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1. Title

Adherence to the Planetary Health Diet Index and Correlation with Nutrients of Public Health Concern: An analysis of NHANES 2003-2018

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7. Short running head

Planetary Health Diet Index: Trends in the US

8. Abbreviations

Dietary Guidelines for Americans (DGAs); Healthy Eating Index (HEI); National Health and Nutrition Examination Survey (NHANES); Planetary Health Diet (PHDI); Planetary Health Diet Index (PHDI); Total Energy Intake (TEI); US Department of Agriculture (USDA)

9. Data availability

Data described in the manuscript, code book, and analytic code will be made available upon request pending application and approval.

1 **ABSTRACT**

2 *Background:* The Planetary Health Diet Index (PHDI) is a novel measure adapted to quantify
3 alignment with the dietary evidence presented by the EAT-*Lancet* Commission on Food, Planet
4 Health.

5 *Objectives:* To examine how population-level health and sustainability of diet as measured by the
6 PHDI changed from 2003-2018, and to assess how PHDI correlated with inadequacy for
7 nutrients of public health concern (iron, calcium, potassium, and fiber) in the US.

8 *Methods:* We estimated survey-weighted trends in PHDI scores and median intake of PHDI
9 components in a nationally-representative sample of 33,859 adults aged 20+ years from eight
10 cycles (2003–2018) of the National Health and Nutrition Examination Survey with two days of
11 dietary recall data. We used the NCI method to examine how PHDI correlated with inadequate
12 intake of iron, calcium, potassium, and fiber.

13 *Results:* Out of a theoretical range of 0 to 140, median PHDI value increased by 4.2 points over
14 the study period, from 62.7 (95% CI: 62.0, 63.4) points in 2003-2004 to 66.9 (66.2, 67.7) points
15 in 2017-2018 ($p_{\text{trend}} < 0.001$), although most of this change occurred before 2011-2012 and
16 plateaued thereafter. For adequacy components that are encouraged for consumption, non-
17 starchy vegetable intake significantly decreased over time, while whole grains, nuts and seeds,
18 and unsaturated oils increased. For moderation components with recommended limits for
19 consumption, poultry and egg intake increased, but red and processed meat, added sugars,
20 saturated fats, and starchy vegetables decreased over time. Higher PHDI values were associated
21 with lower probability of iron, fiber, and potassium inadequacy.

22 *Conclusions:* Although there have been positive changes over the past 20 years, there is
23 substantial room for improving the health and sustainability of the US diet. Shifting diets

24 towards EAT-*Lancet* recommendations would improve nutrient adequacy for iron, fiber and
25 potassium. Policy action is needed to support healthier, more sustainable diets in the US and
26 globally.

27 **Keywords:**

28 EAT-*Lancet* Commission, dietary patterns, trends, Planetary Health Diet, nutrients of public
29 health concern, NHANES

30 **1. Introduction**

31 Diet, climate change, and human health are closely interrelated. Global dietary shifts are
32 associated not only with increased risk of obesity, type 2 diabetes, cardiovascular disease, and
33 certain cancers (1-7), but also with intensive production methods that contribute to
34 environmental degradation via greenhouse gas emissions, land use change, land degradation, and
35 water pollution (4, 8-10). A 2021 report from the Intergovernmental Panel on Climate Change
36 warned that climate change and its effects on human health are accelerating, and there is a dire
37 need for solutions across a variety of sectors, including the food system (11, 12).

38 To better align nutrition and sustainability targets, in 2019, the EAT-*Lancet* Commission on
39 Food, Planet, Health introduced the “universal healthy reference diet”, also referred to as the
40 Planetary Health Diet (PHD) (1) to reduce the burden of diet-related disease and minimize the
41 environmental impact of feeding human populations. The reference diet provides 2500
42 kilocalories per day and adequate macro- and micronutrient intakes and was evaluated against
43 planetary boundaries for six key environmental indicators.

44 In the US, components of the EAT-*Lancet* universal healthy reference diet have been compared
45 with components of the Healthy Eating Index (HEI) – which measures adherence to the Dietary

46 Guidelines for Americans (DGAs) – but only for individual food groups rather than comparing
47 the two dietary patterns overall (13). To our knowledge, no study has applied a diet index based
48 on evidence from the EAT-*Lancet* Commission to describe the health and sustainability of diets
49 in a nationally-representative US population, nor how adherence to such a diet has changed in
50 recent years, as awareness of the environmental impact of diet has grown (14).

51 The EAT-*Lancet* Commission recommends a dietary pattern high in plant-based foods, including
52 protein foods, and low in animal-sourced products such as meat, fish, and dairy (1). The typical
53 US diet, on the other hand, is characterized by high intake of animal-sourced foods and a low
54 intake of beans, legumes, and other iron-rich plant sources (15). Indeed, meat and poultry are the
55 top food sources of dietary iron in the US (16, 17).

56 Because the PHDI is a novel dietary measure, and because it has several key differences from
57 the DGAs (13), we tested the correlation of PHDI with adequacy of key micronutrients of public
58 health concern in the US. We decided to evaluate iron because animal-sourced foods are a major
59 source of dietary iron and calcium in the typical American diet (16, 17), while the PHDI
60 recommends low intake of most animal-sourced foods. Other micronutrients of concern which
61 are lacking in many American diets are calcium, potassium, fiber, and vitamin D (18). In
62 nationally representative data, the prevalence of inadequacy among US adults was estimated to
63 be 95% for fiber (19), 70% for potassium, and 44% for calcium (20). While a shift towards the
64 EAT-*Lancet* universal healthy reference diet would likely improve intakes of fiber and potassium
65 given that vegetables, beans, legumes, and fruit are rich sources of these micronutrients, the
66 impact on calcium and iron intakes is uncertain and evidence on the recommendation's
67 correlation with nutrient intake in real-world settings is limited.

68 The objectives of this study were to assess how the US diet aligns with the Planetary Health Diet
69 Index (PHDI), a novel index based on the evidence presented by the *Eat-Lancet* Commission,
70 and to examine changes in accordance with the PHDI between 2003-2018 for the entire dietary
71 pattern and its constituent components. We further assess how PHDI correlates with inadequacy
72 for key nutrients of public health concern in the US (iron, fiber, potassium, and calcium).

73 **2. Materials and methods**

74 *2.1 Study population*

75 The US National Health and Nutrition Examination Survey (NHANES) is a repeated cross-
76 sectional survey that uses multistage probability design to sample the civilian, non-
77 institutionalized population residing in the 50 states and District of Columbia (21). The survey
78 was approved by the Ethics Review Board of NCHS and all participants provided written
79 informed consent (21). Because the de-identified observational data are publicly available for
80 download, this study received a determination of Not Human Subjects Research by the
81 Institutional Review Board at [First Author's Home University].

82 Eligible participants were non-pregnant or lactating individuals aged 20 years or older who
83 participated in any cycle of NHANES from 2003-2018 (eight cycles in total) and for whom two
84 days of valid dietary intake data were available (**Supplemental Figure 1**). Participants whose
85 mean intake was <500kcal or >8000kcal/day were excluded (22) (N=147).

86 *2.2 Dietary data*

87 Trained interviewers used the US Department of Agriculture (USDA) Automated Multiple Pass
88 Method to gather 24-hour dietary recall data (23). Participants were asked to recall all foods and
89 beverages they consumed the previous day. Measuring guides were used to assist with

90 approximating the portion sizes of consumed foods. The second dietary interview was conducted
91 unannounced via phone 3-10 days after the initial face-to-face interview.

92 Dietary recall data were merged to the Food Patterns Equivalent Database (FPED), which
93 assigns foods to the 37 USDA Food Pattern Components using a food composition table. For
94 single-ingredient food items, FPED assigns foods directly to the corresponding component. For
95 multi-ingredient foods with ingredients from more than one component, FPED disaggregates
96 these items into their component ingredients' gram weights using standard recipe files (24).

97 Thirty-five FPED components are published in non-gram units (e.g., cup-equivalents, ounce-
98 equivalents, teaspoon-equivalents, etc.) into grams. We used data from the Food Patterns
99 Ingredients Database (FPID) to assign the gram-weights required for score derivation to these 35
100 FPED components by merging FPED to FPID. Multi-ingredient dishes were broken down into
101 their constituent ingredients by proportional contribution of weight (See **Supplemental Table 1**
102 for an example of our approach and link to Python script). After the data were flattened and all
103 FPED components were available in grams, the mean of two-day intake, in grams, was
104 calculated for each component. Because cow's milk is approximately 90% water, producing
105 equivalent weights of dairy products such as cheese takes more milk and changes the proportion
106 of milk solids and nutrient content in a given product (24). To better represent the nutrient
107 density and environmental impact of the various dairy foods (e.g., milk vs cheese) dairy servings
108 are often represented as "whole milk or derivative equivalent" (1, 24-26). We used FPED's cup-
109 equivalents of dairy to define a serving-equivalent of dairy. This reflects the use of whole milk or
110 derivative equivalents without misrepresenting the actual number of grams reported by
111 participants.

112 Total energy intake was derived from the mean of two days of total intake reported on the dietary
113 questionnaires and included in all models to control for confounding and reduce extraneous
114 variation in dietary variables (27).

115 *2.3 Derivation of the Planetary Health Diet Index*

116 The Planetary Health Diet Index (PHDI) was derived from self-reported intake of 14 food groups
117 in accordance with the midpoint of the recommended range listed in EAT-*Lancet* Commission
118 Scientific Report and validated by Bui and colleagues (1, 28). To be consistent with the EAT-
119 *Lancet* report (1), grams were used as the primary unit of measurement for each food group
120 rather than calories. The exception for grams was dairy foods, for which we converted the EAT-
121 *Lancet* recommendations of grams to serving-equivalents based on the FPED conversion of one
122 cup whole-milk equivalent = 245 grams (24) (see *Dietary data* and **Table 1**).

123 For each food group, participants received a score ranging from 0 (minimum) to 10 (maximum)
124 (**Table 1**). Intakes between the minimum and the maximum levels were scored proportionately,
125 as others have used for scoring of dietary indices (29).

126 This coding is distinct from previous weight-based calculations of the EAT-*Lancet*
127 Commission's reference diet in that it uses continuous rather than binary scoring to allocate
128 points (30, 31), resulting in a wider range of participant scores to better capture population-level
129 variability in diet. For the moderation components, the use of evidence-based minimum and
130 maximum thresholds (28) with proportional scoring in between better represents dietary risk than
131 the assignment of binary scores – e.g., having an intake of added sugars slightly above the
132 recommended amount has different implications than consuming at levels well-above the
133 recommendation. Finally, for consistency with the EAT-*Lancet* report and to be more

134 conservative, we used midpoints estimates from the Commission’s healthy reference diet (as
135 done for other dietary indices (32)) rather than an endpoint of the possible range (29-31).

136 Of the 14 food groups, six (whole grains, whole fruits, non-starchy vegetables, nuts and seeds,
137 legumes, and unsaturated oils) were Adequacy components, and were encouraged for
138 consumption such that intakes at or above the maximum threshold were scored at the maximum
139 10 points. As recommended by the Commission Report, legumes were divided into two
140 subgroups – non-soy legumes and soybean/soy foods – each of which were weighted at 0.5 for
141 the purpose of score derivation (1). The remaining eight food groups (starchy vegetables, dairy,
142 red and processed meat, poultry, eggs, fish, saturated oils and trans fats, added sugar and fruit
143 juice) were Moderation components and were generally discouraged from consumption, in which
144 intakes at or approaching zero were awarded the maximum 10 points.

145 Once the component scores were assigned, the scores for all 14 components were summed to
146 create a total score. Therefore, the maximum possible score for the PHDI was 140.

147 *2.4 Micronutrients of concern*

148 For all micronutrients of concern, intake from food was available in milligrams per day
149 (mg/day).

150 Although vitamin D is also considered a nutrient of concern for the US population, we did not
151 include analyses of vitamin D because data on vitamin D intake from food were not available for
152 the 2003-2004 and 2005-2006 NHANES cycles.

153 *2.5 Sociodemographic variables*

154 All sociodemographic information was self-reported as part of a standardized questionnaire. Age
155 data were modeled in continuous years. Income data were classified using the Poverty Income

156 Ratio (PIR), a measure of family income relative to the Federal Poverty Level that accounts for
157 household size. Income was categorized as PIR 0–185%; PIR 186–399%; PIR \geq 400; and
158 Missing (due to high missingness in self-reported income, 6.3%) (33). Education was reported in
159 continuous years and classified as high school equivalent or lower; some college; and college
160 degree or higher (34). Race/ethnicity data were self-reported via categorical selection and
161 classified as (1) Non-Hispanic white; (2) Non-Hispanic Black; (3) Hispanic; and (4) Non-
162 Hispanic Asian, or Other race/ethnicity (including. Multiracial) (33, 35).

163 *2.6 Statistical analyses*

164 To assess differences in PHDI score and for PHDI components across the years, we modeled
165 survey years as binary variables in survey-weighted quantile regression. To assess overall trends
166 over the entire study period (2003-2018), p for trend was calculated by modeling survey year as
167 a continuous variable in survey-weighted quantile regression. Models were adjusted for total
168 energy intake. For the descriptive analysis of disparities in PHDI score, chi-square statistics were
169 used to test for demographic differences reported in **Table 3**. All descriptive analyses were
170 conducted in Stata v17.0.

171 For calcium, potassium, and fiber, we calculated the prevalence of inadequacy from food intake
172 – i.e., without the use of dietary supplements – using the Simulating Intake of Micronutrients for
173 Policy Learning and Engagement (SIMPLE) macro, which is an implementation of the National
174 Cancer Institute’s method for calculating usual intake from 24-hour recall data (36). We used the
175 standard SIMPLE macro for calcium, potassium, and fiber, which are normally distributed.
176 Because the distribution of iron adequacy is skewed, we used the SIMPLE-Iron macro, a
177 variation of the SIMPLE macro that uses a full probability method, to calculate iron inadequacy
178 (36, 37). Age, sex, income, educational attainment, race/ethnicity, and total energy intake were

179 all included as covariates to improve precision in the estimation of usual intake of nutrients (38).
180 Analyses of nutrient adequacy were conducted in SAS v9.4. $p < 0.05$ was considered statistically
181 significant for all analyses.

182 3. Results

183 The final analytic sample included 33,859 participants. PHDI scores ranged from a minimum of
184 18.5 to a maximum of 125 out of a theoretical range of 0-140 [median = 66.0 (interquartile range
185 57.0, 75.0), **Table 2**]. Across the 15-year time period, the prevalence of iron inadequacy was low
186 (4.1%), while 43.5% of the population had inadequate calcium intake, 67.0% had inadequate
187 potassium intake, and 92.3% had inadequate fiber intake.

188 Overall, PHDI score improved over time (**Figure 1**). The estimated increase in median PHDI
189 score was 0.38 (95% CI: 0.31, 0.44) points per survey cycle, with a predicted median PHDI of
190 62.7 (62.0, 63.4) in 2003-2004, compared to 66.9 (66.2, 67.7) in 2017-2018 ($p_{\text{trend}} < 0.001$).
191 However, the median PHDI in 2011-2012 [67.6 (66.7, 68.5)] did not differ significantly from the
192 median PHDI in 2017-2018. We also compared changes in median intake for the lowest and
193 highest quintiles of PHDI score over time. The median PHDI score in quintile 1 increased by 4.2
194 points, from an estimated 47.3 (95% CI: 46.6, 48.1) in 2003-2004 to 51.5 (50.4, 52.6, $p < 0.001$)
195 in 2017-2018. For quintile 5, the median PHDI increased by 6.8 points, from an estimated 78.7
196 (77.7, 79.8) in 2003-2004 to 85.5 (84.2, 86.8) in 2017-2018. There were no significant changes
197 in median PHDI between 2011-2012 to 2017-2018 for either quintile 1 or quintile 5
198 (**Supplemental Table 2**).

199 In addition, we estimated median intake of the PHDI components and changes in these
200 components over time (**Supplemental Table 3**). Median intake of all adequacy components

201 except added fat - unsaturated oils was below PHDI recommendations. Consumption of non-
202 starchy vegetables significantly decreased over time [136.2g (130.1-142.2) in 2003-2004 vs.
203 118.7g (111.9-125.4) in 2017-2018, $p<0.001$]. However, there were modest but significant
204 increases in whole grains [16.0g (13.6, 18.4) vs. 23.9g (20.2, 27.6), $p<0.001$], nuts and seeds
205 [1.3g (1.0, 1.5) vs. 2.2g (1.5, 3.0), $p<0.01$], and added fat – unsaturated oils [6.1% of TEI (5.9-
206 6.3) vs. 10.3% of TEI (10.0, 10.6)]. There were no statistically significant changes in
207 consumption of soy, non-soy legumes, or fruit.

208 For the moderation components, median intake of starchy vegetables, poultry, and eggs aligned
209 with PHDI recommendations, while intake of red and processed meat and added fat - saturated
210 oils and *trans* fat were above PHDI recommendations (**Supplemental Table 3**). Consumption of
211 starchy vegetables [47.8g (44.4, 51.2) in 2003-2014 vs. 39.0g (35.0, 43.0) in 2017-2018,
212 $p<0.001$] added fat - saturated oils and *trans* fat [9.8% of TEI (9.5, 10.1) vs. 7.5% of TEI (7.2,
213 7.8, $p<0.001$)], and added sugar and fruit juice [14.9% of TEI (14.4, 15.4) vs. 11.9% of TEI
214 (11.4, 12.4), $p<0.001$] significantly decreased over time. Additionally, consumption of poultry
215 [23.1g (19.3, 26.7) vs. 30.5g (26.7, 34.3), $p<0.01$] and eggs [8.6g (7.6, 9.7) vs. 13.1g (11.3,
216 15.0), $p<0.001$] significantly increased. There were no statistically significant changes in
217 consumption of dairy, fish, or red and processed meat.

218 We observed several disparities in diet quality as measured by PHDI (**Table 3**). A higher
219 proportion of males were in the lowest PHDI quintile as opposed to the highest quintile, while
220 the opposite was true for females. Individuals in the highest income category, with a college
221 degree or greater, and who self-identified as Non-Hispanic white or Asian, Multiracial, and
222 Other Non-Hispanic ethnicity were more likely to be in the highest PHDI quintile. Conversely,

223 individuals with the lowest level of income and education, as well as those who self-identified as
224 Non-Hispanic Black or Hispanic, were more likely to be in the lowest quintile of PHDI score.

225 Finally, we assessed the correlation of PHDI quintile with key nutrients of concern for the
226 American population. We observed an inverse association between PHDI quintile and
227 probability of inadequate iron intake: 4.3% (3.8, 4.7) of those in quintile 1 had inadequate iron
228 intake, compared to 3.1% (2.8, 3.3) of those in quintile 5 ($p_{\text{trend}} < 0.01$, **Figure 2a, Supplemental**
229 **Table 4**). For fiber intake, 99.8% (99.7, 99.9) of those in quintile 1 had inadequate fiber intake,
230 compared to 73.7% (71.4, 76.0) of those in quintile 5 ($p_{\text{trend}} < 0.001$, **Figure 2b**). Similarly, the
231 predicted probability of inadequate potassium was higher for quintile 1 [76.1% (73.8, 78.3)] than
232 for those in the quintile 5 [51.0% (48.5, 53.5), $p_{\text{trend}} < 0.001$, **Figure 2c**]. On the other hand, the
233 predicted probability of inadequate calcium intake was lower in PHDI quintile 1 [37.1% (35.1,
234 39.2)] than any other PHDI quintile [e.g., 44.3% (42.3, 46.3) for quintile 5, $p < 0.001$, **Figure 2d**].

235 **4. Discussion**

236 The typical American diet – as indicated by our results – is still far from aligning with the
237 evidence presented by the EAT-*Lancet* Commission on Food, Planet and Health. In the 2017-
238 2018 survey cycle, the median PHDI score was 66.9, less than half of the theoretical maximum
239 score of 140 and only 4.2 points greater than in 2003-2004. Notably, many of the improvements
240 occurred during the middle of the time period. Consistent with findings that US dietary quality
241 improved in the mid-2000s (2005-2011) and then plateaued (39), we similarly find that
242 improvements in PHDI score have stalled since the early 2010s. We also find disparities by
243 income, education, and race/ethnicity consistent with well-established evidence on dietary

244 disparities in the US (40). Current policies have not done enough to promote healthy eating, and
245 urgent policy action is needed to improve the nutritional quality and sustainability of US diets.

246 The low median PHDI scores and relative lack of progress observed here are driven by several
247 underlying components. For moderation components, the US is above targets for added sugars,
248 added fat – saturated oils and *trans* fats, dairy, and red and processed meat, reflecting the typical
249 “Western-style” dietary pattern. The US diet is particularly high in terms of red and processed
250 meat intake, with the median value of 65.9 grams per day nearly five times the 14 grams per day
251 proposed by the EAT-*Lancet* Commission. Moreover, we observed no change in dairy or red and
252 processed meat intake, coupled with an increase in poultry and eggs. This is consistent with other
253 findings of animal-sourced food intake in the US (41).

254 At the same time, we observed an inverse association between PHDI score and iron inadequacy.
255 Such a pattern has been observed elsewhere (42) and mitigates some concerns that the PHDI
256 might be linked to poorer iron status due to lower meat intake in high-income settings. Instead,
257 high intake of meat is associated with cardiovascular disease, type II diabetes, and certain
258 cancers, and production of meat and dairy has significant impacts on greenhouse gas emissions,
259 water use, land use, and biodiversity loss (43). In this context, our findings of high overall
260 animal-sourced food intake coupled with the inverse association between PHDI and iron
261 inadequacy suggest that public health and environmental outcomes in the US could be improved
262 by reducing animal-sourced foods without increasing the burden of anemia.

263 In addition to overconsumption of moderation components, we found underconsumption for
264 several adequacy components, namely whole grains, fruits, vegetables, legumes, and nuts and
265 seeds. Similar to other studies in NHANES that found whole grain and nuts and seeds intake to
266 be low but steadily increasing since the turn of the 20th century (44), we observed small but

267 significant increases in consumption of these food groups and intake levels well below
268 recommended amounts. We also observed decreases in fruit and non-starchy vegetable intake
269 over the study period. Adherence to fruit and vegetable recommendations in the US has been and
270 remains low (45), and there is evidence of decreasing probability of fruit intake among US adults
271 in recent years (46). Insufficient intakes of adequacy components – particularly for whole grains
272 – are leading causes of morbidity and mortality in the US (32), and our study further highlights
273 the need for ambitious public health efforts to improve intakes of these foods.

274 Corresponding to the low intakes of whole grains, nuts and seeds, fruits, and vegetables across
275 the population, we also found low intakes of fiber and potassium. However, those with higher
276 PHDI scores were less likely to have inadequate intakes of fiber and potassium, corresponding to
277 relatively higher intakes of these foods. Although those with higher PHDI scores also had
278 slightly higher calcium inadequacy, many vegetables, seeds, and legumes have a higher density
279 (47) and bioavailability (48) of calcium than dairy products. Given the unclear relationship
280 between dairy and health (1, 49) and the environmental impacts of dairy production, promoting
281 greater intake of plant-based foods rich in calcium, such as leafy greens, seeds, edamame, and
282 tofu could improve calcium adequacy as well as fiber and potassium adequacy. Overall, healthy
283 plant-based diets rich in the adequacy components are associated with better nutrient intakes,
284 health, and environmental outcomes (50) and increasing intake of these foods is crucial to
285 improve the health and sustainability of US diets.

286 Indeed, we found that not only are US diets unhealthy and unsustainable, but that there have not
287 been meaningful improvements in dietary quality in the 21st century. There are several factors
288 that contribute to the persistence of unhealthy diets in the US. The first is the influence of
289 multinational food corporations, which have become increasingly concentrated and thus

290 increasingly powerful actors with considerable control over the food supply and significant
291 political influence (51). For example, corporate interests have directly impacted US dietary
292 policy via continued involvement in the DGAs (52, 53). Lobbying for subsidies keeps the price
293 of a select few commodities, such as red meat, dairy, and corn (often used in ultra-processed
294 foods) artificially low and floods the market with these products without truly accounting for
295 their health or environmental costs (51, 54). Additionally, stagnant wages coupled with an
296 increasing cost of food makes low-cost UPFs that are often high in moderation components
297 (added sugars, saturated fats, etc.) attractive to busy households trying to make ends meet (55).
298 UPFs are largely discretionary foods but make up over half of the average American's calories
299 (56) and involve intensive packaging, processing, and transportation. Because UPFs account for
300 such a large part of diet, many resources used in and impacts of our current food systems are for
301 foods that are neither healthy nor sustainable (57). At the same time, most subsidies do not
302 directly cover tree nuts, fruits, or vegetables: less than 1% of federal crop subsidies go to
303 specialty crops, resulting in less than 3% of domestic cropland being used for vegetables,
304 orchards, and berries (58, 59). Simply put, the current political, economic, and social
305 environment of the US does not support a robust transition to healthier, more sustainable diets.
306 Such a transformation will require public and political will, multisectoral cooperation and
307 ambitious policies in food, economics, and agriculture.

308 Given the stalled progress towards improved dietary quality, there are several potential policy
309 avenues to improve the health and sustainability of the US diet. The process of drafting the 2025-
310 2030 DGAs began in early 2023 and presents an opportunity for the US to address the
311 sustainability as well as the healthfulness of diet. Because the DGAs are the basis for all federal
312 food programs, the subsequent dietary shifts would have significant benefits for health and

313 environmental outcomes (60). Additionally, policies such as redistributing agricultural subsidies
314 to provide fewer subsidies for meat, dairy, corn, and soy and more for fruit and vegetable
315 production could alter the US food system to promote healthier, more sustainable diets (61).
316 Disincentives such as taxes or warning labels on red meat and added sugars could also be
317 leveraged. Affordability of food is a major barrier to consuming a healthy diet (62) and consumer
318 subsidies for healthy foods increase purchases of fruits, vegetables, nuts, and legumes (63).
319 Policy efforts on multiple fronts are needed to promote the health and sustainability of diets in
320 the US.

321 Beyond the US context, results from other studies have shown that better adherence to the PHD
322 is correlated with higher nutrient intakes (29), lower risk of ischemic heart disease and type II
323 diabetes, (30) lower incidence of cancer-, cardiovascular-, and all-cause mortality (42), and
324 lower dietary emissions (25, 29). Of note, most of these studies have occurred in high-income
325 countries in which undernutrition is not a major public health concern.

326 Beyond these high-income settings, several studies have suggested that the PHD may not provide
327 adequate intake of certain nutrients, particularly for special populations such as people who
328 menstruate or who are pregnant (31, 64). The country or regional context and flexibility of the
329 PHD matter from an ethical and equity perspective: for many nutritionally-vulnerable
330 populations, intake of animal-source foods is lower than the thresholds presented by the EAT-
331 *Lancet* Commission and the majority of energy comes from starchy carbohydrates, making
332 animal-source foods a valuable source of micronutrients. When thinking about global
333 malnutrition, great care needs to be taken to ensure that following the PHD accounts for the
334 burden of nutrient deficiencies in local contexts (1, 64).

335 The PHDI tool presented here, while tested in a US population, is designed for use in a variety of
336 settings. Global diets are neither as healthy nor as sustainable as the EAT-*Lancet* Commission
337 reference diet, but there is significant heterogeneity in how diets diverge from the
338 recommendations. The PHDI can capture this heterogeneity and identify tailored areas for
339 improvement. It can be used to set national food, diet, and agricultural priorities, particularly for
340 countries that are in earlier stages of the nutrition transition. Additionally, applying the PHDI in
341 diverse global settings can provide a unified framework to directly compare the health and
342 sustainability of diet across countries and track progress over time. It could be used in
343 conjunction with tools such as the Food Systems Dashboard (65) to inform global food systems
344 governance and work towards healthier, more sustainable food systems for all.

345 The present study had several limitations. We used data from 24-hour dietary recalls, which
346 cannot capture usual intake for individuals. However, the use of NHANES survey weights
347 allowed us to obtain nationally-representative, population-level estimates for PHDI and
348 component scores, and we used a validated methodology to estimate nutrient adequacy from two
349 recalls (36). Additionally, we did not account for use of supplements in our adequacy analyses.
350 However, the goal of EAT-*Lancet* is to provide a diet that is nutritionally adequate without the
351 need for supplements, and we assessed its performance for nutrient intake from food. The EAT-
352 *Lancet* report published ranges of values for each component to allow for more flexibility (1,
353 13), but for the simplicity of these analyses we used the Report's point estimates to calculate our
354 score. Similarly, although we used the most recently available waves of NHANES data, we were
355 unable to account for changes due to the COVID-19 pandemic. Future research should seek to
356 quantify how adherence to the PHDI has changed during the pandemic and its aftermath.

357 **5. Conclusions**

358 This paper is among the first to analyze adherence to the EAT-*Lancet* universal healthy reference
359 diet in a nationally-representative sample of US adults. We find that although there have been
360 small, positive changes over the past 20 years, there is substantial room for improving the health
361 and sustainability of the US diet. Shifting US diets towards the EAT-*Lancet* recommendations
362 would improve nutrient adequacy for iron, fiber, and potassium. Policy action is needed to
363 transform food systems and accelerate the transition to healthier, more sustainable diets in the
364 US.

365 **6. Acknowledgements**

366 *1. Conflict of Interest Statement*

367 The authors declare that they have no known competing financial interests or personal
368 relationships that could have appeared to influence the work reported in this paper.

369 *2. Author contributions*

370 SMF, LMJ, and LST designed research; LMJ and LST provided essential materials; SMF
371 analyzed data; SMF, LMJ, CLA, LSA, KM, DR, and LST wrote the paper; SMF had primary
372 responsibility for final content. All authors (SMF, LMJ, CLA, LSA, KM, DR, LST) have read
373 and approved the final version.

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Table 1: Scoring criteria for the Planetary Health Diet Index (PHDI)

| Dietary component | Category minimum score (0 points) | Category maximum score (10 points) |
|--------------------------------------|-----------------------------------|--|
| <i>Adequacy components</i> | | |
| Whole grains* | 0 grams | ≥ 75 grams for women ≥ 90 grams for men |
| Whole fruits (excludes fruit juice) | 0 grams | ≥ 200 grams |
| Non-starchy vegetables | 0 grams | ≥ 300 grams |
| Nuts and seeds | 0 grams | ≥ 50 grams |
| Legumes | | |
| Non-soy legumes ^{†,‡} | 0 grams | 100 grams |
| Soybean/ soy foods ^{†,‡} | 0 grams | 50 grams |
| Unsaturated oils | 0% of total energy intake | ≥ 10% of total energy intake |
| <i>Moderation components</i> | | |
| Starchy vegetables | ≥ 200 grams | ≤ 50 grams |
| Dairy [§] | ≥ 4.08 serving-equivalents | ≤ 1.02 serving-equivalents |
| Red and processed meat | ≥ 300 grams | ≤ 14 grams |
| Poultry | ≥ 58 grams | ≤ 29 grams |
| Eggs | ≥ 120 grams | ≤ 12 grams |
| Fish | ≥ 50 grams | ≤ 15 grams |
| Saturated oils and <i>trans</i> fats | ≥ 21% of total energy intake | ≤ 3.5% of total energy intake |
| Added sugar and fruit juice | ≥ 25% of total energy intake | ≤ 5% of total energy intake |

* Thresholds were based on the midpoint of the recommended range listed in EAT-*Lancet* Commission Scientific Report (1)

[†] Grams per day calculated from dry weight

[‡] To calculate the score for the legumes component, the non-soy and soy subcomponents were each weighted at 0.5

[§] In FPED, one serving of dairy is equal to 245 grams of whole milk or derivative equivalent. In the EAT-*Lancet* Report, scores were assigned ≤250 grams whole milk or derivative equivalent for the maximum score or ≥1000 grams whole milk or derivative equivalent for the minimum score.

Table 2. Characteristics of eligible participants with two days of dietary recall data, National Health and Nutrition Examination Survey 2003-2018*

| | | |
|--|---|-------------------|
| Sex | | |
| | Male | 48.7 (16,611) |
| | Female | 51.3 (17,248) |
| Mean (SD) age, years | | |
| 47.7 (17.0) | | |
| Educational attainment | | |
| | High school equivalent or lower | 39.1 (15,977) |
| | Some college | 31.6 (10,027) |
| | College degree or greater | 29.3 (7,822) |
| Income | | |
| | Poverty-to-Income Ratio < 185% | 29.7 (13,593) |
| | Poverty-to-Income Ratio 185 - 399% | 29.5 (9,413) |
| | Poverty-to-Income Ratio ≥ 400% | 34.5 (8,223) |
| | Missing income information | 6.3 (2,630) |
| Race/ethnicity | | |
| | Non-Hispanic white | 68.3 (15,370) |
| | Non-Hispanic Black | 11.3 (7,253) |
| | Hispanic | 13.3 (8,115) |
| | Asian, Multiracial, and Other Non-Hispanic race/ethnicities | 7.1 (3,121) |
| Median (IQR) energy intake, kilocalories / day | | 1969 (1523-2542) |
| Median (IQR) Planetary Health Diet Index values | | 66.0 (57, 75) |
| Inadequate iron intake[†] % | | 4.1 (3.8, 4.3) |
| Inadequate calcium intake[†], % | | 43.5 (42.2, 44.8) |
| Inadequate potassium intake[†], % | | 67.0 (65.7, 68.4) |
| Inadequate fiber intake[†], % | | 92.3 (91.5, 93.1) |

* Values are weighted % (unweighted N) unless otherwise noted. Weighted % accounts for complex survey weights.

[†] Results are from the Simulating Intake of Micronutrients for Policy Learning and Engagement (SIMPLE) macro wrapper of the National Cancer Institute (NCI) Method for Estimating Usual Intake and were adjusted for age, sex, income, education, race/ethnicity, and total energy intake

Figure 1: Changes in median Planetary Health Diet Index score, National Health and Nutrition Examination Survey 2003-2018^{*,†}

Footnotes:

* Quantile regression model was adjusted for total energy intake

† * p<0.05, ** p<0.01, *** p<0.001 for the difference from the 2003-2004 NHANES cycle

Table 3: Distribution of population characteristics by quintile of Planetary Health Diet Index (PHDI), National Health and Nutrition Examination Survey 2003-2018*

| | PHDI Quintile | | | | | P-value [†] |
|---|-------------------|-------------------|-------------------|-------------------|-------------------|----------------------|
| | 1 | 2 | 3 | 4 | 5 | |
| <i>Diet Quality Score, Range</i> | 18.5 – 54.0 | 54.5 – 62.0 | 62.5 – 69.0 | 69.5 – 77.0 | 77.5 – 125.0 | |
| <i>Sex</i> | | | | | | <0.001 |
| Male | 24.7 (23.6, 25.9) | 22.7 (21.8, 23.7) | 18.3 (17.5, 19.2) | 17.1 (16.2, 18.1) | 17.1 (16.0, 18.2) | |
| Female | 14.6 (13.6, 15.6) | 19.4 (18.4, 20.3) | 20.9 (20.1, 21.8) | 21.4 (20.4, 22.4) | 23.8 (22.4, 25.2) | |
| <i>Age, mean (95% CI) years</i> | 43.1 (42.6, 43.7) | 45.7 (45.1, 46.4) | 48.5 (47.8, 49.2) | 50.1 (49.3, 50.9) | 51.3 (50.5, 52.0) | <0.001 |
| <i>Education</i> | | | | | | <0.001 |
| High school equivalent or lower | 24.4 (23.2, 25.7) | 23.9 (22.8, 25.0) | 20.9 (19.9, 21.9) | 16.9 (16.1, 17.8) | 14.0 (13.0, 15.0) | |
| Some college | 21.1 (19.8, 22.6) | 22.1 (20.8, 23.5) | 19.0 (17.8, 20.2) | 19.2 (18.1, 20.3) | 18.6 (17.2, 20.1) | |
| College degree or greater | 11.3 (10.1, 12.5) | 16.0 (14.7, 17.4) | 18.8 (17.5, 20.1) | 22.7 (21.1, 24.3) | 31.3 (29.4, 33.2) | |
| <i>Income</i> | | | | | | <0.001 |
| Poverty-to-Income Ratio < 185% | 24.9 (23.6, 26.2) | 23.2 (22.1, 24.4) | 20.0 (19.1, 21.0) | 17.3 (16.4, 18.2) | 14.6 (13.5, 15.8) | |
| Poverty-to-Income Ratio 185 - 399% | 21.1 (19.8, 22.6) | 20.9 (19.7, 22.3) | 19.3 (18.1, 20.4) | 19.3 (17.9, 20.8) | 19.4 (18.1, 20.9) | |
| Poverty-to-Income Ratio ≥ 400% | 13.8 (12.6, 15.1) | 19.0 (17.7, 20.3) | 20.0 (18.7, 21.5) | 20.8 (19.5, 22.1) | 26.5 (24.9, 28.1) | |
| Missing income information | 18.2 (15.8, 20.9) | 22.1 (19.5, 25.0) | 18.0 (15.8, 20.4) | 21.2 (18.7, 23.9) | 20.5 (17.7, 23.6) | |
| <i>Race/ethnicity</i> | | | | | | <0.001 |
| Non-Hispanic white | 17.5 (16.4, 18.6) | 20.6 (19.7, 21.6) | 19.9 (19.1, 20.8) | 20.1 (19.2, 21.1) | 21.8 (20.5, 23.2) | |
| Non-Hispanic Black | 32.6 (30.8, 34.5) | 24.3 (22.9, 25.8) | 18.3 (17.1, 19.4) | 14.5 (13.4, 15.6) | 10.3 (9.2, 11.5) | |
| Hispanic | 20.9 (19.7, 22.1) | 22.5 (21.0, 24.0) | 20.4 (19.0, 21.7) | 19.2 (18.0, 20.5) | 17.1 (15.7, 18.6) | |
| Asian, Multiracial, and Other Non-Hispanic race/ethnicities | 16.1 (14.1, 18.3) | 16.5 (14.7, 18.6) | 18.0 (16.1, 20.2) | 19.2 (17.2, 21.3) | 30.2 (27.5, 32.9) | |

* All values are survey-weighted proportion (95% confidence interval) unless otherwise noted

† P-values are from chi-square tests for the effect at the overall demographic level

Figure 2: Predicted probability of meeting the Recommended Daily Allowance for iron by quintile of Planetary Health Diet Index score, National Health and Nutrition Examination Survey 2003-2018*[†]

Footnotes:

* Quantile regression models were adjusted for total energy intake

[†] * p<0.05, ** p<0.01, *** p<0.001 for the difference from Quintile 1