

1 **Running head: *Model-based assessment of population status***

2 Model-based assessment of marine bird population status using  
3 monitoring of breeding productivity and abundance

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5 MORTEN FREDERIKSEN<sup>1\*</sup>, TYCHO ANKER-NILSSEN<sup>2</sup>, HANS SCHEKKERMAN<sup>3</sup>, VOLKER  
6 DIERSCHKE<sup>4</sup>, MATT PARSONS<sup>5</sup>, STEFANO MARRA<sup>6</sup> & IAN MITCHELL<sup>5</sup>

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8 <sup>1</sup> *Department of Ecoscience, Aarhus University, Frederiksborgvej 399, 4000 Roskilde, Denmark*

9 <sup>2</sup> *Norwegian Institute for Nature Research, PO Box 5685 Torgarden, 7485 Trondheim, Norway*

10 <sup>3</sup> *SOVON Dutch Centre for Field Ornithology, PO Box 6521, 6503 GA Nijmegen, The Netherlands*

11 <sup>4</sup> *Gavia EcoResearch, Tönnhäuser Dorfstr. 20, 21423 Winsen (Luhe), Germany*

12 <sup>5</sup> *Joint Nature Conservation Committee, Inverdee House, Baxter Street, Aberdeen AB11 9QA, UK*

13 <sup>6</sup> *Joint Nature Conservation Committee, Monkstone House, City Road, Peterborough, PE1 1JY, UK*

14 \*: Corresponding author, email [mfr@ecos.au.dk](mailto:mfr@ecos.au.dk)

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17 Vertebrate populations are often monitored as part of broader assessments of ecosystem status,  
18 where they are expected to provide information on the ability of the ecosystem to support higher-  
19 level predators. However, because many vertebrates are long-lived and often only subsets of their  
20 populations can be monitored, abundance may not be sufficiently responsive to ecosystem status to  
21 provide early warnings of impending changes. Marine birds are often used as indicators of  
22 ecosystem status, but due to their long lifespan and delayed recruitment to the breeding population,  
23 changes in abundance are generally slow and often difficult to interpret. Their breeding productivity  
24 is however also widely monitored and much more responsive to ecosystem status, but the relevance  
25 of variation in productivity may be difficult to assess. We propose a model-based indicator, which  
26 integrates monitoring of abundance and breeding productivity through demographic matrix models.  
27 The metric of the proposed indicator is the expected population growth rate, given the observed  
28 level of breeding productivity. This expected growth rate is then compared to a threshold derived  
29 from the criteria employed for red-listing of threatened species by the International Union for the  
30 Conservation of Nature. We demonstrate the suggested approach using data from Black-legged  
31 Kittiwakes *Rissa tridactyla* in the Greater North Sea region, Northwest Europe. The proposed  
32 indicator shows that the current level of breeding productivity is expected to lead to a population  
33 decline of 3-4% per year, which is equivalent to a red-list status as Endangered for the species in this  
34 region. Our indicator approach is used in OSPAR's Quality Status Report 2023 and is expected to be  
35 used by European Union member states for reporting under the Marine Strategy Framework  
36 Directive in 2024. While our approach represents a major step forward in assessing the status of  
37 marine bird populations, the ideal next step would be to develop a coherent Integrated Population  
38 Modelling (IPM) framework that would allow inclusion of all data on population abundance and  
39 demography collected across the large and diverse marine ecosystems involved.

40 **Keywords:** Breeding success; indicator; Marine Strategy Framework Directive; seabirds

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42 One of the common uses of biodiversity monitoring is to allow management agencies to assess the  
43 status of ecosystems, as well as the success of policies and management initiatives to improve their  
44 status. Population abundance is often monitored as part of such broader monitoring programmes,  
45 rather than because the species monitored serve as specific ecological indicators directly linked to  
46 e.g. pollutant levels, or require specific management. Such monitoring programmes can be  
47 taxonomically based (Brlík *et al.* 2021) or involve true ecosystem-based monitoring with regular  
48 assessments of the status of various ecosystem components, which can be both abiotic and biotic  
49 (Christensen *et al.* 2020). In either case, the aim is typically to provide a broad assessment of the  
50 ecological status of an area or an ecosystem and to provide early warning signals of ecological  
51 change. For long-lived organisms with delayed maturity, assessment of abundance alone is often not  
52 regarded as sufficient to reflect current ecosystem status, mainly because abundance typically  
53 changes slowly in response to environmental impacts on reproduction (Parsons *et al.* 2008). This is  
54 further exacerbated for species such as colonially breeding birds, where often only the adult  
55 segment of the population can be monitored, and where impacts of e.g. reproductive failures on  
56 abundance can take several years to manifest. Therefore, demographic parameters such as age  
57 structure or reproductive output are sometimes monitored to provide a more immediate reflection  
58 of status. However, the interpretation of variation in such demographic parameters is less obvious  
59 than for abundance. For instance, would the observed variation in reproductive output have a  
60 measurable impact on the future state of the population? Population models have the capacity to  
61 answer such questions, but are rarely integrated into broader monitoring programmes (but see  
62 Robinson *et al.* 2014).

63 Marine birds (or seabirds) are long-lived organisms, with low annual fecundity and delayed  
64 recruitment to the breeding population, often at ages 3-10 years (Schreiber & Burger 2002, Horswill  
65 & Robinson 2015). Standard monitoring of abundance usually only covers the breeding segment of  
66 the population, because they are much easier to count when aggregated at breeding colonies than  
67 at other times of the year when they are dispersed over large areas of ocean. Therefore, changes in  
68 reproduction are only reflected in the recorded counts with several years' delay. Monitoring of  
69 breeding productivity may provide an 'early warning' of impending changes in population  
70 abundance, if population growth is sensitive to variation in this demographic rate. Compared to  
71 abundance, breeding productivity is likely more sensitive to short-term changes in environmental  
72 status, and thus more informative of current conditions. In long-lived organisms, adult survival is  
73 typically less variable between years than breeding productivity (Sæther & Bakke 2000), and also less  
74 sensitive to variation in environmental status (Gaillard & Yoccoz 2003). However, temporal variation  
75 in adult survival has a strong impact on population growth rate (Lebreton & Clobert 1991), and  
76 observed variation in survival can therefore be very informative of drivers of population change.  
77 Monitoring of demographic parameters (or vital rates) can have at least three functions in an  
78 ecosystem-based monitoring programme: 1) track variation in environmental status, 2) inform on  
79 potential drivers of population change, and 3) enable projections of future population change.

80 The European Union (EU) Marine Strategy Framework Directive (MSFD) requires the regular  
81 assessment of Good Environmental Status (GES) of regional seas by member states. GES includes  
82 several aspects covered by a set of descriptors. Descriptor 1 addresses biodiversity and includes  
83 several criteria for each ecosystem component, including marine birds. Whereas abundance is a  
84 primary criterion and therefore required, demographic characteristics (e.g. breeding productivity) is

85 a secondary criterion that member countries are not obliged to monitor. These criteria should be  
86 assessed at the species level, and for each regional sea or sub-region thereof as appropriate  
87 (European Commission 2017). In practice, monitoring is conducted nationally, while indicators  
88 corresponding to the MSFD descriptors are defined and calculated by the commissions responsible  
89 for the relevant Regional Sea Conventions, including the OSPAR Commission ([www.ospar.org](http://www.ospar.org)) for  
90 the Northeast Atlantic and the Baltic Marine Environment Protection Commission (HELCOM)  
91 ([www.helcom.fi](http://www.helcom.fi)) for the Baltic Sea, and used in their regular status assessments as well as for  
92 national MSFD reporting.

93 In the OSPAR area, an indicator of marine bird breeding success/failure was used in the 2017  
94 Intermediate Assessment (OSPAR 2017). The indicator collated data on breeding failure (i.e. virtually  
95 no chicks being produced at a colony) across breeding sites and years, and produced an index of the  
96 frequency of breeding failure in a specific area. This indicator was shown to be more responsive to  
97 e.g. fisheries impacts than abundance-based indicators (Cook *et al.*, 2014). However, a challenge  
98 with this approach is interpretation: is the observed frequency of breeding failure actually a problem  
99 for the population? Also, the binary nature of the success/failure indicator (at the site level) may  
100 hide impacts of less than catastrophic declines in breeding productivity. We therefore developed an  
101 alternative approach, which uses monitoring data on breeding productivity in conjunction with the  
102 established indicator of breeding abundance to assess the impact of variation in breeding  
103 productivity on population growth potential. Development of this new approach was initiated during  
104 annual meetings of the OSPAR/HELCOM/ICES Joint Working Group on Marine Birds (JWGBIRD) (ICES  
105 2018, ICES 2020) and was adopted by OSPAR Contracting Parties. An assessment of the indicator was  
106 completed in preparation for OSPAR's Quality Status Report (QSR) 2023  
107 (<https://oap.ospar.org/en/ospar-assessments/quality-status-reports/qsr-2023/>), where it has  
108 replaced the success/failure indicator. The aim of this study is to demonstrate the value of an  
109 integrated demographic indicator that uses information on both abundance and breeding  
110 productivity to improve assessments of current environmental status. An indicator that incorporates  
111 observations of both abundance and productivity can lead to a more meaningful assessment of  
112 population status than one that relies on abundance alone.

113

## 114 **METHODS**

### 115 **General approach**

116 We reasoned that breeding productivity in recent years would, all else being equal, provide an  
117 indication of the near-future growth potential of the population. However, observed values of  
118 breeding productivity would need to be interpreted in the context of the species' life history and  
119 recent changes in population size. This requires a demographic modelling approach. Given that  
120 breeding abundance and productivity are monitored much more widely than other demographic  
121 parameters, particularly survival, we used a reverse modelling approach to identify mean values of  
122 survival that, in combination with observed values of breeding productivity, could have produced  
123 the observed changes in abundance. This approach assumes that monitoring of breeding abundance  
124 and productivity is sufficiently representative to provide realistic time series of both parameters. We  
125 then used our models to calculate the expected annual population growth rate, given recent  
126 observed values of breeding productivity.

127 To provide threshold values to which we could compare the expected population growth of our  
128 model populations, we reformulated the thresholds of population change used for red-listing by the  
129 International Union for the Conservation of Nature (IUCN) as annual growth rates, adapted to the  
130 life history of each species.

### 131 **Data**

132 Monitoring data on breeding abundance and productivity of marine birds were collected as part of  
133 national monitoring programmes (e.g. Walsh *et al.* 1995, Koffijberg *et al.* 2011, Anker-Nilssen *et al.*  
134 2022). OSPAR Contracting Parties reported these data to a joint database held at the International  
135 Council for the Exploration of the Seas (ICES, [https://www.ices.dk/data/data-](https://www.ices.dk/data/data-portals/Pages/Biodiversity.aspx)  
136 [portals/Pages/Biodiversity.aspx](https://www.ices.dk/data/data-portals/Pages/Biodiversity.aspx)).

137 Data on both abundance and productivity were provided from four of the five OSPAR Regions: Arctic  
138 Waters, Greater North Sea, Celtic Seas, Bay of Biscay and Iberian Coast (see  
139 <https://www.ospar.org/convention/the-north-east-atlantic>). No data were available from the Azores  
140 – the only land mass in the ‘Wider Atlantic’ Region. For the abundance indicator, the Greater North  
141 Sea Region and the Norwegian part of the Arctic Region were divided into smaller ‘sub-divisions’  
142 ([https://odims.ospar.org/en/submissions/ospar\\_marine\\_birds\\_au\\_2022\\_06/](https://odims.ospar.org/en/submissions/ospar_marine_birds_au_2022_06/)).

143 Subsequently, data up to 2020 were extracted from these four OSPAR Regions, although in many  
144 cases the last year with available data was 2019. Breeding abundance data contained counts of all  
145 birds in a colony (‘total colony count’) and counts of birds within one or more smaller sample plots  
146 within a colony (‘plot counts’), and in some cases both approaches were used in the same colony. All  
147 counts were done following the species-specific methods and recommendations described in detail  
148 in the Seabird monitoring handbook for Britain and Ireland (Walsh *et al.* 1995), which serves as the  
149 international standard for such work in the OSPAR area. Briefly, these data were processed as  
150 follows (for details, see Dierschke *et al.* 2023): First, missing data points in each time series of annual  
151 counts for each colony with at least three years of data were imputed using generalised additive  
152 models, using year as the explanatory variable. At some colonies, observed and imputed plot counts  
153 were scaled up to estimate change in numbers of birds across the entire colony, using the most  
154 recent total colony count for the colony. Next, the completed time series from each colony in an  
155 OSPAR Region or sub-division were combined and weighted according to the size of the total  
156 regional or sub-divisional population. The weightings are required because the proportion of a  
157 population that is monitored and contained in the dataset varies between species and between the  
158 different countries in each Region and sub-division. To apply a regional or sub-division weighting,  
159 each annual estimate of abundance in each assessment unit was divided by a proportion  $p$ , which is  
160 the proportion of the total population that is present within the sites or colonies included in the data  
161 provided (Dierschke *et al.* 2023).

162 Finally, each time series was converted to an index of relative abundance by dividing by a baseline  
163 set at the start of the time series, following the methodology used in the OSPAR marine bird  
164 abundance indicator (Dierschke *et al.* 2023). This was done to allow easy comparison among species  
165 and to be able to apply a common threshold value for the GES indicator.

166 Walsh *et al.* (1995) also describe the methods used for monitoring breeding productivity. The  
167 reported monitoring data for this parameter consisted of numbers of breeding pairs within

168 designated monitoring plots, and the total number of chicks fledged by these pairs. Breeding  
169 productivity was then estimated for each plot as the average number of chicks fledged per pair (i.e.  
170 the ratio between the number of chicks fledged and the number of breeding pairs monitored). The  
171 minimum data requirement for each species in each OSPAR Region was set to ten years and two  
172 sites (typically breeding colonies, where each colony may include several study plots).

173 Sufficient data on both abundance and productivity were available for 25 species in one or more  
174 OSPAR Regions. To illustrate our approach, we present results from the Greater North Sea OSPAR  
175 Region for a well-monitored marine bird species, the Black-legged Kittiwake *Rissa tridactyla*, which is  
176 regarded as globally threatened by IUCN (red-listed as Vulnerable,  
177 <https://www.iucnredlist.org/species/22694497/155617539>). Indicator output for the remaining  
178 Regions and species are reported as part of OSPAR QSR in 2023 (Frederiksen *et al.* 2023), while  
179 parameter values of the pertaining matrix models will be reported separately.

### 180 **Constructing time series of breeding productivity**

181 For breeding productivity, not all sites were monitored annually. Therefore, we calculated estimated  
182 marginal means (across colonies in each OSPAR Region) and their standard errors for each year using  
183 the R package emmeans (Lenth 2021), based on a linear model with a main effect of year (as a  
184 factor) and weighted by sample size. To obtain a time series with less year-to-year variation, we next  
185 calculated a 6-year retrospective running mean (i.e., the value for 2019 was calculated as the  
186 arithmetic mean of the estimated marginal means for 2014-2019; Fig. 1). In rare cases when  
187 marginal means and their standard errors could not be estimated for individual years due to missing  
188 data, we used instead the arithmetic means of the mean and standard error for all years with  
189 available data. Overall mean breeding productivity and between-year standard deviation were  
190 calculated based on the annual estimated marginal means.

### 191 **Baseline demographic model**

192 We constructed baseline demographic matrix models with a pre-breeding census (Caswell 2001) for  
193 each marine bird species, with the number of age classes as well as parameter values informed by  
194 expert knowledge and available literature. In practice, we mainly used parameter values based on  
195 Horswill and Robinson (2015), with the additional assumption that 90% of all breeding-age  
196 individuals bred each year (Acker *et al.* 2022) (Table 1).

### 197 **Tuning the model to observed population growth**

198 In the next step, we substituted annual values of breeding productivity, drawn from normal  
199 distributions with the estimated marginal means and standard errors, into the baseline model. Using  
200 10,000 random draws and a starting age distribution based on the baseline model, we simulated  
201 population growth over the period with available data. We calculated the annual stochastic growth  
202 rate  $\lambda_s$  of the breeding population for each simulation as

$$203 \quad t_{end-t_{start}} \sqrt{N_{end}/N_{start}}$$

204 where  $t_{start}$  and  $t_{end}$  indicate the first and last year of the available time series, and  $N_{start}$  and  
205  $N_{end}$  indicate the breeding population size (oldest age class, in arbitrary units) in the first and last  
206 year (i.e., the geometric mean of the annual growth rates). We then took the arithmetic mean across  
207 simulations of the stochastic growth rates. For comparison, we estimated the observed annual

208 population growth rate  $\lambda_o$  by fitting a linear regression to the log-transformed abundance time  
209 series, and back-transforming the estimated slope.

210 We then adjusted survival of one or (in most cases) several age classes so that the mean  $\lambda_s$  was  
211 identical (with a tolerance of 0.001) to  $\lambda_o$ . There is no unique way to make this adjustment, and the  
212 choices made reflect our general knowledge of marine bird life histories. For example, we have  
213 generally assumed that survival increases with age over the pre-breeding period, with the largest  
214 difference between the first and second years of life (e.g. Wanless *et al.* 2006, Frederiksen *et al.*  
215 2008). We refer to the results of this step as the tuned model.

216 Next, we adjusted breeding productivity so that the expected annual asymptotic growth rate  $\lambda$  was  
217 1; the adjusted value was denoted  $BP_{stable}$ . This stable version of the tuned model was then used to  
218 estimate generation time using the R package popbio (Stubben & Milligan 2007).

### 219 **Thresholds for demographic indicators**

220 IUCN assigns species to different categories of conservation concern on its Red List, using thresholds  
221 for observed population decline over 10 years or three generations, whichever is the longer. The  
222 following thresholds apply, unless the decline has ceased, the reasons are understood, and the  
223 decline is reversible (exceptions that rarely occur) (IUCN 2012):

- 224 - CR (critically endangered):  $\geq 80$  % decline
- 225 - EN (endangered):  $\geq 50$  % decline
- 226 - VU (vulnerable):  $\geq 30$  % decline

227 For the marine bird species considered in our analyses, three generations is always more than 10  
228 years. To derive threshold values of  $\lambda$  (the annual asymptotic growth rate) for a specific species or  
229 population, we used estimates of generation time from the stable version of the tuned model. We  
230 then calculated  $\lambda^T$  as

$$231 \quad \lambda^T = \sqrt[3*GT]{1 - T^{IUCN}},$$

232 where  $GT$  = generation time and  $T^{IUCN}$  = IUCN threshold value (0.8, 0.5 or 0.3, as appropriate). To  
233 illustrate the potential impact of uncertainty in the values of survival used, we repeated this step  
234 using stochastic versions of the same model, with 10,000 simulations including random draws of  
235 survival parameters from beta distributions with the tuned value as mean and a standard deviation  
236 of 0.05 for adult survival and 0.1 for survival of all other age classes, values similar to those often  
237 found for long-lived species (Horswill & Robinson 2015) (Fig. 2).

### 238 **Potential impacts of observed demographic variation**

239 We substituted the estimated retrospective running means of breeding productivity into the tuned  
240 model and calculated the expected asymptotic growth rate for each year. These growth rates  
241 illustrate the expected impact on long-term population growth, given that the observed level of  
242 breeding productivity (in the most recent six years) is maintained, and that other demographic  
243 parameters remain constant. The expected growth rates were then graphically compared to the  
244 thresholds derived from IUCN red-list criteria ( $\lambda^T$ ).

245 All demographic models were created in R 4.2.0 (R Core Team 2019). Script and data for black-legged  
246 kittiwake are available on Dryad (Frederiksen *et al.* 2023).

247

## 248 RESULTS

249 The breeding productivity of Black-legged Kittiwake was monitored in 70 colonies in the Greater  
250 North Sea OSPAR Region in at least one year in the period 1986-2019, with data available for 20-121  
251 study plots per year. The emmeans model with year as a factor was highly significant ( $P < 2 \cdot 10^{-16}$ ,  $r^2$   
252 = 0.20), indicating some among-colony synchrony in breeding productivity over time. Breeding  
253 productivity was highly variable between years (overall mean 0.716 chicks fledged/pair, SD = 0.187),  
254 with the six poorest seasons spread from 1997 to 2013 (Fig. 1).

255 The baseline demographic model

$$256 \begin{bmatrix} 0 & 0 & 0 & 0.9 * 0.69 * 0.5 * 0.79 \\ 0.854 & 0 & 0 & 0 \\ 0 & 0.854 & 0 & 0 \\ 0 & 0 & 0.854 & 0.854 \end{bmatrix}$$

257

258 (see also Table 1) gave an asymptotic growth rate of 1.005, while the observed mean population  
259 growth rate  $\lambda_o$  was 0.961. Using the annual estimates of breeding productivity ( $p_t$ ), the mean  
260 simulated growth rate  $\lambda_s$  was 1.008. We tuned the model to obtain a  $\lambda_s$  of 0.961 by reducing the  
261 parameter values of first-year survival from 0.79 (which seemed biologically unrealistic) to 0.49, and  
262 second-year survival from 0.845 to 0.79.

$$263 \begin{bmatrix} 0 & 0 & 0 & 0.9 * p_t * 0.5 * 0.49 \\ 0.79 & 0 & 0 & 0 \\ 0 & 0.854 & 0 & 0 \\ 0 & 0 & 0.854 & 0.854 \end{bmatrix}$$

264

265 For the tuned model, a mean breeding productivity of 1.15 fledged chicks/pair was required to  
266 stabilise the population, and the generation time was 9.8 years. Threshold values of population  
267 growth rates  $\lambda^T$  and their approximate uncertainties were calculated (Table 1).

268 The expected population growth rate was consistently below  $\lambda^T$  (EN), throughout the study period  
269 (Fig. 3), corresponding to an expected decline over three generations of 50-80% and thus warranting  
270 red-listing as Endangered. For the years 2005-2009 (corresponding to mean breeding productivity in  
271 2000-2009), the expected population growth rate was below  $\lambda^T$  (CR), which in isolation would  
272 warrant red-listing as Critically Endangered. Results appear to be in accordance with the marine bird  
273 abundance indicator of the OSPAR QSR 2023 (Dierschke *et al.* 2023). For comparison, the abundance  
274 indicator for Black-legged Kittiwake in the Greater North Sea OSPAR Region showed a decline of 64%  
275 over the period 1991-2019, which is almost exactly equal to three Kittiwake generations (i.e. 29.4  
276 years).

277



## 278 DISCUSSION

279 Our approach produces an easily interpretable answer to the question: for a given species in a given  
280 region, is the current level of breeding productivity sufficient to avoid future population declines  
281 (assuming that survival remains at recent levels)? Furthermore, the approach quantifies the  
282 expected population growth rate and allows a tiered assessment of the severity of an observed (low)  
283 level of breeding productivity, consistent with the widely used IUCN criteria for red-listing of  
284 threatened and vulnerable species and populations. By collating results across species and regions,  
285 higher-level assessments of GES for e.g. regions or functional species groups (feeding guilds) are  
286 possible, as required under the MSFD. Results of such assessments are presented as part of the  
287 OSPAR QSR 2023. More detailed comparative analyses of time series of expected growth rates  
288 across species and regions could be highly informative, e.g. for identifying drivers of population  
289 change. Overall, we believe this approach provides a powerful tool that is likely to lead to major  
290 improvements in understanding and communicating the status and trends of European marine bird  
291 populations, and that could also easily be adapted to other areas and taxa, where suitable data are  
292 available.

293 Nevertheless, there are several important limitations of our approach, and of the data it is based on.

- 294 1. Our approach is fairly data-hungry, as it requires sufficient data for annual estimates of  
295 breeding productivity as well as population abundance. Colonially nesting birds are  
296 therefore obvious candidates for applying the approach, as collection of large amounts of  
297 data is relatively easy. In principle, dispersed nesters could be assessed using the same  
298 approach, and this is likely to be practically possible for some well-monitored species (e.g.  
299 some passerines, raptors). However, our approach is likely to be less useful for species  
300 occurring at low density, or those where one or the other type of data is difficult to collect.
- 301 2. Ideally, the assessment should take place for areas that are ecologically well defined and  
302 reasonably homogeneous, as marine bird breeding productivity can vary over relatively  
303 small spatial scales (Frederiksen *et al.* 2005b, Olin *et al.* 2020). However, limits to data  
304 availability will in general lead to assessments taking place on a spatial scale that is larger  
305 than optimal.
- 306 3. Linked to this, monitoring data should be representative of temporal patterns in abundance  
307 and breeding productivity within each region. However, such data are uncommon. For  
308 example, our study area includes some long-term monitoring programmes, but even here,  
309 data coverage is generally highly heterogeneous in space. In the North Sea, many more sites  
310 are monitored for marine bird breeding productivity in the UK and the Netherlands than in  
311 other countries. The weighting of the abundance indicator by national population total  
312 should ideally to some extent compensate for the uneven coverage. In general, breeding  
313 productivity is monitored at much fewer sites than abundance, and some countries monitor  
314 only abundance and thus do not contribute to the indicator of breeding productivity. The  
315 breeding productivity indicator is likely to be less representative than the corresponding  
316 abundance indicator, which should be kept in mind when interpreting the results. Coverage  
317 also differs among species, with monitoring of some species restricted to a few sites.  
318 However, the Black-legged Kittiwake, the focus of our case study, is among the most widely  
319 monitored seabird species.
- 320 4. The tuning of survival parameters to fit the observed abundance trend is somewhat  
321 subjective. Because several age classes are involved, there are several age-specific  
322 parameter values that can be adjusted with no unique solution. The choice of values to

323 adjust was based on expert opinion (general understanding of marine bird life histories), as  
324 well as the weight of evidence behind the starting values. In the case of the Black-legged  
325 Kittiwake, our assessment was that the value for particularly first-year survival (0.79) given  
326 in Horswill and Robinson (2015) was unrealistically high and based on one very old reference  
327 (Coulson & White 1959). On the other hand, the value for adult survival (> 2 years old:  
328 0.845) was based on two more recent published studies and a report (Oro & Furness 2002,  
329 Frederiksen *et al.* 2004, Taylor *et al.* 2010). We therefore adjusted first-year survival to 0.49,  
330 close to the value of 0.50 which was found to be useful for reconstructing the population  
331 trajectory in one study colony by Frederiksen *et al.* (2004). A small further adjustment was  
332 necessary, and we therefore reduced the value of second-year survival (for which no value  
333 was given by Horswill and Robinson (2015)) from 0.845 to 0.79. For some other species,  
334 tuning survival to reflect population trend was more complex.

- 335 5. The assumption that age-specific survival and breeding propensity have remained constant  
336 over the 35-year study period is unrealistic. All demographic parameters will show some  
337 variation in response to the environment, although adult survival is generally expected to  
338 show less year-to-year variation than breeding productivity (Sæther & Bakke 2000). Long-  
339 term trends in mean survival are also possible. At the same time, for long-lived species such  
340 as marine birds, annual population growth rate is much more sensitive to variation in adult  
341 survival than in breeding productivity (Lebreton & Clobert 1991). The expected growth rates  
342 should therefore be interpreted with caution, with the caveat that they are valid if mean  
343 survival and breeding propensity (including age of first breeding) have remained fairly  
344 constant over the study period. Systematic trends in survival will lead to bias in the expected  
345 growth population growth rates, and potentially to incorrect assignment to IUCN threat  
346 categories (see Supporting Information).
- 347 6. The models used here ignore dispersal between OSPAR Regions, or to and from regions  
348 outside the OSPAR Maritime Area. For most species this omission is probably of small  
349 importance, but for species which are rapidly spreading northwards, population trends can  
350 only be realistically reproduced if dispersal is included.

351 In addition to time series of expected growth rates, our approach produces additional output which  
352 could be useful for research and management of European marine birds. The tuned values of age-  
353 specific survival represent our best informed ‘guesstimates’ of mean survival over a 30-year period,  
354 consistent with observed breeding productivity and trends in abundance. These values should be  
355 useful as starting points for future demographic modelling work, e.g. as part of environmental  
356 impact assessments of infrastructure developments, incidental mortality (fisheries bycatch) or other  
357 anthropogenic impacts. The tuned values can also be compared to available empirical estimates of  
358 survival, as noted above for Black-legged Kittiwake. The mean breeding productivity required for a  
359 stable population is another useful quantity, e.g. for assessing the status of individual colonies. For  
360 the Black-legged Kittiwake, the value of 1.15 fledged chicks/pair is high, and while similar values are  
361 regularly observed in individual years and colonies, the mean in the Greater North Sea OSPAR Region  
362 has been below this level in every year 1986-2019 (Fig. 1). This may suggest that survival of one or  
363 more age classes has been so low that even near-optimal values of breeding productivity are  
364 insufficient to maintain a stable population (Frederiksen *et al.* 2004). In the North Pacific, Black-  
365 legged Kittiwakes generally show much higher adult survival, and much lower breeding productivity,  
366 than in European waters (Frederiksen *et al.* 2005a, Suryan *et al.* 2009). Finally, our approach  
367 produces an estimate of generation time that reflects local conditions, which may also be useful for  
368 e.g. status assessments. Tuned values of age-specific survival, breeding productivity required to

369 sustain a population, as well as generation time, will be reported separately for all species and  
370 regions included in OSPAR QSR 2023 ([https://oap.ospar.org/en/ospar-assessments/quality-status-](https://oap.ospar.org/en/ospar-assessments/quality-status-reports/qsr-2023/indicator-assessments/)  
371 [reports/qsr-2023/indicator-assessments/](https://oap.ospar.org/en/ospar-assessments/quality-status-reports/qsr-2023/indicator-assessments/)).

372 Our approach does not fully exploit the information in the existing demographic data. The ad hoc  
373 modelling approach does not allow full error propagation from raw data to model predictions, and  
374 existing data on e.g. survival are not incorporated directly. As the next step, therefore, a set of  
375 Integrated Population Models (IPMs) should be developed, based on the data we have used here as  
376 well as any other empirical data on demography for the same species in the same regions. This  
377 would require a systematic collation of demographic data (other than breeding productivity), which  
378 are currently not necessarily included in national monitoring programmes, and not reported to  
379 OSPAR. IPMs allow the full integration of all data on population abundance and demography into a  
380 single, mathematically coherent framework (Schaub & Abadi 2011, Robinson *et al.* 2014), and can be  
381 used for population projections. Species- and region-specific IPMs would thus allow explicit  
382 projections of expected population development, incorporating uncertainty and environmental  
383 stochasticity, and could also integrate available data on the magnitude of human-induced mortality  
384 from e.g. fisheries bycatch or collisions with wind turbines. While applying an IPM to a single  
385 population is fairly straightforward, this is not the case for the complex multi-population (or  
386 metapopulation) setup that would be needed for regional demographic indicators. New model  
387 frameworks would thus need to be developed.

## 388 **Conclusion**

389 We propose a model-based approach to assessment of population status of birds, which integrates  
390 monitoring data on abundance and breeding productivity and allows comparison with established  
391 thresholds for population threat level. Our approach allows agencies responsible for biodiversity  
392 monitoring to assess whether populations are likely to be self-sustainable in the medium term, and  
393 should be easily generalizable from marine birds in the Northeast Atlantic to other cases where  
394 abundance and breeding productivity are monitored.

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409

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- 517
- 518

521 Table 1. Model structure and values of demographic parameters in the baseline and tuned models  
 522 for Black-legged Kittiwake. In the models used here, the number of age classes is determined by the  
 523 mean age of first breeding  $m$ . Individuals younger than  $m$  are assumed not to breed, and all  
 524 individuals aged  $m$  or older are assumed to have the same values for survival, breeding propensity  
 525 and breeding productivity. The table also shows the observed population growth rate  $\lambda_o$ , as well as  
 526 the breeding productivity required for stability  $BP_{stable}$ , the estimated generation time, and the  
 527 threshold levels of population growth corresponding to IUCN red-listing as Vulnerable (VU),  
 528 Endangered (EN) and Critically Endangered (CR) (with 2.5<sup>th</sup> and 97.5<sup>th</sup> percentiles). Values for age-  
 529 specific survival in the baseline model are taken from Horswill & Robinson (2015).

	Black-legged Kittiwake	
$\lambda_o$	0.962	
	Baseline model	Tuned model
Age of first breeding (years)	4	4
Breeding productivity (fledged chicks/pair)	0.69	Time series
Sex ratio	0.5	0.5
Breeding propensity	0.9	0.9
First-year survival	0.79	0.49
Second-year survival	0.854	0.79
Third-year survival	0.854	0.854
Fourth-year survival	0.854	0.854
Adult survival	0.854	0.854
$BP_{stable}$	-	1.15
Generation time (years)	-	9.8
$\lambda^T$ (VU)	-	0.988 (0.984-0.992)
$\lambda^T$ (EN)	-	0.977 (0.969-0.985)
$\lambda^T$ (CR)	-	0.947 (0.930-0.966)

531 **Figures**

532

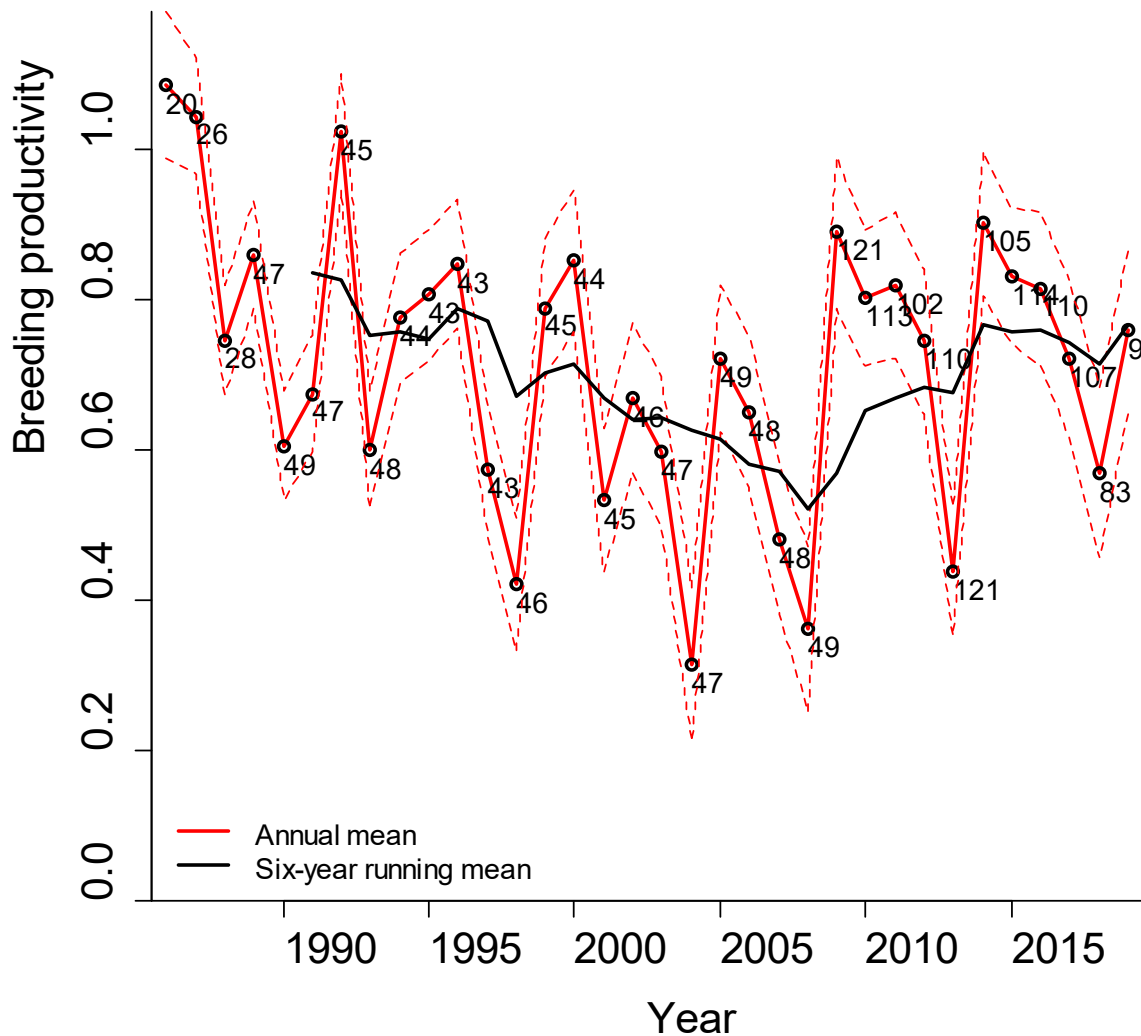
533 Fig. 1. Time series of mean breeding productivity (fledged chicks/pair) of Black-legged Kittiwake in  
534 the Greater North Sea OSPAR Region during 1986-2019. The solid red line shows the estimated  
535 marginal means for each year, with dashed lines indicating 95% confidence limits. Labels below the  
536 data points show the number of survey plots with available data for each year. The solid black line  
537 shows the retrospective six-year running mean.

538 Fig. 2. Illustrative examples of the beta distributions used to draw random values of survival. Here  
539 shown for mean = 0.9 and SD = 0.05 (solid line), and for mean = 0.5 and SD = 0.1 (dashed line). These  
540 combinations are roughly illustrative of survival values commonly found in long-lived marine bird  
541 species, in adult and first-year birds respectively (Horswill and Robinson 2015).

542 Fig. 3. Time series of expected population growth rate of Black-legged Kittiwake in the Greater North  
543 Sea OSPAR Region during 1991-2019 (black line). Each point on the line represents the expected  
544 population growth rate based on the six-year retrospective running mean breeding productivity (Fig.  
545 2). The background colours illustrate the species-specific thresholds derived from IUCN red-listing  
546 criteria for the categories Vulnerable (VU, yellow), Endangered (EN, orange) and Critically  
547 Endangered (CR, red).

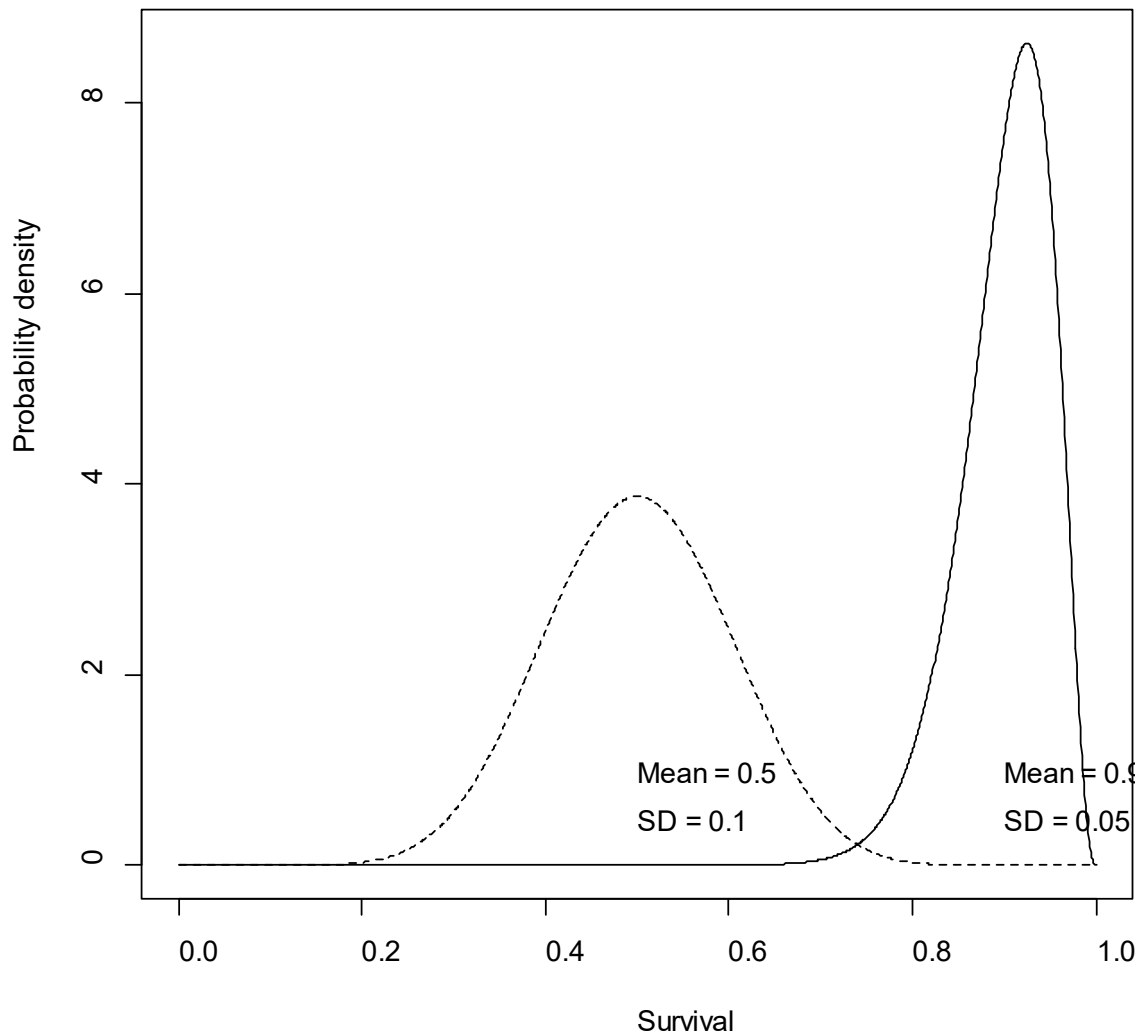
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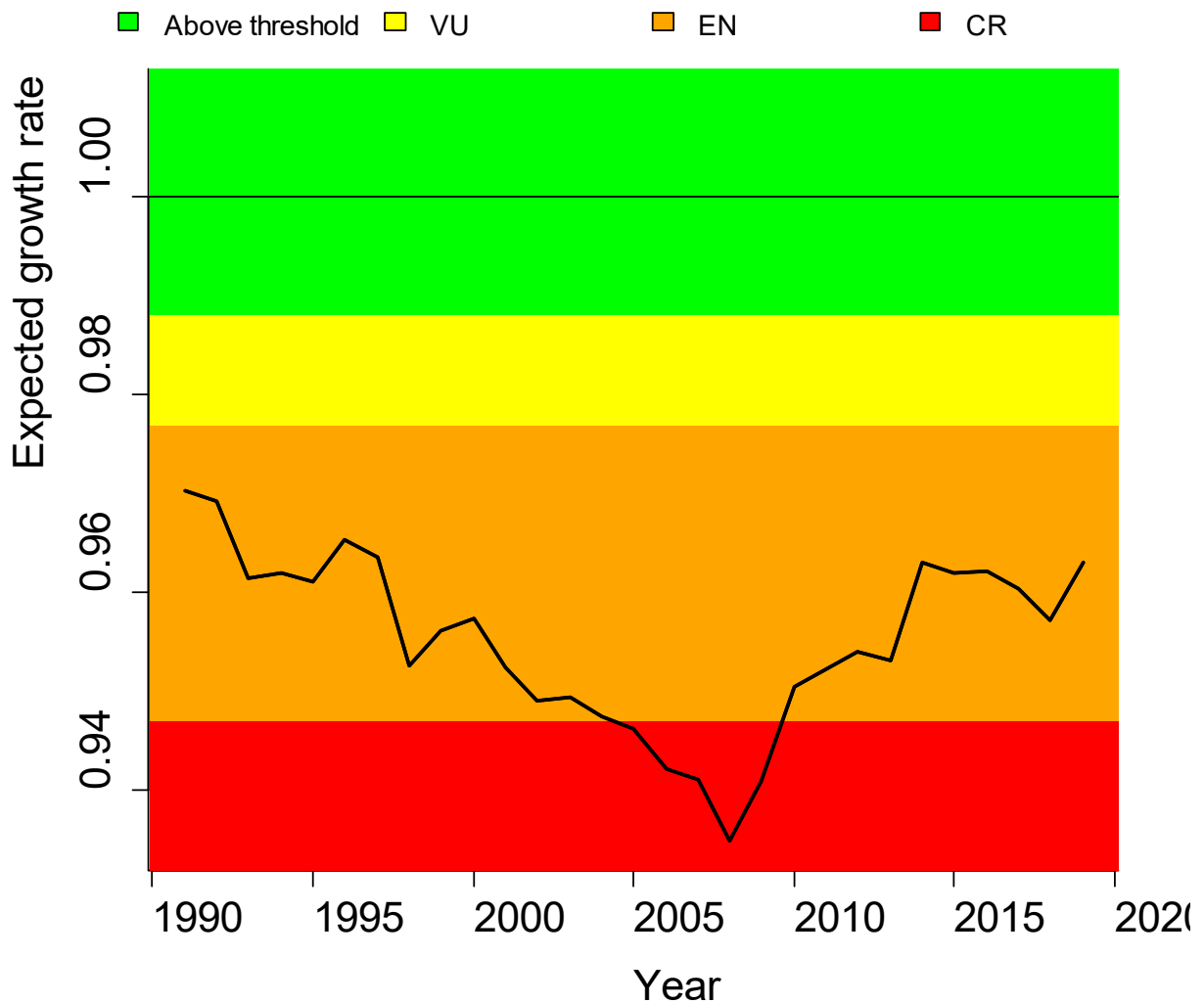
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