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Practitioner Safety and the Application of Learning Theory related to injury risk in Equine Physiotherapy: a Worldwide Survey

Citation for published version:

Ruffoni, K, Lancaster, B & Tabor, G 2023, 'Practitioner Safety and the Application of Learning Theory related to injury risk in Equine Physiotherapy: a Worldwide Survey', *Journal of Equine Rehabilitation*, vol. 2, 100005, pp. 1-9. <https://doi.org/10.1016/j.eqre.2023.100005>

Digital Object Identifier (DOI):

[10.1016/j.eqre.2023.100005](https://doi.org/10.1016/j.eqre.2023.100005)

Link:

[Link to publication record in Edinburgh Research Explorer](#)

Document Version:

Peer reviewed version

Published In:

Journal of Equine Rehabilitation

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Journal of Equine Rehabilitation

Practitioner Safety and the Application of Learning Theory related to injury risk in Equine Physiotherapy: a Worldwide Survey

--Manuscript Draft--

Manuscript Number:	EQRE-D-23-00007R2
Full Title:	Practitioner Safety and the Application of Learning Theory related to injury risk in Equine Physiotherapy: a Worldwide Survey
Article Type:	Full Length Article
Keywords:	Veterinary Physiotherapy; Equine Physiotherapy; Injury; Learning Theory; Safety
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Abstract:	<p>In the equine physiotherapy profession, similarly to the equine veterinary profession, there may be a high risk of occupational injury and preventive strategies, such as the application of learning theory (LT), may mitigate workplace injury frequency. The purpose of this study was to identify the frequency of occupational injury among equine physiotherapists and investigate the relationship between injury rate and knowledge of LT. An online survey was distributed, receiving 64 valid responses: of these, 51 were qualified veterinary physiotherapists working with equines and their data were taken forward for analysis. The mean injury frequency was 0.59 ± 1 per year, with 36 respondents reporting at least one injury during their career. The most common site of injury for practitioners was the lower limb ($n=22$) and the most common type of injury was bruising ($n=32$). Knowledge of LT was poor. There was a moderate positive correlation ($r=0.37$, $95\%CI[0.10,0.58]$, $p<0.01$) between veterinary physiotherapists' theoretical knowledge scores and their self-evaluation of LT terminology scores. There was no significant correlation between scenario-based and theoretical LT scores and injury frequency. However, there was a weak negative correlation ($r=-0.31$, $95\%CI[-0.54,-0.04]$, $p<0.05$) between self-evaluation of LT terminology scores and injury frequency. Due to the small number of responses, results from the present study are not representative of the target population. However, results nonetheless highlight a trend of high injury rates and low levels of understanding of equine learning. This indicates the urgent need of research into better prevention and safety-enhancing strategies.</p>
Suggested Reviewers:	Natalie Waran Eastern Institute of Technology nwaran@eit.ac.nz Gemma Pearson The University of Edinburgh gemma.pearson@ed.ac.uk Jane Williams

	Hartpury University and Hartpury College Jane.williams@hartpury.ac.uk
	Hayley Randle Charles Sturt University SAEVS-HOS@csu.edu.au
Opposed Reviewers:	
Additional Information:	
Question	Response

Detailed Response to Reviewers

Practitioner Safety and knowledge of Learning Theory related to injury risk in Equine Physiotherapy: a Worldwide Survey		
Comment	Addressed	Place in the text (lines)
Overall		
This is an interesting paper that investigates self-reported injuries in equine physiotherapy practitioners. The injury information is the strength of the paper. In my opinion, it is weakened in some ways by attempting to focus on whether a knowledge of learning theory affects frequency injury, which is difficult to test using the study design.	See below	
The frequency of occurrence of injuries in the equine physiotherapy profession is an interesting question, and investigating risk factors for these injuries would be interesting. However this paper reports a small, potentially biased sample and attempts to link one single factor with frequency of injuries within this small sample population when reasons for injuries are likely to be multifactorial, which is not discussed E.g. type of horse/case/client/clinic vs home vs competition/knowledge and experience of handler.	See below	
Why is learning theory the only aspect of horse handling/behaviour that is considered relevant? This needs further justification and rationale in the introduction.	Rationale for this has been added to the introduction	92-105
The hypothesis is not tested by the study as this a questionnaire-based self-reporting retrospective study, not an interventional study that assesses the effect of learning theory on development of injuries, and should be removed or modified.	Hypothesis has been removed	
It is difficult to assess the validity of the manuscript in the absence of the questionnaire. Please could the questionnaire and questions be provided. How are the authors assessing the knowledge of the practitioners at the time of injuries being sustained vs knowledge of learning theory now?	Questionnaire provided as a separate document. Current level of learning theory was measured. This method was chosen due to the difficulty in recalling past levels of knowledge at the time of each injury. 62% of injuries occurred within the past 5 years. Also, based on the literature, teaching of learning theory within professional training is lacking. Practitioners completing the survey would therefore have had to attend specific CPD on learning theory to enhance their knowledge during practice. Questions were aimed at understanding participants' current level of knowledge and how this could be improved with interventions such as those proposed by ISES, from where the explanatory diagram in the survey was taken. The authors understand the limitations to this approach and agree that a different study, not in survey form, may better collect data on knowledge levels at the time of injury vs. now.	
Limiting the manuscript to a report of injuries sustained and a discussion of potential risk factors that could be investigated might present a more useful paper for the reader.	Further rationale has been added to the introduction/literature review to better explain the choice of exploring learning theory as a potential safety aid for equine physiotherapists. The idea was first explored in relation to an MSc level course on Equitation Science with strong ties to work of the International Society for Equitation Science (ISES).	137-152
Intro		
The title does not clearly reflect the content of the manuscript, so I would recommend rewording to reflect that this is largely a questionnaire based report of injuries in the physiotherapy profession.	The title has been changed to: Practitioner Safety and knowledge of Learning Theory related to injury risk in Equine Physiotherapy: a Worldwide Survey	
Reasons for injuries are likely to be multifactorial, which is not raised in the introduction. The introduction should include a short review of literature that has investigated factors influencing injury in equestrian workplaces, veterinary and other horse-related professions to put into context why learning theory was then selected, and then a justification provided for selecting only learning theory as a preventative strategy vs experience and practical handling knowledge, situation, type of horse, horse features, handler knowledge etc.	A more in-depth literature review and justification for the selection of learning theory as a preventative strategy has been provided in the introduction.	129-152 159-171 197-207
Without this background, it seems that taking learning theory as the only factor considered is random, when looking at risk factors for injury might be a more reasonable approach.	---	
The hypothesis cannot be tested by the study described. This should be reworded.	Removed	

Method		
<p>Why did the authors use facebook groups to recruit for the study and not professional organisations? The facebook groups and organisations included should be stated in the paper in order to understand the type and breadth of the sample recruited. How were respondents encouraged to take part if they had both sustained and not sustained injuries during their career, to avoid an over-representation of practitioners who had sustained injuries and therefore might have more buy-in to taking time to complete the questionnaire? Was there any validation of the information provided – eg repeatability of information between injuries reported any other way to this self reporting questionnaire?</p>	<p>After speaking with various professional registers, it was decided to publish the online survey through their Facebook groups rather than have the bodies themselves email all their members. Some bodies refused to email members, others claimed most members were also members of the registers' official Facebook groups and that it would be easier for participants to ask questions directly to the authors in this way. A few registers refused to contact their members in any way, but suggested posting to the "Animal/Veterinary Physiotherapists & Other Professions" Facebook groups which they claimed contained many of their registered members. A table containing information on the Facebook groups selected and the number of members in each has been provided in the Tables document. Participants with and without a history of injury were encouraged to take part by focussing on analysis of the role of experience and knowledge in injury prevention, as well as asking questions about learning theory. The questionnaire was set out so that the first question on injuries (yes/no) determined what set of questions participants would see in the following section (injury section or jump to knowledge section). In this way participants who started the survey were not put off by questions not relevant to them. Repeatability was not possible for this research as it would have required access to medical records/other forms of validation outside the scope and capability of this paper.</p>	249-250
<p>The sample population used does not meet the inclusion criteria as it appears that practitioners that did not meet the inclusion criteria were then added to the final analysis. Any respondents that did not meet the inclusion criteria should be eliminated from the study at this stage.</p>	<p>The authors believe this subgroup to have been erroneously left out of the initial inclusion criteria, due to general lack of information about the number of active equine physiotherapists, the number of animals each therapist actually sees per month (most work with multiple species, not just equines) and a general lack of a unified registering body where such information could be available. This lack of information led to initial assumptions being made regarding inclusion criteria, which were later proven to be incorrect, therefore this subgroup was re-integrated in the study.</p>	
<p>The questionnaire is not provided, and needs to be available to the reviewers and readers (potentially as a supplementary file) to understand the validity of the information.</p>	<p>The questionnaire has now been provided as a separate document.</p>	
<p>How do the questions provided directly relate to ability to handle and react practically vs having a theoretical knowledge of learning theory? Were there also questions about training or qualifications as instructor/rider/trainer/handler, location, horse features and other information about the situation in which the injuries happened?</p>	<p>The questionnaire has now been provided as a separate document.</p>	
<p>How was the questionnaire tested as a direct representation of the respondents' knowledge of learning theory and their ability to apply this practically? What pilot work was done? What validation of questionnaire completion and practical application was done. This should be provided and described.</p>	<p>The questionnaire has now been provided as a separate document. Information about pilot testing has been provided in the Methods section.</p>	225-227
<p>How were years of experience defined? Full time vs part time vs breaks in work? Does this represent age or cumulative experience?</p>	<p>Years of experience were defined from the first year of work after graduation onwards. Full or part time work and breaks in work were not considered relevant in this case as many practitioners treat multiple species, not just equines and therefore there is variation in the actual hours spent on equines each month/year. Years of experience represent cumulative experience. The number of horses treated per month was used in data analysis as it represented a better measurement of contact time with equine patients compared to full vs. part time work.</p>	
<p>This is a retrospective, self-reporting, questionnaire based investigation. How was learning theory knowledge assessed at the time injuries were sustained vs the current time?</p>	<p>Current level of learning theory was measured. This method was chosen due to the difficulty in recalling past levels of knowledge at the time of each injury. 62% of injuries occurred within the past 5 years. Also, based on the literature, teaching of learning theory within professional training is lacking. Practitioners completing the survey would therefore have had to attend specific CPD on learning theory to enhance their knowledge during practice. Questions were aimed at understanding participants' current level of knowledge and how this could be improved with interventions such as those proposed by ISES, from where the explanatory diagram in the survey was taken. The authors understand the limitations to this approach and agree that a different study, not in survey form, may better collect data on knowledge levels at the time of injury vs. now.</p>	

<p>The data analysis is not clear in the absence of the questionnaire. What was classified as level of knowledge and understanding of the principles of LT, work experience and general handling experience? This information should be defined in the methods. How were respondents tested for being likely to apply LT practices in their everyday practice? How was the role that work experience and general handling experience played in injury occurrence tested?</p>	<p>The questionnaire has now been provided as a separate document.</p>	
<p>Results</p>		
<p>The response rate of people opening the facebook link is stated but this is misleading because only a limited and potentially biased population would have had access to or chosen to open the link. How many worldwide physiotherapists are there? And what proportion of these completed the questionnaire? I.e. 51/worldwide population.</p>	<p>This was addressed in the Methods section in the following way: "The free online tool Raosoft [25] was used to calculate a desired sample size with a 5% error margin and 95% confidence interval. As the size of the worldwide population of qualified veterinary physiotherapists working with equines is unknown, estimates of between 500 and 10000 were made. The desired sample size was calculated at 218 to 370 individuals and the choice was made to target 2000 potential respondents through social media distribution"</p>	
<p>Discussion</p>		
<p>The first paragraph makes an assumption that is not justified by the data as far as I can see. It states that 'physiotherapists were generally poorly equipped to deal with behavioural issues, as there was a low level of knowledge and understanding of equine learning theory'. How is this direct link justified by the results? I would suggest removing this sentence.</p>	<p>The result section "knowledge and application of learning theory" reports results on participants level of theoretical knowledge and practical application of knowledge to the working environment. Participant scores were generally low, indicating that the level of learning theory knowledge was insufficient. This sentence has been adapted to reflect results, rather than assuming lack of knowledge of learning theory leads to difficulty in dealing with behavioural issues.</p>	<p>326-360</p>
<p>The discussion of injuries is interesting. It would be useful to also add in discussion of literature on injuries in the equestrian industry as well as the veterinary profession. On line 333, it implies that differences between veterinarians and physiotherapists are largely down to ability to chemically restrain (veterinarians) vs physiotherapists. It should also be noted that veterinarians may frequently be required to perform nerve blocks and treatments, painful interventions and diagnostic and imaging procedures which may potentially be of high risk, when a horse may not always be under chemical restraint, so the difference should not just be implied as a difference in ability to sedate a horse. I would suggest elaborating slightly more on this.</p>	<p>This section has been further elaborated on.</p>	<p>402-408</p>
<p>What evidence is there that the questionnaire used was a direct representation of the respondent's knowledge of learning theory and their ability to apply this practically? This should be discussed and justified.</p>	<p>The section on practical application of learning theory in this questionnaire was based on the work by Pearson et al (2020) which followed a similar methodology. This has been acknowledged both in the methods section, table 1, and in the discussion section: "For the present study, it was decided to focus on situational based questions rather than textbook definitions, following a similar methodology to Pearson et al. [8]." The authors acknowledge that such questions may provide some insight into how people may be applying learning theory in practice, but experimental studies, rather than a survey, are needed to confirm findings. Regarding the ability to apply LT practically, the study was based on previous reviews by McLean and McGreevy (see reference list).</p>	<p>419-427</p>
<p>The authors should not imply that there is a relationship between learning theory and injury when there was not one shown. Just because of implication in the literature does not mean that this study shows a relationship.</p>	<p>A significant, although weak correlation was found between participants' self-reported knowledge of Learning Theory and their reported frequency of injury. The self-reported knowledge level correlated with the theoretical LT scores for participants. For these reasons, the authors suggest there may be a correlation between knowledge of learning theory and injury frequency, although the present study presents significant limitations which have now been better addressed in the paper.</p>	<p>519-529</p>
<p>What other factors could have influenced injury? None are discussed. A discussion of potential risk factors for injury would considerably strengthen the discussion, and put into context the potential for prevention of injury. This study does not test the effect of any factors (including learning theory) on development of injury, but discussion that includes raising awareness of potential factors that could influence injury might be useful for physiotherapists or for handlers of horses for physiotherapists.</p>	<p>This has now been addressed and expanded upon "Finally, although a trend was found in injury frequency patterns, the severity of injuries appeared much more casual, suggesting that prevention strategies may be the most important type of safety intervention in the profession, with focus on a strong understanding of equine behaviour and the ability to anticipate flight responses and other unwanted or dangerous reactive behaviours (Hawson et al., 2010; McLean and Christensen, 2017; Parkin et al., 2018)."</p>	<p>484-488</p>

<p>The data on number and type of injuries in the sample population are interesting. However, this is limited by a potentially skewed sample population and very small numbers, which needs to be acknowledged in the limitations.</p>	<p>This was addressed in the section "study limitations" as follows: As only 64 valid responses were received, of which 51 from appropriately qualified veterinary physiotherapists working with equines, results from the present study cannot be considered representative of the target population. In addition, as the majority of respondents were based in the UK, the results may not be applicable to other countries which were represented by fewer responses.</p>	<p>515-519</p>
<p>Limitations should be acknowledged much more extensively including: Potential for skewed population as population was sourced from Facebook and facebook group members (need to define which groups used) Low numbers Lack of information Questionnaire based study limitations Self assessment</p>	<p>Information and rationale for the choice of Facebook groups has been given in the methods section and is not considered a limitation compared to emailing register members for the reasons given in this section. Limitations due to small sample size have been addressed in the "study limitations" section. Limitations due to survey data collection, including recall bias and sample bias, have been addressed in the "study limitations" section.</p>	<p>249-250 515-532</p>
<p>The presence of multiple factors that were not assessed which could have significantly affected injury Even if learning theory had an influence, the knowledge of practitioner learning theory at the time of the injuries has not been assessed. This has only been assessed at the current time, so this should be acknowledged in the limitations.</p>	<p>As above</p>	
<p>Conclusion</p>		
<p>Conclusions stated are not all justified by the study or results, but does represent an interesting part of the discussion. I suggest moving some of this section to the discussion and shortening the final conclusions.</p>	<p>This part has been moved to the discussion</p>	<p>502-512</p>
<p>The conclusions might be better limited to a summary of the information about injuries, and the importance of developing strategies to prevent injury, but that practitioner learning theory was not significantly associated with frequency of injury (which may reflect the multifactorial nature of the problem and limitations of the study using retrospective, questionnaire-based assessment).</p>	<p>This part has been revised</p>	<p>536-547</p>
<p>Highlights</p>		
<p>These should report findings in the paper. The first highlight is reasonable. The second and third are not supported by the results of the study and would be better replaced with information about the type of injuries reported, and that learning theory was not associated with injury frequency</p>	<p>Highlights have been revised.</p>	
<p></p>		
<p>In-text comment</p>		
<p>The premise of the article is excellent, and the study can offer important insights into the dangers of the profession and strategies for improvement. However, I am unable to review the paper without understanding the details of how knowledge of learning theory was measured. The authors need to supply the survey, including details of how they assessed knowledge of learning theory.</p>	<p>The questionnaire has now been provided as a separate document.</p>	
<p>You could also mention farriery where practitioners also handle and manipulate horses limbs.</p>	<p>This has now been included.</p>	<p>88</p>
<p>This is one cause of horse behaviour, however there are other causes such as fear, separation and novel environments. These are relevant for physiotherapists because horses are often taken to a novel environment and separated from conspecifics for treatment. Acknowledging this will give your paper more rigor.</p>	<p>Added</p>	<p>125-126</p>
<p>Stimulus control might mean a horse is more manageable, but it does not necessarily associated with relaxation. Pain is often used to achieve control, but the horse will not be relaxed.</p>	<p>The term "relaxed" has been removed.</p>	
<p>Hawson et al (2010) discuss a hypothetical relationship between learning theory and safety. Luke et al (2023) Does a working knowledge of learning theory relate to improved horse welfare and rider safety? Anthrozoos - uses a very similar methodology to your study, and showed no relationship. You probably should discuss this paper given the strong similarities between it and your paper.</p>	<p>This paper has now been included. Thank you for suggesting it.</p>	<p>144-146 207-214 429</p>

You could also mention that vets often rely on painful ear- and nose- twitching to shut down horse behaviour (Pearson et al 2020) - which is detrimental to welfare and can exacerbate behavioural problems in the future. A problem for practitioners who see horses repeatedly such as physiotherapists.	This section has been expanded on.	169-171 402-408
A more up to date demonstration of this finding is Luke et al (2023) - see earlier comment.	This paper has now been included.	
It would be more accurate to say "Hawson et al predicted...(their article was a review, they did not test this prediction, so it is not accurate to say they 'found')	Changed	
The survey needs to be provided to complete the peer-review. Also, the survey should be provided so the study can be replicated.	The questionnaire has now been provided as a separate document.	
Maybe provide detail of why you decided to remove them - given you add them back in later, maybe keep them, especially given the low numbers? Not prescribing what you should do, but think about if they are in or out.	As the subgroup was included before starting any data analysis, the sentence about debating whether to include them or not has been removed.	
This contradicts the previous sentence where you tell the reader the data were non-normal - probably better to do the normality tests and report them.	As data was primarily parametric, it was by default non-normal. This section has been adjusted.	
The role of education in changing behaviour is not mentioned in your introduction. It should be mentioned in your introduction.	The role of education has been added to the introduction.	96-99 179-193
This is really important data - excellent!	-	
Please provide details of how learning theory was assessed, as already mentioned.	The questionnaire has now been provided as a separate document.	
I will review the discussion once the other material is provided.	-	

Detailed Response to Reviewers II

Lines	Comment	Correction
49	Suggests there was a practical assessment of LT when there was not. Please rephrase.	Rephrased to "scenario-based" rather than "practical"
126	Delete "These"	Done
132-133	Explain the influence of culture on success/failure of interventions.	Added: "However, as Thompson et al. [1] emphasise, the success of such interventions is heavily influenced by the equestrian culture to which it is applied, with risk being higher in those cultures with low levels of horse ethology, as flight responses are poorly understood and consequently hard to prevent. "
145	for horses or humans or both? Please clarify.	Added: "for both equestrians and horses"
146	Do you mean LT?	Yes, corrected.
479-481	You could add that this is the second study that has failed to identify a significant relationship between knowledge of LT and improved safety. Luke et al was the first. Your study is the second.	Added: "Similar results were obtained in a 2023 study by Luke et al. [24], which also failed to identify a significant relationship between knowledge of LT and improved safety."
481-483	Your data do not support this statement. No significant relationship was found. As previously written (Line 53), you can say that you found injuries were high and knowledge of learning theory was low, however, your data shows these were not related, and suggesting there was a trend is misleading. Rephrase. Could it be that your data suggests that teaching practitioners LT is PART of the solution but not the whole solution to improving safety, hence you didn't find a relationship?	Corrected to: "A direct relationship between reduced injury rates and enhanced understanding of equine learning has been suggested many times in the literature [2,10,14], however, in the present study data show high injury rates and low levels of LT knowledge, but no significant correlation was found. This suggests that better knowledge of LT may play a role in improving practitioner safety, however, it may not be the sole contributing factor, making a significant relationship hard to establish. "
511-512	Repetitive - rephrase (see Line 500)	Fixed

09/07/2023

Dear Editor-in-Chief,

I am writing on behalf of myself, Kirsten Ruffoni, Bryony Lancaster and Dr. Gillian Tabor. We would like to submit our research paper for publication in the Journal of Equine Rehabilitation. This paper is based on research that was carried out in part fulfillment of the degree of Master of Science in Equine Science at the University of Edinburgh between 2021-2022 and focuses on safety and the application of learning theory for qualified veterinary physiotherapists working with equines.

Background information: All equestrian sports and activities that involve riding or handling horses are considered high-risk. From a work perspective, the equine veterinary profession is classified as one of the most dangerous occupations in the UK. Due to similar handling scenarios, the equine physiotherapy profession may also be a high-risk occupation, however, this area of the equine industry has not been researched yet.

Aims: The aim of this research was to identify the incidence of work-related injury and the level of understanding and application of the principles of learning theory among equine physiotherapists in order to investigate whether such knowledge could directly influence injury frequency and severity. To the authors' knowledge, no studies have been carried out to date which directly investigate the possibility of a strong correlation between injury rates and understanding of learning theory, so the present research aims to fill this gap and further enhance our understanding of science-based safety interventions in equestrian occupations.

Data collection: Data was collected through an anonymous online survey made available to equine physiotherapists worldwide through dedicated Facebook groups between September-December 2021.

Proposed reviewers: Jane Williams, Gemma Pearson, Hayley Randle, Natalie Waran.

A separate Ethics Statement and Declaration of Competing Interest Statement have been uploaded with this submission.

Best wishes,

Kirsten Ruffoni

Declaration of interests

1. Declaration of interests

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

The authors declare the following financial interests/personal relationships which may be considered as potential competing interests:

2. Contributions

Each author declares substantial contributions through the following:

- (1) the conception and design of the study, or acquisition of data, or analysis and interpretation of data,
- (2) drafting the article or revising it critically for important intellectual content,

Please indicate for each author the author contributions in the text field below. Signatures are not required.

Kirsten Ruffoni: primary author
Dr Gillian Tabor: study design, interpretation of data, critical revision of article
Bryony Lancaster: study design, data acquisition, critical revision of article

3. Approval of the submitted version of the manuscript

Please check this box to confirm that all co-authors have read and approved the version of the manuscript that is submitted. Signatures are not required.

Years of work experience vs. injury frequency

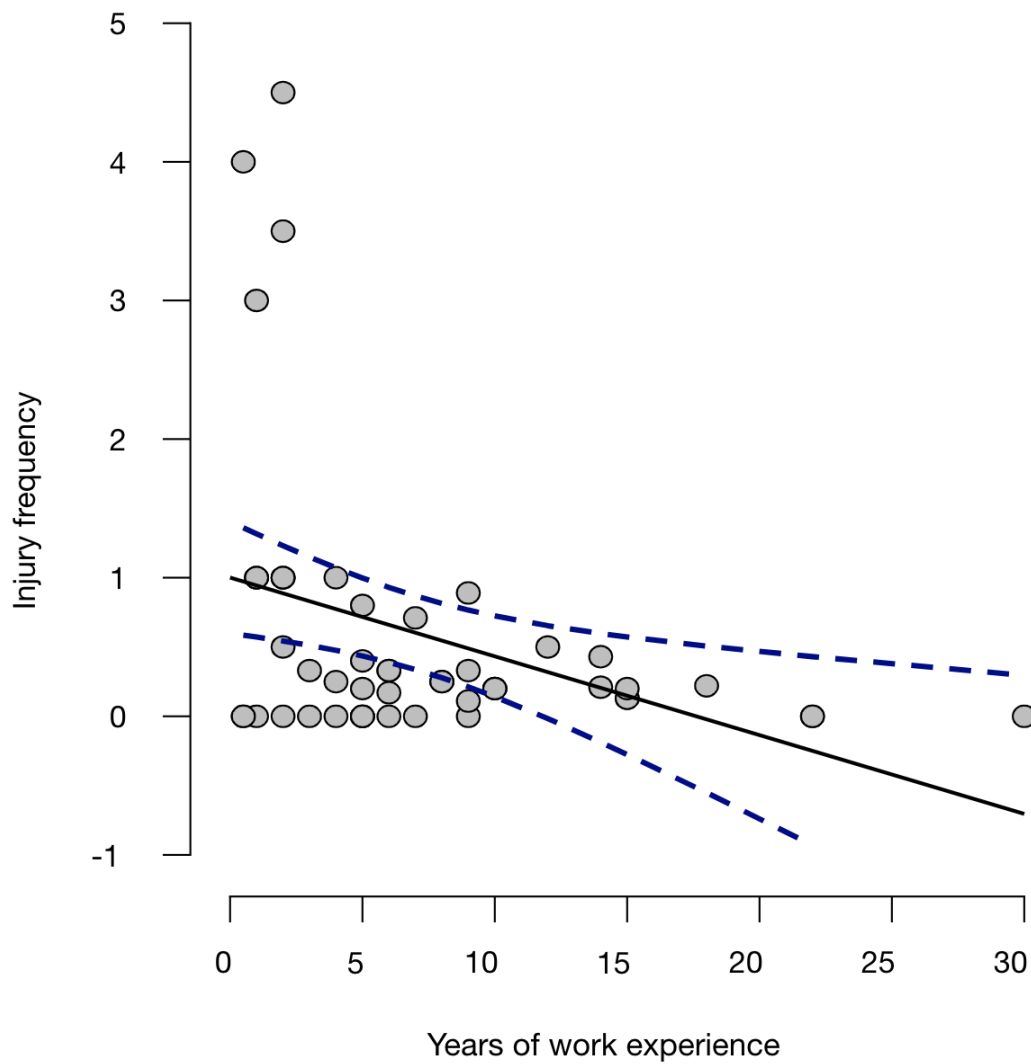


Figure 1

Injury rate for each body area

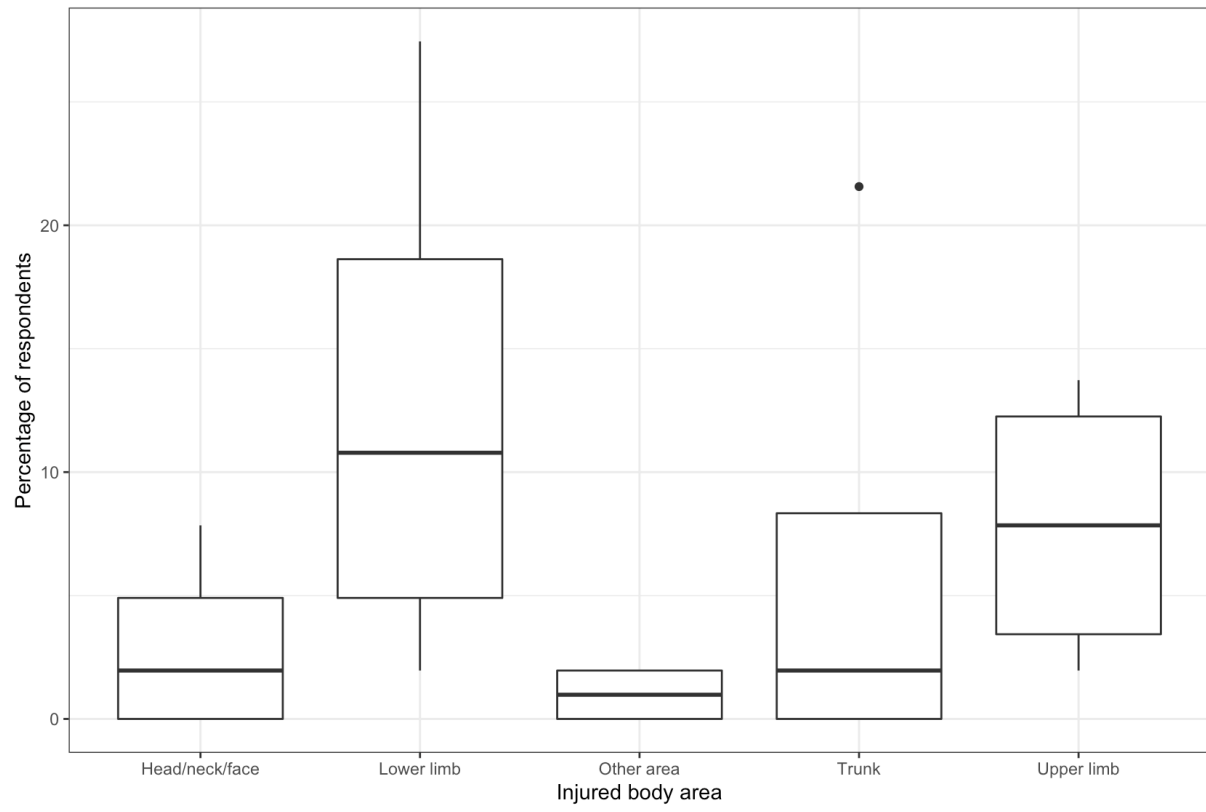


Figure 2

Injury rate for each injury type

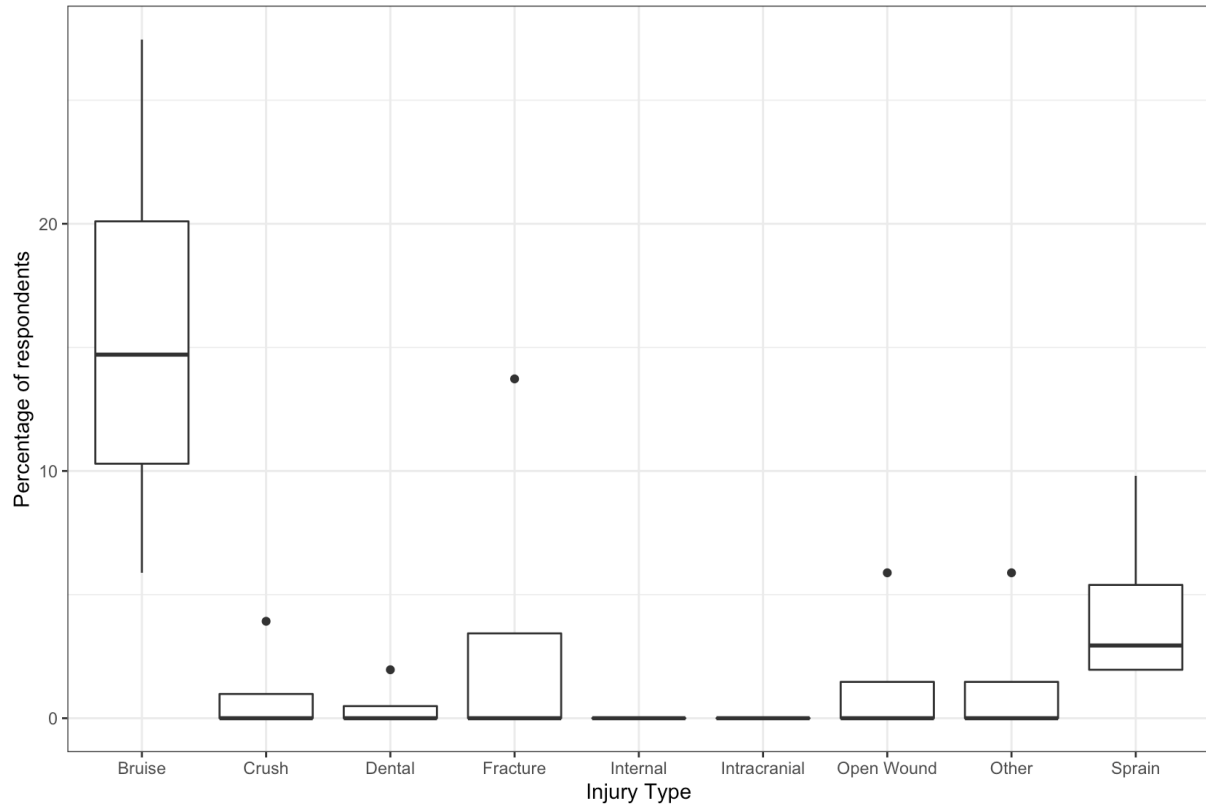


Figure 3

Activity at time of injury

Contribution of activity at time of the most severe injury in causing the injury

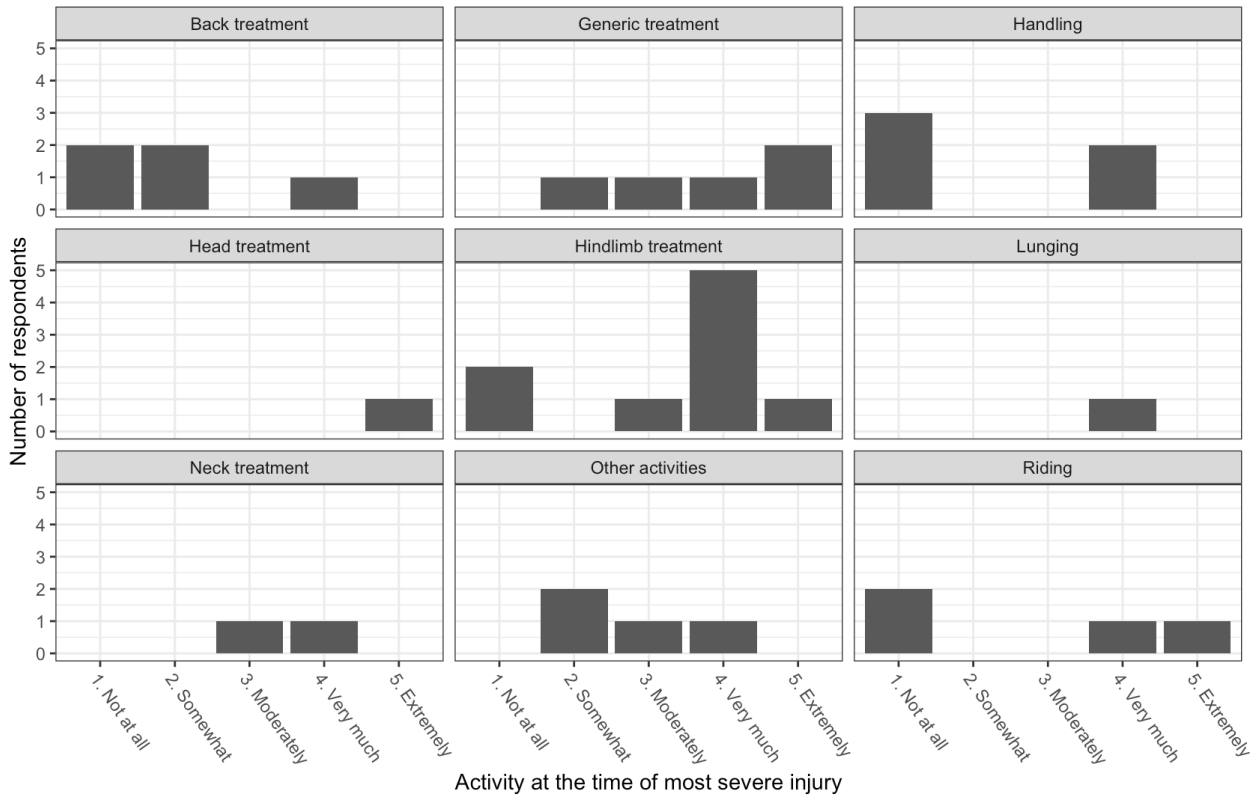


Figure 4

Self-evaluation score vs. injury frequency

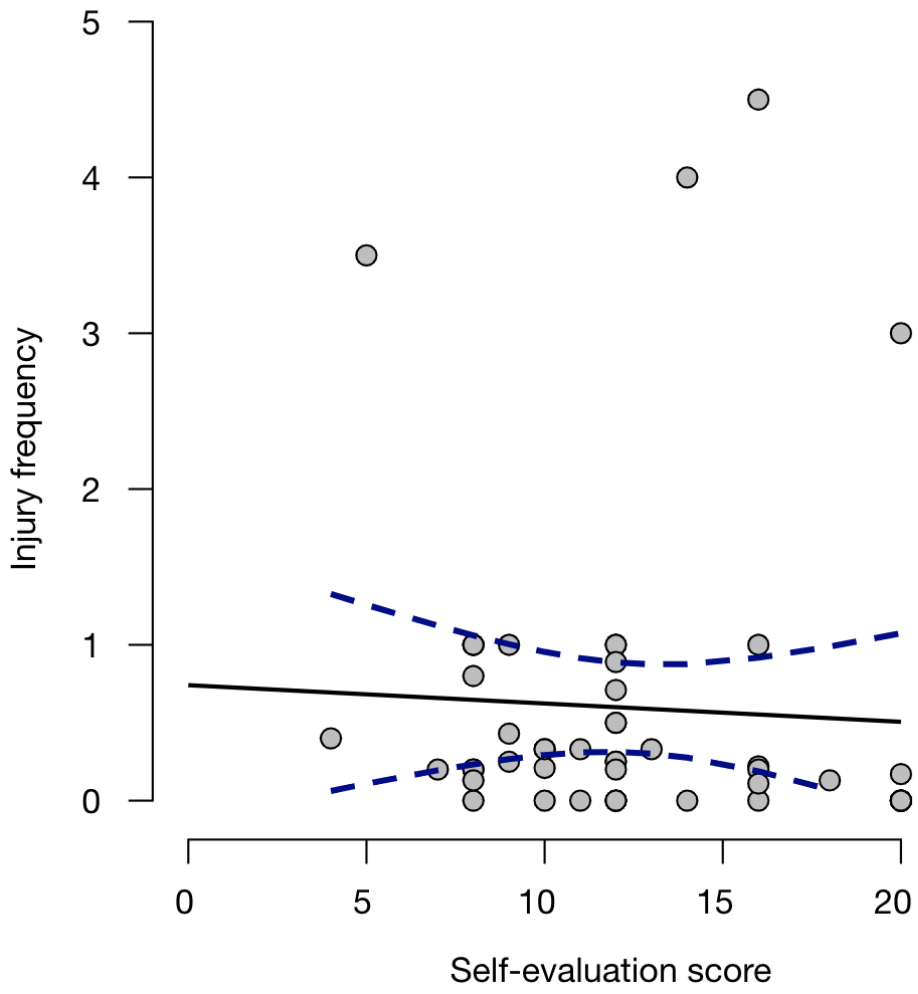


Figure 5

Table 1: Survey sections, including the rationale for each section and references where questions are based on previous research.

	Main Subject	Rationale for data collection	References
1	Qualification and experience	Provide basic information in order to satisfy the definition of professional equine physiotherapist and be included in the study. Provide information on the number of years in work and years of general experience handling horses.	N/A
2	Occupational injury	Determine injury frequency and overall severity, provide qualitative information on types of injury, body areas affected, hospitalisation and days off work.	Fritschi <i>et al.</i> (2006) Lucas <i>et al.</i> (2009a, 2009b) Parkin <i>et al.</i> (2018)
3	Application of training principles in work practice	Assess the participants' application of the principles of LT in their everyday work - here called "equine training principles".	Warren-Smith and McGreevy (2008) Wentworth-Stanley (2013) Brown and Connor (2017) Pearson <i>et al.</i> (2020a)
4	Knowledge and understanding of LT	Evaluate theoretical knowledge of LT. Replicate Brown and Connor (2017) to determine whether making definitions available enhances participants' ability to answer theoretical questions correctly.	Skinner (1953) Warren-Smith and McGreevy (2008) Brown and Connor (2017) McGreevy (2018) Pearson <i>et al.</i> (2020a)
5	Demographics	Provide demographic information for descriptive statistical analysis.	N/A

Table 2:

Name of group	Number of members
ACPAT discussion group	374
Animal/Veterinary Physiotherapists & Other Professions	2100
IRVAP Private Group	278
IAAT Members	134

Table 3: Mean injury frequency for different years of work experience.

Years of work experience	N. of people in group	Mean injury frequency
0-1 years	8	1.25
2-4 years	12	1.09
5-9 years	16	0.27
10-14 years	4	0.29
15-19 years	2	0.17
20-24 years	2	0
25-30 years	1	0

The equine physiotherapy profession may be as hazardous as the veterinary profession.

Equine physiotherapists are most commonly injured to the lower limbs.

Knowledge of learning theory was poor in the surveyed sample.



Click here to access/download
Supplementary Material
Survey.pdf



1 **Practitioner Safety and the Application of Learning Theory related to injury risk in**
2 **Equine Physiotherapy: a Worldwide Survey**

3
4 3

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6 4 Kirsten J. Ruffoni ^a, Bryony E. Lancaster ^a, Gillian Tabor ^b

7
8 5

9
10 6 ^a Royal (Dick) School of Veterinary Studies, University of Edinburgh, Easter Bush, Midlothian,

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12 7 EH25 9RG, UK

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14 8 ^b Hartpury University, Gloucester, GL19 3BE, UK

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29 **Abstract**

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31 In the equine physiotherapy profession, similarly to the equine veterinary profession, there
32 may be a high risk of occupational injury and preventive strategies, such as the application of
33 learning theory (LT), may mitigate workplace injury frequency. The purpose of this study was
34 to identify the frequency of occupational injury among equine physiotherapists and
35 investigate the relationship between injury rate and knowledge of LT. An online survey was
36 distributed, receiving 64 valid responses: of these, 51 were qualified veterinary
37 physiotherapists working with equines and their data were taken forward for analysis. The
38 mean injury frequency was 0.59 ± 1 per year, with 36 respondents reporting at least one injury
39 during their career. There was a moderate negative correlation ($r = -0.34$, 95%CI[-0.56,-0.07],
40 $p < 0.05$) between career length (in years) and injury frequency. The most common site of
41 injury for practitioners was the lower limb ($n = 22$) and the most common type of injury was
42 bruising ($n = 32$). Of the respondents who claimed the work activity they were performing
43 significantly contributed to their most severe injury, six were carrying out equine hindlimb
44 treatment at the time of injury. Knowledge of LT was poor: only 21 of respondents scored 3/9
45 or higher when tested on practical application of LT and 24 achieved a grade of 6/10 or
46 higher on theoretical knowledge, with one respondent achieving 0/10. There was a moderate
47 positive correlation ($r = 0.37$, 95%CI[0.10,0.58], $p < 0.01$) between veterinary physiotherapists'
48 theoretical knowledge scores and their self-evaluation of LT terminology scores. There was
49 no significant correlation between scenario-based and theoretical LT scores and injury
50 frequency. However, there was a weak negative correlation ($r = -0.31$, 95%CI[-0.54,-0.04],
51 $p < 0.05$) between self-evaluation of LT terminology scores and injury frequency. Due to the
52 small number of responses, results from the present study are not representative of the
53 target population. However, results nonetheless highlight a trend of high injury rates and low
54 levels of understanding of equine learning. This is comparable to other equine-related
55 professions, indicating the urgent need of research into better prevention and safety-

56 enhancing strategies, which could reduce the rates of occupational injury and safeguard the
1 welfare of both practitioners and equines.

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6 59 **Keywords**

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8 60 Veterinary Physiotherapy

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10 61 Equine Physiotherapy

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12 62 Injury

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14 63 Learning Theory

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84 **1. Introduction**

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86 All equestrian sports and activities that involve riding or handling horses are considered high-
87 risk [1]. The equine veterinary profession is classified as one of the most dangerous
88 occupations in the UK [2]. Farriers also experience a high level of occupational injury [3]. Due
89 to handling scenarios similar to veterinary interactions, the equine physiotherapy profession
90 may also be a high-risk occupation. However, although equine physiotherapy is an
91 increasingly popular profession [4], to the authors' knowledge, no studies have been
92 performed to date on the potential risks of this occupation. When assessing and treating
93 equines it is important to have an excellent knowledge of equine behaviour, especially how
94 horses learn [5]. Practitioners who understand and are able to prevent flight responses are
95 more likely to achieve stimulus control of the horse, resulting in a more manageable animal,
96 and a lower risk of accident and injury for all parties. Many veterinary students receive
97 inadequate training in equine behaviour and learning, increasing the risk of injury later in their
98 career [2]. Equine physiotherapists may also be lacking such training, although research is
99 needed to confirm this. The present study aims to identify the frequency and severity of
100 injuries sustained by equine physiotherapists during their career. The current level of
101 behavioural knowledge among equine physiotherapists will be analysed, in order to evaluate
102 whether a greater awareness of equine behaviour and learning could help to better manage
103 occupational risk. In fact, a high level of knowledge of behaviour and learning could
104 potentially reduce occupational risk for professional equine physiotherapists, as well as
105 improving equine welfare by reducing the use of physical or chemical restraints.

106
107 *1.1. Veterinary injuries*

108 Studies conducted in Australia reported injury rates between 21% to 39% for veterinarians
109 working with equines [6,7,8]. More recently, a survey conducted in the UK found that equine
110 veterinarians were likely to sustain between seven and eight injuries over the course of a 30-
111 year career, with 80% reporting to have sustained one or more equine-related injuries. 49.1%

112 of injuries were caused by a hindlimb kick and 11.8% by a forelimb strike [9]. Similar results
113 were obtained by Pearson *et al.* [10], who reported that 81% of UK equine veterinarians had
114 sustained at least one injury in the past five years. Some of the main advantages of collecting
115 injury related data through surveys are that information can be gathered from a large sample
116 of the target population [11] and that injuries that did not require hospitalisation can also be
117 included. One disadvantage of this method is that it subjects participants to recall bias, or
118 struggling to remember events as they actually happened [12].

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120 *1.2. Equestrian injuries*

121 The majority of equestrian injuries occur during riding, with 65% to 80% reporting being
122 injured as a result of falling or being thrown from a horse, whilst 20%-30% of injuries are
123 reported to have occurred due to being kicked, crushed or bitten when handling a horse from
124 the ground [12,13,14,15]. Such behaviours may arise due to multiple factors, such as fear,
125 separation anxiety, novel environments or when a horse is confused by incorrect or
126 inconsistent signals, losing the ability to control or predict its environment [16]. Handler/rider
127 inconsistencies often lead to unwanted reactions, also known as “conflict behaviours”
128 [2,16,17]. This has led some authors to suggest that, as well as increased use of personal
129 protective equipment [15,18,19], increasing the level of knowledge and understanding of
130 equine behaviour and learning patterns could provide a means of decreasing risk when
131 dealing with horses [1,14]. However, as Thompson *et al.* [1] emphasise, the success of such
132 interventions is heavily influenced by the equestrian culture to which it is applied, with risk
133 being higher in those cultures with low levels of horse ethology, as flight responses are
134 poorly understood and consequently hard to prevent.

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136 *1.3. The application of Learning theory as a safety intervention*

137 Knowledge of equine ethology and correct application of LT have often been suggested as
138 potential safety interventions, or injury prevention strategies, when dealing with equines
139 [2,5,10,14,20,21,22,23,24]. In order to apply LT to equine training, it is important to be aware

140 of the equine ethogram and be capable of reading and interpreting the various behaviours
1 expressed by the horse, such as arousal-level indicators and expressions of aversiveness or
2 141 conflict [25,26]. During training, correct reinforcement of a given response requires
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4 142 consistency and precise timing so as not to confuse the animal or reinforce undesired
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6 143 behaviours [25]. In a recent study on Australian equestrians, Luke *et al.* [24] suggested that
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8 144 lack of theoretical knowledge may be contributing to poor outcomes for both equestrians and
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10 145 horses when applying LT as an injury prevention strategy. Further, when applying these
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12 146 methods, it is important to acknowledge and avoid the state of learned helplessness, where
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14 147 the animal is confused, no longer knows how to respond in order to avoid a stimulus, and
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16 148 stops reacting [27]. For these reasons, some basic training in the correct application of LT to
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18 149 equines may be beneficial to all amateurs and professionals involved in the equine industry,
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20 150 contributing to reduce behavioural wastage and enhance horse welfare as well as possibly
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22 151 increasing the safety of all parties involved [2,16,25].
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31 154 *1.4 Learning theory and veterinarians*

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33 155 When considering the veterinary profession, Doherty *et al.* [2] found a lack of available
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35 156 research on the practical applications of LT for veterinarians and emphasised the importance
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37 157 of understanding how veterinary procedures can influence equine behaviour manifestations.
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39 158 They concluded that veterinarians should be better equipped to handle potentially dangerous
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41 159 reactive behaviours manifested when carrying out medical procedures. In 2020, Pearson *et*
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43 160 *al.* [10] conducted a survey of UK equine veterinarians in which 168 respondents subjectively
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45 161 rated their understanding of the theoretical terminology as well as answering a series of
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47 162 situational questions. For respondents who self-reported understanding of the terms positive
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49 163 reinforcement and negative reinforcement, the study found that only 19% and 33%
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51 164 respectively were able to identify the correct scenarios in the situational questions. Fewer
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53 165 participants still were confident of the terms positive punishment and negative punishment,
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55 166 whilst habituation and classical conditioning were more widely understood. Veterinarians in
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60 167 this study reported a total of 579 injuries, indicating that commonly available preventative
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168 measures, such as protective equipment and current workplace safety protocols [28] were
1 either not being applied or not sufficiently effective for this profession [10]. Over-reliance on
2 169 physical or chemical methods of restraint over behavioural interventions was also highlighted
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4 170 as a risk to both safety and welfare. Both Doherty *et al.* [2] and Pearson *et al.* [10,29]
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6 171 suggested that an improved understanding and application of LT could reduce the risk of
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8 172 injury to veterinarians, reduce the occurrence of litigations, and enhance equine welfare and
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10 173 owner safety by improving handling skills and minimising behavioural conflict. Further, in a
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12 174 two-part informative article on the practical application of LT, Pearson [30,31] reviewed a
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14 175 series of techniques which could prove helpful to the equine veterinarian when assessing
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16 176 and treating animals, such as shaping, counter conditioning and overshadowing. These
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18 177 behavioural interventions may aid in the assessment and diagnosis of equines, which are
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20 178 often affected by stress, as well as improving patients' ability to cope [2]. The importance of
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22 179 teaching LT and ethology to veterinary undergraduates as a means of enhancing knowledge
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24 180 and reducing the risk of occupational injury later in their career was also discussed by
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26 181 Doherty *et al.* [2]. Research by Gronqvist *et al.* [32,33] and Guinefolau *et al.* [34] looked at
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28 182 veterinary students' handling skills and understanding of equine behaviour and found many
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30 183 to be poorly equipped to deal with equines safely. Pearson *et al.* [29], after conducting a
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32 184 survey of veterinary students' understanding of equine learning and training principles before
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34 185 and after a 45-minute lecture on LT, concluded that a single educational intervention could
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36 186 prove beneficial in changing the attitudes and confidence of undergraduate students when
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38 187 working with difficult horses. Overall, these studies have highlighted how veterinary students
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40 188 are often inadequately prepared to deal with equines in practice, as well as further confirming
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42 189 the importance of adequate teaching in equine behaviour and learning as an appropriate
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44 190 safety-enhancing intervention. Due to the similarity of equine handling situations, such
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46 191 considerations may also be applicable to equine physiotherapists, who could also benefit
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48 192 from enhanced knowledge and the ability to apply LT in their everyday work.
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195 1.5. Learning theory and equestrians

196 Warren-Smith and McGreevy [20] and Wentworth-Stanley [21] found a poor understanding of
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2 197 LT among equestrian professionals in Australia and Canada, respectively. These studies
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4 198 have emphasised that greater education of equestrian coaches could favour the
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6 199 dissemination of information on correct training practices and potentially improve the human-
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8 200 horse relationship. Improved knowledge and application of LT in training would also limit
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10 201 equine wastage through reduced behavioural conflict and result in improved training
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12 202 outcomes [20,21,35]. Hawson *et al.* [14] predicted that equitation science, incorporating
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14 203 ethology and LT, may be important in reducing the unpredictability of horse-human
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16 204 interactions and could therefore contribute to injury risk reduction. They emphasised that a
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18 205 clear understanding of equine ethology and of the specific behaviour patterns exhibited by
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20 206 horses in handling and riding situations could help to predict and manage responses and
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22 207 reactions, preventing or reducing unwanted flight responses and other conflict behaviours
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24 208 [14]. More recently, Luke *et al.* [24] found the level of knowledge of LT to have slightly
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26 209 improved in the Australian equestrian environment over the past decade, although it is still
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28 210 severely lacking. They looked at the correlation between the theoretical knowledge of LT and
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30 211 the number of equestrian injuries and found no relationship, although they acknowledged that
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32 212 practical application of learning theory was difficult to measure through a survey based study.
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34 213 Further, they emphasised that the improved, but very low current level of knowledge may not
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36 214 be sufficient to achieve improved horse welfare and rider safety benefits [24].
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44 216 The present study aimed to identify the frequency and severity of injuries sustained by
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46 217 equine physiotherapists during their career. The current level of behavioural knowledge
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48 218 among equine physiotherapists was analysed, in order to evaluate whether a greater
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50 219 awareness of equine behaviour and learning could help to better manage occupational risk.
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55 221 **2. Materials and Methods**

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60 223 *2.1. Survey design*

224 The present study used a survey questionnaire comprising 29 questions and administered
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2 225 through the JISC Online Surveys platform, which is GDPR compliant [40]. A pilot was
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4 226 submitted to eight peers prior to commencing the study, and feedback was incorporated into
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6 227 the final version of the questionnaire. The survey received approval from the University of
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8 228 Edinburgh Human Ethical Review Committee (HERC) in August 2021, with reference n.
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10 229 HERC_740-21. The sections of the survey, including the rationale for each section and
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12 230 references where questions are based on previous research, are summarised in Table 1.
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14 231 Theoretical questions were based on self-evaluation and matching of terms with examples
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16 232 rather than the recollection of a complete definition. This choice was made after evaluating
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18 233 that the “partially correct” answers in Warren-Smith and McGreevy [20] and Brown and
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20 234 Connor [35] would be considered sufficiently correct for this study and that knowledge of a
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22 235 full correct definition may not reflect working/applied knowledge of the subject.
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28 237 *2.2. Sampling frame*

30 238 The sampling frame for this study was all professional equine physiotherapists (or equivalent)
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32 239 working in any country and able to understand and complete a survey in English.
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34 240 Respondents who did not satisfy these criteria were either excluded from the study or
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36 241 included in an “other” group if their qualification and job could be considered similar to that of
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38 242 a veterinary physiotherapist, as was the case with Registered Veterinary Nurses practising
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40 243 physiotherapy.
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44 244 *2.3. Recruitment of participants, response rate and sample size*

46 245 Participants for the study were recruited through the social media platform Facebook as it is
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48 246 a quick and straightforward way of contacting a large part of the target population. Following
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50 247 agreement by group administrators, a link to the survey was posted, together with a short
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52 248 description, to the official Facebook Groups of the main voluntary Veterinary Physiotherapist
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54 249 Registers (Table 2). The survey was open for eight weeks and two reminder posts were
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251 created; one at three weeks post-opening and one at six weeks post-opening. Respondents
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2 252 were also able to share the survey link with other professionals in their network.

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4 253 The free online tool Raosoft [41] was used to calculate a desired sample size with a 5% error
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6 254 margin and 95% confidence interval. As the size of the worldwide population of qualified
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8 255 veterinary physiotherapists working with equines is unknown, estimates of between 500 and
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10 256 10000 were made. The desired sample size was calculated at 218 to 370 individuals and the
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12 257 choice was made to target 2000 potential respondents through social media distribution.

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17 259 *2.4. Survey data scoring*

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20 260 Injury frequency was calculated by dividing the number of reported injuries by the years of
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22 261 work. Overall severity of injuries was determined by calculating the mean of the reported
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24 262 injury severity rates and summative scores were calculated. Up to three answer options
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26 263 could be selected and scoring calculations were automated in the statistical software R.

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28 264 Where respondents selected “other” and detailed their answers, these were scored manually
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30 265 by the researcher.

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35 267 *2.5. Data analysis*

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37 268 Data analysis was performed using R language for statistical computing [42] and graphs
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39 269 made using JASP statistical software [43]. Collected data was either interval or ranked: non-
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41 270 parametric tests were considered stronger for these types of data as many variables did not
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43 271 satisfy the assumption of normal distribution [36]. Spearman’s Rank Correlation Coefficient
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45 272 was used to analyse the correlation between the frequency and severity of injury and the
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47 273 level of knowledge and understanding of the principles of LT; whether respondents who
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49 274 demonstrated a solid theoretical understanding of the principles of LT were also more likely
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51 275 to apply these in their everyday practice; and to investigate the role work experience and
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53 276 general handling experience play in injury occurrence. The correlation between self-
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55 277 perception of knowledge, frequency/severity of injury and actual knowledge of LT was also
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57 278 explored in this way. Finally, the efficacy of providing a short information diagram in
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279 improving respondents' theoretical understanding of the principles of LT was analysed using
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2 280 the Wilcoxon Signed-Rank test. For all tests, significance was set at $p<0.05$.

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6 282 **3. Results**

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11 284 A total of 66 survey responses were received. Two responses were excluded from the study
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13 285 as they did not satisfy the selection criteria, 51 were classified as “veterinary
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15 286 physiotherapists” and 13 were grouped in the category “Other”. Unless otherwise stated,
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17 287 reported results refer to the category “veterinary physiotherapists” only. Response rate was
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20 288 33% of all people who opened the survey link from Facebook.
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24 290 *3.1. Demographics*

26 291 Out of the 51 appropriately qualified survey respondents, 36 were female and based in the
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29 292 United Kingdom. Other represented countries included the United States of America, South
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31 293 Africa, Australia, New Zealand, Ireland and Canada. Gender, location or age did not highlight
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33 294 any significant differences within the group. The type of veterinary physiotherapy qualification
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35 295 and register membership was also not significant.

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38 296 The mean number of years of work experience for veterinary physiotherapists was 7.17
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40 297 years \pm 6.37 (max=30, min=0.5).

42 298 The mean number of horses treated per month was 22.1 \pm 12.2 (max=35, min=2).

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47 300 *3.2. Veterinary physiotherapist injury rates*

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49 301 Mean injury frequency was 0.59 \pm 1 per year (max=4.5, min=0), with 36 respondents reporting
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51 302 at least one injury during their career, and 32 reporting one or more injuries in the past five
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53 303 years. The mean injury frequency decreased as work experience increased, as summarised
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55 304 in Table 3. This indicates that, on average, equine physiotherapists will experience 5.8
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58 305 injuries in the first five years of their career, 2.8 injuries in the following 10 years, 0.8 injuries
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306 between 15 and 20 years of work and no further injuries later on in their career, with an
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2 307 average of 9.4 injuries over a 30-year career.

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4 308 Spearman's rank correlation was computed to assess the relationship between work
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6 309 experience (in years) and injury frequency. There was a moderate negative correlation
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8 310 between the two variables [37] for veterinary physiotherapists ($r=-0.34$, 95%CI[-0.564, -
9 311 0.072], $p<0.05$), as represented in Figure 1. For the "Other" group, there was no significant
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11 312 correlation.

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13 313 The injury rates for body area and injury type show that lower limb injuries make up the most
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15 314 common site of injury (43%, $n=22$, Figure 2) and that by far the most common type of injury is
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17 315 bruising (63%, $n=32$, Figure 3).

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19 316 Of 36 respondents reporting ≥ 1 injury, eight required hospitalisation. The average
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21 317 number of working days lost due to injury was 14.5, with six respondents reporting losing ≥ 30
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23 318 days.

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25 319 Respondents reported what activity they were carrying out at the time of injury and rated the
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27 320 contribution of the activity in causing the injury. Overall, 18 respondents claimed the activity
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29 321 they were performing significantly contributed to their most severe injury. Of these, 34%
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31 322 ($n=6$) were carrying out hindlimb treatment at the time of injury and 17% ($n=3$) were carrying
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33 323 out generic treatment (Figure 4).

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36 325 *3.3. Knowledge and application of learning theory*

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38 326 Practical application of LT was assessed through three situational based questions with
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40 327 scoring -9/+9. Respondents had the possibility of selecting "Other" and giving a free-text
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42 328 answer. These answers were manually evaluated and scored according to LT principles:

43 329 - 21 respondents scored 3/9 or higher (mean=4.5, max=7, min=3).

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45 330 - 30 respondents scored 2/9 or lower (mean=0.07, max=2, min=-3).

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47 331 No significant correlation with other parameters, such as injury frequency or theoretical
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49 332 knowledge of LT, was found.

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333 Respondents were asked to self-assess their knowledge of LT, rating each term on a scale
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2 334 from 1 "Poor" - 5 "Excellent". Knowledge of PR was rated "very good" or "excellent" by 27
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4 335 respondents, NR by 25 respondents, PP by 14 respondents and NR by 15 respondents.

6 336 When testing theoretical knowledge of the principles of LT, scores were assigned to each of
7
8 337 five scenarios before and after viewing an information diagram. 28% (n=14) of qualified
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10 338 veterinary physiotherapists achieved 4/5 or 5/5 in the first set of theoretical based situational
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12 339 questions, and 37% (n=19) in the second set. The efficacy of providing an information
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14 340 diagram to improve theoretical knowledge of LT was evaluated using the Wilcoxon Signed-
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16 341 Rank test and was significant ($p<0.05$) for veterinary physiotherapists, but not for the "Other"
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18 342 group.

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22 343 Spearman's rank correlation was computed to assess the relationship between self-
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24 344 evaluation scores and theoretical knowledge scores for veterinary physiotherapists. There
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26 345 was a moderate positive correlation between the two variables ($r=0.36$, 95%CI[0.101,0.583],
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28 346 $p<0.01$).

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30 347 Respondents self-evaluated the usefulness of their professional qualification in dealing with
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32 348 behavioural issues, with 18% (n=9) of qualified veterinary physiotherapists considering their
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34 349 professional education sufficient in preparing them for dealing with equine behavioural
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36 350 issues, compared to 54% (n=7) in the "Other" group.

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41 42 352 *3.4. Correlation between injury frequency and knowledge of learning theory*

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44 353 Spearman's rank correlation was computed to assess the relationship between self-
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46 354 evaluation of knowledge of LT scores and injury frequency for veterinary physiotherapists.

47
48 355 There was a weak negative correlation between the two variables ($r=-0.31$, 95%CI[-0.542,-
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50 356 0.041], $p<0.05$), as shown in Figure 5.

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52 357 There was no significant correlation between injury frequency or injury severity and practical
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54 358 or theoretical LT score for veterinary physiotherapists or the "Other" group. There was also
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56 359 no significant correlation between injury frequency or LT scores and the perceived
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58 360 usefulness of respondents' professional qualification or equine handling experience.

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4. Discussion

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364 Results of the present study indicated that injury frequency in the equine physiotherapists'
365 sample was similar to that of the equine veterinary profession and that participant equine
366 physiotherapists generally showed a low level of knowledge and understanding of equine LT.

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368 *4.1. Occupational injury rates*

369 Of the 51 veterinary physiotherapists working with equines who took part in the study, 70%
370 reported at least one occupational injury in the course of their career. The mean injury
371 frequency was 0.59 injuries per year. Injury frequency was significantly reduced by the years
372 of work experience, indicating that practitioners may experience the majority of occupational
373 injuries early on in their career. When factoring in this reduction in injury frequency over time,
374 it was estimated that equine physiotherapists may sustain just over nine injuries over the
375 course of a 30-year career. This is comparable to the results obtained by Parkin *et al.* [9],
376 which found that equine veterinarians sustain on average seven to eight injuries over 30
377 years and that the majority of these injuries occur during the first five years of veterinary
378 practice, as Pearson *et al.* [29] also reported.

379 The most common site of injury was the lower limb (n=22), followed by the upper limb, trunk
380 and head. Previous studies on equine veterinarians also found the lower limb to be the most
381 common site of injury. Bruises were the most common type of injury both in the present study
382 and in previous works, followed by sprains and fractures [7,9,14]. 22% of survey respondents
383 reporting one or more injuries required hospitalisation at least once during their career,
384 compared to 33% in the study conducted by Parkin *et al.* [9]. Although direct comparisons
385 between studies are hard to draw, due to the difference in data collection methods and injury
386 categorisation, there are sufficient similarities to indicate that sampled veterinary
387 physiotherapists working with equines show comparable injury patterns to equine

388 veterinarians [6,8,9,10]. This suggests that proposed safety interventions for equine
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2 389 veterinarians [2,9,14] may also be applicable to the equine physiotherapy profession.
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4 390 When comparing injuries reported in the present work with equestrian injuries in general, it is
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6 391 interesting to note the 10% to 20% prevalence of hindlimb kicks reported as the second most
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8 392 common cause of equestrian injuries after riding [12,13,14,15]. Respondents in the present
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10 393 study described the activity carried out at the time of their most severe injury and evaluated
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12 394 how much this activity may have contributed to causing the injury. Hindlimb treatment
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14 395 represented 34% (n=6) of all activities that greatly influenced the most severe injury
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16 396 occurring. When looking once again at available data from the equine veterinary profession,
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18 397 hindlimb kicks also feature prominently [7,9].
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20 398 When comparing data from various professions it is important to consider not only the
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22 399 similarities, but also the differences in everyday work. Veterinarians often work in emergency
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24 400 situations and rely heavily on chemical restraint [10]. Physiotherapists cannot administer
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26 401 drugs [38] and are therefore more inclined to use physical restraint and behavioural
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28 402 interventions, as well as treating the same patient multiple times [4]. Many respondents
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30 403 reported moving to treat a different area of the horse in an attempt to relax the animal, rather
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32 404 than persist with a problematic area: such an intervention may not be an option for a
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34 405 veterinarian working in an emergency situation. Further, equine physiotherapists treat chronic
35
36 406 conditions over multiple sessions, enabling them to work at a slower pace whilst allowing the
37
38 407 horse to get accustomed to the practitioner and treatment [4], whereas veterinarians will
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40 408 often be called to diagnose and treat acute injuries or pathologies within a single intervention.
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49 410 *4.2. Knowledge and application of learning theory*

50
51 411 The overall level of knowledge of LT among study participants was poor, with only 14
52
53 412 qualified veterinary physiotherapists making zero or one mistake in the first set of theoretical
54
55 413 based situational questions, and 19 in the second set, which was completed after viewing an
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57 414 information diagram. Similar results were obtained by those respondents who were not
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59 415 qualified veterinary physiotherapists (massage therapists, osteopaths and others). In the
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1
2 416 situational questions which tested the practical application of the principles of LT, only about
3 417 40% (n=21) of respondents obtained at least 3/9 points over three questions.

4 418 Direct comparisons with previous studies are hard to make due to the differences in
5
6 419 methodologies, survey questions and terminology used. For the present study, it was
7
8 420 decided to focus on situational based questions rather than textbook definitions, following a
9
10 421 similar methodology to Pearson *et al.* [10]. As a section for providing alternative answers
11
12 422 from those possible was provided, many respondents chose to explain what they would do in
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14 423 each of the given situations in ways that did not consider equine behaviour and learning.
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16 424 Although not all such answers could be considered wrong, they nonetheless suggested that
17
18 425 many practitioners did not consider the application of LT as a first choice when dealing with
19
20 426 difficult situations, as well as highlighting the complexity of evaluating respondents' practical
21
22 427 application of principles through a self-evaluation survey.

23
24 428 Knowledge and understanding of equine learning have been identified by Warren-Smith and
25
26 429 McGreevy [20], Wentworth-Stanley [21], Luke *et al.* [24] and Brown and Connor [35] as
27
28 430 lacking among equestrian communities worldwide. Interestingly, in the study by Wentworth-
29
30 431 Stanley [21], 82.3% of Canadian coaches considered release of pressure (which is negative
31
32 432 reinforcement) as the most effective reward - implying the application of the principles of LT,
33
34 433 although only 8.6% correctly defined the term Negative Reinforcement in the survey. Such
35
36 434 contrasting results suggest that further research is required in order to define a standard for
37
38 435 the measure of knowledge in various equine industries and communities, before drawing any
39
40 436 definitive conclusions.

41
42 437 The use of an informational diagram explaining the key terminology was found to be useful in
43
44 438 increasing the number of correct responses. The aim of this addition was to test whether a
45
46 439 minor educational action could prove sufficient in increasing knowledge among equine
47
48 440 physiotherapists, and the result was statistically significant. This implies that small, cost-
49
50 441 effective interventions could be easily applied to a variety of situations, as demonstrated by
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52 442 Pearson *et al.* [29] in their study of Veterinary Medicine students. The level of training in
53
54 443 equine behaviour and learning in veterinary physiotherapy degrees is unknown, however
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444 only nine out of 51 qualified respondents reported their degree/diploma was sufficient in
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2 445 preparing them for dealing with equine behavioural issues. There was no difference in the
3
4 446 injury frequency of LT scores for these nine respondents, compared to the main group. This
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6 447 suggests that adequate training may not be occurring in academic institutions.

8
9 448 Overall, results from the surveyed sample indicated a poor knowledge of LT, which also
10
11 449 suggested a poor level of application. The free text answers indicated that LT was not
12
13 450 something many practitioners would consider as a solution to handling a difficult situation.
14

15 451

17 452 *4.3. The relationship between injury risk, learning theory and other factors*

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20 453 The aim of this study was to investigate the injury rates and knowledge of LT among equine
21
22 454 physiotherapists in order to better understand whether injury frequency and severity may be
23
24 455 influenced by the level of education in equine behaviour and learning principles within this
25
26 456 profession. Interestingly, although 94% of veterinary physiotherapists reported their handling
27
28 457 experience was “very” or “extremely” useful, this did not correlate in any way with the
29
30 458 frequency of injury, indicating that the risks incurred when assessing and treating equine
31
32 459 patients did not depend on how many years respondents had been around horses. This
33
34 460 finding correlates poorly with what was reported by Guinnefollau *et al.* [34], who found that
35
36 461 veterinary students who came from horse-owning families were better at correctly interpreting
37
38 462 equine behaviour than those that had no prior experience with horses, suggesting that this
39
40 463 may help to mitigate the risk of injury when working with equines. However, the study by
41
42 464 Guinnefollau *et al.* [34] did not evaluate the injury frequency of surveyed students after
43
44 465 joining the workforce and further research would be necessary to test whether students’ prior
45
46 466 behavioural understanding may influence occupational injury rates later in their career.

50
51 467 Whereas handling experience was not a significant factor in injury rate reduction, the years of
52
53 468 work experience were found to influence injury frequency, with the majority of reported
54
55 469 injuries occurring early on in practitioners’ careers, similarly to what was reported for equine
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57 470 veterinarians in the UK [9,10]. For this reason, appropriate shadowing of experienced
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59 471 practitioners may be a valuable strategy in injury prevention, which merits further research.
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1
2 472 The direct relationship between injury rates and knowledge and application of LT among
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4 473 equine physiotherapists was harder to affirm. Although a statistically significant, negative
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6 474 correlation was found between injury frequency and self-evaluation scores for LT
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8 475 terminology, this correlation was weak and therefore inconclusive. Self-evaluation scores
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10 476 also correlated positively with theoretical understanding scores, indicating a moderate
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12 477 relationship between respondents' perceived and actual level of knowledge. However, when
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14 478 Spearman's Rank correlation coefficient was calculated to assess the relationship between
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16 479 injury frequency and knowledge and application of LT, results were not significant. Similar
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18 480 results were obtained in a 2023 study by Luke et al. [24], which also failed to identify a
19
20 481 significant relationship between knowledge of LT and improved safety.

21
22 482 A direct relationship between reduced injury rates and enhanced understanding of equine
23
24 483 learning has been suggested many times in the literature [2,10,14], however, in the present
25
26 484 study data show high injury rates and low levels of LT knowledge, but no significant
27
28 485 correlation was found. This suggests that better knowledge of LT may play a role in
29
30 486 improving practitioner safety, however, it may not be the sole contributing factor, making a
31
32 487 significant relationship hard to establish. Finally, although a trend was found in injury
33
34 488 frequency patterns, the severity of injuries appeared much more casual, suggesting that
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36 489 prevention strategies may be the most important type of safety intervention in the profession,
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38 490 with focus on a strong understanding of equine behaviour and the ability to anticipate flight
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40 491 responses and other unwanted or dangerous reactive behaviours [9,14,17].

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42 492
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44 493 The results of the present study are not sufficient to imply that knowledge and correct
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46 494 application of the principles of LT in equine physiotherapy practice are factors that
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48 495 significantly contribute to decreasing the frequency and overall severity of occupational
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50 496 injuries in the profession. However, these results nonetheless highlight a trend of high injury
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52 497 rates and low levels of understanding of equine learning, which is comparable to that of other
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54 498 equine-related professions and for which there is urgent need of research into better
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499 prevention and safety-enhancing strategies, which could reduce the rates of occupational
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2 500 injury and safeguard the welfare of both practitioners and equines.

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4 501 The underpinning knowledge and the ability to apply the principles of LT to the profession
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6 502 were also found to be insufficient in the surveyed sample, indicating that equine
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8 503 physiotherapists may be facing similar issues to equine veterinarians and equestrians when
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10 504 dealing with horses [2,14].

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13 505 Based on the results from this study, and currently available research, a few
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15 506 recommendations can be made. First of all, the frequency of injury in the equine
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17 507 physiotherapy profession should be clearly explained to students in training, so that they may
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19 508 seriously consider this aspect of the profession once they are qualified. It is also important to
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21 509 highlight how prior horse handling experience may play a small role in injury prevention,
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23 510 compared to actual work experience, suggesting that ample time should be spent shadowing
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25 511 experienced practitioners when in training and in the early stages of work.

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30 31 513 *4.4. Study Limitations*

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33 514 As only 64 valid responses were received, of which 51 from appropriately qualified veterinary
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35 515 physiotherapists working with equines, results from the present study cannot be considered
36
37 516 representative of the target population. In addition, as the majority of respondents were
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39 517 based in the UK, the results may not be applicable to other countries which were represented
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41 518 by fewer responses. The choice of a survey was made because it was a cost-effective way of
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43 519 reaching a wide number of respondents [11]. However, this methodology is subject to recall
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45 520 bias, or the difficulty in recalling events as they actually happened, social bias, in which
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47 521 respondents choose the most socially acceptable answers rather than the most truthful, and
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49 522 sample bias, where certain categories of respondents may be more willing to respond to a
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51 523 survey because the topic is of particular interest to them [12,34,35,39]. In addition to this, the
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53 524 subjectiveness of what is considered an injury and how severely each injury is rated, as well
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55 525 as the difficulty in remembering minor injuries, are factors which merit consideration when
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57 526 evaluating and interpreting study results. Finally, trying to evaluate the practical application of
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527 the principles of LT through a self-assessment survey proved problematic, as many
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2 528 respondents chose to provide alternative answers which were unrelated to equine learning.
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4 529 Despite these limitations, overall the present study proved useful in investigating injury rates
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6 530 and the knowledge of LT of sampled equine physiotherapists, setting a baseline for further
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8 531 research.

10 532

13 533 **5. Conclusion**

15 534

17 535 This study aimed to investigate the occupational risk of the equine physiotherapy profession
18
19 536 and the understanding of the principles of LT, in order to evaluate the effectiveness of such
20
21 537 knowledge in preventing or mitigating occupational injury rates. Despite the studied sample
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23 538 size being small and not representative of the worldwide equine physiotherapists' population,
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25 539 the study results nonetheless indicated that the equine physiotherapy profession may be as
26
27 540 hazardous as the equine veterinary profession, which is among the most dangerous
28
29 541 occupations in the UK [2]. Such a consideration definitely merits further investigation into
30
31 542 occupational injury rates and workplace safety interventions for equine physiotherapists.
32
33 543 In conclusion, although the results of the present study cannot be generalised to the entire
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35 544 equine physiotherapy population, the profession may pose a high level of risk and more
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37 545 should be done to address workplace safety, through better education and the development
38
39 546 of preventative strategies for dealing with equines.
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46 548 **Acknowledgements**

48
49 549 This research did not receive any specific grant from funding agencies in the public,
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51 550 commercial or not-for-profit sectors.
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8 698 **Manufacturer Details**

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41 42 713 **Table Captions**

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44 714

45
46 715 Table 1: Survey sections, including the rationale for each section and references where
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48
49 716 questions are based on previous research.

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53 718 Table 2: Target Facebook Groups and number of members in each group.

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57 720 Table 3: Mean injury frequency for different years of work experience.

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722 **Figure Captions**

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723
724 Figure 1: Scatter plot showing the negative correlation between the years of work experience
725 and the injury frequency of veterinary physiotherapists. The 95%CI[-0.564,-0.072] is
726 represented by the blue dotted lines.

727
728 Figure 2: Boxplot showing the reported injury rates for each body area.

729
730 Figure 3: Boxplot showing the injury rate for each type of reported injury.

731
732 Figure 4: Respondents' rating of how much the activity they were performing at the time of
733 their most severe injury, contributed to the injury itself. Of respondents who rated the activity
734 as contributing "very much" or "extremely", six were performing hindlimb treatment, three
735 were performing generic treatment, two were handling the horse and two were riding.

736
737 Figure 5: Scatter plot showing the weak negative correlation between self-evaluation of
738 knowledge of LT score and the frequency of injury of veterinary physiotherapists. The
739 95%CI[-0.542,-0.041] is represented by the blue dotted lines.



THE UNIVERSITY of EDINBURGH
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of Veterinary Studies

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3 Human Ethical Review Committee (HERC)

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17 25th August 2021

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21 Dear Kirsten

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23 The research described in your application HERC_740_21 entitled "Safety and Welfare: the
24 Application of Learning Theory in Equine Physiotherapy" now has HERC ethical approval.

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27 You may proceed with this research only on the basis that it conforms to the description you
28 provided and the assurances you made in your application. If you undertake research that deviates
29 in any significant way from the application you submitted, that research does not have the HERC's
30 approval. If, following the receipt of ethical approval, you find that you want or need to change your
31 methods and/or materials in any significant way, you must submit a revised application.
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35 Researchers:

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37 *Kirsten Ruffoni*

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39 *Bryony Lancaster*

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41 *Gillian Tabor*
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