

1 **Major locus for spontaneous haploid genome doubling detected by a case-control GWAS in exotic maize**
2 **germplasm**

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16 **Conflict of interest**

17 The authors declare that they have no conflict of interest.

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22 **Major locus for spontaneous haploid genome doubling detected by a case-control GWAS in exotic maize**
23 **germplasm**

24 **Key message**

25 A major locus for spontaneous haploid genome doubling was detected by a case-control GWAS in an exotic maize
26 germplasm. The combination of double haploid breeding method with this locus leads to segregation distortion on
27 genomic regions of chromosome five.

28 **Abstract**

29 Temperate maize (*Zea mays* L.) breeding programs often rely on limited genetic diversity, which can be
30 expanded by incorporating exotic germplasm. The aims of this study were to perform characterization of inbred lines
31 derived from the tropical BS39 population using different breeding methods, to identify genomic regions showing
32 segregation distortion in lines derived by the DH process using spontaneous haploid genome doubling (SHGD), and
33 use case-control association mapping to identify loci controlling SHGD. Four different sets were used: BS39_DH and
34 BS39_SSD were derived from the BS39 population by DH and single-seed descendent (SSD) methods, and
35 BS39×A427_DH and BS39×A427_SSD from the cross between BS39 and A427. A total of 663 inbred lines were
36 genotyped. The analyses of gene diversity and genetic differentiation for the DH sets provided evidence of the
37 presence of a SHGD locus near the centromere of chromosome 5. The case-control GWAS for the DH set also
38 pinpointed this locus. Haplotype sharing analysis showed almost 100% exclusive contribution of the A427 genome in
39 the same region on chromosome 5 of BS39×A427_DH, presumably due to an allele in this region affecting SHGD.
40 This locus enables DH line production in exotic populations without colchicine or other artificial haploid genome
41 doubling.

42 **Keywords:** doubled haploid, exotic germplasm, genome doubling, maize, single seed descent, tropical maize.

43 **Introduction**

44 Maize (*Zea mays* L.) breeding contributed to significant yield gains in the past several decades (Andorf et
45 al. 2019), while its germplasm base narrowed (Mikel 2011). Incorporation of exotic germplasm broadens the genetic
46 base of temperate breeding programs, and its use has risen over the past several years (Cruz-Cárdenas et al. 2019).
47 For example, lowland tropical landraces such as Cuban Flint, Suwan, Tusón, and Tuxpeño (Goodman 1999) have all
48 been introgressed into temperate materials. Among exotic germplasm sources, maize breeders prefer adapted inbred
49 lines instead of heterozygous plants from populations of tropical germplasm. The synthetic population BS39
50 represents tropical Tusón germplasm, photoperiod adapted to temperate environments (Hallauer and Carena 2016),
51 and could serve as a unique source of genetic diversity for U.S. Corn Belt breeding programs.

52 Traditionally, inbred lines in maize breeding programs have been produced through pedigree selection. The
53 single-seed descent (SSD) method has been used for developing inbred lines to be used in quantitative genetic
54 studies of maize populations (Hallauer and Carena 2016). The SSD method requires 6-7 generations to obtain lines
55 with minimal residual heterozygosity (Adamski et al. 2014). The doubled haploid (DH) approach has almost
56 completely replaced traditional self-pollination for inbred line development, primarily because it decreases the time
57 to obtain homozygous lines. Application of DH technology has been shown to be suitable for exploring the
58 variability within landraces (Strigens et al. 2013) and for quantitative genetic studies such as linkage map
59 construction and quantitative trait locus (QTL) identification (Trampe et al. 2020).

60 DH line production in maize requires the induction of haploid kernels, identification of haploid seed, and
61 genome doubling of haploids (Wu et al. 2017). While tools and methods for induction and identification of haploids
62 have improved over time, haploid genome doubling remains a challenge for successful application of DH
63 technology at a large-scale (Boerman et al. 2020). Genome doubling in haploids derived from exotic germplasm is
64 even more challenging due to the presence of deleterious recessive alleles that are expressed in haploids (Smelser et
65 al. 2016). Hence, direct application of DH technology for exotic germplasm is not as effective as in temperate and
66 elite germplasm (Prigge et al. 2011).

67 Genome doubling rates can be increased through spontaneous haploid genome doubling (SHGD) (Wu et al.
68 2014). SHGD may also help to reduce the exposure of humans to chemicals (e.g., colchicine) necessary for artificial
69 genome doubling. Haploids derived by SHGD can be directly sown in field nurseries, removing associated costs
70 with greenhouses, chemical treatment of haploids, and transplanting (Boerman et al. 2020).

71 Public line A427 was found to have high rates of haploid male fertile (HMF) exceeding 78% (De la Fuente
72 et al. 2020) and to carry a major QTL on chromosome 5 (Ren et al. 2020; Trampe et al. 2020). De La Fuente et al.
73 (2020) derived haploid plants from a full diallel cross, scoring for HMF. A427 provided positive and significant
74 general combining ability (GCA) for HMF, suggesting that it carries alleles that are additive in nature and work in
75 different genetic backgrounds.

76 Genome-wide association (GWAS) studies under a case-control scenario can be a powerful approach to
77 identify loci controlling SHGD. Case-control GWAS has been widely applied in human genetics for investigating
78 associations between SNPs and dichotomous disease traits (Thomas and Witte 2002; Yu et al. 2017). The most
79 important factors in this analysis are the accurate definition of phenotypes (cases and controls) and trait heritability
80 (Zondervan and Cardon 2007). In plant breeding, the only studies that used binary case-control GWAS addressed
81 disease resistance. Rincker et al. (2016) identified SNPs related to brown stem rot using a case-control GWAS in

82 soybean, Chang et al. (2016) characterized disease resistance loci in the USDA soybean germplasm collection, and
83 Hart and Griffiths (2015) screened viral resistance in common bean.

84 In this study, we derived lines from BS39, a temperate-adapted synthetic population, and from a cross
85 between BS39 and A427, used as SHGD donor, by DH and SSD methods. Four sets of inbreds were created
86 (BS39_DH, BS39_SSD, BS39×A427_DH, BS39×A427_SSD), and a total 663 inbred lines were genotyped to
87 understand the impact of the breeding method and SHGD in exploiting exotic germplasm. The objectives of this
88 study were (1) to compare the four sets of inbred lines derived from BS39 at the genotype level in order to
89 investigate the impact of different breeding methods and SHGD genes on developing inbred lines from an exotic
90 population, (2) to map genomic regions showing segregation distortion in inbred lines derived by the DH process
91 using SHGD, and (3) to use a case-control association mapping to identify loci controlling SHGD.

92 **Materials and methods**

93 **Plant materials and inbred line development.** A total of 663 inbred lines were derived from BS39 or from the
94 cross between BS39 and A427 through DH and SSD breeding methods. BS39 is a temperate-adapted germplasm
95 serving as a source to expand the genetic base in maize breeding programs (Hallauer and Carena 2016). A427 is a
96 public non-stiff stalk inbred line developed by the University of Minnesota (Gerdes et al. 1993) that shows a high
97 rate of HMF (~78%) and is used as a source of SHGD alleles (De la Fuente et al. 2020). Maternal haploid inducer
98 BHI201 (<http://isurftech.technologypublisher.com/technology/19126>) was used to develop DH lines (DHLs). DHLs
99 were produced by both artificial haploid genome doubling (AHGD) and SHGD. To develop AHGD lines, 648 BS39
100 plants were crossed with BHI201. After haploid selection – made manually based on embryo coloration (R1-nj) –
101 colchicine was injected in haploid seedlings following the protocol of Vanous et al. (2017). Outliers were removed
102 in the field based on plant vigor. Putative haploid plants shedding pollen were self-pollinated. At physiological
103 maturity, 153 DHLs were harvested and coded as BS39_DH lines (Figure 1). To develop SHGD lines, 648 BS39
104 plants were crossed with A427. The resulting F₁ population was crossed with BHI201. Since F₁ plants received the
105 SHGD trait from A427, haploids were not treated with colchicine or any other chemical for genome doubling. After
106 selection based on embryo coloration (R1-nj), haploid kernels were directly sown into the field. Haploid plants
107 shedding pollen were self-pollinated. In total, 318 DHLs were obtained and coded as BS39×A427_DH lines (Figure
108 1). In parallel to developing DHLs, inbred lines were also derived by SSD from 648 BS39 plants and from the cross
109 between 750 BS39 plants and A427 (BS39_SSD, BS39×A427_SSD; Figure 1). Six generations of self-pollination
110 were carried-out, generating 96 inbred lines for each of the two SSD sets. Agronomic traits such as maturity, plant
111 and ear height, tassel size, foliar diseases, ear size, kernel texture, ear diseases, stalk and root lodging were

112 considered for mild selection during the six generations of self-pollination.

113 **Genotyping and SNP calling.** Genotyping of DHLs (153 BS39_DH and 318 BS39×A427_DH lines) and 310
114 individuals from the BS39 population was performed using Genotyping by Sequencing (GBS) (Elshire et al. 2011).
115 Plant tissue was collected at the seedling stage from 10 plants of each DHL and from 310 individual plants of the
116 BS39 population. Freeze-dried tissue samples were sent to Cornell University Genomic Diversity Facility for DNA
117 extraction and genotyping. GBS was performed as described by Elshire et al. (2011). Briefly, libraries were
118 constructed in a 96-plex and genomic DNA was digested with the *ApeKI* restriction enzyme. DNA fragments were
119 sequenced using Illumina Inc. Next Generation Sequencing platforms. The raw sequence was processed into SNP
120 genotypes, as described by Glaubitz et al. (2014) using the B73 reference genome version 2 (AGPv2) as a reference.
121 In total, 955,690 SNPs were generated by GBS. Filtering was conducted using TASSEL 5.2.58 (Glaubitz et al.
122 2014). SNPs with minor allele frequency (MAF) below 5% and call rate below 0.50 (50%) were removed.
123 Additionally, any DHL with more than 5% heterozygosity was discarded. The remaining heterozygous loci were
124 considered missing data. After filtering, 282,034 SNPs were retained in 118 BS39_DH and 317 BS39×A427_DH
125 lines. Beagle 5.0 (Browning et al. 2018) was used for imputation of missing data. For SSD lines (96 BS39_SSD and
126 BS39×A427_SSD), Diversity Arrays Technology sequencing was used (Dartseq) (Jaccoud et al. 2001). Kernels
127 from 120 BS39_SSD and 120 BS39×A427_SSD inbred lines were sent to the Genetic Analysis Service for
128 Agriculture (SAGA) at the International Maize and Wheat Improvement Center (CIMMYT) for genotyping. SNPs
129 were obtained using Dartseq and were called using the DArtsoft analytical pipeline
130 (<https://www.diversityarrays.com>), using the B73 reference genome version 4 (AGPv4) as a reference. A total of
131 32,930 SNPs were generated by Dartseq. Quality control and imputation of Dartseq SNPs were similar to the GBS
132 procedures described for DHLs. After correction, 17,366 SNPs were retained in 51 BS39_SSD and 72
133 BS39×A427_SSD lines.

134 **Gene diversity and genetic differentiation.** Estimates of gene diversity (HS) were calculated according to Nei
135 (1987), based on the identities of two randomly chosen loci within and between populations, independently of the
136 number of alleles. The assumption was that there are n alleles at a locus and the frequency of the k th allele is x_k in a
137 population. In order to evaluate the impact of A427 and the breeding method on gene diversity, BS39_DH lines
138 were compared with BS39×A427_DH lines, and BS39_SSD lines compared with BS39×A427_SSD lines. The
139 degree of genetic differentiation (F_{ST}) between BS39_DH versus BS39×A427_DH lines, and BS39_SSD versus
140 BS39×A427_SSD was calculated as described by Weir and Cockerham (1984) as a ratio of the variance between
141 populations to the total variance in the parental population. Both HS and F_{ST} analyses were obtained using the R

142 package *hierfstat* (Goudet, 2005).

143 In order to answer whether the genetic diversity present in BS39 from tropical germplasm was represented
144 in the four sets of inbred lines, we compared the allelic frequencies at each locus of the 310 BS39 plants with each
145 of the BS39-derived sets using a chi-square test with one degree of freedom. The comparison between the 310 BS39
146 and the DH sets (118 BS39_DH and 317 BS39×A427_DH) considered the 282,034 SNPs. As BS39 was originally
147 genotyped based on B73 reference genome version 2, we converted it to version 4 for comparison with SSD sets (for
148 which the B73 reference genome version 4 was used). The conversion was made based on the assembly Converter
149 tool found on the Gramene website (http://ensembl.gramene.org/Oryza_sativa/Tools/AssemblyConverter?db=core).
150 After conversion, BS39 and SSD sets were merged in TASSEL (Bradbury et al. 2007) and additional filtering was
151 used to discard unmatched markers. In total, 3,401 markers were used to compare the 310 BS39 with 51 BS39_SSD
152 and 71 BS39×A427_SSD lines.

153 **Linkage disequilibrium.** Linkage disequilibrium (LD) analysis was performed for all pairwise combinations of
154 SNPs by computing the squared correlation (r^2) of marker genotypes using the software TASSEL (Bradbury et al.
155 2007). The rate of LD decay with r^2 threshold set at 0.2 was calculated for each of the BS39 derived sets based on a
156 marker matrix and a map with distances between markers in base pairs using a non-linear regression based on Hill
157 and Weir (1988) using the *nls* function in R software (R Core Team 2020).

158 **Case-control GWAS.** A case-control GWAS was performed to map distorted segregation differences between
159 subsets of BS39-derived lines. We contrasted inbred lines with the same phenotype (successful haploid genome
160 doubling), obtained with different mechanisms. BS39×A427_DH lines utilized a genetic mechanism: spontaneous
161 haploid genome doubling without application of colchicine or similar treatment. In contrast, BS39_DH lines were
162 obtained after a colchicine treatment. Although similar to case-control GWAS to detect disease resistance loci by
163 contrasting “cases” with non-afflicted individuals, all individuals surveyed in our approach showed the same
164 phenotype (haploid genome doubling), attained by either a genetic or a non-genetic mechanism. By using this
165 contrast, we intended to identify genetic loci responsible for haploid genome doubling. Based on prior information
166 of a major QTL for SHGD on chromosome 5 contributed by A427 (Ren et al. 2019; Trampe et al. 2020), our
167 hypothesis was that we would be able to detect this locus using the case-control GWAS. Since the only difference
168 between these sets was the presence of A427 alleles, the 317 BS39×A427_DHLs were scored as “1” (cases) and the
169 118 BS39_DH were scored as “0” (controls). GWAS was performed by using the fixed and random model
170 circulating probability unification (FarmCPU) method in the R package GAPIT (Liu et al. 2016). The first five
171 principal components, obtained by GAPIT, were included as covariates in the model. The kinship matrix was

172 automatically estimated in FarmCPU. To determine a significance threshold to account for multiple testing, the
173 False Discovery Rate (FDR) control (Benjamini and Hochberg 1995) is implemented in the procedure. Because
174 FarmCPU model was developed to fit quantitative variables, statistical assumptions such as normality of residuals
175 were violated. In order to confirm the associations detected by the model, all significant SNPs from the FarmCPU
176 analysis were included into a logistic regression model using SAS PROC LOGISTIC (SAS Institute 2013).

177 **Haplotype sharing – segregation distortion.** Analyses of haplotype sharing between A427 and both
178 BS39×A427_DH and BS39×A427_SSD sets were conducted using the software Genetic Error-Tolerant Regional
179 Matching with Linear-Time Extension (GERMLINE) (Gusev et al. 2009). Shared haplotypes were identified with a
180 seed of identical genotypes at 10 neighboring SNPs that were extended until up to two homozygous mismatches
181 were encountered. Analyses were based on segments with a minimum size of 2 cM using B73 reference genome
182 version 2 for the comparison between A427 and BS39×A427_DH, and B73 reference genome version 4 for A427
183 and BS39×A427_SSD. The comparisons were made between IBS-SNPs on a site-by-site basis. As we had previous
184 information about a QTL for SHGD on chromosome 5 (Trampe et al. 2020) and we wanted to know whether there
185 was a significant difference in A427 haplotype contribution caused by DH method, we performed a non-parametric
186 Mann-Whitney statistical test for assessing the significance in the median of BS39×A427_DH and
187 BS39×A427_SSD within the region of the SHGD QTL shown by Trampe et al. (2020). We used the percentage of
188 A427 haplotype on chromosome 5 from 87 to 130 Mb and compared both sets of BS39×A427 derived lines using a
189 significance level of $\alpha=0.05$ using the *wilcox.test* function in R software (R Core Team 2020).

190 **Results**

191 **Gene diversity and genetic differentiation between BS39-derived sets.** BS39_DH, BS39×A427_DH, and
192 BS39×A427_SSD had very similar allele frequencies compared to a sample of BS39 for most loci. 57.5% of
193 BS39_DH loci did not statistically differ from BS39, BS39×A427_DH had 62.1% loci that did not differ,
194 BS39×A427_SSD 52%, and BS39_SSD 31.9%.

195 The F_{ST} values from the comparison between BS39_SSD and BS39×A427_SSD reached values up to 0.064
196 (Figure 2B), which means that up to 6.5% of genetic variation observed among genotypes is due to the difference
197 between sets, and 93.5% of genetic variation is within sets. The overall mean for the comparison between BS39_DH
198 and BS39×A427_DH was 0.0095 (Figure 2A). A clear distortion on chromosome 5 was observed with F_{ST} values
199 close to 0.120 in the region close to the centromere (S5.89156625-S5.117624647).

200 A substantial loss of HS on chromosomes 3, 4, and 5 (Figure 3A) was observed in BS39×A427_DH
201 compared to BS39_DH. The highest HS loss was observed in the region S5.1874148-S5.216538534 on chromosome

202 5, followed by chromosomes 3 and 4. The largest difference between the two sets was 0.218 at S5.143957693. HS
203 losses were smaller between SSD sets (Figure 3B). The highest HS loss of BS39xA427_SSD compared to
204 BS39_SSD was 0.120 on chromosome 5, in the region flanked by markers S5.48032093-S5.174692242.

205 **Linkage disequilibrium.** An average r^2 of 0.2 was reached among BS39_DH individuals within about ~94 kb
206 (Figure 4). Further reduced LD decay was found among BS39xA427_DH lines with an average r^2 of 0.2 at 150 kb.
207 The same pattern was observed among inbred lines derived by the SSD method. BS39_SSD lines reached an
208 average r^2 of 0.2 within about 4 kb, and BS39xA427_SSD lines reached an average r^2 of 0.2 within about ~51 kb.

209 **Case-control approach to identify loci controlling SHGD.** A strong signal for haploid genome doubling was
210 detected on chromosome 5 using a case-control GWAS approach when comparing BS39_DH and BS39xA427_DH
211 lines (Figure 5). The strongest association was located at S5.90859140 bp (p -value 4.27×10^{-23}) on chromosome 5
212 based on the B73 reference genome version 2 (AGPv2), which corresponds to S5.93191130 on the version 4
213 (AGPv4). In addition, significant SNPs were found on chromosomes 1 (S1.115866538, p -value 0.00079272) and 7
214 (S7.1286028, p -value 8.16×10^{-5}). However, the results from the logistic regression model of these three significant
215 SNPs revealed a weak association for S1.115866538, with a p -value of 0.2035 (Table 1).

216 **Haplotype Sharing – segregation distortion.** Haplotype sharing analysis between A427 and BS39xA427_SSD
217 (Figure 6) showed A427 average percentages varying from 37% on chromosome 9 to 61% on chromosome 2 (Table
218 S1). Overall, all chromosomes had A427 contributions close to the expected 50% in this set of inbred lines.

219 The comparison between haplotypes of A427 and BS39xA427_DH (Figure 7) revealed a lower
220 contribution of A427 genome-wide, especially on chromosomes 5 and 6, where only 21% and 17% of the
221 BS39xA427_DH genome matched with A427 haplotypes on average, respectively (Table S1). Segregation
222 distortion on chromosome 5 revealed a peak of approximately 90% exclusive contribution of the A427 genome in
223 the region close to the centromere (~88-130 Mb). This region includes the significant SNP identified by the case-
224 control GWAS (S5.90859140) and it is in the same region pinpointed by F_{ST} analysis (S5.89156625-S5.117624647)
225 (Figure 6).

226 The comparison between peaks of A427 haplotype within the region 88-130 Mb on chromosome 5 in
227 BS39xA427_DH and BS39xA427_SSD showed a significant difference ($P=0.005507$) according to the Mann-
228 Whitney test that indicates that DH and SSD methods acted differently to keep SHGD alleles in the genome of its
229 respective lines.

230 **Discussion**

231 **Genotypic characterization of BS39-derived inbred lines.** BS39 is a unique source of tropical alleles for inbred
232 line development, distinct from current U.S. elite germplasm, and thus an option to expand the genetic base in maize
233 breeding programs (Hallauer and Carena 2016). A fundamental question was, how well the different BS39-derived
234 sets represent the original BS39 population. Since more than 50% of BS39_DH, BS39×A427_DH, and
235 BS39×A427_SSD loci did not differ from BS39 in their allele frequencies, we can infer that these sets represent
236 BS39 sufficiently well. However, allele frequencies for most loci in BS39_SSD were significantly different from
237 BS39, which may be due to small sample size. The 51 lines in BS39_SSD and 71 lines in BS39×A427_SSD likely
238 led to greater deviation from BS39 (31.9% and 52% for BS39_SSD and BS39×A427_SSD, respectively), when
239 compared to the DH sets (57.5% and 62.1% for 118 BS39_DH and 317 BS39×A427_DH, respectively).

240 Based on H_S and F_{ST} values, both SSD and DH breeding methods appear promising for capturing genetic
241 variability from the base population. In addition, all sets displayed significant genotypic variance for agronomic
242 traits (Verzegnazzi et al. *in preparation*). Application of DH technology can help to purge the genetic load present in
243 exotic germplasm without strongly affecting diversity (Strigens et al. 2013). However, segregation distortion
244 observed in BS39×A427_DH suggests that selective neutrality of the in vivo DH method can be affected by SHGD
245 genes in particular genome regions. The SSD method seems to be more suitable to retain genetic diversity of the
246 BS39 population across the genome (Figures 3, 6, and 7). However, the trade-off for the observed modest increase
247 in capturing diversity across the genome is the time-consuming nature of the SSD process. While it is economic to
248 use isolation fields for a large-scale haploid seed production using haploid inducers as male followed by self-
249 pollination of haploid plants, producing inbred lines by SSD requires selfing of multiple individuals for each of at
250 least six generations.

251 **Mapping genomic regions for SHGD based on segregation distortion.** A427 was shown to carry a major QTL
252 for SHGD on chromosome 5 as well as a few minor QTL on chromosomes 1, 6, 7, and 10 (Trampe et al. 2020).
253 Since all BS39×A427_DH lines were obtained by spontaneously haploid genome doubling, selection of the A427
254 haplotype was expected for genome regions affecting SHGD. The impact of the known major QTL for SHGD for
255 developing exotic lines was confirmed by the combined results of H_S , F_{ST} , and LD decay analyses. As expected, LD
256 decay on DH lines was slower than in SSD lines. Even though SSD lines were genotyped by using Dartseq and DH
257 lines by GBS, the LD decay pattern did not changed because SSD inbred lines had six opportunities of
258 recombination while DH inbreds had two. The extensive H_S loss on chromosome 5 in the region flanked by markers
259 S5.1874148-S5.216538534, when comparing BS39_DH and BS39×A427_DH, suggests that the presence of SHGD

260 alleles using the DH breeding method reduced allelic diversity in this region. The smaller HS loss for the contrast
261 between BS39_SSD and BS39×A427_SSD indicates that the inheritance of SHGD genes over generations of self-
262 pollination has less impact in these specific regions than in DH line production. The peak of F_{ST} values on
263 chromosome 5 within the region of higher HS loss (S5.89156625-S5.117624647) indicates a major contribution of
264 SHGD alleles in this region in the DH set (Figure 2). We did observe a peak of A427 haplotypes on chromosome 5
265 in the same region highlighted by F_{ST} and HS analyses. Moreover, a highly significant SNP coincided with this
266 region in the case-control GWAS. Taken together, our findings are consistent with the presence of a major SHGD
267 QTL from A427 identified in this region (Ren et al., 2020; Trampe et al. 2020). QTL analysis showed pleiotropic
268 effects of a major QTL on chromosome 5 that explained 51.3% of the phenotypic variation for anther emergence,
269 55.9% for pollen production, 48.5% for tassel size, and 45.7% for haploid male fertility (Trampe et al. 2020).

270 However, segregation distortion did not generally favor A427 haplotypes. Reasons for segregation
271 distortion were discussed by Murigneux et al. (1993). They observed a higher segregation distortion in DH when
272 compared to SSD inbred lines as a consequence of either sampling effect, selection, or difference in the viability of
273 some genetic combinations. On chromosomes 5 and 6, small regions showed complete absence of A427 haplotypes
274 in BS39×A427_DH (Figure 6). This finding suggests that A427 may carry regions in chromosome 5 that have
275 adverse effects on the DH process, given that regions with a high contribution of A427 were next to regions where
276 the A427 haplotypes were absent. Thus, selection of recombinant SHGD donor genotypes on chromosome 5 should
277 be possible, with even stronger benefits for the DH process. This should increase the efficiency of DH line
278 development based on SHGD even further.

279 Differences in A427 haplotype frequencies between BS39×A427_DH versus BS39×A427_SSD were
280 helpful to study the impact of the two breeding methods (DH and SSD) on genomic composition and genetic
281 diversity in the respective populations. Our results confirmed selection of particular A427-derived SHGD alleles
282 using the DH method, not selected for by the SSD method (Figures 6 and 7). If we consider the region between 88-
283 140 Mb on chromosome 5, 65% of the BS39×A427_DH genome has more than 70% of A427 haplotype while for
284 BS39×A427_SSD, 83% of this region has 50% or less of A427 haplotype. Moreover, since SSD inbred lines had
285 multiple recombination events due to six self-pollinations, linkage blocks and A427 haplotypes were smaller on
286 average compared to the DH lines. In conclusion, haplotype analysis can help to monitor genetic diversity in
287 breeding populations at the genome level, to avoid specific regions of being unintentionally fixed, and to identify
288 regions of selection and variation in the genome (Coffman et al. 2020).

289 **Case-control approach to identify loci controlling SHGD.** Case-control GWAS is a common approach in human

290 genetics but not in plant breeding. The validity of this methodology relies on how well population structure and sample
291 size are modeled to avoid false positives (Hirschhorn and Daly 2005; Wang et al. 2005). 6,000 cases and 6,000 controls
292 provided approximately 43% and 94% power to detect disease susceptibility variants with MAF of 0.05 and 0.01,
293 respectively, in a study conducted by Wang et al. (2005). Hauer et al. (2017) studied genetic risk loci for ischemic
294 stroke in a Dutch population based on 1,375 cases and 1,533 controls. However, cases and controls in human studies
295 cannot be replicated, in contrast to entries of agronomic experiments. By using experimental designs with replications,
296 it is possible to improve the heritability of the traits (e.g., heritability on an entry mean basis) by reducing the residual
297 variation. Moreover, successful studies in humans were reported with smaller population size. Samarani et al. (2019)
298 found associations between killer-cell immunoglobulin-like receptors in three groups of Canadian patients using a case-
299 control population ranging from 93 to 245 individuals. Ozaki et al. (2002) performed a study to investigate the
300 susceptibility to myocardial infarction using 94 cases and 658 controls. A candidate locus was identified, and the result
301 was further supported by an additional haplotype structure and LD analysis.

302 Case-control GWAS applied in a plant breeding scenario has the same issues regarding population structure
303 and sample size as in human studies. However, large-effect loci can be reliably detected with smaller population sizes.
304 Hart and Griffiths (2015) used 84 recombinant inbred lines and identified 44 SNPs strongly associated with virus
305 resistance. Despite our limited number of cases and controls (317 and 118, respectively), we were able to identify a
306 highly significant SNP. The strong association at S5.90859140 bp (p -value 4.27×10^{-23}) within the chromosome 5
307 genomic region confirms the large genetic effect of this particular locus on SHGD in exotic background. Thus, case-
308 control GWAS seems to be suitable to identify major loci, and small sample size may limit identification of minor
309 effect QTL, as we only found one additional QTL (Figure 5, Table 1). As we had the previous information that A427
310 carries a major QTL with strong effect on SHGD, our primary interest was to determine whether we can detect this
311 QTL in an exotic genetic background. The A427 haplotype on chromosome 5 was enriched to near fixation. Based on
312 all results in our study, we conclude that the SHGD QTL is transferable to genotypes with an exotic background like
313 BS39.

314 **Outlook.** The region flanked by markers S5.89156625-S5.11762464788 on chromosome 5 is useful for deriving DH
315 lines from exotic germplasm using SHGD. The major SHGD QTL identified by Trampe et al. (2020) between
316 positions 91-93 Mb is within this region (S5.86261290-S5.92805032). No obvious linkage drag was found for this
317 SHGD QTL (Verzegnazzi et al. *in preparation*), which is important for using the target region to develop high
318 performing inbred lines. Fine mapping would be desirable to determine the location of this major QTL in more detail.
319 However, since this region is close to the centromere, where recombination is usually suppressed, this is challenging.

320 Moreover, Schneider et al. (2016) reported neocentromere formation on chromosome 5, which is another complicating
321 factor.

322 Different from improving haploid inducers (Trentin et al. 2020), genes controlling SHGD need to be present
323 in breeding populations (Boerman et al. 2020). The first step for applying SHGD in breeding programs will be
324 introgression of these genes into elite germplasm. This requires initial crosses with a SHGD donor. Second cycle
325 selection of DH lines should already benefit from increased efficiencies of DH line development due to SHGD. A
326 recurrent selection approach to introgress haploid male fertility was presented by Molenaar et al. (2019). Recurrent
327 selection for haploid male fertility resulted in a substantial improvement in SHGD. The identification of the major
328 SHGD loci in A427 and the information about the absence of linkage drag with the SHGD QTL makes the
329 introgression of it in breeding populations even more straightforward (Boerman et al. 2020), with or without using
330 marker-assisted selection.

331 Producing DH lines with SHGD means that all lines would carry the alleles because just the lines that shed
332 pollen will produce seeds. The exclusive use of a SHGD system to develop inbred lines increases the risk of fixing
333 genome regions such as on chromosome 5 identified in this study. However, being able to accomplish SHGD with
334 alleles at one or a few QTL makes this approach feasible in combination with marker-assisted backcrossing for
335 efficient introgression into elite material, in contrast to relying on minor QTL reported in other studies (Yang et al.
336 2019).

337

338 **Author Contributions.** ALV, UKF, TL design the project and performed the experiments. ALV, IGS, MDK, MH,
339 JC, VCA, LTZ, NB analyzed the data. ALV, IGS wrote the manuscript. All authors read and approved the final
340 manuscript.

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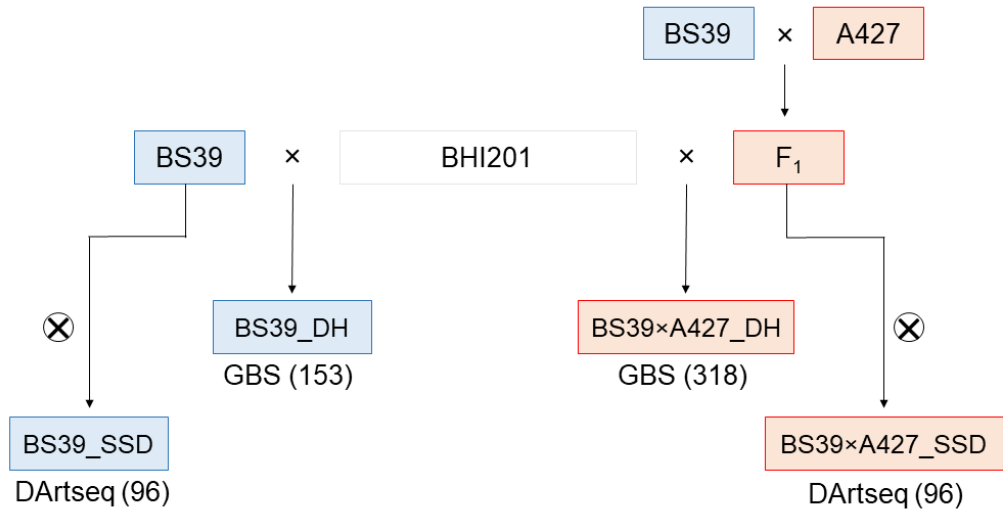
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1 **Table 1.** Significant SNPs identified in the FarmCPU model and in the logistic regression

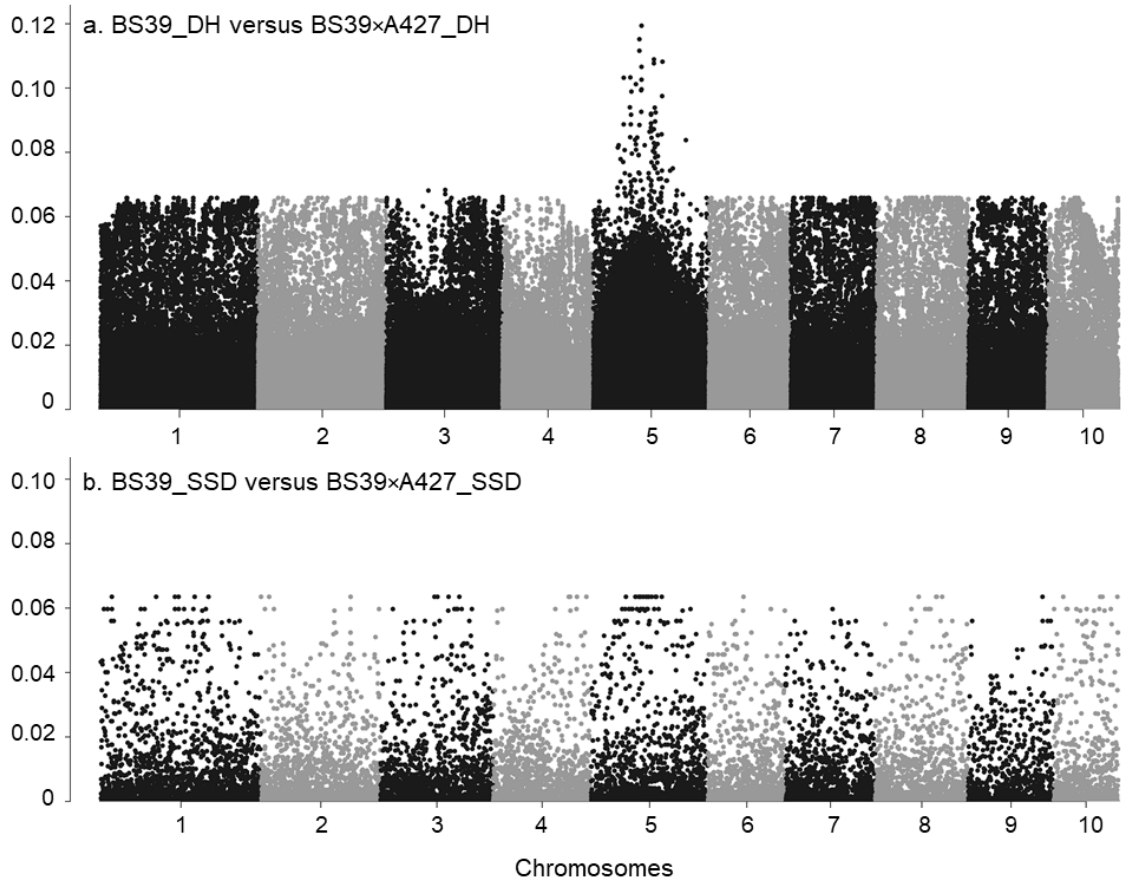
Farm CPU Model				
Inbred lines	SNP	Chr	Position	p-value
BS39×A427_DH vs BS39_DH	S5_90859140	5	90859140	4.27x10 ⁻²³
	S7_1286028	7	1286028	8.16 x10 ⁻⁵
	S1_115866538	1	115866538	0.0007927
Logistic Regression				
Inbred lines	SNP	Chr	Position	P.value
BS39×A427_DH vs BS39_DH	S5_90859140	5	90859140	< 0.0001
	S7_1286028	7	1286028	< 0.0001
	S1_115866538	1	115866538	0.2035

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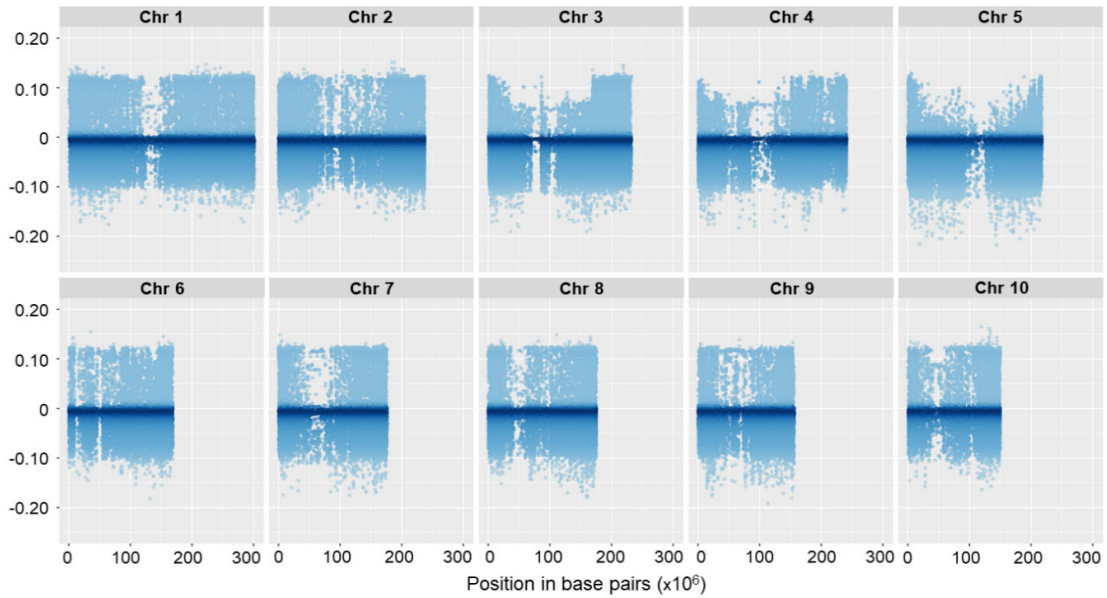
4 **Figure 1.** Breeding scheme used to derive doubled haploid (DH) and single seed descent (SSD) inbred lines from
 5 BS39 and the cross between BS39 and A427. Genotyping method and the number of inbred lines derived in each
 6 process are shown.



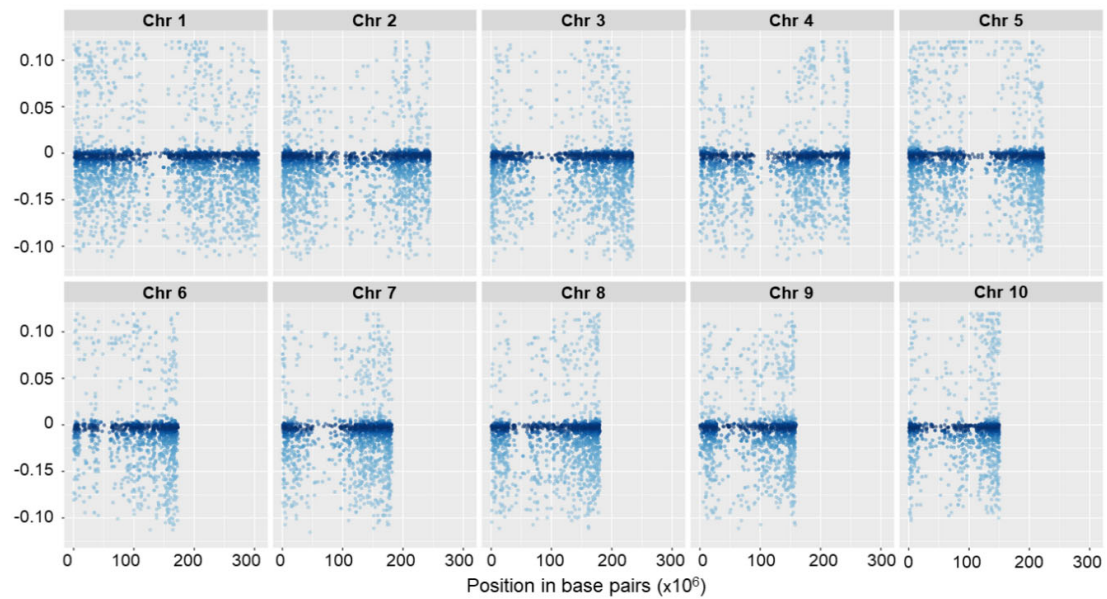
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8 **Figure 2.** Genetic differentiation (F_{ST}) comparison between (A) BS39_DH versus BS39xA427_DH, and (B)
 9 BS39_SSD versus BS39xA427_SSD across chromosomes (x-axis) with the F_{ST} value on the y-axis.

a. BS39_DH versus BS39×A427_DH

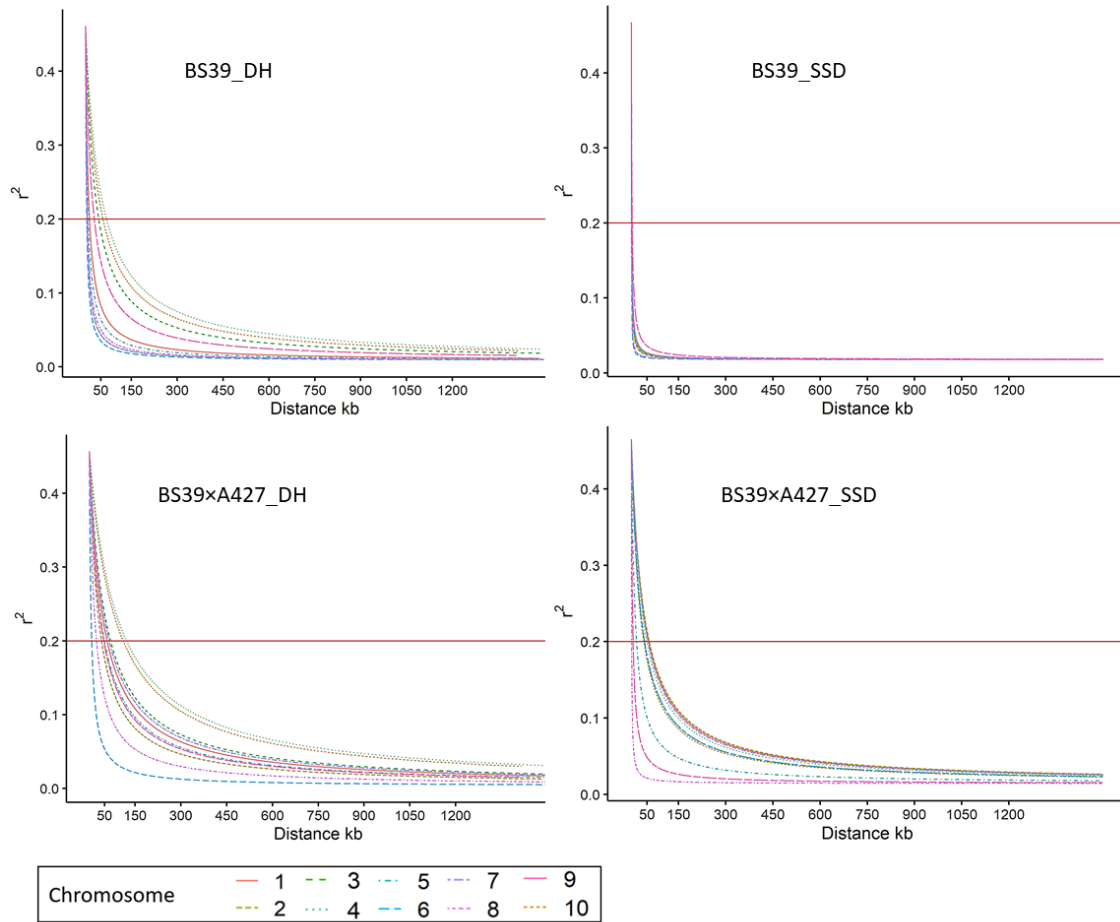


b. BS39_SSD versus BS39×A427_SSD



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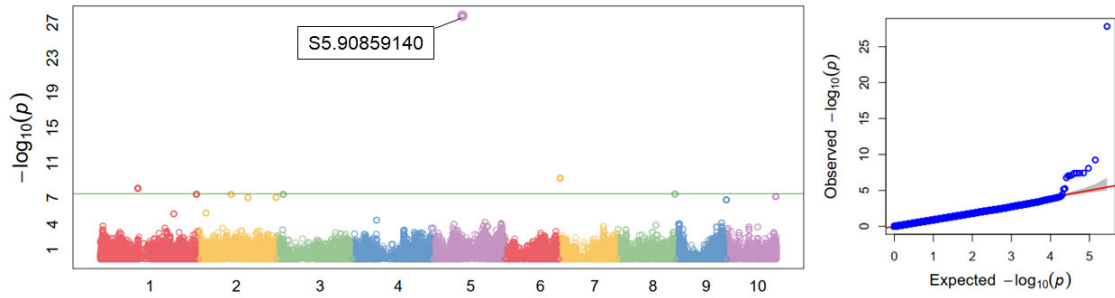
11 **Figure 3.** Gene diversity (*HS*) comparison by chromosome between (A) BS39_DH versus BS39×A427_DH and (B)
 12 BS39_SSD versus BS39×A427_SSD. BS39_DH and BS39_SSD are baselines (with their *HS* values adjusted to
 13 zero). The differences between baseline sets and their respective pairs are represented by blue dots. Dots above zero
 14 represent a higher *HS* in the baseline's pair for the chromosomal region. Dots below zero represent a lower *HS* in the
 15 baseline's pair.



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17 **Figure 4.** Linkage disequilibrium decay across the 10 maize chromosomes for BS39_DH, BS39_SSD,

18 BS39xA427_DH, and BS39xA427_SSD.

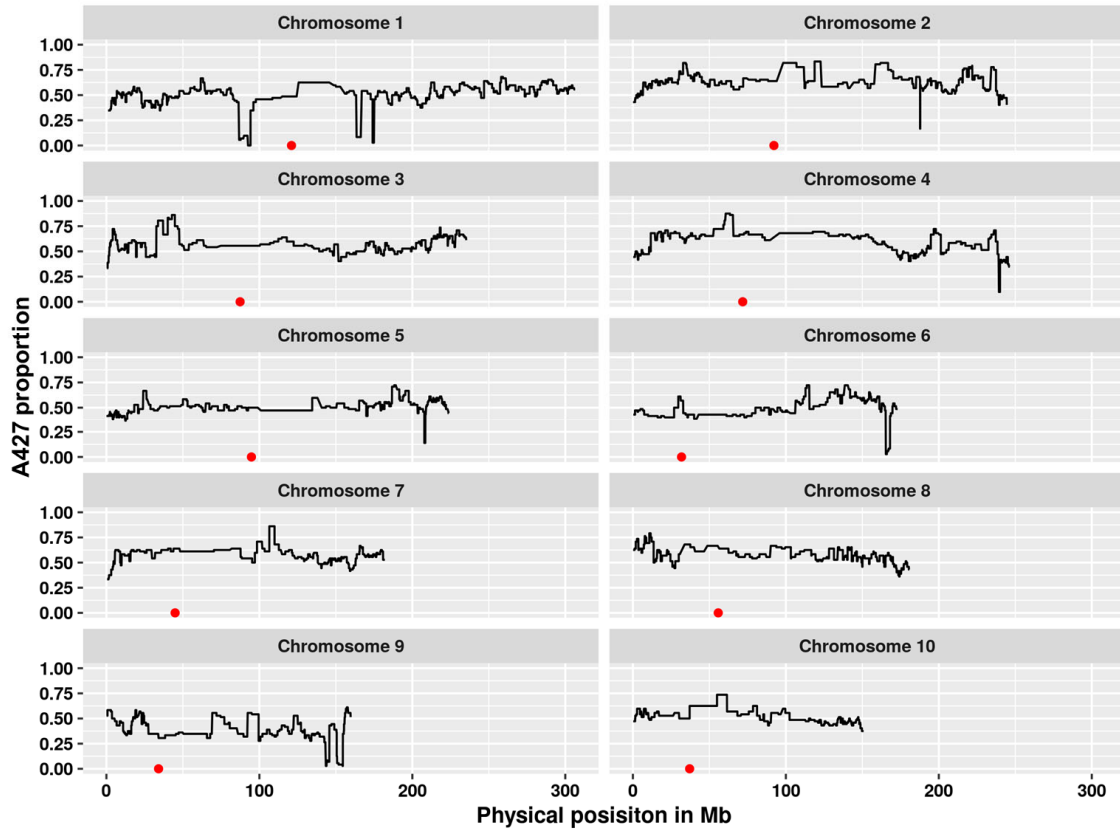


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20 **Figure 5.** Manhattan plot (left) and QQ-plot (right) of the FarmCPU results for the contrast between BS39_DH and

21 BS39×A427_DH. The green horizontal line denotes a p-value of 4.13×10^{-8} , corresponding to the FDR-corrected p-

22 value of 0.05.



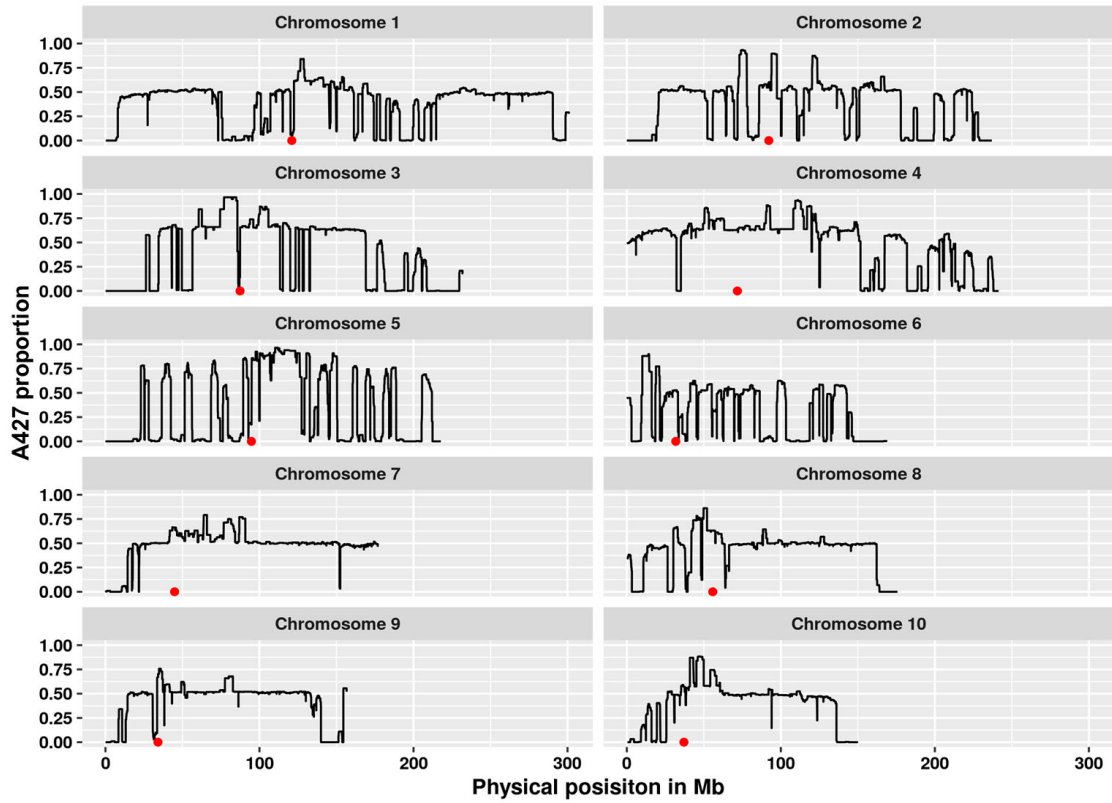
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Figure 6. Haplotype sharing with the A427 inbred line within BS39×A427_SSD lines by chromosome. On the x-axis is chromosome length and on the y-axis the percentage of contribution of A427 genome. Red dots represent the centromere position in each chromosome.



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Figure 7. Haplotype sharing with the A427 inbred line within BS39×A427_DH lines by chromosome. On the x-axis

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is chromosome length and on the y-axis the percentage of contribution of A427 genome. Red dots represent the

30

centromere position in each chromosome.

31 **Major locus for spontaneous haploid genome doubling detected by a case-control GWAS in exotic maize**
32 **germplasm**

33

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Table S1. Average composition (from zero to one) of A427 haplotype on BS39×A427_DH and BS39×A427_SSD sets.

Chromosome	BS39×A427_DH	BS39×A427_SSD
1	0.35	0.5
2	0.25	0.61
3	0.22	0.57
4	0.37	0.56
5	0.21	0.51
6	0.17	0.50
7	0.42	0.55
8	0.34	0.56
9	0.33	0.37
10	0.27	0.50

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