

1 **Gracilin A derivatives target early events in Alzheimer's disease: *in***
2 ***vitro* effects on neuroinflammation and oxidative stress**

3 Rebeca Alvariño¹, Eva Alonso^{1,2*}, Mikail E. Abbasov³, Christian M. Chaheine³, Michael L.
4 Conner³, Daniel Romo³, Amparo Alfonso¹, Luis M. Botana¹

5 ¹ Departamento de Farmacología, Facultad de Veterinaria, Universidad de Santiago de Compostela, Lugo
6 27003, Spain

7 ² Fundacion Instituto de Investigacion Sanitario Santiago de Compostela (FIDIS), Hospital Universitario
8 Lucas Augusti, Lugo 27003, Spain

9 ³ Department of Chemistry and Biochemistry, Baylor University, One Bear Place #97348, Waco, TX
10 76798, United States

11
12 **Abstract**

13 The search for compounds capable of targeting early pathological changes of Alzheimer's disease
14 (AD), such as oxidative stress and neuroinflammation, is an important challenge. Gracilin A
15 derivatives were recently synthesized, using a pharmacophore-directed retrosynthesis strategy,
16 and found to possess potent neuroprotective effects. In this work, the derivatives 21a, 27a, 27b,
17 29a, 21b, 22 and 23c (**1-7**) that had demonstrated mitochondrial-mediated, anti-oxidant effects,
18 were chosen. The ability of compounds to modulate the expression of anti-oxidant genes (*CAT*,
19 *GPx*, *SOD*'s and *Nrf2*) was determined in SH-SY5Y cells, being the simplified derivatives **2** and
20 **3** the most effective compounds. The anti-neuroinflammatory properties of derivatives were
21 assessed in BV2 microglial cells activated with lipopolysaccharide (LPS). Derivatives decreased
22 the release of cytokines (IL-1 β , IL-6, GM-CSF and TNF- α) and other damaging molecules (ROS,
23 NO). Compounds also regulated the translocation of Nrf2 and NF κ B, and reduced p38 activation.
24 These protective effects were confirmed in a trans-well co-culture with both cell lines, in which
25 derivatives added to BV2 cells increased SH-SY5Y survival. This work provides new results that
26 demonstrate the neuroprotective properties of gracilin A derivatives, making them promising

27 candidate drugs for AD. Particularly, derivatives **2** and **3** showed the greatest potential as lead
28 compounds for further development.

29 **Keywords:** gracilin, neuroinflammation, anti-oxidant, Alzheimer's disease, Nrf2,
30 neuroprotection

31 **1. Introduction**

32 Marine sponges are a rich source of natural products with biological properties such as anti-
33 inflammatory¹ or neuroprotective² effects. These sessile organisms from the phylum Porifera
34 produce several secondary metabolites with defensive functions against pathogens, predators or
35 for space competition. Many of these bioactive molecules have been used as drug leads^{3,4} and
36 some synthetic derivatives have been approved for clinical use or have progressed until Phase
37 II/III clinical trials⁵.

38 Gracilin A is a rare norditerpene that belongs to a family of natural compounds isolated from the
39 marine sponge *Spongionella gracilis*⁶. Gracilin A has been reported to inhibit the activity of
40 phospholipase A2⁷ and to possess immunosuppressive and neuroprotective properties. In
41 particular, the compound mimicked the immunosuppressive activity of cyclosporine A by
42 targeting cyclophilin A (CypA)⁸. This natural product was also found to protect neurons from
43 oxidative damage by activating the nuclear factor E2-related factor 2 (Nrf2)⁹, blocking the
44 opening of the mitochondrial permeability transition pore (mPTP)¹⁰ and reducing tau
45 hyperphosphorylation¹¹. These latter effects are probably related to the affinity for CypD of this
46 family of compounds¹². In view of these interesting bioactivities, a recently described structure-
47 activity relationship study, that employed a pharmacophore-directed retrosynthesis strategy
48 towards gracilin A, led to identification of key structural requirements for both
49 immunosuppressive and neuroprotective effects, yielding derivatives with selective
50 neuroprotective properties devoid of immunosuppressive effects and *vice versa*¹³.

51 Oxidative stress is an early event in neurodegenerative pathologies like Alzheimer's disease (AD),
52 produced by an increase in reactive oxygen species (ROS) and a reduction in the effectiveness of
53 the endogenous anti-oxidant defenses. ROS accumulation causes damage to DNA, lipids and
54 proteins that can lead to the death of neurons¹⁴. This cellular event is related to several
55 pathological processes of the illness such as mitochondrial dysfunction, amyloid-beta deposition
56 (A β), tau hyperphosphorylation, mPTP opening or neuroinflammation^{15, 16}. In this sense, the
57 upregulation of anti-oxidant systems has been proposed as a good strategy to prevent
58 neurodegeneration. The transcription factor Nrf2 triggers one of the most important anti-oxidant
59 pathways, being responsible of the regulation of several genes that encode detoxifying enzymes
60 including superoxide dismutases (SOD's), catalase (CAT), glutathione peroxidases (GPx's) or
61 glutathione transferase¹⁷.

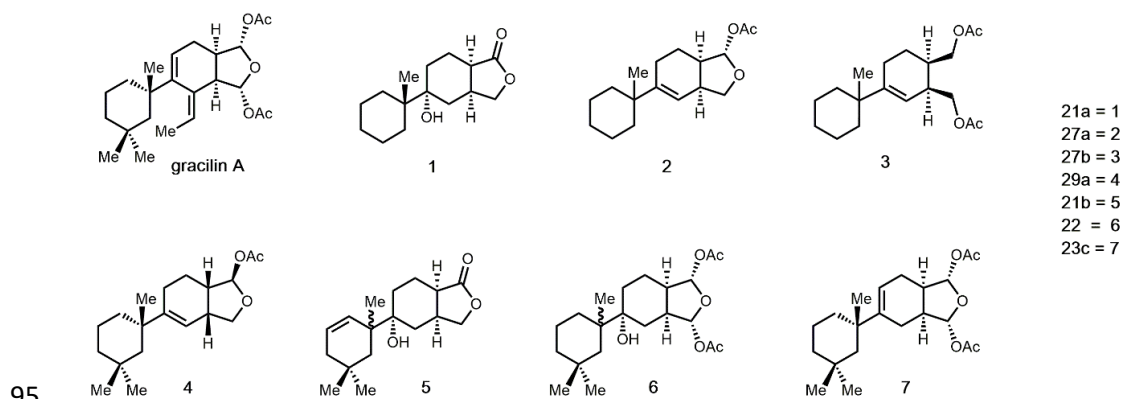
62 The activation of microglia, the immune cells of the central nervous system, also occurs in early
63 stages of AD¹⁸. ROS, A β and hyperphosphorylated tau accumulation produces a toxic
64 environment that induces the stimulation of these cells. At first, activated microglia remove A β
65 by phagocytosis, but the prolonged exposure to toxic molecules produces their chronic activation
66 and the sustained release of toxic cytokines such as interleukin-1 β (IL-1 β), interleukin-6 (IL-6)
67 or tumor necrosis factor- α (TNF- α) that can produce the death of neighbouring neurons¹⁹.
68 Microglial are very ductile cells with a great range of phenotypic states²⁰⁻²² whose extremes are
69 represented by the toxic phenotype M1 and the protective phenotype M2. M1/M2 model is a
70 simplified way to explain the broad phenotypic spectrum of microglia, although it does not
71 capture all the complexity of these cells. M1 cells release toxic factors such as pro-inflammatory
72 cytokines, ROS and nitric oxide (NO) and are characterized by the activation of the transcription
73 factor kappa-light-chain-enhancer of activated B cells (NF κ B), the key controller of the
74 inflammatory response. On the other hand, the opposite phenotype M2 presents neuroprotective
75 characteristics, including release of anti-inflammatory cytokines and the activation of Nrf2²³. The
76 immunomodulation of microglia, rather than completely blocking their activity, has emerged as
77 a therapeutic strategy to treat AD²⁴.

78 In view of our previous results with gracilin A derivatives, the most promising compounds were
79 selected to test their ability to target the anti-oxidant systems of neuronal cells. Moreover, their
80 potential to modulate the phenotypic state of microglia was evaluated with the murine BV2 cell
81 line.

82 2. Results

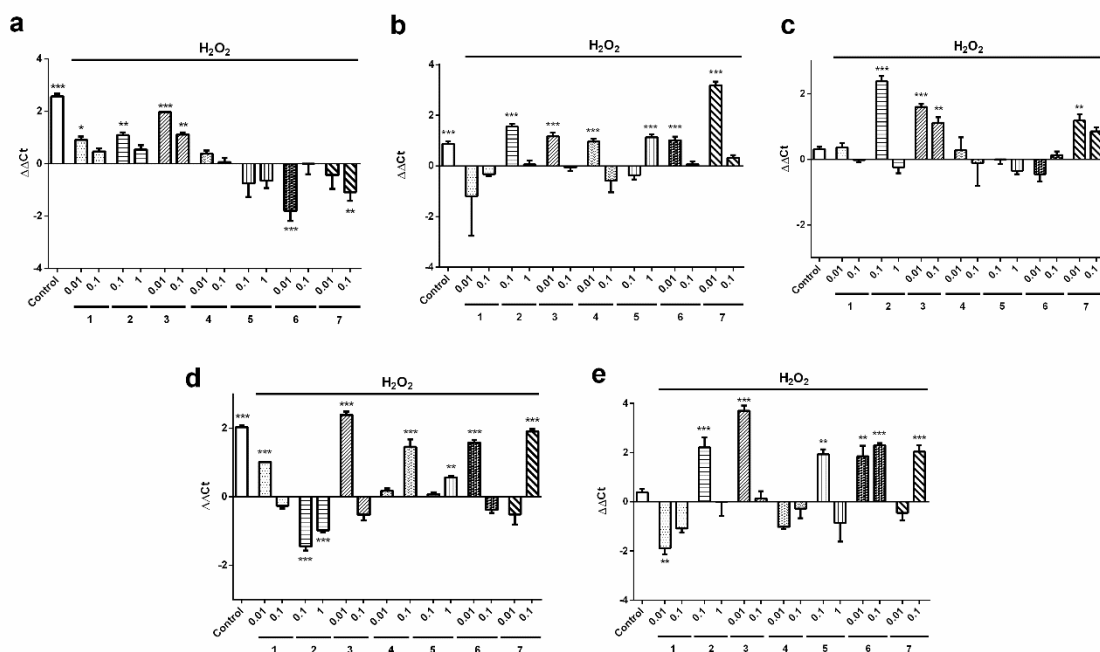
83 3.1 Gracilin A derivatives upregulate anti-oxidant genes in SH-SY5Y cells

84 Our previous work demonstrated that gracilin A derivatives **2**, **3**, **4** and **7** protected SH-SY5Y
85 cells from H₂O₂-induced damage by increasing cell survival, improving mitochondrial membrane
86 potential, decreasing ROS levels and recovering GSH content¹³. Furthermore, compounds **2**, **3**, **4**,
87 and **6** were found to block the opening of the mPTP by inhibiting CypD activity, with compounds
88 **2**, **3**, **4** displaying selectivity for this protein over CypA. The ability of compounds **1** and **5** to
89 decrease oxidative stress and mitochondrial dysfunction has been also demonstrated. Both
90 derivatives protected neuroblastoma cells from H₂O₂-induced damage (Figure S1) and derivative
91 **5** inhibited mPTP opening by targeting CypD (Figure S2). In view of these results, we decided to
92 study the effect of the these derivatives in the expression of anti-oxidant genes. Seven compounds
93 were chosen for this study: the simplified gracilin A derivatives **1-3** and the more complex
94 derivatives **4-7** (Figure 1).



96 **Figure 1.** Chemical structures of gracilin A and derivatives

97 SH-SY5Y human neuroblastoma cells were treated with these derivatives at the most effective
98 concentrations in our previous assays (**1**, **3**, **4**, **6** and **7** were used at 0.01 and 0.1 μM , whereas **2**
99 and **5** were added at 0.1 and 1 μM). Then, 150 μM H_2O_2 was added for 6 h and the relative
100 expression of five genes (*CAT*, *GPx1*, *Nrf2*, *SOD1* and *SOD2*) was determined. Results were
101 calculated with $\Delta\Delta\text{Ct}$ method using H_2O_2 control cells as calibrator, so the x-axes represent the
102 expression levels of neuroblastoma cells treated with H_2O_2 alone (Figure 2). With regard to *CAT*,
103 compounds **1**, **2** and **3** produced a significant increase in the enzyme gene expression, whereas
104 derivatives **6** and **7** significantly downregulated *CAT* expression (Figure 2a). This reduction is
105 probably due to the addition of H_2O_2 , since *CAT* converts this molecule into water and oxygen
106 and is probably being consumed in this reaction. *GPx1* expression was also increased when SH-
107 SY5Y cells were treated with gracilin derivatives (Figure 2b). Derivatives **3**, **4**, **6** and **7**
108 significantly augmented the enzyme gene expression at 0.01 μM ($p<0.001$), **2** at 0.1 μM ($p<0.001$)
109 and **5** at 1 μM ($p<0.001$). *SOD1*, the cytosolic enzyme isoform, was significantly upregulated by
110 **2** at 0.1 μM ($p<0.001$), **3** at 0.01 μM ($p<0.001$) and 0.1 μM ($p<0.01$) and **7** at 0.01 μM ($p<0.01$)
111 compared to cells treated only with H_2O_2 (Figure 2c). On the other hand, the expression of the
112 mitochondrial isoform (*SOD2*) was increased by derivatives **1**, **3** and **6** at 0.01 μM ($p<0.001$) and
113 by compounds **4**, **7** and **5** at the highest concentration ($p<0.001$). Surprisingly, compound **2**
114 produced a decrease in *SOD2* expression (Figure 2d). Finally, the expression levels of the
115 transcription factor *Nrf2*, responsible of the regulation of anti-oxidant genes, were also determined
116 (Figure 2e). Compounds **2** (0.1 μM), **3** (0.01 μM), **5** (0.1 μM), **6** (0.01 and 0.1 μM) and **7** (0.1
117 μM) were able to increase *Nrf2* expression. These results suggest that the anti-oxidant properties
118 of gracilin A derivatives are mediated by the upregulation of the genetic expression of phase-II
119 detoxifying enzymes, with compounds **2** and **3** as the most effective. Derivative **3** was particularly
120 interesting because it was able to increase the expression levels of all genes analysed.



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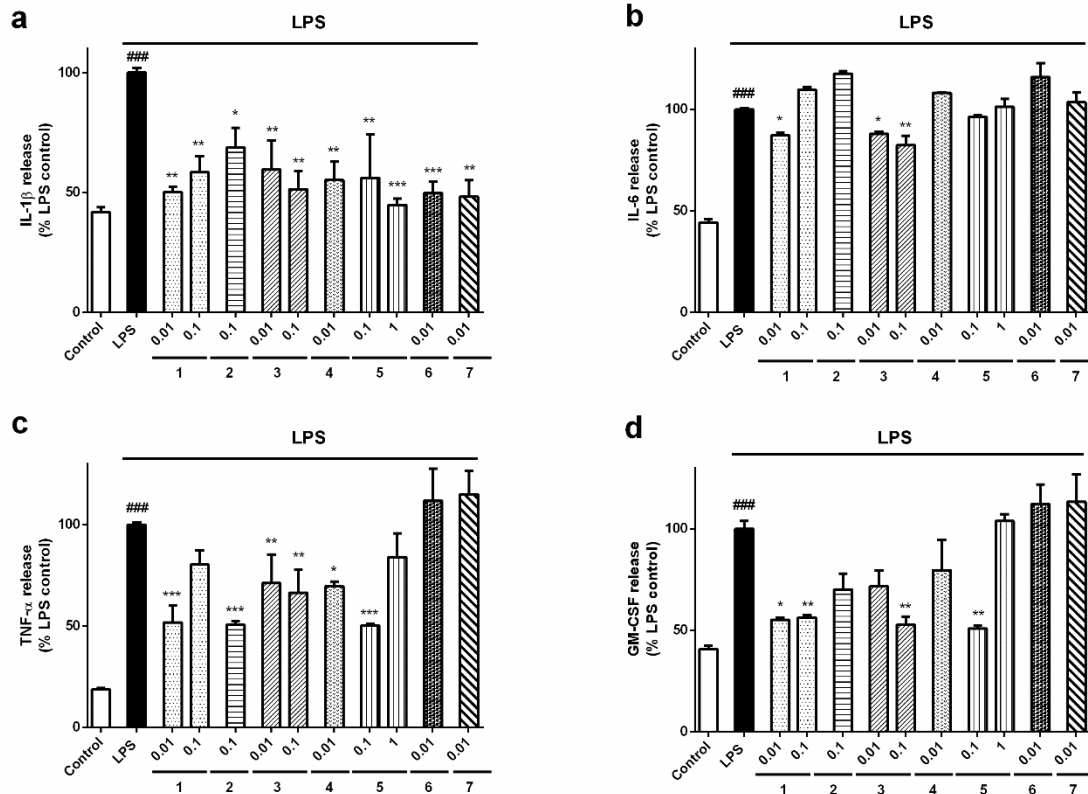
122 **Figure 2.** Relative expression of anti-oxidant genes in neuroblastoma cells treated with gracilin
 123 A derivatives. 150 μM H_2O_2 and compounds at μM concentrations were added to SH-SY5Y cells
 124 for 6 h and their effect on the expression of (a) *catalase*, (b) *glutathione peroxidase 1*, (c)
 125 *superoxide dismutase 1*, (d) *superoxide dismutase 2* and (e) *Nrf2* was evaluated. *RPL0* was used
 126 as internal normalization control. Relative gene expression was calculated with $\Delta\Delta\text{Ct}$ method.
 127 Cells treated only with H_2O_2 were used as calibrator and are represented by x-axes. Data are
 128 expressed as mean \pm SEM of three independent replicates performed by duplicate and compared
 129 to H_2O_2 control cells by one way ANOVA and Dunnett's tests. * $p < 0.05$. ** $p < 0.01$, *** $p < 0.001$

130 3.2 Compounds reduce the release of pro-inflammatory factors by BV2 microglial cells

131 BV2 murine microglial cells have been established as a good model for testing the anti-
 132 inflammatory properties of compounds²⁵. Moreover, LPS from the outer membrane of Gram-
 133 negative bacteria has been widely used to activate the inflammatory response in microglia^{26, 27}.
 134 For neuroinflammation assays, the most effective concentrations of the compounds in our
 135 previous assays were chosen. Compounds **1** and **3** were added at 0.01 and 0.1 μM , whereas **5** was
 136 used at 0.1 and 1 μM . Otherwise, derivatives **2** (0.1 μM), **4**, **6** and **7** (0.01 μM) were used at a
 137 single dose. At first, the effect of gracilin A derivatives on BV2 cells viability was determined

138 with MTT test. None of the treatments produced a reduction in cell survival after 24 h of
139 incubation (data not shown).

140 Next, the effect of derivatives on the release of pro-inflammatory cytokines was assessed.
141 Microglial cells were pre-treated with compounds for 1 h and activated with 500 ng/mL LPS for
142 24 h. Then, the levels of IL-1 β , IL-6, TNF- α and granulocyte macrophage colony-stimulating
143 factor (GM-CSF) were monitored in the supernatant (Figure 3). The stimulation with LPS
144 induced a significant increase in IL-1 β release ($58.2\pm 2.0\%$, $p<0.001$) with respect to control cells
145 (Figure 3a). All the derivatives produced a significant decrease in this cytokine, reaching levels
146 of resting microglia (between 44.8 and 68.9% of LPS control cells). Due to the positive results
147 obtained with **3** in this assay, the half maximal effective concentration (EC₅₀) was determined.
148 The derivative presented an EC₅₀ value of 0.006 μ M (CI: 0.001-0.02). With regard to IL-6 release,
149 it was augmented a $55.8\pm 0.7\%$ ($p<0.001$) when BV2 cells were treated with LPS (Figure 3b). The
150 addition of the simplified derivatives **1** (0.01 μ M) and **3** (0.01 and 0.1 μ M) diminished the
151 cytokine levels until percentages around 82-87%. In general, derivatives reduced TNF- α levels
152 induced by LPS addition ($82.7\pm 0.7\%$, $p<0.001$), with **1**, **5** and **2** as the most effective compounds
153 (Figure 3c). Finally, GM-CSF levels were measured (Figure 3d). Treatment with 500 ng/mL LPS
154 produced an increase of $59.3\pm 1.7\%$ ($p<0.001$) compared to untreated control cells. The release
155 of GM-CSF was significantly reduced by pre-incubation with **1** at 0.1 ($56.3\pm 1.2\%$, $p<0.05$) and
156 0.01 μ M ($61.3\pm 6.1\%$, $p<0.01$), **3** at 0.1 μ M ($52.8\pm 4.0\%$, $p<0.01$) and **5** at 0.1 μ M ($50.9\pm 1.5\%$,
157 $p<0.01$). However, compounds **2**, **3** (0.01 μ M) and **4** did not decrease GM-CSF levels at
158 statistically significant amounts.



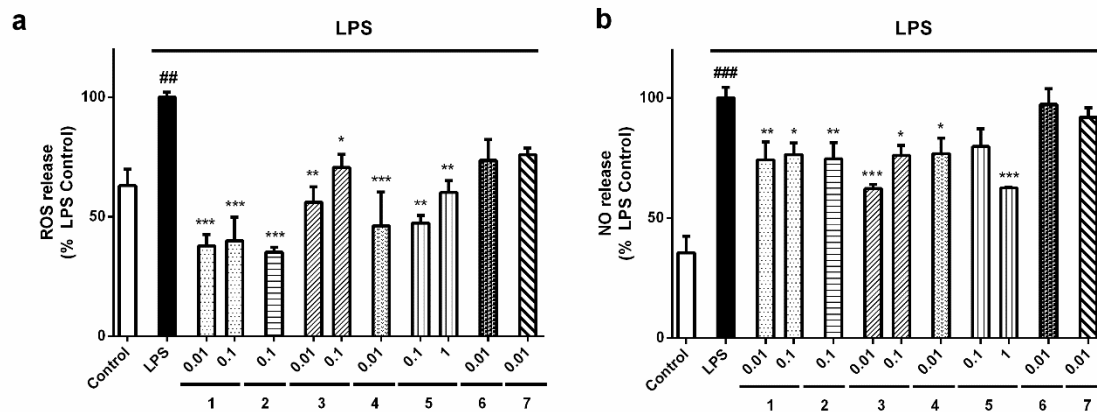
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160 **Figure 3.** Effect of *Spongionella* synthetic derivatives on cytokine release by microglia. BV2
 161 cells were pre-treated with compounds at μ M concentrations for 1 h and activated with 500 ng/mL
 162 LPS for 24 h. (a) IL-1 β , (b) IL-6, (c) TNF- α and (d) GM-CSF levels in the medium of microglial
 163 cells were analysed with a Mouse Inflammatory 4-Plex Panel. Data are presented as percentage
 164 of LPS control cells. Results are mean \pm SEM of three independent experiments and compared to
 165 cells treated with LPS alone by one way ANOVA and Dunnett's tests. * p <0.05, ** p <0.01,
 166 *** p <0.001. LPS control cells are compared to inactivated control cells. #### p <0.001

167

168 Neurotoxic microglia are also characterized by augmented levels of ROS and NO. The
 169 combination of these molecules can generate the highly toxic species peroxynitrite (ONOO $^-$),
 170 which easily crosses cellular membranes and induces neuronal death²⁸. The effects of gracilin A
 171 derivatives over ROS and NO release were also determined (Figure 4). When microglial cells
 172 were stimulated with 500 ng/mL LPS, a significant ROS levels augmentation was observed (136.9

173 $\pm 2.2\%$, $p < 0.01$) compared to inactivated cells (Figure 4a). Interestingly, all gracilin A derivatives
 174 reduced ROS release. The highest decrease was generated by compounds **2** ($35.3 \pm 1.6\%$,
 175 $p < 0.001$) and **1** ($37.8 \pm 4.0\%$ and $40.0 \pm 8.0\%$, $p < 0.001$). Regarding NO, stimulation with LPS
 176 augmented the levels of the toxic factor up to $164.5 \pm 4.4\%$ ($p < 0.001$) with respect to control
 177 microglial cells (Figure 4b). Pre-treatment with compounds **1** at 0.01 ($74.2 \pm 7.5\%$, $p < 0.01$) and
 178 $0.1 \mu\text{M}$ ($76.4 \pm 4.8\%$, $p < 0.05$), **2** at $0.1 \mu\text{M}$ ($74.6 \pm 6.7\%$, $p < 0.01$), **3** at 0.01 ($62.2 \pm 1.7\%$, $p < 0.001$)
 179 and $0.1 \mu\text{M}$ ($76.0 \pm 4.2\%$, $p < 0.05$), **4** at $0.01 \mu\text{M}$ ($76.8 \pm 6.4\%$, $p < 0.05$) and **5** at $1 \mu\text{M}$ ($62.5 \pm 0.3\%$,
 180 $p < 0.001$) significantly reduced NO release by BV2 cells to the medium.



181

182 **Figure 4.** Compounds decreased ROS and NO produced by microglia. BV2 cells were stimulated
 183 with 500 ng/mL LPS after pre-treatment with derivatives at μM concentrations for 1 h. After this
 184 time, the levels of (a) ROS and (b) NO were measured. Values are mean \pm SEM of three replicates
 185 performed by triplicate and expressed as percentage of LPS control cells. Statistical significance
 186 was determined with one way ANOVA test followed by Dunnett's post-hoc test. LPS control
 187 cells are compared to inactivated microglia (## $p < 0.01$, ### $p < 0.001$). Treatments with compounds
 188 are compared to cells treated only with LPS (* $p < 0.05$, ** $p < 0.01$, *** $p < 0.001$).

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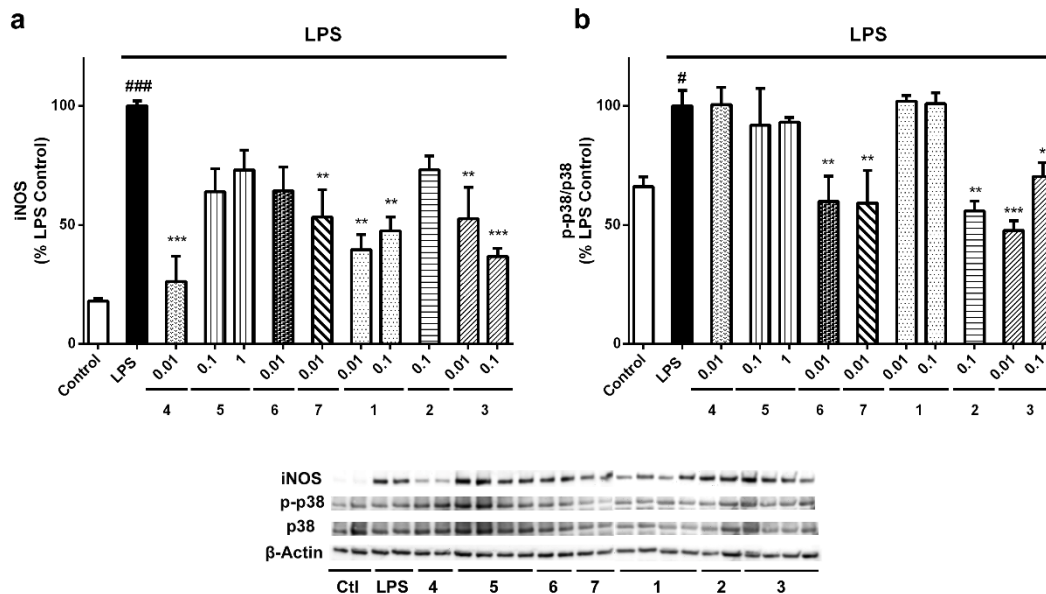
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192 3.3 Gracilin A derivatives decrease iNOS expression and p38 MAPK activation in microglia

193 In view of the decrease in NO levels produced by compounds, we decided to measure the
194 expression of iNOS, the enzyme responsible of NO production. BV2 cells were treated as
195 described above, lysed, and the expression levels of the protein were determined by western blot
196 (Figure 5a). Resting microglia expressed the enzyme at only $10.2 \pm 1.2\%$ ($p < 0.001$) of the levels
197 expressed by LPS-treated cells. All the derivatives reduced iNOS expression, but the decrease
198 produced by **2**, **5** and **6** was not statistically significant, with levels between 64.4-73.1%. On the
199 other hand, derivatives **4** and **7** at 0.01 μM ($26.2 \pm 10.6\%$, $p < 0.001$; $53.2 \pm 11.6\%$, $p < 0.01$,
200 respectively), **1** at 0.01 and 0.1 μM ($39.5 \pm 6.4\%$ and $47.4 \pm 5.9\%$, $p < 0.01$, respectively) and **3** at
201 the same concentrations ($52.6 \pm 13.0\%$, $p < 0.01$; $36.7 \pm 7.0\%$, $p < 0.001$, respectively), significantly
202 diminished iNOS levels.

203 Activation of MAPK kinases has been related to the neurotoxicity of microglia. Particularly, p38
204 is upregulated in AD brains²⁹ and its blockage suppresses the release of pro-inflammatory
205 cytokines³⁰. In this context, the ability of gracilin A derivatives to diminish the activation of p38
206 MAPK kinase was evaluated (Figure 5b). Interestingly, compounds **6**, **7**, **2** and **3** were able to
207 reduce the activity of the enzyme. LPS addition produced an increase in p38 activation of
208 $34.1 \pm 6.5\%$ ($p < 0.05$) that compounds **6** and **7** diminished up to 59% ($p < 0.01$). Compound **2**
209 decreased the phosphorylated state of the kinase until $55.9 \pm 4.0\%$ ($p < 0.01$). Finally, **3** diminished
210 p38 phosphorylation at 0.01 ($47.5 \pm 4.2\%$, $p < 0.001$) and 0.1 μM ($70.3 \pm 5.8\%$, $p < 0.05$).



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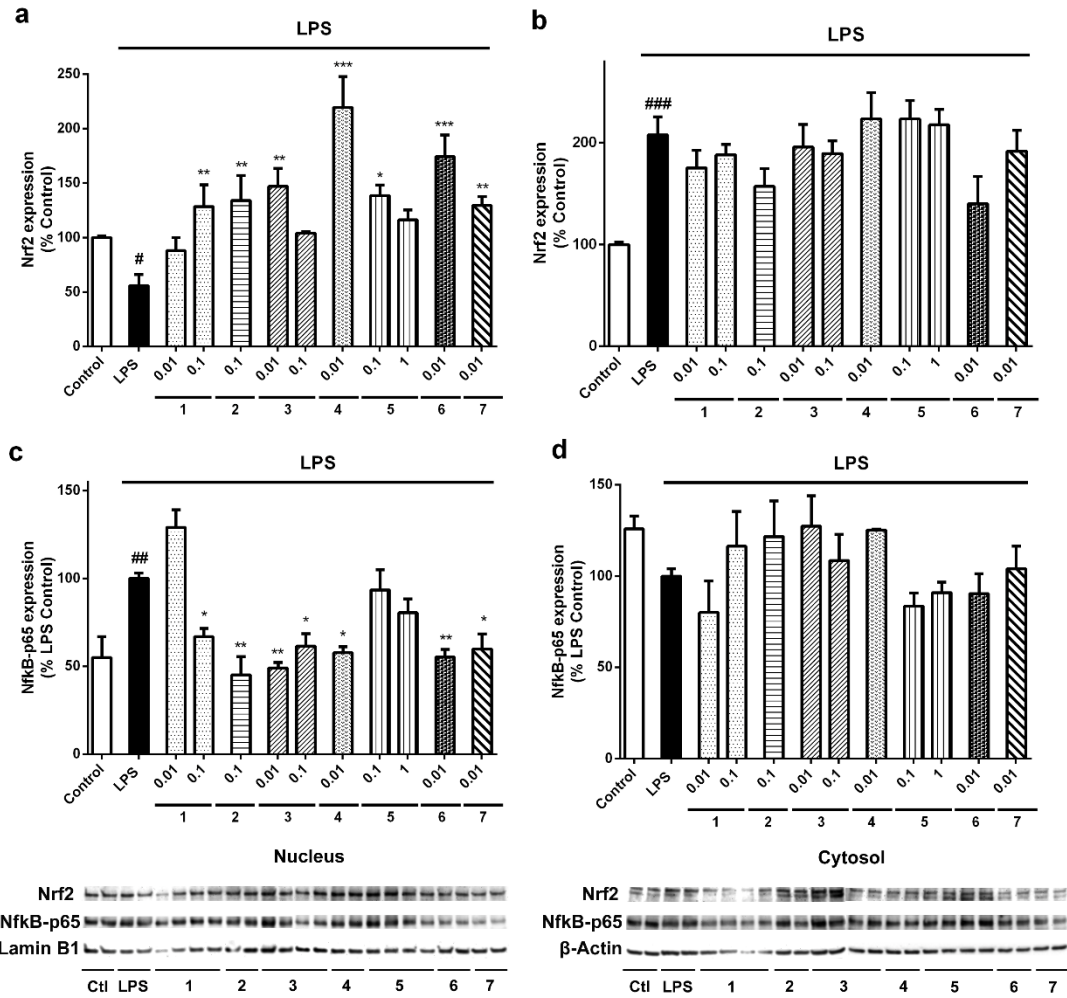
212 **Figure 5.** Effects of gracilin A derivatives on iNOS and p38 MAPK. Microglial cells were treated
 213 with compounds at μM concentrations and 500 ng/mL LPS. Then, BV2 cells were lysed and the
 214 protein expression levels of (a) iNOS and (b) p38 were analysed by western blot. The activation
 215 of the kinase was determined as the ratio between phosphorylated and total protein levels. Protein
 216 expression levels were corrected by β -actin. Treatments are reordered to match with blots. Values
 217 are mean \pm SEM of three independent experiments carried out by duplicate. Treatments with
 218 derivatives are compared to LPS control cells by one way ANOVA and Dunnett's test ($*p < 0.05$,
 219 $**p < 0.01$, $***p < 0.001$). Cells treated with LPS alone are compared to untreated control cells
 220 ($\#p < 0.05$, $###p < 0.001$)

221 3.4 NF κ B-p65 and Nrf2 translocation in microglia is modulated by derivatives

222 The transcription factors NF κ B and Nrf2 are related to the phenotypic state of microglia²³. We
 223 tested if the synthetic derivatives of gracilin A were affecting the translocation of both proteins
 224 to the nucleus of microglia. With this purpose, the expression levels of Nrf2 and NF κ B-p65 in
 225 nuclear and cytosolic fractions were studied by western blot (Figure 6). Nrf2 expression in the
 226 nucleus decreased when LPS was added to the cells ($55.7 \pm 10.3\%$, $p < 0.05$). Pre-incubation with
 227 all the derivatives produced a significant increase in the nuclear levels of the transcription factor
 228 (Figure 6a). Compound **1** at 0.1 μM augmented the protein expression until $128.4 \pm 20.0\%$

229 ($p<0.01$) of control cells. Derivatives **2** (0.1 μM) and **3** (0.01 μM) also induced an increase in
230 Nrf2 nuclear expression ($134.0\pm 6.9\%$ and $147.0\pm 14.4\%$, $p<0.01$, respectively). The highest effect
231 on Nrf2 activation was produced by compound **4**, reaching a percentage of $219.4\pm 28.2\%$
232 ($p<0.001$). The more complex derivatives **5** (0.1 μM), **6** (0.01 μM) and **7** (0.01 μM) also
233 augmented Nrf2 translocation to the nucleus, with levels of $138.5\pm 9.6\%$ ($p<0.05$), $174.2\pm 19.9\%$
234 ($p<0.001$) and $129.4\pm 8.2\%$ ($p<0.01$), respectively. The cytosolic expression of the protein was
235 significantly increased in BV2 cells treated with LPS ($207.8\pm 17.8\%$, $p<0.001$) compared to
236 inactivated microglia, but none of the treatments with derivatives presented significant differences
237 with LPS control cells (Figure 6b).

238 NF κ B-p65 nuclear increase is related to the activation of this pathway and the consequent
239 induction of the pro-inflammatory cascade²³. LPS addition produced an augmentation in p65
240 nuclear levels of $45.0\pm 3.2\%$ ($p<0.01$) (Figure 6c). Pre-treatment with **1** at 0.1 μM diminished the
241 protein expression until a $66.9\pm 4.7\%$ ($p<0.05$) of LPS control cells. The addition of derivatives **2**
242 ($45.0\pm 10.5\%$, $p<0.01$), **3** at both concentrations ($49.0\pm 3.1\%$, $p<0.01$ and $61.5\pm 6.9\%$, $p<0.05$,
243 respectively), **4** ($57.7\pm 3.4\%$, $p<0.05$), **6** ($55.4\pm 4.2\%$, $p<0.01$) and **7** ($59.8\pm 8.5\%$, $p<0.05$) also
244 decreased the expression of the pro-inflammatory protein in the nucleus of microglia. Otherwise,
245 p65 cytosolic levels did not present any statistical differences between treatments (Figure 6d).



246

247 **Figure 6.** Gracilin A derivatives modulated the expression of Nrf2 and NFκB-p65 in microglia.

248 BV2 murine cells were pre-treated with compounds at μM concentrations for 1 h. Then, LPS was

249 added for 24 h in order to activate the cells. The expression levels of Nrf2 were measured in (a)

250 the nucleus and (b) the cytosol. In the same way, NFκB-p65 expression was determined in (c)

251 nuclear and (d) cytosolic fractions. Protein levels were normalized with lamin B1 and β-actin in

252 the nucleus and the cytosol, respectively. Results are mean± SEM of three replicates performed

253 by duplicate. Statistical differences were calculated with one way ANOVA and Dunnett's test.

254 Treatments with compounds are compared to LPS control cells (* $p < 0.05$, ** $p < 0.01$, *** $p < 0.001$)

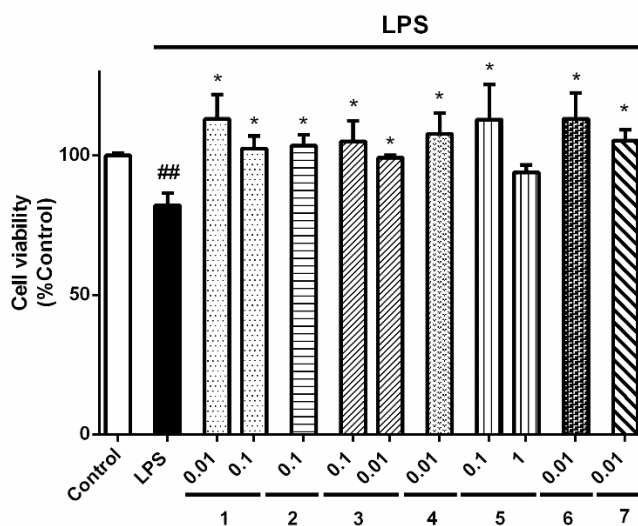
255 and LPS control cells are compared to inactivated cells (# $p < 0.05$, ### $p < 0.01$, #### $p < 0.001$)

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257

258 3.5 Compounds protect neuroblastoma cells from microglial-induced injury

259 Finally, the anti-neuroinflammatory properties of gracilin A derivatives were tested in a trans-
 260 well co-culture system with SH-SY5Y and BV2 cells. Neuronal cells were seeded in 24-well
 261 plates and microglia was placed in culture inserts above the wells. At first, the effect of the
 262 treatments on neuroblastoma survival, without the presence of BV2 cells, was assessed. None of
 263 the treatments affected SH-SY5Y viability (data not shown). Therefore, in the trans-well co-
 264 culture, microglia was pre-treated with derivatives and stimulated with LPS for 24 h. Then, SH-
 265 SY5Y viability was evaluated with MTT assay (Figure 7). The activation of microglia with LPS
 266 produced a significant decrease in neuroblastoma survival ($82.0 \pm 4.5\%$, $p < 0.01$) with respect to
 267 SH-SY5Y cells co-cultured with inactivated microglia. The addition of derivatives significantly
 268 protected neuroblastoma cells from the damage induced by activated microglial cells: compounds
 269 **1** at 0.01 and 0.1 μM ($113.1 \pm 8.7\%$ and $102.4 \pm 4.5\%$, $p < 0.05$, respectively), **2** at 0.1 μM
 270 ($103.5 \pm 3.9\%$, $p < 0.05$), **3** at 0.1 and 0.01 μM ($99.2 \pm 0.9\%$ and $104.9 \pm 7.4\%$, $p < 0.05$, respectively),
 271 **4** at 0.01 μM ($107.7 \pm 7.6\%$, $p < 0.05$), **5** at 0.1 μM ($112.9 \pm 12.5\%$, $p < 0.05$), **6** at 0.01 μM (113.1 ± 9.3
 272 $\%$, $p < 0.05$) and **7** at 0.01 μM ($105.3 \pm 4.0\%$, $p < 0.05$) improved SH-SY5Y survival, agreeing with
 273 our previous results.



274

275 **Figure 7.** Gracilin A derivatives protected SH-SY5Y cells from activated microglia in a trans-
 276 well co-culture. BV2 cells were seeded in inserts placed above SH-SY5Y cells. Microglia was

277 pre-treated with compounds at μM concentrations for 1 h and activated with 500 ng/mL LPS
278 during 24 h. Then, the viability of neuroblastoma cells was determined with MTT assay. Values
279 are mean \pm SEM of three independent experiments carried out by duplicate. Results are expressed
280 as percentage of SH-SY5Y cells co-cultured with inactivated microglia (control). One way
281 ANOVA and Dunnett's tests were used to analyse the statistical differences between treatments
282 and neuroblastoma cells co-cultured with BV2 cells treated with LPS alone (LPS) ($*p<0.05$). LPS
283 cells are compared to control cells ($##p<0.01$).

284 3. Discussion

285 AD is the most common neurodegenerative disease, characterized by loss of synapses and
286 neurons, which produces cognitive decline, memory impairment and even death. The incidence
287 rate of AD doubles every 5 years after the age of 65 and it is estimated to reach more than 115
288 million cases in 2050³¹. Current AD-approved drugs improve patient's symptoms but do not
289 modify the progression of the illness³². The failure of new drugs for AD in clinical trials has been
290 attributed to the complex nature of the pathology and to the fact that the disease should be treated
291 in earlier stages^{33,34}. Emerging data suggest that the beginning of the disorder occurs many years
292 before the appearance of the symptoms³⁵. Specifically, oxidative stress and neuroinflammation
293 have been reported in AD transgenic mouse brains before the accumulation of A β and misfolded
294 tau protein^{36,37}. The results presented in this work suggest that gracilin A derivatives are able to
295 attenuate oxidative stress and neuroinflammation, early events in AD onset.

296 Our previous work demonstrated the anti-oxidant and neuroprotective properties of gracilin A
297 derivatives¹³. In this study, we confirmed that the neuroprotective activity of *Spongionella*
298 derivatives is related to their ability to increase anti-oxidant enzymes expression. *CAT*, *SOD*'s
299 and *GPx1* gene expression was upregulated when gracilin A derivatives were present. Moreover,
300 the transcription factor *Nrf2*, a key target in neurodegeneration, was augmented by these
301 compounds. As described previously, Nrf2 translocation to the nucleus induces the expression of
302 many genes related to the endogenous anti-oxidant system. Otherwise, Nrf2 expression increase

303 could be related to the improvement of mitochondrial function produced by gracilin A derivatives
304 ¹³, as the transcription factor also upregulates genes related to pyruvate metabolism, glycolysis
305 and gluconeogenesis ³⁸, and enhances mitochondrial respiration, with the consequent recovery of
306 mitochondrial membrane potential³⁹.

307 In AD brains, microglia are dysregulated and release toxic factors like ROS, pro-inflammatory
308 cytokines, chemokines and NO that contribute to the deleterious environment observed in the
309 illness and promote neuronal death¹⁹. NO is produced by iNOS, a enzyme highly expressed in
310 microglia from AD patients. NO reacts with superoxide anion and generates peroxynitrite, a
311 highly cytotoxic molecule that leads to lipid peroxidation, S-nitrosylation of proteins and
312 mitochondrial damage²⁸. The regulation of iNOS occurs at transcriptional level and there are
313 many transcription factors involved, being NFκB the most important one⁴⁰. Its activation produces
314 the release of pro-inflammatory cytokines (IL-1β, IL-6, TNF-α, or interferon-γ). IL-1β is essential
315 in the initiation of the immune response and is known to increase the production of other
316 neurotoxic mediators including ROS, NO and cytokines⁴¹. In addition, increased TNF-α levels
317 have been detected in AD brains and anti-inflammatory drugs altering both TNF-α levels and
318 NFκB signalling have displayed promising results in clinical trials⁴². Thus, the reduction of pro-
319 inflammatory markers related to M1 phenotype (ROS, NO and cytokines) and the inhibition of
320 NFκB pathway is a good therapeutic approach for AD treatment. Otherwise, NFκB and Nrf2
321 transcription factors are closely interconnected, as they are redox sensitive. Increased ROS levels
322 lead to the activation of NFκB and the pro-inflammatory M1 phenotype of microglial cells. On
323 the other hand, redox homeostasis is tightly controlled by Nrf2, which provides anti-oxidant
324 protection to microglia and triggers the neuroprotective M2 phenotype. Therefore, the modulation
325 of these transcription factors, specifically NFκB downregulation and Nrf2 upregulation, results
326 in the attenuation of the toxic microglial phenotype in favor of the neuroprotective phenotype²³.

327 The abnormal activation of MAPK kinases has been detected in brains and peripheral cells of AD
328 patients^{29,43}. Particularly, p38 MAPK plays an important role in the illness. The kinase promotes
329 chronic neuroinflammation because it contributes to the regulation of iNOS, TNF-α and IL-1β in

330 microglia and astrocytes. p38 also enhances tau hyperphosphorylation through its ability to
331 directly phosphorylate specific sites of the protein, or indirectly by increasing IL-1 β secreted by
332 microglia, which in turn augments the activation of neuronal p38⁴⁴. Thus, the inhibition of this
333 central pathway in AD by gracilin A derivatives **2**, **3**, **6** and **7** contributes to their anti-
334 neuroinflammatory activity. *Spongionella* –derived synthetic compounds diminished the levels
335 of toxic molecules released by microglia (ROS, NO, pro-inflammatory cytokines), being
336 especially effective compounds **1**, **2**, **3**, and **4**. These derivatives modulated microglial cells
337 towards the M2 protective phenotype by inhibiting NF κ B and activating Nrf2. Interestingly,
338 compounds **6** and **7** only decreased IL-1 β release, the cytokine that initiates the inflammatory
339 cascade. These derivatives also blocked p38 and NF κ B and activated Nrf2, but did not affect the
340 other pro-inflammatory markers analysed, suggesting that **6** and **7** were also modulating the
341 phenotypic state of microglia, but their effects occurred more slowly. Finally, the protection
342 shown by compounds when neuroblastoma cells were co-cultured with microglia confirmed their
343 ability to modulate neuroinflammation.

344 Overall, the monoacetoxy furanose **2** and the diacetate **3** presented the best results in our assays.
345 These derivatives were able to upregulate the anti-oxidant defences of neuronal cells (*CAT*,
346 *SOD*'s, *GPx1* and *Nrf2*) and to protect them in the presence of activated microglia by modulating
347 the phenotypic state of the immune cells. These results, together with the mitochondria-related
348 protective effects previously reported¹³, open an interesting field for further studies against AD
349 with these promising compounds. New studies will help to understand the connection between
350 the anti-neuroinflammatory and mitochondrial-related effects of gracilin A-derivatives.

351 **4. Material and methods**

352 *4.1 Chemicals and solutions*

353 5-(and-6)-carboxy-2', 7'-dichlorodihydrofluorescein diacetate (carboxy-H₂DCFDA), Dulbecco's
354 Modified Eagle's medium: Nutrient Mix F-12 (DMEM/F-12), Roswell Park Memorial Institute
355 1640 medium (RPMI 1640), fetal bovine serum (FBS), trypsin/EDTA, penicillin- streptomycin,
356 Griess Reagent Kit, Mouse Inflammatory 4-Plex Panel, Supersignal West Pico Luminiscent

357 Substrate and Supersignal West Femto Maximum Sensitivity Substrate were purchased from
358 Thermo Fisher Scientific (Waltham, MA, USA). Primers were obtained from Integrated DNA
359 Technologies (Iowa, USA). Aurum™ Total RNA Mini Kit and Taq™ Universal SYBR Green
360 Supermix were purchased from Bio-Rad Laboratories (Barcelona, Spain). Other chemicals used
361 were reagent grade and purchased from Sigma-Aldrich (Madrid, Spain).

362 *4.2 Compound information*

363 Gracilin A derivatives were initially synthesized in the Department of Chemistry and
364 Biochemistry at Baylor University employing gracilin A as a natural product lead. Structural
365 elucidation of compounds was based on complete spectroscopic characterization and for this
366 study gracilin A derivatives were resynthesized using synthetic methods previously described¹³.

367 *4.3 Cell culture*

368 Human neuroblastoma SH-SY5Y cell line was obtained from American Type Culture Collection
369 (ATCC), number CRL2266. Cells were cultured in DMEM/F-12 supplemented with 10% FBS,
370 1% glutamax, 100 U/mL penicillin and 100 µg/mL streptomycin.

371 BV2 murine microglial cell line was purchased from Interlab Cell Line Collection (ICLC),
372 number ATL03001. Cells were maintained in RPMI 1640 medium with 10 % FBS, 100 U/mL
373 penicillin and 100 µg/mL streptomycin.

374 Cell lines were maintained at 37 C in a humidified atmosphere of 5% CO₂ and 95% air and
375 dissociated using 0.05% trypsin/EDTA.

376 *4.4 Quantitative PCR*

377

378

379

380 **Table 1.** Primer sequences used in qPCR

Gene	Accession Number	Primer sequence
<i>Catalase (CAT)</i>	NM_001752	5'-GAAGTGCGGAGATTCAACACT -3' 5'-ACACGGATGAACGCTAAGCT -3'
<i>Glutathione peroxidase 1 (GPx1)</i>	BC007865	5'-CCGACCCCAAGCTCATCA -3' 5'-TTCTCAAAGTTCCAGGCAACATC-3'
<i>Nuclear factor E2-related factor 2 (Nrf2)</i>	NM_001313903	5'-ACACGGTCCACAGCTCATC-3' 5'-TGTC AATCAAATCCATGTCTCCTG-3'
<i>Superoxide dismutase 1 (SOD1)</i>	NM_000454	5'- TCATCAATTTTCGAGCAGAAGG-3' 5'-TGCTTTTTTCATGGACCACC-3'
<i>Superoxide dismutase 2 (SOD2)</i>	NM_000636	5'-CATCAAACGTGACTTTGGTTC -3' 5'-CTCAGCATAACGATCGTGGTT-3'
<i>Ribosomal protein lateral stalk subunit P0 (RPLP0)</i>	NM_001002	5'-GGAGCCAGCGAAGCCACACT-3' 5'-CACATTGCGGACACCCTCTA-3'

381

382 SH-SY5Y cells were treated with compounds and 150 μ M H₂O₂ for 6 h. Then, total RNA was
383 obtained with the Aurum™ Total RNA Mini Kit following the manufacturer's instructions. RNA
384 concentration and purity were determined using a Nanodrop™ 2000 spectrophotometer (Thermo
385 Fisher Scientific). cDNA was synthesized with 0.5 μ g of RNA, oligo-dT primers and RevertAid
386 Reverse Transcriptase (Thermo Fischer Scientific), following manufacturer's instructions.
387 Quantitative PCR was performed using iTaq™ Universal SYBR Green Supermix in a Step-One
388 real-time PCR system (Applied Biosystems). cDNA was amplified with specific primers (Table
389 1) for *catalase (CAT)*, *superoxide dismutase 1 (SOD1)*, *superoxide dismutase 2 (SOD2)*,
390 *glutathione peroxidase 1 (GPx1)* and *nuclear factor (erythroid-derived 2)-like 2 (Nrf2)*. Data were
391 analysed with the Step-One software (Applied Biosystems). *Ribosomal protein lateral stalk*
392 *subunit P0 (RPLP0)* was used as internal normalization control and relative quantification was
393 performed using $\Delta\Delta$ Ct method. Cells treated only with 150 μ M H₂O₂ were used as calibrator.
394 Experiments were carried out in triplicate at least three independent times.

395 4.5 Measurement of reactive oxygen species levels

396 Experiments with BV2 microglial cells were performed as previously described⁴⁵. The levels of
397 ROS were quantified with the fluorescence dye carboxy-H₂DCFDA (5-(and-6)-carboxy-2', 7'-
398 dichlorodihydrofluorescein diacetate). BV2 microglial cells were pre-treated with the compounds
399 for 1 h and 500 ng/mL LPS during 24 h. After this time, cells were washed twice with serum-free

400 medium and 20 μ M carboxy- H_2 DCFDA was added. Cells were incubated for 1 h at 37°C and pre-
401 warmed PBS was added to each well for 30 min. The fluorescence was read at 527 nm, with an
402 excitation wavelength of 495 nm. The assay was carried out in triplicate, three independent times.

403 *4.6 Nitric oxide release assay*

404 BV2 murine microglial cells were seeded in DMEM without phenol red in 12-well plates at a
405 density of 1×10^6 cells per well. Microglia were treated as described above and the release of NO
406 to the medium was measured with a Griess Reagent Kit, following manufacturer's instructions.
407 Briefly, 150 μ L of cell supernatant were mixed with 20 μ L of Griess reagent and 130 μ L of
408 deionized water. After 30 min of incubation at room temperature, the absorbance was read at 548
409 nm in a spectrophotometer plate reader. The experiments were performed at least three times.

410 *4.7 Measurement of cytokines release*

411 BV2 cells were treated with compounds for 1 h and activated with 500 ng/mL LPS for 24 h. Then,
412 the supernatant was collected to analyse the levels of cytokines. The release of IL-1 β , IL-6, TNF-
413 α and GM-CSF to the medium was determined with a Mouse Inflammatory 4-Plex Panel. The
414 assay was carried out following manufacturer's instructions. Luminex 200 TM instrument and
415 xPONENT[®] software (LuminexCorp, Austin, TX) were used to collect the data.

416 *4.8 Protein extraction*

417 BV2 cells were treated with compounds for 1 h and stimulated with 500 ng/mL LPS during 24 h.
418 Then, cells were rinsed twice with ice-cold PBS and a hypotonic buffer was added (20 mM Tris-
419 HCl pH 7.4, 10 mM NaCl and 3 mM MgCl₂, containing a phosphatase/protease inhibitor
420 cocktail). Cells were incubated for 15 min on ice and centrifuged at 3000 rpm, 4 °C for 15 min.
421 The supernatant was collected as the cytosolic fraction and the pellet was resuspended in a nuclear
422 extraction solution (100 mM Tris pH 7.4, 2 mM Na₃VO₄, 100 mM NaCl, 1% Triton X-100, 1 mM
423 EDTA, 10% glycerol, 1 mM EGTA, 0.1% SDS, 1 mM NaF, 0.5% deoxycholate, and 20 mM
424 Na₄P₂O₇, containing 1 mM PMSF and a protease inhibitor cocktail). Lysates were incubated on

425 ice for 30 min with vortexing intervals of 10 min. Then, samples were centrifuged at 14000 g,
426 4°C for 30 min and the nuclear fraction was obtained. Cytosolic fraction was quantified with
427 Direct Detect system (Merck Millipore) and nuclear protein concentration was determined with
428 Bradford method.

429 *4.9 Western blot assays*

430 Electrophoresis was carried out in 4-20% sodium dodecyl sulphate polyacrylamide gels (Biorad)
431 with 20 or 10 µg of cytosolic or nuclear protein, respectively. Snap i.d. system (Merck Millipore)
432 was used for membrane blocking and antibody incubation. Anti-Nrf2 (1:1000, Millipore), anti-
433 NFκB-p65 (1:10000, Abcam), anti-iNOS (1:1000, Abcam), anti-phospho-p38 (1:1000, Abcam)
434 and anti-p38 (1:1000, Abcam) were used for the evaluation of protein expression and signal was
435 normalized using anti-lamin B1 (1:2000, Abcam) and anti-β-actin (1:2000, Millipore) for nuclear
436 and cytosolic fractions, respectively. Activation of p38 kinase was calculated as the ratio of
437 phosphorylated and total protein levels. Protein bands were detected with Supersignal West Pico
438 Luminescent Substrate and Supersignal West Femto Maximum Sensitivity Substrate and
439 Diversity GeneSnap system and software (Syngene, Cambridge, U.K.).

440 *4.10 Trans-well co-culture*

441 SH-SY5Y neuroblastoma cells were cultured in 24-well plates at 5×10^5 cells per well. BV2
442 microglial cells were seeded in culture inserts (0.4 µM pore size, Merck-Millipore) placed above
443 neuroblastoma cells at a density of 2.5×10^5 cells per insert. Both cell lines were allowed to grow
444 for 24 h. Then, BV2 cells were pre-treated with gracilin A derivatives for 1 h and 500 ng/mL LPS
445 were added to each insert for 24 h. The effect over SH-SY5Y survival was determined with MTT
446 (3-(4, 5-dimethyl thiazol-2-yl)-2, 5-diphenyl tetrazolium bromide) assay. Cells were washed three
447 times with saline buffer and incubated with 500 µg/mL MTT for 1 h at 37°C and 300 rpm. Then,
448 5% sodium dodecyl sulphate was added to each well to dissolve the formazan crystals.
449 Absorbance was measured at 595 nm in a spectrophotometer plate reader. The experiment was
450 performed at least three times.

451 *4.11 Statistical analysis*

452 Data are presented as mean \pm SEM. Differences were evaluated by one way ANOVA with
453 Dunnett's post hoc test and statistical significance was considered at $p < 0.05$.

454 **Supplementary information**

455 Neuroprotective effects of gracilin A derivatives **1** and **5** in an oxidative stress model with SH-
456 SY5Y cells. Effects of cell viability, mitochondrial membrane potential, ROS and GSH levels,
457 mitochondrial permeability transition pore opening and inhibition of cyclophilin D activity.

458 **AUTHOR INFORMATION**

459 **Corresponding Author**

460 *Email: eva.alonso@usc.es. Phone/Fax: +34982822233

461 **Author Contributions**

462 R. A. performed *in vitro* experiments. M.E.A, C.M.C., M.C. and D.R. synthesized and
463 characterized gracilin A derivatives. E. A., A. A. and L.M.B. did critical discussion and
464 experimental design. The manuscript was written with the contribution of all authors.

465 **Notes**

466 The authors declare no competing financial interest

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476

477 **References**

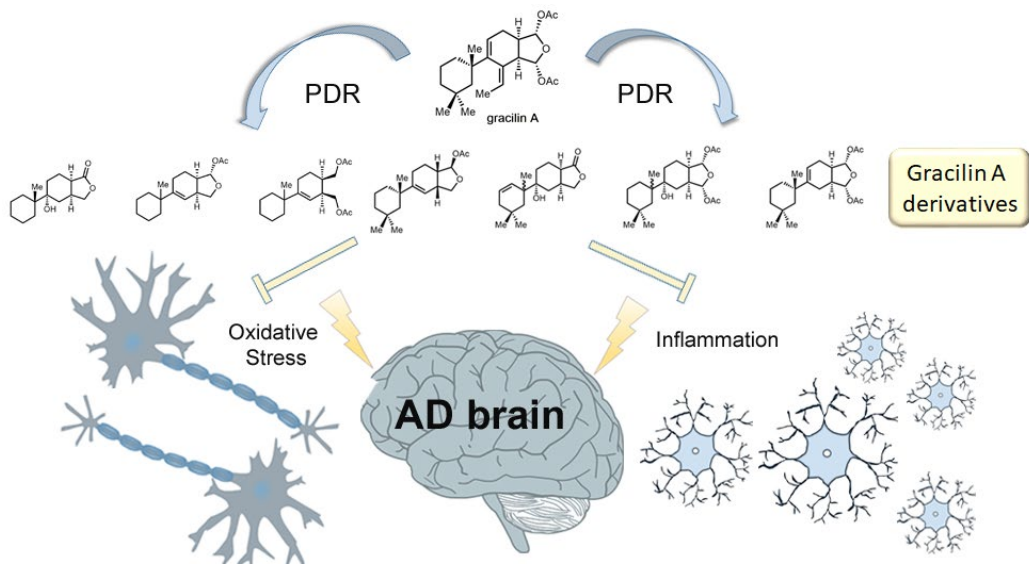
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