### 1 Soybean nitrogen fixation dynamics in Iowa, USA

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### 8 Abstract

9 The rainfed US Midwestern region has deep, fertile soils and leads the US in soybean

10 [Glycine max, (L.) Merr.] production. Biological nitrogen (N) fixation (BNF) contributes a

11 portion of the soybean N requirement, but variability in BNF is poorly understood and

12 estimates of BNF for this region are rare. We established experiments in Iowa, USA to gain a

13 better understanding of BNF and increase its predictability. We collected in-season BNF

14 measurements accompanied by high temporal resolution soil and plant growth

15 measurements. Across two years, two locations and two planting dates, we found that BNF

16 contributed 23-65% of total aboveground N accumulation in soybean. The BNF rate was

17 maximized at the early seed-filling period and varied from 1 to 3 kg N ha<sup>-1</sup>day<sup>-1</sup>. During seed

filling period, the rate of BNF was related to crop growth rate (carbon (C) supply) but not to N accumulation by the reproductive organs (N demand). We found that a minimum crop

20 growth rate of 135 kg dry matter  $ha^{-1}day^{-1}$  is required to sustain maximum BNF rates. In

21 contrast to BNF, the soil inorganic N uptake rate was related to seed N demand but not to C

supply. Biomass production was the best predictor of total soybean BNF ( $R^2 > 0.83$ ). On

23 average, 0.013 kg N was fixed per kg biomass produced. Across all trials, the N exported via

24 seed was greater than the N imported via BNF, which suggests that Midwest US soybeans

25 may reduce soil organic matter. We concluded that future research efforts should focus on

increasing C – rather than N – availability during the seed filling period towards improving
 both grain yields and environmental sustainability.

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Keywords: crop growth rates, soil nitrate, soil water, biomass, N balance

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#### 42 **1 Introduction**

43 Two sources of nitrogen (N) contribute to total soybean N uptake: biological N fixation

44 (BNF) and soil inorganic N. There is tremendous variation in the amount of N that is derived

45 from BNF versus the soil. According to Ciampitti and Salvagiotti (2018), BNF contributes

46 on average 55% of the total N accumulated in above ground biomass (range: 0-94%).

- 47 Uncertainty about BNF hinders decisions about N fertilizer management for soybean as well
- 48 as the implementation of N management decision programs that aim to reduce environmental
- 49 N losses (Christianson et al., 2012; McLellan et al., 2018).
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Estimating and explaining variations in the amount and timing of BNF remains a challenge 51 52 (Liu et al., 2011). Multiple factors contribute to the variability in BNF including water 53 availability (Purcell et al. 2004), soil fertility (Gelfand & Robertson, 2015), weather (George 54 et al., 1988), soil management (Oberson et al., 2007), presence of effective indigenous 55 rhizobia (Weber et al., 1989; Hungria, 2015) and their interactions (George et al., 1988; 56 Santachiara et al., 2017). For example, Purcell et al. (2004) found great sensitivity of the 57 BNF process with drought; Schipanski et al. (2010) reported high soil inorganic N levels 58 and/or excessive soil moisture to decrease N fixation, while Lindemann and Ham (1979) 59 reported that soil temperatures in the range of 20 to 25 °C are optimal for nodule growth and 60 thus BNF. These environmental factors are dynamic and difficult to control or predict in 61 rainfed systems. In addition, different soybean genotypes differ in their BNF capacity (Patterson & LaRue, 1983; Herridge et al., 1990; Mastrodomenico & Purcell, 2012) creating 62

- 63 a complex situation that makes BNF prediction challenging.
- 64

65 As soybean biomass production and yield increases, the gap between total N accumulation and BNF increases (Ciampitti & Salvagiotti 2018). Even when BNF is high (>300 kg N ha<sup>-1</sup>), 66 high-yielding soybean production fields may require additional N (Ciampitti & Salvagiotti 67 2018). The addition of N fertilizer could maintain high crop growth rates during reproductive 68 stages, which is when the plant rapidly mobilizes N from leaves to seeds (Sinclair & de Wit, 69 70 1976; Wesley et al., 1998). However, with few exceptions (Rotundo et al., 2014; Cafaro La 71 Menza et al., 2017), the majority of research indicates little-to-no benefit of N fertilization on 72 yield (see Mourtzinis et al., 2018 for a synthesis of 207 soybean N-trials in the US).

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74 In addition to crop yields, N balances in soybean production affect soil C balances and long 75 term soil health and sustainability. If soybean is a net user of soil inorganic N (soil inorganic 76 N uptake > BNF), both soil organic C and N stocks will decline (Christianson et al. 2012). 77 Salvagiotti et al. (2008) found that 80% of the datasets collected from 1955–2006 averaged a 78 net-negative N balance of -40 kg N ha<sup>-1</sup>, when only aboveground N was only taken into 79 account. ). Long-term measurements of soil organic C and N stocks in rotated maize-soybean 80 systems confirming a decline in soil C that would be expected if N outputs from soybean 81 seed harvest exceed N inputs from BNF (Poffenbarger et al., 2017).

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83 Biological N fixation is a key to both yield advances and long-term soil sustainability. Our

84 knowledge of BNF relies almost exclusively on estimates of BNF taken at physiological

85 maturity. These end-of-season measurements offer valuable information about N balances.

86 However, they do not provide information about in-season N dynamics, which may help

87 researchers optimize the timing of N fertilizer inputs when and if required by the crop for the

benefit of the production and environmental quality (Liu et al., 2011). Few studies have

- 89 measured BNF throughout the growing season (see Table S1 for an extensive review). In the
- 90 USA, the most recent studies on BNF over the growing season were conducted in irrigated
- 91 production regions such as Kansas, Nebraska and Arkansas (Salvagiotti et al., 2009;
- 92 Mastrodomenico & Purcell, 2012, Cafaro La Menza et al., 2017; Tamagno and Ciampitti,
- 93 2017). In rainfed production regions of USA Midwest which accounts for the 78% of USA
- 94 soybean production and 37% of the global soybean production (USDA-NASS, 2017) the
- 95 few studies available are from 1980's or earlier, when cultivars and management practices
- were different than current pactices (e.g. narrower row spacings, higher poppulations and
   lower to No-N fertilizer application to soybeans; Allos and Bartholomew, 1955; Weber,
- 1966; Taylor, 1980; Berg et al., 1988; de Bruin and Pederson, 2008; USDA-NASS, 2019).
- 99 The USA Midwest region and Iowa in particluar has deep, fertile soils (soil organic matter of
- 100 3–7%) and shallow water tables (Risso et al., 2018), making it quite different from the
- 101 irrigated regions.
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Given the importance of BNF to future yield gains and long-term soil sustainability as well
 as the limited data available from Iowa, we measured soybean BNF during the growing
 season along with the other soil and plant variables such as soil water and nitrate and biomass
 accumulation to:

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- 1. Quantify the amount and fraction of BNF across locations, years and management treatments in Iowa
  - 2. Determine when BNF is maximized during the season and its maximum value
- 3. Explore environmental factors and plant traits that explain variation in BNF
- 113 We hypothesized that BNF in Iowa soils will be less than the 55% mean value (n = 733 data 114 points obtained in BNF studies from 1955-2016; Ciampitti & Salvagiotti, 2018) due to 115 inherently high soil fertility and soil organic N mineralization, which is known to suppress 116 BNF (Schipanski et al., 2010; Gelfand & Robertson, 2014). We also hypothesized that BNF 117 will be maximized during the seed filling period because of the greater demand for N by soybeans during this time (Purcell et al., 2004). Lastly, we hypothesized that plant biomass 118 119 will be the best predictor of BNF among other plant and envrionmental variables (Sinclair et 120 al., 1987; Peoples et al., 2009).
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# 122 **2 Materials and Methods**

# 123 2.1 Field experiments

We conducted two field experiments. In the first experiment, we measured soybean BNF and soil-plant variables at multiple times throughout soybean growth and development using the <sup>15</sup>N isotope dilution method (hereafter referred as the 'isotope dilution experiment') across two planting dates, two locations and two years, equal to eight datasets (Table 1). Different planting dates created different environments (i.e., each planting date had different weather).

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130 In the second experiment, we measured soybean BNF at one-time (physiological maturity),

131 using the <sup>15</sup>N natural abundance method (hereafter referred as the 'isoline experiment'). In

this experiment we used N fixing (i.e., nodulating) and non-fixing (i.e., non-nodulating)

133 soybean isolines, more details on Table 1. This experiment was used to complement results

- 134 from the isotope dilution experiment (Unkovich et al., 2008). However, available isolines
- 135 nodulating and non-nodulating isolines are not modern cultivars whereas for the isotope
- 136 dilution method we used elite germplasm but requires assumptions about the type of soil
- 137 inorganic N taken up by the soybean (i.e., NH<sub>4</sub>, NO<sub>3</sub> or both). Chalk et al. (1996) and
- 138 Unkovich et al. (2008) provide a detailed discussion about these methods
- 139
- 140 The isotope dilution experiment was carried out in 2015 and 2016 in central (42°01'14.9" N, 141
- 93°46'31.2'' W) and northwest Iowa, USA (42° 55' 35.0" N 95° 32' 23.20"W). The USDA 142 soil series (USDA NRCS, 2018) were Nicollet loam (fine-loamy, mixed, superactive, mesic
- 143 Aquic Hapludolls) at the central site and Primghar loam (fine-silty, mixed, superactive, mesic
- 144 Typic Endoaquolls) at the northwest site. Both soils had similar organic matter: 3.8% at the
- 145 0-30 cm, 2.5% at the 30-60 cm, and 1.3% at 60-90 cm depth (measured November 2014).
- 146 According to the USDA soil survey, the available water capacity from 0 to 150 cm depth is
- 147 188 and 161 mm for the northwest and central sites, respectively, and both soils are
- 148 characterized as poorly drained. Both sites had shallow water tables over the growing seasons
- that fluctuated from 50 to 200 cm (Ordonez et al., 2018a). The isoline experiment was carried 149
- 150 conducted nearby (<500 m) the isotope dilution experiment in central Iowa site in 2016.
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152 The central and northwest sites have an average summer temperature of 22.5 °C and 21.6 °C 153 and cumulative precipitation during summer time of 330 mm and 355 mm, respectively (1986–2016; Iowa Environmental Mesonet 2017). The 2015 summer was wet in central Iowa 154 (193 mm more rain than normal) and cold in northwest Iowa (2 °C colder than normal), while 155

- 156 the 2016 summer was warm (1.5 °C warmer than normal) in central Iowa and dry (100 mm 157 less rain than normal) in northwest Iowa (Fig S1).
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159 Our experiments were managed for optimum soil fertility following Iowa State University 160 recommendations (Mallarino et al., 2013), and soybean plots did not receive N fertilizer nor 161 irrigation. We applied pesticides and herbicides as needed to control weeds, pests, and 162 diseases. Soybean followed maize crops every year. In the isotope dilution experiment, soybean was planted in a completely randomized plot design with two planting dates, each 163 164 replicated three times (Table 1). Each replicate was 278 m<sup>2</sup>, and <sup>15</sup>N isotope was applied to 165 unconfined microplots (3 rows x 1.33 m length;  $3.45 \text{ m}^2$ ) situated within each plot. The application of <sup>15</sup>N was sufficient to alter the ratio of <sup>15</sup>N:<sup>14</sup>N of the soil inorganic N pool so 166 167 that it allowed us to use a two-pool mixing model to determine the proportion of BNF, but 168 too little to have a fertilization effect (see Unkovich et al., 2008, section 2.2). In this experiment, we used commercial varieties with maturity groups 2.7 and 2.2 for the central 169 170 and northwest site, respectively (Table 1). The isolines experiment was a two-way factorial 171 complete-block randomized design including N fertilizer addition rate and timing (Table 1).

172 In this experiment, we used soybean isolines nodulating and non-nodulating from Harosoy (maturity group 2.0), and M129 (maturity group 1.4).

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#### 175 2.2 Main experiment to determine BNF using the isotope dilution method

The <sup>15</sup>N isotope dilution method allows collection of time-integrated measurements of BNF 176 177 in the field, providing estimates of the fraction of the total N uptake derived from BNF

178 independent of the crop yield (Chalk & Ladha, 1999). 'Dilution' refers to the decrease of the 179 soil inorganic <sup>15</sup>N label over time by the production of inorganic N from soil organic matter mineralization that is dominated by <sup>14</sup>N (Barraclough, 1991). The major assumption of this 180 method is that the atom%<sup>15</sup>N measurement of inorganic N in soil solution reflects the atom% 181 182 <sup>15</sup>N of the soil inorganic N pool that the plant accesses, replacing the use of the non-fixing reference plant (Chalk et al., 1996). A more detailed description of this method can be found 183 184 in Unkovich et al. (2008).

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In each study year, we applied 99 atom% enrichment <sup>15</sup>NH<sub>4</sub><sup>15</sup>NO<sub>3</sub> isotope tracer at 8.70 kg N 186 187 ha<sup>-1</sup>, one month before planting. This allowed us to avoid the most rapid period of isotope dilution, providing a more stable <sup>15</sup>N signal in the soil inorganic N pool over time. 188 Application of the label followed Sanchez et al. (1987). We used a backpack hand sprayer 189 190 with a compressed CO<sub>2</sub> tank set at a pressure of 60 psi. The labeled isotope tracer was mixed 191 with green dye to help us visualize the applied area and ensure a homogeneous application.

- 192
- 193 2.2.1 Crop measurements 194

195 In each plot during the growing season, we measured: plant development, density,

196 aboveground biomass production and partitioning, leaf area, N concentration and atom%<sup>15</sup>N 197 of the aboveground plant organs. At the end of the growing season, we used a combine

198 harvester to measure final yields (adjusted to 130 g kg<sup>-1</sup> moisture).

199

200 Within each microplot we measured BNF throughout the growing season; seven times in 201 2015 (V3 to R6.5 growth stage, i.e., 3<sup>rd</sup> trifoliate leaf to beginning of physiological maturity; 202 Fehr et al., 1971) and ten times 2016 (V3 to R8 growth stage). At each sampling time, we 203 collected three whole plants (middle row, shoot plus root biomass), leaving five plants in 204 between each sampling to avoid border effects. .Microplot samples were used to measure 205 organ N concentrations and atom% <sup>15</sup>N. Also, we collected 1 m<sup>2</sup> plants from the plots to 206 measure biomass production on an area basis and the partitioning among organs.

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208 Root biomass was also collected at the same dates as aboveground biomass in the microplots 209 in both years by digging an area of 25 x 25 cm with a spade (Gelfand & Robertson, 2014). In 210 2015, the root sampling depth was 30 cm from V3 to the R3 stage and increased over time to 211 80 cm depth at the R6.5 stage. In 2016 root sampling depth was guided by Ordóñez et al. 212 (2018a, b) and ranged from 40 cm at the V3 growth stage to 80 cm at and after the R4 growth 213 stage. Supplementary figure S5 shows root depths for the year 2016. Root depth

214 measurements were taken 1-2 days before BNF measurements.

215

216 In the laboratory, soybean plants from entire plots and microplots were partitioned into seeds, 217 pod shells, leaves, stems and petioles, and roots (including nodules) although not all organs 218 were present at all the sampled growth stages. Soybean organs were oven dried at 60 °C to 219 constant mass, weighed, and ground for C and N analyses using dry combustion elemental 220 analysis (LECO C and N analyzer; LECO Corporation, St. Joseph, Michigan). The N isotope 221 ratio of individual soybean organs was determined with isotope ratio mass spectrometry.

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223 2.2.2 Soil measurements 224

Decagon sensors were used to measure hourly volumetric soil water content and temperature at 15 cm depth (for a description see Togliatti et al., 2017). Soil samples were collected on the same day as plant samples. In each <sup>15</sup>N-labeled microplot, a composite sample of 8 soil cores were collected to measure soil inorganic N concentrations and atom% <sup>15</sup>N. Within each

plot a composite sample of 12 soil cores were sampled for soil  $NO_3$ -N and  $NH_4$ -N

230 determinations. Both samples were made with a 2 cm diameter soil core. Soil samples in the

microplots were collected to the same depth as the roots samples so that our atom% <sup>15</sup>N measurement of the soil inorganic N pool represented the N pool that the plants accessed; as a result, the depth of samples changed during the course of soybean growth. All soil samples were stored and transported in coolers kept at a 4 °C and processed immediately.

234

236 Ammonium (NH<sub>4</sub><sup>+</sup>) and nitrate (NO<sub>3</sub><sup>-</sup>) concentrations of field moist soil samples (plots and 237 microplots) were extracted with reciprocal shaking in 2 M KCl (5:1 solution/soil ratio) and 238 followed the protocol of Hood-Nowotny et al. (2010). The atom% <sup>15</sup>N of the NH<sub>4</sub>+NO<sub>3</sub> in 2 239 M KCl soil extracts from the microplots was determined by isotope ratio mass spectrometry after diffusion to filter paper using reagents, blanks, and check-standards according to Stark 240 241 and Hart (1996). In this procedure, both the NH4<sup>+</sup> and NO3<sup>-</sup> were diffused and analyzed 242 simultaneously which assumes that plants access NH<sub>4</sub><sup>+</sup> and NO<sub>3</sub><sup>-</sup> in proportion to their 243 relative abundances in the soil. Our methods reflect the consensus that annual crops use little 244 organic N, moreover, the discrimination between N isotopes during the process of 245 nitrification is extremely small relative to the difference in <sup>15</sup>N enrichment between the soil 246 inorganic N pool and the atmosphere <sup>15</sup>N pool (Högberg, 1997) and, in N rich agricultural

soils, most  $NH_4^+$  is transformed to  $NO_3^-$  (Booth et al., 2005).

248

# 249 2.2.3 BNF calculations

250

251 The atom% <sup>15</sup>N of total aboveground biomass for each measurement was calculated as a weighted mean based on the proportion of total plant N in each organ. The atom% <sup>15</sup>N of soil 252 253 inorganic N was normalized to a 30 cm depth at which most of the soybean roots were 254 concentrated according with Ordonez et al. (2018a); and then smoothed by fitting a 3-255 parameter exponential decay function over time (Fig. S2) similarly as Chalk et al. (1996). Fitted soil atom% <sup>15</sup>N were integrated linearly using the corresponding proportion of total 256 257 plant aboveground N accumulation at each sampling time (i.e., stage; Fig.1), done for 258 eachplot. Using these data, the amount of BNF was estimated by using the isotope two-pool mixing model for each stageusing the <sup>15</sup>N isotope dilution method, similar as Unkovich et al. 259 260 (2008):

261

262 
$$BNF_{IDM} = \left[\frac{(atom\%^{15}N soybean - atom\%^{15}N air)}{(atom\%^{15}N soil inorganic - atom\%^{15}N air)} \right] * TN$$
(1)

263 264 where

where BNF<sub>IDM</sub> corresponds to the amount of BNF (kg N fixed ha<sup>-1</sup>) determined by the <sup>15</sup>N isotope dilution method in each sampling. The atom% <sup>15</sup>N of soybean corresponds to the integrated soybean aboveground biomass <sup>15</sup>N; atom% <sup>15</sup>N air is equal to 0.3663; atom% <sup>15</sup>N soil corresponds to the soil <sup>15</sup>N enrichment, and TN is the amount of N accumulated in the aboveground biomass measured on each corresponding sampling.

## 270 2.3 Complementary experiment of BNF measured using soybean isolines

For the second experiment we used the <sup>15</sup>N natural abundance method (Shearer and Kohl,
1986), including near-isolines soybeans (i.e., nodulating and non-nodulating) assumed to
have similar plant growth and development. Soybean isolines nodulating are BNF capable,
whereas non-nodulating only to takeup N from the soil. Soybean BNF was only measured at
the beginning of physiological maturity (i.e., R6.5) of Harosoy and M29 isolines.

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### 277 2.3.1 Crop measurements

The measurements collected: atom% <sup>15</sup>N of plant organs, root samples for nodule counts, biomass and partitioning into different organs, tissue C and N concentrations. Methods for these measurements were the same as in section 2.2.1. The harvest area was 1 m<sup>2</sup> per plot. In addition, we counted the presence of nodules in nodulating and non-nodulating soybean isolines and discarded non-nodulating plants that produced nodules.

- 284285 2.3.2 BNF calculations
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The estimation of the BNF amount in the second experiment was done only at the R6.5 stage by input the measured atom% <sup>15</sup>N isotope ratios of non-nodulating and nodulating soybean isolines in a two-pool mixing model and multiplied this fraction by the total aboveground N accumulated in the nodulating plant at R6.5 stage similar as (Unkovich et al., 2008):

(2)

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$$BNF_{INA} = \left[\frac{(atom\%^{15}N \text{ of } nonNod) - (atom\%^{15}N \text{ of } Nod)}{(atom\%^{15}N \text{ of } nonNod) - B}\right] * TN$$

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292

294 where BNF<sub>INA</sub> corresponds to the total amount of BNF (kg N fixed ha<sup>-1</sup>). The atom% <sup>15</sup>N of 295 non-Nod and Nod are the weighted aboveground biomass atom% <sup>15</sup>N from non-nodulating 296 and nodulating soybean plants, respectively, calculated by multiplying the atom% <sup>15</sup>N of each plant organ with its corresponding proportion of total aboveground N accumulation, for 297 each isoline within each plot. The B-values were 0.3655 atom% <sup>15</sup>N (-2.26  $\delta^{15}$ N) for M129 298 isoline (Schipanski et al., 2008), and 0.3656 atom%  $^{15}N$  (-1.97  $\delta^{15}N$ ) for the Harosoy isoline 299 300 (Balboa & Ciampitti, unpublished data, 2018), both reported from aboveground biomass 301 collected at R6.5 stage in N fixing soybean plants. We used the aforementioned B-value to correct for the within-plant fractionation of <sup>14</sup>N and <sup>15</sup>N between aboveground and nodulated 302 303 roots (Unkovich et al., 2008).

- 304
- 305 2.3 Calculations and statistics

We calculated soybean N content for each plant organ by multiplying tissue dry matter by its corresponding N concentration. Soybean protein concentration was calculated by multiplying seed N concentration by 6.25 and expressed as a percentage. Time series aboveground biomass production, N accumulation and cumulative BNF data were fitted to a 3-parameter logistic equation (Archontoulis & Miguez, 2015) using R software (R Core Team, 2018),

311 which allowed us to smooth all data points. Then, using the derivatives, we calculated the

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312 corresponding daily rates for each variable throughout the growing season and for each 313 environment. The non-linear model fitted to the data had  $R^2 > 0.96$  in all cases (Fig. 1).

315 
$$Y = \frac{Ymax}{1 + e^{[-k(t-tm)]}}$$
(3)

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where Y corresponds to the response variable either aboveground biomass production, N accumulation or BNF data (reported in kg ha<sup>-1</sup>). The coefficients *t* corresponds to the day of the year (DOY),  $Y_{max}$  is the asymptotic or maximum Y value, *tm* is the inflection point at each growth, aboveground N accumulation and BNF rate is maximized. And, *k* controls the steepness of the curve (Archontoulis & Miguez, 2015). All parameter values and metrics of goodness of fit are provided in the supplementary materials, Table S2.

324 At physiological maturity we calculated N accumulation efficiency (or nitrogen used 325 efficiency) as the ratio between N accumulation and biomass and N fixation efficiency as the 326 ratio between N fixation and biomass. A partial N balance was calculated as the difference 327 between the aboveground BNF minus N harvested in soybean seeds, reported in kg N ha<sup>-1</sup> 328 (Salvagiotti et al., 2008). The difference between total N accumulation and N fixation rate 329 equals the amount of N derived from the soil. Plant N remobilization rate was calculated as N 330 accumulation rate in seeds and pods minus soil N uptake and fixation rate. Soil inorganic N 331 uptake rate equal to total aboveground N accumulation rate minus BNF rate. To examine 332 relationships between BNF and crop/environmental variables we used regression (PROC 333 REG) in SAS version 9.4 (SAS Institute, Cary, NC, USA).

334

335 Differences in yields, BNF, aboveground N accumulation, %BNF, seed protein, among 336 treatments in the isotope experiment, were deemed significant at  $\alpha = 0.05$ . We used PROC 337 GLIMMIX and the Ismeans statement in SAS which makes a pairwise comparison among 338 the treatment means. The isoline experiment was analyzed as a pseudo factorial experimental 339 design in which we compared all the interactions (isolines x N-rate x N-timing; Table 1) and 340 referred to them as treatments. For statistical analyses, we only used the nodulating isolines 341 of Harosoy and M129 by using PROC GLM in SAS. Additionally, we used contrasts to 342 define differences between isolines, N-rate, and N-timing treatments. Differences were 343 deemed statistically significant at the  $\alpha$ = 0.05 level.

344

## 345 **3 Results**

#### 346 3.1 Environmental characterization and treatment differences

347 Cumulative precipitation from planting to beginning of physiological maturity across the eight environments (2 years x 2 sites x 2 planting dates) varied from 335 to 626 mm 348 349 (coefficient of variation, CV= 25%; Fig. 2b). The cross-environment variation in soil 350 moisture at 0-15 cm depth (CV = 9.5%) was less than precipitation and the average growing season topsoil moisture ranged from 0.22 to 0.30 mm mm<sup>-1</sup>, which is near field capacity. 351 352 Topsoil temperature at 0-15cm depth ranged from 19 to 23°C (Fig. 2a) closely following air temperature. At planting, the average top soil inorganic N ranged from 34 to 130 kg ha<sup>-1</sup>; the 353 highest values were recorded in the year 2015 at the northwest location (both early and late 354 355 plantings) because the maize crop in the previous year was over-fertilized (Fig. 2c).

356

357 Seed yield, total N accumulation, and protein concentrations were significantly different 358 across the eight environments (p<0.001; Table 1). In the isotope dilution experiment, the average yield was 4.3 Mg ha<sup>-1</sup>, total aboveground BNF was 131 kg N ha<sup>-1</sup>, aboveground N 359 360 accumulation was 298 kg N ha<sup>-1</sup>, and seed protein concentration was 32% (Table 1). In the 361 isoline experiment, yield, BNF, and aboveground N accumulation mean values were lower 362 than the isotope dilution experiment (Table 1). The N fertilizer effect on the above variables 363 was not statistically significant (Table 1).

- 364
- 365 3.2 Soybean BNF rates and timing of maximum rate

Crop growth, aboveground N accumulation, and aboveground BNF rates reached maxima at 366 367 different times during the growing season and varied across the eight datasets in the isotope 368 dilution experiment (Fig. 3). Crop growth rates peaked around the R4 stage (DOY  $214 \pm 3$ ; 369 July 27 to August 9), followed by BNF rates at R5 stage (DOY  $222 \pm 4$ ; July 28 to August 370 24) and N accumulation rate at R5 $\pm$ 0.5 stage (DOY 232  $\pm$  4; August 10 to September 4; Fig. 371 3). Seed and pod-wall dry matter accumulation rates were maximized at the R6 stage (data 372 not shown). Maximum crop growth rates varied from 138 to 213 kg dry matter ha<sup>-1</sup> day<sup>-1</sup>. BNF rates from 1 to 3 kg ha<sup>-1</sup> day<sup>-1</sup>, and N accumulation rates from 4 to 6 kg ha<sup>-1</sup> day<sup>-1</sup> (Fig. 373 374 3). Among these processes, N fixation rate was the most variable (3-fold increase in 375 variation) followed by crop growth and N accumulation.

376

377 Soybean biologically fixed 2-times more N during the reproductive stages (R2.5 to R7; 378 average of 89 kg N fixed ha<sup>-1</sup>, 68% N fixed) than during the vegetative stages (Fig. 4; 379 average of 42 kg N ha<sup>-1</sup>, 32% N fixed). Across the two years, the amount of BNF in 380 vegetative stages was similar, but the amount of BNF in reproductive stages was different. 381 For instance, BNF in 2016 during the pod-seed filling period was 1.6-times greater than in 2015 (110 vs. 71 kg N fixed ha<sup>-1</sup>, respectively; Fig. 4). Additionally, measurements collected 382 in 2016 from planting to harvest, showed that BNF beyond R6.5 was small, representing 6% 383 384 of the total N accumulation in 2016.

- 385
- 386 3.3 Correlation between BNF, plant traits and environmental factors

There were strong linear relationships between in-season biomass accumulation, N 387 388 accumulation, and BNF ( $R^2 = 0.83$  to 0.94; p< 0.01; Fig. 5a). The linear nature of the 389 relationship indicates that both N accumulation and BNF are proportional to biomass 390 accumulation at a constant rate. The slope (a measure of efficiency) was 0.035 kg N 391 accumulation per kg biomass and 0.013 kg N fixed per kg biomass (Fig. 5a). The efficiency 392 of BNF and N accumulation were similarly variable (CV = 21%) across the eight datasets. 393 Significant correlations were also found by considering only measurements obtained at 394 physiological maturity from both experiments (i.e., isotope dilution and isoline experiment; 395 Fig. 5b). Compared to the in-season data, the BNF efficiency was similar, but the N 396 accumulation efficiency was lower; both as a function of aboveground biomass (Fig. 5c). The 397 difference in N accumulation efficiency was caused by variation in tissue N accumulation at 398 maturity and time of sampling as evidenced by the multiple N accumulation data points 399 around the stage of maximum biomass production (Fig. 5a). 400

401 Both in-season and end-of-season data indicated that the gap between total N accumulation 402 and BNF increases with increasing biomass production (Fig. 5a and b; biomass > 4,000 kg 403 ha<sup>-1</sup>). The gap becomes quite variable after the R5 stage (Fig. 6 and S4a). To understand the 404 causes of this variability we explored two potential drivers: C supply from photosynthesis 405 (Fig. 6b) and N demand from reproductive organs (Fig. 6c). We found that BNF is related to 406 C supply but not to N demand. The minimum daily crop growth rate required to sustain a maximum BNF rate was around 135 kg dry matter ha<sup>-1</sup> day<sup>-1</sup> (Fig. 6b). At lower crop growth 407 408 rates, BNF decreased. The opposite results were found for the soil inorganic N uptake rate 409 that was related to reproductive organ N demand but not to C supply (Fig. 6c). We also found 410 that when the reproductive organ N demand was not satisfied by BNF and soil inorganic N uptake, N remobilization from vegetative to reproductive plant tissues took place following 411 an exponential pattern (Fig. 6c;  $R^2 = 0.74$ ). Thus, as the crop progresses in reproductive 412 413 stages and the rate of biomass production decreases (Fig. 3), the contribution of BNF to the 414 total N accumulation declines (Fig. 6b). Given that soil inorganic N uptake was not 415 dependent on crop growth rate during seed fill period (Fig. 6b), this created the larger N gap 416 between BNF and total aboveground N accumulation towards maturity (Figs. 5 and 6a). Moreover, an increase in soil N mineralization late in the season due to rainfalls may also 417 418 contributed to the decline in BNF during late reproductive stages.

419

420 Sovbean end-of-season yield data (Table 1) combined with literature observations (Table S1) 421 showed a significant relationship with BNF (Fig. 7a) but with a lower  $R^2$  compared to 422 biomass. Similarly, the relationship between cumulative BNF and total aboveground N 423 accumulation was significant (Fig. 7b). On the other hand, harvest index, partial N balance, 424 N accumulation efficiency and seed protein concentration were not significantly related to 425 BNF (Fig. 7). Regarding environmental factors, regression analysis between BNF and 426 average soil temperature, moisture, N, and radiation during the growing season indicated no 427 significant relationships in the isotope dilution experiment (Fig. S3). Thus, among several 428 factors explored in this study, the plant factors, and in particular biomass, were the best 429 predictors of BNF.

430

## 431 4 Discussion

## 432 4.1 Comparison between our BNF measurements and literature data

433 Across the eight environments, BNF accounted for 45% (sd  $\pm 13\%$ ) of the total aboveground 434 N accumulation, which is below the mean value of 55% (sd  $\pm 21\%$ ) reported by Ciampitti and Salvagiotti (2018). These results are not surprising given that Iowa soils have high rates 435 436 of N mineralization (Osterholz et al. 2017) and high levels of inorganic N are known to 437 suppress BNF (Schipanski et al., 2010). However, the variability around the observed BNF average value was high (range: 73 to 176 kg N fixed ha<sup>-1</sup> day<sup>-1</sup>), which demonstrates that use 438 439 of an average value for BNF can lead to unreliable N budget calculations. Typically, N 440 budget calculations assume the amount of N harvested in the seed is equal to the amount of 441 BNF (IPNI, 2012). We believe the use of biomass data to estimate BNF could improve 442 accuracy in N-budget calculations (see regressions in Figs. 5). 443

Based on previous estimates of soybean BNF in Iowa, our results demonstrate that theproportion of BNF to total aboveground N accumulation has not changed, but the total

amount of BNF has increased by almost 100% (Webber, 1966; Berg et al. 1988). The

447 differences could be attributed to the higher yield levels, which also integrates genotypic,

448 environmental and management differences (cultivars, planting density, etc.; Balboa et al.,

449 2017). Moreover, soybean protein concentrations in our study were in general low, but fall

450 within the range of the latest report for soybean in USA Midwest reported by Tamagno et al.

- 451 (2018) and Assefa et al. (2019).
- 452

### 453 *4.2 Time when BNF is maximized during the season and the maximum value*

454 In this study, BNF was maximized early in the seed filling period and then decreased 455 probably by a lower daily C supply from photosynthesis despite the high N demand imposed by seed N accumulation during the middle-late seed fill period (Figs. 3b and 6). In the 456 457 literature, the timing of BNF rate maximization is not consistent. Some studies report 458 maximum rates during seed fill (Deibert et al., 1979; Zapata et al., 1987; Mastrodomenico & 459 Purcell, 2012), while others report maximum rates around flowering (Lawn & Brun, 1974; Thibodeau & Jaworski, 1975; George & Singleton, 1992). The reason for the inconsistency 460 461 could be related to genotype, management, environmental differences among the 462 aforementioned studies or even methodological issues such as method used to estimate BNF 463 or method used to derive rates (e.g. use of primary data or use of a nonlinear equation). It 464 should be noted that the period around flowering coincides with the period of maximum 465 nodule activity (Guafa et al., 1993; Gan et al., 1997; 2002), while the seed filling period coincides with the time of highest N accumulation by soybean plants (Hanway & Weber, 466 467 1971; Harper & Gibson, 1984; Purcell et al., 2004).

468

469 Our estimates for the maximum BNF rate fell within the lower range suggested by Unkovich 470 and Pate (2000) and other investigators (3.8 kg N fixed ha<sup>-1</sup> d<sup>-1</sup>; Zapata et al., 1987; 471 Mastrodomenico & Purcell, 2012). Our estimate was half that compared to a rate of 5 kg N 472 fixed ha<sup>-1</sup> d<sup>-1</sup> measured in a sandy and low organic matter soil in Florida (DeVries et al., 1989), but similar to 2.7 kg N fixed ha<sup>-1</sup> d<sup>-1</sup> rate estimated in irrigated fields in Nebraska by 473 474 Salvagiotti et al. (2009). We atribute this difference to the high soil organic matter levels of 475 our experimental sites. Chen et al. (2016) reported that crop models are very sensitive to the 476 potential N fixation rate.

477

478 The maximum N accumulation rate was reached soon after the maximum BNF rate (Fig. 3b). 479 We attributed this to the contribution of soil inorganic N during the seed fill period (Fig. 6c). 480 We estimated a maximum soil inorganic N uptake rate of 4.6 kg N ha<sup>-1</sup> day<sup>-1</sup>, which is 481 comparable to estimates from a previous study of maize in this region (Osterholz et al. 2017). 482 In our experiments, air and soil temperatures were similar between the vegetative and 483 reproductive periods, but precipitation was somewhat higher during the reproductive stages 484 thus favoring soil organic matter mineralization (Fig. 2b). During seed fill, most of the high 485 C-to-N ratio maize residue from the previous crop that immobilizes N during decomposition 486 is smaller compared to early vegetative stages. This could mean that more N from soil 487 organic matter mineralization was available for root N uptake during seed fill period as 488 shown in Fig. 6. During that period the plant demand for N was high, which stimulated high 489 soil N uptake rates (Fig. 6c).

490

491 Before seed fill, both BNF and soil inorganic N uptake were related to crop growth rate ( $R^2 >$ 

492 0.58; data not shown), however, during seed fillBNF was more sensitive to crop growth rate 493 than the soil inorganic N uptake process (Fig. 6). Previous studies have focused on N 494 fertilization additions as a way to increase N accumulation and thus yields but without 495 success (Mourtzinis et al., 2018). Nitrogen fertilization alone cannot maintain high crop 496 growth rates if other factors such as soil moisture are limiting photosynthesis. Results from 497 this study suggest that more focus should be placed on increasing C availability (green and 498 healthy canopy and soil moisture near field capacity) rather than just soil inorganic N 499 availability towards increasing fixation. Increasing C will require a systems approach to 500 concurrently evaluate and optimize genotype x management x environment interactions. For 501 example, Boote et al. (2003) demonstrated the impact of different plant traits (e.g. specific 502 leaf weight, root front velocity) on soybean yields under different management scenario. An 503 increase of BNF will have positive effects on both yield and N budgets, thus on 504 environmental sustainability (Fig. 6).

505

#### 506 4.3 Environmental and plant factors explain BNF variability

507 We found that soybean biomass was the best predictor of BNF compared to the many other 508 explanatory variables that we tested (Figs. 7 and S3). The close link between BNF and 509 biomass has been observed in previous studies for many legume species (Peoples et al., 2001, 510 2009; Soltani et al., 2006; Salvagiotti et al., 2008; Unkovich et al., 2008). The reason for the 511 strong relationship between BNF and biomass is complex. BNF can increase leaf nitrogen 512 and thus leaf photosynthesis (up to a point) and thus biomass production. On the other hand, 513 a high photosynthesis can increase C supply and thus enhance BNF. The feedback between 514 BNF and photosynthesis is detailed in Kaschuk et al. (2010). In a field study, De Bruin et al. 515 (2010) found that soybean yields in Iowa increased with increasing photosynthetic rate 516 during grain fill period. Another reason for the strong coupling between BNF and biomass is 517 that some of the factors affecting BNF (e.g. soil moisture) also affect leaf photosynthesis and 518 biomass production in the same direction (Peoples et al., 2009; Liu et al., 2011).

519

520 Analysis of environmental factors such as soil moisture-temperature-inorganic N and 521 radiation effects on cumulative BNF were not significant, possibly due to few data points 522 available (Fig. S3). Future studies need to explore more diverse environments in order to 523 sufficiently study environmental response on soybean BN. Nevertheless, the observed trends 524 agree with literature findings that there is a negative relationship between BNF and soil 525 inorganic N (Purcell & Sinclair, 1990; Salvagiotti et al., 2008; Schipanski et al., 2010), and a 526 positive relationship between BNF and increasing soil temperature or moisture (Lindemann 527 & Ham, 1979; Purcell et al., 2004).

528

529 Of particular note is that our study region is characterized by shallow water tables that vary 530 from 50 to 200 cm below the soil surface (Ordonez et al., 2018a). We believe this is one 531 reason for top soil moisture (0-30 cm) being at 70 to 95% of field capacity over the entire 532 growing season (Figs. 2 and S3). As mentioned earlier, precipitation was greater during the 533 second half of the growing season, benefiting soil N mineralization. However, higher 534 precipitation during that period probably caused water tables to rise and created oxygen 535 deficit conditions. The impact of excessive moisture, and thus depletion of oxygen on the BNF process is not well understood (Bacanamwo & Purcell, 1999; Schipanski et al., 2010), 536 537 therefore it deserves further research given also the expected increase in future spring

- 538 precipitations in this region (Mellilo, 2014).
- 539

540 Data from this study, as well as data from 15 other studies showed a net negative partial N 541 balance (seed N export greater than BNF; Tables 1 and S1). Only four studies resulted in

542 positive partial N balance, all of which used the ureide method to quantify BNF (Table S1).

543 In our calculations of partial N balance we excluded the contribution of roots. Even if we 544 include the contribution of roots N ( $\sim$ 31 ± 4 kg N ha<sup>-1</sup>; Ordonez unpublished data from Iowa;

545 n=12 environments during 2016–2018 in Iowa), the N balance is still negative. The inclusion

of roots N offset the negative balance by 15%. Beyond our experiments, Ciampitti and 546

547 Salvagotti (2018) reported an average negative aboveground N balance of 47 kg N ha<sup>-1</sup>. For

548 this balance to be neutral or positive the root N should be > 47 kg N/ha, which is unlikely based on our measurements and also literature data (Gelfand & Robertson, 2015)

- 549
- 550

551 The two approaches we used to measure BNF in soybeans are considered among the most 552 accurate field methods (Unkovich et al., 2008). We consistently measured negative partial N balances in both experiments using different methods, confirming recent findings that N 553 harvested in soybean seed exceeds BNF (Salvagiotti et al., 2008; Ciampitti & Salvagiotti, 554 555 2018).

556

#### 557 **5** Conclusion

558 The new in-season data and analyses presented here for deep, fertilize soils in the rainfed US

559 Midwest soybean production region fill an important knowledge gap and have potential to

560 assist, N budget calculations, and support crop growth model enhancement and testing.

561 Major findings from this research include: i) soybean BNF contributed 45% (range: 23–65%)

562 of the total aboveground N accumulation in Iowa, a region with deep fertilize soils and

shallow water tables; ii) soybean N fixation can supply up to 3 kg N ha<sup>-1</sup>d<sup>-1</sup> while soil 563

inorganic N can supply up to 4.6 kg N ha<sup>-1</sup>d<sup>-1</sup> in this region. The BNF rate was more sensitive 564 565 to C supply from photosynthesis rather than N demand during the seed fill phase; iii) biomass

566 accumulation was the best predictor of BNF among other variables tested such as soil

567 inorganic N or moisture. Soybean partial N budget analysis, N in seeds minus BNF, indicated

568 a negative balance even when we include the root N. Future research efforts should focus

569 more on increasing C availability during the seed fill period rather than just soil inorganic N

570 availability to produce greater soybean yields and maintain environmental sustainability.

571

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#### Tables

No.	Location (L)	Year (Y)	Planting date	R6.5 Date	Plants per	N-Rate (kg/ha)	Timing- N	Cultivar name	()	Yield Ag/ha)	BNF (kg N/ha)	N (k	accum. g N/ha)	BNF (%)	Pro		
Isoton	e dilution exper	iment	(PD)		m <sup>2</sup>	(8,)			(-	-8)	(8)	(	6)	()			
130100	Control	2015	1 Mov	1 Con	27	0	nona	D02V75		4.0 1	154		201	40	24		
1	Central	2015		1-Sep	57	0	none	P92175		4.2cd	154		321c	48	3:		
2	Central	2015	25-May	11-Sep	37	0	none	P92Y/5		3.4e	109		311e	35	42		
3	Central	2016	6-May	25-Aug	30	0	none P92Y75		4.4bc		156	241g		65	32		
4	Central	2016	3-Jun	15-Sep	42	0	none	P92Y75	3.6e		150		276f	54	30		
5	Northwest	2015	30-Apr	26-Aug	37	0	none	P22T61R	4.9a		4.9a		115		327 <mark>b</mark>	35	33
6	Northwest	2015	25-May	10-Sep	36	0	none	P22T61R	4.1d		73		313 <mark>d</mark>	23	34		
7	Northwest	2016	7-May	13-Sep	29	0	none	P22T61R	4.8a		113		241 <mark>h</mark>	47	27		
8	Northwest	2016	1-Jun	13-Sep	32	0	none	P22T61R		4.6ab	176		353 <mark>a</mark>	50	20		
								Avg.	4.30		131	298.00		45	32.		
							p-	value (Y*L*PD)	< 0.001		0.167	<	0.001	0.124	0.0		
								CV (%)	12.95		37		13.70	29	17.		
Isoline	es experiment								Nod.	Non-nod.	Nod.	Nod.	Non-nod.	Nod.	Nod.		
9	Central	2016	20-May	6-Sep	32	0	none	Harrosoy (nod)	3.0	0.9	127	168	44	76a	29		
10	Central	2016	20-May	6-Sep	36	0	none	M129 (nod)	3.1	1.7	108	172	97	63ab	30		
11	Central	2016	20-May	6-Sep	33	135	planting	Harrosoy (nod)	2.3	2.4	69	175	138	39c	33		
12	Central	2016	20-May	6-Sep	35	135	planting	M129 (nod)	3.4	2.1	135	222	158	61ab	30		
13	Central	2016	20-May	6-Sep	37	135	R1	Harrosoy (nod)	2.4	2.0	70	175	112	40c	30		
14	Central	2016	20-May	6-Sep	36	135	R1	M129 (nod)	2.1	1.2	68	153	86	45bc	33		
15	Central	2016	20-May	6-Sep	40	135	R4	Harrosoy (nod)	2.1	1.9	88	148	108	60ab	31		
16	Central	2016	20-May	6-Sep	38	135	R4	M129 (nod)	3.4	1.6	81	188	73	43c	30		
								Avg.	2.7		93	175		53	31		
								Factor / p-value									

**Table 1.** Experimental details such as location, year, planting date, beginning of physiological maturity date (R6.5), stand count, cultivar name, yield, cumulative aboveground N fixed until beginning of physiological maturity, total aboveground N accumulation at R6.5, percent of total N derived from N fixation, and seed protein concentration for both isotope dilution and isoline experiments (nodulating and non-nodulating).

Isoline (I)	0.088	0.574	0.516	0.899	0.52
N-time (N)	0.952	0.138	0.739	<0.001	0.685
R-rate (R)	0.991	0.505	0.699	0.282	0.373
(I*N*R)	0.819	0.315	0.95	0.001	0.878
CV (%)	21	29	13	25	5

(\*) Yields reported at 130 g kg<sup>-1</sup> moisture.



**Figure 1.** Cumulative soybean aboveground biomass matter (panels a), and aboveground N accumulation (panels b) throughout the growing season in all isotope dilution datasets. Each data point is the average of three replications. Red line represents the modified logistics function  $[Y = Ymax/(1 + exp^{-k(t-tm)})]$  fitted to the data. All parameters coefficients for each graph are located in Table S2.



**Figure 2.** Weather and soil conditions at the experimental sites: soil temperature (a), and volumetric soil moisture at 0-15cm depth (left y-axis, b), precipitation (right y-axis, b), and soil inorganic N concentration at 0-30cm depth (c). Light grey solid line represents air temperature (a), blue and green dots represent measurements for early and late planting date treatments, blue bars are precipitation measurements throughout the growing season in both sites during 2015-2016.



**Figure 3.** Left panels: estimated rates of crop growth (a), aboveground BNF (b), and aboveground N accumulation (c) as a function of day of year (DOY) from the isotope dilution experiment in all eight environments (2 sites x 2 planting dates x 2 years). Right panels: variation of actual rates of soybean processes as a function of different growth stages.



**Figure 4.** Distribution of soybean aboveground N accumulation derived from two sources (BNF and soil inorganic N) measured in central- and northwest-Iowa in early and late planting treatment during 2015-2016, respectively, from the isotope dilution experiment. Proportions reported from planting to R2.5 stage, and from R2.5 stage to R6.5 stage. Values are means of three replications per year, site and planting date.



**Figure 5.** Top panels: In-season soybean aboveground N accumulation (blue squares) and aboveground BNF (green circles) as a function of aboveground biomass accumulation for isotope dilution experiment (a). Bottom left panel: End-of-season, at beginning of physiological maturity, soybean N accumulation and BNF as a function of biomass production for the isotope and isolines experiments (blue open squares for N accumulation, and green open circles for BNF) (b). Bottom right panel: Efficiency of N accumulation and BNF at beginning of physiological maturity for each experimental treatment (x-axis numbers correspond to treatment numbers listed in Table 1) (c).



**Figure 6.** Top right panel: soybean aboveground BNF versus aboveground N accumulation rate (BNF and soil inorganic N uptake) before and after R5 stage (a). Top left panels: aboveground BNF and soil inorganic N uptake rate versus daily crop growth rate (carbon supply) during seed fill period (b). Bottom left panel: aboveground N remobilization, BNF, and soil inorganic N uptake rates versus seed and pod-wall N accumulation rate (N demand) during seed fill period, respectively (c). N remobilization was calculated as seed and pod-wall N accumulation rate minus the total aboveground plant N accumulation rate. Polynomial and bilinear fits illustrated in the above panels were significant at p<0.001. Values are means of three replications per year, site and planting date obtained from the isotope dilution experiment. The rates were calculated using the actual sampling dates and data derived from the logistic equation.



**Figure 7.** Top panels: Soybean yield (a), total aboveground biomass N accumulation (b), harvest index (c). Bottom panels: N balance (BNF minus seed N uptake; panel d), N use efficiency (e), and seed protein concentration (f) as a function of cumulative aboveground biomass BNF measured at the beginning of physiological maturity of of measured observations from both experiments isotope dilution and isolines (green triangles, data point is mean n=3) and literature observations (blue circles). Linear regression was fitted to both datasets, black solid line denoted statistically significance (p-value < 0.05).