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Destabilisation of T cell-dependent humoral immunity in sepsis

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Abstract

Sepsis is a heterogenous condition defined as life-threatening organ dysfunction caused by a dysregulated host response to infection. For some, sepsis presents as a predominantly suppressive disorder, whilst others experience a pro-inflammatory condition which can culminate in a 'cytokine storm'. Frequently, patients experience signs of concurrent hyper-inflammation and immunosuppression, underpinning the difficulty in directing effective treatment. Although intensive care unit mortality rates have improved in recent years, one-third of discharged patients die within the following year. Half of post-sepsis deaths are due to exacerbation of pre-existing conditions, whilst half are due to complications arising from a deteriorated immune system. It has been suggested that the intense and dysregulated response to infection may induce irreversible metabolic reprogramming in immune cells. As a critical arm of immune protection in vertebrates, alterations to the adaptive immune system can have devastating repercussions. Indeed, a marked depletion of lymphocytes is observed in sepsis, correlating with increased rates of mortality. Such sepsis-induced lymphopenia has profound consequences on how T cells respond to infection but equally on the humoral immune response that is both elicited by B cells and supported by distinct CD4⁺ T follicular helper (T_{FH}) cell subsets. The immunosuppressive state is further exacerbated by functional impairments to the remaining lymphocyte population, including the presence of cells expressing dysfunctional or exhausted phenotypes. This review will specifically focus on how sepsis destabilises the adaptive immune system, with a closer examination on how B cells and CD4⁺ T_{FH} cells are affected by sepsis and the corresponding impact on humoral immunity.

Keywords:

Sepsis; Adaptive immune system; Antibodies; B cells; T cells; T follicular helper cells; Immune suppression

1 Sepsis

2 The inflammatory response to infection is a fundamental aspect of immune protection,
3 aiming to rapidly combat the invading pathogen whilst causing minimal damage to the host
4 (1). Under homeostasis, this is a tightly controlled network, and inflammation wanes
5 following resolution of infection. However, the response is not always proportionate to the
6 threat, and an exaggerated reaction can lead to tissue damage, organ failure, and death (2).
7 Indeed, sepsis is defined as life-threatening organ dysfunction caused by a dysregulated host
8 response to infection (3). Sepsis is a heterogenous condition in which the clinical
9 presentation can vary substantially between patients, in part because it can be triggered by
10 different pathogen types, even though the majority of cases are bacterial (4). However, in a
11 large proportion of cases, the infectious organism cannot be identified, with many clinical
12 manifestations of sepsis deemed 'culture-negative' in routine tests (5-8). The health and
13 functional state of the immune system plays an important role in dictating susceptibility to
14 sepsis and the subsequent prognosis. Sepsis in vulnerable populations tends to present as a
15 predominantly suppressive disorder due to an already dampened immune system (9).
16 Patients show reduced capacity to clear the primary infection and indeed any opportunistic
17 pathogens secondary to the initial insult. Such protracted immunosuppression renders
18 patients highly susceptible to nosocomial infections, proving a dominant cause of death. A
19 retrospective trial investigating an association between survival and microbial burden found
20 a significant correlation between late death and positive blood-culture results, particularly
21 regarding opportunistic pathogens (10). At the other end of the spectrum, some individuals
22 experience a predominantly pro-inflammatory condition which culminates in a 'cytokine
23 storm'. Commonly regarded as the hallmark of sepsis, such a response triggers a multitude
24 of innate pathways including the complement and coagulation cascades, which in turn
25 release additional pro-inflammatory mediators (11, 12). The resulting endothelial leakage
26 and intravascular coagulation contribute to systemic damage which itself can be life-
27 threatening. This type of response is typical of sepsis in otherwise young and healthy
28 individuals (13). If the infection is not brought under control, patients frequently experience
29 signs of concurrent hyper-inflammation and immunosuppression (2, 14). This paradoxical
30 phenomenon underpins the difficulty in directing effective immunomodulatory treatment in
31 sepsis.

32 Sepsis is estimated to be the cause of 1 in 5 deaths worldwide (15), identifying it as a
33 bigger threat to life than cancer. Now recognised as a global health priority by the World
34 Health Organization (16), sepsis can affect anyone with the highest-risk groups including the
35 elderly, the immunocompromised, pregnant women, and also the very young. Indeed,
36 statistics from 2017 have demonstrated that almost half of global sepsis cases occurred in
37 children (15). In addition, socioeconomic class is one of the greatest risk-factors, with 85% of
38 cases and sepsis-related deaths occurring in low- and middle-income countries (15).
39 Although intensive care unit (ICU) mortality rates have improved in recent years, 40% of
40 survivors are re-hospitalised within 90 days of discharge, and a striking one-third of
41 discharged patients die within the following year (17). Half of post-sepsis deaths are due to
42 exacerbation of pre-existing conditions (18), whilst half are explained by a deterioration of
43 health status as a complication of sepsis, recently coined 'post-sepsis syndrome'. One-sixth
44 of survivors experience post-sepsis syndrome with at least one cognitive, psychological, or
45 physical impairment, and indeed are more prone to recurrent infection, renal failure, and
46 cardiovascular episodes than matched patients hospitalised for other diagnoses (17). As
47 such, sepsis poses a significant medical and financial burden on healthcare services
48 worldwide, with the National Health Service in the United Kingdom alone estimated to face
49 annual costs of >£1 billion (19). Although late-mortality and long-term symptoms following
50 sepsis are well-studied, the causes of sequelae are poorly understood (20). It has been
51 suggested that the intense and dysregulated response to infection may induce irreversible
52 metabolic reprogramming, manifesting in multiple organs. Such alterations may divert
53 metabolism in immune cells, changing how they interact with their microenvironment and
54 respond to subsequent stimuli (21-23).

55 Prompt intervention is crucial to increase chances of survival. Aside from initial
56 infection control, modulation of the immune system is a key aspect of treatment in sepsis
57 (24). There have been no major therapeutic breakthroughs in the last 30 years, with current
58 strategies targeting general aspects of the immune system rather than specifically targeting
59 individual elements (25, 26). Although promise has been shown in multiple pre-clinical trials,
60 treatments often fail to advance past the stage of large-scale randomised clinical trials. This
61 failure is due in part to the vast range of disorders with diverse characteristics that are
62 encompassed by the term 'sepsis'. The resulting inappropriate selection of patients results in
63 treatments that have shown potential in early studies being disregarded. The overall effect

64 poses a huge challenge in translating research to clinical practice. As a dysfunctional
65 response to infection by definition, there is an essential requirement to uncover the
66 mechanisms underpinning the destabilisation of the immune response to infection in sepsis,
67 to explore new targets for drug development and produce effective ways of modulating the
68 immune system long-term post-recovery. Surprisingly, clinical trials blocking excessive
69 inflammation have proved unsuccessful in reducing mortality rates (27). Instead, recent work
70 has suggested more promise in exploring therapies aiming to restore the activity of
71 'exhausted' or suppressed immune cells (28).

72

73 **The adaptive immune system**

74 The immune response to infection by harmful pathogens in vertebrates utilises two main
75 components, the innate and adaptive immune systems, which cooperate to help eliminate
76 the infection and restore homeostasis. The innate immune system provides a rapid defence
77 strategy that responds to infectious insult in a non-specific manner to quickly address the
78 threat (29). Although a vital first line of defence, the use of pattern- and damage-recognition
79 receptors restricts cells of the innate immune system to recognition of highly conserved
80 microbial structures. Instead, the adaptive immune system supports the initial innate
81 response through the incorporation of cellular (T cells) and humoral (antibodies produced by
82 B cells) components that generate a highly specific response to invading pathogens (29). In
83 addition, the adaptive immune system is able to establish immunological memory and
84 distinguish foreign antigens from self. Autoimmune conditions with devastating effects may
85 arise through impaired ability to separate self from non-self, demonstrating the power of the
86 adaptive immune system (30, 31).

87 Adaptive immunity is governed by classes of highly specialised T cells and B cells,
88 which develop via a common lymphoid progenitor (32, 33). Both T cells and B cells possess a
89 diverse repertoire of antigen-sensing receptors that are generated through the
90 rearrangement of receptor gene segments during somatic recombination. The process,
91 which occurs in the bone marrow for B cells and the thymus for T cells, gives rise to naïve
92 cells which enter the circulation and peripheral lymphoid tissues to patrol for foreign
93 antigens. Two main types of conventional T cells exist: CD8⁺ T cells which kill infected cells
94 following antigen recognition, and CD4⁺ T cells which support CD8⁺ T cell responses and
95 antibody-generating B cells, amongst other functions (34-36).

96 In sepsis, a marked depletion of T cells and B cells is observed, correlating with
97 increased rates of mortality (14, 37-39). Such lymphopenia occurs during the onset of sepsis
98 and has been found to persist up to 28 days post-admission to intensive care (40-42). The
99 majority of sepsis-related deaths occur when lymphopenia is evident, which can persist for
100 years, exposing survivors to opportunistic bacterial infections and reactivating herpesviruses
101 (43, 44). T cells appear to be disproportionately affected by sepsis with CD4⁺ T cells known to
102 decline to levels seen in patients with AIDS (40). Consequently, B cells tend to constitute a
103 greater percentage of remaining lymphocytes, although this does not necessarily translate
104 to enhanced B cell activity as a combination of sustained inflammation by high antigen-load
105 and cytokine activity results in functional changes to remaining cells (40). As such, it has
106 been shown that B cells from patients with septic shock lose their proliferative capacity and
107 display a CD21^{low}CD95^{high} phenotype associated with B cell exhaustion (45).

108 The main causes of lymphopenia in sepsis are not fully understood, nor why this can
109 recover in some patients and not in others. Sepsis-associated apoptosis is thought to be a
110 leading cause of T cell and B cell depletion during sepsis (14, 37, 46-48). Indeed, post-
111 mortem analyses of spleens from septic patients showed significantly higher levels of
112 caspase-3 activity compared to non-septic patients (46). Other potential mechanisms
113 underpinning the observed depletion of lymphocytes are relatively understudied but include
114 reduced production of precursor cells. One study reported a significant depletion of
115 haematopoietic stem cells in a mouse model of group A *Streptococcus*-induced sepsis, which
116 was associated with severe immunological stress and early mortality (49). Additionally, a
117 separate study in humans showed that persistent lymphopenia following cease of initial pro-
118 apoptotic activity correlated with a reduction in common lymphoid progenitor cells caused
119 by osteocyte ablation in septic patients (50). Alternatively, a reduced pool of peripheral
120 lymphocytes could in part be due to increased recruitment to infected tissues, as has been
121 observed in acute lung injury and chronic inflammatory disorders (51-53). Such sepsis-
122 induced lymphopenia has profound consequences on how T cells respond to infection but
123 equally on the humoral immune response that is both elicited by B cells and supported by
124 CD4⁺ T follicular helper (T_{FH}) cells. The immunosuppressive state is further exacerbated by
125 functional impairments to the remaining lymphocyte population, including the presence of
126 cells expressing dysfunctional or exhausted phenotypes (14, 45, 54-56) (Figure 1). The
127 majority of studies examining the state of immune dysfunction during sepsis in humans

128 involve analysis of peripheral blood samples, with findings summarised in Table 1. This
129 review will specifically focus on how sepsis destabilises the adaptive immune system, with a
130 closer examination on how B cells and CD4⁺ T_{FH} cells are affected by sepsis and the
131 corresponding impact on humoral immunity.

132

133 **B cells**

134 The emergence of adaptive immunity dates back 500 million years, with the added
135 protective value of a specific combinatorial receptor system increasing survivability in
136 vertebrates (57). Within this time, B cells have evolved several strategies for increasing the
137 diversity of their receptors, enabling identification of almost any antigen (58). In addition to
138 the initial rearrangement of receptor segments during somatic recombination, B cells
139 increase their receptor variability through processes such as somatic hypermutation, gene
140 conversion, and class-switch recombination (59). These processes vastly amplify the
141 immunoglobulin repertoire and contribute to a fine-tuned adaptive response. During
142 development in the bone marrow, Pax5 is known to be the master transcription factor
143 behind B cell lineage commitment, acting alongside E2A, EBF1 and IKZF1 (60, 61). Pax5 is a
144 key regulator of many genes important for B cell adhesion and migration (CD55, CD157,
145 CD97, Sdc4, CD44), and signalling (PTEN) (62, 63). This has been demonstrated in Pax5
146 deficient mice which have a complete absence of mature B cells in the periphery, with a
147 separate study showing 'dedifferentiation' of B cells to a common haemopoietic progenitor
148 under conditional Pax5 deletion (64, 65). Immature, 'transitional' B cells exit the bone
149 marrow to reach full maturity at peripheral lymphoid sites, completing their development
150 (66).

151 B cells can be divided into sub-types distinguished by their phenotype and
152 individualised functions (67). Naïve B cells have traditionally been described either as B-1 B
153 cells, or conventional B-2 B cells, and together they fulfil a range of critical roles in both the
154 innate and adaptive immune system to assist with antimicrobial defence (68). While the
155 majority of the literature describing B-1 B cells is based on data from mice, a population of
156 CD20⁺ CD27⁺ CD43⁺ CD70⁻ cells has been identified in humans which fulfil key functions
157 characteristic of murine B-1 B cells (69), including the secretion of natural immunoglobulin
158 in the absence of antigenic stimulation (70). These antibodies have a low affinity for
159 pathogens, but nonetheless confer initial protection in an innate-like response. The role of

160 B-1 B cells in humans remains to be clearly defined. However, they may play an important
161 role in bacterial clearance since a subpopulation of CD5⁻ B-1 B cells can generate antibodies
162 against capsular antigens of *Streptococcus pneumoniae* (71). To this end, their reported
163 decline with age may play a part in increased susceptibility to infection (69, 72).

164 Conventional B-2 B cells constitute the majority of mature B cells, and are further
165 categorised dependent on their localisation and role (73). A subset described as marginal
166 zone (MZ) B cells are considered to be innate-like cells, expressing polyreactive B cell
167 receptors (BCRs) capable of binding multiple microbial 'patterns' (74). As such, these cells
168 are strategically positioned in regions prone to frequent microbial exposure such as mucosa
169 and the skin, although circulating MZ B cells have also been reported (75). Their name
170 describes their predominant localisation to a specialised area of the spleen positioned
171 between the circulation and lymphoid compartment. This region, known as the marginal
172 zone, allows rapid activation of MZ B cells upon interaction with pathogens in the blood
173 (76). Their importance in bacterial infections is depicted in individuals following
174 splenectomy, with studies reporting increased risk of infection by encapsulated bacteria (77,
175 78). Their function has been linked to regulation of neutrophil recruitment to the spleen in
176 the early stages of infection, with a study demonstrating MZ B cell-deficient mice to be
177 more susceptible to *Staphylococcus aureus* (*S. aureus*) infection than wildtype (WT) mice
178 (79).

179 Although B cells possess the ability to modulate multiple aspects of immune
180 protection through cytokine secretion and their action as antigen presenting cells, they are
181 most commonly associated with their role in antibody production (68). Follicular (FO) B cells
182 constitute another type of conventional B-2 B cell, occupying the greatest percentage of all
183 B cell lineages. FO B cells differ from MZ B cells through their expression of a highly specific,
184 monoreactive BCR (80). The fate of precursor cells into FO or MZ B cell subtypes is dictated,
185 in part, by the strength of BCR signalling (81), with stronger signalling favouring precursors
186 to follow the FO B cell differentiation pathway. FO B cells are freely circulating cells that
187 home to secondary lymphoid organs, such as lymph nodes and the spleen, where they may
188 differentiate into plasmablasts or short-lived plasma cells upon activation by antigen (82).
189 Antibodies secreted by these cells only display moderate affinity for antigen, but
190 nonetheless are important for facilitating early protection (83). Alternatively, activation may
191 trigger vigorous B cell proliferation, resulting in the formation of specialised microstructures

192 within the B cell follicles known as germinal centres (GCs) (84). GCs provide the primary site
193 for the interaction of B cells with specialised T cells (i.e. CD4⁺ T_{FH} cells) that support the
194 generation of high-affinity, long-lasting antibodies and memory cells (82). This system is
195 critical to establish sustained humoral protection against pathogens and underpins the
196 mechanism of protection of most successful vaccines (85). Under typical conditions, B cells
197 form the foundation of the immune system, modulating the action of other cells through
198 both direct interactions and chemical signals (86). In sepsis, these relationships come under
199 threat. As the centre of homeostasis, functional changes to B cells offset the entire
200 landscape of the immune system.

201

202 **B cells and sepsis**

203 The observed lymphopenia in sepsis appears to be non-homogenous amongst B cell
204 subsets. Indeed, one study observed a marked plasmacytosis in patients with septic shock
205 compared to healthy controls, which seemingly contradicts the literature reporting
206 decreased concentrations of circulating immunoglobulin (45). Specifically, the levels of IgM
207 in the sera of sepsis patients have been found to negatively correlate with assessments of
208 disease severity, notably Sequential Organ Failure Assessment (SOFA) and Acute Physiology
209 and Chronic Health Evaluation (APACHE) II scores (87). Additionally, *ex vivo* stimulated B
210 cells from the same patients displayed reduced capacity to produce IgM (87). In line with
211 these findings, higher plasma concentrations of IgM within the first 24 hours of sepsis have
212 been found to differentiate survivors from non-survivors, highlighting a key protective role
213 of IgM, particularly in fighting Gram-negative infections (39). Low IgM levels have also been
214 associated with a reduction in the frequency of resting memory B cells, the effect of which
215 was more pronounced in non-survivors (88). A meta-analysis of studies investigating
216 hypogammaglobulinaemia in sepsis found that as many as 70% of cases experienced low
217 levels of circulating IgG on the day of diagnosis, although an association with clinical
218 outcome remains to be clearly defined (89). A reduction in general immunoglobulin levels
219 early in infection may, in part, be due to a decline in B-1 B cells. As innate-like producers of
220 natural antibodies, B-1 B cells are suggested to play an important role in compensating for
221 the delay in an FO B cell-mediated adaptive immune response (90). Early release of low-
222 affinity immunoglobulin by B-1 B cells may infer critical protection in situations where the
223 infectious pathogen has spread to the bloodstream early in infection (91). The frequency of

224 B-1 B cells has been shown to significantly decline in a murine model of sepsis (92). The
225 same group found that adoptive transfer of B-1 cells restored IgM levels and significantly
226 reduced lung injury compared to WT mice (93). In addition to the local and systemic
227 increase in IgM, this result was achieved through attenuation of pro-inflammatory cytokine
228 release and apoptosis, suggesting additional protective roles of B-1 B cells in the response to
229 infection (93). Sepsis-induced changes to B-1 B cells in humans remain to be characterised
230 but could have therapeutic value if data are consistent with observations in mice.

231 Despite these findings, the relationship between circulating immunoglobulin levels
232 and mortality in sepsis has proved controversial. Indeed, initial serum IgG levels have been
233 reported to be both positively and negatively associated with clinical outcome (94, 95). A
234 multicentre study measuring IgG₁, IgM and IgA levels on the first day of severe sepsis or
235 septic shock found that low concentrations of all three antibody types had the highest odds
236 ratio for death (27). Conversely, the ALBIOS trial found that high IgA and IgG levels at sepsis
237 onset were significantly predictive of both 28- and 90-day mortality (96). In this trial, low
238 levels of IgG on day 1 were associated with higher risk of secondary infections. These
239 findings again reflect the heterogenous nature of sepsis, and such variation is likely
240 attributed to subjects experiencing different degrees of inflammation or
241 immunosuppression at the point of testing. Low concentrations of circulating antibodies are
242 indicative of a dampened adaptive response, and so may underpin mortality through a
243 reduced capacity to clear infection. An association between high immunoglobulin levels and
244 mortality in some patients could be explained by the ability of IgG and IgM to activate
245 innate pathways such as the complement cascade, exacerbating an existing state of
246 hyperinflammation through complement-dependent cytotoxicity (97). Additionally, immune
247 cells such as macrophages, neutrophils and natural killer cells express receptors that bind
248 the Fc portion of antibodies, and so may facilitate the exaggerated host-response through
249 antibody-dependent cellular cytotoxicity and antibody-dependent cellular phagocytosis in
250 the presence of high levels of circulating immunoglobulin (97). Clearly, gaps remain in
251 defining the association between circulating immunoglobulin and clinical outcome in sepsis.
252 It is likely that there is no clear consensus, and perhaps categorising patients based on a
253 range of clinical observations including plasma immunoglobulin levels amongst other
254 parameters may provide better prognostic value and guidance for treatment.

255 Beyond antibody production, B cells can also modulate the immune response to
256 infection through their ability to act as a professional antigen presenting cells (APCs) (73). As
257 professional APCs, B cells are armed with the necessary tools to capture and present
258 processed antigen to T cells. As such, B cells prime and expand antigen-specific T cells, a
259 crucial step for generation of a specific immune response. B cells express both major
260 histocompatibility complex (MHC) I and II molecules, thus enabling them to interact with
261 antigen-specific CD4⁺ and CD8⁺ T cells (73). In this way, B cells can trigger both T_H1 and T_H2
262 responses to suit the context. One mode of action is through the direct presentation of
263 antigenic peptides to T cells following capture and internalisation of pathogens (98). Direct
264 presentation is dependent on the antigenic specificity of B cells, defined by their
265 clonotypically expressed BCR. Alternatively, B cells may cross-present free-floating antigen
266 from the extracellular matrix to CD8⁺ T cells (99). This dual ability is critical for cellular
267 responses against viruses and tumours, where the antigen-presenting B cells are not directly
268 infected.

269 Following T cell receptor (TCR)-mediated recognition of MHC-restricted antigens on
270 the B cell surface, an immunological synapse is established that promotes T cell activation
271 and drives signals for proliferation, differentiation, and survival. This synaptic connection is
272 strengthened by interactions between co-stimulatory molecules on both cell types, notably
273 CD80/CD86 on B cells with CD28 on T cells (100). These interactions induce expression of
274 additional costimulatory molecules including CD40 on B cells, as well as adhesion molecules
275 such as LFA-1 and its ligand ICAM-1, that support the process of antigen presentation (101).
276 Finally, the appropriate effector phenotype is achieved through differential cytokine
277 secretion, polarising the immune response (102). For example, secretion of interferon- γ (IFN-
278 γ) and interleukin-12 (IL-12) induce signalling cascades which result in T-bet transcription
279 and differentiation towards a T_H1 phenotype, important for clearance of intracellular
280 pathogens such as viruses and certain bacteria (103). Secretion of IL-4 induces transcription
281 of GATA-3 and subsequent commitment to a T_H2 phenotype, important in the response to
282 extracellular infections by parasites and helminths (103). Other cytokines such as
283 transforming growth factor- β (TGF- β), IL-6, IL-21 and IL-23 support differentiation of
284 alternative helper subsets including T_H17 cells, and lesser-defined phenotypes including T_H9,
285 and T_H22 cells (104). During sepsis, the expression of MHC II molecules, including human
286 leukocyte antigen-DR (HLA-DR) has been shown to decrease on B cells, altering their ability

287 to present peptides to T cells (105). This effect has been observed in sepsis patients at the
288 time of admission to ICU and persists in samples taken at a follow-up time of 8 days (105). A
289 reduction in HLA-DR expression acts to impair the ability for B cells to function as
290 professional APCs, lessening their ability to trigger antigen-specific responses in T cells. In
291 addition, expression of CD40 was significantly reduced on B cells in septic patients at ICU
292 admission compared to healthy donors (41). No difference in CD40 expression was observed
293 between surviving and non-surviving patients, however the expression of co-stimulatory
294 molecule CD80 was found to be significantly higher in non-survivors of septic shock at ICU
295 admission (41). The expression normalised after 3 days, suggesting an enhanced ability to
296 stimulate T cells very early in infection, which perhaps contributes to the hyper-
297 inflammatory state associated with early mortality.

298 In addition to antigen presentation for stimulation of T cells, B cells themselves can
299 act as cellular effectors (106). During infection, B cells mediate changes in the inflammatory
300 response through an acquired ability to secrete effector cytokines such as IFN- γ , tumour
301 necrosis factor- α (TNF- α) and IL-17 (107). Transcriptome analyses in murine models of sepsis
302 show B cells with distinct gene expression profiles, with notable alterations in the expression
303 of genes for several cytokines (108). In particular, increased expression of pro-inflammatory
304 cytokines such as IL-3, IFN- γ , TNF- α and IL-6, and reduced expression of anti-inflammatory
305 cytokines such as IL-10 and TGF- β 1 (108). In addition to driving systemic inflammation,
306 secretion of cytokines can polarise T cells towards specific helper phenotypes as detailed
307 above (103). In a murine caecal ligation and puncture (CLP) model of sepsis, B cell deficient
308 (μ MT) mice showed reduced concentrations of inflammatory cytokines in sera compared to
309 WT mice, which was not replicated in T cell deficient (TCR $\alpha\beta^{-/-}$) mice (109). These data
310 indicate a role of B cells in triggering an early inflammatory response in sepsis, with further
311 experiments showing the importance of such cytokine production on successful bacterial
312 clearance. Splenic MZ B cells have been shown to produce large quantities of IL-6 and the
313 chemokine CXCL10 after lipopolysaccharide (LPS) challenge *in vivo* in mice (110). The
314 significance of such a pro-inflammatory response was investigated in mice lacking IL-6-
315 producing MZ B cells (MZ B-IL-6-KO). These mice produced significantly lower amounts of
316 serum IL-6 and CXCL10 and demonstrated improved survival compared with WT mice (110).
317 Furthermore, administration of an anti-IL-6 receptor (IL-6R) antibody shortly following

318 intravenous injection of *Escherichia coli* (*E. coli*) or the induction of CLP resulted in prolonged
319 survival compared to mice treated with a control antibody (110). These results indicate a
320 pathogenic role of IL-6 in exacerbating endotoxic shock in sepsis. This finding does not
321 contradict earlier findings that IL-6 plays an anti-inflammatory role very early in sepsis (109),
322 as injection of anti-IL-6R at time-points concurrent with LPS or *E. coli* injection did not affect
323 the survival of mice. At the very early stages of sepsis, IL-6 production by B cells may not
324 augment the inflammatory response to toxin, with delayed onset of its pathogenic role. In
325 addition to IL-6, IL-3 production by B cells in a mouse model of abdominal sepsis has been
326 reported to potentiate inflammation through enhanced production of monocytes and
327 neutrophils, with IL-3 deficiency inferring protection (111). These findings correlated with
328 observations in humans showing an association between high plasma IL-3 levels and
329 mortality (111). Despite the reported pro-inflammatory signatures of B cells in sepsis,
330 strategies aiming to modulate cytokine levels have failed to prove beneficial (112). Patterns
331 of cytokine release change throughout the course of disease, and so timing of administration
332 is likely an important consideration for these types of therapies (109). Investigations into IL-6
333 blocking early in infection still show promise (113).

334

335 **Regulatory B (B_{REG}) cells**

336 B_{REG} cells represent a specialised subtype of B cells that can suppress T cells and the action of
337 other pro-inflammatory cells through the production of IL-10, IL-35 and TGF- β (114). B_{REG}
338 cells, constituting less than 1% of PBMCs in humans, show heterogeneity in the expression
339 of surface proteins and indeed may differentiate into distinct subsets dependent on the
340 inflammatory stimuli to which they are exposed (115). For example, studies have reported
341 CD19⁺CD25^{hi} B_{REG} cells that support T regulatory (T_{REG}) cell function *in vitro* in co-culture
342 experiments, but also several populations of B_{REG} cells which suppress an anti-tumour
343 response in cancer such as those expressing granzyme B in solid tumour infiltrates, and
344 CD19⁺CD24⁺CD38⁺ cells in breast cancer (116-118). It is generally accepted that their
345 suppressive ability is enhanced under highly inflammatory conditions to limit further
346 damage, for example in the case of autoimmune conditions (119-121). Although sepsis is
347 generally characterised by a protracted lymphopenia, the balance of subsets within the total
348 population of B cells is disturbed. In a CLP model of sepsis in mice, an increase in the
349 frequency of B_{REG} cells was one of the first observable changes, exacerbating an

350 immunosuppressive state (122). Conversely, B_{REG} cells can play a protective role, with
351 reduced number and function correlating with the development of severe septic shock in
352 mice exposed to endotoxin (108). Human patients with sepsis have decreased numbers of
353 B_{REG} cells compared to controls, with frequency negatively correlating with likelihood of
354 septic shock (123). In fact, the levels of B_{REG} cells over the first week post-admission to ICU
355 appear to have particular prognostic value in elderly patients with sepsis (124). The same
356 was observed in neonates, with an increase in B_{REG} cells positively correlating with survival
357 (125). Following the onset of septic shock, there is an increase in cells expressing a B_{REG}-like
358 cell phenotype, and an associated increase in IL-10 production mirroring the observed
359 immunosuppressive state (45). Together, these findings suggest a protective role of the
360 immunosuppression elicited by B_{REG} cells early in sepsis, perhaps aiding against deaths
361 caused by overwhelming inflammation and consequent septic shock. In surviving patients,
362 however, B_{REG} cells may tip towards a pathogenic function through continued promotion of
363 an immunosuppressive state in the midst of other cells becoming anergic and unable to
364 respond to subsequent stimuli.

365

366 **The potential of B cells in clinical practice**

367 Given the numerical and functional changes exhibited by B cells during sepsis, and the
368 association of certain alterations with morbidity and mortality, it is unsurprising that B cells
369 have been the focus of several studies investigating prognostic biomarkers and therapeutic
370 targets. For example, one group suggested that a low percentage of CD23⁺ B cells at ICU
371 admission enables discrimination between survivors and non-survivors with a sensitivity of
372 90.9% (41), whilst another demonstrated poor prognostic survival outcome in patients with
373 low IgM levels within the initial 24 hours of sepsis onset (126). In terms of treatment,
374 supplementation of specific B cell subsets that are depleted or dysfunctional during sepsis
375 may restore immune function. For example, adoptively transferring B-1 cells could replenish
376 natural immunoglobulin and suppress excessive inflammation (92, 93). Although levels of
377 circulating immunoglobulin have proved controversial in dictating disease course,
378 considerable attention has been given to the use of intravenous immunoglobulin (IVIG) as an
379 approach to modulate inflammation in sepsis, particularly in neonatal cases (127). Although
380 IVIG therapy is an approved treatment for multiple conditions of immune dysregulation,
381 including Kawasaki disease which is often difficult to differentiate from sepsis during the

382 early stage of onset (128), IVIG has proved unsuccessful in reducing mortality in several large
383 randomised controlled trials of patients with sepsis (129-132). Potential limitations to trials
384 include choice of subjects and timing of treatment; with discrepancy in the literature
385 reporting circulating immunoglobulin levels and prognosis in patients with sepsis, treatment
386 needs to be more specific and personalised. A method of first identifying the state of
387 immunosuppression in patients may enable guided selection for trials, and generate more
388 promising results (133). The failure of clinical trials has resulted in guidance against the use
389 of IVIG in sepsis and septic shock. Despite this, several studies have reported benefits of
390 IgM- and IgA-enriched immunoglobulin administration (134) and indeed, such preparations
391 are widely used in addition to other treatments in septic shock to enhance immune function
392 (135). The potential benefit of their combined administration has been suggested to stem
393 from their dual action in both the bloodstream and mucosal surfaces. The overarching
394 consensus for best clinical practice remains a personalised approach, with guidelines for
395 dosage and timing of administration highly dependent on the clinical phenotype.

396

397 **CD4⁺ T_{FH} cells**

398 The process of pathogen-specific antibody production is reliant on help signals provided by
399 specialised CD4⁺ T_{FH} cells, which interact with B cells in the GCs of secondary lymphoid
400 organs (136). GCs provide the primary site for high affinity antibody production via somatic
401 hypermutation and class switching of B cells (84). CD4⁺ T_{FH} cells govern the movement of B
402 cells throughout the GC, and determine which cells are selected for differentiation into long-
403 lived plasma cells and memory B cells. Not only are CD4⁺ T_{FH} cells crucial for supporting B
404 cells, they play a critical role in GC formation and maintenance (84). CD4⁺ T_{FH} cells were first
405 described in the early 2000s, following work observing a unique CXCR5⁺ subset of CD4⁺ T
406 cells in tonsillar tissue (137, 138). These cells were shown to express several markers
407 important for B cell activation, indicating their involvement in tonsillar immune responses.
408 Co-culture with naïve B cells demonstrated their capacity to induce class-switched antibody
409 production, which was replicated and built-upon in subsequent studies (139). However, at
410 this time, CD4⁺ T_{FH} cells were not widely accepted as being distinct from T_H1 or T_H2 cells as
411 the transcription factor driving their differentiation was unknown. Years later, CD4⁺ T_{REG} and
412 CD4⁺ T_H17 cell types were characterised, based on the identification of lineage-determining
413 transcription factors for these populations (FOXP3 for T_{REG} cells and ROR γ t for T_H17 cells). It

414 was not until 2009, when the discovery of BCL-6 as a transcription factor essential for GC
415 generation and high affinity antibody production allowed recognition of these cells as an
416 individual CD4⁺ T cell type, acknowledging their distinct role as follicular B cell helpers (140-
417 142).

418 The GC is divided into two compartments described as the light zone and dark zone,
419 so called due to their histological appearance (84). These zones form distinct sites for
420 separation of the steps involved in the GC reaction. Within the light zone, B cells present
421 antigen-MHC class II complexes to CD4⁺ T_{FH} cells. In return, select B cells receive co-
422 stimulation and survival signals from CD4⁺ T_{FH} cells to encourage migration to the dark zone.
423 Such signals include IL-21, IL-4, and IL-10 secreted by CD4⁺ T_{FH} cells (143, 144). IL-21 induces
424 transcription of activation-induced cytidine deaminase in B cells, an essential factor for
425 somatic hypermutation (145). This process involves the introduction of BCR point mutations
426 to generate cells with a range of affinities for antigen. The somatically hypermutated B cells
427 then return to the light zone, where those with highest affinity for antigen are positively
428 selected for proliferation and survival. Further signalling via co-stimulatory molecules, IL-21,
429 and IL-4, initiates their return to the dark zone for isotype class-switching (84). Class-
430 switched B cells may then either differentiate into plasma cells to secrete high-affinity
431 antigen-specific antibodies or instead become long-lived memory B cells. After fulfilling their
432 role, CD4⁺ T_{FH} cells leave the GC and may either enter a GC in a neighbouring follicle, or re-
433 enter the same GC. Alternatively, CD4⁺ T_{FH} cells may downregulate BCL-6 and enter the
434 blood stream as memory CD4⁺ T_{FH} cells.

435 Expression of inducible co-stimulator (ICOS) on CD4⁺ T_{FH} cells is important for all
436 stages of differentiation and maintenance. Initially, ICOS on pre-CD4⁺ T_{FH} cells binds to ICOS
437 ligand (ICOSL) on dendritic cells to initiate priming and migration towards the B cell zone of
438 the GC. Later, ICOS/ICOSL signalling between CD4⁺ GC-T_{FH} cells and B cells ensures
439 maintenance of CD4⁺ T_{FH} cells for supporting antibody production. Other markers essential
440 for CD4⁺ T_{FH} cell function include OX40 and CD40 ligand (CD40L). Expression of both proteins
441 is upregulated following activation of CD4⁺ T_{FH} cells, promoting their accumulation at the T-B
442 border where they bind their ligands on cognate B cells (146, 147). Bidirectional signalling

443 results in IL-21 secretion to assist with B cell activation and proliferation, and GC
444 maintenance (148).

445 Tight regulation of the GC reaction is necessary to prevent generation of
446 autoantibodies (149, 150). A fine balance is required to enable effective humoral immunity,
447 whilst maintaining self-tolerance. One arm of control is achieved by a specialised subset of
448 CD4⁺ T_{REG} cells known as T follicular regulatory (T_{FR}) cells (151). CD4⁺ T_{FR} cells are similar to
449 CD4⁺ T_{FH} cells in that they express BCL-6 and CXCR5 but are distinguished by their expression
450 of FOXP3. CD4⁺ T_{FR} cells suppress both CD4⁺ T_{FH} and B cells to regulate the GC reaction (128,
451 152). The mechanisms underpinning suppression remain to be completely elucidated, but
452 one known method involves expression of the co-inhibitory receptor cytotoxic T
453 lymphocyte-associated antigen 4 (CTLA-4), which functions to dampen co-stimulatory
454 interactions between cognate CD4⁺ T_{FH} and B cells (153). In addition, CD4⁺ T_{FR} cells suppress
455 IL-21 and IL-4 transcripts in CD4⁺ T_{FH} cells, two cytokines vital for the selection of high-
456 affinity antibodies in the GC (154).

457 **CD4⁺ T_{FH} cells and sepsis**

458 Although multiple studies have reported defects in humoral immunity in cases of severe
459 infection and sepsis, these have largely focussed on B cells and alterations in
460 immunoglobulin release (37, 41, 155). For patients showing reduced levels of circulating
461 immunoglobulin, proposed mechanisms include an impaired activation-capacity of
462 plasmacytes, with increased expression of markers indicative of an exhausted phenotype
463 (82). Secondary lymphoid organs from septic patients have been demonstrated to have a
464 lower cellular density than those from healthy controls, encompassing the total follicular B
465 cell population, but also follicular dendritic cells and CD4⁺ T_{FH} cells (37, 156). These findings
466 are consistent with a decline in circulating CD4⁺ T_{FH} cells, and correlate with reduced B cell
467 numbers and increased mortality (156). Despite these findings, a mechanism whereby
468 impaired B cell maturation could be attributed to changes in the CD4⁺ T_{FH} cell population has
469 yet to be determined. Considering the close relationship between B cells and CD4⁺ T_{FH} cells
470 in the GC, and the dependency of follicular B cells on signals from CD4⁺ T_{FH} cells for
471 proliferation and survival, it seems plausible that a lacking humoral response could stem
472 from insufficient support. Data from a murine model of sepsis showed blunted

473 differentiation and class-switching of B cells in septic mice compared to controls, with
474 reduced expansion and differentiation of CD4⁺ T_{FH} cells following immunisation (157).
475 Additionally, the importance of CD4⁺ T_{FH} cells in supporting an antigen-specific B cell
476 response has been demonstrated in 'immune educated' mice which, compared to standard
477 laboratory mice, present a diverse repertoire of memory T cells (158). Following induction of
478 CLP-induced sepsis, increased IL-21 production was indicative of increased functionality in
479 CD4⁺ T_{FH} cells, which in turn were able to reverse the sepsis-induced decline in splenic B cells
480 seen in controls. Such an effect was accompanied by enhanced follicular B cell and GC
481 development (158). These results demonstrate the critical role of CD4⁺ T_{FH} cells in
482 supporting antigen-specific B cell responses in conditions of inflammation. The commonly
483 observed alterations in B cell development and functionality reported in humans suggest a
484 potential defect in this relationship in sepsis. A lack of functional CD4⁺ T_{FH} cells could induce
485 apoptosis of B cells, through a loss of BCR signalling.

486 The underlying mechanisms driving changes in CD4⁺ T_{FH} cells that could explain
487 defects in immunoglobulin secretion are poorly characterised. Conditions of persistent
488 stimulation during severe bacterial and viral infections have been well-reported to drive
489 'immunoparalysis' in remaining T cells, describing an inability to mount or support an
490 effective immune response (157). In a study of the response to SARS-CoV-2 infection and
491 vaccination, the neutralising antibody response robustly correlated with the frequency and
492 phenotypic polarisation of circulating CD4⁺ T_{FH} cells (159). Specific subsets of circulating
493 CD4⁺ T_{FH} cells have been described, distinguished by their differential expression of the
494 chemokine receptors CXCR3 and CCR6. Such subsets exhibit the behaviour of T_H1, T_H2 or
495 T_H17 cells, coined T_{FH}1 (CXCR3⁺CCR6⁻), T_{FH}2 (CXCR3⁻CCR6⁻), and T_{FH}17 (CXCR3⁻CCR6⁺) cells
496 respectively (160). High titres of SARS-CoV-2 spike-specific or neutralising antibodies have
497 consistently been associated with the frequency of T_{FH}1 cells, with variability in reported
498 relationships between antibody responses and T_{FH}2 or T_{FH}17 cells across studies (161-163).
499 The phenotype of circulating CD4⁺ T_{FH} cells has been reported for several other viral
500 infections or vaccinations, with no clear consensus on an overarching subgroup best
501 equipped for supporting antibody production. For example, T_{FH}1 and T_{FH}17 cells were found
502 to predominate in non-responders to influenza virus vaccination, with a skewed IL-2/IL-21
503 axis incapable of supporting B cells (164). In contrast, an increase in the frequency of T_{FH}17
504 cells was demonstrated to correlate with enhanced antigen-specific antibody production

505 following vaccination against Ebola virus (165). Data in patients with human
506 immunodeficiency virus (HIV) show a positive correlation between the frequency of T_{FH2}
507 cells and the development of broadly neutralising antibodies, whilst T_{FH2} cells have been
508 reported to impede an antiviral humoral response in chronic Hepatitis B virus infection (166,
509 167). These varied findings potentially suggest a pathogen-specific aspect to the usefulness
510 of different CD4⁺ T_{FH} cell subgroups in supporting B cells. Although many groups have
511 reported skewing of CD4⁺ T_{FH} subsets in a virus-specific context, there are substantial gaps in
512 the literature in the case of bacterial infections and sepsis. Based on the data, it seems clear
513 that measurement of CD4⁺ T_{FH} cell frequencies in sepsis alone may be insufficient to explain
514 a dampened 'helper' response, and that phenotypic differences in CD4⁺ T_{FH} cells could alter
515 their overall functional capacity. A separate study demonstrated impaired function of CD4⁺
516 T_{FH} cells in HIV-infected individuals, displaying downregulation of genes from immune- and
517 GC-resident CD4⁺ T_{FH} cell-associated pathways including c-MAF and its upstream mediators
518 (168). These changes were associated with the resulting inefficient antigen-specific antibody
519 response and death of memory B cells. Expression of c-MAF has been demonstrated as
520 important in supporting BCL-6 expression in CD4⁺ T_{FH} cells following immunisation (169). c-
521 MAF and BCL-6 are crucial for upregulation of CD40L and ICOS expression on CD4⁺ T_{FH} cells
522 as well as IL-21 signalling. Therefore, these transcriptional changes in HIV-infected
523 individuals likely render CD4⁺ T_{FH} cells incapable of positioning themselves correctly within
524 the GC to interact with and support their cognate B cells (169). As HIV is a condition of
525 chronic stimulation, it is plausible that sustained activation by high antigen load in sepsis
526 could drive similar transcriptional changes in CD4⁺ T_{FH} cells, rendering them incapable of
527 supporting B cell development. The inadequate help provided by CD4⁺ T_{FH} cells in HIV-
528 infected individuals has sparked interest into the role of CD4⁺ T_{FR} cells in this context. In a
529 study using an *ex vivo* model of tonsillar HIV infection and *in vivo* model of simian
530 immunodeficiency virus infection in rhesus macaques, virus infection was associated with an
531 expansion of suppressive CD4⁺ T_{FR} cells, expressing increased levels of co-inhibitory
532 receptors CTLA-4 and lymphocyte-activation gene 3 (LAG-3), and increased production of
533 anti-inflammatory cytokines IL-10 and TGF-β (170). These cells were subsequently shown to
534 impair CD4⁺ T_{FH} function through inhibition of cell proliferation and production of IL-4 and IL-
535 21. The literature describing the role of CD4⁺ T_{FR} cells in sepsis is sparse, however, could
536 provide important insight into functional changes to CD4⁺ T_{FH} cells if severe bacterial

537 infections drive a similar expansion of CD4⁺ T_{FR} cells as seen in HIV infection. Further studies
538 are required to determine if this is the case for sepsis, but also to expand our knowledge of
539 CD4⁺ T_{FH} cell-mediated humoral immunity in the context of bacterial infections and sepsis
540 (Figure 2).

541

542 **Alterations in other conventional and unconventional T cell types during sepsis**

543 Sepsis-induced changes to T cells have been widely studied and implicated as important
544 factors in determining the overall response and likelihood of survival. The sepsis-driven
545 lymphopenia disproportionately targets the pool of antigen-inexperienced T cells in both
546 mouse models and human studies (171, 172). This has been attributed to both a thymic
547 defect affecting the output of newly generated T cells, and the acquisition of memory-like
548 characteristics in otherwise naïve cells (173). Such changes to the composition of the overall
549 T cell repertoire contributes to increased susceptibility to secondary infections and may
550 impair memory T cell generation (171, 172). In elderly patients, whose naïve T cell pool is
551 substantially reduced, destruction of this pool could cause long-term defects in mounting an
552 effective immune response to new antigens (106, 174). Although naïve cells are particularly
553 susceptible to sepsis-induced apoptosis and phenotypic changes, a numerical loss of existing
554 memory CD4⁺ and CD8⁺ T cells has also been demonstrated (175, 176). Within the pool of
555 memory CD4⁺ T cells, a preferential loss of ‘helper’ subpopulations including T_{H1}, T_{H2} and
556 T_{H17} cells shifts the balance towards a greater proportion of FOXP3⁺ T_{REG} cells (176-178).
557 T_{REG} cells represent a subset of CD4⁺ T cells implicated in negative immunomodulation, and
558 the effects of their representative increase has been debated. Mouse models have
559 demonstrated that the relative increase in T_{REG} cells is accompanied by an increased
560 suppressive capacity. Indeed, T_{REG} cells were shown to suppress T cell proliferation to a
561 greater degree in septic mice than those in sham-injured mice, with particular suppression
562 of T_{H1}-type cytokine production (179). Additionally, T_{REG} cells induced apoptosis of
563 monocytes and neutrophils in a CLP mouse model of sepsis through either Fas/FasL
564 signalling or IL-10 secretion (180). This enhanced suppression by T_{REG} cells has been
565 correlated with worsened severity, however, other studies have correlated increased T_{REG}
566 cell representation with an improved outcome and pathogen control (181, 182).
567 Discrepancies may be due to timing of sample collection and infection course, with T_{REG} cells
568 perhaps proving beneficial in patients experiencing overwhelming inflammation, whilst

569 damaging in cases of immune exhaustion. T_{REG} cells have been suggested as a potential
570 target for therapeutic intervention, however further analysis is necessary to determine
571 approach (181, 183).

572 The overall numerical reduction of CD4⁺ T cells is accompanied by functional defects,
573 evidenced by increased rates of latent viral reactivation in septic patients (43, 44, 184, 185).
574 A global, post-sepsis state of anergy has been proposed in CD4⁺ T cells, through evidence of
575 little or no pro- or anti-inflammatory cytokine production being evident following anti-
576 CD3/CD28 stimulation in post-mortem spleen and lung samples (14). Additionally, studies
577 have shown a reduction in proliferative capacity and lineage-specific transcription factor
578 expression, affecting the regulation of CD4⁺ T cell subset differentiation (172, 186). These
579 observations are in line with increased co-inhibitory receptor expression such as PD-1 CTLA-
580 4, LAG-3 and T cell immunoglobulin and mucin domain-containing protein 3 (TIM-3), altering
581 how CD4⁺ T cells communicate with and modulate the responses of other immune cells (55,
582 187). In a normal immune response, T_H1, T_H2 and T_H17 cells provide help to naïve CD8⁺ T
583 cells to ensure a highly controlled and functionally specific response (36). In addition, such
584 signals promote clonal expansion upon re-encounter with antigen (188, 189). ‘Helpless’ T
585 cells are instead destined for apoptosis. Decline of helper T cell populations during sepsis
586 creates an environment in which CD8⁺ T cells could proceed to respond to antigen without
587 CD4⁺ T cell help. This effect has been suggested to impair the early T cell effector response
588 and contribute to a suppressive environment, through apoptosis of CD8⁺ T cells (188, 189).
589 In addition, lack of CD4⁺ T cell help during primary infection results in memory CD8⁺ T cells
590 which lack the capacity to respond during re-infection (36). Memory CD8⁺ T cells from
591 survivors are prone to exhaustion during chronic infection, with reduced capacity to secrete
592 pro-inflammatory cytokines and increased expression of co-inhibitory receptors (171, 190).

593 Research exploring sepsis-induced changes to T cells is largely focussed on
594 conventional $\alpha\beta$ T cells, with substantial gaps in the literature describing changes in
595 unconventional T cell populations with antimicrobial functions, such as $\gamma\delta$ T cells and
596 mucosal-associated invariant T (MAIT) cells. As the first T cell population formed during
597 embryonic development, $\gamma\delta$ T cells constitute 0.5-5% of circulating CD3⁺ T cells in adult
598 humans (191, 192). $\gamma\delta$ T cells rapidly produce effector cytokines in response to bacterial

599 infections and mediate protective immune responses against pathogenic microorganisms
600 such as *Mycobacterium tuberculosis* (reviewed in (191)). Additionally, certain $\gamma\delta$ T cells
601 appear to possess potent antigen-presenting abilities during infections (193, 194). These
602 unconventional T cells exist as two main populations in humans based on their encoded TCR
603 δ -chain: $V\delta 1^+$ or $V\delta 2^+$ T cells. $V\delta 2^+$ T cells constitute the majority of peripheral blood $\gamma\delta$ T
604 cells whilst $V\delta 1^+$ T cells are less frequent in the blood and are more abundant in epithelial
605 and mucosal tissues such as the skin, intestine and uterus (191, 195-198). In humans, the
606 number of circulating $\gamma\delta$ T cells decline in patients with sepsis compared to healthy controls,
607 with an imbalance of pro- or anti-inflammatory functional changes depending on the
608 subtype (199-201). One study found an association between the degree of $\gamma\delta$ T cell
609 reduction and severity, whilst a separate study showed that impaired IFN- γ expression
610 following *in vitro* antigen stimulation correlated with mortality (200, 202). Furthermore, the
611 ability for $\gamma\delta$ T cells to act as APCs is impaired during sepsis (203). These sepsis-induced
612 effects on $\gamma\delta$ T cells appear to be specific to $V\delta 2^+$ T cells as it has been reported that
613 peripheral $V\delta 1^+$ T cells increase in frequency during sepsis and correlate with increasing
614 SOFA score and mortality (199). Additionally, the expression of the co-inhibitory receptors
615 CTLA-4 and TIM-3 were increased on these peripheral $V\delta 1^+$ T cells which are thought to
616 possess an immunosuppressive function (199).

617 MAIT cells are 'innate-like' $\alpha\beta$ T cell populations that make up 1-10% of all T cells in
618 blood and mediate rapid, protective immune responses against bacterial species with intact
619 riboflavin biosynthesis pathways, including *E. coli* and *S. aureus* (192, 204-206). MAIT cells
620 use semi-invariant $\alpha\beta$ TCRs to recognise ribityllumazine- and pyrimidine-based metabolite
621 antigens from the riboflavin biosynthesis pathway, such as 5-OP-RU, that are presented by
622 the non-classical MHC-like molecule, MR1 (207, 208). Such TCRs typically contain conserved
623 usage of TCR α -chain variable gene 1-2 (TRAV1-2) paired with a biased pattern of TCR β -
624 chain variable (TRBV) genes, such as TRBV20-1, TRBV6-4 or TRBV6-2/6-3 (204, 209, 210).
625 MAIT cell-deficient (*Mr1^{-/-}*) mice demonstrate an enhanced susceptibility to bacterial
626 infection (204) and increased mortality upon experimentally-induced sepsis (211).
627 Furthermore, this and other studies found reduced frequencies of MAIT cells in human
628 patients with sepsis (211-214). Whilst MAIT cells from these patients expressed more
629 activation makers (e.g. CD69, CD38, HLA-DR), they also exhibited higher levels of co-

630 inhibitory receptors (e.g. LAG-3, TIM-3) and were functionally deficient (211, 212, 214).
631 Indeed, in one study, such functional impairment of MAIT cells worsened over time during
632 patient recovery from sepsis (212). Furthermore, the phenotypic status of MAIT cells in
633 sepsis patients may serve as a possible prognostic marker as the percentage of HLA-DR⁺
634 MAIT cells has been shown to be effective in predicting mortality and patient APACHE II
635 scores (214). Despite this knowledge, the impact of sepsis on MAIT cells and $\gamma\delta$ T cells is
636 poorly understood and also particularly understudied compared to more conventional $\alpha\beta$ T
637 cell populations. Data in mouse models of sepsis further illustrate the importance of MAIT
638 cells and $\gamma\delta$ T cells in modulating the host response to sepsis and their positive influence on
639 survival (211, 215). Thus, further studies are required to expand our knowledge of sepsis-
640 induced alterations in MAIT and $\gamma\delta$ T cell immunity and to determine their utility as a
641 prognostic biomarker or as a target for therapeutic intervention.

642

643 **Conclusions**

644 Dysregulation of the adaptive immune system is a defining feature of sepsis, but the exact
645 manifestation is widely variable between individuals. For this reason, developing novel
646 therapeutics for sepsis has proved to be a challenge for over 30 years and, indeed, progress
647 has been failing to meet the increasing demand as the burden of sepsis on hospitals
648 worsens across the globe. A marked lymphopenia is a common feature across the literature;
649 however, the phenotype of remaining cells is less well-defined. It is vital to develop a better
650 understanding of the mechanisms underpinning the observed immune dysregulation to be
651 able to suggest new targets for treatment or diagnostic biomarkers. Based on the diverse
652 findings of several groups, it seems that considering sepsis as multiple separate conditions
653 by grouping individuals displaying similar characteristics could show more promise for
654 translating results to clinical practice. Patients frequently experience immunosuppression in
655 some form during the course of sepsis, which can result in high susceptibility to secondary
656 infections whilst hospitalised, and a decline in the long-term function of their immune
657 system post-recovery. This may present as an impaired ability to produce high-affinity
658 antibodies against pathogens, and as such may also have a negative impact on how
659 individuals respond to vaccination post-sepsis. The relationship between CD4⁺ T_{FH} cells and
660 B cells in sepsis remains to be thoroughly addressed, and also how the regulation of CD4⁺

661 T_{FH} cells by CD4⁺ T_{FR} cells is affected in this setting. Further work in this area could provide
662 important insight into the decline in antibody production observed in many cases, and
663 uncover new targets for treatment or modulation of the adaptive immune system long-term
664 post-discharge from ICU.

665

666 **Data Availability**

667 Data sharing is not applicable for this manuscript

668

669 **Competing Interests**

670 The authors declare that there are no competing interests associated with the manuscript.

671

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682 Kate Davies: Conceptualization, Funding acquisition, Writing – original draft, Writing – review
683 & editing. James E. McLaren: Conceptualization, Funding acquisition, Writing – review &
684 editing

685

686 **Abbreviations**

687 APACHE Acute Physiology and Chronic Health Evaluation

688 APC Antigen presenting cells

689 BCR B cell receptor

690 B_{REG} B regulatory

691 CD40L CD40 ligand

692 CLP Caecum ligation puncture

693	CSR	Class switch recombination
694	CTLA-4	Cytotoxic T lymphocyte-associated antigen 4
695	<i>E. coli</i>	<i>Escherichia coli</i>
696	FO	Follicular
697	GC	Germinal centre
698	HIV	Human Immunodeficiency Virus
699	HLA-DR	Human leukocyte antigen-DR
700	HSC	Haematopoietic stem cell
701	ICOS	Inducible co-stimulator
702	ICU	Intensive Care Unit
703	IFN- γ	Interferon- γ
704	IL	Interleukin
705	IVIG	Intravenous immunoglobulin
706	LAG-3	Lymphocyte-activation gene 3
707	LPS	Lipopolysaccharide
708	MAIT	Mucosal-associated invariant T
709	MHC	Major histocompatibility complex
710	MZ	Marginal zone
711	pAPCs	Professional antigen presenting cells
712	<i>S. aureus</i>	<i>Staphylococcus aureus</i>
713	SHM	Somatic hypermutation
714	SOFA	Sequential Organ Failure Assessment
715	TCR	T cell receptor
716	T _{FH}	T follicular helper
717	T _{FR}	T follicular regulatory
718	T _H	T regulatory
719	TGF- β	Transforming growth factor- β
720	TIM-3	T cell immunoglobulin and mucin domain-containing protein 3
721	TNF- α	Tumour necrosis factor- α
722	TRBV	TCR β -chain variable

723

724 **Figure Legends**

725

726 **Figure 1: Destabilisation of the adaptive immune system in sepsis.**

727

728 A marked lymphopenia is a common feature of patients with sepsis, predominantly
729 attributed to apoptosis of lymphocytes. Other suggested causes include reduced production
730 of precursor cells, and increased migration of lymphocytes to infected tissues, thus reducing
731 the frequency of circulating cells. Remaining cells are reported to exhibit phenotypic and
732 functional alterations, including skewed cytokine production, reduced HLA-DR expression in
733 B cells and increased expression of co-inhibitory receptors on CD4⁺ T cells, which decline in
734 number and provide inadequate help to CD8⁺ T cells. Equally, CD4⁺ T_{REG} cells increase in
735 proportion, but whether this is positively or negatively associated with prognosis has been
736 debated. Furthermore, the benefit of immunosuppression elicited by B_{REG} cells is not clearly
737 defined. Immunoglobulin levels decline, but this has been reported to correlate with both
738 improved and worsened outcomes across different studies. HSC: Haematopoietic stem cell

739

740 **Figure 2: Suggested mechanisms of impaired CD4⁺ T_{FH} cell activity during sepsis**

741

742 During a normal response to infection (left panel), CD4⁺ T cells are initially primed by
743 dendritic cells, inducing transcription of BCL-6 and subsequent expression of CXCR5 and
744 other proteins important for migration to the B cell follicle, and generation of the germinal
745 centre (GC). Within the GC, CD4⁺ T_{FH} cells provide signals (IL-21, IL-4, IL-10) to B cells for
746 somatic hypermutation (SHM) and class-switch recombination (CSR), selecting those with
747 highest affinity for antigen to differentiate into plasma cells or long-lived memory B cells.
748 This process is regulated by CD4⁺ T_{FR} cells. GC- CD4⁺ T_{FH} cells may then downregulate BCL-6
749 and enter the periphery as circulating memory cells, displaying different phenotypes through
750 differential expression of CXCR3 and CCR6. During sepsis (right), multiple aspects of this
751 process may be altered to result in inadequate B cell support. Suggested mechanisms
752 include impaired transcription of c-MAF and BCL-6, resulting in reduced migration to the
753 follicle to interact with cognate B cells. This could result in downstream effects of reduced
754 numbers of GC- CD4⁺ T_{FH} cells with the correct protein expression profile needed to provide
755 support. Alternatively, proliferation of CD4⁺ T_{FR} cells may result in enhanced suppression of
756 GC- CD4⁺ T_{FH} cells. Both of these effects could result in a reduction in plasma cell

757 differentiation and thus reduced antibody secretion. Alternatively, skewed expression of
758 CXCR3 and CCR6 on circulating CD4⁺ T_{FH} cells could alter their cytokine signatures and
759 subsequent 'helper' ability in the periphery. DZ: dark zone; LZ: light zone.

760

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762

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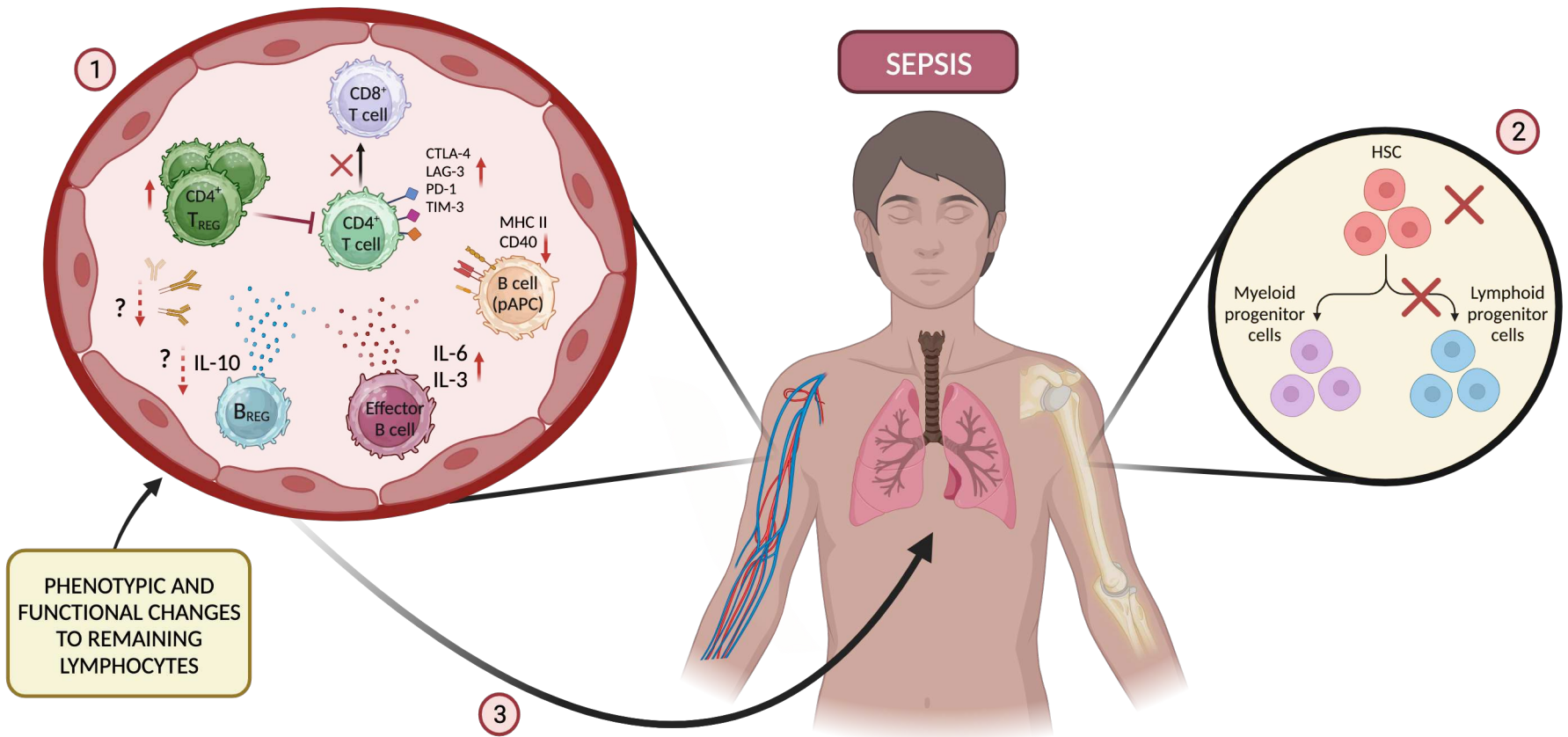
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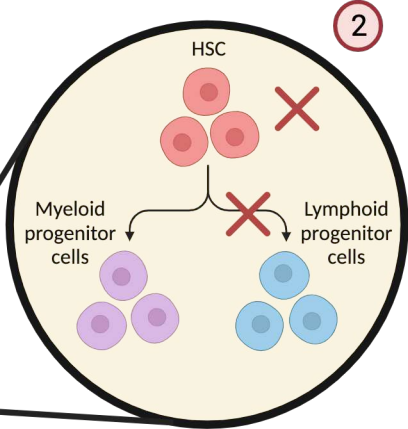
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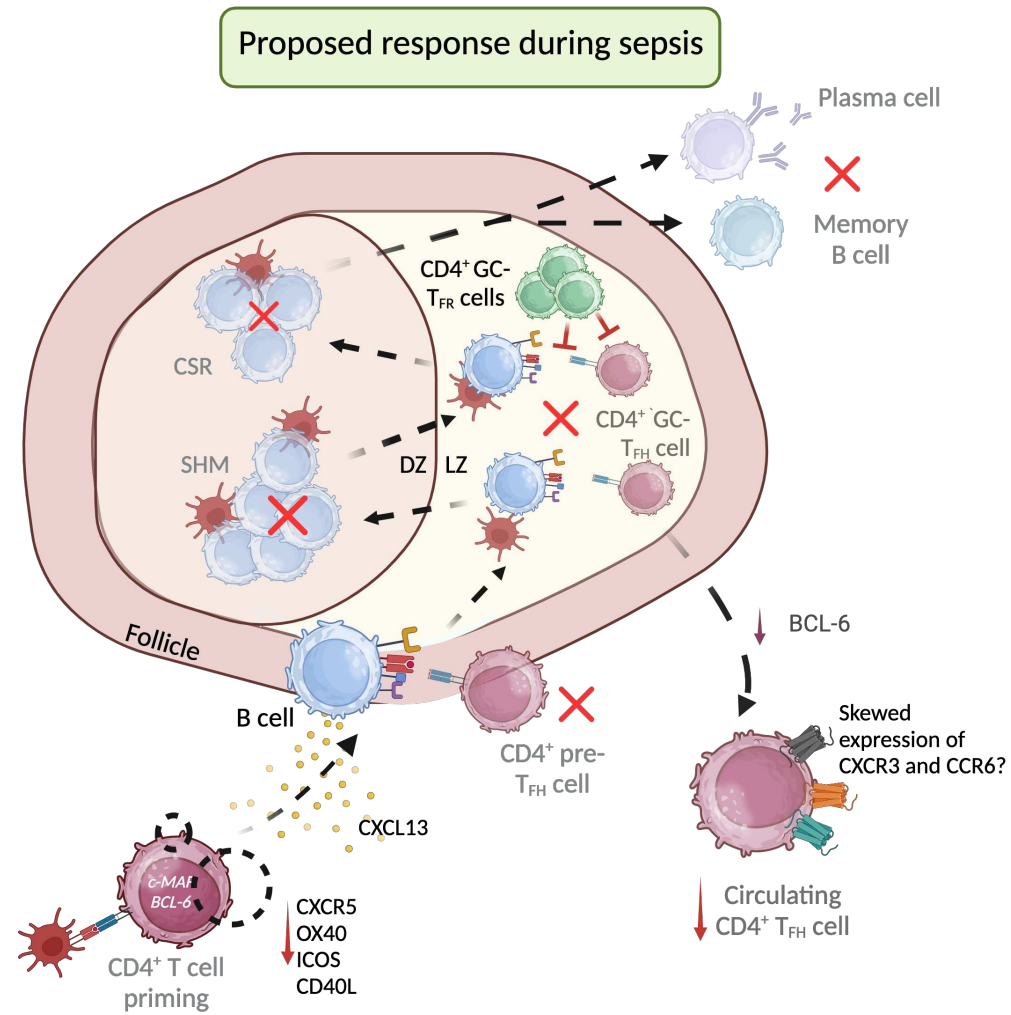
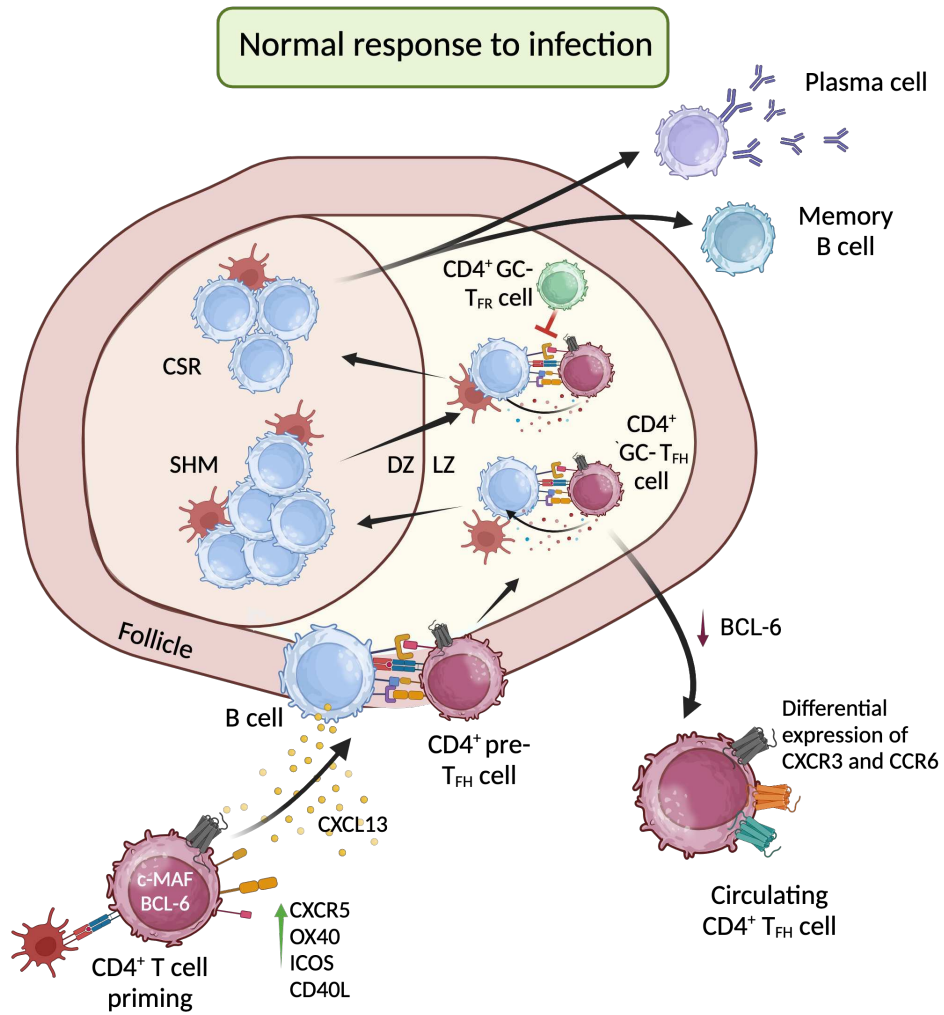
PHENOTYPIC AND FUNCTIONAL CHANGES TO REMAINING LYMPHOCYTES

SEPSIS



CAUSES OF LYMPHOPENIA

- 1 APOPTOSIS OF LYMPHOCYTES
- 2 REDUCED PRODUCTION OF PRECURSOR CELLS
- 3 INCREASED MIGRATION OF LYMPHOCYTES TO INFECTED TISSUES



Cell	Timepoint	Observations	Reference
B cells	ICU admission	↓ Combined low serum levels of IgG1, IgM and IgA distinguished patients with highest odds ratio for death	27
		↓ Plasma IgG associated with 28-day mortality	95
		↓ Frequency of B _{REG} cells associated with increased susceptibility to septic shock and death	123
	+ 28-days post-admission	↓ Circulating B cells ↓ CD40 expression ↑ Expression of CD80 and the apoptotic marker CD95 in non-survivors	41
	+ 4- and 8-days post-admission	↓ HLA-DR expression ↓ Circulating B cells ↑ Proportional increase in plasmablasts ↑ Plasma levels of IgG on day 1, which dropped with time.	105
	+ 3- and 7-days post-admission	↓ Frequency of B _{REG} cells associated with poor outcome, serving as a powerful prognostic marker in elderly patients	124
	Sepsis onset + 2- and 7-days post-onset	↑ Plasma IgG and IgA on day 1 associated with reduced 90-day survival ↑ Proportion of exhausted (CD21 ^{low}) B cells	96
	Within 72h	↓ Plasma IgM levels, which negatively correlated with severity in elderly patients ↓ Capacity for immunoglobulin production when stimulated ex vivo	87
	Within 24h + 24h post-onset	↓ Plasma levels of IgA and IgG in non-survivors	156
	Septic shock onset + 3- and 7-days post-onset	↓ Serum IgM levels, more pronounced in non-survivors ↓ Capacity for IgM production when stimulated ex vivo	88

T cells	ICU admission	↑	Proportion of V δ 1 T cells, with upregulation of immunosuppressive co-IRs upon stimulation	199, 200, 202
		↓	Proportion of V δ 2 T cells, with reduced capacity for pro-inflammatory cytokine production	
			Both observations correlated with increased severity and reduced survival	
		↓	Antigen-presenting function of $\gamma\delta$ T cells	203
		↓	Frequency of MAIT cells	211,213
		↑	Markers of activation on remaining MAIT cells along with a reduced cytokine-secreting capacity	
		↓	$\gamma\delta$ T cells, associated with mortality	200
		↓	Percentage of HLA-DR ⁺ MAIT cells predicted poor prognosis in patients	214
		↓	Functional capacity of MAIT cells, which continued to decline with time	212
		↑	Percentage of T _{REG} cells was associated with reduced severity	181
	+ 4 days post-admission			
	+ 6-days post-admission			
	+ 5 timepoints up until discharge			
	+ 3-, 5-, and 7-days post-admission			
	Sepsis onset			
	<i>Within 24h + 24h post-onset</i>	↓	Circulating T _{FH} cells which correlated with increased mortality and low IgA, IgM, and IgG levels	156
	Septic shock onset			
		↑	Expression of pro-apoptotic markers, annexin-V binding, active caspase-3 on CD4 ⁺ and CD8 ⁺ T cells	187
		↑	Expression of PD-1 on CD4 ⁺ and CD8 ⁺ T cells, correlated with increased rates of nosocomial infection and death	
	+ 1-2- and 3-6-days post-onset	↑	Proportion of T _{REG} cells as a result of a selective depletion of CD25 ⁻ populations	177
	Post-mortem			
		↓	Number and area of lymphoid follicles in patients with sepsis	37
		↓	Capacity of splenic and lung T cells to secrete cytokines when stimulated <i>in vitro</i>	14
		↑	Expression of co-inhibitory receptors.	