampicin (1.0  $\mu$ g/mL) and ethambutol (5.0  $\mu$ g/mL)<sup>24</sup>. Whole genome sequence data was available for the isolates from previously published study<sup>25</sup> and the Mykrobe predictor TB software platform was used for genotypic antibiotic susceptibility determination of *M. tuberculosis* isolates<sup>38</sup>.

405

#### 406 Statistical analysis

407 MDK90 values, and its credible interval was estimated using a linear mixed effect model 408 with a Bayesian approach (brm function, brms package). We used the linear mixed effect 409 model for survival analysis as the data consists of repeated measurements at specific time 410 points. For the linear mixed effect model with the bacterial strains as a random effect, we use 411 the Bayesian approach with non-informative priors, which is equivalent to the frequentist 412 approach. The fixed effect relates to the explanatory variable we are utilizing to predict the 413 outcome. Specifically, our outcome measure is the  $\log_{10}$  survival fraction. The explanatory 414 variables encompass isoniazid susceptibility (categorized as isoniazid susceptible or 415 resistant), the day of sample collection (0, 2, and 5 days), and the duration of incubation (15, 15)416 and 60 days).

Wilcoxon rank-sum test (stat\_compare\_means function, ggpubr package) was used to test the null hypothesis that the IS and IR groups have the same continuous distribution, as it is a nonparametric test that does not require a strong assumption about the normality of the distribution of the variable. Chi-Square test (odds ratio function, epitools package) was used to determine if there is a significant relationship between IR and rifampicin tolerance. Cochran Armitage test (CochranArmitageTest function, DescTools package) was

423 p	performed to	test for	trend in	1 IR 1	roportion	across the	levels	of rifam	picin t	olerance.	Linear
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424 regression (Im function, stats package) was used to evaluate the correlation425 between rifampicin survival fraction and relative growth.

426 Statistical analyses and graphs were plotted using R, version  $4 \cdot 0 \cdot 1^{39}$  and p-values of  $\leq 0.05$ 

- 427 were considered statistically significant.
- 428

#### 429 MDK<sub>90</sub>, 99 and 99.99 calculation

430 In addition to MDK90 calculated by linear mixed effect model, we also determined the MDK 431 values at 90, 99 and 99.99% reduction in survival fractions for all the *M. tuberculosis* isolates 432 by the following method. The  $\log_{10}$  MPN values at Day0, Day 2, and Day 5 were used to 433 calculate the respective MDK time for 90%, 99%, and 99.99% reduction in fraction of 434 survival. The calculation of MDK time for individual isolate was based on modelling kill 435 curve as two similar triangles and using the basic proportionality theorem as shown in the 436 flow chart (Supplementary figure 6) to determine the different length of X-axis (Days post 437 rifampicin treatment) corresponding to decline in survival fraction in Y-axis for each MDK 438 time (MDK<sub>90</sub>, 99 and 99,99).

439 For example, in case of MDK90, Y0 (MPN number at day 0), Y2 ((MPN number at day 2),
440 and Y5 ((MPN number at day 5).

First condition tested is, if 90% reduction in survival fraction happened before or at day 2 by checking if  $log_{10}$  MPN number at day 2 is less than or equal to 90% reduction as compared to Y0. If the condition is true then the MDK is calculated as x-axis length DF in the two similar triangles modelled in A (triangles ACB and AFD) and corresponding formula for X given below. If the first condition is false then two similar triangles are modelled as in B (triangles ABC and DEC) and X is calculated as 5 – EC. Similarly, for MDK<sub>99</sub> and MDK<sub>99,99</sub> time are calculated by applying the condition for 99% and 99.99% reduction in survival fraction.

448

449	Single nucleotide polymorphism difference between longitudinal isoniazid-resistant								
450	isolates with differences in rifampicin tolerance								
451	We used whole genome sequence data and genetic variants analysis previously published for								
452	identifying non-synonymous single nucleotide polymorphisms (SNPs) emerging in								
453	longitudinal isolates from same patients associated with changes in rifampicin tolerance								
454	between the isolates <sup>25</sup> .								
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458	Acknowledgements								
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464	reading the manuscript and suggestions.								
465									
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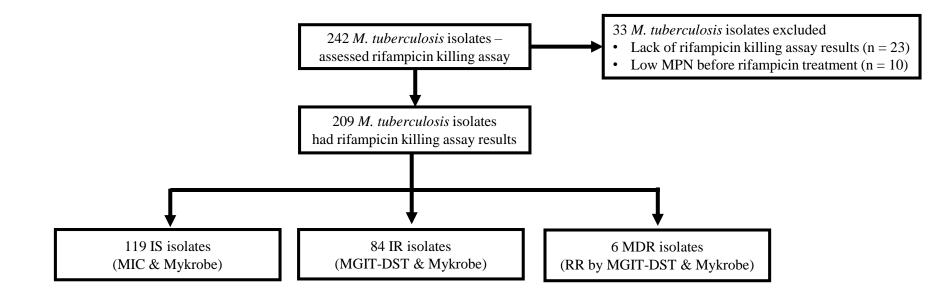
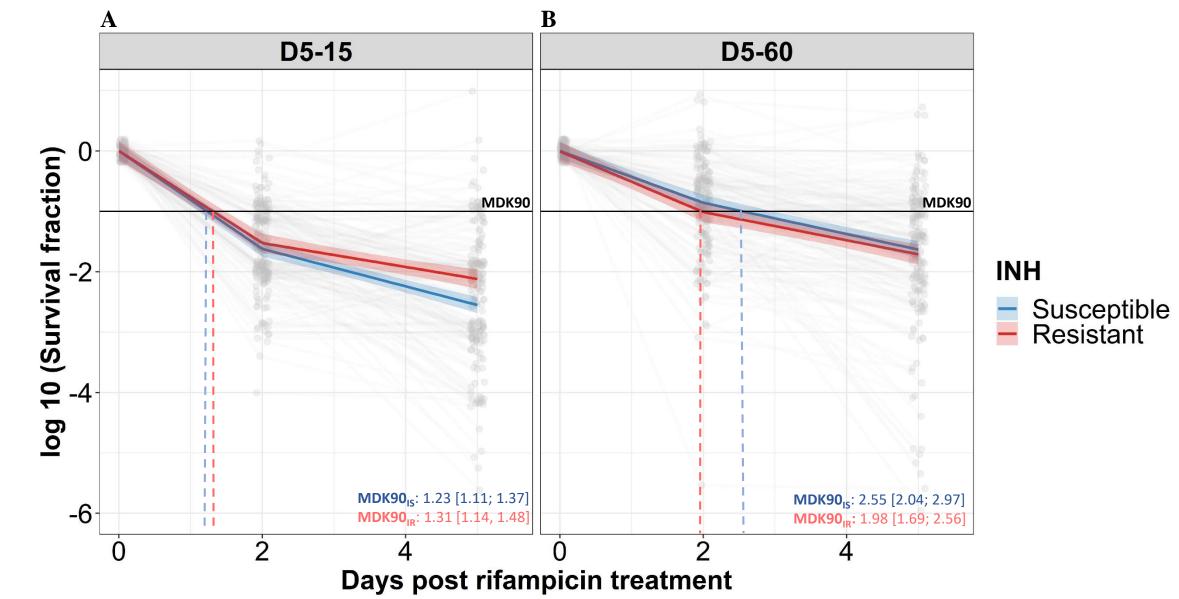
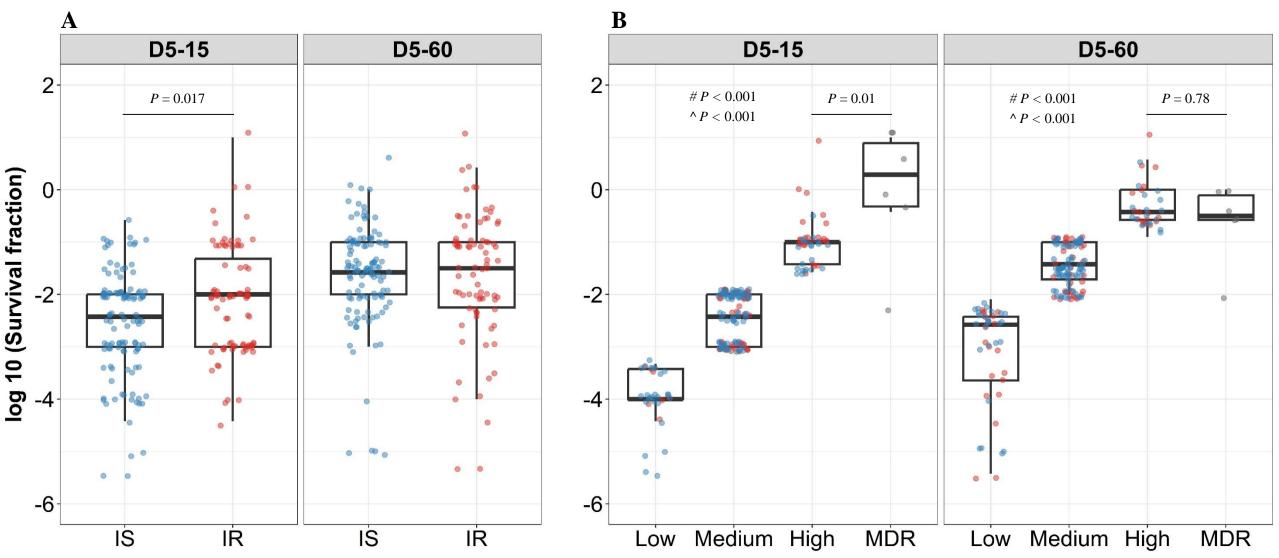


Figure 1. Study design. IS – Isoniazid susceptible, IR – Isoniazid resistant, RR – Rifampicin resistant



**Figure 2. Rifampicin survival curve in isoniazid susceptible and resistant clinical** *M. tuberculosis* **isolates.** (**A**, **B**) The bacterial kill curve as measured by log10 survival fraction from data collected at 0, 2 and 5 days of rifampicin treatment followed by incubation for 15 and 60 days respectively. Data from individual isolates are shown as the grey dots connected by lines. Estimated mean with 95% credible interval (bold coloured line and colour shaded area respectively) of isoniazid susceptible (IS – blue, n = 119, 117 for 15 and 60 days of incubation respectively) and resistant (IR – red, n = 84, 80 for 15 and 60 days of incubation respectively) clinical *M. tuberculosis* isolates based on linear mixed effect model implemented in a Bayesian framework. One log10 fold or 90% reduction in survival fraction is indicated (MDK90, black horizontal line) and the mean time duration required for 90% reduction in survival (MDK90, minimum duration of killing time) at 15 and 60 days of incubation is indicated by vertical dashed lines with respective colours for IS and IR isolates.



**Figure 3**. Rifampicin survival fraction distribution in isoniazid susceptible and resistant clinical *M. tuberculosis* isolates. (A) Log10 rifampicin survival fraction distribution, with median and IQR (inter quartile range), of individual isoniazid susceptible (IS, blue dots, n = 119, 117 for D5-15, and D5-60 respectively), and resistant (IR, red dots, n = 84, 80 for D5-15, D5-60 respectively) isolates for 5 days of rifampicin treatment as determined at 15 and 60 days of incubation (D5-15, D5-60 respectively). (B) Rifampicin tolerance distribution in both IS (blue dots) and IR (red dots) isolates combined together was used to group them as low (< 25th percentile, n = 33, 47 for D5-15, and D5-60 respectively) herein (from 25th to 75th percentile, n = 124, 115 for D5-15, and D5-60 respectively) and high (above 75th percentile, n = 46, 35 for D5-15, and D5-60 respectively) level of rifampicin tolerance and compare it with rifampicin tolerance of MDR clinical *M. tuberculosis* isolates (grey dots, n = 6), after 5 days of rifampicin treatment and determined at 15 and 60 days of incubation (D5-15, D5-60 respectively). Statistical comparisons between Low, Medium, and High or MDR were made by using Wilcoxon rank-sum test. # - p-value for comparing the Low and High tolerance groups, ^ - p-value for comparing the medium and High tolerance groups.

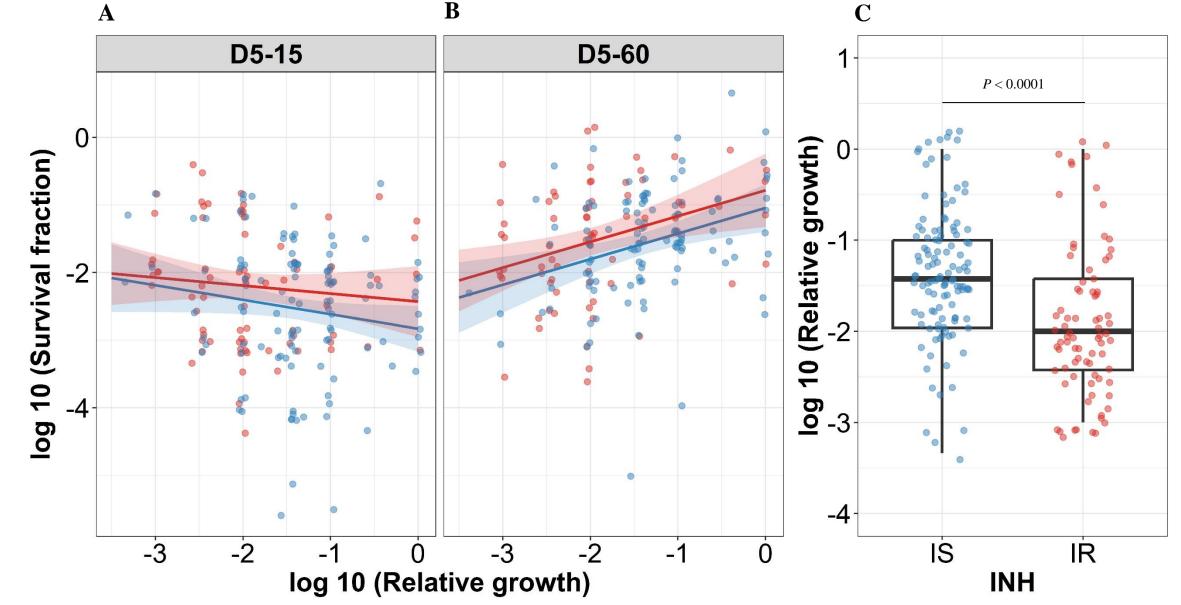


Figure 4. Correlating rifampicin survival fraction with before treatment relative growth of clinical *M. tuberculosis* isolates. Log10 survival fraction at 5 days of rifampicin treatment as determined at 15 days (A) and 60 days of incubation (B), for isoniazid susceptible (IS, blue dots) and resistant (IR, red dots) isolates respectively, correlated with the log10 relative growth determined before rifampicin treatment for clinical *M. tuberculosis* isolates. Coefficients of linear regression for (A) IS = -0.21 [-0.44, 0.007], P = 0.058; IR = -0.12 [-0.38, 0.14], P = 0.37, and (B) IS = 0.38 [0.15, 0.61], P = 0.0014; IR = 0.38 [0.12, 0.64], P = 0.0041. (C) Log10 distribution of relative growth with median and IQR for IS and IR clinical *M. tuberculosis* isolates before rifampicin treatment. Statistical comparisons between IS and IR were made by using Wilcoxon rank-sum test.

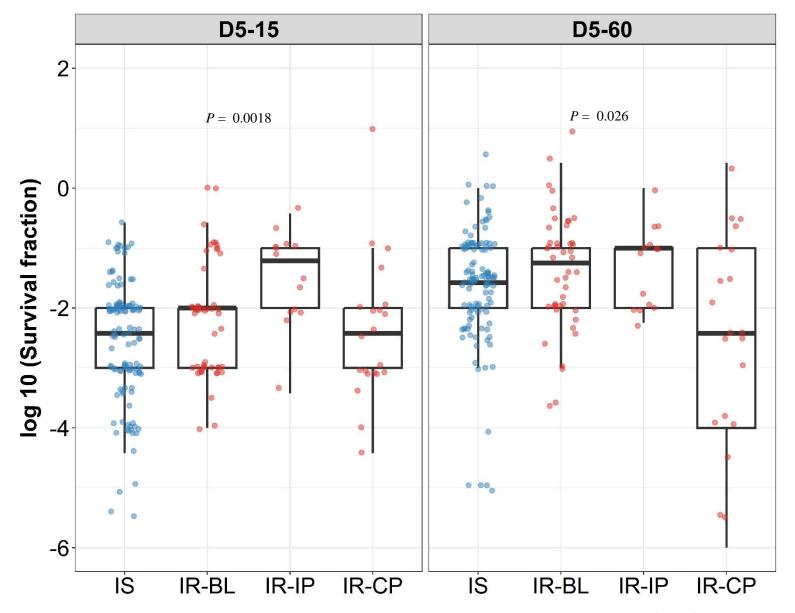


Figure 5. Rifampicin survival fraction distribution in isoniazid susceptible and longitudinal isoniazid resistant clinical *M. tuberculosis* isolates. Log10 rifampicin survival fraction distribution, with median and IQR (inter quartile range), of individual isoniazid susceptible (IS, blue dots, n = 119, 117 for D5-15, and D5-60 respectively), and longitudinal isoniazid resistant (IR, red dots, n = 84, 80 for D5-15, D5-60 respectively) isolates for 5 days of rifampicin treatment as determined at 15 and 60 days of incubation (D5-15, D5-60 respectively) grouped based on collection time as baseline (IR-BL, n = 49), intensive phase (IR-IP, n = 14), and continuous phase and relapse (IR-CP, n = 21). Statistical comparisons between groups were made by using Krusal-Walis test.

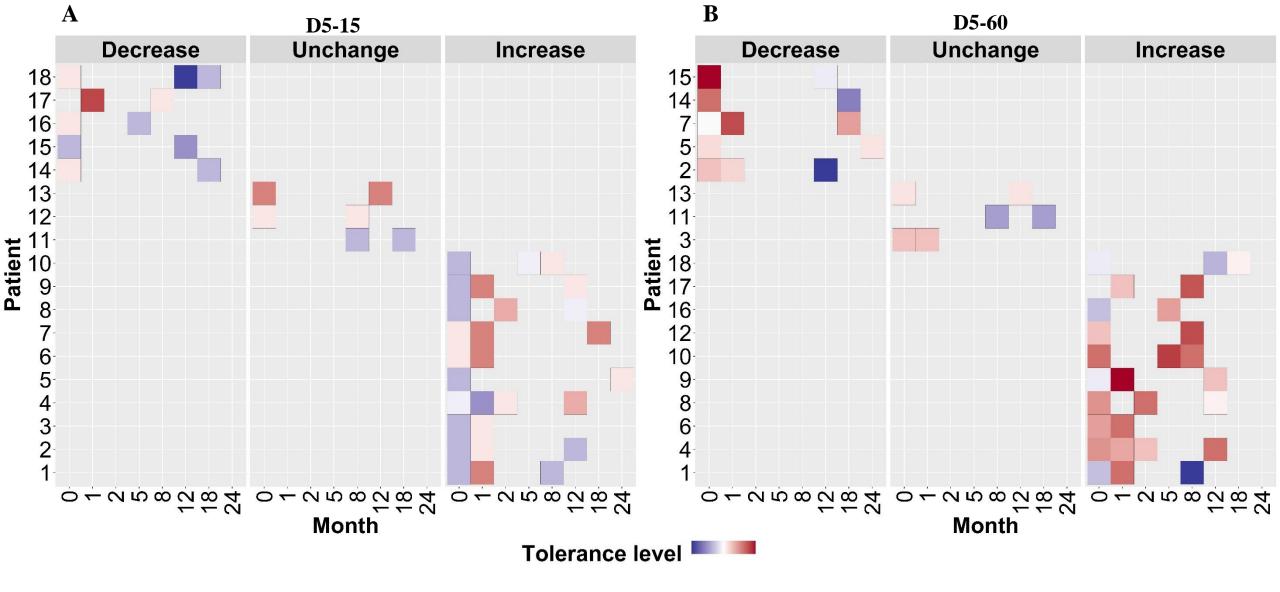
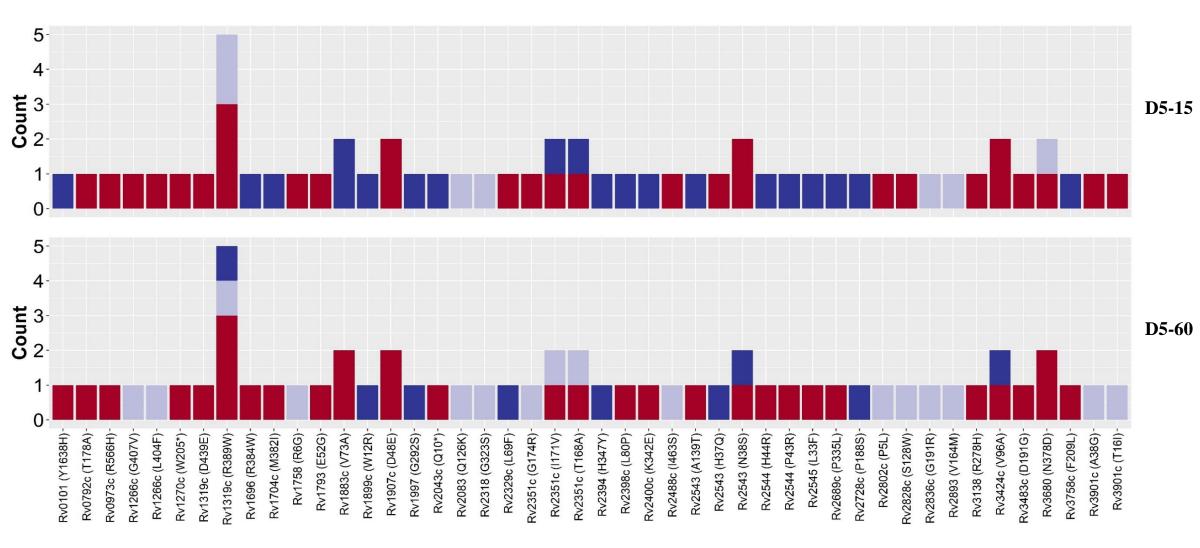


Figure 6. Rifampicin tolerance of longitudinal isoniazid resistant clinical *M. tuberculosis* isolates from individual patients. (A, B) Rifampicin tolerance heat map after 5 days of rifampicin treatment as determined at 15 and 60 days of incubation (D5-15, D5-60 respectively), of longitudinal isoniazid resistant clinical *M. tuberculosis* isolates collected from individual patients during different months of treatment and follow-up. Longitudinal isoniazid resistant clinical *M. tuberculosis* isolates from individual patients are grouped based on changes in rifampicin tolerance compared between initial and subsequent months of collection as decrease, un change and increase. Months (0 - 24) represent the different months the isolates were collected from patients during 8 months treatment and 24 months follow-up.



## Genetic variants associated with changes in rifampicin tolerance

**Figure 7.** Non-synonymous single nucleotide polymorphism emerging in pair-wise comparison of longitudinally collected isoniazid resistant *M. tuberculosis* isolates from same patient associated with increase (red), decrease (dark blue) and no change (light violet) in rifampicin tolerance phenotype at 15 and 60 days of incubation (D5-15 and D5-60 respectively). Each count represent a single independent SNP emergence event.

#### Table 1. Association of rifampicin tolerance level with isoniazid susceptibility

Incubation time	Rifampicin tolerance level	Isoniazid Susceptible (N = 119)	Isoniazid Resistant (N = 84)	Р	OR (95%CI)	P trend
D5-15	Low tolerance (N, %)	26 (79, 26/33)	7 (21, 7/33)			0.0038
	Medium tolerance (N, %)	72 (58, 72/124)	52 (42, 52/124)	0.029	2.68 (1.08-6.65)	
	High tolerance (N, %)	21 (46, 21/46)	25 (54, 25/46)	0.003	4.42 (1.60-12.22)	
D5-60	Low tolerance (N, %)	26 (55, 26/47)	21 (45, 21/47)			0.62
	Medium tolerance (N, %)	74 (64, 74/115)	41 (36, 41/115)	0.28	0.69 (0.34-1.37)	
	High tolerance (N, %)	17 (49, 17/35)	18 (51, 18/35)	0.55	1.31 (0.55-3.15)	

N = number of isolates. (% as percentage, N/total number (IS + IR)

P = P-value determined using Chi-square test.

P trend = P- value determined using Cochran-Armitage test.

OR = odds ratio.

95%CI = 95% confidence interval.