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# On the Impossibility of Surviving (Iterated) Deletion of Weakly Dominated Strategies in Rational MPC

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## Abstract

Rational multiparty computation (rational MPC) provides a framework for analyzing MPC protocols through the lens of game theory. One way to judge whether an MPC protocol is *rational* is through weak domination: Rational players would not adhere to an MPC protocol if deviating never decreases their utility, but sometimes increases it.

Secret reconstruction protocols are of particular importance in this setting because they represent the last phase of most (rational) MPC protocols. We show that most secret reconstruction protocols from the literature are not, in fact, stable with respect to weak domination. Furthermore, we formally prove that (under certain assumptions) it is impossible to design a secret reconstruction protocol which is a Nash equilibrium but not weakly dominated if (1) shares are authenticated or (2) half of all players may form a coalition.

**Keywords:** Game Theory, Rational Secret Sharing, Multiparty Computation, Rational Cryptography, Iterated Deletion of Weakly Dominated Strategies.

## 1 Introduction

A multiparty computation (MPC) protocol is one that allows  $n$  parties, each with their own secret input  $x_i$ , to jointly compute the value of a function  $f(x_1, \dots, x_n)$ . Applications range from jointly evaluating statistics on confidential data in a privacy-preserving way, to replacing trusted parties which setup cryptographic systems, to substituting trusted hardware by software. Security typically ensures the correctness of results while guaranteeing to leak no more information about the inputs than the computation’s result itself leaks. Traditionally, these properties must hold with respect to adversaries that are allowed to corrupt certain parties while non-corrupted parties honestly follow the protocol prescriptions.

In this paper, we are interested in *rational MPC* [HT04], i.e. rather than partitioning the MPC protocol participants into a set of strictly honest and a set of arbitrarily malicious parties, we instead analyze the parties’ behavior from a game-theoretic point of view. This means that we assume that

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every participant is *rational* (rather than honest or malicious) and tries to maximize some utility function. Rational MPC addresses the following issues with the standard MPC definition: On one hand, the standard definition is too strong because it covers arbitrarily irrational destructive behavior. On the other hand, the standard definition is too weak because it assumes that at least one party honestly executes the protocol even if it is potentially irrational to do so. Rational MPC offers an alternative that takes game-theoretic incentives into account when evaluating MPC protocols. It is the better formalization for scenarios where one can reasonably assume participants to act rationally (e.g., in economics).

Using game theory terminology, the  $n$  MPC parties are *players*. Each player  $i$  chooses a *strategy*  $M_i$ , which is an interactive Turing machine describing how they want to behave in the protocol. Then the Turing machines run their programs, interacting with each other. At the end, the utility of each player is determined, roughly speaking, by their machine’s output. An MPC protocol  $(M_1, \dots, M_n)$  is a tuple of suggested strategies for the  $n$  players, also called a *mechanism*. The goal is to design mechanisms which are stable in the sense that rational, utility-maximizing participants follow their prescriptions. A common notion of stability is the Nash equilibrium (NE), where no player  $i$  can (significantly) improve her expected utility by deviating from her prescribed strategy  $M_i$ . In some situations, especially when there is uncertainty about the other players’ strategies or utilities, a NE is considered too weak and additional properties are required. For example, think of switching to a strategy which additionally to the original behaviour protects against some denial of service attack by the other players. If it is possible to protect against such an attack without additional cost, why should a player not switch? Additionally, uncertainty may generally arise in *network settings*, where the other players’ strategies might be affected by external factors like connection failures or lost messages. Based on these considerations, the requirement that a NE survives the iterated deletion of weakly dominated strategies (IDoWDS) has been used in rational secret reconstruction repetitively [HT04, ADGH06, GK06, LT06]. A strategy  $M_i$  is weakly dominated if there exists an alternative strategy  $M_i^*$  that does (significantly) better against *some* strategy profile of the other players, and does *not* perform (significantly) worse against *any* strategy profile. Surviving IDoWDS means that in a process where, repeatedly, all weakly dominated strategies are deleted, the original strategy  $M_i$  remains. This process is reasoned by the assumption that a rational player would always switch to a dominating strategy  $M_i^*$  since this may only increase her gain. Like [HT04, ADGH06, GK06, LT06], we call a protocol a *practical mechanism* or *rationaly secure*, if its strategies (1) form a NE and (2) survive IDoWDS.

Typically, rational MPC protocols work in two stages: first, the parties run a standard MPC protocol with malicious security for the functionality  $f$ . As the result of that protocol, the parties receive secret shares  $s_i$  of the computation result  $s = f(x_1, \dots, x_n)$ . In the second stage, the parties run a *rational* MPC secret reconstruction phase, to which each party contributes their share  $s_i$ , and the protocol yields the final result  $s$  for everyone. This structure is reminiscent of standard MPC protocols (e.g., GMW [GMW87]), which also yield a secret sharing of the result  $s$  and then have the parties reconstruct it. In contrast to the standard setting, where secret reconstruction amounts to simply having all the (honest) parties broadcast their shares to everyone, secret reconstruction in the rational setting is much more complicated. This is because, in some scenarios, it is irrational for a party  $i$  to simply broadcast their share  $s_i$  [HT04]. Broadcasting the share does not help player  $i$  to reconstruct the secret, but it may help others. So for players that prefer to learn the result and prefer others not to learn the result, the simple “everyone broadcast their shares” protocol breaks down.

As a consequence, secret reconstruction protocols play a crucial role in rational MPC. The secret reconstruction scenario can be described as follows: A dealer samples a secret  $s$  and secret shares  $(s_i)_{i=1}^n$  of  $s$ , as well as digital signatures  $\sigma_i$  on  $(i, s_i)$  (for ease of exposition, we assume authentication via digital signatures). When using secret reconstruction as part of a larger rational MPC protocol,

we can imagine that  $(s_i, \sigma_i)_{i=1}^n$  are the result of some MPC computation. The player machine  $M_i$  gets as input its signed share  $(s_i, \sigma_i)$  (and the corresponding public key). The machines  $M_1, \dots, M_n$  then interact with each other. Finally, each  $M_i$  outputs what it thinks the reconstructed secret is. The rational utilities that player  $i$  tries to maximize are *natural*, i.e. the player prefers outputting the correct secret over outputting a wrong secret (prefers correctness) and the player prefers other players *not* to learn the secret (prefers exclusivity).

Several works have tackled the problem of rational secret reconstruction. To sidestep the issue that rational participants may be hesitant broadcasting their share for fear of unnecessarily helping others, most existing secret reconstruction protocols [HT04, ADGH06, GK06, LT06] take a randomized number of rounds and use dummy rounds to punish participants who refuse to broadcast. Ultimately, parties in those protocols still broadcast shares, but there is randomness and uncertainty involved about when (non-dummy) shares are broadcast.

Another challenge for rational secret reconstruction is the authentication of the result  $s$ : If a party  $i$  can broadcast a fake share so that all other parties receive a wrong reconstruction result  $s' \neq s$  (while  $i$  can reconstruct the real result), then doing so is rational. For this reason, inherently, there needs to be some way for parties to check whether the correct share was broadcast or at least whether the reconstruction result is valid.

## 1.1 Our Contribution

We show that almost all known secret reconstruction protocols do not survive iterated deletion of weakly dominated strategies (where weak domination is adapted to the computational setting in a natural way, see Definition 10). We observe that any “natural” strategy  $M_i$  is weakly dominated by a machine  $M_i^*$  that works as follows:  $M_i^*$  behaves exactly like  $M_i$  except that it adds an additional check to messages it receives in the first round. If *all* other players  $j \neq i$  happen to send messages of the format  $(\text{LEAK}, s_j, \sigma_j)$  such that  $\sigma_j$  is a valid signature on  $(j, s_j)$ , then  $M_i^*$  uses the received shares  $(s_1, \dots, s_n)$  to reconstruct the secret  $s$ . In this case,  $M_i^*$  continues to behave like  $M_i$ , but outputs the  $s$  from the leaked shares in the end. In all other cases,  $M_i^*$  outputs what  $M_i$  outputs.

In other words,  $M_i^*$  hopes that all other players decide to deviate from the protocol and instead simply send this special format message containing their input in plain. And indeed, if the other players play this (artificial) strategy, then  $M_i^*$  outputs the correct secret with probability 1. This is significantly better than a typical protocol  $M_i$ , which we (for now) assume just aborts because of an unexpected first-round message format  $(\text{LEAK}, \dots)$ . Furthermore,  $M_i^*$  never does worse than  $M_i$ , because the only way  $M_i^*$  deviates is by outputting a secret  $s$  that is guaranteed to be the *correct* secret (assuming unforgeable signatures), which is the preferred outcome of a rational player. So  $M_i^*$  is never worse than  $M_i$ , but does significantly better against strategies that leak their input, which means that  $M_i^*$  weakly dominates  $M_i$ . This makes intuitive sense: the additional signature check can only *help* player  $i$ , so it is irrational not to include it.

Hence, any “natural” strategy  $M_i$ , which does not include such a first-message check itself, is weakly dominated by the modified strategy  $M_i^*$ . It follows that  $M_i$  does not survive iterated deletion of weakly dominated strategies (IDoWDS) (or, more specifically,  $M_i$  does not even survive the first “iteration” of IDoWDS because it is weakly dominated w.r.t. the original strategy set). We apply this observation to existing protocols in Section 4, demonstrating that *almost all* known secret reconstruction protocols from the literature do *not* survive IDoWDS.

In addition to falsifying claims from the literature, the goal of this paper is to characterize the extent of this IDoWDS issue. Can existing protocols be fixed? What classes of protocols are susceptible to the issue? It may be tempting to try to fix the issue by including the first-message check of  $M_i^*$  in the original protocol. If  $M_i$  already checks the first message, then  $M_i^*$  has no advantage over  $M_i$  and does not weakly dominate it. However, there is an essentially endless supply of other ways to encode the input-leaking message. Say a strategy  $M_i$  *does* check if the

first messages contain messages of the format  $(\text{LEAK}, s_j, \sigma_j)$ . Then this strategy is still weakly dominated by a strategy  $M_i^{**}$ , which works like  $M_i$ , but additionally checks whether the first messages have the format  $(\text{LEAK}, \bar{s}_j, \bar{\sigma}_j)$ , where  $\bar{x}$  denotes some other encoding, e.g., base64 or the bitwise negation of the canonical representation. Similarly to above,  $M_i^{**}$  weakly dominates  $M_i$ , as it cannot do worse than  $M_i$ , but does better against the strategies that leak their input by sending  $(\text{LEAK}, \bar{s}_j, \bar{\sigma}_j)$ . Intuitively, no matter how many different ways of interpreting the first message a strategy implements, it is likely that one can come up with a new (contrived) representation not covered by it. Hence, it seems exceedingly unlikely that any reasonable strategy exists that survives IDoWDS. We formalize this idea in Section 5, proving that if we allow strategies to be non-uniform Turing machines and the dealer “sufficiently” authenticates the secret shares, then there exists *no* strategy that is not weakly dominated.

What could be possible ways around this issue? For this, we examine what makes the machine  $M_i^*$  work. Because the shares are signed in the examples above,  $M_i^*$  can be sure that when it receives authenticated first-round shares,  $M_i^*$  (almost) never outputs the wrong secret, no matter what the remaining  $n - 1$  parties do. This enables the argument that  $M_i^*$  weakly dominates  $M_i$ : If it were possible for  $n - 1$  parties to convince  $M_i^*$  to output a wrong secret, then  $M_i^*$  does not necessarily weakly dominate  $M_i$  anymore. So counter-intuitively, in order for the secret sharing scenario to possess a rational mechanism (circumventing weak domination by  $M_i^*$ ), the shares must not be authenticated *too well*. However, in order for a mechanism to be a Nash equilibrium, authentication must also not be *too weak*: If it were possible for a party to convince all others of a wrong secret (while receiving the correct secret himself), then doing so is rational.

There is indeed a (small) middle ground between *perfect* authentication and *no* authentication, which sidesteps our initial weak domination result. Indeed, the third protocol of Abraham, Dolev, Gonen, and Halpern [ADGH06] avoids the initial weak domination counterexample as follows: Instead of authenticating the secret sharing with signatures or MACs (as in the first two instantiations in [ADGH06]), their third instantiation uses Reed-Solomon codes (i.e. Shamir shares with redundancy). This instantiation hits the sweet spot between too much and too little authentication: Reed-Solomon codes are strongly authenticating against up to  $n/3$  parties (even providing error correction), but for  $n - 1$  parties, it is trivial to manipulate shares to make the last party believe in a wrong secret. This allows their protocol to be a Nash equilibrium while avoiding our initial counterexample, which requires stronger authentication.

However, the third protocol of Abraham et al. [ADGH06] is *also* weakly dominated in certain (reasonable) settings, even if it requires a different counterexample. Roughly speaking, the weakly dominating strategy for this protocol only deviates from the original protocol when the original protocol would output a reconstructed secret  $s_{\text{unlikely}}$  that is only correct with negligible probability (say, for simplicity, an error symbol). Because this is almost certainly not the correct secret, deviating in this case is *never worse* than the original strategy, which has minimal utility outputting the (likely) wrong secret. The deviation is *sometimes better* against strategies that would make the original protocol consistently output a wrong secret  $s_{\text{unlikely}}$ . This is possible in the Reed-Solomon scenario because  $n - 1$  parties can easily change the shared secret in a way that is undetectable to the last party. In this case, the deviation would correct the wrong  $s_{\text{unlikely}}$  to the *correct* secret instead, achieving significantly higher utility. We explain this counterexample in Section 7 in detail.

Still, while our initial counterexample rules out most (authenticated) secret sharing settings, and our second counterexample rules out using Reed-Solomon for *some* secret distributions, it may well be that there are other secret distributions for which Reed-Solomon secret sharing presents a way out of the weak domination issues. To approach this remaining possibility, we offer additional secret-distribution-agnostic insights when considering *coalitions* (as is standard in the rational MPC literature [ADGH06, KN08a]). In Section 6, we show that if we consider coalitions of at least  $n/2$  rational players, then no reasonable secret reconstruction protocol exists (at least not for typical

secret sharing schemes) that is rational to play for the coalition. Essentially, we show that in that setting, either authentication is *good enough* for the weak domination counterexample to work, or authentication is *weak enough* to enable the coalition to play a strategy that is better for them than the prescribed strategy (meaning that there is no Nash equilibrium). In particular, this effectively rules out the existence of rational secret reconstruction for the important  $n = 2$  setting. Overall, our results in Sections 5 to 7 comprehensively characterize the extent of the IDoWDS issue for rational MPC protocols, effectively ruling out rational secret reconstruction protocols for a wide range of typical secret sharing schemes, for certain secret distributions for unauthenticated secret sharing, and for majority coalitions.

## 1.2 Consequences

Our results call into question a wide range of rational MPC protocols, for secret reconstruction in particular. The most immediate insight is that the popular strategy of authenticating shares with digital signatures, with one-time information-theoretically secure MACs (Construction 1), or with zero-knowledge proofs seem to be widely incompatible with weak domination requirements. In all those cases, this strong authentication makes adding a first-round check to the strategy weakly dominate any reasonable protocol’s strategies. In particular, all secret reconstruction protocols from [ADGH06, GK06, HT04, LT06] exhibit this weak domination flaw. We discuss concrete examples in Section 4, unifying several of the protocols in a common framework. See Section 7 for the counterexample for the Reed-Solomon based secret reconstruction protocol from [ADGH06].

Our impossibility result for rationally secure secret reconstruction carries over to general rational MPC, which was approached so far by “take any actively secure general-purpose MPC protocol which computes a sufficiently authenticated secret sharing and replace the final reconstruction phase by a rationally secure one” [ADGH06, HT04, LT06, GK06]. Since by our result such reconstruction mechanisms in many settings do not exist, such compositions which survive IDoWDS do not exist, either. Our results from Section 6 rule out this approach for coalitions of  $n/2$  and more players, which especially covers two party computations. The reason for this is that in all actively secure MPC protocols we know, the computed results are (effectively) in the form of a *sufficiently authenticated* secret sharing, which must be reconstructed at the end. Even if the beginning of the protocol survives IDoWDS, according to our result, there would be no continuation of the protocol that reconstructs the results and survives IDoWDS. As a concrete example consider GMW-style rational MPC, where in the first phase, the parties run an actively secure GMW-style MPC protocol, then in the second phase, they run some rational secret reconstruction protocol to retrieve the result (this is the strategy of [GK06, LT06]). Because in that scenario, the shares after the first phase are authenticated by zero-knowledge proofs, our counterexample applies for the second phase: Our  $M_i^*$  strategy in that case would check whether in the first round of phase two, everyone just broadcasts their shares and a zero-knowledge proof that the broadcast shares are correct, i.e. consistent to the input the parties committed to at the beginning. Then the counterexample works as explained above, substituting signature unforgeability with zero-knowledge proof soundness.

In conclusion, our results (summarized in Table 1) show that in many important settings, the approaches and techniques known from the literature are incompatible with respect to the cryptographic version of IDoWDS.

## 1.3 The Way Forward for Rational MPC

Given the extent of the IDoWDS issue regarding our current understanding of how to design rational MPC protocols, the question arises how future rational MPC research should deal with our results. As motivated in Section 1.1, in network settings and, especially, cryptographic settings, the notion

Table 1: Overview of our results for coalitions of  $t$  parties and  $k$ -out-of- $n$  secret sharing schemes having the corresponding property from column one. Each result applies to *any* mechanism in the given setting. We assume  $t < k$  as otherwise coalitions are able to reconstruct secrets, inherently leading to unstable mechanisms. Note,  $t = 1$  represents the non-coalition case.

	majority coalition $t \geq n/2$	$t < n/2$
<b>local <math>(n - t)</math>-verifiable</b> (shares authenticated by signatures, MACs, ...)	weakly dominated (for non-uniform strategies: Theorems 2 and 3)	
<b>Reed-Solomon codes based</b> (e.g. redundant Shamir shares)	weakly dominated for certain secret distributions (Theorem 5)	
<b>verifiable-or-fully-broken</b> (e.g. additive sharing, Shamir’s sharing for $k > n/2$ )	weakly dominated or no Nash equilibrium (Theorem 4)	no result

of IDoWDS is philosophically reasonable and its ideas should be represented somehow. Given that, we see two approaches for handling the IDoWDS property in the future.

The first way is to concentrate future research on settings and protocols for rational secret reconstruction or, more generally, MPC protocols, which are not ruled out by our results. In Section 6.3 we show that settings with coalitions of  $n/2$  and more players cannot be proven rationally secure in almost any reasonable setting. As we only rule out Reed-Solomon based reconstruction protocols *for certain secret distributions*, it is still open whether there are such protocols not prone to weak domination for *some* secret distributions. Those, however, would need to exploit properties of the secret distribution to avoid our counterexample.

The second way is to tweak the definitions of what we consider rationally secure. As our adaptation of IDoWDS to the computational setting is quite natural (as discussed in Definition 10), it seems that one needs to find a replacement for IDoWDS on the game-theoretic side, which reflects rational behavior and in particular the idea of “weak dominance rationality”, but whose computational translation is less strict and does not rule out the same wide range of protocols ruled out by the current definition. For example, Hillas and Samet [HS20] propose to iteratively delete so called weak *flaws* instead of weakly dominated strategies and claim this reflects “weak dominance rationality” better than IDoWDS. This is a potential candidate for a replacement. Other replacements, already suggested in the literature, are discussed below. Either option leads to many open questions and paves the way for new interesting research and results.

## 1.4 Organization

In Section 2, we discuss related work. In Section 3, we introduce the models of communication and non-uniform computation as well as other relevant standard primitives from cryptography and game theory. In particular, in Section 3.5 we define the rational secret reconstruction game central to this work. In Section 4, we show that most previously published mechanisms are weakly dominated. We generalize this result to arbitrary mechanisms in the non-uniform setting in Section 5. In Section 6, we extend the previous results to coalitions. Moreover, we show in Section 7 that schemes based on Reed-Solomon codes are also weakly dominated with respect to certain distributions of secrets.

## 2 Discussion of Related Work

For the last 20 years a lot of research has been done on the interplay between game theory and cryptography (see for example the surveys [DR07, Kat08], and [MZA<sup>+</sup>13] for a more practically oriented perspective). This covers, at least, two different aspects: on the one hand, cryptographic approaches to game-theoretic problems, e.g. replacing mediators in certain games (see e.g. [DHR00, HNR13] and many subsequent papers); on the other hand, using game-theoretic concepts in the

design of cryptographic primitives, e.g. replacing malicious adversaries by rational adversaries, or mixtures of malicious and rational adversaries (see [LT06]). The second line of research was initiated by Halpern and Teague [HT04]. They initiated the study of rational multiparty computation and, in particular, rational secret reconstruction. Instead of designing protocols resistant to malicious adversarial behavior, they studied secret reconstruction and multiparty computation under the assumption that agents act rationally. Recently, this approach led to game-theoretic notions of fairness in multiparty coin toss and leader election [AL11, GK12, CGL<sup>+</sup>18, CCWS21, WAS22].

Most relevant to our work is the work of Halpern and Teague and the research that followed it [GK06, ADGH06, ACH11, KN08b, KN08a, FKN10]. In this approach, secret reconstruction, and more generally a multiparty computation of some functionality, is modeled as a game, with the goal of designing protocols that satisfy various game-theoretic properties within this game, e.g. constitute a Nash equilibrium. However, there has never been any consensus about the right definition for a good rational strategy in multiparty computation, especially around weak domination and iterated deletion of weakly dominated strategies.

In this section, we explore the history of weak domination in the literature, argue why we should not just abandon weak-domination-like properties, and then discuss more recent definitions in that context.

## 2.1 History of (Iterated) Deletion of Weakly Dominated Strategies

The notion of iterated deletion of weakly dominated strategies has been introduced in the computational context by Halpern and Teague [HT04]. They argue that every protocol with a fixed last round, in which the parties send their shares, is weakly dominated: it is better for player  $i$  to deviate and not send her share, because revealing her share can only help *others* learn the secret and does not help *her* at all. This argument is wrong: as observed by [KN08a], this deviation can be detected and punished by the other players, e.g., by checking whether player  $i$  indeed sends her share, and *only then* revealing their own shares. Because refusing to send the share leads to not learning the secret when played against those punishment strategies, it does not weakly dominate the original strategy of simply sending the last message.

Nevertheless, their argument against last rounds inspired several secret reconstruction protocols [HT04, ADGH06, GK06, LT06] that introduce uncertainty about which round is the last. The underlying idea of those protocols is that not sending some round’s message is risky: if it turns out that this was *not* the last round, the other parties will abort the protocol, making it impossible to learn the secret. On the positive side, the protocols of [HT04, ADGH06, GK06, LT06] enable reconstruction of  $n$ -out-of- $n$  secret sharing in a Nash sense, which does indeed require hiding the last round. In particular, [ADGH06, GK06, LT06] enable two-party secret reconstruction. Note that for  $k$ -out-of- $n$  ( $k < n$ ) secret sharing, the simple “everyone broadcast their shares in round 1” strategy is a Nash equilibrium.

On the negative side, in this paper, we show that all those protocols are still weakly dominated, contrary to stated goals and claims. The issue with the proof sketches by [HT04, ADGH06, GK06, LT06] is that they (implicitly) only consider deviations that send or do not send the expected messages in some round. However, there is another form of deviation, which we will call *undetectable* deviation, which forms the basis of our counterexample: This kind of deviation keeps following the protocol (sending the expected messages) outwardly, but secretly adds an additional check to improve utility in some contrived scenarios. Undetectable deviations (which are invisible to the other parties) were seemingly overlooked in those proofs without any formal justification.

Later, Kol and Naor showed that, in a very restricted strategy space, *no* strategy is weakly dominated [KN08a, Theorem A.3]. Their strategy space essentially only considers the choice of either sending a share in some round or keeping silent in that round. Additionally invoking purely game-theoretic criticism of weak domination [Sam92, Sta95], they conclude that weak domination



is not a useful notion, as it does not seem to rule out several “bad” strategies.

## 2.2 In Defense of Weak Domination

We disagree with Kol and Naor’s assessment of weak domination, given that our results show that, if we do not severely restrict our strategy space, *all* strategies are weakly dominated. As a consequence, weak domination seems to deserve criticism for being being *too harsh*, rather than *too forgiving*.

To (somewhat informally) reflect on the role that weak domination serves in modeling rational behavior, consider the strategy  $M_i^{\text{backdoor}}$  (Figure 1), which allows the other players to unanimously vote to have party  $i$  self-destruct. We can view this vote as a backdoor that triggers irrational behavior if all other parties collaborate. If the vote does not pass (which is the default behavior if everyone plays  $M_i^{\text{backdoor}}$ ),  $M_i^{\text{backdoor}}$  behaves reasonably. Clearly,  $M_i^{\text{backdoor}}$  is not a reasonable strategy to play for a rational player. Any rational player would (at least) remove the irrational behavior (line 3), as it does not serve any positive purpose for them and may only serve to sabotage them. Hence we would expect our definitions to reflect this and identify  $M_i^{\text{backdoor}}$  as a bad strategy.

Consider the mechanism  $(M_1^{\text{backdoor}}, \dots, M_n^{\text{backdoor}})$  for  $n > 2$ . If everyone keeps to the prescribed strategies  $M_i^{\text{backdoor}}$ , then nobody sends any messages in the first round and the backdoor is not triggered. If a single party deviates, they can also not trigger the backdoor, as this requires cooperation of other players. Hence  $(M_1^{\text{backdoor}}, \dots, M_n^{\text{backdoor}})$  is a Nash equilibrium (assuming the non-backdoored  $(M_1, \dots, M_n)$  are reasonable).

The notion of a Nash equilibrium does not detect the issue with  $M_i^{\text{backdoor}}$ , because it only considers scenarios where almost everyone executes the prescribed protocol. This is where weak domination comes in: for weak domination, we need to consider *all possible* behavior of the other parties. It is then easy to see that  $M_i^{\text{backdoor}}$  is weakly dominated by a strategy ignoring the vote outcome: this is (1) clearly better against strategies where all other players collaborate to trigger the backdoor in  $M_i^{\text{backdoor}}$ , and (2) it is never worse than  $M_i^{\text{backdoor}}$  (assuming self-destruction has minimal utility and  $M_i$  is reasonable).

Overall, we conclude that the field should have *some* notion that detects “backdoored” strategies such as  $M_i^{\text{backdoor}}$ . For this, Nash equilibria are not sufficient, and weak domination, while very suitable for this very task in spirit, in actuality is too eager and removes too many strategies, as we show in this paper.

## 2.3 Alternative Notions

The notion of weak domination has been widely abandoned in the more recent rational MPC literature, which the literature generally justifies with Kol-Naor’s observation that weak domination

Strategy $M_i^{\text{backdoor}}$	
1 :	Send nothing in the first round.
2 :	<b>if</b> we received (shutdown, $i$ ) from all other players in first round <b>then</b>
3 :	Self-destruct (e.g., halt and output an error).
4 :	<b>else</b>
5 :	Run reasonable protocol $M_i$ .

Figure 1: Strategy  $M_i^{\text{backdoor}}$ , augmenting some reasonable strategy  $M_i$  with a self-destruct if the other players unanimously vote for it. Serves as an illustration of the need for the weak domination property.

is too forgiving and hence not meaningful (even though this is only true in a very restricted strategy space).

As a replacement, Kol and Naor themselves suggest *strict* Nash equilibria [KN08a, FKN10], which essentially requires that unilateral (detectable) deviation *significantly decreases* utility (as opposed to simply *not increasing* utility as in the standard notion). While strict Nash equilibria capture some intuitions of irrational behavior, by its nature it also only considers unilateral deviations and fails to detect some issues that were (in spirit) caught by weak domination. For example, if we consider  $M_i^{\text{backdoor}'}$  that behaves like  $M_i^{\text{backdoor}}$  but also, if the vote is not unanimous, punishes everyone that voted for triggering the backdoor (e.g., by shunning them from the rest of the protocol). This way, any unilateral deviation in the first round leads to a decrease in utility, making  $(M_1^{\text{backdoor}'}, \dots, M_n^{\text{backdoor}'})$  a strict Nash equilibrium (assuming  $M_i$  is reasonable), but the backdoor is still very much present in  $M_i^{\text{backdoor}'}$ .

Another notion that may replace weak domination are Nash equilibria that are *stable with respect to trembles* [FKN10]. The idea is to model deviating behavior as another strategy: we consider strategies that usually play the prescribed strategies, but “tremble” with some probability and play some completely arbitrary strategy. The notion then says that even when playing against trembling strategies, it is still rational to follow the protocol honestly. Similarly to weak domination, this notion considers deviations of *all* players (though it has only been formally defined for  $n = 2$  players [FKN10]). However, for technical reasons (probably as they noticed problems similar to our weak domination counterexample), the notion explicitly removes undetectable deviations from consideration. In somewhat simplified terms, their definition requires that any improvement against trembled strategies can be achieved in a way that does not alter behavior against the originally prescribed (non-trembled) strategies. In other words, undetectable deviations, that do not alter the behavior against the prescribed strategies (such as our weak domination counterexamples) are exempt from this definition (i.e. even if one such undetectable deviation were a significant improvement against trembling strategies, the definition would not consider this an issue). Because of this, the stability with respect to trembles notion also fails to detect backdoors such as  $M_i^{\text{backdoor}}$ , i.e.  $(M_1^{\text{backdoor}}, \dots, M_n^{\text{backdoor}})$  is a Nash equilibrium that is stable with respect to trembles (assuming  $M_i$  are reasonable). Even though removing the backdoor improves utility significantly against strategies that sometimes tremble to trigger the backdoor,  $M_i^{\text{backdoor}}$  and the non-backdoored version behave the same against non-trembling strategies, and hence this improvement is ignored by the notion.

Overall, while the field has largely moved on from weak domination, we argue that (1) it did so for the wrong reasons (believing the notion is too forgiving rather than, as we show, too strict), and that (2) it did so without adequately replacing the notion with something that can detect bad mechanisms that would be intuitively considered irrational, such as  $M_i^{\text{backdoor}}$ . This paper and its impossibility results supply more adequate reasons why weak domination may be dismissed for now by future protocols (given that it rules out many settings), and explain why one should not attempt to prove future protocols rationally secure regarding weak domination. Our results should also inform the design of future stability notions to replace weak domination, providing some baseline potential counterexamples to check new notions against.

## 3 Preliminaries

### 3.1 Notation

In the following, define  $[n] := \{1, \dots, n\}$ . For index set  $I \subseteq [n]$  let  $-I := [n] \setminus I$ , when  $n$  is clear from the context. Similarly, let  $-i := -\{i\} = [n] \setminus \{i\}$  for a single index  $i \in [n]$ . For sets  $S_1, \dots, S_n$ , we define  $S_{\times I} := \times_{i \in I} S_i$ . For a vector  $(s_1, \dots, s_n) \in S_{\times [n]}$ , let  $s_I$  denote the restriction of  $s$  to

the indices contained in  $I$ . For  $s, s' \in S_{\times[n]}$ , let  $(s_I, s'_{-I})$  denote the tuple  $s^* = (s_1^*, \dots, s_n^*)$  with  $s_i^* := s_i$  if  $i \in I$  and  $s_i^* := s'_i$  otherwise. If the context is clear, we omit the additional parentheses, especially when being used within functions, e.g. we write  $u(1^\lambda, s_I, s'_{-I})$  instead of  $u(1^\lambda, (s_I, s'_{-I}))$ . A function  $\mu : \mathbb{N} \rightarrow \mathbb{R}_{\geq 0}$  is *negligible* if  $\forall c > 0 \exists \lambda_0 \forall \lambda \geq \lambda_0 : \mu(\lambda) \leq \lambda^{-c}$ . A function  $p : \mathbb{N} \rightarrow \mathbb{R}_{\geq 0}$  is *noticeable* if  $p(\lambda) \geq 1/q(\lambda)$  for some polynomial  $q$ .

### 3.2 Model of Computation and Communication

We model interactions between parties in protocols by probabilistic polynomial-time (ppt) interactive Turing machines (ITMs), where polynomial-time corresponds to a polynomially bounded per-round running time in the security parameter  $\lambda$ . The security parameter is encoded as  $1^\lambda$  and provided on a special tape of the interacting ITMs. These also have special tapes for incoming and outgoing communications besides the usual tapes of Turing machines. The communication proceeds in rounds, where in each round  $k$  and for each pair  $M_i, M_j$  of ITMs,  $M_i$  writes a message  $m_j^{(k,i)}$ , possibly the empty string, onto the outgoing communication tape for ITM  $M_j$ . At the end of a round, all messages are written onto the corresponding incoming message tapes and the next round begins. While this models simultaneous communication, which has been used for many protocols aiming to survive the iterated deletions of weakly dominated strategies (e.g. [ADGH06, GK06, LT06]), our results also transfer to models where messages may be delayed but eventually are delivered. For every ITM  $M_i$  there exists a polynomial  $p_i$  which bounds the running time for computing the outgoing messages in the security parameter. Unlike other ITM models for MPC, we make no further assumptions on the security of communication channels.

For our general result we require ITMs to be non-uniform which we define as follows.

**Definition 1.** A non-uniform ppt interactive Turing machine (ITM) is a pair  $(M, \bar{a})$  where  $\bar{a} = (a_1, a_2, \dots)$  is an infinite sequence of *auxiliary strings* with  $|a_\lambda|$  being polynomially bounded in  $\lambda$  and  $M$  is a ppt ITM with a special tape for the non-uniform advice. For given input (security) parameter  $\lambda \in \mathbb{N}$  and input  $x$ ,  $M$  is run on  $(1^\lambda, x, a_\lambda)$  where we require the running time to be polynomial in  $\lambda$  and the length  $|x|$  of  $x$  per round of communication.

In Definition 1 we explicitly state auxiliary strings, instead of using an infinite sequence of ITMs, which facilitates the descriptions of ITMs in our theorems, examples, and proofs. Also note, if the auxiliary strings are empty, then machine  $M$  can be represented by a *uniform* ppt ITM.

### 3.3 Secret Sharing

A secret sharing scheme enables the owner of a secret  $s$  to share it among a set of  $n$  players  $P_1, \dots, P_n$  such that only explicitly authorized subsets of them are able to reconstruct the secret by pooling their shares. These authorized sets are defined via monotone access structures.

**Definition 2** (Access Structure). Let  $M = \{P_1, \dots, P_n\}$  be a set of  $n$  parties. A set  $\mathbb{A}$  of subsets of  $M$  is called *monotone* if  $A \in \mathbb{A}$  and  $A \subseteq B \subseteq M$  implies  $B \in \mathbb{A}$ . An *access structure*  $\mathbb{A} \subseteq \mathcal{P}(M)$  with  $n$  parties is a *monotone collection* of *non-empty* subsets of  $M$ . A set  $A \subseteq M$  is called *qualified* if  $A \in \mathbb{A}$  and *non-qualified* if  $A \notin \mathbb{A}$ .

Monotonicity models that groups which are qualified to learn a shared secret remain qualified when additional parties join. In the following we define secret sharing schemes with respect to such access structures. We extend the standard secret sharing definition (c.f. [Bei11]), which only includes shares, by additional information which is used for authentication and verification of shares.

**Definition 3** (Secret Sharing Scheme with locally verifiable reconstruction). Let  $\mathbb{A}$  be an access structure with  $n$  parties and  $\mathbb{S}$  be a finite set of secrets where  $|\mathbb{S}| \geq 2$ . A (*perfect*) *secret sharing scheme with domain of secrets  $\mathbb{S}$  realizing access structure  $\mathbb{A}$  with locally verifiable reconstruction* is a tuple of ppt algorithms  $\Pi = (\text{Setup}_\Pi, \text{Share}, \text{Recon})$ , where

- $\text{Setup}_\Pi(1^\lambda)$ , on input security parameter  $1^\lambda$ , outputs public parameters  $\text{pp}$  with  $|\text{pp}| \geq \lambda$ .
- $\text{Share}(\text{pp}, s)$ , on input public parameters  $\text{pp}$  and secret  $s \in \mathbb{S}$ , outputs for each  $i \in [n]$  a triple  $(s^{(i)}, \tau^{(i)}, \sigma^{(i)})$  consisting of share  $s^{(i)}$ , local verification information  $\tau^{(i)} \in \{0, 1\}^*$ , and authentication information  $\sigma^{(i)} = (\sigma_1^{(i)}, \dots, \sigma_n^{(i)}) \in \{0, 1\}^*$ .
- $\text{Recon}(\text{pp}, \tau^{(i)}, (s^{(j)}, \sigma_i^{(j)})_{j \in A})$ , on input public parameters  $\text{pp}$ ,  $P_i$ 's local verification information  $\tau^{(i)}$ , and, for  $A \subseteq [n]$ , tuples  $(s^{(j)}, \sigma_i^{(j)})_{j \in A}$  of shares and authentication information, *deterministically* outputs an element from  $\mathbb{S} \cup \{\perp\}$ .

We require correctness: For all  $\lambda \in \mathbb{N}$ ,  $\text{pp} \leftarrow \text{Setup}_\Pi(1^\lambda)$ ,  $s \in \mathbb{S}$ , and for all  $(s^{(i)}, \tau^{(i)}, \sigma^{(i)})_{i \in [n]} \leftarrow \text{Share}(\text{pp}, s)$ ,  $A \in \mathbb{A}$ ,  $i \in A$  it holds

$$\Pr[\text{Recon}(\text{pp}, \tau^{(i)}, (s^{(j)}, \sigma_i^{(j)})_{j \in A}) = s] = 1.$$

If  $\mathbb{A} = \{A \subseteq [n] \mid |A| \geq m\}$ , we say  $\Pi$  is an *m-out-of-n* secret sharing scheme.

A secret sharing scheme with locally verifiable reconstruction is intended as follows. After the public parameters are set up, the algorithm  $\text{Share}$  is used by a dealer to share a secret  $s$  she owns. When a party  $P_j$  wants to reveal its share  $s^{(j)}$  with some  $P_i$  it additionally reveals the corresponding authentication information  $\sigma_i^{(j)}$ . If player  $P_i$  obtained  $(s^{(j)}, \sigma_i^{(j)})_{j \in A}$  corresponding to a qualified group  $A$ , by correctness  $\text{Recon}$  reconstructs the initially shared secret  $s$  using verification information  $\tau^{(i)}$ . Beyond these syntactical definitions, we use the following standard notion of privacy for secret sharing schemes.

**Definition 4** (Perfect privacy). A secret sharing scheme  $\Pi$  for access structure  $\mathbb{A}$  and secret domain  $\mathbb{S}$  has *perfect privacy* if  $\forall \lambda \in \mathbb{N}$ ,  $\forall \text{pp} \leftarrow \text{Setup}_\Pi(1^\lambda)$ ,  $\forall A \notin \mathbb{A}$ , and  $\forall s, s' \in \mathbb{S}$ , it holds that  $\text{Share}(\text{pp}, s)_A$  and  $\text{Share}(\text{pp}, s')_A$  are identically distributed.

In addition to privacy we define the non-standard property of (non-uniform) local  $t$ -verifiability. Intuitively, this property ensures that it is infeasible for ppt adversaries to make an honest player output a wrong secret by manipulating up to  $t$  shares.

In  $\text{Forge}_{\mathcal{A}, \Pi}^{S, C}(\lambda)$  first the secret sharing scheme is set up according to  $\text{Setup}_\Pi$ . Then, a secret  $s^*$  and a corresponding sharing is sampled. The adversary  $\mathcal{A}$  is given the inputs of all (corrupted) parties  $i \in C$  and has to output (possibly) new shares and authentication information for these.  $\mathcal{A}$  wins if it makes one of the (non-corrupted) parties  $i \in [n] \setminus C$  output a wrong secret with respect to the newly constructed values and (some of) the remaining honest values.

**Definition 5** ((Non-uniform) local  $t$ -verifiability). Secret sharing scheme  $\Pi$  has *local verifiability against up to  $t$  corruptions* if  $\forall$  non-uniform ppt  $\mathcal{A}$ ,  $\forall C \subset [n]$ ,  $|C| \leq t$ , there is a negligible function  $\mu$  such that

$$\Pr[\text{Forge}_{\mathcal{A}, \Pi}^{S, C}(\lambda) = 1] \leq \mu(\lambda),$$

where the experiment  $\text{Forge}_{\mathcal{A}, \Pi}^{S, C}(\lambda)$  is defined in Figure 2.

Experiment $\text{Forge}_{\mathcal{A},\Pi}^{\mathcal{S},C}(\lambda)$ :
1. $s^* \leftarrow \mathcal{S}(1^\lambda), \text{pp} \leftarrow \text{Setup}_\Pi(1^\lambda)$ .
2. $((s^{(1)}, \tau^{(1)}, \sigma^{(1)}), \dots, (s^{(n)}, \tau^{(n)}, \sigma^{(n)})) \leftarrow \text{Share}(\text{pp}, s^*)$ .
3. Non-uniform adversary $(\mathcal{A}, (\omega_1, \omega_2, \dots))$ is given public parameters $\text{pp}$ , and triples $(s^{(j)}, \tau^{(j)}, \sigma^{(j)})_{j \in C}$ , and outputs $(\bar{s}^{(j)}, \bar{\sigma}^{(j)})_{j \in C}$ .
4. Output is 1 iff $\exists i \in [n] \setminus C, \exists H \subseteq [n] \setminus C$ with $\text{Recon}(\text{pp}, \tau^{(i)}, (\bar{s}^{(j)}, \bar{\sigma}^{(j)})_{j \in C}, (s^{(j)}, \sigma^{(j)})_{j \in H}) \notin \{s^*, \perp\}$ .

Figure 2: Experiment for local verification of secrets for secret sharing scheme  $\Pi$  with respect to non-uniform adversary  $(\mathcal{A}, (\omega_1, \omega_2, \dots))$ , set  $C \subset [n]$  of corrupted parties, and family of secret distributions  $\mathcal{S}$ .

If winning experiment  $\text{Forge}_{\mathcal{A},\Pi}^{\mathcal{S},C}(\lambda)$  is infeasible for any (non-uniform) ppt adversary  $\mathcal{A}$  and coalition of size  $t$ , then the scheme has (non-uniform) local verifiability against up to  $t$  corruptions (Definition 5). In particular, local  $(n-1)$ -verifiability implies that a coalition of  $n-1$  parties cannot make the remaining party  $P_i$ , using its verification information  $\tau^{(i)}$ , output an incorrect secret. Note that local verifiability is different from the stronger notions of robust secret sharing (RSS) and verifiable secret sharing (VSS) (c.f. [Rab94]). In VSS the dealer may be corrupted which we do not require as we assume honest initial sharings as inputs. RSS guarantees that with respect to deviations the initially shared secret  $s^*$  is correctly reconstructed which does not allow for throwing an error  $\perp$ . Besides these differences, we additionally choose to make the included information for authentication and verification explicit. In the following Construction 1 we give an example for a secret sharing scheme by Abraham et al. [ADGH06] which satisfies locally  $(n-1)$ -verifiable reconstruction. It essentially authenticates shares from Shamir's secret sharing scheme [Sha79] with the idea of information checking from [RB89].

**Construction 1** (Secret Sharing Scheme  $\Pi^{\text{ADGH}}$  [ADGH06]). The  $m$ -out-of- $n$  secret sharing scheme  $\Pi^{\text{ADGH}} = (\text{Setup}^{\text{ADGH}}, \text{Share}^{\text{ADGH}}, \text{Recon}^{\text{ADGH}})$  with domain of secrets  $\mathbb{S}$  is defined as follows

- $\text{Setup}^{\text{ADGH}}(1^\lambda)$ : Generates and returns the description of a field  $\mathbb{F}$  with  $|\mathbb{F}| > 2^\lambda$  and  $\mathbb{S} \subset \mathbb{F}$  as public parameters  $\text{pp}$ .
- $\text{Share}^{\text{ADGH}}(\text{pp}, s)$ : Generates uniformly at random a degree- $(m-1)$  polynomial  $h \in \mathbb{F}[X]$  constrained by  $h(0) = s$ . For each  $i, j \in [n], i \neq j$ , it chooses uniformly at random  $P_i$ 's verification information  $y_j^{(i)} \leftarrow \mathbb{F}$  and computes  $P_j$ 's corresponding authentication information  $b_i^{(j)}, c_i^{(j)} \in \mathbb{F}$  such that  $c_i^{(j)} = b_i^{(j)} \cdot h(i) + y_j^{(i)}$ . For each  $i \in [n]$ , it sets  $s^{(i)} = (i, h(i))$ ,  $\tau^{(i)} = (y_1^{(i)}, \dots, y_n^{(i)})$ , and  $\sigma^{(i)} = ((b_1^{(i)}, c_1^{(i)}), \dots, (b_n^{(i)}, c_n^{(i)}))$ , and returns  $(s^{(i)}, \tau^{(i)}, \sigma^{(i)})$ .
- $\text{Recon}^{\text{ADGH}}(\text{pp}, y^{(i)}, ((j, s^{(j)}), (b_i^{(j)}, c_i^{(j)}))_{j \in A})$ : Compute set of indices of valid shares as  $H = \{j \in A \mid c_i^{(j)} = b_i^{(j)} \cdot s^{(j)} + y_j^{(i)}\}$ . If  $|H| < m$  output  $\perp$ . Otherwise choose  $m$  values  $(j, s^{(j)})$ , interpolate the corresponding degree- $(m-1)$  polynomial  $h \in \mathbb{F}[X]$ , and output  $h(0)$ .

### 3.4 Game-Theoretic Notions

In the following we define the game-theoretic notions necessary to model rationality of participants in a computational setting. These originate mainly from the survey of Katz [Kat08] but are suitably adapted to our (non-uniform) setting. We begin with the definition of normal form games which provide a very basic idea for our upcoming considerations.

**Definition 6** (Normal Form Game). A *normal form game*  $\Gamma = ((A_i)_{i \in [n]}, (u_i)_{i \in [n]})$  with  $n$  players  $P_1, \dots, P_n$  consists of

- A set of  $A_i$  of *actions*, also called *strategies*, for each player  $P_i$ .
- A *utility function*  $u_i: A_{\times[n]} \rightarrow \mathbb{R}$  for each player  $P_i$ .

We call  $a \in A_{\times[n]}$  a *pure strategy profile* and  $\sigma = (\sigma_1, \dots, \sigma_n)$  where  $\sigma_i$  denotes a distribution over  $A_i$  a (*mixed*) *strategy profile*. By  $u_i(\sigma)$  we denote the expected utility of a mixed strategy profile, i. e.  $u_i(\sigma) = \mathbb{E}_{a \leftarrow \sigma}[u_i(a)]$ .

The sets  $A_i$  from Definition 6 define the strategies which players are allowed to play within the game. After each player has chosen a strategy, the resulting strategy profile is valued using the utility function. This, especially, enables to compare different strategy profiles and strategies based on their utility. Note, using the expected value to assess mixed strategies (and, later on, probabilistic ITMs) is a common choice which models risk-neutral players.

In order to suit interactions in a cryptographic setting we adapt this framework, as is common, in two steps. First, we introduce a security parameter  $1^\lambda$  on which the utilities depend and restrict the strategies to ITMs which are ppt (in this security parameter).

**Definition 7** (Computational Game). A *computational game*  $\Gamma = ((S_i)_{i \in [n]}, (u_i)_{i \in [n]})$  with  $n$  players  $P_1, \dots, P_n$  consists for each player  $P_i$  of

- A strategy set  $S_i$  of ppt ITMs with (local) output space  $\mathbb{O}_i \subseteq \{0, 1\}^*$ .
- A utility function  $u_i$  which maps security parameter  $1^\lambda$  and (local) ITM outputs  $(o_1, \dots, o_n) \in \mathbb{O}_{\times[n]}$  to a utility in  $\mathbb{R}$ .

For a given security parameter  $1^\lambda$  and strategy profile  $M = (M_1, \dots, M_n)$  the utility  $u_i(1^\lambda, M)$  denotes the expected utility over the distribution of outputs of ITMs (induced by their randomness).

Semantically, at the beginning of a computational game the players choose their respective ITMs. Afterwards, the security parameter is fixed and the players execute their ITMs. This ordering prevents that players choose a different ITM based on the security parameter and thereby, implicitly, use non-uniform ITMs. We explicitly allow non-uniform ITMs, if a different strategy for each security parameter is intended. Also note that mixed strategies are not incorporated within the game, because probabilistic ITMs are sufficient to represent mixed strategies. In typical applications the output space of machines can be stated very precisely. For secret reconstruction the output space is the secret domain.

In the second, final step an external trusted setup is added to the framework. Such initial information, for example, may contain key material of a public-key infrastructure or the shares of a secret sharing. We cover this in following definition.

**Definition 8** (Typed Computational Game). A *typed computational game*  $\Gamma = (\{\mathcal{D}(\lambda)\}_{\lambda \in \mathbb{N}}, (S_i)_{i \in [n]}, (u_i)_{i \in [n]})$  with  $n$  players  $P_1, \dots, P_n$  consists of

- A set  $\mathbb{T}_i$  of types for each player  $P_i$  and a corresponding ppt-sampleable *family of (input) type distributions*  $\{\mathcal{D}(\lambda)\}_{\lambda \in \mathbb{N}}$  over  $\mathbb{T}_{\times[n]}$ .
- A set  $S_i$  of ppt ITMs with (local) output space  $\mathbb{O}_i \subseteq \{0, 1\}^*$  for each player  $P_i$ .
- A utility function  $u_i$  for each player  $P_i$  which maps security parameter  $\lambda$ , types  $(t_1, \dots, t_n) \in \mathbb{T}_{\times[n]}$ , and (local) ITM outputs  $(o_1, \dots, o_n) \in \mathbb{O}_{\times[n]}$  to a utility in  $\mathbb{R}$ .

For a given security parameter  $\lambda$  and ITMs  $(M_1, \dots, M_n)$ , we overload notation and define the utility  $u_i(1^\lambda, (M_1, \dots, M_n)) = \mathbb{E}[u_i(1^\lambda, t_1, \dots, t_n, o_1, \dots, o_n)]$ , where  $(t_1, \dots, t_n) \leftarrow \mathcal{D}(\lambda)$  and  $o_i$  is the output of ITM  $M_i(1^\lambda, t_i)$  after interacting with all the other ITMs. For a coalition  $C \subseteq [n]$  we define utility  $u_C(1^\lambda, (M_1, \dots, M_n)) := \sum_{i \in C} u_i(1^\lambda, (M_1, \dots, M_n))$ , where each ITM  $M_i, i \in C$ , is run with input  $(1^\lambda, (t_i)_{i \in C})$ .

In a typed computational game, first the players choose their strategies, i.e. ITMs. Afterwards, the security parameter is fixed, the types  $(t_1, \dots, t_n)$  are privately sampled by an external Dealer (in game theory often called Nature), and each  $t_i$  is (privately) written on the input tape of  $M_i$  which starts the interaction. Fixing the ITMs before sampling types is of major importance with respect to types which are based on computationally hard problems. Otherwise, for example, given any discrete logarithm instance, the (computationally unbounded) player would be able to choose a strategy which hardcodes the solution to the given instance. This even exceeds the capabilities of non-uniform ITMs whose auxiliary input may only depend on the security parameter but not concrete problem instances. Utilities in typed computational games depend on the (local) outputs *and* sampled types. They are (a-priori) computed as expected value over the sampling of types, interaction of machines, and their final outputs. For a coalition  $C$  of players, we define the utility  $u_C$  as sum over the parties' individual utilities when their ITMs are run on their shared inputs. This reflects the idea that in a realistic setting parties who form a coalition split up their gains.

With respect to the framework from Definition 8 the notion of  $t$ -resilient equilibria serves as first concept to describe stable strategy profiles.

**Definition 9** (*t-Resilient Computational Equilibrium*). For a typed computational game  $\Gamma = (\{\mathcal{D}(\lambda)\}_{\lambda \in \mathbb{N}}, (S_i)_{i \in [n]}, (u_i)_{i \in [n]})$  we call strategy profile  $M = (M_1, \dots, M_n) \in S_{\times [n]}$  *t-resilient computational equilibrium* if for all  $C \subseteq [n]$ ,  $|C| = t$ , and all strategies  $M'_C \in S_{\times C}$  there exists a negligible function  $\mu$  such that

$$u_C(1^\lambda, M'_C, M_{-C}) \leq u_C(1^\lambda, M) + \mu(\lambda)$$

Definition 9 adapts the notion of an  $\epsilon$ -Nash equilibrium to the cryptographic setting of typed computational games where parties are allowed to form coalitions. In a computational equilibrium each player  $P_i$  is at most able to increase her utility by a negligible amount  $\mu$  when switching to a different strategy. Assuming that players do not care about negligible improvements, a computational equilibrium is arguably stable as nobody has an incentive to deviate.

For some scenarios the stability of  $t$ -resilient equilibria is insufficient and complementary properties are demanded. One such property relies on the *dominance* of strategies which we define for typed computational games.

**Definition 10** (*Dominance in Typed Computational Games*). Let typed computational game  $\Gamma = (\{\mathcal{D}(\lambda)\}_{\lambda \in \mathbb{N}}, (S_i)_{i \in [n]}, (u_i)_{i \in [n]})$ . For player  $P_i$  a strategy  $M_i^* \in S_i$  weakly dominates  $M_i' \in S_i$  if

1. “Never non-negligibly worse”: For all  $M_{-i} \in S_{\times -i}$  there exists a negligible function  $\mu$  such that

$$u_i(1^\lambda, M_i^*, M_{-i}) \geq u_i(1^\lambda, M_i', M_{-i}) - \mu(\lambda)$$

2. “Sometimes significantly better”: There exists a noticeable function  $p$  and an opponent strategy profile  $M_{-i} \in S_{\times -i}$  such that

$$u_i(1^\lambda, M_i^*, M_{-i}) \geq u_i(1^\lambda, M_i', M_{-i}) + p(\lambda)$$

If the second condition holds for all strategies, then  $M_i^*$  strictly dominates  $M_i'$ . For each player  $P_i$ , denote the set of its strictly dominated strategies by  $\text{sDOM}_i(\Gamma)$  and its weakly dominated strategies by  $\text{wDOM}_i(\Gamma)$ .

According to Definition 10, a strategy  $M_i$  weakly dominates another strategy  $M'_i$  if (1)  $M_i$  is *at most negligibly* worse than  $M'_i$  against any opponent ITMs and (2)  $M_i$  is *noticeably* better than  $M'_i$  against at least one choice of opponent ITMs. Similarly to how computational equilibria are defined with slack (i.e. strategies that only improve by a negligible amount do not count), this notion of dominance is also adapted to the computational setting. One receives the original purely game-theoretic notion of domination when setting  $\mu = p = 0$ .

The purely game-theoretic notion, without slack, is not very useful for cryptographic scenarios for the same reasons that the Nash equilibrium definition has been adapted to include slack [Kat08]. In a definition without slack, any negligible improvement would be considered. In particular, say we have a protocol that involves public-key cryptography, then intuitively, any strategy can be improved by having the machine try to randomly guess the secret key (and then use that key to break something). This improvement would be, in every sense of the word, negligible (by cryptographic security guarantees) and practically completely irrelevant. However, according to the non-slack definition, it would never be considered rational to play any strategy, since the strategy with one additional brute-force attempt would be (negligibly) better. Also, it makes intuitive sense that a rational player would be indifferent to both negligible improvements and negligible loss in utility. Requiring sometimes (noticeable) gain but never any loss, as done in [LT06], seems artificial. In particular, it is inconsistent to computational Nash equilibria, where players are indifferent with respect to negligible losses, in the sense that replacing any strategy with a negligibly worse strategy is still a Nash equilibrium. For those reasons, we define dominance with slack in both conditions.

In contrast to Nash equilibria, there does not seem to be a consensus on how to generalize domination to a setting that includes coalitions. We refer to Section 6 for our definition of domination with coalitions.

**Remark 1** (Noticeable vs non-negligible gains). With respect to the second condition of Definition 10, we could also require a non-negligible advantage from the weakly dominating strategy instead of a noticeable one. While the following results apply to both definitions, working with noticeable functions facilitates the proofs. In particular, we do not have to argue about infinite series of security parameters for which some inequality is not satisfied. Furthermore, it is questionable whether a strategy which is *non-negligibly but not noticeably* better, should be considered dominant. Using just non-negligible gains includes cases where there exists an infinite series of security parameters on which the utility is bounded by a negligible function. If, in practice, the game is only instantiated on these security parameters, the strategy would only ever have a negligible gain.

Note that, essentially, weakly dominated strategies are irrelevant for the game. No rational player would consider playing them. So conceptually, weakly dominated strategies can be safely deleted from the pool of considered strategies. Deleting strategies, however, may render *other* strategies weakly dominated, with respect to the reduced strategy sets. So with the same reasoning, those “new” weakly dominated strategies should be deleted as well. This process leads to following definition of *iterated* deletion of weakly dominated strategies.

**Definition 11** (Iterated Deletion of Weakly Dominated Strategies). Let typed computational game  $\Gamma^0 = (\{\mathcal{D}(\lambda)\}_{\lambda \in \mathbb{N}}, (S_i^0)_{i \in [n]}, (u_i)_{i \in [n]})$ . For all  $i \in [n]$  and  $j \in \mathbb{N}$  define  $S_i^j := S_i^{j-1} \setminus \text{wDOM}_i(\Gamma^{j-1})$  and  $\Gamma^j = (\{\mathcal{D}(\lambda)\}_{\lambda \in \mathbb{N}}, (S_i^j)_{i \in [n]}, (u_i)_{i \in [n]})$ . Then  $S_{\times [n]}^\infty := \bigcap_{j=1}^\infty S_{\times [n]}^j$  is the set of strategies which survives the process of iterated deletion of weakly dominated strategies and  $\Gamma^\infty = (\{\mathcal{D}(\lambda)\}_{\lambda \in \mathbb{N}}, (S_i^\infty)_{i \in [n]}, (u_i)_{i \in [n]})$  is its corresponding game. A strategy profile  $(M_1, \dots, M_n) \in S_{\times [n]}^0$  *survives* the iterated deletion of weakly dominated strategies, if  $(M_1, \dots, M_n) \in S_{\times [n]}^\infty$ .

During the process of deletion of strategies, the strategy sets shrink over time. While for finite strategy sets this process eventually stops, for infinite sets we are not aware of any characterizations when this process stops. For our upcoming results, it is important to note that any weakly dominated



strategy w.r.t. the original (full) strategy set is deleted in the first iteration and can never be considered rational to play according to this notion. Indeed, our results will only focus on the first iteration of iterated deletion, i.e. we generally show that strategies are weakly dominated from the start (rather than becoming weakly dominated in later iterations). This implies not surviving IDoWDS, which is the term that most of the related work is concerned with.

In important publications [ADGH06, GK06, HT04], founding the field of rational secret reconstruction and rational MPC, a mechanism is only considered “practical” if it both is a Nash equilibrium and survives the iterated deletion of weakly dominated strategies. Otherwise, playing such a mechanism is arguably irrational.

### 3.5 Rational Secret Reconstruction

In this section, we define the secret reconstruction game in the spirit of [GK06] as an instantiation of a typed computational game (Definition 8). For this, we need to define the types, allowed strategies, and utility functions.

The setting is as follows. First, a secret is shared among  $n$  players using a locally verifiable secret sharing scheme (Definition 3). This is done by a dealer in this formalization and the shares (and any authentication data) are given to each party as a type, but we could similarly imagine the shares to be the output of an MPC protocol. As another application example, a central party might secret share authentication information among a group of people to restrict access to some application. The goal for the parties is to reconstruct the secret. Regarding the utilities, each player has a certain gain from learning the shared secret, e.g. if the result of a computation or the access to an application is valuable. How much a player gains typically also depends on whether the other parties learn the secret as well. For example, if a player is the only one to learn a password for an online banking application, then she might transfer all available money to her own account. If others also gain access to the application, then the money possibly has to be shared with them decreasing the player’s gain.

Based on these considerations, the reconstruction of locally verifiable shared secrets by rational participants is defined as follows.

**Definition 12** (Secret reconstruction game with locally verifiable reconstruction). The secret reconstruction game with family of secret distributions  $\{\mathcal{S}(\lambda)\}_{\lambda \in \mathbb{N}}$  over secret domain  $\mathbb{S}$ , access structure  $\mathbb{A}$ , secret sharing scheme  $\Pi = (\text{Setup}_\Pi, \text{Share}, \text{Recon})$  with locally verifiable reconstruction consists of

- Type distribution  $\mathcal{D}(\lambda)$ : Sample public parameters  $\text{pp} \leftarrow \text{Setup}_\Pi(1^\lambda)$ , secret  $s \leftarrow \mathcal{S}(\lambda)$ , and shares  $(s^{(i)}, \tau^{(i)}, \sigma^{(i)})_{i \in [n]} \leftarrow \text{Share}(\text{pp}, s)$ . Set type  $t_i := (\text{pp}, (s^{(i)}, \tau^{(i)}, \sigma^{(i)}))$ .
- A set  $S_i$  of ppt ITMs with (local) output space  $\mathbb{S} \cup \{\perp\}$  for each player  $P_i$ .
- A utility function  $u_i$  for each player  $P_i$  which maps security parameter, secret  $s \in \mathbb{S}$ , and the parties’ outputs  $(s_1, \dots, s_n) \in (\mathbb{S} \cup \{\perp\})^n$  to a utility in  $\mathbb{R}$ .

**Remark 2.** Unlike Definition 8 of typed computational games, we let the utility function in Definition 12 only depend on the secret itself and not the whole types. This specialization reflects typical secret reconstruction utilities which only rely on the shared secret itself and not on concrete shares, authentication data, or public parameters. Additionally, if the secret sharing scheme has no locally verifiable reconstruction, then the corresponding local verification information and authentication information remain empty.

Definition 12 models a scenario where players first choose the ITMs they use for reconstructing the secret which is afterwards shared among them by an external party. The secrets are sampled

according to a publicly known distribution which depends on the security parameter. This dependence is especially important if the secret’s length increases with the security parameter, e. g. when it corresponds to a secret key. Then each player runs their ITM on input  $(s^{(i)}, \tau^{(i)}, \sigma^{(i)})$ , consisting of the player’s share  $s^{(i)}$ , local verification information  $\tau^{(i)}$ , and authentication information  $\sigma^{(i)}$  as in Definition 3. The ITM eventually outputs a guess for the secret or an error symbol  $\perp$ . After the execution, a player’s utility depends on the shared secret and the output guesses.

While utility functions might encode anything, previous works [HT04, GK06, ADGH06, KN08a] modeled players to prefer learning the (correct) secret over not learning the secret and to prefer the others *not* to learn the (correct) secret.

**Definition 13.** Let  $u_i$  be the secret reconstruction utility of player  $P_i$  from a secret reconstruction game (Definition 12). We say  $u_i$

- *prefers correctness*, if there exists a noticeable function  $p$  such that for all  $\lambda \in \mathbb{N}$ , secrets  $s \in \mathbb{S}$ , and guesses  $s^*, s' \in (\mathbb{S} \cup \{\perp\})^n$  with  $s_i^* = s \neq s'_i$  we have

$$u_i(1^\lambda, s, s^*) > u_i(1^\lambda, s, s') + p(\lambda).$$

- *prefers exclusivity*, if for all  $j \neq i$  there exists a noticeable function  $p$  such that for all  $\lambda \in \mathbb{N}$ , secrets  $s \in \mathbb{S}$ , and guesses  $s^*, s' \in (\mathbb{S} \cup \{\perp\})^n$  with  $s_j^* = s \neq s'_j$  and  $s_{-j}^* = s'_{-j}$  we have

$$u_i(1^\lambda, s, s') > u_i(1^\lambda, s, s^*) + p(\lambda).$$

If  $u_i$  prefers both correctness and exclusivity, then we call it natural.

Definition 13 states that a player prefers correctness if her utility improves when her ITM outputs the correct secret instead of the wrong secret while the others’ guesses are fixed. Additionally, a player prefers exclusivity if her utility improves when another party outputs the wrong secret instead of the right one. As discussed in previous Remark 1 on noticeable and non-negligible gains, we again choose to require noticeable functions as improvements. This restriction of utilities, which arguably applies to many real-world applications, was used to show negative results [HT04, ADGH06, LT06, AL11] as well as to construct protocols being a computational equilibrium [HT04, GK06, ADGH06, KN08a].

Finally, we restrict the distribution of secrets to be non-trivial to rule out scenarios where ITMs are able to correctly guess the secret without any interaction: The distribution must not be concentrated too much on a single secret.

**Definition 14** (Non-trivial secret distribution). A family of secret distributions  $\{\mathcal{S}(\lambda)\}_{\lambda \in \mathbb{N}}$  over secret domain  $\mathbb{S}$  is called *non-trivial* if there exists a noticeable function  $p$  such that for all secrets  $s \in \mathbb{S}$

$$\Pr[\mathcal{S}(\lambda) = s] < 1 - p(\lambda).$$

## 4 Weak Domination in Existing Secret Reconstruction Protocols

In this section we describe several existing strategies from [ADGH06, GK06] which were formerly claimed to survive the iterated deletion of weakly dominated strategies in the secret reconstruction game. Contradicting these claims we construct a counterexample which weakly dominates the original strategies if the initial secret sharing scheme is locally verifiable. This counterexample serves as blueprint for other protocols like [HT04, KN08a] and provides an intuition for our general results.

ITM  $M_i^{\text{ADGH}}$  on input  $t_i = (\text{pp}, s^{(i)}, \tau^{(i)}, \sigma^{(i)})$  with access to  $\mathcal{F}^{\beta, \hat{s}}$  (Figure 4).

```

1 : Set flag allHonest := true
2 : while allHonest do
3 :   Send  $t_i$  to  $\mathcal{F}^{\beta, \hat{s}}$  which privately returns  $(\bar{s}^{(i)}, \bar{\tau}^{(i)}, \bar{\sigma}^{(i)})$ .
4 :   For all  $M_j, j \neq i$ : Simultaneously send  $(\bar{s}^{(i)}, \bar{\sigma}_j^{(i)})$  and obtain  $(\bar{s}^{(j)}, \bar{\sigma}_i^{(j)})$ .
5 :   Compute  $s^* = \text{Recon}(\text{pp}, \bar{\tau}^{(i)}, ((\bar{s}^{(1)}, \bar{\sigma}_i^{(1)}), \dots, (\bar{s}^{(n)}, \bar{\sigma}_i^{(n)})))$ .
6 :   if  $s^* = \perp$  then
7 :     allHonest := false
8 :   elseif  $s^* \neq \hat{s}$  then
9 :     Output  $s^*$  and terminate.
10 : Continue listening, but send nothing anymore.

```

Figure 3: Secret reconstruction strategy generalized from several protocols of [ADGH06, GK06] using an ideal functionality  $\mathcal{F}^{\beta, \hat{s}}$  (Figure 4) instead of an MPC protocol.

The above-mentioned protocols follow the generic pattern depicted in Figure 3. We describe this pattern using standard terminology from multiparty computation, i.e. we use an ideal functionality that has to be replaced by an appropriate protocol. Using the functionality description allows us to abstract from many irrelevant details. In accordance with the secret reconstruction game, input  $t_i$  for ITM  $M_i^{\text{ADGH}}$  includes public parameters  $\text{pp}$  and a triple  $(s^{(i)}, \tau^{(i)}, \sigma^{(i)})$  consisting of share  $s^{(i)}$ , local verification information  $\tau^{(i)}$ , and authentication information  $\sigma^{(i)}$ . They assume there is some fake secret  $\hat{s} \in \mathbb{S}$  which is not in the support of distribution  $\mathcal{S}$  of secrets and, therefore, is distinguishable from the initially shared secret  $s^*$ . The main loop always begins with a first phase where the parties query an ideal functionality  $\mathcal{F}^{\beta, \hat{s}}$  (Figure 4) using their types. Functionality  $\mathcal{F}^{\beta, \hat{s}}$  first checks consistency and validity of these inputs and, if successful, returns a fresh round sharing  $(\bar{s}^{(i)}, \bar{\tau}^{(i)}, \bar{\sigma}^{(i)})$  of either  $s^*$  with probability  $\beta$  or of  $\hat{s}$  with probability  $1 - \beta$ . Afterwards  $M_i^{\text{ADGH}}$  sends its round share  $\bar{s}^{(i)}$  and authentication information  $\bar{\sigma}_j^{(i)}$  to each  $M_j$  as well as *simultaneously* obtains a message parsed as  $(\bar{s}^{(j)}, \bar{\sigma}_i^{(j)})$ .  $M_i^{\text{ADGH}}$  uses its round verification information  $\bar{\tau}^{(i)}$  to locally reconstruct a corresponding secret. If the reconstruction fails with error symbol  $\perp$ ,  $M_i^{\text{ADGH}}$  leaves the loop and only listens to any further communication. If the reconstructed secret  $s^*$  does not equal the fake secret  $\hat{s}$ ,  $s^*$  is locally output as final guess. Otherwise, the loop's next round begins. Note, the protocol makes each  $M_i$  correctly output the initially shared secret  $s^*$  in an expected number of  $1/\beta$  loop runs.

This protocol pattern randomizes the last round in order to overcome the problem that “send no/wrong share” weakly dominates “send share” in a fixed last round. Due to the secret sharing's privacy, it is indistinguishable for deviating parties whether the current round's secret equals the initial secret  $s^*$  or the fake secret  $\hat{s}$ . When a party deviates such that she makes the reconstruction either fail with  $\perp$  or a wrong secret  $s \neq \hat{s}$ , the remaining parties stop the interaction. If this happens in a fake round, which with probability  $1 - \beta$  is the case, this stop of interaction acts as punishment as the deviating party obtains no further information on  $s^*$ .

In order to instantiate  $M_i^{\text{ADGH}}$  such that “send no/wrong share” not weakly dominates “send share”, the secret sharing scheme, its access structure, and the parameter  $\beta$  have to be chosen suitably. Depending on the given utilities, these ingredients have to be chosen such that the expected loss of making the protocol stop in a fake round outweighs the expected gain of exclusively learning the secret by deviating in a non-fake round. In short, the punishment deters active deviations which are observable by the remaining players. This, however, does not account for local deviations which

Functionality $\mathcal{F}^{\beta, \hat{s}}$ on inputs $(\mathbf{pp}_i, s^{(i)}, \tau^{(i)}, \sigma^{(i)})$ from each ITM $M_i$	
1 :	<b>if</b> $\exists i \in [n] : \text{Recon}(\mathbf{pp}, \tau^{(i)}, (s^{(j)}, \sigma_i^{(j)})_{j \in [n]}) = \perp \vee \mathbf{pp}_i \neq \mathbf{pp}_1$ <b>then</b>
2 :	<b>return</b> $\perp$
3 :	<b>else</b>
4 :	Compute $s^* = \text{Recon}(\mathbf{pp}, \tau^{(1)}, (s^{(j)}, \sigma_i^{(j)})_{j \in [n]})$
5 :	Compute $(\bar{s}^{(i)}, \bar{\tau}^{(i)}, \bar{\sigma}^{(i)})_{i \in [n]} \leftarrow \begin{cases} \text{Share}(\mathbf{pp}_\Pi, s^*), & \text{with probability } \beta \\ \text{Share}(\mathbf{pp}_\Pi, \hat{s}), & \text{with probability } 1 - \beta \end{cases}$
6 :	<b>return</b> $(\bar{s}^{(i)}, \bar{\tau}^{(i)}, \bar{\sigma}^{(i)})$ to each party $P_i$

Figure 4: Functionality  $\mathcal{F}^{\beta, \hat{s}}$  which, given a consistent and valid sharing of secret  $s^*$ , returns a fresh sharing of  $s^*$  with probability  $\beta$  and of  $\hat{s}$  with probability  $1 - \beta$ .

are not observable. To see this, consider our counterexample  $\overline{M}_i^{\text{ADGH}}$  (Figure 5) which extends strategy  $M_i^{\text{ADGH}}$  by a simple check at the end of its first loop run. Concretely,  $\overline{M}_i^{\text{ADGH}}$  checks whether each other machine sent a specially formatted LEAK-message containing their share and authentication information. If these values reconstruct to a valid secret under the *initial* verification information  $\tau^{(i)}$ , then  $s^*$  is output.  $\overline{M}_i^{\text{ADGH}}$  weakly dominates the original approach  $M_i^{\text{ADGH}}$  in certain settings as specified in following theorem.

**Theorem 1.** Let  $\Pi = (\text{Setup}_\Pi, \text{Share}, \text{Recon})$  be a secret sharing scheme (Definition 3) with perfect privacy (Definition 4). Consider a secret reconstruction game (Definition 12) for  $\Pi$ , with non-trivial distribution of secrets (Definition 14) and reconstruction utilities preferring correctness (Definition 13). If  $\Pi$  has local  $(n - 1)$ -verifiability (Definition 5), then for strategy  $M_i^{\text{ADGH}}$  (Figure 3) there exists a weakly dominating strategy  $\overline{M}_i^{\text{ADGH}}$ .

We sketch the proof idea of Theorem 1. For more details we refer to the analogous formal proof of our generalized non-uniform result Theorem 2. In order to weakly dominate  $M_i^{\text{ADGH}}$  (Figure 3) our constructed strategy  $\overline{M}_i^{\text{ADGH}}$  (Figure 5) has to be 1) noticeably better against at least one opponent strategy but 2) never more than negligibly worse against any opponent strategy. Regarding 1), consider strategies  $M'_{j \rightarrow i}$  (Figure 6) which send  $(\text{LEAK}, s^{(j)}, \sigma_i^{(j)})$ , i. e. a specially marked message containing the initial share and authentication information, to  $\overline{M}_i^{\text{ADGH}}$  and terminate. ITM  $\overline{M}_i^{\text{ADGH}}$  correctly parses these incoming messages, reconstructs the initial secret, and outputs it. Because  $M_i^{\text{ADGH}}$  is not instructed to parse the specific LEAK-format, reconstruction fails,  $M_i^{\text{ADGH}}$  leaves its loop, and only listens without a correct output. As we assume correctness-preferring reconstruction utilities, which value correct outputs with a noticeably higher utility than wrong outputs, requirement 1) is satisfied. Regarding 2), in comparison to  $M_i^{\text{ADGH}}$  ITM  $\overline{M}_i^{\text{ADGH}}$  may only deviate and lead to a worse utility, if the remaining  $(n - 1)$ -parties sent shares which make  $\overline{M}_i^{\text{ADGH}}$  reconstruct neither the initial secret  $s^*$  nor  $\perp$  under the initial  $\tau^{(i)}$ . Assuming local  $(n - 1)$ -verifiability, this happens with negligible probability against any ppt strategy  $M'_{j \rightarrow i}$ . Hence, compared to  $M_i^{\text{ADGH}}$ , the expected loss of  $\overline{M}_i^{\text{ADGH}}$  is at most negligible which satisfies requirement 2).

In order to show where our result applies, we first explain the concrete protocol instantiations and settings of  $M_i^{\text{ADGH}}$  from [ADGH06, GK06]. In the following let  $k$  be the size of coalitions,  $n$  the number of participating players, and  $m$  the threshold of required shares for an  $(m, n)$ -threshold access structure.

1. [GK06, Section 4],  $k$ -resilient equilibrium for  $k < m$ : Requires an arbitrary secret sharing scheme which signs the initial shares as well as round shares as input and within the loop.

ITM $\overline{M}_i^{\text{ADGH}}$ on input $t_i = (\text{pp}, s^{(i)}, \tau^{(i)}, \sigma^{(i)})$ with access to $\mathcal{F}^{\beta, \hat{s}}$ (Figure 4).
1: Run $M_i^{\text{ADGH}}$ on the given inputs until end of first communication round.
2: For all $j \in [n] \setminus \{i\}$ : Parse message $m_j$ from $M_j$ as $(\text{LEAK}, s^{(j)}, \sigma_i^{(j)})$ .
3: Compute $s^* = \text{Recon}(\text{pp}, \tau^{(i)}, ((s^{(1)}, \sigma_i^{(1)}), \dots, (s^{(n)}, \sigma_i^{(n)})))$ .
4: <b>if</b> $s^* \notin \{\hat{s}, \perp\}$ <b>then</b> // Shares valid with respect to the initial $\tau^{(i)}$ were sent.
5:     Output $s^*$ .
6: Continue to execute $M_i^{\text{ADGH}}$ .

Figure 5: Strategy  $\overline{M}_i^{\text{ADGH}}$  which weakly dominates  $M_i^{\text{ADGH}}$  (Figure 3).

ITM $M'_{j \rightarrow i}$ on input $t_j = (\text{pp}, s^{(j)}, \tau^{(j)}, \sigma^{(j)})$ with access to $\mathcal{F}^{\beta, \hat{s}}$ (Figure 4).
1: Send $m_j = (\text{LEAK}, s^{(j)}, \sigma_i^{(j)})$ to $M_i$ .
2: Output $\perp$ and terminate.

Figure 6: Strategies  $M'_{j \rightarrow i}$ .

The reconstruction algorithm outputs  $\perp$  if some share fails to verify under the corresponding public key. Probability  $\beta$  depends on utilities.

2. [ADGH06, Proposition 1],  $k$ -resilient equilibrium for  $k < m$ : Requires a secret sharing with signed shares (as in [GK06]) or with information-theoretic 1-time MACs as in Construction 1 as input. Within the loop: plain Shamir secret sharing without further reconstruction. Probability  $\beta$  depends on utilities.
3. [ADGH06, Proposition 2],  $k$ -resilient equilibrium for  $k < m < n - k$ : Requires a secret sharing with signed shares (as in [GK06]) or with information-theoretic 1-time MACs as in Construction 1 as input. Within loop: Construction 1. Probability  $\beta = 1/2$ , field size  $\mathbb{F}$  of Shamir sharing depends on utilities.
4. [ADGH06, Proposition 3],  $k$ -resilient equilibrium for  $k < m < n - 2k$ : Uses a Shamir secret sharing without further verification or authentication information as input and within the loop. For reconstruction: Reed-Solomon decoding. Probability  $\beta = 1/2$  but field size  $\mathbb{F}$  of Shamir sharing depends on utilities.

As the first three results all require *initial* sharings which are locally  $(n-1)$ -verifiable, Theorem 1 applies. Each of these concrete protocols is weakly dominated by strategy  $\overline{M}_i^{\text{ADGH}}$ . Hence, differently than claimed, these protocols do not survive the iterated deletion of weakly dominated strategies. The fourth variant ([ADGH06, Proposition 3]) does not make use of any locally verifiable properties but relies on pure combinatorics to reconstruct the original secret. In this case our counterexample does not apply. In particular, there exists an opponent strategy  $M_{-i}$  which makes ITM  $\overline{M}_i^{\text{ADGH}}$  output a wrong secret by appropriately adjusting the corresponding shares. More generally, our counterexample fails in scenarios where the remaining  $n - k$  parties are able to undetectably adjust their shares in order to change the reconstructed secret. However, as we show in Section 7, in certain settings [ADGH06, Proposition 3] is also weakly dominated, but by another type of strategy.

## 5 Impossibility Results for Surviving Iterated Deletion of Weakly Dominated Strategies

As explained in the introduction, the counterexample shown in Section 4 can be counteracted by adding the same first-round check to the honest protocol. However, informally, one can argue that there are many different checks that simply expect different encodings of the special first-round message, and not all of them can be built into a polynomial-time strategy. In this section, we show that in certain settings, local  $(n - 1)$ -verifiability and iterated deletion of weakly dominated strategy (IDoWDS) are provably incompatible. We start with a non-uniform setting in Section 5.1 and then discuss other settings in Section 5.2.

### 5.1 Impossibility with Respect to Non-uniform Strategies

We consider the non-uniform setting. We show that for a secret reconstruction game local  $(n - 1)$ -verifiability and iterated deletion of weakly dominated strategy (IDoWDS) are incompatible, i.e. in this setting every non-uniform strategy is weakly dominated by some other non-uniform strategy. This is formalized in Theorem 2 and Corollary 1. The only restrictions we need are non-trivial distributions and correctness-preferring utilities. Recall that for trivial secret distributions, i.e. distributions that are concentrated on a single secret, secret reconstruction games are mostly vacuous.

**Theorem 2.** Let  $\Pi = (\text{Setup}_\Pi, \text{Share}, \text{Recon})$  be a secret sharing scheme (Definition 3) with perfect privacy (Definition 4). Consider a secret reconstruction game (Definition 12) for  $\Pi$ , with non-uniform strategies, non-trivial distribution of secrets (Definition 14), and reconstruction utilities preferring correctness (Definition 13). Let  $(M_i, \omega'_1, \omega'_2, \dots)$  be a strategy for the secret reconstruction game, i.e. a non-uniform ppt ITM. If  $\Pi$  has (non-uniform) local  $(n - 1)$ -verifiability (Definition 5), then there exists another strategy  $(M_i^*, (\omega_1, \omega_2, \dots))$  which weakly dominates  $(M_i, \omega'_1, \omega'_2, \dots)$  (Definition 10).

**Corollary 1.** In the non-uniform setting there exists no strategy profile for the secret reconstruction game setting described in Theorem 2 which survives the iterated deletion of weakly dominated strategies (Definition 11).

*Proof (Theorem 2).* In order to prove Theorem 2, given strategy  $(M_i, \omega'_1, \omega'_2, \dots)$ , where we from now on drop its auxiliary inputs  $(\omega'_1, \omega'_2, \dots)$  which are immaterial to the argument, we define a new strategy  $(M_i^*, (\omega_1, \omega_2, \dots))$  as in Figure 7.  $(M_i^*, (\omega_1, \omega_2, \dots))$  extends  $M_i$  by an additional check

Non-uniform ITM $(M_i^*, (\omega_1, \omega_2, \dots))$ , $\omega_\lambda = (\omega_{\lambda,1}, \dots, \omega_{\lambda,n})$ , for given $M_i$ .
<b>Setup:</b> Sample $(t_1, \dots, t_n) \leftarrow \mathcal{D}(\lambda)$ , $t_i = (\text{pp}, s^{(i)}, \tau^{(i)}, \sigma^{(i)})$ , and send $t_i$ to $M_i$ .
1: Run $M_i$ on the given inputs until end of first communication round.
2: For all $j \in [n] \setminus \{i\}$ : On message $m_j$ from $M_j$ set $(s^{(j)}, \sigma_i^{(j)}) := m_j \oplus \omega_{\lambda,j}$ .
3: <b>if</b> $s^* := \text{Recon}(\text{pp}, \tau^{(i)}, (s^{(j)}, \sigma_i^{(j)})_{j \in [n]}) \neq \perp$ <b>then</b>
4:     Output $s^*$ .
5: Continue to execute $M_i$ .

Figure 7: Improved strategy  $(M_i^*, (\omega_1, \omega_2, \dots))$

whether it obtained one-time pad encryptions of the original signed shares using the non-uniform keys  $\omega_\lambda = (\omega_{\lambda,1}, \dots, \omega_{\lambda,n})$ . Without loss of generality we assume that the messages  $m_j$  that  $M_i$  receives from other strategies are of the same length as the advice strings  $\omega_{\lambda,j}$  (which in turn have

the length of shares). If this is not the case, we only consider prefixes of  $m_j$  of the appropriate length. Since  $(M_i^*, (\omega_1, \omega_2, \dots))$  in its first step simulates  $M_i$  until the end of the communication round, it also needs the  $M'_i$ 's advice string as additional input. To simplify notation, we do not include this in the description of  $(M_i^*, (\omega_1, \omega_2, \dots))$ .

To prove Theorem 2, first note that  $(M_i^*, (\omega_1, \omega_2, \dots))$  (Figure 7) is ppt. Next, we show its weak dominance over  $M_i$  (Definition 10). We split the proof for computational weak dominance into Lemmas 1 and 2: On the one hand, we show that  $M_i^*$  achieves at most negligibly less utility than  $M_i$  with respect to any opponent strategy  $M_{-i}$  (Lemma 1). On the other hand, we show the existence of an opponent strategy  $M_{-i}$  that achieves noticeably higher utility than  $M_i$  (Lemma 2). Taken together, Lemmas 1 and 2 show that both requirements of computational weak dominance are satisfied which finishes the proof.  $\square$

**Lemma 1.** Let (non-uniform) ITM  $M_i$  be a strategy for the secret reconstruction game for a secret sharing scheme  $\Pi = (\text{Setup}_\Pi, \text{Share}, \text{Recon})$  with locally  $(n - 1)$ -verifiable reconstruction and non-uniform strategies. Then for any opponent strategy profile  $M_{-i}$  and strategy  $(M_i^*, (\omega_1, \omega_2, \dots))$  (Figure 7) there exists a negligible function  $\mu$  such that for all  $\lambda \in \mathbb{N}$

$$u_i(1^\lambda, M_i, M_{-i}) \leq u_i(1^\lambda, (M_i^*, (\omega_1, \omega_2, \dots)), M_{-i}) + \mu(\lambda) \quad (1)$$

*Proof.* For the sake of contradiction assume that for some  $(M_i^*, (\omega_1, \omega_2, \dots))$ ,  $M_{-i}$ , and all negligible functions  $\mu$  we have

$$u_i(1^\lambda, M_i, M_{-i}) > u_i(1^\lambda, (M_i^*, (\omega_1, \omega_2, \dots)), M_{-i}) + \mu(\lambda).$$

Note that the only deviation of ITM  $(M_i^*, (\omega_1, \omega_2, \dots))$  from the original strategy  $M_i$  happens within lines 2-4 (Figure 7). Since, by assumption, reconstruction utilities prefer correctness, compared to  $M_i$  this deviation only decreases utility if the secret output in line 4 is not correct. In order to decrease utility more than negligibly, entering line 4 and outputting the wrong secret has to happen with a non-negligible probability. However, in that case from  $(M_i^*, (\omega_1, \omega_2, \dots))$  and  $M_{-i}$  we immediately get an adversary violating the local  $(n - 1)$ -verifiability property of  $\Pi = (\text{Setup}_\Pi, \text{Share}, \text{Recon})$  (see Definition 3).  $\square$

**Lemma 2.** Let ITM  $M_i$  be a strategy for the secret reconstruction game for secret sharing scheme  $\Pi = (\text{Setup}_\Pi, \text{Share}, \text{Recon})$  with locally  $(n - 1)$ -verifiable reconstruction and with non-uniform strategies (Definition 12). If the *distribution of secrets is non-trivial* (Definition 14) and *reconstruction utilities prefer correctness*, then there exist auxiliary strings  $(\omega_1, \omega_2, \dots)$ , an opponent strategy  $M_{-i}$ , and a noticeable function  $p$  such that for all  $\lambda \in \mathbb{N}$

$$u_i(1^\lambda, (M_i^*, (\omega_1, \omega_2, \dots)), (M_{-i}, (\omega_1, \omega_2, \dots))) \geq u_i(1^\lambda, M_i, (M_{-i}, (\omega_1, \omega_2, \dots))) + p(\lambda),$$

where each strategy in profile  $M_{-i}$  gets the same sequence of auxiliary strings.

*Proof.* Consider the opponent strategies  $(M'_{j \rightarrow i}, (\omega_1, \omega_2, \dots))$ ,  $j \neq i$ , described in Figure 8. Together they form the profile  $M_{-i}$ .

The strategies in  $(M'_{j \rightarrow i}, (\omega_1, \omega_2, \dots))$  are tailored towards  $(M_i^*, (\omega_1, \omega_2, \dots))$  and simply send one-time pad encryptions of their shares to  $M_i$ . Obviously, these are not useful (or rational) strategies but are still relevant for weak domination.

In the following, to ease notation, we exclude the shares verification and authentication information which are not relevant to the argument itself. Also, to increase readability, we drop the auxiliary strings from the non-uniform ITMs  $(M_i^*, (\omega_1, \omega_2, \dots))$  and  $(M'_{j \rightarrow i}, (\omega_1, \omega_2, \dots))$  when possible.

For the sake of contradiction assume that for all  $(\omega_1, \omega_2, \dots)$  and all noticeable functions  $p$

$$u_i(1^\lambda, M_i^*, (M'_{j \rightarrow i})_{j \neq i}) < u_i(1^\lambda, M_i, (M'_{j \rightarrow i})_{j \neq i}) + p(\lambda). \quad (2)$$

Non-uniform ITM $(M'_{j \rightarrow i}, (\omega_1, \omega_2, \dots)), \omega_\lambda = (\omega_{\lambda,1}, \dots, \omega_{\lambda,n})$
<b>Setup:</b> Sample $(t_1, \dots, t_n) \leftarrow \mathcal{D}(\lambda)$ , $t_i = (\text{pp}, s^{(i)}, \tau^{(i)}, \sigma^{(i)})$ , and send $t_i$ to $M_i$ .
1: Send $m_j = (s^{(j)}, \sigma_i^{(j)}) \oplus \omega_{\lambda,j}$ to $M_i$ .
2: Output $\perp$ and terminate.

Figure 8: Strategies  $(M'_{j \rightarrow i}, (\omega_1, \omega_2, \dots))$

First note, the strategies  $M'_{j \rightarrow i}$  have the fixed output  $\perp$  irrespective of  $M_i$ . Therefore, against  $M'_{j \rightarrow i}$ , the only difference in  $M_i$ 's utility originates from the output of  $M_i$  itself. Further, because we assume utilities which prefer correctness, any output of  $M_i$  which is not the correct secret results in noticeably less utility compared to the correct secret. By construction,  $M_i^*$  always correctly reconstructs and outputs the originally shared secret in line 4 when the remaining parties run  $M'_{j \rightarrow i}$ . Therefore,  $M_i^*$  achieves the optimal utility with respect to the ITMs  $M'_{j \rightarrow i}$ . Hence, in order to satisfy Equation (2), strategy  $M_i$  has to output the correct secret with overwhelming probability for all choices of auxiliary strings. By an averaging argument this also holds when choosing the auxiliary strings uniformly at random. Formally, there exists a negligible function  $\mu$  such that

$$\Pr[s \leftarrow \mathcal{S}(\lambda), \omega_\lambda \leftarrow \{0, 1\}^{\ell(\lambda)} : M_i(\text{Share}(s) \oplus \omega_\lambda) = s] = 1 - \mu(\lambda).$$

for all  $\lambda \in \mathbb{N}$ . We rewrite above equation as

$$\Pr[s \leftarrow \mathcal{S}(\lambda), \omega_\lambda \leftarrow \{0, 1\}^{\ell(\lambda)} : M_i(\omega_\lambda) = s] = 1 - \mu(\lambda).$$

In particular, by the uniform choice of  $\omega$  the input of  $M_i$  is stochastically independent of  $s$  but  $M_i$  still outputs  $s$  with overwhelming probability. This, however, contradicts the non-trivial distribution of secrets because there exists a noticeable function  $p$  such that for any machine  $M'$ , especially  $M_i$ , we have

$$\Pr[s \leftarrow \mathcal{S}(\lambda), \omega_\lambda \leftarrow \{0, 1\}^{\ell(\lambda)} : M'(\omega_\lambda) = s] \leq \max_{S \in \mathcal{S}} \Pr[\mathcal{S}(\lambda) = s] < 1 - p(\lambda).$$

Concretely, for the negligible function  $\mu$  and noticeable function  $p$  the previous equations imply relation  $p(\lambda) < \mu(\lambda)$ , which for  $\lambda$  large enough is false.  $\square$

## 5.2 Impossibility with Respect to Other Settings

If we examine the proof above, the main challenge for proving that every strategy is weakly dominated is coming up with a first-message encoding for which we can prove that the original strategy does not check it in any way. We mask the first-round message by XORing with some bit string that is the same for all machines  $M'_{j \rightarrow i}$ , but to which the original strategy has no access. In the non-uniform setting, we essentially prove that a ppt machine cannot check *all* XOR masks, and then encode some XOR mask that is not checked in the non-uniform advice string  $\omega$  of the counterexample machines  $(M_i^*, (\omega_1, \omega_2, \dots)), (M'_{j \rightarrow i}, (\omega_1, \omega_2, \dots))$ .

Another alternative for getting an XOR mask that is not accessed by the original strategy  $M_i$  presents itself in the random oracle model: If the original strategy  $M_i$  is such that it never queries a random oracle (e.g., any strategy in the standard model), then in the random oracle model,  $M_i$  is weakly dominated by some random oracle model strategy  $M_i^*$ .  $M_i^*$  works as in the non-uniform example, but sources the XOR mask from the random oracle (e.g., as  $H(1)||H(2)||\dots$ ). The first-round messages of  $M'_{j \rightarrow i}$  do not convey any information about the secret at all to the original non-random-oracle strategy.



Other ways are conceivable as well. For example, assume that the dealer extends each party’s type  $t_i$  by some shared random bit string  $\omega$  or there is some common reference string that we know is ignored by the original machine  $M_i$  (e.g., if  $M_i$  is a subprotocol in a larger protocol).

## 6 Impossibility of Rational Mechanisms for Majority Coalitions

In many cases, we not only want to look at individual rational actors, but also design mechanisms that are rational to follow for *coalitions* of actors [ADGH06]. So instead of standard (computational) Nash equilibria, in the coalition setting one considers  $t$ -resilient computational equilibria (Definition 9). Even though it seems not to have been done in the literature [ADGH06], we argue that in order to properly take coalitions into account, one must also account for coalitions when considering weak domination of strategies.

In this section, we provide evidence that there *cannot* be any reasonable secret reconstruction mechanism that for coalitions of size  $t \geq n/2$  is both (1) a  $t$ -resilient computational Nash equilibrium and (2) in some sense “ $t$ -resilient against weak domination”, i.e. there is no  $t$ -coalition strategy that is sometimes (significantly) better (against some strategy of the non-coalition members) and never (non-negligibly) worse. This seems to be true as long as the secret sharing scheme is *verifiable-or-fully-broken* (Definition 16), which is the case for the most popular secret sharing schemes. We formally prove impossibility for those secret sharing schemes and *non-uniform* strategies (so that we can apply a version of Theorem 2), but the result also generalizes to the settings discussed in Section 5.2 and intuitively, as argued in the introduction, similar results should apply to any reasonable concrete protocol with uniform strategies.

Intuitively, a mechanism designer has the choice between two options regarding authentication of the secret sharing: The first option is to make the secret sharing scheme very well authenticated, so that  $n - t$  parties *cannot* convince  $t$  honest parties of a wrong secret. But then any ( $t$ -coalition) strategy is weakly dominated similar to Section 5, as the strategy that applies a share verification check to (some encoding of) the first-round messages can be sure that if the check succeeds, it outputs the *correct* secret. The alternative option is to make the secret sharing scheme not as well authenticated, so that a coalition of  $n - t$  parties *can* convince someone of a wrong secret. But in that case, no strategy can be a  $(n - t)$ -resilient Nash equilibrium because it is always better for a coalition of  $n - t$  parties to deviate to convince the other parties of a wrong secret. But if a strategy is not a  $(n - t)$ -resilient Nash equilibrium, then it also cannot be a  $t$ -resilient Nash equilibrium because  $t \geq n - t$  for  $t \geq n/2$ . Overall, no matter whether authentication is chosen to be strong or weak, you get a problem with either weak domination or Nash equilibria.

To prove this, we first introduce a notion of weak domination for coalitions in Section 6.1, then go on to explain our assumption on the possible secret sharing schemes in Section 6.2, and finally prove the impossibility result in Section 6.3.

### 6.1 Weak Domination for Coalitions

First, we generalize the notion of weakly dominated strategies to weakly dominated strategies with respect to coalitions. While definitions of Nash equilibria with respect to coalitions (Definition 9) are widely available, it seems a similar generalization for weak domination is much less standard. For Nash equilibria, it is argued that if coalitions form, they may have an incentive to deviate from the prescribed mechanism in order to improve their utility. We argue that similarly, for weak domination with coalitions, it is reasonable for a coalition to deviate from the mechanism because there is an alternative coalition strategy that is never (non-negligibly) worse than the mechanism, but is (noticeably) better against *some* strategies of the non-coalition parties. We generalize Definition 10 for coalitions as follows.

**Definition 15** (Dominance with coalition  $C$ ). Let typed computational game  $\Gamma = (\{\mathcal{D}(\lambda)\}_{\lambda \in \mathbb{N}}, (S_i)_{i \in [n]}, (u_i)_{i \in [n]})$  and  $C \subseteq [n]$ . A partial strategy  $M_C^* \in S_{\times C}$  *weakly dominates*  $M_C' \in S_{\times C}$  with respect to coalition  $C$  if

1. “Never non-negligibly worse”: For all  $M_{-C} \in S_{\times -C}$ , there exists a negligible function  $\mu$  such that

$$u_C(1^\lambda, M_C^*, M_{-C}) \geq u_C(1^\lambda, M_C', M_{-C}) - \mu(\lambda)$$

2. “Sometimes significantly better”: There exists a noticeable function  $p$  and a partial opponent strategy  $M_{-C} \in S_{\times -C}$

$$u_C(1^\lambda, M_C^*, M_{-C}) \geq u_C(1^\lambda, M_C', M_{-C}) + p(\lambda)$$

where  $u_C$  is defined as in Definition 8. We say that the coalition strategy  $M_C' \in S_{\times C}$  is *weakly dominated* if there is some  $M_C^*$  that weakly dominates it.

The original non-coalition definition (Definition 10) is the special case with  $|C| = 1$ . We omit a definition of iterated deletion of weakly dominated strategies with respect to coalitions (it is not actually clear what that should look like, but it also is not necessary to our argument).

Theorem 2 can be generalized to coalitions as follows.

**Theorem 3.** Let  $\Pi = (\text{Setup}_\Pi, \text{Share}, \text{Recon})$  be a secret sharing scheme (Definition 3) with perfect privacy (Definition 4). Consider a secret reconstruction game (Definition 12) for  $\Pi$ , with non-uniform strategies, non-trivial distribution of secrets (Definition 14), and reconstruction utilities preferring correctness (Definition 13). Let  $C \subset [n]$ ,  $t = |C|$ , and let  $M_C = (M_i)_{i \in C}$  be some partial non-uniform strategy profile. If  $\Pi$  has (non-uniform) local  $n - t$ -verifiability (Definition 5), then there exists a non-uniform partial strategy profile  $M_C^*$  that weakly dominates  $M_C$  (Definition 15).

*Proof (sketch).* Given partial strategy profile  $M_C = (M_i)_{i \in C}$ , define partial strategy profile  $M_C^*$  as follows. Choose  $i \in C$  arbitrarily. Then  $M_C^*$  consists of  $(M_i^*, (\omega_1, \omega_2, \dots))$  and strategies  $M_j, j \in C \setminus \{i\}$ . The rest of the proof is as the proof for Theorem 2.  $\square$

## 6.2 An Assumption on the Secret Sharing Scheme

For the results in this section, we require the secret sharing scheme to have a specific property. Namely, we want that for any number  $k$  of corrupted shares, it must be either (1) *infeasible* to circumvent authentication (meaning it has local  $k$ -verifiability as in Definition 5), or (2) *very easy* to circumvent authentication in the following sense: Manipulating the  $k$  corrupted shares results in a sharing of a different secret  $s'$  related to the original secret  $s^*$  (even if the  $k$  parties may not be able to reconstruct  $s^*$  from their shares). Then given the related secret  $s'$ , it must be easy to find  $s^*$ . For example, for an additive (xor) secret sharing, the process (2) can be accomplished by incrementing some corrupted share by 1, which results in a secret  $s' = s^* + 1$ , so given  $s'$ , it is easy to retrieve  $s^*$ . There must not be an in-between where authentication is broken against  $k$  parties, but it also is not possible for  $k$  parties to both change the sharing to a different secret and then reliably infer the real secret.

**Definition 16** (Verifiable-or-fully-broken secret sharing schemes). Let  $\Pi$  be a secret sharing scheme (Definition 3) for  $n$  parties. We say that  $\Pi$  is verifiable-or-fully-broken (for secret distributions  $\mathcal{S}(1^\lambda)$ ) if for all  $k \in [1, n - 1]$ ,  $\Pi$  has local  $k$ -verifiability, *or* there is a  $C \subseteq [n], |C| = k$  and a deterministic polynomial-time algorithm  $\mathcal{A}$  such that  $\Pr[\text{ForgeRel}_{\mathcal{A}, \Pi}^{\mathcal{S}, C}(\lambda) = 1] \geq 1 - \mu(\lambda)$  for some negligible function  $\mu$ , where  $\text{ForgeRel}_{\mathcal{A}, \Pi}^{\mathcal{S}, C}$  is as in Figure 9.

This definition covers secret sharing schemes such as:

Experiment  $\text{ForgeRel}_{\mathcal{A}, \Pi}^{\mathcal{S}, C}(\lambda)$ :

1.  $s^* \leftarrow \mathcal{S}(1^\lambda)$ ,  $\text{pp} \leftarrow \text{Setup}_\Pi(1^\lambda)$ .
2.  $((s^{(1)}, \tau^{(1)}, \sigma^{(1)}), \dots, (s^{(n)}, \tau^{(n)}, \sigma^{(n)})) \leftarrow \text{Share}(\text{pp}, s^*)$ .
3. Adversary  $\mathcal{A}$  is given  $\text{pp}$ ,  $(s^{(j)}, \tau^{(j)}, \sigma^{(j)})_{j \in C}$ . It outputs  $(\bar{s}^{(j)}, \bar{\tau}^{(j)}, \bar{\sigma}^{(j)})_{j \in C}$  and a state  $\text{st}$ .
4. Check if  $((s^{(j)}, \tau^{(j)}, \sigma^{(j)})_{j \notin C}, (\bar{s}^{(j)}, \bar{\tau}^{(j)}, \bar{\sigma}^{(j)})_{j \in C})$  is valid output of  $\text{Share}(\text{pp}, s')$  for some  $s' \notin \{s^*, \perp\}$ . If not, output 0 and stop.
5.  $\mathcal{A}$  is given  $s'$  and  $\text{st}$ , and outputs some  $s_{\text{guess}}$ .
6. Output 1 iff  $s_{\text{guess}} = s^*$ .

Figure 9: Experiment for fully breaking verification of secrets for secret sharing scheme  $\Pi$  with respect to deterministic adversary  $\mathcal{A}$ , set  $C \subset [n]$  of corrupted parties, and family of secret distributions  $\mathcal{S}$ .

- Any secret sharing scheme where shares are signed or MACed as in Construction 1 (because those schemes are  $k$ -verifiable for all  $k \in [1, n - 1]$ ).
- Additive  $n$ -out-of- $n$  secret sharing (because there,  $\mathcal{A}$  can simply increment one share by  $+1$  and then  $s^* = s' - 1$ ).
- Shamir's  $m$ -out-of- $n$  secret sharing for  $m > n/2$  with high-entropy secret distributions  $\mathcal{S}$ . This is because for  $k \geq m > n - m$ ,  $\mathcal{A}$  can interpolate the polynomial  $f^*$ , compute all other  $n - m$  parties' shares, and then set up a new polynomial  $f'$  that agrees with the  $n - m$  honest parties' shares but encodes the secret  $s^* + 1$ ; for  $k < m$ , the scheme is  $k$ -verifiable because if the secret has high entropy, then it is infeasible to guess the shares of the other parties. Guessing wrong results in reconstruction failing because some honest party's share does not agree with the polynomial induced by the manipulated shares output by  $\mathcal{A}$ .

These schemes are widely used and arguably the most relevant ones. Note that Shamir's secret sharing for threshold  $m \leq n/2$  does not fall under this, but that case is less interesting in our setting because a coalition of  $k > n/2$  can then reconstruct the secret without any interaction (in particular, if used for sharing secrets in multiparty computation, the coalition would be able to see all of it).

### 6.3 Proving Impossibility

We are now ready to prove the following theorem.

**Theorem 4.** Let  $\Pi$  be a secret sharing scheme (Definition 3) with perfect privacy (Definition 3) that is verifiable-or-fully-broken (Definition 16) for secret distributions  $\mathcal{S}$ . Consider the secret reconstruction game for secret sharing scheme  $\Pi$  with non-uniform strategies, non-trivial distribution of secrets  $\mathcal{S}$  (Definition 14), and reconstruction utilities preferring correctness and exclusivity (Definition 13). Let  $t \geq n/2$ . Then there exists no mechanism with the following properties:

- If everyone follows the mechanism, the correct secret is reconstructed with probability 1.
- The mechanism is a  $t$ -resilient Nash equilibrium (Definition 9).
- There is no coalition  $C \subseteq [n]$ ,  $|C| = t$  such that  $M_C$  is weakly dominated (Definition 15).

Overall, this indicates that for most typical secret sharing schemes, there is no pleasing mechanism that could be considered fully “rational”. In contrast to Section 5, Theorem 4 does not *assume* that the secret sharing needs to be authenticated (but rather shows that whether or not authentication is applied, both cases run into rational issues).

For the proof, there are two cases, similar to how we argued at the beginning of this section: (1) if the secret sharing scheme  $\Pi$  has (non-uniform) local  $n - t$ -verifiability (Definition 5), then every mechanism is  $t$ -weakly dominated (because of Theorem 3). Otherwise (2) the secret sharing scheme does *not* have local  $n - t$  verifiability. Then it also does not have local  $t \geq n - t$  verifiability. Then Definition 16 gives us an adversary  $\mathcal{A}$  that manipulates the coalition shares, altering the shared secret from  $s^*$  to some  $s' \neq s^*$  (for the non-coalition parties), and can output the correct  $s^*$  for the coalition parties. We use  $\mathcal{A}$  to construct a coalition strategy with better utility than the mechanism, meaning that the mechanism is not a  $t$ -resilient computational Nash equilibrium.

*Proof.* Theorem 4 follows from Theorem 3 for the case that  $\Pi$  has (non-uniform) local  $n - t$ -verifiability, and from Lemma 3 in the other case.  $\square$

**Lemma 3.** In the setting of Theorem 4, assume  $\Pi$  does not have (non-uniform) local  $t$ -verifiability. Then no mechanism  $(M_1, \dots, M_n) \in S_{\times[n]}$  is a  $t$ -resilient computational Nash equilibrium.

*Proof.* Let  $M = (M_1, \dots, M_n)$  be a mechanism. Let  $C$  and  $\mathcal{A}$  be as in Definition 16,  $C \subseteq [n]$ ,  $|C| = t$ . Let  $(M_i^*)_{i \in C}$  be as in Figure 10.

Consider a run of strategies  $((M_i^*)_{i \in C}, (M_i)_{i \notin C})$  from the point of view of the coalition strategies  $M_i^*$ . If  $\mathcal{A}$  outputs manipulated shares that are possible output of  $\text{Share}(\text{pp}, s')$  for some secret  $s'$ , the result of the honestly run mechanism will be  $s'$ . This is because all the coalition members get the same output from the deterministic  $\mathcal{A}$ , and the honestly executed mechanism always succeeds in reconstructing the input shared secret (in this case the manipulated one).

That means that from the point of view of  $\mathcal{A}$ , everything is exactly as in  $\text{ForgeRel}_{\mathcal{A}, \Pi}^{\mathcal{S}, C}(\lambda)$ . So that with overwhelming probability, the coalition members output the right secret  $s^* = s_{\text{guess}}$  and the non-coalition members output a wrong secret  $s' \neq s^*$ . Because parties prefer exclusivity, it follows that the coalition utility  $\sum_{i \in C} u_i(1^\lambda, (M_i^*)_{i \in C}, M_{-C})$  with the strategies  $M_i^*$  is noticeably larger than the coalition utility  $\sum_{i \in C} u_i(1^\lambda, M)$  for the mechanism (where everyone learns the correct secret). Hence  $M$  is not a  $t$ -resilient Nash equilibrium.  $\square$

## 7 Weak Domination without Locally Verifiable Reconstruction

Theorems 1 and 2 rule out many important settings and protocols for rational secret reconstruction with respect to the notions of weak domination (Definition 10) and iterated deletion of weakly dominated strategies (Definition 11). However, as explained in the discussion after Theorem 1, one

Behavior of ITM  $M_i^*$  in the coalition ( $i \in C$ ) on input  $(\text{pp}, (s^{(j)}, \tau^{(j)}, \sigma^{(j)}))_{j \in C}$ , where  $M_i$  is the honest strategy and  $\mathcal{A}$  (Definition 16) fully breaks  $\Pi$ .

- 1: Run  $((\bar{s}^{(j)}, \bar{\tau}^{(j)}, \bar{\sigma}^{(j)})_{j \in C}, \text{st}) \leftarrow \mathcal{A}(\text{pp}, (s^{(j)}, \tau^{(j)}, \sigma^{(j)})_{j \in C})$ .
- 2: Run  $M_i(\text{pp}, \bar{s}^{(i)}, \bar{\tau}^{(i)}, \bar{\sigma}^{(i)})$  interactively, until  $M_i$  outputs  $s'$ .
- 3: Run  $s_{\text{guess}} \leftarrow \mathcal{A}(s', \text{st})$ .
- 4: Output  $s_{\text{guess}}$ .

Figure 10: Improved strategy  $M_i^*$  for coalition member  $i \in C$

mentioned protocol is not affected by our results. In particular, if strategy  $M_i^{\text{ADGH}}$  is instantiated according to Proposition 3 of [ADGH06], the secret sharing lacks local verifiability. The authentication purely relies on combinatorial properties of Shamir's secret sharing for  $(m, n)$ -threshold access structures in settings where  $k < m < n - 2k$ . In the following, we show that even instantiated this way, there is another strategy  $\overline{M}_{i,-100}^{\text{ADGH}}$  (Figure 11) which weakly dominates  $M_i^{\text{ADGH}}$  under mild assumptions on the utilities and the distribution of secrets.

ITM $\overline{M}_{i,-100}^{\text{ADGH}}$ on input $t_i = (\text{pp}, s^{(i)})$ with access to $\mathcal{F}^{\beta, \hat{s}}$ (Figure 4).
1: Run $M_i^{\text{ADGH}}$ until it (locally) outputs secret $s^*$ . 2: <b>if</b> $s^* \in \hat{S}$ <b>then</b> 3:     Output $s^* - 100$ 4: <b>else</b> 5:     Output $s^*$

Figure 11: Strategy  $\overline{M}_{i,-100}^{\text{ADGH}}$  for secret reconstruction game (*without* locally verifiable reconstruction) which weakly dominates  $M_i^{\text{ADGH}}$  (Figure 3) for certain distributions of secrets.

ITM $M_{j,+100}^{\text{ADGH}}$ on input $t_j = (\text{pp}, s^{(j)})$ with access to $\mathcal{F}^{\beta, \hat{s}}$
1: Compute polynomial $L$ of degree 1 with $L(0) = 100$ and $L(i) = 0$ . 2: Set $s'_j := s_j + L(j)$ . // New sharing consistent with $s_i$ and reconstructs to $s + 100$ . 3: Run $M_j^{\text{ADGH}}$ with $(\text{pp}, s'_j)$ until it (locally) outputs some guess and halts. 4: Output $\perp$ and terminate.

Figure 12: Strategies  $M_{j,+100}^{\text{ADGH}}$ , against which  $\overline{M}_{i,-100}^{\text{ADGH}}$  (Figure 11) performs better than  $M_i^{\text{ADGH}}$ .

Before formalizing this statement, we give an intuition on when and why  $\overline{M}_{i,-100}^{\text{ADGH}}$  weakly dominates  $M_i^{\text{ADGH}}$ . Recall, this requires that  $\overline{M}_{i,-100}^{\text{ADGH}}$  is (1) *never* more than negligibly worse, but (2) against some opponent strategy noticeably better than  $M_i^{\text{ADGH}}$ . To this end, we assume there is a subset  $\hat{S}$  from the domain of secrets  $\mathbb{S}$  which is hit with negligible probability by the distribution of secrets  $\mathcal{S}$ . For concreteness, let  $\hat{S} := \{100, \dots, 999\} \subset \{1, \dots, 999\} =: \mathbb{S}$  where  $\mathcal{S}$  samples each element from  $\hat{S}$  with some negligible probability and the elements from  $\mathbb{S} \setminus \hat{S}$  uniformly with respect to the remaining probability. Observe that if  $M_i^{\text{ADGH}}$  (or any other strategy) outputs an element  $\hat{s} \in \hat{S}$  as its guess, for reasonable utility functions this increases  $P_i$ 's *expected* utility by *at most* a negligible term compared to any other output. This holds because by assumption on  $\mathcal{S}$  any element  $\hat{s} \in \hat{S}$  may be correct with at most negligible probability. Hence, *any* strategy which simulates  $M_i^{\text{ADGH}}$  and only deviates if  $M_i^{\text{ADGH}}$  would output an element from  $\hat{S}$ , achieves at most  $\mu$  less utility than  $M_i^{\text{ADGH}}$  and, thus, satisfies (1). This especially holds for  $\overline{M}_{i,-100}^{\text{ADGH}}$ , which only deviates if  $M_i^{\text{ADGH}}$  would output some  $\hat{s} \in \hat{S}$  and outputs  $s^* := \hat{s} - 100$  instead. Finally, strategies  $M_{j,+100}^{\text{ADGH}}$  (locally) adapt their initial shares, which correspond to the (unknown) correct secret  $s$ , such that the resulting shares are consistent with share  $s_i$  of player  $P_i$  and reconstruct to  $s' = s + 100$ . When playing the reconstruction game against  $M_{j,+100}^{\text{ADGH}}$ , with overwhelming probability  $M_i^{\text{ADGH}}$  (wrongly) outputs a secret  $\hat{s}$  from  $\hat{S}$  while  $\overline{M}_{i,-100}^{\text{ADGH}}$  outputs the correct one. Hence, assuming utilities preferring correctness,  $\overline{M}_{i,-100}^{\text{ADGH}}$  and  $M_{j,+100}^{\text{ADGH}}$  satisfy property (2). This intuition is formalized in following Theorem 5.

**Theorem 5.** Let  $\Pi = (\text{Setup}_\Pi, \text{Share}, \text{Recon})$  be Shamir's secret sharing scheme [Sha79] for an  $(m, n)$ -threshold access structure with  $m < n$  having domain of secrets  $\mathbb{S} := \{1, \dots, 999\}$ . Let  $\hat{S} := \{100, \dots, 999\}$ , negligible function  $\mu$ , and distribution of secrets  $\mathcal{S}$  such that for all  $n \in \mathbb{N}$ ,  $\hat{s} \in \hat{S}$ , and  $s \in \mathbb{S} \setminus \hat{S}$  we have  $\Pr[\mathcal{S} = \hat{s}] = \frac{\mu(n)}{|\hat{S}|}$  and  $\Pr[\mathcal{S} = s] = \frac{1-\mu(n)}{|\mathbb{S} \setminus \hat{S}|}$ . If reconstruction utilities prefer correctness (Definition 13) as well as value any wrong guess with the same (minimal) utility, then strategy  $\overline{M_{i,-100}^{\text{ADGH}}}$  (Figure 11) weakly dominates  $M_i^{\text{ADGH}}$  (Figure 3) in the secret reconstruction game (Definition 12) for  $\Pi$  and distribution of secrets  $\mathcal{S}$ .

*Proof (sketch).* The proof is two-fold and shows that *in the given setting* strategy  $\overline{M_{i,-100}^{\text{ADGH}}}$  is (1) never more than negligible worse but (2) sometimes noticeably better than  $M_i^{\text{ADGH}}$ .

First, we show that *any* strategy  $M'_i$  which simulates an arbitrary given strategy  $M_i$ , except for outputting its guesses from  $\hat{S}$ , is never more than negligibly worse than  $M_i$ . Therefore, fix arbitrary opponent strategies  $M_{-i}$  and a negligible function  $\mu$  such that for all  $\lambda \in \mathbb{N}$  we have  $\Pr[\mathcal{S} \in \hat{S}] = \mu(\lambda)$ . Further, denote by  $U_+$  the maximal utility of  $P_i$  when outputting a correct guess, and  $U_-$  the (unique) utility when guessing wrong. Then, when  $M_i$  outputs a secret  $\hat{s}$  from  $\hat{S}$ , there are two cases: Either  $\hat{s}$  is correct, which happens at most with probability  $\mu$ , yielding  $U_+$  while  $M'_i$  may output something different giving at least utility  $U_-$ . Or  $\hat{s}$  is wrong, giving  $M_i$  the minimal utility  $U_-$  which  $M'_i$  may only increase. Hence, in expectation,  $M_i$  achieves at most  $\mu(\lambda)(U_+ - U_-)$  higher utility than  $M'_i$ . Setting  $M'_i = \overline{M_{i,-100}^{\text{ADGH}}}$  and  $M_i = M_i^{\text{ADGH}}$ , property (1) follows.

It remains to show (2), i. e. with respect to  $M_{j,+100}^{\text{ADGH}}$  strategy  $\overline{M_{i,-100}^{\text{ADGH}}}$  is noticeably better than  $M_i^{\text{ADGH}}$ . Recall Shamir's secret sharing for secret  $s$ : First, a polynomial  $F$ , constrained by  $F(0) = s$ , is chosen uniformly among all polynomials of degree less than  $m + 1$ . Then each player  $P_i$  obtains share  $s_i := F(i)$ . Reconstruction then basically works by gathering  $m + 1$  shares and computing  $F(0)$  via interpolation. Now consider the adapted shares by  $s_j := F(j) + L(j)$  from the opponent parties  $M_{j,+100}^{\text{ADGH}}$ . Further, note that  $s'_i = F(i) + L(i)$  holds due to  $L(i) = 0$ . Hence, the resulting  $n$  shares are consistent with a sharing using polynomial  $P = L + F$  with  $P(0) = L(0) + F(0) = 100 + s$ . This manipulation is only detectable by  $M_i^{\text{ADGH}}$  if  $s > 900$  was sampled which makes  $s' > 1000$  at the end of a protocol. Whatever action  $M_i^{\text{ADGH}}$  might play upon detection, its impact is only negligible as  $s > 900$  happens with negligible probability only. In any other case, happening with overwhelming probability, it outputs  $s + 100$  which is wrong and gives  $U_-$  utility. Strategy  $\overline{M_{i,-100}^{\text{ADGH}}}$ , however, always outputs the correct secret, giving utility  $U_+$ . Hence, with respect to  $M_{j,+100}^{\text{ADGH}}$ , strategy  $\overline{M_{i,-100}^{\text{ADGH}}}$  has almost  $(U_+ - U_-)$  more utility than  $M_i^{\text{ADGH}}$  which is noticeable for correctness preferring utilities.  $\square$

Theorem 5 shows that besides properties like local verifiability of the initial shares, further details like the concrete secret sharing scheme and the corresponding distribution of the secrets have an impact on notions like weak domination in rational secret reconstruction. This has not been considered in previous work. The setting in Theorem 5 was chosen to be so specific for better illustration but is generalizable. The only conditions that this type of strategy places on the setting are:

- There is a set of secrets  $\hat{S}$  which is hit with negligible probability and a set  $S^*$  which is hit with noticeable probability.
- The  $(n - 1)$  opposing parties must be able to manipulate their shares in such a way that (1) the strategy of player  $P_i$  cannot detect any change and (2) by this manipulation the secrets of  $S^*$  are mapped to elements of  $\hat{S}$  with noticeable probability. In our example, this was possible without communication due to the properties of Shamir's secret sharing as well as the size of the corresponding sets. By private or subliminal communication simpler ways are also possible here.

- The utilities are correctness preferring and give the same utility for each wrongly issued secret. The latter is necessary, because otherwise strategies may exist that let the adapted strategy output a particularly bad guess with noticeable probability, while the original strategy outputs a still wrong but, utility-wise, better guess.
- The weakly dominated strategy must be part of a rational secret reconstruction mechanism, which (by our definition) has perfect correctness. Otherwise, strategies would be conceivable which, instead of outputting secrets from  $\hat{S}$ , simply guess secrets from  $S^*$ .

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