

1 SUBMITTED 16 APR 23
2 REVISIONS REQ. 2 JUL & 13 SEP 23; REVISIONS RECD. 16 AUG & 28 SEP 23
3 ACCEPTED 19 OCT 23
4 **ONLINE-FIRST: DECEMBER 2023**
5 DOI: <https://doi.org/10.18295/squmj.12.2023.086>

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7 **Is High Flow Nasal Oxygenation a Game Changer in Endobronchial**
8 **Ultrasound-Guided Transbronchial Needle Aspiration**

9 *A pilot study*

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16
17 **Abstract**

18 **Objectives:** A pilot observational study was done to compare High Flow Nasal Oxygen (HFNO)
19 and supraglottic airway device (SAD) technique in patients undergoing endobronchial ultrasound
20 (EBUS) and transbronchial needle aspiration procedures (TBNA) with an objective to evaluate the
21 efficacy of HFNO in oncological patients. **Methods:** The study was conducted in a tertiary cancer
22 center in Muscat, Sultanate of Oman from May 2022 to March 2023. Consecutive patients
23 undergoing EBUS TBNA under moderate sedation were quasi-randomized into HFNO and SAD
24 groups. The episodes and duration of hypoxia and the lowest level of oxygen saturation were the
25 primary outcome measured. **Results:** A total of twenty-four patients were taken into the study of
26 which 10 were in the HFNO group and 14 were in the SAD group with an equal number of males
27 and females. The duration of the procedure in both the groups was similar (45±20 mins in HFNO
28 vs 44±17 in the SAD group). Mean lowest oxygen saturation in the HFNO group was (93.5%±4),
29 which was statistically significant in comparison to the SAD group (90±6). In both groups, the
30 maximum hypoxia occurred during the early phase of the procedure. However, both the groups

31 were similar for the cumulative duration of hypotension (140 secs in HFNO vs 55 secs in SAD)
32 and bradycardia (25 secs in HFNO vs. 40 secs in SAD). **Conclusion:** HFNO can be a good
33 alternative to the SAD and could be used safely and efficiently in the cohort of population in
34 patients undergoing EBUS TBNA.

35 **Keywords:** High flow nasal oxygenation; Endobronchial Ultrasound-guided Transbronchial
36 Needle Aspiration; Supraglottic airway devices.

37

38 **Introduction**

39 Endobronchial Ultrasound-guided Transbronchial Needle Aspiration (EBUS-TBNA) first
40 popularized in 2002, is one of the common procedure used by interventional pulmonologists^{1,2}.
41 Providing anesthesia for such procedures has always been a challenge, due to sharing of the same
42 airway space and in patients with poor physiological reserve, and comorbid like coronary artery
43 disease, congestive heart failure, chronic pulmonary thrombo-embolism etc. Maintaining adequate
44 depth of sedation, provision of stable hemodynamics, immobilizing the patient, and maintaining
45 the oxygenation of the patient remain the main concerns in such patients^{3,4}. Hypoxia and its
46 consequences during the procedure are a real threat to the life of the patient. Traditionally across
47 the globe, these procedures are done under general anesthesia (GA) or moderate sedation with a
48 SAD. HFNO has been tried for EBUS TBNA procedures, however, currently, no study is available
49 where it has been compared with the SAD with moderate sedation^{5,6}. HFNO at high flow rates
50 provides a continuous positive airway pressure (CPAP), washes out the CO₂ from the respiratory
51 dead space, and enhances the process of oxygen diffusion into the alveolar spaces. Apart from it,
52 HFNO also reduces airway resistance and thus the work of breathing. The stress response to
53 insertion of an airway device, dislodgement of the device during the procedure, and stimulation of
54 a hyperactive airway can all be avoided while using HFNO. It also provides easy access to the
55 pulmonologists for their intervention.

56

57 **Methods**

58 A quasi-randomized study was designed to compare the efficacy of HFNO and SAD patient
59 undergoing EBUS TBNA with moderate sedation. The study was conducted in a tertiary cancer
60 center in Muscat, Sultanate of Oman from May 2022 to March 2023 after approval from the
61 Institutional Ethical Committee. We included all patients who were assessed to undergo the

62 procedure in ASA grade 1 to 4. Patients who had associated morbidities like coronary artery
63 disease, diabetes mellitus, asthma, chronic kidney disease etc. were optimized with respect to their
64 clinical conditions before being taken up for the procedure. The primary outcome was comparison
65 of the duration and episodes of hypoxia during the procedure between the two groups. Hypoxia
66 was defined as SpO₂ of less than 90 percent. The secondary outcomes included changes in
67 cardiovascular parameters (Blood pressure and heart rate), changes if any in the blood gases, and
68 discrepancies, if any in the diagnostic yield. Diagnostic yield was defined as the percentage of
69 patients for whom EBUS- TBNA gave a specific diagnosis. Patients were explained both
70 techniques of anesthesia in detail in the language they understood. Written consent was taken.
71 Patients were divided into two groups: the HFNO Group and the SAD group.

72
73 Pre-procedurally 10% lidocaine spray was sprayed into the pharynx in both groups. ECG, SpO₂,
74 HR, and NIBP were monitored continuously. In the HFNO group, patients received HFNO at a
75 flowrate of 40 lit/min to 80 lit/min and the fraction of inspired oxygen was initially 0.3 and
76 increased by an increment of 0.1 depending upon the oxygen demand to keep the oxygen saturation
77 at 90%. HFNO delivers actively heated, humidified medical gas using an air/oxygen blender at
78 flows up to 60 to 70 liters per minute with an FiO₂ varying between 0.21 to 1. To deliver high flow
79 oxygen, we used nasal high flow Star adult system (Draeger company; Germany) as a patient
80 interface connected to ventilator (EVE IN; Stephan Germany). In the SAD group, patients had a
81 SAD with flow rates of 10 to 12 liters of oxygen and a fraction of the inspired oxygen at 0.5 to
82 0.6. Laryngeal Mask Airway (LMA Supreme: Teleflex Medical, Westmeath Ireland) or I-gel (Inter
83 surgical LTD., Berkshire UK) were the supraglottic airway devices used in the study. These were
84 placed at the level of the laryngopharynx, hence providing access to the bronchoscope to proceed
85 below the level of cords. They all were ventilated in synchronized modes. The EBUS scope
86 (Pentax medical; Japan) was introduced over a tight self-sealing diaphragm to prevent leaks.

87
88 All patients received premedication with Glycopyrrolate 0.2 mg intravenous (iv) (unless
89 contraindicated) and dexamethasone 8 mg i.v. The sedation level was maintained in both groups
90 with a Bispectral index (BIS) score around 50 during the procedure. Patients were given a loading
91 dose of 1 microgram/Kg Dexmedetomidine and then were maintained on 0.1 to 0.3 microgram/kg/
92 hour of infusion. Injection propofol 1mg/kg was given slowly over 5 to 7 minutes. The patients

93 also received Remifentanyl at an infusion rate of 0.2 to 0.5 microgram/kg/minute. The following
94 parameters were recorded: NIBP every 3 minutes for the first 30 minutes and 5 minutes thereafter.
95 Oxygen saturation (SpO₂), Heart rate (HR), and electrocardiogram (ECG) were recorded
96 continuously. Venous blood gases (VBG) were done at the start and end of the procedure and also
97 whenever there was an episode of hypoxia. VBG was taken to assess the pO₂ levels. Although the
98 correlation in assessing the hypoxia with VBG is not direct, it gives a good indirect evidence of
99 hypoxia.

100

101 Each of the EBUS TBNA procedures was done as per the standardized institutional protocol i.e.
102 each mediastinal/hilar lesion to be examined; minimum, of 3 passes with 15 wiggling's in each
103 pass.

104

105 Statistical Analysis: Graph Pad Prism version 5 was used for statistical analysis. The procedure-
106 related parameters including the episodes of hypoxia, cumulative duration of hypoxia, and the
107 cardiovascular parameters (systolic and diastolic blood pressures, heart rate variations, venous
108 blood gases) were described in means and standard deviations. Differences in the demographic
109 characteristics and the procedure-related values were evaluated with Fisher's exact test or Mann-
110 Whitney U test between the SAD and the HFNO group.

111

112 **Results**

113 Twenty four patients were taken into the study with 12 males and 12 females. The distribution of
114 patients in HFNO group was 10 and in the SAD group was 14. The mean age in the HFNO group
115 was 48 ±18 years, while in the SAD group was 54±12 years.

116

117 For the procedure-related parameters, the mean preoperative oxygen saturations were 86±4 for the
118 HFNO group, while it was 88±4 for the SAD group. The HFNO group had 3 episodes of hypoxia
119 with a cumulative duration of 120 seconds, while the SAD group had 5 episodes with a cumulative
120 of 116 seconds. The longest duration of hypoxia in the HFNO group was 45 seconds while in the
121 SAD group was 28 seconds, with no statistical significance between the groups. The maximum
122 systolic blood pressure, diastolic blood pressure, episodes of hypotension, duration, and episodes

123 of bradycardia were comparable. The venous blood gases, average fluid input, and the total dose
124 of propofol, remifentanyl, and dexmedetomidine were all comparable in both.

125
126 The time courses of oxygen saturation and heart rate during the examination are shown in (Fig1,
127 2). There was no statistical difference between both groups. The oxygen saturation and heart rate
128 fell in both groups in fourth, fifth and the sixth minute of the procedure after which it remained
129 almost above 94 percent. (Table 1). The lowest SpO₂ distribution in both groups has been
130 presented in (Fig 3). The lowest saturation in the HFNO group (excluding preoperative levels) was
131 88 percent, while in the SAD group, it was 82 percent. The mean lowest oxygen saturation in the
132 SAD group was 90 ± 3 and in the HFNO group was 93.5 ± 4.5 ($p<0.005$), which was statistically
133 significant. The pulmonologist was able to get adequate yield in 23 of the 24 patients.

134

135 **Discussion**

136 This prospective study compared the use of HFNO and SAD for moderate sedation for EBUS
137 TBNA. We found HFNO with moderate sedation was as efficacious as SAD in maintaining oxygen
138 saturation.

139

140 Takakuwa et al. did a prospective study comparing HFNO with a nasal cannula during EBUS
141 TBNA under midazolam sedation and found that the lowest level of mean oxygen saturation was
142 higher in the HFNO group⁶. They found that the maximum FiO₂ to maintain oxygen saturation in
143 HFNO group was 0.45. This in our study was 0.6. Many studies have proven the utility of HFNO
144 to maintain oxygen saturation while undergoing interventional bronchoscopic procedures^{7,8,9,10}.
145 There have been studies proving the efficacy of moderate sedation with a SAD providing
146 equivalent or better maintenance of oxygenation than general anesthesia¹¹.

147

148 Miyagi et al, and Simon et al, have reported that bronchoscopy was tolerated well with HFNO for
149 prevention of mild to moderate hypoxemia^{12,13}. In our study, we assessed the usefulness of HFNO
150 to prevent hypoxemia during EBUS TBNA in subjects who had respiratory impairment
151 preprocedural. The mean lowest oxygen saturation in the HFNO group was $93.5\pm 4.5\%$ and the
152 minimum Spo₂ was 88 %, which were significantly higher than the SAD group, which had a mean
153 low oxygen saturation of $90\pm 3\%$ and the minimum SpO₂ of 82 %. The total duration of hypoxia

154 was lesser in the SAD group. The lesser duration of hypoxia in the SAD group can be attributed
155 to the fact that positive pressure ventilation quickly corrects the hypoxia while there is a time lag
156 between increasing the FiO₂/flow rate and the increase in saturation in the HFNO group.

157
158 In our study, the fall in oxygen saturation happened maximum at 4 to 6 minutes after the start of
159 the procedure in both groups. Desaturation in the early phase of bronchoscopic procedures has
160 been reported in multiple studies and this tendency was consistent with our results^{6,12}. Similarly,
161 the fall in heart rate was also noted in the first three to seven minutes of the procedure. This may
162 be due to the concurrent development of hypoxia. There is always a real concern about raising
163 end-tidal carbon dioxide (EtCO₂) during the procedure. In our current study, we monitored PaCO
164 ₂ through venous blood gases, which was less in the HFNO group in comparison to the SAD group,
165 however, it was statistically insignificant. This may be due to the fact that the ineffective
166 ventilation in the SAD method due to leak of gases at the entry point of the EBUS scope on the
167 catheter mount and also the EBUS scope being inside the SGA reduces the space available for
168 ventilation. Hence there was ineffective maintenance of EtCO₂. Some studies, have shown lesser
169 retention of carbon dioxide in the HFNO group than in the nasal oxygen supply, but there is no
170 study done to compare the SAD with HFNO^{6,8,10}. However, there is always a possibility of a drop
171 of CPAP when HFNO is applied with the mouth open. Hence further studies will be required with
172 monitoring of EtCO₂ and PaCO₂. Roberto F Casal et al, had compared patients undergoing EBUS
173 with SAD under general anesthesia and moderate sedation and found that the yield was similar¹¹.
174 In our study, we found that the diagnostic yield in both groups was comparable, which is an
175 objective method of assessing the ease of operability. The limitations of the study were, a small
176 sample size, which will definitely be unable to mask biases and for accuracy of hypoxia and
177 hypotension during the procedure. Invasive blood pressure monitoring would have given better
178 results for episodes of hypotension. However, the invasiveness of these procedures might be
179 argued for risk-benefit for the study.

180

181 **Conclusion**

182 Based on our study, we concluded that HFNO could decrease the incidence and episodes of
183 hypoxia considerably during EBUS-TBNA in comparison to the SAD under moderate sedation.
184 Hence it could be safely used in our clinical practice with all the advantages. HFNO reduces airway

185 resistance. The stress response to insertion of an airway device, dislodgement of the device during
186 the procedure and stimulation of a hyperactive airway can all be avoided while using HFNO. It
187 also provides easy access to the pulmonologist for their intervention. However, study with a greater
188 number of patients are needed to confirm all these findings.

189

190 **Authors' Contribution**

191 All authors contributed to the conceptualization and design the study. PRR, SSPM, AAM and
192 SMB collected and assembled the data. PRR, SSPM, AAM, RK and SMB analysed and interpreted
193 the data. PRR, SSPM, RK and SMB drafted the manuscript. All authors approved the final version
194 of the manuscript.

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196 **Conflicts of Interest**

197 The authors declare no conflicts of interests.

198

199 **Funding**

200 No funding was received for this research.

201

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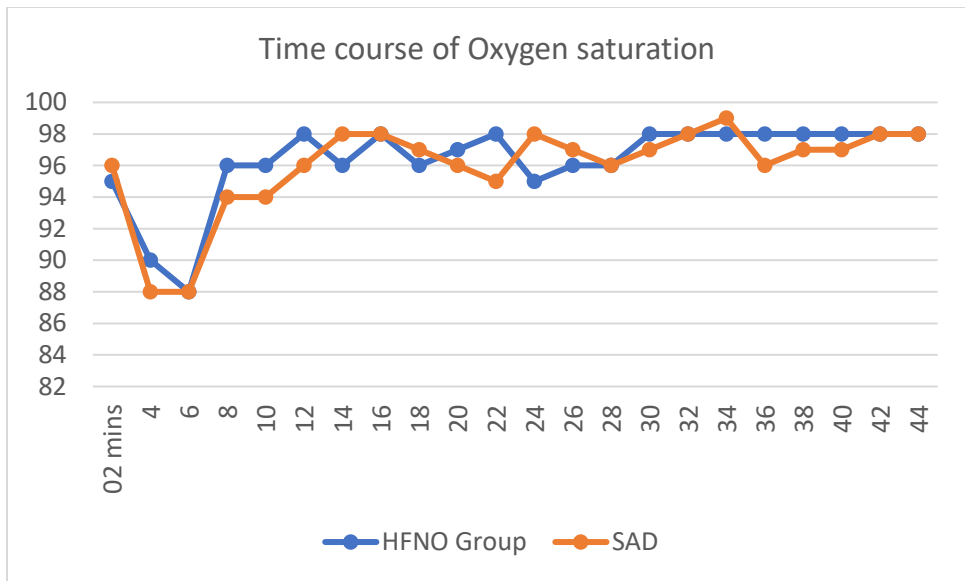
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246 **Table 1: Patient Demography and procedural values.**

PARAMETERS	VARIABLE	HFNO	SAD	P Value
Demo Graphy	Age (years)	48 ± 18	54 ± 12	
	Male (number)	6	6	0.370
	Female (number)	4	8	0.190
	Weight (Kilograms)	55 ± 16	61 ± 14	0.320
	BMI (kg/m ²)	24 ± 6	21 ± 3	0.270
	Duration of procedure (minutes)	45 ± 20	44 ± 17	0.170
	Mean preoperative SpO2 in %	86 ± 4	88 ± 4	0.280
	Maximum SpO2 (%)	99	100	
	Minimum spO2 (%)	88	82	
Spo2	Mean minimum spO2 (%)	93.5 ± 4.5	90 ± 3	<0.001
	Episodes of hypoxia (= 90%)	3	5	0.320
	Cumulative duration of hypoxia (seconds)	120	116	0.260
	Mean Maximum SBP (mmHg)	110 ± 23	142 ± 46	0.320
Bp	Mean Maximum DBP (mmHg)	82 ± 18	82 ± 18	0.260
	Episodes of hypotension (number)	2	1	0.450
	Cumulative duration of hypotension (seconds)	140	95	0.115
	Mean Maximum heart rate (beats/min)	106 ± 28	94 ± 18	0.230
Heart Rate	Mean Minimum heart rate (beats/min)	52 ± 11	58 ± 8	0.018
	Episodes of bradycardia (= 45beats/min)	1	2	
	Cumulative duration of bradycardia (seconds)	25	40	0.180
Venous Blood Gas	pH	7.34 ± 1.2	7.36 ± 1.8	0.360
	pCO2 (mmHG)	43.3 ± 7.2	44.4 ± 6.2	0.260
	pO2 (mmHG)	34.4 ± 4.2	33.8 ± 5.3	0.310
	H2CO3 (meq/ L)	23.2 ± 4.6	24.4 ± 5.2	0.270
	Lactate (mmol/L)	1.6 ± 1.8	1.9 ± 1.1	0.119
IV fluid	Average fluid input (milliliter)	425 ± 62	460 ± 32	0.670
	Coronary artery disease	02	02	
Co Morbidities	Hypertension	03	04	
	Diabetes	07	06	
	Congestive heart failure (number)	02	00	
	Cerebrovascular accidents (number)	04	01	
	MYSTHENIA GRAVIS (number)	00	01	
	SIGNIFICANT VALULAR DISEASE (number)	03	03	

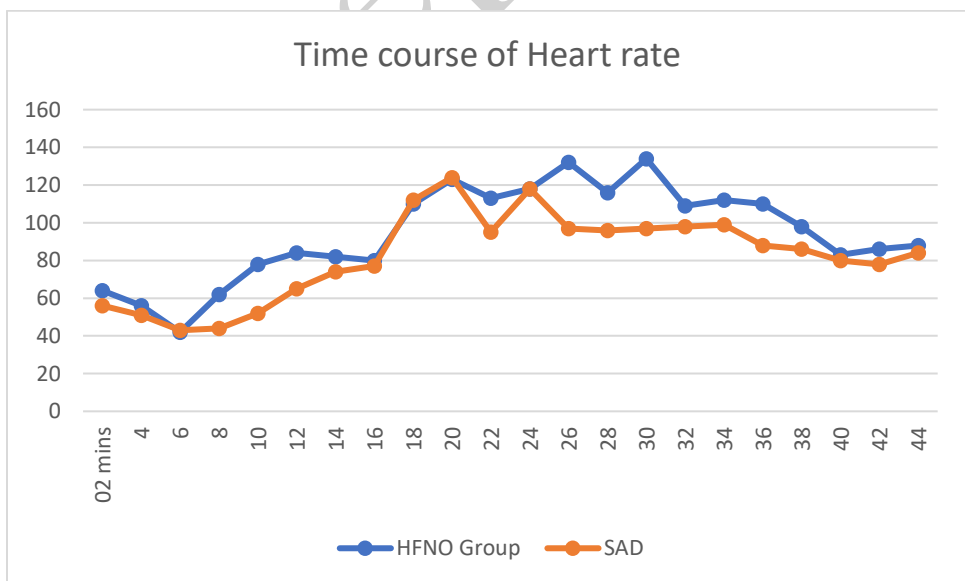
Diagnostic yield	Respiratory Co morbidities (number)	05	04	0.816
	Chronic pulmonary embolism (number)	02	01	
	No (number)	0	1	
	Yes (number)	10	13	
Medication	Total propofol (mg) mean	180 ± 32	180 ± 18	0.460
	Total remifentanyl (mcg) mean	350 ± 28	335 ± 18	0.350
	Total dexmedetomidine (mg) mean	7 ± 4.5	8 ± 3	0.180

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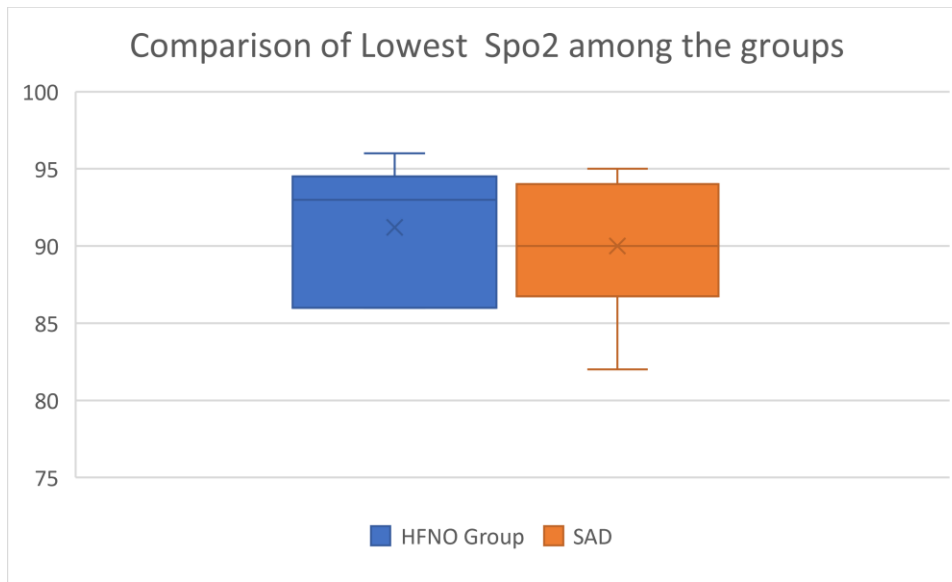
Figure 1: Time course of changes in oxygen saturation during EBUS TBNA in both groups. *HFNO = High frequency nasal oxygenation; SAD = supraglottic air way device.*



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Figure 2: Time course of changes in heart rate during EBUS TBNA in both the groups.

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Figure 3: Comparison between the lowest oxygen saturations between the HFNO and the SAD group. The mean lowest oxygen saturation in the HFNO group was significantly higher than the SAD group.

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